

DEPARTMENT OF THE NAVY

OFFICE OF THE SECRETARY 1000 NAVY PENTAGON WASHINGTON DC 20350-1000

SECNAVINST 8120.1B DUSN (P) 25 SEP 2014

SECNAV INSTRUCTION 8120.1B

From: Secretary of the Navy

Subj: DEPARTMENT OF THE NAVY NUCLEAR WEAPONS RESPONSIBILITIES AND AUTHORITIES

Ref: (a) Atomic Energy Act of 1954, as amended, 42 U.S.C. \$2011 et seq.

- (b) DoD Directive 3150.02 of 24 April 2013
- (c) DoD Manual 3150.02-M, DoD Nuclear Weapon Safety System Program Manual, 31 January 2014
- (d) Navy Nuclear Weapons Oversight Council Charter of 24 February 2009 (NOTAL)
- (e) DoD Manual S-5210.41-M, Nuclear Weapon Security Manual, 13 July 2009
- (f) DoD Regulation 5210.42-R, Nuclear Weapons Personnel Reliability Program, 30 June 2006
- (g) SECNAVINST S8126.1A of 31 January 2013
- 1. $\underline{\text{Purpose}}$. To define policy, responsibilities, and authorities for the safe, secure, and reliable stewardship of Department of the Navy (DON) nuclear weapons (NW) and NW systems.
- 2. Cancellation. SECNAVINST 8120.1A.
- 3. <u>Policy</u>. In support of Department of Defense (DoD) policy, DON policy requires special consideration be given to NW and NW systems because of their political and military importance, their destructive power, and the potential consequences of an accident or unauthorized act.
- 4. Objective. A key objective of the DON is to ensure that NW and NW systems are designed, maintained, transported, stored, and employed to maximize safety, security, and reliability consistent with operational requirements.
- 5. Application and Scope. This instruction applies to all U.S. Navy commands, activities, units, and forces having responsibility for custody or supporting operations, life cycle support, development, or acquisition of NW or NW systems, including nuclear command, control and communications (NC3)

systems. This instruction also applies to all U.S. Marine Corps (USMC) units providing direct support and those specific activities required for security of DON NW. Nuclear command and control and employment planning authorities and responsibilities remain as previously delegated to operational commanders.

Organizational and General Responsibilities. Per reference (a), and as required by references (b) and (c), the responsibility for ensuring the safety and security of all NW and NW systems for which the DON has DoD life-cycle management responsibility is assigned to the Secretary of the Navy (SECNAV). In support of the SECNAV's responsibilities, the Chief of Naval Operations (CNO) is the principal advisor to the President and to the SECNAV in all matters relating to NW and NW systems. The CNO establishes the overarching requirements for NW and NW systems. The Commandant of the Marine Corps (CMC) is responsible for providing trained and equipped security forces to ensure NW security. Commander, U.S. Fleet Forces; Commander, U.S. Pacific Fleet; and Director, Strategic Systems Programs (DIRSSP) are responsible for the safe, secure, reliable, and effective technical operation and maintenance of NW and NW systems under their cognizance.

7. Specific Responsibility and Authority

- a. $\underline{\text{CNO}}$. The CNO shall oversee safety, security, reliability, and effectiveness of DON NW and NW systems, and shall:
- (1) Maintain the Navy Nuclear Weapons Oversight Council (NNWOC) to provide comprehensive oversight and coordination of DON NW and NW systems across supporting and/or supported organizations per reference (d). The NNWOC shall:
- (a) Ensure Navy compliance with established DON and DoD NW and NW systems safety, security, accident and/or incident response, reliability, and personnel policy.
- (b) Direct action to resolve NW and NW systems policy and oversight issues identified by internal and external inspections, assessments, and reports.
- (c) Coordinate to strengthen ties between the Secretariat (for policy and/or oversight functions), the Office

of the CNO (for resource, requirements, policy, and/or oversight functions), Headquarters Marine Corps (HQMC) (for NW security force issues), and the fleet (for NW and NW systems program operations and/or material support functions).

- (d) Direct the scope and conduct of an assessment of DON NW and NW systems compliance with NW and NW systems safety, security, reliability, accident and/or incident response, and personnel policy at periods of every 2 years.
- (e) Review the DON Annual NW security deviation report prior to Vice Chief of Naval Operations' review and approval.
- (2) Conduct comprehensive assessments, at periods not to exceed 2 years, of DON NW and NW systems programs and activities to ensure safe, secure, reliable, and effective stewardship.
- (3) Assign specific responsibilities to CNO staff offices and echelon II Navy commands to support the Navy nuclear deterrence mission (NNDM) and establish supported and/or supporting relationships, as appropriate, among Navy organizations with responsibilities that involve NW and NW systems programs.
- (4) Approve policies associated with conduct of end-toend assessments of NW and NW systems for safe, secure, reliable, and effective execution of the NNDM.
- b. <u>DIRSSP</u>. DIRSSP is the program manager and technical authority for technical operations, safety, security, and maintenance of DON NW and NW systems (with exception of NC3 programs). DIRSSP is responsible for developing and coordinating policies associated with safety, security, supply support, NW radiation control and radiation health, personnel training and capabilities, and accident and/or incident response for DON NW and NW systems. Additionally, DIRSSP shall exercise responsibility as the NNDM regulatory lead for developing, coordinating, and implementing policies, approved by CNO, associated with conducting end-to-end assessments of NW and NW systems and personnel (inclusive of NC3 programs) for safe, secure, reliable, and effective execution of the NNDM.

- c. $\underline{\text{CMC}}$. CMC shall provide units in direct support of NW security at strategic weapons facilities and as SECNAV directs, per references (e), (f), and (g), and will:
- (1) Select and train USMC personnel for assignment to duties which involve NW security.
- (2) Implement DON NW security policy provided in this instruction and other NW security policy that has been issued by higher authority.
- d. Assistant Secretary of the Navy (Manpower and Reserve Affairs) (ASN (M&RA)). ASN (M&RA) shall exercise responsibility for the civilian personnel community management of DON personnel supporting DON NW and NW systems and associated policy approval. Additionally, the ASN (M&RA) will monitor DON implementation of community management policy for civilian personnel supporting DON NW and NW systems.
- e. Deputy Under Secretary of the Navy for Policy (DUSN (P)). DUSN (P) shall exercise responsibility for the Personnel Reliability Program (PRP) policy approval. Additionally, the DUSN (P) will monitor DIRSSP implementation of PRP policy, and supported by DIRSSP, will conduct formal policy coordination external to the DON.

8. Action

- a. Organizations listed in paragraph 7 will issue formal direction to implement responsibilities assigned in this instruction, as required. All commands who possess a NW capability under their cognizance, or who are responsible for the acquisition and life cycle support of a NW and NW system, shall ensure the implementation of this instruction.
- b. All DON organizations with NW or NW systems related responsibilities and authorities will conduct a self-assessment to ensure compliance with all applicable guidance and direction associated with execution of assigned duties and missions.
- c. NNWOC will review this instruction 3 years after issuance to assess its effectiveness and propose any required changes.

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- 9. Records Management. Records created as a result of this instruction, regardless of media and format, shall be managed per SECNAV M-5210.1 of January 2012.
- 10. Reports. The reporting requirements contained in paragraphs 7a(1)(b) and 7a(1)(e) are exempt from reports control per SECNAV M-5214.1 of December 2005, Part IV paragraphs 7g and 7n.

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