DEPARTMENT OF LABOR

Mine Safety and Health Administration

DEPARTMENT OF HEALTH AND HUMAN SERVICES

Centers for Disease Control and Prevention

Memorandum of Understanding Between the Mine Safety and Health Administration and the National Institute for Occupational Safety and Health Regarding the Respirator Approval Program

AGENCY: Mine Safety and Health Administration, Labor and National Institute for Occupational Safety and Health, CDC, HHS.

ACTION: Notice.

SUMMARY: This notice is to advise the public of the issuance of a memorandum of understanding (MOU) between the Mine Safety and Health Administration (MSHA), U.S. Department of Labor, and the National Institute for Occupational Safety and Health (NIOSH), Centers for Disease Control, U.S. Department of Health and Human Services. This MOU delineates the roles of both agencies in a new respirator approval program under the provisions of 42 CFR part 84. Concurrently, MSHA is removing 30 CFR part 11 which contained the provisions of the existing respirator approval program. Both the MSHA and NIOSH final rules are published elsewhere in this separate part of the Federal Register.

DATES: This MOU will become operative on July 10, 1995.

FOR FURTHER INFORMATION CONTACT:

Peter M. Turcic, Chief, MSHA Approval and Certification Center, 304–547–2029, or Richard W. Metzler, Chief, Certification and Quality Assurance Branch, NIOSH Division of Safety Research, 304–285–5907.

SUPPLEMENTARY INFORMATION: On December 10, 1984, MSHA and NIOSH entered into an agreement for consultation, coordination, and cooperation in effectively and efficiently carrying out their respective safety and health functions under the Federal Mine Safety and Health Act of 1977. This MOU supplements the 1984 agreement and, to the extent inconsistent with that agreement, supersedes it. This MOU is being published concurrently with the MSHA and NIOSH final rules addressing the approval of respirators to aid in understanding these rulemakings.

Dated: May 24, 1995.

J. Davitt McAteer,

Assistant Secretary for Mine Safety and Health, U.S. Department of Labor.

Dated: May 24, 1995.

Linda Rosenstock,

Director, National Institute for Occupational Safety and Health, Centers for Disease Control and Prevention, U.S. Department of Health and Human Services.

Memorandum of Understanding Between the Mine Safety and Health Administration and the National Institute for Occupational Safety and Health

I. Introduction

A. Background

The Federal Mine Safety and Health Act of 1977 (Mine Act) provides for the protection of the health and safety of our Nation's miners. In furtherance of the goals of the Mine Act, the Secretaries of Health and Human Services (HHS) and Labor coordinate their expertise and activities in the field of mine health and safety. This Memorandum of Understanding (MOU) sets out the procedures to be followed by the Secretaries in coordinating their responsibilities with respect to the joint approval of respirators.

On May 4, 1978, the National Institute for Occupational Safety and Health (NIOSH) of the Department of Health, Education and Welfare, predecessor to HHS, entered into an Agreement with the Mine Safety and Health Administration (MSHA), Department of Labor, to ensure full and effective use of the capabilities and resources of NIOSH and MSHA to assist in planning research and to provide the maximum utilization of technological developments resulting from mine health and safety research. That Agreement was revised on December 10, 1984. This Memorandum of Understanding (MOU) supplements the above mentioned Agreements and, to the extent inconsistent with those Agreements, supersedes them.

B. Purpose

The purpose of this MOU is to establish procedures to be followed by MSHA and NIOSH in exercising their respective responsibilities for joint approval of certain respirators under the provisions of 42 CFR Part 84. It also establishes guidelines for interaction between MSHA and NIOSH with respect to issues dealing with respirator certification and related matters. These issues include but are not limited to: certification, quality assurance, and field complaints.

C. Authority

This MOU is consistent with, and is entered into under the authority of, the Mine Act.

II. Joint Approval of Respirators Used for Mine Rescue and Other Mine Emergencies

- A. Respirators Subject to Joint Approval
- 1. NIOSH will conduct the examinations, inspections and tests of respirators submitted for certification pursuant to the requirements of 42 CFR Part 84.
- 2. Except for those respirators used for mine rescue and other mine emergencies,

NIOSH is solely responsible to evaluate and certify respirators under the provisions of 42 CFR part 84.

- 3. MSHA and NIOSH will jointly evaluate respirators used for mine rescue and other mine emergencies and subsequently issue a joint certification of approval for such respirators.
- 4. Respirators used for mine rescue and other mine emergencies include filter self-rescuers (FSRS), self-contained self-rescuers (SCSR), mine rescue apparatus (long duration, closed and open circuit devices), and other self-contained breathing apparatus (SCBA). In the event a new class of respirator is submitted for certification, NIOSH will consult with MSHA concerning the potential for mine use and the need for joint approval.
- 5. In the event of disagreement as to whether a particular class of respirator is used for mine rescue and other mine emergencies, MSHA will make a final determination.

B. Preapproval Procedures

Prior to MSHA/NIOSH joint approval of respirators used for mine rescue and other mine emergencies, the following procedures will be followed to assist in the determination of whether a candidate respirator has fulfilled the applicable requirements of 42 CFR part 84:

- 1. MSHA and NIOSH will provide such consultation and assistance to each other as necessary to assure the proper joint approval of respirators and fulfill the intent of 42 CFR Part 84.
- 2. MSHA will, by mutual agreement with NIOSH, provide subjects for NIOSH testing of jointly approved respirators in accordance with the pertinent requirements of 45 CFR Part 46 and the Human Subject Review Board.
- 3. As coequals in the joint approval of respirators used for mine rescue and other mine emergencies, MSHA and NIOSH will share access to all examinations, inspections, tests, evaluations and other pertinent information leading to the certification of such respirators.
- 4. MSHA and NIOSH will jointly evaluate the respirator service life plans and user's manuals for jointly approved respirators.
- 5. MSHA and NIOSH will jointly establish any limitations to be required for jointly approved respirators.

C. Joint Approval

- 1. NIOSH will conduct all examinations, inspections and tests of respirators pursuant to the requirements of 42 CFR Part 84.
- 2. NIOSH and MSHA will jointly determine whether a candidate respirator intended for mine rescue and other mine emergencies has fulfilled the requirements of 42 CFR Part 84. Upon such determination, NIOSH and MSHA will issue a joint respirator approval, which shall include appropriate use limitations.
- 3. NIOSH and MSHA shall sign the approval certificate and each Agency shall maintain timely processing procedures.

III. Quality Assurance

1. NIOSH and MSHA will notify each other in advance of the intent to conduct audits of respirators and/or manufacturing sites for