

C. Additional Special Requirements

1. In order to be accepted for review, applications must contain a letter from the Program Director of the Early Head Start program certifying that the applicant is the designated research partner of that program.

2. The proposed local research study must not overlay additional interventions for children, families or staff which are designed by the local research partner for research purposes beyond the existing Early Head Start intervention designed by the program for that site. (For example, the local research may not investigate research hypotheses that would require the assignment of families enrolled in the program to treatment and control groups.

3. Applicants must agree to enter a subcontractual or other arrangement with the national contractor for the purposes of collecting the data for the cross-site study and for site-specific analysis of the cross-site data. *The subcontract with the national contractor will be in addition to the funds received under this announcement* and will primarily consist of providing input to the cross-site design; supervision of cross-site data collection at the local site; ensuring quality control; and site specific data analyses of the cross-site data.

4. Applicants must agree to work in a consortium with the other local researchers and the national contractor in order to produce an integrated set of studies.

5. Applicants must present their proposal to and receive approval from the Early Head Start program policy councils (or other appropriate policy group) prior to submission.

6. Successful applicants must form a local advisory committee consisting of staff and parents of the Early Head Start program, other community agencies and researchers with expertise in areas relevant to the local research.

7. Applicants' Early Head Start program partner must be able to recruit and enroll the required number and types of families as described in Part I, section E-1-c above.

8. The principal investigator and at least one other key research team member must attend a minimum of one two-day meeting of the local researchers in Washington, DC in addition to the two-day meetings with the national contractor and the Technical Review Panel. A third day will be provided at the national contractor meetings in order for the local researchers to meet on the issues and coordination of the local research projects. Successful

applicants must also plan to attend Head Start's Third National Research Conference in Washington, DC June 20-23, 1996. The applicant will be responsible for all travel expenses related to these meetings. These travel expenses may be included in the applicant's budget.

9. Since the research will be conducted at the Early Head Start program site, applicants must use their off-campus research rates for indirect costs. If the applicant is a non-profit organization, the applicant is limited to an indirect cost rate of no more than 15 percent.

10. In submitting an application, the applicant understands that the data resulting from the local research is the property of the ACYF. Therefore, a copy of the raw data set with accompanying documentation must be submitted to the Government in a manner and frequency that will be specified in consultation with the consortium during the first year of the cooperative agreement. It is not the intention of the Government to inhibit or restrict presentations and publications of the results of the local research by the grantee beyond any publishing restrictions that will be agreed upon by the Consortium and ACYF.

12. The applicant must provide all required assurances and certifications including a Protection of Human Subject Assurance as specified in the policy described on the HHS Form 596 (attached in Appendix A).

D. Cooperative Agreements

ACYF is utilizing a cooperative agreement mechanism to support local research as a means of ensuring close cooperation and coordination between and among local researchers, Early Head Start programs and the National contractor. Together, these three entities form the research team. Although the three entities have equal status on the research team, each has an area of primary responsibility: (1) The Early Head Start program has primary responsibility for the design and implementation of program services and activities; (2) the National contractor has primary responsibility for the cross-site study; and (3) the local researcher has primary responsibility for the local research study. In applying for a cooperative agreement under this announcement, the applicant pledges close cooperation and coordination with the other research partners.

1. Responsibilities of the Grantee

The Grantee

- Conducts a local research study which enhances, enriches or expands

the cross-site data and focuses on one of the four Early Head Start outcome domains.

- Designs and conducts the preliminary research for the Longitudinal Study of Early Head Start and the Longitudinal Study of Head Start.

- Participates as a member of the consortium of local researchers and the national contractor.

- Conducts local analyses and interpretations of the cross-site data.

- Agrees to enter a subcontract or other financial arrangement with the national contractor for purposes of collecting data for the cross-site study.

- Agrees to work as a member of the research team consisting of the Early Head Start program, the national contractor and the local researcher.

2. Responsibilities of the Federal Staff

Federal Staff

- Provide guidance in the development of the final study design.

- Participate as members of the national consortium or any policy, steering or other working groups established at the consortium level to facilitate accomplishment of the project goals.

- Facilitate communication among consortium members, Early Head Start grantees and the Federal staff.

- Provide logistical support to facilitate meetings of the local researchers.

E. Project Duration and Federal Share

1. Project Duration

Awards, on a competitive basis, are for a project period of five years. Continuation applications beyond the first 12 month budget period, but within the five-year project period, will be entertained in subsequent years on a non-competitive basis, subject to availability of funds, satisfactory progress and a determination that continued funding is in the best interests of the Government.

2. Federal Share of Project Costs

Federal share of project costs shall not exceed \$150,000 for the first 12-month budget period inclusive of indirect costs and shall not exceed \$150,000 for the second and third 12-month budget period. The Federal share of the fourth and fifth budget period shall be negotiated prior to the fourth and/or fifth year of funding.

3. Matching Requirements

There is no matching requirement; however, applicants must apply their indirect cost rate for off-campus