

a domestic nonprofit institution and the place of performance is outside the United States and outlying areas.

(H) *Code N—Historically Black Colleges and Universities or Minority Institutions (HBCU/MI)*. Enter code N if the contractor is an HBCU/MI as defined at 252.226–7000 and the place of performance is within the United States and outlying areas.

(I) *Code P—Other Educational*. Enter code P if the contractor is an educational institution which does not qualify as an HBCU/MI, and the place of performance is within the United States and outlying areas.

(J) *Code Z—Other Nonprofit*. Enter code Z if the contractor is a nonprofit institution (defined in FAR 31.701) which does not meet any of the criteria in codes A through I and the place of performance is in the United States and outlying areas.

(ii) BLOCK D2, REASON NOT AWARDED TO SMALL DISADVANTAGED BUSINESS (SDB).

Enter one of the five codes when Block D1 is coded B or C. Otherwise leave D2 blank. As an exception to the chart in paragraph (d)(4) of this subsection, when Block B13 is coded 7, enter code Z in Block D2.

(A) *Code A—No Known SDB Source*. Enter code A when applicable.

(B) *Code B—SDB Not Solicited*. Enter code B when there was a known SDB source but it was not solicited.

(C) *Code C—SDB Solicited No Offer*. Enter code C when an SDB was solicited but it did not submit an offer or its offer was not sufficient to cover the total quantity requirement so it received a separate award for the quantity offered.

(D) *Code D—SDB Solicited Offer Not Low*. Enter code D when an SDB offer was not the low offer or an SDB was not willing to accept award of a partial small business set-aside portion of an action at the price offered.

(E) *Code Z—Other Reason*. Enter code Z when an SDB did not receive the award for any other reason.

(iii) BLOCK D3, REASON NOT AWARDED TO SMALL BUSINESS (SB).

Enter one of the five codes when Block D1 is coded C. Otherwise leave D3 blank. (The term “Small Business” includes small disadvantaged businesses.) As an exception to the chart in paragraph (d)(4) of this subsection, when Block B13 is coded 7, enter code Z in Block D3.

(A) *Code A—No Known SB Source*. Enter code A when applicable.

(B) *Code B—SB Not Solicited*. Enter code B when there was a known small business source but it was not solicited.

(C) *Code C—SB Solicited No Offer*. Enter code C when a small business concern

was solicited but it did not submit an offer or its offer was not sufficient to cover the total quantity requirement so it received a separate award for the quantity offered.

(D) *Code D—SB Solicited Offer Not Low*. Enter code D when a small business offer was not the low or most advantageous offer or a small business concern was not willing to accept award of a set-aside portion of an action at the price offered by the Government.

(E) *Code Z—Other Reason*. Enter code Z when a small business did not receive the award for any other reason.

(iv) BLOCK D4, PREFERENCE PROGRAM.

As an exception to the chart in paragraph (d)(4) of this subsection, when Block B13 is coded 7, enter the code describing the action being reported for Block D4C; and leave Block D4E blank.

(A) BLOCK D4A, TYPE OF SB SET-ASIDE.

Enter one of the six available codes.

(1) *Code A—None*. Enter code A if there was no small business set-aside (FAR 19.502). Note that set-asides for historically black colleges and universities and minority institutions (HBCUs/MIs) are not small business set-asides. Use code A for HBCU/MI set-asides and complete Block D4C.

(2) *Code B—Total SB Set-Aside*. Enter code B if the action was a total set-aside for small business (FAR 19.502–2), including actions reserved exclusively for small business concerns pursuant to FAR 13.105, or if the action resulted from the Small Business Innovation Research Program.

(3) *Code C—Partial SB Set-Aside*. Enter code C if the action was a partial set-aside for small business (219.502–3).

(4) *Code D—Reserved*.

(5) *Code E—Total SDB Set-Aside*. Enter code E if the action was a total set-aside for small disadvantaged businesses (219.502–2–70).

(6) *Code Y—Small Emerging Business Set-Aside*. Enter code Y if the action is an emerging small business set-aside within a designated industry group under the Small Business Competitiveness Demonstration Program (see FAR subparts 19.10 and 204.670–9).

(7) *Code Z—Small Business Set-Aside Using Simplified Acquisition Procedures*. Enter code Z if the action is reserved exclusively for small business concerns pursuant to FAR 13.105 and is in a designated industry group under the Small Business Competitiveness Demonstration Program (204.670–9).

(B) BLOCK D4B, TYPE OF SDB SET-ASIDE/SDB PREFERENCE.

Enter one of the five codes, even if Block D4A is coded E.

(1) *Code A—None*. Enter code A if no SDB preference was given or award was not to an SDB.

(2) *Code B—Section 8(a)*. Enter code B if the contract was awarded to the Small Business Administration (SBA) under Section 8(a) of the Small Business Act (FAR 19.8).

(3) *Code C—Total SDB Set-Aside*. Enter code C if the action was an SDB set-aside (219.502–2–70) and award was to an SDB.

(4) *Code D—SDB Evaluation Preference—Unrestricted*. Enter code D if the action was unrestricted but an SDB received an award as a result of an evaluation preference (219.70).

(5) *Code E—SDB Preferential Consideration—Partial SB Set-Aside*. Enter code E if the action was a partial set-aside for small business and preferential consideration resulted in an award to an SDB (219.502–3).

(C) BLOCK D4C, HBCU/MI SET-ASIDE.

Enter one of the three codes.

(1) *Code A—None*. Enter code A if the action was not set-aside for HBCUs or MIs.

(2) *Code B—HBCU or MI—Total Set-Aside*. Enter code B if the action was a total set-aside for HBCUs and MIs (226.7003).

*Code C—HBCU or MI—Partial Set-Aside*. Enter code C if the action was a partial set-aside for HBCUs or MIs under a broad agency announcement (235.016).

(D) BLOCK D4D, OTHER PREFERENCE PROGRAM.

Enter either code A or B.

(1) *Code A—None*. Enter code A if no other preference program applied.

(2) *Code B—Directed to NIB/NISH*. Enter code B for an action with a qualified nonprofit agency employing people who are blind or severely disabled for an item on the Procurement List which was awarded under FAR subpart 8.7.

(E) BLOCK D4E, PREMIUM PERCENT.

(1) Complete Block D4E if Block D4B is coded C, D, or E, or Block D4C is coded B or C.

(2) Calculate the premium percentage per 219.202–5 and enter it as a three-digit number rounded to the nearest tenth, e.g., enter 7.55% as 076. If no premium was paid, enter three zeros (000).

(3) Otherwise leave blank.

(v) BLOCK D5, ETHNIC GROUP.

(A) Complete Block D5 if the action is with an SDB. Otherwise leave it blank.

(B) Enter the code from the following list which corresponds to the ethnic