OGE Form 278 (Rev. 12/2011)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Form Approved:
OMB No. 3209-0001

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Date of Appointment, Candidacy, Election, or Nomination (*Month, Day, Year*)	8-9-2010	
Reporting Status (Check Appropriate Boxes)	Incumbent [X]	Calendar Year Covered by Report: 2013
	New Entrant, Nominee, or Candidate [ ]	Termination Filer [ ] Termination Date (*If Applicable*) (*Month, Day, Year*):
Reporting Individual's Name	Last Name: Clapper	First Name and Middle Initial: JAMES R.
Position for Which Filing	Title of Position: Director of Nat'L Intell	Department or Agency (*If Applicable*): ODNI
Location of Present Office (or forwarding address)	Address (*Number, Street, City, State, and ZIP Code*): Washington DC 20511	Telephone No. (*Include Area Code*): 703 275 2012
Position(s) Held with the Federal Government During the Preceding 12 Months (*If Not Same as Above*)	Title of Position(s) and Date(s) Held: NA	
Presidential Nominees Subject to Senate Confirmation	Name of Congressional Committee Considering Nomination: NA	Do You Intend to Create a Qualified Diversified Trust? [ ] Yes [X] No
Certification I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge.	Signature of Reporting Individual: James R Clapper	Date (*Month, Day, Year*): April 11, 2014
Other Review (If desired by agency)	Signature of Other Reviewer:	Date (*Month, Day, Year*):
Agency Ethics Official's Opinion On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below).	Signature of Designated Agency Ethics Official/Reviewing Official: Kerri Cox	Date (*Month, Day, Year*): May 5, 2014
Office of Government Ethics Use Only SC 6/24/14	Signature: [signature]	Date (*Month, Day, Year*): 7-01-14

Comments of Reviewing Officials (*If additional space is required, use the reverse side of this sheet*)

Fund Names Annotated pp 3-7 KAR

(*Check box if filing extension granted & indicate number of days* ________) [ ]

(*Check box if comments are continued on the reverse side*) [ ]

Fee for Late Filing

Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period, shall be subject to a $200 fee.

Reporting Periods

Incumbents: The reporting period is the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable.

Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable.

Nominees, New Entrants and Candidates for President and Vice President:

Schedule A--The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.

Schedule B--Not applicable.

Schedule C, Part I (Liabilities)--The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing.

Schedule C, Part II (Agreements or Arrangements)--Show any agreements or arrangements as of the date of filing.

Schedule D--The reporting period is the preceding two calendar years and the current calendar year up to the date of filing.

Agency Use Only

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JUN 24 2014

Supersedes Prior Editions.

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Reporting Individual's Name		Page Number
Clapper, James R.	**SCHEDULE A**	2

Assets and Income — BLOCK A

For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding $1,000 at the close of the reporting period, or which generated more than $200 in income during the reporting period, together with such income.

For yourself, also report the source and actual amount of earned income exceeding $200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than $1,000 (except report the actual acount of any honoraria over $200 of your spouse).

None ☐

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

	Assets and Income (Block A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
Examples	Central Airlines Common				x												x						x										
Examples	Doe Jones & Smith, Hometown, State			x																												Law Partnership Income $130,000	
Examples	Kempstone Equity Fund					x								x										x									
Examples	IRA: Heartland 500 Index Fund							x						x											x								
1	J Dominion Resources Stock			X															X			X											
2	J NAVY Federal Credit Union CK ACCT			X															X		X												
3	J NAVY Fed Credit Union Money MKT					X													X			X											
4	J Bank America Money MKMT			X															X			X											
5	J Bank America CK ACCT		X																X		X												
6	S Charles Schwab Invest Money Mgmt/CK ACCT					X													X			X											

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

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Reporting Individual's Name	SCHEDULE A continued (Use only if needed)	Page Number
Clapper, J		3

Assets and Income — BLOCK A | Valuation of Assets at close of reporting period — BLOCK B | Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Assets and Income	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	S IRA DFA Large Cap Int'l Fund DFALX	/	X											X							X												
2	S IRA I Shares No. Amer. Resource IGE	X												X							X												
3	S IRA Ishares Russell 1000 Value Index IWD		X											X							X												
4	S IRA ZTD20 (CASH)	X												X							X												
5	S IRA Vanguard Total Bond Mkt BND		X											X							X												
6	S IRA Vanguard Reit Index VNQ	X												X							X												
7	S IRA Vanguard Total Stock Mkt VTI		X											X							X												
8	I IRA DFA Emerging Markets DFEMX			X										X								X											
9	I IRA DFA 5yr Global Fixed Income DFGBX				X									X								X											

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Reporting Individual's Name: Clopper, James

SCHEDULE A continued

(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Assets and Income	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	✓ I IRA DFIVX DFA International Value Portfolio			X										X								X											
2	✓ I IRA DFIGX DFA Intermediate Gov't Fixed Income			X										X								X											
3	✓ I IRA DFALX DFA Large Cap International			X										X								X											
4	✓ I IRA DFSTX DFA US Small Cap			X										X								X											
5	✓ I IRA DFLVX DFA Large Cap Value				X									X								X											
6	I IRA DFEQX DFA Short Term Extended Quality		X											X							X												
7	✓ I IRA IJH iShares Trust S&P mid cap			X										X								X											
8	✓ I IRA LQD iShares iBoxx invest grade Corp Bond		X											X								X											
9	✓ I IRA IGE iShares S&P N.A. Nat. Resource			X										X								X											

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Reporting Individual's Name: Clapper, James

SCHEDULE A continued
(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	I IRA iShares Russell 1000 IWD				X									X									X										
2	I IRA ITD20 CASH			X										X							X												
3	I IRA VFIRX Vanguard Short Term Treasury Admiral		X											X							X												
4	I IRA Vanguard Growth ETF VUG				X									X								X											
5	I IRA Vanguard Reit VNQ			X										X								X											
6	J DFEMX DFA Emerging Markets Portfolio			X										X								X											
7	J DFGBX DFA 5 YR Global Fixed Income			X										X								X											
8	J DFIVX DFA International Value Portfolio			X										X								X											
9	J DFIGX DFA International Non-E Fixed Income			X										X								X											

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Reporting Individual's Name: Clapper James

SCHEDULE A continued
(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Assets and Income	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	J DFALX DFA Large Cap International			X										X								X											
2	J DFSTX DFA US Small Cap			X										X								X											
3	J DFLVX DFA Large Cap Value				X									X								X											
4	J DFEQX DFA Short Term Extended Quality		X											X							X												
5	J IJH ishares trust S&P mid cap			X										X								X											
6	J LQD ishares BrXY Invest grade Corp Bnd		X											X								X											
7	J IGE ishares S&P NA Nat Resources			X										X								X											
8	J IWD ishares Russell 1000				X									X									X										
9	J ZTD20 CASH			X										X							X												

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Reporting Individual's Name	SCHEDULE A continued (Use only if needed)	Page Number 7

	Assets and Income BLOCK A	Valuation of Assets at close of reporting period BLOCK B															Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. BLOCK C Type				Amount												
		None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	J VFIRX Vanguard Short Term Treasury Admiral		X											X							X												
2	J VUG Vanguard Growth ETF				X									X								X											
3	J VNQ Vanguard Reit ETF			X										X								X											
4	S FACEBOOK STOCK		X														X				X												
5																																	
6																																	
7																																	
8																																	
9																																	

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Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name: Clapper, James

SCHEDULE B

Page Number: 8

Part I: Transactions

None ☐

Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss.

Do not report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.

	Identification of Assets	Transaction Type (x): Purchase	Sale	Exchange	Date (Mo., Day, Yr.)	Amount of Transaction (x): $1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
Example	Central Airlines Common	x			2/1/99			x									
1 I	DFA 5 year Global - DFGBX		X		10/9/13	X											
2 I	"	X			8/20/13	X											
3 I	DFA Emerging Markets - DFEMX		X		10/9/13	X											
4 I	"	X			8/8/13	X											
5 I	"	X			5/20/13	X											

* This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Part II: Gifts, Reimbursements, and Travel Expenses

For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than $350 and (2) travel-related cash reimbursements received from one source totaling more than $350. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 4111 or other statutory authority, etc. For travel-related gifts and reimbursements, include travel itinerary, dates, and the nature of expenses provided. **Exclude** anything given to you by the U.S. Government; given to your agency in connection with official travel; received from relatives; received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth $140 or less. See instructions for other exclusions.

None ☐

	Source (*Name and Address*)	Brief Description	Value
Examples	Nat'l Assn. of Rock Collectors, NY, NY	Airline ticket, hotel room & meals incident to national conference 6/15/99 (personal activity unrelated to duty)	$500
	Frank Jones, San Francisco, CA	Leather briefcase (personal friend)	$385
1			
2			
3			
4			
5			

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Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name: Clapper, James

SCHEDULE B continued
(Use only if needed)

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Part I: Transactions

#	Identification of Assets	Purchase	Sale	Exchange	Date (Mo., Day, Yr.)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
1	I DFA Interim Govt. Fixed Income IRA		X		11/13/13	X											
2	I " DFIGX IRA		X		10/9/13	(X)											
3	I " " IRA	X			7/24/13	X											
4	I " " IRA	X			5/20/13	X											
5	I " " IRA	X			1/2/13	X											
6	I DFA Shortterm Extended Quality DFEQX IRA	X			11/13/13	X											
7	I DFA US LargeCap Value IRA		X		11/13/13	(X)											
8	I " DFALX IRA		X		10/9/13	(X)											
9	I " " IRA		X		9/17/13	(X)											
10	I " " IRA		X		8/8/13	(X)											
11	I " " IRA		X		5/20/13	(X)											
12	I " " IRA		X		5/9/13	(X)											
13	I DFA US Small Cap DFSTX IRA		X		10/9/13	(X)											
14	I " " IRA		X		7/24/13	(X)											
15	I " " IRA		X		8/20/13	(X)											
16	I IShares iBoxx Investment Grade LQD IRA		X		11/13/13	(X)											

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Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name	SCHEDULE B continued (Use only if needed)	Page Number
Clapper James		10

Part I: Transactions

#	Identification of Assets	Transaction Type (x): Purchase	Sale	Exchange	Date (Mo., Day, Yr.)	Amount of Transaction (x): $1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
1	I iShares iBoxx Investment grade LQD IRA		X		10/9/13	(X)											
2	I " " IRA	X			9/17/13	X											
3	I " " IRA	X			5/9/13	X											
4	I iShares Russell 1000 Value IWD IRA		X		10/9/13	(X)											
5	I " " IRA		X		5/20/13	(X)											
6	I iShares S&P MidCap 400 Index IJH IRA		X		10/9/13	(X)											
7	I " " IRA		X		8/16/13	(X)											
8	I Vanguard Growth VUG IRA		X		10/9/13	(X)											
9	I " VUG IRA		X		9/17/13	(X)											
10	I Vanguard REIT Index EFT VNQ IRA	X			8/16/13	X											
11	I Vanguard Short Term Treasury Admiral VFIRX IRA	X			11/13/13	X											
12	J DFA 5 Year Global Fixed Income DFGBX	X			6/19/13	X											
13	J " DFGBX	X			2/11/13	X											
14	J DFA Emerging Markets DFEMX	X			6/19/13	X											
15	J " DFEMX	X			6/11/13	X											
16	J DFA Interm Govt Fixed Income DFIGX		X		11/13/13	(X)											

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Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name: Clapper, James

SCHEDULE B continued

(Use only if needed)

Part I: Transactions

	Identification of Assets	Transaction Type (x): Purchase	Sale	Exchange	Date (Mo., Day, Yr.)	Amount of Transaction (x): $1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
1	J DFA Interm Govt Fixed Income DFIGX	X			6/19/13	X											
2	J " DFIGX	X			6/20/13	X											
3	J DFA International Value DFIVX	X			6/19/13	X											
4	J DFA Large Cap International DFLVX	X			6/19/13	X											
5	J DFA Short term Extended Quality DFEQX	X			11/13/13	X											
6	J DFA US Large Cap Value DFALX		X		11/11/13	(X)											
7	J " "	X			6/19/13	X											
8	J " "		X		5/20/13	(X)											
9	J " "		X		2/20/13	(X)											
10	J " "		X		2/11/13	(X)											
11	J DFA US Small Cap DFSTX		X		11/13/13	(X)											
12	J " "	X			6/19/13	X											
13	J " "		X		6/11/13	(X)											
14	J I Shares iBoxx $ Investment Grade Corp. Bond LQD		X		11/13/13	(X)											
15	J " LQD	X			6/19/13	X											
16	J " LQD	X			2/20/13	X											

* This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

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Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name: Clapper James

SCHEDULE B continued

(Use only if needed)

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Part I: Transactions

#	Identification of Assets	Purchase	Sale	Exchange	Date (Mo., Day, Yr.)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
1	J I Shares North American Natural Resources IGE	X			6/19/13 4/5/13	X											
2	J I Shares Russell 1000 Value IWD	X			6/19/13	X											
3	J " " IWD		X		5/20/13	X											
4	J I Shares S&P MidCap 400 Index IJH	X			6/19/13	X											
5	J " " IJH		X		4/5/13	(X)											
6	J Vanguard Growth VUG	X			11/13/13	(X)											
7	J " VUG		X		6/19/13	X											
8	J Vanguard REIT Index ETF VNQ	X			11/11/13 6/19/13	X											
9	J Vanguard Short Term Treasury Admiral VFIRX	X			11/13/13	X											
10																	
11																	
12																	
13																	
14																	
15																	
16																	

* This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

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Reporting Individual's Name		Page Number
Clapper, James R.	**SCHEDULE C**	13

Part I: Liabilities

Report liabilities over $10,000 owed to any one creditor at **any time** during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. **Exclude** a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None ☐

	Creditors *(Name and Address)*	Type of Liability	Date Incurred	Interest Rate	Term if applicable	$10,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Examples	First District Bank, Washington, DC	Mortgage on rental property, Delaware	1991	8%	25 yrs.			x								
	John Jones, Washington, DC	Promissory note	1999	10 %	on demand					x						
J	Wells Fargo Bank	Mortgage on home	2010	4.37	30yr				X							
2																
3																
4																
5																

Category of Amount or Value (x)

* This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

Part II: Agreements or Arrangements

Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

None ☒

	Status and Terms of any Agreement or Arrangement	Parties	Date
Example	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00.	Doe Jones & Smith, Hometown, State	7/85
1			
2			
3			
4			
5			
6			

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Reporting Individual's Name	SCHEDULE D	Page Number
Clapper James R.		14

Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude** positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☒

	Organization *(Name and Address)*	Type of Organization	Position Held	From *(Mo., Yr.)*	To *(Mo., Yr.)*
Examples	Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1					
2					
3					
4					
5					
6					

Part II: Compensation in Excess of $5,000 Paid by One Source

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate.

None ☒

	Source *(Name and Address)*	Brief Description of Duties
Examples	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1		
2		
3		
4		
5		
6		

7 June 2010

Susan S. Gibson
Designated Agency Ethics Official
Office of the Director of National Intelligence
2B-200, LX2
Washington, DC 20511

Dear Ms. Gibson:

The purpose of this letter is to describe the steps that I will take to avoid any actual or apparent conflict of interest if confirmed for the position of Director, Office of the Director of National Intelligence.

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter that has a direct and predictable effect on my financial interests or those of any person whose interests are imputed to me, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: my spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as an officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I understand that as an appointee I must continue to abide by the Ethics Pledge (Exec. Order No. 13490) that I previously signed and that I will be bound by the requirements and restrictions therein in addition to the commitments I have made in this and any other ethics agreement.

Sincerely,

James R Clapper

James R. Clapper