



Homeland
Security

February 11, 2005

Dear Colleague:

As we continue the Capabilities-Based Planning (CBP) process being implemented under Homeland Security Presidential Directive 8: *National Preparedness* (HSPD-8), the Office of State and Local Government Coordination and Preparedness (SLGCP) is pleased to release the Target Capabilities List: Version 1 (TCL). The TCL was developed with input from stakeholders at all levels of government and across all disciplines. It is an initial step in defining the capabilities needed to perform critical tasks required to prevent, protect, respond to, and recover from all hazard incidents of national significance. The TCL is the third in a series of capabilities-based planning tools designed to enhance national preparedness. A brief summary of the tools are provided below.

National Planning Scenarios. Developed under the leadership of the Homeland Security Council, the 15 National Planning Scenarios provide parameters regarding the nature, scale, and complexity of incidents of national significance which include both terrorism and natural disasters. They represent a range of potential incidents, rather than every possible threat or hazard. The scenarios provide the basis to define prevention, protection, response and recovery tasks that need to be performed, as well as the capabilities required to perform them. Developing the national capacity to prevent, protect against, respond to, or recover from these challenges will create the agility and flexibility required to meet a wide range of threats and hazards.

Universal Task List. The UTL identifies the tasks that need to be performed by all levels of government and a variety of disciplines to prevent, protect against, respond to, and recover from terrorist attacks, natural disaster, and other emergencies. Version two of the UTL, released December 17, 2004, contains a comprehensive library of tasks for all levels of government from the national strategic to the incident level.

Target Capabilities List. Version one of the Target Capabilities List (TCL) describes 36 capabilities required to perform almost 200 critical tasks. Critical tasks are defined as those tasks that must be performed during a major event to prevent occurrence, reduce loss of life or serious injuries, mitigate significant property damage, are essential to the success of a homeland security mission, and require coordination among a combination of Federal, State, local and tribal entities. The target capabilities are combinations of resources that provide the means to achieve a measurable outcome resulting from performance of one or more critical tasks, under specified conditions and performance standards. A capability may be delivered with any combination of properly planned, organized, equipped, trained, and exercised personnel that achieve the expected outcome.

Additional guidance on how jurisdictions and agencies should utilize the UTL and TCL will be provided in the National Preparedness Goal and National Preparedness Guidance, due March 31, 2005. The Goal and Guidance will establish national priorities, describe Capabilities-Based Planning in greater detail, and give jurisdictions and agencies guidance on how the National Planning Scenarios, UTL, and TCL should be used in the development of preparedness strategies, assessment of capabilities, prioritization of needs, and allocation of resources.

The current versions of TCL and the UTL are posted on both the *Lessons Learned and Information Sharing* site (<https://www.llis.gov>) and the Office for Domestic Preparedness (ODP) Secure Portal (<https://odp.esportals.com>). Both the UTL and TCL are “living” documents that will continue to be refined and edited. All comments received on these documents will be reviewed and incorporated into future versions.

DHS/SLGCP appreciates your continued participation in creating and contributing to a truly national preparedness system. The TCL is one of many HSPD-8 initiatives that will strengthen the Nation’s ability to prevent, protect against, respond to, and recover from incidents of national significance.

If you have questions or require an emailed copy of the documents, please contact Pat Malak at 202-786-9480 or by email at Patricia.Malak@dhs.gov.

Sincerely,

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Office for Policy, Initiatives and Analysis



TARGET CAPABILITIES LIST: Version 1.0

U.S. Department of Homeland Security

**OFFICE OF STATE AND LOCAL GOVERNMENT
COORDINATION AND PREPAREDNESS**

January 31, 2005

Questions, comments, and suggested improvements related to this document are encouraged.
Inquiries, information, and requests for additional copies should be submitted to:

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Version 1.0 of the Target Capabilities List will be made available on the ODP Secure Portal
(<https://odp.esportals.com>) and the Lessons Learned and Information Sharing network
(www.llis.gov)

For more information on HSPD-8 implementation, go to
<http://www.ojp.usdoj.gov/odp/welcome.html>

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Section I: Overview

Homeland Security Presidential Directive 8: National Preparedness (HSPD-8), issued December 17, 2003, tasks the Secretary of Homeland Security, in coordination with the heads of other appropriate Federal departments and agencies and in consultation with State and local governments, to strengthen the preparedness of the United States to prevent and respond to threatened or actual domestic terrorist attacks, major disasters and other emergencies. It requires: 1) a national domestic preparedness goal; 2) mechanisms for improved delivery of Federal preparedness assistance to State and local governments; and 3) actions to strengthen preparedness capabilities of Federal, State, and local entities. The Executive Director of the Department of Homeland Security's Office of State and Local Government Coordination and Preparedness (DHS/SLGCP) was assigned lead responsibility to implement HSPD-8 on behalf of the Department.

DHS/SLGCP has adopted an approach to the implementation of HSPD-8 that centers on building specific prevention, protection, response, and recovery capabilities within an integrated national preparedness system. This approach began with rigorous analysis of a range of representative planning scenarios for incidents of national significance. From this analysis, key homeland security prevention, protection, response and recovery tasks were defined and catalogued. Finally, capabilities required to perform these tasks were documented. These efforts responded to HSPD-8 requirements to develop "readiness priorities, targets, and metrics," and to the Homeland Security Appropriations Act of 2005 (Public Law 108-334) requirement for DHS/SLGCP to "provide State and local jurisdictions with nationally accepted preparedness levels of first responder capabilities no later than January 31, 2005." A capabilities-based planning approach to managing risk is the foundation for national preparedness.

This document presents the initial version of a Homeland Security Target Capabilities List (TCL). It was developed in close consultation with Federal, State, local, and tribal entities and national associations. The list will be refined and improved as it is put into practice. Guidance on use of the target capabilities will be provided in the National Preparedness Goal and National Preparedness Guidance, due March 31, 2005.

Capabilities-Based Planning Process

DHS/SLGCP adopted a capabilities-based planning approach to define target capabilities. The process included stakeholder involvement at each step of development. Capabilities-based planning is defined as planning, under uncertainty, to provide capabilities suitable for a wide range of threats and hazards while working within an economic framework that necessitates prioritization and choice. Capabilities-based planning addresses uncertainty by analyzing a wide range of possible scenarios to identify required capabilities. Key documents and products used or developed in the process are briefly explained below.

National Planning Scenarios

A range of scenarios developed by a Federal interagency working group led by the Homeland Security Council were used to illustrate the potential scope, magnitude, and complexity of incidents of national significance. The 15 National Planning Scenarios, which include both terrorism and natural disasters, provided parameters regarding the nature and scale for incidents of national significance and the basis to define prevention, protection, response and recovery tasks that need to be performed, as well as the capabilities required to perform them. The National Planning Scenarios represent a range of potential incidents, rather than every possible threat or hazard. Developing the national capacity to prevent, protect against, respond to, or recover from these challenges will create the agility and flexibility required to meet a wide range of threats and hazards.

The suite of 15 National Planning Scenarios are:

- | | |
|------------------------------|-----------------------------------|
| 1. Improvised Nuclear Device | 9. Major Earthquake |
| 2. Aerosol Anthrax | 10. Major Hurricane |
| 3. Pandemic Influenza | 11. Radiological Dispersal Device |
| 4. Plague | 12. Improvised Explosive Device |
| 5. Blister Agent | 13. Food Contamination |
| 6. Toxic Industrial Chemical | 14. Foreign Animal Disease |
| 7. Nerve Agent | 15. Cyber |
| 8. Chlorine Tank Explosion | |

Universal Task List

Nationally representative teams identified tasks required by each scenario. These tasks have been combined into a comprehensive menu called the Universal Task List (UTL). The UTL is designed to serve as a common language and reference system, as the foundation for learning and exercise objectives, as a tool for operational planning, and for use in evaluations and assessments of performance. Tasks are identified that need to be performed by all levels of government and a variety of disciplines for an incident of national significance. **No single jurisdiction or agency is expected to perform every task.** Rather, subsets of tasks will be selected based on specific roles, missions, and functions. The UTL currently contains approximately 1,700 tasks encompassing all levels of government and disciplines from the national strategic to the incident level.

Version 1.0 of the Target Capabilities List provides a list and description of the capabilities needed to perform critical homeland security tasks. Critical tasks are defined as those that must be performed during a major event to prevent occurrence, reduce loss of life or serious injuries, mitigate significant property damage, or are essential to the success of a homeland security mission. Approximately 200 tasks are currently identified as critical.

Target Capabilities List

HSPD-8 requires an integrated national approach to preparedness because incidents of national significance require a coordinated response employing resources from all levels of government. For this reason, it was important for DHS/SLGCP to develop national consensus in defining needed capabilities and setting general target levels for those capabilities against the National Planning Scenarios. Just as no single jurisdiction or agency would be expected to perform every task, neither would they be expected to have sufficient levels of every capability needed for a major event. Requirements that exceed a jurisdiction's or agency's capabilities would be secured through mutual aid, State resources, assistance compacts, or Federal support.

Capability definitions are general and expressed in broad operational terms and essential characteristics. The target capabilities are combinations of resources that provide the means to achieve a measurable outcome resulting from performance of one or more critical tasks, under specified conditions and performance standards. A capability may be delivered with any combination of properly planned, organized, equipped, trained, and exercised personnel that achieve the expected outcome. Version 1.0 of the TCL identifies 36 target capabilities.

The TCL is designed to assist jurisdictions and agencies in understanding and defining their respective roles in a major event, the capabilities required to perform a specified set of tasks, and where to obtain additional resources if needed. [Section II](#) of the TCL contains capability descriptions and [Section III](#) provides an initial assignment of capabilities to levels of government.

As these tools and processes are implemented, requests for preparedness assistance will ultimately be expressed as capability needs with clearly defined requirements, namely: why a capability is needed; how the capability will be used; what function the capability will perform; who will need the capability; when the capability will be available; what key performance and other attributes comprise the capability; how the capability will be supported; what skills will be required and how we train responders; and finally, how much the capability will cost.

Stakeholder Participation and Timeline of Key Events

When the Secretary of Homeland Security assigned responsibility to lead HSPD-8 implementation to DHS/SLGCP, he directed unprecedented stakeholder involvement. DHS/SLGCP invited Federal, State, local, and tribal entities, non-profit and profit organizations, and national associations to provide significant input for the development of both the UTL and the TCL. DHS/SLGCP initiated and utilized stakeholder involvement through three primary avenues: 1) small working groups made up of Federal, State, local, and tribal representatives; 2) national stakeholder workshops; and 3) broad national reviews.

Working Groups

DHS/SLGCP has closely coordinated its work with two working groups. A State, Local, and Tribal Working Group originally formed to provide input on the National Incident Management

System (NIMS) and National Response Plan (NRP) has been expanded to provide feedback and input for HSPD-8 implementation. The group met several times and will continue to review and provide input to the process. The members of the Working Group represent 18 national associations. A Federal Interagency Working Group was also established and will continue to review and provide input and guidance on overall HSPD-8 implementation.

Stakeholder Workshops

DHS/SLGCP held stakeholder workshops to define tasks and capabilities required for incidents of national significance. In June 2004, DHS/SLGCP brought together over 160 representatives from agencies at all levels of government and the private sector to review the UTL. In October 2004, DHS/SLGCP held a second workshop with over 350 representatives to obtain input and involvement in the development of the TCL.

National Review

Federal agencies, State Homeland Security Advisors, State Emergency Management agencies, State Public Health agencies, and over 70 national associations were invited to participate in the UTL and TCL development process.

The draft UTL was distributed for review and input in July 2004. Changes were incorporated, and the UTL was distributed again for comment in August 2004. A national review of the TCL was conducted between December 17, 2004 and January 17, 2005. DHS/SLGCP used a secure web portal and the Lessons Learned and Information Sharing network (LLIS.gov) to share drafts and receive comments. A list of government agencies and national associations that were invited to participate in the national workshops and the national review is found in Appendix A.

Factors Affecting Implementation

Unprecedented Effort

The National Strategy for Homeland Security identifies homeland security as a shared national responsibility. Ambitious HSPD-8 milestones and unprecedented effort to develop a comprehensive and accurate list of target capabilities for the Nation underlined the challenge of bringing together diverse and historically independent disciplines to identify preparedness activities across the spectrum of prevention, protection, response, and recovery.

Metrics and Baseline Measures

Congress and various Commissions have identified the need to determine what the Nation must prepare for and the essential capabilities that every jurisdiction of varying sizes should have or have immediate access to. While the needs of individual communities and the diversity of threats and hazards vary, establishing a consensus baseline of readiness is a reasonable means to manage risk, preserve operational flexibility, and establish national accountability. The UTL and TCL provide an improved means of determining required levels of task proficiency and the

resources required for each capability. They identify levels and measures against which the quality, level, or degree of preparedness can be measured. The UTL and TCL comprise the foundation of national preparedness standards.

The Review Process

DHS/SLGCP made a concerted effort to incorporate stakeholder feedback and comments into the TCL. In reaching out to such a large number of stakeholders, DHS/SLGCP received a high volume of advice and input from government agencies and national associations. Significant time and effort was devoted to incorporating input and resolving specific issues. Mechanisms for continued input have been established to capitalize on user feedback.

Tiers

In coordination with other Federal departments and agencies, DHS/SLGCP has developed a proposed structure that groups similarly situated entities into Tiers based on risk factors such as total population, population density, and critical infrastructure. The Tiers system is designed to strengthen regional planning, coordination, and resource sharing to prepare for incidents of national significance. Section III of the Target Capabilities List (TCL) provides the results of an initial effort to begin to assign capabilities to levels of government and jurisdictions of varying size and different risk profiles. Additional work is required to better define critical infrastructure and assign capabilities by Tiers. For purposes of this initial version of the TCL, local jurisdictions were grouped into the following broad groups:

- Large jurisdictions – jurisdictions or contiguous jurisdictions with a population of at least 1 million with a large urban core (Total population greater than 50K, with a density of over 1,000 people per sq. mile)
- Medium jurisdictions – jurisdictions or contiguous jurisdictions with fewer than 1 million population with a large urban core
- Small jurisdictions – jurisdictions or contiguous jurisdictions that do not meet the above definitions

Next Steps

DHS/SLGCP will complete a series of additional steps to meet the requirements of HSPD-8 and the Homeland Security Appropriations Act of 2005 (PL 108-334). Implementation is a multi-year endeavor, and will be further detailed in the National Preparedness Goal and National Preparedness Guidance.

Refine the Universal Task List and Target Capabilities List. The UTL and TCL will be enhanced, revised, and strengthened with periodic input from all levels of government, the private sector, and all homeland security disciplines.

Develop UTL/TCL database. DHS/SLGCP will develop a comprehensive and searchable database to host the UTL and TCL. This will enable users to identify tasks and capabilities by function, discipline, level of government, scenario, or other queries.

Conduct training analysis. DHS/SLGCP will conduct a detailed training analysis for the target capabilities to meet the HSPD-8 requirement to “establish and maintain a comprehensive training program to meet the National Preparedness Goal.”

Utilize Working Groups. Both the Federal Working Group and the State, Local, and Tribal Working Group will continue to serve in an advisory role. The ODP Secure Portal and the Lessons Learned and Information Sharing network (www.LLIS.gov) will be periodically used to solicit input on future versions of both the UTL and TCL. Additionally, DHS/SLGCP will conduct outreach and site visits to gain feedback from State and local jurisdictions.

Refine Tiers. DHS/SLGCP will work with stakeholders to finalize the Tier system and apply target levels of capabilities to each Tier. DHS/SLGCP intends to use selective sampling to assess current and required levels of capabilities for jurisdictions with different risk profiles.

Publish the National Preparedness Goal and Guidance. The National Preparedness Goal and Guidance will be released on March 31, 2005. The Goal will describe the capabilities-based planning process in greater detail and provide priorities for national preparedness. The Guidance will explain the responsibilities of Federal, State, local, and tribal entities for achieving the Goal and priorities, and outline how the National Planning Scenarios, UTL, and TCL should be used in the development of preparedness strategies, assessment of capabilities, prioritization of needs, and allocation of resources.

Conclusion

National preparedness is a quest, rather than a guarantee. Over two hundred years ago, Alexander Hamilton wrote in the Federalist Papers that since “no precise bounds could be set to the national exigencies, a power equal to every possible contingency must exist somewhere in the government.” Since the Nation’s founding, responsibility for national preparedness has been a shared mission of all levels of government. The foundation for national preparedness in the 21st Century is a capabilities-based approach to managing risk. The Department of Homeland Security and its Office of State and Local Government Coordination and Preparedness look forward to working with Members of Congress and Federal, State, local, and tribal stakeholders to meet the requirements of HSPD-8 and the Homeland Security Appropriations Act of 2005 to strengthen the preparedness of the United States to prevent and respond to threatened or actual domestic terrorist attacks, major disasters, and other emergencies.

SECTION II: Capability Summaries

The Target Capabilities List (TCL) provides a list and description of the capabilities needed to perform homeland security tasks. Version 1.0 of the TCL focuses on 36 capabilities to perform critical tasks, defined as tasks that must be performed during a major event to prevent occurrence, reduce loss of life or serious injuries, mitigate significant property damage, or are essential to the success of a homeland security mission.

The following is a list of the 36 Target Capabilities that are presented in Version 1.0 of the Target Capabilities List:

1. [All Hazards Planning](#)
2. [Animal Health Emergency Support](#)
3. [Criminal Investigation and Intervention](#)
4. [Critical Infrastructure Protection and Risk Management](#)
5. [Critical Resource Logistics and Distribution](#)
6. [Economic and Community Recovery](#)
7. [Emergency Evacuation](#)
8. [Emergency Operations Center](#)
9. [Emergency Public Education](#)
10. [Emergency Public Information](#)
11. [Emergency Response Communications](#)
12. [Engineering](#)
13. [Environmental Health and Vector Control](#)
14. [Explosive Device Detection and Response Operations](#)
15. [Fatality Management](#)
16. [Firefighting Operations/Support](#)
17. [Food and Agriculture Safety and Security](#)
18. [Hazard and Vulnerability Analysis](#)
19. [Hazardous Materials \(HAZMAT\)](#)
20. [Information Collection and Threat Recognition](#)
21. [Information Sharing and Collaboration](#)
22. [Intelligence Fusion and Analysis](#)
23. [Isolation and Quarantine](#)
24. [Mass Care \(Sheltering, Feeding, and Related Services\)](#)
25. [Mass Prophylaxis and Vaccination](#)
26. [Medical Supplies Management and Distribution](#)
27. [Medical Surge](#)
28. [On-Site Incident Management](#)
29. [Pre-Hospital Triage and Treatment](#)
30. [Public Health Epidemiological Investigation and Laboratory Testing](#)
31. [Public Safety and Security Response](#)
32. [Restoration of Lifelines](#)
33. [Urban Search and Rescue](#)
34. [Volunteer Management and Donations](#)

- 35. [Water Search and Rescue](#)
- 36. [Worker Health and Safety](#)

A summary has been prepared for each of the 36 capabilities that contains a description and desired outcome and the associated critical tasks that could be performed using this capability, measures of the capability and performance of the tasks and the elements required to build the capability.

The capability summaries, found in this section of the TCL are presented using the template and definitions described below.

Capability Summary Template

CAPABILITY DESCRIPTION

The capability description is a statement of the principal action or activity that must be performed. Capabilities are combinations of resources that provide the means to achieve a measurable outcome resulting from performance of one or more tasks, under specified conditions and to national standards.

OUTCOME

Statement of the expected outcome resulting from the performance of one or more critical tasks, under specified conditions and to national standards.

ESF/ANNEX

Maps the capability to the National Response Plan (NRP) Emergency Support Functions (ESFs) and Annexes that are most closely associated with the capability description and outcome statement.

ASSOCIATED CRITICAL TASKS

List the critical tasks that could be performed using this capability. A task is critical if failure to perform the task will result in an increase in the loss of lives or serious injuries, or will jeopardize the ability to accomplish mission-level outcomes associated with the capability.

MEASURES

Capability Measures

Measures designed to assess whether a jurisdiction has the capability in place to perform the critical tasks associated with the capability.

Performance Measures

Measures, both qualitative and quantitative, to assess the demonstration of the capability through the performance of the critical tasks during an event or an exercise.

CAPABILITY ELEMENTS

A capability is comprised of six elements. Any combination of properly planned, organized, equipped, trained, and exercised personnel resources can be utilized to achieve the outcome.

Personnel	Paid and volunteer staff who meet relevant qualification and certification standards necessary to perform assigned missions and tasks.
Planning	Collection and analysis of intelligence and information, and development of policies, plans, procedures, mutual aid agreements, strategies, and other publications that comply with relevant laws, regulations, and guidance necessary to perform assigned missions and tasks.
Organization and Leadership	Individual teams, an overall organized structure, and leadership at each level in the structure that comply with relevant laws, regulations, and guidelines necessary to perform assigned missions and tasks.
Equipment	Major items of equipment, supplies, facilities, and communication systems that comply with relevant equipment standards necessary to perform assigned missions and tasks.
Training	Content and methods of delivery that comply with relevant standards necessary to perform assigned missions and tasks.
Exercises, Evaluations, and Corrective Actions	Exercises, self-assessments, peer-assessments, outside review, compliance monitoring, and actual major events that provide opportunities to demonstrate, evaluate, and improve the combined capability and interoperability of the other elements to perform assigned missions and tasks to standards necessary to achieve successful outcomes.

LINKED CAPABILITIES

Linked capabilities are those capabilities that are directly related to the subject capability and must be in place to perform tasks that feed into the capability, directly follow, or that must be performed concurrently to achieve desired outcome.

EVENT CONDITIONS

The event conditions are the conditions from the National Planning Scenarios that may affect the ability to perform the critical tasks associated with the capability.

REFERENCES

Key Federal and national documents used to develop the Target Capability.

All Hazards Planning

CAPABILITY DESCRIPTION

The capability to develop integrated plans, policies, and procedures to coordinate response and prevention activities across jurisdictions, and to allocate resources to match priorities identified in plans to maximize the effectiveness of prevention and response activities.

OUTCOME

Emergency response plans, policies, and procedures that identify, prioritize, and address all hazards (using the 15 Homeland Security Council scenarios as a planning tool to identify or recognize the roles and responsibilities for each jurisdiction/agency) across all functions. All plans are coordinated at all levels of government and address the mitigation of secondary and cascading emergencies.

ESF/ANNEX

ESF#5: Emergency Management

ASSOCIATED CRITICAL TASKS

IPR 3.2	Support incident response operations
IM 4.1	Develop plans, procedures, and equipment guidelines to support response operations
IM 5.2	Coordinate jurisdictional preparedness programs
IM 5.3	Develop jurisdictional response plans
IM 7.1.1	Develop plan to coordinate non-government support and resources
PCS 2.2	Develop regional and State plans describing how personnel, equipment, and other governmental and nongovernmental resources will support incident management requirements
PCS 2.3	Conduct regional and State training to improve all-hazard incident management capability
PCS 4.6	Provide support for continuity of government planning at regional, State, tribal, local government, and agency level
PCS 5.4.15	Provide regional and State emergency flood protection and/or emergency erosion control (NRP)
NS 2.6	Promote the development of mutual aid agreements among Federal, regional, State, tribal, and local jurisdictions

MEASURES

Capability Measures

Yes/No	All-hazards plans, policies, procedures, and equipment guidelines consistent with NIMS and NRP are in place
Yes/No	All-hazards plans, policies and procedures are in place and consistent with relevant standards and guidelines and reflect inter-agency coordination at all levels of government and private sector
Yes/No	An integrated planning process is used
Yes/No	Continuity of government plans, policies, and procedures have been developed

Yes/No	Planning process incorporates all appropriate forms of intelligence collection and dissemination
Yes/No	Jurisdiction has mutual aid agreements
Yes/No	All hazards plans, policies and procedures are updated
Frequency	Frequency with which all-hazards plans, policies and procedures are updated (at least yearly)
Frequency	Call-down drills to update phone numbers, contact lists, and personnel availability are implemented
Yes/No	Expertise and guidance from each ESF is accessed and utilized to develop and update all-hazards plans
Yes/No	All hazards-plans are exercised

Performance Measures

Yes/No	All-hazards plans are successfully implemented during the emergency in accordance with NIMS
Yes/No	Risk analysis and risk management are implemented for both deliberate and crisis action planning
Yes/No	All-hazards plans, policies and procedures are implemented in an integrated manner
Yes/No	Secondary effects of disasters are minimized
Yes/No	Mutual aid agreements are executed as planned
Yes/No	All appropriate forms of intelligence are integrated into the implementation of the planning process

CAPABILITY ELEMENTS

Personnel

- Personnel knowledgeable in prevention, protection, response, and response recovery
- ESF representatives to help develop and update planning
- Non-governmental organization (NGO) and private sector liaisons

Planning

- Emergency response plans, policies, and procedures that identify, prioritize, and utilize an all-hazards approach across all functions
- National Incident Management System (NIMS) compliance
- National Response Plan (NRP)
- All applicable legislation, regulations, related plans, directives, policies, and industry codes of practice required to conduct emergency response
- Hazard mitigation COOP and COG plans
- All appropriate forms of intelligence (i.e. collected intelligence, intelligence plans, policies, and procedures etc.)
- Chemical inventory reports provided under the Emergency Planning and Community Right-to-Know Act (EPCRA) (to include Biological, Radiological, and Ordinance)

Organization and Leadership

- National Incident Management System (NIMS)
- National Response Plan (NRP)
- Applicable legislation, plans, directives, policies, and procedures

Equipment

- Planning tools
- Adequate space/location

Training

- Coordinated emergency planning (coordinated resources, responsibilities, and duties are understood)
- Routine training on emergency plans
- NIMS

Exercises, Evaluations, and Corrective Actions

- Exercises to test and evaluate plans, policies and procedures
- System for incorporating lessons learned into plans, policies and procedures
- Regular review of phone numbers and contact lists

LINKED CAPABILITIES

- Emergency Operations Center (ESF# 5)
- Hazard and Vulnerability Analysis (ESF# 5)
- Emergency Public Information (ESF# 5, 15, Public Affairs Support Annex)
- Critical Infrastructure Protection and Risk Management (Multiple ESFs, Terrorism Incident Law Enforcement and Investigation Annex, Cyber Annex)
- Information Collection and Threat Recognition (Terrorism Incident Law Enforcement and Investigation Annex)

EVENT CONDITIONS

The primary conditions affecting the performance of this capability are the number of possible hazards that must be addressed in plans and the likelihood of those hazards occurring.

Characteristics of the jurisdiction that affect the planning process are total population, population density, the type and size of special needs populations, the number of diverse cultural and linguistic groups within the jurisdiction, and the locations where such individuals are concentrated. Geographic features, such as the area covered by the plans and the surrounding geographic and topographic environmental conditions, are also factors. Finally, the reliance on negotiated mutual aid agreements and memorandums of understanding, in carrying out all-hazards plans is an important condition.

The magnitude of the emergency—number of casualties, severity of injuries, extent of property damage, level of disruption of normal activity, duration of disruption of normal activity, and extent of physical contamination—also affect the difficulty of the planning task.

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Animal Health Emergency Support

CAPABILITY DESCRIPTION

The capability to identify and eradicate outbreaks of animal diseases by isolating and destroying affected livestock and wildlife. It includes the prompt response to animal related illnesses as a result of threatened or actual domestic terrorist attacks, major disasters, and other emergencies. Additional security measures are taken to protect the Nation's livestock and wildlife infrastructure, as well as human and animal health.

OUTCOME

Eradicate the outbreak, restore trade in agriculture products, maintain confidence in the U.S. food supply, and protect public and animal health.

ESF/ANNEX

ESF#8: Public Health and Medical Services

ESF#11: Agriculture and Natural Resources

ASSOCIATED CRITICAL TASKS

IPR 5.1	Establish incident site command location
IPR 5.1.1	Implement incident command system
IPR 11.10	Provide laboratory and diagnostic support, subject-matter expertise, and technical assistance
IM 5.4.1	Direct and control response operations
IM 11.5	Inspect safety and security of agricultural infrastructure in the affected area
IM 11.6	Coordinate veterinary services
IM 11.11	Coordinate livestock decontamination
IM 11.15	Coordinate disposal of contaminated agricultural products to include animals
IM 11.19	Monitor animal health
PCS 5.12.2.3	Supply Animal and Plant Health Inspection Services (APHIS) assets to augment veterinary services assets in the State where the disease exists, as needed by the Area Veterinarian in Charge (AVIC) at the regional and State level
PCS 5.12.2.4	Activate the regional and State animal emergency response plan for Foot and Mouth Disease and other highly contagious diseases
PCS 5.12.2.5	Stop all interstate movement of susceptible animals, articles, and means of conveyance as needed (
PCS 5.12.2.7	Coordinate regional and State resources and procedures for the response to an outbreak of highly contagious animal disease
PCS 5.14.7	Provide regional and State technical assistance and laboratory support
NS 5.10.2.4	Activate the National Animal Emergency Response Plan for Foot and Mouth Disease and other highly contagious diseases
NS 5.10.2.5	Stop all interstate movement of susceptible animals, articles, and means of conveyance as needed
NS 5.10.2.6	Assist in disease control, quarantine, containment, and eradication

MEASURES

Capability Measures

Yes/No	Foreign animal disease (FAD) plans, policies, and procedures are in place that include protocols for disease identification, isolation strategies, and contingency plans for destroying livestock
Yes/No	Medical field staff and other resources (veterinarians, animal health technicians, disease specialists, and veterinary diagnostic labs) are available
Yes/No	Communication plans are in place (including situation updates, restricted access areas, reporting instructions, etc.)
Number	Laboratories available to analyze animal disease samples
Yes/No	Functional animal positive identification trace-back and trace-forward tracking systems are in place
Yes/No	Plans are consistent with NRP/NIMS
Yes/No	Relevant international agriculture and health officials are identified and contact information is kept current; copies of relevant international standards and regulations are available
Yes/No	Plans are exercised

Performance Measures

Yes/No	Foreign animal disease plans are successfully implemented during the event or exercise
Yes/No	Sufficient medical field staff and other resources (veterinarians, animal health technicians, disease specialists, and veterinary diagnostic labs) were available per incident site
Number	Laboratories utilized to analyze animal disease samples
Time	For laboratory samples to be analyzed
Number	Of animals euthanized and disposed of for disease control
Number	Humans with primary exposure to animal(s) exhibiting clinical signs of disease
Number/Day	Of laboratory samples that were processed by specialized facilities, including national reference laboratories
Time	To determine primary and secondary human exposure to disease
Number/Day	Of potentially affected premises surveyed for trace-out and epidemiology reporting
Number/Day	Rate (number of animals/day or premises/day) at which appraisal, vaccination, euthanasia, and/or disposal are carried out at affected locations
Time	To determine possible secondary exposures (e.g., family members in contact with primary animal exposed)
Yes/No	Comprehensive bio-security guidelines are followed
Yes/No	Plans are successfully implemented in accordance with NRP/NIMS
Yes/No	Risk communication was effective in maintaining public confidence
Yes/No	Sufficient security at processing facilities is implemented

CAPABILITY ELEMENTS

Personnel

- Administrative, finance, and logistics personnel
- Biosecurity personnel

- Euthanasia and disposal personnel
- Laboratory personnel
- Livestock appraisal personnel
- Personnel to assess and address zoonotic and CBRNE issues
- Personnel trained in risk communication
- Personnel with the training to diagnose relevant foreign animal diseases
- Surveillance and epidemiological investigation personnel
- Vaccination personnel
- Veterinarians

Planning

- Foreign animal disease (FAD) emergency response plans in accordance with the NRP and NIMs
- Communications planning on local, state, national and international levels
- Identification of mitigation strategies for continuing trade
- Quarantine authorities and plans for restriction of movement of animals and related products

Organization and Leadership

- National Incident Management System (NIMS)
- National Response Plan (NRP)
- Applicable legislation, plans, directives, policies, and procedures

Equipment

- Emergency Management Reporting System (EMRS)
- Equipment for track-back investigations (e.g., PDA for data entry)
- Euthanasia and disposal (e.g., pharmaceuticals, earth moving equipment) equipment and supplies
- HAZMAT and biosecurity equipment and supplies
- Identification tags for affected animals
- Interoperable communications systems
- Office space and administrative equipment to support local incident command posts
- Personal Protective Equipment (PPE)
- Vaccines and other medical supplies
- Warehouse space for supplies

Training

- Animal mass depopulation and carcass disposal training
- Biosecurity training
- FAD awareness training for private, academic, and public health veterinarians
- FAD awareness training for producers, production facilities, agricultural animal/product transporters, renderers, etc.
- FAD response training for traditional and non-traditional emergency response personnel used in animal disease emergencies
- NIMS/ICS training for traditional and non-traditional emergency response personnel used in animal disease emergencies
- Terrorism awareness training (e.g., animal CBRNE training, CDC category ABC bioterrorism agent list training)
- Threat and vulnerability assessment training

- Trace-back and trace-forward systems training
- Zoonotic awareness training

Exercises, Evaluations, and Corrective Actions

- Exercises to test and evaluate FAD and other related plans
- System for incorporating lessons learned into plans and procedures

LINKED CAPABILITIES

- Critical Resource Logistics and Distribution (ESF# 5, 7)
- Emergency Operations Center (ESF# 5)
- Emergency Public Information (ESF# 5, 15, Public Affairs Support Annex)
- Emergency Response Communications (ESF# 2)
- Environmental Health and Vector Control (ESF# 8, 11)
- Food and Agriculture Safety and Security (ESF# 11)
- Hazard and Vulnerability Analysis (ESF# 5)
- On-site Incident Management (ESF# 5, 13)
- Public Health Epidemiological Investigation and Laboratory Testing (ESF# 8)
- Critical Infrastructure Protection and Risk Management (Multiple ESFs Terrorism Incident Law)
- Enforcement and Investigation Annex, Cyber Annex)
- Worker Health and Safety (ESF# 5, 8, Worker Safety and Health Annex)

EVENT CONDITIONS

The primary conditions affecting the performance of this capability are the number of animal casualties and the types of personnel and supplies needed to eradicate the foreign animal disease. Specific scenario conditions, such as infrastructure damage and environmental contamination, may impact the ability to disseminate these resources.

Federal resources will work under State authorities for specific tasks such as quarantine, animal inspection, depopulation, and disposal. If States are unable or unwilling, Federal agricultural authorities supercede State authorities. A FAD outbreak has international trade consequences, thus extensive integration and coordination at a national level is required.

This capability is applicable to the foreign animal disease scenario in particular, as well as all biological, explosive, chemical, and nuclear/radiological attack scenarios and natural disasters that affect the health of animals and viability of the food supply.

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Criminal Investigation and Intervention

CAPABILITY DESCRIPTION

As it relates to terrorism prevention and deterrence, Criminal Investigation represents the broad range of activities undertaken by law enforcement and related entities to examine, probe and investigate potential terrorist activities.¹ This area uses current and emerging investigative techniques, with a special emphasis on those legal frameworks and related issues special to antiterrorism activities. Intervention activities are those actions, programs, and efforts designed and carried out by law enforcement and/or related homeland security entities to interdict terrorists before they can execute an attack against U.S. citizens, critical infrastructure, and/or allies.

Successful Criminal Investigation & Intervention activities are the culmination of an effective system of CIP & Risk Management, Information Collection & Threat Recognition, Intelligence Fusion & Analysis, and Information Sharing & Collaboration. These activities can terminate or disrupt the terrorist planning cycle or develop leads for further consideration and/or action.

OUTCOME

Successful deterrence, detection, disruption, investigation, and apprehension of suspects involved in criminal activities related to homeland security.

ESF/ANNEX

Terrorism Incident Law Enforcement and Investigation Annex

ASSOCIATED CRITICAL TASKS

IPR 3.2	Support incident response operations
IPR 13.3.4.3	Secure and preserve the incident scene
IPR 13.4	Conduct investigative operations
IM 13.4.5	Coordinate investigation activities

MEASURES

Capability Measures

Number	Of entities conducting collaborative policing approaches to identify and apprehend potential individuals planning and conducting terrorism-related activities.
Yes/No	Processes and procedures are in place to conduct terrorism-related investigative operations and/or link the investigation of crimes to terrorism-related activities.
Yes/No	Processes and procedures are in place to utilize collaborative policing approaches for the investigation and apprehension of terrorist suspects

¹ Investigations of more traditional criminal matters serve as resources for Information Collection & Threat Recognition, in that information produced by those investigations only helps to prevent terrorism if the personnel involved detect a previously unmade connection to terrorist activity. Similarly, some response-based Criminal Investigation duties may lead to future proactive antiterrorism police activities.

Yes/No	Processes and procedures are in place for identifying and reporting suspicious activities and persons related to suspected terrorist activity to appropriate authorities
Yes/No	Processes and procedures are in place for gathering, cataloging, and preserving evidence, including laboratory analysis
Yes/No	Processes and procedures are in place for collaborating with legal counsel for prosecution
Yes/No	Processes and procedures are in place for securing and preserving the incident scene
Yes/No	Processes and procedures are utilized in training and exercises
Ratio	Number of trained investigative personnel onboard vs. number of trained investigative personnel required
Ratio	Number of task force officers with appropriate clearances vs. number of task force officers with appropriate clearances required
Yes/No	Incorporate current intelligence from Federal, State, tribal, local, private sector, and non-traditional sources into investigation plans

Performance Measures

Yes/No	Legal investigative jurisdiction was established upon investigation unit arrival at incident
Yes/No	Identified, interviewed, and investigated victims, witnesses, informants, and suspects.
Time	To apprehend suspects
Time	To complete forensics and laboratory investigations
Time	To secure and preserve the incident scene
Time	To complete scene investigation
Yes/No	Initial assessment of incident scene was conducted
Yes/No	Investigative access was available to all-source intelligence/information from Federal, State, tribal, local, private sector, and other non-Federal entities
Yes/No	Evidence was documented and preserved
Yes/No	Suspects, witnesses, bystanders, victims/friends/family, and other first responders were secured and separated

CAPABILITY ELEMENTS

Personnel

- Investigative personnel
- Task force officers
- Evidence collection personnel
- Forensic analysis personnel

Planning

- National Response Plan (NRP)
- National Incident Management System (NIMS)
- Crime scene investigation and evidence handling procedures

Organization and Leadership

- National Incident Management System (NIMS)

- National Response Plan (NRP)
- Applicable legislation, plans, directives, policies, and procedures

Equipment

- Evidence preservation equipment
- Forensic equipment and facilities
- Laboratory equipment
- Interoperable communications equipment
- Interview and surveillance equipment
- Personal protective equipment (PPE)

Training

- Multi-level investigation operations
- Intelligence/crime analyses
- Interoperable equipment
- PPE
- Forensics
- NIMS

Exercises, Evaluations, and Corrective Actions

- System for incorporating lessons learned into plans and procedures
- Exercises that incorporate a criminal investigation component

LINKED CAPABILITIES

- Emergency Operations Center (ESF# 5)
- Emergency Response Communications (ESF# 2)
- Information Collection and Threat Recognition (Terrorism Incident Law Enforcement and Investigation Annex)
- Information Sharing and Collaboration (Multiple ESFs, Terrorism Incident Law Enforcement and Investigation Annex, Cyber Incident Annex)
- Intelligence Fusion and Analysis (Terrorism Incident Law Enforcement and Investigation Incident Annex)
- Public Health Epidemiological Investigation and Laboratory Testing (ESF# 8)
- Critical Infrastructure Protection and Risk Management (Multiple ESFs, Terrorism Incident Law Enforcement and Investigation Annex, Cyber Annex)

EVENT CONDITIONS

The primary condition affecting the performance of this capability is the degree to which collaboration and information sharing between response organizations and the law enforcement community occurs. The performance of the capability also depends on the special expertise required by the nature of the event, location of the attack, contamination of evidence, and the characteristics of terrorist organization, (including its size, any special linguistic or cultural knowledge required to evaluate the criminal context, etc).

This capability applies to all scenarios involving terrorist attacks. In each of these scenarios, some of the terrorists are living in or visiting the community that is attacked. As a result, effective community policing could play a role in disrupting the attack.

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Critical Infrastructure Protection and Risk Management

CAPABILITY DESCRIPTION

Critical Infrastructure Protection involves public and private entities preparing and protecting those systems and assets, whether physical or virtual, so vital to the U.S. that the incapacity or destruction of such systems and assets would have a debilitating impact across infrastructure sectors.

Risk Management reflects the decision-making process inherent in determining which critical infrastructure assets to secure, the assessment methods and resources used to address the security, and the cost-benefit calculus associated with those decisions. Risk Management activities increase the security of potential targets to terrorist attacks via the conduct of threat vulnerability assessment(s) and subsequent risk mitigation and antiterrorism measures. This analysis helps prioritize the use of limited resources to implement new security measures, conduct training or exercises, purchase necessary equipment, or take other appropriate actions.

Effective CIP & Risk Management utilizes the products of, and provides a basis for, Information Collection & Threat Recognition and Intelligence Fusion & Analysis, obtained through Information Sharing & Collaboration, to establish a basis for identifying and securing potential targets, as well as refining risk assessment methodologies. The CIP & Risk Management process is cyclical in nature, and must be continually reevaluated and updated as threats evolve and new measures are implemented.

OUTCOME

At-risk targets are identified and vulnerability assessments are conducted, documented, and standardized. Performance-based risk management techniques are utilized to reduce the threat to, and vulnerability of, high-risk targets.

ESF/ANNEX

ESF #1: Transportation
ESF #2: Communications
ESF #3: Public Works and Engineering
ESF #4: Firefighting
ESF #5: Emergency Management
ESF #8: Public Health and Medical Services
ESF #10: Oil and Hazardous Materials Response
ESF #11: Agriculture and Natural Resources
ESF #12: Energy
ESF #13: Public Safety and Security
ESF #14: Long-term Recovery and Mitigation
Terrorism Incident Law Enforcement and Investigation Annex
Cyber Incident Annex

ASSOCIATED CRITICAL TASKS

IPR 13.3.4.5 Secure critical infrastructure sites

IM 3.2.1	Adopt and enforce model building codes/standards that address safety, structural integrity, and physical security
IM 3.2.1.1	Maintain plans and records of critical infrastructure, high profile buildings in secure environment
IM 3.2.2	Develop and implement damage assessment program for both public and privately owned buildings
IM 3.2.3	Identify and train personnel to assess damage and develop and implement uniform procedures for evaluating the safety of damaged buildings, including the risk of both the structure and the utility systems inside and outside the building
IM 3.2.5	Develop procedures for making damaged buildings safe for temporary emergency use
IM 5.4.1.10	Implement buffer zone protection plan
PCS 4.6	Provide support for continuity of government planning at regional, State, tribal, local government, and agency level
NS 2.1	Provide for the protection of national infrastructure
NS 2.1.1	Identify sector-specific critical infrastructure and interdependencies
NS 2.1.2	Assess sector-specific infrastructure related vulnerabilities
NS 2.1.3	Identify potential infrastructure protection incentives
NS 2.1.5	Develop national plan(s) for securing key resources and critical infrastructure and include alternate sites
NS 2.1.6	Promote the development of government/industry sector organizations within critical infrastructure sectors
NS 2.1.7	Develop standardized guidelines for physical security programs for government and private sector office buildings, laboratories, and other facilities
NS 3.2	Implement preventive measures such as inspections (including building inspections), surveillance, security, counterintelligence, and infrastructure protection
NS 3.4	Provide engineering and structural measure guidelines (HVAC, plumbing, electrical, mechanical, and structural measures) to reduce or eliminate hazards
NS 5.13.5	Identify and provide protection support for critical economic infrastructure and key assets

MEASURES

Capability Measures

Yes/No	Critical infrastructure identification plan (CIIP) is in place
Yes/No	Critical infrastructure identification plan is regularly reviewed and output validated
Frequency	Frequency with which CIIP is reviewed
Percent	Infrastructure location designed/refitted to current security best practice
Yes/No	Plan and system is in place to support enhanced security at high threat periods
Time	Duration that protective measures can be sustained (days)
Yes/No	Plan and system is in place to support collaboration and sharing of timely indications and warnings and relevant terrorism related information
Yes/No	Standardized criteria has been developed for identification, prioritization, and protection of identified critical infrastructures and key assets
Yes/No	Standardized criteria have been adopted for conduct of threat, vulnerability, and risk assessment for identified critical infrastructures and key assets
Yes/No	Performance-based protective measures are implemented according to appropriate standards
Yes/No	Entities responsible for critical infrastructure protection receive necessary intelligence

	information (i.e. threats and warnings) prior to the formulation of effective protection measures
Yes/No	Threat analyses and critical site surveys are conducted to identify those sites and facilities where threat recognition actions should be concentrated
Frequency	Of review and validation of critical infrastructure identification plan and continuity of operations plans

Performance Measures

Yes/No	Appropriate analytic "risk management" model was used to assess risk or vulnerability and identify probable treatment methods to reduce risk
Yes/No	Innovative, visible, or advertised surveillance was employed at vulnerable or key sites to increase the probability of recognition and capture
Yes/No	Continuity of operations plans and protective measures were effectively implemented for all identified critical infrastructures and key assets
Time	From recognition of potential threat elements (PTEs) to the identification and/or implementation of appropriate prevention and mitigation strategies
Time	To implement enhanced security measures, retrofit and/or add construction upgrade based on identified vulnerabilities (concurrent with varying threat levels)
Time	To update threat, vulnerability, and risk analysis after receipt of updated threat information
Time	To disseminate results of TVRA (threat, vulnerability, and risk assessment) to appropriate authorities
Time	To recognize critical infrastructure interdependencies
Time	Duration that newly implemented/current protective measures can be sustained

CAPABILITY ELEMENTS

Personnel

- Intelligence analysts to support Information Sharing and Analysis Center (ISAC)
- Multi-disciplinary threat, vulnerability, and risk assessment personnel (includes subject-matter-experts to assist in the development of criteria for defining critical infrastructure)
- Building regulatory, construction, and security staff (i.e. architects, engineers, contractors, physical security)
- Facility owners/managers
- Infrastructure Subject Matter Experts

Planning

- HSPD-7
- National Strategy for the Physical Protection of Critical Infrastructures and Key Assets
- FEMA Hazard Mitigation Plans

Organization and Leadership

- National Incident Management System (NIMS)
- National Response Plan (NRP)
- Applicable legislation, plans, directives, policies, and procedures

Equipment

- Secure information sharing network/Access to secure information-sharing network

- Computer hardware and software security systems (including cyber security enhancement equipment i.e. geographic information systems)
- Internal and external security systems (i.e. alarm systems, personnel identification systems, surveillance and detection equipment, intrusion detection systems)

Training

- Threat analysis and critical site surveys
- The provision of technical assistance (developing, adopting, and enforcing fire codes, building codes, and land use ordinances to protect critical infrastructures)
- Security (monitor systems, recognize discrepancies, and vector security)
- Response protocols, recognition of cues of terrorism, and identification of impending natural disaster/weather related emergencies

Exercises, Evaluations, and Corrective actions

- Exercises that include critical infrastructure components
- System for incorporating lessons learned into plans and procedures

LINKED CAPABILITIES

- All Hazards Planning (ESF# 5)
- Criminal Investigation and Intervention (Terrorism Incident Law Enforcement and Investigation Annex)
- Engineering (ESF# 3)
- Information Collection and Threat Recognition (Terrorism Incident Law Enforcement and Investigation Annex)
- Information Sharing and Collaboration (Multiple ESFs, Terrorism Incident Law Enforcement and Investigation Annex, Cyber Incident Annex)
- Intelligence Fusion and Analysis (Terrorism Incident Law Enforcement and Investigation Incident Annex)

EVENT CONDITIONS

The primary conditions affecting the performance of the capability are: development of Information Sharing and Analysis Centers to support the identification and implementation of protection measures for critical infrastructure sectors; and the types of infrastructure, key assets, and major national events likely to be targeted by terrorists. This capability applies to all terrorist (attack) scenarios.

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Critical Resource Logistics and Distribution

CAPABILITY DESCRIPTION

The capability to identify, dispatch, mobilize and demobilize available resources throughout all emergency management phases of an incident.

OUTCOME

Critical supplies, equipment, and personnel are available to incident managers and emergency responders upon request and are demobilized and inventoried in a timely manner.

ESF/ANNEX

ESF#5: Emergency Management

ESF#7: Resource Support

ASSOCIATED CRITICAL TASKS

IPR 3.2	Support incident response operations
IPR 7.1	Provide logistics support
IPR 7.1.1	Prioritize the use of supplies
IPR 7.2	Provide resource support
IPR 12.4	Provide and coordinate the use of emergency power and generation services at critical facilities
IM 7.2	Provide logistics management
IM 7.2.4.1	Coordinate distribution of stockpile assets
PCS 5.8	Coordinate logistics management (resource) support for incidents of national, regional, and State significance
PCS 5.8.10.2	Determine the availability of and provide supplies stocked in regional and State distribution facilities, national stockpiles, and customer supply centers (NRP)
PCS 6.1	Establish regional, State, tribal and local systems for describing, inventorying, requesting, and tracking resources
NS 5.6.5.2	Determine the availability of and provide supplies stocked in distribution facilities, national stockpiles, and customer supply centers (NRP)
NS 6.1	Establish systems for describing, inventorying, requesting, and tracking resources

MEASURES

Capability Measures

Yes/No	Resource and logistics plans, policies and procedures incorporate management structure in accordance with NIMS and NRP
Yes/No	Plans specify critical resources required to execute all-hazard emergency response
Yes/No	Plans include refueling and maintenance services
Yes/No	Contingent stockpiles of critical equipment are in place
Type/Amount	Of stockpiled resources available to meet response requirements

Time	Required to mobilize/demobilize stockpiles
Yes/No	Contingent contracts of critical equipment are in place
Type/Amount	Of contracted resources available to meet response requirements
Time	Required to mobilize/demobilize contracts for supplies

Performance Measures

Yes/No	Resource and logistics plans, policies and procedures were successfully implemented in accordance with NIMS and NRP
Yes/No	Resource and logistics plans are exercised
Percent	Proportion of resource requests met
Percent	Proportion of resource requests filled accurately
Time	To process requests for funding
Time	Between requests for resources and delivery of resources
Volume/Day	Warehouse requested and delivered capacity
Yes/No	Refueling and maintenance services were successfully provided
Type/Amount	Of stockpiled resources utilized to meet response requirements
Type/Amount	Of contracted resources utilized to meet response requirements

CAPABILITY ELEMENTS

Personnel

- Equipment maintenance personnel
- Resource distribution personnel
- Resource inventory management and tracking personnel
- Administrative approval and procurement personnel
- Security personnel

Planning

- Mutual aid agreements (MAAs) and memorandums of understanding (MOUs) for critical resource logistics and distribution
- National Incident Management System (NIMS)
- National Response Plan (NRP)
- Emergency plans to address critical resource and resource distribution

Organization and Leadership

- National Incident Management System (NIMS)
- National Response Plan (NRP)
- Applicable legislation, plans, directives, policies, and procedures

Equipment

- Interoperable communications equipment
- Fueling and maintenance facilities/equipment
- Management information systems (MIS)- geographical information systems (GIS), resource tracking systems, transportation tracking systems, inventory management systems, and reporting systems)
- Security systems for stockpiles

- Storage facilities and provision for staging areas

Training

- Resource and logistics training- MIS training, emergency contracting and procurement procedures
- NIMS
- Interoperable communications

Exercises, Evaluations, and Corrective Actions

- Exercises to test and evaluate resource logistics plans
- Exercise interoperable communications
- System to incorporate lessons learned into plans and procedures
- Emergency planning
- Command Post and Emergency Operations Center exercises

LINKED CAPABILITIES

- Emergency Operations Center (ESF# 5)
- Engineering (ESF# 3)
- Medical Supplies Management and Distribution (ESF# 8)
- Public Safety and Security Response (ESF# 13)
- Restoration of Lifelines (ESF# 3)
- Volunteer Management and Donations (Volunteer and Donations Management Support Annex)

EVENT CONDITIONS

The primary conditions affecting the performance of this capability are the damage to transportation systems, roadways and communications infrastructure, any hazardous local conditions that may affect resource support and the need for/number of evacuations/displacements, and quarantine requirements. This capability is applicable to all scenarios.

REFERENCES

1. Homeland Security Presidential Directive/HSPD-8, "National Preparedness". December 2003. <http://www.whitehouse.gov/news/releases/2003/12/20031217-6.html>
2. National Response Plan (NRP). Department of Homeland Security. December 2004.
3. National Incident Management System (NIMS). Department of Homeland Security. March 2004. <http://www.dhs.gov/interweb/assetlibrary/NIMS-90-web.pdf>
4. Homeland Security Exercise and Evaluation Program Homeland Security Exercise and Evaluation Program (HSEEP), Volume II: Exercise Evaluation and Improvement. Office for Domestic Preparedness, Department of Homeland Security. October 2003. <http://www.ojp.us>
5. Homeland Security, Information Sharing Responsibilities, Challenges, and Key Management Issues, GAO-03-1165T. General Accounting Office. September 2003. <http://www.gao.gov/new.items/d031165t.pdf>
6. National Mutual Aid and Resource Management Initiative, Resource Typing Definitions- I. Federal Emergency Management Agency. January 2004. http://www.fema.gov/pdf/preparedness/initial_60_rtd.pdf

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Economic and Community Recovery

CAPABILITY DESCRIPTION

The capability to implement short-term and long-term recovery processes after an incident. This will include identifying the extent of damage caused by an incident, through post-event assessments, and by determining and providing the support needed for recovery and restoration activities. All recovery activities will be coordinated across government agencies.

OUTCOME

Recovery and relief plans are implemented and coordinated with the nonprofit sector and nongovernmental relief organizations and with all levels of government. Economic impact is estimated. Priorities are set for recovery activities. Business disruption is minimized. Individuals and families are provided with appropriate levels and types of relief with minimal delay.

ESF/ANNEX

ESF#6: Mass Care, Housing, and Human Services

ESF#14: Long-Term Community Recovery and Mitigation

ASSOCIATED CRITICAL TASKS

IM 6.4.1	Establish public assistance and claims centers
NS 5.3.8	Implement and manage FEMA public assistance program
NS 5.13	Provide economic stabilization, community recovery, mitigation support, and financial restitution to health care delivery system
NS 5.13.1	Assess economic consequences at the national, regional, State, local, and Tribal level and determine justification of Federal involvement in long-term economic recovery efforts
NS 5.13.4	Conduct post-event planning and operations

MEASURES

Capability Measures

Yes/No	Jurisdiction has short and long-term recovery plans
Number	Trained personnel, by type, to assist in implementing the plans to aid communities and businesses in stabilization and recovery
Yes/No	Recovery plans have been exercised

Performance Measures

Yes/No	Recovery plans and procedures were effectively implemented
Yes/No	Jurisdiction managed claims
Yes/No	Jurisdiction estimated economic impact
Yes/No	Jurisdiction coordinated with the nonprofit sector and nongovernmental relief organizations
Yes/No	Jurisdiction coordinated with other levels of government
Yes/No	Jurisdiction conducted a needs assessment

Time	To conduct a needs assessment
Yes/No	Jurisdiction prioritized response and recovery activities
Yes/No	The systems utilized to manage claims were adequate
Yes/No	Jurisdiction's efforts to coordinate with the nonprofit sector and nongovernmental relief organizations were adequate
Yes/No	Jurisdiction's efforts to coordinate with other levels of government was adequate
Yes/No	Jurisdiction was able to identify unmet needs

CAPABILITY ELEMENTS

Personnel

- Representation from organizations implementing recovery and relief programs
- Planners
- Social workers
- Legal counsel
- Financial professionals to conduct cost estimating, economic analysis, and accounting
- Contracting and procurement professionals
- Caseworkers for individuals, families, and businesses

Planning

- Response and recovery plans
- National Response Plan
- National Incident Management System

Organization and Leadership

- National Incident Management System
- National Response Plan
- Applicable legislation, plans, directives, policies, and procedures

Equipment

- Facilities to manage and process claims
- Computers and software to support case management

Training

- National Incident Management System
- Recovery and mitigation activities

Exercises, Evaluations, and Corrective Actions

- System for incorporating lessons learned into plans and procedures
- Exercise recovery plans

LINKED CAPABILITIES

- Critical Resource Logistics and Distribution (ESF# 5, 7)
- Emergency Operations Center (ESF# 5)
- Mass Care (ESF# 6)

- Restoration of Lifelines (ESF# 3)
- Volunteer Management and Donations (Volunteer and Donations Management Support Annex)

EVENT CONDITIONS

Many conditions can impact post event assessment and support operations. These include the extent of damage caused by the incident, the number of people impacted by the event and in need of assistance, and the availability of resources whether from the surrounding area or through mutual aid agreements and memorandums of understanding. The need for State and/or Federal Assistance is a key condition that will identify the extent to which post-event support will be provided.

REFERENCES

1. Homeland Security Presidential Directive/HSPD-8, "National Preparedness". December 2003.
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2. National Response Plan (NRP). Department of Homeland Security. December 2004.
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<http://www.dhs.gov/interweb/assetlibrary/NIMS-90-web.pdf>
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5. NFPA 1600- Standard on Disaster/Emergency Management and Business Continuity Programs. National Fire Protection Association. 2004 Edition. <http://www.nfpa.org/PDF/nfpa1600.pdf?src=nfpa>
6. Emergency Management Accreditation Program (EMAP) Standards. September 2003.
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Emergency Evacuation

CAPABILITY DESCRIPTION

The capability to successfully plan for and execute an organized movement, and relocation of the at-risk population from potentially dangerous environments to safer areas.

OUTCOME

Affected and at-risk members of the population are successfully relocated to safer areas.

ESF/ANNEX

ESF#1: Transportation

ESF #5: Emergency Management

ESF #6: Mass Care

ESF #14: Public Safety

ESF #15: External Affairs

ASSOCIATED CRITICAL TASKS

IPR 1.1	Activate approved traffic control plan
IPR 1.1.5	Identify emergency evacuation routes to avoid contaminated area and downwind plume
IPR 13.3.2	Conduct traffic control
IPR 15.2.4	Provide evacuation information to the affected public
IM 1.1	Develop transportation management incident response plan
IM 1.1.1	Identify potential transportation targets
IM 1.2	Coordinate transportation response
IM 6.1	Assess need for emergency sheltering and feeding activities
IM 6.1.2.1	Conduct building inspections in advance to identify the stability of structures identified as mass housing, shelters and care facilities
IM 10.3.2.1	Identify populations and locations at risk

MEASURES

Capability Measures

Number	People who can be successfully evacuated, commensurate with the type of event and size of the at risk population
Yes/No	Emergency operations plans, policies, and procedures are in place that address evacuation of general and special needs populations
Yes/No	Evacuation plans are developed in coordination with surrounding jurisdictions
Yes/No	A traffic and transportation plan has been developed
Yes/No	Traffic and transportation plans are exercised

Performance Measures

Time	Public was notified of evacuation procedures, routes, locations or sources of evacuation information throughout the scope of the incident
Time	To evacuate the affected general population
Time	To evacuate special needs populations
Yes/No	Traffic and transportation plan was effectively implemented
Yes/No	Affected general population was successfully evacuated
Yes/No	Special needs populations were successfully evacuated and needs were met
Yes/No	Evacuation plans and procedures were successfully implemented in accordance with NIMS and NRP
Yes/No	Coordination with surrounding jurisdictions was implemented to ensure adequate locations and facilities for receiving evacuees

CAPABILITY ELEMENTS

Personnel

- Chief Executive Official
- Public Information Officer
- Evacuation coordinator
- Crowd control personnel
- Traffic control personnel
- Assistance personnel for special needs populations (e.g., nursing home residents, minors, non-ambulatory persons)

Planning

- Temporary shelter/housing and infrastructure identification
- Communications plan
- Emergency Operations Plan (EOP) consistent with National Response Plan (NRP), National Incident Management System (NIMS), and applicable laws and regulations
- Evacuation plans at all levels of government
- Mutual aid agreements and memoranda of understanding (MAAs/MOUs)
- Emergency medical services planning for affected population and responders

Organization and Leadership

- National Incident Management System (NIMS)
- National Response Plan (NRP)
- Applicable legislation, plans, directives, policies, and procedures

Equipment

- Interoperable communications systems
- Emergency management services-transportation and medical equipment
- Mass transportation
- Multi-media resources to provide public notification of evacuation procedures and guidelines and notify residents of timing for evacuation (i.e. reverse 911 systems)

Training

- Database (querying; updating)
- Standard communications protocol/terminology/formats
- Emergency evacuation standard operations planning and mutual aid agreement orientation
- Interoperable communications

Exercises, Evaluations, and Corrective Actions

- System for incorporating lessons learned into plans and procedures
- Exercises to evaluate emergency evacuation procedures and locations

LINKED CAPABILITIES

- Emergency Operations Center (ESF# 5)
- Mass Care (ESF# 6)

EVENT CONDITIONS

The primary conditions affecting the performance of the capability are the magnitude, intensity, and speed of onset/duration of the event; number of displaced/evacuated persons, time available in which to effect the evacuation; time and distance of travel necessary to ensure safety; and the condition or availability of evacuation routes. The capability is applicable to all scenarios.

REFERENCES

1. Homeland Security Presidential Directive/HSPD-8, "National Preparedness". December 2003. <http://www.whitehouse.gov/news/releases/2003/12/20031217-6.html>
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5. Homeland Security Exercise and Evaluation Program (HSEEP), Volume II: Exercise Evaluation and Improvement. Office for Domestic Preparedness, Department of Homeland Security. October 2003. <http://www.ojp.usdoj.gov/odp/docs/HSEEPv2.pdf>
6. National Mutual Aid and Resource Management Initiative, Resource Typing Definitions- I. Federal Emergency Management Agency. January 2004. http://www.fema.gov/pdf/preparedness/initial_60_rtd.pdf
7. Guide for All-Hazard Emergency Operations Planning: State and Local Guide 101. Federal Emergency Management Agency. April 2001. <http://www.fema.gov/pdf/rrr/slg101.pdf>
8. Emergency Management Accreditation Program (EMAP) Standards. September 2003. <http://www.emaponline.org/index.cfm>
9. National Strategy for Homeland Security. Office of Homeland Security. July 2002. http://www.dhs.gov/interweb/assetlibrary/nat_strat_hls.pdf
10. NFPA 1600- Standard on Disaster/Emergency Management and Business Continuity Programs. National Fire Protection Association. 2004. <http://www.nfpa.org/PDF/nfpa1600.pdf?src=nfpa>

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Emergency Operations Center

CAPABILITY DESCRIPTION

The capability to provide multi-agency coordination (MAC) for incident management through the activation and operation of the emergency operations center (EOC).

OUTCOME

To activate and appropriately staff the emergency operations center in a timely manner after the incident has occurred and to coordinate the activation of jurisdiction-wide incident response direction and control to include the functions of interagency communications, resource management activities, mutual aid agreements, economic stabilization, and long-term recovery.

ESF/ANNEX

ESF#5: Emergency Management

ASSOCIATED CRITICAL TASKS

IM 5.2.5	Coordinate Legal and Regulatory Issues
IM 5.4	Coordinate jurisdictional emergency management operations
IM 5.4.1.2	Activate emergency operations facilities
IM 7.2.4	Identify and Coordinate Deployment and Secure Storage of Assets, Units, and Resources
IM 7.2.5	Activate Mutual Aid Agreements
IM 7.3	Provide Resource Management
NS 4.2.4	Issue Direction to All Support Organizations to Participate in Operation Center/Team

MEASURES

Capability Measures

Yes/No	EOC plans are consistent with NRP, NIMS, and appropriate State, local, and tribal plans
Yes/No	EOC meets NIMS incident command structure requirements to perform core functions: coordination, communications, resource dispatch and tracking, and information collection, analysis, and dissemination
Yes/No	All EOC-related communications systems are interoperable with surrounding jurisdictions
Yes/No	Mutual Aid Agreements (MAAs) and Memorandums of Understanding (MOUs) have been established
Yes/No	Trained personnel are available to cover appropriate ESF functions
Yes/No	Jurisdiction has identified alternate EOC site in case first site is damaged/destroyed in the event and is not capable of operating
Yes/No	Alternate EOC or alternate EOC capability has appropriate Continuity of Operations Plan (COOP) and Continuity of Government Plan (COG)
Yes/No	EOC plans integrate Joint Field Office, if necessary

Yes/No	Jurisdiction has an operations plan for the EOC
Yes/No	Jurisdiction has the ability to coordinate with other EOCs – local, State, or regional
Yes/No	EOC plans are exercised

Performance Measures

Time	To develop operations plan
Time	To request needed Federal and State resources.
Time	To partially and fully activate EOC
Time	To partially and fully activate a backup contingency EOC
Time	To disseminate and receive notifications
Yes/No	Applicable mutual aid agreements utilized
Yes/No	Successfully implemented plans and procedures that incorporate a management structure in accordance with NIMS and NRP

CAPABILITY ELEMENTS

Personnel

- Designated planning personnel to coordinate and control planning
- EOC personnel, capable of performing the following functions: Operations, planning, logistics, finance, and management support
- ESF Liaisons

Planning

- Mutual aid agreements (MAAs) and Memorandums of Understanding (MOUs)
- Financial and administrative procedures
- Local, State, tribal and Federal response plans
- National Incident Management System (NIMS)
- National Response Plan (NRP)
- All applicable legislation, regulations, directives, policies, and industry codes of practice required to perform emergency operations center activities

Organization and Leadership

- National Incident Management System (NIMS)
- National Response Plan (NRP)
- Applicable legislation, plans, directives, policies, and procedures

Equipment

- Emergency Operations Center and associated equipment, to include alternate EOC location selection and required equipment
- Information sharing network incident management platform
- Interoperable communications equipment

Training

- EOC plans and procedures
- Interoperable communications

- EOC software
- COOP/COG
- EOP
- NIMS
- Recovery

Exercises, Evaluations, and Corrective Actions

- Command post exercises
- System for incorporating lessons learned into plans and procedures

LINKED CAPABILITIES

- All Hazards Planning (ESF# 5)
- Critical Resource Logistics and Distribution (ESF# 5, 7)
- Economic and Community Recovery (ESF# 6, 14)
- Emergency Evacuation (ESF# 1)
- Emergency Public Information (ESF# 5, 15, Public Affairs Support Annex)
- Emergency Response Communications (ESF# 2)
- Firefighting Operations/Support (ESF #4)
- Hazardous Materials (HAZMAT) (ESF #10)
- Medical Supplies Management and Distribution (ESF# 8)
- On-site Incident Management (ESF# 5, 13)
- Public Safety and Security Response (ESF# 13)
- Restoration of Lifelines (ESF# 3)
- Urban Search and Rescue (EFS #9)
- Volunteer Management and Donations (Volunteer and Donations Management Support Annex)

EVENT CONDITIONS

The primary conditions affecting the performance of the capability are the geographic scope of the event, number of incident sites, expected duration of the incident, and the severity of damage (impact on the ability of the EOC to operate efficiently, match between plans and resources and event requirements).

This capability is applicable to all scenarios in which the incident is a large-scale event requiring the establishment of a command center away from the incident site.

REFERENCES

1. Homeland Security Presidential Directive/HSPD-8, "National Preparedness". December 2003.
<http://www.whitehouse.gov/news/releases/2003/12/20031217-6.html>
2. National Response Plan (NRP). Department of Homeland Security. December 2004.
3. National Incident Management System (NIMS). Department of Homeland Security. March 2004.
<http://www.dhs.gov/interweb/assetlibrary/NIMS-90-web.pdf>
4. Homeland Security Exercise and Evaluation Program (HSEEP), Volume II: Exercise Evaluation and Improvement. Office for Domestic Preparedness, Department of Homeland Security. October 2003.
<http://www.ojp.usdoj.gov/odp/docs/HSEEPv2.pdf>

5. Statement of Requirements for Public Safety Wireless Communications & Interoperability, The SAFECOM Program, Version 1.0. Department of Homeland Security. March 2004.
http://www.safecomprogram.gov/files/PSCI_Statement_of_Requirements_v1_0.pdf
6. Incident Communications Emergency Plan, ICEP-2004. Department of Homeland Security. 2004.
7. NFPA 1600- Standard on Disaster/Emergency Management and Business Continuity Programs. National Fire Protection Association. 2004. <http://www.nfpa.org/PDF/nfpa1600.pdf?src=nfpa>
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Emergency Public Education

CAPABILITY DESCRIPTION

The capability to develop and implement a public education program that provides consistent and coordinated threat-related information to the public prior to an emergency.

OUTCOME

Respective to their environment, the public is educated about threats to their safety and property, what preparedness measures they should take, and what to do in an emergency situation.

ESF/ANNEX

ESF#5: Emergency Management

ESF#15: External Affairs

Public Affairs Support Annex

ASSOCIATED CRITICAL TASKS

IPR 15.2.6	Establish procedures to provide building owners and occupants information on the extent of damage to their building, procedures for entering and removing personal possessions from damaged buildings, standards for repairing the damage to their buildings and standards for re-occupancy or demolition of structure
IPR 15.7	Distribute public education materials identifying hazards and threats
IM 15.2.6	Educate private industry about structural hardening and business continuity
IM 15.5.2	Encourage development of disaster plans and kits

MEASURES

Capability Measures

Number	Agreements with media modes (e.g., radio, television, print media, etc.) for disseminating public information, including during peak times
Yes/No	Public education programs exist for all likely hazards and threats, and implementation is led by the appropriate agency-level, in coordination with associated agencies
Yes/No	Plans and procedures are exercised
Yes/No	Distribution of public education materials is coordinated with community leaders, media partners, etc.
Number	Programs targeting special populations (e.g., non-English speakers, deaf, shut-ins, etc.)
Percent	Of population implementing recommended preparedness measures

Performance Measures

Number	Of public service announcements that were broadcasted or distributed by media modes (e.g. radio, television, print media, etc.)
Percent	Of at-risk population that received the materials

Percent	Of households that followed the recommendations in the public information materials
Time	Of day that public service announcements were aired
Yes/No	Public education plans, policies and procedures to promote awareness, and preparedness of the community were implemented
Yes/No	Public education materials (i.e., pamphlets, brochures, etc.) were distributed to the public
Yes/No	Multiple channels or information modes were used for dissemination of information
Yes/No	Information was available in suitable format for special needs population

CAPABILITY ELEMENTS

Personnel

- Message coordination and material development personnel (including representation from relevant agencies and private partners)
- Specialists in psychology and/or risk communication
- Health specialists (for delivery of health messages)

Planning

- National Response Plan (NRP)
- National Incident Management System (NIMS)
- Education strategy

Organization and Leadership

- National Incident Management System (NIMS)
- National Response Plan (NRP)
- Applicable legislation, plans, directives, policies, and procedures

Equipment

- Computer hardware and software (e.g., web support)

Training

- Data evaluation
- Cultural competency
- Risk perception/risk communication

Exercises, Evaluations, and Corrective Actions

- System for making program adjustments according to evaluation results
- Focus group evaluation of material effectiveness

LINKED CAPABILITIES

- All Hazards Planning (ESF# 5)
- Information Collection and Threat Recognition (Terrorism Incident Law Enforcement and Investigation Annex)
- Emergency Evacuation (ESF# 1)

- Emergency Operations Center (ESF# 5)
- Emergency Response Communications (ESF# 2)
- Emergency Public Information (ESF# 5, 15, Public Affairs Support Annex)
- Hazard and Vulnerability Analysis (ESF# 5)
- Isolation and Quarantine (ESF# 8)
- Mass Care (ESF# 6)
- Mass Prophylaxis and Vaccination (ESF# 8)
- Public Safety and Security Response (ESF# 13)
- Volunteer Management and Donations (Volunteer and Donations Management Support Annex)

EVENT CONDITIONS

The primary conditions affecting the performance of this capability are the availability and content of public education plans, policies, and procedures; clarity of roles and responsibilities of the public information function in terms of awareness, prevention, and preparedness; and the extent to which the roles and responsibilities have been defined, agreed upon by, assigned to, and understood by all necessary agencies.

All of the scenarios will require a multi-jurisdictional/multi-agency response that will require implementation of the joint information system and a joint information center (JIC) to ensure that public information activities are consistent and coordinated across agencies and jurisdictions. All scenarios require that jurisdictions provide timely and accurate public information.

REFERENCES

1. Homeland Security Presidential Directive/HSPD-8, "National Preparedness". December 2003.
<http://www.whitehouse.gov/news/releases/2003/12/20031217-6.html>
2. National Response Plan (NRP). Department of Homeland Security. December 2004.
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Emergency Public Information

CAPABILITY DESCRIPTION

The capability to develop and coordinate the release of accurate alerts, warnings, and other emergency information to the public immediately prior to an impending emergency, during, and after the emergency event. The capability includes being able to respond to public inquiries in an appropriate manner.

OUTCOME

The public is informed quickly and accurately, and updated consistently, about threats to their health, safety, and property and what protective measures they should take.

ESF/ANNEX

ESF#5: Emergency Management

ESF#15: External Affairs

Public Affairs Support Annex

ASSOCIATED CRITICAL TASKS

IPR 15.2	Provide specific incident information to the affected public
IPR 15.2.2	Advise public to be alert for clinical symptoms consistent with attack agent
IPR 15.2.4	Provide evacuation information to the affected public
IPR 15.3	Provide general emergency information to the public
IPR 15.5	Provide emergency public information to special needs populations
IPR 15.8	Activate critical information and warning systems
IM 8.11.3	Determine critical health-related information required to inform the public
IM 15.1.2	Direct and control emergency public information activities
IM 15.3	Direct and control public information releases
IM 15.3.3	Disseminate health and safety information to the public
IM 15.4	Provide emergency information to media
IM 15.6	Plan and coordinate public warnings, instructions, and information updates
IM 15.6.2	Determine domestic and international travel advisories
IM 15.7	Develop and update public information sources
PCS 4.1	Develop and provide regional and State public information
PCS 5.9.14	Provide relevant laboratory support for identification of biological, chemical, radiological and nuclear agents in clinical (human and animal), environmental and food specimens and samples
PCS 5.10.6.2	Issue additional advisories and alert and activation orders as required at the regional and State-levels
NS 4.1	Develop plans, procedures and policies for coordinating, managing and disseminating public information
NS 5.7.16	Provide accurate and relevant public health and medical information to clinicians, other responders and the public in a timely manner

MEASURES

Capability Measures

Yes/No	Public information plans are coordinated with all appropriate agencies and include partners (e.g., community leaders, media outlets, private companies)
Yes/No	Emergency operations plan has procedures for emergency public information and warning
Yes/No	Public information plan is exercised
Number	Media modes available to notify the public of emergency actions (e.g., radio, television, sirens etc)
Time	From initial knowledge of risk of impending danger/identification of vulnerable population(s) to dissemination of information
Yes/No	Jurisdiction can reach special populations with accurate, consistent, and timely information

Performance Measures

Yes/No	Public information plan was successfully implemented
Yes/No	Notifications communicated to appropriate individuals and groups according to emergency operations plans
Yes/No	Emergency public information was coordinated and consistent across agencies
Yes/No	All affected populations were notified of emergency and appropriate actions
Frequency	Of release of timely, accurate information to the public
Percent	Of requests for information that was credibly answered
Time	To establish a Joint Information Center
Time	From threat notification to activation of warning system
Time	From incident to the first news conference

CAPABILITY ELEMENTS

Personnel

- Public information personnel (e.g., PIO, press secretary, media operations staff, rumor control, JIC)
- Personnel (canvassers) to conduct door-to-door communications and warnings
- Health specialists (for delivery of health messages)
- Specialists in psychology and/or risk communication

Planning

- Public emergency communications and warning procedures
- Public information annex to emergency operations plan
- Preparation of pre-scripted messages
- National Response Plan (NRP)
- National Incident Management System (NIMS)
- Alternate site identified and communicated to all reporting parties in event of damage to primary JIC

Organization and Leadership

- National Incident Management System (NIMS)
- National Response Plan (NRP)
- Applicable legislation, plans, directives, policies, and procedures

Equipment

- Alert and notification systems
- Interoperable communications equipment, including mobile communications
- Phone lines/call center to handle public inquiries
- Press conference staging areas
- Access to networks (i.e. vet information)
- All equipment included in alternate site capabilities

Training

- Public Information Officer (PIO)
- Cultural competency
- Risk communication
- Interoperable communications
- NIMS
- Public and volunteers

Exercises, Evaluations, and Corrective Actions

- Exercises on alert and warning system and public information
- System for incorporating lessons learned into plans and procedures

LINKED CAPABILITIES

- Emergency Operations Center (ESF# 5)
- Emergency Public Education (ESF#15, Public Affairs Support Annex)
- Emergency Public Information (ESF #15, Public Affairs Support Annex)
- Food and Agriculture Safety and Security (ESF# 11)
- Isolation and Quarantine (ESF# 8)
- Mass Prophylaxis and Vaccination (ESF# 8)
- Medical Supplies Management and Distribution (ESF# 8)
- Medical Surge (ESF# 8)
- Volunteer Management and Donations (Volunteer and Donations Management Support Annex)

EVENT CONDITIONS

The primary conditions affecting the performance of this capability are the timely availability of accurate information on the type of threat or hazard presented to the public, whether appropriate information content is ready and available for distribution, and whether mass communications are affected by the event.

All scenarios will require a multi-jurisdictional/multi-agency response that will require implementation of the joint information system and a joint information center (JIC) to ensure that public information activities are consistent and coordinated across agencies and jurisdictions.

All scenarios require that jurisdictions provide timely and accurate public information. Some scenarios will have advanced warning and others will occur with no warning at all. All scenarios need to consider alternate communications means. Power outages will disrupt radio, TV, web and other power-dependent information outlets.

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4. Homeland Security Exercise and Evaluation Program (HSEEP), Volume II: Exercise Evaluation and Improvement. Office for Domestic Preparedness, Department of Homeland Security. October 2003.
<http://www.ojp.usdoj.gov/odp/docs/HSEEPv2.pdf>
5. NFPA 1600- Standard on Disaster/Emergency Management and Business Continuity Programs. National Fire Protection Association. 2004. <http://www.nfpa.org/PDF/nfpa1600.pdf?src=nfpa>
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Emergency Response Communications

CAPABILITY DESCRIPTION

The capability to provide uninterrupted flow of critical information among responding multi-disciplinary and multi-jurisdictional agencies at all levels of government.

OUTCOME

A continuous flow of critical information is maintained among emergency responders, command posts, agencies, and government officials for the duration of the emergency response operation.

ESF/ANNEX

ESF#2: Communications

ASSOCIATED CRITICAL TASKS

IPR 2.1	Operate incident site communications
IPR 15.1	Communicate internal incident response information
IM 2.1.2	Coordinate communications policy and procedures across response entities
IM 2.1	Establish and maintain response communications network
IM 2.1.1	Implement communications interoperability plans and protocols
PCS 5.3	Coordinate the provision of regional and State telecommunication and information technology support to regional and State government and private-sector
PCS 4.3	Provide State and regional direction, information, and support as appropriate to incident command (IC) or unified command (UC)
NS 5.2	Provide telecommunication and information technology support to Federal, State, territorial, local, and tribal officials and private sector

MEASURES

Capability Measures

Yes/No	Emergency response communications plans incorporate management structure in accordance with NIMS and NRP
Yes/No	Communications systems exist that operate reliably throughout the jurisdiction's response area. Communications "dead spots" are identified and alternate strategies are in place to maintain effective communications in "dead spot" areas.
Yes/No	Personnel are trained to operate communications systems
Yes/No	Communications system is secure, redundant, and fault tolerant
Yes/No	Communications system exists that may operate exclusively (secure/encrypted as needed) and is non-intrusive to other frequencies or modes of communication.
Yes/No	Communications system exists that is capable of interoperability across disciplines, mutual aid jurisdictions, and levels of government
Yes/No	Plans are exercised

Performance Measures

Yes/No	The flow of critical information was uninterrupted
Yes/No	Sufficient back-up equipment and power sources were available
Yes/No	Responders were able to communicate with counterparts in other jurisdictions
Yes/No	Responders were able to communicate across regional, State and Federal levels
Yes/No	Redundant communications equipment was available and activated.
Yes/No	Emergency response communications plans that were implemented incorporated management structures in accordance with NIMS and NRP
Yes/No	Mobile communications platforms were used, as needed
Yes/No	Communications "dead spots" were identified, and alternate strategies were used effectively as needed
Yes/No	Common language and coordinated communication protocols were effectively implemented
Yes/No	Alternate communications sites were identified and activated as needed
Yes/No	Plans and procedures were followed

CAPABILITY ELEMENTS

Personnel

- Technical specialists (e.g., network, systems and device technicians)
- Emergency communications coordination and operation personnel

Planning

- Communications Plan - for effective use of communications equipment and facilities, use of radio frequencies; establishing networks for command, tactical, support, and air units; on-site telephone and public address equipment; and required off-incident communication links
- The National Plan for Telecommunications Support in Non-Wartime Emergencies (NTSP); 1992 - Authority for NCS to develop plans and coordinate and manage telecommunications support for Federal organizations
- Mutual Aid Agreements and Memorandum of Understanding (MOU) -Regional communications protocols in accordance with existing regional agreements
- National Response Plan
- National Incident Management System

Organization and Leadership

- National Incident Management System
- National Response Plan
- Applicable legislation, plans, directives, policies, and procedures

Equipment

- Interoperable and redundant communications (e.g., voice, data, and fax) through landlines, cell lines, satellite, internet, and/or radio

Training

- Interoperable communications
- National Incident Management System

Exercises, Evaluations, and Corrective Actions

- System for incorporating lessons learned into plans and procedures
- Exercises communication interoperability and redundancy

LINKED CAPABILITIES

- Animal Health Emergency Support (ESF# 8, 11)
- Critical Resource Logistics and Distribution (ESF# 5, 7)
- Emergency Evacuation (ESF# 1)
- Emergency Operations Center (ESF# 5)
- Emergency Public Information (ESF# 5, 15, Public Affairs Support Annex)
- Emergency Response Communications (ESF# 2)
- Engineering (ESF# 3)
- Environmental Health and Vector Control (ESF# 8, 11)
- Explosive Device Detection and Response Operations (ESF#3)
- Fatality Management (ESF# 8)
- Firefighting Operations/Support (ESF# 4)
- Food and Agriculture Safety and Security (ESF# 11)
- HAZARDOUS MATERIALS (HAZMAT) (ESF# 10)
- Isolation and Quarantine (ESF# 8)
- Mass Care (ESF# 6)
- Mass Prophylaxis and Vaccination (ESF# 8)
- Medical Supplies Management and Distribution (ESF# 8)
- On-site Incident Management (ESF# 5, 13)
- Pre-Hospital Triage and Treatment (ESF# 8)
- Public Safety and Security Response (ESF# 13)
- Restoration of Lifelines (ESF# 3)
- Urban Search and Rescue (ESF# 9)
- Water Search and Rescue (ESF# 9)

EVENT CONDITIONS

The primary conditions affecting the performance of the capability are size of the incident, communications organization (i.e. who talks to whom), communications interoperability, and standardization of language. This capability is applicable to all emergency scenarios and situations requiring coordination of information, command decisions, and dissemination of critical information to multiple entities and to the public.

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1. Homeland Security Presidential Directive/HSPD-8, "National Preparedness". December 2003.
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7. National Mutual Aid and Resource Management Initiative, Resource Typing Definitions- I. Federal Emergency Management Agency, January 2004.
http://www.fema.gov/pdf/preparedness/initial_60_rtd.pdf
8. NFPA 1221, Standard for the Installation, Maintenance and Use of Emergency Services Communications Systems.
9. NFPA 1561, Standard on Emergency Services Incident Management Systems

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Engineering

CAPABILITY DESCRIPTION

The capability to conduct situation and damage assessment, perform mitigation activities, and provide technical assistance and other engineering services.

OUTCOME

Accurate situation needs and damage assessments occur. Mitigation projects to lessen the impacts of similar future events are identified, prioritized, and conducted. The full-range of engineering, building inspection, and enforcement services are implemented, managed, and coordinated in a way that maximizes the use of resources and aids emergency response and recovery operations.

ESF/ANNEX

ESF#3: Public Works and Engineering

ASSOCIATED CRITICAL TASKS

IPR 3.1	Conduct building department, public works and engineering assessment
IPR 3.2	Support incident response operations
IM 3.4	Provide public works, building regulation and engineering support
PCS 5.4.10	Manage, monitor, and/or provide regional and State technical advice in debris management and reestablishment of ground and water routes into affected area
PCS 5.4.15	Provide regional and State emergency flood protection and/or emergency erosion control
NS 5.3.1	Participate in post-incident assessments of structures, public works and infrastructure to help determine critical needs and workloads
NS 5.3.8	Implement and manage FEMA public assistance program

MEASURES

Capability Measures

Yes/No	Jurisdiction maintains situation and damage assessment plans in Recovery Annex
Yes/No	Jurisdiction maintains mitigation plan in Recovery Annex
Yes/No	Jurisdiction conducts code enforcement, permitting and inspection activities
Yes/No	Emergency response plans are exercised

Performance Measures

Yes/No	Damage assessment and mitigation plans and procedures were successfully implemented
Time	To mobilize personnel for damage assessment
Yes/No	Damage Assessments were conducted
Time	To conduct a Damage Assessment
Yes/No	The jurisdiction provided technical assistance to emergency responders

Yes/No	Jurisdiction identified and prioritized mitigation activities
Yes/No	Situation Assessments were conducted
Time	To conduct a Situation Assessment

CAPABILITY ELEMENTS

Personnel

- Code enforcement personnel
- Contracting and procurement professionals
- Engineering and construction professionals to assess damage
- Legal counsel
- Professional Engineers
- Financial professionals to conduct cost estimating, economic analysis, and accounting
- Geographic information system (GIS) personnel trained

Planning

- National Incident Management System
- National Response Plan
- Applicable building codes and standards
- Response and recovery plans

Organization and Leadership

- National Incident Management System
- National Response Plan
- Applicable legislation, plans, directives, policies, and procedures

Equipment

- Engineering and construction equipment
- Personal Protective Equipment
- Interoperable communications equipment
- Database management software

Training

- Damage assessment
- Information systems (e.g., database, GIS)
- Site assessment/engineering support
- Interoperable communications
- PPE

Exercises, Evaluations, and Corrective Actions

- System for incorporating lessons learned into plans and procedures
- Exercises to evaluate emergency engineering procedures

LINKED CAPABILITIES

- Critical Resource Logistics and Distribution (ESF# 5, 7)
- Emergency Evacuation (ESF# 1)
- Emergency Operations Center (ESF# 5)
- Hazard and Vulnerability Analysis (ESF# 5)
- Mass Care (ESF# 6)
- Restoration of Lifelines (ESF# 3)
- Urban Search and Rescue (ESF# 9)
- Worker Health and Safety (ESF# 5, 8, Worker Safety and Health Annex)

EVENT CONDITIONS

The primary condition affecting the performance of this capability is the amount of physical destruction caused by the incident. Structures may be destroyed or severely weakened. Homes, public buildings, bridges, and other facilities may have to be reinforced or demolished to ensure safety. Debris may make streets and highways impassable. Public utilities may be damaged and be partially or fully inoperable.

A major disaster may affect the lives of many State and local response personnel and their facilities, and prevent them from performing their prescribed emergency duties. Similarly, equipment in the immediate disaster area may be damaged or inaccessible.

Sufficient resources may not be available to State and local agencies to meet emergency requirements. Federal assistance may be required to identify and deploy resources from outside the affected area to ensure a timely, coordinated effective response.

REFERENCES

1. Homeland Security Presidential Directive/HSPD-8, "National Preparedness". December 2003. <http://www.whitehouse.gov/news/releases/2003/12/20031217-6.html>
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4. National Strategy for Homeland Security. Office of Homeland Security. Office of Homeland Security. July 2002. http://www.whitehouse.gov/homeland/book/nat_strat_hls.pdf
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Environmental Health and Vector Control

CAPABILITY DESCRIPTION

The capability to provide ground and aerial vector control and environmental health services in support of public health protection. Vector control includes elimination of organisms, such as fleas, mosquitoes, and rodents that can spread disease to humans.

OUTCOME

After the first event, a marked reduction in new cases due to preventable exposure to disease or contamination will occur. The at-risk population receives the appropriate protection in a timely manner.

ESF/ANNEX

ESF#8: Public Health and Medical Services

ESF#11: Agriculture and Natural Resources

ASSOCIATED CRITICAL TASKS

IPR 8.12	Provide vector control
IM 10.2.2	Provide environmental testing and monitoring

MEASURES

Capability Measures

Yes/No	Health protection plans incorporate environmental health and vector control policies and procedures
Yes/No	Plans include evacuation of non-exposed; exposed leading to quarantine; infected; dead; and dead contaminated
Number	Of trained personnel available for environmental health and vector control operations (aerial and ground)
Yes/No	Personnel are appropriately trained on health protection plans
Yes/No	Personnel are appropriately trained on vector control plans
Yes/No	Health protection plans have been exercised

Performance Measures

Yes/No	Environmental health plans were successfully implemented
Yes/No	Vector control plans were successfully implemented
Percent	At-risk population that was protected
Yes/No	Public health education was provided
Yes/No	Environmental health testing and monitoring was provided
Yes/No	Both ground and aerial vector control was provided

CAPABILITY ELEMENTS

Personnel

- Vector control and environmental personnel

- Certified pesticide applicators
- Trained animal disease surveillance and epidemiological personnel

Planning

- Public health emergency plans
- Environmental health and vector control procedures
- National Incident Management System
- National Response Plan

Organization and Leadership

- National Incident Management System
- National Response Plan
- Applicable legislation, plans, directives, policies, and procedures

Equipment

- Vector control supplies and equipment (aerial and ground)
- Personal Protective Equipment
- Modeling/analysis information systems to determine spread/areas potentially at risk; evacuation models
- Interoperable communications equipment

Training

- PPE
- HAZMAT
- Environmental and vector control
- Interoperable communications

Exercises, Evaluations, and Corrective Actions

- State/regional/local/tribal exercises incorporating environmental health and vector control issues
- System for incorporating lessons learned into future plans and procedures

LINKED CAPABILITIES

- Animal Health Emergency Support (ESF# 8, 11)
- Emergency Operations Center (ESF# 5)
- Emergency Public Information (ESF# 5, 15, Public Affairs Support Annex)
- Emergency Response Communications (ESF# 2)
- Engineering (ESF# 3)
- Isolation and Quarantine (ESF# 8)
- Mass Care (ESF# 6)
- Mass Prophylaxis and Vaccination (ESF# 8)
- Public Health Epidemiological Investigation and Laboratory Testing (ESF# 8)
- Restoration of Lifelines (ESF# 3)
- Worker Health and Safety (ESF# 5, 8, Worker Safety and Health Annex)

EVENT CONDITIONS

The primary conditions affecting the performance of this capability are the disease, agent, contaminant, geographic area, and population size affected; population susceptibility; environmental factors (e.g., weather, flooding, contamination); and the damage to water systems and electrical utilities.

REFERENCES

1. Homeland Security Presidential Directive/HSPD-8, "National Preparedness". December 2003.
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4. National Mutual Aid and Resource Management Initiative, Resource Typing Definitions - I, Federal Emergency Management Agency. January 2004.
5. Hazardous Waste Operations and Emergency Response, 29 CFR 1910.120. Occupational Safety and Health Administration. November 2002.
6. Medical Personnel Exposed to Patients Contaminated with Hazardous Waste. Occupational Safety and Health Administration standard interpretation. March 1992.

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Explosive Device Detection and Response Operations

CAPABILITY DESCRIPTION

The capability to coordinate, direct, and conduct explosive device operations.

OUTCOME

Successfully disrupt and dispose of explosives.

ESF/ANNEX

ESF#13: Public Safety and Security

ASSOCIATED CRITICAL TASKS

IPR 13.3.1	Conduct terrorist weapon detection, defusing and disposal
IM 13.2.4	Coordinate explosive device detection, defusing, and disposal operations
NS 5.12.8	Provide support for explosive device (IED) detection, identification, render safe, and disposal operations

MEASURES

Capability Measures

Number	Simultaneous incidents that can be addressed with existing jurisdictional and/or mutual aid assets
Number	Of personnel trained and equipped for explosive detection and response
Number	Of deployable certified explosive ordnance disposal teams (EOD) within identified jurisdictions
Yes/No	Plans, policies, and procedures for explosives detection and response are in place
Yes/No	Plans for explosives detection and response have been exercised

Performance Measures

Time	From requesting explosives ordnance operations resources to the arrival of EOD teams on site
Time	For explosive to be rendered safe
Yes/No	Explosives were safely disposed
Yes/No	Bomb squad plans and procedures were successfully implemented

CAPABILITY ELEMENTS

Personnel

- Personnel to conduct explosive ordnance detection, disruption, defusing, and disposal operations (e.g. Bomb Squads)

- Logistics personnel
- CBRNE detection and monitoring personnel

Planning

- Standard operating procedures (e.g., The National Bomb Squad Commanders Advisory Board Guidelines [NBSCAB])
- Emergency Operations Plan (EOP) consistent with National Response Plan (NRP), National Incident Management System (NIMS), and applicable laws and regulations

Organization and Leadership

- National Incident Management System (NIMS)
- National Response Plan (NRP)
- Applicable legislation, plans, directives, policies, and procedures

Equipment

- Bomb squad equipment consistent with certification level (e.g., portable X-ray, render safe kits, inspection and monitoring equipment)
- Specific protective ensemble for identified response
- Interoperable communications equipment
- CBRNE detection and monitoring equipment

Training

- WMD and secondary device awareness and response
- Basic/advanced hazardous devices school
- De-arming
- HAZMAT technical
- Post blast investigation
- NIMS
- Interoperable communications

Exercises, Evaluations, and Corrective Actions

- System for incorporating lessons learned into plans and procedures
- Bomb squad joint exercises (HAZMAT, mutual aid, etc.)

LINKED CAPABILITIES

- All Hazards Planning (ESF# 5)
- Emergency Operations Center (ESF# 5)
- Emergency Response Communications (ESF# 2)
- HAZARDOUS MATERIALS (HAZMAT) (ESF# 10)
- On-site Incident Management (ESF# 5, 13)
- Public Safety and Security Response (ESF# 13)
- Critical Infrastructure Protection and Risk Management (Multiple ESFs, Terrorism Incident Law Enforcement and Investigation Annex, Cyber Annex)
- Worker Health and Safety (ESF# 5, 8, Worker Safety and Health Annex)

EVENT CONDITIONS

The primary conditions affecting the performance of the capability are the number of incident sites that require bomb squad response at a given time. Secondary resource drivers for this capability are the physical environment, scope, and magnitude of the incident.

REFERENCES

1. Homeland Security Presidential Directive/HSPD-8, "National Preparedness". December 2003.
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2. National Response Plan (NRP). Department of Homeland Security. December 2004.
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10. Emergency Response to Terrorism: Basic Concepts. U.S. Department of Justice and Federal Emergency Management Agency. 2004
11. Hazardous Devices School (HDS), Federal Bureau of Investigation. Alabama.
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<http://hazmat.dot.gov/erg2004/erg2004.pdf>

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Fatality Management

CAPABILITY DESCRIPTION

The capability to effectively perform recovery, identification, isolation, decontamination, transport, storage, determination of cause and manner of death, process and return human remains and personal belongings, as well as interact with families of deceased.

OUTCOME

Safely and accurately recover, identify, isolate, decontaminate, transport and store human remains; determine cause and manner of death through autopsies, evidence collection; process personal effects; and return decedents/remains back to respective next-of-kin in accordance with Emergency Operations Plan for mass fatalities and as required by law.

ESF/ANNEX

ESF#8: Public Health and Medical Services

ASSOCIATED CRITICAL TASKS

IPR 8.5	Collect and isolate human remains
IPR 8.5.3	Dispose of diseased human remains
IM 8.4.1	Coordinate forensic epidemiology - work with other partner agencies such as police and medical examiner
IM 8.5.3	Coordinate mortuary/morgue services
IM 8.6	Coordinate Disaster Mortuary Operations Response Team (DMORT) assets/services

MEASURES

Capability Measures

Yes/No	Emergency operations plan (EOP) includes procedures for mass fatalities and personal property processing
Number	Personnel trained and available to identify, isolate, decontaminate, transport, autopsy, and store human remains
Number	Disaster Mortuary Operations Response Teams (DMORTs) available
Yes/No	Processing capacity for bodies exists
Yes/No	Storage capacity for bodies exists
Number	Bodies that can be stored until identification and disposition
Number	Bodies that can be processed (including autopsy when necessary)
Yes/No	Laboratory services available to verify effective decontamination
Yes/No	PPE to protect workers involved in decontamination, identification, post mortem examination, disposition, etc. of contaminated bodies
Yes/No	Database developed to capture information on deceased
Number	Of bodies that were processed per day

Performance Measures

Percent	Families contacted
Percent	Victims identified
Yes/No	DMORT resources have been requested
Time	DMORT arrival from request
Yes/No	DMORT response was adequate and proactive
Yes/No	Coordination between medical examiner/coroner and public safety personnel was established
Yes/No	All personal effects and evidence are correctly managed
Yes/No	All remains are handled with appropriate disposition
Yes/No	All remains are properly decontaminated
Yes/No	Emergency operations plans (EOPs) provision for notification to the chief medical examiner were followed
Yes/No	Forensic/epidemiological laboratory testing facilities (DNA, toxicology, anthrax, smallpox, etc) were identified
Yes/No	Locations for a temporary morgue near incident site (if necessary) were identified
Yes/No	Sufficient Personal Protective Equipment was available to protect workers involved in decontamination, identification, post mortem examination, disposition, etc. of contaminated bodies
Yes/No	Plan for temporary remains storage was activated
Yes/No	Remains were effectively decontaminated
Yes/No	Coordination between medical examiner and emergency operations center (EOC) was established

CAPABILITY ELEMENTS

Personnel

- Remains recovery personnel
- Decontamination personnel
- Autopsy personnel
- Remains processing personnel
- Remains identification personnel
- Next-of-kin notification personnel
- Release processing personnel
- Laboratory technicians
- Law Enforcement and Security personnel

Planning

- Coordination with Medical Examiners (ME)/Coroners
- DMORT plans
- Mass fatality plan
- Security plans
- National Response Plan
- National Incident Management System

Organization and Leadership

- National Incident Management System
- National Response Plan
- Applicable legislation, plans, directives, policies, and procedures

Equipment

- Transport for remains
- Disaster portable morgue unit
- Decontamination equipment
- Forensic/epidemiology laboratory facilities/methods
- Forensic/mortuary facilities/supplies
- Interoperable communications equipment
- Personal Protective Equipment
- Storage capability (including body bags) for remains
- Templates for work-up on bodies
- Database to capture information on deceased

Training

- Personal Protective Equipment
- Interoperable communications
- Mass fatalities and decontamination

Exercises, Evaluations, and Corrective Actions

- Exercise mass fatality plans
- System for incorporating lessons learned into plans and procedures

LINKED CAPABILITIES

- Criminal investigation and Intervention (Terrorism Incident Law Enforcement and Investigation Annex)
- Emergency Operations Center (ESF# 5)
- Emergency Response Communications (ESF# 2)
- Hazardous Materials (HAZMAT) (ESF# 10)
- Medical Supplies Management and Distribution (ESF# 8)
- On-site Incident Management (ESF# 5, 13)
- Pre-Hospital Triage and Treatment (ESF# 8)
- Public Health Epidemiological Investigation and Laboratory Testing (ESF# 8)
- Urban Search and Rescue (ESF# 9)
- Water Search and Rescue (ESF# 9)
- Worker Health and Safety (ESF# 5, 8, Worker Safety and Health Annex)

EVENT CONDITIONS

The primary conditions affecting the performance of the capability are the number and nature of injuries and fatalities (e.g. how many of the injured are expected to die at the scene and do the contaminated remains require special handling?). Applicable in all explosive, biological, chemical and

nuclear/radiological attack scenarios and natural disasters in the case of structural damage and large numbers of injuries concentrated in one area.
Other factors include damage caused by the incident to buildings and critical infrastructure (e.g. loss of power which would affect refrigeration).

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1. Homeland Security Presidential Directive/HSPD-8, "National Preparedness". December 2003.
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3. National Incident Management System (NIMS). Department of Homeland Security. March 2004.
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4. National Mutual Aid and Resource Management Initiative, Resource Typing Definitions- I. Federal Emergency Management Agency. January 2.
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Firefighting Operations/Support

CAPABILITY DESCRIPTION

The capability to support and conduct fire suppression operations, including deploying the initial alarm assignment, assessing the incident, requesting necessary additional alarm assignments or special operations resources, and establishing an incident command system consistent with NIMS.

OUTCOME

Dispatch of the initial alarm assignment occurs within the response time objectives designated by the authority having jurisdiction. Initial arriving unit conducts assessment of the incident scene and requests appropriate resources. Firefighting activities are conducted safely and the fire is contained, controlled, and managed.

ESF/ANNEX

ESF#4: Firefighting

ASSOCIATED CRITICAL TASKS

IPR 4.2	Conduct fire code inspections and coordinate with appropriate personnel for building inspections
IPR 4.3	Conduct Firefighting Operations
IPR 4.4	Assist in removal of affected individuals from incident site
IPR 4.6	Conduct fire investigations
IM 4.1	Develop plans, procedures and equipment guidelines to support response operations
IPR 5.3.2	Declare incident site hazard free

MEASURES

Capability Measures

Yes/No	Plans, policies, and procedures are in place for firefighting operations and support
Yes/No	Firefighting operations plans, policies and procedures for responding to and operating at major incidents are standardized across all agencies and jurisdictions participating in a mutual aid agreement (MAA)
Yes/No	Communications plans, policies and procedures for dispatching and communicating with all agencies and jurisdictions participating in a mutual aid agreement (MAA) are standardized
Yes/No	Performance objectives have been established (i.e. in accordance with NFPA standards)
Number	Of firefighting units (by types – engines, trucks, tankers, auxiliary apparatus) within jurisdiction
Number	Simultaneous incidents that can be addressed with existing jurisdictional and/or mutual aid assets (dependent upon size and scope)
Yes/No	Quality assurance/quality improvement programs are in place to evaluate the structure, processes, and outcomes of the department

Yes/No	Post-incident analysis program exists
Yes/No	Equipped and dedicated team is available for the search and rescue of entrapped or lost firefighters
Yes/No	Training program is maintained to ensure all personnel receive updated training as appropriate
Yes/No	Firefighting plans are exercised

Performance Measures

Yes/No	Firefighting plans and standard operating procedures were successfully implemented
Time	From dispatch to first unit's arrival on-scene
Time	From dispatch to arrival of entire initial alarm assignment (from dispatch to arrival of all units planned for initial assignment)
Yes/No	Firefighting response achieved required flow rate of uninterrupted water supply
Time	Time to achieve required flow rate of uninterrupted water supply
Yes/No	The initial response of pumper, truck, tanker, and auxiliary apparatus (as needed) was adequate for a quick attack on the property involved
Yes/No	Mutual aid responses (from decision to activate mutual aid through dispatch and arrival time for requested resources) are within planned target times
Yes/No	Response times were evaluated to ensure adequate emergency services coverage

CAPABILITY ELEMENTS

Personnel

- Firefighters
- Building officials
- Resource coordination personnel (logistics)

Planning

- Mutual aid agreements and/or memoranda of understanding (MAAs/MOUs)
- Firefighting standard operating procedures
- Emergency Operations Plan (EOP) consistent with National Response Plan (NRP)/National Incident Management System (NIMS) and applicable laws and regulations

Organization and Leadership

- National Incident Management System (NIMS)
- National Response Plan (NRP)
- Applicable legislation, plans, directives, policies, and procedures

Equipment

- Interoperable fire apparatus and associated equipment
- Secure information system to store building designs, locations of hazardous materials, and evacuation plans
- Personal protective equipment (PPE)
- Interoperable communications equipment

Training

- Firefighting (to NFPA and OSHA standards)
- Building officials
- Interoperable communications
- WMD and secondary device (specialized)
- HAZMAT response
- NIMS
- PPE

Exercises, Evaluations, and Corrective Actions

- System for incorporating lessons learned into plans and procedures
- Specific area training exercises (HAZMAT, mutual aid, etc.)

LINKED CAPABILITIES

- All Hazards Planning (ESF# 5)
- Emergency Operations Center (ESF# 5)
- Hazardous Materials (HAZMAT) (ESF# 10)
- On-site Incident Management (ESF# 5, 13)
- Pre-Hospital Triage and Treatment (ESF# 8)
- Restoration of Lifelines (ESF# 3)
- Urban Search and Rescue (ESF# 9)
- Worker Health and Safety (ESF# 5, 8, Worker Safety and Health Annex)

EVENT CONDITIONS

The primary conditions affecting the performance of this capability are the nature of the incident (type, magnitude, threat to populace, HAZMAT consequences), safe and effective firefighting performance, potential property loss and nature of the properties involved, and the types of tactics and evolutions used.

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Food and Agriculture Safety and Security

CAPABILITY DESCRIPTION

The capability to ensure food safety and security through the development and adoption of agriculture and food safety programs, procedures, guidelines, and regulations.

OUTCOME

Preventing and identifying pathogens, chemical and biological contaminants, and other hazards that affect the safety of food and agricultural products. This includes the timely eradication of outbreaks of crop diseases/pests and the removal of potentially compromised materials from the U.S. food supply, accomplished concurrent to maintaining domestic and international confidence in the U.S. commercial food supply. Additionally, the public is provided with accurate and timely notifications and instructions related to food and agriculture safety and security.

ESF/ANNEX

ESF#11: Agriculture and Natural Resources

ASSOCIATED CRITICAL TASKS

IPR 5.1	Establish incident site command location
IPR 5.1.1	Implement incident command system
IPR 11.9	Conduct product tracing to determine source, destination, and disposition of adulterated/contaminated products
IPR 11.10	Provide laboratory and diagnostic support, subject-matter expertise, and technical assistance
IM 5.4.1	Direct and control response operations
IM 11.5	Inspect safety and security of agricultural infrastructure in the affected area
IM 11.6	Coordinate veterinary services
PCS 5.14.7	Provide regional and State technical assistance and laboratory support
NS 5.10	Provide food and agricultural support
NS 5.10.3	Provide food safety and security response support
NS 5.10.3.1	Ensure the nation's commercial supply of food is safe and secure following an incident of national significance

MEASURES

Capability Measures

Yes/No	Plans, policies, and procedures are in place for food and agriculture safety and security in accordance with NIMS/NRP
Number	Laboratories available to analyze food and agriculture samples
Number	Trained personnel by emergency response function (as outlined in emergency plans)
Yes/No	Functional food crop positive identification trace-back and trace-forward tracking systems are in place

Yes/No	Relevant international agriculture and health officials are identified and contact information is kept current; copies of relevant international standards and regulations are available
Yes/No	Plans are exercised/tested

Performance Measures

Yes/No	Food and agriculture safety and security plans, policies, and procedures were successfully implemented in accordance with NIMS/NRP
Number	Samples processed
Time	For samples to be analyzed
Number	Trace-backs to sources
Time	To complete trace-backs and identify source of contamination
Time	To determine possible secondary exposures
Number	Humans/animals with primary exposure/ingestion of contaminated food
Time	To determine number of humans/animals with primary exposure/ingestion of contaminated food or agricultural product
Number/Day	Agricultural sources evaluated
Number/Day	Food processing plants evaluated
Yes/No	Adequate security at processing facilities
Yes/No	Risk communication was effective in providing timely and accurate information to the public
Yes/No	Trace-forward system to track food items already in the distribution supply system was successfully implemented

CAPABILITY ELEMENTS

Personnel

- HAZMAT/Biohazard personnel
- Biosecurity personnel
- Food and agriculture subject matter experts
- FDA and USDA scientists
- Hospital and clinic staff
- Laboratory technicians
- Epidemiological personnel
- Inspection, surveillance, and investigation personnel
- Administration, finance and logistic personnel

Planning

- Food and Drug Cosmetic Act
- Public Health Service Act
- Food safety and security plans, policies, and procedures that adhere to the Food and Drug Administration, Environmental Protection Agency, U.S. Department of Agriculture, and State and local agriculture department plans and guidelines
- Food Safety and Inspection Service (FSIS) Safety and Security Guidelines
- Agriculture safety and security plans, policies, and procedures
- Mutual aid agreements
- FSIS Hazard Analysis and Critical Control Point (HACCP) guidelines

Organization and Leadership

- Food and Drug Administration (FDA)
- U.S. Department of Agriculture (USDA)
- National Incident Management System (NIMS)
- National Response Plan (NRP)
- Applicable legislation, plans, directives, policies, and procedures

Equipment

- Inspection and diagnostic equipment
- Agriculture equipment and supplies
- Interoperable communications equipment
- Personal Protective Equipment (PPE)
- Security and isolation equipment
- Surveillance equipment

Training

- FDA traceback procedures for food illness outbreaks
- NIMS/NRP for agriculture and food safety responders
- Personal Protective Equipment (PPE)
- Biosecurity for agriculture and food safety responders
- Threat and vulnerability assessment
- Awareness for food and agriculture plant personnel
- Product alteration, contamination, and trace-back/trace-forward
- Interoperable communications

Exercises, Evaluations, and Corrective Actions

- Plant security evaluations
- Exercises to test plans, policies, and procedures
- System for incorporating lessons learned into plans and procedures

LINKED CAPABILITIES

- Animal Health Emergency Support (ESF# 8, 11)
- Critical Resource Logistics and Distribution (ESF# 5, 7)
- Emergency Operations Center (ESF# 5)
- Emergency Public Information (ESF# 5, 15, Public Affairs Support Annex)
- Emergency Response Communications (ESF# 2)
- Environmental Health and Vector Control (ESF# 8, 11)
- Hazard and Vulnerability Analysis (ESF# 5)
- Public Health Epidemiological Investigation and Laboratory Testing (ESF# 8)
- Critical Infrastructure Protection and Risk Management (Multiple ESFs, Terrorism Incident Law Enforcement and Investigation Annex, Cyber Annex)
- Worker Health and Safety (ESF# 5, 8, Worker Safety and Health Annex)

EVENT CONDITIONS

The primary conditions affecting the performance of this capability are the type of food contamination, the amount of food contaminated, and the types of personnel and supplies needed to eradicate the foodborne pathogen(s) and destroy contaminated products, or to mitigate food safety-related consequences of another disaster.

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Hazard and Vulnerability Analysis

CAPABILITY DESCRIPTION

The capability to identify and prioritize hazards, assess vulnerabilities, and determine risks prior to and during an emergency.

OUTCOME

Hazards are identified and assessed to enable appropriate protection, prevention, and mitigation strategies so that the consequences of an incident are minimized.

ESF/ANNEX

ESF#5: Emergency Management

ASSOCIATED CRITICAL TASKS

IPR 5.2	Assess site impact
IPR 5.2.3.1	Monitor for primary and secondary threats
IPR 13.2.3	Conduct investigative air, maritime, ground and electronic surveillance and monitoring
IM 5.1.1.2.1	Conduct threat analysis and site surveys
PCS 5.6.2	Support regional and State prevention activities in identification and determination of potential hazards and threats, including mapping, modeling, and forecasting
NS 3.7	Operate a meteorological warning system to provide warning of impending destructive storms and track their movement
NS 5.9.7	Monitor movement of releases and formulate predictions on dispersion and characteristics over time

MEASURES

Capability Measures

Yes/No	Hazard analysis/risk assessment process comprehensively addresses all hazards
Yes/No	Effectively integrated warning systems exist with warning terminology made as uniform as possible (e.g., using National Weather Service advisory-watch-warning terminology as well as using NWS distribution system for non-weather hazards)
Yes/No	Schedule exists for updating hazard analyses and plans
Yes/No	Funding priorities reflect all-hazards risk assessment and prioritization process
Yes/No	Jurisdiction has a program of current all-hazard threat vulnerability and risk assessments, and mechanism for incorporating the results into plans, procedures, and guidelines for response support
Percent	Of targeted facilities with surveillance and detection systems
Percent	Of critical infrastructures for which a comprehensive threat vulnerability and risk assessment has been completed

Performance Measures

Yes/No	Hazard and vulnerability analysis plans and procedures were implemented
Time	To identify hazard or vulnerability
Yes/No	Hazard or vulnerability was accurately identified
Yes/No	Integrated warning system was activated correctly
Yes/No	Surveillance and/or detection system operated effectively

CAPABILITY ELEMENTS

Personnel

- Representation from each ESF discipline
- Liaisons from non-governmental agencies and the private sector
- Planning, hazard analysis, and risk and site assessment personnel

Planning

- All applicable legislation, regulations, related plans, directives, policies, and industry codes of practice required to conduct emergency response
- Emergency Operations Plan (EOP) consistent with National Response Plan (NRP)/National Incident Management System (NIMS) and applicable laws and regulations
- Chemical inventory reports available under Emergency Planning and Community Right-to-know Act (EPCRA) in association with the Local Emergency Planning Committee (LEPC)

Organization and Leadership

- National Incident Management System (NIMS)
- National Response Plan (NRP)
- Applicable legislation, plans, directives, policies, and procedures

Equipment

- Geographic Information Systems (GIS) tools
- Information sharing network
- Modeling/simulation/analysis tools

Training

- NIMS
- Technical (e.g. modeling/simulation and analysis tools training)
- Emergency planning and assessment for emergency response personnel

Exercises, Evaluations, and Corrective Actions

- Exercises to test and evaluate vulnerabilities and hazards
- System for incorporating lessons learned into plans and procedures

LINKED CAPABILITIES

- Critical Infrastructure Protection and Risk Management (Multiple ESFs, Terrorism Incident Law Enforcement and Investigation Annex, Cyber Annex)
- Emergency Operations Center (ESF# 5)

- Information Collection and Threat Recognition (Terrorism Incident Law Enforcement and Investigation Annex)
- Intelligence Fusion and Analysis (Terrorism Incident Law Enforcement and Investigation Incident Annex)
- Information Sharing and Collaboration (Multiple ESFs, Terrorism Incident Law Enforcement and Investigation Annex, Cyber Incident Annex)

EVENT CONDITIONS

The primary conditions affecting the performance of this capability are the number of possible hazards that must be addressed in plans and the likelihood of those hazards occurring. Characteristics of the jurisdiction that affect the planning process include: population features, including total population, population density, the size of the special needs populations, the number of diverse cultural and linguistic groups within the jurisdiction and the locations where such individuals are concentrated; geographic features, including the area covered by the plans, as well as surrounding geographic and topographic environmental conditions; and reliance on negotiated mutual aid agreements and memorandums of understanding.

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Hazardous Materials (HAZMAT)

CAPABILITY DESCRIPTION

The capability to assess the incident, including testing and identifying all likely hazardous substances on-site; provide protective clothing and equipment to responders; conduct rescue operations to remove affected victims from the hazardous environment; conduct geographical survey searches of suspected sources or contamination spreads and establish exclusion zones; contain and fully decontaminate the incident site, victims, responders and equipment; manage site restoration operations, including collection of all hazardous substances; and implement standard evidence collection procedures.

OUTCOME

Rapidly identify, contain, and mitigate a hazardous materials release; rescue, decontaminate and treat victims exposed to the hazard; limit and restore the affected area; and effectively protect responders and at-risk populations.

ESF/ANNEX

ESF#10: Oil and Hazardous Materials Response

ASSOCIATED CRITICAL TASKS

IPR 4.4	Assist in removal of affected individuals from incident site
IPR 5.3.2	Declare incident site hazard free
IPR 7.3	Provide personnel and equipment protection support
IPR 7.3.2	Provide personal protection equipment (PPE) based upon hazard analysis and risk assessment
IPR 10.3	Respond to oil and hazardous material incidents
IPR 10.3.3	Implement actions to resolve the hazardous materials incident
IPR 10.3.4	Conduct evacuation of affected personnel
IPR 10.3.6	Conduct containment activities
IPR 10.3.6.2	Monitor clean areas within the contamination control line
IPR 10.3.7	Conduct decontamination operations
IPR 10.3.7.3	Conduct screening of affected persons
IPR 10.3.7.4	Decontaminate affected persons
IPR 10.3.7.5	Decontaminate affected equipment and facilities
IPR 10.3.7.5.3	Decontaminate responder clothing and equipment
IM 10.1.1	Develop a contamination site safety plan
IM 10.3	Coordinate and direct response to oil and hazardous material incidents
IM 10.3.2.1	Identify population and locations at risk

MEASURES

Capability Measures

Yes/No	Hazardous materials (HAZMAT) plans, policies, and procedures are in place
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Yes/No	HAZMAT plans, policies and procedures for major HAZMAT incidents are standardized across all participating mutual aid agencies and jurisdictions
Yes/No	Performance objectives have been established (i.e. in accordance with NFPA standards)
Number	Of adequately staffed, trained, certified, and equipped HAZMAT teams available to respond to, conduct rescue operations at, and mitigate incidents; and coordinate restoration
Number	Simultaneous incidents that can be addressed with existing jurisdictional and/or mutual aid assets (dependent on size and scope)
Yes/No	Quality assurance/quality improvement program is in place to evaluate the structure, processes, and outcomes of the department
Yes/No	Equipped and dedicated team is available for the search and rescue of entrapped or lost firefighters
Yes/No	Training program is maintained to ensure all personnel receive updated training as appropriate
Yes/No	Post-incident analysis program exists
Yes/No	HAZMAT plans are exercised

Performance Measures

Yes/No	Plans and procedures were successfully implemented
Time	From dispatch to first HAZMAT capable unit's arrival on scene
Time	From dispatch to full initial alarm assignment of HAZMAT capable teams
Time	To detect HAZMAT type and source
Time	To contain and control solids, liquids, vapors, and gases
Yes/No	HAZMAT rescue standard operating procedures (SOPs) have been followed
Time	To initiate rescue operations SOPs
Yes/No	Decontamination standard operating procedures (SOPs) have been followed
Time	To initiate decontamination SOPs
Yes/No	Effective distribution of on-hand supplies to neutralize contaminant
Yes/No	Accurate detection and identification HAZMAT type and source
Yes/No	Appropriate surveillance and monitoring equipment was employed (i.e. biomonitoring)
Yes/No	Appropriate survey instruments and detection equipment were functional to monitor agent reappearance or spread
Yes/No	Containment and control of solids, liquids, vapors, and gases was completed
Yes/No	Information and conditions were communicated to the appropriate authorities
Yes/No	Meteorological conditions were monitored for the duration
Yes/No	Mobile decontamination and site safety areas were identified and supplied with trained personnel
Yes/No	Personal protective equipment was available for use
Yes/No	Site restoration was coordinated
Yes/No	Transit pathways were identified currently monitored (water, land, air)
Yes/No	Containment methods and barriers to run-off were established

CAPABILITY ELEMENTS

Personnel

- HAZMAT rescue-capable fire personnel
- HAZMAT decontamination personnel (public and private)
- HAZMAT response personnel (public and private)
- Laboratory staff for agent identification

Planning

- Mutual aid agreements and/or memoranda of understanding (MAAs/MOUs)
- HAZMAT rescue standard operating procedures
- Emergency Operations Plan (EOP) consistent with National Response Plan (NRP), National Incident Management System (NIMS), and applicable laws and regulations
- Service contracts for disposal and site restoration
- Public health and environmental laws/regulations
- Facility response plans as required by law (SARA Title III)
- Worker safety regulations

Organization and Leadership

- National Incident Management System (NIMS)
- National Response Plan (NRP)
- Applicable legislation, plans, directives, policies, and procedures

Equipment

- Interoperable communications equipment
- Containment equipment
- Decontamination or replacement equipment
- Detection and monitoring equipment
- Laboratory testing equipment
- Personal protective equipment (PPE levels A-D)
- Carrying devices to assist in rescues of victims
- Post decontamination clothing for victims

Training

- NIMS
- WMD and secondary device training
- HAZMAT certification training as required by law (for both public and private organizations)
- PPE

Exercises, Evaluations, and Corrective Actions

- System for incorporating lessons learned into plans and procedures
- HAZMAT exercises

LINKED CAPABILITIES

- All Hazards Planning (ESF# 5)
- Emergency Evacuation (ESF# 1)

- Emergency Operations Center (ESF# 5)
- Emergency Public Information (ESF# 5, 15, Public Affairs Support Annex)
- Emergency Response Communications (ESF# 2)
- Engineering (ESF# 3)
- Environmental Health and Vector Control (ESF# 8, 11)
- Fatality Management (ESF# 8)
- Firefighting Operations/Support (ESF# 4)
- Isolation and Quarantine (ESF# 8)
- Mass Prophylaxis and Vaccination (ESF# 8)
- On-site Incident Management (ESF# 5, 13)
- Pre-Hospital Triage and Treatment (ESF# 8)
- Public Health Epidemiological Investigation and Laboratory Testing (ESF# 8)
- Public Safety and Security Response (ESF# 13)
- Urban Search and Rescue (ESF# 9)
- Water Search and Rescue (ESF# 9)
- Worker Health and Safety (ESF# 5, 8, Worker Safety and Health Annex)

EVENT CONDITIONS

The primary conditions affecting the performance of this capability include the type and amount of materials involved, the location of the incident (with respect to population at risk, hazard to infrastructure or property, and effect of local topography on spread of hazard, accessibility, and difficulty of working conditions), and current weather conditions.

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Information Collection and Threat Recognition

CAPABILITY DESCRIPTION

Information Collection entails the gathering, consolidation, and retention of raw data from sources including human-source intelligence, observation, qualitative and quantitative analysis of data derived from technical observation or from threat/vulnerability analysis, and open-source intelligence. By definition, intelligence is information which is deemed to possess some value to anti- or counterterrorism efforts and thus, Information Collection is the collection of only pure, unexamined data. Threat Recognition is the ability to see in this data the potential indications and/or warnings of terrorist activities or planning against U.S. citizens, land, infrastructure, and/or allies.

OUTCOME

Locally generated threat and other terrorism-related information is collected, identified, provided to appropriate analysis centers, and acted upon as appropriate.

ESF/ANNEX

Terrorism Incident Law Enforcement and Investigation Annex

ASSOCIATED CRITICAL TASKS

IM 2.2.2.3	Develop and maintain surveillance and detection systems
PCS 1.1.3.1	Develop policies and processes to enhance sharing of intelligence information within and between regions and States with Federal and local agencies
PCS 1.2.2	Support Federal intelligence and surveillance information collection
NS 1.2	Collect strategic information
NS 1.4	Produce strategic intelligence and surveillance information
NS 1.5	Disseminate timely and accurate national strategic and threat intelligence consistent with security clearances as appropriate
NS 3.1	Conduct investigations to determine nature and source of threat

MEASURES

Capability Measures

Number	Of law enforcement and other non-law enforcement personnel trained to recognize, identify, and report behavior and trends associated with planning, support, and operational activities related to terrorism and/or activities that constitute "suspicious behavior" likely to forewarn of a pending terrorism conspiracy or plot: includes the capacity to discover an existing nexus between crime and other suspicious activities and terrorist threats
Yes/No	Adheres to national standards (i.e. confidentiality, privacy, classification) for identification, collection and processing of terrorism-related information
Yes/No	Jurisdictions/entities have established and utilized awareness programs (concurrent with varying threat levels) that provide guidance to law enforcement personnel, officials from non-law enforcement public agencies, private sector security, and the general public, in the identification and reporting of suspicious activities

Yes/No	Jurisdictions/entities have a secure system to collect, screen, and disseminate relevant information of investigative value (database, hotline, or data warehouse) using nationally accepted definitions and protocols for information sharing
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Performance Measures

Yes/No	Law enforcement security and/or other personnel recognized and identified suspicious circumstances associated with planning, support, and operations related to a terrorism conspiracy or plot
Yes/No	Information from law enforcement personnel, non-law enforcement personnel, and the public was used to mitigate emerging threats
Yes/No	Information obtained through routine activities was used to identify terrorist operations
Yes/No	Information collected from all sources was screened for relevance (i.e. public health, public works, public safety, transportation, fire services, emergency medical entities)

CAPABILITY ELEMENTS

Personnel

- Personnel involved in intelligence/information collection and analyses
- Multi-agency/discipline personnel to support intelligence/information identification, collection, and recognition (i.e. medical personnel, law enforcement, etc.)

Planning

- Plans and procedures for intelligence/information identification and collection
- National Incident Management System (NIMS)
- National Criminal Intelligence Sharing Plan

Organization and Leadership

- National Incident Management System (NIMS)
- National Response Plan (NRP)
- Applicable legislation, plans, directives, policies, and procedures

Equipment

- Information sharing network architecture (e.g., Regional Information Sharing System- RISS, Joint Regional Information Exchange System- JRIS, National Law Enforcement Telecommunication System- NLETS, FBI CJIS/NCIC networks)
- Information sharing network standards- survivable; interoperable; compatible; secure; accessible
- Data synthesis software (hazard prediction, assessment, and threat modeling software)
- Interoperable communications equipment
- Data collection/information gathering software
- Access to early detection/alert programs and networks and all-source information (i.e. Public Health Information Network, Biosense, Homeland Security Information Network, Information Sharing and Analysis Centers, etc.)

Training

- Awareness training for both law enforcement and non-law-enforcement personnel and the general public

Exercises, Evaluations, and Corrective Actions

- Exercises with intelligence and threat recognition components
- System for incorporating lessons learned into plans and procedures

LINKED CAPABILITIES

- Criminal Investigation and Intervention (Terrorism Incident Law Enforcement and Investigation Annex)
- Emergency Operations Center (ESF# 5)
- Information Sharing and Collaboration (Multiple ESFs, Terrorism Incident Law Enforcement and Investigation Annex, Cyber Incident Annex)
- Intelligence Fusion and Analysis (Terrorism Incident Law Enforcement and Investigation Incident Annex)
- Critical Infrastructure Protection and Risk Management (Multiple ESFs, Terrorism Incident Law Enforcement and Investigation Annex, Cyber Annex)

EVENT CONDITIONS

The primary conditions affecting the performance of the capability are the actions taken by terrorists that would alert the appropriate local authorities and the intelligence community of suspected and/or ongoing terrorist activities. These activities include plans, efforts, capabilities, and willingness to use, deploy, research, procure, or transport CBRNE into/within the U.S.; and plans, intentions, capabilities, or threats to attack any critical infrastructure, government or commercial facility, personnel and key assets, or transportation system. Prior knowledge and awareness regarding organizational and biographical data on domestic terrorist groups/organizations/individuals and support mechanisms (i.e. communication network and funding) would assist in focusing information collection and threat recognition operations on specific activities.

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Information Sharing and Collaboration

CAPABILITY DESCRIPTION

Information Sharing is a multi-jurisdictional, multidisciplinary exchange and dissemination of information and intelligence among the Federal, State, local and tribal layers of government, the private sector, and citizens. The goal of Information Sharing is to facilitate the distribution of useful, relevant, and timely information and/or intelligence to the entities that need it.

Collaboration encompasses a wide range of activities aimed at coordinating the capabilities and resources possessed by various governmental and private sector entities. This coordination permits the entities to fully capitalize on the strengths of their partners in collaboration and allows jurisdictions to more efficiently use homeland security resources in order to maximize the application of a finite amount of resources and avoid unnecessary redundancy. Whereas Information Sharing seeks to foster a willingness and ability to provide information and/or intelligence, Collaboration represents the establishment of formal relationships among various and disparate homeland security entities and systems to interact and cooperate. Information Sharing activities encompass a broad range of skills and communications infrastructures and are both a conduit for, and a product of, Collaboration.

Together, Information Sharing & Collaboration is the interlocking mechanism that enables the other four Prevention and Deterrence core capability areas to operate. It provides durable, reliable and effective information exchange (both horizontally and vertically) between collectors, analysts, and consumers of threat-related information. It also allows for feedback and other necessary communications in addition to the regular flow of information and intelligence.

OUTCOME

Effective and timely sharing of information occurs across Federal, State, local, tribal, regional, and private sector entities to achieve coordinated awareness, preparedness, protection, prevention of, and response to terrorist activities.

ESF/ANNEX

ESF #1: Transportation
ESF #2: Communications
ESF #3: Public Works and Engineering
ESF #4: Firefighting
ESF #5: Emergency Management
ESF #6: Mass Care, Housing, and Human Services
ESF #7: Resource Support
ESF #8: Public Health and Medical Services
ESF #9: Urban Search and Rescue
ESF #10: Oil and Hazardous Materials Response
ESF #11: Agriculture and Natural Resources
ESF #12: Energy
ESF #13: Public Safety and Security
ESF #14: Long-term Recovery and Mitigation
Terrorism Incident Law Enforcement and Investigation Annex
Cyber Incident Annex

ASSOCIATED CRITICAL TASKS

PCS 1.4.2.1	Develop regional and State indications and warnings
PCS 1.4	Produce intelligence and surveillance
PCS 1.5	Disseminate and integrate regional and State intelligence and surveillance
PCS 1.6	Evaluate intelligence and surveillance activities
PCS 3.8	Formulate and disseminate regional and State indications and warnings
PCS 5.3	Coordinate the provision of regional and State telecommunication and information technology support to regional and State government and private-sector

MEASURES

Capability Measures

Yes/No	Technical infrastructure is in place to support the receipt and dissemination of relevant homeland security related information
Yes/No	Relevant entities/personnel are trained to support information sharing and collaboration processes
Yes/No	Entities establish and adopt national, standardized plans, protocols, and procedures for information sharing
Yes/No	A "clearing house" for relevant terrorism data and information is established with access for all affected agencies based on their roles and responsibilities
Yes/No	Information sharing and collaboration plans, protocols, and procedures are utilized during training and exercises

Performance Measures

Yes/No	Relevant terrorism-related information was incorporated into planning, training, and day-to-day operations of law enforcement, fire, EMS, medical/public health personnel, private sector, public works/engineers/building officials, etc.
Yes/No	Stakeholders, contributors, and consumers of information were identified and incorporated into information flows and collaborative activities
Yes/No	Protocols were in place and used successfully
Yes/No	Information was prioritized, categorized, and disseminated according to national standards
Yes/No	Classified information was handled properly

CAPABILITY ELEMENTS

Personnel

- Personnel involved in the operational aspects of information sharing (e.g., information technology (IT) personnel, law enforcement, public health, fire, EMS, transportation, and other non-law enforcement personnel)
- Federal, State, local, tribal, private sector, personnel involved in information sharing and collaboration initiatives, and other key stakeholders

Planning

- National Incident Management System (NIMS)

- Memorandum of Understanding (MOUs) and Coordination Agreements for information sharing and collaboration
- National Criminal Intelligence Sharing Plan
- Information sharing plans, procedures, and protocols

Organization and Leadership

- National Incident Management System (NIMS)
- National Response Plan (NRP)
- Applicable legislation, plans, directives, policies, and procedures

Equipment

- Information sharing network architecture (e.g., Regional Information Sharing System- RISS, Joint Regional Information Exchange System- JRIS, National Law Enforcement Telecommunication System- NLETS, FBI CJIS/NCIC networks)
- Information sharing network standards- survivable; interoperable; compatible; secure; accessible
- Hardware and software physical and network security
- Data synthesis software (Hazard prediction, assessment, and threat modeling software)
- Data collection/information gathering software
- Access to early detection/alert programs and networks and all-source information (i.e. Public Health Information Network, Biosense, Homeland Security Information Network, Information Sharing and Analysis Centers, etc.)

Training

- Use and handling of classified information
- Information sharing plans, procedures, and protocols

Exercises, Evaluations, and Corrective Actions

- Lessons learned from intelligence/information sharing exercises are incorporated into plans and procedures
- Exercises incorporate intelligence information sharing and collaboration components concurrent with varying threat levels

LINKED CAPABILITIES

- Criminal Investigation and Intervention (Terrorism Incident Law Enforcement and Investigation Annex)
- Emergency Operations Center (ESF# 5)
- Information Collection and Threat Recognition (Terrorism Incident Law Enforcement and Investigation Annex)
- Intelligence Fusion and Analysis (Terrorism Incident Law Enforcement and Investigation Incident Annex)
- Critical Infrastructure Protection and Risk Management (Multiple ESFs, Terrorism Incident Law Enforcement and Investigation Annex, Cyber Annex)
- All Hazards Planning (ESF# 5)

EVENT CONDITIONS

The primary conditions affecting the performance of this capability are the actions taken by terrorists that would alert the intelligence community of terrorist activity. Other conditions (not specifically addressed in

the scenarios) include: any gaps (i.e. lack of memorandums of understanding among jurisdictions) in the current information sharing and collaboration mechanisms that hinder effective information sharing between Federal, State, tribal, local, and private sector entities; the current development of standardized policies and guidance for State, tribal, local, and private sector entities involved in information sharing and collaboration; the current development of standardized training and exercise programs for all-hazards preparedness; the current state of integration, at each level, of the secure information network architecture for the provision of interoperable data transmission between Federal, State, local, and private sector entities.

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Intelligence Fusion and Analysis

CAPABILITY DESCRIPTION

Intelligence Fusion is the merger of data and information for the purpose of analyzing, linking, and disseminating timely and actionable intelligence. It is focused on maintaining the larger threat picture and consolidating analytical products among the various intelligence analysis units at the Federal, State, local and tribal levels for tactical, operational, and strategic use.

Intelligence Analysis is the process of examining raw data with the intent of identifying any number of forming threat pictures, recognizing potentially harmful patterns, or connecting suspicious links to discern potential indications or warnings. The analysis may focus on the raw data's relevance to a suspected or known PTE, target, attack methodology, other related terrorist activity, or any combination of these aspects. Intelligence Analysis does not solely address the content of the intelligence, but also its quality, focusing on the source and consistency of the intelligence with related information. To achieve the desired goal – actionable intelligence – the resulting product must be further analyzed for timeliness, objectivity and independence from political considerations.

Intelligence Fusion & Analysis is inherently contingent upon Information Collection & Threat Recognition and Information Sharing & Collaboration capabilities for the supply of data to be analyzed. When properly integrated and disseminated into timely and actionable intelligence products, Intelligence Fusion and Analysis supports the full range of protective and operational anti- and counterterrorism measures.

OUTCOME

To produce timely, accurate, and actionable strategic intelligence/information products in support of prevention, awareness, deterrence, response, and continuity planning operations.

ESF/ANNEX

Terrorism Incident Law Enforcement and Investigation Incident Annex

ASSOCIATED CRITICAL TASKS

IM 5.1.1.1	Establish all-source intelligence fusion center
IM 5.1.1.6	Coordinate intelligence operations, analysis, and distribution
PCS 1.4.1	Evaluate, integrate, analyze and interpret intelligence and surveillance information
PCS 1.6	Evaluate intelligence and surveillance activities
PCS 3.1	Investigate nature and source of threat
NS 1.1	Plan and direct strategic intelligence and surveillance activities
NS 1.3	Process and exploit collected strategic information
NS 1.5	Disseminate timely and accurate national strategic and threat intelligence consistent with security clearances as appropriate
NS 1.4	Produce strategic intelligence and surveillance information
NS 1.4.1.1	Identify national, State and regional concerns and threats
NS 1.6	Evaluate intelligence and surveillance activities

MEASURES

Capability Measures

Yes/No	Risk mitigation plans incorporate current intelligence analysis products
Yes/No	Jurisdiction/entity operates intelligence fusion and analysis center
Yes/No	Intelligence operations' policies, protocols, and procedures are utilized in training and exercises

Performance Measures

Yes/No	Intelligence fusion and analysis plans successfully implemented
Yes/No	Fusion centers were appropriately staffed (e.g., personnel collocated)
Yes/No	Fusion centers integrated relevant information from Federal, State, local, and tribal sources
Number	Of actionable intelligence products released
Percent	Of intelligence/information products evaluated for timeliness, accuracy, usability, completeness, relevance, objectivity, and availability prior to dissemination
Time	To receive and document updated intelligence/information
Time	To process requests for analytic and investigative support services
Time	To integrate and analyze current processed intelligence/information
Time	To disseminate intelligence products to appropriate entities involved in the development of prevention, awareness, deterrence, and response planning and operations
Time	To authenticate, validate and resolve conflicting information

CAPABILITY ELEMENTS

Personnel

- Personnel with security clearances
- Multi-disciplinary personnel to support intelligence analyses (public health analysts, HAZMAT analysts, etc.)
- Intelligence personnel (e.g., analysts, supervisors, officers)
- Administrative and support personnel (e.g., information technology/communications, fusion center staff, security)
- Public health analysts

Planning

- PDD (Presidential Decision Directives) 39 and PDD 62
- National Criminal Intelligence Sharing Plan
- The Intelligence Community (National Intelligence Director, CIA, and related Federal intelligence organizations)

Organization and Leadership

- National Incident Management System (NIMS)
- National Response Plan (NRP)
- Applicable legislation, plans, directives, policies, and procedures

Equipment

- Hardware, software, and internet-based systems that allow for information exchange and dissemination
- Data synthesis software (hazard prediction, assessment, and threat modeling software)

Training

- Law enforcement and other non-law enforcement personnel (e.g., sworn and non-sworn members)
- Intelligence operations personnel (e.g., commanders/supervisors, officers, analysts)

Exercises, Evaluations, and Corrective Actions

- System for incorporating lessons learned into plans and procedures
- Exercises on intelligence cycle operations (concurrent with varying threat levels)

LINKED CAPABILITIES

- Criminal Investigation and Intervention (Terrorism Incident Law Enforcement and Investigation Annex)
- Emergency Operations Center (ESF# 5)
- Information Collection and Threat Recognition (Terrorism Incident Law Enforcement and Investigation Annex)
- Information Sharing and Collaboration (Multiple ESFs, Terrorism Incident Law Enforcement and Investigation Annex, Cyber Incident Annex)
- Public Health Epidemiological Investigation and Laboratory Testing (ESF# 8)
- Critical Infrastructure Protection and Risk Management (Multiple ESFs, Terrorism Incident Law Enforcement and Investigation Annex, Cyber Annex)
- All Hazards Planning (ESF# 5)

EVENT CONDITIONS

The primary conditions affecting the performance of the capability are the extent of information on, and the understanding of, current operations of terrorist individuals/groups/organizations. The quality of this information is dependent upon the degree of collaboration and information sharing among Federal, State, local, tribal, public, and private sector entities; the current state of integration, at each level, of the secure information network architecture for the provision of interoperable data transmission between Federal, State, local, and private sector entities; the current development of standardized policies and guidance for State, tribal, local, and private sector entities involved in intelligence operations; and the quality of personnel involved in intelligence analysis operations.

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Isolation and Quarantine

CAPABILITY DESCRIPTION

The capability to protect the health of the population through the use of isolation and/or quarantine measures in order to contain the spread of disease to themselves or others. Isolation of ill individuals may occur in homes, hospitals, designated health care facilities, or alternate facilities. Quarantine refers to the separation and restriction of movement of persons who, while not yet ill, have been exposed to an infectious agent and therefore may become infectious. Successful implementation will require that sufficient legal, logistical and informational support exists to maintain these measures.

OUTCOME

Successful separation, restriction of movement, and health monitoring of individuals who are ill, exposed, or likely to be exposed, in order to stop the spread of a contagious disease outbreak. Legal authority for these measures is clearly defined and communicated to the public. Logistical support is provided to maintain measures until danger of contagion has elapsed.

ESF/ANNEX

ESF#8: Public Health and Medical Services

ASSOCIATED CRITICAL TASKS

IPR 8.10	Monitor adverse treatment reactions
IM 8	Coordinate public health and medical services
IM 8.3	Provide comprehensive stress management strategies, programs, and crisis response teams
IM 15.3	Direct and control public information releases
IM 15.3.3	Disseminate health and safety information to the public
NS 5.10.2.6	Assist in disease control, quarantine, containment, and eradication (NRP)

MEASURES

Capability Measures

Yes/No	Isolation and quarantine plans are established
Yes/No	Legal authority is defined within State statutes and regulations and integrated into local, regional and State response plans
Yes/No	Shelter-in-place plans are established
Yes/No	Arrangements for additional isolation and quarantine housing are identified
Yes/No	Public information messages related to quarantine and isolation are prepared
Yes/No	Plans are in place to provide logistic supports (e.g. food and prescription delivery) to quarantined and isolated population
Yes/No	Plans and procedures are in place to enforce compulsory quarantine orders
Yes/No	Isolation and quarantine plans and procedures have been exercised

Performance Measures

Yes/No	Isolation and quarantine plans were successfully implemented
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Yes/No	Clear legal authority was identified and used for declaration of quarantine and/or isolation measures
Time	From identification of need for isolation and quarantine to implementation of quarantine and/or isolation orders
Yes/No	Orders were successfully prepared and issued
Yes/No	Public information messages were successfully delivered
Yes/No	Status reports were developed directly from or regarding quarantined individuals and populations
Yes/No	Public information messages were understandable and effective
Yes/No	The plan to handle logistics for quarantined and isolated population was implemented
Yes/No	Plan to deal with violations of compulsory quarantine and isolation orders was implemented
Percent	Of targeted population that complied with quarantine/isolation directives

CAPABILITY ELEMENTS

Personnel

- Public health personnel (including Disease Control Experts)
- Legal experts and personnel to prepare and issue orders
- Medical personnel (e.g., physicians, nurses, EMTs, and home health care workers, infection control professionals)
- Transportation and other logistical personnel
- Ancillary staff (i.e., all hospital workers)
- Mental health services personnel
- Security, oversight, and maintenance personnel for isolation and quarantine facilities
- Enforcement personnel
- Support staff (e.g., logistics, food, custodial)
- Volunteer Services personnel (to deliver food, medications, etc. to isolated or quarantined populations)
- Public information personnel

Planning

- Quarantine and isolation plan (with coordination among public health, law enforcement, judicial, medical, mental health, emergency management, and volunteer organizations)
- Legal requirements, responsibilities, and authorities
- Plans for non-resident individuals (e.g., travelers, homeless) and other special populations
- Isolation and quarantine forms/orders (voluntary and Involuntary)
- Logistical planning
- Education plan on quarantine for the public

Organization and Leadership

- National Incident Management System (NIMS)
- National Response Plan (NRP)
- Applicable legislation, plans, directives, policies, and procedures

Equipment

- Medical or alternate care facilities for isolation (and equipment)
- Facilities for quarantine
- Printed quarantine and isolation orders and placards
- Supplies for those in isolation and quarantine (medications, food, water, etc.)
- Office equipment (e.g., computers, fax machines, printers)
- Data collection system
- Emergency electrical generators
- Interoperable communications equipment
- Personal protective equipment (PPE)

Training

- Infection control
- Judicial training for localities
- Hospital Emergency Incident Command Systems/Incident Command Systems/National Incident Command System (HEICS/ICS/NIMS)
- Education on the isolation and quarantine process for First Responders and localities
- Emergency risk communication
- PPE
- Interoperable communications

Exercises, Evaluations, and Corrective Actions

- Regional/local health exercises that include quarantine and isolation components
- System for incorporating lessons learned into plans and procedures

LINKED CAPABILITIES

- Emergency Operations Center (ESF# 5)
- Emergency Public Education (ESF# 5, 15, Public Affairs Support Annex)
- Emergency Public Information (ESF# 5, 15, Public Affairs Support Annex)
- Emergency Response Communications (ESF# 2)
- Mass Care (ESF# 6)
- Mass Prophylaxis and Vaccination (ESF# 8)
- Medical Supplies Management and Distribution (ESF# 8)
- Medical Surge (ESF# 8)
- Pre-Hospital Triage and Treatment (ESF# 8)
- Public Health Epidemiological Investigation and Laboratory Testing (ESF# 8)
- Public Safety and Security Response (ESF# 13)
- Worker Health and Safety (ESF# 5, 8, Worker Safety and Health Annex)

EVENT CONDITIONS

The primary conditions affecting the performance of this capability are the disease agent, population susceptibility, and any relevant environmental factors.

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MASS CARE

(Sheltering, Feeding, and Related Services)

CAPABILITY DESCRIPTION

The capability to provide mass care services, to include shelter, feeding, basic first aid, bulk distribution of needed items and other related services to incident victims. Related services may include, but are not limited to, mental health services and family reunification, among others.

OUTCOME

Rapid provision of mass care services for the affected population.

ESF/ANNEX

ESF#6: Mass Care, Housing, and Human Services

CRITICAL TASKS

IPR 6.1	Activate shelter and feeding plan
IM 6.1	Assess Need for Emergency feeding and shelter activities

MEASURES

Capability Measures

Yes/No	Mass care plan has been developed
Number	Shelter agreements in place for each county
Number	Shelter supply kits on hand to support sheltering requirements
Number	Estimated people who can be sheltered
Number	Vendor/institutional agreements in place for each county to support feeding requirements
Time	From notification of disaster to opening of shelter
Yes/No	Local government has evacuation plan

Performance Measures

Yes/No	All shelter residents transitioned from shelter to alternative accommodations/interim housing prior to shelter closure
Yes/No	Reunification of families was completed
Yes/No	Long term recovery issues addressed through participation in local planning process
Yes/No	Mass care plan successfully implemented

CAPABILITY ELEMENTS

Personnel

- Personnel to provide shelter operations
- Personnel to provide feeding support in shelters, and community if needed
- Personnel to provide basic first aid, mental health services and family services

- Personnel to provide logistics support for mass care operations
- Personnel to provide liaison services to emergency operations centers
- Personnel to provide public affairs support at Joint Information Centers (JICs)
- Personnel to provide law enforcement/private security coordination if needed

Planning

- Mutual aid agreements (for logistics and supplies)
- Shelter agreements for use of facilities
- Emergency sheltering plan
- Emergency feeding plan
- Plan for human services to include, but not limited to, basic first aid, mental health, family services to include family reunification and appropriate referrals to other agencies
- Plan for transition to alternate housing, if needed, once shelters close

Organization and Leadership

- National Incident Management System (NIMS)
- National Response Plan (NRP)
- Applicable legislation, plans, directives, policies, and procedures

Equipment

- Shelter supply kits with administrative supplies
- Basic first aid supplies
- Vehicles to support distribution of food/meals to affected communities, to include POVs
- Access to food handling equipment, such as serving utensils and food containers (i.e.-cambros and coolers), among other items

Training

- Mass Care Overview
- Shelter Operations Management

Exercises, evaluations, and corrective actions

- System for incorporating lessons learned into plans and procedures
- Exercise of mass care plans

LINKED CAPABILITIES

- Emergency Operations Center (ESF# 5)
- Restoration of Lifelines (ESF# 3)
- Economic and Community Recovery (ESF# 6, 14)

EVENT CONDITIONS

The factors affecting this capability are the number of displaced persons, the size of the area in which people are displaced, and the length of time before persons can return to their homes. It applies to all scenarios except ones that are highly localized (e.g. Improvised Explosive Device) and those not involving damage to physical infrastructure such as foodborne illness, plague, and pandemic influenza.

REFERENCES

1. Homeland Security Presidential Directive/HSPD-8, "National Preparedness". December 2003.
<http://www.whitehouse.gov/news/releases/2003/12/20031217-6.html>
2. National Response Plan (NRP). Department of Homeland Security. December 2004.
3. National Mutual Aid and Resource Management Initiative, Resource Typing Definitions- I. Federal Emergency
4. Management Agency. January 2004. http://www.fema.gov/pdf/preparedness/initial_60_rtd.pdf

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Mass Prophylaxis and Vaccination

CAPABILITY DESCRIPTION

The capability to protect the health of the population through a mass prophylaxis and vaccination campaign following an event. This includes the provision of appropriate follow-up medical care, as well as risk communication messages to address the concerns of the public.

OUTCOME

Appropriate prophylaxis and vaccination strategies are implemented in a timely manner upon the onset of an event, with an emphasis on the prevention, treatment, and containment of the disease. Prophylaxis and vaccination campaigns are integrated with corresponding public information strategies.

ESF/ANNEX

ESF#8: Public Health and Medical Services

ASSOCIATED CRITICAL TASKS

IPR 8.9	Implement local, regional and State prophylaxis protocols
IM 8	Coordinate public health and medical services
IM 8.8.1.1	Coordinate dispensing of mass therapeutics and/or vaccines
IM 8.8.1.7.1	Provide prophylactic protection and/or immunizations to all responders, including non-governmental personnel supporting relief efforts
IM 15.3	Direct and control public information releases
IM 15.3.3	Disseminate health and safety information to the public

MEASURES

Capability Measures

Yes/No	Mass prophylaxis and vaccination plans have been prepared
Yes/No	Plans identify resource requirements
Yes/No	Public information messages are prepared providing information regarding who should report to what location and how in order to receive prophylaxis or vaccination
Yes/No	Plans exist to enlist supplemental providers and volunteers
Yes/No	Mass vaccination and dispensing methods have been exercised

Performance Measures

Yes/No	Mass prophylaxis and vaccination plans were successfully implemented
Yes/No	Public information messages were accurate, consistent and timely
Yes/No	Sufficient competent personnel were available to staff dispensing centers or vaccination clinics
Rate	At which dispensing centers or vaccination clinics process patients (persons per minute)
Percent	Proportion of at-risk population that was successfully vaccinated and/or provided prophylaxis

CAPABILITY ELEMENTS

Personnel

- Public health experts
- Personnel for dispensing centers, vaccination clinics, and other dispensing methods (e.g., nurses, pharmacists, traffic control, security, inventory)
- Transportation personnel
- Ancillary staff (runners, data entry and EMS)
- Communications personnel
- Security personnel
- Media and public health education personnel to provide informational messages

Planning

- Prioritization plan/procedure for the vaccination and medication of critical staff and their families
- Plans to enlist supplemental providers and volunteers for staffing clinics and phone banks
- Plans for dispensing centers, vaccination clinics, and other dispensing methods
- National Response Plan (NRP)
- National Incident Management System (NIMS)

Organization and Leadership

- National Incident Management System (NIMS)
- National Response Plan (NRP)
- Applicable legislation, plans, directives, policies, and procedures

Equipment

- Facilities for dispensing centers and vaccination clinics
- Supplies and equipment for dispensing centers and vaccination clinics (e.g., vaccine, medical equipment, signs, forms, fact sheets)
- Interoperable communications equipment
- Personal protective equipment (PPE)

Training

- Training on dispensing center and vaccination clinic operations
- Training in communicating with the public regarding mass prophylaxis and vaccination
- Emergency risk communication/awareness
- Interoperable communications
- PPE
- Security
- Strategic National Stockpile
- NIMS

Exercises, Evaluations, and Corrective Actions

- Exercises to test dispensing center and vaccination clinic site plans
- System for incorporating lessons learned into plans and procedures

LINKED CAPABILITIES

- Emergency Operations Center (ESF# 5)
- Emergency Public Information (ESF# 5, 15, Public Affairs Support Annex)
- Emergency Response Communications (ESF# 2)
- Environmental Health and Vector Control (ESF# 8, 11)
- Isolation and Quarantine (ESF# 8)
- Public Health Epidemiological Investigation and Laboratory Testing (ESF# 8)
- Public Safety and Security Response (ESF# 13)
- Medical Supplies Management and Distribution (ESF# 8)
- Medical Surge (ESF# 8)
- Volunteer Management and Donations (Volunteer and Donations Management Support Annex)
- Worker Health and Safety (ESF# 5, 8, Worker Safety and Health Annex)

EVENT CONDITIONS

The primary factors affecting this capability are the number of infected and exposed individuals, disease agent, population susceptibility, and any relevant environmental factors.

REFERENCES

1. Homeland Security Presidential Directive/HSPD-8, "National Preparedness". December 2003.
<http://www.whitehouse.gov/news/releases/2003/12/20031217-6.html>
2. National Response Plan (NRP). Department of Homeland Security. December 2004.
3. National Incident Management System (NIMS). Department of Homeland Security. March 2004.
<http://www.dhs.gov/interweb/assetlibrary/NIMS-90-web.pdf>
4. Modular Emergency Medical System: Concept of Operations for the Acute Care Center (ACC), Biological Weapons Improved Response Program. SBCCOM, Maryland. May 2003.
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7. Emergency Response Training Requirements for Hospital Staff. Occupational Safety and Health Administration standard interpretation. April 1997.
8. Hazardous Waste Operations and Emergency Response, 29 CFR 1910.120. Occupational Safety and Health Administration. November 2002.
9. Medical Personnel Exposed to Patients Contaminated with Hazardous Waste. Occupational Safety and Health Administration standard interpretation. March 1992.
10. Training Requirements for Hospital Personnel Involved in an Emergency Response of a Hazardous Substance. Occupational Safety and Health Administration standard interpretation. October 1992.

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Medical Supplies Management and Distribution

CAPABILITY DESCRIPTION

The capability to securely transport, manage, and distribute medical supplies during an incident.

OUTCOME

Critical medical supplies and equipment are appropriately secured, managed, distributed, and restocked in a timeframe appropriate to the incident.

ESF/ANNEX

ESF#8: Public Health and Medical Services

ASSOCIATED CRITICAL TASKS

IM 8.2.4	Coordinate stockpile assets for medical providers
PCS 5.9.9	Coordinate regional and State medical equipment and supplies in support of immediate medical response operations and for restocking health care facilities
NS 5.7.5	Provide medical equipment and supplies in support of immediate medical response operations and for restocking health care as requested
NS 5.7.1	Coordinate with State, local, and tribal medical, mental health, substance abuse, public health officials and private-sector to determine current assistance requirements

MEASURES

Capability Measures

Yes/No	Jurisdiction has emergency public health and medical distribution plans
Yes/No	Hazard-specific response plan identifies and prioritizes resource needs
Yes/No	Appropriate public information messages are in place
Yes/No	There are plans for the procurement, rotation and maintenance of stockpiled assets
Yes/No	Special needs populations are identified and provided for
Yes/No	Plans provide for security in storage, transport and distribution
Yes/No	Plans document mutual aid and other agreements
Yes/No	Plans and procedures are appropriately trained
Yes/No	Plans are exercised

Performance Measures

Yes/No	Medical logistics and distribution plans were successfully implemented in accordance with NIMS
Time	From assessment of shortfalls to requests for needed supplies
Time	From request to arrival of needed supplies
Yes/No	Stockpiled assets were properly maintained
Yes/No	The requirements of special needs populations were successfully met
Yes/No	Security was adequately provided

Number	Patients treated
Percent	Patients treated

CAPABILITY ELEMENTS

Personnel

- Medical distribution personnel (e.g., transportation personnel, warehouse workers, inventory personnel)
- Medical personnel (e.g. pharmacists, nurses)
- Planning personnel
- Mental health professionals
- Security personnel

Planning

- Medical logistics and distribution plans (including security, health care facilities and special needs populations)
- Planning to receive Strategic National Stockpile (SNS)
- Agreements/contracts with transportation companies
- Agreements/contracts with private medical suppliers (where applicable and necessary)
- Coordination among public health agencies and healthcare facilities
- National Response Plan (NRP)/National Incident Management System (NIMS)

Organization and Leadership

- National Incident Management System (NIMS)
- National Response Plan (NRP)
- Applicable legislation, plans, directives, policies, and procedures

Equipment

- Interoperable communications equipment
- Warehouses and associated equipment (e.g., forklifts, inventory tracking system)
- Transport vehicles
- Personal protective equipment (PPE)
- Medical supplies stockpiles (e.g., pharmaceuticals, ventilators, monitors)
- Laboratory surge capacity equipment and supplies

Training

- Medical logistics
- Interoperable communications
- PPE
- Strategic National Stockpile

Exercises, Evaluations, and Corrective Actions

- State/regional/local health exercise (including logistics and distribution)
- System for incorporating lessons learned into plans and procedures

LINKED CAPABILITIES

- Critical Resource Logistics and Distribution (ESF# 5, 7)
- Emergency Operations Center (ESF# 5)
- Emergency Public Education (ESF# 5, 15, Public Affairs Support Annex)
- Fatality Management (ESF# 8)
- Hazard and Vulnerability Analysis (ESF# 5)
- Isolation and Quarantine (ESF# 8)
- Mass Care (ESF# 6)
- Mass Prophylaxis and Vaccination (ESF# 8)
- Medical Surge (ESF# 8)
- Pre-Hospital Triage and Treatment (ESF# 8)
- Public Health Epidemiological Investigation and Laboratory Testing (ESF# 8)
- Public Safety and Security Response (ESF# 13)
- Worker Health and Safety (ESF# 5, 8, Worker Safety and Health Annex)

EVENT CONDITIONS

The primary conditions affecting the performance of the capability are the number of casualties and potentially exposed (as well as the type and severity of injuries); and the types of supplies required for the number, nature, and severity of the illnesses or injuries.

REFERENCES

1. Homeland Security Presidential Directive/HSPD-8, "National Preparedness". December 2003. <http://www.whitehouse.gov/news/releases/2003/12/20031217-6.html>
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3. National Incident Management System (NIMS). Department of Homeland Security. March 2004. <http://www.dhs.gov/interweb/assetlibrary/NIMS-90-web.pdf>
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5. National Mutual Aid and Resource Management Initiative, Resource Typing Definitions – I. Federal Emergency Management Agency. January 2004. http://www.fema.gov/pdf/preparedness/initial_60_rtd.pdf
6. Strategic National Stockpile. January 2005. <http://www.bt.cdc.gov/stockpile>

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Medical Surge

CAPABILITY DESCRIPTION

The capability to provide triage and adequate medical care. This includes providing definitive care to individuals at the appropriate clinical level of care over sufficient time to achieve recovery and avoid medical complications. The capability applies to an event resulting in a number or type of patients that outstrip the day-to-day acute-care medical capacity in a given area.

OUTCOME

Patients admitted to medical facilities have reasonably minimized morbidity and mortality rates, even when the numbers of casualties exceed the limits of the normal medical infrastructure for an affected community. Rates of recovery, mortality and complications are close, or equal to, what is attainable under normal conditions given the nature of the illness or casualties.

ESF/ANNEX

ESF#8: Public Health and Medical Services

ASSOCIATED CRITICAL TASKS

IPR 8.1.3	Mobilize burn/trauma/pediatric health care specialists
IPR 8.1.5.4	Triage and treat patients at the medical facilities
IPR 8.1.5.5	Track patient status and location
IPR 8.11	Execute medical mutual aid agreements
IPR 8.2.3	Provide counseling services
IPR 8.2.4	Provide family support services
IPR 8.2.5	Provide for worker crisis counseling and mental health and substance abuse behavioral health support
IPR 8.9	Implement local, regional and State prophylaxis protocols
IM 8	Coordinate public health and medical services
IM 8.1	Conduct situation assessment and establish hospital emergency incident command system (HEICS) as the means to provide direction
IM 8.1.1.2	Activate health care workers and volunteers call systems
IM 8.1.1.3	Activate procedures for essential nursing and medical care
IM 8.1.1.4	Coordinate provision of emergency medical and dental care
IM 8.1.2	Develop plans, procedures, and protocols to identify and manage local and regional hospital surge capacity
IM 8.1.3	Establish triage and treatment policies
IM 8.1.3.3	Identify facilities to deal with trauma, burns and other specialized medical injuries
IM 8.1.4	Establish criteria for patient decontamination that fully considers the safety of EMS personnel and hospital-based first responders, knowing up to 80% of all victims will self refer to the nearest hospital
IM 8.14	Coordinate plans for the care of special needs populations to include non-English speaking persons, migrant workers, as well as those with medical conditions requiring attention

MEASURES

Capability Measures

Yes/No	Medical surge plans are in place and include triage, treatment, transportation, communications, and security
Yes/No	Laboratory surge capacity plans are in place
Yes/No	Special population needs are identified and planned for accordingly
Number	Available isolation/negative air pressure treatment rooms each participating hospital to have the capacity to maintain one patient in negative pressure isolation and each region to have the capacity to maintain at least 10 patients
Number	Surge casualty capacity, by type and severity of injury, for most likely classes of casualties (plan for 50 additional severely injured adult and pediatric patients per million population according to HRSA critical benchmark-see Reference 11)
Yes/No	Surge capacity for the care of 500 additional adult and pediatric patients requiring hospitalization per million of population (HRSA critical benchmark-see Reference 11)
Yes/No	Plans include the immediate deployment of additional health care personnel in support of surge bed capacity based on patient care ratios noted by the applicable Patient Care Acts (HRSA critical benchmark-see Reference 11)
Yes/No	Adequate supply of pharmaceuticals caches and equipment needed to provide prophylaxis for three days to hospital personnel, emergency first responders, and their families, as well as for the general community for which countermeasures are appropriate
Yes/No	Personnel Protective Equipment (PPE) is adequate to protect current and additional healthcare personnel
Yes/No	Medical surge plans are exercised and tested
Yes/No	Decontamination capacity to ensure that adequate portable or fixed decontamination systems exist for managing adult and pediatric patients, as well as health care personnel that have been exposed, based on ASTM standard 2413 (see Reference #13).
Yes/No	Behavioral health surge capacity is in place

Capability Measures

Yes/No	Medical surge plans were successfully exercised
Yes/No	Personnel demonstrated competencies defined by the given healthcare professions to address recognitions, diagnosis, treatment, and reporting
Number	Of personnel that were available to augment medical treatment facilities
Number	Of beds that were available by casualty category (e.g. ICU, PEDs, general, burn)
Number	Of alternate care centers established
Number	Available supplies, pharmaceuticals, and equipment needed to effectively support a facility's reported surge capacity
Number	Patients successfully tracked
Percent	Proportion of staff protected by PPE
Percent	Of population treated that recovers from injuries (over time)
Percent	Of hospitals that were available to support the incident
Percent	Of hospitals that reached capacity
Percent	Of casualties hospitalized

CAPABILITY ELEMENTS

Personnel

- Primary care personnel
- Support personnel (e.g. laboratory, custodial, food service)
- Translators
- Public health information specialists
- Security personnel

Planning

- Medical surge plans and protocols
- Plans for local and inter-jurisdictional incident command structure
- Plans coordinated with pre-hospital providers
- Mutual aid agreements
- National Disaster Medical System (NDMS) coordination
- National Response Plan (NRP)
- National Incident Management System (NIMS)

Organization and Leadership

- National Incident Management System (NIMS)
- National Response Plan (NRP)
- Applicable legislation, plans, directives, policies, and procedures

Equipment

- Hospital and medical facilities and equipment
- Laboratory facilities and equipment
- Patient transport vehicles
- Medical transport vehicles
- Interoperable communications equipment (internal/external)
- Computer hardware and modeling software (e.g., bed availability software)
- Alternate casualty transport vehicles
- Alternate care facilities (e.g., hotels, schools)
- Personal Protective Equipment (PPE)

Training

- PPE
- Interoperable communications
- Medical surge-hospital response plans, emergency response plans, equipment to be used, communications, resources, problem-solving
- CBRNE clinical care

Exercises, Evaluations, and Corrective Actions

- Regional/local exercises that include medical surge aspects
- System for incorporating lessons learned into plans and procedures

LINKED CAPABILITIES

- Emergency Operations Center (ESF# 5)
- Emergency Response Communications (ESF# 2)
- Fatality Management (ESF# 8)
- Isolation and Quarantine (ESF# 8)
- Medical Supplies Management and Distribution (ESF# 8)
- Pre-Hospital Triage and Treatment (ESF# 8)
- Worker Health and Safety (ESF# 5, 8, Worker Safety and Health Annex)

EVENT CONDITIONS

The primary conditions affecting the performance of the capability are the number of hospitalizations (and how they are phased over time) and nature of the illnesses or injuries (i.e. do they require beds, specialized care), potential damage to existing infrastructure caused by the incident, size of the existing medical infrastructure (including both beds, personnel, and equipment), and the degree to which medical facilities in the incident region have mutual aid agreements to deal with surge requirements.

REFERENCES

1. Homeland Security Presidential Directive/HSPD-8, "National Preparedness". December 2003. <http://www.whitehouse.gov/news/releases/2003/12/20031217-6.html>
2. National Response Plan (NRP). Department of Homeland Security. December 2004.
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6. Emergency Response Training Necessary for Hospital Physicians/Nurses That May Treat Contaminated Patients. Occupational Safety and Health Administration standard interpretation. March 1999. <http://www.osha.gov/pls/oshaweb/>
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10. Training Requirements for Hospital Personnel Involved in an Emergency Response of a Hazardous Substance. Occupational Safety and Health Administration standard interpretation. October 1992. <http://www.osha.gov/pls/oshaweb/>
11. CDC and HRSA FY03 Critical Benchmarks for Public Preparedness and Hospital Preparedness. Office of State and Local Government Coordination and Preparedness. Office of the Assistant Secretary for Public Health Preparedness. HHS. January 22, 2004. <http://www.hhs.gov/asphep/presentation/engstrom3.pdf>
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13. E2413-04 Standard Guide for Hospital Preparedness and Response. ASTM International. January 2005.
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On-Site Incident Management

CAPABILITY DESCRIPTION

The capability to effectively direct and control the incident site through the use of Incident Command System (ICS)/Unified Command (UC) system consistent with the National Incident Management System (NIMS).

OUTCOME

Effective, timely, and coordinated emergency response at the incident scene.

ESF/ANNEX

ESF#5: Emergency Management

ESF#13: Public Safety and Security

ASSOCIATED CRITICAL TASKS

IPR 5.1	Establish incident site command location
IPR 5.1.1	Implement incident command system
IPR 5.1.3	Execute incident action plan
IPR 5.1.4	Execute mutual aid agreements
IM 5.4.1	Direct and control response operations
IM 8.2.1	Provide an incident health and safety plan
NS 2.4	Develop personnel qualifications and certifications for NIMS specified roles

MEASURES

Capability Measures

Yes/No	Incident action plans incorporate management structure in accordance with NIMS/NRP
Percent	Of responders trained to the appropriate level for each participating agency's designated role for the incident in NIMS
Yes/No	Personnel in key positions have been trained and have required qualifications
Yes/No	Local, regional, national mutual aid plans and agreements are in place
Yes/No	NIMS concepts are built into exercises and training
Yes/No	Incident action plans are exercised

Performance Measures

Yes/No	Incident action plans and procedures were followed (in accordance with NIMS and NRP)
Yes/No	Incident Command was established
Time	To establish onsite incident command
Time	To activate Incident Action Plan (IAP)
Yes/No	All response activities were coordinated through the incident commander

CAPABILITY ELEMENTS

Personnel

- Incident management and command personnel

Planning

- National Incident Management System (NIMS)
- National Response Plan (NRP)
- Local, regional, national mutual aid plans and agreements

Organization and Leadership

- National Incident Management System (NIMS)
- National Response Plan (NRP)
- Applicable legislation, plans, directives, policies, and procedures

Equipment

- Interoperable communications equipment
- Incident-specific site control equipment
- ICS positions checklists/job aids

Training

- NIMS
- Mutual Aid plans/agreements
- Interoperable communications

Exercises, Evaluations, and Corrective Actions

- System for incorporating lessons learned into plans and procedures
- Exercises to evaluate emergency response operations

LINKED CAPABILITIES

- Critical Resource Logistics and Distribution (ESF# 5, 7)
- Emergency Evacuation (ESF# 1)
- Emergency Operations Center (ESF# 5)
- Emergency Public Information (ESF# 5, 15, Public Affairs Support Annex)
- Emergency Response Communications (ESF# 2)
- Explosive Device Detection and Response Operations (ESF#3)
- Fatality Management (ESF# 8)
- Firefighting Operations/Support (ESF# 4)
- HAZMAT (ESF# 10)
- Medical Supplies Management and Distribution (ESF# 8)
- Pre-Hospital Triage and Treatment (ESF# 8)
- Public Safety and Security Response (ESF# 13)
- Restoration of Lifelines (ESF# 3)
- Urban Search and Rescue (ESF# 9)
- Volunteer Management and Donations (Volunteer and Donations Management Support Annex)
- Water Search and Rescue (ESF# 9)

EVENT CONDITIONS

The primary conditions affecting the performance of the capability are the magnitude and complexity of the event (especially in terms of the number of agencies and responders involved, the number of incident sites, damage to communications and the expected duration of the incident (i.e., until all resources are properly and safely demobilized), and the extent to which the roles and responsibilities undertaken during emergency operations have been defined, agreed upon by, assigned to, and understood by all necessary agencies.

Any scenario might require the combined efforts of responders from various local, State, regional, private sector, and Federal entities in order to carry out sustained support for emergency operations and expand the ICS to an inter-jurisdictional and national focus. The coordination of the ICS will be critical in this regard to avoid duplication of efforts and to manage strained resources. While the focus would be on response, it is important to note that some scenarios can impact a large geographical area in a relatively short period of time (i.e., when hazards are fast-moving). Thus, they necessitate the coordinated efforts of several jurisdictions.

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11. National Disaster Medical System (NDMS), Department of Homeland Security. 2004.
<http://ndms.dhhs.gov/>

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Pre-Hospital Triage and Treatment

CAPABILITY DESCRIPTION

The capability to provide care to casualties prior to arrival at treatment hospital or facility through triage, stabilization, and rapid/safe transportation from the incident scene to treatment facilities.

OUTCOME

Casualties are rapidly triaged, stabilized, and safely transported to the most appropriate place or staging area for definitive care; decontamination is conducted as needed.

ESF/ANNEX

ESF#8: Public Health and Medical Services

ASSOCIATED CRITICAL TASKS

IPR 1.5.1	Render first aid on the scene; save lives
IPR 8.1	Conduct situation assessment and implement casualty management plan
IPR 8.1.1	Conduct triage
IPR 8.1.2	Provide immediate emergency aid
IPR 8.1.5.1	Triage and treat patients at the screening site
IPR 8.1.5.2	Triage and treat patients at the decontamination site
IPR 8.1.5.3	Triage and treat patients at the incident site
IPR 8.5	Collect and isolate human remains
IM 8.1.3	Establish triage and treatment policies
IM 8.1.3.8	Enhance emergency system patient transport system
IM 8.1.6	Ensure the implementation of mutual aid and emergency communication between first responder organizations and hospital-based first receivers
IM 8.5.3	Coordinate mortuary/morgue services
IM 8.6	Coordinate disaster mortuary operations response team (DMORT) assets/services

MEASURES

Capability Measures

Yes/No	Mass casualty triage and treatment plans are in place
Number	Medical professionals who can be activated and transported to the triage site
Yes/No	Communications plan is in place among hospitals/EMS/incident command
Yes/No	Patient tracking system is in place
Yes/No	Mass casualty triage and treatment plans have been appropriately trained and exercised

Performance Measures

Yes/No	Pre-hospital triage and treatment plans were successfully implemented
Yes/No	Patients were successfully tracked

Yes/No	PPE equipment was available to first responders
Number	Patients transported (including tracking where patients are transported)
Number	Decontaminated patients
Rate	Casualties stabilized per hour
Percent	Proportion of all casualties stabilized per hour
Percent	Correctly triaged
Percent	Proportion of re-triaged of all those originally triaged
Rate	Mortality rate among casualties
Time	Average time to complete triage
Time	Average time to complete stabilization

CAPABILITY ELEMENTS

Personnel

- Transportation personnel (e.g., pilots, drivers)
- Mental health personnel
- Onsite medical personnel (e.g., physicians, paramedics/emergency medical technicians, triage staff)
- Onsite support personnel (e.g. litter bearers, admin, set-up, communications)
- Onsite security personnel

Planning

- Triage protocols
- Transportation/transfer plans
- Patient care protocols
- National Response Plan (NRP)/National Incident Management System (NIMS)
- Mutual aid agreements
- Field to hospital coordination to determine the most appropriate place for care, given hospitals resources
- National standards (ASTM E2413) (see Reference 7)

Organization and Leadership

- National Incident Management System (NIMS)
- National Response Plan (NRP)
- Applicable legislation, plans, directives, policies, and procedures

Equipment

- Decontamination equipment
- Geographic information/routing systems
- Information system for patient tracking
- Interoperable communications equipment
- Medical equipment and supplies
- Personal protective equipment (PPE)
- Transport equipment (e.g., air, ground)
- Triage tags and support equipment (e.g., tarps, tracking boards, vests)

Training

- PPE
- Medical/emergency medical services (EMS) certification and training
- Interoperable communications
- NIMS
- Weapons of Mass Destruction-Chemical, Biological, Radiological, Nuclear, and Explosives (CBRNE)
- CBRNE
- Training for mass casualty incidents
- Training standards for patient triage, stabilization, transport and tracking

Exercises, Evaluations, and Corrective Actions

- State/regional/local health exercises that include triage and transport
- Hospital-based exercises
- System for incorporating lessons learned into plans and procedures

LINKED CAPABILITIES

- Emergency Evacuation (ESF# 1)
- Emergency Operations Center (ESF# 5)
- Emergency Response Communications (ESF# 2)
- Fatality Management (ESF# 8)
- HAZMAT (ESF# 10)
- Mass Prophylaxis and Vaccination (ESF# 8)
- Mass Care (ESF# 6)
- Medical Supplies Management and Distribution (ESF# 8)
- Medical Surge (ESF# 8)
- On-site Incident Management (ESF# 5, 13)
- Public Health Epidemiological Investigation and Laboratory Testing (ESF# 8)
- Urban Search and Rescue (ESF# 9)
- Worker Health and Safety (ESF# 5, 8, Worker Safety and Health Annex)

EVENT CONDITIONS

The primary conditions affecting the performance of this capability are the number of casualties and the type and severity of injuries. The type of attack/disaster (e.g., explosive vs. sarin) is also important because event-specific conditions (such as contamination of victims) can also impact this capability.

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Public Health Epidemiological Investigation and Laboratory Testing

CAPABILITY DESCRIPTION

The capability to conduct epidemiological investigations and public health laboratory testing. It includes exposure and disease (both deliberate release and naturally occurring) detection, reporting, laboratory confirmation, and epidemiological investigation.

OUTCOME

Potential exposure and disease will be identified rapidly, reported to multiple locations immediately, investigated promptly, and accurately confirmed to ensure appropriate preventive or curative countermeasures are implemented. Additionally, public health laboratory testing and epidemiological investigation is coordinated with law enforcement and other appropriate agencies. Because slowly evolving outbreaks require ongoing surveillance, rapid detection and confirmation may not be feasible for some organisms.

ESF/ANNEX

ESF#8: Public Health and Medical Services

ASSOCIATED CRITICAL TASKS

IPR 8.4	Disseminate laboratory testing results
IM 8.2.2	Conduct public health surveys
IM 8.5	Conduct epidemiological investigations as surveillance reports warrant and coordinate disaster medical assistance team (DMAT) assets/services
IM 8.12	Direct investigations to determine source of disease
PCS 3.5	Coordinate public health surveillance and testing processes, immunizations, and isolation or quarantine for biological threats
PCS 5.9.22	Provide relevant State laboratory support for agent identification of biological, chemical, radiological and nuclear agents
PCS 5.12.3	Coordinate efficient regional and State identification and information systems to facilitate early detection and mitigation of disease
NS 3.5	Direct public health processes for surveillance and testing, immunizations, prophylaxis, isolation or quarantine for biological, chemical, nuclear, radiological, agricultural, and food threats
NS 5.7.19	Provide relevant laboratory support for identification of biological, chemical, radiological and nuclear agents in clinical (human and animal), environmental and food specimens
NS 5.10.5	Provide efficient surveillance and information systems to facilitate early detection and mitigation of disease

MEASURES

Capability Measures

Yes/No	Epidemiological investigation protocols are in place
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Yes/No	Laboratory response plans are in place
Number	Trained disease investigators available to conduct an outbreak investigation
Rate	Specimens can be tested during an emergency (e.g., samples per day)
Yes/No	Disease surveillance and reporting system is in place
Yes/No	Reports of outbreaks are received, investigated and analyzed in a timely manner
Yes/No	Epidemiological and laboratory procedures and protocols were exercised

Performance Measures

Yes/No	Epidemiological and laboratory emergency plans were successfully implemented
Yes/No	Medical personnel successfully diagnosed and reported diseases or syndromes of concern (hospital and community-based)
Yes/No	First responders reported suspicious symptoms to medical personnel
Percent	Outbreak cases adequately documented in reporting information system
Yes/No	Alerts were generated when needed in a timely fashion
Time	From exposure to disease/syndrome identification
Rate	False alarm rate of disease surveillance system
Number	Laboratory specimens handled
Percent	Laboratory specimens analyzed correctly

CAPABILITY ELEMENTS

Personnel

- Disease experts trained in epidemiology, including disease control, outbreak investigation, and forensic epidemiology
- Laboratory personnel authorized under Clinical Laboratory Improvement Amendments (CLIA)
- Risk communication personnel
- Information technology personnel

Planning

- Laboratory plans
- Communications protocol(s)
- Linkages between epidemiology and laboratory services
- Link investigations with the Department of Agriculture and Environmental Protection Agency for food and water borne outbreaks
- Participation of facilities and clinical staff (e.g., practicing physicians, nurses, pharmacists, dentists, veterinarians, etc.)
- Participation of relevant public health agencies and departments
- Planning for sustained and continuous operations
- Surge capacity for agent identification, investigation, and response (either through facilities or mutual aid agreements) via a bio-emergency plan or an epidemiology response plan, surge capacity for agent identification

Organization and Leadership

- National Incident Management System (NIMS)
- National Response Plan (NRP)
- Applicable legislation, plans, directives, policies, and procedures

Equipment

- Personal protective equipment (PPE)
- Transportation equipment
- Data collection and modeling/simulation tools
- Emergency notification system
- Emergency notification system and NEDSS
- Fully equipped LRN laboratories with operational Laboratory Information Management System (LIMS)
- Specimen collection equipment
- Data collection and computers with appropriate software
- Interoperable communications equipment

Training

- Laboratory personnel trained to meet surge capacity.
- Ongoing training for public health personnel, including epidemiologists
- Forensic epidemiology, basic epidemiology, and disease control
- First responders trained to report symptoms and suspicious circumstances
- Clinicians trained to recognize and report symptoms
- PPE
- Interoperable communications

Exercises, Evaluations, and Corrective Actions

- Exercise activation of epidemiological response plans
- Laboratory exercises to measure ability to correctly identify agent and follow subsequent protocols
- Public health surge capacity defined and supplied
- System for incorporating lessons learned into plans and procedures

LINKED CAPABILITIES

- Criminal Investigation and Intervention (Terrorism Incident Law Enforcement and Investigation Annex)
- Emergency Evacuation (ESF# 1)
- Emergency Operations Center (ESF# 5)
- Emergency Public Information (ESF# 5, 15, Public Affairs Support Annex)
- Emergency Response Communications (ESF# 2)
- Environmental Health and Vector Control (ESF# 8, 11)
- HAZMAT (ESF# 10)
- Isolation and Quarantine (ESF# 8)
- Mass Prophylaxis and Vaccination (ESF# 8)
- Medical Supplies Management and Distribution (ESF# 8)
- Medical Surge (ESF# 8)
- Pre-Hospital Triage and Treatment (ESF# 8)
- Worker Health and Safety (ESF# 5, 8, Worker Safety and Health Annex)

EVENT CONDITIONS

The primary conditions affecting the performance of this capability are the disease agent, population susceptibility, environmental factors, and the number of infected and exposed individuals. The type of disaster or emergency is also important. Terrorism events require coordination with law enforcement.

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Public Safety and Security Response

CAPABILITY DESCRIPTION

The capability to reduce the impact and consequences of an incident or major event by securing the affected area in coordination with HAZMAT, fire/rescue, and law enforcement disciplines.

OUTCOME

Successfully secure the incident scene while protecting first responders and affected community from further harm.

ESF/ANNEX

ESF#13: Public Safety and Security

ASSOCIATED CRITICAL TASKS

IPR 13.3	Conduct public safety and security response
IPR 13.3.2	Conduct traffic control
IM 13.4.4.4	Identify and establish incident perimeter and zones

MEASURES

Capability Measures

Yes/No	Public safety and security plans, policies, and procedures are in place
Number	Of incident sites that can be secured
Number	Of trained personnel available and equipped to effectively control ingress and egress of emergency vehicles and equipment
Yes/No	Traffic control plans, policies, and procedures are in place
Yes/No	Public safety and security plans have been exercised

Performance Measures

Yes/No	Safety and security plans and procedures were successfully implemented
Number	Of new or secondary injuries
Percent	Of incident site that was secured
Time	To communicate a site safety plan to all first responders at incident site
Time	To identify and segregate hot, warm, and cold zones for incident response
Time	To secure incident site
Yes/No	All traffic control and alternate ingress/egress routes were identified and staffed addressing damage/debris
Yes/No	All incident site control zones/points were clearly identified and staffed.
Yes/No	Damaged buildings and debris blocking emergency response ingress/egress were removed
Yes/No	Perimeter zones were coordinated jointly by HAZMAT, fire/rescue, and law enforcement

Yes/No	Personnel demonstrated the ability to establish NIMS / ICS command for response
Yes/No	On-scene personnel accountability system was established

CAPABILITY ELEMENTS

Personnel

- Law enforcement and security personnel
- National Guard
- Traffic control personnel (including traffic engineers)
- Building officials

Planning

- Emergency Operations Plan (EOP) consistent with National Response Plan
- (NRP), National Incident Management System (NIMS), and applicable laws and regulations
- Traffic control plan

Organization and Leadership

- National Incident Management System (NIMS)
- National Response Plan (NRP)
- Applicable legislation, plans, directives, policies, and procedures

Equipment

- Barrier/perimeter equipment and supplies
- Transportation/traffic control equipment
- Personal protective equipment (PPE)
- Interoperable communications systems

Training

- Safety and security
- Weapons of Mass Destruction-Chemical, Biological, Radiological, Nuclear, and Explosives (CBRNE)
- NIMS
- PPE

Exercises, Evaluations, and Corrective Actions

- System for incorporating lessons learned into plans and procedures
- Emergency response exercises incorporating public safety and security components

LINKED CAPABILITIES

- Criminal Investigation and Intervention (Terrorism Incident Law Enforcement and Investigation Annex)
- Emergency Evacuation (ESF# 1)
- Emergency Operations Center (ESF# 5)
- Emergency Public Information (ESF# 5, 15, Public Affairs Support Annex)
- Engineering (ESF# 3)

- Firefighting Operations/Support (ESF# 4)
- Food and Agriculture Safety and Security (ESF# 11)
- HAZMAT (ESF# 10)
- Isolation and Quarantine (ESF# 8)
- Mass Care (ESF# 6)
- Mass Prophylaxis and Vaccination (ESF# 8)
- Medical Supplies Management and Distribution (ESF# 8)
- On-site Incident Management (ESF# 5, 13)
- Critical Infrastructure Protection and Risk Management (Multiple ESFs, Terrorism Incident Law Enforcement and Investigation Annex, Cyber Annex)
- Urban Search and Rescue (ESF# 9)
- Worker Health and Safety (ESF# 5, 8, Worker Safety and Health Annex)

EVENT CONDITIONS

The primary conditions affecting the performance of this capability are the type of threat or incident and the number of incident locations. The threat/incident characteristics will determine the area of the security zone and secondary hazards of concern to personnel working at the site(s).

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Restoration of Lifelines

CAPABILITY DESCRIPTION

The capability to manage clearing and restoration activities (e.g., demolition, repairing, reconstruction, etc.). This includes the removal and disposal of debris.

OUTCOME

Restoration of lifelines (e.g., transportation, communications, and utilities) to facilitate emergency response activities.

ESF/ANNEX

ESF#3: Public Works and Engineering

ASSOCIATED CRITICAL TASKS

IPR 3.3	Conduct recovery and restoration operations
IM 3.5	Manage recovery and restoration activities
IM 3.5.3	Coordinate and implement contracts for emergency repair of utilities and other services
IM 3.5.4	Develop standards and procedures to identify qualified contractors offering recovery/restoration services
NS 2.1.3	Identify potential infrastructure protection incentives
NS 5.3.1	Participate in post-incident assessments of public works and infrastructure to help determine critical needs and workloads (NRP)
NS 5.3.5	Execute emergency contracting support for life-saving and life-sustaining services (NRP)

MEASURES

Capability Measures

Number	Of trained personnel to conduct damage assessments
Yes/No	Restoration prioritization plans are in place
Yes/No	Key resource needs are identified, and contingent contracts and mutual aid agreements are in place
Yes/No	Plans and procedures are appropriately trained and exercised

Performance Measures

Time	To mobilize vehicles/heavy equipment for debris management
Time	To mobilize vehicles/heavy equipment for damage assessment
Time	To mobilize personnel for debris management
Yes/No	Worker health and safety programs implemented
Yes/No	Jurisdiction prioritized activities
Yes/No	Jurisdiction obtained supplemental services
Yes/No	The jurisdiction estimated debris generated

Yes/No	The jurisdiction conducted restoration and reconstruction activities
Yes/No	The jurisdiction activated activate volunteer resources
Yes/No	The jurisdiction activated private sector resources
Yes/No	The jurisdiction activated mutual aid
Yes/No	The jurisdiction activated its debris management plan

CAPABILITY ELEMENTS

Personnel

- Engineering services personnel
- Utilities restoration personnel
- Public works personnel (e.g., building officials)
- Professional Engineers (PE)
- Personnel that can utilize GIS
- Heavy equipment operators
- Debris clearing, management, collection, disposal, demolition and storage personnel

Planning

- National Incident Management System (NIMS)
- National Response Plan (NRP)
- Emergency response plans incorporate lifeline restoration procedures

Organization and Leadership

- National Incident Management System (NIMS)
- National Response Plan (NRP)
- Applicable legislation, plans, directives, policies, and procedures

Equipment

- Interoperable communications equipment
- Lighting equipment
- Personal protective equipment (PPE)
- Potable water systems
- Sanitation systems
- Specialized equipment for individual lifeline repair
- Trucks and transit equipment
- Computer hardware and GIS software
- Facilities for storing and disposing of debris
- Electrical generation equipment

Training

- Skill sets specific to the restoration of essential services
- Interoperable communications
- PPE

Exercises, Evaluations, and Corrective Actions

- System for incorporating lessons learned into plans and procedures

- Exercises to evaluate debris management, and lifeline restoration procedures

LINKED CAPABILITIES

- Criminal Investigation and Intervention (Terrorism Incident Law Enforcement and Investigation Annex)
- Critical Resource Logistics and Distribution (ESF# 5, 7)
- Economic and Community Recovery (ESF# 6, 14)
- Emergency Evacuation (ESF# 1)
- Emergency Operations Center (ESF# 5)
- Engineering (ESF# 3)
- Fatality Management (ESF# 8)
- Mass Care (ESF# 6)
- Worker Health and Safety (ESF# 5, 8, Worker Safety and Health Annex)

EVENT CONDITIONS

The primary conditions affecting the performance of the capability is the amount of physical destruction caused by the incident. In a major disaster or emergency, response and recovery operations may be beyond the State and local response capabilities. Structures may be destroyed or severely weakened. Homes, public buildings, bridges, and other facilities may have to be reinforced or demolished to ensure safety. Debris may make streets and highways impassable. Public utilities may be damaged and be partially or fully inoperable. A major disaster may affect the lives of many State and local response personnel and their facilities, and prevent them from performing their prescribed emergency duties. Similarly, equipment in the immediate disaster area may be damaged or inaccessible.

Sufficient resources may not be available to State and local agencies to meet emergency requirements. Federal assistance may be required to identify and deploy resources from outside the affected area to ensure a timely, coordinated effective response.

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1. Homeland Security Presidential Directive/HSPD-8, "National Preparedness". December 2003. <http://www.whitehouse.gov/news/releases/2003/12/20031217-6.html>
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Urban Search and Rescue

CAPABILITY DESCRIPTION

The capability to coordinate and conduct urban search and rescue (USAR) response efforts for all hazards including locating, extricating, and providing on-site medical treatment to victims trapped in damaged or collapsed structures.

OUTCOME

USAR activities are conducted safely and efficiently, resulting in the greatest numbers of victims rescued in the shortest amount of time while maintaining rescuer safety at all times.

ESF/ANNEX

ESF#9: Urban Search and Rescue

ASSOCIATED CRITICAL TASKS

IPR 9.1	Deploy urban search and rescue teams
IM 9.2	Coordinate urban search and rescue response
IM 9.2.4	Coordinate movement of the urban search and rescue task force

MEASURES

Capability Measures

Yes/No	Quality assurance/quality improvement program is in place to evaluate the structure, processes and outcomes of the department
Yes/No	Post-incident analysis program exists
Yes/No	Plans, policies, and procedures are in place for USAR operations and support, including local dispatch and operating procedures, mutual aid agreements and/or memoranda of understanding (MAAs/MOUs), and procedures for activating and coordinating with national USAR task force(s)
Yes/No	Performance objectives have been established (i.e. in accordance with NFPA standards)
Yes/No	USAR plans are exercised, including all outside agencies that regularly operate together in accordance with MAA/MOU agreements
Yes/No	Training program is maintained to ensure that all personnel (including outside agencies regularly operating together in accordance with MAA/MOU agreements) receive updated training as appropriate
Number	Of firefighting units within jurisdiction
Number	Simultaneous incidents that can be addressed with existing jurisdictional and/or mutual aid assets (dependent on size and scope)

Performance Measures

Yes/No	Federally designated USAR task force team(s) operations plans were successfully implemented
Yes/No	Safety of victims and rescuers was maintained according to OSHA and task force standards
Time	From dispatch to first USAR-capable unit's arrival on-scene

Time	For initial alarm assignment (all units initially dispatched to the emergency)
Time	From request to deployment of MAA/MOU special response teams
Time	From request to deployment of Federally designated USAR task force teams
Yes/No	USAR plans and standard operating procedures were successfully Implemented
Number	Of victims successfully rescued

CAPABILITY ELEMENTS

Personnel

- Firefighters
- EMS Response Teams
- Engineering personnel (to perform collapsed building/structure inspection)
- Construction personnel (to assist with operation of heavy rescue equipment – cranes, bulldozers, etc.)
- USAR Task Force team(s)
- USAR operations/support personnel

Planning

- USAR planning
- Emergency Operations Plan (EOP) consistent with National Response Plan (NRP), National Incident Management System (NIMS), and applicable laws and regulations

Organization and Leadership

- National Incident Management System (NIMS)
- National Response Plan (NRP)
- Applicable legislation, plans, directives, policies, and procedures

Equipment

- USAR equipment caches
- Interoperable communications equipment

Training

- USAR technical operations
- Interoperable communications
- WMD and secondary device awareness and response
- NIMS
- HAZMAT
- Basic and advanced life support

Exercises, Evaluations, and Corrective Actions

- System for incorporating lessons learned into plans, policies, and procedures
- Specific area training exercises (technical, HAZMAT, etc.)

LINKED CAPABILITIES

- Emergency Operations Center (ESF# 5)
- Emergency Response Communications (ESF# 2)
- HAZMAT (ESF# 10)
- On-site Incident Management (ESF# 5, 13)
- Pre-Hospital Triage and Treatment (ESF# 8)
- Restoration of Lifelines (ESF# 3)
- Water Search and Rescue (ESF# 9)
- Worker Health and Safety (ESF# 5, 8, Worker Safety and Health Annex)

EVENT CONDITIONS

The primary conditions affecting the performance of the capability are the number and size of collapsed structures, number of trapped persons in collapsed structures, and any risks involved for the rescuers (including fire and potential HAZMAT exposure).

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1. Homeland Security Presidential Directive/HSPD-8, "National Preparedness". December 2003. <http://www.whitehouse.gov/news/releases/2003/12/20031217-6.html>
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Volunteer Management and Donations

CAPABILITY DESCRIPTION

The capability to effectively manage volunteers and donations in support of domestic incident management, including identifying, determining uses for, effectively managing, and deploying volunteer support and donations before, during, and after an incident. This capability also includes verification of volunteer credentials and the provision for maintaining registries of workers who are exposed to hazardous substances for long-term follow-up, and management of delayed health and behavioral consequences.

OUTCOME

The impact of volunteers and charitable donations is maximized and does not hinder the response and recovery activities.

ESF/ANNEX

Volunteer and Donations Management Support Annex

ASSOCIATED CRITICAL TASKS

IM 7.7.1	Develop plans, policies and protocols to manage surges in volunteers and donations
IM 7.7.2	Coordinate use of assigned volunteer organizations active in disasters (VOAD)
NS 6.7.1	Establish early contact with key donations coordinators of national voluntary organizations for donations situation assessment

MEASURES

Capability Measures

Yes/No	Volunteer management and donations plans are in place
Yes/No	National and State Volunteer Organizations Active in Disasters (VOAD) committees have been established and are consulted during disaster planning
Yes/No	Cooperative agreements and memorandums of understanding (MOUs) with volunteer and donations management organizations have been developed
Yes/No	Volunteer management and donations plans address logistics
Number	Of volunteers recruited in advance for roles as described in hazard-specific plans per population
Number	Of volunteers that can be effectively tracked, directed, and managed using available volunteer centers and managers
Number	Of trained personnel available for volunteer and donations management
Number	Of planned donation staging areas and volunteers centers
Yes/No	Volunteer management and donations plans have been exercised

Performance Measures

Yes/No	Volunteer management and donations plans were successfully implemented
Yes/No	VOAD services were appropriately and effectively directed

Time	To establish and fully staff donations coordination centers
Time	To establish and fully staff distribution centers
Number	Of spontaneous volunteers who were appropriately and effectively directed
Yes/No	Warehousing locations and facilities were established and staffed
Yes/No	Volunteer phone bank and/or volunteer reception center was established

CAPABILITY ELEMENTS

Personnel

- Volunteer management personnel
- Donation coordinators
- Warehouse staff personnel

Planning

- Volunteer and Donations Management Annex (NRP)

Organization and Leadership

- National Incident Management System (NIMS)
- National Response Plan (NRP)
- Applicable legislation, plans, directives, policies, and procedures

Equipment

- Volunteer and donation staging facilities
- Transportation equipment
- Information technology and communications equipment

Training

- Volunteer support and donations management

Exercises, Evaluations, and Corrective Actions

- Exercises incorporate volunteer and donations management
- System for incorporating lessons learned into plans, policies, and procedures

LINKED CAPABILITIES

- Emergency Operations Center (ESF# 5)
- Mass Care (ESF# 6)
- Medical Supplies Management and Distribution (ESF# 8)
- Medical Surge (ESF# 8)
- Worker Health and Safety (ESF# 5, 8, Worker Safety and Health Annex)

EVENT CONDITIONS

The primary conditions affecting the performance of the capability are the number and qualifications of volunteers (assigned and spontaneous) arriving on-scene and the amount and type of donations provided (each event site will likely require donations coordination and management). The extent of volunteer efforts will likely be related to the scope and scale of the incident (i.e. number of individuals affected,

amount of damage to buildings and housing). Large events with significant media attention will likely require significant effort to coordinate donations at the regional or national level.

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5. Citizen Corps Program Initiatives. Department of Homeland Security. 2004.
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<http://www.nvoad.org>

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Water Search and Rescue

CAPABILITY DESCRIPTION

The capability to provide water search and rescue response, including monitoring distress calls; conducting and/or coordinating search and rescue (SAR) functions; minimizing loss of life, injury, property damage or loss in the maritime environment; and providing initial medical assistance to victims.

OUTCOME

Persons in distress are rescued promptly and safely, while minimizing risk to those rendering assistance.

ESF/ANNEX

ESF#9: Urban Search and Rescue

ASSOCIATED CRITICAL TASKS

IPR 9.5 Conduct water search and rescue operations

MEASURES

Capability Measures

Yes/No	Water search and rescue plans, policies, and procedures are in place
Yes/No	Distress calls are monitored
Yes/No	Capacity to provide initial medical assistance to victims is in place
Yes/No	Capacity to perform rescue operations on the water is in place
Yes/No	Water search and rescue plans are exercised

Performance Measures

Yes/No	Water search and rescue plans and procedures were followed
Percent	Of distress calls answered within target time frame
Time	From request to deployment of water search and rescue assets
Yes/No	Initial medical assistance was successfully provided
Number	Of victims rescued and transported to appropriate facilities
Percent	Of area searched

CAPABILITY ELEMENTS

Personnel

- Certified dive rescue personnel (including ice diving where necessary)
- Certified surface rescue and/or swiftwater rescue personnel (where necessary)
- Boat operators
- Aircraft pilots (helicopter, fixed wing)

- Certified medical/EMS personnel
- Communications system personnel
- Trained animal rescue personnel

Planning

- Emergency Operations Plan (EOP) consistent with National Response Plan (NRP)/National Incident
- Management System (NIMS) and applicable laws and regulations
- National Search and Rescue Plan
- Standard operating procedures for water (surface, swiftwater, and submerged) and ice search and rescue (surface and ice diving)

Organization and Leadership

- National Incident Management System (NIMS)
- National Response Plan (NRP)
- Applicable legislation, plans, directives, policies, and procedures

Equipment

- Rescue boat and equipment
- Dive equipment
- Ice rescue equipment
- Aircraft (helicopter, fixed wing)
- Interoperable communications equipment
- Medical supplies
- Technical rescue equipment

Training

- NIMS
- Interoperable communications
- Rescue
- Medical
- Swift water rescue operations
- Marine vessel operation
- Aviation

Exercises, Evaluations, and Corrective Actions

- Exercises to evaluate water search and rescue procedures
- System for incorporating lessons learned into plans, policies, and procedures

LINKED CAPABILITIES

- Emergency Operations Center (ESF# 5)
- Firefighting Operations/Support (ESF# 4)
- Hazardous Materials (HAZMAT) (ESF# 10)
- On-site Incident Management (ESF# 5, 13)
- Pre-Hospital Triage and Treatment (ESF# 8)

- Public Safety and Security Response (ESF# 13)
- Urban Search and Rescue (ESF# 9)
- Worker Health and Safety (ESF# 5, 8, Worker Safety and Health Annex)

EVENT CONDITIONS

The primary conditions affecting the performance of the capability are the number and location of individuals in distress, type of body of water involved, area to be searched (when the location is not precisely known), and any weather or water conditions affecting the efficiency of search and the difficulty of rescue.

REFERENCES

1. Homeland Security Presidential Directive/HSPD-8, "National Preparedness". December 2003.
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2. National Response Plan (NRP). Department of Homeland Security. December 2004.
3. National Incident Management System (NIMS). Department of Homeland Security. March 2004.
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5. Rescue and Survival Systems Manual, COMDTINST M10470.10 (Series). U.S. Coast Guard. 2003.
6. SARSAT Users Manual for Use by Coast Guard Search and Rescue Personnel. U.S. Coast Guard, 1982.
7. Standard First Aid Training Course, NAVEDTRA 10081-C. U.S. Navy.
8. United States National Search and Rescue Supplement to the International Aeronautical and Maritime Search and Rescue Manual, National Search and Rescue Committee, Washington DC. May 2000.

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Worker Health and Safety

CAPABILITY DESCRIPTION

The capability to protect the health of on-scene first responders, hospital personnel, and other emergency workers (and, if necessary, their families) through effective medical care, decontamination, infection control, adequate work schedule relief, psychological support, appropriate self-protection, and follow-up assessments of exposed first responders.

OUTCOME

No further harm to any first responder, hospital staff member, or other relief provider due to preventable exposure to secondary trauma, chemical release, infectious disease, or physical and emotional stress after the initial event or during decontamination and event follow-up.

ESF/ANNEX

ESF#5: Emergency Management

ESF#8: Public Health and Medical Services

Worker Safety and Health Annex

ASSOCIATED CRITICAL TASKS

IPR 1.2	Provide transportation/personnel support and resources
IPR 2.1	Operate incident site communications
IPR 7.3	Provide personnel and equipment protection support
IPR 7.3.2	Provide Personal Protection Equipment (PPE) based upon hazard analysis and risk assessment
IPR 8.1.5.2	Treat patients at the decontamination site
IPR 8.2.5	Provide for worker crisis counseling and mental health and substance abuse behavioral health support
IPR 8.8	Provide for worker health and safety
IM 2.1	Establish and maintain response communications network
IM 4.2.3	Develop and implement hazardous material training
IM 8	Coordinate public health and medical services
IM 8.1.1.3	Activate procedures for essential nursing and medical care
IM 8.2	Develop public health and recovery worker management guidelines
IM 8.2.1	Provide an incident health and safety plan
NS 5.3.1	Participate in post-incident assessments of public works and infrastructure to help determine critical needs and workloads (NRP)

MEASURES

Capability Measures

Yes/No	Worker operating guidelines and standards are in place that incorporate health and safety concerns
Yes/No	Hazard-based responder safety measures are identified in each hazard based safety plan

Number	Of trained and equipped personnel to perform worker decontamination
Number	Of personnel trained to provide psychological support to workers during and following a mass casualty event
Yes/No	System has been established for follow-up on health and well being of workers after an event
Yes/No	Worker health and safety plans have been exercised

Performance Measures

Yes/No	Worker health and safety plans were successfully implemented
Yes/No	Medical unit was successfully opened and operated within an ICS structure
Percent	Of workers successfully protected
Percent	Of personnel exposed to hazard and tracked for follow-up
Percent	Of personnel treated for injuries or illnesses
Percent	Of personnel adequately decontaminated
Percent	Of first responders served by support services
Percent	Of first responder households that received prophylaxis
Percent	Of emergency workers who developed mental health symptoms

CAPABILITY ELEMENTS

Personnel

- Health and safety officers
- Decontamination personnel
- Mental health personnel
- Environmental health personnel
- Industrial workers
- Coroners and medical examiners
- Funeral home employees
- Janitorial and maintenance personnel

Planning

- Worker safety plans
- First responder prophylaxis plans (including family members)
- National Response Plan (NRP)/National Incident Management System (NIMS)
- Environmental monitoring plans

Organization and Leadership

- National Incident Management System (NIMS)
- National Response Plan (NRP)
- Applicable legislation, plans, directives, policies, and procedures

Equipment

- Personal protective equipment (PPE)
- Interoperable communications equipment
- First responder pharmaceutical stockpile

- Database system for tracking/follow-up on personnel deployed, exposed, and prophylaxis/decontamination procedures
- Transportation equipment
- Environmental surveillance equipment

Training

- PPE training
- Decontamination training
- HAZMAT training
- Incident safety officer training
- Medical training for safe handling of contaminated patients
- Training in mental health services related to mass casualties
- NIMS training
- Infection control training
- Risk communication training
- Epidemiology training
- Handling and disposing of infectious waste

Exercises, Evaluations, and Corrective Actions

- Exercises incorporate worker safety plans
- System for incorporating lessons learned into plans and procedures

LINKED CAPABILITIES

- Emergency Operations Center (ESF# 5)
- Emergency Response Communications (ESF# 2)
- Environmental Health and Vector Control (ESF# 8, 11)
- Explosive Device Detection and Response Operations (ESF#3)
- Fatality Management (ESF# 8)
- Firefighting Operations/Support (ESF# 4)
- Hazard and Vulnerability Analysis (ESF# 5)
- Hazardous Materials (HAZMAT) (ESF# 10)
- Isolation and Quarantine (ESF# 8)
- Mass Care (ESF# 6)
- Mass Prophylaxis and Vaccination (ESF# 8)
- Medical Supplies Management and Distribution (ESF# 8)
- Medical Surge (ESF# 8)
- On-site Incident Management (ESF# 5, 13)
- Pre-Hospital Triage and Treatment (ESF# 8)
- Public Health Epidemiological Investigation and Laboratory Testing (ESF# 8)
- Public Safety and Security Response (ESF# 13)
- Urban Search and Rescue (ESF# 9)
- Volunteer Management and Donations (Volunteer and Donations Management Support Annex)
- Water Search and Rescue (ESF# 9)

EVENT CONDITIONS

The primary conditions affecting the performance of this capability are the nature of the incident; number of first responders, hospital personnel, and other workers involved (which is related in part to the number

of victims); and the time period of the initial response.

REFERENCES

1. Homeland Security Presidential Directive/HSPD-8, "National Preparedness". December 2003. <http://www.whitehouse.gov/news/releases/2003/12/20031217-6.html>
2. National Response Plan (NRP). Department of Homeland Security. December 2004
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6. Crisis Counseling and Assistance Training Program. Substance Abuse and Mental Health Services Administration (SAMHSA), National Mental Health Information Center. 2004. <http://www.mentalhealth.samhsa.gov/cmhs/EmergencyServices/progguide.asp>
7. Emergency Response Training Necessary for Hospital Physicians/Nurses That May Treat Contaminated Patients. OSHA standard interpretation. March 1999. <http://www.osha.gov/pls/oshaweb/>
8. Medical Personnel Exposed to Patients Contaminated with Hazardous Waste. Occupational Safety and Health Administration (OSHA) standard interpretation. March 1992. <http://www.osha.gov/pls/oshaweb/>
9. Training Requirements for Hospital Personnel Involved in an Emergency Response of a Hazardous Substance. Occupational Safety and Health Administration (OSHA) standard interpretation. October 1992. <http://www.osha.gov/pls/oshaweb/>

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Section III: Assignment of Capabilities

Preparing the nation to prevent, protect against, respond to, and recover from the range of threats, as defined by the National Planning Scenarios, requires a national approach to building and maintaining required capabilities. Because sufficient resources are not available for every jurisdiction to maintain all the capabilities that may be needed, it is necessary to determine the level of capabilities required and what levels of government, jurisdictions of varying risk and size, or the private sector will build and maintain the capabilities. All jurisdictions, regardless of size can contribute to the nation's preparedness. Each entity and jurisdiction should understand their role and the role of other jurisdictions and levels of government to ensure that required capabilities are available or can be accessed when needed.

Defining national levels of capabilities and responsibilities for a range of incidents of national significance is a complex task. It is made difficult by the range of incidents for which we must prepare, including natural disasters for which we have historical records and warning systems, as well as acts of terrorism for which we have few but significant events and an adversary able to adapt to our countermeasures. Developing a national approach to preparedness is also complicated by the number of independent agencies and organizations that must play a role in a prepared Nation. They include agencies that represent at least 10 disciplines in over 39,000 local jurisdictions, 56 states and territories, and federal agencies, as well as the private sector that owns approximately 85 percent of the nation's critical infrastructure. In some of the disciplines, such as fire service, many of the personnel are volunteers. Response and recovery efforts are also supported by many nongovernmental organizations.

This section of the Target Capabilities List (TCL) provides the results of an initial effort to begin to assign capabilities to levels of government and jurisdictions of varying size and different risk profiles. Members of the State, Local and Tribal Working Group and the Federal Working Group, described in Section I, met January 6 and 7, 2005, to review the capabilities and discuss assignment of the capabilities. The group considered each component of the capability defined by the capability measures and whether that capability component should be resident at the Federal, State, local and/or tribal levels. Local jurisdictions were grouped into large, medium and small which were broadly defined as:

- Large jurisdictions – counties or contiguous counties with a population of at least 1 million with a large urban core (Total population greater than 50K, with a density of over 1,000 people per sq. mile)
- Medium jurisdictions – counties or contiguous counties with fewer than 1 million population with a large urban core
- Small jurisdictions – counties or contiguous counties that do not meet the above definitions

The Working Group indicated that many of the capability elements that relate to planning, policies, and procedures, communications, and mutual aid agreements should be present in all

jurisdictions and entities at all levels of government. For some capability components that are relatively high cost but low use and/or require specialized training and equipment, the group generally agreed that Federal and State agencies should have them, but that at the local level, large jurisdictions should have the capability, medium sized jurisdictions should have or have access to the capability, and small jurisdictions should have access to the capability through mutual aid or from another level of government. The assignment of the capability components based on the input of the Working Group is shown in the tables which follow. A “P” in a box indicates that the capability component should be present at that level; a “P/A” indicates that the capability should be present or the jurisdiction should have access to it; and an “A” indicates that the jurisdiction should have access to the capability.

The tables in this section are a first step in a long-term effort to define national levels of capabilities. A Tier system to group jurisdictions by risk factors such as total population, population density, and critical infrastructure; and the definition and assignment of roles and responsibilities that provide a coordinated response across disciplines, jurisdictions, levels of government, and public and private sectors when needed. DHS/SLGCP will continue to coordinate with and solicit input and involvement from public and private agencies at all levels of government throughout the process.

Assignment of Capabilities

Capability Title	Capability Measure	Federal	State	Local (Large)	Local (Medium)	Local (Small)	Tribal
All Hazards Planning	Jurisdiction, State, agency or other entity has available all-hazards plans, policies, procedures, and equipment guidelines consistent with NIMS and NRP	P	P	P	P	P	P
	Jurisdiction, State, agency or other entity all-hazards plans, policies and procedures are in place and consistent with relevant standards and guidelines and reflect inter-agency coordination at all levels of government and private sector	P	P	P	P	P	P
	Integrated Planning Process	P	P	P	P	P	P
	Expertise and guidance from each ESF is accessed and utilized to develop and update all-hazards plans	P	P	P	P	P	P
	All hazards plans, policies and procedures are updated	P	P	P	P	P	P
	Continuity of operations plans; policies and procedures have been developed	P	P	P	P	P	P
	Planning process incorporates all appropriate forms of intelligence collection and dissemination	P	P	P	P	P	P
	Jurisdiction has mutual aid agreements	P	P	P	P	P	P
	All hazards-plans are exercised	P	P	P	P	P	P
Animal Health Emergency Support	Foreign Animal Disease (FAD) plans, policies, and procedures are in place that include protocols for disease identification, isolation strategies, and contingency plans for destroying livestock	P	P	P	P	P	P
	Plans are consistent with NRP/NIMS	P	P	P	P	P	P
	Communications plan is in place (including reporting instructions, restricted access areas, etc.)	P	P	P	P	P	P
	Medical field staff and other resources (veterinarians, animal health technicians, disease specialists, and veterinary diagnostic labs) are available	P	P	P/A	P/A	P/A	P/A
	Functional animal positive identification trace-back and trace-forward tracking systems are in place	P	P	P	P	P	P
	Diagnose animal diseases	P	P	P	P	P	P
	Perform epidemiological (trace-back/trace-forward) investigation	P	P	P/A	P/A	P/A	P/A
	Implement biosecurity measures	P	P	P	P	P	P
	Appraise affected livestock	P	P	P/A	P/A	P/A	P/A
	Euthanize livestock and dispose of carcasses	P	P	P	P	P	P

Capability Title	Capability Measure	Federal	State	Local (Large)	Local (Medium)	Local (Small)	Tribal
	Vaccinate livestock	P	P	P/A	P/A	P/A	P/A
Animal Health Emergency Support	Coordinate with environmental and public health agencies	P	P	P	P	P	P
	Coordinate with private sector organizations (e.g., trucking companies, production facilities, renders)	P	P	P	P	P	P
	Relevant international agriculture and health officials are identified and contact information is kept current; copies of relevant international standards and regulations are available	P	P	P/A	P/A	P/A	P/A
	FAD plans are exercised	P	P	P	P	P	P
Criminal Investigation and Intervention Criminal Investigation and Intervention	Processes and procedures are in place to conduct terrorism-related investigative operations and/or link the investigation of crimes to terrorism-related activities	P	P	P	P	P	P
	Procedures and processes are in place for collaborating with legal counsel for prosecution	P	P	P	P	P	P
	Process and procedures are in place to utilize collaborative policing approaches for the investigation and apprehension of terrorist suspects	P	P	P	P	P	P
	Procedures and processes are in place for gathering, cataloging, and preserving evidence, including laboratory analysis	P	P	P	P	P	P
	Processes and procedures are in place for identifying and reporting suspicious activities and persons related to suspected terrorist activity to appropriate authorities	P	P	P	P	P	P
	Identifying, interviewing, and investigating victims, witnesses, informants, and suspects	P	P	P	P	P	P
	Procedures and processes are in place for securing and preserving the incident scene	P	P	P	P	P	P
	Procedures and processes are utilized in training and exercises	P	P	P	P	P	P
		P	P	P	P	P	P
Critical Infrastructure Protection and Risk Management	Critical infrastructure identification plan (CIIP) is in place	P	P	P	P	P	P
	Critical infrastructure identification plan is regularly reviewed and output is validated	P	P	P	P	P	P
	Performance-based protective measures are implemented according to appropriate standards	P	P	P	P	P	P
	Plan and system is in place to support collaboration and sharing of timely indications and warnings and relevant terrorism-related information	P	P	P	P	P	P
Critical Infrastructure Protection and Risk Management	Standardized criteria developed for identification, prioritization, and protection of identified critical infrastructures and key assets	P	P	P	P	P	P

Capability Title	Capability Measure	Federal	State	Local (Large)	Local (Medium)	Local (Small)	Tribal
	Entities responsible for critical infrastructure protection receive necessary intelligence information (i.e. threats and warnings) prior to the formulation of effective protection measures	P	P	P	P	P	P
	Threat analyses and critical site surveys conducted to identify those sites and facilities where threat recognition actions should be concentrated	P	P	P	P	P	P
	Standardized criteria adopted for conduct of threat, vulnerability, and risk assessment for all critical infrastructure sectors and key assets	P	P	P	P	P	P
Critical Resource Logistics and Distribution	Resource and logistics plans, policies and procedures incorporate management structure in accordance with NIMS and NRP	P	P	P	P	P	P
	Plans specify critical resources required to execute all-hazard emergency response	P	P	P	P	P	P
	Contingent stockpiles of critical equipment are in place	P	P	P	P/A	A	P/A
	Contingent contracts of critical equipment are in place	P	P	P	P/A	A	P/A
	Plans include refueling and maintenance services	P	P	P	P	P	P
	Resources are distributed where needed	P	P	P	P	P	P
	Security is provided	P	P	P	P/A	P/A	P/A
Economic and Community Recovery	Jurisdiction has short and long-term recovery plans	P	P	P	P	P	P
	Survey and collect information that will aid in determining the size and scope of an event	P	P	P	P	P	P
	Coordinate with all levels of government and with nonprofit and nongovernmental organizations	P	P	P	P	P	P
	Determine the needs of individuals, families, businesses, and government post-event through needs assessments	P	P	P	P	P	P
	Estimate economic impact	P	P	P	P	P	P
	Identify and if possible address the unmet needs of individuals, families, and businesses	P	P	P	P	P	P
	Manage and coordinate claims from individuals, families, businesses, and government	P	P	P	P	P	P
	Prioritize response and recovery activities based on assessments	P	P	P	P	P	P
	Recovery plans have been exercised	P	P	P	P	P	P
Emergency Evacuation	Emergency operations plans, policies, and procedures are in place that address evacuation of general and special needs populations	P	P	P	P	P	P

Capability Title	Capability Measure	Federal	State	Local (Large)	Local (Medium)	Local (Small)	Tribal
	Evacuation plans are developed in coordination with surrounding jurisdictions	P	P	P	P	P	P
	Affected population is successfully evacuated, commensurate with the type of event and size of the at-risk population	P	P	P/A	P/A	P/A	P/A
	Locations and facilities are identified for receiving evacuees	P	P	P	P	P	P
	Public can be notified of evacuation information	P	P	P	P	P	P
	Special needs, fragile, and disabled populations are identified during the evacuation	P	P	P	P	P	P
	A traffic and transportation plan has been developed	P	P	P	P	P	P
	Traffic and transportation plans are exercised	P	P	P	P	P	P
Emergency Operations Center	EOC plans are consistent with NRP, NIMS, and appropriate State, local, and tribal plans	P	P	P	P	P	P
	Partially/fully activate EOC in a timely manner	P	P	P	P	P	P
	Jurisdiction has the ability to coordinate with other EOCs – local, State, or regional	P	P	P	P	P	P
Emergency Operations Center	EOC meets NIMS incident command structure requirements to perform core functions: coordination, communications, resource dispatch and tracking, and information collection, analysis, and dissemination	P	P	P	P	P	P
	Trained personnel are available to cover appropriate ESF functions	P	P	P	P	P	P
	All EOC-related communications systems are interoperable with surrounding jurisdictions	P	P	P	P	P	P
	Mutual Aid Agreements (MAAs) and Memorandums of Understanding (MOUs) have been established	P	P	P	P	P	P
	Jurisdiction has identified alternate EOC site in case first site is damaged/destroyed in the event and is not capable of operating	P	P	P	P	P	P
	Alternate EOC or alternate EOC capability has appropriate Continuity of Operations Plan (COOP) and Continuity of Government Plan (COG)	P	P	P	P	P	P
	EOC plans integrate Joint Field Office, if necessary	P	P	P	P	P	P
	Jurisdiction has an operations plan for the EOC	P	P	P	P	P	P
	EOC plans are exercised	P	P	P	P	P	P
Emergency Public Education	Public education programs exist for all likely hazards and threats, and implementation is led by the appropriate agency-level, in coordination with associated agencies	P	P	P	P	P	P
	Coordinate with all levels of government and with nonprofit and nongovernmental organizations	P	P	P	P	P	P

Capability Title	Capability Measure	Federal	State	Local (Large)	Local (Medium)	Local (Small)	Tribal
	Plans and procedures are exercised	P	P	P	P	P	P
	Programs targeting special populations (e.g., non-English speakers, deaf, shut-ins, etc.)	P	P	P	P	P	P
	Agreements with media modes (e.g., radio, television, print media, etc.) for disseminating public information, including during peak times	P	P	P	P	P	P
	Distribution of public education materials is coordinated with community leaders, media partners, etc.	P	P	P	P	P	P
	Provide consistent and coordinated information to the public prior to an emergency	P	P	P	P	P	P
Emergency Public Information	Emergency operations plan has procedures for emergency public information and warning	P	P	P	P	P	P
	Public information plan is exercised	P	P	P	P	P	P
	Media modes available to notify the public of emergency actions (e.g., radio, television, sirens etc)	P	P	P	P	P	P
Emergency Public Information	Public information plans are coordinated with all appropriate agencies and include partners (e.g., community leaders, media outlets, private companies)	P	P	P	P	P	P
	Jurisdiction can reach special populations with accurate, consistent, and timely information	P	P	P	P	P	P
Emergency Response Communications	Personnel are trained to operate communications systems	P	P	P	P	P	P
	Emergency response communications plans incorporate management structure in accordance with NIMS and NRP	P	P	P	P	P	P
	Communications systems exists that operates reliably throughout the jurisdiction's response area. Communications "dead spots" are identified and alternate strategies are in place to maintain effective communications in "dead spot" areas	P	P	P	P	P	P
	Communications system is secure, redundant, and fault tolerant	P	P	P	P	P	P
	Coordinate with parallel levels of government (e.g., local to local, state to state) and with nonprofit and nongovernmental organizations at the same level	P	P	P	P	P	P
	Coordinate with the next higher level of government (e.g., local to state, state to federal) and with nonprofit and nongovernmental organizations at the next higher level	P	P	P	P	P	P
	Communications system exists that may operate exclusively (secure/encrypted as needed) and is non-intrusive to other frequencies or modes of communication	P	P	P	P	P	P

Capability Title	Capability Measure	Federal	State	Local (Large)	Local (Medium)	Local (Small)	Tribal
	Communications system exists that is capable of interoperability across disciplines, mutual aid jurisdictions, and levels of government	P	P	P	P	P	P
	Plans are exercised	P	P	P	P	P	P
Engineering	Jurisdiction maintains situation and damage assessment plans in Recovery Annex	P	P	P	P	P	P
	Jurisdiction maintains mitigation plan in Recovery Annex	P	P	P	P	P	P
	Conduct situation and damage assessments	P	P	P	P/A	A	P/A
	Identify, prioritize, and conduct mitigation activities	P	P	P	P	P	P
	Survey and collect information that will aid in determining the size and scope of an event	P	P	P	P	P	P
	Jurisdiction conducts code enforcement, permitting and inspection activities	P	P	P	P	P	P
	Coordinate with all levels of government and with nonprofit and nongovernmental organizations	P	P	P	P	P	P
	Provide technical assistance	P	P	P	P/A	A	P/A
	Emergency response plans are exercised	P	P	P	P	P	P
Environmental Health and Vector Control	Health protection plans incorporate environmental health and vector control policies and procedures	P	P	P	P	P	P
	Provide basic sanitation facilities	P	P	P	P/A	P/A	P/A
	Provide environmental health testing and monitoring	P	P	P	P/A	P/A	P/A
	Provide relevant public health education	P	P	P	P	P	P
	Provide vector control	P	P	P	P/A	P/A	P/A
	Personnel are appropriately trained on health protection plans	P	P	P	P	P	P
	Personnel are appropriately trained on vector control plans	P	P	P	P	P	P
	Health protection plans have been exercised	P	P	P	P	P	P
Explosive Device Detection and Response Operations	Plans, policies, and procedures for explosives detection and response are in place	P	P	P	P	P	P
	Plans for explosives detection and response have been exercised	P	P	P	P	P	P
	Maintain deployable, certified explosive ordnance disposal (EOD) team or teams	P	P	P	P/A	P/A	P/A
	Plans address simultaneous geographically dispersed incidents	P	P	P	P	P	P
	Conduct EOD response operations	P	P	P	P/A	P/A	P/A
Fatality Management	Emergency operations plan (EOP) includes procedures for mass fatalities and personal property processing	P	P	P	P	P	P

Capability Title	Capability Measure	Federal	State	Local (Large)	Local (Medium)	Local (Small)	Tribal
	Collect, transport, and store human remains and personal belongings	P	P	P	P	P	P
	Identify human remains	P	P	P	P	P	P
	Isolate and decontaminate human remains and belongings	P	P	P	P/A	A	P/A
	Laboratory services available to verify effective decontamination	P	P	P	P	P/A	P
	Perform autopsies and determine cause of death	P	P	P	P	P	P
	Return and/or dispose of human remains and personal belongings (as required by law and regulations)	P	P	P	P	P	P
	Processing capacity for bodies exists	P	P	P	P/A	A	P/A
	Storage capacity for bodies exists	P	P	P	P/A	A	P/A
	PPE to protect workers involved in decontamination, identification, post mortem examination, disposition, etc. of contaminated bodies	P	P	P	P	P	P
	Database developed to capture information on deceased	P	P	P	P/A	A	P/A
Firefighting Operations/Support	Plans, policies, and procedures are in place for firefighting operations and support	P	P	P	P	P	P
	Firefighting operations plans, policies and procedures for responding to and operating at major incidents are standardized across all agencies and jurisdictions participating in a mutual aid agreement (MAA)	P	P	P	P	P	P
	Communications plans, policies and procedures for dispatching and communicating with all agencies and jurisdictions participating in a mutual aid agreement (MAA) are standardized	P	P	P	P	P	P
	Performance objectives have been established (i.e. in accordance with NFPA standards)	P	P	P	P	P	P
	Simultaneous incidents can be addressed with existing jurisdictional and/or mutual aid assets (dependent upon size and scope)	P	P	P	P	P	P
	Sufficient firefighting units within jurisdiction	P	P	P	P/A	P/A	P/A
	Quality assurance/quality improvement program are in place to evaluate the structure, processes, and outcomes of the department	P	P	P	P	P	P
	Post-incident analysis program exists	P	P	P	P	P	P
Firefighting Operations/Support	Equipped and dedicated team is available for the search and rescue of entrapped or lost firefighters	P	P	P	P	P	P
	Training program is maintained to ensure all personnel receive updated training as appropriate	P	P	P	P	P	P

Capability Title	Capability Measure	Federal	State	Local (Large)	Local (Medium)	Local (Small)	Tribal
	Firefighting plans are exercised	P	P	P	P	P	P
Food and Agriculture Safety and Security	Plans, policies, and procedures are in place for food and agriculture safety and security in accordance with NIMS/NRP	P	P	P	P	P	P
	Functional food crop positive identification trace-back and trace-forward tracking systems are in place	P	P	P/A	P/A	P/A	P/A
	Relevant international agriculture and health officials are identified and contact information is kept current; copies of relevant international standards and regulations are available	P	P	P/A	P/A	P/A	P/A
	Plans are exercised/tested	P	P	P	P	P	P
	Analyze food and agriculture samples	P	P	P/A	P/A	P/A	P/A
	Perform trace-back and trace-forward investigations	P	P	P/A	P/A	P/A	P/A
	Remove contaminated products from the food supply	P	P	P	P	P	P
	Implement food safety and security measures	P	P	P	P	P	P
	Coordinate with international agriculture and health officials	P	P	P/A	P/A	P/A	P/A
Hazard and Vulnerability Analysis	Hazard analysis/risk assessment process comprehensively addresses all hazards	P	P	P	P	P	P
	Jurisdiction had program of current all-hazard threat vulnerability and risk assessments, and mechanism for incorporating the results into plans, procedures, and guidelines for response support	P	P	P	P	P	P
	Effectively integrated existing warning systems with warning terminology made as uniform as possible (e.g., using NWS advisory-watch-warning terminology as well as using NWS distribution system for non-weather hazards)	P	P	P	P	P	P
	Schedule for updating hazard analyses and plans	P	P	P	P	P	P
	Funding priorities reflect all-hazards risk assessment and prioritization process	P	P	P	P	P	P
	Identify hazards (prior to and during an event)	P	P	P	P/A	P/A	P/A
	Assess vulnerabilities (prior to and during an event)	P	P	P	P/A	P/A	P/A
	Coordinate with all levels of government and with nonprofit and nongovernmental organizations to identify hazards	P	P	P	P	P	P
	Determine risks (prior to and during an event)	P	P	P	P/A	P/A	P/A
	Prioritize among all hazards and risks	P	P	P	P	P	P
	Prioritize funding according to all-hazards risk assessment	P	P	P	P	P	P
Hazardous Materials (HAZMAT)	Hazardous materials (HAZMAT) plans, policies, and procedures are in place	P	P	P	P	P	P

Capability Title	Capability Measure	Federal	State	Local (Large)	Local (Medium)	Local (Small)	Tribal
	HAZMAT plans, policies and procedures for major HAZMAT incidents are standardized across all participating mutual aid agencies and jurisdictions	P	P	P	P	P	P
	Performance objectives have been established (i.e. in accordance with NFPA standards)	P	P	P	P	P	P
	Personnel trained, certified, and equipped to effect a level A response to mitigate HAZMAT incidents and coordinate restoration	P	P	P/A	P/A	P/A	P/A
	Simultaneous incidents can be addressed with existing jurisdictional and/or mutual aid assets (dependent on size and scope)	P	P	P/A	P/A	P/A	P/A
	Quality assurance/quality improvement program is in place to evaluate the structure, processes, and outcomes of the department	P	P	P	P	P	P
	Post-incident analysis program exists	P	P	P	P	P	P
	HAZMAT plans are exercised	P	P	P	P	P	P
	Equipped and dedicated team is available for the search and rescue of entrapped or lost firefighters	P	P	P	P	P	P
	Training program is maintained to ensure all personnel receive updated training as appropriate	P	P	P	P	P	P
Information Collection and Threat Recognition	Jurisdictions/entities have a secure system to collect, screen, and disseminate relevant information of investigative value (database, hotline, or data warehouse) using nationally accepted definitions and protocols for information sharing	P	P	P/A	P/A	P/A	P/A
	Adheres to national standards (i.e. confidentiality, privacy, classification) for identification, collection and processing of terrorism-related information	P	P	P	P	P	P
Information Collection and Threat Recognition	Jurisdictions/entities have established and utilized awareness programs (concurrent with varying threat levels) that provide guidance to law enforcement personnel, officials from non-law enforcement public agencies, private sector security, and the general public, in the identification and reporting of suspicious activities	P	P	P	P	P	P

Capability Title	Capability Measure	Federal	State	Local (Large)	Local (Medium)	Local (Small)	Tribal
	Law enforcement and other non-law enforcement personnel trained to recognize, identify, and report behavior and trends associated with planning, support, and operational activities related to terrorism and/or activities that constitute "suspicious behavior" likely to forewarn of a pending terrorism conspiracy or plot: includes the capacity to discover an existing nexus between crime and other suspicious activities and terrorist threats	P	P	P	P	P	P
Information Sharing and Collaboration	A "clearing house" for relevant terrorism data and information is established with access for all affected agencies based on their roles and responsibilities	P	P	P/A	P/A	P/A	P/A
	Entities establish and adopt national, standardized plans, protocols, and procedures for information sharing	P	P	P	P	P	P
	Relevant entities/personnel are trained to support information sharing and collaboration processes	P	P	P	P	P	P
	Technical infrastructure in place to support the receipt and dissemination of relevant homeland security related information	P	P	P/A	P/A	P/A	P/A
	Information sharing and collaboration plans, protocols, and procedures are utilized during training and exercises	P	P	P	P	P	P
Intelligence Fusion and Analysis	Jurisdiction/entity operates intelligence fusion and analysis center	P	P	P	P/A	P/A	P/A
	Risk mitigation plans incorporate current intelligence analysis products	P	P	P	P	P	P
	Intelligence operations' policies, protocols, and procedures are utilized in training and exercises	P	P	P	P	P	P
Isolation and Quarantine	Isolation and quarantine plans are established	P	P	P	P	P	P
	Shelter-in-place plans are established	P	P	P	P	P	P
	Legal authority is defined within State statutes and regulations and integrated into local, regional and State response plans	P	P	P	P	P	P
	Arrangements for additional isolation and quarantine housing are identified	P	P	P	P	P	P
	Develop a database to capture information on those exposed	P	P	P	P/A	P/A	P
	Plans and procedures are in place to enforce compulsory quarantine orders	P	P	P	P	P	P
	Medically monitor isolated and/or quarantined individuals	P	P	P	P/A	P/A	P
	Plans to provide logistic supports (e.g. food and prescription delivery) to quarantined and isolated population	P	P	P	P	P	P

Capability Title	Capability Measure	Federal	State	Local (Large)	Local (Medium)	Local (Small)	Tribal
	Isolation and quarantine plans and procedures have been exercised	P	P	P	P	P	P
	Public information messages related to quarantine and isolation are prepared	P	P	P	P	P/A	P
Mass Care (Sheltering, Feeding, and Related Services)	Mass care plan developed	P	P	P	P	P	P
	Shelter agreements in place for each county	P	P	P	P	P	P
	Set up shelters	P	P	P	P	P	P
	Shelter supply kits on hand to support sheltering requirements	P	P	P	P/A	A	P/A
	Conduct shelter management and logistics	P	P	P	P	P	P
	Vendor/institutional agreements in place for each county to support feeding requirements	P	P	P	P/A	A	P/A
	Identify long term needs and services	P	P	P	P/A	A	P/A
	Provide food, water, and other supplies to shelter	P	P	P	P	P	P
	Local government has evacuation plan	P	P	P	P	P	P
	Provide mental health services	P	P	P	P/A	A	P/A
	Reunify facilities	P	P	P	P	P	P
Mass Prophylaxis and Vaccination	Mass prophylaxis and vaccination plans have been prepared	P	P	P	P	P	P
	Execute mass dispensing methods	P	P	P	P	P	P
	Plans identify resource requirements	P	P	P	P	P	P
	Plans exist to enlist supplemental providers and volunteers	P	P	P	P	P	P
	Mass vaccination and dispensing methods have been exercised	P	P	P	P	P	P
Mass Prophylaxis and Vaccination	Public information messages are prepared providing information regarding who should report to what location and how in order to receive prophylaxis or vaccination	P	P	P	P	P	P
Medical Supplies Management and Distribution	Jurisdiction has emergency public health and medical distribution plans	P	P	P	P	P	P
	Hazard-specific response plan identify and prioritize resource needs	P	P	P	P	P	P
	There are plans for the procurement, rotation and maintenance of stockpiled assets	P	P	A	P/A	P	P/A
	Assess medical resource needs during an evolving emergency	P	P	P	P	P	P
	Coordinate the allocation of supplies and equipment	P	P	P	P/A	P/A	P/A
	Plans document mutual aid and other agreements	P	P	P	P	P	P
	Plans and procedures are appropriately trained	P	P	P	P	P	P
	Plans are exercised to accordingly	P	P	P	P	P	P
	Appropriate public information messages are in place	P	P	P	P	P	P

Capability Title	Capability Measure	Federal	State	Local (Large)	Local (Medium)	Local (Small)	Tribal
	Distribute medical supplies and equipment according to plans	P	P	P	P	P	P
	Special needs populations are identified and provided for	P	P	P	P	P	P
	Plans provide for security in storage, transport and distribution	P	P	P	P	P	P
Medical Surge	Medical surge plans are in place and include triage, treatment, transportation, communications, and security	P	P	P	P	P	P
	Surge casualty capacity, by type and severity of injury, for most likely classes of casualties (plan for 50 additional severely injured adult and pediatric patients per million population according to HRSA critical benchmark-see Reference 11)	P	P	P	P	P	P
	Ability to care for 500 additional adult and pediatric patients requiring hospitalization per million of population (HRSA critical benchmark-see Reference 11)	P	P	P	P	P	P
	Ensure additional medical personnel for acute treatment (using HRSA 50-bed medical unit benchmark)	P	P	P	P	P/A	P
	Plans include the immediate deployment of additional health care personnel in support of surge bed capacity (HRSA critical benchmark-see Reference 11)	P	P	P	P	P	P
	Adequate supply of pharmaceuticals and equipment needed to effectively support a facility's reported surge capacity	P	P	P	P/A	P/A	P/A
	Laboratory surge capacity plans are in place	P	P	P	P	P	P
	Treat patients	P	P	P	P	P	P
		P	P	P	P	P	P
Medical Surge	Triage patients	P	P	P	P	P	P
	Track patients	P	P	P	P	P	P
	Transport patients between facilities	P	P	P	P	P	P
	Special population needs are identified and planned for accordingly	P	P	P	P	P	P
	Available isolation/negative air pressure treatment rooms	P	P	P	P/A	P/A	P/A
	Medical surge plans have been exercised and tested	P	P	P	P	P	P
	Coordinate with EMS/Hospital/IC	P	P	P	P	P	P
On-site Incident Management	Incident action plans incorporate management structure in accordance with NIMS/NRP	P	P	P	P	P	P
	Direct and control the incident site through the use of the Incident Command System (ICS)	P	P	P	P	P	P
	Incident action plans are exercised	P	P	P	P	P	P
	NIMS concepts are built into exercises and training	P	P	P	P	P	P

Capability Title	Capability Measure	Federal	State	Local (Large)	Local (Medium)	Local (Small)	Tribal
	Local, regional, national mutual aid plans and agreements are in place	P	P	P	P	P	P
	Coordinate with all levels of government and with nonprofit and nongovernmental organizations	P	P	P	P	P	P
Pre-Hospital Triage and Treatment	Mass casualty triage and treatment plans are in place	P	P	P	P	P	P
	Medical professionals who can be activated and transported to the triage site	P	P	P	P	P/A	P
	Triage patients	P	P	P	P	P	P
	Stabilize and treat patients	P	P	P	P	P/A	P
	Transport patients from scene to treatment facility	P	P	P	P	P/A	P
	Communications plan among hospitals/EMS/incident command	P	P	P	P	P	P
	Mass casualty triage and treatment plans have been appropriately trained	P	P	P	P	P	P
	Patient tracking system is in place	P	P	P	P	P/A	P
Public Health Epidemiological Investigation and Laboratory Testing	Epidemiological investigation protocols are in place	P	P	P	P	P	P
	Laboratory response plans are in place	P	P	P	P	P	P
	Reports of outbreaks are received, investigated and analyzed in a timely manner	P	P	P/A	P/A	A	P/A
	Trained disease investigators available to conduct an outbreak investigation	P	P	P/A	P/A	A	P/A
	Coordinate with law enforcement and other relevant agencies	P	P	P	P/A	P/A	P/A
	Ensure laboratory capacity to process biological and chemical specimens during an emergency	P	P	P/A	P/A	A	P/A
Public Health Epidemiological Investigation and Laboratory Testing	Epidemiological and laboratory procedures and protocols were exercised	P	P	P	P	P	P
	Disease surveillance and reporting system is in place	P	P	P/A	P/A	A	P/A
	First responders can report suspicious symptoms to medical personnel	P	P	P	P	P	P
	Healthcare community participates in disease surveillance and reporting systems	P	P	P	P	P	P
	Medical personnel differentiate, diagnose and report diseases of concern	P	P	P	P	P	P
Public Safety and Security Response	Public safety and security plans, policies, and procedures are in place	P	P	P	P	P	P
	Control points are designated to keep area secure	P	P	P	P	P	P
	Coordinated security perimeter (HAZMAT, fire/rescue, law enforcement) is established	P	P	P	P	P	P

Capability Title	Capability Measure	Federal	State	Local (Large)	Local (Medium)	Local (Small)	Tribal
	Damaged buildings and debris blocking emergency response ingress/egress are removed	P	P	P	P	P	P
	Ingress and egress is controlled	P	P	P	P	P	P
	Security during logistics and transportation operations is provided	P	P	P	P	P	P
	Security for critical facilities and infrastructure is provided	P	P	P	P	P	P
	Site security measures are in place to protect responders, victims, general public, property and equipment	P	P	P	P	P	P
	Multiple sites can be secured	P	P	P	P/A	P/A	P/A
	Traffic control plans, policies, and procedures are in place	P	P	P	P	P	P
	Public safety and security plans have been exercised	P	P	P	P	P	P
Restoration of Lifelines	Restoration prioritization plans are in place	P	P	P	P	P	P
	Estimate debris for hazards identified in the Hazard and Vulnerability Analysis	P	P	P	P	P	P
	Of trained personnel to conduct damage assessments	P	P	P	P	P	P
	Key resource needs are identified, and contingent contracts are in place	P	P	P	P	P	P
	Plans and procedures are appropriately trained and exercised	P	P	P	P	P	P
	Conduct clearing and restoring activities	P	P	P/A	P/A	P/A	P/A
	Provide supplemental services (e.g., power, water, ice)	P	P	P/A	P/A	P/A	P/A
	Remove and dispose of debris	P	P	P/A	P/A	P/A	P/A
Urban Search and Rescue	Plans, policies, and procedures are in place for USAR operations and support, including local dispatch and operating procedures, mutual aid agreements and/or memoranda of understanding (MAAs/MOUs), and procedures for activating and coordinating with national USAR task force(s)	P	P	P	P	P	P
	Ability to initiate and maintain self-sufficient operations for the first 72 hours of the incident	P	P	P	P/A	P/A	P/A
	Performance objectives have been established (i.e. in accordance with NFPA standards)	P	P	P	P	P	P
	Training program is maintained to ensure that all personnel (including outside agencies regularly operating together in accordance with MAA/MOU agreements) receive updated training as appropriate	P	P	P	P	P	P
	USAR plans are exercised, including all outside agencies that regularly operate together in accordance with MAA/MOU agreements	P	P	P	P	P	P

Capability Title	Capability Measure	Federal	State	Local (Large)	Local (Medium)	Local (Small)	Tribal
Volunteer Management and Donations	Conduct USAR operations	P	P	P	P/A	P/A	P/A
	Provide initial medical assistance to victims	P	P	P	P	P	P
	Maintain a certified, trained USAR team	P	P	P	P/A	P/A	P/A
	Volunteer management and donations plans are in place	P	P	P	P	P	P
	National and State Volunteer Organizations Active in Disasters (VOAD) committees have been established and are consulted during disaster planning	P	P	P	P	P	P
	Establish volunteer centers and donation staging areas	P	P	P	P	P/A	P
	Manage donations	P	P	P	P	P	P
	Of volunteers that can be effectively tracked, directed, and managed using available volunteer centers and managers	P	P	P	P	P	P
	Volunteer management and donations plans address logistics	P	P	P	P	P	P
	Volunteer management and donations plans have been exercised	P	P	P	P	P	P
Water Search and Rescue	Cooperative agreements and memorandums of understanding (MOUs) with volunteer and donations management organizations have been developed	P	P	P	P	P	P
	Water search and rescue plans, policies, and procedures are in place	P	P	P	P	P	P
	Water search and rescue plans are exercised	P	P	P	P	P	P
	Distress calls are monitored	P	P	P	P	P	P
	Capacity to perform rescue operations on the water	P	P/A	P/A	P/A	P/A	P/A
Worker Health and Safety	Capacity to provide initial medical assistance to victims	P	P	P	P	P	P
	Maintain worker health and safety plans	P	P	P	P	P	P
	Ensure that all first responders are equipped with appropriate self-protection	P	P	P	P	P	P
	Worker operating guidelines and standards are in place that incorporate health and safety concerns	P	P	P	P	P	P
	System established for follow-up on health and well being of workers after an event	P	P	P	P	P	P
	Trained and equipped personnel to perform worker decontamination	P	P	P	P	P	P
	Personnel trained to provide psychological support to workers during and following a mass casualty event	P	P	P	P	P	P
	Hazard based responder safety measures are identified in each hazard based safety plan	P	P	P	P	P	P
	Worker health and safety plans have been exercised	P	P	P	P	P	P

Appendix A- Entities Invited to Participate in Target Capabilities Development

Federal Departments and Agencies

Department of Agriculture
 Animal and Plant Health Inspection Service
 Food Safety and Inspection Service
 US Forest Service
Department of Defense
 Office of the Secretary of Defense
 Office of Homeland Security
 United States Army Corps of Engineers
Department of Energy
 National Nuclear Security Agency
 Nuclear Regulatory Commission
Department of Health and Human Services
 Center for Disease Control and Prevention
 Health Resources and Services Administration
 US Public Health Service
Department of Homeland Security
 Directorate of Science and Technology
 Integration Staff
 Federal Emergency Management Agency
 Office for Domestic Preparedness
 Transportation Security Administration
 United States Coast Guard
Department of Justice
 Bureau of Alcohol, Tobacco, and Firearms
 Federal Bureau of Investigation
 United States Marshals Service
Department of Labor
Department of Transportation
Department of Veterans Affairs
Environmental Protection Agency
National Aeronautics and Space Administration

State and Local Agencies

State Homeland Security Advisors
State Emergency Management Agencies
State Public Health Agencies

Associations

Airport Law Enforcement Agencies Network (ALEAN)
Airports Council International (ACI)
American Association of Port Authorities (AAPA)
American Association of Port Authorities (AAPA)
American Association of State Highway Transportation Officials (AASHTO)
American Gas Association
American Hospital Association (AHA)
American Legislative Exchange Council (ALEC)
American Medical Association (AMA)
American Nuclear Society
American Petroleum Institute
American Public Health Association (APHA)
American Public Transit Association (APTA)
American Public Works Association (APWA)
American Red Cross
American Society of Mechanical Engineering (ASME)
American Trucking Association
Association of American Railroads
Association of Major City Building Officials
Association of Oil Pipelines
Association of Public Health Laboratories
Association of State and Territorial Health Officials (ASTHO)
Association of State Dam Safety Officials (ASDSO)
Association of State Floodplain Managers (ASFPM)
Community Transportation Association of America
Conference of Radiation Control Programs Directions
Council of State and Territorial Epidemiologists
Council of State Governments (CSG)
County Executives of America (CEA)
CropLife America
Federal Law Enforcement Officers Association (FLEO)
Fraternal Order of Police (FOP)
Health Physics Societies
Hispanic American Police Command Officers Association
International Association of Black Professional Fire Fighters (IABPFF)
International Association of Chiefs of Police (IACP)
International Association of Emergency Managers (IAEM)
International Association of Fire Chiefs (IAFC)
International Association of Fire Fighters (IAFF)
International City/ County Management Association (ICMA)
International Fire Marshals Association (IFMA)

Interstate Natural Gas Association
Major City Chiefs Association (MCCA)
Major County Sheriff's Association (MCSA)
National Association Emergency Medical Services Physicians (NAEMSP)
National Association of Attorneys General (NAAG)
National Association of Black Law Enforcement Executives (NOBLE)
National Association of City and County Health Officials (NACCHO)
National Association of Counties (NAC)
National Association of Development Organizations (NADO)
National Association of Hispanic Firefighters (NAHF)
National Association of Latino Elected and Appointed Officials (NALEO)
National Association of Police Organizations (NAPO)
National Association of State Departments of Agriculture
National Association of State Emergency Medical Directors (NASEMSD)
National Association of State Fire Marshals (NASFM)
National Association of Towns and Townships (NATAT)
National Conference of Black Mayors (NCBM)
National Conference of State Legislatures (NCSL)
National Conference of States on Building Codes & Standards, Inc
National Congress of American Indians (NCAI)
National District Attorneys Association (NDAA)
National Emergency Management Association (NEMA)
National Governors Association (NGA)
National League of Cities (NLC)
National Native American Native Law Enforcement Association
National Organization of Black Law Enforcement (NOBLE)
National Sheriffs' Association (NSA)
National Troopers Association (NTA)
National Volunteer Fire Council (NVFC)
Police Executive Research Forum (PERF)
Police Foundation
The Association of American Railroads
United States Conference of Mayors (USCM)
US Animal Health Association (USAHA)
US Chamber of Commerce (USCC)/Center for Corporate Citizenship

