AO 10
Rev. 1/2014

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2013

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Alito, Samuel A.	United States Supreme Court	6/12/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type** (check appropriate type)	**6. Reporting Period**
Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	01/01/2013 to 12/31/2013

7. Chambers or Office Address

United States Supreme Court
1 First Street, NE
Washington, DC 20544

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Alito, Samuel A.	6/12/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	9/25/2013	Duke University Law School - Teaching	$15,000.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Dallas Bar Association	6/19-21/2013	Dallas, Texas	Conference	Transportation, Meals, Lodging.
2.	University of Notre Dame Kellogg Institute for International Studies	7/9-11/2013	Florence, Italy	Conference	Transportation, Meals & Lodging
3.	St. Thomas More Society of Nevada	10/22-23/2013	South Bend, Indiana	Speech	Transportation, Meals & Lodging
4.	New York Histosrical Society	11/15-17/2013	New York Historical Society	Film Series and American Constitution Program	Transportation, Meals & Lodging
5.	The Union League	11/19-20/2013	Philadelphia, PA	Celebration of the 250th Anniversary of The Gettysburg Address	Meals & Lodging

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Alito, Samuel A.	6/12/2014

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Vang. Tax Ex Mny Mkt Fund	A	Dividend	M	T					
2. Vang Inter Term Tax Ex Fund	A	Dividend	J	T					
3. Van LT Tax Ex Fund	A	Dividend	J	T					
4. Vang Star Mutual Fund	B	Dividend	K	T					
5. Vang Wellington Mut Fund	D	Dividend	M	T					
6. Van Small Cap Stock Fund	B	Dividend	M	T					
7. Van Total Stock Mkt Index F	C	Dividend	M	T					
8. Windsor II	A	Dividend	K	T					
9. Vanguard TE Mny Mkt	A	Dividend	M	T					
10. XON Common Stock	C	Dividend	M	T					
11. Edward Jones Investment (Cash Account)	A	Interest	J	T					
12. AES Corp (x)	A	Dividend	J	T					
13. Vanguard Target Retirement Acct 2015	A	Dividend	J	T					
14. PNC Bank Accounts	A	Interest	O	T					
15. Andrews Federal Credit Union	A	Interest	J	T					
16. Crane Energy (y)									
17. Carson Energy (x)		None	J	W					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18.									
19. Edward Jones #1: (H)									
20. - Edward Jones Tax Free MMkt	A	Dividend	M	T					
21. - Bank of China CD	A	Interest			Buy	07/08/13	K		
22.					Redeemed	07/17/13	K		
23. - Discover Bank CD	A	Interest			Buy	07/08/13	J		
24.					Redeemed	08/06/13	J		
25. - Centinela Vy UN High Sch		None	J	T					
26. - Chicago IL Pj & Rfdg Ser A GO 5%	A	Interest	K	T					
27. - Desert CA Cmnty College Dist	A	Interest			Sold	07/08/13	K		
28. - Fairfax Cnty VA Public Impt	A	Interest	J	T					
29. - Fairfax Cnty VA Econ Dev Auth	A	Interest	J	T					
30. - Hallifax Cnty VA Indl Dev Auth	B	Interest			Sold	06/03/13	L	A	
31. - IL Dev Fin Citizens Utils Co.	B	Interest	K	T					
32. - In Local Pub Impt Bd Auth Rev	B	Interest	K	T					
33. - Met WA DC Arpts Auth Sys Rev	A	Interest	K	T					
34. - Metro Washington DC Arpts Rev	A	Interest	K	T					

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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. - NJ Econ Dev United Wtr NJ Pj	B	Interest			Sold	07/08/13	K		
36. - NJ Hlth Care Facs Fing Hosp	B	Interest	K	T					
37. - Stafford Cnty & Staunton VA	A	Interest	K	T					
38. - Virginia Comwlth Transn Brd		None	J	T					
39. - VA St Res Auth Wtr & Swr Rev		None	J	T					
40. - VA St Res Auth Wtr & Swr Sys		None	J	T					
41. - WI Hlth & Edl Facs Thedacare	B	Interest	K	T					
42. - MS Senior Med Term Nts Step Up	A	Interest			Redeemed	09/30/13	K	A	
43. - US Tips	A	Interest	K	T					
44. - 3M Co	A	Dividend	K	T					
45. - Abbott Laboratories	A	Dividend	J	T					
46. - Abbvie Inc. (Spinoff of Abbott Laboratories	A	Dividend	K	T					
47. - Automatic Data Processing Inc	A	Dividend	K	T					
48. - Black Hills Corp	B	Dividend	L	T					
49. - Boeing Co	A	Dividend	K	T					
50. - Caterpiller Inc	A	Dividend	K	T					
51. - Centurylink Inc	A	Dividend	J	T					

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52. - Chevron Corp	A	Dividend	K	T					
53. - Clean Energy Fuels Corp		None	J	T					
54. - ConocoPhillips	B	Dividend	K	T					
55. - Cr Bard Inc	A	Dividend	M	T					
56. - E I Du Pont de Nemours & Co	A	Dividend	K	T					
57. - Endo Health Solutions Inc		None	K	T					
58. - Itc Holdings Corp	A	Dividend	K	T					
59. - Jacobs Engineering Group Inc		None	K	T					
60. - Johnson & Johnson	A	Dividend	K	T					
61. - Johnson Controls Inc	A	Dividend	K	T					
62. - JPMorgan Chase & Co	B	Dividend	L	T					
63. - Kraft Foods Inc	A	Dividend	J	T					
64. - Merck & Co Inc. New	B	Dividend	L	T					
65. - Molson Coors Brewing Co	A	Dividend	K	T					
66. - Mondelez International Inc	A	Dividend	J	T					
67. - OGE Energy Corp	C	Dividend	M	T					
68. - Oracle Corp	B	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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69. - Parker Hannifin Corp	A	Dividend	K	T					
70. - Pepsico Inc.	B	Dividend	K	T					
71. - Phillips 66	A	Dividend	K	T					
72. - PNC Bank Corp	A	Dividend	J	T					
73. - Procter & Gamble Co	A	Dividend	K	T					
74. - Realty Income Corp	A	Dividend	J	T					
75. - Schlumberger Limited	A	Dividend	J	T					
76. - Sysco Corp	A	Dividend	J	T					
77. - Target Corp	A	Dividend	J	T					
78. - TJX Cox Inc	A	Dividend	J	T					
79. - United Technologies Corp	A	Dividend	K	T					
80. - Vodafone	A	Dividend							
81. - Wpp PLC ADR	A	Dividend	K	T					
82. - Kinder Morgan Energy Partners	B	Dividend	K	T					
83.									
84. Edward Jones #2 (H)									
85. - Cash & Money Market (x)	A	Dividend	J	T					

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86. - Ishares Russ McValue ETF	A	Dividend	K	T	Buy	01/07/13	J		
87.					Buy (add'l)	01/08/13	J		
88.					Buy (add'l)	01/10/13	J		
89. - Ishares MSCI EAFE Value ETF	B	Dividend	L	T	Buy	01/07/13	K		
90.					Buy (add'l)	01/08/13	K		
91.					Buy (add'l)	01/10/13	J		
92.					Buy (add'l)	01/31/13	J		
93.					Buy (add'l)	06/10/13	J		
94. - Ishares Russ 1000 Growth ETF	A	Dividend	K	T	Buy	01/07/13	J		
95.					Buy (add'l)	01/08/13	J		
96.					Buy (add'l)	01/10/13	J		
97.					Buy (add'l)	06/10/13	J		
98. - Ishares Russ 1000 Value ETF	A	Dividend	K	T	Sold (part)	01/07/13	J	A	
99.					Buy (add'l)	01/08/13	J		
100.					Buy (add'l)	01/10/13	J		
101. - IShares S&P 500 Growth ETF	A	Dividend	K	T	Buy	01/07/13	J		
102.					Buy (add'l)	01/08/13	J		

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103.					Buy (add'l)	01/10/13	J		
104.					Sold (part)	01/31/13	J	A	
105. - SPDR S&P Dividend ETF	B	Dividend	K	T	Buy	01/07/13	J		
106.					Buy (add'l)	01/08/13	J		
107.					Buy (add'l)	01/10/13	J		
108. Vanguard FTSE All-Wrld Exus ETF	B	Dividend	K	T	Buy	01/07/13	J		
109.					Buy (add'l)	01/08/13	J		
110.					Buy (add'l)	01/10/13	J		
111.					Buy (add'l)	01/31/13	J		
112.					Buy (add'l)	06/10/13	J		
113. - Vanguard High Div Yield ETF	B	Dividend	K	T	Buy	01/07/13	K		
114.					Buy (add'l)	01/08/13	K		
115.					Buy (add'l)	01/10/13	J		
116.					Sold (part)	01/31/13	J	A	
117. - Vanguard Mid Cap Growth ETF	A	Dividend	K	T	Buy	01/07/13	J		
118.					Buy (add'l)	01/08/13	J		
119.					Buy (add'l)	01/10/13	J		

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120.					Buy (add'l)	06/10/13	J		
121. - American Century T/F Bd-Int	A	Dividend	K	T	Sold (part)	01/07/13	K		
122.					Buy (add'l)	01/08/13	J		
123.					Buy (add'l)	01/10/13	J		
124.					Buy (add'l)	06/10/13	J		
125. - Buffalo Mid Cap		None			Sold (part)	01/07/13	K	A	
126.					Sold	01/07/13	J	A	
127. - Franklin Fed Int Term T/F Inc	B	Dividend	K	T	Buy	01/07/13	J		
128.					Buy (add'l)	01/07/13	J		
129.					Buy (add'l)	01/08/13	K		
130.					Buy (add'l)	01/10/13	J		
131.					Buy (add'l)	06/10/13	J		
132. - Franklin High Yield T/F Inc	B	Dividend	K	T	Sold (part)	01/07/13	J		
133.					Buy (add'l)	01/08/13	J		
134.					Buy (add'l)	01/10/13	J		
135.					Buy (add'l)	06/10/13	J		
136. MFS Municipal Income	B	Dividend	K	T	Buy	01/07/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Alito, Samuel A.	6/12/2014

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	01/08/13	J		
138.					Buy (add'l)	01/10/13	J		
139.					Buy (add'l)	06/10/13	J		
140. - T. Rowe Price Summit Muni Int	A	Dividend	K	T	Buy	01/07/13	J		
141.					Buy (add'l)	01/08/13	J		
142.					Buy (add'l)	01/10/13	J		
143.					Buy (add'l)	06/10/13	J		
144. - T. Rowe Price TE MMkt	A	Dividend	J	T	Buy	01/07/13	J		
145.					Buy (add'l)	01/08/13	J		
146.					Buy (add'l)	01/10/13	J		
147.					Buy (add'l)	06/10/13	J		
148. - Tax Exempt Bond Fund of America	B	Dividend	K	T	Sold (part)	01/07/13	K		
149.					Buy (add'l)	01/08/13	K		
150.					Buy (add'l)	01/10/13	J		
151.					Buy (add'l)	06/10/13	J		
152. - Thornburg Limited Term Muni	B	Dividend	L	T	Buy	01/07/13	K		
153.					Buy (add'l)	01/08/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Alito, Samuel A.	6/12/2014

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	01/10/13	J		
155.					Buy (add'l)	06/10/13	J		
156. Wells Fargo Adv S/T Muni Bd	A	Dividend	K	T	Sold (part)	01/07/13	K		
157.					Buy (add'l)	01/08/13	J		
158.					Buy (add'l)	01/10/13	J		
159.					Buy (add'l)	06/10/13	J		
160. TRADITIONAL IRA: (H)	A	Dividend	K	T					
161. -Capital World Growth & Income Fund Cl A									
162. -Eaton Vance Mutual Funds Trust Gov't Oblig Cl A									
163. - Goldman Sachs Growth & Incom Strategy Fund Cl A					Sold (part)	07/10/13	J	A	
164. - Income Fund of America Cl A									
165. - Putnam Dynamic Asset - Allocation Growth Fund Cl A									
166. - Putnam Dynamic Asset Allocation Balanced Fund Cl A									
167.									
168. Mineral Interest, Grady County, OK (x)		None	L	W					
169.									
170. Prudential Financial Inc (x)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Alito, Samuel A.	6/12/2014

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.									
172. Estate #1	E	Dividend	P1	T					
173. - Morgan Stanley Bank N.A. (x)									
174. - American Electric Power (x)									
175. - Apache Corp (x)									
176. - AT&T Inc (x)									
177. - Bristol Myers Squibb Co (x)									
178. - Coco Cola Co (x)									
179. - Exxon Mobil Corp (x)									
180. - General Electric Co (x)									
181. - JPMorgan Chase & Co (x)									
182. - Procter & Gamble (x)									
183. - Vodafone GP PLC ADS New (x)									
184. - Zimmer Hldgs Inc (x)									
185. - PNC Bank (x)									
186. - Roma Bank (x)									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Alito, Samuel A.	6/12/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 80 Dividend received in 2013 on shares sold in December 2012.

Name of Person Reporting	Date of Report
Alito, Samuel A.	6/12/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Samuel A. Alito

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

AO 10 Rev. 1/2014	FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013	*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) BREYER, STEPHEN G.	**2. Court or Organization** U.S. SUPREME COURT	**3. Date of Report** 04/29/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) ASSOC. JUSTICE SUPREME COURT	**5a. Report Type (check appropriate type)** ☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2013 to 12/31/2013
7. Chambers or Office Address SUPREME COURT OF UNITED STATES 1 FIRST STREET, N.E. WASHINGTON, D.C. 20543		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	TRUSTEE (HONORARY)	DANA-FARBER CANCER INSTITUTE
2.	JUROR	THE PRITZKER ARCHITECTURE PRIZE
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
BREYER, STEPHEN G.	04/29/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2013	RANDOM HOUSE, INC.; ROYALTY INCOME	$10,809.52
2.	2013	THE AUTHORS REGISTRY, INC; ROYALTY INCOME	$148.46
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	PRITZKER ORGANIZATION	JANUARY 18-20, 2013	NEW YORK, NY	PRITZKER PRIZE JURY MEETINGS	AIRFARE, MEALS & LODGING
2.	NEW COLLEGE OF THE HUMANITIES - LONDON	JANUARY 30 - FEBRUARY 5, 2013	LONDON, UNITED KINGDOM	PARTICIPANT IN CONFERENCE	AIRFARE & MEALS
3.	ACADEMIE DES SCIENCES MORALES ET POLITIQUES, UNIVERSITY OF FRANCE	APRIL 1-10, 2013	PARIS, FRANCE	ELECTED INTO MEMBERSHIP OF ACADEMIE DES SCIENCES MORALES ET POLITIQUES	AIRFARE & MEALS (SELF & SPOUSE)
4.	ASPEN INSTITUTE	JULY 1-2, 2013	ASPEN, CO	PARTICIPANT IN CONFERENCE, ASPEN IDEAS FESTIVAL	AIRFARE (SELF & SPOUSE)

Name of Person Reporting	Date of Report
BREYER, STEPHEN G.	04/29/2014

5. DAVID RUBENSTEIN	AUGUST 30, 2013	NANTUCKET, MA	WEDDING	AIR TRANSPORTATION
6. SUPREME COURT OF CANADA	SEPTEMBER 23-25, 2013	OTTAWA, CANADA	CANADA - US LEGAL EXCHANGE	LODGING, MEALS & LOCAL TRANSPORTATION
7. YALE UNIVERSITY	SEPTEMBER 25-28, 2013	NEW HAVEN, CT	PARTICIPANT IN YALE GLOBAL SEMINAR	AIRFARE, MEALS & LOCAL TRANSPORTATION
8. HARVARD LAW SCHOOL	OCTOBER 1, 2013	CAMBRIDGE, MA	TO HONOR JUSTICE BREYER'S 20TH ANNIVERSARY OF APPOINTMENT	AIRFARE
9. NEW YORK UNIVERSITY	OCTOBER 2, 2013	NEW YORK CITY, NY	PARTICIPANT IN MEMORIAL SERVICE FOR PROF. RONALD DWORKIN	AIRFARE & ONE-WAY TRAIN FARE
10. INTERNATIONAL BAR ASSOCIATION	OCTOBER 11, 2013	BOSTON, MA	PARTICIPANT IN RULE OF LAW SYMPOSIUM	AIRFARE
11. PRITZKER ORGANIZATION	NOVEMBER 16-23, 2013	NORWAY, SWEDEN, COPENHAGEN	VIEWING ARCHITECTURE AS ONE OF THE JURORS ON THE COMMITTEE	AIRFARE, MEALS & LODGING
12. WORLD POLICY CONFERENCE	DECEMBER 13-15, 2013	MONACO, FRANCE	PARTICIPANT IN CONFERENCE	AIRFARE, MEALS & LODGING

Name of Person Reporting	Date of Report
BREYER, STEPHEN G.	04/29/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
BREYER, STEPHEN G.	04/29/2014

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. SMITH BARNEY CITIGROUP IRA ACCOUNT:									
2. CITIBANK NA BANK DEPOSIT PROGRAM	A	Interest			Closed	10/03/13	M		
3. U.S. TREASURY NOTE 8/15/13	B	Interest			Matured	08/15/13	K	A	
4. IRA ACCOUNT:									
5. DWS (FKA SCUDDER) CORE PLUS INCOME FUND	A	Dividend	K	T					
6. DWS (FKA SCUDDER) LARGE CAPITAL VALUE FUND	D	Dividend	L	T					
7. OTHER HOLDINGS:									
8. DWS (FKA SCUDDER) CORE PLUS INCOME FUND - IRA	A	Dividend	K	T					
9. BANK OF AMERICA - CHECKING	A	Interest	L	T					
10. TIAA/CREF	G	Int./Div.	P1	T					
11. TRUST:									
12. TAI SHAN FUND	C	Int./Div.	M	U					
13. WINDSOR FUND	A	Dividend	L	T					
14. AUTOMATIC DATA PROCESSING INC COMMON STOCK	B	Dividend	L	T					
15. SIGMA ALDRICH CORP. COMMON STOCK	B	Dividend	M	T					
16. CINTAS CORP. COMMON STOCK	B	Dividend	M	T					
17. SYSCO CORP. COMMON STOCK	C	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
BREYER, STEPHEN G.	04/29/2014

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18.	VANGUARD S&P 500 INDEX FUND	D	Dividend	N	T					
19.	APPLIED ANALYSIS INC - COMMON STOCK		None	J	T					
20.	APPLIED ANALYSIS INC - PREFERRED STOCK		None	J	T					
21.	ISHARES MSCI PAC EX-JAPAN INDEX FD	A	Dividend			Sold	09/17/13	K	A	
22.	ISHARES MSCI EMERGING MARKETS IDX FD	A	Dividend	K	T					
23.	ISHARES MSCI EAFE INDEX FD	A	Dividend	K	T					
24.	U.S. TREASURY BILL - 8/15/13	B	Interest			Matured	08/15/13	K		
25.	VANGUARD DIVIDEND GROWTH FUND -IV	C	Dividend	M	T					
26.	VANGUARD MID-CAP INDEX FUND -A	A	Dividend	L	T					
27.	DODGE & COX INTERNATIONAL STOCK FUND	B	Dividend	L	T					
28.	ROYCE LOW-PRICED STOCK SERVICE FUND	A	Dividend	L	T					
29.	OAKMARK INTERNATIONAL FUND - I	B	Dividend	L	T					
30.	FEDERATED US TREASURY CASH RSV FD-I	A	Dividend	K	T					
31.	INTERSENSE INC. CLASS C PREFERRED SERIES C-1		None	J	T					
32.	INTERSENSE INC. CLASS A PREFERRED SERIES A-2		None	J	T					
33.	INTERSENSE INC. CLASS B PREFERRED SERIES B-1		None	J	T					
34.	VANGUARD SMALL CAP INDEX-INV	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
BREYER, STEPHEN G.	04/29/2014

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. FIDELITY MA MUNICIPAL INCOME	C	Dividend	L	T					
36. U.S. TREASURY BILL - 8/21/14	A	Interest	K	T	Buy	09/16/13	K		
37. OTHER ASSETS:									
38. TIAA/CREF RETIREMENT ACCOUNT	A	Dividend	M	T					
39. SK-N-A NATIONAL BANK SAVINGS ACCT	A	Interest	K	T					
40. PEARSON ORD. STOCK	E	Dividend	P1	T					
41. RENTAL PROPERTY - NEVIS WEST INDIES	A	Rent	N	W					
42. TOTAL SA SPONSORED ADR	C	Dividend	L	T					
43. NOKIA CORP. ADS	A	Dividend	K	T					
44. LAND IN CONCORD, MA		None	L	W					
45. LAND IN PLAINFIELD, NH		None	N	W					
46. HEINZ HJ CO - COMMON STOCK	A	Dividend			Sold	06/10/13	L	E	
47. IBM - COMMON STOCK	B	Dividend	L	T					
48. LOWES COMPANIES INC - COMMON STOCK	A	Dividend	L	T					
49. PACCAR INC - COMMON STOCK	B	Dividend	M	T					
50. QUEST DIAGNOSTICS INC. - COMMON STOCK	A	Dividend	L	T					
51. UNITED TECHNOLOGIES CORP - COMMON STOCK	C	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
BREYER, STEPHEN G.	04/29/2014

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. CISCO SYSTEMS INC - COMMON STOCK	B	Dividend	K	T					
53. AIR PRODUCTS & CHEMICAL INC - COMMON STOCK	B	Dividend	L	T					
54. SSGA MONEY MARKET FUND	A	Dividend	M	T					
55. SUNCOR ENERGY INC - COMMON STOCK	A	Dividend	K	T					
56. BHP BILTON LTD	B	Dividend	L	T					
57. CAMBRIDGE, MA 4.00% 01/01/2014	C	Interest	L	T					
58. SHARON, MA 4.00% 9/15/2013	C	Interest			Matured	09/15/13	L		
59. EMC CORP MASS - COMMON STOCK	A	Dividend	L	T					
60. JOHNSON CONTROLS INC - COMMON STOCK	A	Dividend	K	T					
61. STANCROFT TRUST LIMITED	E	Dividend	M	W					
62. FIRST CARIBBEAN INTERNATIONAL BANK - CHECKING	A	Interest	K	T					
63. EATON VANCE MULTI-CAP GROWTH FUND -A		None	L	T					
64. EATON VANCE LARGE CAP GROWTH FUND - I	E	Dividend	N	T					
65. EATON VANCE LARGE CAP VALUE FUND - I	E	Dividend	M	T					
66. EATON VANCE TAX M S/C		None	L	T					
67. EATON VANCE STRUCTURED EMERGING MKTS-I	A	Dividend	K	T					
68. EATON VANCE ATLANTA SM CP-I	A	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
BREYER, STEPHEN G.	04/29/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

THE REPORT WAS PREPARED BY:

CITRIN COOPERMAN & COMPANY, LLP
529 FIFTH AVENUE
NEW YORK, NEW YORK 10017

Name of Person Reporting	Date of Report
BREYER, STEPHEN G.	04/29/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ STEPHEN G. BREYER

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

AO 10 Rev. 1/2014	**FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013**	*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Ginsburg, Ruth B.	Supreme Court of the United States	05/14/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Associate Justice	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	01/01/2013 to 12/31/2013

7. Chambers or Office Address

Supreme Court of the United States
One First Street, NE
Washington, DC 20543

***IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	Trust Article Fourth U/W Martin D. Ginsburg
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Ginsburg, Ruth B.	05/14/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 08/12/13	Tulane Law School, Paris, France - Summer Seminars July 8-14, 2013	$17,308.30
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Harvard Law School	February 3-5, 2013	Cambridge, MA	Participant/Honoree in program recognizing 20th Anniv. of my appointment to Sup.Ct.	transportation, lodging, food
2.	Thomas Jefferson School of Law	February 7-9, 2013	San Diego, CA	Participant in Women in the Law Conference	transportation, lodging, food
3.	New York City Bar Association	February 13-14, 2013	New York, NY	Participant in Ruth Bader Ginburg Lecture on Women in the Law	transportation, lodging, food
4.	DePaul University	May 10, 2013	Chicago, IL	Participant in Centennial Celebration	transportation, lodging, food

5. University of Chicago Law School	May 11-12, 2013	Chicago, IL	Participant in conversational program	transportation, lodging, food
6. Tulane Law School	July 7-15, 2013	Paris, France	Panelist	transportation, lodging, food
7. American Bar Association World Justice Forum	July 10, 2013	The Hague, Netherlands	Speaker	transportation, lodging, food
8. The Chautauqua Institution	July 27-30, 2013	Chautauqua, NY	Lecturer	transportation, lodging, food
9. The Constitution Center	September 6-7, 2013	Phildelphia, PA	Participant in conversational program	transportation, lodging, food
10. Lawyers Club	September 8-9, 2013	Chicago, IL	Speaker at luncheon	transportation, lodging, food
11. University of California Berkeley School of Law	September 15-17, 2013	Berkeley, CA	Lecturer & class visits	transportation, lodging, food
12. Stanford Law School	September 17-19, 2013	Stanford, CA	Lecturer & class visits	transportation, lodging, food
13. Canada Supreme Court & U.S. Supreme Court	September 23-25, 2013	Ottawa, Canada	Participant in Legal Exchange program	transportation, lodging, food
14. Harvard Law School	October 23-24, 2013	Cambridge, MA	Participant in Ames Final Moot Court Competition	transportation, lodging, food
15.				

Name of Person Reporting	Date of Report
Ginsburg, Ruth B.	05/14/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Ginsburg, Ruth B.	05/14/2014

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1.	Checking account-Morgan Guaranty Trust Co., NYC		None	K	T					
2.	Checking account PNC Bank, DC	A	Int./Div.	J	T					
3.	TIAA CREF Retirement Accounts	A	Int./Div.	P1	T	Distributed (part)	12/01/13	L	F	
4.	TIAA CREF Mutual Funds	E	Dividend	O	T					
5.	Fried Frank Pension (commenced on death of spouse)	G	Distribution	P1	T					
6.	JP Morgan Intermediate Tax Free Income Fund	A	Interest	N	T	Sold (part)	06/27/13	N	D	
7.						Sold (part)	11/01/13	N	D	
8.	JP Morgan Total Return Select Fund	D	Dividend	J	T	Sold (part)	02/01/13	M	A	
9.						Sold (part)	11/04/13	J	A	
10.	JP Morgan Short Duration Bond Fund	C	Int./Div.	J	T	Sold (part)	02/01/13	N	A	
11.						Sold (part)	11/04/13	N	A	
12.	JP Morgan Equity Income	D	Dividend	N	T	Buy (add'l)	01/31/13	L		
13.	JP Morgan Market Expension	C	Dividend	M	T					
14.	JP Morgan Emerging Markets	A	Dividend			Sold	11/04/13	M	A	
15.	JP Morgan Investment Cash	A	Interest	K	T					
16.	JP Morgan Intl Currency	A	Dividend			Sold	06/28/13	M	A	
17.	JP Morgan Tax Aware Equity	A	Dividend	M	T	Sold (part)	11/04/13	K	D	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Ginsburg, Ruth B.	05/14/2014

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Buy (add'l)	02/01/13	M		
19. JP Morgan Strategic Income Opportunity	D	Dividend	N	T	Buy (add'l)	06/28/13	M		
20.					Buy (add'l)	11/04/13	L		
21. JP Morgan Intrepid European Fund	A	Dividend	M	T	Buy	11/04/13	M		
22. JP Morgan Equity Focus Fund	A	Dividend	L	T	Buy	02/01/13	L		
23. JP Morgan Multi Sector Income Fund	C	Dividend	N	T	Buy	02/01/13	M		
24.					Buy (add'l)	11/04/13	L		
25. JP Morgan Float Rate Income Fund	D	Dividend	N	T	Buy	02/01/13	M		
26.					Buy (add'l)	11/04/13	L		
27. JP Morgan SH-INT Muni Bond Fund	A	Int./Div.	N	T	Buy	11/04/13	N		
28. SPDR S&P 500 ETF Trust	B	Dividend	M	T	Buy	07/02/13	M		
29. ISHARES Core S&P Mid Cap ETF	A	Dividend	M	T	Buy	07/02/13	L		
30. ISHARES MSCI All Country Asia EX Japan Index Fund	B	Dividend	L	T	Buy	02/05/13	L		
31.					Buy (add'l)	11/06/13	K		
32. Vanguard FTSE Europe ETF	A	Dividend	L	T	Buy	11/06/13	L		
33. Trust Article 4th u/w/o Martin D. Ginsburg (beneficiary, trustee) (x)	E	Distribution	O	T					
34. -TIAA CREF MidCap Growth									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Ginsburg, Ruth B.	05/14/2014

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -TIAA CREF High Yield Fund									
36. -JP Morgan Chase Checking									
37. -JP Morgan Chase Savings									
38. -Wegoma 1974 Associates									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Ginsburg, Ruth B.	05/14/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII item 32 Trust was created under article fourth of the will of Martin D. Ginsburg (spouse, deceased 6/27/10). Trust was not funded until July 31, 2013.

Name of Person Reporting	Date of Report
Ginsburg, Ruth B.	05/14/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ Ruth B. Ginsburg

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

AO 10 Rev. 1/2014	FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013	*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
KAGAN, ELENA	SUPREME COURT	05/08/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	5a. Report Type (check appropriate type)	6. Reporting Period
ASSOCIATE JUSTICE	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	01/01/2013 to 12/31/2013
7. Chambers or Office Address		
1 FIRST STREET NE WASHINGTON, D.C. 20543		

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	VISITING PROFESSOR (JULY 2013)	UNIVERSITY OF THE PACIFIC MCGEORGE SCHOOL OF LAW
2.	VISITING PROFESSOR (SEPTEMBER 2013)	HARVARD LAW SCHOOL
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
KAGAN, ELENA	05/08/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2013	UNIVERSITY OF PACIFIC - TEACHING	$12,500.00
2. 2013	PRESIDENT AND FELLOWS OF HARVARD COLLEGE - TEACHING	$14,000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *– transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	NEW YORK UNIVERSITY SCHOOL OF LAW	APRIL 9, 2013	NEW YORK, NY	SPEECH	TRANSPORATION, MEALS
2.	ASPEN INSTITUTE	JUNE 27-JULY 2, 2013	ASPEN, CO	SPEECH	TRANSPORTATION, HOTEL, MEALS
3.	UNIVERSITY OF THE PACIFIC MCGEORGE SCHOOL OF LAW	JULY 15-23, 2013	SALZBURG, AUSTRIA	TEACHING	TRANSPORTATION, HOTEL, MEALS
4.	TOURO SYNAGOGUE FOUNDATION	AUGUST 17-18, 2013	NEWPORT, RI	SPEECH	TRANSPORTATION, HOTEL, MEALS

5.	RHODE ISLAND STATE HOUSE RESTORATION SOCIETY	AUGUST 19-20, 2013	PROVIDENCE, RI	SPEECH	HOTEL, MEALS
6.	HARVARD LAW SCHOOL	SEPTEMBER 2-8, 2013	CAMBRIDGE, MA	TEACHING, SPEECH	TRANSPORTATION, HOTEL, MEALS
7.	UNIVERSITY OF KENTUCKY COLLEGE OF LAW	SEPTEMBER 19-20, 2013	LEXINGTON, KY	SPEECH	TRANSPORTATION, HOTEL, MEALS
8.	UNIVERSITY OF ALABAMA SCHOOL OF LAW	OCTOBER 3-5, 2013	TUSCALOOSA, AL	SPEECH	TRANSPORTATION, HOTEL, MEALS
9.	SUPREME COURT HISTORICAL SOCIETY	OCTOBER 24-26, 2013	NEW YORK, NY	SPEECH	TRANSPORATION, MEALS
10.	HISTORICAL SOCIETY OF THE NEW YORK COURTS	OCTOBER 24-26, 2013	NEW YORK, NY	SPEECH	HOTEL

Name of Person Reporting	Date of Report
KAGAN, ELENA	05/08/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
KAGAN, ELENA	05/08/2014

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1.	JUSTICE FEDERAL CREDIT UNION ACCOUNT	A	Interest	M	T					
2.	FRANKLIN TEMPLETON MUTUAL BEACON FUND	B	Dividend	L	T					
3.	VANGUARD PRIME MONEY MKT FUND	A	Dividend	K	T					
4.	VANGUARD TOTAL STOCK MKT INDEX ADM	C	Dividend	M	T					
5.	UNIV. OF CHICAGO RET - TIAA TRADITIONAL	B	Interest	K	T					
6.	UNIV. OF CHICAGO RET - CREF STOCK		None	K	T					
7.	UNIV. OF CHICAGO RET - CREF BOND MARKET VIABILITY ANNUITY		None	K	T					
8.	UNIV. OF CHICAGO RET - VANGUARD INT-TERM BOND	B	Dividend	K	T					
9.	UNIV. OF CHICAGO RET - VANGUARD WINDSOR FUND	A	Dividend	K	T					
10.	IRA # 1 - FIDELITY MAGELLAN FUND	D	Dividend	M	T					
11.	IRA # 1 - FIDELITY PURITAN FUND	D	Dividend	M	T					
12.	IRA # 1 - FIDELITY INTERMED BOND FUND	B	Dividend	L	T					
13.	IRA # 2 - VANGUARD TOTAL BOND MKT INDEX	A	Dividend	K	T					
14.	IRA # 2 - VANGUARD FED MONEY MKT FUND	A	Dividend	J	T					
15.	IRA # 2 - VANGUARD WELLESLEY INC FUND	C	Dividend	L	T					
16.	IRA # 2 - VANGUARD 500 INDEX FUND	A	Dividend	K	T					
17.	IRA # 2 - VANGUARD INTL EXPLORER FUND	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
KAGAN, ELENA	05/08/2014

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. DREYFUS GNMA FUND - CLASS Z	A	Dividend	J	T					
19. VANGUARD - GNMA FUND INVESTOR SHARES	A	Dividend	J	T					
20. CHARLES SCHWAB MONEY MARKET ACCOUNT	A	Dividend	L	T	Distributed (part)	04/01/13	J		
21. SCHWAB S&P 500 INDEX FUND	B	Dividend	M	T					
22. WASHINGTON, DC - RENTAL PROPERTY	B	Rent	K	W					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
KAGAN, ELENA	05/08/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
KAGAN, ELENA	05/08/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ ELENA KAGAN

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

AO 10 Rev. 1/2014	FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013	*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Kennedy, Anthony M.	**2. Court or Organization** U.S. Supreme Court	**3. Date of Report** 05/13/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Associate Justice	**5a. Report Type (check appropriate type)** ☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2013 to 12/31/2013
7. Chambers or Office Address One First Street, N.E. Washington, D.C. 20543		

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Adjunct Professor	McGeorge School of Law, University of the Pacific
2.	Member, Board of Trustees	Colonial Williamsburg Foundation
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Kennedy, Anthony M.	05/13/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. February	University of Miami (teaching)	$3,000.00
2. July	McGeorge School of Law, University of the Pacific (teaching)	$12,500.00
3. October	University of Pennsylvania (teaching)	$5,000.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	University of Miami	February 11-February 12	Miami, FL	Teaching	Lodging, food, and transportation from Washington, DC to Miami and return (self & spouse)
2.	Colonial Williamsburg	April 19-April 20	Williamsburg, VA	Attend Board Meetings	Lodging and food in Williamsburg, VA (self & spouse)
3.	World Justice Project and University of the Pacific	June 27-July 20	Paris, The Hague, and Salzburg	Lectures & teaching in Paris, The Hague, and Salzburg	Lodging, food, and transportation from WDC to Paris, The Hague, and Salzburg & return. Prorated with WJP & U.of the Pacific(self & spouse)
4.	Chautauqua Institute	July 21-July 24	Chautauqua, NY	Speech at Chautauqua Institute	Lodging and food (self & spouse)

Name of Person Reporting	Date of Report
Kennedy, Anthony M.	05/13/2014

5.	American Bar Association	August 9-August 13	San Francisco, CA	Speak to Conference & attend conference sessions	Lodging, food, and transportation from Washington, DC to San Francisco and return (self & spouse)
6.	University of Pennsylvania	October 2-October 6	Philadelphia, PA	Teaching	Lodging, food, and transportation from Washington, DC to Philadelphia, PA and return (self & spouse)
7.	Colonial Williamsburg	November 15-November 16	Williamsburg, VA	Attend Board Meetings	Lodging and food in Williamsburg, VA (self &

Name of Person Reporting	Date of Report
Kennedy, Anthony M.	05/13/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Kennedy, Anthony M.	05/13/2014

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. Cash in PNC Bank	B	Interest	N	T					
2. John Hancock Life Insurance Company	A	Dividend	L	T					
3. Metropolitan Life Ins. (whole life policy)	A	Dividend	K	T					
4. New England Life Ins. Co. (whole life policy)	A	Dividend	K	T					
5.									
6.									
7.									
8.									
9.									
10.									
11.									
12.									
13.									
14.									
15.									
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Kennedy, Anthony M.	05/13/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Investments and Trusts, Line 2, Purpose: Life insurance policy issued by Genworth Financial Life and Annuity was exchanged for life insurance policy issued by John Hancock Life Insurance Company. Exchange was completed on June 3, 2013.

Name of Person Reporting	Date of Report
Kennedy, Anthony M.	05/13/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Anthony M. Kennedy

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

AO 10 Rev. 1/2014	FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013	*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Roberts, John G.	Supreme Court of the U.S.	05/15/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Chief Justice	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	01/01/2013 to 12/31/2013
7. Chambers or Office Address		
One First Street, NE Washington, DC 20543		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Roberts, John G.	05/15/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	09/17/2013	New England School of Law	$20,000.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2013	Major, Lindsey & Africa, LLC -- Attorney Search Consultants -- Salary
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	New England School of Law	06/30-07/11/2013	Prague, The Czech Republic	Taught course on The U.S. Supreme Court - Historical Perspective	Air transportation, meals, and lodging
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Roberts, John G.	05/15/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Roberts, John G.	05/15/2014

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	Time Warner	C	Dividend	N	T					
2.	Hewlett-Packard (Common)	A	Dividend	K	T					
3.	Hillenbrand (Common)	A	Dividend	J	T					
4.	Hill-Rom Holdings, Inc.	A	Dividend	J	T					
5.	Microsoft (Common)	D	Dividend	N	T					
6.	Nokia (Common)		None	J	T					
7.	Lam Research, See Part VIII		None	K	T					
8.	Texas Instruments (Common)	C	Dividend	M	T					
9.	TMO (Common)	A	Dividend	M	T					
10.	Sirius XM Radio (Common)		None	M	T					
11.	Washington REIT	A	Dividend			Sold	06/21/13	J	B	
12.	Am Cent Gr Fund	C	Dividend	K	T					
13.	Fidelity Select Energy Fund		None			Sold	06/21/13	K	E	
14.	Putnam Multi-Cap Growth Fund		None	J	T					
15.	Putnam Voyager Fund		None	J	T					
16.	Vanguard Int'l Gr Fund	B	Dividend	L	T					
17.	Vanguard Sm Cap Index Fund	A	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Roberts, John G.	05/15/2014

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. C. Schwab Money Mkt Fund (Y)									
19. C. Schwab Muni M. Fund SWXXX	A	Dividend	M	T					
20. Wells Fargo bank accounts	A	Interest	N	T					
21. Capital One, Inc. bank account	A	Interest	P1	T					
22. 1/8 int. cottage, Knocklong, Co. Limerk., IRE, See Part VIII	A	Rent	K	W					
23. Utah Educ. Svgs Plan Equity - 10% Int'l, See Part VIII	D	Dividend	N	T					
24. Utah Educ. Svgs Plan Age-Based Moderate, 13-15	B	Dividend	M	T	Buy	01/31/13	K		
25.					Buy (add'l)	03/12/13	K		
26.					Buy (add'l)	05/20/13	K		
27.					Buy (add'l)	09/13/13	K		
28.					Buy (add'l)	10/24/13	K		
29.					Buy (add'l)	11/27/13	K		
30. Allegis Group Ret. Plan	A	Dividend	L	T					
31. Time Warner Cable	C	Dividend	M	T					
32. AOL		None	K	T					
33. BlackRock International Opportunities BISIX		None			Sold (part)	06/21/13	J	A	
34.					Sold	12/03/13	N	F	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Roberts, John G.	05/15/2014

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Eaton Vance Income Fund of Boston EIBIX	C	Dividend	L	T	Sold (part)	06/21/13	K	A	
36. Eaton Vance Global Macro Absolute Return EIGMX	D	Dividend	M	T	Buy (add'l)	06/21/13	J		
37.					Buy (add'l)	06/24/13	J		
38. First Eagle Global SGIIX	D	Dividend	M	T	Sold (part)	06/21/13	J	A	
39.					Buy (add'l)	06/24/13	J		
40. Gabelli Equity Income GCIEX	D	Dividend	N	T	Sold (part)	06/21/13	K	B	
41.					Buy (add'l)	06/25/13	J		
42. Gabelli Small Cap Growth GACIX	C	Dividend	M	T	Sold (part)	06/21/13	K	C	
43.					Buy (add'l)	06/25/13	J		
44. Hussman Strategic Growth	B	Dividend			Buy (add'l)	06/21/13	J		
45.					Buy (add'l)	06/24/13	J		
46.					Sold	12/03/13	M		
47. MFS Bond MBDIX	D	Dividend	M	T	Sold (part)	06/21/13	L		
48.					Buy (add'l)	06/24/13	J		
49.					Buy (add'l)	06/25/13	J		
50. Virtus Emerging Markets Opportunities HIEMX	B	Dividend	M	T	Buy (add'l)	06/21/13	K		
51.					Buy (add'l)	06/24/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Roberts, John G.	05/15/2014

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.					Buy (add'l)	06/25/13	J		
53. PIMCO Low Duration INST PTLDX	B	Dividend	M	T	Buy (add'l)	06/21/13	J		
54.					Buy (add'l)	06/24/13	J		
55. PIMCO Emerging Markets Bond INST PEBIX	D	Dividend	L	T	Sold (part)	06/21/13	K		
56.					Buy (add'l)	06/25/13	J		
57. PIMCO Real Return INST PRRIX	B	Dividend	L	T	Sold (part)	06/21/13	K		
58.					Buy (add'l)	06/24/13	J		
59.					Buy (add'l)	06/25/13	J		
60. RS Global Natural Resources RSNYX	C	Dividend	L	T	Buy (add'l)	06/21/13	J		
61.					Buy (add'l)	06/24/13	J		
62.					Buy (add'l)	06/25/13	J		
63. T. Rowe Price Real Estate TRREX	B	Dividend	L	T	Buy (add'l)	06/21/13	J		
64. Royce Value Fund RVVHX, See Part VIII	E	Dividend	N	T	Sold (part)	06/21/13	J	A	
65.					Buy (add'l)	06/21/13	J		
66.					Buy (add'l)	06/24/13	J		
67.					Buy (add'l)	06/25/13	J		
68. T. Rowe Price Blue Chip Growth TRBCX		None	M	T	Sold (part)	06/21/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Roberts, John G.	05/15/2014

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69.					Buy (add'l)	06/21/13	J		
70.					Buy (add'l)	06/25/13	J		
71. Wells Fargo Inc. Adv. Treas. Plus Mon. Mkt. Fund WTPXX	A	Int./Div.	K	T	Sold (part)	01/11/13	J		
72.					Sold (part)	04/12/13	J		
73.					Sold (part)	04/15/13	J		
74.					Buy (add'l)	06/21/13	K		
75.					Sold (part)	07/12/13	J		
76.					Sold (part)	10/11/13	J		
77.					Buy (add'l)	12/04/13	J		
78. Wells Fargo Absolute Ret. Fund	B	Dividend	M	T	Buy	12/04/13	M		
79.					Buy (add'l)	12/09/13	K		
80. AQR FDS Mgd. Futures Strategy	A	Dividend	L	T	Buy	06/21/13	L		
81. Dodge & Cox FDS Int'l Stk Fd DODFX	C	Dividend	N	T	Buy	12/04/13	N		
82. BlackRock Emerging Mkt. L/S BLSIX	A	Dividend	K	T	Buy	06/21/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Roberts, John G.	05/15/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

#7. Lam Research, line #10 in 2012, column D1 should have reflected a partial sale.

#23. Name in 2012 was Equities - 10% Total Int'l.

#22. Total rental income $5,757 -- 1/8th = $719.00.

#124. Proper name is Royce Value Fund; RVVHX is Investment Class.

Name of Person Reporting	Date of Report
Roberts, John G.	05/15/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ John G. Roberts

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

AO 10 Rev. 1/2014	**FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013**	*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Scalia, Antonin	Supreme Court of the U.S.	05/15/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	5a. Report Type (check appropriate type)	6. Reporting Period
Associate Justice	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	1/1/2013 to 12/31/2013
7. Chambers or Office Address		
Supreme Court of the U.S. One First Street, N.E. Washington, D.C. 20543		

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Advisory Board Member	Temple University Law School Program in People's Republic of China
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Scalia, Antonin	05/15/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2013	Colgate University - Donation to charitable organization	$1,000.00
2. 2013	Duke University - Teaching	$6,000.00
3. 2013	Pennsylvania State University - Teaching	$5,000.00
4. 2013	Southern Methodist University - Teaching	$10,000.00
5. 2013	Tufts University - Teaching	$5,500.00
6. 2013	West Services Inc. - Book royalities	$76,913.38

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	American College of Trial Lawyers	March 2	Naples, FL	Speech	Transportation, Food
2.	Association of American Law Schools	January 5	New Orleans, LA	Speech	Transportation, Food
3.	Association of Business Trial Lawyers	August 20	San Francisco, CA	Speech	Transportation, Food, and Lodging

Name of Person Reporting	Date of Report
Scalia, Antonin	05/15/2014

4.	Association of Business Trial Lawyers	August 21	San Diego, CA	Speech	Transportation
5.	Brooklyn Bar Association	September 23	New York, NY	Speech	Transportation, Food, and Lodging
6.	Cardozo School of Law	October 16	New York, NY	Lecture	Transportation, Food, and Lodging
7.	Duke University	June 11	Durham, NC	Teaching	Transportation, Food, and Lodging
8.	Federalist Society	August 19	Bozeman, MT	Speech	Transportation, Food
9.	Federalist Society	August 22-23	Park City, UT	CLE Course	Transportation, Food, and Lodging
10.	Federalist Society	October 11	Baton Rouge, LA	Speech	Transportation, Food
11.	First Baptist Jackson	January 25	Jackson, MS	Speech	Transportation, Food
12.	Holy Name Society	April 14	New York, NY	Speech	Transportation, Food, and Lodging
13.	Istituto Bruno Leoni	May 24-27	Turin, Italy	Speech	Transportation, Food, and Lodging
14.	John's Island Club	April 2	Vero Beach, FL	Speech	Transportation, Food
15.	Lawyer's Club of Chicago	April 9	Chicago, IL	Speech	Transportation, Food
16.	Mark Lanier	September 6	Houston, TX	Speech	Transportation, Food, and Lodging
17.	Mentor Group	September 11-13	Berlin, Germany	Speeches	Transportation, Food, and Lodging
18.	North Carolina Bar Association	June 20-21	Ashville, NC	Speech	Transportation, Food, and Lodging
19.	Pennsylvania State University	July 1-11	Florence, Italy	Teaching	Transportation, Food, and Lodging
20.	Southern Methodist University	January 28-29	Dallas, TX	Teaching	Transportation, Food
21.	Supreme Court Historical Society	March 13	New York, NY	Dinner	Transportation, Food, and Lodging
22.	Supreme Court of Texas	November 11	Austin, TX	Speech	Transportation, Food, and Lodging
23.	Tufts University	October 2	Medford, MA	Teaching	Transportation, Food, and Lodging
24.	University of Memphis	December 16	Memphis, TN	Lectures	Transportation, Food
25.	University of New Hampshire	March 22	Concord, NH	Lecture	Transportation, Food
26.	Universidad Peruana de Ciencias Aplicadas	March 6-11	Lima, Peru	Lectures	Transportation, Food, and Lodging
27.	Utah State Bar	July 20	Snowmass, CO	Speech	Transportation, Food, and Lodging
28.	Yeshiva University	November 6	New York, NY	Speech	Transportation, Food, and Lodging

Name of Person Reporting	Date of Report
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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

[✓] NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

[ ] NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	NW Mutual Life Insurance Company	Loan on insurance	J
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Scalia, Antonin	05/15/2014

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. TIAA-CREF	A	Interest	K	T	Sold (part)	08/01/13	K	A	
2. Capital One Bank	A	Interest	K	T					
3. American Funds Growth Fund of America 529F1 (CGFFX)	D	Distribution	M	T	Buy (add'l)	11/11/13	K		
4. Harbor Bond Fund Inst (HABDX)	A	Dividend	J	T	Buy (add'l)	02/13/13	J		
5.					Sold (part)	10/03/13	K	B	
6. Ivy Science & Technology (ISTIX)		None	J	T	Sold (part)	02/13/13	J	C	
7.					Buy (add'l)	02/13/13	J		
8.					Sold (part)	09/18/13	J	A	
9.					Sold (part)	10/03/13	K	E	
10. Oppenheimer Gold & Prec Metals (OPGSX)		None			Buy (add'l)	02/13/13	J		
11.					Sold (part)	05/03/13	J	A	
12.					Sold	06/24/13	J	A	
13. Templeton Global Bond (TGBAX)	B	Dividend	J	T	Sold (part)	02/13/13	J	A	
14.					Buy (add'l)	02/13/13	J		
15.					Sold (part)	10/03/13	L	D	
16. Vanguard Short-Term Bond Fund (VBSSX)	A	Dividend	J	T	Sold (part)	05/03/13	J	A	
17.					Sold (part)	10/03/13	M	B	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Scalia, Antonin	05/15/2014

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. Vanguard Total Stock Market ETF (VTI)	A	Dividend	K	T	Sold (part)	02/13/13	J	A	
19.					Sold (part)	05/03/13	J	A	
20.					Sold (part)	10/03/13	L	E	
21. Berkshire Hathaway Class B common shares (BRKB)		None	J	T	Buy (add'l)	02/13/13	J		
22.					Sold (part)	05/03/13	J	B	
23.					Sold (part)	10/03/13	K	E	
24. ING Fixed Index Annuity	E	Dividend	N	T					
25. SPDR Gold Trust ETF (GLD)		None	K	T	Sold (part)	10/03/13	K	A	
26. Wells Fargo High Yield Bond (SHYYX)	C	Dividend	J	T	Buy (add'l)	02/13/13	J		
27.					Sold (part)	10/03/13	L	D	
28. Vanguard Energy ETF (VDE)	A	Dividend	J	T	Buy (add'l)	02/13/13	J		
29.					Sold (part)	10/03/13	K	D	
30. Vanguard Mid Cap ETF (VO)	A	Dividend	J	T	Sold (part)	05/03/13	J	B	
31.					Sold (part)	10/03/13	K	E	
32. Fidelity Floating Rate High Yield Bond Fund (FFRHX)	B	Dividend	J	T	Sold (part)	10/03/13	L	B	
33. American Funds AMCAP 529F1 (CAFFX)	A	Dividend	K	T	Sold (part)	07/26/13	J	A	
34.					Buy (add'l)	11/11/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
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VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. American Funds Capital World Bond 529F1 (CCWFX)	A	Dividend	J	T	Buy (add'l)	11/11/13	J		
36. American Funds EuroPacific Gr 529F1 (CEUFX)	A	Dividend	J	T	Buy (add'l)	11/11/13	J		
37. American Funds Interm Bond Fund 529F1(CBOFX)	A	Dividend	J	T	Sold (part)	07/26/13	J	A	
38.					Buy (add'l)	11/11/13	J		
39. American Funds Sh-Tm Bond Fd 529F1 (CFAMX)	A	Dividend	J	T	Sold (part)	07/26/13	J	A	
40.					Buy (add'l)	11/11/13	J		
41. American Funds US Govt Sec 529F1 (CGTFX)	A	Dividend			Sold	07/26/13	J	A	
42. American Funds Wash Mutual 529F1 (CWMFX)	A	Dividend	J	T	Sold (part)	07/26/13	J	A	
43.					Buy (add'l)	11/11/13	J		
44. Charles Schwab Cash Sweep Account	A	Interest	L	T					
45. Vanguard MSCI Emerging Markets ETF (VWO)	A	Dividend	J	T	Buy (add'l)	02/13/13	J		
46.					Sold (part)	10/03/13	K	A	
47. Rental Property, Charlottesville, VA (2008 $165,000)	D	Rent	M	R					
48. Eagle MLP Strategy I (EGLIX)	A	Dividend	J	T	Buy (add'l)	02/13/13	J		
49.					Sold (part)	10/03/13	K	C	
50. ELEMENTS Rogers Intl Commodity Agri ETN (RJA)		None			Buy (add'l)	02/13/13	J		
51.					Sold	06/25/13	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Scalia, Antonin	05/15/2014

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. ING Real Estate Instl (CRARX)	A	Dividend	J	T	Buy (add'l)	02/13/13	J		
53.					Sold (part)	10/03/13	K	A	
54. Tweedy, Browne Global Value (TBGVX)	A	Dividend	J	T	Buy (add'l)	02/13/13	J		
55.					Sold (part)	05/03/13	J	A	
56.					Sold (part)	10/03/13	K	B	
57. Vanguard Small Cap ETF (VB)	A	Dividend	J	T	Sold (part)	02/13/13	J	A	
58.					Buy (add'l)	05/03/13	K		
59.					Sold (part)	10/03/13	K	D	
60. Vanguard Inflation Protected Securities Fund (VAIPX)	A	Dividend	J	T	Buy (add'l)	02/14/13	J		
61.					Sold (part)	02/14/13	K	B	
62.					Sold (part)	10/03/13	L	C	
63. Schwab US Small-Cap ETF (SCHA)	A	Dividend	J	T	Buy	10/03/13	J		
64. Schwab US Broad Market ETF (SCHB)	A	Dividend	M	T	Buy	10/03/13	M		
65.					Sold (part)	12/05/13	K	A	
66. Schwab International Small-Cap ETF (SCHC)	A	Dividend	J	T	Buy	10/03/13	J		
67. Schwab Emerging Markets ETF (SCHE)	A	Dividend	J	T	Buy	10/03/13	J		
68. Schwab International Equity ETF (SCHF)	A	Dividend	J	T	Buy	10/03/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69.	Schwab US Large-Cap Growth ETF (SCHG)	A	Dividend	J	T	Buy	10/03/13	J		
70.	Schwab US Mid-Cap ETF (SCHM)	A	Dividend	J	T	Buy	10/03/13	J		
71.	Schwab US Large-Cap Value ETF (SCHV)	A	Dividend	J	T	Buy	10/03/13	J		
72.	Schwab US Aggregate Bond ETF (SCHZ)	A	Dividend	J	T	Buy	10/03/13	J		
73.	iShares Core S&P 500 ETF (IVV)	A	Dividend	K	T	Buy	10/03/13	K		
74.						Sold (part)	12/05/13	J	A	
75.	American Funds Income Fnd of Amer 529F1 (CIMFX)	A	Dividend	J	T	Buy	11/11/13	J		
76.	American Funds SmallCap World Fund 529F (CSPFX)	A	Distribution	J	T	Buy	11/11/13	J		
77.	American Funds EuroPacific Growth F1 (AEGFX)	A	Dividend	J	T	Buy	02/13/13	J		
78.	American Funds EuroPacific Growth F2 (AEPFX)	A	Dividend	K	T	Buy	10/03/13	K		
79.						Sold (part)	12/05/13	J	A	
80.	MFS Intl New Discovery (MWNIX)	A	Dividend	K	T	Buy	10/03/13	K		
81.						Sold (part)	12/05/13	J	A	
82.	Goldman Sachs N-11 Equity Instl (GSYIX)	A	Dividend	J	T	Buy	10/03/13	J		
83.						Sold (part)	12/05/13	J	A	
84.	Invesco Developing Markets Y (GTDYX))	A	Dividend	K	T	Buy	10/03/13	K		
85.	Morgan Stanley Inst Global Franchise (MSFAX)	B	Dividend	K	T	Buy	10/03/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Scalia, Antonin	05/15/2014

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86.					Sold (part)	12/05/13	J	A	
87. Doubleline Total Return Bond I (DBLTX)	A	Dividend	L	T	Buy	10/03/13	L		
88.					Sold (part)	12/05/13	J	A	
89. PIMCO Total Return ETF (BOND)	A	Dividend	J	T	Buy	10/03/13	J		
90. PIMCO Total Return Instl (PTTRX)	B	Dividend	M	T	Buy	10/03/13	M		
91.					Sold (part)	12/05/13	J	A	
92. Westcore Plus Bond Instl (WIIBX)	B	Dividend	M	T	Buy	10/03/13	M		
93.					Sold (part)	12/05/13	J	A	
94. PIMCO All Asset All Authority (PAUIX)	B	Dividend	K	T	Buy	10/03/13	L		
95.					Sold (part)	12/05/13	J	A	
96. Eaton Vance Global Macro Absolute Return (EIGMX)	A	Dividend	K	T	Buy	10/03/13	K		
97.					Sold (part)	12/05/13	J	A	
98. JP Morgan Strategic Income Opps Sel (JSOSX)	A	Dividend	L	T	Buy	10/03/13	L		
99.					Sold (part)	12/05/13	J	A	
100. Trust #1	E	Dividend	O	T					
101. -BIF Money Fund (cash account)									
102. -BlackRock Large Cap Core Fund Instl (MALRX)					Sold (part)	04/11/13	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Scalia, Antonin	05/15/2014

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
103.					Sold	11/13/13	L	E	
104. -BlackRock Global Sm Cap Fund Instl (MAGCX)					Sold (part)	04/11/13	J	A	
105.					Sold (part)	11/13/13	K	D	
106. -BlackRock Equity Dividend Instl (MADVX)					Sold (part)	04/11/13	J	B	
107.					Sold (part)	11/13/13	J	C	
108. -BlackRock Global Allocation instl (MALOX)					Sold (part)	04/11/13	J	A	
109.					Sold (part)	11/13/13	K	D	
110. -BlackRock Core Bond Port Instl (BFMCX)					Sold (part)	11/13/13	K	A	
111. -BlackRock Capital Appreciation Fund Inc Instl (MAFGX)					Sold (part)	04/11/13	J	A	
112.					Sold (part)	11/13/13	J	B	
113. -BlackRock Inflation Protected Bond Inst (BPRIX)					Sold	11/13/13	K	A	
114. -BlackRock Strategic Income Opps Inst (BSIIX)					Sold (part)	11/13/13	J	A	
115. -BlackRock Global Dividend Inc Portfolio Inst (BIBDX)					Sold (part)	04/11/13	J	A	
116.					Buy (add'l)	11/13/13	J		
117. -BlackRock Mid CapValue Opps Inst (MARFX)					Sold (part)	11/13/13	K	D	
118. -BlackRock Large Cap Growth Fund Instl (MALHX)					Buy (add'l)	11/13/13	M		
119.					Sold (part)	11/25/13	L	D	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Scalia, Antonin	05/15/2014

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -BlackRock Large Cap Value Fund Instl (MALVX)					Buy	11/13/13	L		
121. -BlackRock Low Duration Bond Instl (BFMSX)					Buy	11/13/13	K		
122. -BlackRock Intl Opp Port Inst (BISIX)					Buy	11/13/13	K		
123. -BlackRock GNMA Inst (BGNIX)					Buy	11/13/13	K		
124. -BlackRock Hi Yld Bd Portfolio Inst Cl (BHYIX)					Buy	11/13/13	J		
125. -iShares Russell 1000 Growth (IWF)					Buy	11/26/13	L		
126. Trust #2	D	Dividend	N	T					
127. -Schwab Adv Cash Reserves (Money Market Fund)									
128. -American Funds EuroPacific Growth F (AEGFX)									
129. -Berkshire Hathaway Class B common shares (BRKB)					Sold (part)	05/03/13	J	A	
130. -Fidelity Floating Rate High Yield Bond Fund (FFRHX)									
131. -Harbor Bond Fund Inst (HABDX)									
132. -Ivy Science & Technology (ISTIX)									
133. -Oppenheimer Gold & Prec Metals (OPGSX)					Sold (part)	05/03/13	J	A	
134.					Sold	06/24/13	J	A	
135. -Templeton Global Bond (TGBAX)									
136. -Vanguard Emerging Market ETF (VWO)									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Scalia, Antonin	05/15/2014

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -Vanguard Energy ETF (VDE)									
138. -Vanguard Mid Cap ETF (VO)					Sold (part)	05/03/13	J	A	
139. -Vanguard Short-Term Bond Fund (VBSSX)									
140. -Vanguard Total Stock Market ETF (VTI)					Sold (part)	05/03/13	J	A	
141. -Wells Fargo High Yield Bond (SHYYX)									
142. -Elements Rogers Agri Commodity ETF (RJA)					Sold	06/25/13	K	A	
143. -c5 SL I Limited Partnership									
144. -Eagle MLP Strategy I (EGLIX)									
145. -ING Real Estate Instl (CRARX					Sold (part)	05/03/13	J	A	
146. -SPDR Gold Shares (GLD)									
147. -Tweedy, Browne Global Value (TBGVX)									
148. -Vanguard Small Cap ETF (VB)					Buy (add'l)	05/03/13	J		
149. -Vanguard Inflation Protected Securities Fund Adm Sh(VAIPX)									
150.									
151.									
152.									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Scalia, Antonin	05/15/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Scalia, Antonin	05/15/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Antonin Scalia

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

AO 10 Rev. 1/2014	**FINANCIAL DISCLOSURE REPORT** **FOR CALENDAR YEAR 2013**	*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Sotomayor, Sonia	**2. Court or Organization** Supreme Court of the United States	**3. Date of Report** 05/01/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Associate Justice	**5a. Report Type (check appropriate type)** ☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 1/1/2013 to 12/31/2013
7. Chambers or Office Address 1 First Street, NE Washington, DC 20543		

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Sotomayor, Sonia	05/01/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Hostos Community College	2/2/13	Bronx, NY	Question and Answer Discussion	transportation and meals
2.	Ralph L. Carr Judicial Center	5/1 - 5/2/13	Denver, CO	Keynote Address	transportion, lodging and meals
3.	Yale University	5/18 - 5/20/13	New Haven, CT	Commencement Address and Acceptance of Honorary Degree	transportation, lodging and meals
4.	Cardinal Spellman High School	5/30 - 6/2/13	Bronx, NY	Commencement Speech and meeting with students	transportation and meals
5.	Marymount School	6/11 - 6/12/13	New York, NY	Commencement Address	transportation and meals

6.	Touro College Law School	9/8 - 9/10/13	New York, NY	Acceptance of the Bruce K. Gould Award and Discussion with students	transportation and meals
7.	University of Delaware	9/19/13	Wilmington, DE	Question and Answer Discussin with students	transportation and meals
8.	Harvard University	10/1 - 10/3/13	Cambridge, MA	Acceptance of DuBois Medal	transportation, lodging and meals
9.					

Name of Person Reporting	Date of Report
Sotomayor, Sonia	05/01/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Discover	Retail Credit Card	J
2.	Visa	Retail Credit Card	J
3.	Master Card	Retail Credit Card	J
4.	American Express	Retail Credit Card	J
5.	JP Morgan Chase, NA	Mortgage on Rental Property #1, New York, New York (Pt. VII, line 13)	N

Name of Person Reporting	Date of Report
Sotomayor, Sonia	05/01/2014

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Capital One Bank Account (formerly ING Direct)	A	Interest	J	T					
2. Citibank, N.A. Accounts	B	Interest	O	T					
3. Morgan Stanley Bank, NA (H)	A	Interest	J	T					
4. Pimco Total Return Fund - A	A	Dividend			Sold	08/08/13	L		
5. Templeton Global Bond A Fund	B	Dividend	K	T					
6. Columbia TRI LC Growth Class A MF Fund	C	Dividend	L	T					
7. Nuveen NWQ Large Cap Value A Fund	B	Dividend	L	T					
8. Thornburg IN Growth A Fund	B	Dividend	L	T					
9. Franklin FLTG RT DLY Access A Common	A	Dividend	K	T	Buy	08/08/13	K		
10. Morgan Stanley Bank, NA (IRA) (H)	A	Interest	J	T					
11. Blackrock GLB Allocation FD Class A (IRA)	C	Dividend	L	T					
12. Pimco Unconstrained BDA (IRA)	A	Dividend	K	T	Buy	03/22/13	K		
13. Rental Property #1, New York, NY Appraised 2012	D	Rent	P1	Q					
14.									
15.									
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Sotomayor, Sonia	05/01/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

-Part III - As I received no "Non-Investment Income" in 2013, Part III is marked "None". I have been advised by the Knopf Doubleday Group, publisher of my memoir, "My Beloved World", that it disbursed $26,858.49 during 2013 to promote the sale of the memoir. Those disbursements included the payment of expenses to third parties (e.g. transportation and lodging) in support of appearances I made in various locations in the United States, including a trip to Puerto Rico in April 2013.

I have also been advised that Vintage Books, an affiliate of Knopf Doubleday Group, disbursed $2,369.80 during 2013 to support the promotion of the paperback edition of "My Beloved World", which was released in January, 2014.

-Part IV, Item 1. - In conjunction with my visit to Hostos Community College, Knopf Doubleday Group sponsored a sales promotion of "My Beloved World".

- Part V, Gifts - During 2013 many people sent me gifts of books, art, jewelry and memorabilia. I have no reason to believe that any of those items exceeded the $350.00 limit. If I should learn otherwise, I will amend this form.

Name of Person Reporting	Date of Report
Sotomayor, Sonia	05/01/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Sonia Sotomayor

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

AO 10 Rev. 1/2014

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2013

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
THOMAS, CLARENCE	UNITED STATES SUPREME COURT	05/15/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) ASSOCIATE JUSTICE	5a. Report Type (check appropriate type) ☐ Nomination Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U.S. SUPREME COURT 1 FIRST STREET, N.E. WASHINGTON, D. C. 20543		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Board of Directors	Horatio Alger Association
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
THOMAS, CLARENCE	05/15/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 3/5/13	Notre Dame University School of Law - charitable donation in lieu of honorarium	$2,000.00
2. 4/8/13	Creighton University School of Law - teaching	$15,000.00
3. 4/9//13	Duquesne University School of Law - charitable donation in lieu of honorarium	$2,000.00
4. 6/10/13	St. Mary's University Institute on World Legal Problems - teaching	$11,955.00
5. 10/20/13	Duke University School of Law - charitable donation in lieu of honorarium	$2,000.00
6. 10/22/13	George Washington University School of Law - charitable donation in lieu of honorarium	$2,000.00
7.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2013	The Daily Caller - salary
2. 2013	Liberty Consulting, Inc. - salary and benefits
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Harvard Law School	1/28-1/29/13	Cambridge, MA	Speech & meetings	Transportation/meals and accommodations
2.	Creighton University School of Law	2/4-2/8/13	Omaha, NE	Teaching	Transportation/meals and accommodations

3.	University of Notre Dame School of Law	3/5-3/6/13	Notre Dame, IN	Speech & meetings	Transportation/meals and accommodations
4.	Duquesne University School of Law	4/9/13	Pittsburgh, PA	Speech	Transportation/meals and accommodations
5.	St. Mary's University Institute on World Legal Problems	7/1-7/11/13	Innsbruck, Austria	Teaching	Transportation/meals and accommodations
6.	University of Portland	9/19-9/20/13	Portland, OR	Speech & meetings	Transportation/meals and accommodations
7.	Duke University School of Law	10/20-10/22/13	Durham, NC	Speech & meetings	Transportation, meals and accommodations

Name of Person Reporting	Date of Report
THOMAS, CLARENCE	05/15/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
THOMAS, CLARENCE	05/15/2014

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. MONY Annuity		None	J	T					
2. 1/3 int. in rental property, Liberty Cty, GA	A	Rent	J	W					
3. TD AmeriTrade, Omaha, NE (formerly First Trust Corporation)		None	J	T					
4. AIG Sun America - Jersey City, NJ		None	J	T					
5. Ginger, LTD., Partnership	E	Rent	N	W					
6. Fidelity 403(b)		None	K	T					
7. Geller & Company LLC NY, NY (403(b) & 401(k)		None	N	T					
8. Liberty Consulting, Inc.		None	J	T					
9. Wisdomtree Trust Emerging Markets	B	Dividend	K	T					
10. ETFS Gold Trust		None	J	T					
11. Europacific Growth Fund	A	Dividend	K	T					
12. Capital World Growth & Income Fund Class A	A	Dividend	K	T					
13. Delaware Emerging Markets Class A	A	Dividend	K	T					
14. Franklin Gold & Precious Metals		None	K	T					
15. Ivy Energy Class A		None	K	T					
16. Templeton China World Class A	A	Dividend	K	T					
17. Wisdomtree Emerging Markets Equity	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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Name of Person Reporting	Date of Report
THOMAS, CLARENCE	05/15/2014

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. SPDR Gold Trust Gold Shares		None	J	T					
19. Ishares Silver Trust		None	K	T	Buy	05/31/13	J		
20. Vanguard Short Term Corporate Bond ETF	A	Dividend	K	T					
21. Franklin Templeton Global Tr Hard Currency		None	L	T					

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

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Name of Person Reporting	Date of Report
THOMAS, CLARENCE	05/15/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII. Investments and Ttusts
:Line 17 - (previously Wisdomtree Trust Emerging Markets E)

Name of Person Reporting	Date of Report
THOMAS, CLARENCE	05/15/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ CLARENCE THOMAS

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

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