

§ 270.30b3-1 Quarterly report for money market funds.

(a) *General.* Every open-end management investment company registered under the 1940 Act that holds itself out as a money market fund ("money market fund") shall file a Money Market Fund Portfolio Schedule ("Schedule") containing the information set forth in paragraph (d) of this section with the Commission not more than thirty days after the last day of each calendar quarter.

(b) *Format and filing of schedule.* The Schedule shall be filed through the EDGAR system and prepared in the format prescribed in Appendix J to the EDGAR Filer Manual. Money market funds also shall refer to Regulation S-T [17 CFR 232.10 through 232.903] regarding the general rules for electronic filings on the EDGAR system.

(c) *Special rules.* (1) *Master/feeder arrangements.* A money market fund that is a "feeder fund," as that term is defined in General Instruction I to Form N-1A [17 CFR 239.15A and 274.11A], is not required to file a Schedule.

(2) *Series funds.* Each series of a series fund shall be considered to be a separate investment company for purposes of this section.

(3)(i) *Temporary hardship exemptions.* If a money market fund experiences unanticipated technical difficulties preventing the timely preparation and submission of its Schedule, the money market fund shall submit a written statement to the Commission no later than one business day after the date on which the Schedule was to be filed stating that the fund requires a temporary hardship exemption. A money market fund that has taken advantage of a temporary hardship exemption with regard to the filing of the Schedule shall electronically file its Schedule within six days of filing its written notification to the Commission. (ii) *Continuing hardship exemptions.* A money market fund may apply in writing for a continuing hardship exemption in accordance with paragraphs (a), (b), and (d) of § 232.202 of this chapter.

(d) *Contents of money market fund portfolio schedule.* The Schedule shall set forth the information specified in this paragraph that is applicable to the money market fund. Where the context requires, capitalized terms are used as defined in § 270.2a-7.

Item 1.

- Item 1(a) Name of registrant.
- Item 1(b) CIK number of registrant.

Item 1(c) Investment Company Act File Number of registrant.

Item 2.

Item 2(a) Name of money market fund.
Item 2(b) Name of person that should be contacted regarding the information contained in this report.

Item 2(c) Telephone number of person named in response to Item 2(b).

Item 2(d) Securities Act File Number for money market fund.

Item 2(e) If the Schedule pertains to a separate series of a series company, or to a sub-account of an insurance company separate account, assign a number to the series that the series will be identified by in all future filings. If a number has previously been assigned to the series in a report on Form N-SAR, use that number.

Item 3. Indicate whether the fund is a Tax Exempt Fund and, if so, whether it is a Single State Fund and the state in which the securities in which it invests are exempt from taxation. For a taxable fund, indicate whether the fund invests only in Government Securities and repurchase agreements, or in other securities as well.

Item 4. Indicate whether the fund sells shares to institutional investors only.

Item 5. State the last day of the quarter for which this information is filed.

Item 6. If the fund uses the Penny-Rounding Method of pricing, state the per share net asset value of the fund before rounding. If the fund uses the Amortized Cost Method of valuation, state the per share net asset value of the fund based on the available market quotations obtained most recently by the fund but not more than ten business days prior to the end of the quarter (or an appropriate substitute that reflects current market conditions) for the securities in the portfolio. If the fund uses both methods, provide both figures.

Item 7. State the fund's yield for the seven days ended on the last day of the quarter for which this Schedule is filed, computed in accordance with Item 22(a)(i) of Form N-1A, 17 CFR 239.15A and 274.11A.

Item 8. State the dollar-weighted average portfolio maturity calculated for purposes of determining compliance with paragraph (c)(2) of § 270.2a-7 on the last day of the quarter.

Item 9. State the Total Assets of the fund on the last day of the quarter.

Item 10. State the percentage of net assets of the fund invested in illiquid assets on the last day of the quarter.

Item 11. State whether, at any time during the quarter, an affiliated person of the fund, or any affiliated person of such person:

- (a) purchased a security from the fund at a price in excess of the security's market value;
- (b) obtained or provided liquidity or credit support for a security in the fund's portfolio; or

(c) contributed cash or other assets to the fund to offset a realized or unrealized loss on an investment made by the fund.

Item 12. Provide the following information for each security owned by the fund as of the last day of the quarter for which this information is filed, where applicable:

Item 12(a) CUSIP number.

Item 12(b) Name of issuer of security.

Item 12(c) Name of issue.

Item 12(d) (1)-(4) Names of issuers of Puts, Demand Features, bond insurance, and other guarantees.

Item 12(e) Whether the security is an Unrated Security.

Item 12(f) Whether the security is a Second Tier Security.

Item 12(g) Whether the security is a Refunded Security.

Item 12(h) Whether the security is an illiquid security.

Item 12(i) Whether the security is a repurchase agreement that is Collateralized Fully.

Item 12(j) Stated maturity date.

Item 12(k) Maturity date for purposes of § 270.2a-7.

Item 12(l) Market value, based on the quotations obtained most recently by the fund but not more than ten business days prior to the end of the quarter.

Item 12(m) In the case of a fund using the Amortized Cost Method of valuation:

Item 12(m)(i) the amortized cost of the security; and

Item 12(m)(ii) the ratio of Item 12(l) to Item 12(m)(i).

Item 12(n) The percentage of the fund's Total Assets represented by this security.

Item 12(o) The percentage of the fund's Total Assets comprised by all securities currently held by the fund that have been issued by this issuer.

Item 12(p) Rate of interest the security was paying on the last day of the period for which this information is being filed.

Item 12(q) For a Floating Rate Instrument or a Variable Rate Instrument, the formula for determining the interest rate the security will pay.

Item 12(r) For a Floating Rate Instrument or a Variable Rate Instrument, the frequency with which the security's interest rate will be reset.

Item 12(s) Indicate whether the security's characterization as fixed rate, Floating Rate, or Variable Rate is subject to change as the result of one or more triggering events. Briefly describe the triggering events in the form of a formula.

Item 12(t) Indicate whether the security is subject to an interest rate cap. Describe the cap in the form of a formula.

Dated: July 19, 1995.

By the Commission.

Margaret H. MacFarland,

Deputy Secretary.

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