

The background of the cover is a close-up photograph of a green leaf, showing its intricate vein structure. Several black ants are scattered across the leaf, moving in various directions. The title 'Elements of Ecology' is prominently displayed in the upper half of the cover.

# Elements of Ecology

Eighth Edition

Thomas M. Smith  
Robert Leo Smith

# Elements of Ecology

Eighth Edition

**Thomas M. Smith**

University of Virginia

**Robert Leo Smith**

West Virginia University, Emeritus

**Benjamin Cummings**

Boston Columbus Indianapolis New York San Francisco Upper Saddle River

Amsterdam Cape Town Dubai London Madrid Milan Munich Paris Montréal Toronto

Delhi Mexico City São Paulo Sydney Hong Kong Seoul Singapore Taipei Tokyo

Editor-in-Chief: Beth Wilbur  
Executive Director of Development: Deborah Gale  
Senior Acquisitions Editor: Star MacKenzie  
Project Editor: Leata Holloway  
Assistant Editor: Frances Sink  
Media Producer: Lee Ann Doctor  
Executive Marketing Manager: Lauren Harp  
Executive Managing Editor: Erin Gregg  
Managing Editor: Michael Early  
Senior Production Project Manager: Shannon Tozier  
Production Management and Compositor: Integra-Chicago  
Illustrations: Scientific Illustrators  
Text and Cover Design: tt eye  
Manufacturing Buyer: Michael Penne  
Senior Photo Editor: Donna Kalal  
Photo Research: Kristin Piljay  
Cover Printer: Lehigh-Phoenix Color, Hagerstown  
Printer and Binder: R.R. Donnelley, Willard  
Cover Photo Credit: Chris Martin Bahr / Photo Researchers, Inc.: Weaver Ants (*Oecophylla* sp.) on leaf.

Credits and acknowledgments borrowed from other sources and reproduced, with permission, in this textbook appear on the appropriate page within the text or on pp. C-1–C-8.

Copyright © 2012, 2009, 2006 Pearson Education, Inc., publishing as Pearson Benjamin Cummings. All rights reserved. Manufactured in the United States of America. This publication is protected by Copyright and permission should be obtained from the publisher prior to any prohibited reproduction, storage in a retrieval system, or transmission in any form or by any means, electronic, mechanical, photocopying, recording, or likewise. To obtain permission(s) to use material from this work, please submit a written request to Pearson Education, Inc., Permissions Department, 1900 E. Lake Ave., Glenview, IL 60025. For information regarding permissions, call (847) 486-2635.

Many of the designations used by manufacturers and sellers to distinguish their products are claimed as trademarks. Where those designations appear in this book, and the publisher was aware of a trademark claim, the designations have been printed in initial caps or all caps.

Pearson Benjamin Cummings is a trademark, in the U.S. and/or other countries, of Pearson Education, Inc. or its affiliates.

#### Library of Congress Cataloging-in-Publication Data

Smith, T. M. (Thomas Michael)  
Elements of ecology / Thomas M. Smith, Robert Leo Smith.—8th ed.  
p. cm.  
Includes bibliographical references and index.  
ISBN 978-0-321-73607-9  
1. Ecology. I. Smith, Robert Leo. II. Title.  
QH541.S624 2011  
577—dc22

2011015962

ISBN 10: 0-321-73607-9; ISBN 13: 978-0-321-73607-9 (Student edition)  
ISBN 10: 0-321-74286-9; ISBN 13: 978-0-321-74286-5 (Professional copy)  
ISBN 10: 0-321-88454-X; ISBN 13: 978-0-321-88454-1 (Books a la Carte)

# Contents

Preface xv

## Chapter 1 The Nature of Ecology 1

1.1 Ecology Is the Study of the Relationship between Organisms and Their Environment 2

1.2 Organisms Interact with the Environment in the Context of the Ecosystem 2

■ ECOLOGICAL ISSUES: Ecology Has Complex Roots 3

1.3 Ecological Systems Form a Hierarchy 4

1.4 Ecologists Study Pattern and Process at Many Levels 5

1.5 Ecologists Investigate Nature Using the Scientific Method 6

■ QUANTIFYING ECOLOGY 1.1: Classifying Ecological Data 8

1.6 Models Provide a Basis for Predictions 9

■ QUANTIFYING ECOLOGY 1.2: Displaying Ecological Data: Histograms and Scatter Plots 10

1.7 Uncertainty Is an Inherent Feature of Science 12

1.8 Ecology Has Strong Ties to Other Disciplines 12

1.9 The Individual Is the Basic Unit of Ecology 13

Summary 13 • Study Questions 14 • Further Readings 14

## PART 1 The Physical Environment 16

### Chapter 2 Climate 18

2.1 Earth Intercepts Solar Radiation 19

2.2 Intercepted Solar Radiation Varies Seasonally 21

2.3 Air Temperature Decreases with Altitude 22

2.4 Air Masses Circulate Globally 25

2.5 Solar Energy, Wind, and Earth's Rotation Create Ocean Currents 26

2.6 Temperature Influences the Moisture Content of Air 27

2.7 Precipitation Has a Distinctive Global Pattern 27

2.8 Topography Influences Regional and Local Patterns of Precipitation 30

2.9 Irregular Variations in Climate Occur at the Regional Scale 30

2.10 Most Organisms Live in Microclimates 32

■ ECOLOGICAL ISSUES: Urban Microclimates 33

Summary 34 • Study Questions 35 • Further Readings 35

### Chapter 3 The Aquatic Environment 36

3.1 Water Cycles between Earth and the Atmosphere 37

■ ECOLOGICAL ISSUES: Groundwater Resources 38

3.2 Water Has Important Physical Properties 39

3.3 Light Varies with Depth in Aquatic Environments 41

3.4 Temperature Varies with Water Depth 42

3.5 Water Functions as a Solvent 44

3.6 Oxygen Diffuses from the Atmosphere to the Surface Waters 45

3.7 Acidity Has a Widespread Influence on Aquatic Environments 46

3.8 Water Movements Shape Freshwater and Marine Environments 47

3.9	Tides Dominate the Marine Coastal Environment	48
3.10	The Transition Zone between Freshwater and Saltwater Environments Presents Unique Constraints	49
	Summary	50
	• Study Questions	51
	• Further Readings	51

## Chapter 4 The Terrestrial Environment 52

4.1	Life on Land Imposes Unique Constraints	53
4.2	Plant Cover Influences the Vertical Distribution of Light	54
	■ <b>QUANTIFYING ECOLOGY 4.1: Beer's Law and the Attenuation of Light</b>	56
4.3	Soil Is the Foundation upon Which All Terrestrial Life Depends	57
4.4	The Formation of Soil Begins with Weathering	58
4.5	Soil Formation Involves Five Interrelated Factors	58
4.6	Soils Have Certain Distinguishing Physical Characteristics	59
4.7	The Soil Body Has Horizontal Layers, or Horizons	60
4.8	Moisture-Holding Capacity Is an Essential Feature of Soils	61
4.9	Ion Exchange Capacity Is Important to Soil Fertility	62
4.10	Basic Soil Formation Processes Produce Different Soils	63
	Summary	65
	• Study Questions	66
	• Further Readings	67

## PART 2 The Organism and Its Environment 68

### Chapter 5 Ecological Genetics: Adaptation and Natural Selection 70

5.1	Adaptations Are a Product of Natural Selection	71
5.2	Genes Are the Units of Inheritance	72
5.3	The Phenotype Is the Physical Expression of the Genotype	72
5.4	Genetic Variation Occurs at the Level of the Population	73
5.5	Adaptation Is a Product of Evolution by Natural Selection	73
5.6	Several Processes Can Function to Alter Patterns of Genetic Variation	77
	■ <b>QUANTIFYING ECOLOGY 5.1: Hardy–Weinberg Principle</b>	78
5.7	Natural Selection Can Result in Genetic Differentiation	80
5.8	Adaptations Reflect Trade-offs and Constraints	81
	■ <b>FIELD STUDIES: Beren Robinson</b>	82
5.9	Organisms Respond to Environmental Variation at the Individual and Population Levels	86
	■ <b>ECOLOGICAL ISSUES: The Ecology of Antibiotic Resistance</b>	88
	Summary	89
	• Study Questions	90
	• Further Readings	90

### Chapter 6 Plant Adaptations to the Environment 92

6.1	Photosynthesis Is the Conversion of Carbon Dioxide into Simple Sugars	93
6.2	The Light a Plant Receives Affects Its Photosynthetic Activity	94
6.3	Photosynthesis Involves Exchanges between the Plant and Atmosphere	95
6.4	Water Moves from the Soil, through the Plant, to the Atmosphere	95
6.5	The Process of Carbon Uptake Differs for Aquatic and Terrestrial Plants	98
6.6	Plant Temperatures Reflect Their Energy Balance with the Surrounding Environment	98
6.7	Carbon Gained in Photosynthesis Is Allocated to the Production of Plant Tissues	99
6.8	Constraints Imposed by the Physical Environment Have Resulted in a Wide Array of Plant Adaptations	101

6.9	Species of Plants Are Adapted to Different Light Environments	101
	■ <b>QUANTIFYING ECOLOGY 6.1: Relative Growth Rate</b>	104
6.10	The Link between Water Demand and Temperature Influences Plant Adaptations	106
	■ <b>FIELD STUDIES: Kaoru Kitajima</b>	108
6.11	Plants Vary in Their Response to Environmental Temperatures	112
6.12	Plants Exhibit Adaptations to Variations in Nutrient Availability	113
6.13	Wetland Environments Present Unique Constraints on Plant Adaptations	115
	Summary	116
	• Study Questions	118
	• Further Readings	118

## Chapter 7 Animal Adaptations to the Environment 119

7.1	Size Imposes a Fundamental Constraint on the Evolution of Organisms	120
7.2	Animals Have Various Ways of Acquiring Energy and Nutrients	122
7.3	Animals Have Various Nutritional Needs	125
7.4	Mineral Availability Affects Animal Growth and Reproduction	126
7.5	Animals Require Oxygen to Release Energy Contained in Food	127
	■ <b>FIELD STUDIES: Martin Wikelski</b>	128
7.6	Regulation of Internal Conditions Involves Homeostasis and Feedback	130
7.7	Animals Exchange Energy with Their Surrounding Environment	130
	■ <b>QUANTIFYING ECOLOGY 7.1: Heat Exchange and Temperature Regulation</b>	132
7.8	Animals Fall into Three Groups Relative to Temperature Regulation	133
7.9	Poikilotherms Depend on Environmental Temperatures	134
7.10	Homeotherms Escape the Thermal Restraints of the Environment	135
7.11	Endothermy and Ectothermy Involve Trade-offs	136
7.12	Heterotherms Take on Characteristics of Ectotherms and Endotherms	138
7.13	Torpor Helps Some Animals Conserve Energy	138
7.14	Some Animals Use Unique Physiological Means for Thermal Balance	139
7.15	Maintenance of Water Balance for Terrestrial Animals Is Constrained by Uptake and Conservation	140
7.16	Animals of Aquatic Environments Face Unique Problems in Maintaining Water Balance	141
7.17	Buoyancy Helps Aquatic Organisms to Stay Afloat	142
7.18	Daily and Seasonal Light and Dark Cycles Influence Animal Activity	142
7.19	Critical Day Lengths Trigger Seasonal Responses	143
7.20	Activity Rhythms of Intertidal Organisms Follow Tidal Cycles	144
	Summary	145
	• Study Questions	147
	• Further Readings	147

## PART 3 Populations 148

### Chapter 8 Properties of Populations 150

8.1	Organisms May Be Unitary or Modular	151
8.2	The Distribution of a Population Defines Its Spatial Location	151
8.3	Abundance Reflects Population Density and Distribution	153
8.4	Determining Density Requires Sampling	156
8.5	Populations Have Age Structures	156
8.6	Sex Ratios in Populations May Shift with Age	158

8.7	Individuals Move Within the Population	158
8.8	Population Distribution and Density Change in Both Time and Space	160
	■ ECOLOGICAL ISSUES: Human-Assisted Dispersal	162
	Summary	163 • Study Questions 163 • Further Readings 164

## Chapter 9 Population Growth 165

9.1	Population Growth Reflects the Difference between Rates of Birth and Death	166
	■ QUANTIFYING ECOLOGY 9.1: Derivatives and Differential Equations	167
	■ QUANTIFYING ECOLOGY 9.2: Exponential Model of Population Growth	168
9.2	Life Tables Provide a Schedule of Age-Specific Mortality and Survival	169
9.3	Different Types of Life Tables Reflect Different Approaches to Defining Cohorts and Age Structure	170
	■ QUANTIFYING ECOLOGY 9.3: Life Expectancy	171
9.4	Life Tables Provide Data for Mortality and Survivorship Curves	172
9.5	Birthrate Is Age-Specific	173
9.6	Birthrate and Survivorship Determine Net Reproductive Rate	174
9.7	Age-Specific Mortality and Birthrates Can Be Used to Project Population Growth	174
9.8	Stochastic Processes Can Influence Population Dynamics	176
9.9	A Variety of Factors Can Lead to Population Extinction	177
9.10	Small Populations Are Susceptible to Extinction	177
	Summary	178 • Study Questions 179 • Further Readings 179

## Chapter 10 Life History 181

10.1	The Evolution of Life Histories Involves Trade-offs	182
10.2	Reproduction Involves Both Benefits and Costs to Individual Fitness	182
10.3	Age at Maturity Is Influenced by Patterns of Age-Specific Mortality	183
10.4	Reproductive Effort Is Governed by Trade-offs between Fecundity and Survival	185
10.5	There Is a Trade-off between the Number and Size of Offspring	188
	■ QUANTIFYING ECOLOGY 10.1: Interpreting Trade-offs	190
10.6	Species Differ in the Timing of Reproduction	190
10.7	An Individual's Life History Represents the Interaction between Genotype and the Environment	192
10.8	Mating Systems Describe the Pairing of Males and Females	193
10.9	Acquisition of a Mate Involves Sexual Selection	194
10.10	Females May Choose Mates Based on Resources	195
	■ FIELD STUDIES: Alexandra L. Basolo	196
10.11	Patterns of Life History Characteristics Reflect External Selective Forces	198
	Summary	200 • Study Questions 201 • Further Readings 201

## Chapter 11 Intraspecific Population Regulation 202

11.1	The Environment Functions to Limit Population Growth	203
	■ ECOLOGICAL ISSUES: The Human Carrying Capacity	204
11.2	Population Regulation Involves Density Dependence	206
	■ QUANTIFYING ECOLOGY 11.1: The Logistic Model of Population Growth	207
11.3	Competition Results When Resources Are Limited	207

- 11.4 Intraspecific Competition Affects Growth and Development 208
  - 11.5 Intraspecific Competition Can Influence Mortality Rates 210
  - 11.6 Intraspecific Competition Can Reduce Reproduction 212
    - **FIELD STUDIES: T. Scott Sillett** 214
  - 11.7 High Density Is Stressful to Individuals 216
  - 11.8 Dispersal Can Be Density Dependent 216
  - 11.9 Social Behavior May Function to Limit Populations 217
  - 11.10 Territoriality Can Function to Regulate Population Growth 217
  - 11.11 Plants Preempt Space and Resources 219
  - 11.12 Density-Independent Factors Can Influence Population Growth 219
- Summary 220 • Study Questions 221 • Further Readings 222

## Chapter 12 Metapopulations 223

- 12.1 Four Conditions Define a Metapopulation 224
  - 12.2 Metapopulation Dynamics Is a Balance between Colonization and Extinction 226
    - **QUANTIFYING ECOLOGY 12.1: Equilibrium Proportion of Occupied Patches** 227
  - 12.3 Patch Area and Isolation Influence Metapopulation Dynamics 227
  - 12.4 Habitat Heterogeneity Influences Local Population Persistence 230
  - 12.5 Some Habitat Patches May Function as the Major Source of Emigrants 230
  - 12.6 Certain Factors Can Function to Synchronize the Dynamics of Local Populations 231
  - 12.7 Species Differ in Their Potential Rates of Colonization and Extinction 232
  - 12.8 The Concept of Population Is Best Approached by Using a Hierarchical Framework 233
- Summary 234 • Study Questions 235 • Further Readings 235

## PART 4 Species Interactions 236

### Chapter 13 Species Interactions, Population Dynamics, and Natural Selection 238

- 13.1 Species Interactions Can Be Classified Based on Their Reciprocal Effects 239
  - 13.2 Species Interactions Influence Population Dynamics 240
  - 13.3 Species Interactions Can Function as Agents of Natural Selection 241
  - 13.4 The Nature of Species Interactions Can Vary Across Geographic Landscapes 245
  - 13.5 Species Interactions Can Be Diffuse 245
  - 13.6 Species Interactions Influence the Species' Niche 246
  - 13.7 Species Interactions Can Drive Adaptive Radiation 248
- Summary 249 • Study Questions 249 • Further Readings 250

### Chapter 14 Interspecific Competition 251

- 14.1 Interspecific Competition Involves Two or More Species 252
- 14.2 There Are Four Possible Outcomes of Interspecific Competition 252
- 14.3 Laboratory Experiments Support the Lotka–Volterra Equations 253
  - **QUANTIFYING ECOLOGY 14.1: Interpreting Population Isoclines** 255
- 14.4 Studies Support the Competitive Exclusion Principle 256
- 14.5 Competition Is Influenced by Nonresource Factors 256



14.6 Temporal Variation in the Environment Influences Competitive Interactions 257

■ **FIELD STUDIES: Katherine N. Suding** 258

14.7 Competition Occurs for Multiple Resources 260

14.8 Relative Competitive Abilities Change along Environmental Gradients 261

■ **QUANTIFYING ECOLOGY 14.2: Competition under Changing Environmental Conditions: Application of the Lotka–Volterra Model** 263

14.9 Interspecific Competition Influences the Niche of a Species 266

14.10 Coexistence of Species Often Involves Partitioning Available Resources 267

14.11 Competition Is a Complex Interaction Involving Biotic and Abiotic Factors 270

Summary 270 • Study Questions 271 • Further Readings 272

## Chapter 15 Predation 273

15.1 Predation Takes a Variety of Forms 274

15.2 Mathematical Model Describes the Basics of Predation 274

15.3 Model Suggests Mutual Population Regulation 276

15.4 Functional Responses Relate Prey Consumed to Prey Density 277

15.5 Predators Respond Numerically to Changing Prey Density 280

15.6 Foraging Involves Decisions about the Allocation of Time and Energy 281

■ **QUANTIFYING ECOLOGY 15.1: A Simple Model of Optimal Foraging** 283

15.7 Foragers Seek Productive Food Patches 284

15.8 Risk of Predation Can Influence Foraging Behavior 285

15.9 Coevolution Can Occur between Predator and Prey 285

15.10 Animal Prey Have Evolved Defenses against Predators 285

15.11 Predators Have Evolved Efficient Hunting Tactics 289

15.12 Herbivores Prey on Autotrophs 289

■ **FIELD STUDIES: Rick A. Relyea** 290

15.13 Plants Have Evolved Characteristics That Deter Herbivores 292

15.14 Plants, Herbivores, and Carnivores Interact 294

15.15 Predators Influence Prey Dynamics through Lethal and Nonlethal Effects 294

Summary 296 • Study Questions 297 • Further Readings 297

## Chapter 16 Parasitism and Mutualism 298

16.1 Parasites Draw Resources from Host Organisms 299

16.2 Hosts Provide Diverse Habitats for Parasites 300

16.3 Direct Transmission Can Occur between Host Organisms 300

16.4 Transmission between Hosts Can Involve an Intermediate Vector 301

16.5 Transmission Can Involve Multiple Hosts and Stages 301

16.6 Hosts Respond to Parasitic Invasions 301

16.7 Parasites Can Affect Host Survival and Reproduction 303

16.8 Parasites May Regulate Host Populations 303

16.9 Parasitism Can Evolve into a Mutually Beneficial Relationship 305

16.10 Mutualisms Involve Diverse Species Interactions 305

■ **ECOLOGICAL ISSUES: Plagues Upon Us** 306

16.11 Mutualisms Are Involved in the Transfer of Nutrients 307

■ **FIELD STUDIES: John J. Stachowicz** 308

- 16.12 Some Mutualisms Are Defensive 311
  - 16.13 Mutualisms Are Often Necessary for Pollination 311
  - 16.14 Mutualisms Are Involved in Seed Dispersal 312
  - 16.15 Mutualisms Can Influence Population Dynamics 313
    - **QUANTIFYING ECOLOGY 16.1: A Model of Mutualistic Interactions** 314
- Summary 315 • Study Questions 316 • Further Readings 316

## **PART 5 Community Ecology 318**

### **Chapter 17 Community Structure 320**

- 17.1 The Number of Species and Their Relative Abundance Define Diversity 321
  - 17.2 Numerical Supremacy Defines Dominance 323
  - 17.3 Keystone Species Influence Community Structure Disproportionately to Their Numbers 323
  - 17.4 Food Webs Describe Species Interactions 324
  - 17.5 Species within a Community Can Be Classified into Functional Groups 324
  - 17.6 Communities Have a Characteristic Physical Structure 326
  - 17.7 Zonation Is Spatial Change in Community Structure 328
  - 17.8 Defining Boundaries between Communities Is Often Difficult 329
  - 17.9 Two Contrasting Views of the Community 331
    - **QUANTIFYING ECOLOGY 17.1: Community Similarity** 332
- Summary 333 • Study Questions 334 • Further Readings 334

### **Chapter 18 Factors Influencing the Structure of Communities 335**

- 18.1 The Fundamental Niche Constrains Community Structure 336
  - 18.2 Species Interactions Are Diffuse 337
    - **FIELD STUDIES: Sally D. Hacker** 338
  - 18.3 Food Webs Illustrate Indirect Interactions 340
    - **QUANTIFYING ECOLOGY 18.1: Quantifying the Structure of Food Webs: Connectance** 341
  - 18.4 Food Webs Suggest Controls of Community Structure 344
  - 18.5 Species Interactions along Environmental Gradients Involve Both Stress Tolerance and Competition 345
  - 18.6 Environmental Heterogeneity Influences Community Diversity 348
  - 18.7 Resource Availability Can Influence Plant Diversity within a Community 349
- Summary 351 • Study Questions 352 • Further Readings 352

### **Chapter 19 Community Dynamics 353**

- 19.1 Community Structure Changes Through Time 354
  - **ECOLOGICAL ISSUES: American Forests** 356
- 19.2 Primary Succession Occurs on Newly Exposed Substrates 358
- 19.3 Secondary Succession Occurs after Disturbances 358
- 19.4 The Study of Succession Has a Rich History 360
- 19.5 Succession Is Associated with Autogenic Changes in Environmental Conditions 361
- 19.6 Species Diversity Changes during Succession 363
- 19.7 Succession Involves Heterotrophic Species 364
- 19.8 Systematic Changes in Community Structure Are a Result of Allogenic Environmental Change at a Variety of Timescales 365

- 19.9 Community Structure Changes over Geologic Time 367
- 19.10 The Concept of Community Revisited 369
- Summary 371 • Study Questions 372 • Further Readings 373

## Chapter 20 Landscape Dynamics 374

- 20.1 Environmental Processes Create a Variety of Patches in the Landscape 375
- 20.2 Transition Zones Offer Diverse Conditions and Habitats 377
- 20.3 Patch Size and Shape Are Crucial to Species Diversity 378
- 20.4 The Theory of Island Biogeography Applies to Landscape Patches 382
- 20.5 Landscape Connectivity Permits Movement between Patches 384
- 20.6 The Metapopulation and Metacommunity Are Central Concepts in the Study of Landscape Dynamics 385
- 20.7 Frequency, Intensity, and Scale Determine the Impact of Disturbances 385
  - FIELD STUDIES: Nick M. Haddad 386
- 20.8 Various Natural Processes Function as Disturbances 388
- 20.9 Human Disturbance Creates Some of the Most Long-Lasting Effects 390
- 20.10 The Landscape Represents a Shifting Mosaic of Changing Communities 391
- Summary 391 • Study Questions 392 • Further Readings 393

## PART 6 Ecosystem Ecology 394

### Chapter 21 Ecosystem Energetics 396

- 21.1 The Laws of Thermodynamics Govern Energy Flow 397
- 21.2 Energy Fixed in the Process of Photosynthesis Is Primary Production 397
- 21.3 Temperature, Water, and Nutrients Control Primary Production in Terrestrial Ecosystems 398
- 21.4 Temperature, Light, and Nutrients Control Primary Production in Aquatic Ecosystems 401
- 21.5 External Inputs of Organic Carbon Can Be Important in Aquatic Ecosystems 403
- 21.6 Energy Allocation and Plant Life-Form Influence Primary Production 404
- 21.7 Primary Productivity Varies with Time 405
- 21.8 Primary Productivity Limits Secondary Production 406
  - ECOLOGICAL ISSUES: Human Appropriation of Net Primary Productivity 408
- 21.9 Consumers Vary in Efficiency of Production 408
- 21.10 Ecosystems Have Two Major Food Chains 410
- 21.11 Energy Flows through Trophic Levels Can Be Quantified 411
  - FIELD STUDIES: Brian Silliman 412
- 21.12 Consumption Efficiency Determines the Pathway of Energy Flow through the Ecosystem 414
- 21.13 Energy Decreases in Each Successive Trophic Level 415
- Summary 416 • Study Questions 417 • Further Readings 417

### Chapter 22 Decomposition and Nutrient Cycling 419

- 22.1 Most Essential Nutrients Are Recycled within the Ecosystem 420
- 22.2 Decomposition Is a Complex Process Involving a Variety of Organisms 421

- 22.3 Studying Decomposition Involves Following the Fate of Dead Organic Matter 423
  - **QUANTIFYING ECOLOGY 22.1: Estimating the Rate of Decomposition** 424
- 22.4 Several Factors Influence the Rate of Decomposition 425
  - **FIELD STUDIES: Edward A. G. (Ted) Schuur** 428
- 22.5 Nutrients in Organic Matter Are Mineralized During Decomposition 430
- 22.6 Decomposition Proceeds as Plant Litter Is Converted into Soil Organic Matter 432
- 22.7 Plant Processes Enhance the Decomposition of Soil Organic Matter in the Rhizosphere 434
- 22.8 Decomposition Occurs in Aquatic Environments 434
- 22.9 Key Ecosystem Processes Influence the Rate of Nutrient Cycling 436
  - **ECOLOGICAL ISSUES: Nitrogen Fertilizers** 437
- 22.10 Nutrient Cycling Differs between Terrestrial and Open-Water Aquatic Ecosystems 438
- 22.11 Water Flow Influences Nutrient Cycling in Streams and Rivers 440
- 22.12 Land and Marine Environments Influence Nutrient Cycling in Coastal Ecosystems 441
- 22.13 Surface Ocean Currents Bring about Vertical Transport of Nutrients 443
  - Summary 443 • Study Questions 444 • Further Readings 445

## Chapter 23 Biogeochemical Cycles 446

- 23.1 There Are Two Major Types of Biogeochemical Cycles 447
- 23.2 Nutrients Enter the Ecosystem via Inputs 447
- 23.3 Outputs Represent a Loss of Nutrients from the Ecosystem 448
- 23.4 Biogeochemical Cycles Can Be Viewed from a Global Perspective 448
- 23.5 The Carbon Cycle Is Closely Tied to Energy Flow 448
- 23.6 Carbon Cycling Varies Daily and Seasonally 450
- 23.7 The Global Carbon Cycle Involves Exchanges among the Atmosphere, Oceans, and Land 451
- 23.8 The Nitrogen Cycle Begins with Fixing Atmospheric Nitrogen 452
- 23.9 The Phosphorus Cycle Has No Atmospheric Pool 454
- 23.10 The Sulfur Cycle Is Both Sedimentary and Gaseous 455
  - **ECOLOGICAL ISSUES: Nitrogen Saturation** 457
- 23.11 The Global Sulfur Cycle Is Poorly Understood 458
- 23.12 The Oxygen Cycle Is Largely under Biological Control 459
- 23.13 The Various Biogeochemical Cycles Are Linked 460
  - Summary 461 • Study Questions 462 • Further Readings 463

## PART 7 Ecological Biogeography 464

### Chapter 24 Terrestrial Ecosystems 466

- 24.1 Terrestrial Ecosystems Reflect Adaptations of the Dominant Plant Life-Forms 468
  - **QUANTIFYING ECOLOGY 24.1: Climate Diagrams** 470
- 24.2 Tropical Forests Characterize the Equatorial Zone 471
- 24.3 Tropical Savannas Are Characteristic of Semiarid Regions with Seasonal Rainfall 474
- 24.4 Grassland Ecosystems of the Temperate Zone Vary with Climate and Geography 476
- 24.5 Deserts Represent a Diverse Group of Ecosystems 479
- 24.6 Mediterranean Climates Support Temperate Shrublands 482

	24.7 Forest Ecosystems Dominate the Wetter Regions of the Temperate Zone	484
	24.8 Conifer Forests Dominate the Cool Temperate and Boreal Zones	486
	24.9 Low Precipitation and Cold Temperatures Define the Arctic Tundra	488
	Summary	490 • Study Questions 492 • Further Readings 492
<b>Chapter 25</b>	<b>Aquatic Ecosystems</b>	<b>493</b>
	25.1 Lakes Have Many Origins	494
	25.2 Lakes Have Well-Defined Physical Characteristics	494
	■ <b>ECOLOGICAL ISSUES: Dams: Regulating the Flow of River Ecosystems</b>	<b>496</b>
	25.3 The Nature of Life Varies in the Different Zones	497
	25.4 The Character of a Lake Reflects Its Surrounding Landscape	498
	25.5 Flowing-Water Ecosystems Vary in Structure and Types of Habitats	499
	25.6 Life Is Highly Adapted to Flowing Water	501
	■ <b>QUANTIFYING ECOLOGY 25.1: Streamflow</b>	<b>502</b>
	25.7 The Flowing-Water Ecosystem Is a Continuum of Changing Environments	504
	25.8 Rivers Flow into the Sea, Forming Estuaries	504
	25.9 Oceans Exhibit Zonation and Stratification	506
	25.10 Pelagic Communities Vary Among the Vertical Zones	507
	25.11 Benthos Is a World of Its Own	508
	25.12 Coral Reefs Are Complex Ecosystems Built by Colonies of Coral Animals	509
	25.13 Productivity of the Oceans Is Governed by Light and Nutrients	510
	Summary	511 • Study Questions 513 • Further Readings 513
<b>Chapter 26</b>	<b>Coastal and Wetland Ecosystems</b>	<b>514</b>
	26.1 The Intertidal Zone Is the Transition between Terrestrial and Marine Environments	515
	26.2 Rocky Shorelines Have a Distinct Pattern of Zonation	515
	26.3 Sandy and Muddy Shores Are Harsh Environments	517
	26.4 Tides and Salinity Dictate the Structure of Salt Marshes	518
	26.5 Mangroves Replace Salt Marshes in Tropical Regions	519
	26.6 Freshwater Wetlands Are a Diverse Group of Ecosystems	520
	26.7 Hydrology Defines the Structure of Freshwater Wetlands	523
	■ <b>ECOLOGICAL ISSUES: The Continuing Decline of the Wetlands</b>	<b>524</b>
	26.8 Freshwater Wetlands Support a Rich Diversity of Life	526
	Summary	526 • Study Questions 527 • Further Readings 527
<b>Chapter 27</b>	<b>Large-Scale Patterns of Biological Diversity</b>	<b>528</b>
	27.1 Earth's Biological Diversity Has Changed through Geologic Time	529
	27.2 Past Extinctions Have Been Clustered in Time	530
	27.3 Regional and Global Patterns of Species Diversity Vary Geographically	530
	27.4 Species Richness in Terrestrial Ecosystems Correlates with Climate and Productivity	532
	27.5 In Marine Environments, There Is an Inverse Relationship between Productivity and Diversity	533
	27.6 Species Diversity Is a Function of Processes Operating at Many Scales	534
	■ <b>QUANTIFYING ECOLOGY 27.1: Quantifying Biodiversity: Comparing Species Richness Using Rarefaction Curves</b>	<b>535</b>
	Summary	536 • Study Questions 537 • Further Readings 537

## **PART 8 Human Ecology 538**

### **Chapter 28 Population Growth, Resource Use, and Sustainability 540**

- 28.1 Sustainable Resource Use Is a Balance between Supply and Demand 542
  - 28.2 Sustainability Can Be Indirectly Limited by Adverse Consequences of Resource Use 544
  - 28.3 Sustainability Is a Concept Learned from Natural Ecosystems 544
  - 28.4 Agricultural Practices Vary in the Level of Energy Input 544
  - 28.5 Swidden Agriculture Represents a Dominant Form of Agriculture in the Wet Tropics 545
  - 28.6 Industrialized Agriculture Dominates the Temperate Zone 546
  - 28.7 Different Agricultural Methods Represent a Trade-off between Sustainability and Productivity 548
  - 28.8 Sustainable Agriculture Depends on a Variety of Methods 549
  - 28.9 Sustainable Forestry Aims to Achieve a Balance between Net Growth and Harvest 551
    - **FIELD STUDIES: Deborah Lawrence 552**
  - 28.10 Exploitation of Fisheries Has Led to the Need for Management 556
  - 28.11 Fisheries Management Requires an Ecosystem Approach 558
  - 28.12 Economics Are a Key Factor Governing Resource Management 560
- Summary 562 • Study Questions 564 • Further Readings 564

### **Chapter 29 Habitat Loss, Biodiversity, and Conservation 565**

- 29.1 Habitat Destruction Is the Leading Cause of Current Species Extinctions 566
  - 29.2 Human-Introduced Invasive Species May Threaten Many Native Species 568
  - 29.3 Species Differ in Their Susceptibility to Extinction 571
  - 29.4 Identifying Threatened Species Is Critical to Conservation Efforts 572
  - 29.5 Regions of High Species Diversity Are Crucial to Conservation Efforts 572
    - **ECOLOGICAL ISSUES: Wolf Reintroduction, Restoration, and Management 575**
  - 29.6 Protecting Populations Is the Key To Conservation Efforts 576
  - 29.7 Reintroduction Is Necessary to Reestablish Populations of Some Species 577
  - 29.8 Habitat Conservation Functions to Protect Whole Communities 579
  - 29.9 Habitat Conservation Involves Establishing Protected Areas 579
  - 29.10 Habitat Restoration Is Often Necessary in Conservation Efforts 582
  - 29.11 Environmental Ethics Is at the Core of Conservation 583
- Summary 584 • Study Questions 585 • Further Readings 586

### **Chapter 30 Global Climate Change 588**

- 30.1 Greenhouse Gases Influence Earth's Energy Balance and Climate 589
- 30.2 Atmospheric Concentration of Carbon Dioxide Is Rising 589
- 30.3 Tracking the Fate of CO<sub>2</sub> Emissions 591
- 30.4 Atmospheric CO<sub>2</sub> Concentrations Affect CO<sub>2</sub> Uptake by Oceans 591
  - **FIELD STUDIES: Erika Zavaleta 592**
- 30.5 Plants Respond to Increased Atmospheric CO<sub>2</sub> 594
- 30.6 Greenhouse Gases Are Changing the Global Climate 596

30.7	Changes in Climate Will Affect Ecosystems at Many Levels	598
■	ECOLOGICAL ISSUES: Who Turned Up the Heat?	602
30.8	Changing Climate Will Shift the Global Distribution of Ecosystems	604
30.9	Global Warming Would Raise Sea Level and Affect Coastal Environments	605
30.10	Climate Change Will Affect Agricultural Production	606
30.11	Climate Change Will Directly and Indirectly Affect Human Health	607
30.12	Understanding Global Change Requires the Study of Ecology at a Global Scale	609
	Summary	610
	• Study Questions	611
	• Further Readings	612

*References* R-1

*Glossary* G-1

*Credits* C-1

*Index* I-1

# Preface

The first edition of *Elements of Ecology* appeared in 1976 as a short version of *Ecology and Field Biology*. Since that time, *Elements of Ecology* has evolved into a textbook intended for use in a one-semester introduction to ecology course. Although the primary readership will be students majoring in the life sciences, in writing this text we were guided by our belief that ecology should be part of a liberal education. We believe that students who major in such diverse fields as economics, sociology, engineering, political science, law, history, English, languages, and the like should have some basic understanding of ecology for the simple reason that it impinges on their lives.

## New for the Eighth Edition

For those familiar with this text, you will notice a number of changes in this new edition of *Elements of Ecology*. In addition to updating many of the examples and topics to reflect the most recent research and results in the field of ecology, we have made a number of changes in the organization and content of the text. An important objective of the text is to use the concept of adaptation through natural selection as a framework for unifying the study of ecology, linking pattern and process across the hierarchical levels of ecological study: individual organisms, populations, communities, and ecosystems. Many of the changes made in previous editions have focused on this objective, and the changes to this edition continue to work toward this goal.

### Life History Patterns Chapter Returned to Part Three

Despite all previous efforts, we feel that we did not fully meet this objective in the discussion of populations (Part Three) in the seventh edition. In hindsight, we believe that this shortcoming was a result of our decision to move the presentation of Life History from Part Three (Populations) to Part Two (The Organisms and Its Environment) in the sixth edition. By moving Life History to Part Two we were trying to maintain the theme of trade-offs and constraints in the evolution of characteristics that is developed in Chapter 6 (Plant Adaptations to the Environment) and Chapter 7 (Animal Adaptations to the Environment). However, it is the discussion of life histories, specifically the discussion of adaptations relating to age-specific patterns of survival and fecundity (reproduction), that provide a direct link between natural selection and population dynamics. For this reason, we have returned the chapter on Life History to Part Three (Populations). The chapter now follows Chapter 10 (Population Growth). In addition, we have revised the materials that are presented to make explicit the links between life history characteristics and population dynamics using the framework of life tables that is developed in Chapter 10 (Population Growth).

### Restructured Part Four: Species Interactions

Another major change that we have introduced in the eighth edition to emphasize the concept of adaptation through natural selection as a framework for unifying the study of ecology is in the restructured presentation of Part Four, Species Interactions. In previous editions, Part Four consisted of three chapters that introduced the four major species interactions of competition, predation, parasitism, and mutualism (and the broader topic of facilitation). This format, however, did not provide a general framework for viewing the role of population interactions in the process of evolution by natural selection that is common to all species interactions—the process of coevolution.

### New Species Interactions Introductory Chapter

To meet this need, we now open Part Four (Species Interactions) with a new chapter entitled Species Interactions, Population Dynamics, and Natural Selection. The objective of this new chapter is to introduce the variety of species interactions that occur among populations, and to explore how these interactions influence the respective populations (species) involved



at two timescales: (1) the influence of species interactions on the processes of mortality and reproduction, which directly influence population dynamics, and (2) the role of species interaction as agents of natural selection by influencing the relative fitness of individuals within the population(s). The chapter provides a common framework for exploring the specific species interactions that are introduced in the chapters of Part Four that follow.

### Expanded Coverage of Key Ecological Topics

Although the majority of this new edition retains the general structure of the seventh edition, we have added additional and expanded coverage of a wide variety of topics throughout the text including coevolution, metacommunities, landscape connectivity, sources of organic carbon in aquatic ecosystems, and the evolution of life history characteristics.

### Updated Research Results: Part Eight

A historical feature of the *Elements of Ecology* text is our focus on applying the science of ecology to current environmental issues, providing students with a first-hand understanding of the importance of ecology in the relationship between the human population and the natural environment. Since publication of the seventh edition, advances have been made in our understanding of the issues that are presented in Part Eight: Human Ecology (Chapter 28: Population Growth, Resource Use, and Sustainability; Chapter 29: Habitat Loss, Biodiversity, and Conservation; and Chapter 30: Global Climate Change). In response, we have updated many of the research results presented in these chapters to reflect the most current understanding of these issues.

### Expanded Quantitative Features

Ecology is a science rich in concepts, yet as with all science, it is quantitative. As such, a major objective of any science course should be the development of basic skills relating to the analysis and interpretation of empirical data. As with the seventh edition, the Quantifying Ecology feature in this new edition functions to provide students with an understanding of how concepts introduced in the chapters are quantified. In many chapters, the Quantifying Ecology boxes focus on assisting the reader with the interpretation of graphs, mathematical models, or quantitative methods that we have introduced within the main body of the text. In the seventh edition, however, we added an additional feature, Interpreting Ecological Data, to assist students in the development of quantitative skills. We have retained and expanded this feature in the eighth edition. Interpreting Ecological Data is associated directly with various figures and tables in the text. The feature consists of two or more questions relating directly to the interpretation of data and analyses presented in the associated figure or table. It has become a common practice in many new textbooks to embellish figures and tables with annotations that function to provide the reader with an interpretation of the graph or data. Although we also use this technique for a number of complex graphics, our annotations are meant only as an extension of the figure or table captions. Rather, we believe that it is better to ask specific questions that will both encourage and assist the reader in the interpretation and understanding of the data and analyses that are presented. In doing so we hope to assist the reader in building the basic skills that are necessary to move beyond the examples presented in the text and begin to explore the wealth of ecological studies published in the books and journals that are referenced throughout the text. It is our belief that the development of these basic quantitative and interpretative skills are as important as understanding the body of concepts presented in the text that form the framework of the science of ecology. The answers to the questions presented in the Interpreting Ecological Data features are provided at the associated website.

## Structure and Content

The structure and content of the text is guided by our basic belief that: (1) the fundamental unit in the study of ecology is the individual organism, and (2) the concept of adaptation through natural selection provides the framework for unifying the study of ecology at higher levels of organization: populations, communities, and ecosystems. A central theme of the text is the concept of trade-offs—that the set of adaptations (characteristics) that enable an organism to survive, grow, and reproduce under one set of environmental conditions inevitably impose constraints on its ability to function (survive, grow, and reproduce) equally well under different environmental conditions. These environmental conditions include both the physical environment as well as

the variety of organisms (both the same and different species) that occupy the same habitat. This basic framework provides a basis for understanding the dynamics of populations at both an evolutionary and demographic scale.

The text begins with an introduction to the science of ecology in Chapter 1 (The Nature of Ecology). The remainder of the text is divided into eight parts. Part One examines the constraints imposed on living organisms by the physical environment, both aquatic and terrestrial. Part Two begins by examining how these constraints imposed by the environment function as agents of change through the process of natural selection, the process through which adaptations evolve. The remainder of Part Two explores specific adaptations of organisms to the physical environment, considering both organisms that derive their energy from the sun (autotrophs) and those that derive their energy from the consumption and break-down of plant and animal tissues (heterotrophs).

Part Three examines the properties of populations, with an emphasis on how characteristics expressed at the level of the individual organisms ultimately determine the collective dynamics of the population. As such, population dynamics are viewed as a function of life history characteristics that are a product of evolution by natural selection. Part Four extends our discussion from interactions among individuals of the same species to interactions among populations of different species (interspecific interactions). In these chapters we expand our view of adaptations to the environment from one dominated by the physical environment, to the role of species interactions in the process of natural selection and on the dynamics of populations.

Part Five explores the topic of ecological communities. This discussion draws upon topics covered in Parts Two through Four to examine the factors that influence the distribution and abundance of species across environmental gradients, both spatial and temporal.

Part Six combines the discussions of ecological communities (Part Five) and the physical environment (Part One) to develop the concept of the ecosystem. Here the focus is on the flow of energy and matter through natural systems. Part Seven continues the discussion of communities and ecosystems in the context of biogeography, examining the broad-scale distribution of terrestrial and aquatic ecosystems, as well as regional and global patterns of biological diversity.

Part Eight focuses on the interactions between humans and ecological systems. It is here that we examine the important current environmental issues relating to population growth, sustainable resource use, declining biological diversity, and global climate change. The objective of these chapters is to explore the role of the science of ecology in both understanding and addressing these critical environmental issues.

Throughout the text we explore this range of topics by drawing upon current research in the various fields of ecology, providing examples that enable the reader to develop an understanding of species natural history, the ecology of place (specific ecosystems), and the basic process of science.

## Associated Materials

- Instructor's Resource DVD (0-321-74290-7)
- Instructor Guide (0-321-74288-5)
- Computerized Test Bank (0-321-74289-3)
- Ecology Place Companion Website ([www.ecologyplace.com](http://www.ecologyplace.com))
- Course Management Options (All CourseCompass and Blackboard courses offer preloaded content including tests, quizzes, and more.)

## Acknowledgments

No textbook is a product of the authors alone. The material this book covers represents the work of hundreds of ecological researchers who have spent lifetimes in the field and the laboratory. Their published experimental results, observations, and conceptual thinking provide the raw material out of which the textbook is fashioned. We particularly acknowledge and thank the fourteen ecologists that are featured in the Field Studies boxes. Their cooperation in providing artwork and photographs is greatly appreciated.

Revision of a textbook depends heavily on the input of users who point out mistakes and opportunities. We took these suggestions seriously and incorporated most of them. We are deeply grateful to the following reviewers for their helpful comments and suggestions on how to improve this edition:

Judith Bramble, *DePaul University*

William Brown, *SUNY Fredonia*

Steve Blumenshine, *Fresno State University*

Mike Farabee, *Estrella Mountain Community College*

Sue Hum-Musser, *Western Illinois University*

Gerlinde Hoebel, *University of Wisconsin, Milwaukee*

Jacob Kerby, *University of South Dakota*

Ned J. Knight, *Linfield College*

David Pindel, *Corning Community College*

B.K Robertson, *Alabama State University*

Erik P. Scully, *Towson University*

Daniela Shebitz, *Kean University*

Neal J. Voelz, *St. Cloud State University*

### **Reviewers of Previous Editions:**

Peter Alpert, *University of Massachusetts*

John Anderson, *College of the Atlantic*

Morgan Barrows, *Saddleback College*

Paul Bartell, *Texas A&M University*

Christopher Beck, *Emory University*

Nancy Broshot, *Linfield College*

Chris Brown, *Tennessee Tech University*

Evert Brown, *Casper College*

David Bybee, *Brigham Young University, Hawaii*

Dan Capuano, *Hudson Valley Community College*

Brian Chabot, *Cornell University*

Mitchell Cruzan, *Portland State University*

Robert Curry, *Villanova University*

Richard Deslippe, *Texas Tech University*

Darren Divine, *Community College of Southern Nevada*

Curt Elderkin, *The College of New Jersey*

Lauchlan Fraser, *University of Akron*

Sandi Gardner, *Triton College*

E. O. Garton, *University of Idaho*

Frank Gilliam, *Marshall University*

Brett Goodwin, *University of North Dakota*  
James Gould, *Princeton University*  
Mark C. Grover, *Southern Utah University*  
Mark Gustafson, *Texas Lutheran University*  
Greg Haenel, *Elon University*  
William Hallahan, *Nazareth College*  
Douglas Hallett, *Northern Arizona University*  
Gregg Hartvigsen, *State University of New York at Geneseo*  
Floyd Hayes, *Pacific Union College*  
Michael Heithaus, *Florida International University*  
Jessica Hellman, *Notre Dame University*  
Jason Hoeksema, *University of California at Santa Cruz*  
John Jaenike, *University of Rochester*  
John Jahoda, *Bridgewater State University*  
Stephen Johnson, *William Penn University*  
Doug Keran, *Central Lakes Community College*  
Jeff Klahn, *University of Iowa*  
Jamie Kneitel, *California State University, Sacramento*  
Frank Kuserk, *Moravian College*  
Kate Lajtha, *Oregon State University*  
Vic Landrum, *Washburn University*  
James Lewis, *Fordham University*  
Richard Lutz, *Rutgers University*  
Richard MacMillen, *University of California at Irvine*  
Ken R. Marion, *University of Alabama, Birmingham*  
Deborah Marr, *Indiana University at South Bend*  
Chris Migliaccio, *Miami Dade Community College*  
Don Miles, *Ohio University*  
L. Maynard Moe, *California State University, Bakersfield*  
Sherri Morris, *Bradley University*  
Steve O’Kane, *University of Northern Iowa*  
Matthew Parris, *University of Memphis*  
James Refenes, *Concordia University*  
Seith Reice, *University of North Carolina*  
Ryan Rehmeir, *Simpson College*  
Rick Relyea, *University of Pittsburgh*  
Carol Rhodes, *College of San Mateo*  
Eric Ribbens, *Western Illinois University*

Robin Richardson, *Winona State University*  
Thomas Rosburg, *Drake University*  
Tatiana Roth, *Coppin State College*  
Irene Rossell, *University of North Carolina, Asheville*  
Rowan Sage, *University of Toronto*  
Nathan Sanders, *University of Tennessee*  
Thomas Sarro, *Mount Saint Mary College*  
Maynard Schaus, *Virginia Wesleyan College*  
Wendy Sera, *University of Maryland*  
Mark Smith, *Chaffey College*  
Paul Snelgrove, *Memorial University of Newfoundland*  
Amy Sprinkle, *Jefferson Community College Southwest*  
Barbara Shoplock, *Florida State University*  
Alan Stam, *Capital University*  
Christopher Swan, *University of Maryland*  
Alessandro Tagliabue, *Stanford University*  
Charles Trick, *University of Western Ontario*  
Peter Turchin, *University of Connecticut*  
Joe von Fischer, *Colorado State University*  
Mitch Wagener, *Western Connecticut State University*  
David Webster, *University of North Carolina at Wilmington*  
Jake Weltzin, *University of Tennessee*

The publication of a modern textbook requires the work of many editors to handle the specialized tasks of development, photography, graphic design, illustration, copy editing, and production, to name only a few. We'd like to thank acquisitions editor Star MacKenzie for her editorial guidance. Her ideas and efforts have help to shape this edition. We'd also like to thank the rest of the editorial team—Leata Holloway, Project Editor; Lee Ann Doctor, Media Producer; and Frances Sink, Assistant Editor. We also appreciate the efforts of Production Project Manager Shannon Tozier and Debbie Meyer at Integra-Chicago, for keeping the book on schedule. Finally, we are indebted to Brian Morris at Scientific Illustrators, for all his efforts on the art program.

Through it all our families, especially our spouses Nancy and Alice, had to endure the throes of book production. Their love, understanding, and support provide the balanced environment that makes our work possible.

*Thomas M. Smith*

*Robert Leo Smith*

# The Nature of Ecology

- 1.1 Ecology Is the Study of the Relationship between Organisms and Their Environment**
- 1.2 Organisms Interact with the Environment in the Context of the Ecosystem**
- 1.3 Ecological Systems Form a Hierarchy**
- 1.4 Ecologists Study Pattern and Process at Many Levels**
- 1.5 Ecologists Investigate Nature Using the Scientific Method**
- 1.6 Models Provide a Basis for Predictions**
- 1.7 Uncertainty Is an Inherent Feature of Science**
- 1.8 Ecology Has Strong Ties to Other Disciplines**
- 1.9 The Individual Is the Basic Unit of Ecology**



As part of an ongoing research project, wildlife biologists in Alaska fit a Barren-ground Caribou (*Rangifer tarandus groenlandicus*) with a radio collar to track the animal and map its patterns of movement and habitat use.

**T**he color photograph of *Earthrise*, taken by *Apollo 8* astronaut William A. Anders on December 24, 1968, is a powerful and eloquent image (Figure 1.1). One leading environmentalist has rightfully described it as “the most influential environmental photograph ever taken.” Inspired by the photograph, economist Kenneth E. Boulding summed up the finite nature of our planet as viewed in the context of the vast expanse of space in his metaphor “spaceship Earth.” What had been perceived throughout human history as a limitless frontier had suddenly become a tiny sphere: limited in its resources, crowded by an ever-expanding human population, and threatened by our use of the atmosphere and the oceans as repositories for our consumptive wastes.

A little more than a year later, on April 22, 1970, as many as 20 million Americans participated in environmental rallies, demonstrations, and other activities as part of the first Earth Day. The *New York Times* commented on the astonishing rise in environmental awareness, stating that “Rising concern about the environmental crisis is sweeping the nation’s campuses with an intensity that may be on its way to eclipsing student discontent over the war in Vietnam.” At the core of this social movement was a belief in the need to redefine our relationship with nature, and the particular field of study called upon to provide the road map for this new course of action was *ecology*.

## 1.1 Ecology Is the Study of the Relationship between Organisms and Their Environment

With the growing environmental movement of the late 1960s and early 1970s, ecology—until then familiar only to a relatively small number of academic and applied biologists—was suddenly thrust into the limelight (see Ecological Issues: Ecology Has Complex Roots). Hailed as a framework for understanding the relationship of humans to their environment, *ecology* became a household word that appeared in newspapers, magazines, and books—although the term was often misused. Even now, people confuse it with terms such as *environment* and *environmentalism*. Ecology is neither. Environmentalism is activism with a stated aim of protecting the natural environment, particularly from the



**Figure 1.1** Photograph of *Earthrise* taken by *Apollo 8* astronaut William A. Anders on December 24, 1968.

negative impacts of human activities. This activism often takes the form of public education programs, advocacy, legislation, and treaties.

So what is ecology? Ecology is a science. According to one accepted definition, **ecology** is *the scientific study of the relationships between organisms and their environment*. That definition is satisfactory so long as one considers *relationships* and *environment* in their fullest meanings. Environment includes the physical and chemical conditions as well as the biological or living components of an organism’s surroundings. Relationships include interactions with the physical world as well as with members of the same and other species.

The term *ecology* comes from the Greek words *oikos*, meaning “the family household,” and *logy*, meaning “the study of.” It has the same root word as *economics*, meaning “management of the household.” In fact, the German zoologist Ernst Haeckel, who originally coined the term *ecology* in 1866, made explicit reference to this link when he wrote:

By ecology we mean the body of knowledge concerning the economy of nature—the investigation of the total relations of the animal both to its inorganic and to its organic; including above all, its friendly and inimical relations with those animals and plants with which it comes directly or indirectly into contact—in a word, ecology is the study of all those complex interrelationships referred to by Darwin as the conditions of the struggle for existence.

Haeckel’s emphasis on the relation of ecology to the new and revolutionary ideas put forth in Charles Darwin’s *The Origin of Species* (1859) is important. Darwin’s theory of natural selection (Haeckel called it “the struggle for existence”) is a cornerstone of the science of ecology. It is a mechanism allowing the study of ecology to go beyond descriptions of natural history and examine the processes that control the distribution and abundance of organisms.

## 1.2 Organisms Interact with the Environment in the Context of the Ecosystem

Organisms interact with their environment at many levels. The physical and chemical conditions surrounding an organism—such as ambient temperature, moisture, concentrations of oxygen and carbon dioxide, and light intensity—all influence basic physiological processes crucial to survival and growth. An organism must acquire essential resources from the surrounding environment and in doing so must protect itself from becoming food for other organisms. It must recognize friend from foe, differentiating between potential mates and possible predators. All of this effort is an attempt to succeed at the ultimate goal of all living organisms: to pass their genes on to successive generations.

The environment in which each organism carries out this “struggle for existence” is a place—a physical location in time and space. It can be as large and stable as an ocean or as small and transient as a puddle on the soil surface after a spring rain.

## ECOLOGICAL ISSUES Ecology Has Complex Roots

The genealogy of most sciences is direct. Tracing the roots of chemistry and physics is relatively easy. The science of ecology is different: its roots are complex.

You can argue that ecology goes back to the ancient Greek scholar Theophrastus, a friend of Aristotle, who wrote about the relations between organisms and the environment. On the other hand, ecology as we know it today has vital roots in plant geography and natural history.

In the 1800s, botanists began exploring and mapping the world's vegetation. One of the early plant geographers was Carl Ludwig Willdenow (1765–1812). He pointed out that similar climates supported vegetation similar in form, even though the species were different. Another was Friedrich Heinrich Alexander von Humboldt (1769–1859), for whom the Humboldt Current is named. He spent five years exploring Latin America, including the Orinoco and Amazon rivers. Humboldt correlated vegetation with environmental characteristics and coined the term *plant association*.

Among a second generation of plant geographers was Johannes Warming (1841–1924) at the University of Copenhagen, who studied the tropical vegetation of Brazil. He wrote the first text on plant ecology, *Plantesamfund*. In this book Warming integrated plant morphology, physiology, taxonomy, and biogeography into a coherent whole. This book had a tremendous influence on the development of ecology.

Early plant ecologists were concerned mostly with terrestrial vegetation. Another group of European biologists was interested in the relationship between aquatic plants and animals and their environment. They advanced the ideas of organic nutrient cycling and feeding levels, using the terms *producers* and *consumers*. Their work influenced a young limnologist at the University of Minnesota, R. A. Lindeman. He traced “energy-available” relationships within a lake community. His 1942 paper, “The Trophic-Dynamic Aspects of Ecology,” marked the beginning of **ecosystem ecology**, the study of whole living systems.

Lindeman's theory stimulated further pioneering work in the area of energy flow and nutrient cycling by G. E. Hutchinson of Yale University and E. P. and H. T. Odum of the University of Georgia. Their work became a foundation of ecosystem ecology. The use of radioactive tracers, a product of the atomic age, to measure the movements of energy and nutrients through ecosystems and the use of computers to analyze large amounts of data stimulated the development of **systems ecology**, the application of general system theory and methods to ecology.

Activities in other areas of natural history also assumed important roles. One was the voyage of Charles Darwin (1809–1882) on the *Beagle*. Working for years on notes and collections from this trip, Darwin compared similarities and dissimilarities among organisms within and among continents. He attributed differences to geological barriers. He noted how successive groups of plants and animals, distinct yet obviously related, replaced one another.

Developing his theory of evolution and the origin of species, Darwin came across the writings of Thomas Malthus

(1766–1834). An economist, Malthus advanced the principle that populations grow in a geometric fashion, doubling at regular intervals until they outstrip the food supply. Ultimately, a “strong, constantly operating force such as sickness and premature death” would restrain the population. From this concept Darwin developed the idea of “the survival of the fittest” as a mechanism of natural selection and evolution.

Meanwhile, unknown to Darwin, an Austrian monk, Gregor Mendel (1822–1884), was studying the transmission of characteristics from one generation of pea plants to another in his garden. Mendel's work on inheritance and Darwin's work on natural selection provided the foundation for the study of evolution and adaptation, the field of **population genetics**. Interest in Malthusian theory stimulated the study of population in two directions. One, **population ecology**, is concerned with population growth (including birthrates and death rates), regulation and intraspecific and interspecific competition, mutualism, and predation. The other, a combination of population genetics and population ecology is **evolutionary ecology** that deals with the role of natural selection in physical and behavioural adaptations and speciation. Focusing on adaptations, **physiological ecology** is concerned with the responses of individual organisms to temperature, moisture, light, and other environmental conditions. Closely associated with population and evolutionary ecology is **community ecology**, which deals with the physical and biological structure of communities and community development. Associated with community ecology is **landscape ecology**, the study of causes behind landscape patterns and the ecological consequences of spatial patterns on the landscape.

Natural history observations led to studies of animal reactions to their living and nonliving environment. It began with 19th-century behavioral studies including those of ants by William Wheeler and of South American monkeys by Charles Carpenter. Later, the pioneering studies of Konrad Lorenz and Niko Tinbergen on the role of imprinting and instinct in the social life of animals, particularly birds and fish, gave rise to **ethology**. It spawned an offshoot **behavioral ecology**, exemplified early by L. E. Howard's study on territoriality in birds. Behavioral ecology is concerned with intraspecific and interspecific relationships such as mating, foraging, and defense and how behavior is influenced by natural selection, all tying into evolutionary ecology.

Other observations led to investigations of chemical substances in the natural world. Scientists began to explore the use and nature of chemicals in animal recognition, trail-making, and courtship, and in plant and animal defense. Such studies make up the specialized field of **chemical ecology**.

Ecology has so many roots that it probably will always remain many-faceted—as the ecological historian Robert McIntosh calls it, “a polymorphic discipline.” Insights from these many specialized areas of ecology will continue to enrich the science as it moves forward into the 21st century.



This environment includes both the physical conditions and the array of organisms that coexist within its confines. This entity is what ecologists refer to as the ecosystem.

Organisms interact with the environment in the context of the **ecosystem**. The *eco*- part of the word relates to the environment. The *-system* part implies that the ecosystem functions as a collection of related parts that function as a unit. The automobile engine is an example of a system: components, such as the ignition and fuel pump, function together within the broader context of the engine. The ecosystem likewise consists of interacting components that function as a unit. Broadly, the ecosystem consists of two basic interacting components: the living, or **biotic**, and the nonliving (physical and chemical), or **abiotic**.

Consider a natural ecosystem, such as a forest (Figure 1.2). The physical (abiotic) component of the forest consists of the atmosphere, climate, soil, and water. The biotic component includes the many different organisms—plants, animals, and microbes—that inhabit the forest. Relationships are complex



**Figure 1.2** The interior of a forest ecosystem in coastal southeastern Alaska. Note the vertical structure within this forest. The Sitka spruce (*Picea sitchensis*) trees form the canopy, intercepting direct sunlight, and various species of mosses cover the surfaces of dead branches extending from the canopy to the ground. A diversity of shrub and herbaceous plant species make up the understory, and another layer of mosses covers the forest floor, accessing the nutrients made available by the community of bacteria and fungi that function as decomposers at the soil surface. This forest is also home to a wide variety of vertebrate and invertebrate animals, including larger species such as the bald eagle, black-tailed deer, and Alaskan brown bear.

in that each organism not only responds to the abiotic environment but also modifies it and, in doing so, becomes part of the broader environment itself. The trees in the canopy of a forest intercept the sunlight and use this energy to fuel the process of photosynthesis. In doing so, the trees modify the environment of the plants below them, reducing the sunlight and lowering air temperature. Birds foraging on insects in the litter layer of fallen leaves reduce insect numbers and modify the environment for other organisms that depend on this shared food resource. By reducing the populations of insects they feed on, the birds are also indirectly influencing the interactions among different insect species that inhabit the forest floor. We will explore these complex interactions between the living and the nonliving environment in greater detail in succeeding chapters.

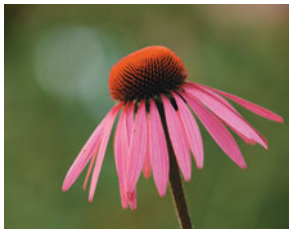
### 1.3 Ecological Systems Form a Hierarchy

The various kinds of organisms that inhabit our forest make up populations. The term *population* has many uses and meanings in other fields of study. In ecology, a **population** is a group of individuals of the same species that occupy a given area. Populations of plants and animals in an ecosystem do not function independently of each other. Some populations compete with other populations for limited resources, such as food, water, or space. In other cases, one population is the food resource for another. Two populations may mutually benefit each other, each doing better in the presence of the other. All populations of different species living and interacting within an ecosystem are referred to collectively as a **community**.

We can now see that the ecosystem, consisting of the biotic community and the physical environment, has many levels (Figure 1.3). On one level, individual organisms both respond to and influence the abiotic environment. At the next level, individuals of the same species form populations, such as a population of white oak trees or gray squirrels within a forest. Further, individuals of these populations interact among themselves and with individuals of other species to form a community. Herbivores consume plants, predators eat prey, and individuals compete for limited resources. When individuals die, other organisms consume and break down their remains, recycling the nutrients contained in their dead tissues back into the soil.

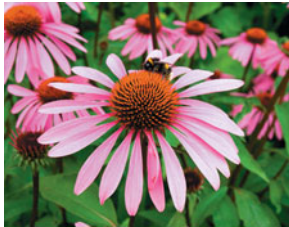
Organisms interact with the environment in the context of the ecosystem, yet all communities and ecosystems exist in the broader spatial context of the **landscape**—an area of land (or water) composed of a patchwork of communities and ecosystems. At the spatial scale of the landscape, communities and ecosystems are linked through such processes as the dispersal of organisms and the exchange of materials and energy.

Although each ecosystem on the landscape is distinct in that it is composed of a unique combination of physical conditions (such as topography and soils) and associated sets of plant and animal populations (community), the broad-scale patterns of climate and geology characterizing our planet (see Chapter 2) give rise to regional patterns in the geographic distribution of ecosystems. Geographic regions having similar geological and climate conditions (patterns of temperature,



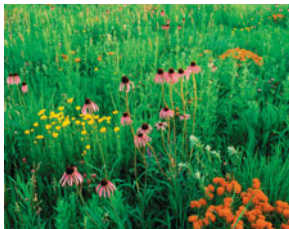
#### Individual

What characteristics allow the *Echinacea* to survive, grow, and reproduce in the environment of the prairie grasslands of central North America?



#### Population

Is the population of this species increasing, decreasing, or remaining relatively constant from year to year?



#### Community

How does this species interact with other species of plants and animals in the prairie community?



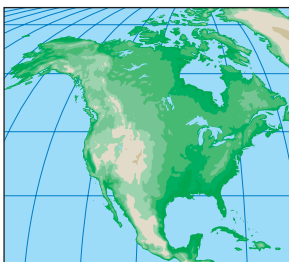
#### Ecosystem

How do yearly variations in rainfall influence the productivity of plants in this prairie grassland ecosystem?



#### Landscape

How do variations in topography and soils across the landscape influence patterns of species composition and diversity in the different prairie communities?



#### Biome

What features of geology and regional climate determine the transition from forest to prairie grassland ecosystems in North America?



#### Biosphere

What is the role of the grassland biome in the global carbon cycle?

precipitation, and seasonality) support similar types of communities and ecosystems. For example, warm temperatures, high rates of precipitation, and a lack of seasonality characterize the world's equatorial regions. These warm, wet conditions year-round support vigorous plant growth and highly productive, evergreen forests known as tropical rain forests (see Chapter 23). These broad-scale regions dominated by similar types of ecosystems, such as tropical rain forest, grasslands, and deserts, are referred to as **biomes**.

The highest level of organization of ecological systems is the **biosphere**—the thin layer surrounding the Earth that supports all of life. In the context of the biosphere, all ecosystems, both on land and in the water, are linked through their interactions—exchanges of materials and energy—with the other components of the Earth system: atmosphere, hydrosphere, and geosphere. Ecology is the study of the complex web of interactions between organisms and their environment at all levels of organization—from the individual organism to the biosphere.

## 1.4 Ecologists Study Pattern and Process at Many Levels

As we shift our focus across the different levels in the hierarchy of ecological systems—from the individual organism to the biosphere—a different and unique set of patterns and processes emerges; and subsequently, a different set of questions and approaches for studying these patterns and processes is required (see Figure 1.3). The result is that the broader science of ecology is composed of a range of subdisciplines—from physiological ecology, which focuses on the functioning of individual organisms, to the perspective of Earth's environment as an integrated system forming the basis of global ecology.

Ecologists who focus on the level of the individual examine how features of morphology (structure), physiology, and behavior influence that organism's ability to survive, grow, and reproduce in its environment. Conversely, how do these same characteristics (morphology, physiology, and behavior) function to constrain the organism's ability to function successfully in other environments? By contrasting the characteristics of different species that occupy different environments, these ecologists gain insights into the factors influencing the distribution of species.

At the individual level, birth and death are discrete events; yet when we examine the collective of individuals that make up a population, these same processes are continuous as individuals are born and die. At the population level, birth and death are expressed as rates, and the focus of study shifts to examining the numbers of individuals in the population and how these numbers change through time. Populations also have a distribution in space, leading to such questions as how are individuals spatially distributed within an area, and how do the population's characteristics (numbers, rates of birth and death) change from location to location?

As we expand our view of nature to include the variety of plant and animal species that occupy an area, the ecological community, a new set of patterns and processes emerges. At this level of the hierarchy, the primary focus is on factors influencing the

**Figure 1.3** The hierarchy of ecological systems.

relative abundances of various species coexisting within the community. What is the nature of the interactions among the species, and how do these interactions influence the dynamics of the different species' populations?

The diversity of organisms comprising the community modify as well as respond to their surrounding physical environment, and so together the biotic and abiotic components of the environment interact to form an integrated system—the ecosystem. At the ecosystem level, the emphasis shifts from species to the collective properties characterizing the flow of energy and nutrients through the combined physical and biological system. At what rate are energy and nutrients converted into living tissues (termed *biomass*)? In turn, what processes govern the rate at which energy and nutrients in the form of organic matter (living and dead tissues) are broken down and converted into inorganic forms? What environmental factors limit these processes governing the flow of energy and nutrients through the ecosystem?

As we expand our perspective even further, the landscape can be viewed as a patchwork of ecosystems whose boundaries are defined by distinctive changes in the underlying physical environment and/or species composition. At the landscape level, questions focus on identifying factors that give rise to the spatial extent and arrangement of the various ecosystems that make up the landscape, and ecologists explore the consequences of these spatial patterns on such processes as the dispersal of organisms, the exchange of energy and nutrients between adjacent ecosystems, and the propagation of disturbances such as fire or disease.

At a continental to global scale, the questions focus on the broad-scale distribution of different ecosystem types or biomes. How do patterns of biological diversity (the number of different types of species inhabiting the ecosystem) vary geographically across the different biomes? Why do tropical rain forests support a greater diversity of species than do forest ecosystems in the temperate regions? What environmental factors determine the geographic distribution of the different biome types (e.g., forest, grassland, and desert)?

Finally, at the biosphere level, the emphasis is on the linkages between ecosystems and other components of the Earth system, such as the atmosphere. For example, how does the exchange of energy and materials between terrestrial ecosystems and the atmosphere influence regional and global climate patterns? Certain processes, such as movement of the element carbon between ecosystems and the atmosphere, operate at a global scale and require ecologists to collaborate with oceanographers, geologists, and atmospheric scientists.

Throughout our discussion, we have used this hierarchical view of nature and the unique set of patterns and process associated with each level—the individual population, community, ecosystem, landscape, biome, and biosphere—as an organizing framework for studying the science of ecology. In fact, the science of ecology is functionally organized into subdisciplines based on these different levels of organization, each utilizing an array of specialized approaches and methodologies to address the unique set of questions that emerge at these different levels of ecological organization. The patterns and processes at these different levels of organization are linked, however, and

identifying these linkages is our key objective. For example, at the individual organism level, characteristics such as size, longevity, age at reproduction, and degree of parental care will directly influence rates of birth and survival for the collective of individuals comprising the species' population. At the community level, the same population will be influenced both positively and negatively through its interactions with populations of other species. In turn, the relative mix of species that make up the community will influence the collective properties of energy and nutrient exchange at the ecosystem level. As we shall see, patterns and processes at each level—from individuals to ecosystems—are intrinsically linked in a web of cause and effect with the patterns and processes operating at the other levels of this organizational hierarchy.

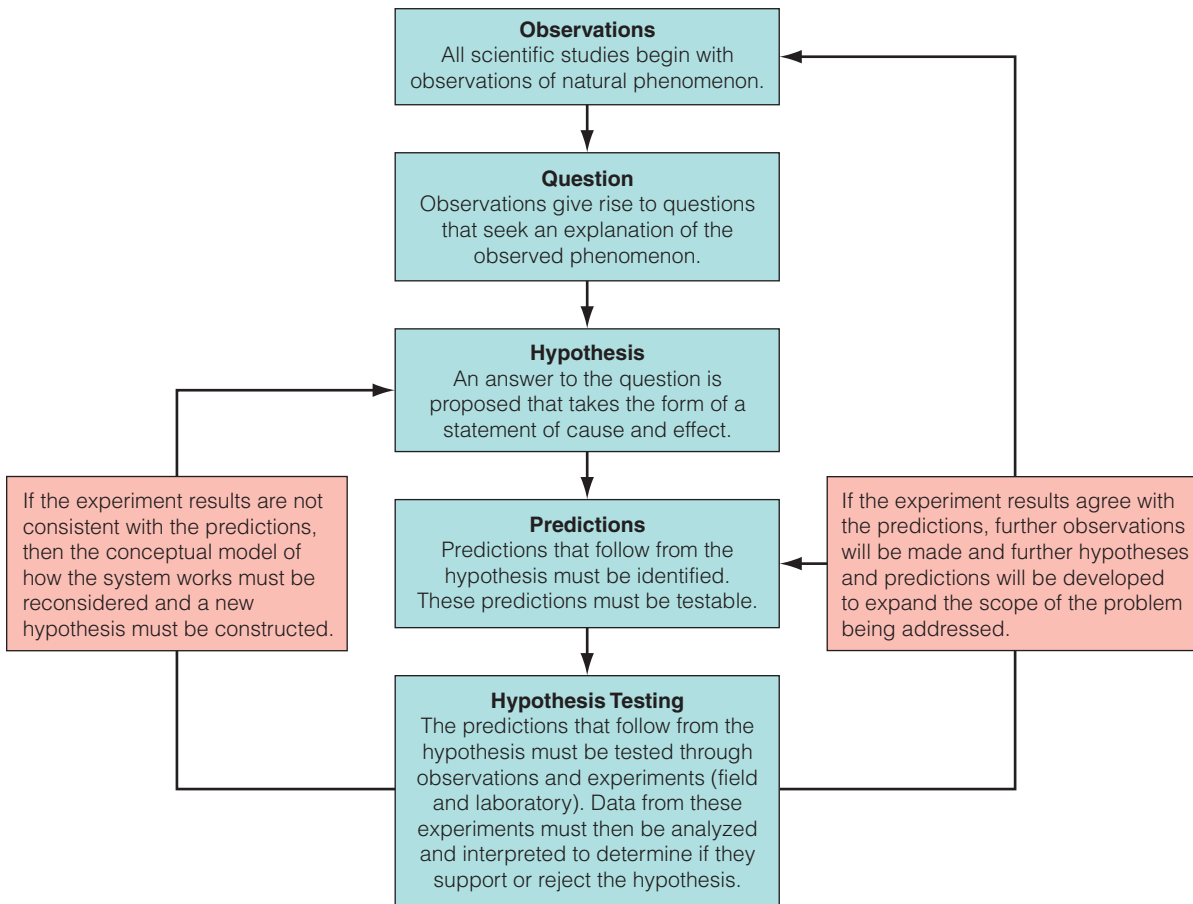
## 1.5 Ecologists Investigate Nature Using the Scientific Method

Although each level in the hierarchy of ecological systems has a unique set of questions on which ecologists focus their research, all ecological studies have one thing in common: they involve the process known as the scientific method (Figure 1.4). This method demonstrates the power and limitations of science, and taken individually each step of the scientific method involves commonplace procedures. Yet taken together, these procedures form a powerful tool for understanding nature.

All science begins with observation. In fact, this first step in the process defines the domain of science: if something cannot be observed, it cannot be investigated by science. The observation need not be direct, however. For example, scientists cannot directly observe the nucleus of an atom, yet its structure can be explored indirectly through a variety of methods. Secondly, the observation must be repeatable—able to be made by multiple observers. This constraint helps to minimize unsuspected bias, when an individual might observe what they “want” or think they “ought” to observe.

The second step in the scientific method is to define a problem—a question regarding the observation that has been made. For example, an ecologist working in the prairie grasslands of North America might observe that the growth and productivity of grasses varies across the landscape. From this observation the ecologist may formulate the question, what environmental factors result in the observed variations in grassland productivity across the landscape? The question typically focuses on seeking an explanation for the observed patterns.

Once a question (problem) has been established, the next step is to develop a hypothesis. A **hypothesis** is an “educated guess” about what the answer to the question may be. The process of developing a hypothesis is guided by experience and knowledge, and it should be a statement of cause and effect that can be tested. For example, based on her knowledge that nitrogen availability varies across the different soil types found in the region, and that nitrogen is an important nutrient limiting plant growth, the ecologist might hypothesize that *the observed variations in the growth and productivity of grasses across the prairie landscape are a result of differences in the availability of soil*

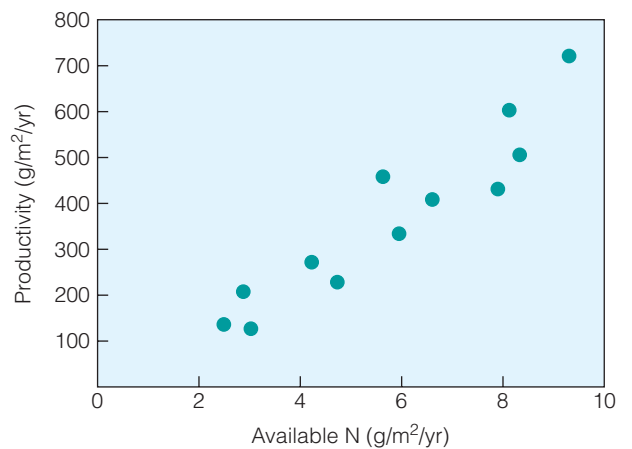


**Figure 1.4** A simple representation of the scientific method.

*nitrogen*. As a statement of cause and effect, certain predictions follow from the hypothesis. If soil nitrogen is the factor limiting the growth and productivity of plants in the prairie grasslands, then grass productivity should be greater in areas with higher levels of soil nitrogen than in areas with lower levels of soil nitrogen. The next step is testing the hypothesis to see if the predictions that follow from the hypothesis do indeed hold true. This step requires gathering data (see Quantifying Ecology 1.1: Classifying Ecological Data).

To test this hypothesis, the ecologist can gather data in several ways. The first approach might be a field study to examine how patterns of soil nitrogen and grass productivity covary (vary together) across the landscape. If nitrogen is controlling grassland productivity, productivity should increase with increasing soil nitrogen. The ecologist would measure nitrogen availability and grassland productivity at various sites across the landscape. Then, the relationship between these two variables, nitrogen and productivity, could be expressed graphically (see Quantifying Ecology 1.2: Displaying Ecological Data: Histograms and Scatter Plots on pages 10 and 11 to learn more about working with graphical data.). Go to [QUANTIFY IT!](http://www.ecologyplace.com) at [www.ecologyplace.com](http://www.ecologyplace.com) to work with histograms and scatter plots.

After you've become familiar with scatter plots, you'll see the graph of Figure 1.5 shows nitrogen availability on the



**Figure 1.5** The response of grassland production to nitrogen availability. Nitrogen, the independent variable, goes on the  $x$ -axis; grassland productivity, the dependent variable, goes on the  $y$ -axis.

horizontal or  $x$ -axis and plant productivity on the vertical or  $y$ -axis. This arrangement is important. The scientist is assuming that nitrogen is the cause and that plant productivity is the effect. Because nitrogen ( $x$ ) is the cause, we refer to it as the independent variable. Because it is hypothesized that plant productivity ( $y$ ) is influenced by the availability of nitrogen, we refer to it as

## QUANTIFYING ECOLOGY 1.1 Classifying Ecological Data

All ecological studies involve collecting data—observations and measurements for testing hypotheses and drawing conclusions about a population. The term *population* in this context refers to a **statistical population**. An investigator is highly unlikely to gather observations on all members of a total population, so the part of the population actually observed is referred to as a **sample**. From this sample data, the investigator will draw her conclusions about the population as a whole. However, not all data are of the same type; and the type of data collected in a study directly influences the mode of presentation, types of analyses that can be performed, and interpretations that can be made.

At the broadest level, data can be classified as either categorical or numerical. **Categorical data** are *qualitative*—observations that fall into separate and distinct categories. The resulting data are labels or categories, such as the color of hair or feathers, sex, or reproductive status (pre-reproductive, reproductive, post-reproductive). Categorical data can be further subdivided into two categories: nominal and ordinal. **Nominal data** are categorical data in which objects fall into unordered categories, such as the previous examples of hair color or sex. In contrast, **ordinal data** are categorical data in which order is important, such as the

example of reproductive status. In the special case where only two categories exist, such as in the case of presence or absence of a trait, categorical data are referred to as **binary**. Both nominal and ordinal data can be binary.

With **numerical data**, objects are “measured” based on some *quantitative* trait. The resulting data are a set of numbers, such as height, length, or weight. Numerical data can be subdivided into two categories: discrete and continuous. For **discrete data**, only certain values are possible, such as with integer values or counts. Examples include the number of offspring, number of seeds produced by a plant, or number of visits to a flower by a hummingbird during the course of a day. With **continuous data**, any value within an interval theoretically is possible, limited only by the ability of the measurement device. Examples of this type of data include height, weight, or concentration.

1. What type of data does the variable “available nitrogen” (the x-axis) represent in Figure 1.5?
2. How might you transform this variable (available nitrogen) into categorical data? Would it be considered ordinal or nominal?

the dependent variable. (Go to [www.ecologyplace.com](http://www.ecologyplace.com) for a tutorial on reading and interpreting graphs.)

From the observations plotted in Figure 1.5, it is apparent that grass productivity does, in fact, increase with increasing availability of nitrogen in the soil. Therefore, the data support the hypothesis. Had the data shown no relationship between grass productivity and nitrogen, the ecologist would have rejected the hypothesis and sought a new explanation for the observed differences in grass productivity across the landscape. However, although the data suggest that grassland production does increase with increasing soil nitrogen, they do not prove that nitrogen is the factor controlling grass growth and production. Some other factor that varies with nitrogen availability, such as soil moisture or acidity, may actually be responsible for the observed relationship. To test the hypothesis another way, the ecologist may choose to do an experiment. An experiment is a test under controlled conditions performed to examine the validity of a hypothesis. In designing the experiment, the scientist will try to isolate the presumed causal agent—in this case, nitrogen availability.

The scientist may decide to do a field experiment (Figure 1.6), adding nitrogen to some field sites and not to others. The investigator controls the independent variable (levels of nitrogen) in a predetermined way, to reflect observed variations in soil nitrogen availability across the landscape, and monitors the response of the dependent variable (plant growth). By observing the differences in productivity between the grasslands fertilized with nitrogen and those that were not, the investigator tries to test whether nitrogen is the causal agent. However, in choosing the experimental sites, the ecologist must try to locate areas



**Figure 1.6** Field experiment at the Cedar Creek Long Term Ecological Research (LTER) site in central Minnesota, operated by the University of Minnesota. Experimental plots like these are used to examine the effects on ecosystem functioning of elevated nitrogen deposition, increased concentrations of atmospheric carbon dioxide, and loss of biodiversity.



**Figure 1.7** These *Eucalyptus* seedlings are being grown in the greenhouse as part of an experiment examining the response of plant growth to varying levels of nutrient availability. The researcher shown here is using a portable instrument to measure the photosynthesis rates of plants that have received different levels of nitrogen during their growth period.

where other factors that may influence productivity, such as moisture and acidity, are similar. Otherwise, she cannot be sure which factor is responsible for the observed differences in productivity among the sites.

Finally, the ecologist might try a third approach—a series of laboratory experiments. Laboratory experiments give the investigator much more control over the environmental conditions. For example, she can grow the native grasses in the greenhouse under conditions of controlled temperature, soil acidity, and water availability (Figure 1.7). If the plants exhibit increased growth under higher nitrogen fertilization, the investigator has further evidence in support of the hypothesis. Nevertheless, she faces a limitation common to all laboratory experiments; the results are not directly applicable in the field. The response of grass plants under controlled laboratory conditions may not be the same as their response under natural conditions in the field. There, the plants are part of the ecosystem and interact with other plants, animals, and the physical environment. Despite this limitation, the ecologist has accumulated additional data describing the basic growth response of the plants to nitrogen availability.

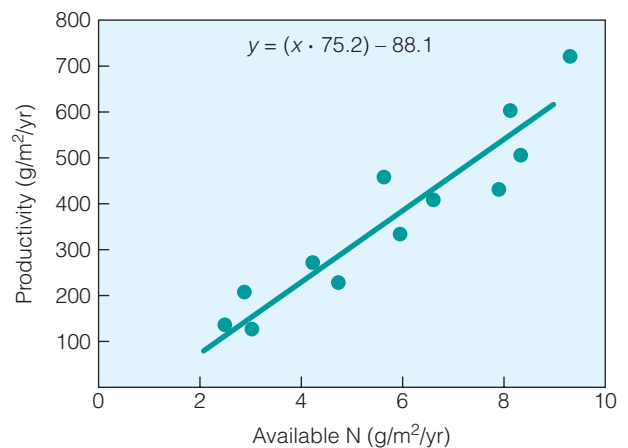
Having conducted several experiments that confirm the link between patterns of grass productivity to nitrogen availability, the ecologist may now wish to explore this relationship further, to see how the relationship between productivity and nitrogen is influenced by other environmental factors that vary across the prairie landscape. For example, how do differences in rainfall and soil moisture across the region influence the relationship between grass production and soil nitrogen? Once again hypotheses are developed, predictions made, and experiments conducted. As the ecologist develops a more detailed understanding of how various environmental factors interact with soil nitrogen to control grass production, a more general theory of the influence of environmental factors controlling grass production in the grassland prairies may emerge. A **theory** is an integrated set of hypotheses that

together explain a broader set of observations than any single hypothesis—such as a general theory of environmental controls on productivity of the prairie grassland ecosystems of North America.

## 1.6 Models Provide a Basis for Predictions

Scientists use the understanding derived from observation and experiments to develop models. Data are limited to the special case of what happened when the measurements were made. Like photographs, data represent a given place and time. Models use the understanding gained from the data to predict what will happen in some other place and time.

**Models** are abstract, simplified representations of real systems. They allow us to predict some behavior or response using a set of explicit assumptions, and as with hypotheses, these predictions should be testable through further observation or experiments. Models can be mathematical, like computer simulations, or they can be verbally descriptive, like Darwin's theory of evolution by natural selection (see Chapter 5). Hypotheses are models, although the term *model* is typically reserved for circumstances in which the hypothesis has at least some limited support through observations and experimental results. For example, the hypothesis relating grass production to nitrogen availability is a model. It predicts that plant productivity will increase with increasing nitrogen availability. However, this prediction is qualitative—it does not predict *how much* plant productivity will increase. In contrast, mathematical models usually offer quantitative predictions. For example, from the data in Figure 1.5, we can develop a regression equation, a form of statistical model, to predict the amount of plant productivity per unit of nitrogen in the soil (Figure 1.8). (See **QUANTIFY!** at [www.ecologyplace.com](http://www.ecologyplace.com) to review regression analysis.)



**Figure 1.8** A simple linear regression model to predict plant productivity ( $y$ -axis) from nitrogen availability ( $x$ -axis). The general form of the equation is  $y = (x \times b) + a$ , where  $b$  is the slope of the line (75.2) and  $a$  is the  $y$ -intercept (−88.1), or the value of  $y$  where the line intersects the  $y$ -axis (when  $x = 0$ ).

## QUANTIFYING ECOLOGY 1.2 Displaying Ecological Data: Histograms and Scatter Plots

Whichever type of data an observer collects (see Quantifying Ecology 1.1), the process of interpretation typically begins with graphically displaying the set of observations. The most common method of displaying a single data set is constructing a **frequency distribution**. A frequency distribution is a count of the number of observations (frequency) having a given score or value. For example, consider this set of observations regarding flower color in a sample of 100 pea plants:

Flower color	Purple	Pink	White
Frequency	50	35	15

These data are categorical and nominal since the categories have no inherent order.

Frequency distributions are likewise used to display continuous data. This set of continuous data represents body lengths (in centimeters) of 20 sunfish sampled from a pond:

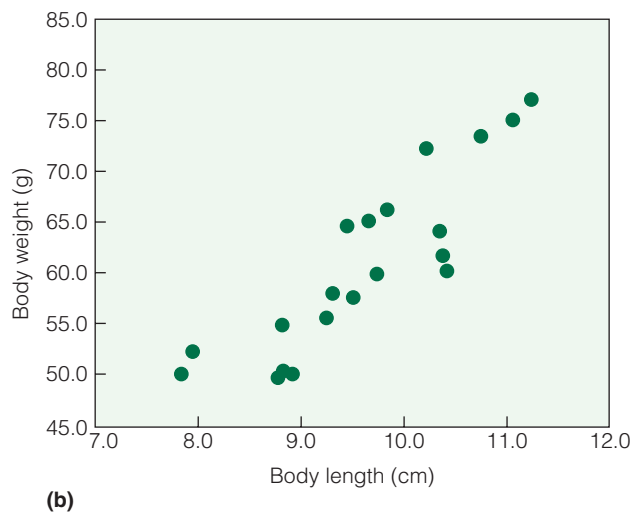
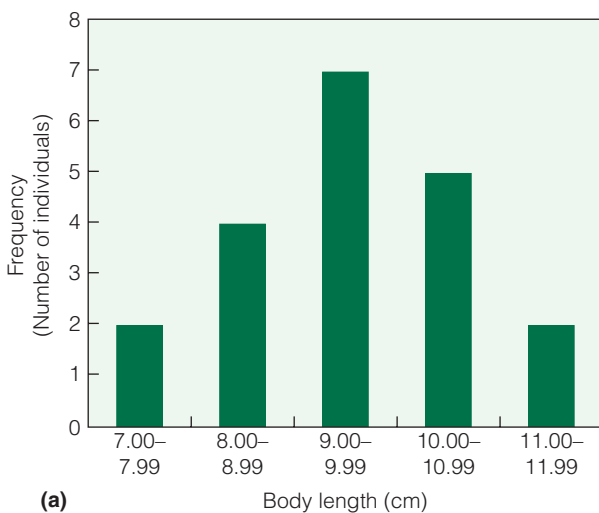
8.83, 9.25, 8.77, 10.38, 9.31, 8.92, 10.22, 7.95, 9.74, 9.51, 9.66, 10.42, 10.35, 8.82, 9.45, 7.84, 11.24, 11.06, 9.84, 10.75

With continuous data, the frequency of each value is often a single instance since multiple measurements are unlikely to

be exactly the same. Therefore, continuous data are normally grouped into discrete categories, with each category representing a defined range of values. Each category must be nonoverlapping so that each observation belongs to only one category. For example, the body length data could be grouped into discrete categories:

Body length (intervals, cm)	Number of individuals
7.00–7.99	2
8.00–8.99	4
9.00–9.99	7
10.00–10.99	5
11.00–11.99	2

Once the observations have been grouped into categories, the resulting frequency distribution can then be displayed as a **histogram** (type of bar graph; Figure 1a). The *x*-axis represents the discrete intervals of body length and the *y*-axis represents the



**Figure 1** (a) An example of a histogram relating the number of individuals belonging to different categories of body length from a sample of the sunfish population. (b) Scatter plot relating body length (*x*-axis) and body weight (*y*-axis) for the sample of sunfish presented in (a).

number of individuals whose body length falls within each given interval.

In effect, the continuous data are transformed into categorical data for the purposes of graphical display. Unless there are previous reasons for defining categories, defining intervals is part of the data interpretation process—the search for pattern. For example, how would the pattern represented by the histogram in Figure 1a differ if the intervals were in units of 1 but started with 7.50 (7.50–8.49, 8.50–9.49, etc.)?

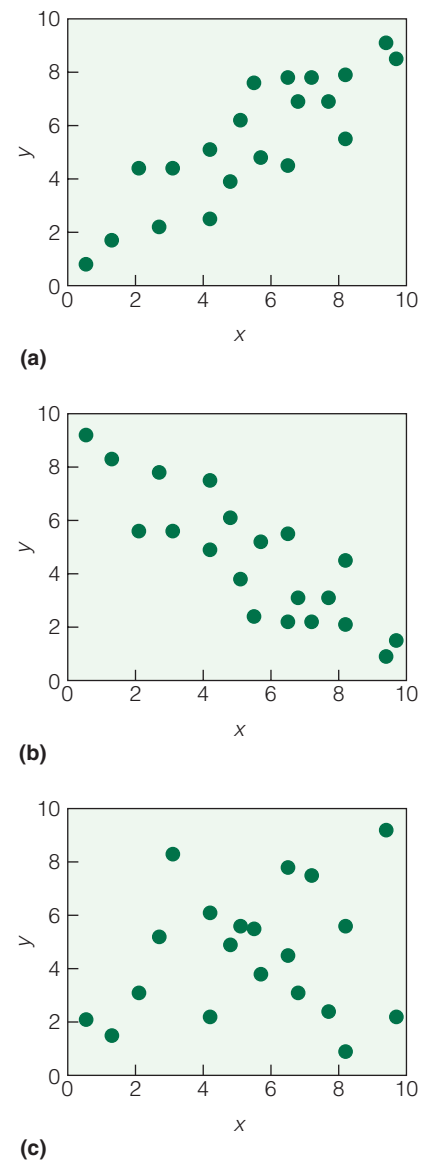
Often, however, the researcher is examining the relationship between two variables or sets of observations. When both variables are numerical, the most common method of graphically displaying the data is by using a scatter plot. A **scatter plot** is constructed by defining two axes ( $x$  and  $y$ ), each representing one of the two variables being examined. For example, suppose the researcher who collected the observations of body length for sunfish netted from the pond also measured their weight in grams. The investigator might be interested in whether there is a relationship between body length and weight in sunfish.

In this example, body length would be the  $x$ -axis, or independent variable (Section 1.5), and body weight would be the  $y$ -axis, or dependent variable. Once the two axes are defined, each individual (sunfish) can be plotted as a point on the graph, with the position of the point being defined by its respective values of body length and weight (Figure 1b).

Scatter plots can be described as belonging to one of three general patterns, as shown in Figure 2. In plot (a) there is a general trend for  $y$  to increase with increasing values of  $x$ . In this case the relationship between  $x$  and  $y$  is said to be positive (as with the example of body length and weight for sunfish). In plot (b) the pattern is reversed, and  $y$  decreases with increasing values of  $x$ . In this case the relationship between  $x$  and  $y$  is said to be negative, or inverse. In plot (c) there is no apparent relationship between  $x$  and  $y$ .

You will find many types of graphs throughout our discussion, but most will be histograms and scatter plots. No matter which type of graph is presented, ask yourself the same set of questions—listed below—to help interpret the results. Review this set of questions by applying them to the graphs in Figure 1. What do you find out?

1. What type of data do the observations represent?
2. What variables do each of the axes represent, and what are their units (cm, g, color, etc.)?
3. How do values of  $y$  (the dependent variable) vary with values of  $x$  (the independent variable)?



**Figure 2** Three general patterns for scatter plots.

Go to [QUANTIFY!](http://www.ecologyplace.com) and [GRAPH!](http://www.ecologyplace.com) at [www.ecologyplace.com](http://www.ecologyplace.com) to further explore how to display data graphically.



All of the approaches just discussed—observation, experimentation, hypothesis testing, and development of models—appear throughout our discussion to illustrate basic concepts and relationships. They are the basic tools of science. For every topic, an array of figures and tables present the observations, experimental data, and model predictions used to test specific hypotheses regarding pattern and process at the different levels of ecological organization. Being able to analyze and interpret the data presented in these figures and tables is essential to your understanding of the science of ecology. To help you develop these skills, we have annotated certain figures and tables to guide you in their interpretation. In other cases, we pose questions that ask you to interpret, analyze, and draw conclusions from the data presented. These figures and tables are labeled as “Interpreting Ecological Data.” (See Figure 2.16 on page 27 for the first example.)

## 1.7 Uncertainty Is an Inherent Feature of Science

Collecting observations, developing and testing hypotheses, and constructing predictive models all form the backbone of the scientific method (see Figure 1.4). It is a continuous process of testing and correcting concepts to arrive at explanations for the variation we observe in the world around us, thus unifying observations that on first inspection seem unconnected. The difference between science and art is that, although both pursuits involve creation of concepts, in science the exploration of concepts is limited to the facts. In science, there is no test of concepts other than their empirical truth.

However, scientific concepts have no permanence, because they are only our interpretations of natural phenomena. We are limited to inspecting only a part of nature because to understand, we have to simplify. As discussed in Section 1.5, in designing experiments, we control the pertinent factors and try to eliminate others that may confuse the results. Our intent is to focus on a subset of nature from which we can establish cause and effect. The trade-off is that whatever cause and effect we succeed in identifying represents only a partial connection to the nature we hope to understand. For that reason, when experiments and observations support our hypotheses, and when the predictions of the models are verified, our job is still not complete. We work to loosen the constraints imposed by the need to simplify so that we can understand. We expand our hypothesis to cover a broader range of conditions and once again begin testing its ability to explain our new observations.

It may sound odd at first, but science is a search for evidence that proves our concepts wrong. Rarely is there only one possible explanation for an observation. As a result, any number of hypotheses can be developed that may be consistent with an observation. The determination that experimental data are consistent with a hypothesis does not prove that the hypothesis is true. The real goal of hypothesis testing is to eliminate incorrect ideas. Thus, we must follow a process of elimination, searching for evidence that proves a hypothesis wrong. Science

is essentially a self-correcting activity, dependent on the continuous process of debate. Dissent is the activity of science, fueled by free inquiry and independence of thought. To the outside observer, this essential process of debate may appear to be a shortcoming. After all, we depend on science for the development of technology and the ability to solve problems. For the world’s current environmental issues, the solutions may well involve difficult ethical, social, and economic decisions. In this case, the uncertainty inherent to science is discomforting. However, we must not mistake uncertainty for confusion, nor should we allow disagreement among scientists to become an excuse for inaction. Instead, we need to understand the uncertainty so that we may balance it against the costs of inaction.

## 1.8 Ecology Has Strong Ties to Other Disciplines

The complex interactions taking place within ecological systems involve all kinds of physical, chemical, and biological processes. To study these interactions, ecologists must draw on other sciences. This dependency makes ecology an interdisciplinary science.

Although we explore topics that are typically the subject of disciplines such as biochemistry, physiology, and genetics, we do so only in the context of understanding the interplay of organisms with their environment. The study of how plants take up carbon dioxide and lose water for example, belongs to plant physiology (see Chapter 6). Ecology looks at how these processes respond to variations in rainfall and temperature. This information is crucial to understanding the distribution and abundance of plant populations and the structure and function of ecosystems on land. Likewise, we must draw upon many of the physical sciences, such as geology, hydrology, and meteorology. They will help us chart other ways organisms and environments interact. For instance, as plants take up water, they influence soil moisture and the patterns of surface water flow. As they lose water to the atmosphere, they increase atmospheric water content and influence regional patterns of precipitation. The geology of an area influences the availability of nutrients and water for plant growth. In each example, other scientific disciplines are crucial to understanding how individual organisms both respond to and shape their environment.

In the 21st century, ecology is entering a new frontier, one that requires expanding our view of ecology to include the dominant role of humans in nature. Among the many environmental problems facing humanity, four broad and interrelated areas are crucial: human population growth, biological diversity, sustainability, and global climate change. As the human population increased from approximately 500 million to more than 6.7 billion in the past two centuries, dramatic changes in land use have altered Earth’s surface. The clearing of forests for agriculture has destroyed many natural habitats, resulting in a rate of species extinction that is unprecedented in Earth’s history. In addition, the expanding human population is exploiting natural resources at unsustainable levels. Due to growing demand for energy from fossil fuels

that is needed to sustain economic growth, the chemistry of the atmosphere is changing in ways that are altering Earth's climate. These environmental problems are ecological in nature, and the science of ecology is essential to understanding their causes and identifying ways to mitigate their impacts (see Ecological Issues: The Human Factor and Part Eight: Human Ecology). Addressing these issues, however, requires a broader interdisciplinary framework to better understand their historical, social, legal, political, and ethical dimensions. That broader framework is known as **environmental science**. Environmental science examines the impact of humans on the natural environment and as such covers a wide range of topics including agronomy, soils, demography, agriculture, energy, and hydrology, to name but a few.

## 1.9 The Individual Is the Basic Unit of Ecology

As we noted earlier, ecology encompasses a broad area of investigation—from the individual organism to the biosphere.

Our study of the science of ecology uses this hierarchical framework in the chapters that follow. We begin with the individual organism, examining the processes it uses and constraints it faces in maintaining life under varying environmental conditions. The individual organism forms the basic unit in ecology. The individual senses and responds to the prevailing physical environment. The collective properties of birth and death of individuals drive the dynamics of populations, and individuals of different species interact with each other in the context of the community. But perhaps most importantly, the individual, through the process of reproduction, passes genetic information to successive individuals, defining the nature of individuals that will compose future populations, communities, and ecosystems. At the individual level we can begin to understand the mechanisms that give rise to the diversity of life and ecosystems on Earth—mechanisms that are governed by the process of natural selection. But before embarking on our study of ecological systems, we examine characteristics of the abiotic (physical and chemical) environment that function to sustain and constrain the patterns of life on our planet.

---

## Summary

### Ecology 1.1

Ecology is the scientific study of the relationships between organisms and their environment. Environment includes physical and chemical conditions as well as biological or living components of an organism's surroundings. Relationship includes interactions with the physical world as well as with members of the same and other species.

### Ecosystems 1.2

Organisms interact with their environment in the context of the ecosystem. Broadly, the ecosystem consists of two components, the living (biotic) and the physical (abiotic), interacting as a system.

### Hierarchical Structure 1.3

Ecological systems can be viewed in a hierarchical framework, from individual organisms to the biosphere. Organisms of the same species that inhabit a given physical environment make up a population. Populations of different kinds of organisms interact with members of their own species as well as with individuals of other species. These interactions range from competition for shared resources to interactions that are mutually beneficial for the individuals of both species involved. Interacting populations make up a biotic community. Community plus the physical environment make up an ecosystem.

All communities and ecosystems exist in the broader spatial context of the landscape—an area of land (or water) composed of a patchwork of communities and ecosystems. Geographic

regions having similar geological and climatic conditions support similar types of communities and ecosystems, referred to as biomes. The highest level of organization of ecological systems is the biosphere—the thin layer around Earth that supports all of life.

### Ecological Studies 1.4

At each level in the hierarchy of ecological systems—from the individual organism to the biosphere—a different and unique set of patterns and processes emerges; subsequently, a different set of questions and approaches for studying these patterns and processes is required.

### Scientific Method 1.5

All ecological studies are conducted by using the scientific method. All science begins with observation, from which questions emerge. The next step is the development of a hypothesis—a proposed answer to the question. The hypothesis must be testable through observation and experiments.

### Models 1.6

From research data, ecologists develop models. Models allow us to predict some behavior or response using a set of explicit assumptions. They are abstractions and simplifications of natural phenomena. Such simplification is necessary to understand natural processes.

### Uncertainty in Science 1.7

An inherent feature of scientific study is uncertainty; it arises from the limitation that we can focus on only a small subset

of nature, and it results in an incomplete perspective. Because we can develop any number of hypotheses that may be consistent with an observation, determining that experimental data are consistent with a hypothesis is not sufficient to prove that the hypothesis is true. The real goal of hypothesis testing is to eliminate incorrect ideas.

### An Interdisciplinary Science 1.8

Ecology is an interdisciplinary science because the interactions of organisms with their environment and with each other involve physiological, behavioral, and physical responses.

The study of these responses draws upon such fields as physiology, biochemistry, genetics, geology, hydrology, and meteorology.

### Individuals 1.9

The individual organism forms the basic unit in ecology. The individual responds to the environment. The collective birth and death of individuals define the dynamics of populations, and the interactions among individuals of the same and different species define communities. The individual passes genes to successive generations.

---

## Study Questions

1. How do ecology and environmentalism differ? In what way does environmentalism depend on the science of ecology?
2. Define the terms *population*, *community*, *ecosystem*, *landscape*, *biome*, and *biosphere*.
3. How might including the abiotic environment within the framework of the ecosystem help ecologists achieve the basic goal of understanding the interaction of organisms with their environment?
4. What is a hypothesis? What is the role of hypotheses in science?
5. An ecologist observes that the diet of a bird species consists primarily of large grass seeds (as opposed to smaller grass seeds or the seeds of other herbaceous plants found in the area). He hypothesizes that the birds are choosing the larger seeds because they have a higher concentration of nitrogen than do other types of seeds at the site. To test the hypothesis, the ecologist compares the large grass seeds with the other types of seeds, and the results clearly show that the large grass seeds do indeed have a much higher concentration of nitrogen. Did the ecologist prove the hypothesis to be true? Can he conclude that the birds select the larger grass seeds due to their higher concentration of nitrogen? Why or why not?
6. What is a model? What is the relationship between hypotheses and models?
7. Given the importance of ecological research in making political and economic decisions regarding current environmental issues such as global warming, how do you think scientists should communicate uncertainties in their results to policy makers and the public?

---

## Further Readings

- Bates, M. 1956. *The nature of natural history*. New York: Random House.  
A lone voice in 1956, Bates shows us that environmental concerns have had a long history before the modern environmental movement emerged. A classic that should be read by anyone interested in current environmental issues.
- Bronowski, J. 1956. *Science and human values*. New York: Harper & Row.  
Written by a physicist and poet, this short book is a wonderful discussion of the scientific process as a human undertaking. The book explores the implication of science as a human endeavor. Highly recommended.
- Coleman, D. 2010. *Big ecology; the emergence of ecosystem science*. Berkeley, University of California Press.  
History of the development of large-scale ecosystem research and its politics and personalities as told by one of the participants.
- Cronon, W. 1996. "The trouble with wilderness; or, getting back to the wrong nature." In *Uncommon ground: Rethinking the human place in nature* (W. Cronon, ed.), 69–90. New York: Norton.  
This is a great paper for students to read; it stirred up an incredible amount of debate among ecologists, environmentalists, and conservationists. Cronon describes how the idea of pristine nature is a human construct and says that, consequently, ecology is not natural without considering humans an integral part of it.
- Golley, F. B. 1993. *A history of the ecosystem concept in ecology: more than the sum of its parts*. New Haven: Yale University Press.  
Covers the evolution and growth of the ecosystem concept as told by one who was a major contributor to ecosystem ecology.

- Kingsland, S. E. 2005. *The evolution of American ecology; 1890–2000*. Baltimore: Johns Hopkins University Press. A sweeping, readable review of the evolution of ecology as a discipline in America, from its botanical beginnings to ecosystem ecology as colored by social, economical, and scientific influences.
- McKibben, W. 1989. *The end of nature*. New York: Random House. In this provocative book, McKibben explores the philosophies and technologies that have brought humans to their current relationship with the natural world.
- McIntosh, R. P. 1985. *The background of ecology: Concept and theory*. Cambridge: Cambridge University Press. MacIntosh provides an excellent history of the science of ecology from a scientific perspective.
- Savill, P. S., C. M. Perrins, K. J. Kirby, N. Fisher, eds. 2010. *Wytham Woods: Oxford's ecological laboratory*. Oxford: Oxford University Press. A revealing insight into some of the most significant population ecology studies by notable pioneering population ecologists such as Elton, Lack, Ford, and Southwood.
- Worster, D. 1994. *Nature's economy*. Cambridge: Cambridge University Press. This history of ecology was written from the perspective of a leading figure in environmental history.

# The Physical Environment

- Chapter 2** Climate p. 18  
**Chapter 3** The Aquatic Environment p. 36  
**Chapter 4** The Terrestrial Environment p. 52

In January 2004, two small, robotic vehicles named the Mars Exploration Rovers landed on the Martian surface. Their mission was to explore the surface for evidence of whether life ever arose on Mars. The rovers were not looking for living organisms or even for fossils within the rocks littering the terrain. These robotic vehicles were exploring the geology of the Martian surface. Their task was to determine the history of water on Mars. Although there is no liquid water on the surface of Mars today, the record of past water activity on Mars can be found in the planet's rocks, minerals, and geologic landforms, particularly in those that can form only in the presence of water.

Why search for water as evidence of life? Because life as we understand it is impossible without conditions that allow for the existence of water in a liquid form. The history of water on Mars is crucial to determining if the Martian environment was ever conducive to life. The mission of the Mars Exploration Rovers was not to search for direct evidence of life, but rather to provide us with information on the “habitability” of the environment.

Although studying the physical environment is the central mission of disciplines such as geology, meteorology, and hydrology, the concept of **habitability**—the ability of the physical environment to support life—links these physical sciences with the discipline of ecology. To illustrate this connection between ecology and the study of the physical environment, we move from the surface of Mars to a small chain of islands off the western coast of South America—the Galápagos Islands, which so influenced the thinking of young Charles Darwin.

When we think of penguins, the frozen landscape of the Antarctic generally comes to mind. Yet the Galápagos Islands, which lie on the equator, are home to the smallest of the penguin species: *Spheniscus mendiculus*, or the Galápagos penguin. These penguins stand only 16 to 18 inches (40 to 45 cm) tall and weigh only 5 pounds (2 to 2.5 kg). Found only on the Galápagos Islands, they live the farthest north of all the penguin species.

Galápagos penguins eat mostly small fish such as mullet and sardines; they depend on ocean currents flowing

from the cooler waters of the south to bring these fish to their feeding grounds. Darwin himself noted the importance of currents flowing north from the South Pacific Ocean to the Galápagos environment: “Considering that these islands are placed directly under the equator, the climate is far from being excessively hot; this seems chiefly caused by the singularly low temperature of the surrounding water, brought here by the great southern Polar current” (*Voyage of the Beagle*). However, the prevailing winds giving rise to the cool waters that bathe these tropical islands are not always predictable. Periodically, the trade winds flowing westward in this region stall, and the waters around the Galápagos Islands warm. The warm waters dramatically reduce the fish populations upon which the penguins depend. Such an occurrence caused a severe shortage of food about 20 years ago—more than 70 percent of the Galápagos penguins died. Since then their numbers have increased, and the population currently is estimated at about 800 breeding pairs. As far back as the 16th century, the region's fishermen have recorded periods of warming such as the one that occurred 20 years ago, and these periods of severe food shortage no doubt have affected the penguin population since their ancestors first arrived on the islands.

Even from the short example just given, you can see that understanding the ecology of Galápagos penguins very much depends on understanding the physical environment of these islands—namely, features of the environment that influence the islands' habitability for the penguin population. Ecologists use two very different timescales in viewing the interaction between organisms and their surrounding physical environment. Over a period of many generations, the physical environment represents a guiding force in the process of natural selection, favoring individuals with certain characteristics over others (see Chapter 5). Over a shorter period, the physical environment influences the physiological performance of individuals as well as the availability of essential resources, both of which directly influence the survival, growth, and reproduction of individuals within the population. The example of the Galápagos penguin illustrates both of these timescales.



The Galápagos penguin (*Spheniscus mendiculus*).

Over evolutionary time, the physical environment of the Galápagos Islands has influenced the characteristics and behavior of Galápagos penguins through the process of natural selection. First, this penguin's small body size enables it to dissipate heat easily to the surrounding air—an important characteristic in this tropical environment (see Chapter 7). Second, unlike most other penguins, Galápagos penguins have no particular breeding season and may have as many as three clutches in a single year. This adaptation allows them to cope with their variable and unreliable food resource, which is a direct result of unpredictable changes in the patterns of prevailing winds and ocean currents. An understanding of these characteristics requires an understanding of the physical environment that has shaped this species over evolutionary time through the process of natural selection.



Aerial photo of the Galápagos Islands.

Over the short term, yearly variations in currents and water temperatures around the islands are crucial to understanding the population dynamics of this species. Periodic increases and decreases in the population of Galápagos penguins are a direct response to variations in the availability of food resources.

In the discussion that follows, we will look at features of Earth's physical environment that directly influence its habitability. We will explore features of the two dominant environments characterizing our planet—land and water. We begin by examining Earth's climate (Chapter 2); the broad-scale patterns of temperature, winds, precipitation, seasonality, and ocean currents. We then turn our attention to the dominant physical characteristics of aquatic (Chapter 3) and terrestrial (Chapter 4) environments. These chapters will set the stage for our discussion of the adaptations of plants and animals to these physical environments (Part Two).



As the sun rises, warming the morning air in this tropical rain forest on the island of Borneo, fog that has formed in the cooler night air begins to evaporate.

- 2.1 Earth Intercepts Solar Radiation**
- 2.2 Intercepted Solar Radiation Varies Seasonally**
- 2.3 Air Temperature Decreases with Altitude**
- 2.4 Air Masses Circulate Globally**
- 2.5 Solar Energy, Wind, and Earth's Rotation Create Ocean Currents**
- 2.6 Temperature Influences the Moisture Content of Air**
- 2.7 Precipitation Has a Distinctive Global Pattern**
- 2.8 Topography Influences Regional and Local Patterns of Precipitation**
- 2.9 Irregular Variations in Climate Occur at the Regional Scale**
- 2.10 Most Organisms Live in Microclimates**

**W**hat determines whether a particular geographic region will be a tropical forest, a grassy plain, or a barren landscape of sand dunes? The aspect of the physical environment that most influences a particular ecosystem by placing the greatest constraint on organisms is climate. *Climate* is a term we tend to use loosely. In fact, people sometimes confuse climate with weather. **Weather** is the combination of temperature, humidity, precipitation, wind, cloudiness, and other atmospheric conditions occurring at a specific place and time. **Climate** is the long-term average pattern of weather and may be local, regional, or global.

The structure of terrestrial ecosystems is largely defined by the dominant plants, which in turn reflect the prevailing physical environmental conditions, namely climate (see Chapter 24). Geographic variations in climate, primarily temperature and precipitation, govern the large-scale distribution of plants and therefore the nature of terrestrial ecosystems (Figure 2.1). Here, we learn how climate determines the availability of thermal energy and water on Earth's surface and influences the amount of solar energy that plants can harness.

## 2.1 Earth Intercepts Solar Radiation

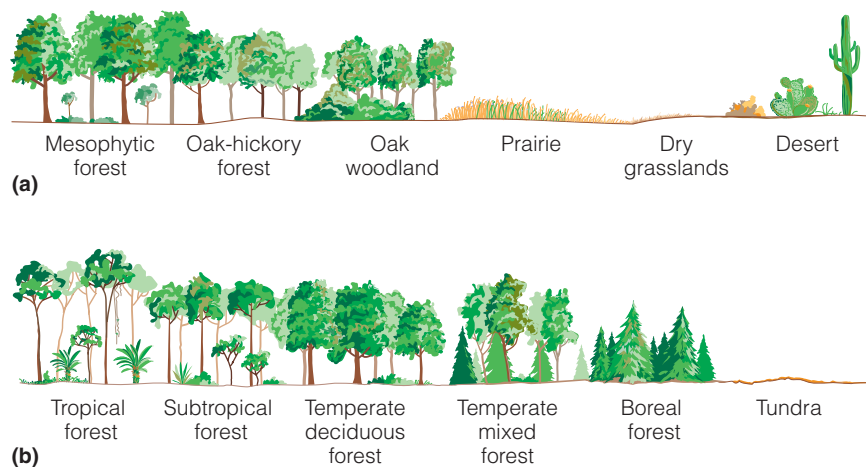
The outer edge of Earth's atmosphere intercepts solar radiation. The resulting exchanges of energy create thermal patterns that, coupled with Earth's rotation and movement, generate the prevailing winds and ocean currents. These movements of air and water in turn influence Earth's weather patterns, including the distribution of rainfall.

Solar radiation, the electromagnetic energy emanating from the Sun, travels more or less unimpeded through

the vacuum of space until it reaches Earth's atmosphere. Scientists conceptualize solar radiation as a stream of photons, or packets of energy, that—in one of the great paradoxes of science—behave either as waves or as particles, depending on how they are observed. Scientists characterize waves of energy in terms of their wavelength ( $\lambda$ ), or the physical distances between successive crests, and their frequency ( $\nu$ ), or the number of crests that pass a given point per second. All objects emit radiant energy, typically across a wide range of wavelengths. The exact nature of the energy emitted, however, depends on the object's temperature (Figure 2.2). The hotter the object is, the more energetic the emitted photons and the shorter the wavelength. A very hot surface such as that of the Sun ( $\sim 5800^\circ\text{C}$ ) gives off primarily **shortwave radiation**. In contrast, cooler objects such as Earth's surface (average temperature of  $15^\circ\text{C}$ ) emit radiation of longer wavelengths, or **longwave radiation**.

Of the solar radiation that reaches the top of Earth's atmosphere, only 51 percent makes it to the surface. What happens to all the incoming energy? If we say the amount of solar radiation reaching the top of the atmosphere equals 100 units, then on average, clouds and the atmosphere reflect and scatter 26 units, and Earth's surface reflects an additional 4 units, for a total of 30 units being reflected back to space (Figure 2.3). Together, the atmosphere and clouds absorb another 19 units (for a total of 49 units), leaving 51 units of direct and indirect solar radiation to be absorbed by Earth's surface.

Of the 51 units that reach Earth's surface, 23 units are used to evaporate water and another 7 units warm the air next to the surface. The remaining 21 units are absorbed by the landmasses and oceans. The landmasses and oceans in turn emit radiation back to the atmosphere in the form of

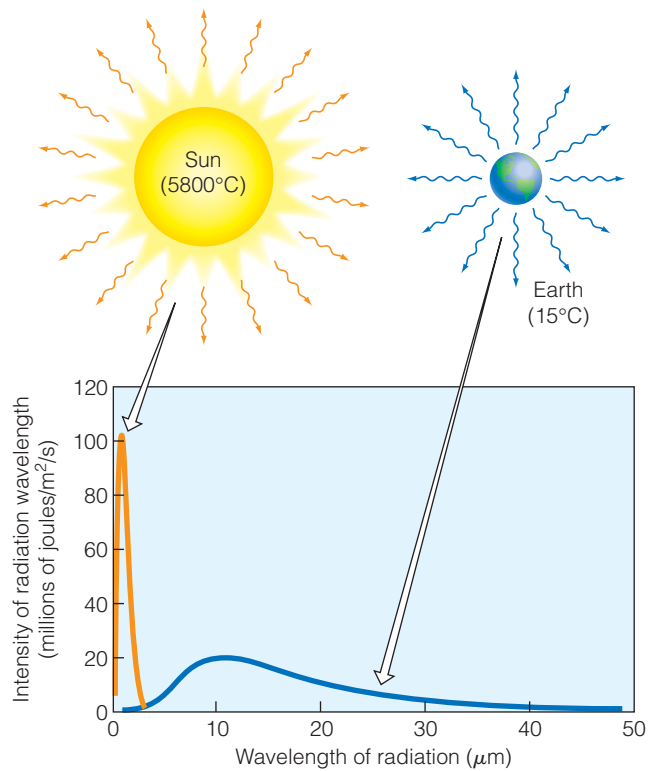


**Figure 2.1** Gradients of vegetation in North America from east to west and south to north. (a) The east-west gradient reflects a decrease in annual precipitation (does not cut across the Rocky Mountains). (b) The south-north gradient reflects a decrease in mean annual temperature. Note that with decreasing precipitation and temperatures, the stature of the vegetation decreases. (See Chapter 24 for a detailed discussion of the characteristics and distribution of terrestrial ecosystems.)

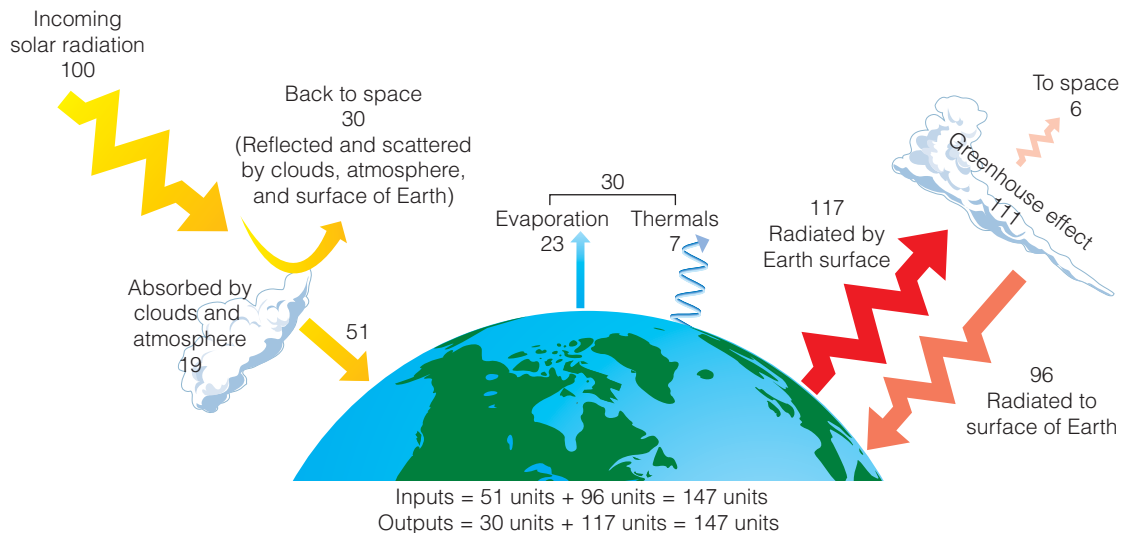


longwave (thermal) radiation. The amount of longwave radiation emitted by Earth's surface exceeds the 21 units of solar radiation that are absorbed. Actually, some 117 units in total are emitted. How is this possible? Because, although the surface receives solar (shortwave) radiation only during the day, it constantly emits longwave radiation during both day and night. Additionally, the atmosphere above allows only a small fraction of this energy (6 units) to pass through into space. Most of the energy (111 units) is absorbed by the water vapor and CO<sub>2</sub> in the atmosphere and by clouds. Much of this energy (96 units) is radiated back to Earth, producing the **greenhouse effect**, which is crucial to maintaining the planet's surface temperature (see Chapter 30). As a result, Earth's surface receives nearly twice as much longwave radiation from the atmosphere as it does shortwave radiation from the Sun. In all of these exchanges (see Figure 2.3), the energy lost at Earth's surface (30 units + 117 units = 147 units) is exactly balanced by the energy gained (51 units + 96 units = 147 units). The radiation budget of Earth is in balance.

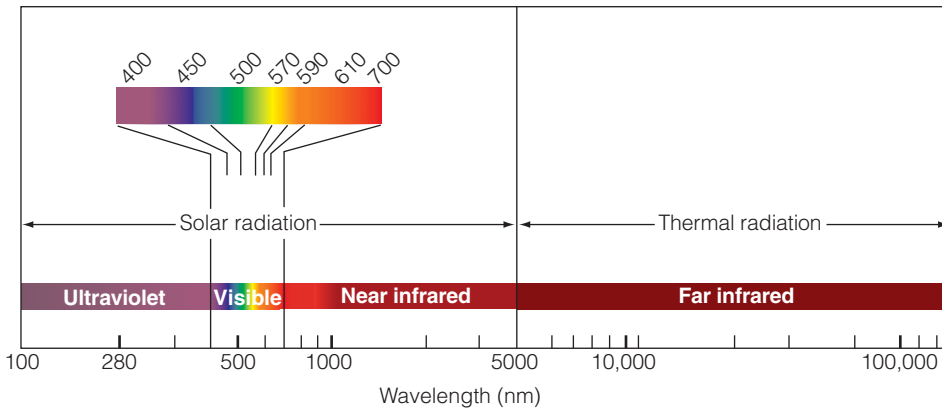
Electromagnetic radiation emitted by the Sun covers a wide range of wavelengths. Of the total range of solar radiation reaching Earth's atmosphere, the wavelengths of approximately 400 to 700 nm (a nanometer is 1 billionth of a meter) make up **visible light** (Figure 2.4). Collectively, these wavelengths are also known as **photosynthetically active radiation (PAR)** because they include the wavelengths that plants use as a source of energy in the process of photosynthesis (see Chapter 6). Wavelengths shorter than the visible range are ultraviolet (UV) light. There are two types of ultraviolet light: UV-A, with wavelengths from 315 to 380 nm; and UV-B, with wavelengths from 280 to 315 nm. Radiation with wavelengths longer than the visible range is known as infrared radiation. Near-infrared radiation includes wavelengths of



**Figure 2.2** The wavelength of radiation emitted by an object is a function of its temperature. The Sun, with an average surface temperature of 5800°C, emits relatively shortwave radiation as compared to Earth, with an average surface temperature of 15°C, which emits relatively longwave radiation.



**Figure 2.3** Disposition of solar energy reaching Earth's atmosphere. Inputs include incoming solar (shortwave) radiation and longwave radiation returning to Earth as a function of the greenhouse effect. Outputs include heat from surface evaporation and thermals and longwave energy radiated by Earth's surface.



**Figure 2.4** A portion of the electromagnetic spectrum, separated into solar and thermal radiation. Ultraviolet, visible, and infrared light waves represent only a small part of the spectrum. To the left of ultraviolet radiation are X-rays and gamma rays (not shown).

approximately 740 to 4000 nm, and far-infrared or thermal radiation includes wavelengths from 4000 to 100,000 nm.

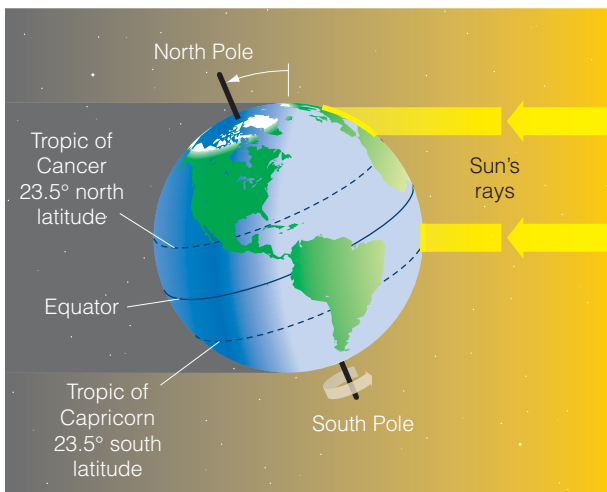
## 2.2 Intercepted Solar Radiation Varies Seasonally

The amount of solar energy intercepted at any point on Earth’s surface varies markedly with latitude (Figure 2.5). Two factors influence this variation. First, at higher latitudes, radiation hits the surface at a steeper angle, spreading sunlight over a larger area. Second, radiation that penetrates the atmosphere at a steep angle must travel through a deeper layer of air. In the process, it encounters more particles in the atmosphere, which reflect more of it back into space.

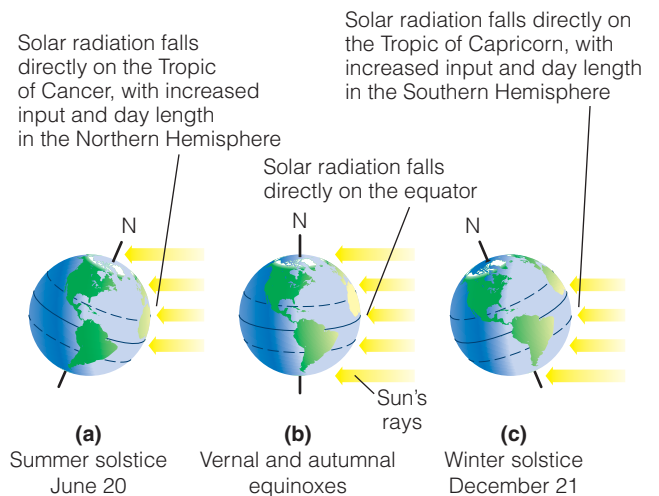
Although the variation in solar radiation reaching Earth’s surface with latitude can explain the gradient of decreasing temperature from the equator to the poles, it does not explain the systematic variation occurring over the course of a year. What gives rise to the seasons on Earth? Why do the hot days

of summer give way to the changing colors of fall, or the freezing temperatures and snow-covered landscape of winter to the blanket of green signaling the onset of spring? The explanation is quite simple—because Earth does not stand up straight but rather tilts on its side.

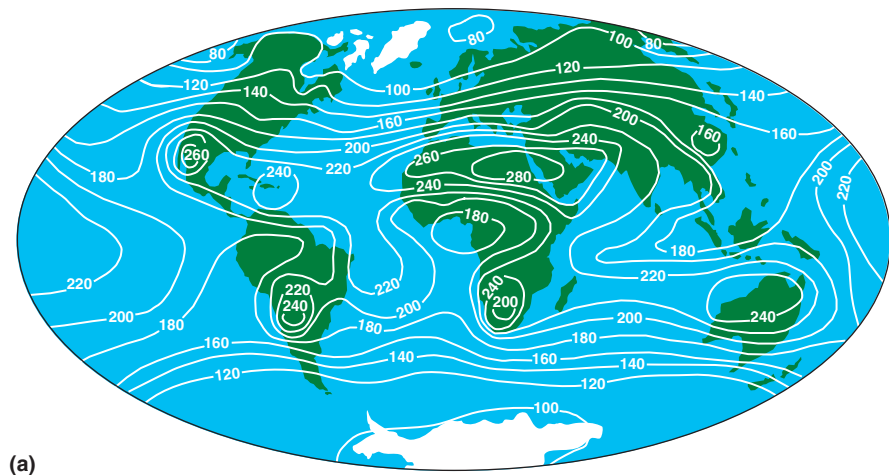
Earth, like all planets, is subjected to two distinct motions. While it orbits around the Sun, Earth rotates about an axis that passes through the North and South Poles, giving rise to the brightness of day followed by the darkness of night (the diurnal cycle). Earth travels about the Sun in a plane called the ecliptic. By chance, Earth’s axis of spin is not perpendicular to the ecliptic but tilted at an angle of 23.5° (Figure 2.6). This tilt (inclination) is responsible for the seasonal variations in temperature and day length. Only at the equator are there exactly 12 hours of daylight and darkness every day of the year. At the vernal equinox (approximately March 21) and autumnal equinox (approximately September 22), the Sun is directly overhead at the equator (Figure 2.6b). At this time, the equatorial region receives the greatest input of shortwave (solar) radiation, and every place on Earth receives the same 12 hours each of daylight and night.



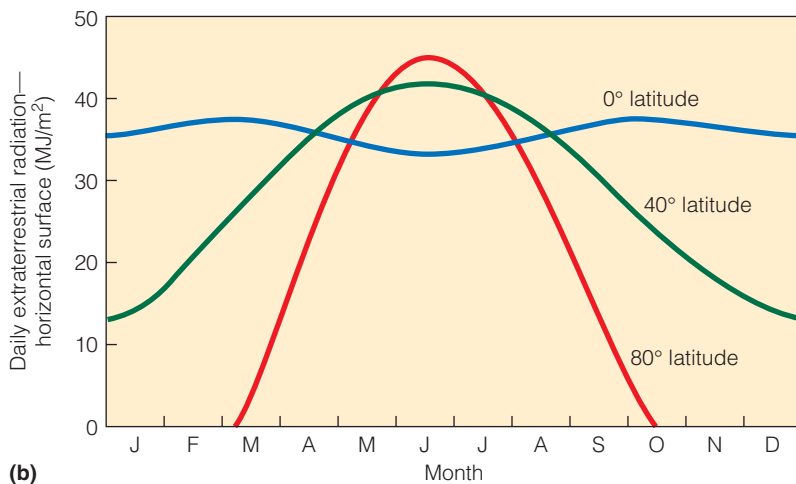
**Figure 2.5** Solar radiation striking Earth at high latitudes arrives at an oblique angle and spreads over a wide area. Therefore, it is less intense than energy arriving vertically at the equator.



**Figure 2.6** Angle of the Sun and circle of illumination at the equinoxes and the winter and summer solstices.



(a)



(b)

**Figure 2.7** Annual variation in solar radiation on Earth. (a) Global mean solar radiation at Earth's surface. (b) Seasonal variation of the daily (extraterrestrial) solar radiation incident on a horizontal surface at the top of Earth's atmosphere in the Northern Hemisphere.

(Adapted from Barry and Chorley 1992.)

At the summer solstice (approximately June 22) in the Northern Hemisphere, solar rays fall directly on the Tropic of Cancer (23.5° north latitude; Figure 2.6a). This is when days are longest in the Northern Hemisphere, and the input of solar radiation to the surface is the greatest. In contrast, the Southern Hemisphere experiences winter at this time. At winter solstice (about December 22) in the Northern Hemisphere, solar rays fall directly on the Tropic of Capricorn (23.5° south latitude; Figure 2.6c). This period is summer in the Southern Hemisphere, whereas the Northern Hemisphere is enduring shorter days and colder temperatures. Thus, the summer solstice in the Northern Hemisphere is the winter solstice in the Southern Hemisphere.

The seasonality of solar radiation, temperature, and day length increases with latitude. At the Arctic and Antarctic circles (66.5° north and south latitudes, respectively), day length varies from 0 to 24 hours over the course of the year. The days shorten until the winter solstice, a day of continuous darkness. The days lengthen with spring, and on the day of the summer solstice, the Sun never sets.

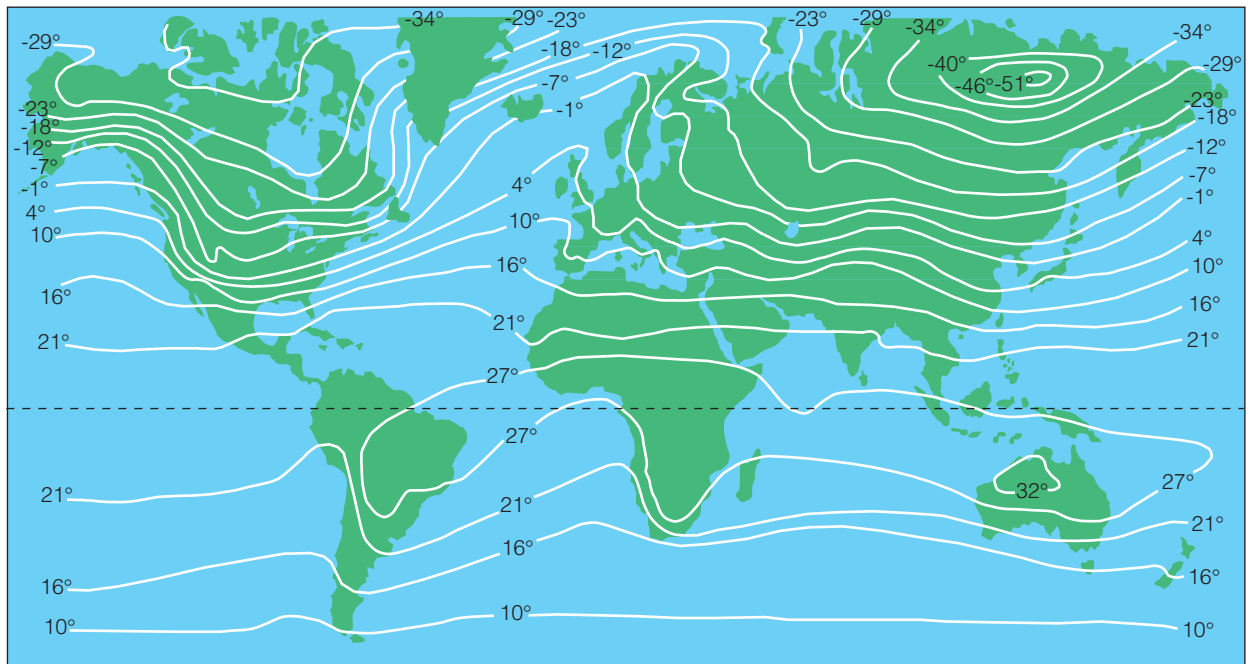
Figure 2.7 shows how annual and seasonal inputs of solar radiation vary over Earth. Although in theory every location on Earth receives the same amount of daylight during a year, in high latitudes where the Sun is never positioned directly

overhead, the annual input of solar radiation is the lowest. This pattern of varying exposures to solar radiation controls mean annual temperature around the globe (Figure 2.8). Like annual solar radiation, mean annual temperatures are highest in tropical regions and decline as one moves toward the poles.

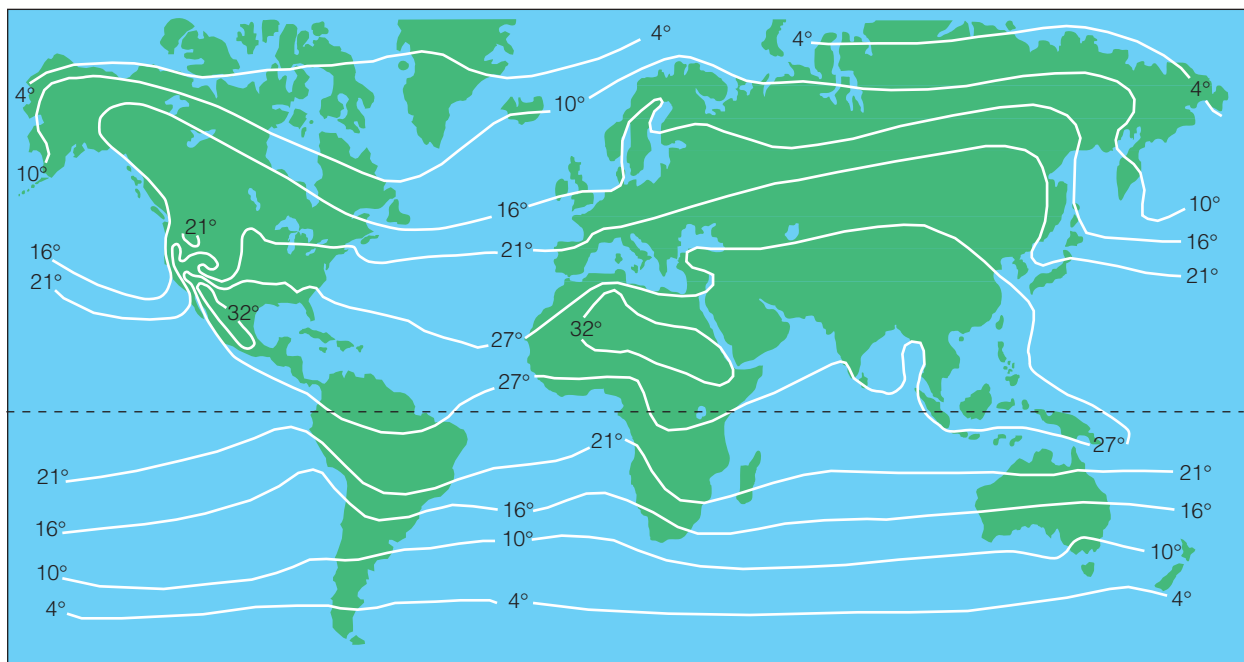
### 2.3 Air Temperature Decreases with Altitude

Whereas varying degree and length of exposure to solar radiation may explain changes in latitudinal, seasonal, and daily temperatures, they do not explain why air gets cooler with increasing altitude. Mount Kilimanjaro, for example, rises from the hot plain of tropical East Africa; but its peak is capped with ice and snow (Figure 2.9, on page 24). The explanation of this apparent oddity of snow in the tropics lies in the physical properties of air.

The weight of all the air molecules surrounding Earth is a staggering 5600 trillion tons. The air's weight acts as a force on Earth's surface, and the amount of force exerted over a given area of surface is called **atmospheric pressure**, or air pressure. Envision a vertical column of air. The pressure at any point in the column can be measured in terms of the total mass of air above that point. As we climb in elevation, the mass of air



(a) January isotherms (lines of equal temperature) around the Earth



(b) July isotherms (lines of equal temperature) around the Earth

**Figure 2.8** Mean annual global temperatures change with latitude and season. (a) Mean sea-level temperatures ( $^{\circ}\text{C}$ ) in January. (b) Mean sea-level temperatures ( $^{\circ}\text{C}$ ) in July. Note the colder temperatures during the Northern Hemisphere winter (January), warmer temperatures during the Southern Hemisphere summer, and the reversal in temperature patterns with the shift to summer in the Northern Hemisphere and winter in the Southern Hemisphere (July).

above us decreases, and therefore pressure declines. Although atmospheric pressure decreases continuously, the rate of decline slows with increasing altitude (Figure 2.10).

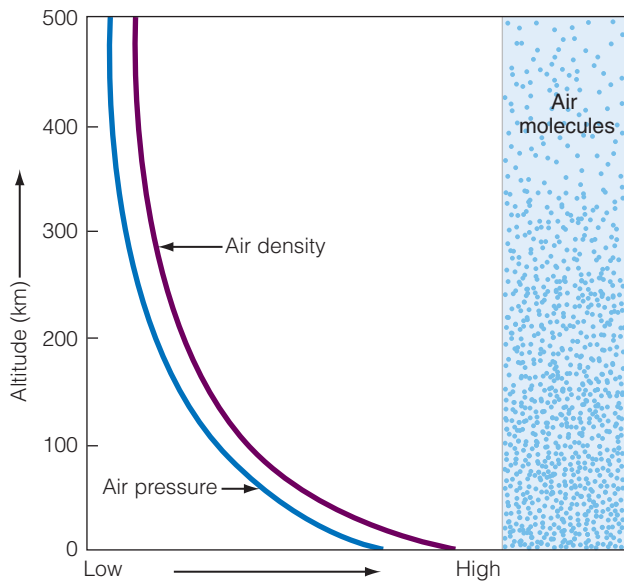
Because of the greater air pressure at Earth's surface, the density of air (the number of molecules per unit volume) is high, decreasing in parallel with air pressure as we climb in altitude. As altitude above sea level increases, both air pressure and

density decrease. Although by an altitude of 50 km, air pressure is only 0.1 percent of that measured at sea level, the atmosphere continues to extend upward for many hundreds of kilometers, gradually becoming thinner and thinner until it merges into outer space.

Air pressure and density decrease systematically with height above sea level, but air temperature has a more complicated



**Figure 2.9** Although near the equator, Mount Kilimanjaro in Africa is snowcapped and supports tundra-like vegetation near its summit. Global warming is causing a rapid melting of this snowcap.



**Figure 2.10** Both air pressure and air density decrease with increasing altitude above sea level.

vertical profile. Air temperature normally decreases from Earth's surface up to an altitude of approximately 11 km (nearly 36,000 feet). The rate at which temperature decreases with altitude is called the **environmental lapse rate**.

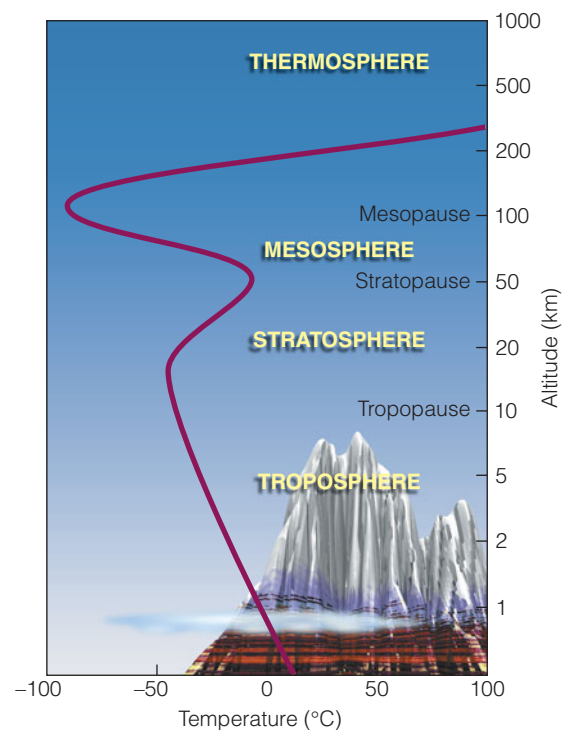
The decrease in air temperature as one moves farther from Earth's surface is caused by two factors. Because of the greater air pressure at the surface, air molecules move more quickly. Since temperature is a measure of the average speed of the air molecules (average kinetic energy of the particles), the average speed of the molecules and the temperature are both higher near the surface. The decrease in air pressure with altitude results in a reduced rate of motion of the molecules and therefore a decline in temperature. The primary reason for the decrease in air temperature with increasing altitude, however, is the corresponding decline in the "warming effect" of Earth's surface. The absorption of solar radiation warms Earth's surface. Energy (longwave radiation) is emitted upward from the surface, heating the air above it. This process of transfer continues upward

as thermal energy flows spontaneously from warmer to cooler areas but at a continuously declining rate as the energy emitted from the surface is dissipated.

Unlike air pressure and density, air temperature does not decline continuously with increasing height above Earth's surface. In fact, at certain heights in the atmosphere, a change in altitude can result in an abrupt change in temperature. Atmospheric scientists use these specific altitudes to distinguish different regions in the atmosphere (Figure 2.11). Beginning at Earth's surface, the regions are called the troposphere, the stratosphere, the mesosphere, and the thermosphere. The boundary zones between these four regions of the atmosphere are the tropopause, stratopause, and mesopause, respectively. The two most important regions for climate, and therefore life on Earth, are the troposphere and stratosphere.

So far, this discussion of the change in air temperature with increasing altitude has assumed no vertical movement of air from the surface to the top of the atmosphere. When a volume of air at the surface warms, however, it becomes buoyant and rises (like a hot-air balloon does). As the volume of air (known as a parcel of air) rises, decreasing pressure makes it expand and cool. The decrease in air temperature through expansion, rather than through heat loss to the surrounding atmosphere, is called **adiabatic cooling**. The same process works in an air conditioner, where a coolant is compressed. As the coolant moves from the compressor to the coils, the drop in pressure makes it expand and cool.

The rate of adiabatic cooling depends on the water content of the air. The adiabatic cooling of dry air is approximately



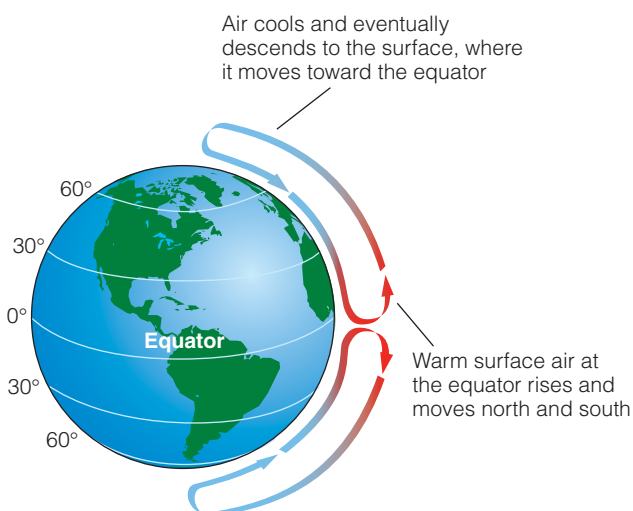
**Figure 2.11** Changes in atmospheric temperature (global average) with altitude above sea level. Regions of the atmosphere are labeled, and Mount Everest (the highest mountain peak on Earth) is drawn for perspective.

(Adapted from Graedel and Crutzen 1995.)

10°C per 1000 m elevation. Saturated air cools more slowly (~6°C per 1000 m; see Section 3.2 for discussion of differences in the specific heat of water and air). The rate of temperature change with elevation is called the **adiabatic lapse rate**.

## 2.4 Air Masses Circulate Globally

The blanket of air surrounding Earth—the atmosphere—is not static. It is in a constant state of movement, driven by rising and sinking air masses and by Earth’s rotation on its axis. The equatorial region receives the largest annual input of solar radiation. Warm air rises because it is less dense than the cooler air above it. Air heated at the equatorial region rises to the top of the troposphere, establishing a zone of low pressure down at the surface (Figure 2.12). More air rising beneath it forces the air mass to spread north and south toward the poles. As air masses move poleward, they cool, become heavier, and sink. The sinking air raises surface air pressure (high-pressure zone). The cooled, heavier air then flows toward the low-pressure zone at the equator, replacing the warm air rising over the tropics and closing the pattern of air circulation. If Earth were stationary and without irregular landmasses, the atmosphere would circulate as shown in Figure 2.12. Earth, however, spins on its axis from west to east. Although each point on Earth’s surface makes a complete rotation every 24 hours, the speed of rotation varies with latitude (and circumference). At a point on the equator (its widest circumference at 40,176 km), the speed of rotation is 1674 km per hour. In contrast, at 60° north or south, Earth’s circumference is approximately half that at the equator (20,130 km), and the speed of rotation is 839 km per hour. According to the law of angular motion, the momentum of an object moving from a greater circumference to a lesser circumference will deflect in the direction of the spin, and an object moving from a lesser circumference to a greater circumference will deflect in the direction opposite that of the spin. As a result, air masses and all moving objects in the Northern Hemisphere are

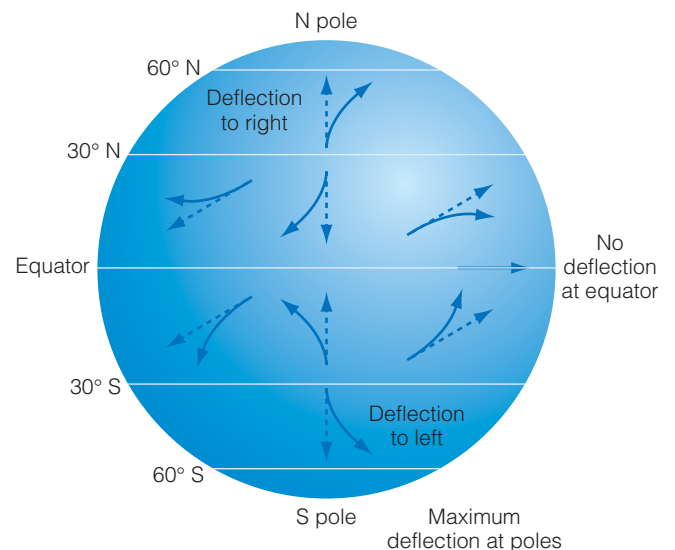


**Figure 2.12** Circulation of air cells and prevailing winds on an imaginary, nonrotating Earth. Air heated at the equator rises and moves north and south. After cooling at the poles, it descends and moves back toward the equator.

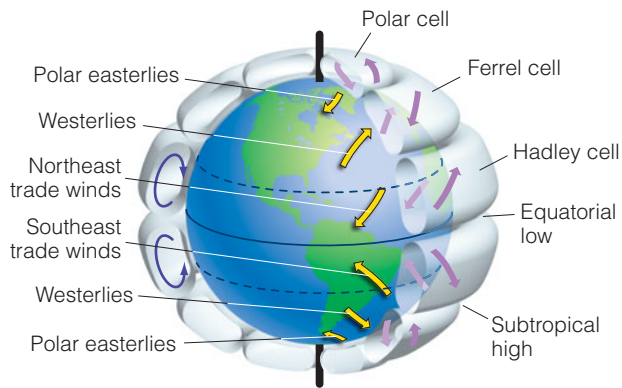
deflected to the right (clockwise motion) and in the Southern Hemisphere to the left (counterclockwise motion). This deflection in the pattern of air flow is the **Coriolis effect**, named after the 19th-century French mathematician G. C. Coriolis, who first analyzed the phenomenon (Figure 2.13).

Due to the deflection of air masses, the Coriolis effect prevents a direct, simple flow of air from the equator to the poles. Instead, it creates a series of belts of prevailing winds, named for the direction they come from. These belts break the simple flow of surface air toward the equator and the flow aloft to the poles into a series of six cells, three in each hemisphere. They produce areas of low and high pressure as air masses ascend from and descend toward the surface, respectively (Figure 2.14). To trace the flow of air as it circulates between the equator and poles, we begin at Earth’s equatorial region, which receives the largest annual input of solar radiation.

Air heated in the equatorial zone rises upward, creating a low-pressure zone near the surface—the **equatorial low**. This upward flow of air is balanced by a flow of air from the north and south toward the equator (equatorial low). As the warm air mass rises it begins to spread, diverging northward and southward toward the North and South Poles, cooling as it goes. In the Northern Hemisphere, the Coriolis effect forces air in an easterly direction, slowing its progress north. At about 30° north latitude, the now cool air sinks, closing the first of the three cells—the Hadley cells, named for the Englishman George Hadley, who first described this pattern of circulation in 1735. The descending air forms a semipermanent high-pressure belt at the surface and encircling Earth—the **subtropical high**. Having



**Figure 2.13** Effect of the Coriolis force on wind direction. The effect is absent at the equator, where the linear velocity is the greatest, 465 m/s (1040 mi/h). Any object on the equator is moving at the same rate. The Coriolis effect increases regularly toward the poles. If an object, including an air mass, moves northward from the equator at a constant speed, it speeds up because Earth moves more slowly (403 m/s at 30° latitude, 233 m/s at 60° latitude, and 0 m/s at the poles) than the object does. As a result, the object’s path appears to deflect to the right or east in the Northern Hemisphere and to the left or west in the Southern Hemisphere.



**Figure 2.14** Belts and cells of air circulation about a rotating Earth. This circulation gives rise to the trade, westerly, and easterly winds.

descended, the cool air warms and splits into two currents flowing over the surface. One moves northward toward the pole, diverted to the right by the Coriolis effect to become the prevailing **westerlies**. Meanwhile, the other current moves southward toward the equator. Also deflected to the right, this southward-flowing stream becomes the strong, reliable winds that were called **trade winds** by the 17th-century merchant sailors who used them to reach the Americas from Europe. In the Northern Hemisphere, these winds are known as the northeast trades. In the Southern Hemisphere, where similar flows take place, these winds are known as the southeast trades.

As the mild air of the westerlies moves poleward, it encounters cold air moving down from the pole (approximately  $60^\circ$  N). These two air masses of contrasting temperature do not readily mix. They are separated by a boundary called the polar front—a zone of low pressure (the **subpolar low**) where

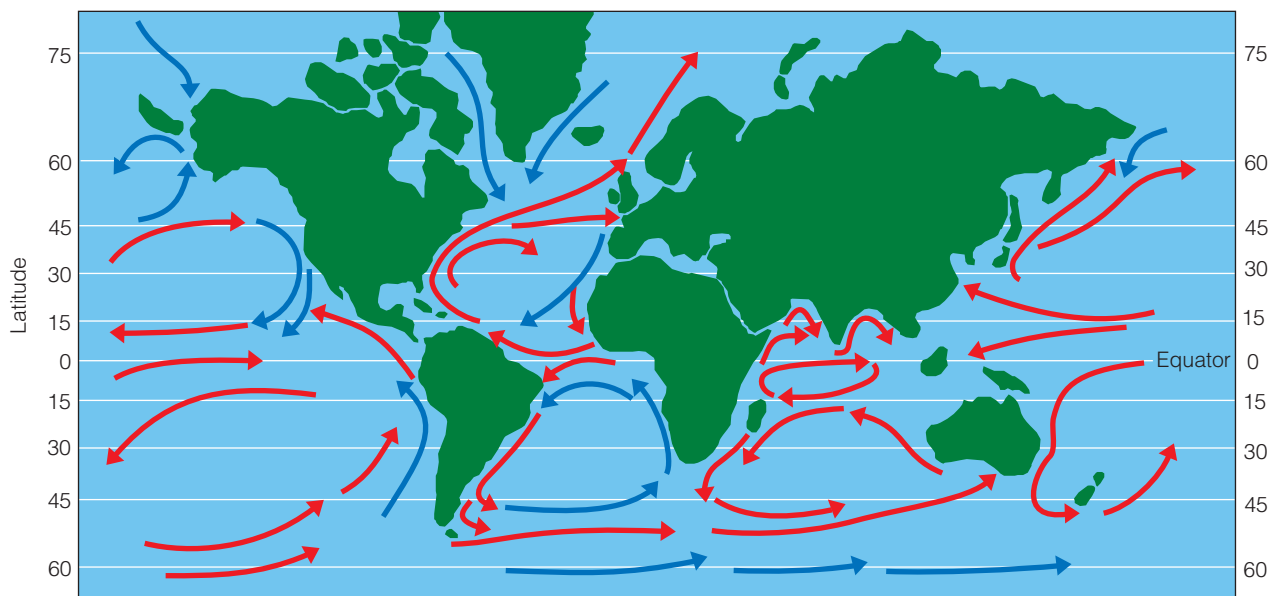
surface air converges and rises. Some of the rising air moves southward until it reaches approximately  $30^\circ$  latitude (region of the subtropical high), where it sinks back to the surface and closes the second of the three cells—the Ferrel cell, named after the American meteorologist William Ferrel.

As the northward-moving air reaches the pole, it slowly sinks to the surface and flows back (southward) toward the polar front, completing the last of the three cells—the polar cell. This southward-moving air is deflected to the right by the Coriolis effect, giving rise to the **polar easterlies**. Similar flows occur in the Southern Hemisphere (see Figure 2.14).

## 2.5 Solar Energy, Wind, and Earth's Rotation Create Ocean Currents

The global pattern of prevailing winds plays a crucial role in determining major patterns of surface water flow in Earth's oceans. These systematic patterns of water movement are called **currents**. In fact, until they encounter one of the continents, the major ocean currents generally mimic the movement of the surface winds presented in the previous section.

Each ocean is dominated by two great circular water motions, or **gyres**. Within each gyre, the ocean current moves clockwise in the Northern Hemisphere and counterclockwise in the Southern Hemisphere (Figure 2.15). Along the equator, trade winds push warm surface waters westward. When these waters encounter the eastern margins of continents, they split into north- and south-flowing currents along the coasts, forming north and south gyres. As the currents move farther from the equator, the water cools. Eventually, they encounter the westerly winds at higher latitudes ( $30\text{--}60^\circ$  N and  $30\text{--}60^\circ$  S), which produce eastward-moving currents. When these eastward-moving currents encounter the western margins of the



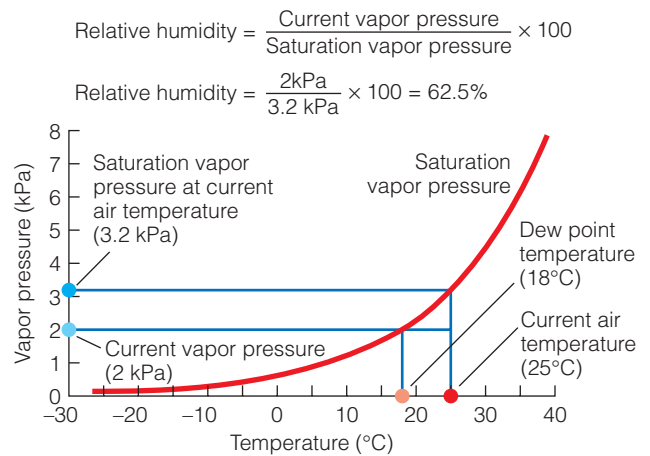
**Figure 2.15** Ocean currents of the world. Notice how the circulation is influenced by the Coriolis force (clockwise movement in the Northern Hemisphere and counterclockwise movement in the Southern Hemisphere) and continental landmasses and how oceans are connected by currents. Blue arrows represent cool water, and red arrows represent warm water.

continents, they form cool currents that flow along the coastline toward the equator. Just north of the Antarctic continent, ocean waters circulate unimpeded around the globe.

## 2.6 Temperature Influences the Moisture Content of Air

Air temperature plays a crucial role in the exchange of water between the atmosphere and Earth's surface. Whenever matter, including water, changes from one state to another, energy is either absorbed or released. The amount of energy released or absorbed (per gram) during a change of state is known as **latent heat** (from the Latin *latens*, “hidden”). In going from a more ordered state (liquid) to a less ordered state (gas), energy is absorbed (energy required to break bonds between molecules). While going from a less ordered to a more ordered state, energy is released. **Evaporation**, the transformation of water from a liquid to a gaseous state, requires 2260 joules (J) of energy per gram of liquid water converted to water vapor (1 joule is the equivalent energy of 1 watt of power radiated or dissipated for 1 second). **Condensation**, the transformation of water vapor to a liquid state, releases an equivalent amount of energy. When air comes into contact with liquid water, water molecules are freely exchanged between the air and the water's surface. When the evaporation rate equals the condensation rate, the air is said to be saturated. In the air, water vapor acts as an independent gas that has weight and exerts pressure. The amount of pressure that water vapor exerts independent of the pressure of dry air is called **vapor pressure**. Vapor pressure is typically defined in units of pascals (Pa). The water vapor content of air at saturation is called the **saturation vapor pressure**. The saturation vapor pressure, also known as the water vapor capacity of air, cannot be exceeded. If the vapor pressure exceeds the capacity, condensation occurs and reduces the vapor pressure. Saturation vapor pressure varies with temperature, increasing as air temperature increases (Figure 2.16). Having a greater quantity of thermal energy to support evaporation, warm air has a greater capacity for water vapor than does cold air.

The amount of water in a given volume of air is its absolute humidity. A more familiar measure of the water content of the air is **relative humidity**, or the amount of water vapor in the air expressed as a percentage of the saturation vapor pressure. At saturation vapor pressure, the relative humidity is 100 percent. If air cools while the actual moisture content (water vapor pressure) remains constant, then relative humidity increases as the value of saturation vapor pressure declines. If the air cools to a point where the actual vapor pressure is equal to the saturation vapor pressure, moisture in the air will condense. This is what occurs when a warm parcel of air at the surface becomes buoyant and rises. As it rises it cools, and as it cools the relative humidity increases. When the relative humidity reaches 100 percent, water vapor will condense and form clouds. As soon as particles of water or ice in the air become too heavy to remain suspended, precipitation falls. For a given water content of a parcel of air (vapor pressure), the temperature at which saturation vapor pressure is achieved (relative humidity is 100 percent) is called the **dew point temperature**. Think about finding dew or frost on a cool fall morning. As nightfall approaches,



**Figure 2.16** Saturation vapor pressure (VP) as a function of air temperature (saturation VP increases with air temperature). For a given air temperature, the relative humidity is the ratio of current VP to saturation VP: (current VP/saturation VP)  $\times$  100. For a given VP, the temperature at which saturation VP occurs is called the dew point. (Go to [QUANTIFY!](http://www.ecologyplace.com) at [www.ecologyplace.com](http://www.ecologyplace.com) to review functions.)

### INTERPRETING ECOLOGICAL DATA

- Q1.** Assume that the actual (current) water vapor pressure remains the same over the course of the day, and that the current air temperature of 25°C in the above graph represents the air temperature at noon (12:00 p.m.). How would you expect the relative humidity to change from noon to 5:00 p.m.? Why?
- Q2.** What is the approximate relative humidity at 35°C? (Assume that actual water vapor pressure remains the same as in the above Figure: 2 kPa.)

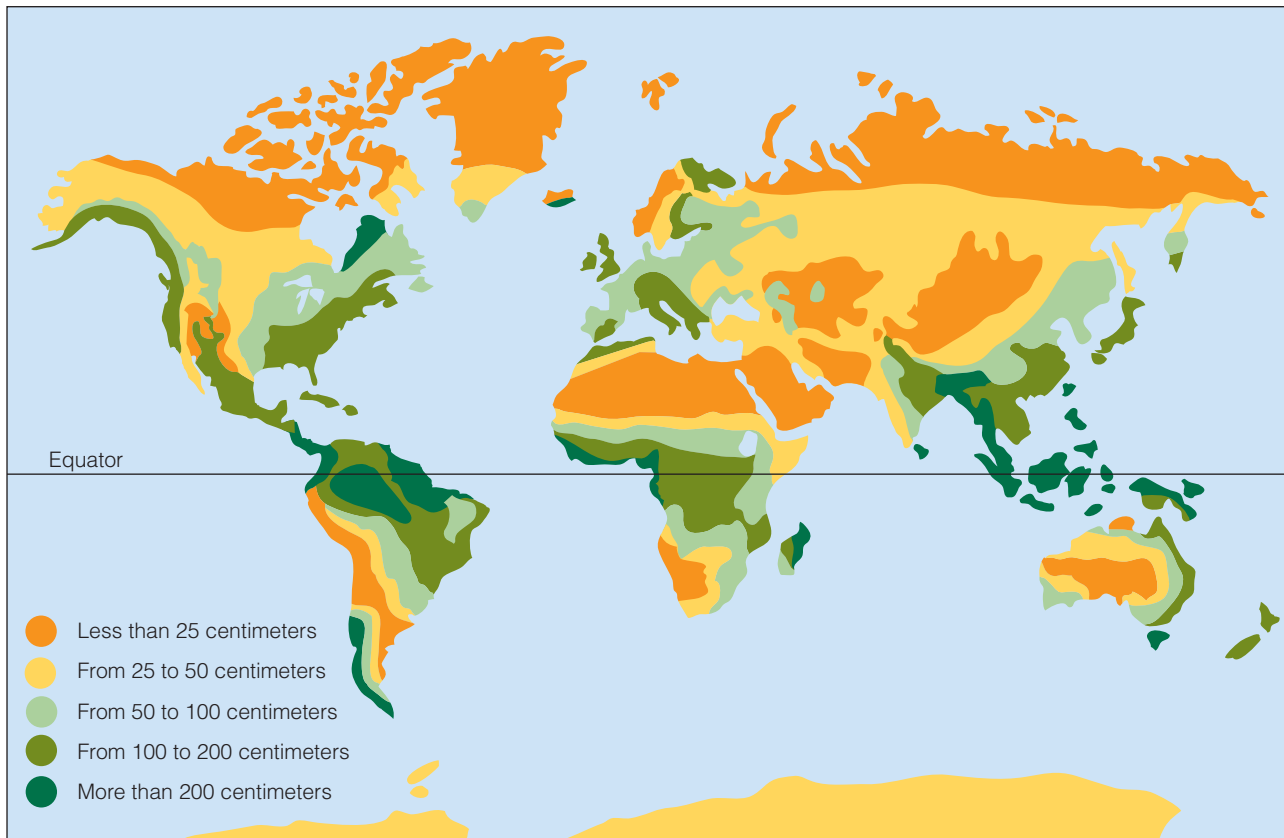
temperatures drop and relative humidity rises. If cool night air temperatures reach the dew point, water condenses and dew forms, lowering the amount of water in the air. As the sun rises, air temperature warms and the water vapor capacity (saturation vapor pressure) increases. As a result, the dew evaporates, increasing vapor pressure in the air.

## 2.7 Precipitation Has a Distinctive Global Pattern

By bringing together patterns of temperature, winds, and ocean currents, we are ready to understand the global pattern of precipitation. Precipitation is not evenly distributed across Earth (Figure 2.17). At first the global map of annual precipitation in Figure 2.17 may seem to have no discernible pattern or regularity. But if we examine the simpler pattern of variation in average rainfall with latitude (Figure 2.18), a general pattern emerges. Precipitation is highest in the region of the equator, declining as one moves north and south. The decline, however, is not continuous. Two troughs occur in the midlatitudes interrupting the general patterns of decline in precipitation from the equator toward the poles. The sequence of peaks and troughs seen in Figure 2.18 corresponds to the pattern of rising and falling air masses associated with the belts of prevailing winds presented in Figure 2.14.

As the warm trade winds move across the tropical oceans, they gather moisture. Near the equator, the northeasterly trade winds meet the southeasterly trade winds. This narrow region



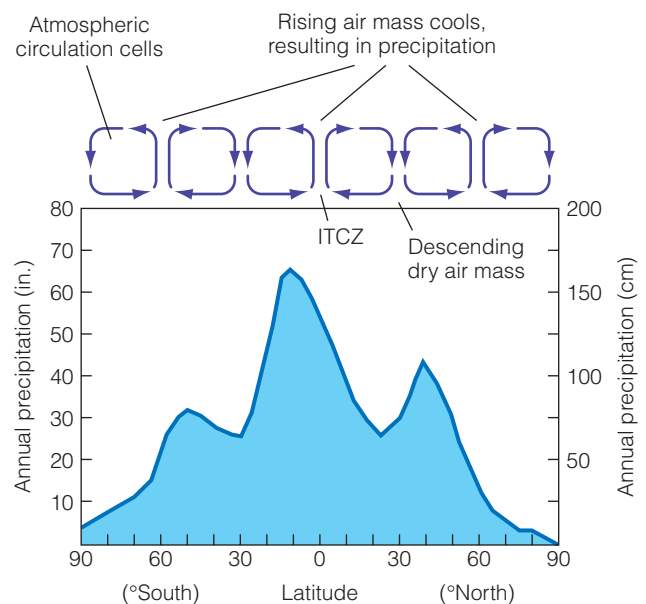


**Figure 2.17** Annual world precipitation. Relate the wettest and driest areas to mountain ranges, ocean currents, and winds.

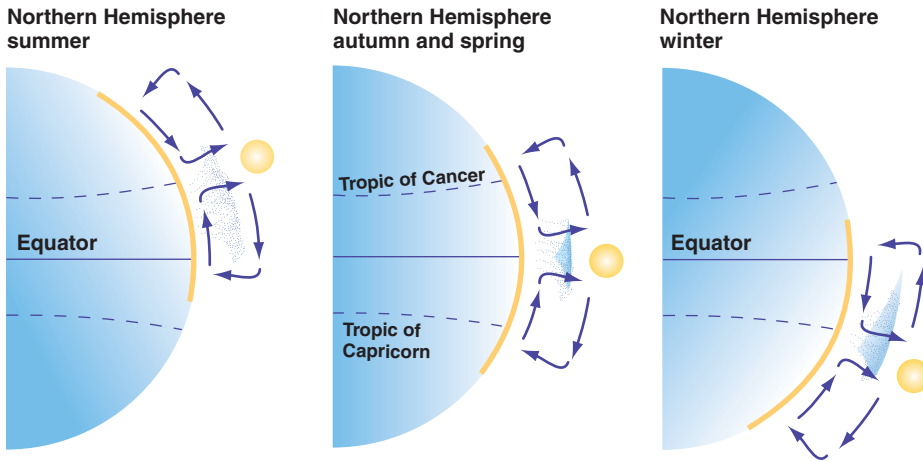
where the trade winds meet is the **intertropical convergence zone (ITCZ)**, characterized by high amounts of precipitation. Where the two air masses meet, air piles up, and the warm humid air rises and cools. When the dew point is reached, clouds form, and precipitation falls as rain. This pattern accounts for high precipitation in the tropical regions of eastern Asia, Africa, and South and Central America (see Figure 2.17).

Having lost much of its moisture, the ascending air mass continues to cool as it splits and moves northward and southward. In the region of the subtropical high (approximately 30° north and south), where the cool air descends, two belts of dry climate encircle the globe (the two troughs at the midlatitudes seen in Figure 2.18). The descending air warms. Because the saturation vapor pressure rises, it draws water from the surface through evaporation, causing arid conditions. In these belts, the world's major deserts have formed (see Chapter 24).

As the air masses continue to move north and south, they once again draw moisture from the surface, but to a lesser degree because of the cooler surface conditions. Moving poleward, they encounter cold air masses originating at the poles (approximately 60° north and south). Where the surface air masses converge and rise, the ascending air mass cools and precipitation occurs (seen as the two peaks in precipitation between 50° and 60° north and south in Figure 2.18). From this point on to the poles, the cold temperature and associated low-saturation vapor pressure function to restrict precipitation.



**Figure 2.18** Variation in mean annual precipitation with latitude. The peaks in rainfall correspond to rising air masses, such as that of the intertropical convergence zone, whereas the troughs are associated with descending dry air masses.



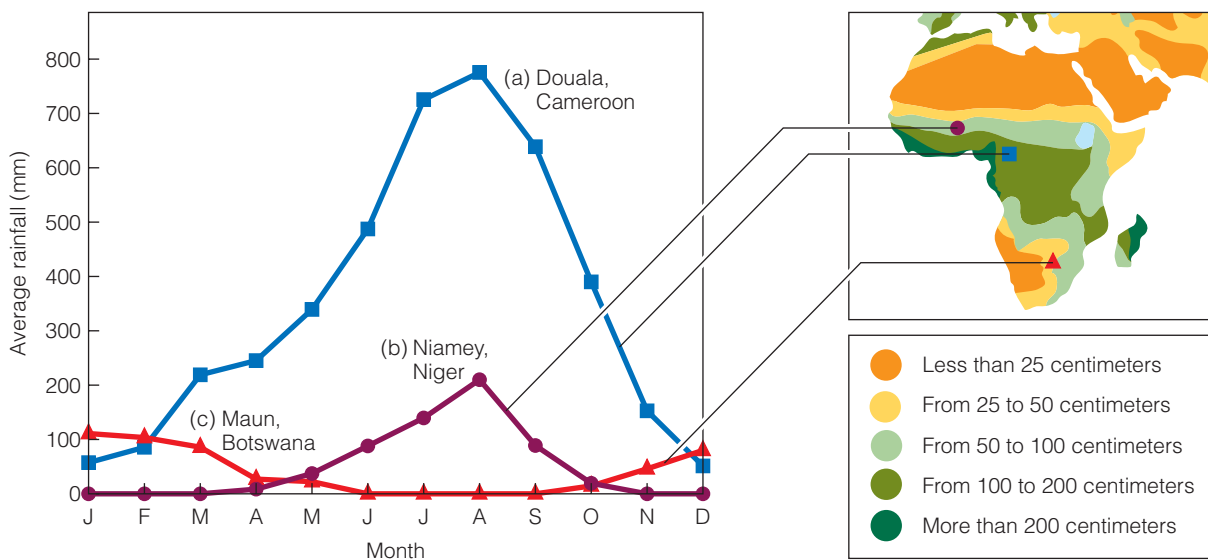
**Figure 2.19** Shifts of the intertropical convergence zone, producing rainy seasons and dry seasons. As the distance from the equator increases, the dry season is longer and the rainfall is less. These oscillations result from changes in the Sun’s altitude between the equinoxes and the solstices, as diagrammed in Figure 2.6. Patterns of air circulation are shown in Figure 2.14.

One other pattern is worth noting in Figure 2.18. In general, rainfall is greater in the Southern Hemisphere than in the Northern Hemisphere (note the southern shift in the rainfall peak associated with the ITCZ). This is because the oceans cover a greater proportion of the Southern Hemisphere, and water evaporates more readily from the water’s surface than from the soil and vegetation. This is also why the interior of continents generally experience less precipitation than the coastal regions do. As air masses move inland from the coast, water vapor lost from the atmosphere through precipitation is not recharged (from surface evaporation) as readily over land as it is over the open waters of the ocean (note the gradients of precipitation from the coast to the interiors of North America and Europe/Asia in Figure 2.17).

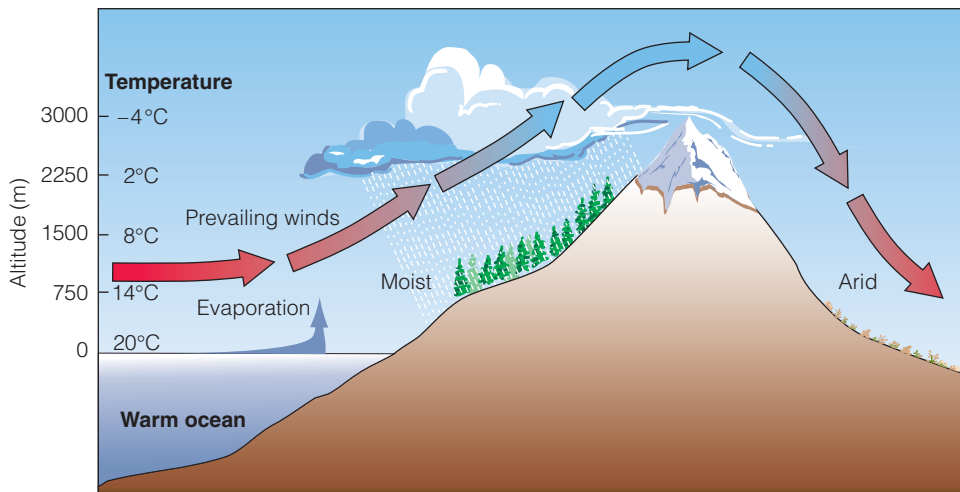
Missing from our discussion thus far is the temporal variation of precipitation over Earth. The temporal variation is directly linked to the seasonal changes in the surface energy balance of Earth and its effect on the movement of global

pressure systems and air masses. This is illustrated in seasonal movement north and south of the ITCZ, which follows the apparent migration of the direct rays of the Sun (see Figure 2.19).

The ITCZ is not stationary but tends to migrate toward regions of the globe with the warmest surface temperature. Although tropical regions around the equator are always exposed to warm temperatures, the Sun is directly over the geographical equator only twice a year, at the spring and fall equinoxes. At the northern summer solstice, the Sun is directly over the Tropic of Cancer; at the winter solstice (which is summer in the Southern Hemisphere), the Sun is directly over the Tropic of Capricorn. As a result, the ITCZ moves poleward and invades the subtropical highs in northern summer; in the winter it moves southward, leaving clear, dry weather behind. As the ITCZ migrates southward, it brings rain to the southern summer. Thus, as the ITCZ shifts north and south, it brings on the wet and dry seasons in the tropics (Figure 2.20).



**Figure 2.20** Seasonal variations in precipitation at three sites within the intertropical convergence zone. Although site (a) shows a seasonal variation, precipitation exceeds 50 mm each month. Sites (b) and (c) are in the ITCZ regions that experience a distinct wet (summer) and dry (winter) season. The rainy season is six months out of phase for these two sites, reflecting the difference in the timing because the summer months occur at different times in the two hemispheres.



**Figure 2.21** Formation of a rain shadow. Air is forced to go over a mountain. As it rises, the air mass cools and loses its moisture as precipitation on the windward side. The descending air, already dry, picks up moisture from the leeward side.

## 2.8 Topography Influences Regional and Local Patterns of Precipitation

Mountainous topography influences local and regional patterns of precipitation. Mountains intercept air flow. As an air mass reaches a mountain, it ascends, cools, relative humidity rises (because of lower saturation vapor pressure), and when the temperature cools to the dew point temperature, precipitation occurs at the upper altitudes of the windward side. As the now cool, dry air descends the leeward side, it warms again and relative humidity declines. As a result, the windward side of a mountain supports denser, more vigorous vegetation and different species of plants and associated animals than does the leeward side, where in some areas dry, desert-like conditions exist. This phenomenon is called a **rain shadow** (Figure 2.21). Thus, in North America, the westerly winds that blow over the Sierra Nevada and the Rocky Mountains, dropping their moisture on west-facing slopes, support vigorous forest growth. By contrast, the eastern slopes exhibit semidesert or desert conditions.

Some of the most pronounced effects of this same phenomenon occur in the Hawaiian Islands. There, plant cover ranges from scrubby vegetation on the leeward side of an island to moist, forested slopes on the windward side (Figure 2.22).

## 2.9 Irregular Variations in Climate Occur at the Regional Scale

The patterns of temporal variation in climate that we have discussed thus far occur at regular and predictable intervals: seasonal changes in temperature with the rotation of Earth around the Sun, and migration of the intertropical convergence zone with the resulting seasonality of rainfall in the tropics and monsoons in Southeast Asia. Not all features of the climate system, however, occur so regularly. Earth's climate system is characterized by variability at both the regional and global scales. The Little Ice Age, a period of cooling that lasted from approximately the mid-14th to the mid-19th century, brought bitterly cold winters to many parts of the Northern Hemisphere, affecting agriculture, health, politics, economics, emigration, and even art and literature. In the mid-17th century, glaciers in the Swiss Alps advanced, gradually engulfing farms and crushing entire villages. In 1780, New York Harbor froze, allowing people to walk from Manhattan to Staten Island. In fact, the image of a white Christmas evoked by Charles Dickens and the New England poets of the 18th and 19th centuries is largely a product of the cold and snowy winters of the Little Ice Age. But the climate has since



(a)



(b)

**Figure 2.22** Rain shadow on the mountains of Maui, Hawaiian Islands. (a) The windward, east-facing slopes intercept the trade winds and are cloaked with wet forest. (b) Low-growing, shrubby vegetation is found on the dry side.

warmed to the point where a white Christmas in these regions is becoming an anomaly.

The Great Plains region of central North America has undergone periods of drought dating back to the mid-Holocene period some 5000 to 8000 years ago, but the homesteaders of the early 20th century settled the Great Plains at a time of relatively wet summers. They assumed these moisture conditions were the norm, and they employed the agricultural methods they had used in the East. So they broke the prairie sod for crops; but the cycle of drought returned, and the prairie grasslands became a dust bowl.

These examples reflect the variability in Earth's climate systems, which operate on timescales ranging from decades to tens of thousands of years, driven by changes in the input of energy to Earth's surface (see Chapter 30). Earth's orbit is not permanent. Changes occur in the tilt of the axis and the shape of the yearly path about the Sun. These variations affect climate by altering the seasonal inputs of solar radiation. Occurring on a timescale of tens of thousands of years, these variations are associated with the glacial advances and retreats throughout Earth's history (see Chapter 19).

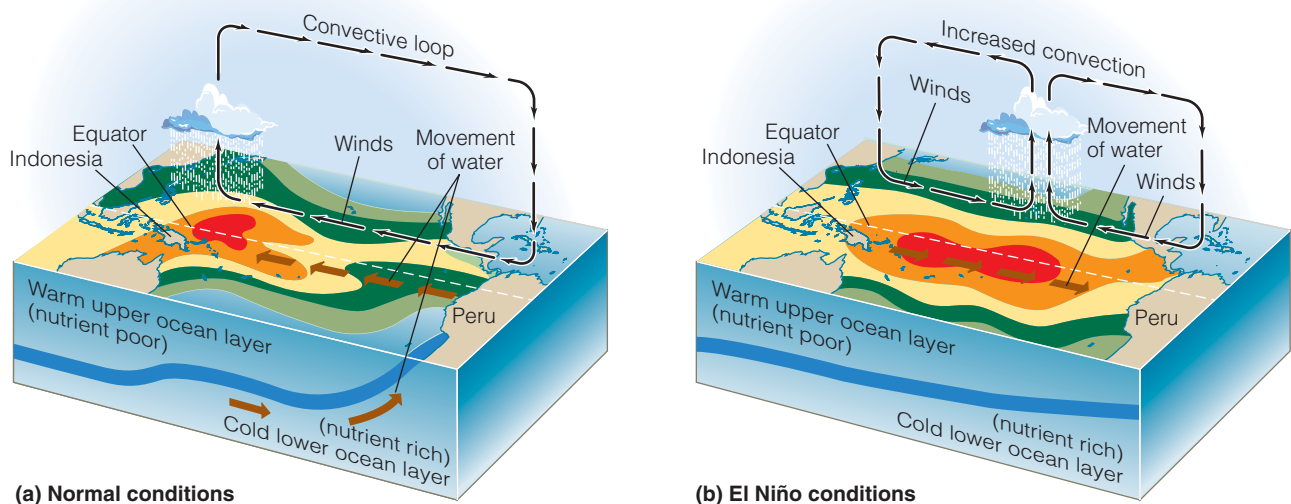
Variations in the level of solar radiation to Earth's surface are also associated with sunspot activity—huge magnetic storms on the Sun. These storms are associated with strong solar emissions and occur in cycles, with the number and size reaching a maximum approximately every 11 years. Researchers have related sunspot activity, among other occurrences, to periods of drought and winter warming in the Northern Hemisphere.

Interaction between two components of the climate system, the ocean and the atmosphere, are connected to some major climatic variations that occur at a regional scale. As far back as 1525, historic documents reveal that fishermen off the coast of Peru recorded periods of unusually warm water.

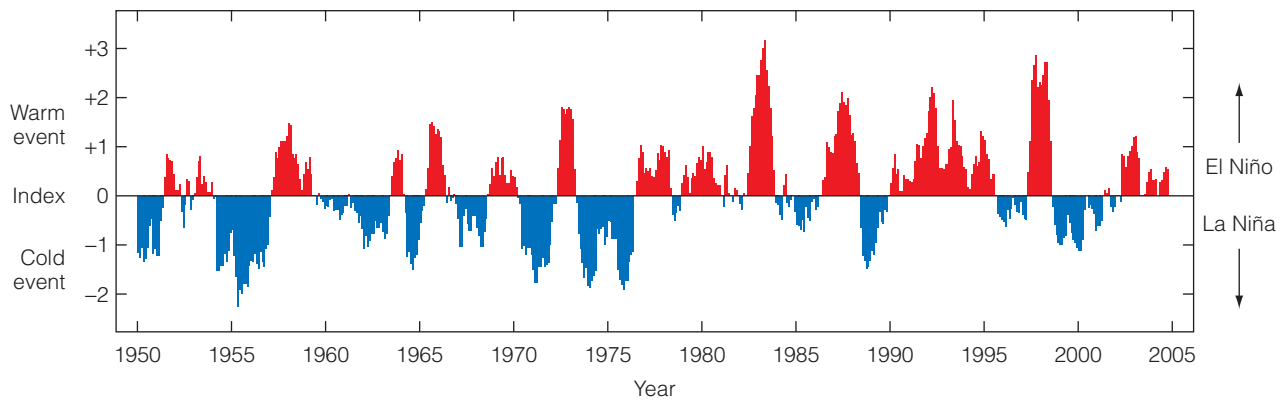
The Peruvians referred to these as *El Niño*, because they commonly appear at Christmastime, the season of the Christ Child (Spanish: *El Niño*). *El Niño* is the phenomenon in the waters of the Galápagos Islands described previously (introduction of Part One, pp. 16–17). Now referred to by scientists as the **El Niño–Southern Oscillation (ENSO)**, this phenomenon is a global event arising from large-scale interaction between the ocean and the atmosphere. The Southern Oscillation, a more recent discovery, refers to an oscillation in the surface pressure (atmospheric mass) between the southeastern tropical Pacific and the Australian-Indonesian regions. When the waters of the eastern Pacific are abnormally warm (an *El Niño* event), sea level pressure drops in the eastern Pacific and rises in the west. The reduction in the pressure gradient is accompanied by a weakening of the low-latitude easterly trades.

Although scientists still do not completely understand the cause of the ENSO phenomenon, its mechanism has been well documented. Recall from Section 2.4 that the trade winds blow westward across the tropical Pacific (see Figure 2.14). As a consequence, the surface currents within the tropical oceans flow westward (see Figure 2.15), bringing cold, deeper waters to the surface off the coast of Peru in a process known as upwelling (see Section 3.8). This pattern of upwelling, together with the cold-water current flowing from south to north along the western coast of South America, results in this region of the ocean being normally colder than one would expect given its equatorial location (Figure 2.23a).

As the surface currents move westward the water warms, giving the water's destination, the western Pacific, the warmest ocean surface on Earth. The warmer water of the western Pacific causes the moist maritime air to rise and cool, bringing abundant rainfall to the region (Figure 2.23a; also see Figure 2.17). In contrast, the cooler waters of the



**Figure 2.23** Schematic of the El Niño–Southern Oscillation (ENSO) that occurs off the western coast of South America. (a) Under normal conditions, strong trade winds move surface waters westward. As the surface currents move westward, the water warms. The warmer water of the western Pacific causes the moist maritime air to rise and cool, bringing abundant rainfall to the region. (b) Under ENSO conditions, the trade winds slacken, reducing the westward flow of the surface currents. Rainfall follows the warm water eastward, with associated flooding in Peru and drought in Indonesia and Australia.



**Figure 2.24** Record of El Niño–La Niña events during the second half of the 20th century. Numbers at the left of the diagram represent the ENSO index, which includes a combination of six factors related to environmental conditions over the tropical Pacific Ocean: air temperature, surface water temperature, sea-level pressure, cloudiness, and wind speed and direction. Warm episodes are in red; cold episodes are in blue. An index value greater than +1 represents an El Niño. A value less than  $-1$  represents a La Niña.

eastern Pacific result in relatively dry conditions along the Peruvian coast.

During an El Niño event, the trade winds slacken, reducing the westward flow of the surface currents (Figure 2.23b). The result is a reduced upwelling and a warming of the surface waters in the eastern Pacific. Rainfall follows the warm water eastward, with associated flooding in Peru and drought in Indonesia and Australia.

This eastward displacement of the atmospheric heat source (latent heat associated with the evaporation of water) (see Section 3.2) overlaying the warm surface waters results in large changes in the global atmospheric circulation, in turn influencing weather in regions far removed from the tropical Pacific.

At other times, the injection of cold water becomes more intense than usual, causing the surface of the eastern Pacific to cool. This variation is referred to as **La Niña** (Figure 2.24). It results in droughts in South America and heavy rainfall, even floods, in eastern Australia.

## 2.10 Most Organisms Live in Microclimates

Most organisms live in local conditions that do not match the general climate profile of the larger region surrounding them. For example, today's weather report may state that the temperature is  $28^{\circ}\text{C}$  and the sky is clear. However, your weather forecaster is painting only a general picture. Actual conditions of specific environments will be quite different depending on whether they are underground versus on the surface, beneath vegetation or on exposed soil, on mountain slopes or at the seashore. Light, heat, moisture, and air movement all vary greatly from one part of the landscape to another, influencing the transfer of heat energy and creating a wide range of localized climates. These **microclimates** define the conditions organisms live in (see Ecological Issues: Urban Microclimates).

On a sunny but chilly day in early spring, flies may be attracted to sap oozing from the stump of a maple tree. The flies

are active on the stump despite the near-freezing air temperature because, during the day, the surface of the stump absorbs solar radiation, heating a thin layer of air above the surface. On a still day, the air heated by the tree stump remains close to the surface, and temperatures decrease sharply above and below this layer. A similar phenomenon occurs when the frozen surface of the ground absorbs solar radiation and thaws. On a sunny, late winter day, the ground is muddy even though the air is cold.

By altering soil temperatures, moisture, wind movement, and evaporation, vegetation moderates microclimates, especially areas near the ground. For example, areas shaded by plants have lower temperatures at ground level than do places exposed to the Sun. On fair summer days in locations 25 mm (1 inch) aboveground, dense forest cover can reduce the daily range of temperatures by  $7^{\circ}\text{C}$  to  $12^{\circ}\text{C}$  below the soil temperature in bare fields. Under the shelter of heavy grass and low plant cover, the air at ground level is completely calm. This calm is an outstanding feature of microclimates within dense vegetation at Earth's surface. It influences both temperature and humidity, creating a favorable environment for insects and other ground-dwelling animals.

Topography, particularly aspect (the direction that a slope faces), influences the local climatic conditions. In the Northern Hemisphere, south-facing slopes receive the most solar energy, whereas north-facing slopes receive the least. At other slope positions, energy varies between these extremes, depending on their compass direction.

Different exposure to solar radiation at south- and north-facing sites has a marked effect on the amount of moisture and heat present. Microclimate conditions range from warm, dry, variable conditions on the south-facing slope to cool, moist, more uniform conditions on the north-facing slope. Because high temperatures and associated high rates of evaporation draw moisture from soil and plants, the evaporation rate at south-facing slopes is often 50 percent higher, the average temperature is higher, and soil moisture is lower. Conditions are driest on the top of south-facing slopes, where

## ECOLOGICAL ISSUES Urban Microclimates

Urban areas create their own microclimates, with significant differences in temperature, rainfall, and wind flow patterns as compared to those in nearby rural areas. Urban microclimates result in high energy use, poor air quality, and adverse effects on public health.

On warm summer days with little or no wind, the air temperature in urban areas can be several degrees hotter than in the surrounding countryside. Scientists thus refer to these urban areas as “urban heat islands.” Urban areas are warmer than surrounding rural environments because of their energy balance, or the difference between the amounts of energy gained and lost. In rural environments, the solar energy absorbed by the vegetation and ground is partially dissipated by water evaporation from the vegetation and soil. In urban areas there is less vegetation, so the buildings, streets, and sidewalks absorb most of the solar energy. In areas with narrow streets and tall buildings, the building walls radiate heat toward one another instead of skyward. Further, because the man-made surfaces of asphalt, cement, and brick are not porous, most rainfall is lost as runoff to storm drains before evaporation can cool the air. Waste heat from cars, buses, and city buildings also contributes to the input of energy. Although this waste heat eventually makes its way into the atmosphere, it can contribute as much as one-third of the thermal energy received from solar radiation. Adding to the problem are construction materials (asphalt, concrete, bricks, and tar), which are better conductors of heat than is the vegetation that dominates the landscapes of surrounding rural areas. At night, these materials slowly give off heat that was stored during the day.

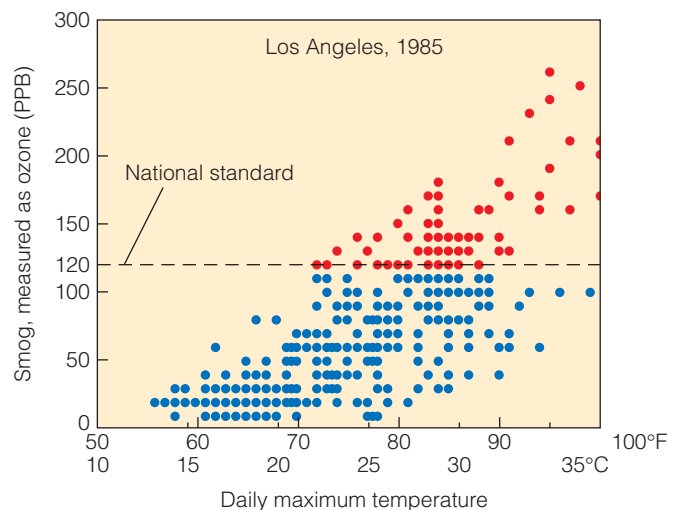
The heat island effect can raise temperatures from 6°C to 8°C above those in the surrounding countryside. In Baltimore, Phoenix, Tucson, and Washington, D.C., for example, scientific data show that July’s maximum temperatures during the past 30 to 80 years have been steadily increasing at a rate of 0.5 to 1 degree Fahrenheit every decade. The highest temperatures are in areas of highest population density and activity, whereas temperatures decline markedly toward the periphery of the city. Although temperature differences are detectable throughout the year, the heat island effect is most pronounced during the summer and early winter—particularly at night, when thermal energy stored by pavement and buildings reradiates into the air.

The heat island effect also has an impact on air quality within urban areas. Throughout the year, urban areas are blanketed with particulate matter and pollutants from the combustion of fossil fuels and industrial activity. Smog is created by photochemical reactions of pollutants in the atmosphere. At higher temperatures,

these reactions occur at an increasing rate. In Los Angeles, for example, for every degree the temperature rises above 20°C, the incidence of smog increases by 3 percent (Figure 1). Smog contains ozone, a pollutant that can be harmful at elevated levels in the air we breathe. Ozone also adversely affects vegetation both within the urban environment and in the surrounding rural areas. The heat island effect exacerbates these effects on air quality, as higher ambient temperatures during the summer months increase air-conditioning energy use. As power plants burn more fossil fuels, they increase both pollution levels and energy costs.

Particulate matter has other microclimatic effects. Because of a city’s low evaporation rate and the lack of vegetation, relative humidity is lower in urban areas than in surrounding rural areas. However, the particulates act as condensation nuclei for water vapor in the air, producing fog and haze. Fog is much more frequent in urban areas than in the country, especially in winter.

1. What simple steps could be taken to decrease the heat island effect in urban areas?
2. How might the urban heat island effect influence surrounding rural environments?



**Figure 1** Relationship between daily maximum temperature and smog concentration (measured as ozone concentration in parts per billion—PPB) for the city of Los Angeles (1985). The dashed horizontal line at 120 PPB represents the national standard as defined by the U.S. Environmental Protection Agency.

air movement is greatest, and dampest at the bottom of north-facing slopes.

The same microclimatic conditions occur on a smaller scale on north- and south-facing slopes of large ant hills, mounds of soil, dunes, and small ground ridges in otherwise flat terrain, as well as on the north- and south-facing sides of buildings, trees, and logs. The south-facing sides of buildings are always warmer and drier than the north-facing sides—a

consideration for landscape planners, horticulturists, and gardeners. North sides of tree trunks are cooler and moister than south sides, as reflected by more vigorous growth of moss on the north sides. In winter, the temperature of the north-facing side of a tree may be below freezing while the south side, heated by the Sun, is warm. This temperature difference may cause frost cracks in the bark as sap, thawed by day, freezes at night. Bark beetles and other wood-dwelling insects that seek

cool, moist areas for laying their eggs prefer north-facing locations. Flowers on the south side of tree crowns often bloom sooner than those on the north side.

Microclimatic extremes also occur in depressions in the ground and on the concave surfaces of valleys, where the air is protected from the wind. Heated by sunlight during the day and cooled by terrestrial vegetation at night, this air often becomes stagnant. As a result, these sheltered sites experience lower nighttime temperatures (especially in winter), higher daytime temperatures (especially in summer), and higher relative humidity. If the temperature drops low enough, frost

pockets form in these depressions. The microclimates of the frost pockets often display the same phenomenon, supporting different kinds of plant life than found on surrounding higher ground.

Although the global and regional patterns of climate discussed constrain the large-scale distribution and abundance of plants and animals, the localized patterns of microclimate define the actual environmental conditions sensed by the individual organism. This localized microclimate thus determines the distribution and activities of organisms in a particular region.

---

## Summary

### Interception of Solar Radiation 2.1

Earth intercepts solar energy in the form of shortwave radiation, which easily passes through the atmosphere, and emits much of it back as longwave radiation. However, energy of longer wavelengths cannot readily pass through the atmosphere and so is returned to Earth, producing the greenhouse effect.

### Seasonal Variation 2.2

The amount of solar radiation intercepted by Earth varies markedly with latitude. Tropical regions near the equator receive the greatest amount of solar radiation, and high latitudes receive the least. Because Earth tilts on its axis, parts of Earth receive seasonal differences in solar radiation. These differences give rise to seasonal variations in temperature and rainfall. There is a global gradient in mean annual temperature; it is warmest in the tropics and declines toward the poles.

### Altitude and Temperature 2.3

Heating and cooling, influenced by energy emitted from Earth's surface and by atmospheric pressure, cause air masses to rise and sink. This movement of air masses involves an adiabatic process in which heat is neither gained from nor lost to the outside.

### Atmospheric Circulation 2.4

Vertical movements of air masses give rise to global patterns of atmospheric circulation. The spin of Earth on its axis deflects air and water currents to the right in the Northern Hemisphere and to the left in the Southern Hemisphere. Three cells of global air flow occur in each hemisphere.

### Ocean Currents 2.5

The global pattern of winds and the Coriolis effect cause major patterns of ocean currents. Each ocean is dominated by great circular water motions, or gyres. These gyres move clockwise in the Northern Hemisphere and counterclockwise in the Southern Hemisphere.

### Atmospheric Moisture 2.6

Atmospheric moisture is measured in terms of relative humidity. The maximum amount of moisture the air can hold at any given temperature is called the saturation vapor pressure, which increases with temperature. Relative humidity is the amount of water in the air, expressed as a percentage of the maximum amount the air could hold at a given temperature.

### Precipitation 2.7

Wind, temperature, and ocean currents produce global patterns of precipitation. They account for regions of high precipitation in the tropics and belts of dry climate at approximately 30° N and S latitude.

### Topography 2.8

Mountainous topography influences local and regional patterns of precipitation. As an air mass reaches a mountain, it ascends, cools, becomes saturated with water vapor, and releases much of its moisture at upper altitudes of the windward side.

### Irregular Variation 2.9

Not all temporal variation in regional climate occurs at a regular interval. Irregular variations in the trade winds give rise to periods of unusually warm waters off the coast of western South America. Referred to by scientists as the El Niño, this phenomenon is a global event arising from large-scale interaction between the ocean and the atmosphere.

### Microclimates 2.10

The actual climatic conditions that organisms live in vary considerably within one climate. These local variations, or microclimates, reflect topography, vegetative cover, exposure, and other factors on every scale. Angles of solar radiation cause marked differences between north- and south-facing slopes, whether on mountains, sand dunes, or ant mounds.

## Study Questions

1. Why does the equator receive more solar radiation than the polar regions? What is the consequence of latitudinal patterns of temperature?
2. What is the greenhouse effect, and how does it influence the energy balance (and temperature) of Earth?
3. The 23.5° tilt of Earth on its north–south axis gives rise to the seasons (review Figure 2.5). How would the pattern of seasons differ if the Earth’s tilt were 90°? How would this influence the diurnal (night–day) cycle?
4. Why are the coastal waters of the southeastern United States warmer than the coastal waters off the southwestern coast? (Assume the same latitude.)
5. The air temperature at noon on January 20 was 45°F, and the air temperature at noon on July 20 at the same location was 85°F. The relative humidity on both days was 75 percent. On which of these two days was there more water vapor in the air?
6. How might the relative humidity of a parcel of air change as it moves up the side of a mountain? Why?
7. What is the intertropical convergence zone (ITCZ), and why does it give rise to a distinct pattern of seasonality in precipitation in the tropical zone?
8. What feature of global atmospheric circulation gives rise to the desert zones of the midlatitudes?
9. Which aspect, south-facing or north-facing slopes, would receive the most solar radiation in the mountain ranges of the Southern Hemisphere?
10. Spruce Knob (latitude 38.625° N) in eastern West Virginia is named for the spruce trees dominating the forests at this site. Spruce trees are typically found in the colder forests of the more northern latitudes (northeastern United States and Canada). What does the presence of spruce trees at Spruce Knob tell you about this site?

## Further Readings

Ahrens, C. D. 2009. *Meteorology today: An introduction to weather, climate, and the environment*. 9th ed. Belmont, CA: Brooks/Cole.

An excellent introductory text on climate, clearly written and well illustrated.

Fagen, B. 2001. *The Little Ice Age: How climate made history, 1300–1850*. New York: Basic Books.

An enjoyable book that gives an overview of the effects of the Little Ice Age on human history.

Geiger, R. 1965. *Climate near the ground*. Cambridge, MA: Harvard University Press.

A classic book on microclimate that continues to be a major reference on the subject.

Graedel, T. E., and P. J. Crutzen. 1997. *Atmosphere, climate and change*. New York: Scientific American Library.

A short introduction to climate written for the general public. Provides an excellent background for those interested in topics relating to air pollution and climate change.

Philander, G. 1989. El Niño and La Niña. *American Scientist* 77:451–459.

Suplee, C. 1999. El Niño, La Niña. *National Geographic* 195:73–95.

These two articles provide a general introduction to the El Niño–La Niña climate cycle.



# The Aquatic Environment



A rainstorm over the ocean—a part of the water cycle.

- 3.1** Water Cycles between Earth and the Atmosphere
- 3.2** Water Has Important Physical Properties
- 3.3** Light Varies with Depth in Aquatic Environments
- 3.4** Temperature Varies with Water Depth
- 3.5** Water Functions as a Solvent
- 3.6** Oxygen Diffuses from the Atmosphere to the Surface Waters
- 3.7** Acidity Has a Widespread Influence on Aquatic Environments
- 3.8** Water Movements Shape Freshwater and Marine Environments
- 3.9** Tides Dominate the Marine Coastal Environment
- 3.10** The Transition Zone between Freshwater and Saltwater Environments Presents Unique Constraints

**W**ater is the essential substance of life, the dominant component of all living organisms. About 75–95 percent of the weight of all living cells is water, and there is hardly a physiological process in which water is not fundamentally important.

Covering some 75 percent of the planet's surface, water is also the dominant environment on Earth. A major feature influencing the adaptations of organisms that inhabit aquatic environments is water salinity (see Section 3.5). For this reason, aquatic ecosystems are divided into two major categories: saltwater (or marine) and freshwater. These two major categories are further divided into a variety of aquatic ecosystems based on the depth and flow of water, substrate, and the type of organisms (typically plants) that dominate. We will explore the diversity of aquatic environments and the organisms that inhabit them later (Chapter 25). For now, we will examine the unique physical and chemical characteristics of water and how those characteristics interact to define the different aquatic environments and constrain the evolution of organisms that inhabit them.

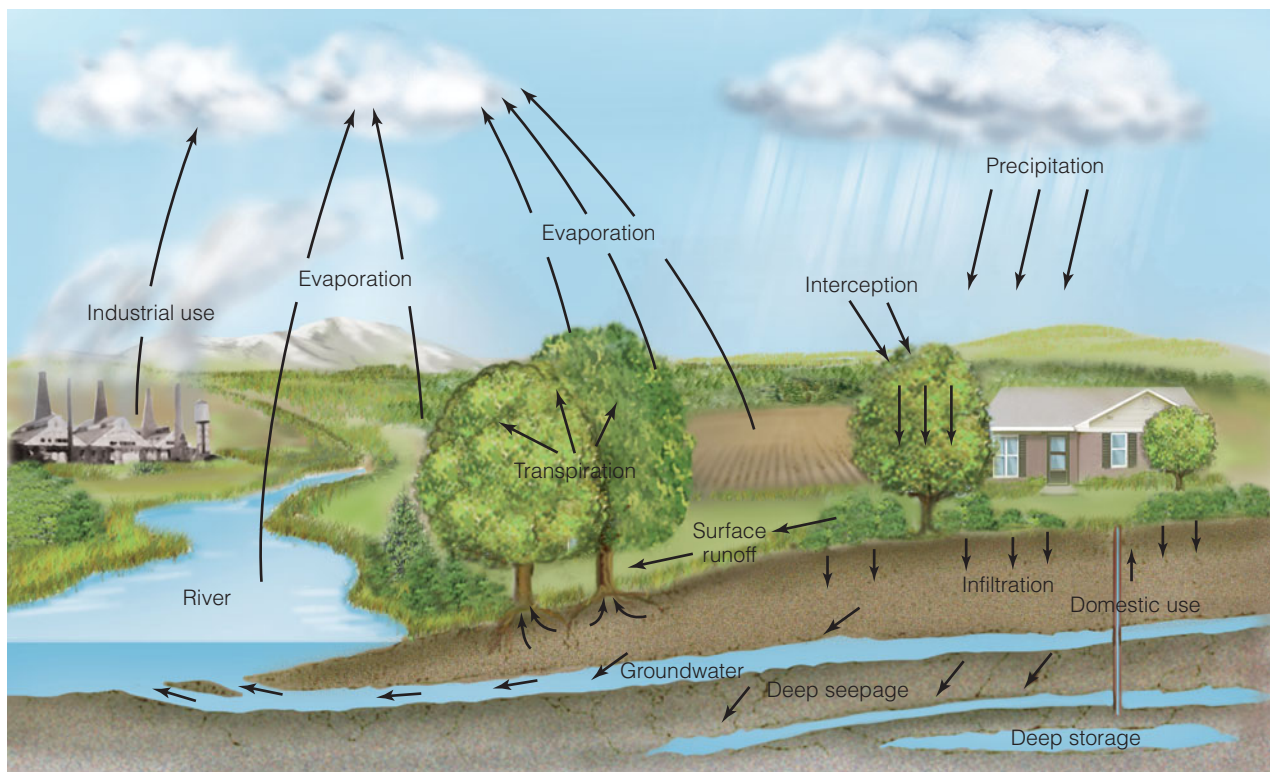
### 3.1 Water Cycles between Earth and the Atmosphere

All marine and freshwater aquatic environments are linked, either directly or indirectly, as components of the **water cycle** (also referred to as the **hydrologic cycle**; Figure 3.1)—the process by which water travels in a sequence from the air to Earth and returns to the atmosphere.

Solar radiation, which heats Earth's atmosphere and provides energy for the evaporation of water, is the driving force behind the water cycle (see Chapter 2). **Precipitation** sets the water cycle in motion. Water vapor, circulating in the atmosphere, eventually falls in some form of precipitation. Some of the water falls directly on the soil and bodies of water. Some is intercepted by vegetation, dead organic matter on the ground, and urban structures and streets (**interception**).

Because of interception, which can be considerable, various amounts of water never infiltrate the ground but evaporate directly back to the atmosphere. Precipitation that reaches the soil moves into the ground by **infiltration**. The rate of infiltration depends on the type of soil, slope, vegetation, and intensity of the precipitation (see Section 4.8). During heavy rains when the soil is saturated, excess water flows across the surface of the ground as **surface runoff** or overland flow. At places, it concentrates into depressions and gullies, and the flow changes from sheet to channelized flow—a process that can be observed on city streets as water moves across the pavement into gutters. Because of low infiltration, runoff from urban areas might be as much as 85 percent of the precipitation.

Some water entering the soil seeps down to an impervious layer of clay or rock to collect as **groundwater** (see Figure 3.1; also see Ecological Issues: Groundwater Resources). From there, water finds its way into springs and streams. Streams coalesce into rivers as they follow the topography of the landscape. In basins and floodplains, lakes and wetlands form. Rivers eventually flow to the coast, forming the transition from freshwater to marine environments.



**Figure 3.1** The water cycle on a local scale, showing major pathways of water movement.

## ECOLOGICAL ISSUES Groundwater Resources

The addition of water to agricultural fields, known as the process of irrigation, is an ancient and important practice. In dry environments, irrigation is essential. However, even in regions where average precipitation is adequate for agricultural production, irrigation often provides a steady supply of water to fields, making plant growth less dependent on the effects of weather. Although only 15 percent of the world's cultivated land is irrigated, it accounts for 35–40 percent of the global food harvest.

In most cases, groundwater supplies the necessary water for irrigation. Approximately 30 percent of the groundwater used for irrigation in the United States comes from a single source: the High Plains–Ogallala aquifer (Figure 1). An aquifer is a layer of water-bearing permeable rock, sand, or gravel capable of providing

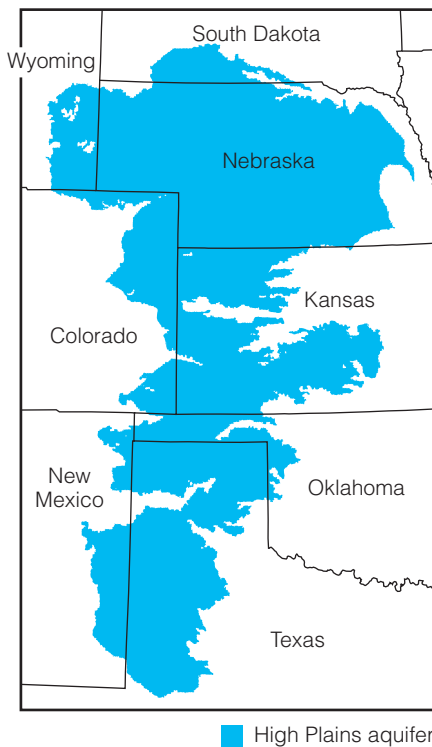
significant amounts of water. The buried sand and gravel that hold the aquifer water originated from rivers that have flowed eastward from the Rockies over the past several million years. The thickness of the aquifer, averaging 65 m, varies from more than 1000 m in Nebraska to less than 20 m in New Mexico. The water it contains dates to the last ice age, more than 10,000 years ago.

The high permeability of the High Plains–Ogallala aquifer enables a large volume of water to be pumped from the ground, but it also means the cumulative effect of pumping at any location is to draw down the water level over an extensive area of the aquifer. Water is now being pumped from more than 200,000 wells in the region as much as 50 times faster than water in the aquifer is being recharged. For decades, it was assumed that the aquifer's water supply was endless. Intensive pumping since the 1940s, however, has steadily lowered the water level in many areas. Withdrawals in 1990 for irrigation alone exceeded 14 billion gallons per day. During the period of drought in the western states that extended from mid-1992 to late 1996, the decline in the water level (depth) of the aquifer averaged 40 cm per year.

One consequence of decreased water levels in the aquifer is a large increase in the costs of pumping, which is becoming prohibitively expensive in some places. Pumping has also decreased the volume of water discharged from the aquifer into streams and springs. For example, pumping from the aquifer in Colorado decreased flow in the Arkansas River, which flows through Kansas.

Besides the impacts on agriculture, the decline of the High Plains–Ogallala aquifer affects the supply of drinking water in the region. Although 95 percent of the water pumped from the aquifer is used for irrigation, upward of 80 percent of the people in the region depend on the aquifer for drinking water. Water in some areas of the aquifer does not meet the U.S. Environmental Protection Agency's (EPA) standards for human consumption, and declining water levels reduce the quality of remaining waters as the concentrations of salts and other solutes increase.

1. In many areas of the High Plains–Ogallala aquifer, there is no possibility of developing additional water sources and supplies. In these areas, what steps could possibly be taken to help conserve existing water supplies?
2. Because the use of water in one state can influence the long-term availability of water throughout the region (other states), how would you propose that these water resources be managed?

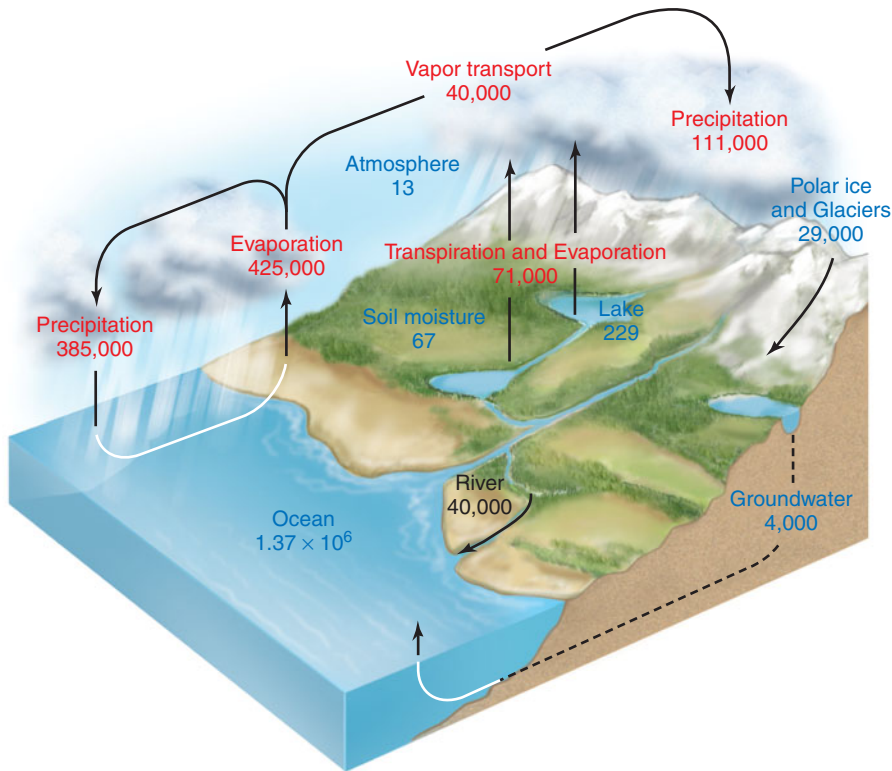


**Figure 1** The High Plains–Ogallala aquifer underlies an area of approximately 174,000 square miles that extends through eight states. This aquifer is the principal source of water in one of the major agricultural regions of the United States.

Water remaining on the surface of the ground, in the upper layers of the soil, and collected on the surface of vegetation—as well as water in the surface layers of streams, lakes, and oceans—returns to the atmosphere by evaporation. The rate of evaporation is governed by how much water vapor is in the air relative to the saturation vapor pressure (relative humidity; see Section 2.6). Plants cause additional water loss from the soil. Through their roots, they take in water from the soil and lose it through the leaves and other organs in a process called transpiration.

**Transpiration** is the evaporation of water from internal surfaces of leaves, stems, and other living parts (see Chapter 6). The total amount of evaporating water from the surfaces of the ground and vegetation (surface evaporation plus transpiration) is called **evapotranspiration**.

Figure 3.2 is a diagram of the global water cycle showing the various reservoirs (bodies of water) and fluxes (exchanges between reservoirs). The total volume of water on Earth is approximately 1.4 billion cubic kilometers ( $\text{km}^3$ ) of which



**Figure 3.2** Global water cycle. Values for reservoirs (shown in blue) are in  $10^8\text{km}^3$ . Values for fluxes (shown in red) are in  $\text{km}^3$  per year.

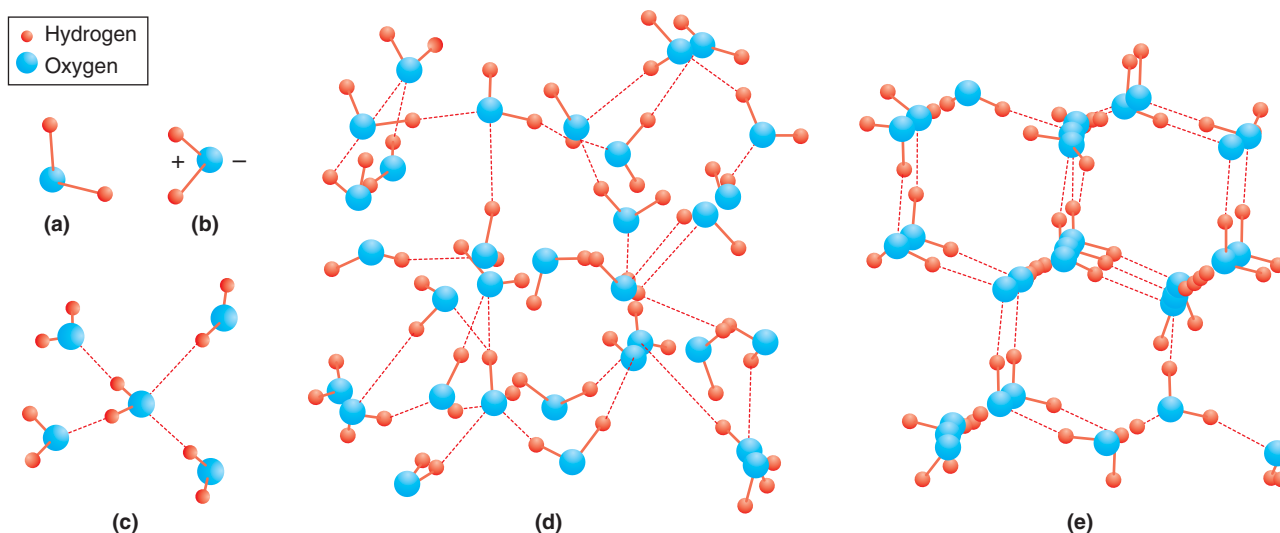
more than 97 percent resides in the oceans. Another 2 percent of the total is found in the polar ice caps and glaciers, and the third largest active reservoir is groundwater (0.3 percent). Over the oceans, evaporation exceeds precipitation by some  $40,000 \text{ km}^3$ . A significant proportion of the water evaporated from the oceans is transported by winds over the land surface in the form of water vapor, where it is deposited as precipitation. Of the  $111,000 \text{ km}^3$  of water that falls as precipitation on the land surface, only some  $71,000 \text{ km}^3$  is returned to the atmosphere as evapotranspiration. The remaining  $40,000 \text{ km}^3$  is carried as runoff by rivers and eventually returns to the oceans. This amount balances the net loss of water from the oceans to the atmosphere through evaporation that is eventually deposited on the continents (land surface) as precipitation (Figure 3.2).

The relatively small size of the atmospheric reservoir (only  $13 \text{ km}^3$ ) does not reflect its importance in the global water cycle. In Figure 3.2, note the large fluxes between the atmosphere and the oceans and land surface relative to the amount of water residing in the atmosphere at any given time (reservoir size). The importance of the atmosphere in the global water cycle is better reflected by the turnover time of this reservoir. The turnover time is calculated by dividing the size of the reservoir by the rate of output (flux out). For example, the turnover time for the ocean is the size of the reservoir ( $1.37 \times 10^6 \text{ km}^3$ ) divided by the rate of evaporation ( $425 \text{ km}^3$  per year) or more than 3000 years. In contrast, the turnover time of the atmospheric reservoir is approximately 0.024 year. That is to say, the entire water content of the atmosphere is replaced on average every nine days.

## 3.2 Water Has Important Physical Properties

The physical arrangement of its component molecules makes water a unique substance. A molecule of water consists of two atoms of hydrogen (H) joined to one atom of oxygen (O), represented by the chemical symbol  $\text{H}_2\text{O}$ . The H atoms are bonded to the O atoms asymmetrically, such that the two H atoms are at one end of the molecule and the O atom is at the other (Figure 3.3a). The bonding between the two hydrogen atoms and the oxygen atom is via shared electrons (called a covalent bond), so that each H atom shares a single electron with the oxygen. The shared hydrogen atoms are closer to the oxygen atom than they are to each other. As a result, the side of the water molecule where the H atoms are located has a positive charge, and the opposite side where the oxygen atom is located has a negative charge, thus polarizing the water molecule (termed a *polar covalent bond*; Figure 3.3b).

Because of its polarity, each water molecule becomes weakly bonded with its neighboring molecules (Figure 3.3c). The positive (hydrogen) end of one molecule attracts the negative (oxygen) end of the other. The angle between the hydrogen atoms encourages an open, tetrahedral-like arrangement of water molecules. This situation, when hydrogen atoms act as connecting links between water molecules, is **hydrogen bonding**. The simultaneous bonding of a hydrogen atom to the oxygen atoms of two different water molecules gives rise to a lattice arrangement of molecules (Figure 3.3d). These bonds, however, are weak in comparison to the bond between the hydrogen and oxygen atoms. As a result, they are easily broken and reformed.

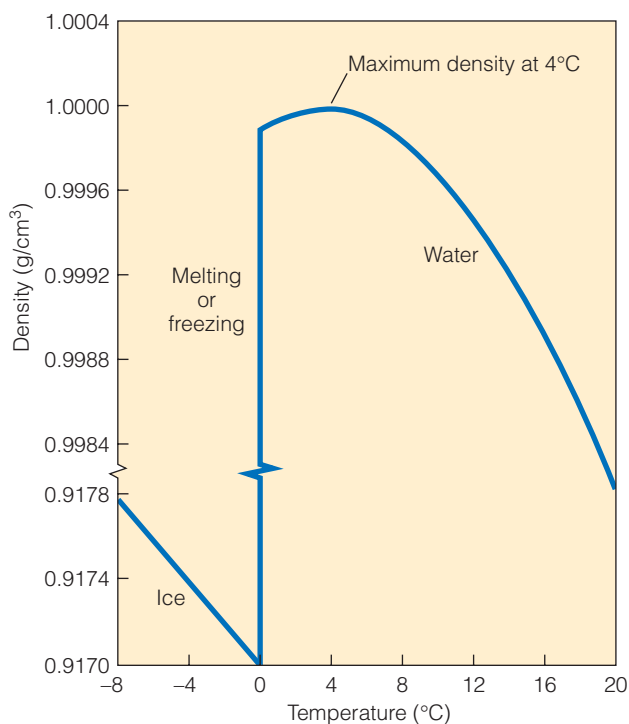


**Figure 3.3** The structure of water. (a) An isolated water molecule, showing the angular arrangement of the hydrogen atoms. (b) Polarity of water. (c) Hydrogen bonds to one neighboring molecule of water. (d) The structure of liquid water. (e) The open lattice structure of ice.

Water has some unique properties related to its hydrogen bonds. One property is high **specific heat**—the number of calories necessary to raise 1 gram of water 1 degree Celsius. The specific heat of water is defined as a value of 1, and other substances are given a value relative to water. Water can store tremendous quantities of heat energy with a small rise in temperature. As a result, great quantities of heat must be absorbed before the temperature of natural waters, such as ponds, lakes, and seas, rises just 1°C. These waters warm up slowly in spring and cool off just as slowly in the fall. This process prevents the wide seasonal fluctuations in the temperature of aquatic habitats so characteristic of air temperatures and moderates the temperatures of local and worldwide environments (see Chapter 2). The high specific heat of water also is important in the thermal regulation of organisms. Because 75–95 percent of the weight of all living cells is water, temperature variation is also moderated relative to changes in ambient temperature.

Due to the high specific heat of water, large quantities of heat energy are required to change its state between solid (ice), liquid, and gaseous (water vapor) phases. Collectively, the energy released or absorbed in transforming water from one state to another is called latent heat (see Section 2.6). Removing only 1 calorie (4.184 joules) of heat energy will lower the temperature of a gram of water from 2°C to 1°C, but approximately 80 times as much heat energy (80 calories per gram) must be removed to convert that same quantity of water at 1°C to ice (freezing point of 0°C). Likewise, it takes 536 calories to overcome the attraction between molecules and convert 1 g of water at 100°C into vapor, the same amount of heat needed to raise 536 g of water 1°C.

The lattice arrangement of molecules gives water a peculiar density–temperature relationship. Most liquids become denser as they are cooled. If cooled to their freezing temperature, they become solid, and the solid phase is denser than the liquid. This description is not true for water. Pure water becomes denser as it is cooled until it reaches 4°C (Figure 3.4). Cooling below this temperature results in a decrease in density. When 0°C is



**Figure 3.4** Density of pure water (and ice) as a function of temperature. The maximum density of water is at 4°C, and it declines dramatically as water changes from a liquid to a solid (ice).

reached, freezing occurs and the lattice structure is complete—each oxygen atom is connected to four other oxygen atoms by means of hydrogen atoms. The result is a lattice with large, open spaces and therefore decreased density (see Figure 3.3e). Water molecules when frozen occupy more space than they would in liquid form. Because of its reduced density, ice is lighter than water and floats on it. This property is crucial to life in aquatic environments. The ice on the surface of water bodies insulates



**Figure 3.5** The property of surface tension allows the water strider (*Gerris remigis*) to glide across the water surface.

the waters below, helping to keep larger bodies of water from freezing solid during the winter months.

Due to hydrogen bonding, water molecules tend to stick firmly to each other, resisting external forces that would break these bonds. This property is called **cohesion**. In a body of water, these forces of attraction are the same on all sides. At the water's surface, however, conditions are different. Below the surface, molecules of water are strongly attracted to one another. Above the surface is the much weaker attraction between water molecules and air. Therefore, molecules on the surface are drawn downward, resulting in a surface that is taut like an inflated balloon. This condition, called **surface tension**, is important in the lives of aquatic organisms.

For example, the surface of water is able to support small objects and animals, such as the water striders (*Gerridae* spp.) and water spiders (*Dolomedes* spp.) that run across a pond's surface (Figure 3.5). To other small organisms, surface tension is a barrier, whether they wish to penetrate the water below or escape into the air above. For some, the surface tension is too great to break; for others, it is a trap to avoid while skimming the surface to feed or to lay eggs. If caught in the surface tension, a small insect may flounder on the surface. The nymphs of mayflies (*Ephemeroptera* spp.) and caddis flies (*Trichoptera* spp.) that live in the water and transform into winged adults are hampered by surface tension when trying to emerge from the water. While slowed down at the surface, these insects become easy prey for fish.

Cohesion is also responsible for the viscosity of water. **Viscosity** is the property of a material that measures the force necessary to separate the molecules and allow an object to pass through the liquid. Viscosity is the source of frictional resistance to objects moving through water. This frictional resistance of water is 100 times greater than that of air. The streamlined body shape of many aquatic organisms, for example most fish and marine mammals, helps to reduce frictional resistance. Replacement of water in the space left behind by the moving animal increases drag on the body. An animal streamlined in reverse, with a short, rounded front and a rapidly tapering body, meets the least water resistance. The perfect example of such streamlining is the sperm whale (*Physeter catodon*; Figure 3.6).



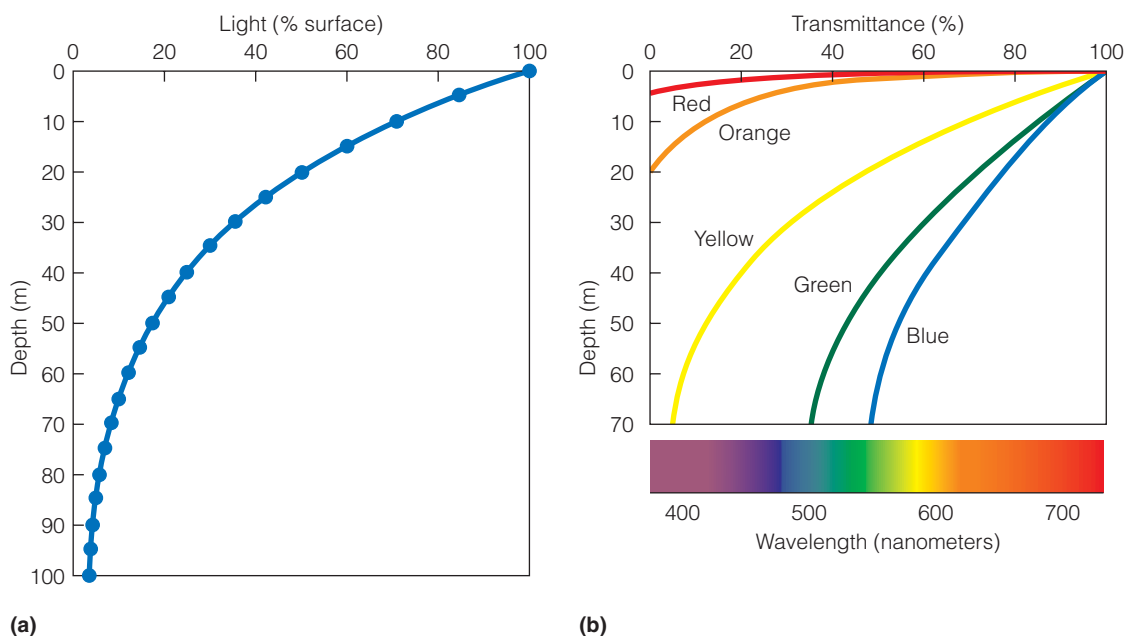
**Figure 3.6** The body of the sperm whale (*Physeter catodon*) is streamlined in reverse, with a short, rounded front and a rapidly tapering body. This shape meets the least water resistance.

Water's high viscosity relative to air is due largely to its greater density. The density of water is about 860 times greater than that of air (pure water has a density of  $1000 \text{ kg/m}^3$ ). Although the resulting viscosity of water limits the mobility of aquatic organisms, it also benefits them. If a body submerged in water weighs less than the water it displaces, it is subjected to an upward force called **buoyancy**. Because most aquatic organisms (plants and animals) are close to neutral buoyancy (their density is similar to that of water), they do not require structural material such as skeletons or cellulose to hold themselves erect against the force of gravity. Similarly, when moving on land, terrestrial animals must raise their mass against the force of gravity for each step they take. Such movement requires significantly more energy than swimming movements do for aquatic organisms.

But water's greater density can profoundly affect the metabolism of marine organisms inhabiting the deeper waters of the ocean. Because of its greater density, water also experiences greater changes in pressure with depth than does air. At sea level, the weight of the vertical column of air from the top of the atmosphere to the sea surface is  $1 \text{ kg/cm}^2$  or 1 atmosphere (atm). In contrast, pressure increases 1 atm for each 10 m in depth. Because the deep ocean varies in depth from a few hundred meters down to the deep trenches at more than 10,000 m, the range of pressure at the ocean bottom is from 20 atm to more than 1000 atm. Recent research has shown that both proteins and biological membranes are strongly affected by pressure and must be modified to work in animals living in the deep ocean.

### 3.3 Light Varies with Depth in Aquatic Environments

When light strikes the surface of water, a certain amount is reflected back to the atmosphere. The amount of light reflected from the surface depends on the angle at which the light strikes the surface. The lower the angle, the larger the amount of light reflected. As a result, the amount of light reflected from the water surface will vary both diurnally and seasonally as a



**Figure 3.7** (a) Attenuation of incident light with water depth (pure water), expressed as a percentage of light at the water surface. Estimates assume a light extinction coefficient of  $k_w = 0.035$  (see Quantifying Ecology 4.1, pp. 56–57). (b) The passage of light through water (transmittance) reduces the quantity of light and modifies its spectral distribution (see Figure 2.4). Red wavelengths are attenuated more rapidly than green and blue wavelengths.

person moves from the equator to the poles (see Section 2.2 for a complete discussion).

The amount of light entering the water surface is reduced further by two additional processes. First, suspended particles, both alive and dead, intercept the light and either absorb or scatter it. The scattering of light increases its path of travel through the water and results in further attenuation. Second, water itself absorbs light (Figure 3.7). Even in perfectly clean, clear water, only about 40 percent of shortwave radiation reaches a depth of 1 m. Moreover, water absorbs some wavelengths more than others. First to be absorbed are visible red light and infrared radiation in wavelengths greater than 750 nm. This absorption reduces solar energy by one-half. Next, in clear water yellow disappears, followed by green and violet, leaving only blue wavelengths to penetrate deeper water. A fraction of blue light is lost with increasing depth. In the clearest seawater, only about 10 percent of blue light reaches to more than 100 m in depth.

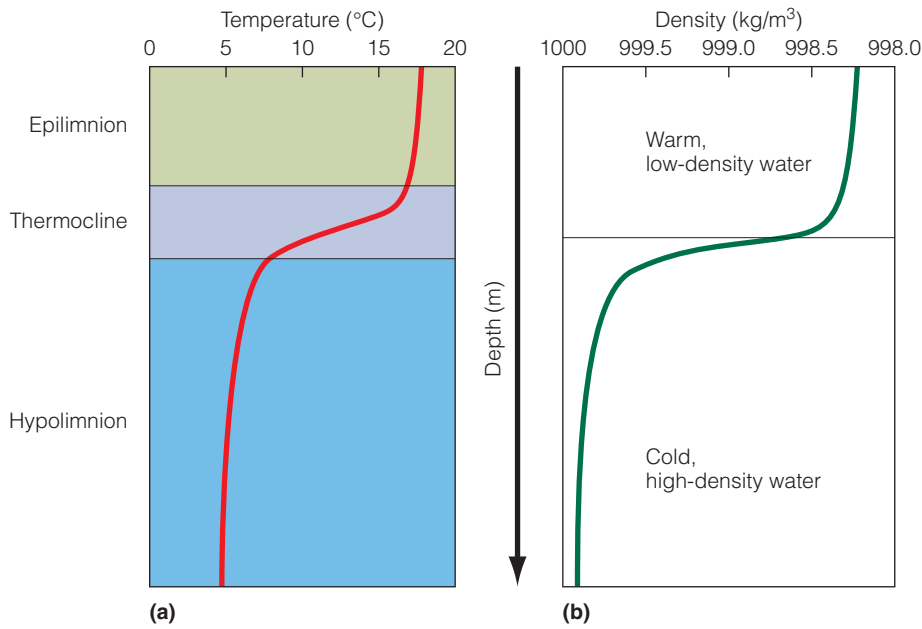
These changes in the quantity and quality of light have important implications to life in aquatic environments, both directly by influencing the quantity and distribution of productivity and indirectly by influencing the vertical profile of temperature with water depth (see Section 21.4 and Chapter 25). The lack of light in deeper waters of the oceans has resulted in various adaptations. Organisms of the deeper ocean (200–1000 m deep) are typically silvery gray or deep black, and organisms living in even deeper waters (below 1000 m) often lack pigment. Another adaptation is large eyes, which give these organisms maximum light-gathering ability. Many organisms have adapted organs that produce light through chemical reactions referred to as bioluminescence (see Section 25.10).

### 3.4 Temperature Varies with Water Depth

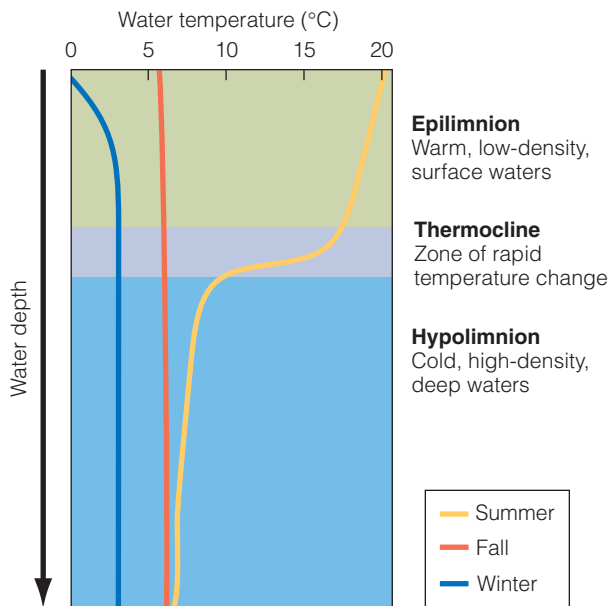
Surface temperatures reflect the balance of incoming and outgoing radiation (see Section 2.1). As solar radiation is absorbed in the vertical water column, the temperature profile with depth might be expected to resemble the vertical profile of light shown in Figure 3.7—that is, decreasing exponentially with depth. However, the physical characteristic of water density plays an important role in modifying this pattern (Section 3.2, Figure 3.4).

As sunlight is absorbed in the surface waters, it heats up (Figure 3.8). Winds and surface waves mix the surface waters, distributing the heat vertically. As a result, the decline in water temperature with depth will lag the decline in solar radiation. Below this mixed layer, however, temperatures drop rapidly. This region of the vertical depth profile where the temperature declines most rapidly is called the **thermocline**. The depth of the thermocline will depend on the input of solar radiation to the surface waters and on the degree of vertical mixing (wind speed and wave action). Below the thermocline, water temperatures continue to fall with depth but at a much slower rate. The result is a distinct pattern of temperature zonation with depth.

The difference in temperature between the warm, well-mixed surface layer and the cooler waters below the thermocline causes a distinctive difference in water density in these two vertical zones. The thermocline is located between an upper layer of warm, lighter (less dense) water called the **epilimnion** and a deeper layer of cold, denser water called the **hypolimnion** (Figure 3.8; also see Section 22.8 and Figure 22.18). The density change at the thermocline acts as a physical barrier that



**Figure 3.8** Temperature and density profiles with water depth for an open body of water such as a lake or pond. (a) The vertical profile of temperature might be expected to resemble the profile of light presented in Figure 3.7, but vertical mixing of the surface waters transports heat to the waters below. Below this mixed layer, temperatures decline rapidly in a region termed the thermocline. Below the thermocline, temperatures continue declining at a slower rate. The vertical profile can therefore be divided into three distinct zones: epilimnion, thermocline, and hypolimnion. (b) The rapid decline in temperature in the thermocline results in a distinct difference in water density (see Figure 3.4) in the warmer epilimnion as compared to the cooler waters of the hypolimnion, leading to a two-layer density profile—warm, low-density surface water and cold, high-density deep water.



**Figure 3.9** Seasonal changes in the vertical temperature profile (with water depth) for an open body of water such as a lake or pond. As air temperatures decline during the fall months, the surface water cools and sinks so that the temperature is uniform with depth. With the onset of winter, surface water further cools and ice may form on the surface. When spring arrives, the process reverses and the thermocline once again forms.

prevents mixing of the upper (epilimnion) and lower (hypolimnion) layers.

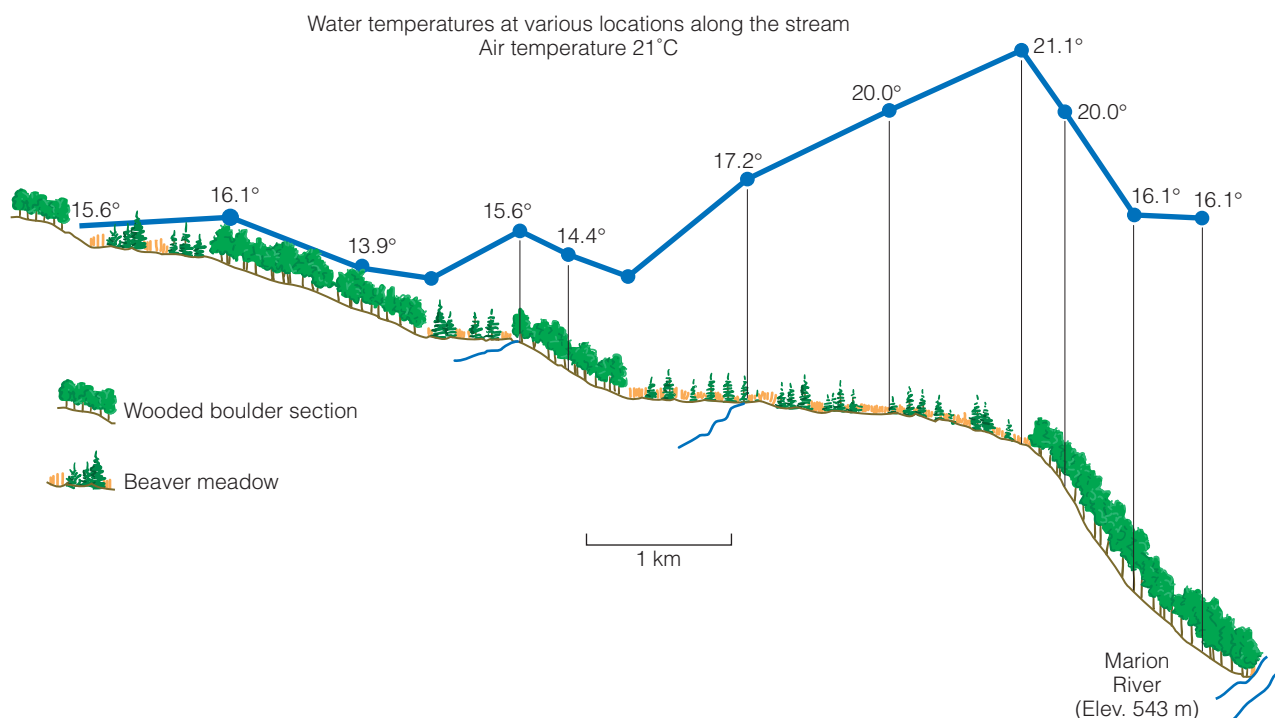
Just as seasonal variation in the input of solar radiation to Earth's surface results in seasonal changes in surface temperatures (see Section 2.2), seasonal changes in the input of solar radiation to the water surface give rise to seasonal changes in the vertical profile of temperature in aquatic environments (Figure 3.9). Due to the relatively constant input

of solar radiation to the water surface throughout the year, the thermocline is a permanent feature of tropical waters. In the waters of the temperate zone, a distinct thermocline exists during the summer months. By fall, conditions begin to change, and a turnabout takes place. Air temperatures and sunlight decrease, and the surface water of the epilimnion starts to cool. As it does, the water becomes denser and sinks, displacing the warmer water below to the surface, where it cools in turn. As the difference in water density between the epilimnion and hypolimnion continues to decrease, winds are able to mix the vertical profile to greater depths. This process continues until the temperature is uniform throughout the basin (see Figure 3.9). Now, pond and lake water circulate throughout the basin. This process of vertical circulation, called the fall turnover, is an important component of nutrient dynamics in open-water ecosystems (see Chapter 22). Stirred by wind, the process of vertical mixing may last until ice forms at the surface.

Then comes winter, and as the surface water cools to below 4°C, it becomes lighter again and remains on the surface. (Remember, water becomes lighter above and below 4°C; see Figure 3.4.) If the winter is cold enough, surface water freezes; otherwise, it remains close to 0°C. Now the warmest place in the pond or lake is on the bottom. In spring, the breakup of ice and heating of surface water with increasing inputs of solar radiation to the surface again causes the water to stratify.

Because not all bodies of water experience such seasonal changes in stratification, do not consider this phenomenon as characteristic of all deep bodies of water. In some very deep lakes and the oceans, the thermocline simply descends during periods of turnover and does not disappear at all. In such bodies of water, the bottom water never becomes mixed with the top layer. In shallow lakes and ponds, temporary stratification of short duration may occur; in other bodies of water, stratification may exist, but the depth is not sufficient to develop a distinct thermocline. However, some form of thermal stratification occurs in all open bodies of water.





**Figure 3.10** Profile of Bear Brook (Adirondack Mountains, New York) and a graph of its water temperatures. Water temperatures rise as the stream moves through the open beaver meadows, once again declining as it flows into the shaded cover of the wooded forest.

The temperature of a flowing body of water (stream or river), on the other hand, is variable (Figure 3.10). Small, shallow streams tend to follow, but lag behind, air temperatures. They warm and cool with the seasons but rarely fall below freezing in winter. Streams with large areas exposed to sunlight are warmer than those shaded by trees, shrubs, and high banks. That fact is ecologically important because temperature affects the stream community, influencing the presence or absence of cool-water and warm-water organisms. For example, the dominant predatory fish shift from species such as trout and smallmouth bass, which require cooler water and more oxygen, to species such as suckers and catfish, which require warmer water and less oxygen (see Figure 25.13).

### 3.5 Water Functions as a Solvent

As you stir a spoonful of sugar into a glass of water, it dissolves, forming a homogeneous, or uniform, mixture. A liquid that is a homogeneous mixture of two or more substances is called a **solution**. The dissolving agent of a solution is the **solvent**, and the substance that is dissolved is referred to as the **solute**. A solution in which water is the solvent is called an **aqueous solution**.

Water is an excellent solvent that can dissolve more substances than can any other liquid. This extraordinary ability makes water a biologically crucial substance. Water provides a fluid that dissolves and transports molecules of nutrients and waste products, helps to regulate temperature, and preserves chemical equilibrium within living cells.

The solvent ability of water is due largely to the bonding discussed in Section 3.2. Because the H atom is bonded to the

O atoms asymmetrically (see Figure 3.3), one side of every water molecule has a permanent positive charge and the other side has a permanent negative charge; such a situation is called a permanent dipole (*dipole* refers to oppositely charged poles). Because opposite charges attract, water molecules are strongly attracted to each other; and they also attract other molecules carrying a charge.

Compounds that consist of electrically charged atoms or groups of atoms are called **ions**. Sodium chloride (table salt), for example, is composed of positively charged sodium ions ( $\text{Na}^+$ ) and negatively charged chloride ions ( $\text{Cl}^-$ ) arranged in a crystal lattice. When placed in water, the attractions between negative (oxygen atom) and positive (hydrogen atoms) charges on the water molecule (see Figure 3.3) and those of the sodium and chloride atoms are greater than the forces (ionic bonds) holding the salt crystals together. Consequently, the salt crystals readily dissociate into their component ions when placed into contact with water; that is, they dissolve.

The solvent properties of water are responsible for most of the minerals (elements and inorganic compounds) found in aquatic environments. When water condenses to form clouds, it is nearly pure except for some dissolved atmospheric gases. In falling to the surface as precipitation, water acquires additional substances from particulates and dust particles suspended in the atmosphere. Water that falls on land flows over the surface and percolates into the soil, obtaining more solutes. Surface waters, such as streams and rivers, pick up more solutes from the substances through and over which they flow. The waters of most rivers and lakes contain 0.01–0.02 percent dissolved minerals. The relative concentrations of minerals in these waters reflect the substrates over which the waters flow. For example,

waters that flow through areas where the underlying rocks consist largely of limestone, composed primarily of calcium carbonate ( $\text{CaCO}_3$ ), will have high concentrations of calcium ( $\text{Ca}^{2+}$ ) and bicarbonate ( $\text{HCO}_3^-$ ).

In contrast to freshwaters, the oceans have a much higher concentration of solutes. In effect, the oceans function as a large still. The flow of freshwaters into the oceans continuously adds to the solute content of the waters, as pure water evaporates from the surface to the atmosphere. The concentration of solutes, however, cannot continue to increase indefinitely. When the concentration of specific elements reaches the limit set by the maximum solubility of the compounds they form (grams per liter), the excess amounts will precipitate and be deposited as sediments. Calcium, for example, readily forms calcium carbonate ( $\text{CaCO}_3$ ) in the waters of the oceans. The maximum solubility of calcium carbonate, however, is only 0.014 gram per liter of water, a concentration that was reached early in the history of the oceans. As a result, calcium ions continuously precipitate out of solution and are deposited as limestone sediments on the ocean bottom.

In contrast, the solubility of sodium chloride is very high (360 grams per liter). In fact, these two elements, sodium and chlorine, make up some 86 percent of sea salt. Sodium and chlorine—along with other major elements such as sulfur, magnesium, potassium, and calcium, whose relative proportions vary little—compose 99 percent of sea salts (Table 3.1). Determination of the most abundant element, chlorine, is used as an index of salinity. Salinity is expressed in **practical salinity units (psu)**, represented as ‰ and measured as grams of chlorine per kilogram of water. The salinity of the open sea is fairly constant, averaging about 35‰. In contrast, the salinity of freshwater ranges from 0.065 to 0.30‰. However, over geologic timescales (hundreds of millions of years), the salinity of the oceans has increased and continues to do so.

**Table 3.1** Composition of Seawater of 35 Practical Salinity Units (psu)

Elements	g/kg	Milli-moles/kg	Milli-equivalents/kg
<i>Cations</i>			
Sodium	10.752	467.56	467.56
Potassium	0.395	10.10	10.10
Magnesium	1.295	53.25	106.50
Calcium	0.416	10.38	20.76
Strontium	0.008	0.09	0.18
			605.10
<i>Anions</i>			
Chlorine	19.345	545.59	545.59
Bromine	0.066	0.83	0.83
Fluorine	0.0013	0.07	0.07
Sulfate	2.701	28.12	56.23
Bicarbonate	0.145	2.38	—
Boric acid	0.027	0.44	—
			602.72

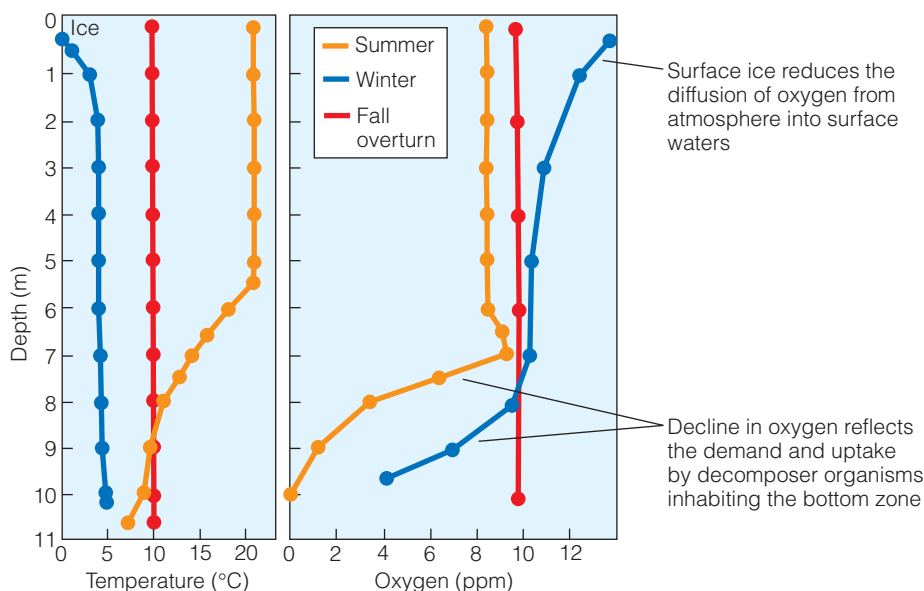
### 3.6 Oxygen Diffuses from the Atmosphere to the Surface Waters

Water's role as a solvent is not limited to dissolving solids. The surface of a body of water defines a boundary with the atmosphere. Across this boundary, gases are exchanged through the process of diffusion. **Diffusion** is the general tendency of molecules to move from a region of high concentration to one of lower concentration. The process of diffusion results in a net transfer of two metabolically important gases, oxygen and carbon dioxide, from the atmosphere (higher concentration) into the surface waters (lower concentration) of aquatic environments.

Oxygen diffuses from the atmosphere into the surface water. The rate of diffusion is controlled by the solubility of oxygen in water and the steepness of the diffusion gradient (the difference in concentration between the air and the surface waters where diffusion is occurring). The solubility of gases in water is a function of temperature, pressure, and salinity. The saturation value of oxygen is greater for cold water than warm water because the solubility (ability to stay in solution) of a gas in water decreases as the temperature rises. However, solubility increases as atmospheric pressure increases and decreases as salinity increases, which is not significant in freshwater.

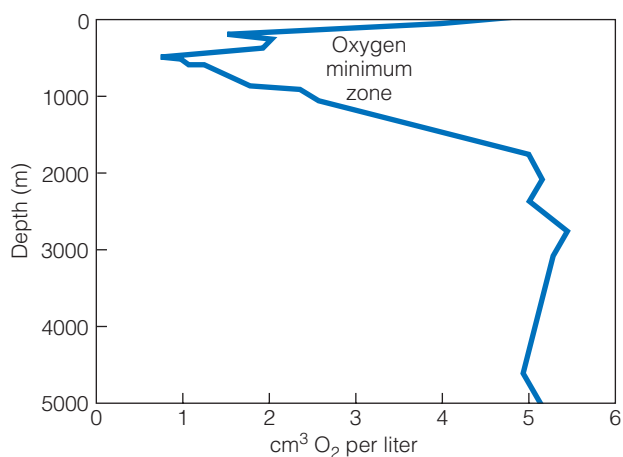
Once oxygen enters the surface water, the process of diffusion continues, and oxygen diffuses from the surface to the waters below (lower concentration). Water, with its greater density and viscosity relative to air, limits how quickly gases diffuse through water. Gases diffuse some 10,000 times slower in water than in air. In addition to the process of diffusion, oxygen absorbed by surface water is mixed with deeper water by turbulence and internal currents. In shallow, rapidly flowing water and in wind-driven sprays, oxygen may reach and maintain saturation and even supersaturated levels due to the increase of absorptive surfaces at the air–water interface. Oxygen is lost from the water as temperatures rise, decreasing solubility, and through the uptake of oxygen by aquatic life.

During the summer, oxygen, like temperature (see Section 3.4), may become stratified in lakes and ponds. The amount of oxygen usually is greatest near the surface, where an interchange between water and atmosphere, further stimulated by the stirring action of the wind, takes place (Figure 3.11). Besides entering the water by diffusion from the atmosphere, oxygen is also a product of photosynthesis, which is largely restricted to the surface waters due to the limitations of available light (see Figure 3.7 and Chapter 6). The quantity of oxygen decreases with depth because of the oxygen demand by decomposer organisms living in the bottom sediments (Chapter 22). During spring and fall turnover, when water recirculates through the lake, oxygen becomes replenished in deep water. In winter, the reduction of oxygen in unfrozen water is slight because the demand for oxygen by organisms is reduced by the cold, and oxygen is more soluble at low temperatures. Under ice, however, oxygen depletion may be serious due to the lack of diffusion from the atmosphere to the surface waters.



**Figure 3.11** Oxygen stratification in Mirror Lake, New Hampshire, in winter, summer, and late fall. The late fall turnover results in uniform temperature as well as uniform distribution of oxygen throughout the lake basin. In summer, a pronounced stratification of both temperature and oxygen exists. Oxygen declines sharply in the thermocline and is nonexistent on the bottom due to its uptake by decomposer organisms in the sediments. In winter, oxygen is also stratified; but its concentration is low in deep water.

(Adapted from Likens 1985.)



**Figure 3.12** Vertical profile of oxygen with depth in the Atlantic Ocean. The oxygen content of the waters declines to a depth known as the oxygen minimum zone. Oxygen increase below this may be due to the influx of cold, oxygen-rich waters that sank in the polar waters.

As with ponds and lakes, oxygen is not distributed uniformly within the depths of the oceans (Figure 3.12). A typical oceanic oxygen profile shows a maximum amount in the upper 10–20 m, where photosynthetic activity and diffusion from the atmosphere often lead to saturation. With increasing depth, oxygen content declines. In the open waters of the ocean, concentrations reach a minimum value of 500–1000 m, a region referred to as the *oxygen minimum zone*. Unlike lakes and ponds, where the seasonal breakdown of the thermocline and resulting mixing of surface and deep waters result in a dynamic gradient of temperature and oxygen content, the limited depth of surface mixing in the deep oceans maintains the vertical gradient of oxygen availability year-round.

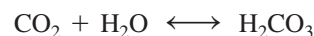
The availability of oxygen in aquatic environments characterized by flowing water is different. The constant churning of stream water over riffles and falls gives greater contact with

the atmosphere; the oxygen content of the water is high, often near saturation for the prevailing temperature. Only in deep holes or in polluted waters does dissolved oxygen show any significant decline.

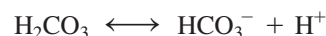
Even under ideal conditions, gases are not very soluble in water. Rarely is oxygen limited in terrestrial environments. In aquatic environments, the supply of oxygen, even at saturation levels, is meager and problematic. Compared with its concentration of 0.21 liter per liter in the atmosphere (21 percent by volume), oxygen in water reaches a maximum solubility of 0.01 liters per liter (1 percent) in freshwater at a temperature of 0°C. As a result, the concentration of oxygen in aquatic environments often limits respiration and metabolic activity.

### 3.7 Acidity Has a Widespread Influence on Aquatic Environments

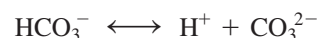
The solubility of carbon dioxide is somewhat different from that of oxygen in its chemical reaction with water. Water has a considerable capacity to absorb carbon dioxide, which is abundant in both freshwater and saltwater. Upon diffusing into the surface, carbon dioxide reacts with water to produce carbonic acid ( $\text{H}_2\text{CO}_3$ ):



Carbonic acid further dissociates into a hydrogen ion and a bicarbonate ion:



Bicarbonate may further dissociate into another hydrogen ion and a carbonate ion:

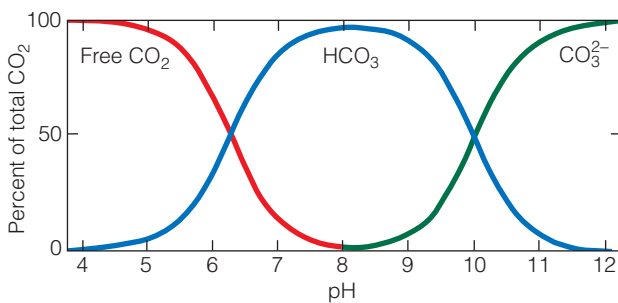


The carbon dioxide–carbonic acid–bicarbonate system is a complex chemical system that tends to stay in equilibrium.

(Note that the arrows in the preceding equations go in both directions.) Therefore, if  $\text{CO}_2$  is removed from the water, the equilibrium is disturbed and the equations will shift to the left, with carbonic acid and bicarbonate producing more  $\text{CO}_2$  until a new equilibrium is produced.

The chemical reactions just described result in the production and absorption of free hydrogen ions ( $\text{H}^+$ ). The abundance of hydrogen ions in solution is a measure of **acidity**. The greater the number of  $\text{H}^+$  ions, the more acidic the solution will be. **Alkaline** solutions are those that have a large number of  $\text{OH}^-$  (hydroxyl ions) and few  $\text{H}^+$  ions. The measurement of acidity and alkalinity is pH, calculated as the negative logarithm (base 10) of the concentration of hydrogen ions in solution. In pure water, a small fraction of molecules dissociates into ions:  $\text{H}_2\text{O} \rightarrow \text{H}^+ + \text{OH}^-$ , and the ratio of  $\text{H}^+$  ions to  $\text{OH}^-$  ions is 1:1. Because both occur in a concentration of  $10^{-7}$  moles per liter, a neutral solution has a pH of 7 [ $-\log(10^{-7}) = 7$ ]. A solution departs from neutral when one ion increases and the other decreases. Customarily, we use the negative logarithm of the hydrogen ion to describe a solution as an acid or a base. Thus, a gain of hydrogen ions to  $10^{-6}$  moles per liter means a decrease of  $\text{OH}^-$  ions to  $10^{-8}$  moles per liter, and the pH of the solution is 6. The negative logarithmic pH scale goes from 1 to 14. A pH greater than 7 denotes an alkaline solution (greater  $\text{OH}^-$  concentration) and a pH of less than 7 an acidic solution (greater  $\text{H}^+$  concentration).

Although pure water is neutral in pH, because the dissociation of the water molecule produces equal numbers of  $\text{H}^+$  and  $\text{OH}^-$  ions, the presence of  $\text{CO}_2$  in the water will alter this relationship. The preceding chemical reactions result in the production and absorption of free hydrogen ions ( $\text{H}^+$ ). Because the abundance of hydrogen ions in solution is the measure of acidity, the dynamics of the carbon dioxide–carbonic acid–bicarbonate system directly affects the pH of aquatic ecosystems. In general, the carbon dioxide–carbonic acid–bicarbonate system functions as a buffer to keep the pH of water within a narrow range. It does this by absorbing hydrogen ions in the water when they are in excess (producing carbonic acid and bicarbonates) and producing them when they are in short supply (producing carbonate and bicarbonate ions). At neutrality (pH 7), most of the  $\text{CO}_2$  is present as  $\text{HCO}_3^-$  (Figure 3.13). At a high pH, more  $\text{CO}_2$  is present as  $\text{CO}_3^{2-}$  than at a low pH, where more  $\text{CO}_2$  occurs in the free condition. Addition or removal of  $\text{CO}_2$  affects pH, and a change in pH affects  $\text{CO}_2$ .



**Figure 3.13** Theoretical percentages of  $\text{CO}_2$  in each of the three forms present in water in relation to pH. At low values of pH (acidic conditions), most of the  $\text{CO}_2$  is in its free form. At intermediate values (neutral conditions) bicarbonate dominates, whereas under alkaline conditions most of the  $\text{CO}_2$  is in the form of carbonate ions.

The pH of natural waters ranges between 2 and 12. Waters draining from watersheds dominated geologically by limestone ( $\text{CaCO}_3$ ) will have a much higher pH and be well buffered as compared to waters from watersheds dominated by acid sandstone and granite. The presence of the strongly alkaline ions sodium, potassium, and calcium in ocean waters results in seawater being slightly alkaline, usually ranging from 7.5 to 8.4.

The pH of aquatic environments can exert a powerful influence on the distribution and abundance of organisms. Increased acidity can affect organisms directly, by influencing physiological processes, and indirectly, by influencing the concentrations of toxic heavy metals. Tolerance limits for pH vary among plant and animal species, but most organisms cannot survive and reproduce at a pH below about 4.5. Aquatic organisms are unable to tolerate low pH conditions largely because acidic waters contain high concentrations of aluminum. Aluminum is highly toxic to many species of aquatic life and thus leads to a general decline in aquatic populations.

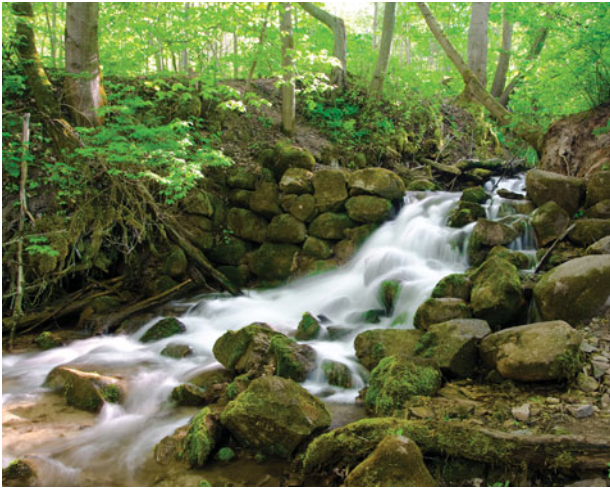
Aluminum is insoluble when the pH is neutral or basic. Insoluble aluminum is present in very high concentrations in rocks, soils, and river and lake sediments. Under normal pH conditions, the aluminum concentrations of lake water are very low; however, as the pH drops and becomes more acidic, aluminum begins to dissolve, raising the concentration in solution.

### 3.8 Water Movements Shape Freshwater and Marine Environments

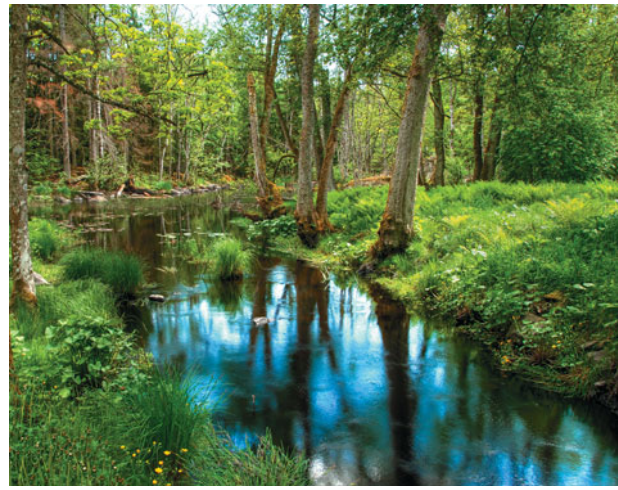
The movement of water—currents in streams and waves in an open body of water or breaking on a shore—determines the nature of many aquatic environments. The velocity of a current molds the character and structure of a stream. The shape and steepness of the stream channel; its width, depth, and roughness of the bottom; and the intensity of rainfall and rapidity of snowmelt all affect velocity. In fast streams, velocity of flow is 50 cm per second or higher (see Quantifying Ecology 25.1: Streamflow). At this velocity, the current removes all particles less than 5 mm in diameter and leaves behind a stony bottom. High water volume increases the velocity; it moves bottom stones and rubble, scours the streambed, and cuts new banks and channels. As the gradient decreases and the width, depth, and volume of water increase, silt and decaying organic matter accumulate on the bottom. Thus, the stream's character changes from fast water to slow (Figure 3.14).

Wind generates waves on large lakes and on the open sea. The frictional drag of the wind on the surface of smooth water causes ripples. As the wind continues to blow, it applies more pressure to the steep side of the ripple, and wave size begins to grow. As the wind becomes stronger, short, choppy waves of all sizes appear; as they absorb more energy, they continue to grow. When the waves reach a point where the energy supplied by the wind equals the energy lost by the breaking waves, they become whitecaps. Up to a certain point, the stronger the wind, the higher the waves.

The waves breaking on a beach do not contain water driven in from distant seas. Each particle of water remains



(a)



(b)

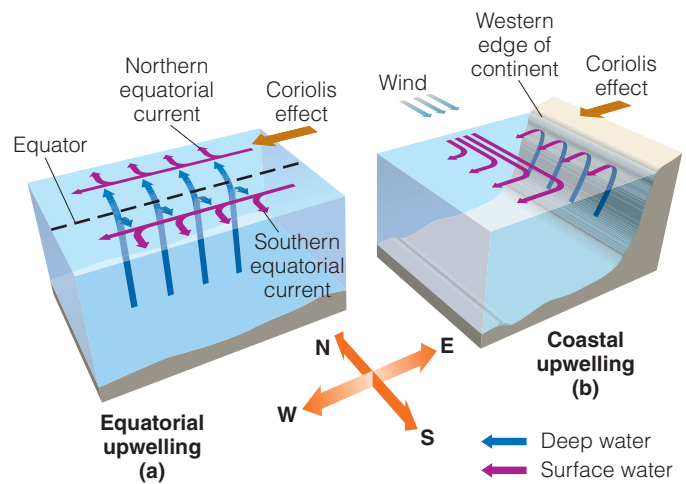
**Figure 3.14** (a) A fast mountain stream. The elevation gradient is steep, and fast-flowing water scours the stream bottom, leaving largely bedrock material. (b) In contrast, a slow-flowing stream meanders through a growth of willows. The relatively flat topography reduces the flow rate and allows finer sediments to build up on the stream bottom. These two streams represent very different environmental conditions and subsequently support very different forms of aquatic life (see Chapter 25).

largely in the same place and follows an elliptical orbit with the passage of the wave. As a wave moves forward, it loses energy to the waves behind and disappears, its place taken by another. The swells breaking on a beach are distant descendants of waves generated far out at sea.

As the waves approach land, they advance into increasingly shallow water. When the bottom of the wave intercepts the ocean floor, the wavelength shortens and the wave steepens until it finally collapses forward, or breaks. As the waves break onshore, they dissipate their energy, pounding rocky shores or tearing away sandy beaches in one location and building up new beaches elsewhere.

We have discussed the patterns of ocean currents, influenced by the direction of the prevailing winds and the Coriolis effect (see Section 2.5). However, deep waters of the oceans move quite differently from waters of the surface currents. Because deep waters are isolated from the wind, their motion does not depend on it. Movement of deep waters does, however, result from changes occurring at the surface. As discussed earlier, seawater increases in density as a result of decreasing temperature and increasing salinity. When seawater increases in density, it sinks. As the warm surface currents of the tropical waters move north and southward, they cool (see map of surface currents in Figure 2.15). As these waters cool, they increase in density and sink. Because these cold, dense waters originated at the surface, they contain high concentrations of oxygen. As these waters sink, they begin the return trip to the tropics—in the form of deep-water currents. When these deep-water currents meet in the equatorial waters of the ocean, they form a region of **upwelling** where the deep waters move up to the surface, closing the pattern of ocean circulation (Figure 3.15a).

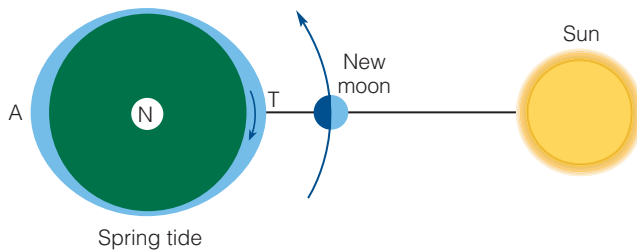
In coastal regions, winds blowing parallel to the coast move the surface waters offshore. Water moving upward from the deep replaces this surface water, creating a pattern of coastal upwelling (Figure 3.15b).



**Figure 3.15** (a) Along the equator, the Coriolis effect acts to pull the westward-flowing currents to the north and south (purple solid arrows), resulting in an upwelling of deeper cold waters to the surface. (b) Along the western margins of the continents, the Coriolis effect causes the surface waters to move offshore (purple solid arrows). Movement of the surface waters offshore results in an upwelling of deeper, colder waters to the surface. Example shown is for the Northern Hemisphere.

### 3.9 Tides Dominate the Marine Coastal Environment

Tides profoundly influence the rhythm of life on ocean shores. Tides result from the gravitational pulls of the Sun and the Moon, each of which causes two bulges (tides) in the waters of the oceans. The two bulges caused by the Moon occur at the same time on opposite sides of Earth on an imaginary line extending from the Moon through the center of Earth (Figure 3.16). The tidal bulge on the Moon side is due to gravitational attraction; the bulge on the opposite side occurs because the gravitational force there is less than at the Earth's center. As Earth rotates



**Figure 3.16** Tides result from the gravitational pull of the Moon. Centrifugal force applied to a kilogram of mass is 3.38 mg. This force on a rotating Earth is balanced by gravitational force, except at moving points on Earth's surface that are directly aligned with the Moon. Thus the centrifugal force at point N, the center of the rotating Earth, is 3.38 mg. Point T is directly aligned with the Moon. At this point, the Moon's gravitational force is 3.49 mg, a difference of 0.11 mg. Because the Moon's gravitational force is greater than the centrifugal force at T, the force is directed away from the Earth and causes a tidal bulge. At point A, the Moon's gravitational force is 3.27 mg, 0.11 mg less than the centrifugal force at N. This causes a tidal bulge on the opposite side of Earth.

eastward on its axis, the tides advance westward. Thus, Earth will in the course of one daily rotation pass through two of the lunar tidal bulges, or high tides, and two of the lows, or low tides, at right angles ( $90^\circ$  longitude difference) to the high tides.

The Sun also causes two tides on opposite sides of Earth, and these tides have a relation to the Sun like that of the lunar tides to the Moon. Because the Sun has a weaker gravitational pull than the Moon does, solar tides are partially masked by lunar tides—except for two times during the month: when the Moon is full and when it is new. At these times, Earth, Moon, and Sun are nearly in line, and the gravitational pulls of the Sun and the Moon are additive. This combination makes the high tides of those periods exceptionally large, with maximum rise and fall. These are the fortnightly spring tides, a name derived from the Saxon word *springen*, which refers to the brimming fullness and active movement of the water. When the Moon is at either quarter, its pull is at right angles to the pull of the Sun, and the two forces interfere with each other. At those times, the differences between high and low tides are exceptionally small. These are the neap tides, from an old Scandinavian word meaning “barely enough.”

Tides are not entirely regular, nor are they the same all over Earth. They vary from day to day in the same place, following the waxing and waning of the Moon. They may act differently in several localities within the same general area. In the Atlantic, semidaily tides are the rule. In the Gulf of Mexico and the Aleutian Islands of Alaska, the alternate highs and lows more or less cancel each other out, and flood and ebb follow one another at about 24-hour intervals to produce one daily tide. Mixed tides in which successive or low tides are of significantly different heights through the cycle are common in the Pacific and Indian oceans. These tides are combinations of daily and semidaily tides in which one partially cancels out the other.

Local tides around the world are inconsistent for many reasons. These reasons include variations in the gravitational pull of the Moon and the Sun due to the elliptical orbit of Earth, the angle of the Moon in relation to the axis of Earth, onshore and offshore winds, the depth of water, the contour of the shore, and wave action.

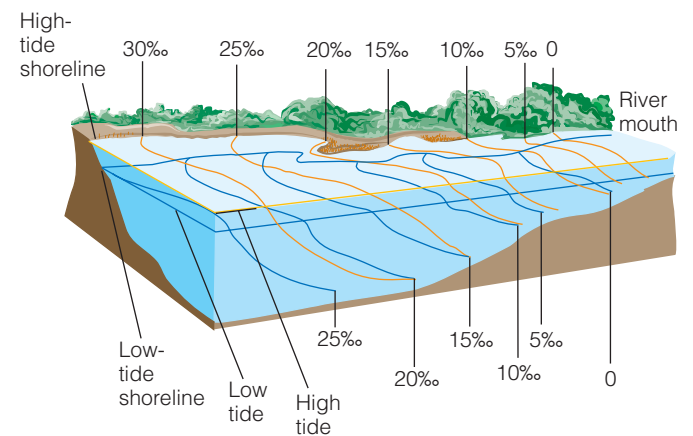
The area lying between the water lines of high and low tide, referred to as the **intertidal zone**, is an environment of extremes. The intertidal zone undergoes dramatic shifts in environmental conditions with the daily patterns of inundation and exposure. As the tide recedes, the uppermost layers of life are exposed to air, wide temperature fluctuations, intense solar radiation, and desiccation for a considerable period, whereas the lowest fringes of the tidal zone may be exposed only briefly before the high tide submerges them again. Temperatures on tidal flats may rise to  $38^\circ\text{C}$  when exposed to direct sunlight and drop to  $10^\circ\text{C}$  within a few hours when the flats are covered by water.

Organisms living in the sand and mud do not experience the same violent temperature fluctuations as those living on rocky shores do. Although the surface temperature of the sand at midday may be  $10^\circ\text{C}$  (or more) higher than that of the returning seawater, the temperature a few centimeters below the sand's surface remains almost constant throughout the year (see Section 25.3).

### 3.10 The Transition Zone between Freshwater and Saltwater Environments Presents Unique Constraints

Water from streams and rivers eventually drains into the sea. The place where freshwater mixes with saltwater is called an **estuary**. Temperatures in estuaries fluctuate considerably, both daily and seasonally. Sun and inflowing and tidal currents heat the water. High tide on the mudflats may heat or cool the water, depending on the season. The upper layer of estuarine water may be cooler in winter and warmer in summer than the bottom—a condition that, as in a lake, will cause spring and autumn turnovers.

In the estuary, where freshwater meets the sea, the interaction of inflowing freshwater and tidal saltwater influences the salinity of the estuarine environment. Salinity varies vertically and horizontally, often within one tidal cycle (Figure 3.17).



**Figure 3.17** Vertical and horizontal stratification of salinity from the river mouth to the estuary at high tide (brown lines) and low tide (blue lines). At high tide, the incoming seawater increases the salinity toward the river mouth. At low tide, salinity is reduced. Salinity increases with depth because lighter freshwater flows over denser saltwater.

Salinity may be the same from top to bottom; or it may be completely stratified, with a layer of freshwater on top and a layer of dense, salty water on the bottom. Salinity is homogeneous when currents are strong enough to mix the water from top to bottom. The salinity in some estuaries is homogeneous at low tide, but at high tide a surface wedge of seawater moves upstream more rapidly than the bottom water. Salinity is then unstable, and density is inverted. The seawater on the surface tends to sink as lighter freshwater rises, and mixing takes place from the surface to the bottom. This phenomenon is known as **tidal overmixing**. Strong winds, too, tend to mix saltwater with freshwater in some estuaries; but when the winds are still, the river water flows seaward on a shallow surface over an upstream movement of seawater, more gradually mixing with the salt.

Horizontally, the least saline waters are at the river mouth and the most saline at the sea (see Figure 3.17). Incoming and outgoing currents deflect this configuration. In all estuaries of the Northern Hemisphere, outward-flowing freshwater and inward-flowing seawater are deflected to the right (relative

to the axis of water flow from the river to ocean) because of Earth's rotation. As a result, salinity is higher on the left side; the concentration of metallic ions carried by rivers varies from drainage to drainage; and salinity and chemistry differ among estuaries. The portion of dissolved salts in the estuarine waters remains about the same as that of seawater, but the concentration varies in a gradient from freshwater to sea.

To survive in estuaries, aquatic organisms must have evolved physiological or behavioral adaptations to changes in salinity. Many oceanic species of fish are able to move inward during periods when the flow of freshwater from rivers is low and the salinity of estuaries increases. Conversely, freshwater fish move into the estuarine environment during periods of flood when salinity levels drop. Due to the stressful conditions that organisms face in the mixed zones of estuaries, there is often a relatively low diversity of organisms despite the high productivity found in these environments (see Chapter 25).

---

## Summary

### The Water Cycle 3.1

Water follows a cycle, traveling from the air to Earth and returning to the atmosphere. It moves through cloud formation in the atmosphere, precipitation, interception, and infiltration into the ground. It eventually reaches groundwater, springs, streams, and lakes from which evaporation takes place, bringing water back to the atmosphere in the form of clouds. The various aquatic environments are linked, either directly or indirectly, by the water cycle.

The largest reservoir in the global water cycle is the oceans, which contain more than 97 percent of the total volume of water on Earth. In contrast, the atmosphere is one of the smallest reservoirs but has a very fast turnover time.

### Properties of Water 3.2

Water has a unique molecular structure. The hydrogen atoms are located on the side of the water molecule that has a positive charge. The opposite side, where the oxygen atom is located, has a negative charge, thus polarizing the water molecule. Because of their polarity, water molecules become coupled with neighboring water molecules to produce a lattice-like structure with unique properties.

Depending on its temperature, water may be in the form of a liquid, solid, or gas. It absorbs or releases considerable quantities of heat with a small rise or fall in temperature. Water has a high viscosity that affects its flow. It exhibits high surface tension, caused by a stronger attraction of water molecules for each other than for the air above the surface. If a body is submerged in water and weighs less than the water it displaces, it is subjected to the upward force of buoyancy. These properties are important ecologically and biologically.

### Light 3.3

Both the quantity and quality of light change with water depth. In pure water, red and infrared light are absorbed first, followed by yellow, green, and violet; blue penetrates the deepest.

### Temperature in Aquatic Environments 3.4

Lakes and ponds experience seasonal shifts in temperature. In summer there is a distinct vertical gradient of temperature, resulting in a physical separation of warm surface waters and the colder waters below the thermocline. When the surface waters cool in the fall, the temperature becomes uniform throughout the basin and water circulates throughout the lake. A similar mixing takes place in the spring when the water warms. In some very deep lakes and the oceans, the thermocline simply descends during turnover periods and does not disappear at all.

Temperature of flowing water is variable, warming and cooling with the season. Within the stream or river, temperatures vary with depth, amount of shading, and exposure to sun.

### Water as a Solvent 3.5

Water is an excellent solvent with the ability to dissolve more substances than any other liquid can. The solvent properties of water are responsible for most of the minerals found in aquatic environments. The waters of most rivers and lakes contain a relatively low concentration of dissolved minerals, determined largely by the underlying bedrock over which the water flows. In contrast, the oceans have a much higher concentration of solutes. As pure water evaporates from the surface to the atmosphere, the flow of freshwaters into the oceans continuously adds to the solute content of the waters.

The solubility of sodium chloride is very high; together with chlorine, it makes up some 86 percent of sea salt. The concentration of chlorine is used as an index of salinity. Salinity is expressed in practical salinity units, or psu (represented as ‰, measured as grams of chlorine per kilogram of water).

### Oxygen 3.6

Oxygen enters the surface waters from the atmosphere through the process of diffusion. The amount of oxygen water can hold depends on temperature, pressure, and salinity. In lakes, oxygen absorbed by surface water mixes with deeper water by turbulence. During the summer, oxygen may become stratified, decreasing with depth because of decomposition in bottom sediments. During spring and fall turnover, oxygen becomes replenished in deep water. Constant swirling of stream water gives it greater contact with the atmosphere and thus maintains a high oxygen content.

### Acidity 3.7

The measurement of acidity is pH, the negative logarithm of the concentration of hydrogen ions in solution. In aquatic environments, a close relationship exists between the diffusion of carbon dioxide into the surface waters and the degree of acidity and alkalinity. Acidity influences the availability of nutrients and restricts the environment of organisms sensitive to acid situations.

### Water Movement 3.8

Currents in streams and rivers as well as waves in open sea and breaking on ocean shores determine the nature of many aquatic and marine environments. Velocity of currents shapes the environment of flowing water. Waves pound rocky shores and tear away and build up sandy beaches. Movement of water in surface currents of the ocean affects the patterns of deep-water circulation. As the equatorial currents move northward and southward, deep waters move up to the surface, forming regions of upwelling. In coastal regions, winds blowing parallel to the coast create a pattern of coastal upwelling.

### Tides 3.9

Rising and falling tides shape the environment and influence the rhythm of life in coastal intertidal zones.

### Estuaries 3.10

Water from all streams and rivers eventually drains into the sea. The place where this freshwater joins and mixes with the salt is called an estuary. Temperatures in estuaries fluctuate considerably, both daily and seasonally. The interaction of inflowing freshwater and tidal saltwater influences the salinity of the estuarine environment. Salinity varies vertically and horizontally, often within one tidal cycle.

---

## Study Questions

1. Draw a simple diagram of the water cycle and then describe the processes involved.
2. How does the physical structure of water influence its ability to absorb and release heat energy?
3. What property of water allows aquatic organisms to function with far fewer supportive structures (tissues) than terrestrial organisms have?
4. What is the fate of visible light in water?
5. What is the thermocline? What causes the development of a thermocline?
6. Explain why seasonal stratification of temperature and oxygen takes place in deep ponds and lakes.
7. Increasing the carbon dioxide concentration of water will have what effect on its pH?
8. The concentration of which element is used to define the salinity of water?
9. What causes the upwelling of deeper, cold waters in the equatorial zone of the oceans?
10. What causes the tides?

---

## Further Readings

- Garrison, T. 2007. *Oceanography: An invitation to marine science*. Belmont, CA: Brooks/Cole 6th Ed.  
A clearly written and well-illustrated introductory text for those interested in more detail on the subject matter.
- Hutchinson, G. E. 1957–1975. *A treatise on limnology. Vol. 1: Geography, physics, and chemistry*. New York: Wiley.  
A classic reference.
- Hynes, H. B. N. 2001. *The ecology of running waters*. Caldwell, NJ: Blackburn Press.  
A reprint of a classic and valuable work, this major reference continues to be influential.
- McLusky, D. S. 2004. *The estuarine ecosystem*. 3rd ed. New York: Chapman & Hall.  
Clearly describes the structure and function of estuarine ecosystems.
- Nybakken, J. W. 2005. *Marine biology: An ecological approach*. 6th ed. San Francisco: Benjamin Cummings.  
Chapters 1 and 6 provide an excellent introduction to the physical environment of the oceans.





The input and decomposition of dead organic matter is a key factor in the development of forest soils.

- 4.1 Life on Land Imposes Unique Constraints**
- 4.2 Plant Cover Influences the Vertical Distribution of Light**
- 4.3 Soil Is the Foundation upon Which All Terrestrial Life Depends**
- 4.4 The Formation of Soil Begins with Weathering**
- 4.5 Soil Formation Involves Five Interrelated Factors**
- 4.6 Soils Have Certain Distinguishing Physical Characteristics**
- 4.7 The Soil Body Has Horizontal Layers, or Horizons**
- 4.8 Moisture-Holding Capacity Is an Essential Feature of Soils**
- 4.9 Ion Exchange Capacity Is Important to Soil Fertility**
- 4.10 Basic Soil Formation Processes Produce Different Soils**

**O**ur introduction of aquatic environments was dominated by discussion of the physical and chemical properties of water—characteristics such as depth, flow rate, and salinity (see Chapter 3). When considering the term *terrestrial environment*, however, people typically do not think of the physical and chemical characteristics of a place. What we most likely visualize is the vegetation: the tall, dense forests of the wet tropics; the changing colors of autumn in a temperate forest; or the broad expanses of grass that characterize the prairies. Animal life depends on the vegetation within a region to provide the essential resources of food and cover—and as such, the structure and composition of plant life constrain the distribution and abundance of animal life. But ultimately, as with aquatic environments, the physical and chemical features of terrestrial environments set the constraints for life. Plant life is a reflection of the climate and soils (as discussed in Chapter 6). Regardless of the suitability of plant life for providing essential resources, the physical conditions within a region impose the primary constraints on animal life as well (Chapter 7).

We will explore key features of the terrestrial environment that directly influence life on land. Life emerged from the water to colonize the land more than a billion years ago. The transition to terrestrial environments posed a unique set of problems for organisms already adapted to an aquatic environment. To understand the constraints imposed by the terrestrial environment, we must start by looking at the physical differences between the terrestrial and aquatic environments and at the problems these differences create for organisms making the transition from water to land.

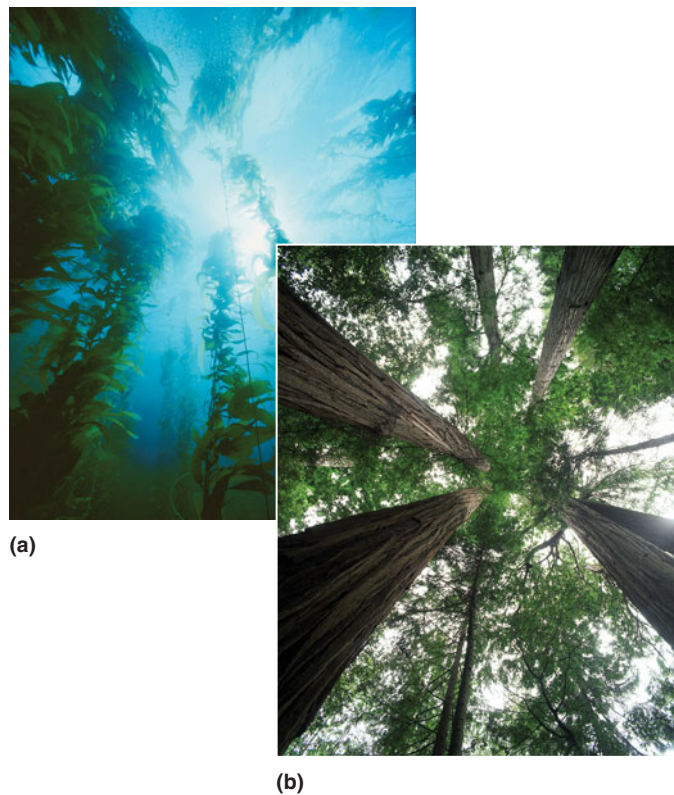
## 4.1 Life on Land Imposes Unique Constraints

The transition from life in aquatic environments to life on land brought with it a variety of constraints. Perhaps the greatest constraint imposed by terrestrial environments is desiccation. Living cells, both plant and animal, contain about 75–95 percent water. Unless the air is saturated with moisture, water readily evaporates from the surfaces of cells via the process of diffusion (see Section 2.6). The water that is lost to the air must be replaced if the cell is to remain hydrated and continue to function. Maintaining this balance of water between organisms and their surrounding environment (referred to as an organism's **water balance**) has been a major factor in the evolution of life on land. For example, in adapting to the terrestrial environment, plants have evolved extensively specialized cells for different functions. Aerial parts of most plants, such as stems and leaves, are coated with a waxy cuticle that prevents water loss. While it reduces water loss, the waxy surface also prevents gas exchange (carbon dioxide and oxygen) from occurring. As a result, terrestrial plants have evolved pores on the leaf surface (stomata) that allow gases to diffuse from the air into the interior of the leaf (see Chapter 6).

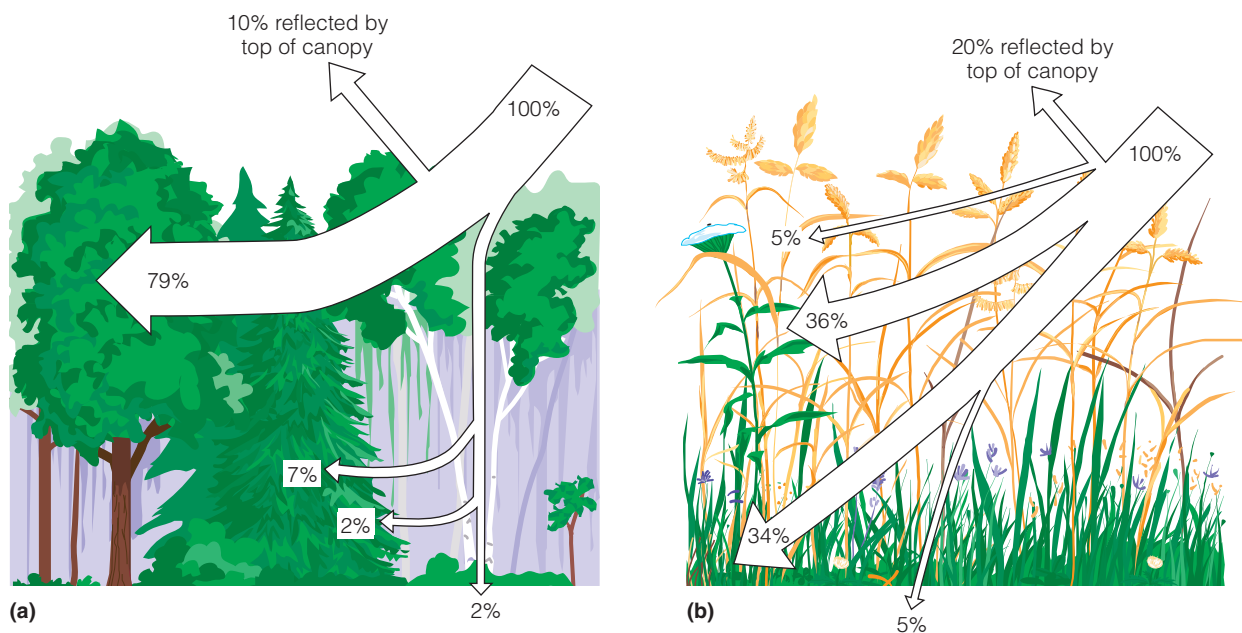
To stay hydrated, an organism must replace water that it has lost to the air. Terrestrial animals can acquire water by drinking and eating. For plants, however, the process is passive. Early in their evolution, land plants evolved vascular tissues consisting of cells joined into tubes that transport water and

nutrients throughout the plant body. The topic of water balance and the array of characteristics that plants and animals have evolved to overcome the problems of water loss are discussed in more detail later (see Chapters 6 and 7).

Desiccation is not the only constraint imposed by the transition from water to land. Because air is less dense than water, it results in a much lower drag (frictional resistance) on the movement of organisms, but it greatly increases the constraint imposed by gravitational forces. The upward force of buoyancy due to the displacement of water helps organisms in aquatic environments overcome the constraints imposed by gravity (see Section 3.2). In contrast, the need to remain erect against gravitational force in terrestrial environments results in a significant investment in structural materials such as skeletons (for animals) or cellulose (for plants). The giant kelp (*Macrocystis pyrifera*) inhabiting the waters off the coast of California is an excellent example (Figure 4.1a). It grows in dense stands called kelp forests. Anchored to the bottom sediments, these kelp (macroalgae) can grow 100 feet or more toward the surface. The kelp are kept afloat by gas-filled bladders attached to each blade, yet when the kelp plants are removed from the water, they collapse into a mass. Lacking supportive tissues strengthened by cellulose and



**Figure 4.1** (a) The giant kelp (*Macrocystis pyrifera*) inhabits the waters off the coast of California. Anchored to the bottom sediments, these kelp plants can grow 100 feet or more toward the surface despite their lack of supportive tissues. These kelp plants are kept afloat through the buoyancy of gas-filled bladders attached to each blade, yet when the kelp plants are removed from the water, they collapse into a mass. (b) In contrast, a redwood tree (*Sequoia sempervirens*) of comparable height allocates more than 80 percent of its biomass to supportive and conductive tissues that help the tree resist gravitational forces.



**Figure 4.2** Absorption and reflection of light by the plant canopy. (a) A mixed conifer–deciduous forest reflects about 10 percent of the incident photosynthetically active radiation (PAR) from the upper canopy, and it absorbs most of the remaining PAR within the canopy. (b) A meadow reflects 20 percent of the PAR from the upper surface. The middle and lower regions, where the leaves are densest, absorb most of the rest. Only 2–5 percent of PAR reaches the ground. (Adapted from Larcher 1980.)

lignin, the kelp cannot support its own weight under the forces of gravity. In contrast, a tree of equivalent height inhabiting the coastal forest of California (Figure 4.1b) must allocate more than 80 percent of its total mass to supportive and conductive tissues in the trunk (bole), branches, leaves, and roots.

Another characteristic of terrestrial environments is their high degree of variability, both in time and space. Temperature variations on land (air) are much greater than in water. The high specific heat of water prevents wide daily and seasonal fluctuations in the temperature of aquatic habitats (see Section 3.2). In contrast, such fluctuations are a characteristic of air temperatures (see Chapter 2). Likewise, the timing and quantity of precipitation received at a location constrain the availability of water for terrestrial plants and animals as well as their ability to maintain water balance. These fluctuations in temperature and moisture have both a short-term effect on metabolic processes and a long-term influence on the evolution and distribution of terrestrial plants and animals (see Chapters 6 and 7). Ultimately, the geographic variation in climate governs the large-scale distribution of plants and therefore the nature of terrestrial ecosystems (see Figure 2.1 and Chapter 24).

## 4.2 Plant Cover Influences the Vertical Distribution of Light

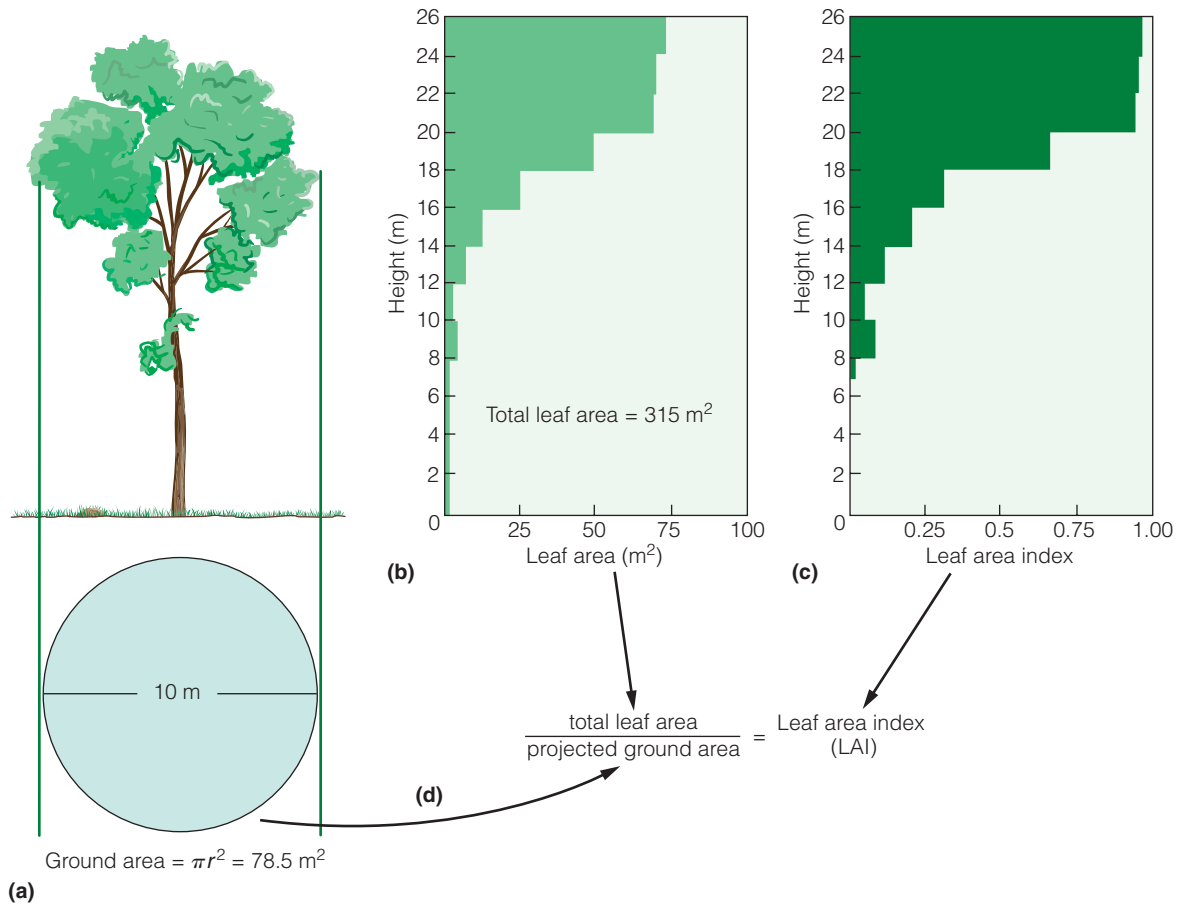
In contrast to aquatic environments, where the absorption of solar radiation by the water itself results in a distinct vertical gradient of light, the dominant factor influencing the vertical gradient of light in terrestrial environments is the absorption and

reflection of solar radiation by plants. When walking into a forest in summer, you will observe a decrease in light (Figure 4.2a). You can observe much the same effect if you examine the lowest layer in grassland or an old field (Figure 4.2b). The quantity and quality (spectral composition) of light that does penetrate the canopy of vegetation to reach the ground varies with both the quantity and orientation of the leaves.

The amount of light at any depth in the canopy is affected by the number of leaves above. As we move down through the canopy, the number of leaves above increases, so the amount of light decreases. However, because leaves vary in size and shape, the number of leaves is not the best measure of quantity. The quantity of leaves, or foliage density, is generally expressed as the leaf area. Because most leaves are flat, the leaf area is the surface area of one or both sides of the leaf. When the leaves are not flat, the entire surface area is sometimes measured. To quantify the changes in light environment with increasing area of leaves, we need to define the area of leaves per unit ground area ( $\text{m}^2$  leaf area/ $\text{m}^2$  ground area). This measure is the **leaf area index (LAI)** (Figure 4.3). A leaf area index of 3 ( $\text{LAI} = 3$ ) indicates a quantity of  $3 \text{ m}^2$  of leaf area over each  $1 \text{ m}^2$  of ground area.

The greater the leaf area index above any surface, the lower the quantity of light reaching that surface. As you move from the top of the canopy to the ground in a forest, the cumulative leaf area and LAI increase. Correspondingly, light decreases. The general relationship between available light and leaf area index is described by Beer's law (see Quantifying Ecology 4.1: Beer's Law and the Attenuation of Light).

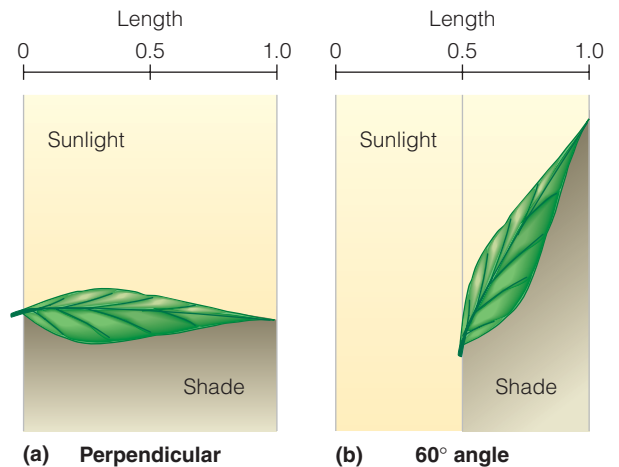
In addition to the quantity of light, the spectral composition (quality) of light varies through the plant canopy. Recall



**Figure 4.3** The concept of leaf area index (LAI). (a) A tree with a crown 10 m wide projects a circle of the same size on the ground. (b) Foliage density (area of leaves) at various heights above the ground. (c) Contributions of layers in the crown to the leaf area index. (d) Calculation of LAI. The total leaf area is  $315 \text{ m}^2$ . The projected ground area is  $78.5 \text{ m}^2$ . The LAI is 4.

that the wavelengths of approximately 400 to 700 nm make up visible light (Section 2.1 and Figure 2.4). These wavelengths are also known as photosynthetically active radiation (PAR) because they include the wavelengths used by plants as a source of energy in photosynthesis (see Chapter 6). The transmittance of PAR is typically less than 10 percent, whereas the transmittance of far-red radiation (730 nm) is much greater. As a result, the ratio of red (660 nm) to far-red radiation (the R/FR ratio) decreases through the canopy. This shift in the spectral quality of light affects the production of phytochrome (a pigment that allows a plant to perceive shading by other plants), thus influencing patterns of growth and allocation (see Chapter 6, Section 6.7).

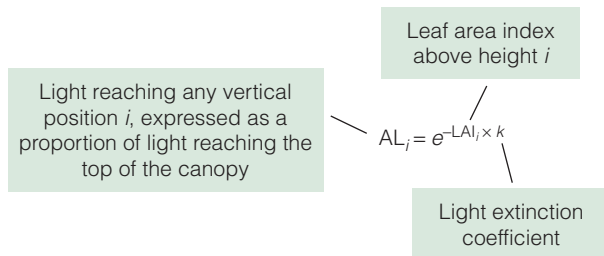
Besides the quantity of leaves, the orientation of leaves on the plant influences the attenuation of light through the canopy. The angle at which a leaf is oriented relative to the Sun changes the amount of light it absorbs. If a leaf that is perpendicular to the Sun absorbs 1.0 unit of light energy (per unit leaf area/time), the same leaf displayed at a 60-degree angle to the Sun will absorb only 0.5 unit. The reason is that the same leaf area represents only half the projected surface area and therefore intercepts only half as much light energy (Figure 4.4). Thus, leaf angle influences the vertical distribution of light through the canopy as well as the total amount of



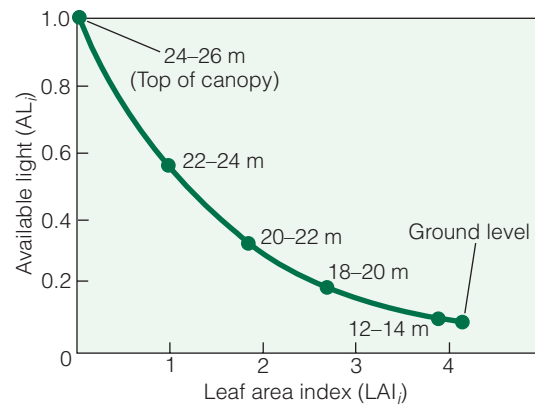
**Figure 4.4** Influence of leaf orientation (angle) on the interception of light energy. If a leaf that is perpendicular to the source of light (a) intercepts 1.0 unit of light energy, the same leaf at an angle of  $60^\circ$  relative to the light source will intercept only 0.5 unit (b). The reduction in intercepted light energy is a result of the angled leaf projecting a smaller surface area relative to the light source.

## QUANTIFYING ECOLOGY 4.1 Beer's Law and the Attenuation of Light

Due to the absorption and reflection of light by leaves, there is a distinct vertical gradient of light availability from the top of a plant canopy to the ground. The greater the surface area of leaves, the less light will penetrate the canopy and reach the ground. The vertical reduction, or attenuation, of light through a stand of plants can be estimated using Beer's law, which describes the attenuation of light through a homogeneous medium. The medium in this case is the canopy of leaves. Beer's law can be applied to the problem of light attenuation through a plant canopy using the following relationship:



The subscript  $i$  refers to the vertical height of the canopy. For example, if  $i$  were in units of meters, a value of  $i = 5$  refers to a height of 5 m above the ground. The value  $e$  is the natural logarithm (2.718). The light extinction coefficient,  $k$ , represents the quantity of light attenuated per unit of leaf area index (LAI) and is a measure of the degree to which leaves absorb and reflect light. The extinction coefficient will vary as a function of leaf angle (see Figure 4.4) and the optical properties of the leaves. Although the value of  $AL_i$  is expressed as a proportion of the light reaching the top of the canopy, the quantity of light at any level can be calculated by multiplying this value by the actual quantity of light (or photosynthetically active radiation) reaching the top of the canopy (units of  $\mu\text{mol}/\text{m}^2/\text{s}$ ).



**Figure 1** Relationship between leaf area index above various heights in the canopy ( $LAI_i$ ) and the associated values of available light ( $AL_i$ ), expressed as a proportion of PAR at the top of the canopy.

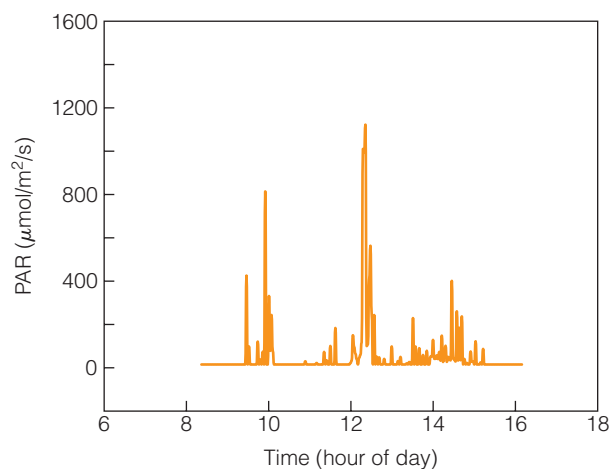
For the example presented in Figure 4.3, we can construct a curve describing the available light at any height in the canopy. In Figure 1, the light extinction coefficient has a value of  $k = 0.6$  as an average value for a temperate deciduous forest. We label vertical positions from the top of the canopy to ground level on the curve. Knowing the amount of leaves ( $LAI_i$ ) above any position in the canopy ( $i$ ), we can use the equation to calculate the amount of light there.

The availability of light at any point in the canopy will directly influence the levels of photosynthesis (see Figure 6.2). The light levels and rates of light-limited photosynthesis for each of the vertical canopy positions are shown in the curve in Figure 2. Light levels are expressed as a proportion of values for fully exposed leaves at the top of the canopy ( $1500 \mu\text{mol}/\text{m}^2/\text{s}$ ). As one moves

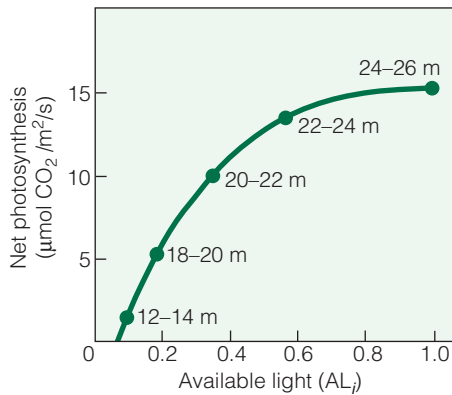
light absorbed and reflected. The sun angle varies, however, both geographically (see Section 2.1) and through time at a given location (over the course of the day and seasonally). Consequently, different leaf angles are more effective at intercepting light in different locations and at different times. For example, in high-latitude environments, where sunlight angles are low canopies having leaves that are displayed at an angle will absorb light more effectively (see Figure 2.5). Leaves that are displayed at an angle rather than perpendicular to the Sun are also typical of arid tropical environments. In these hot and dry environments, angled leaves reduce light interception during midday, when temperatures and demand for water are at their highest.

Although light decreases downward through the plant canopy, some direct sunlight does penetrate openings in the crown and reaches the ground as sunflecks. Sunflecks can account for 70–80 percent of solar energy reaching the ground in forest environments (Figure 4.5).

In many environments, seasonal changes strongly influence leaf area. For example, in the temperate regions of the world, many forest tree species are deciduous, shedding their leaves



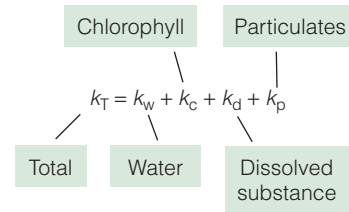
**Figure 4.5** Changes in the availability of light [photosynthetically active radiation (PAR)] at ground level in a redwood forest in northern California over the course of a day. The spikes result from sunflecks in an otherwise low-light environment (average PAR of  $30 \mu\text{mol}/\text{m}^2/\text{s}$ ). The median sunfleck length on this day was 2 seconds. (Adapted from Pfitsch and Pearcy 1989.)



**Figure 2** Relationship between available light (PAR) and rate of net photosynthesis at various heights in the canopy. Available light is expressed as the proportion of PAR at the top of the canopy (assumed to be 1500 µmol/m<sup>2</sup>/s).

from the top of the canopy downward, the amount of light reaching the leaves and the corresponding rate of photosynthesis decline.

Beer’s law can also be used to describe the vertical attenuation of light in aquatic environments, but applying the light extinction coefficient (*k*) is more complex. The reduction of light with water depth is a function of various factors: (1) attenuation by the water itself (see Section 3.3, Figure 3.7); (2) attenuation by phytoplankton (microscopic plants suspended in water), typically expressed as the concentration of chlorophyll (the light-harvesting pigment of plants) per volume of water (see Section 6.1); (3) attenuation by dissolved substances; and (4) attenuation by suspended particulates. Each of these factors has an associated light extinction coefficient, and the overall light extinction coefficient (*k<sub>T</sub>*) is the sum of the individual coefficients:



Whereas the light extinction coefficient for leaf area expresses the attenuation of light per unit of LAI, these values of *k* are expressed as the attenuation of light per unit of water depth (such as centimeter, meter, inches, or feet). Beer’s law can then be used to estimate the quantity of light reaching any depth (*z*) by using the following equation:

$$AL_z = e^{-kz}$$

If the ecosystem supports submerged vegetation, such as kelp (see Figure 4.1), seagrass, or other plants that are rooted in the bottom sediments, the preceding equation can be used to calculate the available light at the top of the canopy. The equation describing the attenuation of light as a function of LAI can then be applied (combined) to calculate the further attenuation from the top of the plant canopy to the sediment surface.

1. If we assume that the value of *k* used to calculate the vertical profile of light in Figure 1 (*k* = 0.6) is for a plant canopy where the leaves are positioned horizontally (parallel to the forest floor), how would the value of *k* differ (higher or lower) for a forest where the leaves were oriented at a 60-degree angle? (See the example in Figure 4.4.)
2. In shallow-water ecosystems, storms and high wind can result in bottom sediments (particulates) being suspended in the water for some time before once again settling to the bottom. How would this situation affect the value of *k<sub>T</sub>* and the attenuation of light in the water profile?

during the winter months. In these cases, the amount of light that penetrates a forest canopy varies with the season (Figure 4.6). In early spring in temperate regions, when leaves are just expanding, 20–50 percent of the incoming light may reach the forest floor. In other regions characterized by distinct wet and dry seasons a similar pattern of increased light availability at the ground level occurs during the dry season (see Chapter 2).

### 4.3 Soil Is the Foundation upon Which All Terrestrial Life Depends

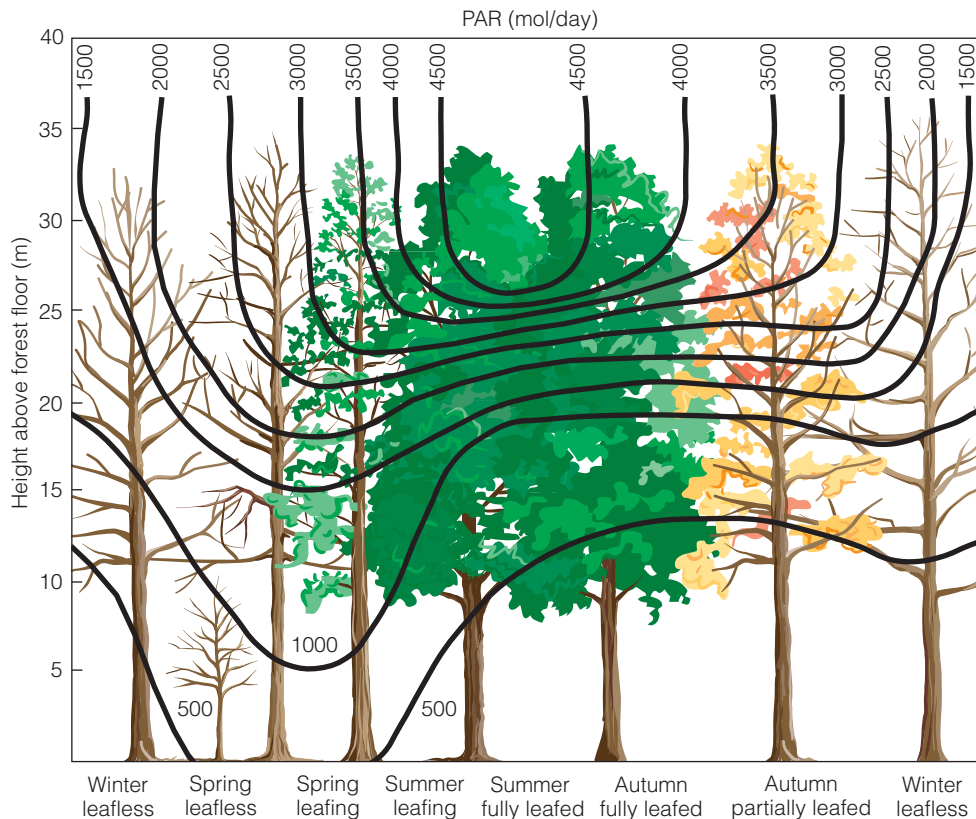
Soil is the medium for plant growth; the principal factor controlling the fate of water in terrestrial environments; nature’s recycling system, which breaks down the waste products of plants and animals and transforms them into their basic elements; and habitat to a diversity of animal life, from small mammals to countless forms of microbial life (see Chapter 22).

As familiar as it is, soil is hard to define. One definition says that soil is a natural product formed and synthesized by the weathering of rocks and the action of living organisms. Another

states that soil is a collection of natural bodies of earth, composed of mineral and organic matter and capable of supporting plant growth. Indeed, one eminent soil scientist, Hans Jenny—a pioneer of modern soil studies—will not give an exact definition of soil. In his book *The Soil Resource*, he writes:

Popularly, soil is the stratum below the vegetation and above hard rock, but questions come quickly to mind. Many soils are bare of plants, temporarily or permanently, or they may be at the bottom of a pond growing cattails. Soil may be shallow or deep, but how deep? Soil may be stony, but surveyors (soil) exclude the larger stones. Most analyses pertain to fine earth only. Some pretend that soil in a flowerpot is not a soil, but soil material. It is embarrassing not to be able to agree on what soil is. In this soil scientists are not alone. Biologists cannot agree on a definition of life and philosophers on philosophy.

Of one fact we are sure. Soil is not just an abiotic environment for plants. It is teeming with life—billions of minute and not so minute animals, bacteria, and fungi. The



**Figure 4.6** Levels of photosynthetically active radiation (PAR) within and above a yellow poplar (*Liriodendron tulipifera*) stand over a year. The lines (isopleths) define the gradient of PAR. Solar radiation is most intense in summer, but since the canopy intercepts most of the PAR, little reaches the forest floor. The greatest amounts of PAR reach the forest floor in spring, when trees are still leafless. The forest receives the least PAR in winter, with its lower solar elevations and shorter day lengths. The amount of PAR reaching the forest floor in winter is little more than that in midsummer.

(Adapted from Hutchinson and Matt 1977.)

interaction between the biotic and the abiotic makes the soil a living system.

Soil scientists recognize soil as a three-dimensional unit, or body, having length, width, and depth. In most places on Earth's surface, exposed rock has crumbled and broken down to produce a layer of unconsolidated debris overlaying the hard, unweathered rock. This unconsolidated layer, called the **regolith**, varies in depth from virtually nonexistent to tens of meters. This interface between rock and the air, water, and living organisms that characterizes the surface environment is where soil is formed.

#### 4.4 The Formation of Soil Begins with Weathering

Soil formation begins with the weathering of rocks and their minerals. Weathering includes the mechanical destruction of rock materials into smaller particles as well as their chemical modification. **Mechanical weathering** results from the interaction of several forces. When exposed to the combined action of water, wind, and temperature, rock surfaces flake and peel away. Water seeps into crevices, freezes, expands, and cracks the rock into smaller pieces. Wind-borne particles, such as dust

and sand, wear away at the rock surface. Growing roots of trees split rock apart.

Without appreciably influencing their composition, physical weathering breaks down rock and minerals into smaller particles. Simultaneously, these particles are chemically altered and broken down through **chemical weathering**. The presence of water, oxygen, and acids resulting from the activities of soil organisms and the continual addition of organic matter (dead plant and animal tissues) enhance the chemical weathering process. Rainwater falling on and filtering through this organic matter and mineral soil sets up a chain of chemical reactions that transform the composition of the original rocks and minerals.

#### 4.5 Soil Formation Involves Five Interrelated Factors

Five interdependent factors are important in soil formation: parent material, climate, biotic factors, topography, and time. **Parent material** is the material from which soil develops. The original parent material could originate from the underlying bedrock; from glacial deposits (till); from sand and silt carried by the wind (eolian); from gravity moving material down a slope (colluvium); and from sediments carried by flowing water

(fluvial), including water in floodplains. The physical character and chemical composition of the parent material are important in determining soil properties, especially during the early stages of development.

Biotic factors—plants, animals, bacteria, and fungi—all contribute to soil formation. Plant roots can function to break up parent material, enhancing the process of weathering, as well as to stabilize the soil surface and thus reduce erosion. Plant roots pump nutrients up from soil depths and add them to the surface. In doing so, plants recapture minerals carried deep into the soil by weathering processes. Through photosynthesis, plants capture the Sun’s energy and transfer some of this energy to the soil in the form of organic carbon. On the soil surface, microorganisms break down the remains of dead plants and animals that eventually become organic matter incorporated into the soil (see Chapter 22). Climate influences soil development both directly and indirectly. Temperature, precipitation, and winds directly influence the physical and chemical reactions responsible for breaking down parent material and the subsequent **leaching** (movement of solutes through the soil) and movement of weathered materials. Water is essential for the process of chemical weathering, and the greater the depth of water percolation, the greater the depth of weathering and soil development. Temperature controls the rates of biochemical reactions, affecting the balance between the accumulation and breakdown of organic materials. Consequently, under conditions of warm temperatures and abundant water, the processes of weathering, leaching, and plant growth (input of organic matter) are maximized. In contrast, under cold, dry conditions, the influence of these processes is much more modest. Indirectly, climate influences a region’s plant and animal life, both of which are important in soil development.

Topography, the contour of the land, can affect how climate influences the weathering process. More water runs off and less enters the soil on steep slopes than on level land, whereas water draining from slopes enters the soil on low and flat land. Steep slopes are also subject to soil erosion and soil creep—the downslope movement of soil material that accumulates on lower slopes and lowlands.

Time is a crucial element in soil formation: all of the factors just listed assert themselves through time. The weathering of rock material; the accumulation, decomposition, and mineralization of organic material; the loss of minerals from the upper surface; and the downward movement of materials through the soil all require considerable time. Forming well-developed soils may require 2000 to 20,000 years.

## 4.6 Soils Have Certain Distinguishing Physical Characteristics

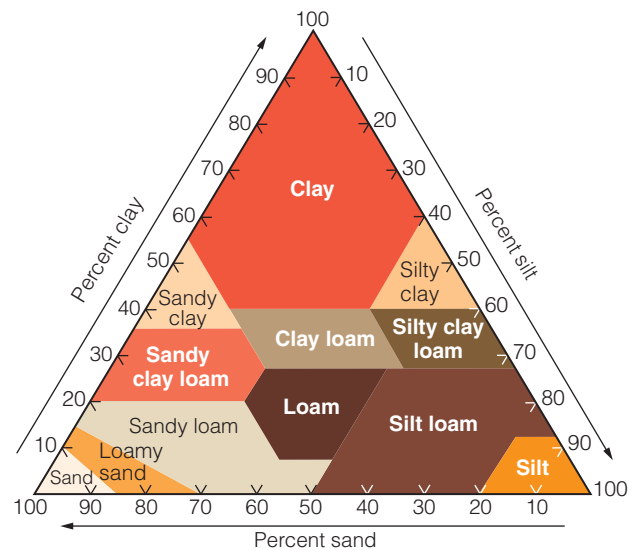
Soils are distinguished by differences in their physical and chemical properties. Physical properties include color, texture, structure, moisture, and depth. All may be highly variable from one soil to another.

Color is one of the most easily defined and useful characteristics of soil. It has little direct influence on the function of a soil but can be used to relate chemical and physical properties.

Organic matter (particularly humus) makes soil dark or black. Other colors can indicate the chemical composition of the rocks and minerals from which the soil was formed. Oxides of iron give a color to the soil ranging from yellowish-brown to red, whereas manganese oxides give the soil a purplish to black color. Quartz, kaolin, gypsum, and carbonates of calcium and magnesium give whitish and grayish colors to the soil. Blotches of various shades of yellowish-brown and gray indicate poorly drained soils or soils saturated by water. Soils are classified by color using standardized color charts (Munsell soil color charts).

Soil texture is the proportion of different-sized soil particles. Texture is partly inherited from parent material and partly a result of the soil-forming process. Particles are classified on the basis of size into gravel, sand, silt, and clay. Gravel consists of particles larger than 2.0 mm. They are not part of the fine fraction of soil. Soils are classified based on texture by defining the proportion of sand, silt, and clay.

Sand ranges from 0.05 to 2.0 mm, is easy to see, and feels gritty. Silt consists of particles from 0.002 to 0.05 mm in diameter that can scarcely be seen by the naked eye; it feels and looks like flour. Clay particles are less than 0.002 mm, too small to be seen under an ordinary microscope. Clay controls the most important properties of soils, including its water-holding capacity (see Section 4.8) and the exchange of ions between soil particles and soil solution (see Section 4.9). A soil’s texture is the percentage (by weight) of sand, silt, and clay. Based on proportions of these components, soils are divided into texture classes (Figure 4.7).



**Figure 4.7** A soil texture chart showing the percentages of clay (below 0.002 mm), silt (0.002–0.05 mm), and sand (0.05–2.0 mm) in the basic soil texture classes. For example, a soil with 60 percent sand, 30 percent silt, and 10 percent clay would be classified as a sandy loam.

### INTERPRETING ECOLOGICAL DATA

- Q1.** What is the texture classification for a soil with 60 percent silt, 35 percent clay, and 5 percent sand?
- Q2.** What is the texture classification for a soil with 60 percent clay and 40 percent silt?



Soil texture affects pore space in the soil, which plays a major role in the movement of air and water in the soil and the penetration by roots. In an ideal soil, particles make up 50 percent of the soil's total volume; the other 50 percent is pore space. Pore space includes spaces within and between soil particles, as well as old root channels and animal burrows. Coarse-textured soils have large pore spaces that favor rapid water infiltration, percolation, and drainage. To a point, the finer the texture, the smaller the pores, and the greater the availability of active surface for water adhesion and chemical activity. Very fine-textured or heavy soils, such as clays, easily become compacted if plowed, stirred, or walked on. They are poorly aerated and difficult for roots to penetrate.

Soil depth varies across the landscape, depending on slope, weathering, parent materials, and vegetation. In grasslands, much of the organic matter added to the soil is from the deep, fibrous root systems of the grass plants. By contrast, tree leaves falling on the forest floor are the principal source of organic matter in forests. As a result, soils developed under native grassland tend to be several meters deep, and soils developed under forests are shallow. On level ground at the bottom of slopes and on alluvial plains, soils tend to be deep. Soils on ridgetops and steep slopes tend to be shallow, with bedrock close to the surface.

## 4.7 The Soil Body Has Horizontal Layers, or Horizons

Initially, soil develops from undifferentiated parent material. Over time, changes occur from the surface down, through the accumulation of organic matter near the surface and the downward movement of material. These changes result in the formation of horizontal layers that are differentiated by physical, chemical, and biological characteristics. Collectively, a sequence of horizontal layers constitutes a **soil profile**. This pattern of horizontal layering, or **horizons**, is easily visible where a recent cut has been made along a road bank or during excavation for a building site (Figure 4.8).

The simplest general representation of a soil profile consists of four horizons: O, A, B, and C (Figure 4.9). The surface layer is the **O horizon**, or organic layer. This horizon is dominated by organic material, consisting of partially decomposed plant materials such as leaves, needles, twigs, mosses, and lichens. This horizon is often subdivided into a surface layer composed of undecomposed leaves and twigs (Oi), a middle layer composed of partially decomposed plant tissues (Oe), and a bottom layer consisting of dark brown to black, homogeneous organic material—the humus layer (Oa). This pattern of layering is easily seen by carefully scraping away the surface organic material on the forest floor. In temperate regions, the organic layer is thickest in the fall, when new leaf litter accumulates on the surface. It is thinnest in the summer after decomposition has taken place.

Below the organic layer is the **A horizon**, often referred to as the topsoil. This is the first of the layers that are largely composed of mineral soil derived from the parent materials. In this horizon, organic matter (humus) leached from above accumulates in the mineral soil. The accumulation of organic

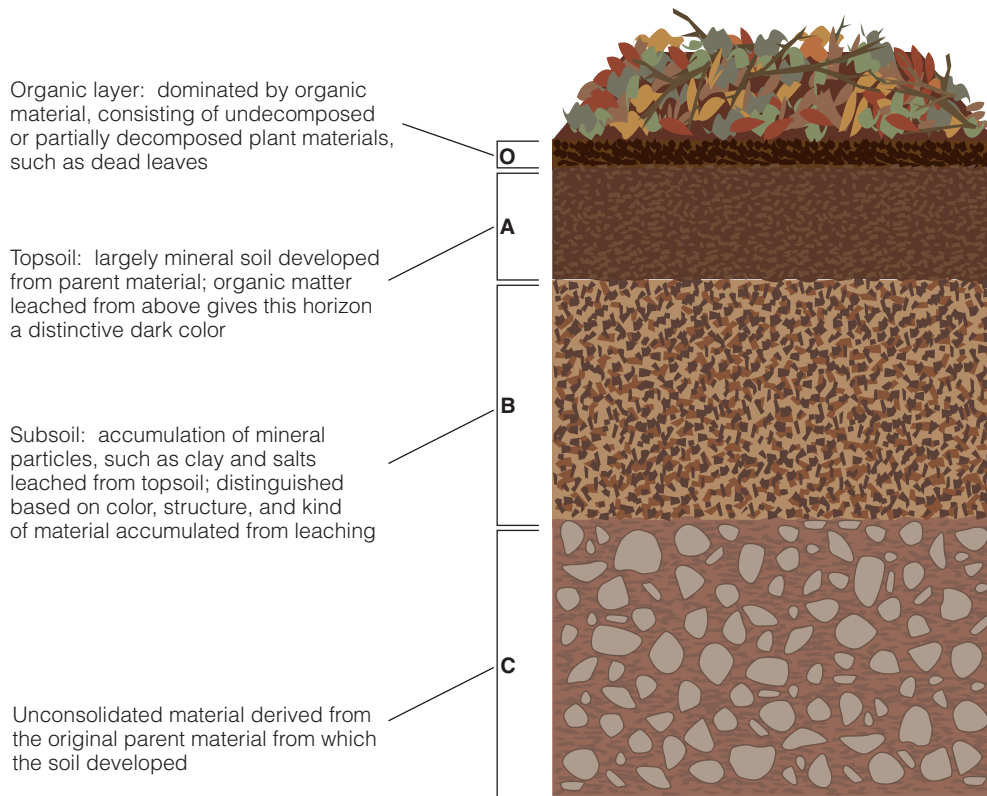


**Figure 4.8** The pattern of horizontal layering or soil horizons is easily visible where a recent cut has been made along a road bank. This soil is relatively shallow, with the parent material close to the surface.

matter typically gives this horizon a darker color, distinguishing it from lower soil layers. Downward movement of water through this layer also results in the loss of minerals and finer soil particles, such as clay, to lower portions of the profile—sometimes giving rise to an **E horizon**, a zone or layer of maximum leaching, or eluviation (from Latin *ex* or *e*, “out,” and *lavere*, “to wash”) of minerals and finer soil particles to lower portions of the profile. Such E horizons are quite common in soils developed under forests, but because of lower precipitation they rarely occur in soils developed under grasslands.

Below the A (or E) horizon is the **B horizon**, also called the subsoil. Containing less organic matter than the A horizon, the B horizon shows accumulations of mineral particles such as clay and salts due to leaching from the topsoil. This process is called illuviation (from the Latin *il*, “in,” and *lavere*, “to wash”). The B horizon usually has a denser structure than the A horizon, making it more difficult for plants to extend their roots downward. B horizons are distinguished on the basis of color, structure, and the kind of material that has accumulated as a result of leaching from the horizons above.

The **C horizon** is the unconsolidated material that lies under the subsoil and is generally made of original material from which the soil developed. Because it is below the zones of greatest biological activity and weathering and has not been sufficiently altered by the soil-forming processes, it typically



**Figure 4.9** A generalized soil profile. Over time, changes occur from the surface down, through the accumulation of organic matter near the surface and the downward movement of material. These changes result in the formation of horizontal layers, or horizons.

retains much of the characteristics of the parent materials from which it was formed. Below the C horizon lies the bedrock.

## 4.8 Moisture-Holding Capacity Is an Essential Feature of Soils

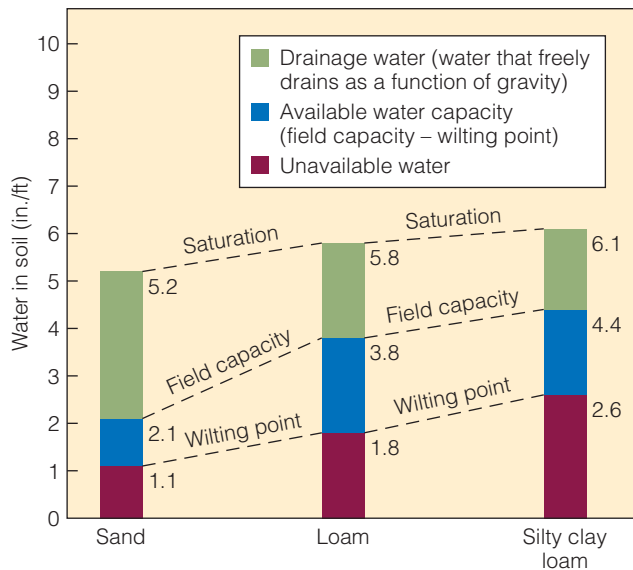
If you dig into the surface layer of a soil after a soaking rain, you should discover a sharp transition between wet surface soil and the dry soil below. As rain falls on the surface, it moves into the soil by infiltration. Water moves by gravity into the open pore spaces in the soil, and the size of the soil particles and their spacing determine how much water can flow in. Wide pore spacing at the soil surface increases the rate of water infiltration, so coarse soils have a higher infiltration rate than fine soils do.

If there is more water than the pore space can hold, we say that the soil is **saturated**, and excess water drains freely from the soil. If water fills all the pore spaces and is held there by internal capillary forces, the soil is at **field capacity** (physically defined as the water content at  $-0.33$  bar suction pressure). Field capacity is generally expressed as the percentage of the weight or volume of soil occupied by water when saturated compared to the oven-dried weight of the soil at a standard temperature. The amount of water a soil holds at field capacity varies with the soil's texture—the proportion of sand, silt, and clay. Coarse, sandy soil has large pores; water drains through it quickly. Clay soils have small pores and hold considerably more water. Water held between soil particles by capillary forces is **capillary water**.

As plants and evaporation from the soil surface extract capillary water, the amount of water in the soil declines. When the moisture level decreases to a point where plants can no longer extract water, the soil has reached the **wilting point** (physically defined as the water content at  $-15$  bar suction pressure). The amount of water retained by the soil between field capacity and wilting point (or the difference between FC and WP) is the **available water capacity (AWC)**, as shown in Figure 4.10. The AWC provides an estimate of the water available for uptake by plants. Although water still remains in the soil—filling up to 25 percent of the pore spaces—soil particles hold it tightly, making it difficult to extract.

Both the field capacity and wilting point of a soil are heavily influenced by soil texture. Particle size of the soil directly influences the pore space and surface area onto which water adheres. Sand has 30–40 percent of its volume in pore space, whereas clays and loams (see soil texture chart in Figure 4.7) range from 40–60 percent. As a result, fine-textured soils have a higher field capacity than sandy soils, but the increased surface area results in a higher value of the wilting point as well (see Figure 4.10). Conversely, coarse-textured soils (sands) have a low field capacity and a low wilting point. Thus, AWC is highest in intermediate clay loam soils.

The topographic position of a soil affects the movement of water both on and in the soil. Water tends to drain downslope, leaving soils on higher slopes and ridgetops relatively dry and creating a moisture gradient from ridgetops to streams.



**Figure 4.10** Water content of three different soils at wilting point (WP), field capacity (FC), and saturation. The three soils differ in texture from coarse-textured sand to fine-textured silty clay loam (see soil texture chart of Figure 4.7). Available water capacity (AWC) is defined as the difference between FC and WP. Both FC and WP increase from coarse- to fine-textured soils, and the highest AWC is in the intermediate-textured soils.

#### INTERPRETING ECOLOGICAL DATA

**Q1.** Although fine-textured soils (silty clay loam) have a greater available water capacity, for this value to be achieved, the soil must be at or above field capacity. In arid regions, low and infrequent precipitation may keep soil water content below field capacity for most of the growing season. If the measured value of soil water content at a site is 1.9 inches per foot of soil, which of the three soil types represented in Figure 4.10 would have the greatest soil water available for uptake by plants?

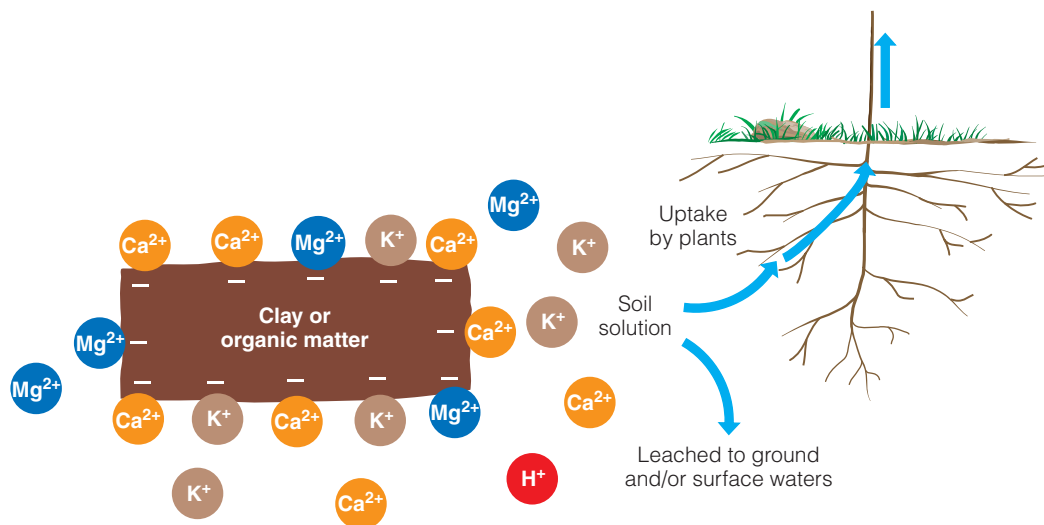
**Q2.** What if the value of soil water was 2.9 inches per foot of soil?

## 4.9 Ion Exchange Capacity Is Important to Soil Fertility

Chemicals within the soil dissolve into the soil water to form a solution (see Section 3.5). Referred to as exchangeable nutrients, these chemical nutrients in solution are the most readily available for uptake and use by plants (see Chapter 6). They are held in soil by the simple attraction of oppositely charged particles and are constantly interchanging with the soil solution.

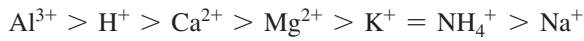
As described previously, an **ion** is a charged particle. Ions carrying a positive charge are **cations**, whereas ions carrying a negative charge are **anions**. Chemical elements and compounds exist in the soil solution both as cations, such as calcium ( $\text{Ca}^{2+}$ ), magnesium ( $\text{Mg}^{2+}$ ), and ammonium ( $\text{NH}_4^+$ ), and as anions, such as nitrate ( $\text{NO}_3^-$ ) and sulfate ( $\text{SO}_4^{2-}$ ). The ability of these ions in soil solution to bind to the surface of soil particles depends on the number of negatively or positively charged sites within the soil. The total number of charged sites on soil particles within a volume of soil is called the **ion exchange capacity**. In most soils of the temperate zone, cation exchange predominates over anion exchange due to the prevalence of negatively charged particles in the soil, referred to as **colloids**. The total number of negatively charged sites, located on the leading edges of clay particles and soil organic matter (humus particles), is called the **cation exchange capacity (CEC)**. These negative charges enable a soil to prevent the leaching of its positively charged nutrient cations. Because in most soils there are far fewer positively charged than negatively charged sites, anions such as nitrate ( $\text{NO}_3^-$ ) and phosphate ( $\text{PO}_4^{3-}$ ) are not retained on exchange sites in soils but tend to leach away quickly if not taken up by plants. The CEC is a basic measure of soil quality and increases with higher clay and organic matter content.

Cations occupying the negatively charged particles in the soil are in a state of dynamic equilibrium with similar cations



**Figure 4.11** The process of cation exchange in soils. Cations occupying the negatively charged particles in the soil are in a state of dynamic equilibrium with similar cations in the soil solution. Cations in soil solution are continuously being replaced by or exchanged with cations on clay and humus particles. Cations in the soil solution are also taken up by plants and leached to ground and surface waters.

in the soil solution (Figure 4.11). Cations in soil solution are continuously being replaced by or exchanged with cations on the clay and humus particles. The relative abundance of different ions on exchange sites is a function of their concentration in the soil solution and the relative affinity of each ion for the sites. In general, the physically smaller the ion and the greater its positive charge, the more tightly it is held. The lyotropic series places the major cations in order of their strength of bonding to the cation exchange sites in the soil:



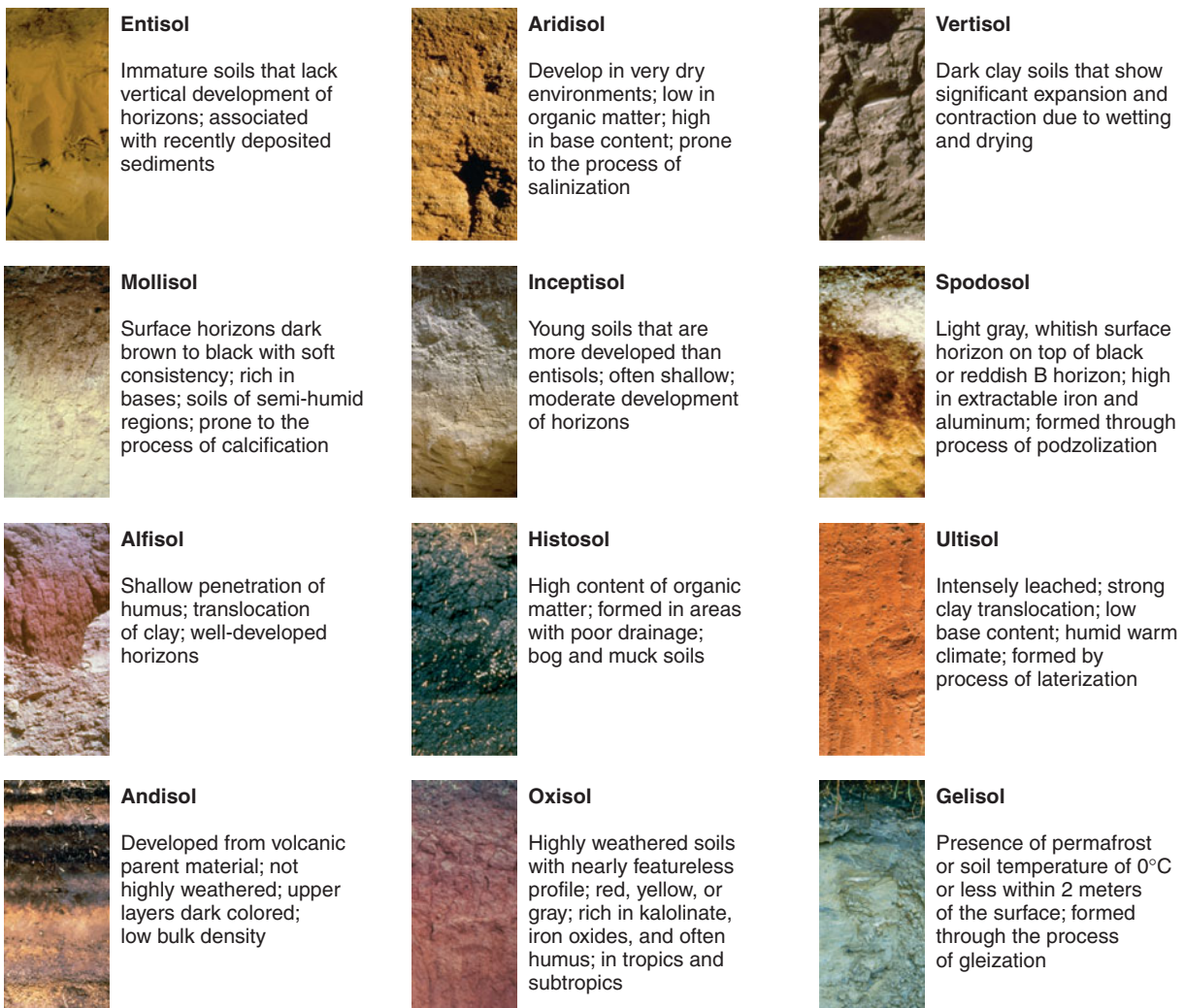
However, higher concentrations in the soil solution can overcome these differences in affinity.

Hydrogen ions added by rainwater, by acids from organic matter, and by metabolic acids from roots and microorganisms increase the concentration of hydrogen ions in the soil solution and displace other cations, such as  $\text{Ca}^{2+}$ , on the soil exchange sites. As more and more hydrogen ions replace other

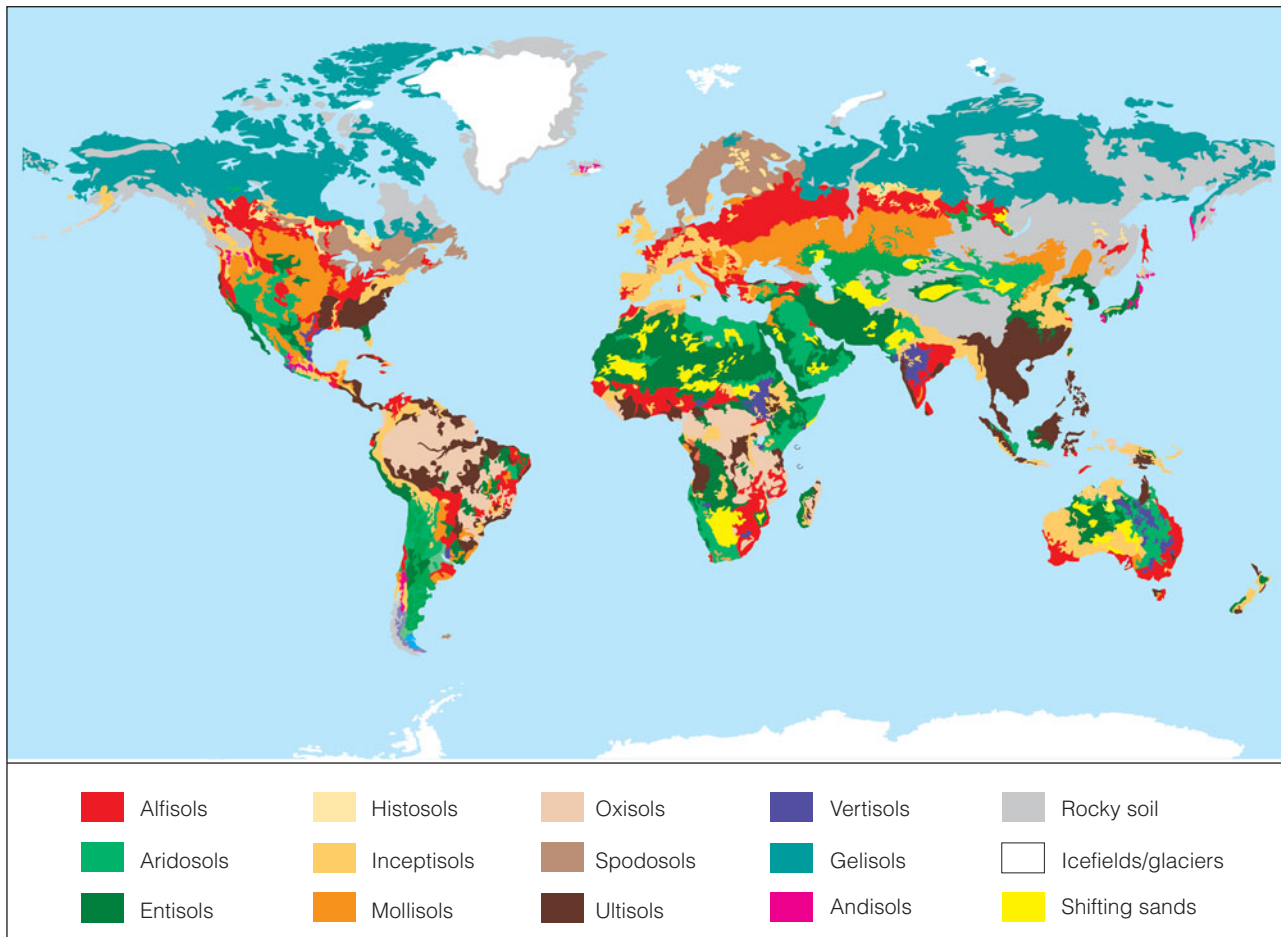
cations, the soil becomes increasingly acidic (see Section 3.5). Acidity is one of the most familiar of all chemical conditions in the soil. Typically, soils range from pH 3 (extremely acid) to pH 9 (strongly alkaline). Soils of just over pH 7 (neutral) are considered basic, and those of pH 5.6 or below are acid. As soil acidity increases, the proportion of exchangeable  $\text{Al}^{3+}$  increases and  $\text{Ca}^{2+}$ ,  $\text{Na}^+$ , and other cations decrease. High aluminum ( $\text{Al}^{3+}$ ) concentrations in soil solution can be toxic to plants. Aluminum toxicity damages the root system first, making the roots short, thick, and stubby. The result is reduced nutrient uptake.

## 4.10 Basic Soil Formation Processes Produce Different Soils

Broad regional differences in geology, climate, and vegetation give rise to characteristically different soils. The broadest level of soil classification is the order. Each order has distinctive features, summarized in Figure 4.12, and its own



**Figure 4.12** Profiles and general description of the 12 major soil orders of the world.



**Figure 4.13** The world distribution of the 12 major soil orders shown in Figure 4.12. (Adapted from USGS, Soil Conservation Service.)

distribution, mapped in Figure 4.13. Although a wide variety of processes are involved in soil formation (pedogenesis), soil scientists recognize five main soil-forming processes that give rise to these different classes of soils. These processes are laterization, calcification, salinization, podzolization, and gleization.

**Laterization** is a process common to soils found in humid environments in the tropical and subtropical regions. The hot, rainy conditions cause rapid weathering of rocks and minerals. Movements of large amounts of water through the soil cause heavy leaching, and most of the compounds and nutrients made available by the weathering process are transported out of the soil profile if not taken up by plants. The two exceptions to this process are compounds of iron and aluminum. Iron oxides give tropical soils their unique reddish coloring (see Ultisol profile in Figure 4.12). Heavy leaching also causes these soils to be acidic due to the loss of other cations (other than  $H_1$ ).

**Calcification** occurs when evaporation and water uptake by plants exceed precipitation. The net result is an upward movement of dissolved alkaline salts, typically calcium carbonate ( $CaCO_3$ ), from the groundwater. At the same time,

the infiltration of water from the surface causes a downward movement of the salts. The net result is the deposition and buildup of these deposits in the B horizon (subsoil). In some cases, these deposits can form a hard layer called caliche (Figure 4.14a).

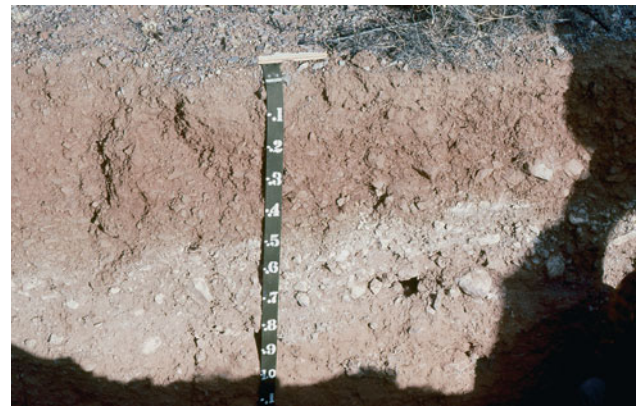
**Salinization** is a process that functions similar to calcification, only in much drier climates. It differs from calcification in that the salt deposits occur at or very near the soil surface (Figure 4.14b). Saline soils are common in deserts but may also occur in coastal regions as a result of sea spray. Salinization is also a growing problem in agricultural areas where irrigation is practiced.

**Podzolization** occurs in cool, moist climates of the mid-latitude regions where coniferous vegetation (e.g., pine forests) dominates. The organic matter of coniferous vegetation creates strongly acidic conditions. The acidic soil solution enhances the process of leaching, causing the removal of cations and compounds of iron and aluminum from the A horizon (topsoil). This process creates a sublayer in the A horizon that is composed of white- to gray-colored sand (see Spodosol profile in Figure 4.12).

**Gleization** occurs in regions with high rainfall or low-lying areas associated with poor drainage (waterlogged). The constantly



(a)



(b)

**Figure 4.14** (a) In arid regions, salinization occurs when salts (the white crust at the center of the photo) accumulate near the soil surface because of surface evaporation. (b) Calcification occurs when calcium carbonates precipitate out from water moving downward through the soil or from capillary water moving upward from below. The result is an accumulation of calcium in the B horizon (seen as the white soil layer in the photo).

wet conditions slow the breakdown of organic matter by decomposers (bacteria and fungi), allowing the matter to accumulate in upper layers of the soil. The accumulated organic matter releases organic acids that react with iron in the soil, giving the soil a black to bluish-gray color (see Gelisol profile in Figure 4.12 as an example of soil formed through the process of gleization).

These five processes represent the integration of climate and edaphic (relating to the soil) factors on the formation of soils, giving rise to the geographic diversity of soils that influence the distribution, abundance, and productivity of terrestrial ecosystems. (We will explore these topics further in Chapters 21, 22, and 24.)

## Summary

### Life on Land 4.1

Maintaining the balance of water between organisms and their surrounding environment has been a major influence on the evolution of life on land. The need to remain erect against the force of gravity in terrestrial environments results in a significant investment in structural materials. Variations in temperature and precipitation have both a short-term effect on metabolic processes and a long-term influence on the evolution and distribution of terrestrial plants and animals. The result is a distinct pattern of terrestrial ecosystems across geographic gradients of temperature and precipitation.

### Light 4.2

Light passing through a canopy of vegetation becomes attenuated. The density and orientation of leaves in a plant canopy influence the amount of light reaching the ground. Foliage density is expressed as leaf area index (LAI), the area of leaves per unit of ground area. The amount of light reaching the ground in terrestrial vegetation varies with the season. In forests, only about 1–5 percent of light striking the canopy reaches the ground. Sunflecks on the forest floor enable plants to endure shaded conditions.

### Soil Defined 4.3

Soil is a natural product of unconsolidated mineral and organic matter on Earth's surface. It is the medium for plant growth; the principal factor controlling the fate of water in terrestrial environments; nature's recycling system, which breaks down the waste products of plants and animals and transforms them into their basic elements; and habitat to a diversity of animal life.

### Weathering 4.4

Soil formation begins with the weathering of rock and minerals. In mechanical weathering, water, wind, temperature, and plants break down rock. In chemical weathering, the activity of soil organisms, the acids they produce, and rainwater break down primary minerals.

### Soil Formation 4.5

Soil results from the interaction of five factors: parent material, climate, biotic factors, topography, and time. Parent material provides the substrate from which soil develops. Climate shapes soil development through temperature, precipitation, and its influence on vegetation and animal life. Biotic factors—vegetation, animals, bacteria, and fungi—add organic matter and mix it with

mineral matter. Topography influences the amount of water entering the soil and the rates of erosion. Time is required to fully develop distinctive soils.

### Distinguishing Characteristics 4.6

Soils differ in the physical properties of color, texture, and depth. Although color has little direct influence on soil function, it can be used to relate chemical and physical properties. Soil texture is the proportion of different-sized soil particles—sand, silt, and clay. A soil's texture is largely determined by the parent material but is also influenced by the soil-forming process. Soil depth varies across the landscape, depending on slope, weathering, parent materials, and vegetation.

### Soil Horizons 4.7

Soils develop in layers called horizons. Four horizons are commonly recognized, although not all of them are necessarily present in any one soil: the O or organic layer; the A (sometimes E) horizon, or topsoil, characterized by accumulation of organic matter; the B horizon, or subsoil, in which mineral materials accumulate; and the C horizon, the unconsolidated material underlying the subsoil and extending downward to the bedrock.

### Moisture-Holding Capacity 4.8

The amount of water a soil can hold is one of its important characteristics. When water fills all pore spaces, the soil is

saturated. When a soil holds the maximum amount of water it can retain, it is at field capacity. Water held between soil particles by capillary forces is capillary water. When the moisture level is at a point where plants cannot extract water, the soil has reached wilting point. The amount of water retained between field capacity and wilting point is the available water capacity. The available water capacity of a soil is a function of its texture.

### Ion Exchange 4.9

Soil particles, particularly clay particles and organic matter, are important to nutrient availability and the cation exchange capacity of the soil—the number of negatively charged sites on soil particles that can attract positively charged ions. Cations occupying the negatively charged particles in the soil are in a state of dynamic equilibrium with similar cations in the soil solution. Percent base saturation is the percentage of sites occupied by ions other than hydrogen.

### Soil Formation Processes Form Different Soils 4.10

Broad regional differences in geology, climate, and vegetation give rise to characteristically different soils. The broadest level of soil classification is the order. Each order has distinctive features. Soil scientists recognize five main soil-forming processes that give rise to these different classes of soils. These processes are laterization, calcification, salinization, podzolization, and gleization.

---

## Study Questions

1. Name two constraints imposed on organisms in the transition of life from aquatic to terrestrial environments.
2. Assume that two forests have the same quantity of leaves (leaf area index). In one forest, however, the leaves are oriented horizontally (parallel to the forest floor). In the other forest, the leaves are positioned at an angle of 60 degrees. How would the availability of light at the forest floor differ for these two forests at noon? In which forest would the leaves at the bottom of the canopy (lower in the tree) receive more light at mid-morning?
3. What is the general shape of the curve that describes the vertical attenuation of light through the plant canopy based on Beer's law? Why is it not a straight line (linear)?
4. What five major factors affect soil formation?
5. What role does weathering play in soil formation? What factors are involved in the process of weathering?
6. Use Figure 4.10 to answer this question: Which soil holds more moisture at field capacity: clay or sand? Which soil holds more moisture at wilting point: clay or sand? Which soil type has a greater availability of water for plant uptake when the water content of the soil is 3.0 in./ft (value on y-axis)?
7. What is the major factor distinguishing the O and A soil horizons?
8. Why do clay soils typically have a higher cation exchange capacity than do sandy soils?
9. How does pH influence the base saturation of a soil?
10. Why is the process of salinization more prevalent in arid areas? How does irrigation increase the process of salinization in agricultural areas?
11. What soil-forming process is dominant in the wet tropical regions? How does this process influence the availability of nutrients to plant roots in the A horizon?

---

## Further Readings

- Brady, N. C., and R. W. Weil. 2008. *The nature and properties of soils*. 14th ed. Upper Saddle River, NJ: Prentice Hall.  
The classic introductory textbook on soils. Used for courses in soil science.
- Jenny, H. 1994. *Factors of soil formation*. Mineola, NY: Dover Publications.  
A well-written and accessible book by one of the pioneers in soil science.
- Kohnke, H., and D. P. Franzmeier. 1994. *Soil science simplified*. Prospect Heights, IL: Wavelength Press.  
A well-written and illustrated overview of concepts and principles of soil science for the general reader. Provides many examples and applications of basic concepts.
- Patton, T. R. 1996. *Soils: A new global view*. New Haven, CT: Yale University Press.  
Presents a new view and approach to studying soil formation at a global scale.



# The Organism and Its Environment

**Chapter 5 Ecological Genetics: Adaptation and Natural Selection p. 70**

**Chapter 6 Plant Adaptations to the Environment p. 92**

**Chapter 7 Animal Adaptations to the Environment p. 119**

The Namib Desert, stretching for 1200 miles along the southwest coast of Africa, is home to the highest sand dunes in the world. Rainfall is a rare event in the Namib. But each morning as the Sun rises, the cool, moist air of this coastal desert begins to warm, and the Namib becomes shrouded in fog. And each morning, black thumbnail-sized beetles perform one of nature's more bizarre behaviors (Figure 1). These tenebrionid beetles (*Stenocara* spp.) upend their bodies into a handstand. The beetle stays in this position as fog droplets collect on its back and then gradually roll down the wing case (called the elytra) into its mouth. By viewing the bumps on its back (Figure 2) through an electron microscope, we can see a wax-coated carpet of tiny nodules covering the sides of the bumps as well as the valleys between them that aid in channeling water from the beetle's back to its mouth.

The tenebrionid beetles of the Namib Desert illustrate two important concepts: the relationship between structure and function and how that relationship reflects adaptations of the organism to its environment. The structure of the beetle's back and the beetle's behavior

of standing on its head in the morning fog serve the function of acquiring water, a scarce and essential resource in this arid environment. This same set of characteristics, however, are unlikely to be efficient for acquiring water in the desert regions of the continental interior, where morning fog may not form; or in wet environments, such as a tropical rain forest, where standing pools of water are readily available.

Fundamental questions for the ecologist are: What controls the distribution and abundance of species? What enables a species to succeed in one environment but not another? To the ecologist, the link between structure and function provides the first clue. The characteristics that an organism exhibits—its physiology, morphology (physical structure), behavior, and lifetime pattern of development and reproduction (life history)—reflect adaptations to its particular environment. Each environment presents a different set of constraints on processes relating to survival, growth, and reproduction. The set of characteristics that enable an organism to succeed in one environment typically preclude it from doing equally well under a different



**Figure 1** A tenebrionid beetle (*Stenocara* spp.) perched upon a sand dune in the Namib Desert of southwestern Africa.



**Figure 2** Fog droplets can be seen on the wing case (elytra) of this tenebrionid beetle.

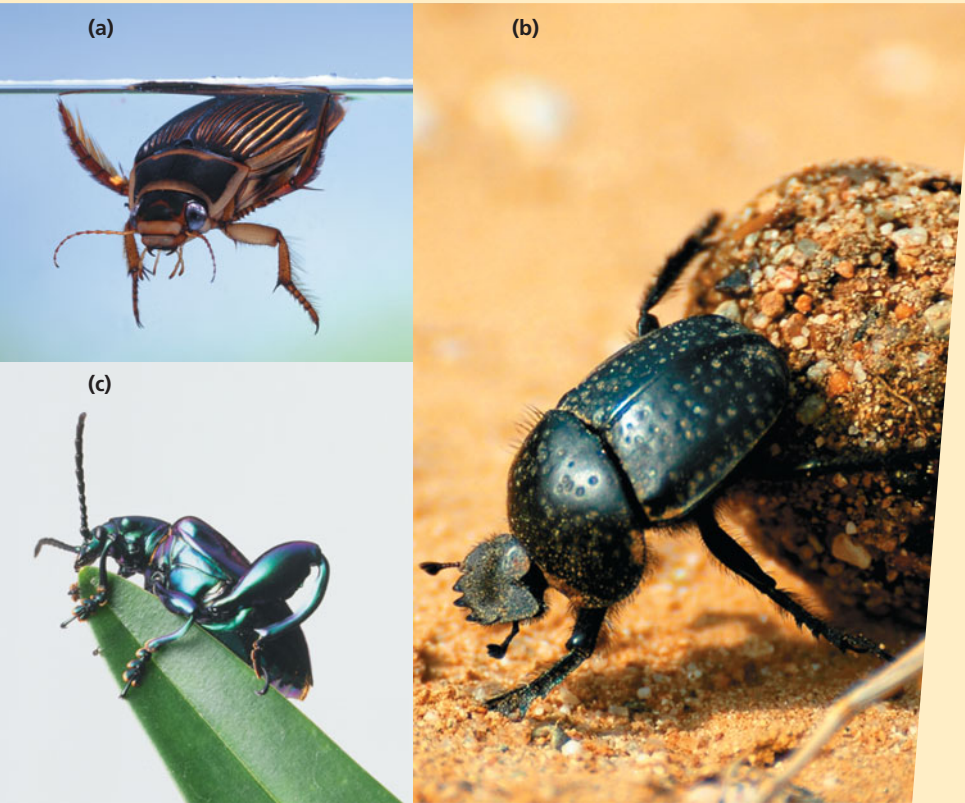
set of environmental conditions. The different evolutionary solutions to life in various environments represent the products of trade-offs. In nature, one size does not fit all. Earth's diverse environments are inhabited by 1.7 million known species, which is 1.7 million different ways that life exists on this planet.

Despite the diversity of species, all organisms (from single-celled bacteria to the largest of all animals, the blue whale) represent solutions to the same three basic functions shared by all living organisms: assimilation, reproduction, and the ability to respond to external stimuli. Organisms must acquire energy and matter from the external environment for the synthesis of new tissue through the process of assimilation. To maintain the continuity of life, some of the assimilated resources (energy and matter) must be allocated to reproduction—the production of new individuals. Finally, organisms must be able to respond to external stimuli relating to both the physical (such as heat and humidity) and the biotic (such as recognition of potential mates or predators) environments.

Perhaps the most fundamental constraint on life is the acquisition of energy. It takes energy to acquire and assimilate essential nutrients and perform the processes

associated with life—synthesis, growth, reproduction, and maintenance. Chemical energy is generated in the breakdown of carbon compounds in all living cells, by the process called respiration. But the ultimate source of energy for life on Earth is the Sun (solar energy; see Chapter 2). Solar energy fuels photosynthesis, the process of assimilation in green plants. By consuming plant and animal tissues, all other organisms use energy that comes directly or indirectly from photosynthesis. The source that an organism derives its energy from is one of the most basic distinctions in ecology. Organisms that derive their energy from sunlight are referred to as **autotrophs**, or **primary producers**. Organisms that derive energy from consuming plant and animal tissues and then breaking down assimilated carbon compounds are called **heterotrophs**, or **secondary producers**. These two modes of acquiring energy impose fundamentally different evolutionary constraints; thus, our discussion of adaptations to the environment is divided into the subjects of autotrophs (Chapter 6: Plant Adaptations to the Environment) and heterotrophs (Chapter 7: Animal Adaptations to the Environment). We will focus on adaptations relating to the exchange of energy and matter between organisms and their environment: the processes of energy, carbon, nutrient, and water balances that govern the survival and growth of individual organisms. The concept of trade-offs is a central theme in each of these discussions, linking the constraints imposed by different environments (and resources) and the “solutions” reflected in the traits that characterize each species. But first we will turn our attention to the topic of natural selection (Chapter 5, Ecological Genetics: Adaptation and Natural Selection), the unifying concept that provides a foundation for understanding the evolution of adaptations as well as linking the patterns and processes we will explore at the hierarchical levels of ecological study: organisms, populations, communities, and ecosystems.

# Ecological Genetics: Adaptation and Natural Selection



Examples of variations in the structure of the hind legs of different beetles. Although most beetles use their legs for walking, legs have been variously modified and adapted for other uses. **(a)** In diving beetles of the family Dytiscidae, the last pair of legs bear rows of long hairs that aid in swimming. **(b)** Dung beetles of the family Scarabaeidae have legs that are widened and spined, which they use to fashion freshly laid dung into huge circular structures in which the female lays a single egg. **(c)** The hind legs of flea beetles (Chrysomelidae) are enlarged and adapted for jumping.

- 5.1 Adaptations Are a Product of Natural Selection**
- 5.2 Genes Are the Units of Inheritance**
- 5.3 The Phenotype Is the Physical Expression of the Genotype**
- 5.4 Genetic Variation Occurs at the Level of the Population**
- 5.5 Adaptation Is a Product of Evolution by Natural Selection**
- 5.6 Several Processes Can Function to Alter Patterns of Genetic Variation**
- 5.7 Natural Selection Can Result in Genetic Differentiation**
- 5.8 Adaptations Reflect Trade-offs and Constraints**
- 5.9 Organisms Respond to Environmental Variation at the Individual and Population Levels**

**A**n unusual fact of natural history is that one of every four animals on the planet is a beetle. When the eminent 19th-century British biologist J. B. S. Haldane was asked what conclusions he could draw regarding this fact, he is reported to have commented that God must have “an inordinate fondness for beetles.”

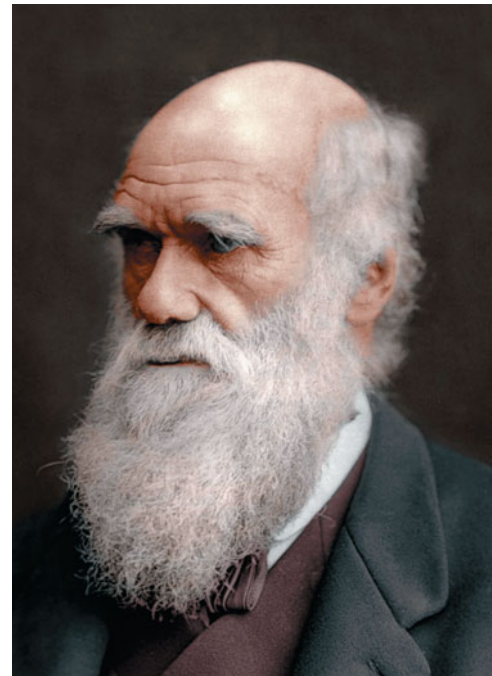
There are over 330,000 species of beetles in the order Coleoptera (the name means “sheathed wing” and refers to the hardened and thickened forewings that function as a sheath to cover the more delicate, membranous hind wings). Beetles have been found almost everywhere on Earth, from the Arctic tundra to the sand dunes of the world’s deserts (see example of tenebrionid beetles in the introduction to Part Two). They exploit almost every habitat and type of food.

The beetle’s diversity of habitats and food resources is paralleled by an equal diversity of morphology and behaviors. For example, while most beetles use their legs for walking, legs have been variously modified and adapted for other uses. Among aquatic beetles, such as the diving beetles of the family Dytiscidae, the last pair of legs bear rows of long hairs that aid in swimming. Other beetles have legs that are widened and spined for digging. One group of beetles in the family Scarabaeidae, the dung beetles, use their legs to fashion freshly laid dung into huge circular structures and then roll them into an underground nest. The female then lays a single egg in each ball of dung and then covers the nest with more dung and soil. The hind legs of some beetles, such as flea beetles (Chrysomelidae) and flea weevils (Curculionidae), are enlarged and designed for jumping. These variations in the leg structure represent adaptations—characteristics that enable an organism to exploit a particular resource or thrive in a given environment.

As the late evolutionary ecologist Ernst Mayr of Harvard University so poetically wrote in the early 19th century, examples such as these served to illustrate the “wise laws that brought about the perfect adaptation of all organisms one to another and to their environment.” Adaptation, after all, implied design—and design, a designer. Natural history was the task of cataloging the creations of the divine architect. By the mid-1800s, however, a revolutionary idea emerged that would forever change our view of nature.

In considering the origin of species, it is quite conceivable that a naturalist...might come to the conclusion that species had not been independently created, but had descended, like varieties, from other species. Nevertheless, such a conclusion, even if well founded, would be unsatisfactory, until it could be shown how the innumerable species, inhabiting this world, have been modified, so as to acquire that perfection of structure and coadaptation which justly excites our admiration.

The pages that followed in Charles Darwin’s *The Origin of Species*, first published on November 24, 1859, altered the history of science and brought into question a view of the world that had been held for millennia (Figure 5.1). Charles Darwin put forward in those pages a mechanism to explain how the diversity of organisms inhabiting our world have acquired the features seemingly designed to enable them to survive



**Figure 5.1** Charles Darwin (1809–1882).

and reproduce. He called it the theory of natural selection. Its beauty lay in its simplicity: the mechanism of natural selection is the simple elimination of “inferior” individuals.

## 5.1 Adaptations Are a Product of Natural Selection

Stated more precisely, **natural selection** is the differential success (survival and reproduction) of individuals within the population that results from their interaction with their environment. As outlined by Darwin, natural selection is a product of two conditions: (1) that variation occurs among individuals within a population in some “heritable” characteristic, and (2) that this variation results in differences among individuals in their survival and reproduction. Natural selection is a numbers game. Darwin wrote:

Among those individuals that do reproduce, some will leave more offspring than others. These individuals are considered more fit than the others because they contribute the most to the next generation. Organisms that leave few or no offspring contribute little or nothing to the succeeding generations and so are considered less fit.

The **fitness** of an individual is measured by the proportionate contribution it makes to future generations. Under a given set of environmental conditions, individuals having certain characteristics that enable them to survive and reproduce, eventually passing those characteristics on to the next generation, are selected for. Individuals without those traits are selected against. In this way, the process of natural selection results in changes in the properties of populations of organisms over the course of generations, by a process known as **evolution**.

An **adaptation** is any heritable behavioral, morphological, or physiological trait of an organism that has evolved over a period of time by the process of natural selection such that it maintains or increases the fitness (long-term reproductive success) of an organism under a given set of environmental conditions. The concept of adaptation by natural selection is central to the science of ecology. The study of the relationship between organisms and their environment is the study of adaptations. Adaptations represent the characteristics (traits) that enable an organism to survive, grow, and reproduce under the prevailing environmental conditions. Adaptations likewise govern the interaction of the organism with other organisms, both of the same and different species. How adaptations enable an organism to function in the prevailing environment—and conversely, how those same adaptations limit its ability to successfully function in other environments—is the key to understanding the distribution and abundance of species, the ultimate objective of the science of ecology.

## 5.2 Genes Are the Units of Inheritance

By definition, adaptations are traits that are inherited—passed from parent to offspring. So to understand the evolution of adaptations, we must first understand the basis of inheritance: how characteristics are passed from parent to offspring, and what forces bring about changes in those same characteristics through time (from generation to generation).

At the root of all similarities and differences among organisms is the information contained within the molecules of DNA (deoxyribonucleic acid). You will recall from basic biology that DNA is organized into discrete subunits—genes—that form the informational units of the DNA molecule. A **gene** is a stretch of DNA coding for a polypeptide chain (sequence of amino acids), where one or more polypeptides make up a protein. The alternate forms of a gene are called **alleles** (derived from the term *allelomorphs*, which in Greek means “different form”). The process of creating proteins from the genetic code in DNA is called **gene expression**. All of the DNA in a cell is collectively called the **genome**.

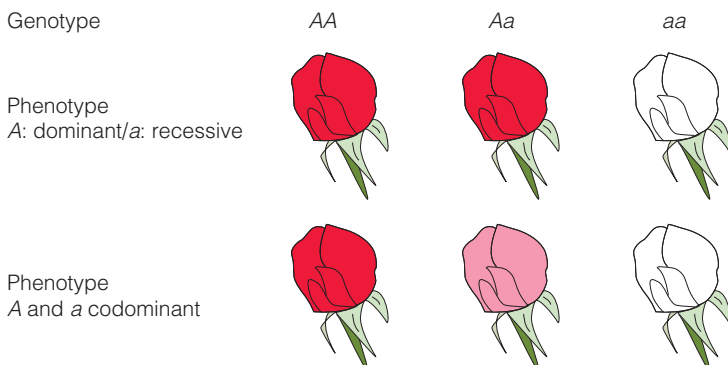
Genes are arranged in linear order along microscopic, threadlike bodies called **chromosomes**. The position occupied

by a gene on the chromosome is called the **locus** (Latin for *place*). In most multicellular organisms, each individual cell contains two copies of each type of chromosome, one inherited from its mother through the ovum and one inherited from its father through the sperm. At any locus, therefore, every diploid individual contains two copies of the gene—one at each corresponding (homologous) position in the maternal and paternal chromosomes (termed homologous chromosomes). These two copies are the alleles of the gene in that individual. If the two copies of the gene are the same, then the individual is **homozygous** at that given locus. If the two alleles at the locus are different, then the individual is **heterozygous** at the locus. The pair of alleles present at a given locus defines the **genotype** of an individual; therefore, homozygous and heterozygous are the two main categories of genotypes.

## 5.3 The Phenotype Is the Physical Expression of the Genotype

The outward appearance of an organism for a given characteristic is its **phenotype**. The phenotype is the external, observable expression of the genotype. When an individual is heterozygous, the two different alleles may produce an individual with intermediate characteristics; or one allele may mask the expression of the other (Figure 5.2). In the case where one allele masks the expression of the other, the allele that is expressed is referred to as the **dominant allele**, while the allele that is masked is called the **recessive allele**. If the physical expression of the heterozygous individual is intermediate between those of the homozygotes, the alleles are said to be **codominant**, and each allele has a specific value (proportional effect) that it contributes to the phenotype.

Phenotypic characteristics that fall into a limited number of discrete categories, such as the example of flower color presented in Figure 5.2, are referred to as **qualitative traits**. Even though all genetic variation is discrete (in the form of alleles), most phenotypic traits have a continuous distribution. These traits, such as height or weight, are referred to as **quantitative traits**. The continuous distribution of most phenotypic traits occurs for two reasons: (1) most traits have more than one gene locus affecting them, and (2) most traits are affected by the environment. For example, if the phenotypic characteristic



**Figure 5.2** Example of different modes of gene expression. In this example, flower color is controlled by a single locus having two alternate alleles, *A* and *a*. The *A* allele codes for the production of red pigment, while the *a* allele does not (absence of pigment). In the first case, heterozygous individuals (*Aa*) exhibit the same phenotype as homozygous *AA* individuals, indicating that *A* is the dominant allele. The recessive allele (*a*) is expressed only in homozygous recessive (*aa*) individuals. In the second case, the heterozygous individuals are intermediate in form to the homozygotes. In this case the alleles are said to be codominant, and each allele has a proportional effect on the phenotype.

Genotype	# of alleles for red pigment	Phenotype (flower color)
<i>AABB</i>	4	
<i>AABb</i>	3	
<i>AaBB</i>	3	
<i>AAbb</i>	2	
<i>AaBb</i>	2	
<i>aaBB</i>	2	
<i>Aabb</i>	1	
<i>aaBb</i>	1	
<i>aabb</i>	0	

**Figure 5.3** Example of phenotypic characteristics controlled by two loci. Assume that flower color is controlled by two genes, each having two alleles (*A:a* and *B:b*). Both the *A* and *B* alleles code for the production of red pigment, while the *a* and *b* alleles do not. There are nine possible genotypes, with the number of alleles coding for red pigment ranging from 4 (*AABB*) to 0 (*aabb*). The resulting phenotypes fall into five categories ranging from dark red through white depending on the number of alleles producing red pigment. The intermediate color (two alleles for red pigment) is the most abundant class. The number of possible phenotypes will increase as the number of loci (genes) controlling the phenotype increases.

of flower color illustrated in Figure 5.2 is controlled by two loci rather than a single locus (each with two alleles—*A:a* and *B:b*), there are nine possible genotypes (Figure 5.3). In contrast to the three distinct flower colors (phenotypes) produced in the case of a single locus, there is now a range of flower colors varying in hue between dark red and white depending on the number of alleles coding for the production of red pigment (see Figure 5.2). The greater the number of loci, the greater the range of possible phenotypes.

The second factor influencing phenotypic variation is the environment. The expression of most phenotype traits is affected to varying degrees by the environment. Since environmental factors themselves usually vary continuously—temperature, rainfall, sunlight, level of predation, and so on—the environment can cause the phenotype produced by a given genotype to vary continuously. To illustrate this point, we can use the example of flower color controlled by two loci presented earlier (and in Figure 5.3). Pigment production during flower development can be affected by temperature. If temperatures below some optimal value or range function to reduce the expression of the *A* and *B* alleles in the production of red pigment, fluctuations in temperatures over the period of flower development in the population of plants will function to further increase the range of flower colors (shades between red and white) produced by the nine genotypes. We will examine the role of gene–environment interactions in greater detail later in Section 5.9.

## 5.4 Genetic Variation Occurs at the Level of the Population

Adaptations are the characteristics of individual organisms—a reflection of the interaction of the genes and the environment. They are the product of natural selection. Although the process of natural selection is driven by the success or failure of individuals, the population—the collective of individuals and their alleles—changes through time, as individuals either succeed or fail to pass their genes to successive generations. For this reason, to understand the process of adaptation through natural selection, we must first understand how genetic variation is organized within the population.

A species is rarely represented by a single continuous interbreeding population. Instead, the population of a species is typically composed of a group of subpopulations—local populations of interbreeding individuals, linked to each other in varying degrees by the movement of individuals (see Chapter 12: Metapopulations). Thus genetic variation can occur at two hierarchical levels: within subpopulations and among subpopulations. When genetic variation occurs among subpopulations of the same species, it is called **genetic differentiation**.

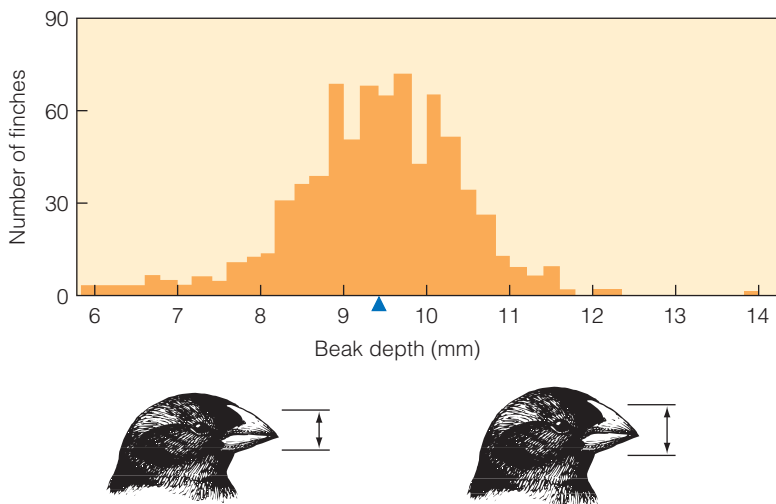
The sum of genetic information (alleles) across all individuals in the population is referred to as the **gene pool**. The gene pool represents the total genetic variation within a population. Genetic variation within a population can be quantified in several ways. The most fundamental measures are **allele frequency** and **genotype frequency**. The word *frequency* in this context refers to the proportion of a given allele or genotype among all the alleles or genotypes present at the locus in the population.

## 5.5 Adaptation Is a Product of Evolution by Natural Selection

We have defined evolution as changes in the properties of populations of organisms over the course of generations (Section 5.1). More specifically, phenotypic evolution can be defined as a change in the mean or variance of a phenotypic trait across generations due to changes in allele frequencies. In favoring one phenotype over another, the process of natural selection acts directly on the phenotype. But in doing so, natural selection changes allele frequencies within the population. Changes in allele frequencies from parental to offspring generations are a product of differences in relative fitness (survival and reproduction) of individuals in the parental generation.

The work of Peter Grant and Rosemary Grant provides an excellent documented example of natural selection. The Grants have spent more than two decades studying the birds of the Galápagos Islands, the same islands whose diverse array of animals so influenced the young Charles Darwin when he was the naturalist aboard the expeditionary ship *HMS Beagle*. Among other events, the Grants' research documented a dramatic shift in a physical characteristic of finches inhabiting some of these islands during a period of extreme climate change.

Recall from our initial discussion in Section 5.1 that natural selection is a product of two conditions: (1) that



**Figure 5.4** Variation in beak size (as measured by depth) in the population of Galápagos medium ground finch (*Geospiza fortis*) on the island of Daphne Major as estimated by individual birds sampled during 1976. The histogram represents the number of individuals that were sampled (y-axis) in each category (0.2 mm) of bill depth (x-axis). The estimate of the population mean is marked by the blue triangle.

(Adapted from Grant 1999 after Boag and Grant 1984.)

#### INTERPRETING ECOLOGICAL DATA

- Q1.** What type of data do the original measures of bill depth represent? (See Quantifying Ecology 1.1.)
- Q2.** How have the original measurements of bill depth been transformed for presentation purposes in Figure 5.4?
- Q3.** What is the range (maximum – minimum value) of bill depths observed for the sample of individuals presented in Figure 5.4? (Categories are in units of 0.2 mm.)

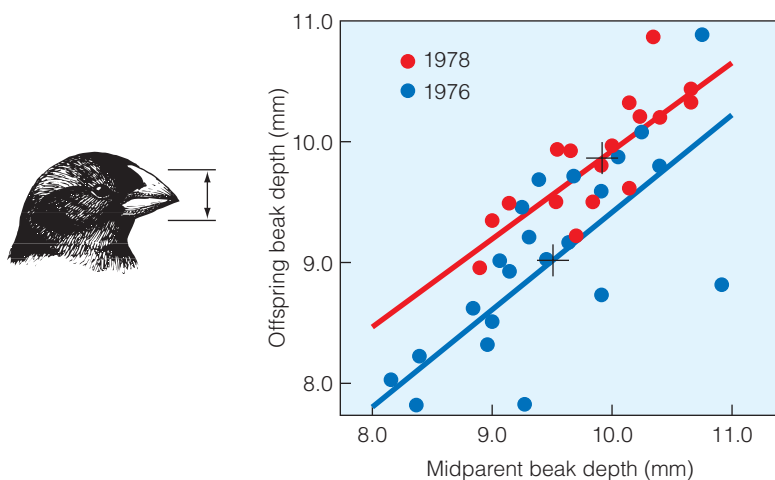
variation occurs among individuals within a population in some “heritable” characteristic, and (2) that this variation results in differences among individuals in their survival and reproduction. Figure 5.4 shows variation in Darwin’s medium ground finch (*Geospiza fortis*) on the 40-ha islet of Daphne Major, one of the Galápagos Islands off the coast of Ecuador. Heritability of beak size in this species was established by examining the relationship between the beak size of parents and their offspring (Figure 5.5).

Beak size is a trait that influences the feeding behavior of these seed-eating birds. Individuals with large beaks can feed on a wide range of seeds, from small to large, whereas individuals with smaller beaks are limited to feeding on smaller seeds (Figure 5.6).

During the early 1970s, the island received an average rainfall of between 127–137 mm per year, supporting an abundance of seeds and a large finch population (1500 birds). In 1977, however, a periodic shift in the climate of the eastern Pacific Ocean—called La Niña—altered weather patterns over the Galápagos, causing a severe drought (see Chapter 2, Section 2.9). That season only

24 mm of rain fell. During the drought, seed production declined drastically. Small seeds declined in abundance faster than large seeds did, increasing the average size and hardness of seeds available (Figure 5.7). The decline in food (seed) resources resulted in an 85 percent decline in the finch population due to mortality and possible emigration (Figure 5.8a). Mortality, however, was not equally distributed across the population (Figure 5.8b). Small birds had difficulty finding food, while large birds, especially males with large beaks, survived best because they were able to crack large, hard seeds.

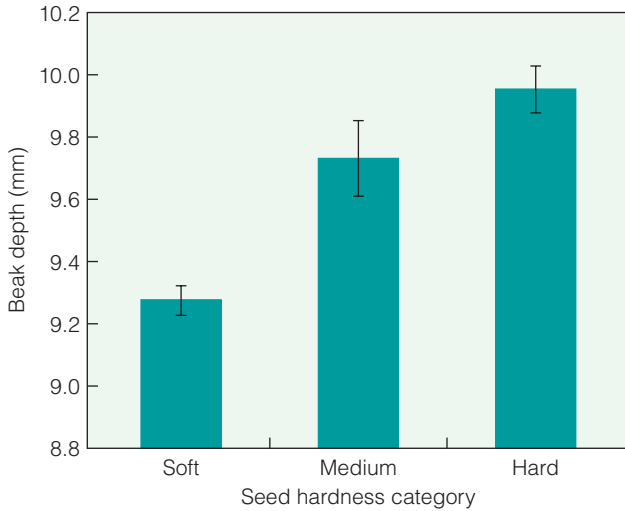
The graph in Figure 5.8b represents a direct measure of the differences in fitness (as measured by survival) among individuals in the population as a function of differences in phenotypic characteristics (beak size), the second condition for natural selection. The phenotypic trait that selection acts directly upon is referred to as the **target of selection**; in this example it is beak size. The **selective agent** is the environmental cause of fitness differences among organisms with different phenotypes, or in this case, the change in food resources (abundance and size distribution of seeds).



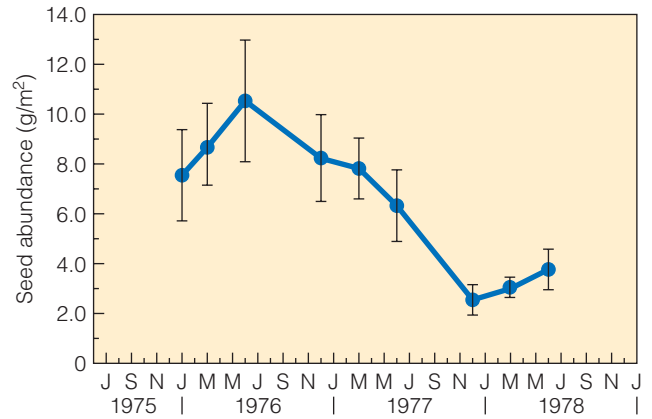
**Figure 5.5** The relationship between the beak depth (size) of offspring and their parents in the medium ground finch (*G. fortis*) population on Daphne Major. The x-axis represents midparent beak depth, which is the average beak depth for the two parent birds. The y-axis is the average beak depth of their offspring. The slope of the relationship (represented by the lines) is the estimate of heritability. The blue line and circles are data from 1976, and the red line and circles are data from 1978 (+ signs represent the average values). The results from the two years are consistent (nearly identical slopes); however, the average size of offspring was greater in 1978. Data from both years show a strong relationship between the beak depth of parents and their offspring.

(Adapted from Grant 1999 after Boag 1983.)

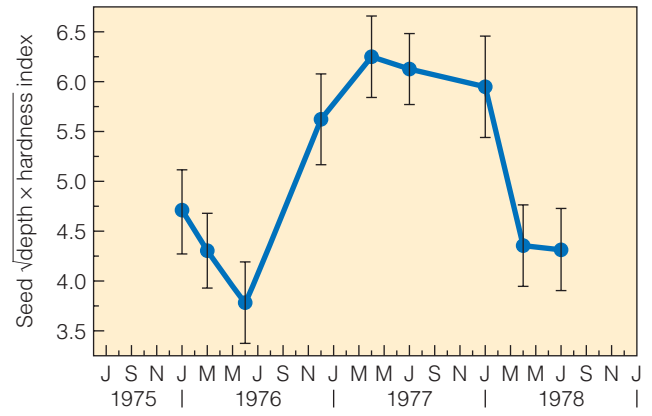
The increased survival rate of individuals with larger beaks resulted in a shift in the distribution of beak size (phenotypes) in the population (Figure 5.9). This type of natural selection, where the mean value of the trait is shifted toward one extreme over another (Figure 5.10a), is called **directional selection**. In other cases, natural selection may favor individuals near the population mean at the expense of



**Figure 5.6** Bill depth of medium ground finches (*G. fortis*) feeding on soft, medium, and hard seeds on Daphne Major in 1977. The bars represent the mean bill depth for birds feeding on the corresponding class of seeds, and the lines represent  $\pm 1$  standard error. As can be seen, beak size has a direct influence on the hardness and size of seeds selected by individual birds. (After Boag and Grant 1984.)

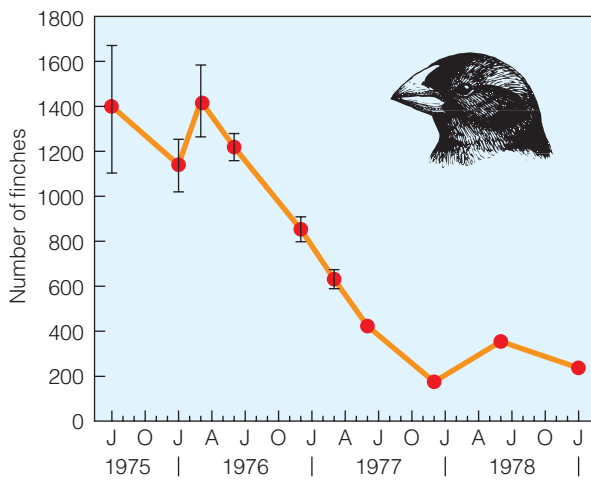


(a)

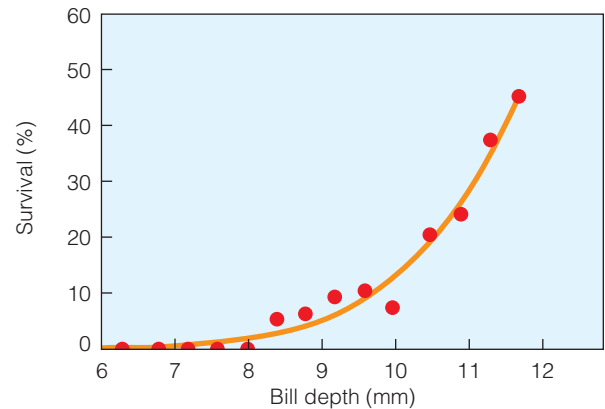


(b)

**Figure 5.7** Changes in (a) seed abundance and (b) seed size and hardness on Daphne Major for the period of July 1975 to July 1978. Points represent mean values, and associated lines represent the 95 percent confidence intervals. Seed size and hardness index is the square root of the product of seed depth and hardness. (Adapted from Grant 1999 after Boag and Grant 1981.)



(a)

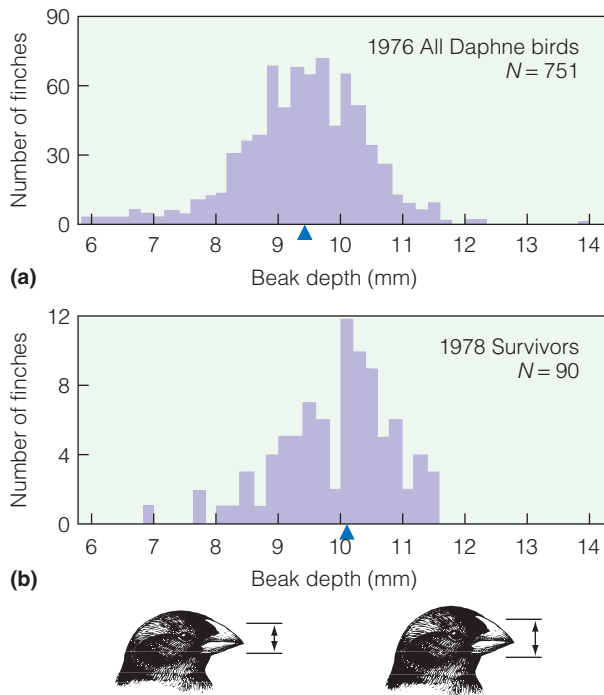


(b)

**Figure 5.8** (a) Decline of the population of medium ground finch on Daphne Major during the 1977 drought. Points represent mean estimates, and associated lines represent the 95 percent confidence interval. The population declined in the face of seed scarcity during a prolonged drought (Figure 5.7a). (b) Birds with larger beak size had a much greater rate of survival due to their ability to feed on the larger, harder seeds that comprised the majority of food resources during the drought period (Figure 5.7b).

(Adapted from Grant 1999 after Boag and Grant 1981.)





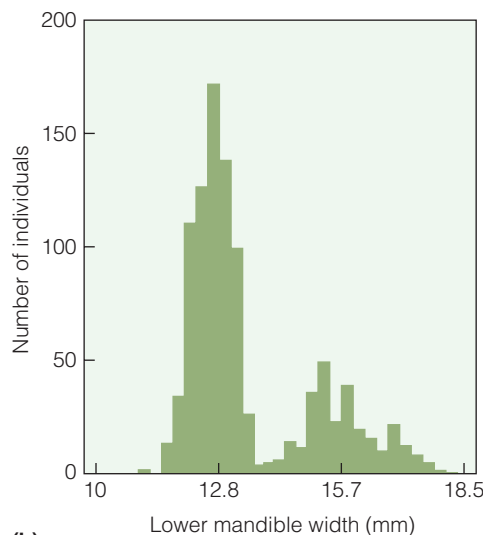
**Figure 5.9** Distribution of beak depth for the population of medium ground finches inhabiting Daphne Major (a) before and (b) after natural selection. The estimate of mean beak depth for both census periods is shown by the blue triangles. Note the increase in the mean beak depth for the population due to the differential survival of individuals related to beak size as shown in Figure 5.8.

(Adapted from Grant 1999 after Boag and Grant 1984.)

the two extremes; this is referred to as **stabilizing selection** (Figure 5.10b). When natural selection favors both extremes simultaneously, although not necessarily to the same degree, it can result in a bimodal distribution of the characteristic(s) in the population (Figure 5.10c). Such selection, known as **disruptive selection**, occurs when members of a population are subjected to different selection pressures. One of the few documented examples of disruptive selection in natural



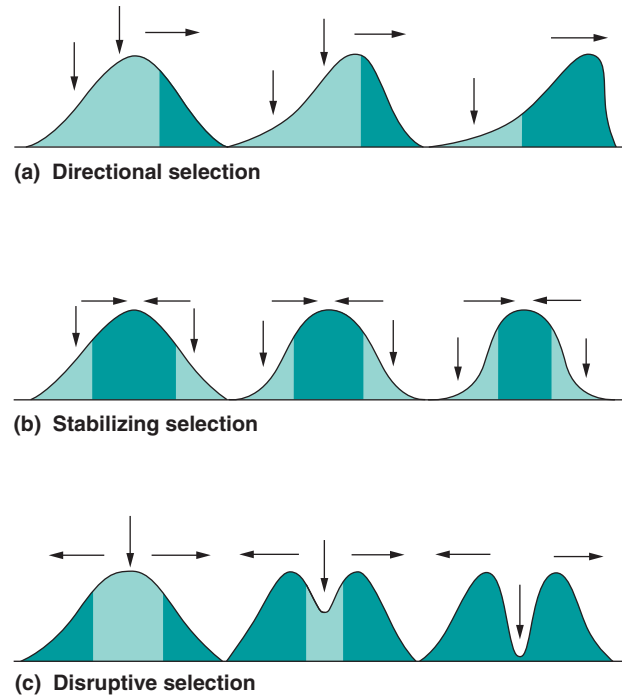
(a)



(b)

**Figure 5.11** Bimodal distribution of bill size (as measured by the width of the lower section of bill, i.e., mandible:  $x$ -axis) for adult black-bellied seedcrackers (*Pyrenestes ostrinus*) (a). The bimodal distribution of bill size is found for both males and females and is a result of disruptive selection related to seed quality. Smaller-billed individuals feed on soft-shelled seeds, whereas large-billed individuals are more successful at feeding on hard-shelled seeds (b). These two types of seeds are the primary food source for the species.

(Adapted from Smith 1993; Nature Publishing Group.)



**Figure 5.10** Three types of selection: The curves represent the distribution of characteristics (phenotypes) within the population. (a) Directional selection moves the mean of the population toward one extreme. (b) Stabilizing selection favors organisms with values close to the population mean. (c) Disruptive selection increases the frequencies of both extremes. Downward arrows represent selection pressures; horizontal arrows represent the direction of change.

populations comes from the work of evolutionary ecologist Thomas B. Smith of San Francisco State University. Smith documented evidence of non-sex-related polymorphism (distinct morphological types) in the black-bellied seedcracker (*Pyrenestes ostrinus*), found in Cameroon, West Africa. For adult birds within both sexes, there is a distinct bimodal distribution in bill size (Figure 5.11) that results from disruptive selection.

For the black-bellied seedcracker, disruptive selection is related to seed quality. Birds feed primarily on seeds of two species of sedge; these seeds are similar in size but differ dramatically in hardness. Individuals with large bills feed more effectively on the hard-seeded sedge species, whereas individuals with small bills feed more effectively on the soft-seeded species.

## 5.6 Several Processes Can Function to Alter Patterns of Genetic Variation

Natural selection is the only process that leads to adaptation because it is the only one in which the changes in allele frequency from one generation to the next are a product of differences in the relative fitness (survival and reproduction) of individuals in the population. Yet not all phenotypic characteristics represent adaptations, and processes other than natural selection can be important factors influencing changes in genetic variation (allele and genotype frequencies) within populations. For example, mutation is the ultimate source of the genetic variation that natural selection acts upon. **Mutations** are heritable changes in a gene or a chromosome. The word *mutation* refers to the process of altering a gene or chromosome as well as to the product, the altered state of the gene or chromosome. Mutation is a random force in evolution that produces genetic variation. Any altered phenotypic characteristic resulting from mutation may be beneficial, neutral, or harmful. Whether a mutation is beneficial or not depends on environment. A mutation that enhances an organism's fitness in one environment could harm it in another. Most of the mutations that have significant effect, however, are harmful; but the harmful mutations do not survive long. Natural selection eliminates most deleterious genes from the gene pool, leaving behind only genes that enhance (or at least do not harm) an organism's ability to survive, grow, and reproduce in its environment.

Another factor that can directly influence patterns of genetic variation within a population is a change in allele frequencies due to random chance—a process known as **genetic drift**. Recall from basic biology that the recombination of alleles in sexual reproduction is a random process. The offspring produced in sexual reproduction, however, represent only a subset of the parents' alleles. If the parents have only a small number of offspring, then not all of the parents' alleles will be passed on to their progeny due to chance assortment of chromosomes at meiosis (the process of recombination). In effect, genetic drift is the evolutionary equivalent of sampling error, with each successive generation representing only a subset or sample of the gene pool from the previous generation.

In a large population genetic drift will not affect each generation much because the random nature of the process will tend to average out. But in a small population the effect could be rapid and significant. To illustrate this point, we can use the analogy of tossing a coin. With a single toss of the coin, the probability of each of the two possible outcomes, heads or tails, is equal, or 50 percent. Likewise, with a series of four coin tosses, the probability of the outcome being two heads and two tails is 50 percent. But each individual outcome in the coin

tosses is independent; therefore, in a series of four coin tosses, there is also a probability of 0.0625, or 6.25 percent, that the outcome will be four heads. The probability of the outcome being all heads drops to  $9.765 \times 10^{-4}$  if the number of tosses is increased to 10, and this probability drops to  $8.88 \times 10^{-16}$  for 50 tosses. Likewise, the probability of heterozygous (*Aa*) individuals in the population producing only homozygous (either *aa* or *AA*) offspring under a system of random mating decreases with increasing population size.

Patterns of genetic variation within a population can also be influenced by the movement of individuals into, or out of, the population. Recall from the discussion of genetic variation in Section 5.4 that the population of a species is typically composed of a group of subpopulations—local populations of interbreeding individuals that are linked to each other in varying degrees by the movement of individuals (see Chapter 12: Metapopulations). **Migration** is defined as the movement of individuals between local populations, whereas **gene flow** is the movement of genes between populations (see Chapter 12). Since individuals carry genes, the terms are often used synonymously; however, if an individual immigrates into a population but does not successfully reproduce, the new genes are not established in the population. Migration is a potent force in reducing the level of population differentiation (genetic differences among local populations; see Section 5.4).

One of the most important principles of genetics is that under conditions of random mating, and in the absence of the factors discussed thus far—natural selection, mutation, genetic drift, and migration—the frequency of alleles and genotypes in a population remains constant from generation to generation. In other words, no evolutionary change occurs through the process of sexual reproduction itself. This principle, referred to as the **Hardy–Weinberg principle**, is named for Godfrey Hardy and Wilhelm Weinberg, who each independently published the model in 1908 (see Quantifying Ecology 5.1: Hardy–Weinberg Principle). Mating is random when the chance that an individual mates with another individual of a given genotype is equal to the frequency of that genotype in the population. When individuals choose mates nonrandomly with respect to their genotype—or more specifically, select mates based on some phenotypic trait—the behavior is referred to as **assortative mating**. Perhaps the most recognized and studied form of assortative mating is female mate choice. Female mate choice is the behavior in which females exhibit a bias toward certain males as mates based on specific phenotypic traits (often secondary sex characteristics), such as body size or coloration. (Chapter 10, Life History)

**Positive assortative mating** occurs when mates are phenotypically more similar to each other than expected by chance. Positive assortative mating is common, and one of the most widely reported examples relates to the timing of reproduction. Plants mate assortatively based on flowering time. In populations of plants with an extended flowering time, early flowering plants are often no longer flowering when late flowering plants are in bloom.

The genetic effect of positive assortative mating is to increase the frequency of homozygotes while decreasing the frequency of heterozygotes in the population. Think of a locus

## QUANTIFYING ECOLOGY 5.1 Hardy–Weinberg Principle

The Hardy–Weinberg principle states that both allele and genotype frequencies will remain the same in successive generations of a sexually reproducing population if certain criteria are met: (1) mating is random; (2) mutations do not occur; (3) the population is large, so that genetic drift is not a significant factor; (4) there is no migration; and (5) natural selection does not occur.

If we have only two alleles at a locus, designated as  $A$  and  $a$ , then the usual symbols for designating their frequencies are  $p$  and  $q$ , respectively. Since frequencies (proportions) must sum to 1, then:

$$p + q = 1 \text{ or } q = 1 - p$$

Genotypic frequencies are typically designated by uppercase letters. In the case of a locus with two alleles,  $P$  is the frequency of  $AA$ ,  $H$  is the frequency of  $Aa$ , and  $Q$  is the frequency of  $aa$ . As with gene frequencies, genotype frequencies must sum to 1:

$$P + H + Q = 1$$

Given a population having the genotypic frequencies of

$$P = 0.64, H = 0.32, \text{ and } Q = 0.04$$

We can calculate the allele frequencies as follows:

$$p = P + H/2 = 0.64 + (0.32/2) = 0.8$$

$$q = Q + H/2 = 0.04 + (0.32/2) = 0.2$$

The frequency of heterozygous individuals ( $H$ :  $Aa$ ) is divided by 2 because only one of the allele pair is  $A$  or  $a$ .

With a population consisting of the three genotypes just described ( $AA$ ,  $Aa$ , and  $aa$ ), there are six possible types of mating (Table 1). For example, the mating  $AA \times AA$  occurs only when an  $AA$  female mates with an  $AA$  male, with the frequency of occurrence being  $P \times P$  (or  $P^2$ ) under the conditions of random mating. Similarly, an  $AA \times Aa$  mating occurs when an  $AA$  female mates with an  $Aa$  male (proportion  $P \times H$ ) or when an  $Aa$  female mates with an  $AA$  male (proportion  $H \times P$ ). Therefore the overall proportion of  $AA \times Aa$  matings is  $PH + HP = 2PH$ . The frequencies of these and the other four types of matings are given in the second column of Table 1.

To calculate the offspring genotypes produced by these matings, we must first examine the offspring produced by each of the six possible pairings of parental genotypes (Table 1). Since

**Table 1** Calculation of Offspring Genotype Frequencies for a Randomly Mating Population

(a) Parental Genotype Frequencies			(b) Parental Allele Frequencies		
$P$	$H$	$Q$	$p$	$q$	
( $AA$ )	( $Aa$ )	( $aa$ )	0.8	0.2	
0.64	0.32	0.04			
(c) Mating Genotype			Offspring Frequencies		
	Frequency of Mating		$AA$	$Aa$	$aa$
$AA \times AA$	$P^2$	$.64 \times .64 = .4096$	1.4096	0	0
$AA \times Aa$	$2PH$	$2 \times .64 \times .32 = .4096$	$\frac{1}{2}.2048$	$\frac{1}{2}.2048$	0
$AA \times aa$	$2PQ$	$2 \times .64 \times .04 = .0512$	0	1.0512	0
$Aa \times Aa$	$H^2$	$.32 \times .32 = .1024$	$\frac{1}{4}.0256$	$\frac{1}{2}.0512$	$\frac{1}{4}.0256$
$Aa \times aa$	$2HQ$	$2 \times .32 \times .04 = .0256$	0	$\frac{1}{2}.0128$	$\frac{1}{2}.0128$
$aa \times aa$	$Q^2$	$.04 \times .04 = .0016$	0	0	1.0016
Total (frequency of mating):		1.0000			
			.64	.32	.04
Totals (next generation):			$P'$	$H'$	$Q'$

where  $AA$  individuals tend to be larger than  $Aa$ , which in turn are larger than  $aa$  individuals. With positive assortative mating,  $AA$  will mate with  $AA$ , and  $aa$  with other  $aa$ . All of these matings will produce only homozygous offspring. Even mating between  $Aa$  individuals will result in half of the offspring being homozygous. The genetic effects of positive assortative mating are only at the loci that affect the phenotypic characteristic by which the organisms are selecting mates.

**Negative assortative mating** occurs when mates are phenotypically less similar to each other than expected by chance. Though not as common as positive assortative mating, negative assortative mating results in an increase in the frequency of heterozygotes.

A special case of nonrandom mating is inbreeding. **Inbreeding** is the mating of individuals in the population that are more closely related than expected by random chance. Unlike

homozygous  $AA$  genotypes produce only  $A$ -bearing gametes (egg or sperm), and homozygous  $aa$  genotypes produce only  $a$ -bearing gametes, the mating of  $AA \times AA$  individuals will produce only  $AA$  offspring, and likewise, the mating of  $aa \times aa$  individuals will produce only offspring with genotype  $aa$ . In addition, the mating of  $AA \times aa$  individuals will produce only heterozygous offspring ( $Aa$ ).

In contrast to homozygous individuals, heterozygous individuals produce both  $A$ - and  $a$ -bearing gametes.

Therefore, the mating of a heterozygous individual with a homozygous (either  $AA$  or  $aa$ ) or another heterozygous individual will produce offspring of all three possible genotypes ( $AA$ ,  $Aa$ , and  $aa$ ). The relative frequencies of offspring genotypes depend on the specific combination of parents (Figure 1). The offspring frequencies presented in Figure 1 are based on the assumption that an  $Aa$  heterozygote individual produces an equal number of  $A$ - and  $a$ -bearing gametes (referred to as Mendelian segregation).

Using the data presented in Figure 1 and the frequencies of the different types of matings in column 2 of Table 1, the genotype frequencies of the offspring, denoted as  $P'$  ( $AA$ ),  $H'$  ( $Aa$ ), and  $Q'$  ( $aa$ ), are presented in column 3 of Table 1. The new genotype frequencies are calculated as the sum of the products shown at the bottom of Table 1. For each genotype, the frequency of each mating producing the genotype is multiplied by the fraction of the genotypes produced by that mating.

We can now calculate the allele frequencies ( $p$  and  $q$ ) for the generation of offspring (designated as  $p'$  and  $q'$ ) using the formula presented earlier:

$$p' = P' + H'/2 = 0.64 + (0.32/2) = 0.8$$

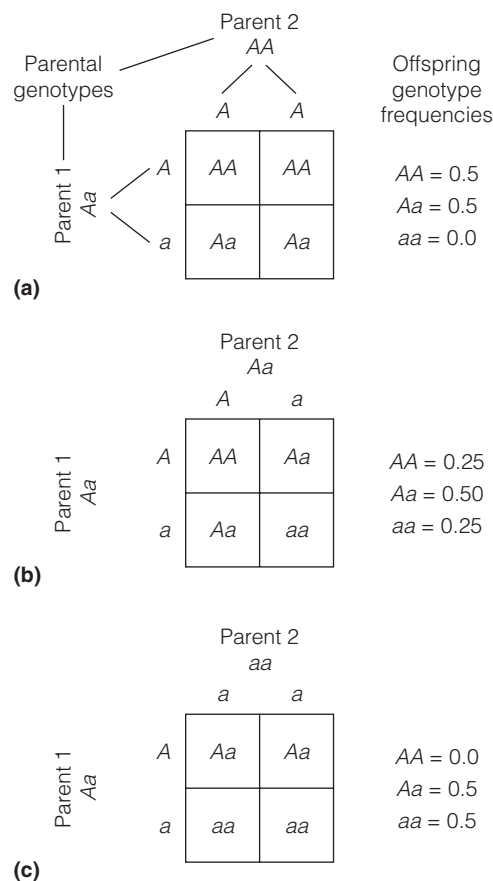
$$q' = Q' + H'/2 = 0.04 + (0.32/2) = 0.2$$

Note that both the genotype and allele frequencies of the offspring generation are the same as those of the parental generation.

In natural populations the assumptions of the Hardy–Weinberg principle are never fully met. Mating is not random, mutations do occur, individuals move between local populations, and natural selection does occur. All of these circumstances change the frequencies of genotypes and alleles from generation to generation, acting as evolutionary forces in a population. The beauty of the Hardy–Weinberg principle is that it functions as a null model, where deviations from the expected frequencies can provide insight into the evolutionary forces at work within a population.

positive assortative mating, inbreeding increases homozygosity at all loci. Inbreeding affects all loci equally because related individuals are genetically similar by common ancestry, and they are therefore more likely than unrelated individuals are to share alleles throughout the genome.

Inbreeding can be detrimental. Offspring are more likely to inherit rare, recessive, deleterious genes. These genes can cause decreased fertility, loss of vigor, reduced fitness, reduced



**Figure 1** Proportion of offspring genotypes produced by heterozygous individuals mating with (a) homozygous  $AA$  individual, (b) another heterozygous individual, and (c) homozygous  $aa$  individual. The frequencies of genotypes produced from each of these matings are shown.

1. How would the frequency of heterozygotes in the population change if the frequency for the  $A$  allele in the example described was  $p = 0.5$ ?
2. When the frequency of an allele is greater than 0.8, most of these alleles are contained in homozygous individuals (as illustrated in the above example). When the frequency of an allele is less than 0.1, in which genotype are most of these alleles?

pollen and seed fertility in plants, and even death. These consequences are referred to as **inbreeding depression**.

As we have seen from the preceding discussion, nonrandom mating changes genotypic frequencies from one generation to the next, but assortative mating does not directly result in a change of allele frequencies within a population. The other three processes discussed—mutation, migration, and genetic drift, together with natural selection—alter the allele frequencies,

therefore resulting in a shift in the distribution of genotypes (and potentially phenotype) within the population. As such, all four processes function as agents of evolution. However, natural selection is special among the four evolutionary processes because it is the only one that leads to adaptation. The other three can only speed up or slow the development of adaptations.

## 5.7 Natural Selection Can Result in Genetic Differentiation

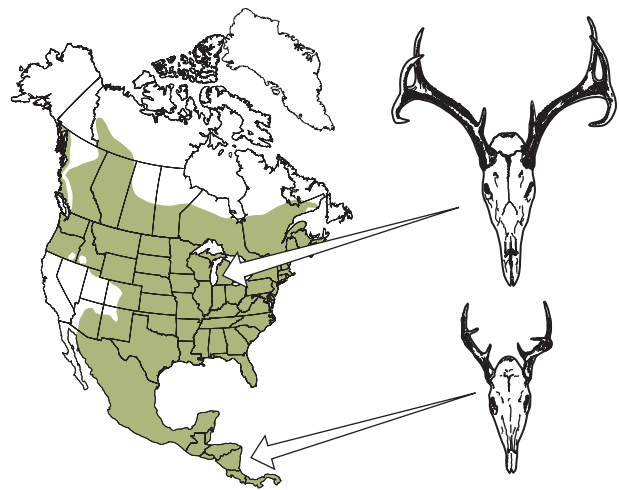
The example of natural selection in the population of medium ground finches as described earlier represents a shift in the distribution of phenotypes in the population inhabiting the island of Daphne Major. This shift in the mean phenotype (beak size) reflects a change in genetic variation (allele and genotype frequencies) within the population. Natural selection can also function to alter genetic variation among populations through the process of genetic differentiation (see Section 5.4).

Species having a wide geographic distribution often encounter a broader range of environmental conditions than do those species whose distribution is more restricted. The variation in environmental conditions often gives rise to a corresponding variation in morphological, physiological, and behavioral characteristics. Significant differences often exist among local populations of a single species inhabiting different regions. The greater the distance between populations, the more pronounced the differences often become as each population adapts to the locality it inhabits. Geographic variation within a species can result in clines, ecotypes, and geographic isolates.

A **cline** is a measurable, gradual change over a geographic region in the average of some phenotypic character, such as size and coloration, or it can be a gradient in genotypic frequency. Clines are usually associated with an environmental gradient such as temperature, moisture, light, or altitude. Continuous variation results from gene flow from one population to another along the gradient. Because environmental constraints influencing natural selection vary along the gradient, any one population along the gradient will differ genetically to some degree from another, the difference increasing with the distance between the populations.

Clinal differences exist in size, body proportions, coloration, and physiological adaptations among animals. For example, the white-tailed deer (*Odocoileus virginianus*) in North America exhibits clinal variation in body weight (Figure 5.12). White-tailed deer in Canada and the northern United States are heaviest, weighing on average more than 136 kg. Individuals of the species weigh on average 93 kg in Kansas, 60 kg in Louisiana, and 46 kg in Panama. The smallest individuals of this species, the Key deer in Florida, weigh less than 23 kg.

Clinal variation can show marked discontinuities. Such abrupt changes, or step clines, often reflect abrupt changes in local environments. Such variants are called ecotypes. An **ecotype** is a population adapted to its unique local environmental conditions. For example, a population inhabiting a mountaintop may differ from a population of the same species in the valley below. When several habitats (unique environments) that the species is adapted to recur throughout the species' range, ecotypes often are scattered like a mosaic across the landscape.



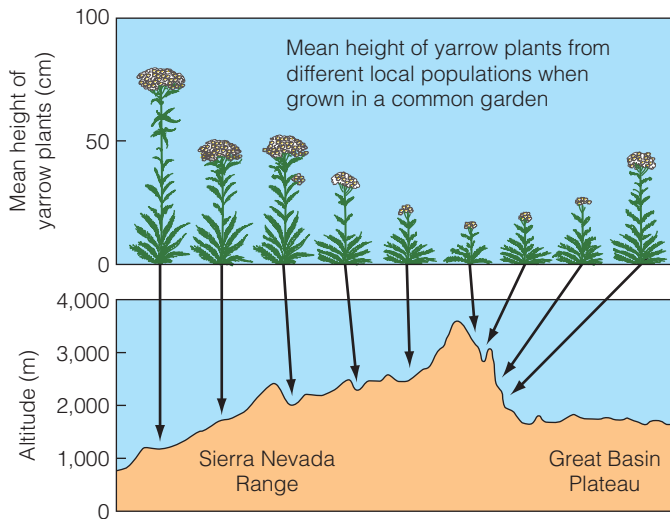
**Figure 5.12** Comparative skull sizes for white-tailed deer in the northern and southern areas of their geographic distribution.

(Adapted from Baker 1984.)

Yarrow (*Achillea millefolium*) is a plant species of the temperate and subarctic regions of the Northern Hemisphere with an exceptional number of ecotypes. It exhibits considerable variation, an adaptive response to different climates at various altitudes. Populations exhibit an inverse relationship between altitude and plant height; local populations inhabiting lower altitudes are much taller than montane (high-altitude) populations.

In a classic study, plant ecologists J. Clausen, D. D. Keck, and W. M. Hiesey of Stanford University collected seeds from local populations of yarrows at different elevations in a transect across the Sierra Nevada mountains. To remove the effects of environmental differences at the different elevations, seeds from the various local populations were planted in a common garden at Stanford University. Results of the experiment revealed that the local populations maintained the differences in stature and other associated traits that are observed in their native habitats (altitudes), demonstrating the role of genetic as well as environmental factors in the phenotypic variation that occurs in populations at different altitudes (Figure 5.13). Later experiments demonstrated that the observed differences among local populations in stature, leaf shape, and morphology, represent physiological adaptations to the constraints imposed by environmental factors correlated with altitude.

The southern Appalachian Mountains are noted for their diversity of salamanders. This diversity is fostered in part by a rugged terrain, an array of environmental conditions, and the limited ability of salamanders to disperse (Figure 5.14). Populations become isolated from one another, preventing a free flow of genes. One species of salamander, *Plethodon jordani*, breaks into a number of semi-isolated populations, each characteristic of a particular part of the mountains. These subpopulations make up **geographic isolates**, in which the free flow of genes among subpopulations is prevented by some extrinsic barrier—in the case of the salamanders, rivers and mountain ridges. The degree of isolation depends on the efficiency of the extrinsic barrier, but rarely is the isolation complete. These



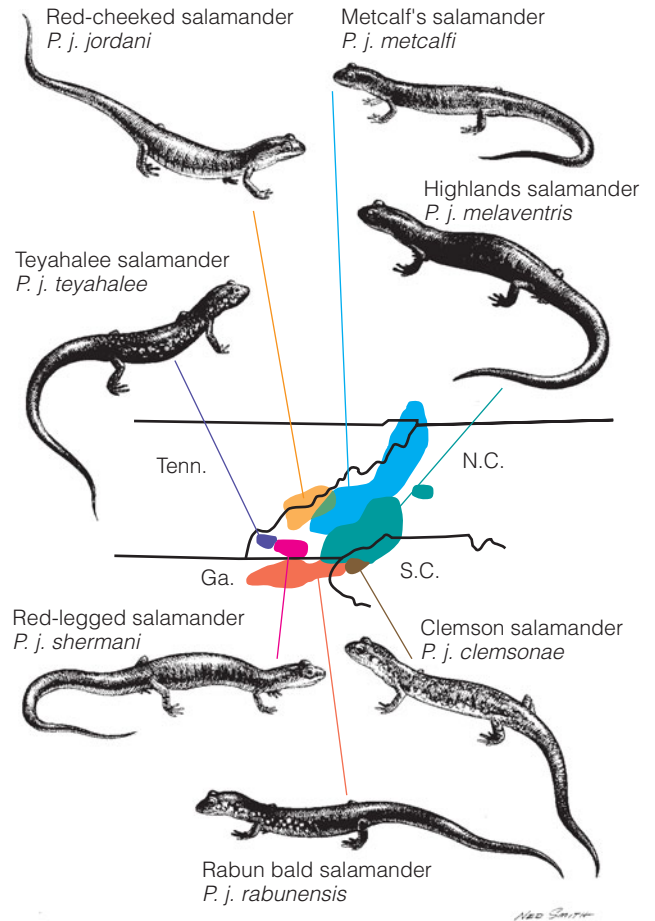
**Figure 5.13** Local population of yarrow plants (*Achillea millefolium*) inhabiting the Sierra Nevada mountains of western North America exhibit an inverse relationship between altitude and plant height, with local populations inhabiting lower altitudes being much taller in stature than montane (high-altitude) populations. When seeds from local populations were planted in a common garden at Stanford University, the differences in stature (mean plant height) observed in their native habitats (altitudes) were maintained, demonstrating the role of genetic differentiation in the observed phenotypic differences among local populations.

(From Campbell and Reece 2005 after Clausen et al. 1948.)

geographic isolates are often classified as **subspecies**, a taxonomic term for populations of a species that are distinguishable by one or more characteristics. Unlike clines, for subspecies we can draw a geographic line separating the subpopulations into subspecies. Nevertheless, it is often difficult to draw the line between species and subspecies.

## 5.8 Adaptations Reflect Trade-offs and Constraints

If Earth were one large homogeneous environment, perhaps a single phenotype, a single set of characteristics might bestow upon all living organisms the ability to survive, grow, and reproduce. But this is not the case. Environmental conditions that directly influence life vary in both space and time (Part One, The Physical Environment). Patterns of temperature, precipitation, and seasonality vary across Earth's surface, producing a diversity of unique terrestrial environments (Chapter 2). Likewise, variations in depth, salinity, pH, and dissolved oxygen define an array of freshwater and marine habitats (Chapter 3). Each combination of environmental conditions presents a unique set of constraints on the organisms that inhabit them—constraints on their ability to maintain basic metabolic processes that are essential to survival and reproduction. Therefore, as features of the environment change, so will the set of traits (phenotypic characteristics) that increase the ability of individuals to survive



**Figure 5.14** Geographical isolates in *Plethodon jordani* of the Appalachian highlands. These salamanders originated when a population of the salamander *P. yonahlossee* became separated by the French Broad River valley. The eastern population developed into Metcalf's salamander, which spread northward, the only direction in which any group member could find suitable ecological conditions. To the south, southwest, and northwest, the mountains end abruptly, limiting the remaining *jordani*. Metcalf's salamander is the most specialized and ecologically divergent and the least competitive. Next, the red-cheeked salamander became isolated from the red-legged and the rest of the group by the deepening of the Little Tennessee River. Remaining members are still somewhat connected.

and reproduce. Natural selection will favor different phenotypes under different environmental conditions (see Field Studies: Beren Robinson). This principle was clearly illustrated in the example of Darwin's medium ground finch in Section 5.5, where a change in the resource base (abundance, size, and hardness of seeds) resulted in a shift in the distribution of phenotypes within the population. Simply stated, the fitness of any phenotype is a function of the prevailing environmental conditions; the characteristics that enable an individual to maximize fitness under one set of environmental conditions generally limit its ability to do so under a different set of conditions. The limitations on the fitness of a phenotype under different environmental conditions are a function of trade-offs imposed by constraints that can ultimately be traced to the laws of physics and chemistry.

## FIELD STUDIES

## Beren Robinson

Department of Zoology University of Guelph, Guelph, Ontario, Canada

Most of the lakes scattered over the northern regions of North America were formed after the immense ice sheets that covered the region retreated about 15,000 years ago. One group of fish inhabiting these new environments, the threespine sticklebacks (*Gasterosteus* spp.), have undergone a rapid period of speciation, with the species inhabiting the lakes of coastal British Columbia being among the youngest species on Earth. No more than two species occur in any one lake, and pairs of species in different lakes seem to have evolved completely independently of other pairs.

In every lake where a pair of threespine stickleback species is found, the two species have different patterns of habitat use and diet. One of the species feeds on plankton in the open-water zone (limnetic form), and the other species exploits larger prey from the sediments and submerged vegetation of the shallower, near-shore waters (benthic form). Morphological differences between the species correlate with these differences in habitat use and diet (Figure 1). Whether constraints imposed by these distinct environments are partly responsible for the evolution of the pairs of species is a central question in the research of Beren Robinson of the Department of Zoology at the University of Guelph.

In lakes where only a single species is found, the species of threespine stickleback tend to be intermediate in morphology and habit to the limnetic and benthic species. In sampling the population of one such species—*Gasterosteus aculeatus*, which occurs in Cranby Lake in the coastal region of British Columbia—Robinson found that individuals sampled from the

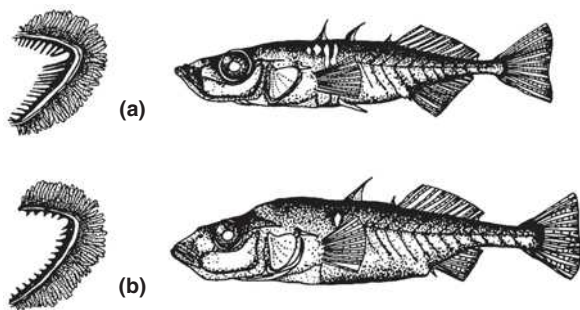
open-water habitat differed morphologically from those individuals sampled from the shallower near-shore waters. In addition, these differences in morphology parallel the differences observed between species that occupy these two habitats in lakes where species pairs are found

(Figure 1). Robinson hypothesized that these individuals represented distinct phenotypes that are products of natural selection promoting divergence within the population. Divergent (or disruptive) selection can potentially occur when individuals within a population face trade-offs involving the performance of different tasks. Trade-offs can occur when performance on one task (such as feeding on plankton in the open water) results in a cost to performance and fitness on a second task (feeding on the sediments in the shallow water of the shoreline). Severe trade-offs can result in divergent selection that favors resource specialization.

To test his hypothesis, Robinson needed to establish that two conditions were met. The first was that morphological differences between the two forms were heritable, rather than an expression of phenotypic plasticity in response to the two different habitats or diets. The second was that the observed morphological differences between the two forms influenced their foraging efficiency—that they did in fact represent a trade-off.

To test the first condition, Robinson reared offspring of the two forms under identical laboratory conditions (environmental conditions and diet). The results showed that although there was some degree of phenotypic plasticity, differences in most characteristics remained between the two forms when raised under identical conditions. On average, the benthic form (BF) had (1) shorter overall body length, (2) deeper body, (3) wider mouth, (4) more dorsal spines, and (5) fewer gill rakers than did the limnetic form (LF). Therefore, the phenotypic difference in morphology between these two forms is indeed heritable.

To test the second condition, Robinson conducted feeding trials in the laboratory to test for trade-offs in the foraging efficiency of the two forms. The foraging success of individual fish was assessed



**Figure 1** Morphological differences in the gill rakers of the stickleback species based on (a) limnetic form and (b) benthic form feeding habits.

Illustration by Laura Nagel, Queen's University. (From Schuller 1993.)

This general but important concept of adaptive trade-offs is illustrated in the example of natural selection for beak size in the population of Darwin's medium ground finch (*Geospiza fortis*) presented in Section 5.5. Recall from Figure 5.6 that the ability to utilize different seed resources (size and hardness) is related to beak size. Individuals with small beaks feed

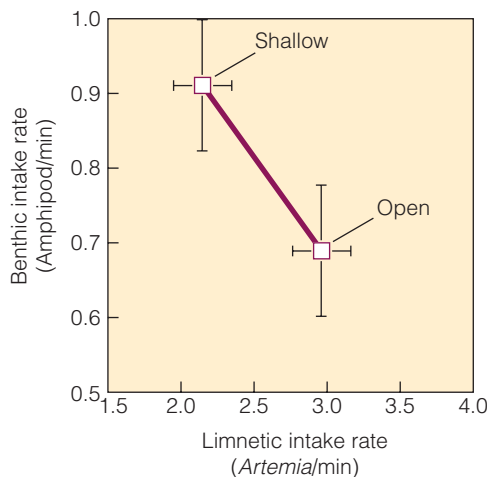
on the smallest and softest seeds, and individuals with larger bills feed on the largest and hardest seeds. These differences in diet as a function of beak size reflect a trade-off in morphological characteristics (the depth and width of the bill) that allow for the effective exploitation of different seed resources. This pattern of trade-offs is even more apparent if we compare

in two artificial habitats, mimicking conditions in the limnetic and benthic environments.

Two food types were used in the trials. Brine shrimp larvae (*Artemia*), a common prey found in open water, were placed in the artificial limnetic habitats. Larger amphipods, fast-moving arthropods with hard exoskeletons that forage on dead organic matter on the sediment surface, were placed in the artificial benthic habitats.

An experimental trial consisted of releasing a single fish into the test aquarium and observing it for a period of time. At the end of the observation period, the total number of prey items eaten was determined and the data were converted into two measures of foraging success: intake rate (number of prey items consumed per minute) and capture effort (mean number of bites per prey item).

Results of the foraging trials revealed distinct differences in the foraging success of the two morphological forms (phenotypes; Figure 2). The LF individuals were most successful at foraging on the brine shrimp larvae. They had a higher consumption rate and required only half the number of bites to consume as compared to the BF individuals. In contrast, BF individuals had



**Figure 2** Mean intake rate (and standard errors) of limnetic (open) and benthic (shallow) forms in open-water (food source: *Artemia* [brine shrimp larvae]) and shallow-water (food source: amphipod) feeding trials.

(Adapted from Robinson 2000.)

a higher intake rate for amphipods and on average consumed larger amphipods than did LF individuals.

Robinson was able to determine that the higher intake rate of brine shrimp larvae by LF individuals was related to this form's greater number of gill rakers, and greater mouth width was related to the higher intake rate of amphipods by BF individuals. Therefore, foraging efficiency was found to be related to morphological differences between the two forms, suggesting trade-offs in characteristics related to the successful exploitation of these two distinct habitats and associated food resources.

These and other experiments by Robinson strongly suggest that divergent natural selection is occurring in these populations and may represent the early stages of speciation. Previous studies of divergent species of sticklebacks (species pairs) inhabiting the lakes of British Columbia have suggested that opposing selective pressures in open-water and nearshore (shallow water) environments have been a major factor in the evolution of these species. Yet, Beren Robinson's work is unique in its illustration that natural selection is at work within a single population, resulting in different morphological phenotypes that inhabit these two distinct environments. It is one of few studies that have actually quantified the trade-offs faced by individuals within a population, relating to the exploitation of different resources. Robinson's work offers us important insights into the mechanisms at work in the evolution of diversity in this closely related group of fishes.

### Bibliography

- Robinson, B. W. 2000. Trade offs in habitat-specific foraging efficiency and the nascent adaptive divergence of sticklebacks in lakes. *Behaviour* 137:865–888.
- Robinson, B. W., and S. Wardrop. 2002. Experimentally manipulated growth rate in threespine sticklebacks: Assessing trade-offs with developmental stability. *Environmental Biology of Fishes* 63:67–78.

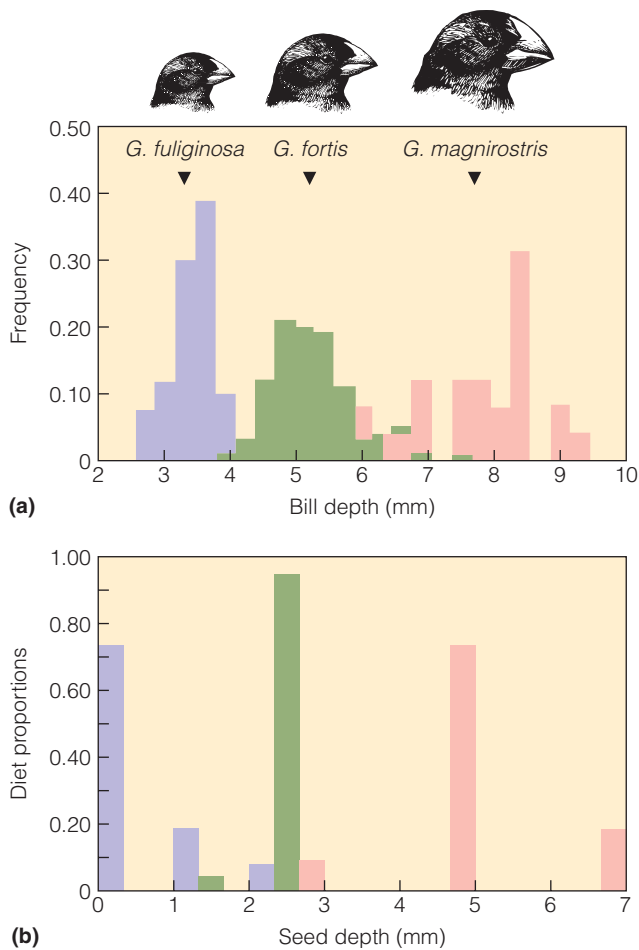
1. How do you think that the differences in morphology, diet, and habitat of the two phenotypic forms within the population of fish might possibly lead to reproductive isolation and eventual speciation?
2. Suppose that an intermediate phenotype (intermediate in characteristics) was present in the population and that this intermediate was equally capable of feeding in both the open-water and nearshore environments (and as efficiently as both of the other phenotypes). How would this change the interpretation of the results?

differences in beak morphology and the utilization of seed resources for the three most common species of Darwin's ground finch that inhabit Santa Cruz island in the Galápagos.

The distributions of beak size (phenotypes) for individuals of the three most common species of Darwin's ground finches are shown in Figure 5.15a. As their common names suggest, the

mean value of beak size increases from the small (*G. fuliginosa*) to the medium (*G. fortis*) and large (*G. magnirostris*) ground finch. In turn, the proportions of various seed sizes in their diets (Figure 5.15b, on page 84) reflect these differences in beak size, with the average size and hardness of seeds in the diets of these three populations increasing as a function of beak size. Small





**Figure 5.15** (a) Frequency distribution of beak depths (upper mandible) of adult males in populations of the small (*Geospiza fuliginosa*), medium (*G. fortis*), and large (*G. magnirostris*) ground finch. Mean values are indicated by the solid triangles. The differences in beak size for the three species result in differences in the size of seeds in their diet, expressed as the proportion in the diet of various seed sizes (b).

(Adapted from Grant 1999 after Schluter 1982.)

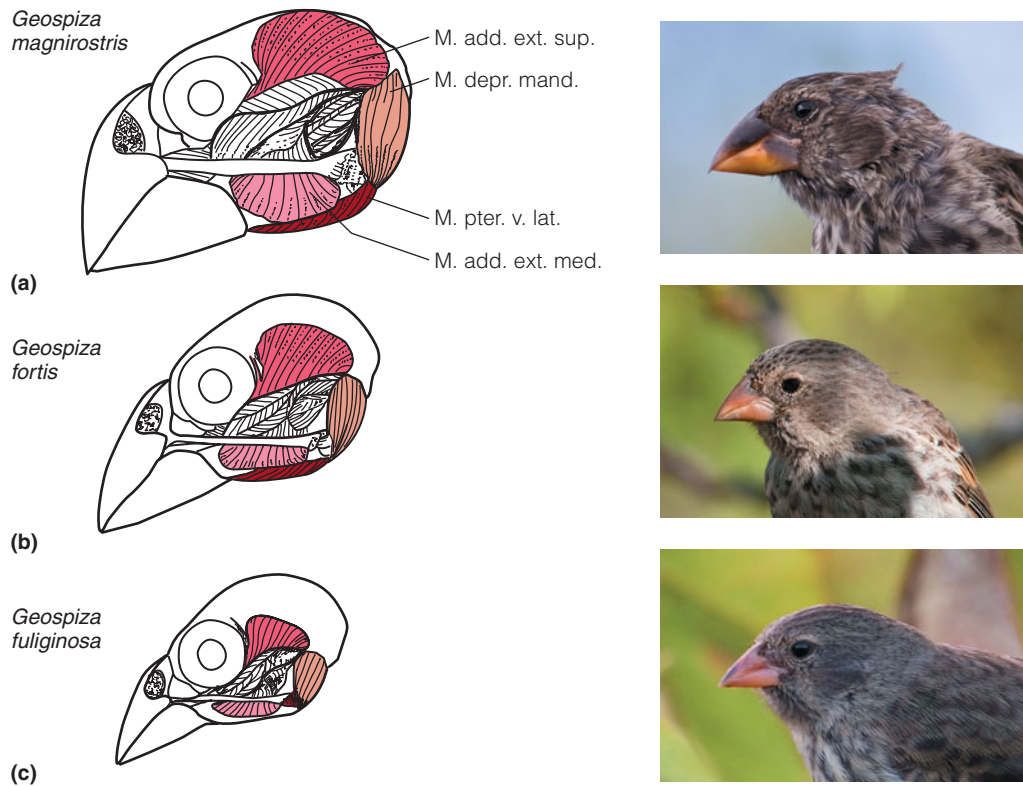
beak size restricts the ability of the smaller finch species (*G. fuliginosa*) to feed on larger, harder seed resources. In contrast, large beak size allows individuals of the largest species, *G. magnirostris*, to feed on a range of seed resources from small, softer seeds to larger, harder seeds. However, because they are less efficient at exploiting the smaller seed resources, these larger-beaked individuals restrict their diet to the larger, harder seeds. The profitability—defined as the quantity of food energy gained per unit of time spent handling these small seeds (see Section 15.6)—is extremely low for the larger birds and makes feeding on smaller seeds extremely inefficient for these individuals. This inefficiency is directly related to the greater metabolic (food energy) demands of the larger birds, which illustrates a second important concept regarding the role of constraints and trade-offs in the process of natural selection: individual phenotypic characteristics (such as bill size) often are components of a larger adaptive complex involving multiple traits and loci. The

phenotypic trait of beak size in Darwin's ground finches is but one in a complex of interrelated morphological characteristics that determine the foraging behavior and diet of these birds. Larger beak size is accompanied by increased body size (length and weight) as well as specific changes in components of skull architecture and head musculature (Figure 5.16), all of which are directly related to feeding functions.

In summary, the beak size of a bird sets the potential range of seed types in the diet. This relationship between morphology and diet represents a basic trade-off that constrains the evolution of adaptations in Darwin's finches relating to their acquisition of essential food resources. In addition, the example of Darwin's ground finches illustrates how natural selection operates on genetic variation at the three levels we initially defined in Section 5.4: within a population, among subpopulations of the same species, and among different species. Natural selection operated in the local population of medium ground finches on Daphne Major island during the period of drought in the mid-1970s, increasing the mean beak size of birds in this population in response to the shift in the abundance and quality of seed resources. In addition, natural selection has resulted in differences in mean beak size between populations of the medium ground finch inhabiting the islands of Daphne Major and Santa Cruz (see Chapter 14, Figure 14.20). The larger mean beak size for the population on Santa Cruz is believed to be a result of competition from the population of small ground finch present on the island (*G. fuliginosa* does not occupy Daphne Major). The presence of the smaller species on the island has the effect of reducing the availability of smaller, softer seeds (see Figure 5.15) and increasing the relative fitness of *G. fortis* individuals with larger beaks that can feed on the larger, harder seeds (see Figure 5.6).

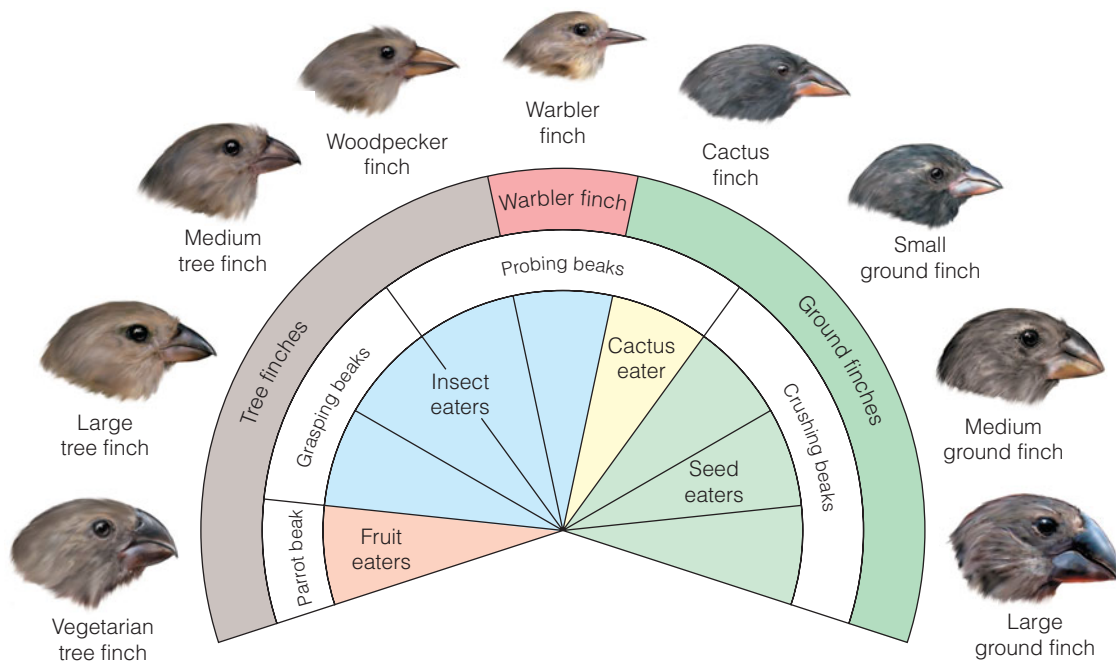
Population genetic studies have also shown that natural selection is the evolutionary force that has resulted in the genetic differentiation of various species of Darwin's finches that inhabit the Galápagos Islands (Figure 5.17). The process in which one species gives rise to multiple species that exploit different features of the environment, such as food resources or habitats, is called **adaptive radiation**. The different features of the environment exert the selection pressures that push the populations in various directions; and reproductive isolation, the necessary condition for speciation to occur, is often a by-product of the changes in morphology, behavior, or habitat preferences that are the actual targets of selection. In this way, the differences in beak size and diet among the three species of ground finch are magnified versions of the differences observed within a population, or among populations inhabiting different islands.

We will examine this basic principle of trade-offs as it applies to the adaptation of species and explore how the nature of adaptations changes with changing environmental conditions (Part Two). We will explore various adaptations of plant and animal species, respectively, to key features of the physical environment that directly influence the basic processes of survival and assimilation (Chapters 6 and 7). We will explore trade-offs involved in the evolution of life history characteristics (adaptations) relating to reproduction (Chapter 10). The role of species interactions as selective agents in the process of natural selection will be examined later (Part Four, Species Interactions).



**Figure 5.16** Beak structure and superficial jaw muscles of (a) large, (b) medium, and (c) small ground finches. Muscles identified by abbreviation are *M. adductor mandibulae externus* (superficial and medialis), *M. depressor mandibulae*, and *M. pterygoideus ventralis lateralis*. An individual's ability to exploit different seed resources (size, hardness, etc.) is influenced by a complex of morphological and behavioral characteristics including those illustrated.

(Adapted from Grant 1999 after Bowman 1961.)



**Figure 5.17** The concept of adaptive radiation is illustrated by the diversity of Darwin's ground finches inhabiting the Galápagos Islands. Genetic studies show that the various species of finches arise from a single ancestral species, but they have evolved a rich diversity of beak sizes and shapes.

(From Patel 2006.)

Throughout our discussion, adaptation by natural selection is a unifying concept, a mechanism for understanding the distribution and abundance of species. We will explore the selective forces giving rise to the adaptations that define the diversity of species as well as the advantages and constraints arising from those adaptations under different environmental conditions. Finally, we will examine how the trade-offs in adaptations to different environmental conditions give rise to the patterns and processes observed in communities and ecosystems as environmental conditions change in space and time.

## 5.9 Organisms Respond to Environmental Variation at the Individual and Population Levels

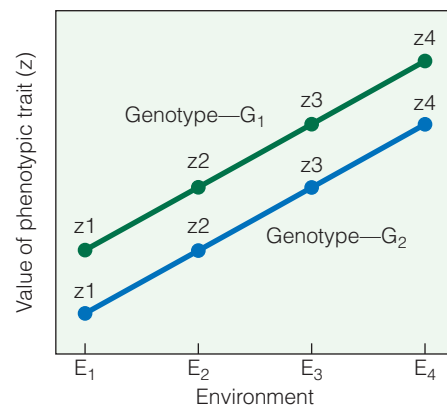
Organisms respond to changes in the environment (both spatial and temporal) in several different ways that operate at the levels of the population and the individual. At both these levels, the responses reflect that interaction between genes and the environment.

Over the timescale of generations, natural selection can alter the distribution of phenotypes in the population (mean and variance) in response to a change in environmental conditions. As environmental conditions change, selection will favor certain phenotypes over others, resulting in a shift in the distribution of phenotypes (as well as allele and genotype frequencies) within the population (see Figure 5.10). The result will be an increase in the average fitness of individuals in the population. This is an example of adaptation to environmental change through natural selection at the population level.

This same process can occur spatially. As we have seen in the examples of Section 5.7, local populations of the same species inhabiting different environments and experiencing different selective agents will undergo genetic differentiation. This differentiation results in a divergence in the distribution of genotypes and associated phenotypes. The result can be distinctive ecotypes—populations adapted to their unique local environmental conditions.

Individuals can also respond to both temporal and spatial changes in the environment. If an organism is mobile, and alternative environments (habitats) are available on the landscape, the simplest response to a change in environmental conditions is to move to a more suitable location. This is a common behavior in many animals (see Chapter 7). Yet this option is not available to sessile (nonmobile) organisms; and even for mobile organisms, distance or other obstacles may limit the individual's ability to move to more suitable habitat.

A second mechanism allowing individual organisms to respond to changes in environmental conditions is the direct influence of the environment on gene expression. Recall from Section 5.3 that most phenotypic traits are influenced by the environment; that is to say, the phenotypic expression of the genotype is influenced by the environment. The ability of a genotype to give rise to different phenotypic expressions under different environmental conditions is termed **phenotypic plasticity**. The set of phenotypes expressed by a single genotype across a range of



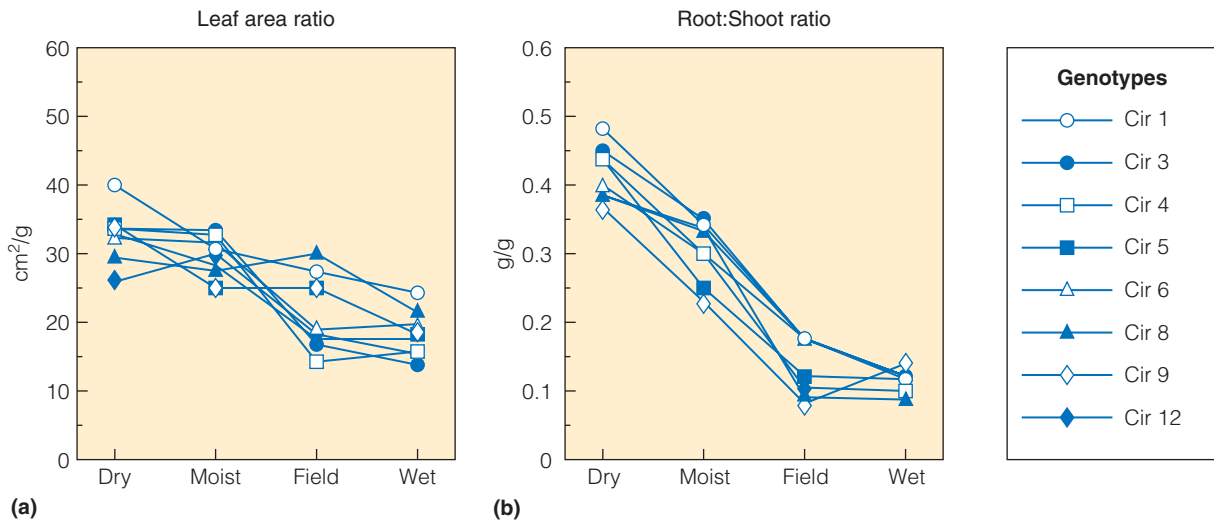
**Figure 5.18** An example of the norm of reaction: the set of phenotypes expressed by a genotype in different environments. The norms of reaction for two genotypes,  $G_1$  and  $G_2$ , in four distinct environments:  $E_1$ — $E_4$  are shown. The two lines represent the phenotypic characteristics ( $z_1$ — $z_4$ ) exhibited by two genotypes ( $G_1$  and  $G_2$ ) in the four different environments. The ability of a genotype to express different phenotypic characteristics under different environmental conditions is called phenotypic plasticity.

### INTERPRETING ECOLOGICAL DATA

- Q1.** Is there any environment in which the two genotypes will express the same phenotype?
- Q2.** Is it possible for the two genotypes to exhibit the same phenotype?
- Q3.** Suppose rather than the two lines representing the norms of reaction for the two genotypes being parallel, they crossed each other to form an X. How would this change your answers to questions 1 and 2?

environmental conditions is referred to as the **norm of reaction** (Figure 5.18). Note that we are not talking about different genotypes adapted to different environmental conditions, but about a single genotype (set of alleles) capable of altering the development or expression of a phenotypic trait to suit the conditions encountered by the individual organism. The result is to improve the individual's ability to survive, grow, and reproduce under the prevailing environmental conditions (i.e., increase fitness).

Some of the best examples of phenotypic plasticity occur among plants. The size of the plant, the ratio of reproductive tissue to vegetative tissue, and even the shape of the leaves may vary widely at different levels of nutrition, light, moisture, and temperature. An excellent illustration of phenotypic plasticity in plants is the work of colleagues Sonia Sultan of Wesleyan University and Fakhri Bazzaz of Harvard University. Sultan and Bazzaz examined the response of eight different genotypes of spotted lady's thumb (*Polygonum persicaria*), an annual herbaceous plant species, to different levels of soil moisture. Individuals of each of the eight genotypes were grown in the greenhouse under four different water treatments. Other environmental factors (e.g., light, nutrients, temperature, etc.) were identical for the plants. The resulting reaction norms for two phenotypic characteristics, leaf area ratio and root-to-shoot ratio, for each of the eight different genotypes are presented in Figure 5.19. All eight genotypes exhibit a wide variation in morphological responses to the different soil moisture



**Figure 5.19** Norms of reaction for eight genotypes (designated as CIR  $x$ ) of spotted lady's thumb (*Polygonum persicaria*) at four soil moisture levels (water content measured as mean percentage of soil dry weight: dry—11%, moist—14%, field capacity—26%, and wet—74%). Each point represents the mean of four plants (replicates). **(a)** Leaf area ratio is the ratio of leaf area (cm<sup>2</sup>) to plant weight (g). **(b)** Root:shoot is the ratio of root mass (g) to shoot mass (g), where shoot mass includes both the leaf and stem (aboveground) tissues. Both indices are measures of the allocation of biomass to different plant tissues.

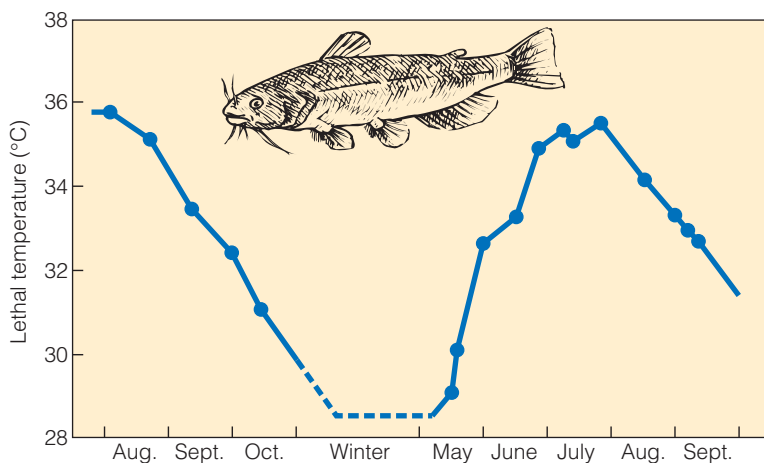
(Adapted from Sultan and Bazzaz 1993.)

environments (treatments). These differences in phenotypic traits for a given genotype under different environmental conditions reflect differences in the allocation of biomass to different tissues (leaves, stem, and roots) during the growth and development of the individual plant. This response is referred to as **developmental plasticity**. As such, these changes are irreversible. After the adult plant develops, these patterns of biomass allocation (proportions of leaf, stem, and root) will remain largely unchanged, regardless of any changes in the moisture environment (e.g., drought or flooding).

In contrast to developmental plasticity, other forms of phenotypic plasticity in response to prevailing environmental conditions are reversible. For example, fish have an upper and lower limit of tolerance to temperature (Figure 5.20). They cannot survive at water temperatures above and below

these limits. However, these upper and lower limits change seasonally as water temperatures warm and cool. During the warmer months of summer, the bullhead catfish *Ictalurus nebulosus* has an upper lethal temperature of 36°C. In winter this upper lethal temperature drops to 28°C. As water temperatures change seasonally, shifts in enzyme and membrane structure allow the individual's physiology to adjust slowly over a period of time, influencing heart rate, metabolic rate, neural activity, and enzyme reaction rates. These reversible phenotypic changes in an individual organism in response to changing environmental conditions are referred to as **acclimation**.

Acclimation is a common response in both plant and animal species, involving adjustments relating to biochemical, physiological, morphological, and behavioral traits.



**Figure 5.20** Temperature acclimation in the bullhead catfish. Tolerance for warmer or colder water shifts as the temperatures gradually increase and decrease between seasons. Exposure to higher temperatures would be lethal when the fish is acclimated to a colder temperature. The response over the period shown as a dashed line is an estimate (no direct observation). Each point on the graph indicates the temperature that is fatal to 50 percent of the fish after an exposure of 15 hours.

(Adapted from Fry 1947.)

## ECOLOGICAL ISSUES

## The Ecology of Antibiotic Resistance

When we think of adaptation and evolution, we typically think of timescales involving millions of years. Yet, as we have seen from examples such as the shift in bill size in Darwin's ground finch (see Figure 5.9), evolution by natural selection can occur over relatively short periods of time when populations are exposed to sudden shifts in the environment. In one group of organisms, evolution by natural selection has been accelerated by our attempts at eradication, with potentially grave consequences to our own species.

Antibiotics are a class of compounds, natural or synthetic, that destroy or inhibit the growth of microorganisms. Their discovery and successful use against disease-causing bacteria is one of modern medicine's greatest success stories. Since these compounds first became widely used in the mid-20th century, they have saved countless lives through their ability to fight infections and disease-causing bacteria. But just four years after drug companies began mass-producing penicillin—the first of these compounds—microbes began appearing that could resist it.

Antibiotic resistance spreads fast. Between 1979 and 1987, for example, a survey by the U.S. Centers for Disease Control and Prevention (CDC) found that 0.02 percent of bacterial strains that cause pneumonia were penicillin resistant. Today, 6.6 percent of these bacterial strains are resistant. The CDC also reports that in 1992, more than 13,000 hospital patients died of bacterial infections that were resistant to antibiotic treatment.

The increased prevalence of antibiotic resistance is an outcome of evolution, specifically the accelerated process of natural selection in bacterial populations brought about by the widespread use of antibiotics. All populations of organisms, including bacteria, exhibit genetic variations that influence traits among individuals—in this case, the ability of the bacterium to withstand the effects of the antibiotic. Whenever antibiotics are used, there is selective pressure for resistance to occur. When a person takes an antibiotic, the drug kills the vast majority of bacteria that are defenseless. If any of the bacteria are resistant, however, they will survive. These resistant bacteria then multiply, increasing their numbers—up to a million-fold in a day. This process builds on itself, with more and more bacteria developing resistance to more and more antibiotic compounds. Natural selection favors the antibiotic-resistant individuals, whose increased fitness results in

a greater proportion of the population being antibiotic resistant in each succeeding generation.

An individual can develop an antibiotic-resistant infection either by becoming initially infected with a resistant strain of bacteria or by having a resistant bacterial strain emerge in the body once antibiotic treatment begins. A bacterium develops antibiotic resistance as a result of acquiring genes that confer resistance to the action caused by the compound (i.e., the actual effects of the drug). Bacteria acquire genes that confer resistance in one of three ways: (1) bacterial DNA may mutate spontaneously, which is likely the most common cause of resistance; (2) in a process called *transformation*, one bacterium may take up DNA from another bacterium; and (3) resistance may be acquired from a small circle of DNA called a *plasmid*, which can be transmitted from one type of bacterium to another. A single plasmid can provide a variety of resistances. In 1968, more than 12,000 people in Guatemala died in an epidemic of bacteria-caused diarrhea. The strain of bacteria harbored a plasmid that carried resistances to four different antibiotics.

Although bacterial antibiotic resistance is a natural phenomenon, societal factors such as inappropriate antibiotic use also contribute to the problem. For example, doctors sometimes prescribe antibiotics for a cold, cough, or the flu, all of which are viral and do not respond to antibiotics. Also, patients who are prescribed antibiotics but do not take the complete course (correct dose for the entire period of the prescription) can contribute to resistance.

Another much-publicized concern is the use of antibiotics in livestock. The drugs are used in healthy animals to prevent disease or administered at low levels in feed for long durations to increase the rate of weight gain. Although the U.S. Food and Drug Administration (FDA) Center for Veterinary Medicine limits the amount of antibiotic residue allowed in poultry and other meats, these drugs can cause bacteria to become resistant to drugs used to treat humans, ultimately making some human illnesses harder to treat.

1. In being treated for potentially deadly bacterial infections, patients are often given a series of different antibiotics. Can you think why this treatment might be utilized?
2. Why does the development of antibiotic-resistant populations of bacteria represent an example of evolution by natural selection?

The physical environment varies on several different timescales that directly affect the functioning of organisms (reviewed in Part One, The Physical Environment). Light, temperature, and moisture vary both diurnally and seasonally in a regular and predictable way, yet interannual variations in these same features can be less predictable, giving rise to periods of drought or flooding or to unusual periods of cold or heat. Over a much longer timescale, geological processes and periodic variations in climate can change environmental conditions at a regional to global scale. And at all of these timescales, organisms have evolved mechanisms for responding to these changes in environmental conditions.

Biochemical changes in enzyme and hormonal systems allow individual organisms to adjust physiological processes and behavior on a timescale of seconds or less in response to changes in environmental conditions that occur during a daily cycle. Seasonal adjustments of these same biological systems (biochemical, physiological, and behavioral) allow the individual to alter its basic metabolism to function under these periodic and predictable environmental changes through the process of acclimation. Finally, the combination of acclimation and developmental plasticity can allow an individual of a given genotype to alter the expression of its phenotypic traits during the development to suit the conditions that the individual organism

encounters. All of these processes represent responses that are stimulated by signals from the environment that are received and processed at the level of individual cells and ultimately under genetic control. As such, they are all examples of phenotypic plasticity—the ability of a single genotype to express different phenotypic responses as a function of environmental conditions.

Some alterations of the phenotype by the environment (phenotypic plasticity) are not adaptive, such as stunted growth in response to inadequate nutrition. Other environmental alterations of the phenotype, such as those discussed earlier, represent adaptive responses to environmental stimuli and have evolved by natural selection. In effect, phenotypic plasticity—the ability

of a genotype to respond to external environmental stimuli—represents an adaptation controlled by the process of natural selection. Ultimately all adaptations, phenotypic traits that enable an organism to survive and reproduce under the prevailing environmental conditions, are the product of natural selection operating on genetic variation within the population over a timescale of generations.

We will explore a range of adaptations by both plants and animals that allow them to survive, grow, and reproduce in the diversity of environments that occur on our planet. These adaptations include phenotypic responses at all of the levels discussed here.

---

## Summary

### Adaptation 5.1

Characteristics that enable an organism to thrive in a given environment are called adaptations. Adaptations are a product of natural selection. Natural selection is the differential fitness of individuals within the population that results from their interaction with their environment, where the fitness of an individual is measured by the proportionate contribution it makes to future generations. The process of natural selection results in changes in the properties of populations of organisms over the course of generations, by a process known as evolution.

### Genes 5.2

The units of heredity are genes, which are linearly arranged on threadlike bodies called chromosomes. The alternative forms of a gene are alleles. The pair of alleles present at a given locus defines the genotype. If both alleles at the locus are the same, the individual is homozygous. If the alleles are different, the individual is heterozygous. The sum of heritable information carried by the individual is the genome.

### Phenotype 5.3

The phenotype is the physical expression of the genotype. The manner in which the genotype affects the phenotype is termed the mode of gene action. When heterozygous individuals exhibit the same phenotype as one of the homozygotes, the allele that is expressed is termed dominant and the masked allele is termed recessive. If the physical expression of the heterozygote is intermediate between the homozygotes, the alleles are said to be codominant.

Even though all genetic variation is discrete, most phenotypic traits have a continuous distribution because (1) most traits are affected by more than one locus, and (2) most traits are affected by the environment.

### Genetic Variation 5.4

Genetic variation occurs at three levels: within subpopulations, among subpopulations of the same species, and among different species. The sum of genetic information across all individuals

in the population is the gene pool. The fundamental measures of genetic variation within a population are allele frequency and genotype frequency.

### Natural Selection 5.5

Natural selection acts on the phenotype, but in doing so it alters both genotype and allele frequencies within the population. There are three general types of natural selection: directional selection, stabilizing selection, and disruptive selection. The target of selection is the phenotypic trait that natural selection acts upon, while the selective agent is the environmental cause of fitness differences among individuals in the population.

### Processes Influencing Genetic Variation 5.6

Natural selection is the only evolutionary process that can result in adaptations; however, some processes can function to alter patterns of genetic variation from generation to generation. These include mutation, migration, genetic drift, and nonrandom mating. Mutations are heritable changes in a gene or chromosome. Migration is the movement of individuals between local populations. This movement results in the transfer of genes between local populations. Genetic drift is a change in allele frequency due to random chance.

Nonrandom mating on the basis of phenotypic traits is referred to as assortative mating. Assortative mating can be either positive (mates are more similar than expected by chance) or negative (dissimilar). A special case of nonrandom mating is inbreeding—the mating of individuals that are more closely related than expected by chance.

### Genetic Differentiation 5.7

Natural selection can function to alter genetic variation between populations; this result is referred to as genetic differentiation. Species having a wide geographic distribution often encounter a broader range of environmental conditions than do species whose distribution is more restricted. The variation in environmental conditions often gives rise to a corresponding variation in many morphological, physiological, and

behavioral characteristics due to different selective agents in the process of natural selection.

### Trade-offs and Constraints 5.8

The environmental conditions that directly influence life vary in both space and time. Likewise, the objective of selection changes with environmental circumstances in both space and time. The characteristics enabling a species to survive, grow, and reproduce under one set of conditions limit its ability to do equally well under different environmental conditions.

### Phenotypic Plasticity 5.9

The ability of a genotype to give rise to a range of phenotypic expression under different environmental conditions is termed phenotypic plasticity. The range of phenotypes expressed under different environmental conditions is termed the norm of reaction. If the phenotypic plasticity occurs during the growth and development of the individual and represents an irreversible characteristic, it is referred to as developmental plasticity. Reversible phenotypic changes in an individual organism in response to changing environmental conditions are referred to as acclimation.

---

## Study Questions

1. What is natural selection? What conditions are necessary for natural selection to occur?
2. Distinguish between the terms *gene* and *allele*.
3. What is the relationship between an individual's genotype and a phenotype?
4. If the phenotype trait of an *Aa* heterozygous individual is the same as that of an *AA* homozygous individual, which allele is recessive?
5. David Reznick, an ecologist at the University of California at Riverside, studied the process of natural selection in populations of guppies (small freshwater fish) on the island of Trinidad. Reznick found that populations at lower elevations face the assault of predatory fish, whereas the populations at higher elevations live in peace because few predators can move upstream past the waterfalls. The average size of individuals in the higher-elevation waters is larger than the average size of guppies in the lower-elevation populations. Reznick hypothesized that the smaller size of individuals in the lower-elevation populations was a result of increased rates of predation on larger individuals; in effect, predation was selecting for smaller individuals in the population. To test this hypothesis, Reznick moved individuals from the lower elevations to unoccupied pools upstream, where predation was not a factor.
  6. Why are small populations more prone to variations in allele frequency from generation to generation as a result of genetic drift than are large populations?
  7. How might genetic drift and inbreeding be important processes in the conservation of endangered species?
  8. Why is natural selection the only process that can result in adaptation?
  9. Does the example of variation in body size of guppies from upstream and downstream populations presented in question 5 represent a trade-off similar to that of variation in beak size of medium ground finches presented in Section 5.8? What is the selective agent in the example in question 5?
10. What is phenotypic plasticity?
11. Contrast developmental plasticity and acclimation.

---

## Further Readings

- Conner, J. K., and D. L. Hartl. 2004. *A primer of ecological genetics*. Sunderland, MA: Sinauer Associates.  
An excellent introduction to population and quantitative genetics for the ecologist.
- Desmond, A., and J. Moore. 1991. *Darwin: The life of a tormented evolutionist*. New York: Norton.  
Written by two historians, this book is an anthology providing an introduction to the man and his works.
- Ford, E. B. 1971. *Ecological genetics*. 3rd ed. London: Chapman and Hall.  
A pioneering work that provides a good foundation in the study of ecological genetics as it relates to natural selection and adaptation.
- Futuyma, D. J. 2009. *Evolution*. 2nd ed. Sunderland, MA: Sinauer Associates.  
A comprehensive reference book for topics covered in the chapter as well as models of speciation.
- Gould, S. J. 1992. *Ever since Darwin: Reflections in natural history*. New York: Norton.  
A collection of Gould's essays written for scientific journals. The first in a series of humorous and fun reading. See other collections, including *The Panda's Thumb*, *The Flamingo's Smile*, and *Dinosaur in a Haystack*.

- Gould, S. J. 2002. *The structure of evolutionary theory*. Cambridge, MA: Belknap Press of Harvard University Press. The last book written by Gould, the best, most respected popular science writer in the field of evolution. Although the book is more technical than others, Chapter 1 gives an excellent overview of current thinking in evolutionary theory.
- Grant, P. R., and B. R. Grant. 2000. "Non-random fitness variation in two populations of Darwin's finches." *Proceedings of the Royal Society of London Series B* 267:131–138. An excellent source of additional information for those intrigued by the example of natural selection in Darwin's ground finch presented in the chapter.
- Mayr, E. 2001. *What evolution is*. New York: Basic Books. An excellent primer on the topics of natural selection and evolution by a leading figure in evolution. Wonderfully written and accessible to the general reader.
- Reznick, D. N., F. H. Shaw, F. H. Rodd, and R. G. Shaw. 1997. "Evaluation of the rate of evolution in natural populations of guppies (*Poecilia reticulata*)." *Science* 275:1934–1937. A beautifully designed experiment for evaluating the role of natural selection in the evolution of life history characteristics.
- Weiner, J. 1994. *The beak and the finch: A story of evolution in our time*. New York: Knopf. Winner of the Pulitzer Prize. Gives the reader a firsthand view of scientific research in action.



# Plant Adaptations to the Environment



These Kokerboom trees in the desert region of Bloedkoppie, Namibia (in southwestern Africa), use the CAM photosynthetic pathway to conserve water in this harsh environment.

- 6.1** Photosynthesis Is the Conversion of Carbon Dioxide into Simple Sugars
- 6.2** The Light a Plant Receives Affects Its Photosynthetic Activity
- 6.3** Photosynthesis Involves Exchanges between the Plant and Atmosphere
- 6.4** Water Moves from the Soil, through the Plant, to the Atmosphere
- 6.5** The Process of Carbon Uptake Differs for Aquatic and Terrestrial Plants
- 6.6** Plant Temperatures Reflect Their Energy Balance with the Surrounding Environment
- 6.7** Carbon Gained in Photosynthesis Is Allocated to the Production of Plant Tissues
- 6.8** Constraints Imposed by the Physical Environment Have Resulted in a Wide Array of Plant Adaptations
- 6.9** Species of Plants Are Adapted to Different Light Environments
- 6.10** The Link between Water Demand and Temperature Influences Plant Adaptations
- 6.11** Plants Vary in Their Response to Environmental Temperatures
- 6.12** Plants Exhibit Adaptations to Variations in Nutrient Availability
- 6.13** Wetland Environments Present Unique Constraints on Plant Adaptations

**All life on Earth is carbon based.** This means all living creatures are made up of complex molecules built on a framework of carbon atoms. The carbon atom is able to bond readily with other carbon atoms, forming long, complex molecules. The carbon atoms needed to construct these molecules—the building blocks of life—are derived from various sources. The means by which organisms acquire and use carbon represent some of the most basic adaptations required for life. Humans, like all other heterotrophs, gain their carbon by consuming other organisms. However, the ultimate source of carbon from which life is constructed is carbon dioxide ( $\text{CO}_2$ ) in the atmosphere.

Not all living organisms can use this abundant form of carbon directly. Only autotrophs can transform carbon in the form of  $\text{CO}_2$  into organic molecules and living tissue. Autotrophs can be subdivided into chemoautotrophs and photoautotrophs, depending on how they derive the energy for their metabolism. Chemoautotrophs convert carbon dioxide into organic matter using the oxidation of inorganic molecules (such as hydrogen gas or hydrogen sulfide) or methane as a source of energy. Chemoautotrophs are the dominant primary producers in oxygen-deficient environments, such as the hydrothermal vents of the deep ocean floor (see Chapter 25). The dominant form of autotrophs, photoautotrophs, uses the Sun's energy to drive the process of converting  $\text{CO}_2$  into simple organic compounds. That process, carried out by green plants, algae, and some types of bacteria, is photosynthesis. Photosynthesis is essential for the maintenance of life on Earth.

Although all green plants derive their carbon from photosynthesis, how organisms, from the most minute of flowering plants (members of the duckweed family—Lemnaceae) to the largest of trees, allocate the products of photosynthesis to the basic processes of growth and maintenance varies immensely. These differences represent a diversity of evolutionary solutions to the problem of being a plant—acquiring the essential resources of carbon, light, water, and mineral nutrients necessary to support the process of photosynthesis. In this chapter, we will examine the variety of adaptations that plants have evolved to successfully survive, grow, and reproduce across virtually the entire range of environmental conditions found on Earth.

First, let us review the process so essential to life on Earth—or as the author John Updike so poetically phrased it, “the lone reaction that counterbalances the vast expenditures of respiration, that reverses decomposition and death.”

## 6.1 Photosynthesis Is the Conversion of Carbon Dioxide into Simple Sugars

**Photosynthesis** is the process by which energy from the Sun, in the form of shortwave radiation (photosynthetically active radiation, or PAR), is harnessed to drive a series of chemical reactions that result in the fixation of  $\text{CO}_2$  into carbohydrates (simple sugars) and the release of oxygen ( $\text{O}_2$ ) as a by-product (see Section 2.1).

The process can be expressed in the simplified form shown here:

The net effect of this chemical reaction is the utilization of 6 molecules of water ( $\text{H}_2\text{O}$ ) and the production of 6 molecules of oxygen ( $\text{O}_2$ ) for every 6 molecules of  $\text{CO}_2$  that are transformed into 1 molecule of sugar  $\text{C}_6\text{H}_{12}\text{O}_6$ . The synthesis of various other carbon-based compounds—such as complex carbohydrates, proteins, fatty acids, and enzymes—from these initial products occurs in the leaves as well as other parts of the plant.

Photosynthesis, a complex sequence of metabolic reactions, can be separated into two processes, often referred to as the light and dark reactions. The light reactions begin with the initial photochemical reaction where chlorophyll (light-absorbing pigment) molecules within the chloroplasts absorb light energy. The absorption of a photon of light raises the energy level of the chlorophyll molecule. The excited molecule is not stable, and the electrons rapidly return to their ground state, thus releasing the absorbed photon energy. This energy is transferred to another acceptor molecule, resulting in a process called photosynthetic electron transport. This process results in the synthesis of ATP (adenosine triphosphate) from ADP (adenosine diphosphate) and of NADPH (the reduced form of NADP) from  $\text{NADP}^+$  (nicotinamide adenine dinucleotide phosphate). The high-energy substance ATP and the strong reductant NADPH produced in the light reactions are essential for the second step in photosynthesis—the dark reactions.

In the dark reactions,  $\text{CO}_2$  is biochemically incorporated into simple sugars. The dark reactions do not directly require the presence of sunlight. They are, however, dependent on the products of the light reactions and therefore ultimately depend on the essential resource of sunlight.

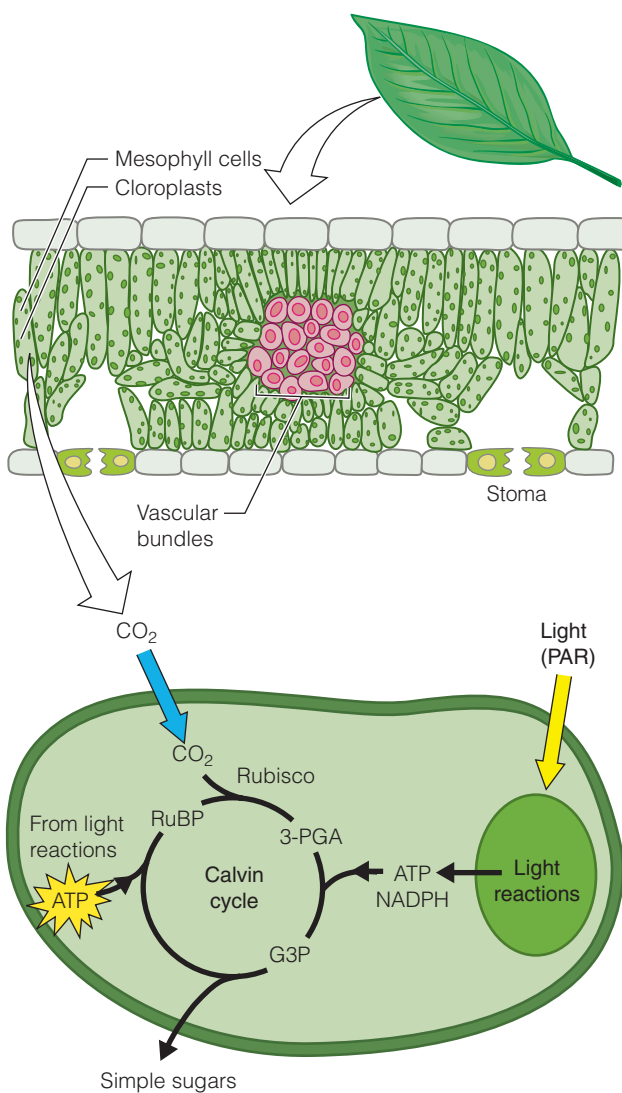
The process of incorporating  $\text{CO}_2$  into simple sugars begins in most plants when the five-carbon molecule RuBP (ribulose biphosphate) combines with  $\text{CO}_2$  to form two molecules of a three-carbon compound called 3-PGA (phosphoglycerate).

1-carbon	5-carbon	3-carbon
molecule	molecule	molecule

This reaction, called carboxylation, is catalyzed by the enzyme **rubisco** (ribulose biphosphate carboxylase-oxygenase). The plant quickly converts the 3-PGA formed in this process into the energy-rich sugar molecule G3P (glyceraldehyde 3-phosphate). The synthesis of G3P from 3-PGA requires both ATP and NADPH, the high-energy molecule and reductant that are formed in the light reactions. Some of this G3P is used to produce simple sugars ( $\text{C}_6\text{H}_{12}\text{O}_6$ ), starches, and other carbohydrates required for plant growth and maintenance; the remainder is used to synthesize new RuBP to continue the process. The synthesis of new RuBP from G3P

requires additional ATP. In this way, the availability of light energy (solar radiation) can limit the dark reactions of photosynthesis through its control on the production of ATP and NADPH required for the synthesis of G3P and the regeneration of RuBP. This photosynthetic pathway involving the initial fixation of  $\text{CO}_2$  into the three-carbon PGAs is called the Calvin–Benson cycle, or  $\text{C}_3$  cycle, and plants employing it are known as  **$\text{C}_3$  plants** (Figure 6.1).

The  $\text{C}_3$  pathway has one major drawback. The enzyme rubisco driving the process of carboxylation also acts as an oxygenase—rubisco can catalyze the reaction between  $\text{O}_2$  and RuBP. The oxygenation of RuBP results in the eventual release of  $\text{CO}_2$ . This competitive reaction to the carboxylation process reduces the efficiency of  $\text{C}_3$  photosynthesis.



**Figure 6.1** The process of photosynthesis occurs in the chloroplast within the mesophyll cells. A simple representation of the  $\text{C}_3$  photosynthetic pathway, or Calvin cycle, is shown. Note the link between the light and dark reactions, since the products of the light reactions (ATP and NADPH) are required to synthesize the energy-rich sugar molecule G3P and to regenerate RuBP (dark reactions). (PAR = photosynthetically active radiation.)

Some of the carbohydrates produced in photosynthesis are used in the process of cellular respiration—the harvesting of energy from the chemical breakdown of simple sugars and other carbohydrates. Cellular respiration (also referred to as aerobic respiration) involves the oxidation of carbohydrates to generate energy in the form of ATP and takes place exclusively in the mitochondria.

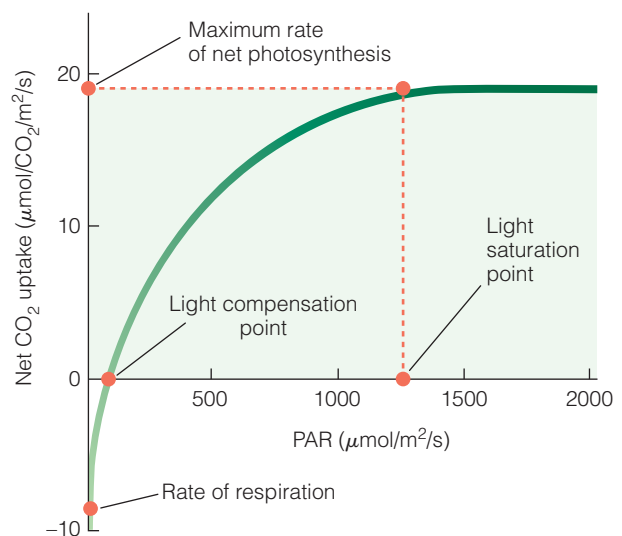
In the absence of oxygen, the process of fermentation (also referred to as anaerobic respiration) enables some cells to convert glucose into lactic acid and ATP. Respiration occurs in all living cells, both plant and animal.

Because leaves use  $\text{CO}_2$  during photosynthesis and produce  $\text{CO}_2$  during respiration, the difference in the rates of these two processes is the net gain of carbon, referred to as **net photosynthesis**.

The rates of photosynthesis and respiration, and therefore net photosynthesis, are typically measured in moles  $\text{CO}_2$  per unit leaf area (or mass) per unit time (e.g.,  $\mu\text{mol}/\text{m}^2/\text{s}$ ).

## 6.2 The Light a Plant Receives Affects Its Photosynthetic Activity

Solar radiation provides the energy required to convert  $\text{CO}_2$  into simple sugars. Thus, the availability of light (PAR) to the leaf directly influences the rate of photosynthesis (Figure 6.2).



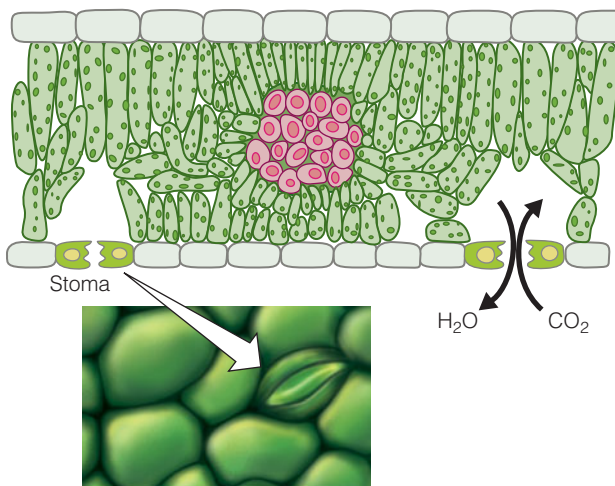
**Figure 6.2** Response of net photosynthesis (y-axis) to available light (x-axis; PAR = photosynthetically active radiation). The plant increases its rate of photosynthesis as the light level increases up to a maximum rate known as the light saturation point. The light compensation point is the value of PAR at which the uptake of  $\text{CO}_2$  for photosynthesis equals the loss of  $\text{CO}_2$  in respiration. The value of net  $\text{CO}_2$  exchange at PAR = 0 (dark) provides an estimate of the rate of respiration.

As the amount of light declines, the rate of carbon uptake in photosynthesis will eventually decline to a level where it equals the rate of carbon loss in respiration. At that point, the rate of net photosynthesis is zero. The light level (value of PAR) at which this occurs is called the **light compensation point (LCP)**. At light levels below the compensation point, the rate of carbon loss due to respiration exceeds the rate of uptake in the process of photosynthesis, resulting in a net loss of carbon dioxide from the leaf to the atmosphere. The rate of carbon loss when the value of PAR is zero provides an estimate of the rate of respiration (point at which photosynthesis = 0).

As light levels exceed the light compensation point, the rate of photosynthesis increases with PAR; and the light reactions limit the rate of photosynthesis. Eventually, photosynthesis becomes light saturated, and now the dark reactions limit the rate of photosynthesis. The value of PAR, above which no further increase in photosynthesis occurs, is referred to as the **light saturation point**. In some plants adapted to extremely shaded environments, photosynthetic rates decline as light levels exceed saturation. This negative effect of high light levels, called **photoinhibition**, can be the result of “overloading” the processes involved in the light reactions.

### 6.3 Photosynthesis Involves Exchanges between the Plant and Atmosphere

The process of photosynthesis occurs in specialized cells within the leaf called **mesophyll cells** (see Figure 6.1). For photosynthesis to take place within the mesophyll cells,  $\text{CO}_2$  must move from the outside atmosphere into the leaf. In terrestrial (land) plants,  $\text{CO}_2$  enters the leaf by diffusing through openings on its surface called **stomata** (Figure 6.3).



**Figure 6.3** Cross section of a  $\text{C}_3$  leaf, showing epidermal cells and stomata. In terrestrial (land) plants the stomata—openings on the surface of the leaf—allow for the exchange of  $\text{CO}_2$  and water between the atmosphere and the leaf interior through the process of diffusion.

Diffusion is the movement of a substance from areas of higher to lower concentration. Concentrations of  $\text{CO}_2$  are often described in units of parts per million (ppm) of air. A  $\text{CO}_2$  concentration of 355 ppm would be 355 molecules of  $\text{CO}_2$  for every 1 million molecules of air. Substances diffuse from areas of high concentration to areas of low concentration until the concentrations in the two areas are equal. As long as the concentration of  $\text{CO}_2$  in the air outside the leaf is greater than that inside the leaf,  $\text{CO}_2$  will continue to diffuse through the stomata.

As  $\text{CO}_2$  diffuses into the leaf through the stomata, why don't the concentrations of  $\text{CO}_2$  inside and outside the leaf come into equilibrium? As  $\text{CO}_2$  is transformed into sugar during photosynthesis, the concentration inside the leaf declines. As long as photosynthesis occurs, the gradient remains. If photosynthesis stopped and the stomata remained open,  $\text{CO}_2$  would diffuse into the leaf until the internal  $\text{CO}_2$  equaled the outside concentration.

When photosynthesis and the demand for  $\text{CO}_2$  are reduced for any reason, the stomata tend to close, thus reducing flow into the leaf. The stomata close because they play a dual role. As  $\text{CO}_2$  diffuses into the leaf through the stomata, water vapor inside the leaf diffuses out through the same openings. This water loss through the stomata is called **transpiration**.

How rapidly the water can move from inside the leaf, through the stomata, and into the surrounding outside air depends on the diffusion gradient of water vapor from inside to outside the leaf. Like  $\text{CO}_2$ , water vapor diffuses from areas of high concentration to areas of low concentration—from wet to dry. For all practical purposes, the air inside the leaf is saturated with water, so the flux of water out of the stomata (transpiration rate) is affected by the amount of water vapor in the air—the relative humidity (see Section 2.6; Figure 2.16). The drier the air (lower the relative humidity), the more rapidly the water inside the leaf will diffuse through the stomata into the outside surrounding air. The leaf must replace the water lost to the atmosphere; otherwise, it will wilt and die.

### 6.4 Water Moves from the Soil, through the Plant, to the Atmosphere

The force exerted outward on a cell wall by the water contained in the cell is called **turgor pressure**. The growth rate of plant cells and the efficiency of their physiological processes are highest when the cells are at maximum turgor—that is, when they are fully hydrated. When the water content of the cell declines, turgor pressure drops and water stress occurs, ranging from wilting to dehydration. For leaves to maintain maximum turgor, the water lost to the atmosphere in transpiration must be replaced by water taken up from the soil through the root system of the plant and transported to the leaves.

You may recall from basic physics that work—the displacement of matter, such as transporting water from the soil into the plant roots and to the leaves—requires the transfer of

energy. The measure of energy available (“free”) to do work is called Gibbs free energy ( $G$ ), named for the American physicist Willard Gibbs, who first developed the concept in the 1870s. In the process of active transport, such as transporting water from the ground to an elevated storage tank using an electric pump, the input of free energy is in the form of electricity to the pump. The movement of water through the soil–plant–atmosphere continuum, however, is an example of passive transport, a spontaneous reaction that does not require the input of free energy.

All reactions, either chemical or mechanical, will proceed spontaneously from a state of high free energy to one of lower free energy. For example, if we define the difference in free energy ( $\Delta G$ ) between two states, A and B, as

where  $G_A$  and  $G_B$  are the quantities of free energy in states A and B respectively, we can predict the direction that the reaction between these two states will take. If the value of  $\Delta G$  is positive (e.g.,  $+$ ), the reaction will proceed spontaneously from state A to state B. If we wish to reverse the direction of this reaction (from B to A), we must add free energy to B such that  $\Delta G$  is negative (e.g.,  $-$ ). If the free energy of A and B are the same, the reaction is at equilibrium.

In the example, the transition from states A and B can represent either a chemical or physical reaction. Photosynthesis is an example of a chemical reaction requiring the input of free energy in the form of solar radiation (PAR), because the quantity of free energy in products of the reaction (state B) is greater than that of the reactants (state A; see Section 6.1). As we shall see, the movement of water from the soil, through the plant, and to the atmosphere is an example of a spontaneous physical reaction progressing from a state of higher to lower free energy.

So if the movement of water from the soil into the roots, from the roots to the leaf, and from the leaf to the atmosphere is a spontaneous reaction, what is the source of free energy that allows this work to be accomplished? To answer this question, let’s begin by considering the movement of water from the leaf to the atmosphere—transpiration. Recall that transpiration is driven by the process of diffusion—the movement of a substance (in this case, water molecules) down a concentration gradient from areas of high concentration (within the leaf) to areas of low concentration (outside air). The free energy that allows this work to be accomplished is the kinetic energy associated with the random movement (and collision) of the water molecules.

The movement of a substance through diffusion depends on the existence of a concentration gradient, which also represents a gradient of free energy. The region of higher concentration, having a greater density of water molecules, will have a greater value of free energy resulting from the random motion and collision of molecules than will the region of lower concentration. The result will be the net movement of water molecules from the region of high free energy to the region of low free energy until the level of free energy is the same in both regions. When the concentration is the same in both regions (homogeneous), the net exchange of energy (and molecules) between them is zero, and the reaction is in a state of equilibrium.

The measure used to describe the free energy of water at any point along the soil–plant–atmosphere continuum is called water potential ( $\psi$ ). For any system, the value of Gibbs free energy is expressed relative to some arbitrary zero—pure water (no solute content), which has a high amount of free energy, is arbitrarily assigned a water potential of zero units pressure: MPa.

When relative humidity of the atmosphere is 100 percent, the atmospheric water potential ( $\psi_{\text{atm}}$ ) is zero. As values drop below 100 percent, the value of free energy declines and ( $\psi_{\text{atm}}$ ) becomes negative (Figure 6.3a). Under most physiological conditions, the air within the leaf is at or near saturation (relative humidity ~100 percent). As long as the relative humidity of the air is below 100 percent, a steep gradient of water potential between the leaf ( $\psi_{\text{leaf}}$ ) and the atmosphere ( $\psi_{\text{atm}}$ ) will be driving the process of diffusion. Water vapor will move from the region of higher water potential (interior of the leaf) to the region of lower water potential (atmosphere), from a state of high to low free energy.

As water is lost to the atmosphere through the stomata, the water content of the cells decreases (turgor pressure drops) and in turn increases the concentration of solutes in the cell. This decrease in the cell’s water content (and corresponding increase in solute concentration) decreases the water potential of the cells (lowers free energy). Unlike the water potential of the atmosphere, which is determined only by relative humidity, several factors determine water potential within the plant. Turgor pressure (positive pressure) in the cell increases the plant’s water potential (free energy). Therefore, a decrease in turgor pressure associated with water loss functions to decrease water potential. The component of plant water potential due to turgor pressure is represented as  $\psi_p$ .

Increasing concentrations of solutes in the cells are associated with water loss and will lower the water potential (free energy). This component of plant water potential is termed **osmotic potential** ( $\psi_\pi$ ), because the difference in solute content inside and outside the cell results in the movement of water through the process of osmosis.

The surfaces of larger molecules, such as those in the cell walls, exert an attractive force on water. This tendency for water to adhere to surfaces reduces the free energy of the water molecules, reducing water potential. This component of water potential is called **matric potential** ( $\psi_m$ ). The total water potential  $\psi$  at any point in the plant, from the leaf to the root, is the sum of these individual components:

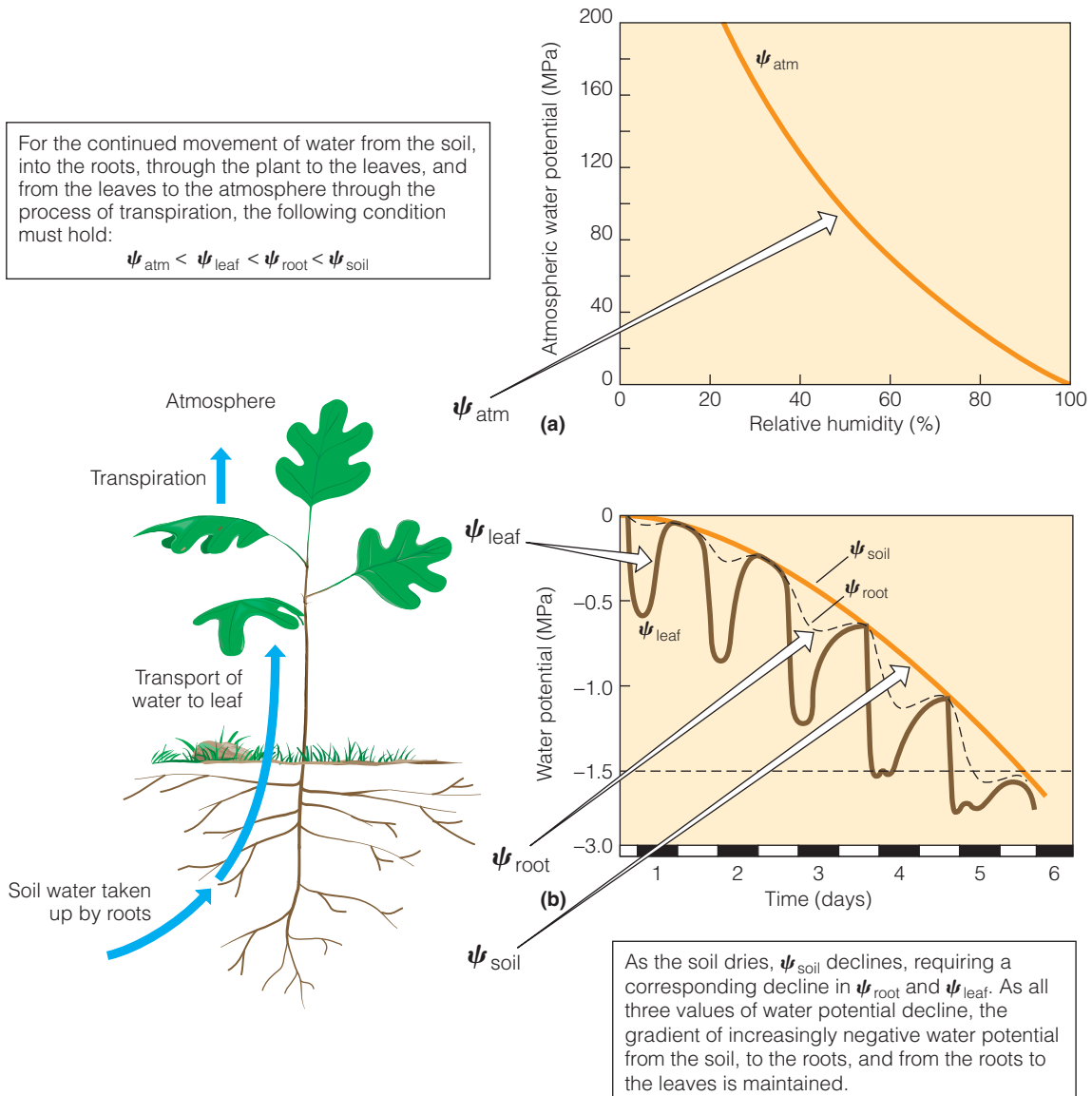
The osmotic and matric potentials will always have a negative value, while the turgor pressure (hydrostatic pressure) component can be either positive or negative. Thus, the total potential ( $\psi$ ) can be either positive or negative, depending on the relative values of the individual components. Values of total water potential at any point along the continuum (soil, root, leaf, and atmosphere), however, are typically negative; and the movement of water will be from areas of higher (zero or less negative) to lower (more negative) potential (from the region of higher to the region of lower free energy). Therefore, the movement of water from the soil to the root, from the root to the leaf, and from the leaf to the atmosphere depends on maintaining a

gradient of increasingly negative water potential at each point along the continuum (Figure 6.4).

Drawn by the low water potential of the atmosphere, water from the surface of and between the mesophyll cells within the leaf evaporates and escapes through the stomata. This gradient of water potential is transmitted into the mesophyll cells and on to the water-filled xylem (hollow conducting tubes throughout the plant) in the leaf veins. The gradient of increasingly negative water potential extends down to the

fine rootlets in contact with soil particles and pores. As water moves from the root and up through the stem to the leaf, the root water potential declines so that more water moves from the soil into the root.

Water loss through transpiration continues as long as (1) the amount of energy striking the leaf is enough to supply the necessary latent heat of evaporation, (2) moisture is available for roots in the soil, and (3) the roots are capable of maintaining a more negative water potential than that of the soil. At field capacity, water is freely available, and soil water potential ( $\psi_{\text{soil}}$ ) is at or near zero (see Section 4.8). As water is drawn

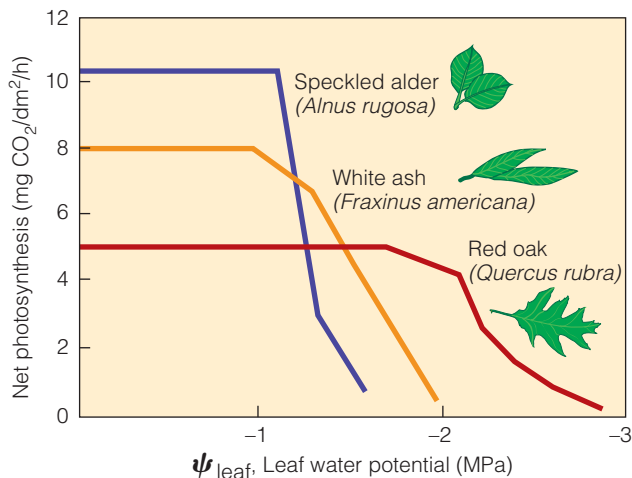


**Figure 6.4** Transport of water along a gradient of water potential ( $\psi$ ) from soil to leaves to air. Water will move from a region of high to low (more negative) water potential. **(a)** As the relative humidity of the air drops below 100 percent, a steep decline in atmospheric water potential maintains the gradient from leaf to the air. **(b)** As the soil dries, the soil water potential becomes increasingly negative. This decline in soil water potential requires a corresponding decline in the water potential of the roots and leaves to maintain the gradient and flow of water. The graph depicts changes in the plant's leaf and root water potential in response to declining soil moisture (declining soil water potential) over a period of six days. Note the diurnal changes in leaf water potential. Leaf water potential is highest (less negative) at night when the stomata are closed.

from the soil, the water content of the soil declines, and the soil water potential becomes more negative. As the water content of the soil declines, the remaining water adheres more tightly to the surfaces of the soil particles, and the matric potential becomes more negative. For a given water content, the matric potential of soil is influenced strongly by its texture (see Figure 4.10). Soils composed of fine particles, such as clays, have a higher surface area (per soil volume) for water to adhere to than sandy soils do. Clay soils therefore maintain more negative matric potentials for the same water content.

As soil water potential becomes more negative, the root and leaf water potentials must decline (become more negative) to maintain the potential gradient. If precipitation does not recharge soil water and soil water potentials continue to decline, eventually the plant will not be able to maintain the potential gradient. At that point the stomata will close to stop further water loss through transpiration. However, this closure also results in stopping further uptake of  $\text{CO}_2$ . The soil water potential at which its stomata close is determined by the plant's ability to reduce leaf water potentials further without disrupting basic physiological processes. The value of leaf water potential at which stomata close and net photosynthesis ceases varies among plant species (Figure 6.5) and reflects basic differences in their biochemistry, physiology, and morphology.

The rate of water loss varies with daily environmental conditions, such as humidity and temperature, and with the characteristics of plants. Opening and closing the stomata is probably the plant's most important means of regulating water loss. The trade-off between  $\text{CO}_2$  uptake and water loss through the stomata results in a direct link between water availability in the soil and the plant's ability to carry out photosynthesis. To carry



**Figure 6.5** Changes in net photosynthesis (y-axis) as a function of leaf water potential for three tree species from the northeastern United States. The decline in net photosynthesis with declining leaf water potentials (more negative values) results primarily from stomatal closure. As the water content of the soil declines, the plant must reduce the leaf water potential to maintain the gradient so that water can move from the soil to the roots and from the roots to the leaves. The plant eventually reaches a point where it cannot further reduce leaf water potentials, and the stomata will close to reduce the loss of water.

out photosynthesis, the plant must open its stomata; but when it does, it will lose water, which it must replace. If water is scarce, the plant must balance the opening and closing of the stomata, taking up enough  $\text{CO}_2$  while minimizing the loss of water. The ratio of carbon fixed (photosynthesis) per unit of water lost (transpiration) is called the **water-use efficiency**.

We can now appreciate the trade-off faced by terrestrial plants. To carry out photosynthesis, the plant must open the stomata to take up  $\text{CO}_2$ . But at the same time, the plant will lose water through the stomata to the outside air—water that must be replaced through the plant's roots. If its access to water is limited, the plant must balance the opening and closing of stomata to allow for the uptake of  $\text{CO}_2$  while minimizing water loss through transpiration. This balance between photosynthesis and transpiration is an extremely important constraint that has governed the evolution of terrestrial plants and directly influences the productivity of ecosystems under differing environmental conditions (see Chapter 20).

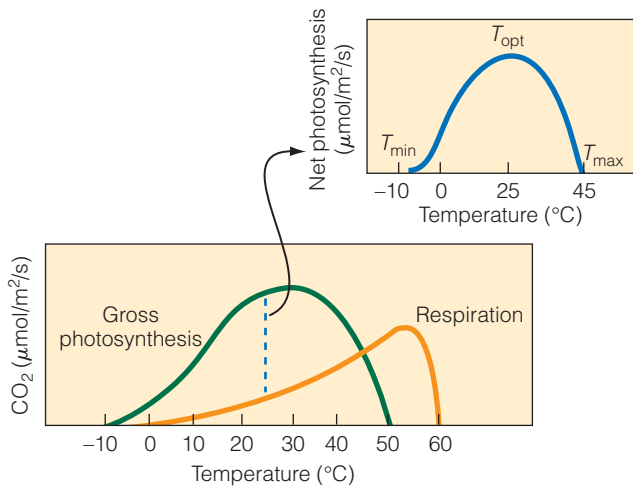
## 6.5 The Process of Carbon Uptake Differs for Aquatic and Terrestrial Plants

A major difference in  $\text{CO}_2$  uptake and assimilation by aquatic versus terrestrial plants is the lack of stomata in submerged aquatic plants.  $\text{CO}_2$  diffuses from the atmosphere into the surface waters and is then mixed into the water column. Once dissolved,  $\text{CO}_2$  reacts with the water to form bicarbonate ( $\text{HCO}_3^-$ ). This reaction is reversible, and the concentrations of  $\text{CO}_2$  and bicarbonate tend toward a dynamic equilibrium (see Section 3.7). In aquatic plants,  $\text{CO}_2$  diffuses directly from the waters adjacent to the leaf across the cell membrane. Once the  $\text{CO}_2$  is inside the aquatic plant, photosynthesis proceeds in much the same way as outlined earlier for terrestrial plants.

One difference between terrestrial and aquatic plants is that some aquatic plants can also use bicarbonate as a carbon source. However, the plants must first convert it to  $\text{CO}_2$  using the enzyme carbonic anhydrase. This conversion can occur in two ways: (1) active transport of bicarbonate into the leaf followed by conversion to  $\text{CO}_2$  or (2) excretion of the enzyme into adjacent waters and subsequent uptake of converted  $\text{CO}_2$  across the membrane. As  $\text{CO}_2$  is taken up, its concentration in the waters adjacent to the leaf will decline. Because the diffusion of  $\text{CO}_2$  in water is  $10^4$  times slower than in the air, it can easily become depleted (low concentrations) in the waters adjacent to the leaf, reducing rates of plant uptake and photosynthesis. This constraint can be particularly important in still waters such as dense sea-grass beds or rocky intertidal pools.

## 6.6 Plant Temperatures Reflect Their Energy Balance with the Surrounding Environment

Both photosynthesis and respiration respond directly to variations in temperature (Figure 6.6). As temperatures rise above freezing, both photosynthesis and respiration rates increase.



**Figure 6.6** General response of the rates of photosynthesis and respiration to temperature. At any temperature, the difference between these two rates is the rate of net photosynthesis (net uptake rate of  $\text{CO}_2$ ). Here, the optimal temperature for net photosynthesis is between  $20^\circ\text{C}$  and  $30^\circ\text{C}$ .

Initially, photosynthesis increases faster than respiration. As temperatures continue to rise, the photosynthetic rate reaches a maximum related to the temperature response of the enzyme rubisco. As temperatures continue to rise, photosynthetic rate declines and respiration rate continues to increase. As temperatures rise further, even respiration declines as temperatures reach critical levels. The temperature response of net photosynthesis is the difference between the rate of carbon uptake in photosynthesis and the rate of carbon loss in respiration (see Figure 6.6). Three values describe the temperature response curve:  $T_{\min}$ ,  $T_{\text{opt}}$ , and  $T_{\max}$ . The values  $T_{\min}$  and  $T_{\max}$  are, respectively, the minimum and maximum temperatures at which net photosynthesis approaches zero, meaning no net carbon uptake.  $T_{\text{opt}}$  is the temperature, or range of temperatures, over which net carbon uptake is at its maximum.

The temperature of the leaf, not the air, controls the rate of photosynthesis and respiration; and leaf temperature depends on the exchange of energy (radiation) between the leaf and its surrounding environment. Plants absorb both shortwave (solar) and longwave (thermal) radiation (see Section 2.1). Plants reflect some of this solar radiation and emit longwave radiation back to the atmosphere. The difference between the radiation a plant receives and the radiation it reflects and emits back to the surrounding environment is the net energy balance of the plant ( $R_n$ ). The net energy balance of a plant is analogous to the concept of the energy balance of Earth (see Chapter 2, Figure 2.3). Of the net radiation absorbed by the plant, some is used in metabolic processes and stored in chemical bonds—namely, in the processes of photosynthesis and respiration. This quantity is quite small, typically less than 5 percent of  $R_n$ . The remaining energy heats the leaves and the surrounding air. On a clear, sunny day, the amount of energy plants absorb can raise internal leaf temperatures well above ambient (air or water temperature). Internal leaf temperatures may go beyond the optimum for photosynthesis and possibly reach critical levels (Figure 6.6).

To maintain internal temperatures within the range of tolerance (positive net photosynthesis), plants must exchange heat with the surrounding environment. Terrestrial plants exchange heat by convection and evaporation; aquatic plants do so primarily by convection (see Quantifying Ecology 7.1: Heat Exchange and Temperature Regulation). Recall that convection is the transfer of heat energy between a solid and a moving fluid (Chapter 2). Convective loss depends on the difference between the temperature of the leaf and the surrounding fluid (air or water). If the leaf temperature is higher than that of the surrounding air, there is a net transfer of heat from the leaf to the surrounding air. Evaporation occurs in the process of transpiration. As plants transpire water from their leaves to the surrounding atmosphere through the stomata, the leaves lose thermal energy and their temperature declines through evaporative cooling (see Section 3.2).

The transfer of heat from the plant to the surrounding environment is influenced by the existence of the **boundary layer**, a layer of still air (or water) adjacent to the surface of each leaf. The environment of the boundary layer differs from that of the surrounding environment (air or water) because it is modified by the diffusion of heat, water, and  $\text{CO}_2$  from the plant surface. As water is transpired from the stomata, the humidity of the air within the boundary layer increases, reducing further transpiration. Likewise, as heat is transferred from the leaf surface to the boundary layer, the air temperature of the boundary layer increases, further reducing heat transfer from the leaf surface. Under still conditions (no air or water flow), the boundary layer will increase in thickness, further reducing the transfer of heat and materials (water and  $\text{CO}_2$ ) between the leaf and the atmosphere (or water).

Wind or water flow functions to reduce the size of the boundary layer, allowing for mixing between the boundary layer and the surrounding air (or water) and reestablishing the diffusion gradient between the leaf surface and the bulk air.

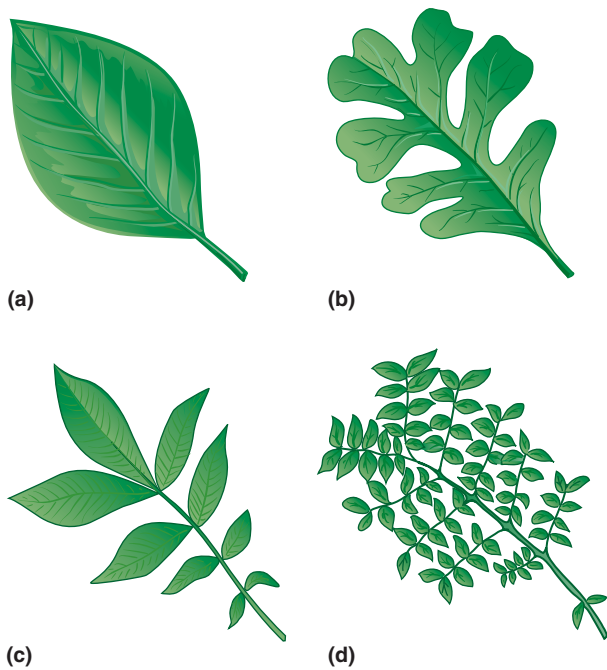
Leaf size and shape influence the thickness and dynamics of the boundary layer, and therefore, the ability of plants to exchange heat through convection (see Quantifying Ecology 7.1: Heat Exchange and Temperature Regulation). Air tends to move more smoothly (laminar flow) over a larger surface than a smaller one, and as a result the boundary layer tends to be thicker and more intact in larger leaves. Deeply lobed leaves, like those of some oaks, and small, compound leaves (Figure 6.7) tend to disrupt the flow of air, causing turbulence that functions to reduce the boundary layer and increase the exchange of heat and water.

The ability of terrestrial plants to dissipate heat by evaporation is dependent on the rate of transpiration. The transpiration rate is in turn influenced by the relative air humidity and by the availability of water to the plant (see Section 6.3).

## 6.7 Carbon Gained in Photosynthesis Is Allocated to the Production of Plant Tissues

Because leaves take in carbon dioxide during photosynthesis as well as produce carbon dioxide during respiration, a simple, economic model can be used to explore the balance of these

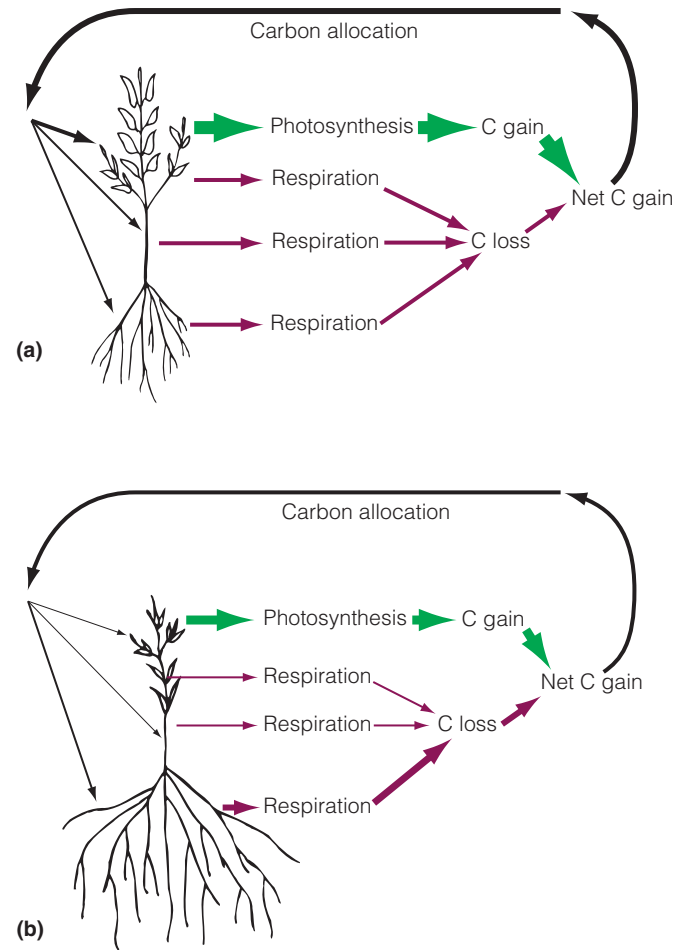




**Figure 6.7** Four general categories of leaf shape: (a) entire, (b) lobed, (c) simple compound, and (d) double compound. Smaller and more lobed leaves function to reduce the boundary layer and increase the exchange of heat energy through convection (see Quantifying Ecology 7.1: Heat Exchange and Temperature Regulation).

two processes. This approach, referred to as the **carbon balance**, focuses on the balance between uptake of  $\text{CO}_2$  in photosynthesis and its loss through the process of respiration. Thus far, our discussion of plant carbon balance has focused on net photosynthesis—the balance between the processes of photosynthesis and respiration in the leaves (photosynthetic tissues) of green plants. However, plants are not composed only of leaves; they also have roots and supportive tissues such as stems. In keeping with our simple, economic model, the net uptake of carbon by the whole plant will be the difference between the uptake of carbon in photosynthesis minus the loss of carbon in respiration (Figure 6.8a). The total carbon uptake, or gain per unit time, will be the product of the average rate of carbon uptake in photosynthesis per unit of leaf area (photosynthetic surface) multiplied by the total surface area of leaves. Because all living cells respire, the total loss of carbon in respiration per unit time will be a function of the total mass of living tissue; that is, the sum of leaf, stem, and root tissues. The net carbon gain for the whole plant per unit time is the difference between these two values (carbon gain and carbon loss). This net carbon gain is then allocated to a variety of processes. Some of the carbon is used in maintenance and the rest in synthesis of new tissues for plant growth and reproduction.

How the net carbon gain is allocated will have a major influence on plant survival, growth, and reproduction. Acquiring the resources essential to supporting photosynthesis and growth involves different plant tissues. Leaf tissue is the photosynthetic surface, providing access to the essential resources of light and  $\text{CO}_2$ . Root tissue provides access to belowground resources such as water and nutrients in the soil; this tissue also



**Figure 6.8** The net carbon gain of a plant is the difference between carbon gain in photosynthesis and carbon loss in respiration. Because leaves (or more generally, photosynthetic tissues) are responsible for carbon gain, while all plant tissues respire (leaves, stem, and roots), the net carbon gain is directly influenced by the pattern of carbon allocation to the production of different plant tissues. (a) Increased allocation to leaves increases carbon gain (photosynthesis) relative to carbon loss (respiration) and therefore increases net carbon gain. (b) Increased allocation to roots has the opposite effect, decreasing carbon gain relative to carbon loss. The result is a lower net carbon gain by the plant. The relative size of the arrows represents the relative values of carbon gain (photosynthesis), loss (respiration) or allocation to tissues (leaves, stems and roots).

anchors the plant to the soil. Stem tissue provides vertical support, elevating leaves above the ground and increasing access to light by reducing the chance of being shaded by taller plants (see Section 4.2). Stem tissue also provides the conductive tissue necessary to move water and nutrients from the roots to other parts of the plant. As we discuss in the following sections, the availability of these essential resources for plant growth influences the allocation of carbon to the production of various tissues.

Under ideal conditions (no resource limitations), the allocation of carbon to the further production of leaf tissue will promote the fastest growth. Increased allocation to leaf tissue increases the photosynthetic surface, which increases

the rate of carbon uptake as well as carbon loss due to respiration. Allocation to all other tissues, such as stem and root, increases the respiration rate but does not directly increase the capacity for carbon uptake through photosynthesis. The consequence is the reduction of net carbon gain by the plant (Figure 6.8b). However, the allocation of carbon to the production of stem and root tissue is essential for acquiring the key resources necessary to maintain photosynthesis and growth. As these resources become scarce, it becomes increasingly necessary to allocate carbon to the production of these tissues—at the expense of leaf production. The implications of these shifts in patterns of carbon allocation are addressed in the following sections.

## 6.8 Constraints Imposed by the Physical Environment Have Resulted in a Wide Array of Plant Adaptations

We have explored variation in the physical environment over Earth's surface: the salinity, depth, and flow of water; spatial and temporal patterns in climate (precipitation and temperature); variations in geology and soils (Part One). In all but the most extreme of these environments, autotrophs harness the energy of the Sun to fuel the conversion of carbon dioxide into glucose in the process of photosynthesis. To survive, grow, and reproduce, plants must maintain a positive carbon balance, converting enough carbon dioxide into glucose to offset the expenses of respiration (photosynthesis > respiration). To accomplish this, a plant must acquire the essential resources of light, carbon dioxide, water, and mineral nutrients as well as tolerate other features of the environment that directly affect basic plant processes such as temperature, salinity, and pH. Although often discussed—and even studied as though they are independent of each other—the adaptations exhibited by plants to these features of the environment are not independent, for reasons relating to the physical environment and to the plants themselves.

Many features of the physical environment that directly influence plant processes are interdependent. For example, the light, temperature, and moisture environments are all linked through a variety of physical processes. (Chapters 2–4). The amount of solar radiation not only influences the availability of light (PAR) required for photosynthesis but also directly influences the temperature of the leaf and its surroundings. In addition, air temperature directly affects the relative humidity, a key feature influencing the rate of transpiration and evaporation of water from the soil (see Section 2.6, Figure 2.16). For this reason, we see a correlation in the adaptations of plants to variations in these environmental factors. Plants adapted to dry, sunny environments must be able to deal with the higher demand for water associated with higher temperatures and lower relative humidity, and they tend to have characteristics such as smaller leaves and increased production of roots.

In other cases, there are trade-offs in the ability of plants to adapt to limitations imposed by multiple environmental

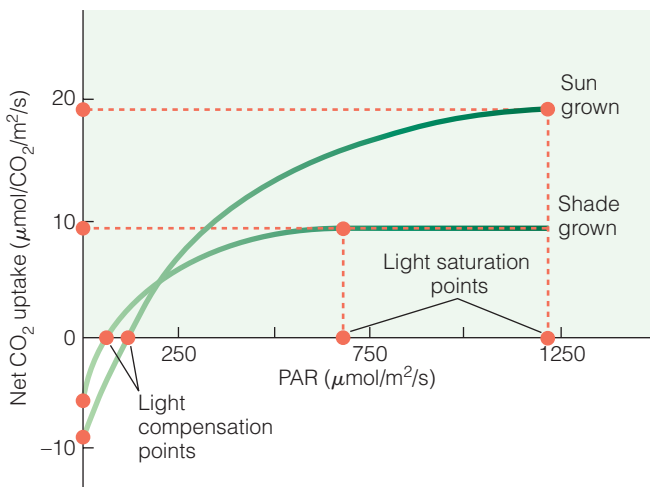
factors, particularly resources. One of the most important of these trade-offs involves the acquisition of above- and belowground resources. Allocating carbon to the production of leaves and stems increases the plant's access to the resources of light and carbon dioxide, but it is at the expense of allocating carbon to the production of roots. Likewise, allocating carbon to the production of roots increases access to water and soil nutrients but limits carbon allocation to the production of leaves. The set of characteristics (adaptations) that allow a plant to successfully survive, grow, and reproduce under one set of environmental conditions inevitably limits its ability to do equally well under different environmental conditions. We explore the consequences of this simple premise in the following sections.

## 6.9 Species of Plants Are Adapted to Different Light Environments

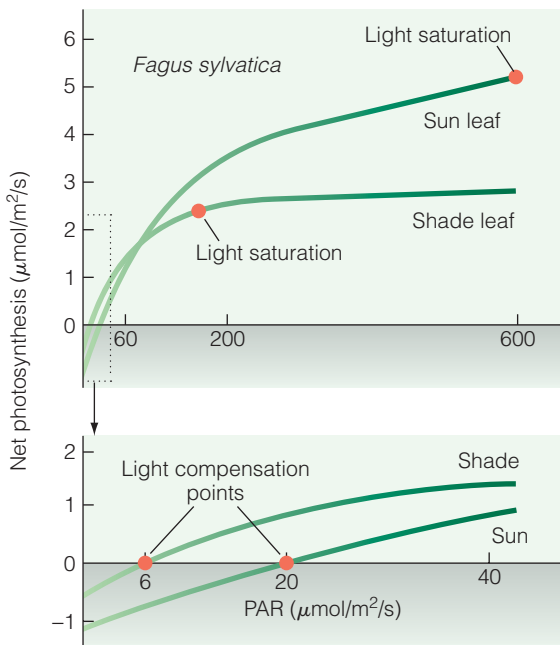
The amount of solar radiation reaching Earth's surface varies diurnally, seasonally, and geographically (Chapter 2, Section 2.2). However, a major factor influencing the amount of light (PAR) a plant receives is the presence of other plants through shading (see Section 4.2 and Quantifying Ecology 4.1: Beer's Law and the Attenuation of Light). Although the amount of light that reaches an individual plant varies continuously as a function of the area of leaves above it, plants live in one of two qualitatively different light environments—sun or shade—depending on whether they are overtopped by other plants. Plants have evolved a range of physiological and morphological adaptations that allow individuals to survive, grow, and reproduce in these two different light environments.

The relationship between the availability of light and the rate of photosynthesis varies among plants (Figure 6.9). Plants growing in shaded environments tend to have a lower light compensation point, a lower light saturation point, and a lower maximum rate of photosynthesis than plants growing in high-light environments.

These differences relate in part to lower concentrations of the photosynthetic enzyme rubisco (see Section 6.1) found in shade-grown plants. Plants must expend a large amount of energy and nutrients to produce rubisco and other components of the photosynthetic apparatus. In shaded environments, low light, not the availability of rubisco to catalyze the fixation of CO<sub>2</sub>, limits the rate of photosynthesis. The shade plant produces less rubisco as a result. By contrast, production of chlorophyll, the light-harvesting pigment in the leaves, often increases. The reduced energy cost of producing rubisco and other compounds involved in photosynthesis lowers the rate of leaf respiration. Because the light compensation point is the value of PAR necessary to maintain photosynthesis at a rate that exactly offsets the loss of CO<sub>2</sub> in respiration (net photosynthesis = 0), the lower rate of respiration can be offset by a lower rate of photosynthesis, requiring less light. The result is a lower light compensation point. However, the same reduction in enzyme concentrations limits the maximum rate at which photosynthesis can occur when light is abundant (high PAR), lowering both the light saturation point and maximum rate of photosynthesis.



(a)



(b)

**Figure 6.9** (a) General patterns of photosynthetic response to light availability (photosynthetically active radiation; PAR) for plants grown in sun and shade environments. Shade-grown plants typically have a lower light compensation point and a lower light saturation point than do sun-grown plants. These same differences in photosynthetic response to light are also seen when comparing shade-tolerant (shade-adapted) and shade-intolerant (sun-adapted) plant species. (b) Example of shift in photosynthetic characteristics of sun and shade leaves for copper beech (*Fagus sylvatica*). Sun leaf is from the periphery of the canopy, where leaves are exposed to full sunlight. Shade leaf is from the shaded interior of the canopy. Insert shows the regions of the response curves below  $50 \mu\text{mol}/\text{m}^2/\text{s}$ , illustrating the differences in the light compensation points.

(Adapted from Larcher 1995.)

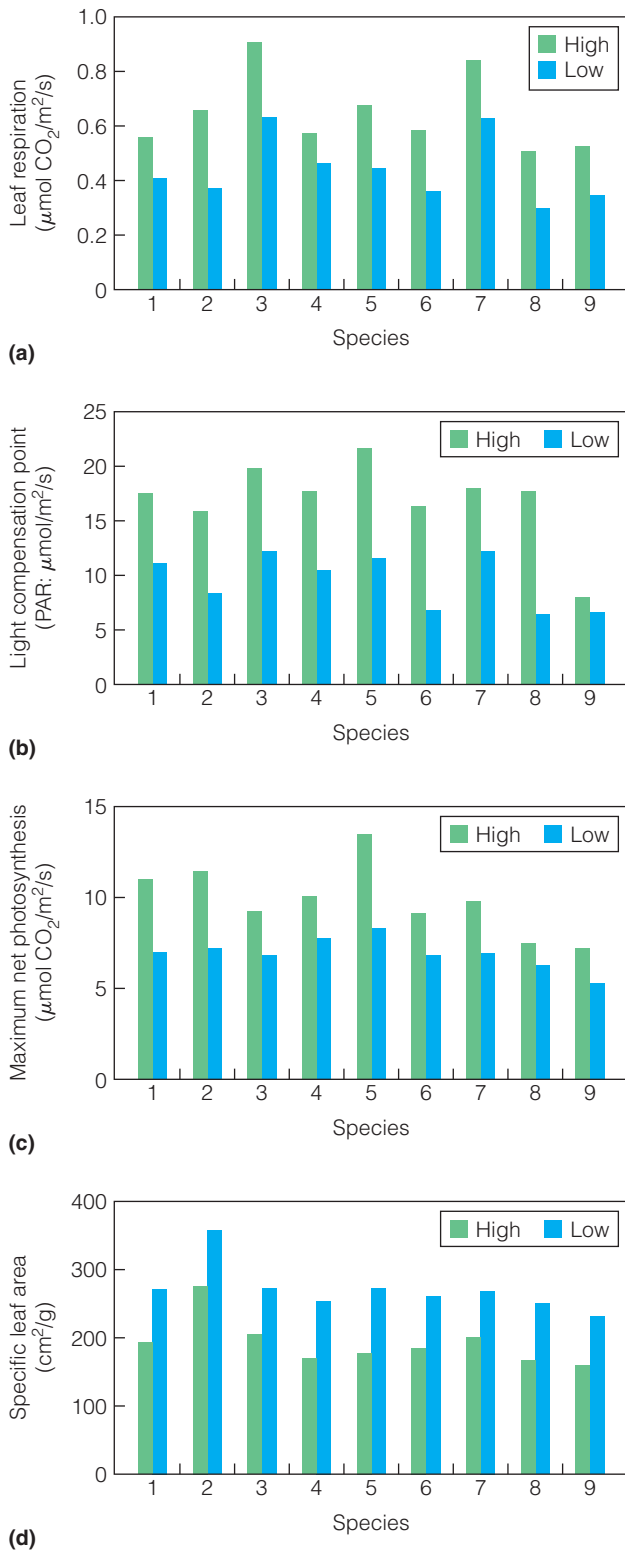
The work of ecologist Stuart Davies of Harvard University illustrates this trade-off in leaf respiration rate, light compensation point, and maximum rate of net photosynthesis under conditions of high and low light. Davies examined the response of nine tree species of the genus *Macaranga* (inhabiting the rain forests of Borneo in Malaysia) to variations in the light environment. Davies grew seedlings of the nine species in a greenhouse under two light regimes: high light (total daily PAR of  $21.4 \text{ mol}/\text{m}^2/\text{day}$ ), and low light ( $7.6 \text{ mol}/\text{m}^2/\text{day}$ ). The shaded (low light) treatment was created using shade cloth. After a period of approximately six months, he took measurements of net photosynthesis under varying light levels (values of PAR) for seedlings of each species grown under high and low light. The resulting light response curves, such as the one presented in Figure 6.2, were used to estimate values of leaf respiration, light compensation point, and the maximum rate of net photosynthesis at light saturation. A comparison of the nine species is presented in Figure 6.10.

The rate of leaf respiration for seedlings grown under low-light conditions was significantly lower than the corresponding value for individuals of the same species grown under high-light conditions (Figure 6.10a). This reduction in leaf respiration rate was accompanied by both a decrease in the light compensation point (Figure 6.10b) and the maximum rate of net photosynthesis at light saturation (Figure 6.10c). These shifts in the photosynthetic characteristics of leaves from plants grown in high- and low-light environments were also accompanied by changes in leaf morphology (structure).

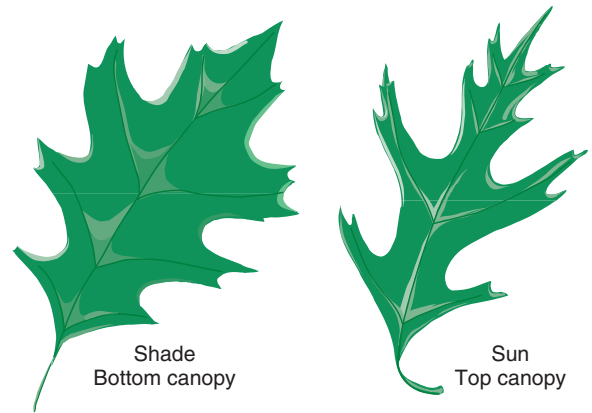
The ratio of surface area ( $\text{cm}^2$ ) to weight ( $\text{g}$ ) for a leaf is called the specific leaf area (SLA;  $\text{cm}^2/\text{g}$ ). The value of SLA represents the surface area of leaf produced per gram of biomass (or carbon) allocated to the production of leaves. Plants grown under low-light conditions typically produce leaves with a greater specific leaf area. In the experiment conducted by Davies, SLA increased for all nine species when grown under low-light conditions (Figure 6.10d). In general, leaves grown under reduced light conditions are larger (in surface area) and thinner than those grown under high light levels (Figure 6.11). The shift in leaf structure effectively increases the surface area for the capture of light (the limiting resource) per unit of biomass allocated to the production of leaves.

In addition to producing broader, thinner leaves, plants grown under shaded conditions allocate a greater proportion of their net carbon gain to leaf production and less to the roots (Figure 6.12). Just as with the changes in leaf morphology (thinner, broader leaves), this shift in allocation from roots to leaves increases the surface area for the interception of light. The increase in leaf area (photosynthetic surface area) can partially offset the decrease in photosynthetic rates per unit leaf area under low-light conditions, allowing the plant to maintain a positive carbon balance and continued growth (see Quantifying Ecology 6.1: Relative Growth Rate).

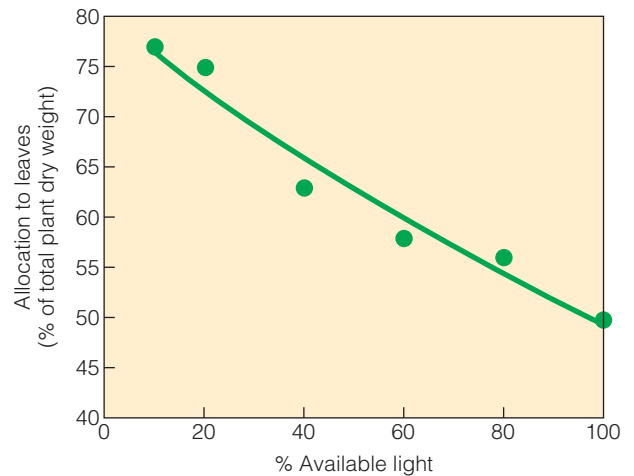
The patterns in photosynthetic and morphological response to variations in the light environment described earlier can occur among individuals of the same species grown under different light conditions (as in Figures 6.11 and 6.12) and even among leaves on the same plant with different exposures to



**Figure 6.10** Variation in (a) leaf respiration rate, (b) light compensation point, (c) light saturated rate of net photosynthesis, and (d) specific leaf area for seedlings of nine tree species of the genus *Macaranga* grown in contrasting light environments (high light: 21.4 mol/m<sup>2</sup>/day, low light: 7.6 mol/m<sup>2</sup>/day). The nine species of *Macaranga* inhabit the forests of Borneo, Malaysia. Species codes: (1) *M. hosei*, (2) *M. winkleri*, (3) *M. gigantea*, (4) *M. hypoleuca*, (5) *M. beccariana*, (6) *M. triloba*, (7) *M. trachyphylla*, (8) *M. hullettii*, and (9) *M. lamellata*. (Adapted from Davies 1998.)



**Figure 6.11** Example of the response of leaf shape (morphology) to the light environment under which it developed. Red oak (*Quercus rubra*) leaves vary in size and shape from the top to the bottom of the tree. Leaves at the top of the canopy receive higher levels of solar radiation and experience higher temperatures than those growing at the bottom. Upper leaves are smaller, thicker, and more lobed than those growing at the bottom. This morphology aids in heat loss through convection. Conversely, the larger, thinner leaves at the bottom increase the surface area for capture of light.



**Figure 6.12** Changes in allocation to leaves for broadleaf peppermint (*Eucalyptus dives*) seedlings grown under different light environments in the greenhouse. Allocation to leaves is expressed as a percentage of the total dry weight of the plant at harvest. Each point represents the average response of five seedlings. Light availability is expressed as a percentage of full sunlight. Levels of shading were controlled by shade cloth of varying density. The increased allocation to leaves, together with the production of thinner leaves, functions to increase the photosynthetic surface for the capture of light. (Data from: Austin et al. 2010)

**INTERPRETING ECOLOGICAL DATA**

- Q1.** What is the average allocation to leaves for seedlings grown in full-sunlight conditions in the greenhouse?
- Q2.** How does the average allocation to leaves vary with decreasing availability of light?
- Q3.** Assume that allocation to stems does not vary with changes in the availability of light during seedling growth. Using the simple model of carbon allocation in Figure 6.8, how would the graph of allocation to roots under the different light environments differ from the graph of allocation to leaves in Figure 6.12?

## QUANTIFYING ECOLOGY 6.1 Relative Growth Rate

When we think of growth rate, what typically comes to mind is a measure of change in size during some period of time, such as change in weight during the period of a week (grams weight gain/week). However, this conventional measure of growth is often misleading when comparing individuals of different sizes or tracking the growth of an individual through time. Although larger individuals may have a greater absolute weight gain when compared with smaller individuals, this may not be the case when weight gain is expressed as a proportion of body weight (proportional growth). A more appropriate measure of growth is the mass-specific or relative growth rate. **Relative growth rate (RGR)** expresses growth during an observed period of time as a function of the size of the individual. This calculation is found by dividing the increment of growth during some observed time period (grams weight gain) by the size of the individual at the beginning of that time period (grams weight gain/total grams weight at the beginning of observation period) and then dividing the period of time to express the change in weight as a rate (g/g/time).

**Relative growth rate** = weight gain during the period of observation per plant weight at the beginning of the observation period per time interval of observation period ( $\text{g/g}^{-1}/\text{time}^{-1}$ )

$$\text{RGR (g/g/time)} = \text{NAR (g/cm}^2/\text{time)} \times \text{LAR (cm}^2/\text{g)}$$

**Net assimilation rate** = weight gain during the period of observation per total area of leaves (leaf area) per time interval of observation period ( $\text{g/cm}^2/\text{time}$ )

**Leaf area ratio** = total area of leaves (leaf area) per total plant weight ( $\text{cm}^2/\text{g}$ )

**Leaf area ratio** = total area of leaves (leaf area) per total plant weight ( $\text{cm}^2/\text{g}$ )

**Leaf weight ratio** = total weight of leaves per total plant weight (g/g)

$$\text{LAR (cm}^2/\text{g)} = \text{LWR} \times \text{SLA}$$

**Specific leaf area** = total area of leaves (leaf area) per total weight of leaves ( $\text{cm}^2/\text{g}$ )

Using RGR to evaluate the growth of plants has an additional value; it can be partitioned into components reflecting the influences of assimilation (photosynthesis) and allocation on growth—the assimilation of new tissues per unit leaf area ( $\text{g/cm}^2/\text{time}$ ) called the **net assimilation rate (NAR)**, and the leaf area per unit of plant weight ( $\text{cm}^2/\text{g}$ ), called the **leaf area ratio (LAR)**.

The NAR is a function of the total gross photosynthesis of the plant minus the total plant respiration. It is the net assimilation gain expressed on a per unit leaf area basis. The LAR is a function of the amount of that assimilation that is allocated to the production of leaves—more specifically, leaf area—expressed on a per unit plant weight basis.

The LAR can be further partitioned into two components that describe the allocation of net assimilation to leaves, the **leaf weight ratio (LWR)**, and a measure of leaf density or thickness, the **specific leaf area (SLA)**. The LWR is the total weight of leaves expressed as a proportion of total plant weight ( $\text{g leaves/g total plant weight}$ ), whereas the SLA is the area of leaf per gram of leaf weight. For the same tissue density, a thinner leaf would have a greater value of SLA.

light (as in Figures 6.9b and Figure 6.11). Such change in the physiology or form of an individual organism (genotype) in response to changes in environmental conditions is an example of phenotypic plasticity (discussed in Chapter 5, Section 5.9). These differences, however, are most pronounced between species of plants adapted to high- and low-light environments (see Field Studies: Kaoru Kitajima).

These adaptations represent genetic differences in the potential response of the plant species (between-species genetic variation) to the light environment (Chapter 5). Plant species adapted to high-light environments are called **shade-intolerant** species, or sun-adapted species. Plant species adapted to low-light environments are called **shade-tolerant** species, or shade-adapted species.

The variations in photosynthesis, respiration, and growth rate that characterize plant species adapted to different light environments are illustrated in the work of plant ecologist

Peter Reich and colleagues at the University of Minnesota. They examined the characteristics of nine tree species that inhabit the cool temperate forests of northeastern North America (boreal forest). The species differ widely in shade tolerance from very tolerant of shaded conditions to very intolerant. Seedlings of the nine species were grown in the greenhouse, and measurements of maximum net photosynthetic rate at light saturation, leaf respiration rate, and relative growth rate (growth rate per unit plant biomass; see Quantifying Ecology 6.1: Relative Growth Rate) were made over the course of the experiment (Figure 6.13 on page 106). Species adapted to lower light environments (shade tolerant) are characterized by lower maximum rates of net photosynthesis, leaf respiration, and relative growth rate than are species adapted to higher light environments (shade intolerant).

The difference in the photosynthetic characteristics between shade-tolerant and shade-intolerant species influences

The real value of partitioning the estimate of RGR is to allow for comparison, either among individuals of different species or among individuals of the same species grown under different environmental conditions. For example, the data presented in Table 1 are the results of a greenhouse experiment where seedlings of *Acacia tortilis* (a tree that grows on the savannas of southern Africa) were grown under two different light environments: full sun and shaded (50 percent full sun). Individuals were harvested at two times (4 and 6 weeks), and the total plant weight, total leaf weight, and total leaf area were measured. The mean values of these measures are shown in the table. From these values, estimates of RGR, LAR, LWR, and SLA were calculated. The values of RGR are calculated using the total plant weights at 4 and 6 weeks. NAR was then calculated by dividing the RGR by LAR. Because LAR varies through time (between weeks 4 and 6), the average of LAR at 4 and 6 weeks was used to characterize LAR in estimating RGR. Note that the average size (weight and leaf area) of seedlings grown in the high-light environment is approximately twice that of seedlings grown in the shade. Despite this difference in size, and the lower light levels to support photosynthesis, the difference in RGR between sun- and shade-grown seedlings is only about 20 percent. By examining the components of RGR, we can see how the shaded individuals are able to accomplish this task. Low-light conditions reduced rates of photosynthesis, subsequently reducing NAR for the individuals grown in the shade. The plants compensated, however, by increasing the allocation of carbon (assimilates) to the production of leaves (higher LWR) and producing thinner leaves (higher SLA) than did the individuals grown in full sun. The result is that individuals grown in the shade have a greater LAR (photosynthetic surface area relative to plant weight), offsetting the lower NAR and maintaining comparable RGR.

These results illustrate the value of using the RGR approach for examining plant response to varying environmental conditions, either among individuals of the same species or among individuals of different species adapted to different environmental

**Table 1**

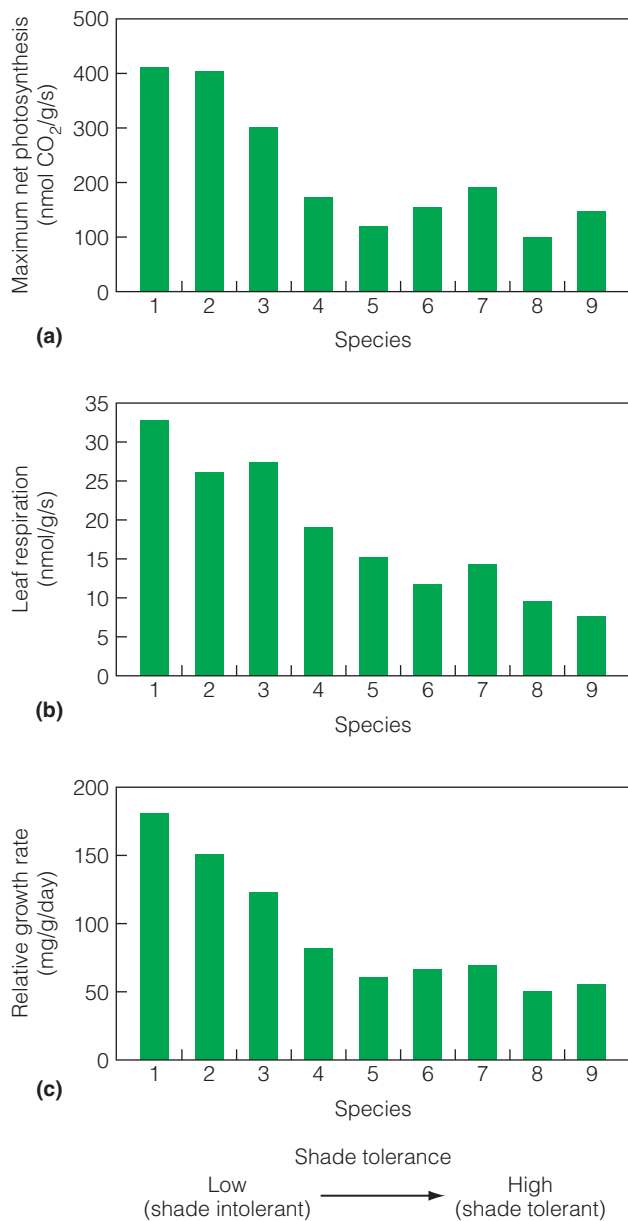
	Week 4		Week 6	
	Sun	Shade	Sun	Shade
Leaf area (cm <sup>2</sup> )	18.65	12.45	42	24
Leaf weight (g)	0.056	0.032	0.126	0.061
Stem weight (g)	0.090	0.058	0.283	0.138
Root weight (g)	0.099	0.043	0.239	0.089
Total weight (g)	0.245	0.133	0.648	0.288
LAR (cm <sup>2</sup> /g)	75.998	93.750	64.854	83.304
SLA (cm <sup>2</sup> /g)	334	392		
LWR (g/g)	0.228	0.239	0.194	0.213
RGR (g/g/week)			0.471	0.382
NAR (g/cm <sup>2</sup> /week)			0.007	0.004

conditions. By partitioning the components of plant growth into measures directly related to morphology, carbon allocation, and photosynthesis, we can begin to understand how plants both acclimate and adapt to differing environmental conditions.

1. When plants are grown under dry conditions (low water availability), there is an increase in the allocation of carbon to the production of roots at the expense of leaves. How would this shift in allocation influence the plant's leaf area ratio (LAR)?
2. Nitrogen availability can directly influence the rate of net photosynthesis. Assuming no change in the allocation of carbon or leaf morphology, how would an increase in the rate of net photosynthesis resulting from an increase in nitrogen availability influence relative growth rate? Which component of RGR would be influenced by the increase in net photosynthesis?

not only rates of net carbon gain and growth but also ultimately the ability of individuals to survive in low-light environments. This relationship is illustrated in the work of Caroline Augspurger of the University of Illinois. She conducted a series of experiments designed to examine the influence of light availability on seedling survival and growth for a variety of tree species, both shade tolerant and intolerant, that inhabit the tropical rain forests of Panama. Augspurger grew tree seedlings of each species under two levels of light availability. These two treatments mimic the conditions found either under the shaded environment of a continuous forest canopy (shade treatment) or in the higher light environment in openings or gaps in the canopy caused by the death of large trees (sun treatment). She continued the experiment for a year, monitoring the survival and growth of seedlings on a weekly basis. Figure 6.14 presents the results for two contrasting species, shade tolerant and shade intolerant.

The shade-tolerant species (*Myroxylon balsamum*) showed little difference in survival and growth rates under sunlight and shade conditions. In contrast, the survival and growth rates of shade-intolerant species (*Ceiba pentandra*) were dramatically reduced under shade conditions. These observed differences are a direct result of the difference in the adaptations relating to photosynthesis and carbon allocation discussed earlier. The higher rate of light-saturated photosynthesis results in a high growth rate for the shade-intolerant species in the high-light environment. The associated high rate of leaf respiration and light compensation point, however, reduced rates of survival in the shaded environment. By week 20 of the experiment, all individuals had died. In contrast, the shade-tolerant species was able to survive in the low-light environment. The low rates of leaf respiration and light-saturated photosynthesis that allow for the low-light compensation point, however, limit rates of growth even in high-light environments.



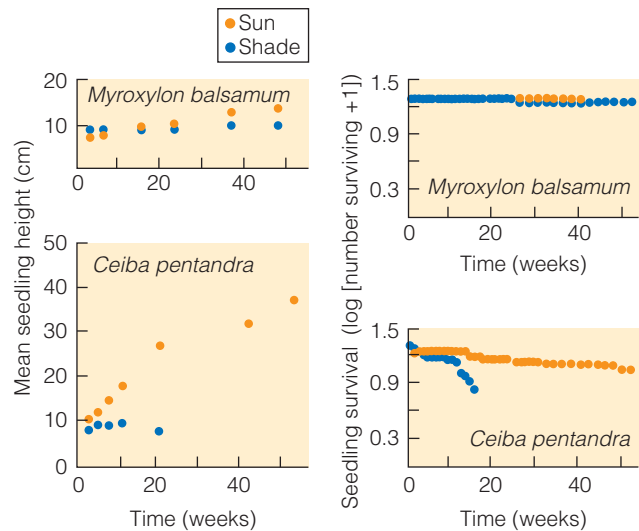
**Figure 6.13** Differences in the rates of (a) light-saturated photosynthesis, (b) leaf respiration, and (c) relative growth rate for nine tree species that inhabit the forests of northeastern North America (boreal forest). Species are ranked from highest (shade intolerant) to lowest (shade tolerant) in tolerance to shade. Species codes: (1) *Populus tremuloides*, (2) *Betula papyrifera*, (3) *Betula allegheniensis*, (4) *Larix laricina*, (5) *Pinus banksiana*, (6) *Picea glauca*, (7) *Picea mariana*, (8) *Pinus strobus*, and (9) *Thuja occidentalis*.

(Adapted from: Reich et al. 1998)

### INTERPRETING ECOLOGICAL DATA

**Q1.** In general, how do net photosynthesis and leaf respiration vary with increasing shade tolerance for the nine boreal tree species? What does this imply about the corresponding pattern of gross photosynthesis with increasing shade tolerance for these species?

**Q2.** Based on the data presented in graphs (a) and (b), how would you expect the light compensation point to differ between *Populus tremuloides* and *Picea glauca*?



**Figure 6.14** Seedling survival and growth over a period of one year for seedlings of two tree species on Barro Colorado Island, Panama, grown under sun and shade conditions. *Ceiba pentandra* is a shade-intolerant species; *Myroxylon balsamum* is shade tolerant. (Adapted from Augspurger 1982.)

The dichotomy in adaptations between shade-tolerant and shade-intolerant species reflects a trade-off between characteristics that enable a species to maintain high rates of net photosynthesis and growth under high-light conditions and the ability to continue survival and growth under low-light conditions. The changes in biochemistry, physiology, leaf morphology, and carbon allocation exhibited by shade-tolerant species enable them to reduce the amount of light required to survive and grow. However, these same characteristics limit their ability to maintain high rates of net photosynthesis and growth when light levels are high. In contrast, plants adapted to high-light environments (shade-intolerant species) can maintain high rates of net photosynthesis and growth under high-light conditions but at the expense of continuing photosynthesis, growth, and survival under shaded conditions.

## 6.10 The Link between Water Demand and Temperature Influences Plant Adaptations

As with the light environment, terrestrial plants have evolved a range of adaptations in response to variations in precipitation and soil moisture. As we saw in the earlier discussion of transpiration (see Section 6.3), however, the demand for water is linked to temperature. As air temperature rises, the saturation vapor pressure will likewise rise, increasing the gradient of water vapor between the inside of the leaf and the outside air and subsequently the rate of transpiration (see Section 2.6). As a result, the amount of water required by the plant to offset losses from transpiration will likewise increase with temperature.

When the atmosphere or soil is dry, plants respond by partially closing the stomata and opening them for shorter periods of time. In the early period of water stress, a plant closes its stomata during the hottest part of the day when relative humidity is

the lowest. It resumes normal activity in the afternoon. As water becomes scarcer, the plant opens its stomata only in the cooler, more humid conditions of morning. Closing the stomata reduces the loss of water through transpiration, but it also reduces  $\text{CO}_2$  diffusion into the leaf and the dissipation of heat through evaporative cooling. As a result, the photosynthesis rate declines and leaf temperatures may rise. Some plant species, such as evergreen rhododendrons, respond to moisture stress by an inward curling of the leaves. Others show it in a wilted appearance caused by a lack of turgor in the leaves. Leaf curling and wilting allow leaves to reduce water loss and heat gain by reducing the surface area exposed to solar radiation.

Prolonged moisture stress inhibits the production of chlorophyll, causing the leaves to turn yellow or, later in the summer, to exhibit premature autumn coloration. As conditions worsen, deciduous trees may prematurely shed their leaves—the oldest ones dying first. Such premature shedding can result in dieback of twigs and branches.

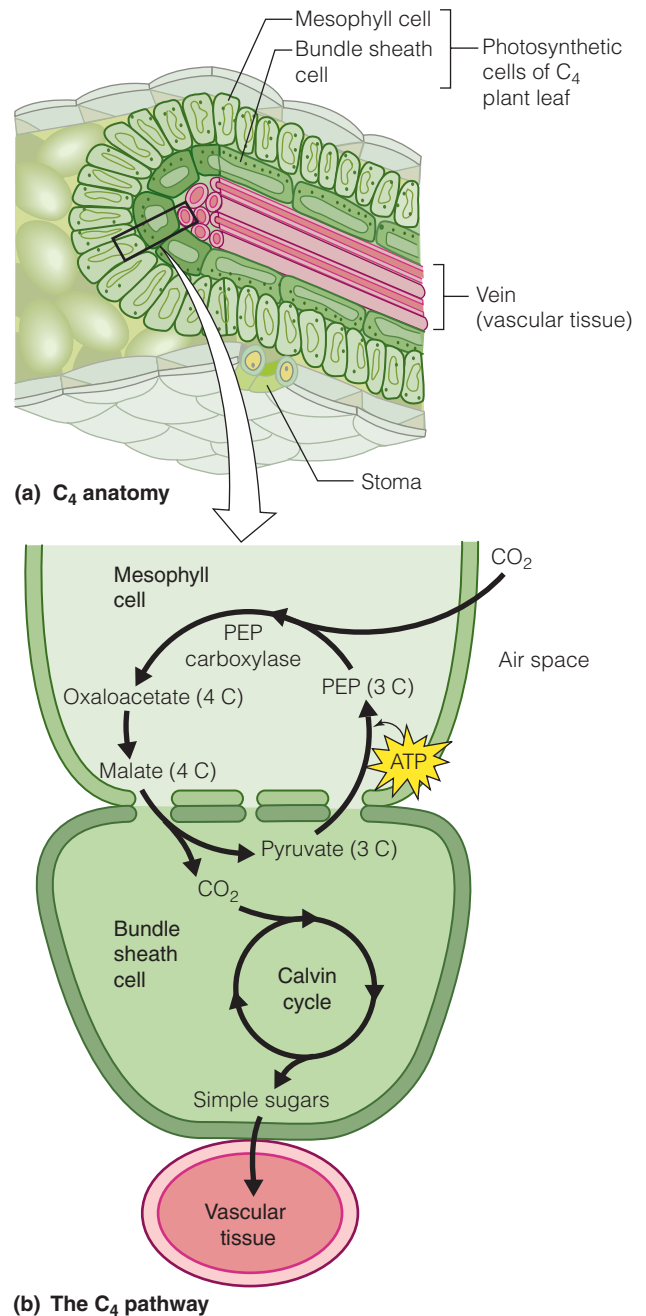
In tropical regions with distinct wet and dry seasons, some species of trees and shrubs have evolved the characteristic of dropping their leaves at the onset of the dry season (see Section 2.7). These plants are termed *drought deciduous*. In these species, leaf senescence occurs as the dry season begins, and new leaves are grown just before the rainy season begins.

Some species of plants, referred to as  $\text{C}_4$  plants and CAM plants, have evolved a modified form of photosynthesis that increases water-use efficiency in warmer and drier environments. The modification involves an additional step in the conversion (fixation) of  $\text{CO}_2$  into sugars.

In  $\text{C}_3$  plants, the capture of light energy and the transformation of  $\text{CO}_2$  into sugars occur in the mesophyll cells (see Section 6.1). The products of photosynthesis move into the vascular bundles, part of the plant's transport system, where they can be transported to other parts of the plant. In contrast, plants possessing the  $\text{C}_4$  photosynthetic pathway have a leaf anatomy different from that of  $\text{C}_3$  plants (see Figure 6.3).  $\text{C}_4$  plants have two distinct types of photosynthetic cells: the mesophyll cells and the **bundle sheath cells**. The bundle sheath cells surround the veins or vascular bundles (Figure 6.15).  $\text{C}_4$  plants divide photosynthesis between the two types of cells: the mesophyll and the bundle sheath cells.

In  $\text{C}_4$  plants,  $\text{CO}_2$  reacts with PEP (phosphoenolpyruvate), a three-carbon compound, within the mesophyll cells. This is in contrast to the initial reaction with RuBP in  $\text{C}_3$  plants. This reaction is catalyzed by the enzyme **PEP carboxylase**, producing OAA (oxaloacetate) as the initial product. The OAA is then rapidly transformed into the four-carbon molecules of malic and aspartic acids, from which the name  $\text{C}_4$  photosynthesis is derived. These organic acids are then transported to the bundle sheath cells (see Figure 6.15). There, enzymes break down the organic acids to form  $\text{CO}_2$ , reversing the process that is carried out in the mesophyll cells. In the bundle sheath cells, the  $\text{CO}_2$  is transformed into sugars using the  $\text{C}_3$  pathway involving RuBP and rubisco.

The extra step in the fixation of  $\text{CO}_2$  gives  $\text{C}_4$  plants certain advantages. First, PEP does not interact with oxygen, as does RuBP. This eliminates the inefficiency that occurs in the mesophyll cells of  $\text{C}_3$  plants when rubisco catalyzes the reaction



**Figure 6.15** The pathway of photosynthesis. Different reactions take place in the mesophyll and bundle sheath cells. Compare to the  $\text{C}_3$  pathway (see Figure 6.1). (PEP, phosphoenolpyruvate; OAA, oxaloacetate.)

between  $\text{O}_2$  and RuBP, leading to the production of  $\text{CO}_2$  and a decreased rate of net photosynthesis (see Section 6.1). Second, the conversion of malic and aspartic acids into  $\text{CO}_2$  within the bundle sheath cells acts to concentrate  $\text{CO}_2$ . The concentration of  $\text{CO}_2$  within the bundle sheath cells can reach much higher concentrations than in either the mesophyll cells or the surrounding atmosphere. The higher concentrations of  $\text{CO}_2$  in the bundle sheath cells increase the efficiency of the reaction between  $\text{CO}_2$  and RuBP catalyzed by rubisco. The net result is generally a higher maximum rate of photosynthesis in  $\text{C}_4$  plants than in  $\text{C}_3$  plants.



## FIELD STUDIES

## Kaoru Kitajima

Department of Botany, University of Florida, Gainesville, Florida

A major factor influencing the availability of light to a plant is its neighbors. By intercepting light, taller plants shade individuals below, influencing rates of photosynthesis, growth, and survival. Nowhere is this effect more pronounced than on the forest floor of a tropical rain forest (Figure 1), where light levels are often less than 1 percent of those recorded at the top of the canopy (see Section 5.2). With the death of a large tree, however, a gap is created in the canopy, giving rise to an “island” of light on the forest floor. With time, these gaps in the canopy will eventually close, as individuals grow up to the canopy from below or neighboring trees expand their canopies, once again shading the forest floor.

How these extreme variations in availability of light at the forest floor have influenced the evolution of rain forest plant species has been the central research focus of plant ecologist Kaoru Kitajima of the University of Florida. Kitajima’s work in the rain forests of Barro Colorado Island in Panama presents a story of plant adaptations to variations in the light environment that includes all life stages of the individual, from seed to adult.

Within the rain forests of Barro Colorado Island, the seedlings of some tree species survive and grow only in the high-light environments created by the formation of canopy gaps, whereas the seedlings of other species can survive for years in the shaded conditions of the forest floor.

In a series of experiments designed to determine shade tolerance based on patterns of seedling survival in sun and shade environments (see Figure 6.10), Kitajima noted that seed mass (weight) is negatively correlated with seedling mortality rates. Interestingly, large-seeded species not only had higher rates of survival in the

shade but also exhibited slower rates of growth after germination. Intuitively, one might think that larger reserves of energy and nutrients within the seed (larger mass) would allow for a faster rate of initial development, but this was not the case. What role does seed size play in the survival and growth of species in different light environments? An understanding of these relationships requires close examination of how seed reserves are used.

The storage structure or structures within a seed are called the cotyledon. Upon germination, cotyledons transfer reserve materials (lipids, carbohydrates, mineral nutrients) into developing shoots and roots. The cotyledons of some species serve strictly as organs to store and transfer seed reserves throughout their life span and are typically positioned at or below the ground level (Figure 2a). The cotyledons of other species develop a second function—photosynthetic carbon assimilation. In these species, the cotyledons function as “seed leaves” and are raised above the ground (Figure 2b). As Kitajima’s research has revealed, the physiological function of cotyledons is crucial in determining the growth response of seedlings to the light environment.

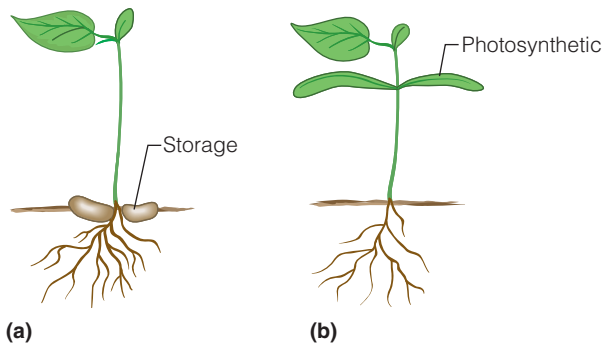
Kitajima conducted an experiment involving tree species that differed in cotyledon function (photosynthetic and storage) and shade tolerance. Seedlings were raised from germination under two light levels: sun (23 percent full sun) and shade (1 percent full sun).

Changes in biomass and leaf area were recorded during a period of 40 days postgermination to determine when light began to affect seedling growth (determined for each species by the difference between individuals grown in shade and sun environments).

The smaller seeds of the shade-intolerant species had photosynthetic cotyledons and developed leaves earlier than did shade-tolerant species with their larger storage cotyledons. These differences reflect two different “strategies” in the use of initial seed reserves. Shade-intolerant species invested reserves into the production of leaves to bring about a rapid return (carbon uptake in photosynthesis), whereas shade-tolerant species kept seed reserves as storage for longer periods at the expense of growth rate.



**Figure 1** The dense canopy and understory in a tropical rain forest results in little light reaching the forest floor.



**Figure 2** Two contrasting functional forms of cotyledons found in tropical rain forest trees: (a) storage and (b) photosynthetic.

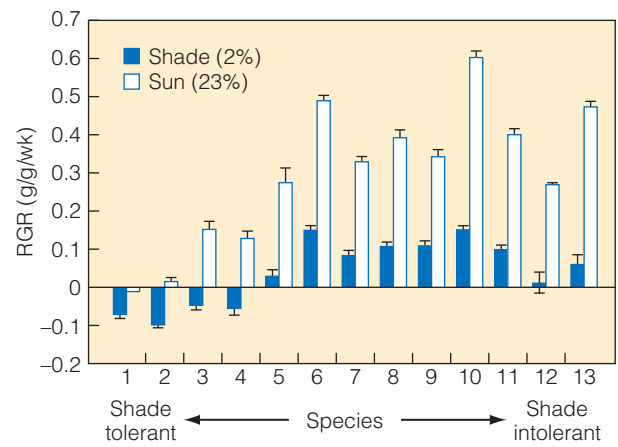
Having used their limited seed reserves for the production of leaves, the shade-intolerant species responded to light availability earlier than did the shade-tolerant species. And without sufficient light, mortality was generally the outcome.

So the experiments revealed that larger seed storage in shade-tolerant species does not result in a faster initial growth under shaded conditions. Rather, these species (shade tolerant) exhibit a conservative strategy of slow use of reserves over a prolonged period.

Whether shade tolerant or intolerant, once seedlings use up seed reserves, they must maintain a positive net carbon gain as a prerequisite for survival (see Section 6.7). What suites of seedling traits allow some species to survive better than others in the shade? To answer this question, Kitajima grew seedlings in the experimental sun and shade environments for an extended period beyond the reserve phase.

Individuals of both shade-tolerant and shade-intolerant species shifted allocation of carbon from producing roots to producing leaves. They also produced broader, thinner leaves under shaded conditions (higher specific leaf area: SLA; see Quantifying Ecology 6.1: Relative Growth Rate).

Despite the similarity between shade-tolerant and shade-intolerant species in their phenotypic responses to reduced available light, these two types exhibit different overall patterns of morphology and carbon allocation. Under both sun and shade conditions, shade-tolerant species had a greater proportional allocation to roots (relative to leaves), thicker leaves (lower SLA), and, as a result, a lower photosynthetic surface area than did shade-intolerant species. As a result, the relative growth rates of shade-intolerant species were consistently greater than those of the shade-tolerant species, both in sun and shaded conditions (Figure 3).



**Figure 3** Relative growth rates (RGRs) of 13 tropical tree species grown as seedlings from germination to 10 weeks under controlled shade (2%) and sun (23%) conditions. Species are ranked based on their shade tolerance (survival in shade). Species codes: (1) *Aspidosperma cruenta*, (2) *Tachigalia versicolor*, (3) *Bombacopsis sessilis*, (4) *Platypodium elegans*, (5) *Lonchocarpus latifolius*, (6) *Lafoensia puniceifolia*, (7) *Terminalia amazonica*, (8) *Cordia alliodora*, (9) *Pseudobombax septenatum*, (10) *Luehea seemannii*, (11) *Ceiba pentandra*, (12) *Cavanillesia platanifolia*, (13) *Ochroma pyramidale*. (Adapted from Kitajima 1994.)

Whereas the characteristics exhibited by the shade-intolerant species reflect strong natural selection for fast growth within light gaps, shade-tolerant species appear adapted to survive for many years in the understory, where their ability to survive attacks by pathogens and herbivores is enhanced by their well-developed reserves within the root system.

### Bibliography

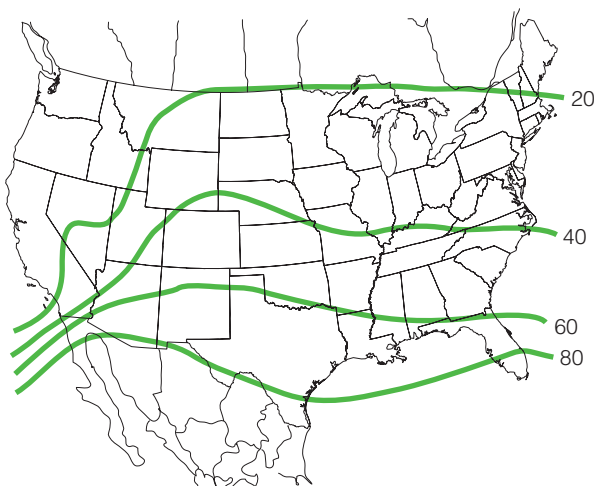
- Kitajima, K. 1996. Ecophysiology of tropical tree seedlings. In *Tropical forest plant ecophysiology* (S. Mulkey, R. L. Chazdon, and A. P. Smith, eds.), 559–596. New York: Chapman & Hall.
- Kitajima, K. 2002. Do shade-tolerant tropical tree seedlings depend longer on seed reserves? *Functional Ecology* 16:433–444.

1. What processes might create gaps in the forest canopy?
2. How might seed size influence the method of seed dispersal from the parent plant?

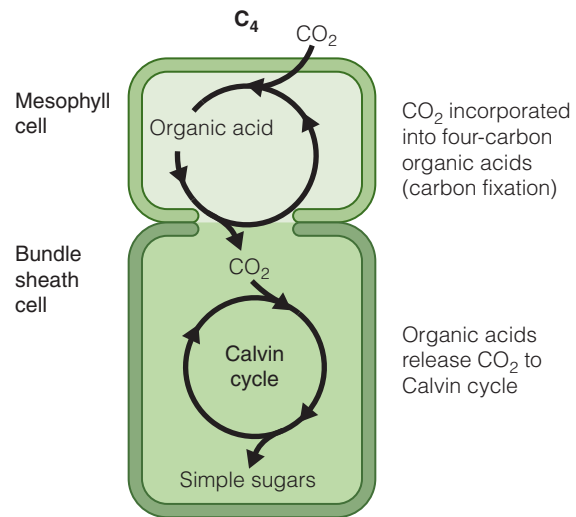
To understand the adaptive advantage of the  $C_4$  pathway, we must go back to the trade-off in terrestrial plants between the uptake of  $CO_2$  and the loss of water through the stomata. Due to the higher photosynthetic rate,  $C_4$  plants exhibit greater water-use efficiency. That is, for a given degree of stomatal opening and water loss,  $C_4$  plants typically fix more carbon. This increased water-use efficiency can be a great advantage in hot, dry climates where water is a major factor limiting plant growth. However, it comes at a price. The  $C_4$  pathway has a higher energy expenditure because of the need to produce PEP and the associated enzyme, PEP carboxylase.

The  $C_4$  photosynthetic pathway is not found in algae, bryophytes, ferns, gymnosperms (includes conifers, cycads, and ginkgos), or the more primitive flowering plants (angiosperms).  $C_4$  plants are mostly grasses native to tropical and subtropical regions and some shrubs characteristic of arid and saline environments, such as *Larrea* (creosote bush) and *Atriplex* (saltbush) that dominate regions of the desert southwest in North America. The distribution of  $C_4$  grass species in North America reflects the advantage of the  $C_4$  photosynthetic pathway under warmer and drier conditions (Figure 6.16). The proportion of grass species that are  $C_4$  increases from north to south, reaching a maximum in the southwest.

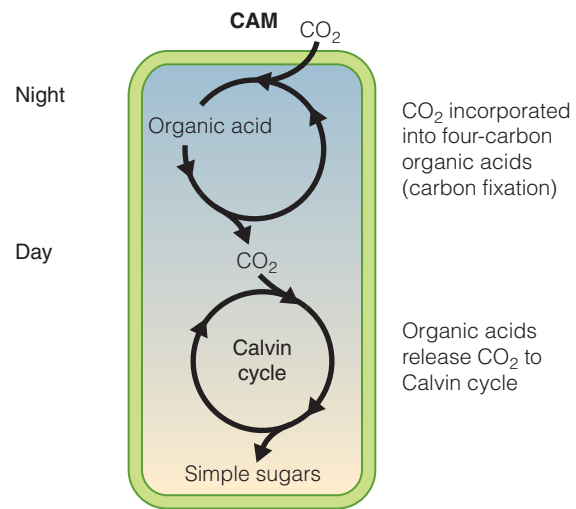
In the hot deserts of the world, environmental conditions are even more severe. Solar radiation is high and water is scarce. To counteract these conditions, a small group of desert plants, mostly succulents in the families Cactaceae (cacti), Euphorbiaceae, and Crassulaceae, use a third type of photosynthetic pathway—crassulacean acid metabolism (CAM). The **CAM pathway** is similar to the  $C_4$  pathway in that  $CO_2$  initially reacts with PEP and is transformed into four-carbon compounds using the enzyme PEP carboxylase. The four-carbon compounds are later converted back into  $CO_2$ , which is transformed into glucose using the  $C_3$  cycle. Unlike  $C_4$  plants, however, in which these two steps are physically separate (in mesophyll and bundle sheath cells), both steps occur in the mesophyll cells but at separate times (Figure 6.17).



**Figure 6.16** Percentage of total grass species that are  $C_4$ . Values on isopleths (lines) represent percentages. (Adapted from Teeri and Stone 1976.)



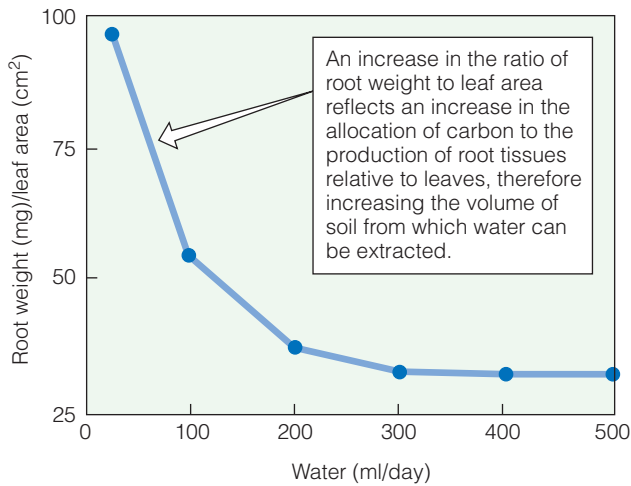
**(a) Spatial separation of steps.**  
In  $C_4$  plants, carbon fixation and the Calvin cycle occur in different types of cells.



**(b) Temporal separation of steps.**  
In CAM plants, carbon fixation and the Calvin cycle occur in the same cells at different times.

**Figure 6.17** Photosynthesis in CAM plants. **(a)** At night, the stomata open, the plant loses water through transpiration, and  $CO_2$  diffuses into the leaf.  $CO_2$  is stored as malate in the mesophyll, to be used in photosynthesis by day. **(b)** During the day, when stomata are closed, the stored  $CO_2$  is refixed in the mesophyll cells using the  $C_3$  cycle.

CAM plants open their stomata at night, taking up  $CO_2$  and converting it to malic acid using PEP, which accumulates in large quantities in the mesophyll cells. During the day, the plant closes its stomata and reconverts the malic acid into  $CO_2$ , which it then fixes using the  $C_3$  cycle. Relative to both  $C_3$  and  $C_4$  plants, the CAM pathway is slow and inefficient in the fixation of  $CO_2$ . But by opening their stomata at night when temperatures are lowest and relative humidity is highest, CAM plants dramatically reduce water loss through transpiration and increase water-use efficiency.



**Figure 6.18** Relationship between plant water availability and the ratio of root mass (mg) to leaf area (cm<sup>2</sup>) for broadleaf peppermint (*Eucalyptus dives*) seedlings grown in the greenhouse. Each point on the graph represents the average value for plants grown under the corresponding water treatment. As water availability decreases, plants allocate more carbon to producing roots than to producing leaves. This increased allocation to roots increases the surface area of roots for the uptake of water, while the decline in leaf area decreases water loss through transpiration. (Adapted from Smith et al., 2009.)

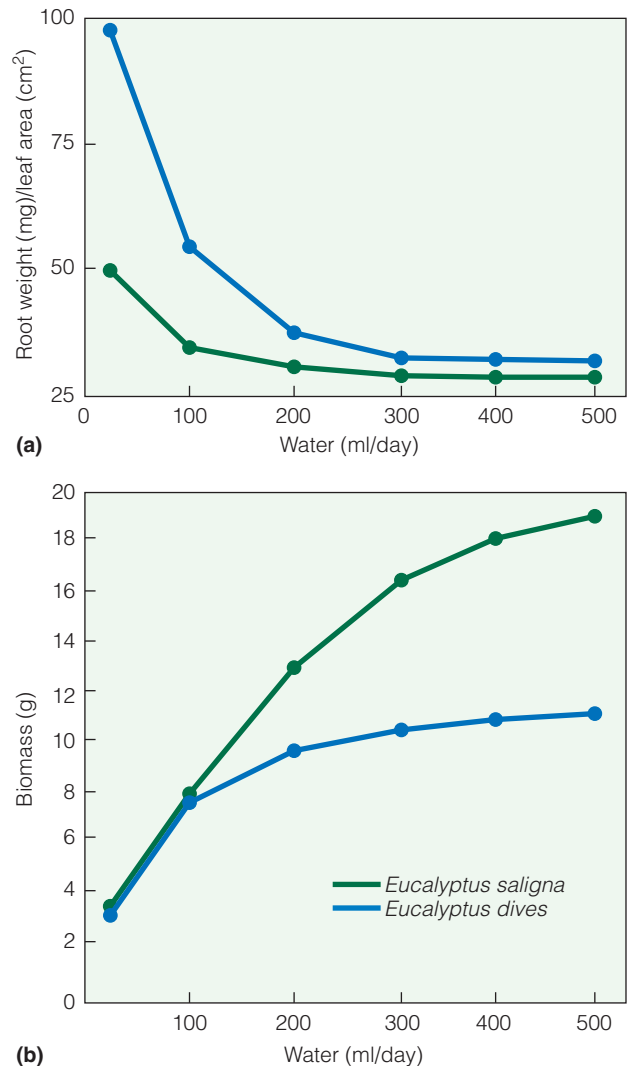
Plants may also respond to a decrease in available soil water by increasing the allocation of carbon to the production of roots while decreasing the production of leaves (Figure 6.18). By increasing its production of roots, the plant can explore a larger volume and depth of soil for extracting water. The reduction in leaf area decreases the amount of solar radiation the plant intercepts as well as the surface area that is losing water through transpiration. The combined effect is to increase the uptake of water per unit leaf area while reducing the total amount of water that is lost to the atmosphere through transpiration.

The decline in leaf area with decreasing water availability is actually a combined effect of reduced allocation of carbon to the production of leaves and changes in leaf morphology (size and shape). The leaves of plants grown under reduced water conditions tend to be smaller and thicker (lower specific leaf area: see Section 6.9) than those of individuals growing in more mesic (wet) environments. In some plants, the leaves are small, the cell walls are thickened, the stomata are tiny, and the vascular system for transporting water is dense. Some species have leaves covered with hairs that scatter incoming solar radiation, whereas others have leaves coated with waxes and resins that reflect light and reduce its absorption. All these structural features reduce the amount of energy striking the leaf and thus the loss of water through transpiration.

Although the decrease in leaf area and corresponding increase in biomass allocated to roots (Figure 6.18) observed for plants growing under reduced water availability functions to reduce transpiration and increase the plant's ability to acquire water from the soil, this shift in patterns of allocation has consequences for plant growth. The reduced leaf area decreases

carbon gain from photosynthesis relative to the loss of carbon from respiration (see Figure 6.8). The result is a reduction in net carbon gain as well as plant growth rate.

As with the shifts in plant morphology under varying light environments (see Section 6.9), the observed changes in leaf shape and carbon allocation of individuals in response to the availability of water represents phenotypic plasticity (as in Figure 6.18). Differences, however, are most pronounced between species of plants adapted to wet (mesic) and dry (xeric) environments (Figure 6.19).



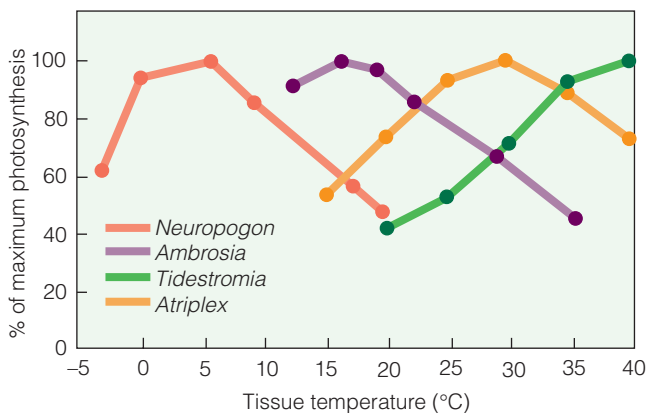
**Figure 6.19** Comparison of patterns of carbon allocation and growth rate for two species of *Eucalyptus* along an experimental gradient of water availability. (a) Although both species exhibit the same patterns of response to declining water availability, the xeric species (*E. dives*) exhibits a consistently higher ratio of root mass (mg) to leaf area than does the mesic species (*E. saligna*) across the water gradient. (b) *E. saligna*'s growth rate (biomass gain over period of experiment) continues to increase with increasing water availability. *E. dives* reaches maximum growth rate at intermediate water treatments.

(Adapted from Smith et al., 2009.)

## 6.11 Plants Vary in Their Response to Environmental Temperatures

The photosynthetic temperature response curves (see Figure 6.6) for several terrestrial plant species are shown in Figure 6.20. These species vary in the range of temperatures over which net photosynthesis is at its maximum,  $T_{opt}$ . In fact, the differences in  $T_{opt}$  for the species correspond to differences in the thermal environments that the species inhabit. Species found in cooler environments typically have a lower  $T_{min}$ ,  $T_{opt}$ , and  $T_{max}$  than species that inhabit warmer climates. These differences in the temperature response of net photosynthesis are directly related to a variety of biochemical and physiological adaptations that act to shift the temperature responses of photosynthesis and respiration toward the prevailing temperatures in the environment. These differences are most pronounced between plants using the  $C_3$  and  $C_4$  photosynthetic pathways (see Section 6.10).  $C_4$  plants inhabit warmer, drier environments and exhibit higher optimal temperatures for photosynthesis (generally between 30°C and 40°C) than do  $C_3$  plants (Figure 6.21).

Although species from different thermal habitats exhibit different temperature responses for photosynthesis and respiration, these responses are not fixed. When individuals of the same species are grown under different thermal conditions in the laboratory or greenhouse, divergence in the temperature response of net photosynthesis is often observed (Figure 6.22). In general, the range of temperatures over which net photosynthesis is at its maximum shifts in the direction of the thermal conditions under which the plant is grown. That is to say, individuals grown under cooler temperatures exhibit a lowering of  $T_{opt}$ , whereas those individuals grown under warmer conditions exhibit an increase in  $T_{opt}$ . This same shift in the temperature response can be observed in individual plants in response to seasonal shifts in temperature (Figure 6.23). These modifications in the temperature response of net photosynthesis are a result of the process of acclimation—reversible phenotypic

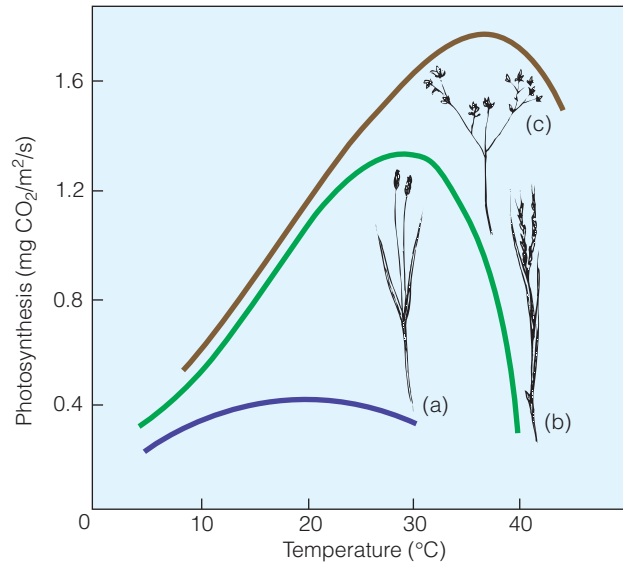


**Figure 6.20** Relationship between net photosynthesis and temperature for various terrestrial plant species from dissimilar thermal habitats: *Neuropogon acromelanus* (Arctic lichen), *Ambrosia chamissonis* (cool, coastal dune plant), *Atriplex hymenelytra* (evergreen desert shrub), and *Tidestromia oblongifolia* (summer-active desert perennial).

(Adapted from Mooney et al. 1976.)

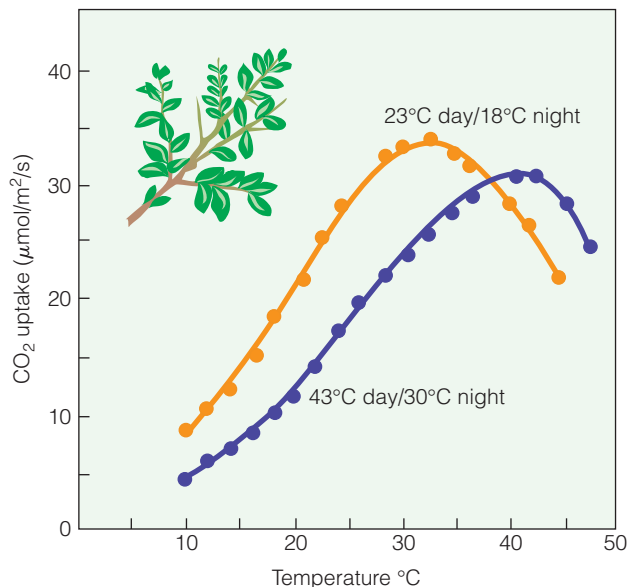
changes in response to changing environmental conditions (see Section 5.9).

In addition to the influence of temperature on plant carbon balance, periods of extreme heat or cold can directly damage plant cells and tissues. Plants that inhabit seasonally cold environments, where temperatures drop below freezing



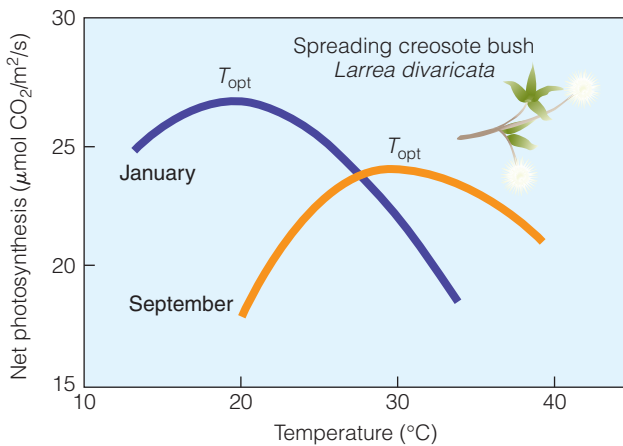
**Figure 6.21** Effect of change in leaf temperature on the photosynthetic rates of  $C_3$  and  $C_4$  plants. (a) A  $C_3$  plant, the north temperate grass *Sesleria caerulea*, exhibits a decline in the rate of photosynthesis as the temperature of the leaf increases. (b) A  $C_4$  north temperate grass, *Spartina anglica*. (c) A  $C_4$  shrub of the North American hot desert, *Tidestromia oblongifolia* (Arizona honeysweet). The maximum rate of photosynthesis for the  $C_4$  species occurs at higher temperatures than for the  $C_3$  species.

(Adapted from Bjorkman 1973.)



**Figure 6.22** Relationship between temperature and net photosynthesis for cloned plants of big saltbush (*Atriplex lentiformis*) grown under two different day/night temperature regimes. The shift in  $T_{opt}$  corresponds to the temperature conditions under which the plants were grown.

(Adapted from Pearcy 1977.)



**Figure 6.23** Seasonal shift in the relationship between net photosynthesis and temperature for creosote bush (*Larrea divaricata*) shrubs growing in the field. Note that  $T_{opt}$  shifts to match the prevailing temperatures.

(Adapted from Mooney et al. 1978.)

for periods of time, have evolved several adaptations for survival. The ability to tolerate extreme cold, referred to as frost hardening, is a genetically controlled characteristic that varies among species as well as among local populations of the same species. In seasonally changing environments, plants develop frost hardening through the fall and achieve maximum hardening in winter. Plants acquire frost hardiness—the turning of cold-sensitive cells into hardy ones—through the formation or addition of protective compounds in the cells. Plants synthesize and distribute substances such as sugars, amino acids, and other compounds that function as antifreeze, lowering the temperature at which freezing occurs. Once growth starts in spring, plants lose this tolerance quickly and are susceptible to frost damage in late spring.

Producing the protective compounds that allow leaves to survive freezing temperatures requires a significant expenditure of energy and nutrients. Some species avoid these costs by shedding their leaves before the cold season starts. These plants are termed *winter deciduous*, and their leaves senesce during the fall. The leaves are replaced during the spring, when conditions are once again favorable for photosynthesis. In contrast, needle-leaf evergreen species—such as pine (*Pinus* spp.) and spruce (*Picea* spp.) trees—contain a high concentration of these protective compounds, allowing the needles to survive the freezing temperatures of winter.

## 6.12 Plants Exhibit Adaptations to Variations in Nutrient Availability

Plants require a variety of chemical elements to carry out their metabolic processes and to synthesize new tissues (Table 6.1). Thus, the availability of nutrients has many direct effects on plant survival, growth, and reproduction. Some of these elements, known as **macronutrients**, are needed in large amounts. Other elements are needed in lesser, often minute quantities. These elements are called **micronutrients**, or trace elements. The prefixes *micro-* and *macro-* refer only to the quantity of

**Table 6.1** Essential Elements in Plants

Element	Major Functions	
<b>Macronutrients</b>		
Carbon (C) Hydrogen (H) Oxygen (O)	Basic constituents of all organic matter.	
Nitrogen (N)		Used only in a fixed form: nitrates, nitrites, ammonium. Component of chlorophyll and enzymes (such as rubisco); building block of protein.
Calcium (Ca)		In plants, combines with pectin to give rigidity to cell walls; activates some enzymes; regulates many responses of cells to stimuli; essential to root growth.
Phosphorus (P)	Component of nucleic acids, phospholipids, ATP, and several enzymes.	
Magnesium (Mg)	Essential for maximum rates of enzymatic reactions in cells. Integral part of chlorophyll; involved in protein synthesis.	
Sulfur (S)	Basic constituent of protein.	
Potassium (K)	Involved in osmosis and ionic balance; activates many enzymes.	
<b>Micronutrients</b>		
Chlorine (Cl)	Enhances electron transfer from water to chlorophyll in plants.	
Iron (Fe)	Involved in the production of chlorophyll; is part of the complex protein compounds that activate and carry oxygen and transport electrons in mitochondria and chloroplasts.	
Manganese (Mn)	Enhances electron transfer from water to chlorophyll and activates enzymes in fatty-acid synthesis.	
Boron (B)	Fifteen functions are ascribed to boron in plants, including cell division, pollen germination, carbohydrate metabolism, water metabolism, maintenance of conductive tissue, translation of sugar.	
Copper (Cu)	Concentrates in chloroplasts, influences photosynthetic rates, activates enzymes.	
Molybdenum (Mo)	Essential for symbiotic relationship with nitrogen-fixing bacteria.	
Zinc (Zn)	Helps form growth substances (auxins); associated with water relationships; active in formation of chlorophyll; component of several enzyme systems.	
Nickel (Ni)	Necessary for enzyme functioning in nitrogen metabolism.	

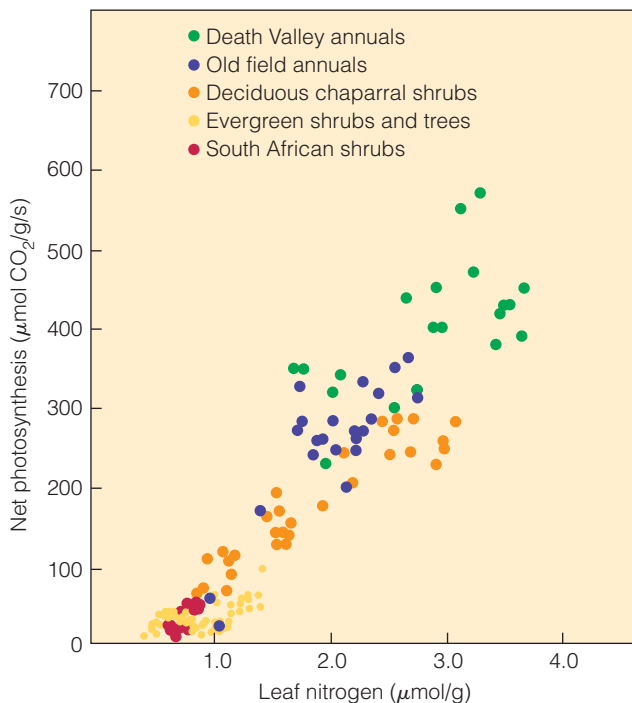
nutrients needed, not to their importance to the organism. If micronutrients are lacking, plants fail as completely as if they lacked nitrogen, calcium, or any other macronutrient.

Of the macronutrients, carbon (C), hydrogen (H), and oxygen (O) form the majority of plant tissues. These elements are derived from  $\text{CO}_2$  and  $\text{H}_2\text{O}$  and are made available to the plant

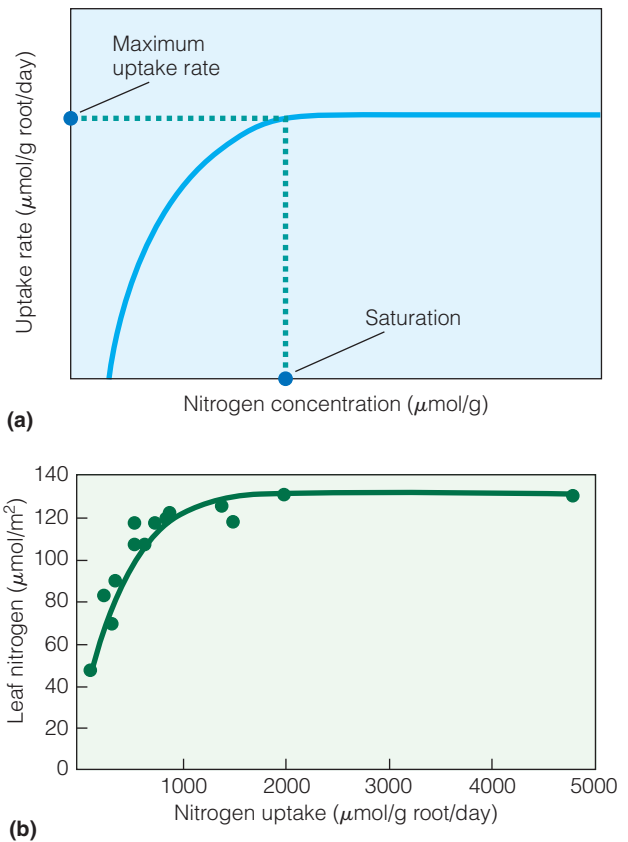
as glucose through photosynthesis. The remaining six macronutrients—nitrogen (N), phosphorus (P), potassium (K), calcium (Ca), magnesium (Mg), and sulfur (S)—exist in varying states in the soil and water, and their availability to plants is affected by different processes depending on their location in the physical environment (see Chapters 3 and 4). In terrestrial environments, plants take up nutrients from the soil. Plants in aquatic environments take up nutrients from the substrate or directly from the water.

The best example of the direct link between nutrient availability and plant performance involves nitrogen. Nitrogen plays a major role in photosynthesis. In Section 6.1, we examined two important compounds in photosynthesis: the enzyme rubisco and the pigment chlorophyll. Rubisco catalyzes the transformation of carbon dioxide into simple sugars, and chlorophyll absorbs light energy. Nitrogen is a component of both compounds. In fact, more than 50 percent of the nitrogen content of a leaf is in some way involved directly with the process of photosynthesis, with much of it tied up in these two compounds. As a result, the maximum (light saturated) rate of photosynthesis for a species is correlated with the nitrogen content of its leaves (Figure 6.24).

The uptake of a nutrient depends on supply and demand. Figure 6.25a illustrates the typical relationship between the uptake of a nutrient and its concentration in soil. Notice that the uptake rate increases with the concentration until some maximum rate is achieved. No further increase occurs above this concentration, because the plant meets its demands. In the case of nitrogen, low concentration in the soil or water restricts the plant to low uptake rates. In turn, a lower uptake rate decreases



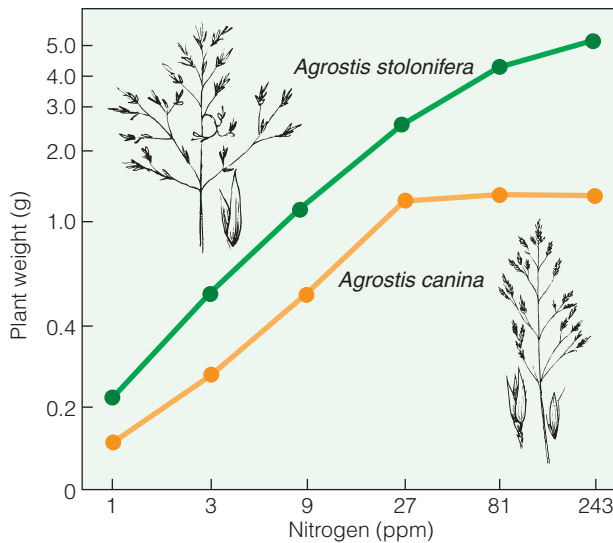
**Figure 6.24** Influence of leaf nitrogen concentrations on maximum observed rates of net photosynthesis for a variety of species from differing habitats.



**Figure 6.25** (a) Uptake of nitrogen by plant roots increases with concentration in soil until the plant arrives at maximum uptake. (b) Influence of root nitrogen uptake on leaf nitrogen concentrations. (Adapted from Woodward and Smith 1994.)

the concentrations of nitrogen in the leaf (Figure 6.25b) and, consequently, the concentrations of rubisco and chlorophyll. Therefore, lack of nitrogen limits the maximum rate of photosynthesis and growth. A similar pattern holds for other essential nutrients.

We have seen that geology, climate, and biological activity alter the availability of nutrients in the soil (see Chapter 4). Consequently, some environments are relatively rich in nutrients, and others are poor. How do plants from low-nutrient environments succeed? Because plants require nutrients for synthesizing new tissue, a plant's growth rate influences its demand for a nutrient. In turn, the plant's uptake rate of the nutrient also influences growth. This relationship may seem circular, but the important point is that not all plants have the same inherent (maximum potential) rate of growth. In Section 6.9 (see Figure 6.13), we saw how shade-tolerant plants have an inherently lower rate of photosynthesis and growth than shade-intolerant plants do, even under high-light conditions. This lower rate of photosynthesis and growth means a lower demand for resources, including nutrients. The same pattern of reduced photosynthesis occurs among plants that are characteristic of low-nutrient environments. Figure 6.26 shows the growth responses of two grass species when soil is enriched with nitrogen. The species that naturally grows in a high-nitrogen environment keeps increasing its rate of growth with



**Figure 6.26** Growth responses of two species of grass—creeping bentgrass (*Agrostis stolonifera*), found in high-nutrient environments, and velvet bentgrass (*Agrostis canina*), found in low-nutrient environments—to the addition of different levels of nitrogen fertilizer. *A. canina* responds to nitrogen fertilizer up to a certain level only.

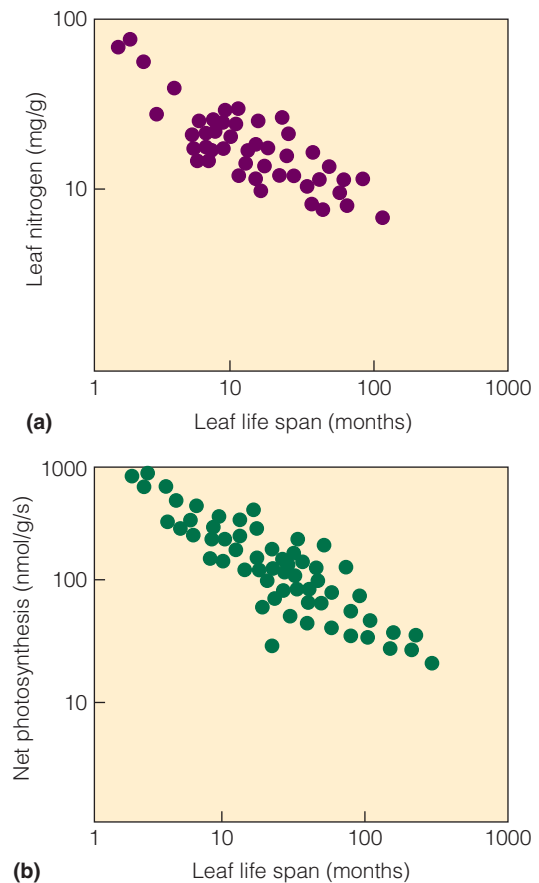
(Adapted from Bradshaw et al. 1974.)

increasing availability of soil nitrogen. The species native to a low-nitrogen environment reaches its maximum rate of growth at low to medium nitrogen availability. It does not respond to further additions of nitrogen.

Some plant ecologists suggest that a low maximum growth rate is an adaptation to a low-nutrient environment. One advantage of slower growth is that the plant can avoid stress under low-nutrient conditions. A slow-growing plant can still maintain optimal rates of photosynthesis and other metabolic processes crucial for growth under low-nutrient availability. In contrast, a plant with an inherently high rate of growth will show signs of stress.

A second hypothesized adaptation to low-nutrient environments is leaf longevity (Figure 6.27). Leaf production has a cost to the plant. This cost can be defined in terms of the carbon and other nutrients required to grow the leaf. At a low rate of photosynthesis, a leaf needs a longer time to “pay back” the cost of its production. As a result, plants inhabiting low-nutrient environments tend to have longer-lived leaves. A good example is the dominance of pine species on nutrient poor, sandy soils in the coastal region of the southeastern United States. In contrast to deciduous tree species, which shed their leaves every year, these pines have needles that live for up to three years.

Like water, nutrients are a belowground resource of terrestrial plants. Their ability to exploit this resource is related to the amount of root mass. One way that plants growing in low-nutrient environments compensate is by increasing root production. This increase is one cause of their low growth rates. As in the case of water limitation, carbon is allocated to root production at the cost of leaf production. The reduced leaf area reduces the rate of carbon uptake in photosynthesis relative to the rate of carbon loss in respiration. The result is a lower net carbon gain and growth rate by the plant.



**Figure 6.27** Relationship between (a) leaf longevity (life span) and leaf nitrogen concentration and (b) leaf longevity and net photosynthetic rate (maximum) for a wide variety of plants from different environments. Each data point represents a single species. Species having longer-lived leaves tend to have lower leaf nitrogen concentrations and subsequently lower rates of photosynthesis.

(Adapted from Reich et al. 1982.)

### 6.13 Wetland Environments Present Unique Constraints on Plant Adaptations

In wetland environments where soils are saturated with water for most or all of the year, or in areas along waterways that are prone to flooding, too much water can place as much stress on plants as too little water does (see Chapter 26). Plant species differ in their ability to deal with the stresses of flooding and waterlogged soils. Symptoms exhibited by plants intolerant of such conditions are similar to those of drought and include closing stomata, yellowing and premature loss of leaves, wilting, and rapid reduction in photosynthesis. The causes, however, are different.

Growing plants need both sufficient water and a rapid gas exchange (carbon dioxide and oxygen) with their environment. Much of this exchange occurs between the roots and the air spaces within the soil. When water fills soil pores, such gas exchange cannot take place. The plants, experiencing



depressed  $O_2$  levels, in effect asphyxiate; they drown. Roots depend on gaseous  $O_2$  to carry on aerobic respiration. Lacking oxygen, flooded roots are forced to shift to an alternative anaerobic metabolism (see Section 6.1 for discussion of aerobic and anaerobic respiration). Further, anaerobic conditions in the soil can lead to the production of substances toxic to plants.

In response to these anaerobic conditions, some species of plants accumulate ethylene in their roots. Ethylene gas, a growth hormone, is highly insoluble in water and is normally produced in small amounts in the roots. Under flooded conditions ethylene diffusion from the roots slows, and oxygen diffusion into the roots virtually stops. Ethylene then accumulates to high levels in the roots. Ethylene stimulates cells in the roots to self-destruct and separate to form interconnected, gas-filled chambers called **aerenchyma**. These chambers, typical of aquatic plants, allow some exchange of gases between submerged and better-aerated roots. Aerenchyma also allow oxygen to diffuse between the plant parts above the water and the submerged tissues. In plants such as water lilies, the aerenchyma ventilate the entire plant, with interconnected gas spaces throughout the leaves and roots occupying nearly 50 percent of the plant tissue.

In other plants—especially woody ones—the original roots, deprived of oxygen, die. In their place, adventitious roots (roots arising in positions where roots normally would not grow) emerge from the submerged part of the stem. Replacing the functions of the original roots, adventitious roots spread horizontally along the soil surface where oxygen is available. Some plants found in poorly drained soils—for example, red maple (*Acer rubrum*) and white pine (*Pinus strobus*)—develop shallow, horizontal root systems to cope with flooding. These shallow root systems, however, make them highly susceptible to drought and windthrow.

Some woody species can grow on permanently flooded sites. Among them are bald cypress (*Taxodium distichum*), mangroves, willows, and water tupelo (*Nyssa aquatica*). Bald cypresses growing on sites with fluctuating water tables develop knees or **pneumatophores**, specialized growths of the root system (Figure 6.28). These growths may be beneficial, although they are not necessary for survival. Pneumatophores on mangrove trees help with gas exchange and provide oxygen to roots during tidal cycles (see Chapter 26).



**Figure 6.28** Pneumatophores, or “knees,” are typical features of a bald cypress swamp.

Plants of salt marshes and other saline habitats grow in a physiologically dry environment. Salinity limits the amount of water they can absorb (see Chapter 3). Known as **halophytes**, the plants take in water containing high levels of solutes. Characteristically, halophytes accumulate high levels of ions within their cells, especially in the leaves. The high solute concentration, which may equal or exceed that of seawater, allows halophytes to maintain a high cell water content in the face of a low external osmotic potential (see Chapter 3, Section 3.6).

After taking up water heavy in sodium and chloride, some halophytes dilute it with water they have stored in their tissues. Some plants have salt-secreting glands that deposit excess salt on the leaves so it will be washed away by rain. Others remove salts mechanically at the root membranes.

Halophytes, however, vary in their degree of salt tolerance. Some plants, such as salt marsh hay grass (*Spartina patens*), grow best at low salinities. Others, such as salt marsh cordgrass (*S. alternifolia*), do best at moderate levels of salinity (see Figures 26.6, 26.7, and 26.9 for examples of salt marsh halophytes). A few, such as glasswort (*Salicornia* spp.), tolerate high salinities (see photograph in Figure 26.8).

## Summary

### Photosynthesis and Respiration 6.1

Photosynthesis harnesses light energy from the Sun to convert  $CO_2$  and  $H_2O$  into glucose. A nitrogen-based enzyme, rubisco, catalyzes the transformation of  $CO_2$  into sugar. Because the first product of the reaction is a three-carbon compound, this photosynthetic pathway is called  $C_3$  photosynthesis. Cellular respiration releases energy from carbohydrates to yield energy,  $H_2O$ , and  $CO_2$ . The energy released in this process is stored as the high-energy compound ATP. Respiration occurs in the living cells of all organisms.

### Photosynthesis and Light 6.2

The amount of light reaching a plant influences its photosynthetic rate. The light level at which the rate of carbon dioxide uptake in photosynthesis equals the rate of carbon dioxide loss due to respiration is called the light compensation point. The light level at which a further increase in light no longer produces an increase in the rate of photosynthesis is the light saturation point.

### CO<sub>2</sub> Uptake and Water Loss 6.3

Photosynthesis involves two key physical processes: diffusion and transpiration. CO<sub>2</sub> diffuses from the atmosphere to the leaf through leaf pores, or stomata. As photosynthesis slows down during the day and demand for CO<sub>2</sub> lessens, stomata close to reduce loss of water to the atmosphere. Water loss through the leaf is called transpiration. The amount of water lost depends on the humidity. Water lost through transpiration must be replaced by water taken up from the soil.

### Water Movement 6.4

Water moves from the soil into the roots, up through the stem and leaves, and out to the atmosphere. Differences in water potential along a water gradient move water along this route. Plants draw water from the soil, where the water potential is the highest, and release it to the atmosphere, where it is the lowest. Water moves out of the leaves through the stomata in transpiration, and this reduces water potential in the roots so that more water moves from the soil through the plant. This process continues as long as water is available in the soil. This loss of water by transpiration creates moisture conservation problems for plants. Plants need to open their stomata to take in CO<sub>2</sub>, but they can conserve water only by closing the stomata.

### Aquatic Plants 6.5

A major difference between aquatic and terrestrial plants in CO<sub>2</sub> uptake and assimilation is the lack of stomata in submerged aquatic plants. In aquatic plants, there is a direct diffusion of CO<sub>2</sub> from the waters adjacent to the leaf across the cell membrane.

### Plant Energy Balance 6.6

Leaf temperatures affect both photosynthesis and respiration. Plants have optimal temperatures for photosynthesis beyond which photosynthesis declines. Respiration increases with temperature. The internal temperature of all plant parts is influenced by heat gained from and lost to the environment. Plants absorb longwave and shortwave radiation. They reflect some of it back to the environment. The difference is the plant's net radiation balance. The plant uses some of the absorbed radiation in photosynthesis. The remainder must be either stored as heat in the plant and surrounding air or dissipated through the processes of evaporation (transpiration) and convection.

### Net Carbon Gain and Carbon Allocation 6.7

The net carbon gain (per unit time) of a plant is the difference between carbon uptake in photosynthesis and carbon loss through respiration. The net carbon gain is then allocated to a variety of plant processes, including the production of new tissues. Because, in general, only leaves (photosynthetic tissues) are able to photosynthesize, yet all living tissues respire, the net carbon gain (and subsequently the growth) of a plant is influenced by the patterns of carbon allocation.

### Interdependence of Plant Adaptations 6.8

Plants have evolved a wide range of adaptations to variations in environmental conditions. The adaptations exhibited by plants

to these features of the environment are not independent, for reasons relating to the physical environment and to the plants themselves.

### Plant Adaptations to High and Low Light 6.9

Plants exhibit a variety of adaptations and phenotypic responses (phenotypic plasticity) in response to different light environments. Shade-adapted (shade-tolerant) plants have low photosynthetic, respiratory, metabolic, and growth rates. Sun plants (shade-intolerant) generally have higher photosynthetic, respiratory, and growth rates but lower survival rates under shaded conditions. Leaves in sun plants tend to be small, lobed, and thick. Shade-plant leaves tend to be large and thin.

### Alternative Pathways of Photosynthesis 6.10

The C<sub>4</sub> pathway of photosynthesis involves two steps and is made possible by leaf anatomy that differs from C<sub>3</sub> plants. C<sub>4</sub> plants have vascular bundles surrounded by chlorophyll-rich bundle sheath cells. C<sub>4</sub> plants fix CO<sub>2</sub> into malate and aspartate in the mesophyll cells. They transfer these acids to the bundle sheath cells, where they are converted into CO<sub>2</sub>. Photosynthesis then follows the C<sub>3</sub> pathway. C<sub>4</sub> plants have a high water-use efficiency (the amount of carbon fixed per unit of water transpired). Succulent desert plants, such as cacti, have a third type of photosynthetic pathway, called CAM. CAM plants open their stomata to take in CO<sub>2</sub> at night, when the humidity is high. They convert CO<sub>2</sub> to malate, a four-carbon compound. During the day, CAM plants close their stomata, convert malate back to CO<sub>2</sub>, and follow the C<sub>3</sub> photosynthetic pathway.

### Adaptations to Heat and Cold 6.11

Plants exhibit a variety of adaptations to extremely cold as well as hot environments. Cold tolerance is mostly genetic and varies among species. Plants acquire frost hardiness through the formation or addition of protective compounds in the cell, where these compounds function as antifreeze. The ability to tolerate high air temperatures is related to plant moisture balance.

### Plant Adaptations to Nutrient Availability 6.12

Terrestrial plants take up nutrients from soil through the roots. As roots deplete nearby nutrients, diffusion of water and nutrients through the soil replaces them. Availability of nutrients directly affects a plant's survival, growth, and reproduction. Nitrogen is important because rubisco and chlorophyll are nitrogen-based compounds essential to photosynthesis. Uptake of nitrogen and other nutrients depends on availability and demand. Plants with high nutrient demands grow poorly in low-nutrient environments. Plants with lower demands survive and grow, slowly, in low-nutrient environments. Plants adapted to low-nutrient environments exhibit lower rates of growth and increased longevity of leaves. The lower nutrient concentration in their tissues means lower-quality food for decomposers.

### Plant Adaptations to Wetland Environments 6.13

Plants intolerant of flooding exhibit symptoms similar to those of drought. Plants also experience reduced oxygen

availability to the roots, disrupting metabolic processes and changing patterns of root growth. In waterlogged environments ethylene—a growth hormone in roots—may increase, stimulating root cells to form interconnected, gas-filled chambers.

These chambers permit the exchange of oxygen between submerged and aerated roots. Plants adapted to waterlogged environments have gas-filled chambers that carry oxygen from the leaves to the roots.

---

## Study Questions

1. What does it mean to say that life on Earth is carbon based?
2. Distinguish between photosynthesis and assimilation. How are they related?
3. What is the function of respiration?
4. What is the role of light (PAR) in the process of photosynthesis?
5. In the relationship between net photosynthesis and available light (PAR) shown in Figure 6.2, there is a net loss of carbon dioxide by the leaf at levels of light below the light compensation point. Why does this occur? Based on this relationship, how do you think net photosynthesis varies over the course of the day?
6. How does diffusion control the uptake of carbon dioxide and the loss of water from the leaf?
7. How does the availability of water to a plant constrain the rate of photosynthesis?
8. What is the advantage of the  $C_4$  photosynthetic pathway as compared to the conventional  $C_3$  pathway? How might these advantages influence where these plant species are found?
9. What is the advantage of a lower light compensation point (LCP) for plant species adapted to low-light environments? What is the cost of maintaining a low LCP?
10. How do plants growing in shaded environments respond to increase their photosynthetic surface area?
11. How does a decrease in water availability influence the allocation of carbon (photosynthates) in the process of growth?
12. What is the basis for the relationship between leaf nitrogen concentration and rate of net photosynthesis shown in Figure 6.24?
13. How could increased leaf longevity (longer-lived leaves) function as an adaptation to low-nutrient environments?
14. For a given opening of the stomata (referred to as stomatal conductance), how will an increase in atmospheric concentrations of  $CO_2$  possibly influence the rate of photosynthesis? How might this effect the water-use efficiency of the plant?

---

## Further Readings

- Dale, J. E. 1992. How do leaves grow? *Bioscience* 42:423–432. How do environmental conditions influence leaf development? This paper gives the reader a basic understanding of the processes involved in leaf growth and the background necessary to answer this question.
- Grime, J. 1971. *Plant strategies and vegetative processes*. New York: Wiley. An excellent, integrated overview of plant adaptations to the environment. This book describes how the various features of a plant's life history, from seed to adult, reflect adaptations to different habitats and the constraints imposed on plant survival, growth, and reproduction.
- Lambers, H., F. S. Chapin III, and T. L. Pons, 2008. *Plant physiological ecology*. 2nd ed. New York: Springer. For more information, read this technical (but well-written, illustrated, and organized) book that delves further into the processes presented in this chapter.
- Larcher, W. 2003. *Physiological plant ecology*. 4th ed. New York: Springer-Verlag. An excellent reference on plant ecophysiology. Like the previous text, this is a fine reference book for more information on the materials presented in the chapter. Less technical, but also less comprehensive.
- Schulze, E. D., R. H. Robichaux, J. Grace, P. W. Randel, and J. R. Ehleringer. 1987. Plant water balance. *Bioscience* 37:30–37. A good introduction to plant water balance that is well written, well illustrated, and not too technical. For students who wish to expand their understanding of the topic, it complements the materials presented in this chapter.
- Walker, D. 1992. *Energy, plants and man*. East Sussex, UK: Packard. This book is a humorous, well-written presentation of plant biology. The sections on photosynthesis are easy to read, well illustrated, and an excellent introduction to the topic. Highly recommended.
- Woodward, F. I. 1987. *Climate and plant distribution*. Cambridge, UK: Cambridge University Press. An excellent overview of plant energy and water balance, as well as plant adaptations to climate. It is easy to read, well referenced, and concise.

# Animal Adaptations to the Environment

- 7.1 Size Imposes a Fundamental Constraint on the Evolution of Organisms**
- 7.2 Animals Have Various Ways of Acquiring Energy and Nutrients**
- 7.3 Animals Have Various Nutritional Needs**
- 7.4 Mineral Availability Affects Animal Growth and Reproduction**
- 7.5 Animals Require Oxygen to Release Energy Contained in Food**
- 7.6 Regulation of Internal Conditions Involves Homeostasis and Feedback**
- 7.7 Animals Exchange Energy with Their Surrounding Environment**
- 7.8 Animals Fall into Three Groups Relative to Temperature Regulation**
- 7.9 Poikilotherms Depend on Environmental Temperatures**
- 7.10 Homeotherms Escape the Thermal Restraints of the Environment**
- 7.11 Endothermy and Ectothermy Involve Trade-offs**
- 7.12 Heterotherms Take on Characteristics of Ectotherms and Endotherms**
- 7.13 Torpor Helps Some Animals Conserve Energy**
- 7.14 Some Animals Use Unique Physiological Means for Thermal Balance**
- 7.15 Maintenance of Water Balance for Terrestrial Animals Is Constrained by Uptake and Conservation**



A male white-fronted brown lemur (*Eulemur fulvus albifrons*) feeds on fruits in the canopy of a rain forest in Madagascar (Africa). This omnivore feeds on fruits, leaves, and insects in the forest canopy, where it lives in small, cohesive groups of 3–12 individuals.

- 7.16 Animals of Aquatic Environments Face Unique Problems in Maintaining Water Balance**
- 7.17 Buoyancy Helps Aquatic Organisms Stay Afloat**
- 7.18 Daily and Seasonal Light and Dark Cycles Influence Animal Activity**
- 7.19 Critical Day Lengths Trigger Seasonal Responses**
- 7.20 Activity Rhythms of Intertidal Organisms Follow Tidal Cycles**

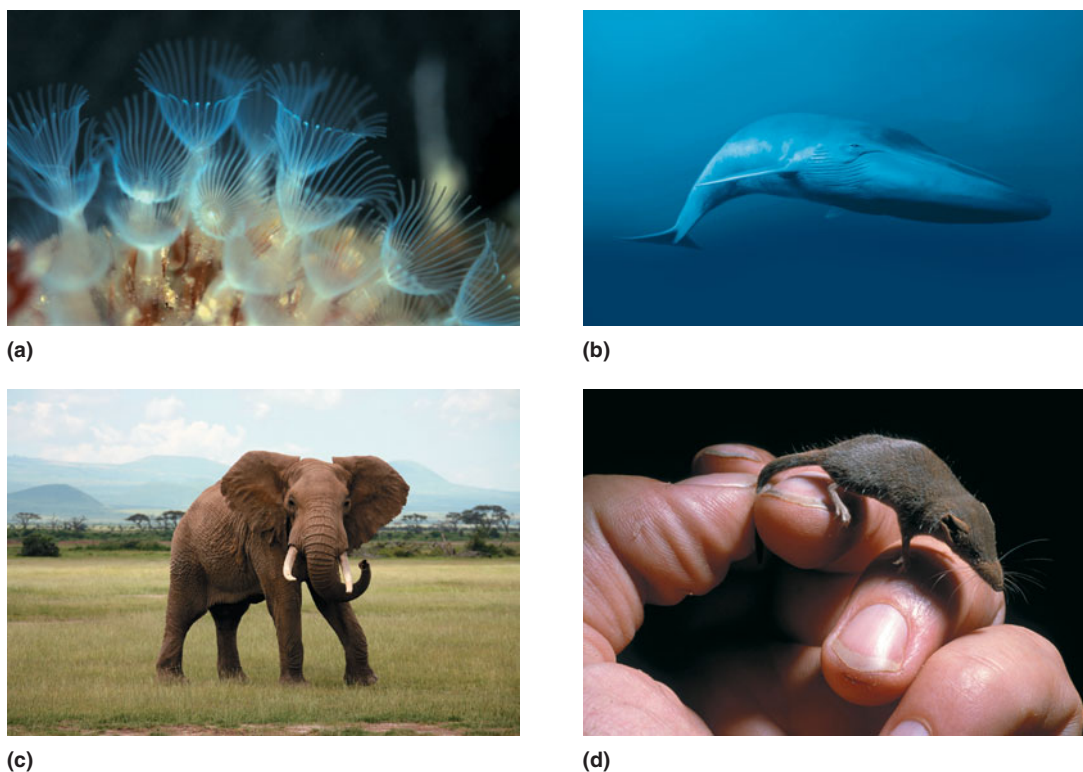
All autotrophs, whether microscopic phytoplankton or the giant sequoia trees of the western United States, derive their energy from the same process—photosynthesis. The story is quite different for animals. Because heterotrophic organisms derive their energy, and most of their nutrients, from consuming organic compounds contained in plants and animals, they encounter literally hundreds of thousands of different types of potential food items—packaged as the diversity of plant and animal species inhabiting Earth. For this reason alone, animal adaptation is a much more complex and diverse topic than that of plants (presented in Chapter 6). However, several key processes are common to all animals: acquiring and digesting food, absorbing oxygen, maintaining body temperature and water balance, and adapting to systematic variation in light and temperature (the diurnal and seasonal cycles). The aquatic and terrestrial environments also impose several fundamentally different constraints on animal adaptation. In our discussion, we examine the variety of adaptations animals have evolved to maintain the basic metabolic processes that allow them to successfully survive, grow, and reproduce in the diversity of environments existing on Earth. In doing so, we will focus on the benefits and constraints imposed by specific adaptations and how the trade-offs involved influence the organisms' success under different environmental conditions.

## 7.1 Size Imposes a Fundamental Constraint on the Evolution of Organisms

Living organisms occur in a wide range of sizes (Figure 7.1). The smallest animals are around 2–10  $\mu\text{g}$ , while the largest living animals are mammals: the blue whale weighing more than 100,000 kg in marine environments, and the African elephant at 5000 kg on land. Each taxonomic group of animals has its own particular size range, largely due to design constraints. Some groups such as Bryozoa (aquatic colonial animals; see Figure 7.1a) contain species all within one or two orders of magnitude, while mammals are hugely variable in size. The smallest mammal is a species of shrew (see Figure 7.1d) weighing only about 2 gm fully grown—or about 100 million ( $10^8$ ) times lighter than the blue whale.

Size has consequences for structural and functional relationships in animals, and as such, presents a fundamental constraint on adaptation. Most morphological and physiological features change as a function of body size in a predictable way—by a process known as **scaling**.

Geometrically similar objects, such as cubes or spheres, are referred to as isometric (Greek for “having equal measurement”). The surface area ( $SA$ ) and volumes ( $V$ ) of isometric objects are related to their linear dimensions (length =  $l$ ) to the second and third power, respectively. Since surface areas ( $SA$ )



**Figure 7.1** Living organisms occur in a wide range of body size. (a) Bryozoa are aquatic colonial animals, with individuals as small as 0.5 mm and 5  $\mu\text{g}$ . Mammals represent a huge range of body size. The largest mammal in marine environments is the (b) blue whale, weighing over 100,000 kg. On land, mammals range in size from the (c) African elephant at 5000 kg to the (d) pygmy shrew, weighing only 2 g fully grown.

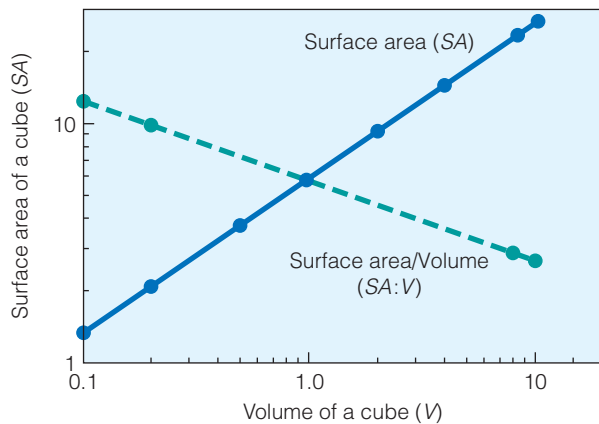
are  $l^2$  and volumes ( $V$ ) are  $l^3$ , it follows that surface area is  $V^{2/3}$  (or  $V^{0.67}$ ).

For example, the surface area of a square is  $l^2$ , where  $l$  is the length of each side. Therefore the surface area of a cube of length  $l$  is  $6l^2$  (six sides). In contrast, the volume of the cube is  $l^3$ . Therefore, if the surface area ( $SA$ ) of a cube is plotted against its volume ( $V$ ) using logarithmic coordinates (Figure 7.2), a straight line is obtained that corresponds to the equation:

$$\log SA = \log 6 + 0.67 \log V$$

The value  $\log 6$  ( $= 0.78$ ) represents the intercept, while the value  $0.67$  is the slope of the relationship, describing the change in  $SA$  per unit change in  $V$ . For other isometric shapes the value of the intercept will change, but the isometric scaling exponent of  $0.67$  will remain the same.

An important consequence of the relationship of isometric scaling is the relationship between the surface area and volume. If surface area per unit volume ( $SA/V$ ) is plotted against volume ( $V$ ), a straight line is produced with a slope of  $-0.33$  (see Figure 7.2). Thus smaller bodies have a larger



**Figure 7.2** Plot of the surface area ( $SA$ ) versus the volume ( $V$ ) of a cube (solid line). Both surface area and volume are plotted on a logarithmic scale ( $\log_{10}$ ). The slope of the line is  $0.67$ . The dashed line shows the ratio of surface area to volume ( $SA:V$ ), with a slope of  $-0.33$ . Note that  $SA:V$  decreases with increasing size (volume) of the cube. This relationship holds true for any isometric object and constrains the ability of organisms to exchange energy and matter with the external environment with increasing body size.

### INTERPRETING ECOLOGICAL DATA

**Q1.** The volume of a cube of length 4 is 64 (or  $4^3$ ), and the surface area is 96 (or  $6 \times 4^2$ ). Now consider a 3-D rectangle having the following dimensions of length ( $l$ ), height ( $h$ ), and width ( $w$ ):  $l = 16$ ,  $h = 2$ ,  $w = 2$ . The volume is  $l \times h \times w = 64$ . The surface area is  $4(l \times h) + 2(w \times h)$ . Calculate the surface area. How does the  $SA:V$  differ for these two objects with the same volume?

**Q2.** Which of the two objects (cube or 3-D rectangle) would be a more efficient design for exchanging substances between the surface and the body interior?

surface area relative to their volume than do larger objects of the same shape.

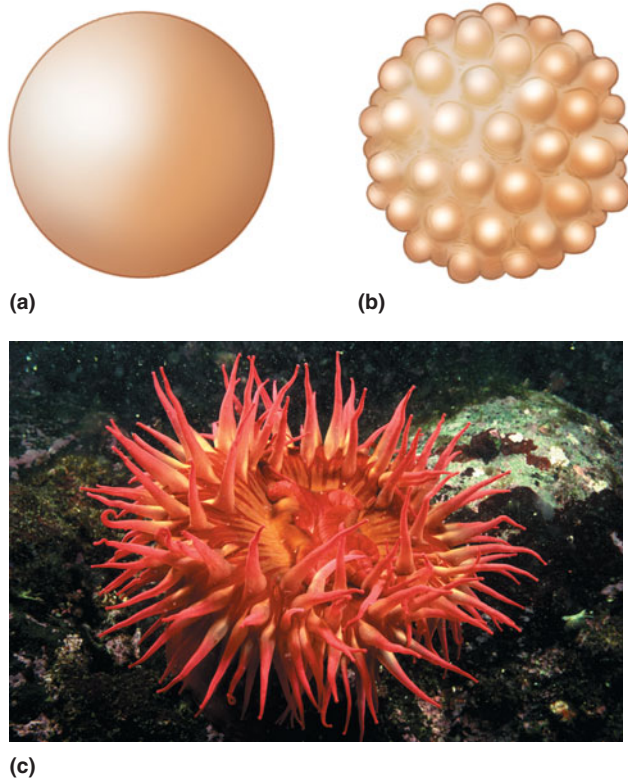
This relationship between surface area and volume imposes a critical constraint on the evolution of animals. The range of biochemical and physiological processes associated with basic metabolism (assimilation and respiration) require the transfer of materials and energy between the organism's interior and its exterior environment. For example, most organisms depend on oxygen ( $O_2$ ) to maintain the process of cellular respiration (see Section 6.1). Every living cell in the body therefore requires that oxygen diffuse into it to function and survive. Oxygen is a relatively small molecule that readily diffuses across the cell surface; in a matter of seconds, it can penetrate into a millimeter of living tissue. So if we imagine a spherically shaped organism that is 1 mm in radius, the center of this tiny spherical organism is close enough to the surface that as oxygen is used up in the process of respiration, it will be replenished by a steady diffusion from the surface in contact with the external environment (air or water).

Now imagine a spherical organism with the radius of a golf ball: approximately 21 cm. It would now take over an hour for oxygen to diffuse into the center. Although the layers of cells just below the surface would receive adequate oxygen, the continuous depletion of oxygen as it diffuses toward the center, and the greater distance over which oxygen needs to diffuse, would result in the death of the interior cells (and eventually the organism) due to oxygen depletion.

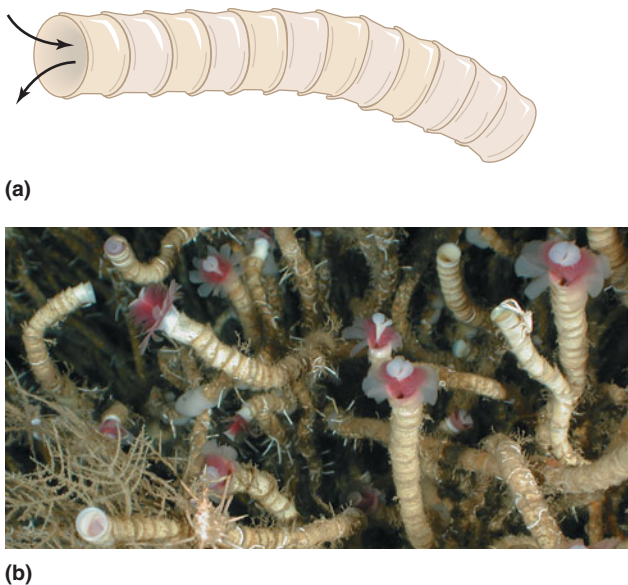
The problem is that, as the size (radius) of the organism increases, the surface area of the body across which oxygen diffuses into the organism decreases relative to the interior volume of the body that requires the oxygen (the  $SA/V$  ratio decreases as shown in Figure 7.2). So how can this constraint be overcome so that larger organisms can maintain an adequate flow of oxygen to the entire interior of the body?

One solution is to change the shape. By creating a more complex, convoluted or wrinkled surface as shown in Figure 7.3, we can increase the surface area for an object having the same volume as the golf-ball-shaped organism. The difference is that now (1) no point on the interior of the organism is greater than a few millimeters from the surface, and (2) the total surface area over which oxygen can diffuse is much greater.

Another solution to the problem is to actively transport oxygen into the interior of the body. Many of the smallest animals have a tubelike shape with a central chamber (Figure 7.4). These animals draw water into their interior chamber (tube), allowing for the diffusion of oxygen and essential nutrients into the interior cells. Once again, the end result is to increase the surface area for absorption (diffusion) relative to the volume ( $SA/V$ ), assuring that every point (cell) in the interior is close enough to the surface to allow for the diffusion of oxygen. This is a workable solution for smaller organisms; but as size increases, a more complex network of transport vessels (tubes) is needed for oxygen to reach every point in the body.



**Figure 7.3** One way to increase the ratio of surface area to volume ( $SA:V$ ) for an object of a given size (volume) is to alter the shape. Objects (a) and (b) have the same volume; but by creating a more complex, convoluted surface, object (b) has a much greater surface area (and  $SA:V$ ) than does object (a). Note the similarity between the body form of the sea anemone (c) and object (b).



**Figure 7.4** (a) A tube-shaped body with a central chamber greatly increases the surface area of an organism, providing a greater exchange surface with the external environment and reducing the distance from the surface to any point within the body interior. Note the similarity in design of (a) with that of tube-shaped organisms such as the tube worms shown in (b).

Much of the shape of larger organisms is governed by the transport of oxygen and other essential substances to cells in the interior of the body. To achieve this end, animals have evolved a complex set of anatomical structures. Lungs function as interior chambers that bring oxygen close to blood vessels, where it can be transferred to molecules of hemoglobin for transport throughout the body. A circulatory system with a heart functioning as a pump assures that oxygen-containing blood is actively transported into the minute vessels or capillaries that permeate all parts of the body. These complex systems increase the surface area for exchange, assuring that all cells in the body are well within the maximum distance over which oxygen can diffuse at the rate necessary to support cellular respiration.

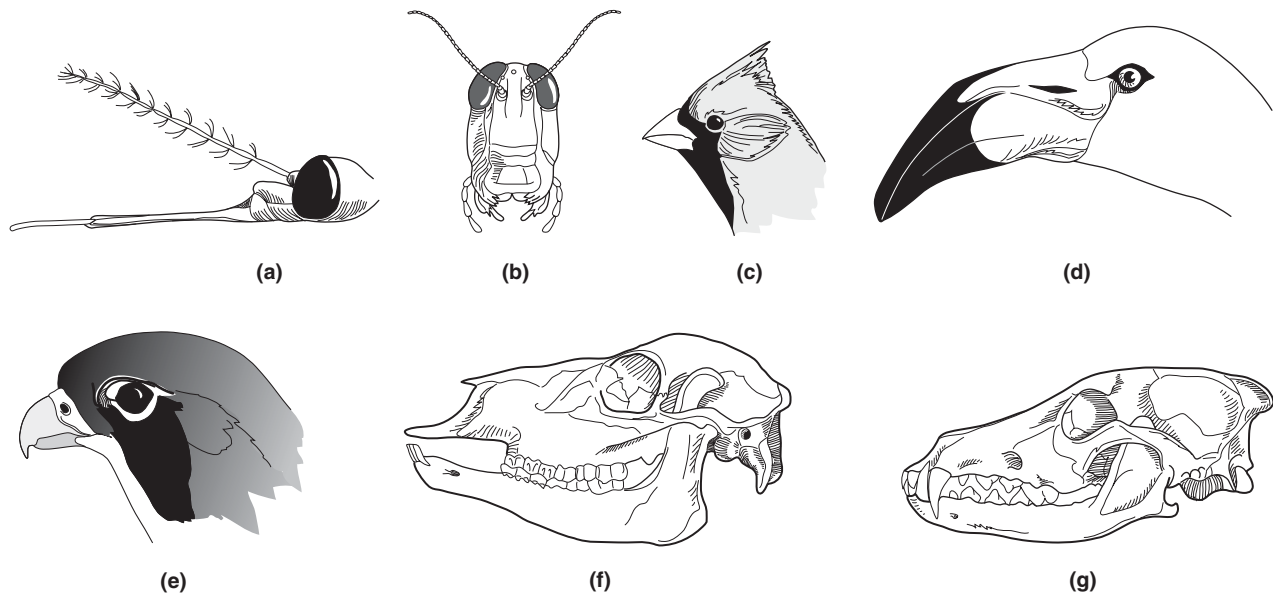
The same constraints on body size apply to the wide range of metabolic processes that require the exchange of materials and energy between the external environment and the interior of the organism. Carbon and other essential nutrients must be taken in through a surface. The food canal (digestive system) in most animals is a tube in which the process of digestion occurs and through which dissolved substances must be absorbed into the circulatory system for transport throughout the body. In the smallest of animals, such as the Bryozoa (see Figure 7.1) or tube worms (Figure 7.4b), the central chamber into which water is drawn also functions as the food canal, where digestion occurs and substances are absorbed. Waste products then exit through the opening as water is expelled. In larger animals, the food canal is a tube extending from the mouth to the anus (Section 7.2). As food travels through the tube it is broken down, and essential nutrients and amino acids are absorbed and transported into the circulatory system. The greater the surface area of the food canal, the greater its ability to absorb food. Because surface area increases as the square of length, the larger the animal (which increases as a cube), the greater the surface area of its food canal must be to maintain a constant ratio of surface area to volume.

From these simple examples, it should be clear that increasing body size requires complex changes in the organism's structure. These changes represent adaptations that maintain the relationship between the volume (or mass) of living cells that must be constantly supplied with essential resources from the outside environment and the surface area through which these exchanges occur.

We will examine various adaptations relating to the ability of animals to maintain the exchange of essential nutrients (food), oxygen, water, and thermal energy (heat) with the external environment. We also consider how those adaptations are constrained by both body size and the physical environments in which the animals live.

## 7.2 Animals Have Various Ways of Acquiring Energy and Nutrients

The diversity of potential energy sources in the form of plant and animal tissues requires an equally diverse array of physiological, morphological, and behavioral characteristics that enable animals to acquire (Figure 7.5) and assimilate these



**Figure 7.5** Mouthparts reflect how organisms obtain their food. (a) Piercing mouthparts of a mosquito. (b) Chewing mouthparts of a grasshopper. (c) Strong, conical bill of a seed-eating bird. (d) Straining bill of a flamingo. (e) Tearing beak of a hawk. (f) Grinding molars of an herbivore, a deer. (g) Canine and shearing teeth of a carnivorous mammal, the coyote.

resources. There are many ways to classify animals, based on the resources they use and how they exploit them. The most general of these classifications is the division based on how animals use plant and animal tissues as sources of food. Animals that feed exclusively on plant tissues are classified as **herbivores**. Those that feed exclusively on the tissues of other animals are classified as **carnivores**, whereas those that feed on both plant and animal tissues are called **omnivores**. In addition, animals that feed on dead plant and animal matter, called detritus, are detrital feeders, or **detritivores** (see Chapter 22). Each of these four feeding groups has characteristic adaptations allowing it to exploit its particular diet.

### Herbivory

Because plants and animals have different chemical compositions, the problem facing herbivores is how to convert plant tissue to animal tissue. Animals are high in fat and proteins, which they use as structural building blocks. Plants are low in proteins and high in carbohydrates—much of it in the form of cellulose and lignin in cell walls—which have a complex structure and are difficult to break down (see Chapter 22). Nitrogen is a major constituent of protein. In plants, the ratio of carbon to nitrogen is about 50 to 1. In animals, the ratio is about 10 to 1.

Herbivores are categorized by the type of plant material they eat. Grazers feed on leafy material, especially grasses. Browsers feed mostly on woody material. Granivores feed on seeds, and frugivores eat fruit. Other types of herbivorous animals, such as avian sapsuckers (*Sphyrapicus* spp.) and sucking insects such as aphids, feed on plant sap; hummingbirds, butterflies, and a variety of moth and ant species feed on plant nectar (nectivores).

Grazing and browsing herbivores, with some exceptions, live on diets high in cellulose. In doing so, they face several

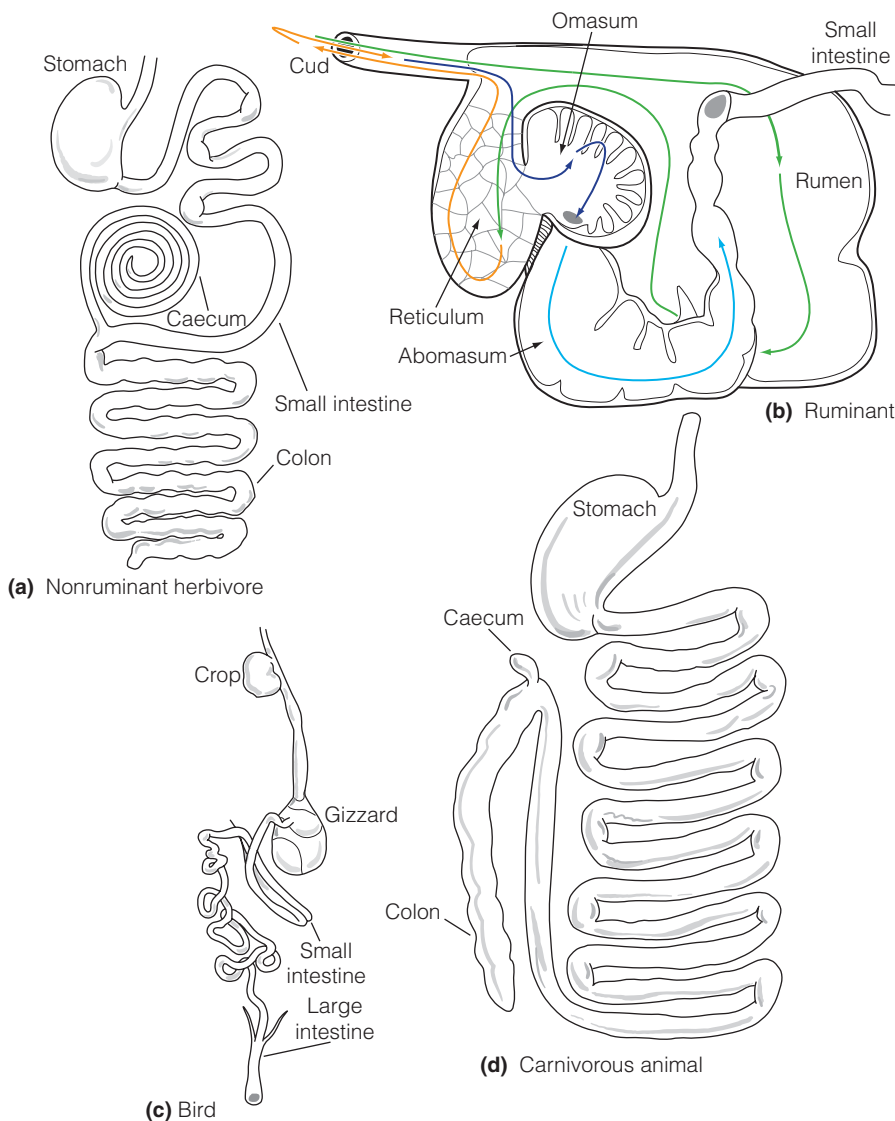
dietary problems. Their diets are rich in carbon, but low in protein. Most of the carbohydrates are locked in indigestible cellulose, and the proteins exist in chemical compounds. Lacking the enzymes needed to digest cellulose, herbivores depend on specialized bacteria and protozoa living in their digestive tracts. These bacteria and protozoans digest cellulose and proteins, and they synthesize fatty acids, amino acids, proteins, and vitamins. For most vertebrates, bacteria and protozoans concentrate in the foregut (from mouth to intestines) or hindgut (intestines; Figure 7.6a). In this anaerobic (oxygen free) environment, aerobic respiration is replaced by fermentation, a form of anaerobic respiration (see Section 6.1 for a discussion of aerobic and anaerobic respiration). **Fermentation**, which converts sugars to inorganic acids and alcohols in the absence of oxygen, is a less efficient process than aerobic respiration.

In herbivorous insects, bacteria and protozoans inhabit the hindgut. Some species of cellulose-consuming wood beetles and wasps depend on fungi. These insects carry fungal spores with them externally when they invade new wood.

Ruminants, such as cattle and deer, are exemplary cases of herbivores anatomically specialized for digesting cellulose. They have a highly complex digestive system consisting of a four-compartment stomach—the rumen (from which the group gets its name), reticulum, omasum, and abomasum (or true stomach; Figure 7.6b)—and a long intestine. The rumen and reticulum, inhabited by anaerobic bacteria and protozoans, function as fermentation vats. These microbes break down the cellulose into usable nutrients. Ruminants have highly developed salivary glands that excrete substances to regulate acidity (pH) and chemistry in the rumen.

As ruminants graze, they chew their food hurriedly. The food material descends to the rumen and reticulum, where it is softened to a pulp by the addition of water, kneaded by





**Figure 7.6** (a) Digestive tract of a nonruminant herbivore, characterized by a long intestine and well-developed caecum. (b) The ruminant's four-compartment stomach consists of the rumen, reticulum, omasum, and abomasum. Food enters the rumen and the reticulum. The ruminant regurgitates fermented material (cud) and rechews it. Finer material enters the reticulum and then the omasum and abomasum. Coarser material reenters the rumen for further fermentation. (c) The digestive tract of a bird with a crop and gizzard (the stomach). (d) The relatively simple digestive tract of a carnivorous mammal consists of the esophagus, stomach (collectively the foregut), small intestine, and a small caecum and large intestine (collectively the hindgut).

muscular action, and fermented by bacteria. At leisure, the animals regurgitate the food, chew it more thoroughly to reduce plant particle size (thus making it more accessible to microbes), and swallow it again. The mass again enters the rumen. Finer material moves into the reticulum. Contractions force the material into the third compartment, or omasum, where it is further digested and finally forced into the abomasum, or true glandular stomach.

The digestive process carried on by the microorganisms in the rumen produces fatty acids. These acids rapidly absorb through the wall of the rumen into the bloodstream, providing the ruminant with a major source of food energy. Part of the material in the rumen converts to methane, which is expelled from the body, and part is converted into compounds that can be used directly as food energy. To recapture still more of the energy and nutrients, the ruminant digests many of the microbial cells in the abomasum. Further bacterial action breaks down complex carbohydrates into sugars. In addition to carrying on fermentation, the bacteria synthesize B-complex vitamins and amino acids.

Most of the digestion in ruminants occurs in the foregut. Among nonruminants, such as rabbits and horses, digestion takes place—less efficiently—in the hindgut. Nonruminant vertebrate herbivores, such as horses, have simple stomachs, long intestinal tracts that increase surface area and slow the passage of food through the gut, and a well-developed caecum, which is a pouch attached to the colon of the intestine and is where fermentation takes place (Figure 7.6a).

Lagomorphs—rabbits, hares, and pikas—resort to a form of coprophagy, the ingestion of fecal material for further extraction of nutrients. Part of ingested plant material enters the caecum (see Figure 7.6a), and part enters the intestine to form dry pellets. In the caecum, microorganisms process the ingested material and expel it into the large intestine and then out of the body as soft, green, moist pellets. Lagomorphs reingest the soft pellets, which are much higher in protein and lower in crude fiber than the hard fecal pellets. The coprophagy recycles 50 to 80 percent of feces. The reingestion is important because the pellets, functioning as “external rumen,” provide bacterially synthesized B vitamins

and ensure a more thorough digestion of dry material and better use of protein. Coprophagy is widespread among the detritus-feeding animals, such as wood-eating beetles and millipedes that ingest pellets after they have been enriched by microbial activity.

Seed-eating birds—gallinaceous (chicken-like) birds, pigeons, doves, and many species of songbirds—have three separate chambers. The first chamber is a pouch in the esophagus called the crop, which is a reservoir for food that passes on to the stomach (Figure 7.6c). The stomach secretes enzymes to begin digestion. The food then passes to the gizzard, which functions as a powerful grinding organ. Birds assist the grinding action of the gizzard by swallowing small pebbles and gravel, or grit.

Among marine fish, herbivorous species are small and typically inhabit coral reefs. Characterized by high diversity (many different species), they make up about 25 to 40 percent of the fish biomass about the reefs. These herbivorous fish feed on algal growth that, unlike the food of terrestrial herbivores, lacks lignin and other structural carbon compounds that are more difficult to digest. The fish gain access to the nutrients inside the algal cells through one or more of four basic types of digestive mechanisms. In some fish with thin-walled stomachs, low stomach pH (acidic) weakens algal cell walls and allows digestive enzymes access to the cell contents. Fish having gizzardlike stomachs can ingest inorganic material that mechanically breaks down algal cells to release nutrients. Some reef fish have specialized jaws that shred or grind algal material before it reaches the intestine. Other fish depend on microbial fermentation in the hindgut to assist in breaking down algal cells. These four types are not mutually exclusive. Some marine herbivores may combine low stomach pH or grinding and shredding with microbial fermentation in the hindgut.

### Carnivory

Herbivores are the energy source for carnivores—the flesh eaters. Unlike herbivores, carnivores are not faced with problems relating to digesting cellulose or to the quality of food. Because the chemical composition of the flesh of prey and the flesh of predators is quite similar, carnivores encounter no problem in digesting and assimilating nutrients from their prey. Their major problem is obtaining enough food.

Lacking the need to digest complex cellulose compounds, carnivores have short intestines and simple stomachs (Figure 7.6d). In mammalian carnivores, the stomach is little more than an expanded hollow tube with muscular walls. It stores and mixes foods, adding mucus, enzymes, and hydrochloric acid to speed digestion. In carnivorous birds such as hawks and owls, the gizzard is little more than an extendable pocket with reduced muscles in which digestion, started in the anterior stomach, continues. In hawks and owls, the gizzard acts as a barrier against the hair, bones, and feathers that these birds regurgitate and expel from the mouth as pellets.

### Omnivory

Omnivory includes animals that feed on both plants and animals. The food habits of many omnivores vary with the seasons, stages in the life cycle, and their size and growth rate. The red fox (*Vulpes vulpes*), for example, feeds on berries, apples, cherries, acorns, grasses, grasshoppers, crickets, beetles, and small rodents. The black bear (*Ursus americanus*) feeds heavily on vegetation—buds, leaves, nuts, berries, tree bark—supplemented with bees, beetles, crickets, ants, fish, and small-to medium-sized mammals.

## 7.3 Animals Have Various Nutritional Needs

Animals require a variety of mineral elements (Table 7.1 on the next page) and amino acids. Of the 20 amino acids required to make proteins, most animal species can synthesize about half of them, as long as their diet includes organic nitrogen. The remaining ones, termed essential amino acids, must be supplied by the diet. These nutritional needs differ little among vertebrates and invertebrates. Insects, for example, have the same dietary requirements as vertebrates, although they need more potassium, phosphorus, and magnesium and less calcium, sodium, and chlorine than vertebrates do. The ultimate source of most of these nutrients is plants. For this reason, the quantity and quality of plants affect the nutrition of herbivorous consumers. When food is scarce, consumers may suffer from acute malnutrition, leave the area, or starve. In other situations there may be enough food to relieve hunger, but its low quality affects reproduction, health, and longevity.

The highest-quality plant food for herbivores, vertebrate and invertebrate, is high in nitrogen in the form of protein. As the nitrogen content of their food increases, the animals' assimilation of plant material improves, increasing growth, reproductive success, and survival. Nitrogen is concentrated in the growing tips, new leaves, and buds of plants. Its content declines as leaves and twigs mature and become senescent. Herbivores have adapted to this period of new growth. Herbivorous insect larvae are most abundant early in the growing season, and they complete their growth before the leaves mature. Many vertebrate herbivores, such as deer, give birth to their young at the start of the growing season, when the most protein-rich plant foods will be available for their growing young.

Although availability and season strongly influence food selection, both vertebrate and invertebrate herbivores do show some preference for the most nitrogen-rich plants, which they probably detect by taste and odor. For example, beavers show a strong preference for willows (*Salix* spp.) and aspen (*Populus* spp.), two species that are high in nitrogen content. Chemical receptors in the nose and mouth of deer encourage or discourage consumption of certain foods. During drought, nitrogen-based compounds are concentrated in certain plants, making them more attractive and vulnerable to herbivorous insects. However, preference for certain plants means little if they are unavailable. Food selection by herbivores is an interaction among quality, preference, and availability (see Field Studies: Martin Wikelski).

**Table 7.1** Essential Minerals in Animals

Element	Role
Carbon (C) Hydrogen (H) Oxygen (O) }	Basic constituents of all organic matter.
Nitrogen (N)	
Calcium (Ca)	
Phosphorus (P)	Necessary for energy transfer; major component of nuclear material of cells; acid–base balance; bone and tooth formation.
Magnesium (Mg)	Essential for maximum rates of enzymatic reactions in cells; enzyme activation.
Sulfur (S)	Basic constituent of protein.
Sodium (Na)	Maintenance of acid–base balance, osmotic homeostasis, formation and flow of gastric and intestinal secretions, nerve transmission, lactation, growth, and maintenance of body weight.
Potassium (K)	Involved in synthesis of protein, growth, and carbohydrate metabolism.
Chlorine (Cl)	Role is similar to that of sodium, which it is associated with in salt (NaCl).
Fluorine (F)	Maintenance of tooth (and probably bone) structure.
Iron (Fe)	Component of respiratory pigment hemoglobin in blood of vertebrates and hemolymph of insects; electron carriers in energy metabolism.
Manganese (Mn)	Enzyme systems.
Selenium (Se)	Closely related to vitamin E in function.
Cobalt (Co)	Required by ruminants for the synthesis of vitamin B <sub>12</sub> by bacteria in the rumen.
Copper (Cu)	Involved in iron metabolism; melanin synthesis; electron transport.
Molybdenum (Mo)	Enzyme systems.
Zinc (Zn)	Functions in several enzyme systems, especially the respiratory enzyme carbonic anhydrase in red blood cells, and in certain digestive enzymes.
Iodine (I)	Involved in thyroid metabolism.
Chromium (Cr)	Involved in glucose and energy metabolism.

The need for quality foods differs among herbivores. Ruminant animals, as already pointed out, can subsist on rougher or lower-quality plant materials because bacteria in the rumen can synthesize such requirements as vitamin B<sub>1</sub> and certain amino acids from simple nitrogen-based compounds. Therefore, the caloric content and the nutrients in a certain food might not reflect its real nutritive value for the ruminant. Nonruminant herbivores require a larger amount of complex proteins in their foods. Seed-eating herbivores exploit the concentration of nutrients in the seeds. Among the carnivores, quantity is more important than quality. Carnivores rarely have a dietary problem because they consume animals that have re-synthesized and stored protein and other nutrients from plants in their tissues.

## 7.4 Mineral Availability Affects Animal Growth and Reproduction

Mineral availability also appears to influence the abundance and fitness of some animals. One essential nutrient that is receiving attention is sodium, often one of the least available nutrients in terrestrial ecosystems. In areas of sodium deficiency in the soil, herbivorous animals face an inadequate supply of

sodium in their diets. The problem has been noted in Australian herbivores such as kangaroos, in African elephants (*Loxodonta africana*), in rodents, in white-tailed deer (*Odocoileus virginianus*), and in moose (*Alces alces*).

Sodium deficiency can influence the distribution, behavior, and physiology of mammals, especially herbivores. The spatial distribution of elephants across the Wankie National Park in central Africa appears to correlate closely with the sodium content of drinking water. The most elephants are found at water holes with the highest sodium content. Three herbivorous mammals—the European rabbit (*Oryctolagus cuniculus*), the moose, and the white-tailed deer—experience sodium deficiencies in parts of their range. In sodium-deficient areas in southwestern Australia, the European rabbit builds up reserves of sodium in its tissues during the nonbreeding season. These reserves become exhausted near the end of the breeding season, forcing the rabbits to graze selectively on sodium-rich plants, often to the point of depleting these plant populations.

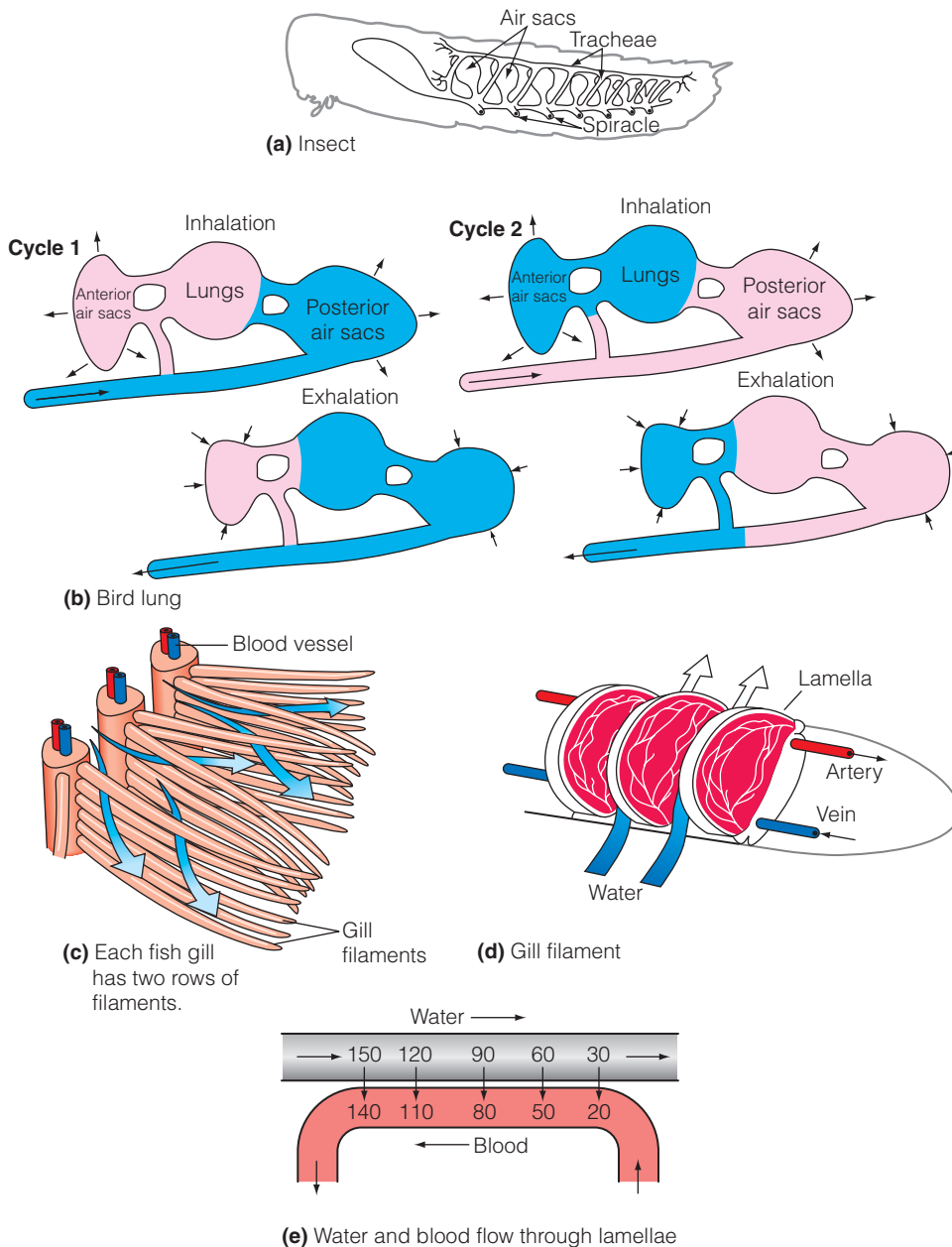
Ruminants face severe mineral deficiencies in spring. Attracted by the flush of new growth, deer, bighorn sheep (*Ovis canadensis*), mountain goats (*Oreamnos americanus*), elk (*Cervus elaphus*), and domestic cattle and sheep feed on new succulent growth of grass, but with high physiological costs.

Vegetation is much higher in potassium relative to calcium and magnesium in the spring than during the rest of the year. This high intake of potassium stimulates the secretion of aldosterone, the principal hormone that promotes sodium retention by the kidney. Although aldosterone stimulates sodium retention, it also facilitates the excretion of potassium and magnesium. Because concentrations of magnesium in soft tissues and skeletal stores are low in herbivores, these animals experience magnesium deficiency. This deficiency results in a rapid onset of diarrhea and often muscle spasms (tetany). The deficiency comes when mineral demands are high—late in gestation for females, and at the beginning of antler growth for male deer and elk. To counteract this mineral imbalance in the spring, large herbivores seek mineral licks—places in the landscape where animals concentrate to satisfy their mineral needs by eating mineral-rich soil.

## 7.5 Animals Require Oxygen to Release Energy Contained in Food

Animals obtain their energy from organic compounds in the food they eat; and they do so primarily through aerobic respiration, which requires oxygen (see Section 6.1). Oxygen is easily available in the atmosphere for terrestrial animals. However, for aquatic animals, oxygen may be limiting and its acquisition problematic (see Section 3.6).

Differences between terrestrial and aquatic animals in the means of acquiring oxygen reflect the availability of oxygen in the two environments. Minute terrestrial organisms take in oxygen by diffusion across the body surface. Insects have tracheal tubes that open to the outside through openings (or spiracles) on the body wall (Figure 7.7a). The tracheal tubes carry oxygen directly to the body cells.



**Figure 7.7** Respiratory systems. **(a)** The tracheal system and spiracles of an insect (grasshopper). Air enters the tracheal tubes through spiracles—openings on the body wall. **(b)** Gas exchange in the bird lung requires two cycles, each involving inhalation and exhalation. During the first inhalation, most of the air flows past the lungs into a posterior air sac. That air passes through the lungs upon exhalation, and the next inhalation ends up in the anterior air sac. At the same time, the posterior sacs draw in more air. This flow pattern allows oxygenated blood to leave the lungs with the highest possible amount of oxygen. **(c)** Fish obtain oxygen from water by their gills. Gill filaments have flattened plates called lamellae. **(d)** Blood flowing through capillaries within the lamella pick up oxygen from the water through a countercurrent exchange. Water flows across the lamellae in a direction opposite to the blood flow. **(e)** Blood entering the gills is low in oxygen. As it flows through the lamellae, it picks up more and more oxygen from the water. The water flowing in the opposite direction gradually loses more and more of its oxygen (numbers refer to  $O_2$  concentration).

## FIELD STUDIES

## Martin Wikelski

Department of Ecology and Evolutionary Biology, Princeton University,  
Princeton, New Jersey

The isolated archipelago of the Galápagos Islands off the western coast of South America is known for its amazing diversity of animal and plant life. It was the diversity of life on these islands that so impressed the young Charles Darwin and laid the foundations for his theory of natural selection (see Chapter 5). However, one inhabitant of the Galápagos fauna has consistently been met with revulsion by historic visitors: the marine iguana, *Amblyrhynchus cristatus* (Figure 1). Indeed, even Darwin himself commented on this “hideous-looking creature.”

Marine iguanas are widely distributed throughout the Galápagos Islands, and different populations vary dramatically in size (both length and weight). Due to these variations, many of the iguana populations were long considered separate species, yet modern genetic studies have confirmed that all of the populations are part of a single species. What could possibly account for this marked variation in body size among populations? This question has been central to the research of Princeton University ecologist Martin Wikelski. Studies by Wikelski and his colleagues during the past decade have revealed an intriguing story of the constraints imposed by variations in the environment of the Galápagos on the evolution of these amazing creatures.

In a series of studies, Wikelski and his colleagues have examined differences in body size between two populations of marine iguanas that inhabit the islands of Santa Fe and Genovesa. The populations of these two islands differ markedly in body size, with an average body length of 25 cm (maximum body weight of 900 g) for adult males on Genovesa as compared to 40 cm (maximum body



**Figure 1** The Galápagos marine iguana (*Amblyrhynchus cristatus*).

weight of 3500 g) for adult males on the island of Santa Fe. Wikelski hypothesized that these differences reflected energetic constraints on the two populations in the form of food supply.

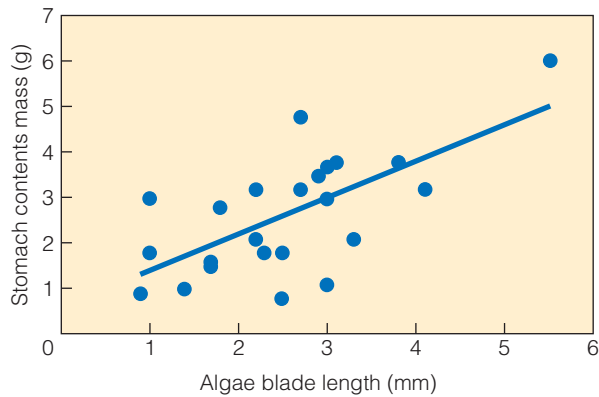
Marine iguanas are herbivorous reptiles that feed on submerged intertidal and subtidal algae (seaweed) along the rocky island shores, referred to as algae pastures. To determine the availability of food for iguana populations, Wikelski and colleagues measured the standing biomass and productivity of pastures in the tidal zones of these two islands. Their results show that the growth of algae pastures correlates with sea surface temperatures. Waters in the tidal zone off Santa Fe (the more southern island) are cooler than those off Genovesa, and as a result, both the length of algae plants and the productivity of pastures are much higher off Santa Fe.

By examining patterns of food intake and growth of marked individuals on the two islands, Wikelski was able to demonstrate that food intake limits growth rate and subsequent body size in marine iguanas, which in turn depends on the availability of algae (Figure 2). Body size differences between the two island populations can be explained by differences in food availability.

Temporal variations in climate and sea surface temperatures also influence food availability for the marine iguanas across the Galápagos Islands. Marine iguanas can live for up to 30 years, and environmental conditions can change dramatically within an individual's lifetime. El Niño events usually recur at intervals of three to seven years, but more were prevalent in the decade of the 1990s (see Section 3.8). During El Niño years in the Galápagos, sea surface temperatures increase from an average of 18°C to a maximum of 32°C as cold ocean currents and cold-rich upwellings are disrupted. As a result, green and red algal species—the preferred food of marine iguanas—disappear and are replaced by the brown algae, which the iguanas find hard to digest. Up to 90 percent of marine iguana populations on islands can die of starvation due to these environmental changes.

In studying patterns of mortality during the El Niño events of the 1990s, Wikelski observed the highest mortality rate among larger individuals. This higher mortality rate directly related to observed differences in foraging efficiency with body size. Wikelski and colleagues determined that although larger individuals have a higher daily intake of food, smaller individuals



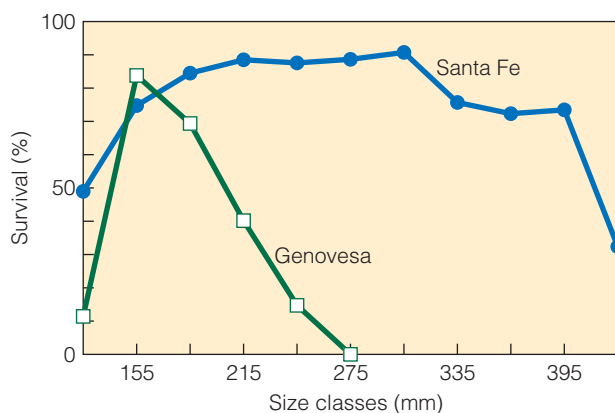


**Figure 2** The food intake (dry mass in stomach) for iguanas of a given length (200–250 mm) from both study islands increased with increasing length of the algae pasture in the intertidal zone.

(Adapted from Wikelski et al. 1997.)

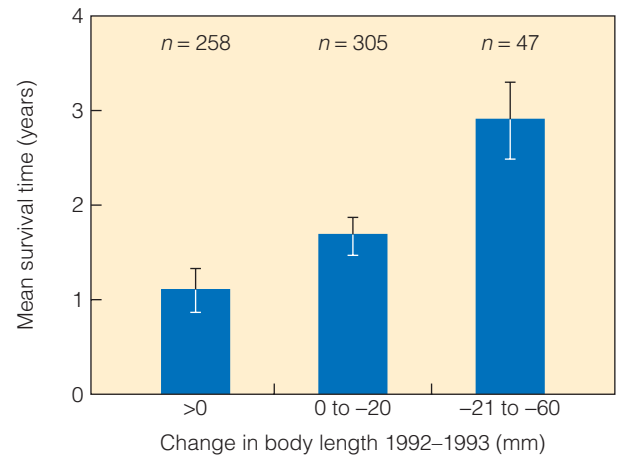
have a higher food intake per unit body mass, a result of higher foraging efficiency (food intake per bite per gram body mass). Large iguanas on both islands showed a marked decline in body mass during the El Niño events. The result is a strong selective pressure against large body size during these periods of food shortage (Figure 3).

Perhaps the most astonishing result of Wikelski's research is that the marine iguanas exhibit an unusual adaptation to the environmental variations caused by El Niño. Change in body length



**Figure 3** Survival of individually marked animals on Genovesa (squares) and Santa Fe (dots).

(Adapted from Wikelski and Trillmich 1997.)



**Figure 4** Relation between change in body length and survival time for adult iguanas on the island of Santa Fe during the 1997–1998 El Niño (ENSO) cycle. Values of  $n$  refer to sample size.

(Adapted from Wikelski 2000.) (Nature Publishing Group.)

is considered to be unidirectional in vertebrates, but Wikelski repeatedly observed shrinkage of up to 20 percent in the length of individual adult iguanas. This shrinking coincided with low food availability resulting from El Niño events.

Shrinking did not occur equally across all size classes. Wikelski found an inverse relationship between the initial body size of individuals and the observed change in body length during the period of food shortage—larger individuals shrank less than smaller individuals.

Shrinkage was found to influence survival. Large adult individuals that shrank more survived longer because their foraging efficiency increased and their energy expenditure decreased (Figure 4).

### Bibliography

Wikelski, M., and C. Thom. 2000. Marine iguanas shrink to survive El Niño. *Nature* 403:37–38.

Wikelski, M., V. Carrillo, and F. Trillmich. 1997. Energy limits on body size in a grazing reptile, the Galápagos marine iguana. *Ecology* 78:2204–2217.

1. Does the mortality of iguanas during El Niño events represent a case of natural selection? Which of the three models of selection best describes the pattern of natural selection?
2. If the iguanas could not “shrink” during the period of resource shortage, how do you think the El Niño events would influence natural selection?

Unable to meet demands through the direct diffusion of oxygen across the body surface, larger terrestrial animals (mammals, birds, and reptiles) have some form of lungs. Unlike tracheal systems that branch throughout the insect body, lungs are restricted to one location. Structurally, lungs have innumerable small sacs that increase surface area across which oxygen readily diffuses into the bloodstream. Amphibians take in oxygen through a combination of lungs and vascularized skin. Lungless salamanders are an exception; they live in a moist environment and take in oxygen directly through the skin.

In addition to lungs, birds have accessory air sacs that act as bellows to keep air flowing through the lungs as they inhale and exhale (Figure 7.7b). Air flows one way only, forming a continuous circuit through the interconnected system regardless of whether the bird is inhaling or exhaling. During inhalation, most of the air bypasses the lungs and enters the posterior air sac. Air then passes through the lungs to the anterior air sac; at the same time, the posterior air sac draws in more air.

In aquatic environments, organisms have to take in oxygen from the water or gain oxygen from the air in some way. Marine mammals such as whales and dolphins come to the surface to expel carbon dioxide and take in air containing oxygen to the lungs. Some aquatic insects rise to the surface to fill the tracheal system with air. Others, like diving beetles, carry a bubble of air with them when submerged. Held beneath the wings, the air bubble contacts the spiracles of the beetle's abdomen.

Minute aquatic animals, zooplankton, take up oxygen from the water by diffusion across the body surface. Fish, the major aquatic vertebrates, pump water through their mouth. The water passes through slits in the pharynx, flows over gills, and exits through the back of the gill covers (Figure 7.7c). The close contact with and the rapid flow of water over the gills allows for exchanges of oxygen and carbon dioxide between water and the gills (Figure 7.7d). Water passing over the gills flows in a direction opposite to that of blood, setting up a countercurrent exchange. As the blood flows through capillaries, it acquires more and more oxygen. It also encounters water that is more and more concentrated with oxygen because water is just beginning to pass over the gills. As water continues its flow, it encounters blood with lower oxygen concentration, aiding the uptake of oxygen through the process of diffusion (Figure 7.7e).

## 7.6 Regulation of Internal Conditions Involves Homeostasis and Feedback

In an ever-changing physical environment, organisms must maintain a fairly constant internal environment within the narrow limits required by their cells, organs, and enzyme systems. They need some means of regulating their internal environment relative to external conditions including body temperature, water balance, pH, and the amounts of salts in fluids and tissues. For example, the human body must maintain internal temperatures within a narrow range around 37°C. An increase

or decrease of only a few degrees from this range could prove fatal. The maintenance of a relatively constant internal environment in a varying external environment is called **homeostasis**.

Whatever the processes involved in regulating an organism's internal environment, homeostasis depends on negative feedback—meaning that when a system deviates from the normal or desired state, mechanisms function to restore the system to that state. The thermostat that controls the temperature in your home is an example of a negative feedback system. If we wish the temperature of the room to be 20°C (68°F), we set that point on the thermostat. When the temperature of the room air falls below that point, a temperature-sensitive device within the thermostat trips the switch that turns on the furnace. When the room temperature reaches the set point, the thermostat responds by shutting off the furnace. Should the thermostat fail to function properly and not shut off the furnace, then the furnace would continue to heat, the temperature would continue to rise, and the furnace would ultimately overheat, causing either a fire or a mechanical breakdown.

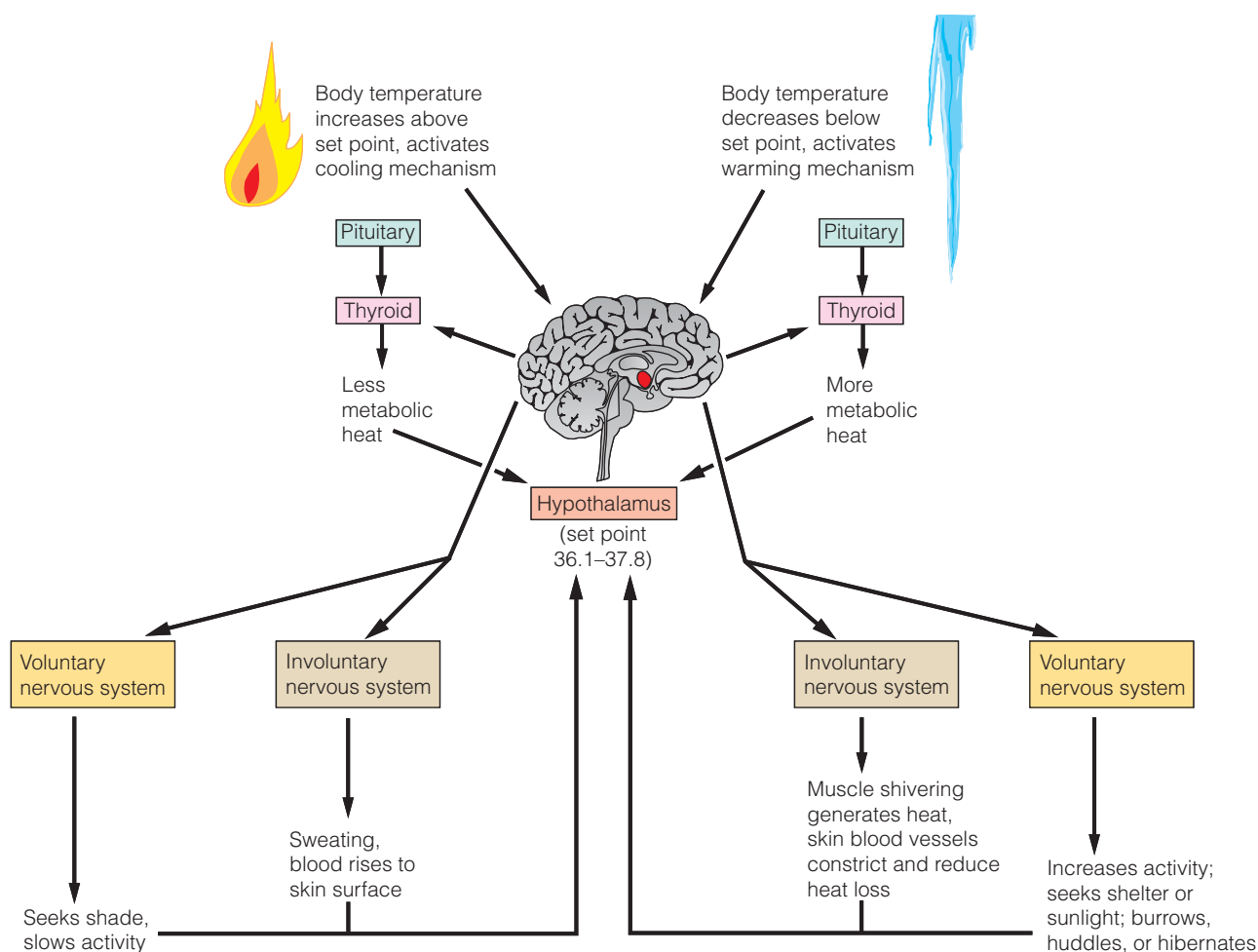
A key difference between mechanical and living systems is that in living systems the set point is not firmly fixed, as it often is in mechanical systems. Instead, organisms have a limited range of tolerances, called **homeostatic plateaus**. Homeostatic systems work within minimum and maximum values by using negative feedback to regulate activity above or below the set point. If the system deviates from that set point, a negative feedback response ensues—a control mechanism inhibits any strong movement away from the set point. Among animals, the control of homeostasis is both physiological and behavioral.

An example is temperature regulation in humans (Figure 7.8). The normal temperature, or set point, for humans is 37°C. When the temperature of the environment rises, sensory mechanisms in the skin detect the change. They send a message to the brain, which automatically relays the message to receptors that increase blood flow to the skin, induce sweating, and stimulate behavioral responses. Water excreted through the skin evaporates, cooling the body. When the environmental temperature falls below a certain point, another reaction takes place. This time, it reduces blood flow and causes shivering, an involuntary muscular exercise that produces more heat.

If the environmental temperature becomes extreme, the homeostatic system breaks down. When it gets too warm, the body cannot lose heat fast enough to maintain normal temperature. Metabolism speeds up, further raising body temperature, until death results from heatstroke. If the environmental temperature drops too low, metabolic processes slow down, further decreasing body temperature, until death by freezing ensues.

## 7.7 Animals Exchange Energy with Their Surrounding Environment

In principle, an animal's energy balance is the same as that described for a plant (see Section 6.6). Animals, however, differ significantly from plants in their thermal relations with the

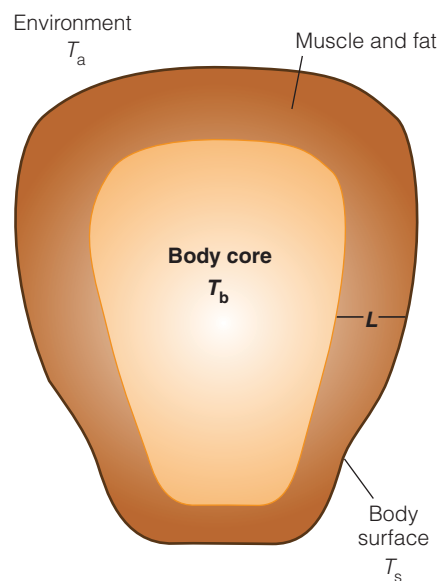


**Figure 7.8** Thermoregulation is an example of homeostasis in action. The hypothalamus in one's brain receives feedback or senses the temperature of blood arriving from the body core. If body core temperature rises, it responds accordingly in two ways, activating the autonomic (or involuntary) and voluntary nervous systems and the endocrine system.

environment. Animals can produce significant quantities of heat by metabolism, and their mobility allows them to seek out or escape heat and cold.

Body structure influences the exchange of heat between animals and the external environment. Consider a simple thermal model of an animal body (Figure 7.9). The interior or core of the body must be regulated within a defined range of temperature. In contrast, the temperature of the environment surrounding the animal's body varies. The temperature at the body's surface, however, is not the same as the air or water temperature in which the animal lives. Rather, it is the temperature at a thin layer of air (or water) called the boundary layer, which lies at the surface just above and within hair, feathers, and scales (see Section 6.6).

Therefore, body surface temperature differs from both the air (or water) and the core body temperatures. Separating the body core from the body surface are layers of muscle tissue and fat, across which the temperature gradually changes from the core temperature to the body surface temperature. This layer of insulation influences the organism's thermal conductivity; that is, the ability to conduct or transmit heat.



**Figure 7.9** Temperatures in an animal body. Body core temperature is  $T_b$ , environmental temperature is  $T_a$ , surface temperature is  $T_s$ , and  $L$  is the thickness of the outer layer of the body.



## QUANTIFYING ECOLOGY 7.1 Heat Exchange and Temperature Regulation

Internal temperature regulation is a crucial process in all animals. Whether endothermic or ectothermic, this regulation is a function of balancing inputs of heat, from both the external environment and metabolic processes (particularly in endothermic animals), with losses to the external environment. The total heat stored by the body of an organism ( $H_{\text{stored}}$ ) can be represented by the sum of these inputs and losses:

$$H_{\text{stored}} = H_{\text{metabolism}} + H_{\text{conduction}} + H_{\text{convection}} + H_{\text{radiation}} + H_{\text{evaporation}}$$

The value of heat energy from metabolic processes ( $H_{\text{metabolism}}$ ) will always be positive, representing a gain of heat energy from respiration. In contrast, the transfer of heat between the organism and the external environment through the processes of conduction, convection, radiation, and evaporation can be either positive (gain of heat energy) or negative (loss of heat energy). Physical laws governing the transfer of energy determine these inputs and outputs of heat energy, in which energy always travels from hot to cold—from regions of higher energy to regions of lower energy content. [Heat transfer through the process of evaporation (latent heat exchange) is discussed in Chapter 3 (see Section 3.2), and the process of radiative heat transfer is covered in Chapter 2.] The other two forms of energy transfer, conduction and convection, are particularly important in the energy balance and thermal regulation of animals in both terrestrial and aquatic environments.

**Conductive heat transfer** is the movement of heat through solids or between two solids that are in direct contact. Conduction occurs when heat is transferred between the core and the surface of an organism's body (see Section 7.7 and Figure 7.9). As in all forms of heat transfer, energy flows from the region of high temperature to the region of low temperature. The rate of conductive heat transfer ( $H_{\text{conduction}}$ ) through a solid is described by the following equation:

$$H_{\text{conduction}} = -k \times \Delta T / \Delta z$$

Rate of heat transfer in units of watts per m<sup>2</sup> (W/m<sup>2</sup>)

Difference in temperature between the two points of transfer (K)

Thermal conductivity of object in units of watts per unit length (m), per degree temperature (K) (W/m/K)

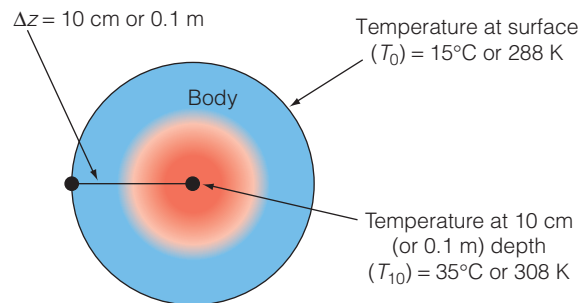
Distance or length (m) over which the heat energy is traveling

The symbol  $\Delta$  refers to “a difference.” Therefore,  $\Delta T$  is the difference in temperature between the two regions (such as the body core and surface), and  $\Delta z$  is the difference in position ( $z$ )—the length or distance between the two points of transfer. The **thermal conductivity** of an object ( $k$ ) describes its ability

to transfer heat. Various factors, including its density and specific heat, will influence an object's thermal conductivity. Forms of insulation—such as fat, fur, or feathers—will decrease an organism's thermal conductivity with the surroundings.

As an example of conduction, consider the transfer of heat energy through an organism. In an endothermic animal, the core body temperature is maintained by metabolic processes, and heat will be transferred from the core to the body surface, where temperatures are lower. If we assume that the thermal conductivity ( $k$ ) of the body is 1.25 (W/m/K) and the distance  $\Delta z$  from the core to the surface is 10 cm, we can calculate the heat transfer between the core and the surface in Figure 1.

If the value of  $H_{\text{conduction}}$  is positive, then the direction of flow is outward. If the value is negative, the flow of heat would be inward, from the surface to the body core. This would be the case for a reptile basking on a warm surface such as a rock heated by the Sun. We can use the same approach to calculate the transfer of heat between two objects in contact, such as the reptile and the rock.



$$H_{\text{conduction}} = -k \times (T_{10} - T_0) / \text{length}$$

$$H_{\text{conduction}} = -1.25 \times (308 - 288) / 0.1$$

$$H_{\text{conduction}} = 250 \text{ W/m}^2$$

**Figure 1** Daily variation in human body temperature.

The transfer of heat energy between a solid and a moving fluid (air or water) is called **convection**. As with conduction, the rate of convective heat transfer ( $H_{\text{convection}}$ ) is a function of the temperature gradient between the object and the surrounding environment, in this case the fluid:

$$H_{\text{convection}} = -h A (T_s - T_a)$$

Heat transferred in units of watts (W)

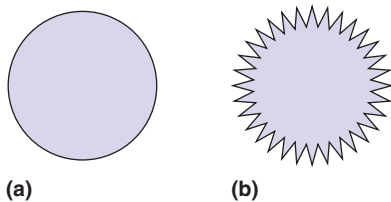
Heat transfer area of the surface (m<sup>2</sup>)

Heat transfer coefficient in units of watts per unit area (m<sup>2</sup>), per degree of temperature (K) (W/m<sup>2</sup>/K)

Temperature (K) difference between the surface of the object ( $T_s$ ) and the surrounding medium ( $T_a$ )

The **heat transfer coefficient** represents how easily heat can move through the fluid. The value will depend on the type of fluid (gas or liquid) and its flow (velocity and viscosity) and thermal (specific heat) properties. For example, for a given gas or liquid, the heat transfer coefficient increases with the flow rate (such as wind speed).

An object's surface area relative to its volume plays an important role in convective heat transfer. Because heat energy being emitted by an object is transferred to the surrounding fluid across its surface area, the greater the surface area per volume (or mass), the faster heat is transferred. As discussed in Section 7.11, the result is that smaller bodies with their greater ratio of surface area to volume will exchange heat more readily than will larger bodies having a lower surface area per volume. Shape as well as size, however, influences the exchange of heat between a body and the surrounding environment. For example, the cross sections of the two objects in Figure 2 are the same size when measured as volume. But object (b) has a much greater surface area than (a) does, so object (b) has a greater capacity for exchanging heat energy with the surrounding fluid. This relationship between shape and heat transfer is important in the energy and thermal balance of organisms, especially poikilotherms (Chapters 6 and 7). It represents an important adaptive constraint in the evolution of both plants and animals.



**Figure 2**

1. Figure 6.11 illustrates the differences observed in the size and shape of leaves on the same plant that are exposed to full sunlight and shade. How might the differences in leaf morphology shown in Figure 6.11 influence the ability of these two leaves to dissipate heat through convection?
2. Given the importance of conduction and convection in regulating the body temperature of poikilotherms, how do you think general patterns of body shape might differ between homeotherms and poikilotherms of the same body mass (size)?

To maintain its core body temperature, the animal has to balance gains and losses of heat to the external environment. It does so by changes in metabolic rate and by heat exchange. The core area exchanges heat (produced by metabolism and stored in the body) with the surface area by conduction, the transfer of heat through a solid. Influencing this exchange are the thickness and conductivity of fat and the movement of blood to the surface. The surface layer exchanges heat with the environment by convection, conduction, radiation, and evaporation—all influenced by the characteristics of skin and body covering (see Quantifying Ecology 7.1: Heat Exchange and Temperature Regulation for a quantitative discussion of heat exchange and thermal regulation).

External environmental conditions heavily influence how animals confront thermal stress. Because air has a lower specific heat and absorbs less solar radiation than water does, terrestrial animals face more radical and dangerous changes in their thermal environment than do aquatic animals. Incoming solar radiation can produce lethal heat. The loss of radiant heat to the air, especially at night, can result in deadly cold. Aquatic animals live in a more stable energy environment, but they have a lower tolerance for temperature changes (Chapter 3).

## 7.8 Animals Fall into Three Groups Relative to Temperature Regulation

To regulate temperature, some groups of animals generate heat metabolically. This internal heat production is **endothermy**, meaning “heat from within.” The result is **homeothermy** (from the Greek *homeo*, “the same”), or maintenance of a fairly constant temperature independent of external temperatures. Another group of animals acquires heat primarily from the external environment. Gaining heat from the environment is **ectothermy**, meaning “heat from without.” Unlike endothermy, ectothermy results in a variable body temperature. This means of maintaining body temperature is **poikilothermy** (from the Greek *poikilos*, “manifold” or “variegated”).

Birds and mammals are notable **homeotherms**, popularly called warm blooded. Fish, amphibians, reptiles, insects, and other invertebrates are **poikilotherms**, often called cold blooded because they can be cool to the touch. A third group regulates body temperature by endothermy at some times and ectothermy at other times. These animals are **heterotherms** (from *hetero*, “different”). Heterotherms employ both endothermy and ectothermy, depending on environmental situations and metabolic needs. Bats, bees, and hummingbirds belong to this group.

The terms *homeotherm* and *endotherm* are often used synonymously, as are *poikilotherm* and *ectotherm*; but there is a difference. *Ectotherm* and *endotherm* emphasize the mechanisms that determine body temperature. The other two terms, *homeotherm* and *poikilotherm*, represent the nature of body temperature (either constant or variable).

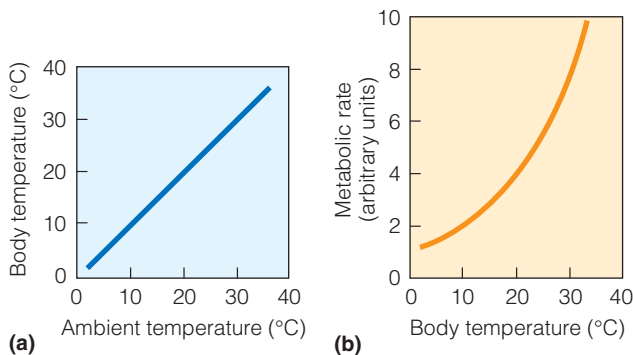
## 7.9 Poikilotherms Depend on Environmental Temperatures

Poikilotherms, such as amphibians, reptiles, and insects, gain heat easily from the environment and lose it just as fast. Environmental sources of heat control the rates of metabolism and activity among most poikilotherms. Rising temperatures increase the rate of enzymatic activity, which controls metabolism and respiration (Figure 7.10). For every 10°C rise in temperature, the rate of metabolism in poikilotherms approximately doubles. They become active only when the temperature is sufficiently warm. Conversely, when ambient temperatures fall, metabolic activity declines and poikilotherms become sluggish.

Poikilotherms have an upper and lower thermal limit that they can tolerate. Most terrestrial poikilotherms can maintain a relatively constant daytime body temperature by behavioral means, such as seeking sunlight or shade. Lizards and snakes, for example, may vary their body temperature by no more than 4°C to 5°C (Figure 7.11); body temperature of amphibians may vary by 10°C when active. The range of body temperatures at which poikilotherms carry out their daily activities is the **operative temperature range**.

Poikilotherms have a low metabolic rate and a high ability to exchange heat between body and environment (high conductivity). During normal activities, poikilotherms carry out aerobic respiration. Under stress and while pursuing prey, the poikilotherms' inability to supply sufficient oxygen to the body requires that much of their energy production come from anaerobic respiration, in which oxygen is not used. This process depletes stored energy and accumulates lactic acid in the muscles. (Anaerobic respiration can occur in the muscles of marathon runners and other athletes, causing leg cramps.) Anaerobic respiration metabolism limits poikilotherms to short bursts of activity and results in rapid physical exhaustion.

Aquatic poikilotherms, when completely immersed, maintain no appreciable difference between their body temperature and the surrounding water. Aquatic poikilotherms are poorly

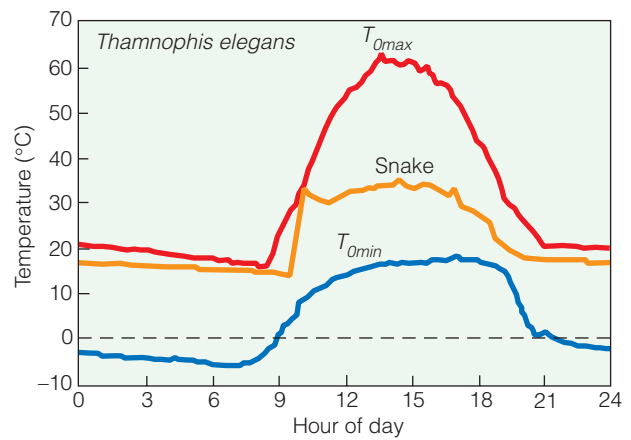


**Figure 7.10** Relationship among body temperature, resting metabolic rate, and ambient temperature in poikilotherms.

(a) Body temperature is a function of ambient temperature.

(b) Resting metabolism is a function of body temperature.

(Adapted from Hill and Wyse 1989.)

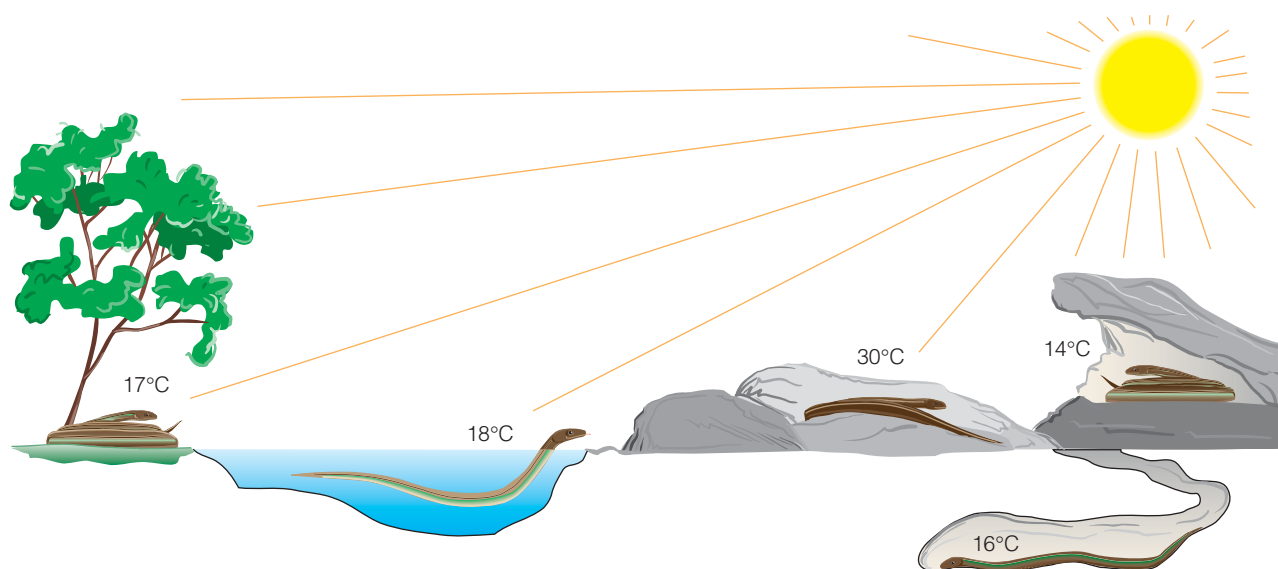


**Figure 7.11** Daily temperature variation in the western terrestrial garter snake (*Thamnophis elegans*) within its operative temperature range ( $T_{0min}$  and  $T_{0max}$ ). The snake maintains a fairly constant temperature during the daylight hours.

(Adapted from Peterson et al. 1993.)

insulated. Any heat produced in the muscles moves to the blood and on to the gills and skin, where heat transfers to the surrounding water by convection. Exceptions are sharks and tunas, which possess a **rete**, a blood circulation system that allows them to keep internal temperatures higher than external ones (see Section 7.14). Because seasonal water temperatures are relatively stable, fish and aquatic invertebrates maintain a constant temperature within any given season. They adjust seasonally to changing temperatures by acclimation, or physiological adjustment to a change in environmental conditions (see Chapter 5, Section 5.14 and Figure 5.20). They undergo these physiological changes over a period of time. Upper and lower limits of tolerance to temperature vary among the poikilotherm species. If they live at the upper end of their tolerable thermal range, poikilotherms will adjust their physiology at the expense of being able to tolerate the lower range. Similarly, during periods of cold, the animals shift physiological functions to a lower temperature range that would have been debilitating before. Because water temperature changes slowly through the year, aquatic poikilotherms can make adjustments slowly. Fish are highly sensitive to rapid change in environmental temperatures. If they are subjected to a sudden temperature change (faster than biochemical and physiological adjustments can occur), they may die of thermal shock.

To maintain a tolerable and fairly constant body temperature during active periods, terrestrial and amphibious poikilotherms rely on behavioral thermoregulation. They seek out appropriate microclimates (Figure 7.12). Insects such as butterflies, moths, bees, dragonflies, and damselflies bask in the sun to raise their body temperature to the level necessary to become highly active. When they become too warm, these animals seek the shade. Semiterrestrial frogs, such as bullfrogs (*Rana catesbeiana*) and green frogs (*Rana clamitans*), exert considerable control over their body temperature. By basking in the sun, frogs can raise their body temperature as much as 10°C above ambient temperature. Because of associated evaporative water losses, such amphibians must be either near



**Figure 7.12** Microclimates a snake typically uses to regulate body temperature during the summer.

(Adapted from Pearson et al. 1993.)

or partially submerged in water. By changing position or location or by seeking a warmer or cooler substrate, amphibians can maintain body temperatures within a narrow range.

Most reptiles are terrestrial and exposed to widely fluctuating surface temperatures. The simplest way for a reptile to raise body temperature is to bask in the sun. Snakes, for example, heat up rapidly in the morning sun (see Figure 7.11). When they reach the preferred temperature, the animals move on to their daily activities, retreating to the shade to cool when necessary. In this way, they maintain a stable body temperature during the day. In the evening, the reptile experiences a slow cooling. Its body temperature at night depends on its location.

Lizards raise and lower their bodies and change body shape to increase or decrease heat conduction between themselves and the rocks or soil they rest on. They also seek sunlight or shade or burrow into the soil to adjust their temperatures. Desert beetles, locusts, and scorpions exhibit similar behavior. They raise their legs to reduce contact between their body and the ground, minimizing conduction and increasing convection by exposing body surfaces to the wind. Thus, body temperatures of poikilotherms do not necessarily follow the general ambient temperature.

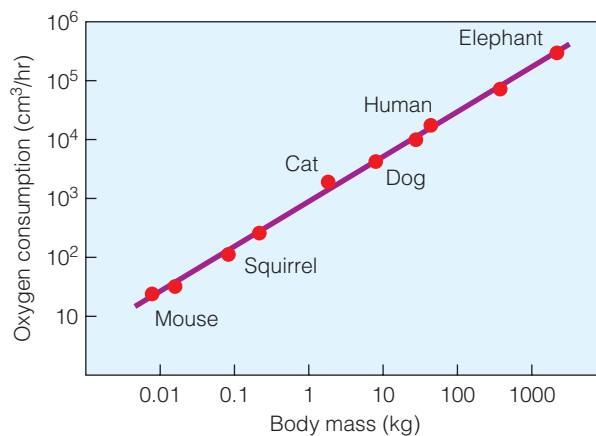
## 7.10 Homeotherms Escape the Thermal Restraints of the Environment

Homeothermic birds and mammals meet the thermal constraints of the environment by being endothermic. They maintain body temperature by oxidizing glucose and other energy-rich molecules in the process of respiration. The process of oxidation is not 100 percent efficient, and in addition to the production of chemical energy in the form of ATP, some energy is converted to heat energy (see Section 6.1). Because oxygen is used in the process of

respiration, an organism's basal metabolic rate is typically measured by the rate of oxygen consumption. Recall from Section 6.1 that all living cells respire. Therefore, the rate of respiration for homeothermic animals is proportional to their body mass (grams body mass<sup>0.75</sup>; however, the exponent varies across different taxonomic groups, ranging from 0.6 to 0.9; Figure 7.13).

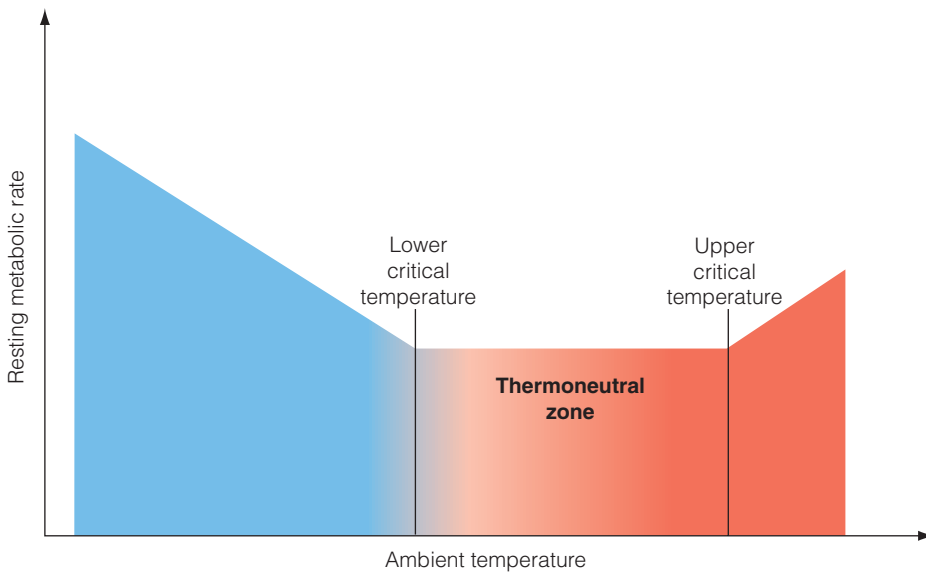
For homeotherms, the **thermoneutral zone** is a range of environmental temperatures within which the metabolic rates are minimal (Figure 7.14). Outside this zone, marked by upper and lower **critical temperatures**, metabolic rate increases.

Maintenance of a high body temperature is associated with specific enzyme systems that operate optimally within a high temperature range, with a set point of about 40°C. Because



**Figure 7.13** Relationship between metabolic rate, as measured by oxygen consumption per hour, and body mass (kg) for a range of mammal species. Both variables (metabolic rate and body mass) are plotted on a logarithmic scale ( $\log_{10}$ ).

(After Schmidt-Nielsen 1997.)



**Figure 7.14** General resting metabolic response of homeotherm to changes in ambient temperature. For temperatures within the thermal neutral zone, resting metabolic rate changes little with a change in ambient temperature. Beyond these limits, however, metabolic rate increases markedly with either an increase or decrease in ambient temperature as a result of feedback mechanisms (see Section 7.5 and Figure 7.9). (After Schmidt-Nielsen 1997.)

efficient cardiovascular and respiratory systems bring oxygen to their tissues, homeotherms can maintain a high level of energy production through aerobic respiration (high metabolic rates). Thus, they can sustain high levels of physical activity for long periods. Independent of external temperatures, homeotherms can exploit a wider range of thermal environments. They can generate energy rapidly when the situation demands, escaping from predators or pursuing prey.

To regulate the exchange of heat between the body and the environment, homeotherms use some form of insulation—a covering of fur, feathers, or body fat (see Figure 7.11). For mammals, fur is a major barrier to heat flow; but its insulation value varies with thickness, which is greater on large mammals than on small ones. Small mammals are limited in the amount of fur they can carry, because a thick coat could reduce their ability to move. Mammals change the thickness of their fur with the season, a form of acclimation (Section 5.14). Aquatic mammals—especially of Arctic regions—and Arctic and Antarctic birds such as auklets (Alcidae) and penguins have a heavy layer of fat beneath the skin. Birds reduce heat loss by fluffing the feathers and drawing the feet into them, making the body a round, feathered ball. Some Arctic birds, such as ptarmigan (*Lagopus* spp.), have feathered feet—unlike most birds, which have scaled feet that function to lose heat.

Although the major function of insulation is to keep body heat in, it also keeps heat out. In a hot environment, an animal has to either rid itself of excess body heat or prevent heat from being absorbed in the first place. One way is to reflect solar radiation from light-colored fur or feathers. Another way is to grow a heavy coat of fur that heat does not penetrate. Large mammals of the desert, notably the camel, use this method. The outer layers of hair absorb heat and return it to the environment.

Some insects—notably moths, bees, and bumblebees—have a dense, furlike coat over the thoracic region that serves to retain the high temperature of flight muscles during flight. The long, soft hairs of caterpillars, together with changes in body posture, act as insulation to reduce convective heat exchange.

When insulation fails, many animals resort to shivering, which is a form of involuntary muscular activity that increases heat production. Many species of small mammals increase heat production without shivering by burning (oxidizing) highly vascular brown fat. Found about the head, neck, thorax, and major blood vessels, brown adipose tissue (fat) is particularly prominent in hibernators, such as bats and groundhogs (*Marmota monax*).

Many species employ evaporative cooling to reduce the body heat load. Birds and mammals lose some heat by evaporation of moisture from the skin. When their body heat is above the upper critical temperature, they accelerate evaporative cooling by sweating and panting. Only certain mammals have sweat glands—in particular, horses and humans. Panting in mammals and gular fluttering in birds increase the movement of air over moist surfaces in the mouth and pharynx. Many mammals, such as pigs, wallow in water and wet mud to cool down.

## 7.11 Endothermy and Ectothermy Involve Trade-offs

A prime example of the trade-offs involved in the adaptations of organisms to their environment are endothermy and ectothermy, the two alternative approaches to regulation of body temperature in animals. Each strategy has advantages and disadvantages that enable the organisms to excel under different environmental conditions. For example, endothermy allows animals to remain active regardless of environmental temperatures, whereas environmental temperatures largely dictate the activity of poikilotherms. However, the freedom of activity enjoyed by homeotherms comes at a great energy cost. To generate heat through respiration, homeotherms must take in calories (food). Of the food energy that is assimilated, a minimum goes to growth (most goes to respiration).

The metabolic heat produced by homeothermy can be lost to the surrounding environment (see Quantifying Ecology 7.1: Heat Exchange and Temperature Regulation), and this heat must be replaced by additional heat generated through respiration. As

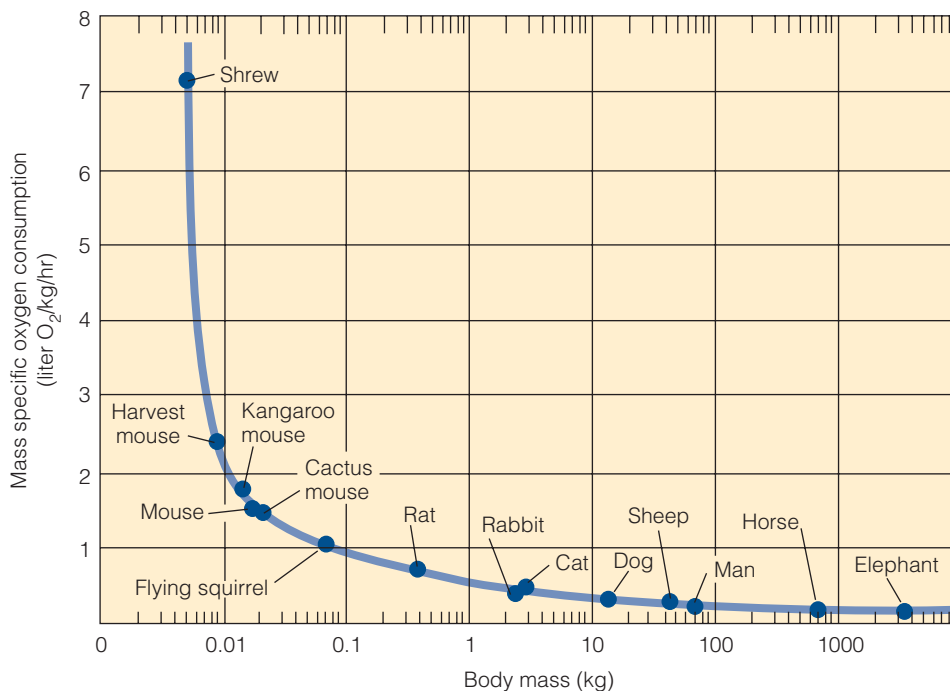
a result, metabolic costs weigh heavily against homeotherms. In contrast, ectotherms, not needing to burn calories to provide metabolic heat, can allocate more of their energy intake to biomass production than to metabolic needs. Ectotherms, therefore, require fewer calories (food) per gram of body weight. Because they do not depend on internally generated body heat, ectotherms can curtail metabolic activity in times of food and water shortage and temperature extremes. Their low energy demands enable some terrestrial poikilotherms to colonize areas of limited food and water.

One of the most important features influencing its ability to regulate body temperature is an animal's size. A body exchanges heat with the external environment (either air or water) in proportion to the surface area exposed. In contrast, it is the entire body mass (or volume) that is being heated (see Figure 7.9 and discussion in Section 7.1).

Cold-blooded organisms (ectotherms) absorb heat across their surface but must absorb enough energy to heat the entire body mass (volume). Therefore, the ratio of surface area to volume ( $SA:V$ ) is a key factor in controlling the uptake of heat and the maintenance of body temperature. As an organism's size increases, the  $SA:V$  ratio decreases (see Figure 7.2). Because the organism has to absorb sufficient energy across its surface to warm the entire body mass, the amount of energy and/or the period of

time required to raise body temperature likewise increases. For this reason, ectothermy imposes a constraint on maximum body size for cold-blooded animals and restricts the distribution of the larger poikilotherms to the warmer, aseasonal regions of the subtropics and tropics. For example, large reptiles such as alligators, crocodiles, iguanas, komodo dragons, anacondas, and pythons are all restricted to warm tropical environments.

The constraint that size imposes on warm-blooded animals (homeotherms) is opposite that for cold-blooded animals. For homeotherms, it is the body mass (or volume) that produces heat through respiration, while heat is lost to the surrounding environment across the body surface. The smaller the organism, the larger the  $SA:V$  ratio; therefore the greater the relative heat loss to the surrounding environment. To maintain a constant body temperature, the heat loss must be offset by increased metabolic activity (respiration). Thus, small homeotherms have a higher mass-specific metabolic rate (metabolic rate per unit body mass; Figure 7.15) and consume more food energy per unit of body weight than do large ones. Small shrews (*Sorex* spp.), for example, ranging in weight from 2 to 29 g (see Figure 7.1), require daily an amount of food (wet weight) equivalent to their own body weight. Therefore, small animals are forced to spend most of their time seeking and eating food. The mass-specific



**Figure 7.15** Observed relationship between metabolic rate (oxygen consumption) per unit body mass (mass-specific metabolic rate) and body mass for various mammal species. Mass-specific metabolic rate increases with decreasing body mass. Body mass is plotted on a logarithmic scale ( $\log_{10}$ ). (Adapted from Schmidt-Nielsen 1979.)

### INTERPRETING ECOLOGICAL DATA

- Q1.** How does the variable plotted on the y-axis of this graph (mass-specific metabolic weight) differ from the variable plotted on the y-axis of Figure 7.13?
- Q2.** What does the graph imply about the rates of cellular respiration for a mouse compared to a horse?
- Q3.** How would the graph differ if the y-axis was plotted on a logarithmic scale ( $\log_{10}$ )?

metabolic rate (respiration rate per gram of body weight) of small endotherms rises so rapidly that below a certain size, they could not meet their energy demands. On average, 2 g is about as small as an endotherm can be and still maintain a metabolic heat balance, although this minimum constraint depends on the thermal environment. Some shrews and hummingbirds undergo daily torpor (see Section 7.13) to reduce their metabolic needs. Due to the conflicting metabolic demands of body temperature and growth, most young birds and mammals are born in an altricial state, meaning they are blind, naked, and helpless, beginning life as ectotherms. They depend on the body heat of their parents to maintain their body temperature, which allows these young animals to allocate most of their energy to growth.

## 7.12 Heterotherms Take on Characteristics of Ectotherms and Endotherms

Species that sometimes regulate their body temperature and sometimes do not are called *temporal heterotherms*. At different stages of their daily and seasonal cycle or in certain situations, these animals take on characteristics of endotherms or ectotherms. They can undergo rapid, drastic, repeated changes in body temperature.

Insects are ectothermic and poikilothermic; yet in the adult stage, most species of flying insects are heterothermic. When flying, they have high rates of metabolism, with heat production as great as or greater than that of homeotherms. They reach this high metabolic state in a simpler way than do homeotherms, because they are not constrained by the uptake and transport of oxygen through the lungs and vascular system. Insects take in oxygen by demand through openings in the body wall and transport it throughout the body in a tracheal system (see Section 7.5).

Temperature is crucial to the flight of insects. Most cannot fly if the temperature of the body muscles is below 30°C, nor can they fly if muscle temperature is above 44°C. This constraint means that an insect has to warm up before it can take off, and it has to get rid of excess heat in flight. With wings beating up to 200 times per second, flying insects can produce a prodigious amount of heat.

Some insects, such as butterflies and dragonflies, warm up by orienting their bodies and spreading their wings to the sun. Most warm up by shivering their flight muscles in the thorax. Moths and butterflies vibrate their wings to raise thoracic temperatures above ambient. Bumblebees pump their abdomens without any external wing movements. They do not maintain any physiological set point, and they cool down to ambient temperatures when not in flight.

## 7.13 Torpor Helps Some Animals Conserve Energy

To reduce metabolic costs during periods of inactivity, some small homeothermic animals become heterothermic and enter into torpor daily. Daily **torpor** is the dropping of body

temperature to approximately ambient temperature for a part of each day, regardless of season.

Some birds, such as hummingbirds (Trochilidae) and poorwills (*Phalaenoptilus nuttallii*), and small mammals, such as bats, pocket mice, kangaroo mice, and white-footed mice, experience daily torpor. Such daily torpor seems to have evolved as a way to reduce energy demands over that part of the day when the animals are inactive. Nocturnal mammals, such as bats, go into torpor by day; and diurnal animals, such as hummingbirds, go into torpor by night. As the animal goes into torpor, its body temperature falls steeply. With the relaxation of homeothermic responses, the body temperature declines to within a few degrees of ambient. Arousal returns the body temperature to normal rapidly as the animal renews its metabolic heat production.

To escape the rigors of long, cold winters, many terrestrial poikilotherms and a few heterothermic mammals go into a long, seasonal torpor called **hibernation**. Hibernation is characterized by the cessation of activity. Hibernating poikilotherms experience such physiological changes as decreased blood sugar, increased liver glycogen, altered concentration of blood hemoglobin, altered carbon dioxide and oxygen content in the blood, altered muscle tone, and darkened skin.

Hibernating homeotherms become heterotherms and invoke controlled hypothermia (reduction of body temperature). They relax homeothermic regulation and allow the body temperature to approach ambient temperature. Heart rate, respiration, and total metabolism fall, and body temperature sinks below 10°C. Associated with hibernation are high levels of CO<sub>2</sub> and an associated decrease in blood pH (increased acidity). This state, called acidosis, lowers the threshold for shivering and reduces the metabolic rate. Hibernating homeotherms, however, are able to rewarm spontaneously using only metabolically generated heat.

Among homeotherms, entrance into hibernation is a controlled process difficult to generalize from one species to another. Some hibernators, such as the groundhog (*Marmota monax*), feed heavily in late summer to store large fat reserves, from which they will draw energy during hibernation. Others, like the chipmunk (*Tamias striatus*), lay up a store of food instead. All hibernators, however, convert to a means of metabolic regulation different from that of the active state. Most hibernators rouse periodically and then drop back into torpor. The chipmunk, with its large store of seeds, spends much less time in torpor than do species that store large amounts of fat.

Although popularly said to hibernate, black bears, grizzly bears, and female polar bears do not. Instead, they enter a unique winter sleep from which they easily rouse. They do not enter extreme hypothermia but allow body temperatures to decline only a few degrees below normal. The bears do not eat, drink, urinate, or defecate, and females give birth to and nurse young during their sleep; yet they maintain a metabolism that is near normal. To do so, the bears recycle urea, normally excreted in urine, through the bloodstream. The urea is degraded into amino acids that are reincorporated in plasma proteins.

Hibernation provides selective advantages to small homeotherms. For them, maintaining a high body temperature

during periods of cold and limited food supply is too costly. It is far less expensive to reduce metabolism and allow the body temperature to drop. Doing so eliminates the need to seek scarce food resources to maintain higher body temperatures.

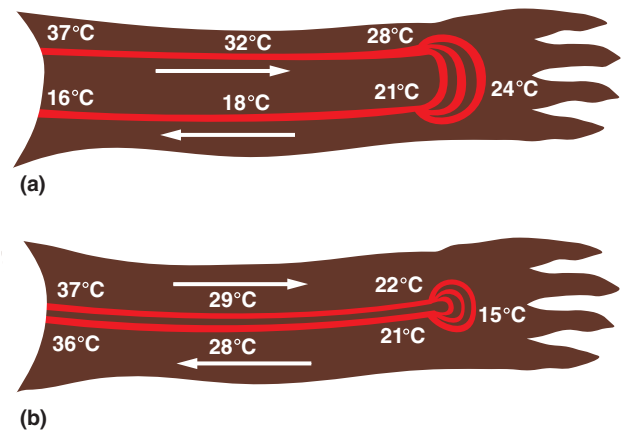
## 7.14 Some Animals Use Unique Physiological Means for Thermal Balance

Due to an animal's limited tolerance for heat, storing body heat does not seem like a sound option to maintain thermal balance in the body. But certain mammals, especially the camel, oryx, and some gazelles, do just that. The camel, for example, stores body heat by day and dissipates it by night, especially when water is limited. Its temperature can fluctuate from 34°C in the morning to 41°C by late afternoon. By storing body heat, these animals of dry habitats reduce the need for evaporative cooling and thus reduce water loss and food requirements.

Many ectothermic animals of temperate and Arctic regions withstand long periods of below-freezing temperatures in winter through supercooling and developing a resistance to freezing. **Supercooling** of body fluids takes place when the body temperature falls below the freezing point without actually freezing. The presence of certain solutes in the body that function to lower the freezing point of water influences the amount of supercooling that can take place (see Chapter 3). Some Arctic marine fish, certain insects of temperate and cold climates, and reptiles exposed to occasional cold nights employ supercooling by increasing solutes, notably glycerol, in body fluids. Glycerol protects against freezing damage, increasing the degree of supercooling. Wood frogs (*Rana sylvatica*), spring peepers (*Hyla crucifer*), and gray tree frogs (*Hyla versicolor*) can successfully overwinter just beneath the leaf litter because they accumulate glycerol in their body fluids.

Some intertidal invertebrates of high latitudes and certain aquatic insects survive the cold by freezing and then thawing out when the temperature moderates. In some species, more than 90 percent of the body fluids may freeze, and the remaining fluids contain highly concentrated solutes. Ice forms outside the shrunken cells, and muscles and organs are distorted. After thawing, they quickly regain normal shape.

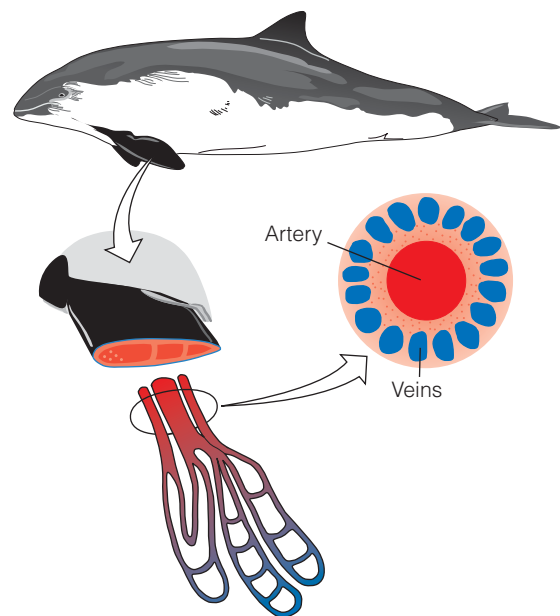
To conserve heat in a cold environment and to cool vital parts of the body under heat stress, some animals have evolved **countercurrent heat exchange** (Figure 7.16). For example, the porpoise (*Phocaena* spp.), swimming in cold Arctic waters, is well insulated with blubber. It could experience an excessive loss of body heat, however, through its uninsulated flukes and flippers. The porpoise maintains its body core temperature by exchanging heat between arterial (coming from the lungs) and venous (returning to the lungs) blood in these structures (Figure 7.17). Veins completely surround arteries, which carry warm blood from the heart to the extremities. Warm arterial blood loses its heat to the cool venous blood returning to the body core. As a result, little body heat passes to the environment. Blood entering the flippers cools, whereas blood returning to the deep body



**Figure 7.16** A model of countercurrent flow in the limb of a mammal, showing hypothetical temperature changes in the blood (a) in the absence and (b) in the presence of countercurrent heat exchange.

warms. In warm waters, where the animals need to get rid of excessive body heat, blood bypasses the heat exchangers. Venous blood returns unwarmed through veins close to the skin's surface to cool the body core. Such vascular arrangements are common in the legs of mammals and birds as well as in the tails of rodents, especially the beaver (*Castor canadensis*).

Many animals have arteries and veins divided into small, parallel, intermingling vessels that form a discrete vascular bundle or net known as a **rete**. In a rete, the principle is the same as in the blood vessels of the porpoise's flippers. Blood flows in opposite directions, and heat exchange takes place. Countercurrent heat exchange can also keep heat out. The oryx (*Oryx beisa*), an African desert antelope exposed to high daytime temperatures, can experience elevated body temperatures yet keep the highly

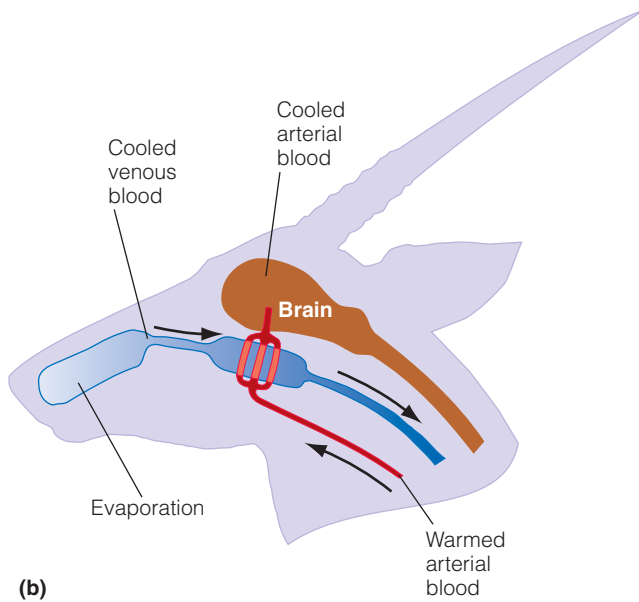


**Figure 7.17** The porpoise and its relatives, the whales, use flippers and flukes as temperature-regulating devices. Several veins in the appendages surround the arteries. Venous blood returning to the body core is warmed through heat transfer, retaining body heat. (Adapted from Schmidt-Nielsen 1977.)





(a)



(b)

**Figure 7.18** (a) The oryx (*Oryx beisa*), an African desert antelope, can keep a cool head despite a high body core temperature by means of a rete. (b) Arterial blood passes in small arteries through a pool of venous blood that is cooled by evaporation as it drains from the nasal region and into the pool.

heat-sensitive brain cool by a rete in the head. The external carotid artery passes through a cavernous sinus filled with venous blood that is cooled by evaporation from the moist mucous membranes of the nasal passages (Figure 7.18). Arterial blood passing through the cavernous sinus cools on the way to the brain, reducing the temperature of the brain 2°C to 3°C lower than that of the body core.

Countercurrent heat exchangers are not restricted to homeotherms. Certain poikilotherms that assume some degree of endothermism employ the same mechanism. The swift, highly predaceous tuna (*Thunnus* spp.) and the mackerel shark (*Isurus tigris*) possess a rete in a band of dark muscle tissue used for sustained swimming effort. Metabolic heat produced in the muscle warms the venous blood, which gives up heat to the adjoining newly oxygenated blood returning from the gills. Such a countercurrent heat exchange increases the power of the muscles because warm muscles contract and relax more rapidly. Sharks and tuna maintain fairly constant body temperatures, regardless of water temperatures.

## 7.15 Maintenance of Water Balance for Terrestrial Animals Is Constrained by Uptake and Conservation

Living cells, both plant and animal, contain about 75 to 95 percent water. Water is essential for virtually all biochemical reactions within the body, and it functions as a medium for excreting metabolic wastes and for dissipating excess heat through evaporative cooling. To stay properly hydrated, an organism must offset these water losses by the uptake of water from the external environment. Maintaining this balance between the uptake and loss of water with the surrounding environment is referred to as an organism's water balance (Section 4.1).

Terrestrial animals have three major ways of gaining water and solutes: directly by drinking and eating and indirectly by producing metabolic water in the process of respiration (see Section 6.1). They lose water and solutes through urine, feces, evaporation from the skin, and from the moist air they exhale. Some birds and reptiles have a salt gland, and all birds and reptiles have a cloaca—a common receptacle for the digestive, urinary, and reproductive tracts. They reabsorb water from the cloaca back into the body proper. Mammals have kidneys capable of producing urine with high ion concentrations.

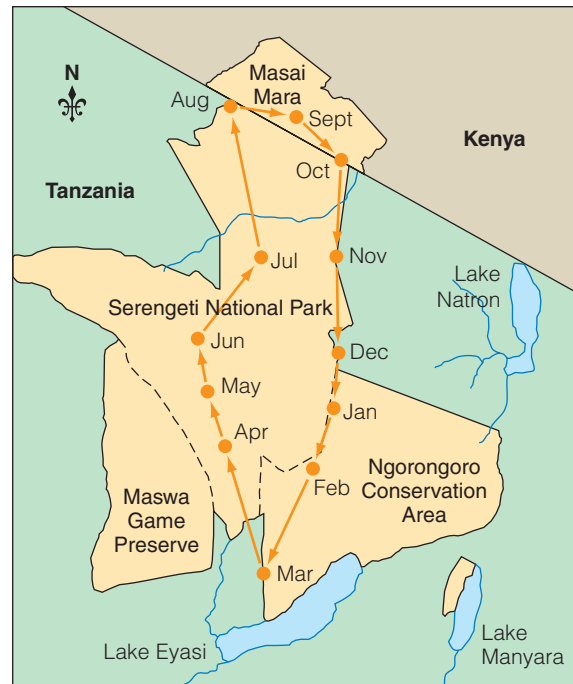
In arid environments, animals, like plants, face a severe problem of water balance. They can solve the problem in either of two ways: by evading the drought or by avoiding its effects. Animals of semiarid and desert regions may evade drought by leaving the area during the dry season and moving to areas where permanent water is available. Many of the large African ungulates (Figure 7.19) and many birds use this strategy. During hot, dry periods the spadefoot toad (*Scaphiopus couchi*) of the southern deserts of the United States remains belowground in a state of dormancy and emerges when the rains return. Some invertebrates inhabiting ponds that dry up in summer, such as the flatworm *Phagocytes vernalis*, develop hardened casings and remain in them for the dry period. Other aquatic or semiaquatic animals retreat deep into the soil until they reach the level of groundwater. Many insects undergo diapause, a stage of arrested development in their life cycle from which they emerge when conditions improve (see Section 7.19).

Other animals remain active during the dry season but reduce respiratory water loss. Some small desert rodents lower the temperature of the air they breathe out. Moist air from the lungs passes over cooled nasal membranes, leaving condensed water on the walls. As the rodent inhales, this water humidifies and cools the warm, dry air.

There are other approaches to the problem. Some small desert mammals reduce water loss by remaining in burrows by day and emerging by night. Many desert mammals, from kangaroos to camels, extract water from the food they eat—either directly from the moisture content of the plants or from metabolic water produced during respiration—and produce highly concentrated urine and dry feces. Some desert mammals can tolerate a certain degree of dehydration. Desert rabbits may withstand water losses of up to 50 percent and camels of up to 27 percent of their body weight.



(a)



(b)

**Figure 7.19** (a) Many of the large ungulate species in the semiarid regions of Africa, such as the wildebeest shown here, migrate over the course of the year, following the seasonal shift in rainfall. (b) The changing distribution of wildebeest populations in the contiguous Serengeti, Masai Mara, and Ngorongoro Conservation areas in East Africa. This seasonal pattern of migration gives these species consistent access to food (grass production) and water.

## 7.16 Animals of Aquatic Environments Face Unique Problems in Maintaining Water Balance

Aquatic animals face the constant exchange of water with the external environment through the process of osmosis. As in the discussion of passive transport of water in plants osmotic pressure moves water through cell membranes from the side of greater water concentration to the side of lesser water concentration (see Section 6.4). Aquatic organisms living in freshwater are **hyperosmotic**—they have a higher salt concentration in their bodies than does the surrounding water. Consequently, water moves inward into the body, while salts move outward across their gills. Their problem is to prevent uptake, or rid themselves of excess water, and replace salts lost to the external environment. Freshwater fish maintain osmotic balance by absorbing and retaining salts in special cells in the gills and by producing copious amounts of watery urine. Amphibians balance the loss of salts through the skin by absorbing ions directly from the water and transporting them across the skin and gill membranes. In the terrestrial phase, amphibians store water from the kidneys in the bladder. If circumstances demand it, they can reabsorb the water through the bladder wall.

Marine fish face problems opposite to those in freshwater. These organisms are **hyposmotic**, having a lower salt concentration in their bodies than does the surrounding water.

When the concentration of salts is greater outside the body than within, organisms tend to dehydrate. Osmosis draws water out of the body into the surrounding environment. In marine and brackish environments, organisms have to inhibit water loss by osmosis through the body wall and prevent an accumulation of salts in the body (see Chapter 3).

There are many solutions to this problem. Invertebrates solve it by being **isosmotic**—their body fluids have the same osmotic pressure as seawater. Marine bony (teleost) fish absorb saltwater into the gut. They secrete magnesium and calcium through the kidneys and pass these ions off as a partially crystalline paste. In general, fish excrete sodium and chloride, major ions in seawater, by pumping the ions across special membranes in the gills. This pumping process is one type of active transport, moving salts against the concentration gradient, but it has a high energy cost. Sharks and rays retain enough urea to maintain a slightly higher concentration of solute in the body than exists in surrounding seawater. Birds of the open sea and sea turtles can consume seawater because they possess special salt-secreting nasal glands. Seabirds of the order Procellariiformes (e.g., albatrosses, shearwaters, and petrels) excrete fluids in excess of 5 percent salt from these glands. Petrels forcibly eject the fluids through the nostrils; other species drip the fluids out of the internal or external nares. In marine mammals, the kidney is the main route for elimination of salt. Porpoises have highly developed kidneys to eliminate salt loads rapidly.

## 7.17 Buoyancy Helps Aquatic Organisms Stay Afloat

Aquatic animals have adapted various mechanisms to help them stay afloat in water. Most aquatic animals inhabiting the oceans have densities very close to that of seawater. Because living tissues are generally denser (heavier) than water, larger animals cannot maintain buoyancy unless their bodies have lower-density areas that counter the higher density of most tissues.

Most fish have a gas or swim bladder (Figure 7.20a) that typically accounts for 5 to 10 percent of total body volume. These fish can control the degree of buoyancy by regulating the amount of gas in the bladder. Lungs in air-breathing animals sustain neutral buoyancy.

Some marine animals, such as the squid, maintain neutral buoyancy by replacing heavy chemical ions in the body fluids with lighter ions. Squids have body cavities in which lighter ammonium ions replace the heavier sodium ions. As a result, an equal amount of body fluid is less dense than the same volume of seawater. Another mechanism is increased storage of lipids (fats and oils). Lipids are less dense than seawater. Large amounts of lipids are present in fishes that lack swim bladders (such as sharks, mackerels, bluefish, and bonito). Lipid deposits are located in muscles, internal organs, and the body cavity (Figure 7.20b). In marine mammals, lipids are typically deposited as a layer of fat just below the skin (blubber; Figure 7.20c). Besides aiding in buoyancy, blubber functions as insulation and energy storage.

Maintaining neutral buoyancy in open-water environments releases organisms from many of the structural constraints imposed by gravity in terrestrial environments. Organisms such as the jellyfish, squid, and octopus quickly lose their graceful forms when removed from the water. Beached whales die from suffocation when they are no longer able to support their body

weight through neutral buoyancy. It is no coincidence that the largest vertebrate and invertebrate organisms on Earth inhabit the oceans.

## 7.18 Daily and Seasonal Light and Dark Cycles Influence Animal Activity

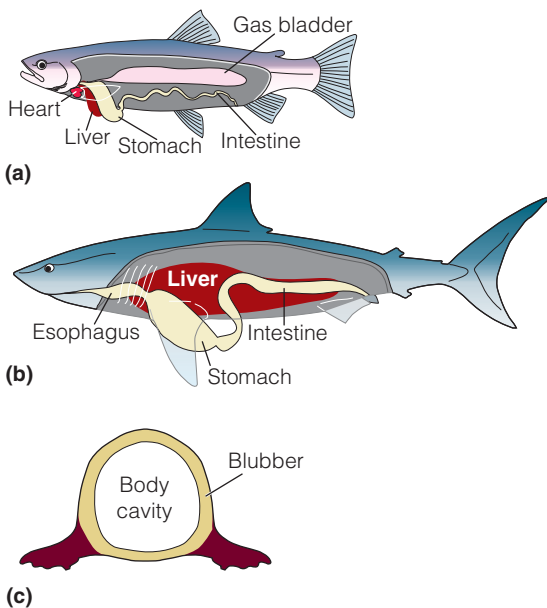
The major influence of light on animals is its role in timing daily and seasonal activities including feeding, food storage, reproduction, and migratory movements. The internal mechanisms in organisms used to control the periodicity of various functions or activities are **biological clocks**. An internal biological clock is fundamental to all living organisms, influencing hormones that play a role in the sleep cycle, metabolic rate, and body temperature.

Biological processes fluctuate in cycles, or rhythms, that range from minutes to months or even years. Biological processes that cycle in 24-hour intervals are called daily rhythms. When a daily rhythm results from a physiological response to the diurnal environmental cycle, it is called a **circadian rhythm** (Latin *circa*, “about,” and *dia*, “day”). Many involuntary (autonomic) processes of individual organisms exhibit a circadian rhythm, including the control of body temperature, cardiovascular function, melatonin (hormone related to the sleep–wake cycle) secretion, cortisol (primary stress hormone) secretion, and metabolism.

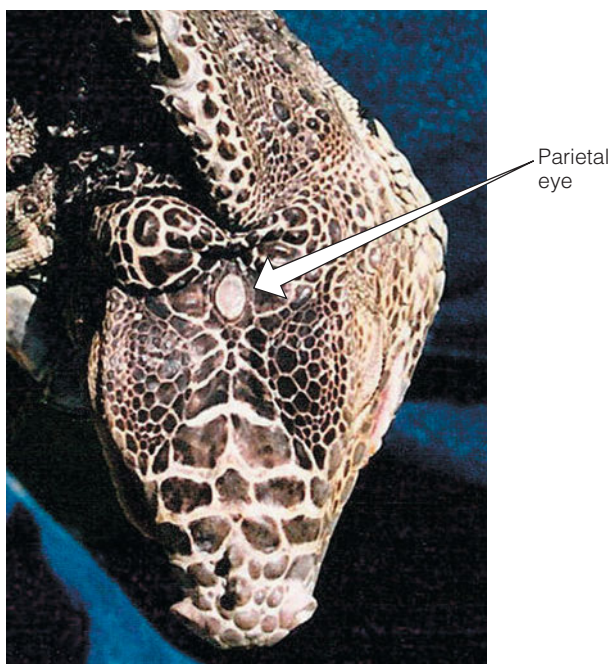
The circadian rhythm, with its sensitivity to light and dark, is the major mechanism for the biological clock—that time-keeper of physical and physiological activity in living things. Where is such a clock located in organisms? Its position must expose it to its time-setter: light.

Circadian rhythms are believed to include at least three elements: (1) *input pathway* that transmits environmental signals (light) to the clock, (2) *pacemaker* (clock), and (3) *output pathway* through which the pacemaker regulates the rhythms. In mammals, the pacemaker is the suprachiasmatic nucleus (SCN), a distinct group of cells located in the hypothalamus region of the brain. The input pathway to the pacemaker in mammals begins with photoreceptors in the eye. The retina of the eyes contains “classical” photoreceptors as well as photo-responsive cells. These cells, which contain a photosensitive pigment, follow a pathway leading to the SCN. As the SCN receives information about illumination from the retina, it is interpreted and transmitted to the pineal gland, a small organ shaped like a pine cone (hence its name) and located in the epithalamus region of the brain. The pineal gland provides the output pathway through the secretion of melatonin. Melatonin is a structurally simple hormone that communicates information about the light environment to various parts of the body. Secretion of melatonin peaks at night and declines during the day. Ultimately, melatonin has the ability to entrain biological rhythms and has important effects on reproductive function in many animals.

The eye is the only photosensitive organ in mammals. In other vertebrates, such as birds and some lizards, the pineal gland is on the surface of the brain, directly under the skull. The pineal gland contains photoreceptors that function as the



**Figure 7.20** Buoyancy adaptation in fish and seal. (a) Gas bladder in a fish. (b) Large, fat-filled liver of a shark. (c) Blubber surrounding the body of a seal.



**Figure 7.21** Some animal species, such as the iguana pictured here, have a parietal eye—a photosensory organ connected to the pineal gland. Parietal eyes are found in lizards, frogs, and lampreys as well as some species of fish. In tuna and pelagic sharks, the eye is visible as a light-sensitive spot on top of the head.

input pathway and allow the gland to function directly as the pacemaker. Some animal species have a parietal eye, a photosensory organ connected to the pineal gland (Figure 7.21). Parietal eyes are found in lizards, frogs, and lampreys as well as in some species of fish, such as tuna and pelagic sharks, where it is visible as a light-sensitive spot on top of the head. A poorly developed version of the parietal eye, often called the parapineal gland, occurs in salamanders.

Ecologists are particularly interested in the adaptive value of biological clocks. One adaptive value is that the biological clock gives the organism a time-dependent mechanism. It enables the organism to prepare ahead of time for periodic changes in the environment. Circadian rhythms help organisms with physical aspects of the environment other than light or dark. For example, a rise in humidity and a drop in temperature accompany the transition from light to dark. Wood lice, centipedes, and millipedes, which lose water rapidly in dry air, spend the day in the darkness and damp under stones, logs, and leaves. At dusk they emerge, when the humidity of the air is more favorable. These animals show an increased tendency to escape from light as the length of time they spend in darkness increases. On the other hand, the strength of their response to low humidity decreases with darkness. Thus, these invertebrates come out at night into places too dry for them during the day, and they quickly retreat to their dark hiding places as light comes.

The circadian rhythms of many organisms relate to biotic aspects of their environment. Predators such as insectivorous bats must match their feeding activity to the activity rhythm of their prey. Moths and bees must seek nectar when flowers are open. Flowers must open when insects that pollinate them are flying. The circadian clock lets insects, reptiles, and birds

orient themselves by the Sun's position. Organisms make the most economical use of energy when they adapt to the periodicity of their environment.

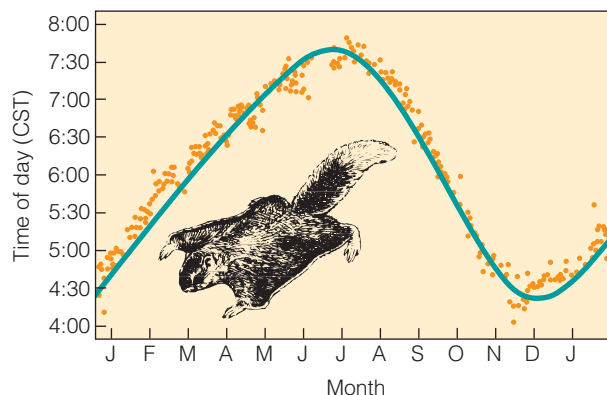
## 7.19 Critical Day Lengths Trigger Seasonal Responses

In the middle and upper latitudes of the Northern and Southern Hemispheres, the daily periods of light and dark lengthen and shorten with the seasons (see Section 2.2). The activities of animals are geared to the changing seasonal rhythms of night and day. The flying squirrel (*Glaucomys volans*), for example, starts its daily activity with nightfall, regardless of the season. As the short days of winter turn to the longer days of spring, the squirrel begins its activity a little later each day (Figure 7.22).

Most animals of temperate regions have reproductive periods that closely follow the changing day lengths of the seasons. For most birds, the height of the breeding season is the lengthening days of spring; for deer, the mating season is the shortening days of fall.

The signal for these responses is **critical day length**. When the duration of light (or dark) reaches a certain proportion of the 24-hour day, it inhibits or promotes a photoperiodic response. Critical day length varies among organisms, but it usually falls somewhere between 10 and 14 hours. Through the year, plants and animals compare critical day length with the actual length of day or night and respond appropriately. Some organisms can be classified as **day neutral**; they are not controlled by day length, but by some other influence such as rainfall or temperature. Others are short-day or long-day organisms. **Short-day** organisms are those whose reproductive or other seasonal activity is stimulated by day lengths shorter than their critical day length. **Long-day** organisms are those whose seasonal responses, such as reproduction, are stimulated by day lengths longer than the critical day length.

Many organisms exhibit both long- and short-day responses. Because the same duration of dark and light occurs two times a year, in spring and fall, the organisms could get their signals mixed. For them, the distinguishing cue is the direction in which the critical day length is changing—that is,



**Figure 7.22** Seasonal variation in the time of day when flying squirrels become active.

(Adapted from Decoursey 1960.)

whether the critical day length is reached as long days become shorter, or as short days become longer.

**Diapause**, a stage of arrested growth over winter in insects of the temperate regions, is controlled by photoperiod. The time measurement in such insects is precise, usually between 12 and 13 hours of light. A quarter-hour difference in the light period can determine whether an insect goes into diapause or not. The shortening days of late summer and fall forecast the coming of winter and call for diapause. The lengthening days of late winter and early spring are signals for the insect to resume development, pupate, emerge as an adult, and reproduce.

Increasing day length induces spring migratory behavior, stimulates gonadal development, and brings on the reproductive cycle in birds. After the breeding season, the gonads of birds regress spontaneously. During this time, light cannot induce gonadal activity. The short days of early fall hasten the termination of this period. The progressively shorter days of winter then become stimulatory. The lengthening days of early spring bring the birds back into the reproductive stage.

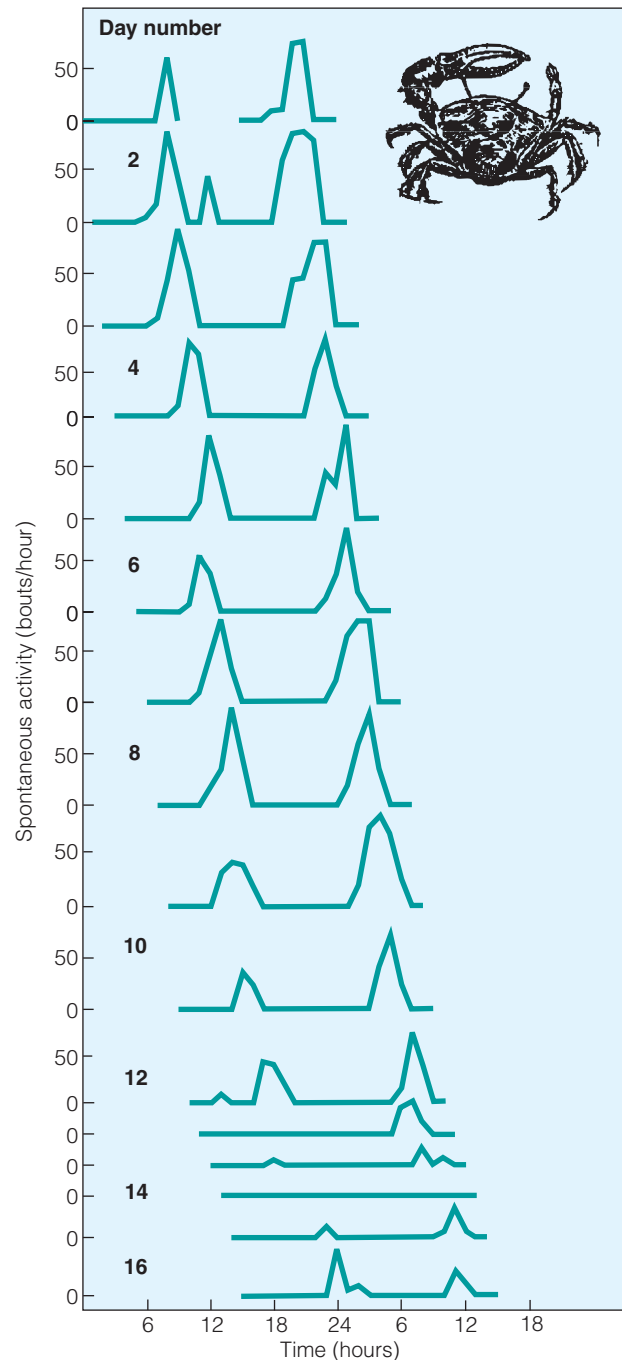
In mammals, photoperiod influences activity such as food storage and reproduction, too. Consider, for example, such seasonal breeders as sheep and deer. Melatonin initiates their reproductive cycle. More melatonin is produced when it is dark, so these animals receive a higher concentration of melatonin as the days become shorter in the fall. This increase in melatonin reduces the sensitivity of the pituitary gland to negative feedback effects of hormones from the ovaries and testes. Lacking this feedback, the anterior pituitary releases pulses of another hormone (called *luteinizing hormone*) that stimulates growth of ova in the ovaries and sperm production in the testes.

Activities of animals through the year reflect this seasonal response to changing day length, referred to as circannual rhythms. The reproductive cycle of the white-tailed deer for example, begins in fall, and the young are born in spring when the highest-quality food for lactating mothers and young is available. In tropical Central America, home of many species of fruit-eating (frugivorous) bats, the reproductive periods track the seasonal production of food. The birth periods of frugivorous bats coincide with the peak period of fruiting. Young are born when both females and young will have adequate food. Insects and other arthropods reach their greatest biomass early in the rainy season in the Costa Rican forests. At that time, the insectivorous bats give birth to their young.

## 7.20 Activity Rhythms of Intertidal Organisms Follow Tidal Cycles

Along the intertidal marshes, fiddler crabs (*Uca* spp.; the name refers to the enormously enlarged claw of the male, which he waves incessantly) swarm across the exposed mud of salt marshes and mangrove swamps at low tide. As high tide inundates the marsh, fiddler crabs retreat to their burrows, where they await the next low tide. Other intertidal organisms—from diatoms, green algae, sand beach crustaceans, and salt-marsh periwinkles to intertidal fish such as blennies (*Malacoctenus*) and cottids (*Cottidae*)—also respond to both daily and tidal cycles.

J. D. Palmer and his associates of the University of Massachusetts, Amherst, brought fiddler crabs into the laboratory and held them under constant temperature and light, devoid of tidal cues. Palmer found that the crabs exhibit the same tidal rhythm in their activity as they do in the marsh (Figure 7.23). This tidal rhythm mimics the ebb and flow of tides every 12.4 hours, one-half of the lunar day of 24.8 hours, the interval between successive moonrises. Under the same constant conditions,



**Figure 7.23** Tidal rhythm of a fiddler crab in the laboratory in constant light at a constant temperature of 22°C for 16 days. Because the lunar day is 51 minutes longer than the solar day, the tides occur 51 minutes later each solar day; thus, peaks of activity appear to move to the right.

(Adapted from Palmer 1990.)

fiddler crabs exhibit a circadian rhythm of changes in body color, turning dark by day and light by night.

Is the clock in this case unimodal, with a 12.4-hour cycle, or is it bimodal, with a 24.8-hour cycle, close to the period of the circadian clock? Does one clock keep a solar-day rhythm of approximately 24 hours and another clock keep a lunar-day rhythm of 24.8 hours? Palmer and his associates conducted a series of experiments to address this question. The evidence suggests that one solar-day clock synchronizes daily activities, while two strongly coupled lunar-day clocks synchronize

tidal activity. Each lunar-day clock drives its own tidal peak. If one clock quits running in the absence of environmental cues, the other one still runs. This feature enables tidal organisms to synchronize their activities in a variable tidal environment. Day–night cycles reset solar-day rhythms, and tidal changes reset tidal rhythms. Even at the cellular level, organisms do not depend on one clock any more than most of us keep a single clock at home. Organisms have built-in redundancies. Such redundancies enable the various clocks to run at different speeds, governing different processes with slightly differing periods.

---

## Summary

### Body Size 7.1

Size has consequences for structural and functional relationships in animals; as such, it is a fundamental constraint on adaptation. For objects of similar shape, the ratio of surface area to volume decreases with size; that is, smaller bodies have a larger surface area relative to their volume than do larger objects of the same shape. The decreasing surface area relative to volume with increasing body size limits the transfer of materials and energy between the organism's interior and its exterior environment. Animals have evolved an array of solutions to increase the surface area and enable adequate exchange of energy and materials between the interior cells and the external environment.

### Acquisition of Energy and Nutrients 7.2

To acquire energy and nutrients, herbivores consume plants; carnivores consume other animals; and omnivores feed on both plant and animal tissues. Detritivores feed on dead organic matter.

### Nutritional Needs 7.3

Directly or indirectly, animals get their nutrients from plants. Low concentrations of nutrients in plants can adversely affect the growth, development, and reproduction of plant-eating animals. Herbivores convert plant tissue to animal tissue. Among plant eaters, the quality of food, especially its protein content and digestibility, is crucial. Carnivores must secure a sufficient quantity of nutrients already synthesized from plants and converted into animal flesh.

### Mineral Requirements 7.4

Three essential nutrients influencing the distribution, behavior, growth, and reproduction of grazing animals are sodium, calcium, and magnesium. Grazers seek these nutrients from mineral licks and from the vegetation they eat.

### Oxygen Uptake 7.5

Animals generate energy by breaking down organic compounds primarily through aerobic respiration, which requires oxygen. Differences between terrestrial and aquatic animals in their means of acquiring oxygen reflect the availability of oxygen in the two environments. Most terrestrial animals have some form of lungs, whereas most aquatic animals use gills to transfer gases between the body and the surrounding water.

### Regulation of Internal Conditions 7.6

To confront daily and seasonal environmental changes, organisms must maintain some equilibrium between their internal and external environment. Homeostasis is the maintenance of a relatively constant internal environment in a variable external environment through negative feedback responses. Through various sensory mechanisms, an organism responds physiologically and/or behaviorally to maintain an optimal internal environment relative to its external environment. Doing so requires an exchange between the internal and external environments.

### Energy Exchange 7.7

Animals maintain a fairly constant internal body temperature, known as the body core temperature. They use behavioral and physiological means to maintain their heat balance in a variable environment. Layers of muscle fat and surface insulation of scales, feathers, and fur insulate the animal body core against environmental temperature changes. Terrestrial animals face a more dynamic and often more threatening thermal environment than do aquatic animals.

### Thermal Regulation 7.8

Animals fall into three major groups relative to temperature regulation: poikilotherms, homeotherms, and heterotherms. Poikilotherms, so named because they have variable body temperatures influenced by ambient temperatures, are ectothermic. Animals that depend on internally produced heat to maintain body temperatures are endothermic. They are called homeotherms because they maintain a rather constant body temperature independent of the environment. Many animals are heterotherms that function either as endotherms or ectotherms, depending on external circumstances.

### Poikilotherms 7.9

Poikilotherms gain heat from and lose heat to the environment. Poikilotherms have low metabolic rates and high thermal conductance. Environmental temperatures control their rates of metabolism. Poikilotherms are active only when environmental temperatures are moderate; they are sluggish when temperatures are cool. They have, however, upper and lower limits of tolerable temperatures. Most aquatic poikilotherms maintain no appreciable difference between body temperature and water temperature.

Poikilotherms resort to behavioral means of regulating body temperature. They exploit variable microclimates by moving into warm, sunny places to heat up and by seeking shaded places to cool. Many amphibians move in and out of water. Insects and desert reptiles raise and lower their bodies to reduce or increase conductance from the ground or convective cooling. Desert animals resort to shade or spend the heat of day in underground burrows.

### Homeotherms 7.10

Homeotherms maintain high internal body temperature by oxidizing glucose and other energy-rich molecules. They have high metabolic rates and low thermal conductance. Body insulation of fat, fur, feathers, scales, and furlike covering on many insects reduces heat loss from the body. A few desert mammals employ heavy fur to keep out desert heat and cold. When insulation fails during cold, many homeotherms resort to shivering and burning fat reserves. For homeotherms, evaporative cooling by sweating, panting, and wallowing in mud and water is an important way of dissipating body heat.

### Trade-offs in Thermal Regulation 7.11

The two approaches to maintaining body temperature, ectothermy and endothermy, involve trade-offs. Unlike poikilotherms, homeotherms are able to remain active regardless of environmental temperatures. For homeotherms, a high rate of aerobic metabolism comes at a high energy cost. This cost places a lower limit on body size. Due to the low metabolic cost of ectothermy, poikilotherms can curtail metabolic activity in times of food and water shortage and temperature extremes. Their low energy demands enable some terrestrial poikilotherms to colonize areas of limited food and water.

### Heterotherms 7.12

Based on environmental and physiological conditions, heterotherms take on the characteristics of endotherms or ectotherms. Some normally homeothermic animals become ectothermic and drop their body temperature under certain environmental conditions. Many poikilotherms, notably insects, need to increase their metabolic rate to generate heat before they can take flight. Most accomplish this feat by vibrating their wings or wing muscles or by basking in the sun. After flight, their body temperatures drop to ambient temperatures.

### Torpor 7.13

During environmental extremes, some animals enter a state of torpor to reduce the high energy costs of staying warm or cool. They slow their metabolism, heartbeat, and respiration and lower their body temperature. Birds such as hummingbirds and mammals such as bats undergo daily torpor, the equivalent of deep sleep, without the extensive metabolic changes of seasonal torpor. Hibernation (seasonal torpor over winter) involves a complete rearrangement of metabolic activity to run at a very low level. Heartbeat, breathing, and body temperature are all greatly reduced.

### Unique Physiological Means to Maintain Thermal Balance 7.14

Many homeotherms and heterotherms employ countercurrent circulation, the exchange of body heat between arterial and venous blood reaching the extremities. This exchange reduces heat loss through body parts or cools blood flowing to such vital organs as the brain.

Some desert mammals use hyperthermia to reduce the difference between body and environmental temperatures. They store up body heat by day, then release it to the cool desert air by night. Hyperthermia reduces the need for evaporative cooling and thus conserves water. Some cold-tolerant poikilotherms use supercooling, the synthesis of glycerol in body fluids, to resist freezing in winter. Supercooling takes place when the body temperature falls below freezing without freezing body fluids. Some intertidal invertebrates survive the cold by freezing, then thawing with warmer temperatures.

### Water Balance for Terrestrial Animals 7.15

Terrestrial animals must offset water loss from evaporation, respiration, and waste excretion by consuming and/or conserving water. Terrestrial animals gain water by drinking, eating, and producing metabolic water. Animals of arid regions may reduce water loss by becoming nocturnal, producing highly concentrated urine and feces, becoming hyperthermic during the day, using only metabolic water, and tolerating dehydration.

### Water Balance in Aquatic Animals 7.16

Aquatic animals need to prevent the uptake of or rid themselves of excess water. Freshwater fish maintain osmotic balance by absorbing and retaining salts in special cells in the body and by producing copious amounts of watery urine. Many marine invertebrates maintain in their body cells the same osmotic pressure as that in seawater. Marine fish secrete excess salt and other ions through kidneys or across gill membranes.

### Buoyancy 7.17

Aquatic animals use a wide variety of mechanisms to maintain buoyancy, including gas-filled bladders, lungs, and lipid deposits.

### Daily and Seasonal Changes in Day Length 7.18

Living organisms, except bacteria, have an innate circadian rhythm of activity and inactivity. Under natural conditions, the circadian rhythm is set to the 24-hour day by external time cues, notably light and dark (day and night). This setting synchronizes the activity of plants and animals with the environment. The onset and cessation of activity depend on whether the organisms are light-active or dark-active.

Circadian rhythms operate the biological clocks in the cells of plants and in the brains of multicelled animals. Animals produce more of a special hormone, melatonin, in the dark than in the light. Thus, melatonin becomes a device for measuring day length.

### Critical Day Length 7.19

Seasonal changes in activity are based on day length. Lengthening days of spring and the shortening days of fall

stimulate migration in animals and reproduction and food storage as well. These seasonal or circannual rhythms bring living organisms into a reproductive state at the time of year when offspring probability of survival is highest. The rhythms synchronize within a population such activities as mating and migration.

### Tidal Cycles 7.20

Intertidal organisms are influenced by two environmental rhythms: day length and tidal cycles of 12.4 hours. Intertidal organisms appear to have two lunar-day clocks that set tidal rhythms and one solar-day clock that sets circadian rhythms.

## Study Questions

1. What constraints are imposed by a diet of plants as compared to one of animal tissues?
2. What is homeostasis?
3. In Figure 7.16, why does mass-specific metabolic rate (metabolic rate per unit weight) of mammals increase with decreasing body mass?
4. Why are the largest species of poikilotherms found in the tropical and subtropical regions?
5. How might you expect the average size of mammal species to vary from the tropics to the polar regions? Why?
6. Why might it be easier to capture a snake in the early morning rather than the afternoon?
7. Why do homeotherms typically have a greater amount of body insulation than do poikilotherms?
8. What behaviors help poikilotherms maintain a fairly constant body temperature during their season of activity?
9. How does the size and shape of an animal's body influence its ability to exchange heat with the surrounding environment?
10. Contrast the problem of maintaining water balance for freshwater and marine fishes.
11. How does supercooling enable some insects, amphibians, and fish to survive freezing conditions?
12. How does countercurrent circulation work, and what function does it serve?
13. Distinguish between hibernation and torpor.
14. Consider a population of fish living below a power plant that is discharging heated water. The plant shuts down for three days in the winter. How would that affect the fish?
15. Define circadian rhythm.
16. How does day length influence the seasonal activity of plants and animals?
17. What is the adaptive value of seasonal synchronization of animal activity?

## Further Readings

- Bonner, J. T. 2006. *Why size matters*. Princeton, NJ: Princeton University Press.  
This short book provides an excellent overview of the constraints imposed by body size in the evolution and ecology of animals from one of the leading figures in the field.
- French, A. R. 1988. The patterns of mammalian hibernation. *American Scientist* 76:569–575.  
This article provides an excellent, easy-to-read, and well-illustrated overview of the concept of hibernation.
- Heinrich, B. 1996. *The thermal warriors: Strategies of insect survival*. Cambridge: Harvard University Press.  
This enjoyable book describes the variety of strategies insects use to heat their bodies. It is full of strange and wonderful examples of evolution in the world of insects.
- Heinrich, B. 2003. *Winter world*. New York: Harper Collins.  
Fascinating account of evolutionary adaptations of animals to survive the cold temperatures of winter.
- Johnson, C. H., and J. W. Hastings. 1986. "The elusive mechanisms of the circadian clock." *American Scientist* 74:29–36.  
This article discusses the history of the search for the mechanisms controlling circadian rhythm and gives an easily understood overview of our current understanding.
- Lee, R. E., Jr. 1989. "Insect cold-hardiness: To freeze or not to freeze." *Bioscience* 39:308–313.  
This article offers an excellent overview of the diversity of adaptations that represent evolutionary solutions to the problems faced by insects in dealing with seasonal variations in temperature. It includes a good discussion of supercooling and cold hardening in insects.
- Palmer, J. D. 1996. "Time, tide, and living clocks of marine organisms." *American Scientist* 84:570–578.  
An easy-to-read, well-illustrated article on the adaptation of marine organisms to the tidal cycle.
- Schmidt-Neilsen, K. 1997. *Animal physiology: Adaptation and environment*. 5th ed. New York: Cambridge University Press.  
This comprehensive text gives you more information about animal physiology and the range of adaptations that allow animals to cope with various terrestrial and aquatic environments.
- Storey, K. B., and J. M. Storey. 1996. "Natural freezing survival in animals." *Annual Review of Ecology and Systematics* 27:365–386.  
An excellent if somewhat technical review of the adaptations that allow animals to cope with freezing temperatures.
- Takahashi, J. S., and M. Hoffman. 1995. "Molecular biological clocks." *American Scientist* 83:158–165.  
A great, readable article that provides an overview of the mechanisms involved in biological clocks.



# Populations

- Chapter 8** Properties of Populations p. 150
- Chapter 9** Population Growth p. 165
- Chapter 10** Life History p. 181
- Chapter 11** Intraspecific Population Regulation p. 202
- Chapter 12** Metapopulations p. 223

The Copper River in southeastern Alaska originates at the Copper Glacier on Mount Wrangell, in what is now the Wrangell–St. Elias National Park. From its headwaters at the Copper Glacier, the river flows 300 miles westward, dropping some 3600 feet through the Alaskan wilderness before reaching the Gulf of Alaska. On its 300-mile journey to the ocean, it flows past 12 major glaciers, each further contributing to the volume of water and sediments that give the Copper River its distinctive opaque gray color. Its color can invoke a sense of lifelessness, but each spring the Copper River comes alive as millions of Chinook salmon (*Oncorhynchus tshawytscha*) enter the mouth of the river from the Gulf of Alaska and make their way upriver to spawn (breed; see photo).

The salmon stop eating once they enter freshwater to spawn. Their bodies darken, losing their shiny, silvery color. In battling against the current, the condition of the Chinooks deteriorates. Their sheer abundance in the waters and weakened state make the Chinook easy prey for predators such as the Alaskan brown bear and bald eagle, and most of the salmon die before their task is completed. The survivors continue their trek to the headwaters and then to the streams that feed the river along its journey. Once the Chinooks arrive, the female chooses a site and digs a nest with her tail (nests are referred to as *redds*). She deposits from 3000 to 5000 eggs that are then fertilized by one or more males. Within a week of breeding, the adults will die.

The life cycle of Chinook salmon begins when the young emerge from the redd. Hatchlings live in freshwater for up to a year before heading downriver to the coastal waters. Once in the sea, the salmon move across an area of thousands of miles while they mature. Within two to five years, adults return to the Copper River, and the cycle begins again.

This cycle has repeated itself for thousands of years, and the population of Chinook salmon in the Copper

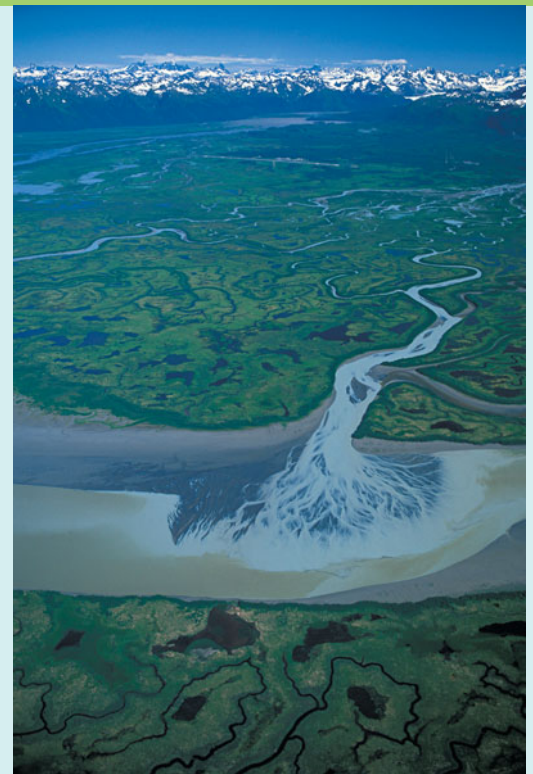
River has persisted. If on average, each individual that spawns is successful in producing one offspring that also survives to reproduce successfully, the population will persist. The Copper River population depends on a variety of factors that influence survival patterns over the Chinook life cycle, such as the abundance of food and the environmental conditions in the river and streams that are home to the hatchlings and in the open oceans that are home to the adults. Likewise, environmental conditions influence reproductive success. In years that are unusually warm and dry, the streams and tributaries of the Copper River can become so shallow that access to spawning areas becomes severely restricted, reducing reproduction.

This story is repeated along the coastline of the Pacific Northwest. In Alaska alone, Chinook return to the Yukon, Kuskokwim, Nushagak, Susitna, Kenai, Alsek, Taku, and Stikine rivers, as well as countless small streams that flow to the coast. Because salmon return at maturity to their parent streams to spawn, the larger population that inhabits the waters of the northern Pacific Ocean is actually a collection of local populations, each of which is genetically unique. Individuals return to the specific locations in the streams where they were born and thus breed within specific subpopulations. The dynamics of the larger coastal population, both demographic and genetic, are therefore a product of the collective dynamics of these local breeding populations.

The story of the Chinook salmon is unique to this species, a product of its life history characteristics (see Chapter 10). Yet this salmon's story illustrates a much broader concept of the processes governing population dynamics. For each individual, birth and death are discrete events; but for the population, birth and death are collective properties, and these collective properties govern the dynamics of the population through time. The population is an ever-changing entity as individuals are born and die. If the number of individuals being born exceeds the number dying, the size of the population



Chinook salmon move against the current to reach the upper reaches of the river, where they will spawn (reproduce).



will increase. Should the reverse situation occur (births, deaths), the population size will decline.

Various factors can influence the survival and reproductive success of individuals. The net effect of these factors is to regulate the population's growth. Finally, the collective of all Chinook salmon in the Pacific Northwest does not form one homogeneous, interbreeding population. Rather, the larger regional population consists of a collection of local populations that are connected by the occasional exchange of individuals among them. Therefore, the larger regional population is governed by processes operating at two spatial scales: the dynamics of local populations and the larger dynamic of the collective of local populations. Here, we explore these

basic concepts as they relate to the dynamics of natural populations (Part Three). We will examine the properties characterizing the collective of individuals that define the population (Chapter 8). We will also examine how the collective properties of birth and death govern the dynamics of local populations (Chapter 9). We will explore how these collective properties of age-specific birth and death represent adaptations—products of evolution (Chapter 10)—and we will examine factors, both environmental and behavioral, that function to regulate the growth of populations (Chapter 11). Finally, we will explore the processes that influence the interactions among local subpopulations and, subsequently, the dynamics of the larger regional population (Chapter 12).

# Properties of Populations



This field of daisies represents a population—a group of individuals of the same species inhabiting a given area.

- 8.1** Organisms May Be Unitary or Modular
- 8.2** The Distribution of a Population Defines Its Spatial Location
- 8.3** Abundance Reflects Population Density and Distribution
- 8.4** Determining Density Requires Sampling
- 8.5** Populations Have Age Structures
- 8.6** Sex Ratios in Populations May Shift with Age
- 8.7** Individuals Move Within the Population
- 8.8** Population Distribution and Density Change in Both Time and Space

**A**s an individual, how do you perceive the world? Most of us regard a friend, a neighborhood maple tree, a daisy in a field, a squirrel in the park, or a bluebird nesting in the backyard as individuals. Rarely do we consider such individuals as part of a larger unit—a population. Although the term *population* has many different meanings and uses, for biologists and ecologists it has a very specific definition. A population is a group of individuals of the same species that inhabit a given area. This definition has two important features. First, by requiring that individuals be of the same species, the definition suggests the potential (in sexually reproducing organisms) for interbreeding among members of the population. As such, the population is a genetic unit. It defines the gene pool, the focus of evolution (see Chapter 5). Second, the population is a spatial concept, requiring a defined spatial boundary—for example, the breeding population of Chinook salmon inhabiting the Copper River described earlier or the population of Darwin’s ground finch inhabiting the Island of Daphne Major in the Galápagos Islands (Chapter 5, Section 5.4).

Populations have unique features because they are an aggregate of individuals. Populations have structure, which relates to characteristics such as density (the number of individuals per unit area), proportion of individuals in various age classes, and spacing of individuals relative to each other. Populations also exhibit dynamics—a pattern of continuous change through time that results from the birth, death, and movement of individuals. In our discussion, we explore the basic features used to describe the structure of populations, and in doing so we set the foundation for examining the dynamics of population structure in subsequent chapters (Chapters 9–12).

## 8.1 Organisms May Be Unitary or Modular

A population is considered to be a group of individuals, but what constitutes an individual? For most of us, defining an individual would seem to be no problem. We are individuals, and so are dogs, cats, spiders, insects, fish, and so on throughout much of the animal kingdom. What defines us as individuals is our unitary nature. Form, development, growth, and longevity of unitary organisms are predictable and determinate from conception on. The zygote, formed through sexual reproduction, grows into a genetically unique organism. There is no question about recognizing an individual. This simplistic view of an individual breaks down, however, when the organism is modular rather than unitary.

In modular organisms, the zygote develops into a unit of construction (a module) that then produces further, similar modules. Most plants are modular. A tree, shrub, or herbaceous plant grown from a seed is an individual with its own genetic characteristics (see Chapter 5). Once established, some species of trees—such as black locust (*Robinia pseudoacacia*) and quaking aspen (*Populus tremuloides*)—shrubs, and many perennial herbaceous plants grow root extensions to send up new shoots or suckers that may remain attached to root extensions or break off to live independently (Figure 8.1). These new modules (or clones) may cover a considerable area and appear

to be individuals. The individual tree or plant produced by sexual reproduction and thus arising from a zygote is a genetic individual, or **genet**. Modules produced asexually by the genet are **ramets**. The ramets may remain physically linked to the parent genet or they may separate, functioning independently. These modules can produce seeds and their own lateral extensions or ramets.

Whether living independently or physically linked to the original individual, all ramets possess the same genetic constitution as the original product of sexual reproduction. Thus, by producing ramets, the genet can cover a relatively large area and considerably extend its life. Some modules die, others live, and new ones appear.

Plants are the most obvious group of modular organisms. Many other modular animals, such as corals, sponges, and bryozoans (Figure 8.2), also grow by repeated production of modules.

Technically, to study populations of modular organisms, we must recognize two levels of population structure: the module (ramet) and the individual (genet). For practical purposes, however, ramets are often counted as—and function as—individual members of the population.

## 8.2 The Distribution of a Population Defines Its Spatial Location

The **distribution** of a population describes its spatial location, the area over which it occurs. Distribution is based on the presence and absence of individuals. If we assume that each red dot in Figure 8.3 (on page 153) represents an individual’s position within a population on the landscape, we can draw a line (shown in blue) defining the population distribution—a spatial boundary within which all individuals in the population reside. When the defined area encompasses all the individuals of a species (total area occupied by a population), the distribution describes the population’s **geographic range**.

Population distribution is influenced by the occurrence of suitable environmental conditions. The red maple (*Acer rubrum*), for example, is the most widespread of all deciduous trees of eastern North America (Figure 8.4 on page 153). The northern limit of its geographic range coincides with the area in southeastern Canada where minimum winter temperatures drop to  $-40^{\circ}\text{C}$ . Its southern limit is the Gulf Coast and southern Florida. Dry conditions halt its westward range. Within this geographic range, the tree grows under a wide variety of soil types, soil moisture, acidity, and elevations—from wooded swamps to dry ridges. Thus, the red maple exhibits high tolerance to temperature and other environmental conditions. In turn, this high tolerance allows a widespread geographic range.

The example of red maple illustrates another important factor limiting the distribution of a population: geographic barriers. Although this tree species occupies several islands south of mainland Florida (see map in Figure 8.4), the southern and eastern limits of its geographic range correspond to the Gulf of Mexico and Atlantic coastline. Although environmental conditions may be suitable for establishment and growth in other geographic regions of the world (such as Europe and Asia), the red maple is restricted in its ability to colonize those areas.



**Figure 8.1** Two examples of modular growth in plants. (a) Growth in an aspen tree (*Populus tremuloides*) involves modules of roots and root buds, which give rise to clones. These clones are various ages, with the youngest individuals forming the leading edge of growth away from the parent. (b) Growth pattern of sea grass *Halodule beaudettei* (shoal grass) along rhizomes. The rhizomes grow below bottom sediments, and new short shoots are produced at regular intervals as the rhizome grows laterally.

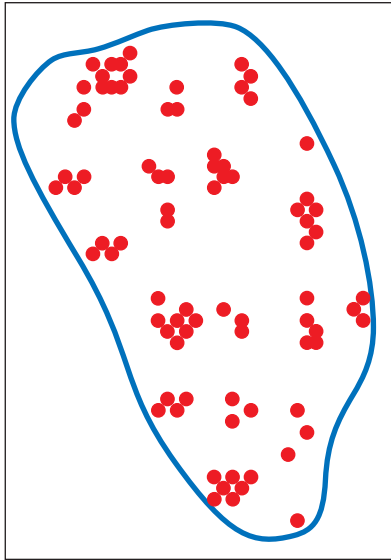


(a)



(b)

**Figure 8.2** Examples of clonal animal species: (a) corals and (b) sponges.



**Figure 8.3** A hypothetical population. Each red dot represents an individual organism. The blue line defines the population distribution, or the area in which the population occurs.



**Figure 8.4** Red maple (*Acer rubrum*), one of the most abundant and widespread trees in eastern North America, thrives on a wider range of soil types, texture, moisture, acidity, and elevation than does any other forest species in North America. The northern extent of its range coincides with the minimum winter temperature in southeastern Canada.

Other barriers to dispersal (movement of individuals), such as mountain ranges or extensive areas of unsuitable habitat, may likewise restrict the spread and therefore the geographic range of a species.

Later, we will explore another factor that can restrict the distribution of a population: interactions, such as competition and predation, with other species (Part Four).

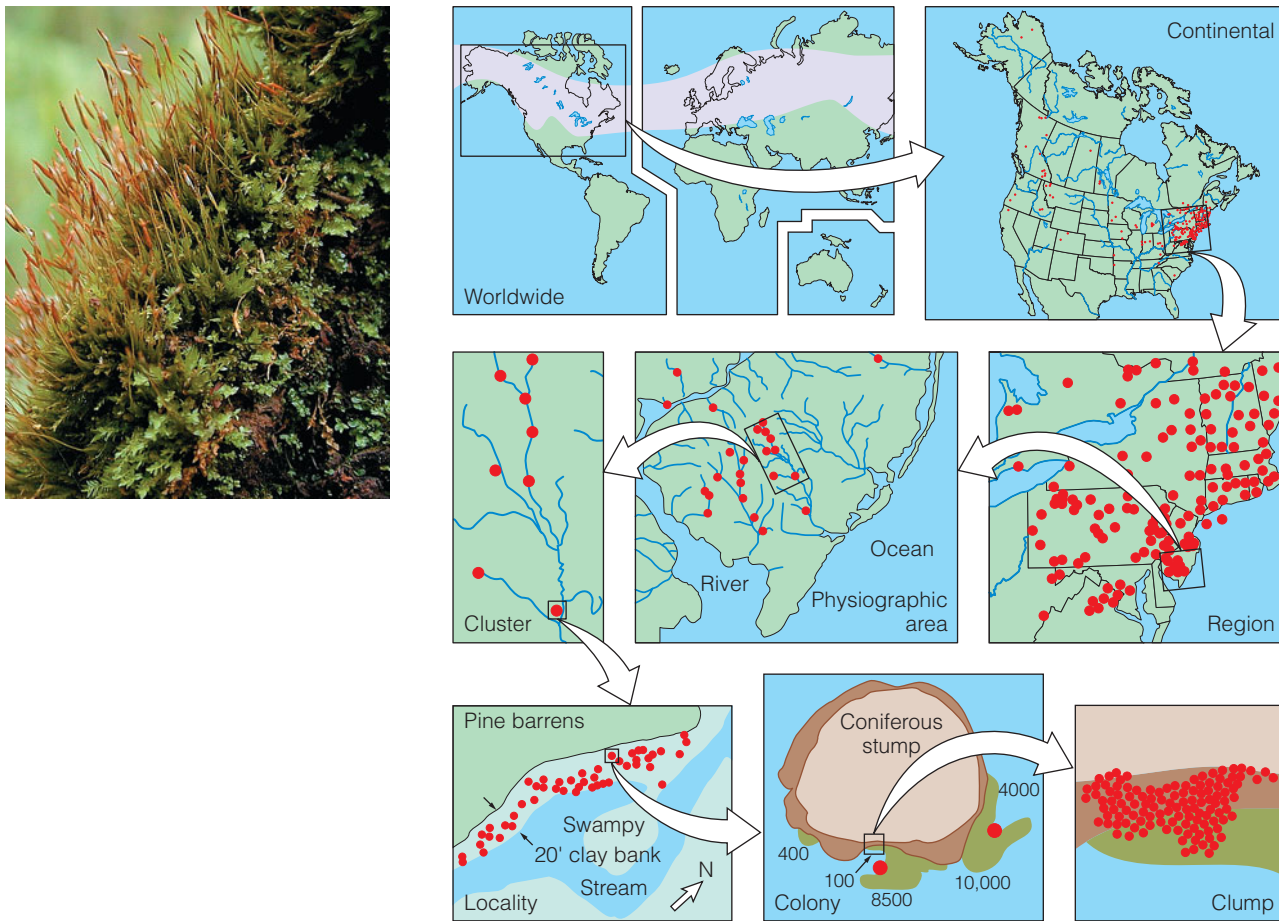
Within the geographic range of a population, individuals are not distributed equally throughout the area. Individuals occupy only those areas that can meet their requirements. An organism responds to a variety of environmental factors, and it can inhabit a location only when those factors are all within the range of tolerance (see Section 5.8). As a result, we can describe the distribution of a population at various spatial scales. For example, in Figure 8.5, the distribution of the moss *Tetraphis pellucida* is described at several different spatial scales, ranging from its geographic distribution at a global scale to the location of individuals within a single clump occupying the stump of a dead conifer tree. This species of moss can grow only in areas where the temperature, humidity, and pH are suitable, and different factors may be limiting at different spatial scales. At the continental scale, the suitability of climate (temperature and humidity) is the dominant factor. Within a particular area, distribution of the moss is limited to microclimates along stream banks, where conifer trees are abundant. Within a particular locality, it occupies the stumps of conifer trees where the pH is sufficiently acidic.

Due to environmental heterogeneity, most populations are divided into subpopulations, each occupying a patch of suitable habitat that is separated from other subpopulations by areas that are unsuitable. In the example of *Tetraphis* presented in Figure 8.5, distribution of individuals within a region is limited to stream banks, where temperature and humidity are within its range of tolerance, and stands of conifers are present to provide a substrate for growth. As a result, the population is divided into **local subpopulations**, each associated with a watershed. Ecologists refer to the collective of local subpopulations as a **metapopulation**, which we will address in detail later (Chapter 12). Ecologists typically study these local, or subpopulations, rather than the entire population of a species over its geographic range. For this reason, it is important when referring to a population to define explicitly its boundaries (spatial extent). For example, an ecological study might refer to the population of red maple trees in the Three Ridges Wilderness Area of the George Washington–Jefferson National Forest in Virginia, or to the population of Chinook salmon spawning in the Copper River of Alaska (see introduction to Part Three).

### 8.3 Abundance Reflects Population Density and Distribution

Whereas distribution defines the spatial extent of a population, **abundance** defines its size—the number of individuals in the population. In Figure 8.3, the population abundance is the total number of red dots (individuals) within the blue line that defines the population distribution.

Abundance is a function of two factors: (1) the population density and (2) the area over which the population is distributed. **Population density** is the number of individuals per unit area (per square kilometer, hectare, or square meter), or per unit volume (per liter or  $m^3$ ). By placing a grid over the population distribution shown in Figure 8.3, as is done

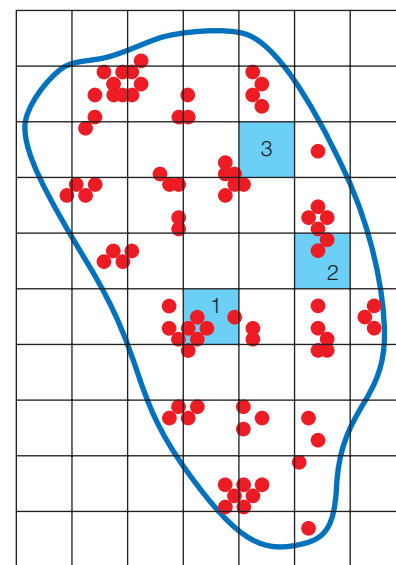


**Figure 8.5** Distribution of the moss *Tetraphis pellucida* at various spatial scales, from its global geographic range to the location of individual colonies on a tree stump. (Adapted from Firman 1964; as illustrated in Krebs 2001.)

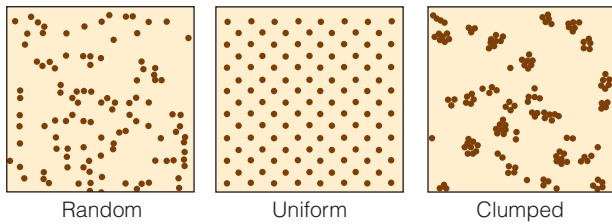
in Figure 8.6, we can calculate the density for any given grid cell by counting the number of red dots that fall within its boundary. Density measured simply as the number of individuals per unit area is referred to as **crude density**. The trouble with this measure is that individuals are typically not equally numerous over the geographic range of the population (see Section 8.2). Individuals do not occupy all the available space within the population's distribution, because not all areas are suitable. As a result, density can vary widely from location to location (see Figure 8.6).

How individuals are distributed within a population—in other words, their spatial position relative to each other—has an important bearing on density. Individuals of a population may be distributed randomly, uniformly, or in clumps (Figure 8.7). Individuals may be distributed randomly if each individual's position is independent of those of the others.

By contrast, individuals distributed uniformly are more or less evenly spaced. A uniform distribution usually results from some form of negative interaction among individuals, such as competition, which functions to maintain some minimum distance among members of the population (see Chapter 11). Uniform distributions are common in animal populations where individuals defend an area for their own exclusive use (territoriality) or in plant populations where



**Figure 8.6** We can use the hypothetical population shown in Figure 8.3 to define the distinction between abundance and density. Abundance is defined as the total number of individuals in the population (red dots). Population density is defined as the number of individuals per unit area. The grid divides the distribution into quadrats of equal size. If we assume that each grid cell is  $1 \text{ m}^2$ , the density of grid cell 1 is 5 individuals/ $\text{m}^2$ , the density of grid cell 2 is 2 individuals/ $\text{m}^2$ , and the density of the third grid cell is zero (unoccupied).



**Figure 8.7** Patterns of the spatial distribution for individuals within a population: random, uniform, and clumped.



**Figure 8.8** Shrubs in the Kara Kum Desert of central Asia conform to a uniform distribution. The root systems of these shrubs extend laterally up to eight times the diameter of their canopy, and competition for water in this arid environment is intense.

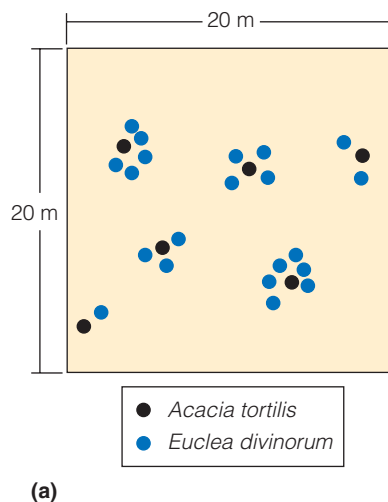
severe competition exists for belowground resources such as water or nutrients (Figure 8.8; see also Section 11.10).

The most common spatial distribution is clumped, in which individuals occur in groups. Clumping results from a variety of factors. For example, suitable habitat or other resources may be distributed as patches on the larger landscape.

Some species form social groups, such as fish that move in schools or birds in flocks. Plants that reproduce asexually form clumps, as ramets extend outward from the parent plant (see Figure 8.1). The distribution of humans is clumped because of social behavior, economics, and geography, reinforced by the growing development of urban areas during the past century (see the introduction to Part Eight). In the example presented in Figure 8.6, the individuals within the population are clumped; as a result, the density varies widely between grid cells.

As with geographic distribution (see Section 8.2), the spatial distribution of individuals within the population can also be described at multiple spatial scales. For example, the distribution of the shrub *Euclea divinorum*, found in the savanna ecosystems of Southern Africa, is clumped (Figure 8.9a). The clumps of *Euclea* are associated with the canopy cover of another plant that occupies the savanna: trees of the genus *Acacia* (Figure 8.9b). The clumps, however, are uniformly spaced, reflecting the uniform distribution of *Acacia* trees on the landscape. The regular distribution of trees is a function of competition among neighboring individuals for water (see Section 11.10). In the example of *Tetraphis* presented in Figure 8.5, the spatial distribution of individuals is clumped at two different spatial scales. Populations are concentrated in long bands or strips along the stream banks, leaving the rest of the area unoccupied. Within these patches, individuals are further clumped in patches corresponding to the distribution of conifer stumps.

To account for patchiness, ecologists often refer to **ecological density**, the number of individuals per unit of available living space. For example, in a study of bobwhite quail (*Colinus virginianus*) in Wisconsin, biologists expressed density as the number of birds per mile of hedgerow (the birds' preferred habitat), rather than as birds per hectare. Ecological densities are rarely estimated, because determining what portion of a habitat represents living space is typically a difficult undertaking.



**Figure 8.9** Spatial distribution of the shrub *Euclea divinorum*, inhabiting the savannas of southern Africa. Individuals are clumped under the canopies of *Acacia tortilis* trees, as seen in both (a) the mapping of individuals within a sample plot and in (b) the accompanying photograph. The clumps, however, are uniformly spaced due to the uniform spacing of *A. tortilis* trees on the landscape.

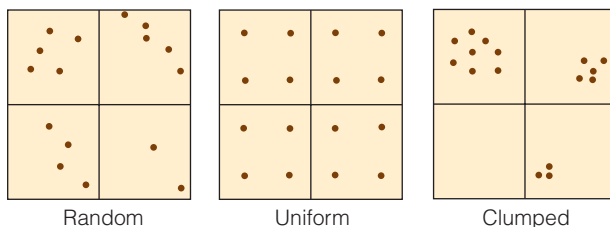


## 8.4 Determining Density Requires Sampling

Population size (abundance) is a function of population density and the area that is occupied (geographic distribution). In other words, population size = density  $\times$  area. But how is density determined? When both the distribution (spatial extent) and abundance are small, as in the case of many rare or endangered species, a complete count may be possible (see Chapter 29). Likewise, in some habitats that are unusually open, such as antelope living on an open plain or waterfowl concentrated in a marsh, density may be determined by a direct count of all individuals. In most cases, however, density must be estimated by sampling the population.

A method of sampling used widely in the study of populations of plants and sessile (attached) animals involves quadrats, or sampling units. Researchers divide the area of study into subunits, in which they count animals or plants of concern in a prescribed manner, usually counting individuals in only a subset or sample of the subunits (as in Figure 8.6). From these data, they determine the mean density of the units sampled. Multiplying the mean value by the total area provides an estimate of population size (abundance). The accuracy of sampling can be influenced by the manner in which individuals are spatially distributed over the landscape (Section 8.3). The estimate of density can also be influenced by the choice of boundaries or sample units. If a population is clumped—concentrated into small areas—and the population density is described in terms of individuals per square kilometer, you receive a false impression (Figure 8.10). In this case, it is important to report an estimate of variation or provide a confidence interval for the estimate of density. In cases where clumping is a result of habitat heterogeneity (habitat is clumped), ecologists may choose to use the index of ecological density for the specific areas (habitats) in which the species is found (for example, stream banks in Figure 8.5).

For mobile populations, animal ecologists must use other sampling methods. Capturing, marking, and recapturing individuals within a population—known generally as mark-recapture—is the most widely used technique to estimate animal populations. There are many variations of this technique, and entire books are devoted to various methods of application and statistical analysis. Nevertheless, the basic concept is simple.



**Figure 8.10** The difficulty of sampling. Each area contains a population of 16 individuals. We divide each area into four sampling units and choose one at random. Our estimates of population size will be quite different, depending on which unit we select. For the random population, the estimates are 20, 20, 16, and 8. For the uniform population, any sampling unit gives a correct estimate (16). For the clumped population, the estimates are 32, 20, 0, and 12.

Capture-recapture or mark-recapture methods are based on trapping, marking, and releasing a known number of marked animals ( $M$ ) into the population ( $N$ ). After giving the marked individuals an appropriate period of time to once again mix with the rest of the population, some individuals are again captured from the population ( $n$ ). Some of the individuals caught in this second period will be carrying marks (recaptured,  $R$ ), and others will not. If we assume that the ratio of marked to sampled individuals in the second sample ( $n/R$ ) represents the ratio for the entire population ( $N/M$ ), we can compute an estimate of the population using the following relationship:

$$\frac{N}{M} = \frac{n}{R}$$

The only variable that we do not know in this relationship is  $N$ . We can solve for  $N$  by rearranging the equation as follows:

$$N = \frac{nM}{R}$$

For example, suppose that in sampling a population of rabbits, a biologist captures and tags 39 rabbits from the population. After their release, the ratio of the number of rabbits in the entire population ( $N$ ) to the number of tagged or marked rabbits ( $M$ ) is  $N/M$ . During the second sample period, the biologist captures 15 tagged rabbits ( $R$ ) and 19 unmarked ones—a total of 34 ( $n$ ). The estimate of population size,  $N$ , is calculated as

$$N = \frac{nM}{R} = \frac{(34 \times 39)}{15} = 88$$

This simplest method, the single mark–single recapture, is known as the Lincoln index or Petersen index of relative population size.

For work with most animals, ecologists find that a measure of relative density or abundance is sufficient. Methods involve observations relating to the presence of organisms rather than to direct counts of individuals. Techniques include counts of vocalizations, such as recording the number of drumming ruffed grouse heard along a trail; counts of animal scat seen along a length of road traveled; or counts of animal tracks, such as the number of opossums crossing a certain dusty road. If these observations have some relatively constant relationship to total population size, you can then convert the data to the number of individuals seen per kilometer or heard per hour. Such counts, called indices of abundance, cannot function alone as estimates of actual density. However, a series of such index figures collected from the same area over a period of years depicts trends in abundance. Counts obtained from different areas during the same year provide a comparison of abundance between different habitats. Most population data on birds and mammals are based on indices of relative abundance rather than on direct counts.

## 8.5 Populations Have Age Structures

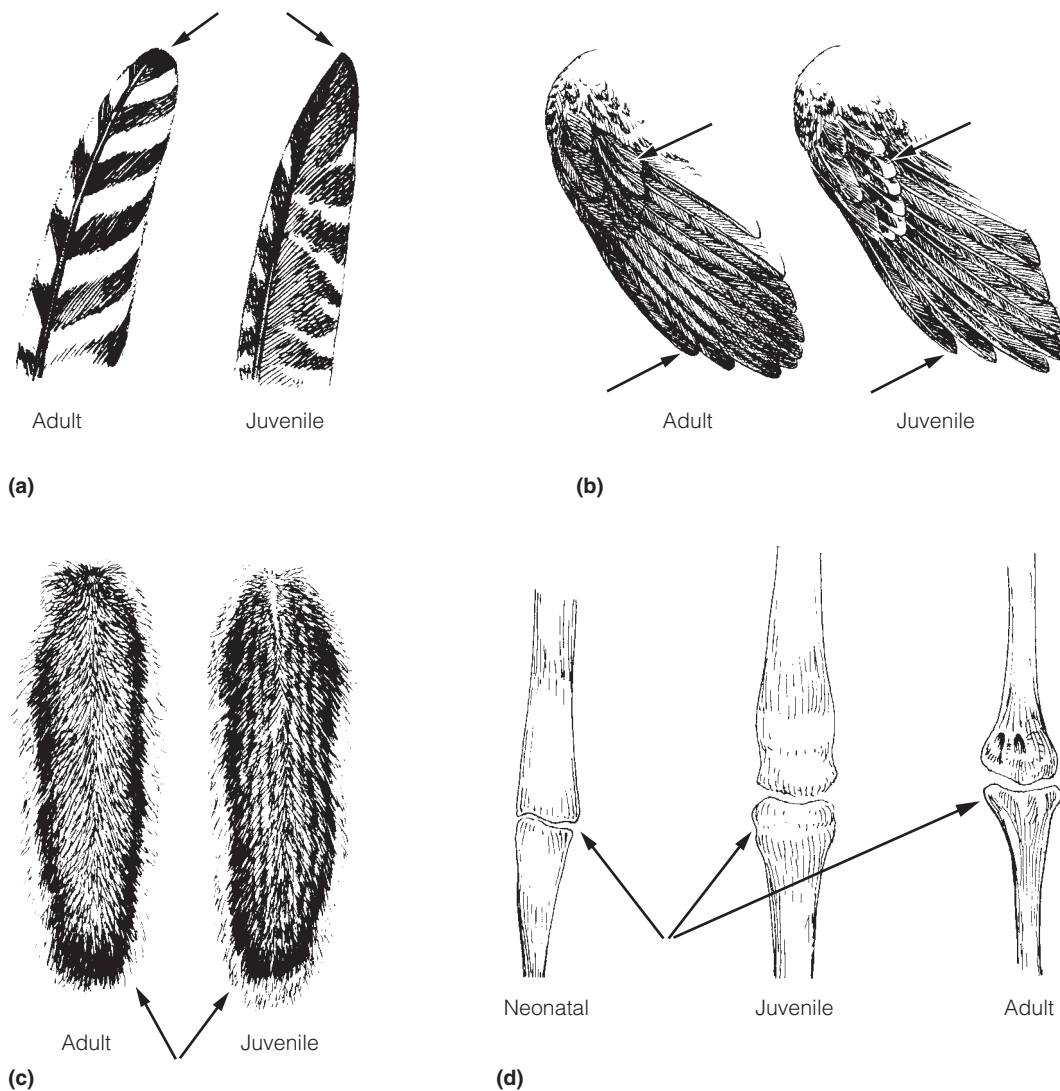
Abundance describes the number of individuals in the population but provides no information on their characteristics—that is, how individuals within the population may differ from each other. Unless each generation reproduces and dies in a

single season, not overlapping the next generation (such as annual plants and many insects), the population will have an age structure: the number or proportion of individuals in different age classes. Because reproduction is restricted to certain age classes and mortality is most prominent in others, the relative proportions of each age group bear on how quickly or slowly populations grow (see Chapter 9).

Populations can be divided into three ecologically important age classes or stages: prereproductive, reproductive, and postreproductive. We might divide humans into young people, working adults, and senior citizens. How long individuals remain in each stage depends largely on the organism's life history (see Chapter 10). Among annual species, the length of the prereproductive stage has little influence on the

rate of population growth. In organisms with variable generation times, the length of the prereproductive period has a pronounced effect on the population's rate of growth. Short-lived organisms often increase rapidly, with a short span between generations. Populations of long-lived organisms, such as elephants and whales, increase slowly and have a long span between generations.

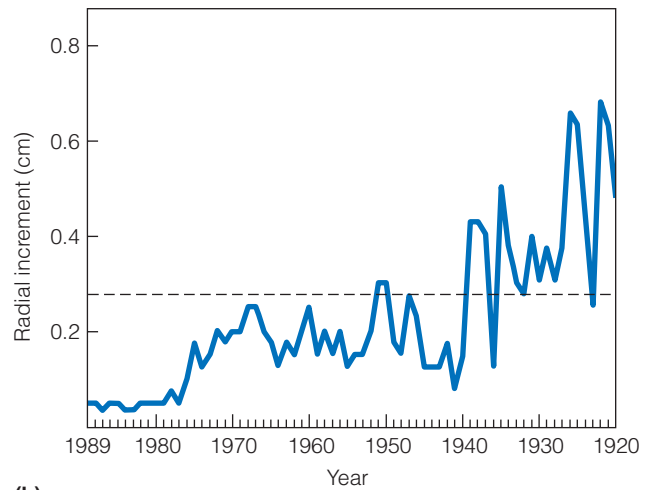
Determining a population's age structure requires some means of obtaining the ages of its members. For humans, this task is not a problem; but it is for wild populations. Age data for wild animals can be obtained in several ways, and the method varies with the species (Figure 8.11). The most accurate, but most difficult, method is to mark young individuals in a population and follow their survival through time. This



**Figure 8.11** Examples of methods used by ecologists for determining the age of birds and mammals. (a) The leading primary feather on an adult wild turkey is rounded, whereas that of a juvenile is pointed. (b) Besides having a pointed leading primary feather, the juvenile bobwhite quail has buff-colored primary coverts (see arrows). (c) Differences in the color bars on the tail of gray squirrels distinguish adults from juveniles. Juveniles have much more distinctive bands of white and black along the tail edge. (d) Researchers can detect differences in the bone structure of bat wings, and determine age, by feeling the wing bones of living individuals.



(a)



(b)

**Figure 8.12** (a) Cross section of tree trunk showing annual growth rings. By measuring the width of each ring, a pattern of radial growth through time can be established. (b) Example of time series of radial increments for an American beech tree (*Fagus grandifolia*) in central Virginia. Dashed line is overall average for the tree over time.

method requires a large number of marked individuals and a lot of time. For this reason, biologists may use other, less-accurate methods. These methods include examining a representative sample of individual carcasses to determine their ages at death. A biologist might look for the wear and replacement of teeth in deer and other ungulates, growth rings in the cementum of the teeth of carnivores and ungulates, or annual growth rings in the horns of mountain sheep. Among birds, observations of plumage changes and wear in both living and dead individuals can separate juveniles from subadults (in some species) and adults. Aging of fish is most commonly accomplished by counting rings deposited annually (annuli) on hard parts including scales, otoliths (ear bones), and spines.

Studies of the age structure of plant populations are few. The major reason is the difficulty of determining the age of plants and whether the plants are genetic individuals (genets) or ramets.

Foresters have tried to use age structure as a guide to timber management (see Chapter 28). They employ size (diameter of the trunk at breast height, or dbh) as an indicator of age on the assumption that diameter increases with age—the greater the diameter, the older the tree. Such assumptions, foresters discovered, were valid for dominant canopy trees; but with their growth suppressed by lack of light, moisture, or nutrients, smaller understory trees, seedlings, and saplings add little to their diameters. Although their diameters suggest youth, small trees often are the same age as large individuals in the canopy.

You can determine the approximate ages of trees in which growth is seasonal by counting annual growth rings (Figure 8.12). Attempts to age nonwoody plants have not been successful. The most accurate method of determining the age structure of short-lived herbaceous plants is to mark individual seedlings and follow them through their lifetimes.

Age pyramids (Figure 8.13) are snapshots of the age structure of a population at some period in time, providing a picture of the relative sizes of different age groups in the population. As

we shall see, the age structure of a population is a product of the age-specific patterns of mortality and reproduction (Chapter 9). In plant populations, the distribution of age classes is often highly skewed (Figure 8.14), because dominant overstory trees can inhibit the establishment of seedlings and growth and survival of juvenile trees. One or two age classes dominate the site until they die or are removed, allowing young age classes access to resources such as light, water, and nutrients so they can grow and develop.

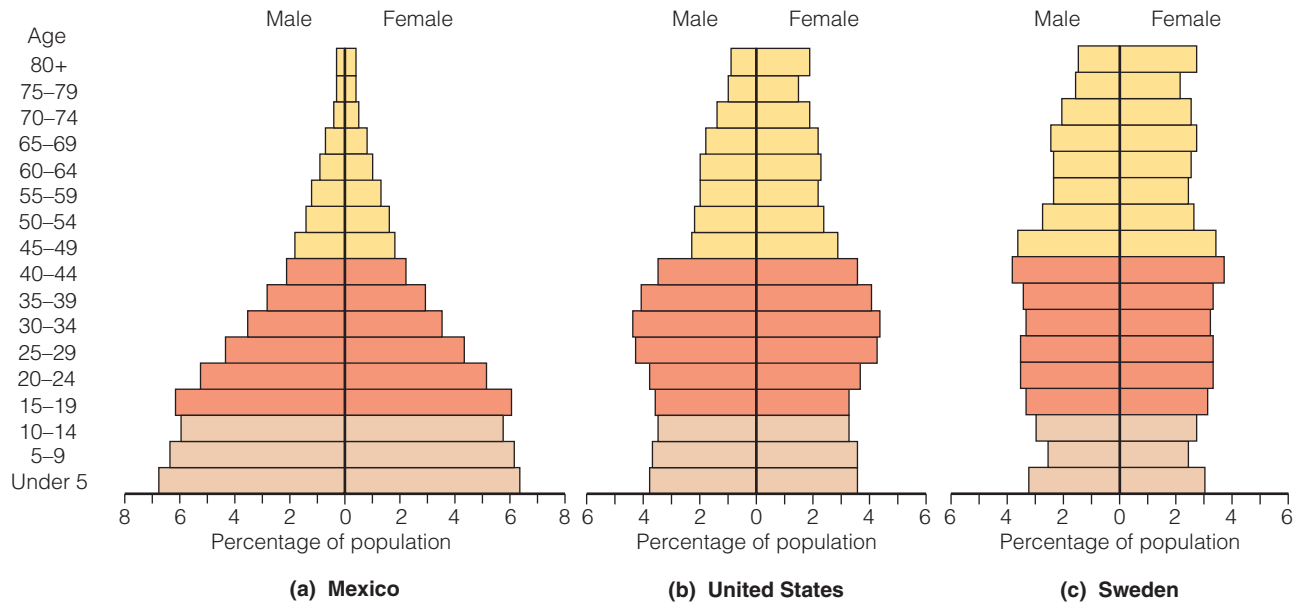
## 8.6 Sex Ratios in Populations May Shift with Age

Populations of sexually reproducing organisms in theory tend toward a 1:1 sex ratio (the proportion of males to females). The primary sex ratio (the ratio at conception) also tends to be 1:1. This statement may not be universally true, and it is, of course, difficult to confirm.

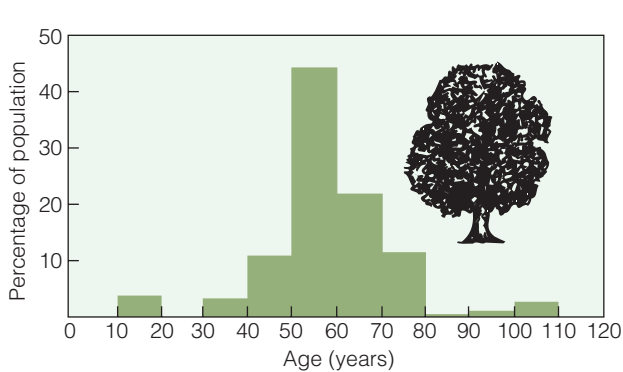
In most mammalian populations, including humans, the secondary sex ratio (the ratio at birth) is often weighted toward males, but the population shifts toward females in the older age groups. Generally, males have a shorter life span than females do. The shorter life expectancy of males can be a result of both physiological and behavioral factors. For example, in many animal species, rivalries among males occur for dominant positions in social hierarchies or for the acquisition of mates (see Section 10.9). Among birds, males tend to outnumber females because of increased mortality of nesting females, which are more susceptible to predation and attack.

## 8.7 Individuals Move Within the Population

At some stage in their lives, most organisms are to some degree mobile. The movement of individuals directly influences their local density. The movement of individuals in



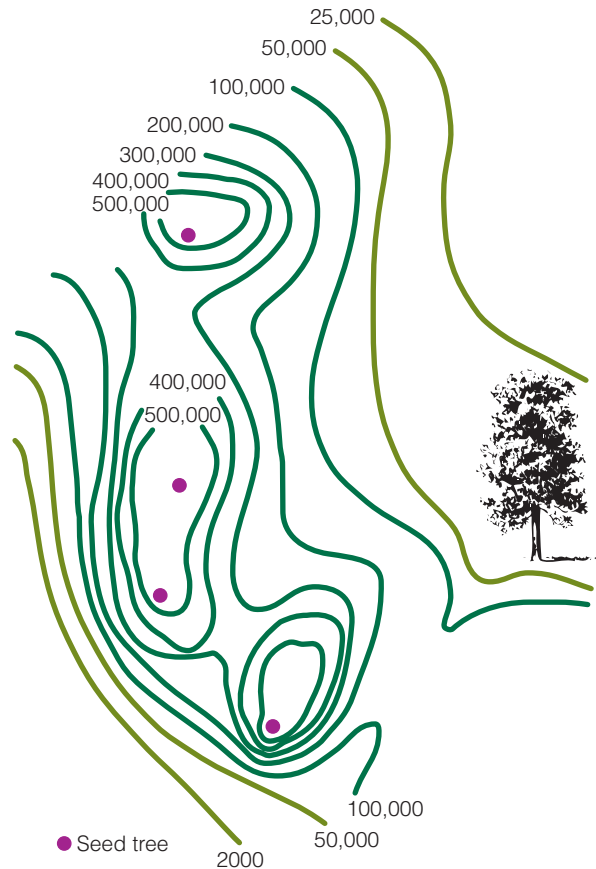
**Figure 8.13** Age pyramids for three human populations in 1989. (a) Mexico shows an expanding population. A broad base of young will enter the reproductive age classes. (b) The United States has a less tapered age pyramid. The youngest age classes are no longer the largest. (c) The age pyramid for Sweden is characteristic of a population that is approaching zero growth.



**Figure 8.14** Age structure of a red oak (*Quercus rubra*) forest in the Ozark Highlands of Missouri. The forest is dominated by trees in the 30-to-80-year age classes. There has been little recruitment of new trees for the past 30 years. (Data from Loewenstein et al. 2000)

space is referred to as **dispersal**, although the term *dispersal* most often refers to the more specific movement of individuals away from each other. When individuals move out of a subpopulation, it is referred to as **emigration**. When an individual moves from another location into a subpopulation, it is called **immigration**. The movement of individuals among subpopulations within the larger geographic distribution is a key process in the dynamics of metapopulations and in maintaining the flow of genes between these subpopulations (see Chapters 5 and 12).

Many organisms, especially plants, depend on passive means of dispersal involving gravity, wind, water, and animals. The distance these organisms travel depends on the agents of dispersal. Seeds of most plants fall near the parent, and their density falls off quickly with distance (Figure 8.15).



**Figure 8.15** Pattern of annual seedfall of yellow poplar (*Liriodendron tulipifera*). Lines define areas of equal density of seeds. With this wind-dispersed species, seedfall drops off rapidly away from the parent trees. (Adapted from Engle 1960.)

Heavier seeds, such as the acorns of oaks (*Quercus* spp.), have a much shorter dispersal range than do the lighter wind-carried seeds of maples (*Acer* spp.), birch (*Betula* spp.), milkweed (Asclepiadaceae), and dandelions (*Taxaxacum officinale*). Some plants, such as cherries and viburnums (*Viburnum* spp.), depend on active carriers such as particular birds and mammals to disperse their seeds by eating the fruits and carrying the seeds to some distant point. These seeds pass through the animals' digestive tract and are deposited in their feces. Other plants possess seeds armed with spines and hooks that catch on the fur of mammals, the feathers of birds, and the clothing of humans. In the example of the clumped distribution of *E. divinorum* shrubs (see Figure 8.9), birds disperse seeds of this species. The birds feed on the fruits and deposit the seeds in their feces as they perch atop the *Acacia* trees. In this way, the seeds are dispersed across the landscape, and the clumped distribution of the *E. divinorum* is associated with the use of *Acacia* trees as bird perches.

For mobile animals, dispersal is active; but many depend on a passive means of transport, such as wind and moving water. Wind carries the young of some species of spiders, larval gypsy moths, and cysts of brine shrimp (*Artemia salina*). In streams, the larval forms of some invertebrates disperse downstream in the current to suitable habitats. In the oceans, the dispersal of many organisms is tied to the movement of currents and tides.

Dispersal among mobile animals may involve young and adults, males and females; there is no hard-and-fast rule about who disperses. The major dispersers among birds are usually the young. Among rodents, such as deer mice (*Peromyscus maniculatus*) and meadow voles (*Microtus pennsylvanicus*), subadult males and females make up most of the dispersing individuals. Crowding, temperature change, quality and abundance of food, and photoperiod all have been implicated in stimulating dispersal in various animal species.

Often, the dispersing individuals are seeking vacant habitat to occupy. As a result, the distance they travel will depend partly on the density of surrounding populations and the availability of suitable unoccupied areas.

Unlike the one-way movement of animals in the processes of emigration and immigration, **migration** is a round-trip. The repeated return trips may be daily or seasonal. Zooplankton in the oceans, for example, move down to lower depths by day and move up to the surface by night. Their movement appears to be a response to light intensity. Bats leave their daytime roosting places in caves and trees, travel to their feeding grounds, and return by daybreak. Other migrations are seasonal, either short range or long range. Earthworms annually make a vertical migration deeper into the soil to spend the winter below the freezing depths and move back to the upper soil when it warms in spring. Elk (*Cervus canadensis*) move down from their high mountain summer ranges to lowland winter ranges. On a larger scale, caribou (*Rangifer tarandus*) move from the summer calving range in the arctic tundra to the boreal forests for the winter, where lichens are their major food source. Gray whales (*Eschrichtius robustus*) move down from the food-rich arctic waters in summer to their warm wintering



**Figure 8.16** Migratory pathways of two vertebrates. (a) Ring-necked ducks (*Aythya collaris*) breeding in the northeast migrate in a corridor along the coast to wintering grounds in South Carolina and Florida. (b) The gray whale (*Eschrichtius robustus*) summers in the Arctic and Bering seas; it winters in the Gulf of California and the waters off Baja California.

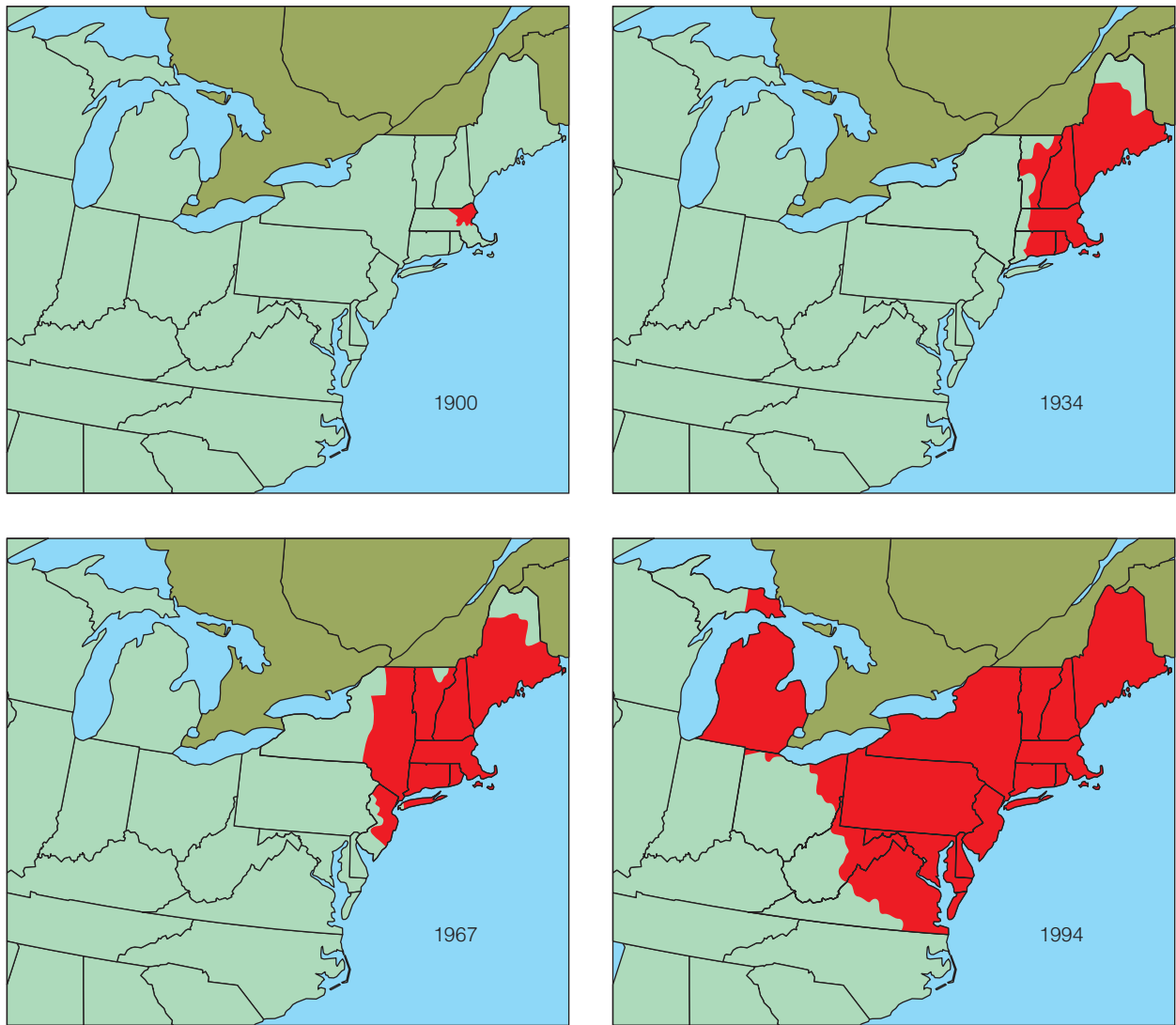
waters of the California coast, where they give birth to young (Figure 8.16). Similarly, humpback whales (*Megaptera novaeangliae*) migrate from northern oceans to the central Pacific off the Hawaiian Islands. Perhaps the most familiar of all are long-range and short-range migrations of waterfowl, shorebirds, and neotropical migrants in spring to their nesting grounds and in fall to their wintering grounds (see Field Studies: T. Scott Sillett, pp. 214–215).

Another type of migration involves only one return trip. Such migrations occur among Pacific salmon (*Oncorhynchus* spp.) that spawn in freshwater streams (see the introduction to Part Three). The young hatch and grow in the headwaters of freshwater coastal streams and rivers and travel downstream and out to sea, where they reach sexual maturity. At this stage, they return to the home stream to spawn (reproduce) and then die.

## 8.8 Population Distribution and Density Change in Both Time and Space

Dispersal has the effect of shifting the spatial distribution of individuals and consequently the localized patterns of population density. Emigration may cause density in some areas to decline, while immigration into other areas will increase the density of subpopulations or even establish new subpopulations in habitats that were previously unoccupied.

In some instances, dispersal can result in the shift or expansion of a population's geographic range. The role of dispersal in range expansion is particularly evident in populations that have



**Figure 8.17** Spread of the gypsy moth (*Lymantria dispar*) in the United States after its introduction in Massachusetts in 1869. Note how dispersal since its original introduction has expanded the geographic distribution of this population. See *Ecological Issues: Human-Assisted Dispersal* for details.

(Adapted from Liebhold 1992; as illustrated in Krebs 2001.)

been introduced to a region where they did not previously exist. A wide variety of species have been introduced, either intentionally or unintentionally, into regions outside their geographic distribution. As the initial population becomes established, individuals disperse into areas of suitable habitat, expanding the geographic distribution as the population grows. A map showing the spread of the gypsy moth (*Lymantria dispar*) in the eastern United States after its introduction in 1869 is shown in Figure 8.17. The story of the introduction of this species is presented in detail in *Ecological Issues: Human-Assisted Dispersal*.

In other cases, the range expansion of a population has been associated with temporal changes in environmental conditions, shifting the spatial distribution of suitable habitats.

Such is the case of the shift in the distribution of tree populations in eastern North America as climate has changed during the past 20,000 years (see Section 19.9, Figure 19.19). Examples of predicted changes in the distribution of plant and animal populations resulting from future human-induced changes in Earth's climate are discussed later (Chapter 30). Although the movement of individuals within the population results in a changing pattern of distribution and density through time, the primary factors driving the dynamics of population abundance are the demographic processes of birth and death. The processes of birth and death, and the resulting changes in population structure, are the focus of our attention in the following chapter.

## ECOLOGICAL ISSUES Human-Assisted Dispersal

Dispersal is a key feature of the life histories of all species, and a diversity of mechanisms have evolved to allow plant and animal species to move across the landscape and seascape. In plants, seeds and spores can be dispersed by wind, water, or through active dispersal by animals (see Section 16.15). In animals, the dispersal of fertilized eggs, particularly in aquatic environments, can result in dispersal of offspring across significant distances. But dispersal typically involves the movement—either active or passive—of individuals, both juvenile and adult. In recent centuries, however, a new source of long-distance dispersal has led to the redistribution of species at a global scale: dispersal by humans.

Humans are increasingly moving about the world. As they do so, they may either accidentally or intentionally introduce plants and animals into places where they have never occurred. Sometimes these introductions are harmless, but often the introduced organisms negatively affect native species and ecosystems. In the past few centuries, many plants and animals, especially insects, have been introduced accidentally by accompanying imported agricultural forest products. The seeds of weed species are unintentionally included in shipments of imported crop seeds or on the bodies of domestic animals. Or, seed-carrying soil from other countries is often loaded onto ships as ballast and then dumped in another country in exchange for cargo. Major forest insect pests such as the Asian longhorned beetle (*Anoplophora glabripennis*) are hitchhikers on wooden shipping containers and pallets.

Humans have also introduced nonnative plants intentionally for ornamental and agricultural purposes. Most of these introduced plants do not become established and reproduce, but many such as purple loosestrife (*Lythrum salicaria*) do form extensive colonies. These invasive plants compete with native species for resources such as light, water, nutrients, pollinators, and seed dispersers. They may also alter the functioning of ecosystems by changing patterns of water use or through the natural frequency of disturbances such as fire—all of which can severely affect native species.

One of the most invasive plants is kudzu (*Pueraria montana*), a species of vine native to Asia. This plant was originally introduced into the United States as an ornamental vine at the Philadelphia Centennial Exposition of 1876. By the early part of the 20th century, kudzu was being enthusiastically promoted as a fodder crop, and rooted cuttings were sold to farmers through the mail. In the 1930s and 1940s, kudzu was propagated and promoted by the Soil Conservation Service as a means of holding soil on the swiftly eroding gullies of the deforested southern landscape, especially in the Piedmont regions of Alabama, Georgia, and Mississippi. By the 1950s, however, kudzu was recognized as a pest and removed from the list of species acceptable for use under the Agricultural Conservation Program, and in 1998 it was listed by the U.S. Congress as a Federal Noxious Weed. Although it spreads slowly, kudzu completely covers all other vegetation, blanketing trees with a dense canopy through which very little light can penetrate (Figure 1). Estimates of kudzu infestation in the southeastern United States vary greatly, from as low as 2 million to as high as 7 million acres.

The most damaging introduced insect pest of eastern United States hardwood forests is gypsy moth (*Lymantria dispar*). The gypsy moth is found mainly in the temperate regions of the world, including central and southern Europe, northern Africa, central and southern Asia, and Japan. Leopold Trouvelot, a French astronomer with an interest in insects, originally introduced the species into



**Figure 1** Kudzu vines have blanketed the ground, shrubs, and trees.

Medford, Massachusetts, in 1869. As part of an effort to begin a commercial silk industry, Trouvelot wanted to develop a strain of silk moth that was resistant to disease. However, several gypsy moth caterpillars escaped from Trouvelot's home and established themselves in the surrounding areas. Some 20 years later, the first outbreak of gypsy moths occurred; and despite all control efforts since that time, the gypsy moth has persisted and extended its range (see Figure 8.17). In the United States, the gypsy moth has rapidly moved north to Canada, west to Wisconsin, and south to North Carolina. Gypsy moth caterpillars defoliate millions of acres of trees annually in the United States (Figure 2). In the forests of eastern North America, losses to European gypsy moths in 1981 were \$764 million, and the Asian strain that has invaded the Pacific Northwest has already necessitated a \$20 million eradication campaign.

1. In looking around your campus or local community, can you identify any examples of plant or animal species that owe their presence to active dispersal by humans?
2. How is agriculture an example of human-assisted dispersal?



(a)



(b)

**Figure 2** An oak forest (a) in summer has been completely defoliated by gypsy moth caterpillars (b).

---

## Summary

### Unitary and Modular Organisms 8.1

A population is a group of individuals of the same species living in a defined area. We characterize populations by distribution, abundance, density, and age structure. Most animal populations are made up of unitary individuals with a definitive growth form and longevity. In most plant populations, however, organisms are modular. These plant populations may consist of sexually produced parent plants and asexually produced stems arising from roots. A similar population structure occurs in animal species that exhibit modular growth.

### Distribution 8.2

The distribution of a population describes its spatial location, or the area over which it occurs. The distribution of a population is influenced by the occurrence of suitable environmental conditions. Within the geographic range of a population, individuals are not distributed equally throughout the area. Therefore, the distribution of individuals within the population can be described at a range of different spatial scales.

Individuals within a population are distributed in space. If the spacing of each individual is independent of the others, then the individuals are distributed randomly; if they are evenly distributed, with a similar distance among individuals, it is a uniform distribution. In most cases, individuals are grouped together in a clumped or aggregated distribution. These groups of individuals can function as subpopulations.

### Abundance 8.3

The number of individuals in a population defines the abundance. Abundance is a function of two factors: (1) the population density and (2) the area over which the population is distributed. Population density is the number of individuals per unit area or volume. Because landscapes are not homogeneous, not all of the area is suitable habitat. The number of organisms in available living space is the true or ecological density.

### Sampling Populations 8.4

Determination of density and dispersion requires careful sampling and appropriate statistical analysis of the data. For sessile organisms, researchers often use sample plots. For mobile organisms, researchers use capture-recapture techniques or determine relative abundance using indicators of animal presence, such as tracks or feces.

### Age Structure 8.5

The age number or proportion of individuals within each age class defines the age structure of a population. Individuals making up the population are often divided into three ecological periods: prereproductive, reproductive, and postreproductive.

### Sex Ratios 8.6

Sexually reproducing populations have a sex ratio that tends to be 1:1 at conception and birth but often shifts as a function of sex-related differences in mortality.

### Dispersal 8.7

At some stage of their life cycles, most individuals are mobile. For some organisms, such as plants, dispersal is passive and dependent on various dispersal mechanisms. For mobile organisms, dispersal can occur for a variety of reasons, including the search for mates and unoccupied habitat. For some species, dispersal is a systematic process of movement between areas by a process called migration.

### Population Dynamics 8.8

Dispersal has the effect of shifting the spatial distribution of individuals and as a result the localized patterns of population density. Although the movement of individuals within the population results in a changing pattern of distribution and density through time, the primary factors driving the dynamics of population abundance are the demographic processes of birth and death.

---

## Study Questions

1. How does asexual reproduction make it difficult to define what constitutes an individual within a population?
2. Suppose you were given the task of estimating the density of two plant species in a field. Based on the life histories of the two species, you expect that the spatial distribution of one of the species is approximately uniform, whereas the other is likely to be clumped. How might your approach to estimating the density of these two species differ?
3. The age structure of a population can provide insight into whether the population is growing or declining. A large number of individuals in the young age classes relative to the older age classes often indicates a growing population. In contrast, a large proportion of individuals in the older age classes relative to the young age classes suggests a population in decline (see Figure 8.13). What factors might invalidate this interpretation? When might a large number of individuals in the young age classes relative to the older age classes not indicate a growing population?
4. Modern humans are a highly mobile species. Think of three locations in your local community that might be used as areas for estimating the population density. How might the daily movement pattern of people in your community change the estimate of density at these locations during the course of the day?



---

## Further Readings

- Brown, J. H., D. W. Mehlman, and G. C. Stevens. 1995. Spatial variation in abundance. *Ecology* 76:2028–2043. An excellent overview of the factors influencing geographic patterns of abundance in populations.
- Cook, R. E. 1983. Clonal plant populations. *American Scientist* 71:244–253. An introduction to the nature of modular growth in plants and its implications for the study of plant populations.
- Gaston, K. J. 1991. How large is a species' geographic range? *Oikos* 61:434–438. This paper explores the methods used to define a species' geographic range and how range size is influenced by various aspects of a species' life history.
- Gompper, M. E. 2002. Top carnivores in the suburbs? Ecological and conservation issues raised by colonization of Northeastern North America by coyotes. *Bioscience* 52:185–190. Fascinating story of the coyote's dispersal and enormous range expansion.
- Krebs, C. J. 1999. *Ecological methodology*. 2nd ed. San Francisco: Benjamin Cummings. Essential reading for those interested in the sampling of natural populations. The text provides an excellent introduction with many illustrative examples.
- Laliberte, A. S., and W. J. Ripple, 2004. Range contractions of North American carnivores and ungulates. *Bioscience* 54:123–138. In contrast to the Gompper paper (above) that presents a case of range expansion, this paper explores examples of range contraction of many of the large mammals that were once widely distributed across North America.
- Mack, R., and W. M. Lonsdale. 2001. Humans as global plant dispersers: Getting more than we bargained for. *Bioscience* 51:95–102. Tells the story of how humans are acting as agents of species dispersal and illustrates some of the unexpected consequences. A good follow-up to the Ecological Issues essay in this chapter.

# Population Growth

- 9.1 Population Growth Reflects the Difference between Rates of Birth and Death**
- 9.2 Life Tables Provide a Schedule of Age-Specific Mortality and Survival**
- 9.3 Different Types of Life Tables Reflect Different Approaches to Defining Cohorts and Age Structure**
- 9.4 Life Tables Provide Data for Mortality and Survivorship Curves**
- 9.5 Birthrate Is Age-Specific**
- 9.6 Birthrate and Survivorship Determine Net Reproductive Rate**
- 9.7 Age-Specific Mortality and Birthrates Can Be Used to Project Population Growth**
- 9.8 Stochastic Processes Can Influence Population Dynamics**
- 9.9 A Variety of Factors Can Lead to Population Extinction**
- 9.10 Small Populations Are Susceptible to Extinction**



Elephant (*Loxodonta africana*) herd in Amboseli National Park, Kenya, Africa. This small group is composed of adult females as well as juveniles of various ages. Because African elephants are largely restricted to national parks and conservation areas, these local populations function as closed populations, with no immigration or emigration.

**T**he term **population growth** refers to how the number of individuals in a population increases or decreases with time. This growth is controlled by the rate at which new individuals are added to the population through the processes of birth and immigration and the rate at which individuals leave the population through the processes of death and emigration. We refer to populations in which immigration and/or emigration occur as open populations. Those in which movement into and out of the population does not occur (or is not a significant influence on population growth) are referred to as closed populations.

Here, we will explore the process of population growth under the conditions where population dynamics are a function only of demographic processes relating to birth and death: the conditions where either the population is “closed” (no immigration or emigration) or the rates of immigration and emigration are equal. Later, we will relax this assumption to examine how the interactions among subpopulations through the process of dispersal influence the dynamics of local populations as well as the overall dynamics of the larger metapopulation (Chapters 11 and 12).

## 9.1 Population Growth Reflects the Difference between Rates of Birth and Death

Suppose we were to monitor a population of an organism that has a very simple life history, such as a population of freshwater hydra (Figure 9.1) growing in an aquarium in the laboratory. We define the population size as  $N(t)$ , where  $t$  refers to time. Let us assume that the initial population is small, so that the food supply within the aquarium is much more than is needed to support the current population. How will the population change through time?

Because no emigration or immigration is allowed by the lab setting, the population is closed. The number of hydra will increase as a result of new “births” (note from the photograph in Figure 9.1 that hydra reproduce asexually by budding). Additionally, the population will decrease as a result of some hydra dying. Because the processes of birth and death in this population are continuous (no



**Figure 9.1** The freshwater hydra reproduces asexually by budding.

defined period of synchronized birth or death), we can define the proportion of hydra producing a new individual per unit of time as  $b$ , and the proportion of hydra dying per unit of time can be  $d$ . If we start with  $N(t)$  hydra at time  $t$ , then to calculate the total number of hydra reproducing over a given time period,  $\Delta t$  (the symbol  $\Delta$  refers to a “change” in the associated variable; in this case, a change in time or time interval), we simply need to multiply the proportion reproducing per unit time by the total number of hydra and the length of the time period:  $bN(t)\Delta t$ . Because each reproducing hydra will add only one individual to the total population (see Figure 9.1), the number of births is  $B(t) = bN(t)\Delta t$ . Note that  $B$  and  $N$  are functions of time (because they change as time goes along), but the per capita birthrate  $b$  is a constant. For this reason, we write  $B(t)$  and  $N(t)$ , but simply  $b$ . The number of deaths,  $D(t)$ , is calculated in a similar manner, so that  $D(t) = dN(t)\Delta t$ .

The population size at the next time period ( $t + \Delta t$ ) normal would then be

$$N(t + \Delta t) = N(t) + B(t) - D(t)$$

or

$$N(t + \Delta t) = N(t) + bN(t)\Delta t - dN(t)\Delta t$$

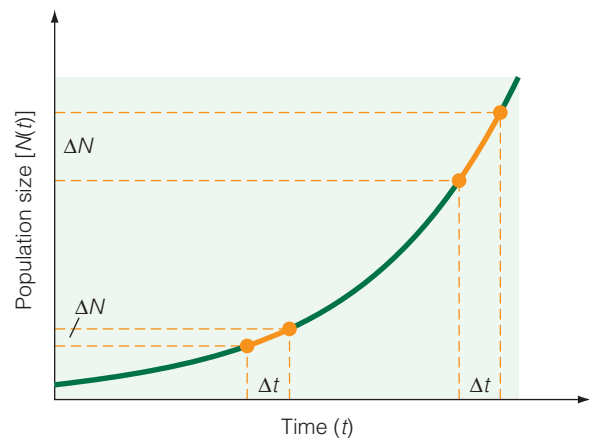
The resulting pattern of population size as a function of time is shown in Figure 9.2.

We can define the change in population over the time interval ( $\Delta t$ ) by rearranging the equation just presented. First, we move  $N(t)$  to the left-hand side of the equation, and then divide both sides by  $\Delta t$ :

$$\begin{aligned} \frac{N(t + \Delta t) - N(t)}{\Delta t} &= bN(t) - dN(t) \\ &= (b - d)N(t) \end{aligned}$$

If we substitute  $\Delta N$  for  $[N(t + \Delta t) - N(t)]$ , we can rewrite the equation as

$$\frac{\Delta N}{\Delta t} = (b - d)N(t)$$



**Figure 9.2** Change in the size of a hypothetical population of hydra through time (green line). The change in population size,  $\Delta N$ , for a given time interval,  $\Delta t$ , differs as a function of time ( $t$ ), as indicated by the slope of the line segments shown in orange.

## QUANTIFYING ECOLOGY 9.1 Derivatives and Differential Equations

Suppose we wish to measure the rate of change in a population through time. Let us assume that population size,  $N(t)$ , is a linear function of time,  $t$ . The resulting graph will be a straight line, which may look something like Figure 1.

Let us select two points on the graph at  $t = t_1$  and  $t = t_2$ . Then we call  $\Delta t = t_2 - t_1$  the “run” and  $\Delta N = N(t_2) - N(t_1)$  the “rise.” The rate of population change  $\Delta N$  over the time interval  $\Delta t$  is given by the slope of the line ( $s$ ) defined as the rise per unit of run:

$$s = \Delta N / \Delta t$$

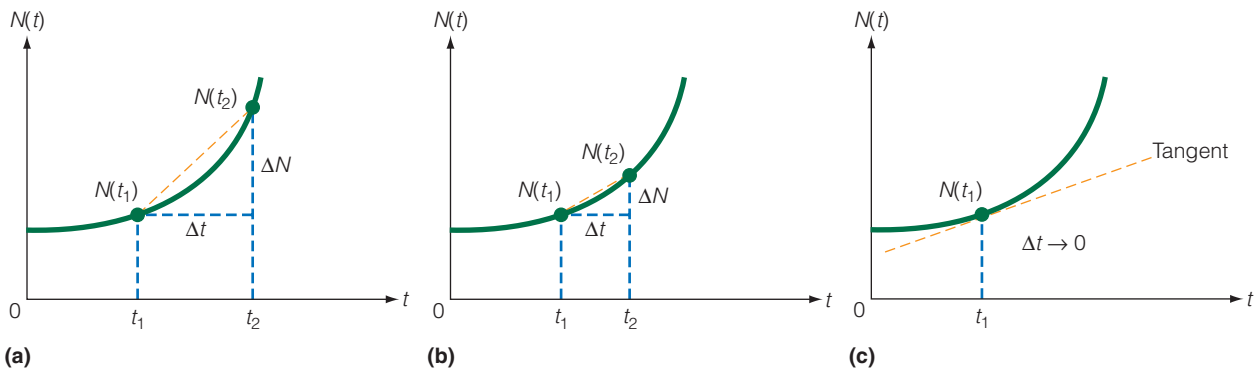
If we choose a different pair of points, then we will still have the same value of the slope (rate of population change), because the slope of a linear function (straight line) does not depend on  $t$ . The slope of a nonlinear function (curve), however, does depend on the value of  $t$  (Figure 2).

Suppose we hold  $t_1$  constant, and move  $t_2$  closer to  $t_1$  (as in graphs (a) and (b) in Figure 2). As we do so, the slope (indicated by the orange dashed line) will vary; but as the two points get progressively closer together, the slopes will vary by smaller and smaller amounts and will in fact approach a constant “limiting value.” When this happens—as in graph (c)—we call the limiting value the slope of the tangent to the curve at point  $t_1$  or simply the slope of the curve at point  $t_1$  (note the tangent intersects the function at the point  $[N(t_1), t_1]$  only). Mathematically, we can express this value as

$$\text{slope at } t_1 = \lim_{\Delta t \rightarrow 0} \frac{\Delta N}{\Delta t} = \lim_{\Delta t \rightarrow 0} \frac{N(t_1 + \Delta t) - N(t_1)}{\Delta t}$$

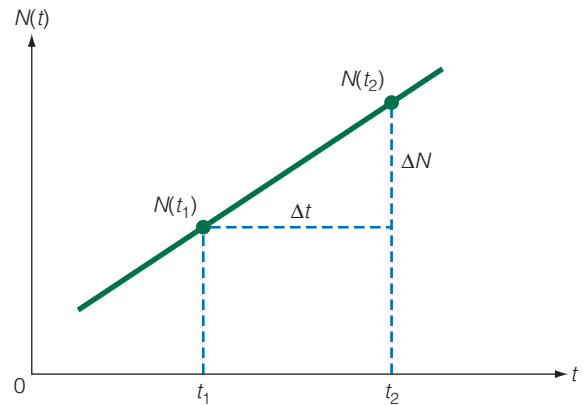
The slope of the function  $N(t)$  at  $t_1$  is known as the derivative of  $N(t)$  written as  $dN(t)/dt$ . Thus, the definition of the derivative is

$$\frac{dN(t)}{dt} = \lim_{\Delta t \rightarrow 0} \frac{N(t_1 + \Delta t) - N(t_1)}{\Delta t}$$



**Figure 2**

The term on the left-hand side of the equation defines the unit change in population size per unit change in time, or the slope of the relationship between  $N(t)$  and  $t$  (the “rise” over the “run”) presented in Figure 9.2. Because the relationship between  $N(t)$  and  $t$  is nonlinear (curve), the slope changes as a function of time, so that the rate of change depends on the time interval



**Figure 1**

It is important to remember that when the derivative of the function  $N(t)$  is evaluated at point  $t$ ,  $t$  is held constant, whereas  $\Delta t$  is varied (approaches zero).

For those who have not taken a course in calculus, this process of taking limits may sound confusing. But in practice, we can think of making the time interval  $\Delta t$  so small that decreasing it further will not noticeably affect the slope of the tangent (as shown in Figure 2)—our estimate of the rate of population change.

An equation where the derivative appears on the left-hand side is referred to as a differential equation, as with the exponential model of population growth:  $dN/dt = rN$ .

We will encounter many other examples of differential equations in our discussions of population dynamics (Chapters 11–16).

1. Why does the size of the time interval ( $\Delta t$ ) matter when estimating the slope of a nonlinear function (curve)?
2. What errors would arise in estimating the rate of population growth if the time interval ( $\Delta t$ ) were too large, as in graph (a) in Figure 2?

being evaluated (Figure 9.2). For this reason, the rate of change is best described by the derivative (see Quantifying Ecology 9.1: Derivatives and Differential Equations), written as:

$$\frac{dN}{dt} = (b - d)N$$

## QUANTIFYING ECOLOGY 9.2 Exponential Model of Population Growth

To predict changes in population size ( $N$ ) through time ( $t$ ) using the exponential model of population growth, it is first necessary to integrate the differential equation presented for instantaneous population growth:

$$\frac{dN}{dt} = rN$$

The first step is to collect terms with  $N$  in them on the same side of the equation:

$$\frac{dN}{N} = rdt$$

We then take the integral of each side. The integral of  $dN/N = \ln(N) + c_1$  where  $c_1$  is a constant of integration. The integral of  $rdt = rt + c_2$  where  $c_2$  is a constant of integration.

$$\ln N + c_1 = rt + c_2$$

We then combine the constants of integration into a single constant,  $c$ . Let  $c = c_2 - c_1$ :

$$\ln N = rt + (c_2 - c_1) = rt + c$$

Next take each side of the equation and raise  $e$  to that power, to get rid of the logarithms:

$$e^{\ln N} = e^{rt+c}$$

We can then simplify the equation by applying the rules of exponents:

$$N = e^c e^{rt}$$

We now need to determine what  $e^c$  means. Because  $e$  and  $c$  are both constants,  $e^c$  must also be some constant—its value will not change with changing time or population size. We can solve for the value of  $e^c$  at a specific time. The simplest solution is for  $t = 0$ , the initial time when we observe our population. The value of  $N$  will be  $N(0)$ . Now we solve the equation for  $t = 0$  and get

$$N(0) = e^c e^{r(0)} \text{ or } N(0) = e^c e^{(0)}$$

Because anything raised to the 0 power is 1:

$$N(0) = e^c$$

So,  $e^c$  is the initial population size.

If we now substitute  $N(0)$  for  $e^c$  in the equation  $N = e^c e^{rt}$  above and  $N(t)$  for  $N$  to indicate that it is the population size at time  $t$ , the resulting equation is

$$N(t) = N(0)e^{rt}$$

This equation can now be used to predict the population size at any time in the future.

1. Why is setting  $t = 0$  the simplest solution for determining the value of  $e^c$ ?
2. For readers who have taken a course in calculus, what are you actually estimating (in Figure 9.2) when you integrate the equation representing the exponential growth model:  $dN/dt = rN$ ? Assume that  $N(0)$  is the value of  $N(t)$  where the curve intersects the  $y$ -axis.

The term  $\Delta N/\Delta t$  is replaced by  $dN/dt$  to express that  $\Delta t$  (the time interval) approaches a value of zero, and the rate of change is instantaneous. The values of  $b$  and  $d$  represent the instantaneous (per capita) rates of birth and death; and because they are constants, we can define  $r = (b - d)$ , and rewrite the equation for continuous population growth as

$$\frac{dN}{dt} = rN$$

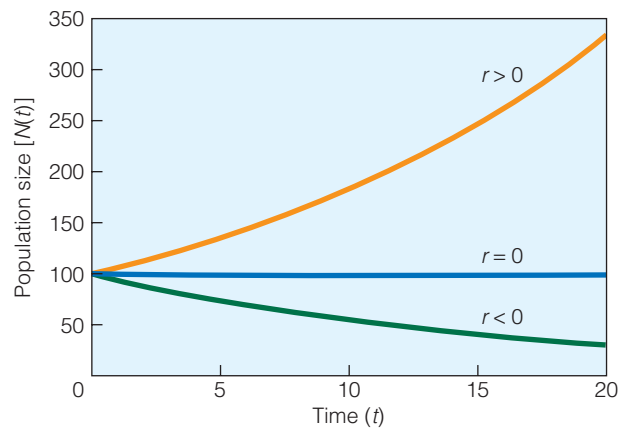
The value  $r$  is the instantaneous per capita rate of growth (sometimes called the intrinsic rate of population growth), and the resulting equation is referred to as the model of **exponential population growth**.

The model of exponential growth ( $dN/dt = rN$ ) predicts the rate of population change through time (Figure 9.3). If we wish to define the equation to predict population size,  $N(t)$ , under conditions of exponential growth, it is necessary to integrate the differential equation presented earlier. The result is

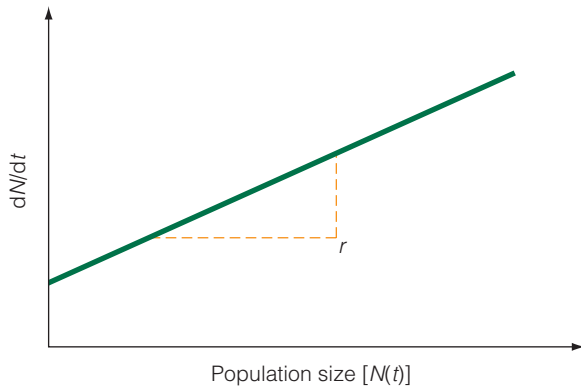
$$N(t) = N(0)e^{rt}$$

where  $N(0)$  is the initial population size at  $t = 0$ , and  $e$  is the base of the natural logarithms; its value is approximately 2.72.

For readers with a background in calculus, the proof is presented in Quantifying Ecology 9.2: Exponential Model of Population Growth.



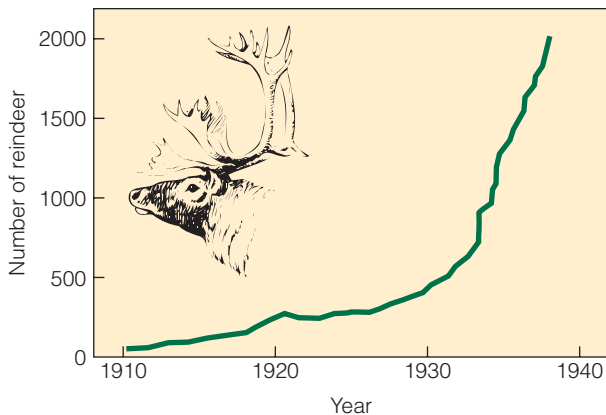
**Figure 9.3** Examples of exponential growth under differing values of  $r$ , the instantaneous per capita growth rate. When  $r > 0$  ( $b > d$ ), the population size increases exponentially; for values of  $r < 0$  ( $b < d$ ), there is an exponential decline. When  $r = 0$  ( $b = d$ ), there is no change in population size through time.



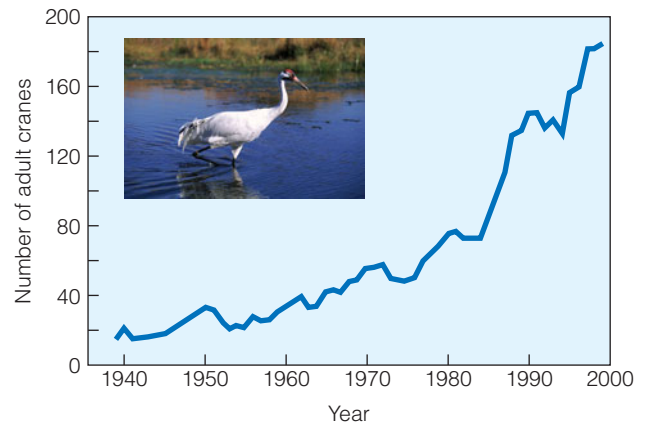
**Figure 9.4** Rate of population growth ( $dN/dt$ ) expressed as a function of population size  $[N(t)]$  for the exponential growth model:  $dN/dt = rN$ . The growth rate increases continuously with  $N(t)$ . The slope of the line, defined as  $(dN/dt)/N(t)$  is the instantaneous population growth rate,  $r$ .

Examples of exponential growth for differing values of  $r$  are shown in Figure 9.2. Note that when  $r = 0$ , there is no change in population size. For values of  $r > 0$  the population increases exponentially, whereas values of  $r < 0$  result in an exponential decline in the population. Exponential growth results in a continuously accelerating rate of population increase (or decelerating rate of decrease) as a function of population size (Figure 9.4).

Exponential growth is characteristic of populations inhabiting favorable environments at low population densities, such as during the process of colonization and establishment in new environments. An example of a population undergoing exponential growth is the rise of the reindeer herd introduced on St. Paul, one of the Pribilof Islands, Alaska (Figure 9.5). After being introduced on St. Paul in 1910, reindeer expanded from 4 males and 22 females to a herd of 2000 in only 30 years. The whooping crane (*Grus americana*) is another example of a population exhibiting exponential growth (Figure 9.6). This species was endangered and on the brink of extinction until recently. It was first protected in 1916, but only 15 birds existed



**Figure 9.5** Exponential growth of the St. Paul reindeer (*Rangifer tarandus*) herd following introduction in 1910. (Adapted from Scheffer 1951.)



**Figure 9.6** Pattern of exponential population growth of the whooping crane (a) an endangered species that has recovered from near extinction in 1941. Population estimates (b) are based on an annual count of adult birds on the wintering grounds at Aransas National Wildlife Refuge. (Data from Binkley and Miller 1983, and Cannon 1996.)

in 1941. The whooping crane breeds in the Northwestern Territories of Canada and migrates to overwinter on the Texas coast at the Aransas National Wildlife Refuge. Counts of the entire population since 1938 have provided the data presented in Figure 9.6.

## 9.2 Life Tables Provide a Schedule of Age-Specific Mortality and Survival

As we established in the previous section, change in population abundance through time is a function of the rates of birth and death, as represented by the per capita growth rate  $r$ . But how do ecologists estimate the per capita growth rate of a population? For the hydra population, where all individuals can be treated as identical, the rates of birth and death for the population were estimated by counting the proportion of individuals in the population either giving birth or dying per unit of time. But when birth and death rates vary with age, a different approach must be used.

To obtain a clear and systematic picture of mortality and survival within a population, ecologists use an approach involving the construction of life tables. The **life table** is simply an age-specific account of mortality. This technique, first developed by students of human populations, is used by life insurance companies as the basis for evaluating age-specific mortality rates. Now, however, population ecologists are using life tables to examine systematic patterns of mortality and survivorship within animal and plant populations.

The construction of a life table begins with a **cohort**, a group of individuals born in the same period of time. For example, data presented in the following table represent a cohort of 530 gray squirrels (*Sciurus carolinensis*) from a population in northern West Virginia that was the focus of a decade-long study. The fate of these 530 individuals was tracked until all had died some six years later. The first column of numbers, labeled  $x$ , represents the age classes; in this example, the age classes are in units of years. The second column,  $n_x$ , represents

the number of individuals from the original cohorts that are alive at the specified age ( $x$ ).

$x$	$n_x$
0	530
1	159
2	80
3	48
4	21
5	5

Of the original 530 individuals born (age 0), only 159 survived to an age of 1 year, while of those 159 individuals, only 80 survived to age 2. Only 5 individuals survived to age 5, and none of those individuals survived to age 6 (that is why there is no age class 6).

It is common practice when constructing life tables to express the number of individuals surviving to any given age as a proportion of the original cohort size. This value, represented as  $l_x$ , represents the probability at birth of surviving to any given age.

$x$	$n_x$	$l_x$
0	530	1.00
1	159	0.30
2	80	0.15
3	48	0.09
4	21	0.04
5	5	0.01

The difference between the number of individuals alive for any age class ( $n_x$ ) and the next older age class ( $n_{x+1}$ ) is the number of individuals that have died during that time interval. We define this value as  $d_x$ , which gives us a measure of age-specific mortality.

$x$	$n_x$	$d_x$
0	530	371
1	159	79
2	80	32
3	48	27
4	21	16
5	5	5

The number of individuals that died during any given time interval ( $d_x$ ) divided by the number alive at the beginning of that interval ( $n_x$ ) provides an **age-specific mortality rate**,  $q_x$ .

$x$	$n_x$	$d_x$	$q_x$
0	530	371	0.70
1	159	79	0.50
2	80	32	0.40
3	48	27	0.55
4	21	16	0.75
5	5	5	1.00

A complete life table for the cohort of gray squirrels, including all of the preceding calculations, is presented in Table 9.1. In addition, the calculation of age-specific life expectancy,  $e_x$ , the average number of years into the future that an individual of a given age is expected to live, is presented in Quantifying Ecology 9.3: Life Expectancy.

**Table 9.1 Gray Squirrel Life Table**

$x$	$n_x$	$l_x$	$d_x$	$q_x$
0	530	1.0	371	0.7
1	159	0.3	79	0.5
2	80	0.15	32	0.4
3	48	0.09	27	0.55
4	21	0.04	16	0.75
5	5	0.01	5	1.0

### 9.3 Different Types of Life Tables Reflect Different Approaches to Defining Cohorts and Age Structure

There are two basic kinds of life tables. The first type is the **cohort or dynamic life table**. This is the approach used in constructing the gray squirrel life table presented in Table 9.1. The fate of a group of individuals, born at a given time, is followed from birth to death—for example, a group of individuals born in the year 1955. A modification of the dynamic life table is the **dynamic composite life table**. This approach constructs a cohort from individuals born over several time periods instead of just one. For example, you might follow the fate of individuals born in 1955, 1956, and 1957.

The second type of life table is the **time-specific life table**. It is constructed by sampling the population in some manner to obtain a distribution of age classes during a single time period. Although it is much easier to construct, this type of life table requires some crucial assumptions. First, you assume that you sampled each age class in proportion to its numbers in the population. Second, you must assume that the age-specific mortality rates (and birthrates) have been constant over time.

Most life tables have been constructed for long-lived vertebrate species having overlapping generations (such as humans). Many animal species, especially insects, live through only one breeding season. Because their generations do not overlap, all individuals belong to the same age class. We obtain the values of  $n_x$  by observing a natural population several times over its annual season, estimating the size of the population at each time. For many insects, the  $n_x$  values can be obtained by estimating the number surviving from egg to adult. If records are kept of weather, abundance of predators and parasites, and the occurrence of disease, death from various causes can also be estimated.

Table 9.2 represents the fate of a cohort from a single gypsy moth egg mass. The age interval, or  $x$  column, indicates the life history stages, which are of unequal duration. The  $n_x$  column indicates the number of survivors at each stage. The  $d_x$  column gives an accounting of deaths in each stage.

In plant demography, the life table is most useful in studying three areas: (1) seedling mortality and survival, (2) population dynamics of perennial plants marked as seedlings, and (3) life cycles of annual plants. An example of the third type is Table 9.3, showing a life table for the annual elf orpine (*Sedum smallii*). The time of seed formation is the initial point in the life cycle. The  $l_x$  column indicates the proportion of plants

## QUANTIFYING ECOLOGY 9.3 Life Expectancy

Most of us are not familiar with the concept of life tables; however, almost everyone has heard or read statements like, “The average life expectancy for a male in the United States is 72 years.” What does this mean? What is life expectancy? Life expectancy ( $e$ ) typically refers to the average number of years an individual is expected to live from the time of its birth. Life tables, however, are used to calculate age-specific life expectancies ( $e_x$ ), or the average number of years that an individual of a given age is expected to live into the future. We can use the life table for the cohort of female gray squirrels presented in Table 9.1 to examine the process of calculating age-specific life expectancies for a population.

The first step in estimating  $e_x$  is to calculate  $L_x$  using the  $n_x$  column of the life table.  $L_x$  is the average number of individuals alive during the age interval  $x$  to  $x + 1$ . It is calculated as the average of  $n_x$  and  $n_{x+1}$ . This estimate assumes that mortality within any age class is distributed evenly over the year.

$x$	$n_x$	$L_x$
0	530	344.5
1	159	119.5
2	80	64.0
3	48	34.5
4	21	13.0
5	5	2.5

$= (n_0 + n_1) / 2 = (530 + 159) / 2 = 344.5$   
 $= (n_2 + n_3) / 2 = (80 + 48) / 2 = 64$   
 $= (n_5 + n_6) / 2 = (5 + 0) / 2 = 2.5$

Next, the  $L_x$  values are used to calculate  $T_x$  the total years lived into the future by individuals of age class  $x$  in the population. This value is calculated by summing the values of  $L_x$  cumulatively from the bottom of the column to age  $x$ .

$x$	$L_x$	$T_x$
0	344.5	578.0
1	119.5	233.5
2	64.0	114.0
3	34.5	50.0
4	13.0	15.5
5	2.5	2.5

$= L_0 + L_1 + L_2 + L_3 + L_4 + L_5$   
 $= 344.5 + 119.5 + 64 + 34.5 + 13 + 2.5 = 578$   
 $= L_4 + L_5 = 13 + 2.5 = 15.5$   
 $= L_5 = 2.5$

In the example of the gray squirrel, the value of  $T_0$  is 578. This means that the 530 individuals in the cohort lived a total of 578 years (some only 1 year, while others lived to age 5).

The life expectancy for each age class ( $e_x$ ) is then calculated by dividing the value of  $T_x$  by the corresponding value of  $n_x$ . In other words, divide the total number of years lived into the future by individuals of age  $x$  by the total number of individuals in that age group.

$x$	$n_x$	$T_x$	$e_x$
0	530	578.0	1.09
1	159	233.5	1.47
2	80	114.0	1.43
3	48	50.0	1.06
4	21	15.5	0.75
5	5	2.5	0.50

$= T_0 / n_0 = 578 / 530 = 1.09$   
 $= T_2 / n_2 = 114 / 80 = 1.43$

Note that life expectancy changes with age. On average, gray squirrel individuals born can expect to live for only 1.09 years. However, for those individuals that survive past their first birthday, life expectancy increases to 1.47. Life expectancy remains high for age class 2 and then declines for the remainder of the age classes.

1. Why does life expectancy increase for those individuals that survive to age 1 (1.47 as compared to 1.09 for newborn individuals)?
2. Which would have a greater influence on the life expectancy of a newborn (age 0)—a 20 percent decrease in mortality rate for individuals of age class 0 ( $x = 0$ ), or a 20 percent decrease in the mortality rate of age class 4 ( $x = 4$ ), individuals? Why?

**Table 9.2** Life Table of a Sparse Gypsy Moth Population in Northeastern Connecticut

$x$	$n_x$	$l_x$	$d_x$	$q_x$
Eggs	450	1.000	135	0.300
Instars I–III	315	0.700	258	0.819
Instars IV–VII	57	0.127	33	0.582
Prepupae	24	0.053	1	0.038
Pupae	23	0.051	7	0.029
Adults	16	0.036	0	1.000

(Source: Data from R. W. Campbell 1969.)

**Table 9.3** Life Table for a Natural Population of *Sedum smallii*

$x$	$l_x$	$d_x$	$q_x$
Seed produced	1.000	0.16	0.160
Available	0.840	0.630	0.750
Germinated	0.210	0.177	0.843
Established	0.033	0.009	0.273
Rosettes	0.024	0.010	0.417
Mature plants	0.014	0.014	1.000

(Source: Data from Sharitz and McCormick 1973.)



alive at the beginning of each stage; the  $d_x$  column indicates the proportion dying, rather than the actual number of individuals (as in the other examples).

### 9.4 Life Tables Provide Data for Mortality and Survivorship Curves

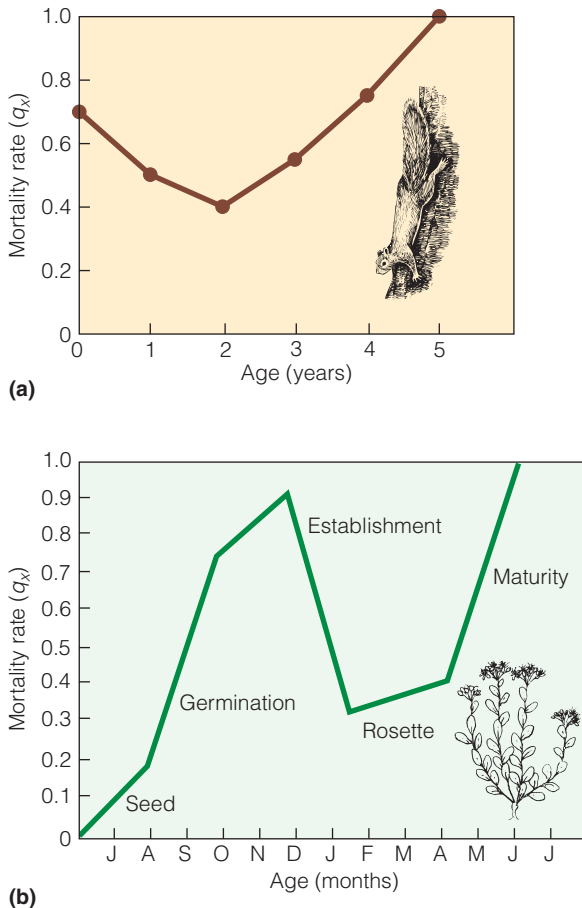
Although we can graphically display data from any of the columns in a life table, the two most common approaches are the construction of (1) a mortality curve based on the  $q_x$  column, and (2) a survivorship curve based on the  $l_x$  column. A mortality curve plots mortality rates in terms of  $q_x$  against age. Mortality curves for the life tables presented in Table 9.1 (gray squirrel) and Table 9.3 (*S. smallii*) are shown in Figure 9.7. For the gray squirrel cohort (Figure 9.7a), the curve consists of two parts: a juvenile phase, in which the rate of mortality is high, and a post-juvenile phase, in which the rate decreases with age until mortality reaches some low point, after which it increases again. For plants, the mortality curve may assume various patterns, depending on whether the plant is annual or perennial and how we express the age structure. Mortality rates for the *Sedum* population (Figure 9.7b) are initially high, declining once seedlings are established.

Survivorship curves plot the  $l_x$  from the life table against time or age class ( $x$ ). The time interval is on the horizontal axis,

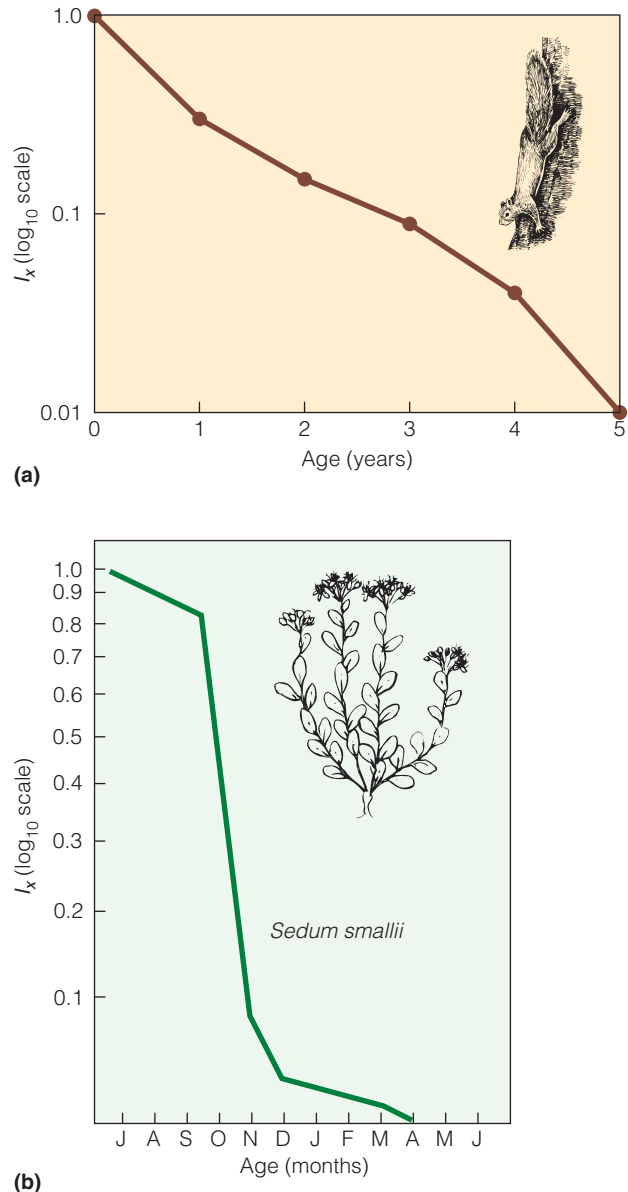
and survivorship is on the vertical axis. Survivorship ( $l_x$ ) is plotted on a  $\log_{10}$  scale. Survivorship curves for the life tables presented in Table 9.1 (gray squirrel) and Table 9.3 (*S. smallii*) are shown in Figure 9.8.

Life tables and survivorship curves are based on data obtained from one population of the species at a particular time and under certain environmental conditions. They are like snapshots. For this reason, survivorship curves are useful for comparing one time, area, or sex with another (Figure 9.9).

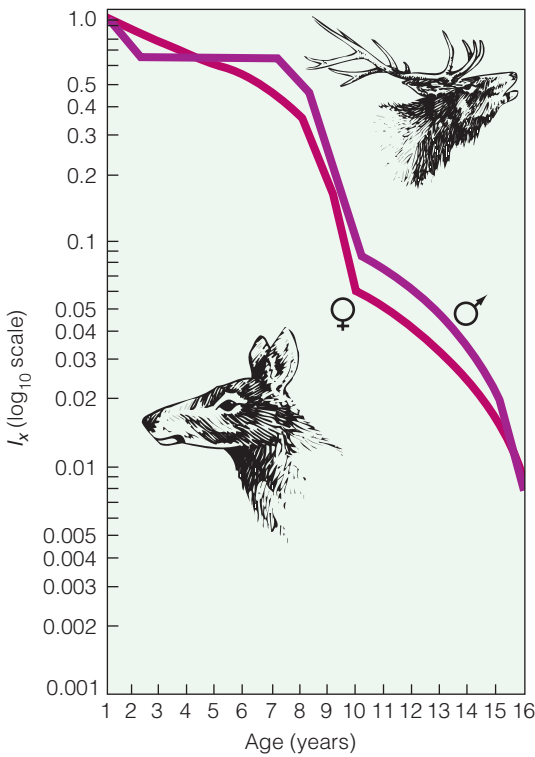
Survivorship curves fall into three general idealized types (Figure 9.10). When individuals tend to live out their physiological life span, survival rate is high throughout the life span, followed by heavy mortality at the end. With this type of survivorship pattern, the curve is strongly convex, or type I. Such a curve is typical of humans and other mammals and has also been observed for some plant species. If survival rates do not



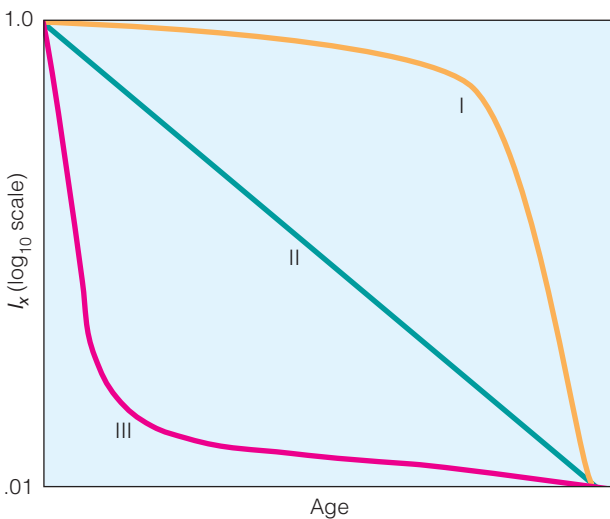
**Figure 9.7** Examples of mortality curves for (a) gray squirrel population, based on Table 10.1, and (b) *Sedum smallii*, based on Table 9.3.



**Figure 9.8** Survivorship curve for (a) gray squirrel based on Table 9.1 and (b) *Sedum smallii*, based on Table 9.3.

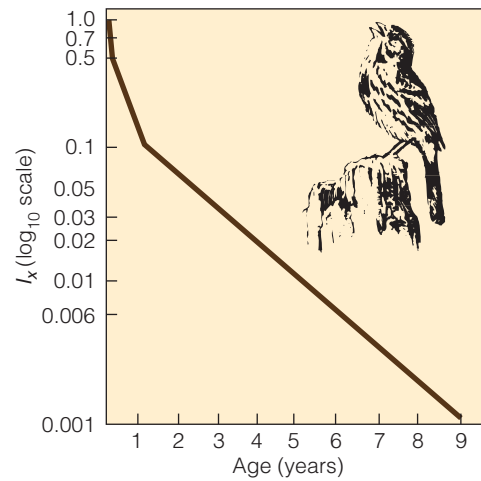


**Figure 9.9** Comparison of survivorship curves for male and female red deer (*Cervus elaphus*). (Adapted from Lowe 1969.)



**Figure 9.10** The three basic types of survivorship curves.

vary with age, the survivorship curve will be straight, or type II. Such a curve is characteristic of adult birds, rodents, and reptiles, as well as many perennial plants. If mortality rates are extremely high in early life—as in oysters, fish, many invertebrates, and many plant species, including most trees—the curve is concave, or type III. These generalized survivorship curves are idealized models to which survivorship of a species can be compared. Many survivorship curves show components of these three generalized types at different times in the life history of a species (Figure 9.11).



**Figure 9.11** Survivorship curve for the song sparrow (*Melospiza melodia*). The curve is typical of birds. After a period of high juvenile mortality (type III), the curve becomes linear (type II). (Adapted from Johnston 1956.)

### 9.5 Birthrate Is Age-Specific

A standard convention in demography (the study of populations) is to express birthrates as births per 1000 population per unit of time. This figure is obtained by dividing the number of births over some period of time (typically a year) by the estimated population size at the beginning of the time period and multiplying the resulting number by 1000. This figure is the **crude birthrate**.

This estimate of birthrate can be improved by taking two important factors into account. First, in a sexually reproducing population, only females within the population give birth. Second, the birthrate of females generally varies with age. Therefore, a better way of expressing birthrate is the number of births per female of age  $x$ . Because population increase is a function of reproduction by females, the age-specific birthrate can be further modified by determining only the mean number of females born to a female in each age group,  $b_x$ . Following is the table of **age-specific birthrates** for the gray squirrel population used to construct the life table (Table 9.1):

$x$	$b_x$
0	0
1	2
2	3
3	3
4	2
5	0
$\Sigma$	10

At age 0, females produce no young; thus the value of  $b_x$  is 0. The average number of female offspring produced by a female of age 1 is 2. For females of ages 2 and 3, the  $b_x$  value increases to 3 and then declines to 2 at age 4. By age 5 the females no longer reproduce, so the value of  $b_x$  is 0.

The sum (represented by the Greek letter sigma,  $\Sigma$ ) of the  $b_x$  values across all age classes provides an estimate of the average

number of female offspring born to a female over her lifetime; this is the **gross reproductive rate**. In the example of the squirrel population presented earlier, the gross reproductive rate is 10. However, this value assumes that a female survives to the maximum age of 5 years. What we really need is a measure of net reproductive rate that incorporates the age-specific birthrate as well as the probability of a female’s surviving to any specific age.

### 9.6 Birthrate and Survivorship Determine Net Reproductive Rate

We can use the gray squirrel population as the basis for constructing a fecundity or fertility table (Table 9.4). The **fecundity table** uses the survivorship column,  $l_x$ , from the life table together with the age-specific birthrates ( $b_x$ ) described earlier. Although  $b_x$  may initially increase with age, survivorship ( $l_x$ ) in each age class declines. To adjust for mortality, we multiply the  $b_x$  values by the corresponding  $l_x$ , the survivorship values. The resulting value,  $l_x b_x$ , gives the mean number of females born in each age group, adjusted for survivorship.

Thus, for 1-year-old females, the  $b_x$  value is 2; but when adjusted for survival ( $l_x$ ), the value drops to 0.6. For age 2, the  $b_x$  is 3; but  $l_x b_x$  drops to 0.45, reflecting poor survival of adult females. The values of  $l_x b_x$  are summed over all ages at which reproduction occurs. The result represents the **net reproductive rate**,  $R_0$ , defined as the average number of females that will be produced during a lifetime by a newborn female. If the  $R_0$  value is 1, females will on average replace themselves in the population (produce one daughter). If the  $R_0$  value is less than 1, the females are not replacing themselves. If the value is greater than 1, females are more than replacing themselves. For the gray squirrel, an  $R_0$  value of 1.4 suggests a growing population of females. Note the significant difference between the gross and net reproductive rates (10 and 1.4, respectively). The difference reflects the fact that only a small proportion of the females born will survive to the maximum age and produce 10 female offspring.

Because the value of  $R_0$  is a function of the age-specific patterns of birth and survivorship, it is a product of the life history characteristics: the allocation to reproduction, the timing of reproduction, the trade-off between the size and number of offspring produced, and the degree of parental care (Chapter 10). The net reproductive rate ( $R_0$ ) therefore provides a means of evaluating both the individual (fitness) and population consequences of specific life history characteristics.

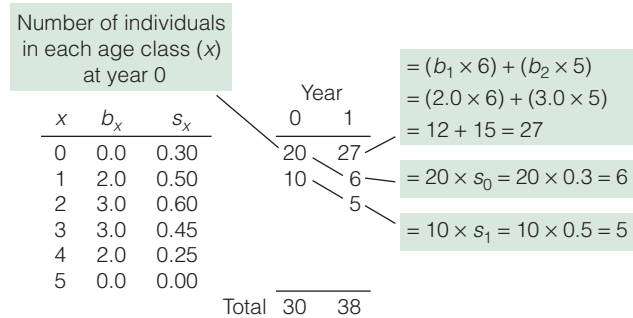
**Table 9.4** Gray Squirrel Fecundity Table

$x$	$l_x$	$b_x$	$l_x b_x$
0	1.0	0.0	0.00
1	0.3	2.0	0.60
2	0.15	3.0	0.45
3	0.09	3.0	0.27
4	0.04	2.0	0.08
5	0.01	0.0	0.00
$\Sigma$		10.0	1.40

### 9.7 Age-Specific Mortality and Birthrates Can Be Used to Project Population Growth

Age-specific mortality rates ( $q_x$ ) from the life table together with the age-specific birthrates ( $b_x$ ) from the fecundity table can be combined to project changes in the population into the future. To simplify the process, the values for age-specific mortality are converted to age-specific survival. If  $q_x$  is the proportion of individuals alive at the beginning of an age class that die before reaching the next age class, then  $1 - q_x$  is the proportion that survive to the next age class (Table 9.5)—designated as  $s_x$ . With age-specific values of  $s_x$  and  $b_x$ , we can project the growth of a population by constructing a population projection table.

We will illustrate the construction of a **population projection table** by using data from Table 9.5 and a hypothetical population of squirrels introduced into an unoccupied oak forest. Because females form the reproductive units of the population, we follow only the females in constructing the table. The year of establishment is designated as year 0. The introduced population of female squirrels consists of 20 juveniles (age 0) and 10 adults (age 1), giving a total population of  $N(0) = 30$ . The following table gives age-specific birthrates ( $b_x$ ), survival rates ( $s_x$ ), and number of females in each age class ( $x$ ) at year 0. These values can now be used to project the population at year 1.



Not all of the squirrels in the initial population (year 0) will survive into the next year (year 1). The survival of these two age groups is obtained by multiplying the number of each by the  $s_x$  value. Because the  $s_x$  of the 1-year-old females is 0.5, we know that 5 individuals ( $10 \times 0.5 = 5$ ) survive to year 1 and reach age 2. The  $s_x$  value of age 0 is 0.3, so that only 6 of the 20 females less than 1 year of age in year 0 survive ( $20 \times 0.3 = 6$ ).

**Table 9.5** Age-Specific Survival and Birthrates for Squirrel Population

$x$	$l_x$	$q_x$	$s_x$	$b_x$
0	1.0	0.7	0.3	0.0
1	0.3	0.5	0.5	2.0
2	0.15	0.4	0.6	3.0
3	0.09	0.55	0.45	3.0
4	0.04	0.75	0.25	2.0
5	0.01	1.0	0.0	0.0



Note that by substituting  $N(0)\lambda$  for  $N(1)$  (see above), we can rewrite the equation predicting  $N(2)$  as

$$N(2) = [N(0)\lambda]\lambda = N(0)\lambda^2$$

In fact, we can use  $\lambda$  to project the population at any year into the future using the following general form of the relationship developed previously:

$$N(t) = N(0)\lambda^t$$

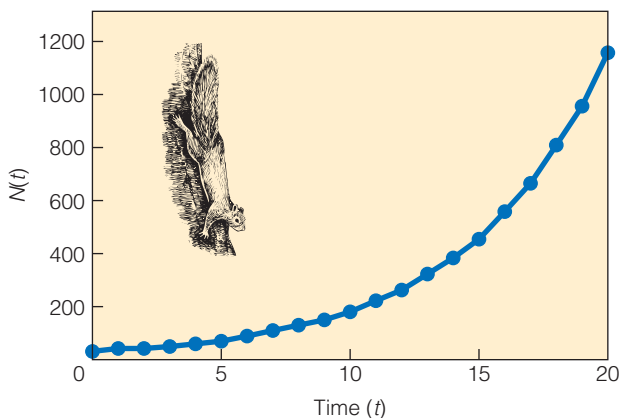
For our squirrel population, we can multiply the population size at year 0,  $N(0) = 30$ , by  $\lambda = 1.2$ , the value derived from the population projection table, to obtain a population size of 36 for year 1. If we again multiply 36 by 1.20, or the initial population size 30 by  $\lambda^2 (1.20^2)$ , we get a population size of 43 for year 2; and if we multiply the initial population size of 30 by  $\lambda^{10}$ , we arrive at a projected population size of 186 for year 10 (Figure 9.12). These population sizes do not correspond exactly to the population sizes calculated in the population projection table, because  $\lambda$  fluctuates above and below the eventual value attained at stable age distribution. Only after the population achieves a stable age distribution does the  $\lambda$  value of 1.20 project future population size.

The equation  $N(t) = N(0)\lambda^t$  describes a pattern of population growth (Figure 9.12) similar to that presented for the exponential growth model developed in Section 9.1. When described over discrete time intervals, however, the pattern of growth is termed **geometric population growth**. In this example, the time interval ( $\Delta t$ ) is 1 year, the interval ( $x$ ) used in constructing the life and fecundity tables from which  $\lambda$  is derived.

Note that the equation predicting population size through time using the finite growth multiplier  $\lambda$  is similar to the corresponding equation describing conditions of exponential growth developed in Section 9.1:

$$N(t) = N(0)\lambda^t \text{ (geometric population growth)}$$

$$N(t) = N(0)e^{rt} \text{ (exponential population growth)}$$



**Figure 9.12** Change in population size  $[N(t)]$  with time for the gray squirrel population represented in Table 9.1. Population estimates are based on the discrete model of geometric population growth  $[N(t) = N(0)\lambda^t]$  with an initial population density  $[N(0)]$  of 30 and a finite growth multiplier of  $\lambda = 1.2$  from the population projection table (Table 9.6).

In fact, the two equations (finite and continuous) illustrate the relationship between  $\lambda$  and  $r$ :

$$\lambda = e^r \quad \text{or} \quad r = \ln \lambda$$

For the gray squirrel population, we can calculate the value of  $r = \ln(1.20)$ , or 0.18.

Unlike the original calculation of  $r$  for the hydra population in Section 9.1, this estimate of the per capita population growth rate does not assume that all individuals in the population are identical. It is derived from  $\lambda$ , which as we have seen is an estimate of population growth based on the age-specific patterns of birth and death for the population. This estimate does, however, assume that the age-specific rates of birth and death for the population are constant; that is, they do not change through time. It is this assumption that results in the population converging on a stable age distribution and constant value of  $\lambda$ .

The geometric and exponential models developed thus far provide an important theoretical framework for understanding the demographic processes governing the dynamics of populations. But nature is not constant; systematic and stochastic (random) processes, both internal (demographic) and external (environmental), can influence population dynamics.

## 9.8 Stochastic Processes Can Influence Population Dynamics

Thus far, we have considered population growth as a deterministic process. Because the rates of birth and death are assumed to be constant, for a given set of initial conditions—values of  $r$  or  $\lambda$ , and  $N(0)$ —both the exponential and geometric models of population growth will predict only one exact outcome. Recall, however, that the age-specific values of survival and birth in the life and fecundity tables (Tables 9.1 and 9.4) represent probabilities and averages derived from the cohort or population under study. For example, the values of  $b_x$  are the average number of females produced by a female of that age group. For the 1-year-old females, the average value is 2.0; however, some female squirrels in this age class may have given birth to four female offspring, whereas others may not have given birth at all. The same holds true for the age-specific survival rates ( $s_x$ ), which represent the probability of a female of that age surviving to the next age class. For example, in Table 9.5 the probability of survival for a 1-year-old female gray squirrel is 0.5—the same probability of getting a heads or tails in a coin toss. Although survival (and mortality) is expressed as a probability, for any individual it is a discrete event—you either survive to the next year or not, just as the outcome of a single coin toss will be either heads or tails. If we toss a coin 10 times, however, we expect to get on average an outcome of 5 heads and 5 tails. This is in fact what we assume when we multiply the probability of survival (0.5) by the number of females in an age class (10) to project the number surviving to the next year (5) in Table 9.6. But each individual outcome in the 10 coin tosses is independent, and there is a possibility of getting 4 heads and 6 tails (probability  $p = 0.2051$ ), or even 0 heads and 10 tails ( $p = 9.765 \times 10^{-4}$ ). The same is true for the probability of survival when applied to individuals in

a specific age class. The realization that population dynamics represent the combined outcome of many individual probabilities has led to the development of probabilistic, or stochastic models of population growth. These models allow the rates of birth and death to vary about the mean estimate represented by the values of  $b_x$  and  $s_x$ .

The stochastic (or random) variations in birth and death rates occurring in populations from year to year are called **demographic stochasticity**, and they cause populations to deviate from the predictions of population growth based on the deterministic models discussed in this chapter.

Besides demographic stochasticity, random variations in the environment, such as annual variations in climate (temperature and precipitation) or the occurrence of natural disasters such as fire, flood, and drought, can directly influence birth and death rates within the population. Such variation is referred to as **environmental stochasticity**.

## 9.9 A Variety of Factors Can Lead to Population Extinction

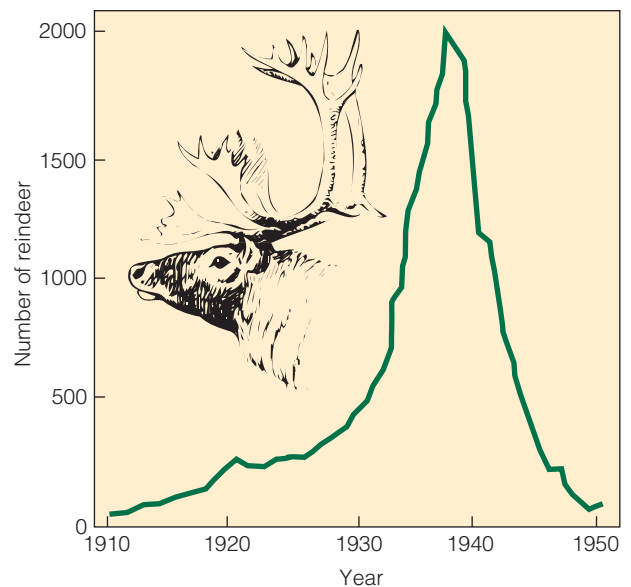
When deaths exceed births, populations decline:  $R_0$  becomes less than 1.0;  $r$  becomes negative. Unless the population can reverse the trend, it may become so low that it declines toward extinction (Figure 9.2).

A variety of factors can lead to population extinction. Extreme environmental events, such as droughts, floods, or extreme temperature events (heat or cold), can increase mortality rates and reduce population size. Should the environmental conditions exceed the bounds of tolerance for the species, the event could well lead to extinction (for examples, see Figures 5.20 and 6.20).

A severe shortage of resources, caused by either environmental extremes (as discussed earlier) or overexploitation, could result in a severe population decline and possible extinction, should the resource base not recover in time to allow for adequate reproduction by survivors. In the example of exponential growth in the population of reindeer introduced on St. Paul in 1910 (Figure 9.5), the reindeer overgrazed their range so severely that the herd plummeted from its high of more than 2000 in 1938 to 8 animals in 1950 (Figure 9.13). The decline produced a curve typical of a population that exceeds the resources of its environment. Growth stops abruptly and declines sharply in the face of environmental deterioration. From a low point, the population may recover to undergo another phase of exponential growth; or it may decline to extinction.

When a new species is introduced to an ecosystem, either through the natural process of immigration or through human activity, the resulting interactions with species in the community can often be detrimental (see Chapter 8, Section 8.5 and Ecological Issues: Human-Assisted Dispersal). The introduction of a novel predator, competitor, or parasite (disease) can have a devastating effect on the target population (see Part Four).

A leading cause of current population extinctions is the loss of habitat due to human activities. The cutting of forests and clearing of lands for agriculture and development have resulted in a significant decline in the available habitat for



**Figure 9.13** After the initial period of exponential growth of the St. Paul reindeer herd presented in Figure 9.4, overexploitation of resources degraded the habitat, resulting in a sharp decline in population numbers.

(Adapted from Scheffer 1951.)

many species and is currently the leading cause of species extinctions on a global scale (see Chapter 29).

Not all species are equally susceptible to extinction, and various factors influencing the vulnerability of species to extinction are discussed in detail later (see Section 29.3). However, regardless of the species, small populations—due to their greater vulnerability to demographic and environmental stochasticity (see Section 9.8) and loss of genetic variability—are more susceptible to extinction than larger populations are.

## 9.10 Small Populations Are Susceptible to Extinction

Small populations can be susceptible to a variety of factors that directly influence the rates of survival and birth. Small populations are more susceptible to both demographic and environmental stochasticity (see Section 9.8). If only a few individuals make up the population, the fate of each individual can be crucial to the survival of the population. Declining population size also may directly influence birthrates as a result of life history characteristics related to mating and reproduction (Chapter 10).

Among species that are widely dispersed, such as large cats, finding a mate may be impossible once the population density falls below a certain point. Many insect species use chemical odors or pheromones to communicate with and attract mates. As population density falls, there is less probability that an individual's chemical message will reach a potential mate, and reproductive rates may decrease. Similarly, as a plant population declines and individuals are more widely scattered, the distance between plants increases and pollination may become less likely.

Ecologists Erine Hackney and James McGraw of West Virginia University examined the reproductive limitations imposed by small population size on American ginseng (*Panax quinquefolius*),

a perennial herbaceous plant species inhabiting the deciduous forest ecosystems of eastern North America. Wild populations of American ginseng have historically been harvested for their medicinal value, and at some locations it has been reduced to populations of only a few dozen individuals. Hackney and McGraw established experimental populations of varying density using cultivated plants. Fruit production per plant declined with decreasing population size (Figure 9.14). The reduced pattern of per-plant fertility was due to reduced visitation by pollinators. Similar studies have confirmed that smaller populations of flowering plants are less apparent to potential pollinator species and therefore have a lower frequency of visitation (see Chapter 15).

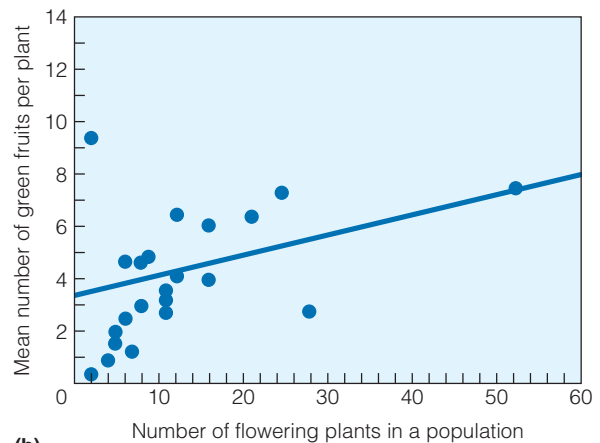
In other cases, small population size may result in the breakdown of social structures in species that practice facilitation or cooperative behaviors relating to mating, foraging, or defense. Species that aggregate into groups on communal courtship grounds (called leks) are particularly susceptible to disruption of mating behavior and reproduction with declining population size (see Section 10.10). Many gregarious species live in herds or packs that enable the individuals to defend themselves from predators or find food. Once the population is too small to sustain an effective herd or pack, the population may decline from increased mortality due to predation or starvation.

The decline in either reproduction or survival under conditions of low population density is known as the **Allee effect**—named for the ecologist W. C. Allee, who first proposed this mechanism of population regulation.

Another factor that has been suggested as a potential cause of extinction in a small population is reduced genetic diversity. Just as a matter of chance, small populations will support less genetic variation than will larger populations. This reduced genetic variation may influence the population's ability to adapt to exposure to a new disease, predator, or changes in the physical environment, such as climate. Two mechanisms that operate in small populations can function to reduce genetic variation—genetic drift and inbreeding (Chapter 5, Section 5.6).



(a)



(b)

**Figure 9.14** Example of the Allee effect of reduced fecundity rate at low population density in the perennial herbaceous plant (a) American ginseng. (b) There is a reduction in fruit production per plant at small population sizes in the 26 planted experimental populations. Line represents the general trend as defined by the linear regression  $y = 3.36 + 0.077x$ , where  $x$  is the number of flowering plants in population and  $y$  is the mean number of green fruits per plant.

(Adapted from Hackney and McGraw 2001.)

## Summary

### Population Growth 9.1

In a population with no immigration or emigration, the rate of change in population size through time over a defined time interval is a function of the difference between the rates of birth and death. When the birthrate exceeds the death rate, the rate of population change increases with population size. As the time interval over which population change is evaluated decreases, approaching zero, the change in population size is expressed as a continuous function, and the resulting pattern is termed exponential population growth. The difference in the instantaneous per capita rates of birth and death is defined as  $r$ ; the instantaneous per capita growth rate.

### Life Table 9.2

Mortality and its complement, survivorship, are best analyzed by means of a life table—an age-specific summary of

mortality. By following the fate of a cohort of individuals until all have died, we can calculate age-specific estimates of mortality and survival.

### Types of Life Tables 9.3

We can construct a cohort or dynamic life table by following one or more cohorts of individuals through time. A time-specific life table is constructed by sampling the population in some manner to obtain a distribution of age classes during a single time period.

### Mortality and Survivorship Curves 9.4

From the life table, we derive both mortality curves and survivorship curves. They are useful for comparing demographic trends within a population and among populations under different environmental conditions and for comparing survivorship among various species. Survivorship curves fall

into three major types: type I, in which individuals tend to live out their physiological life span; type II, in which mortality and thus survivorship are constant through all ages; and type III, in which survival of young is low.

### Birthrate 9.5

Birth is the greatest influence on population increase. Like mortality rate, birthrate is age-specific. Certain age classes contribute more to the population than others do.

### Net Reproductive Rate 9.6

The fecundity table provides data on the gross reproduction,  $b_x$ , and survivorship,  $l_x$ , of each age class. The sum of these products gives the net reproductive rate,  $R_0$  defined as the average number of females that will be produced during a lifetime by a newborn female.

### Population Projection Table 9.7

We can use age-specific estimates of survival and birth rates from the fecundity table to project changes in population density. The procedure involves using the age-specific survival rates to move individuals into the next age class and age-specific birthrates to project recruitment into the population. The resulting population projection table provides future estimates of both population density and age structure. Estimates of changes in population density can be used to calculate  $\lambda$  (lambda), a discrete estimate of population growth rate. This estimate can be used to predict changes in population size

through time (geometric growth model). In addition,  $l$  can be used to estimate  $r$ ; the instantaneous per capita growth rate. The estimate of  $r$  based on  $\lambda$  accounts for differences in the age-specific rates of birth and death.

### Stochastic Processes 9.8

Because the age-specific values of survival and birth derived from the life and fecundity tables represent average values (probabilities), actual values for individuals within the population can vary. The random variations in birth and death rates that occur in populations from year to year are called demographic stochasticity. Random variations in the environment that directly influence rates of birth and death are termed environmental stochasticity.

### Extinction 9.9

A variety of factors can result in a population declining to extinction, including environmental stochasticity, the introduction of new species, and habitat destruction.

### Small Populations 9.10

Small populations (low density) are more susceptible to extinction than are larger populations. This susceptibility is due to various factors, including demographic stochasticity; environmental stochasticity; disruption of social structures that influence mating, feeding, and defense; and loss of genetic diversity.

---

## Study Questions

1. What is the difference between a discrete ( $\Delta N/\Delta t$ ) and continuous ( $dN/dt$ ) model of population growth? What is the difference between geometric and exponential growth?
2. What is a life table, and what information (data) is required to construct one?
3. How do the gross reproductive rate and the net reproductive rate differ?
4. How can the value of net reproductive rate for a population ( $R_0$ ) be used to assess whether a population is increasing or declining?
5. To use a life table to project population growth, what assumption must be made regarding the age-specific rates of survival ( $s_x$ )?
6. How is the finite growth multiplier ( $\lambda$ ) calculated from the population projection table?
7. How can the finite growth multiplier be used to predict future values of population density,  $N(t)$ ?
8. What environmental factors might result in random yearly variations in the rates of survival and birth within a population?
9. Identify two factors that could possibly cause a population to decline to extinction. How might these factors be influenced by population size?
10. Why are small populations more susceptible to extinction from demographic stochasticity than are larger populations?

---

## Further Readings

- Begon, M., and M. Mortimer. 1996. *Population ecology: A unified study of animals and plants*. 3rd ed. Oxford: Blackwell Scientific Publications.  
This well-written and organized introductory text on the ecology of populations is an excellent resource for those wishing to read further about the structure and dynamics of natural populations.
- Carey, J. R. 2001. Insect biodemography. *Annual Review of Entomology* 46:79–110.  
A review of life tables in insect populations.
- Deevey, E. S., Jr. 1947. Life tables for natural populations of animals. *Quarterly Review of Biology* 22:283–314.  
A classic, pioneering paper on life tables.



Gibbons, J. W., D. E. Scott, and T. J. Ryan, et al. 2000. The global decline of reptiles, déjà vu amphibians. *Bioscience* 50:653–666.

The decline in amphibian populations has received much attention. Now it appears that reptile populations are also declining. This paper reviews significant threats to reptile populations that may account for this observed decline.

Gotelli, N. J. 2008. *A primer of ecology*. 4th ed. Sunderland, MA: Sinauer Associates.

This text explains in detail the most common mathematical models in population and community ecology.

Kaufman, L., and K. Mallory, eds. 1986. *The last extinction*. Cambridge, MA: MIT Press.

An excellent overview of causes of global extinction.

Levin, D. A. 2002. Hybridization and extinction. *American Scientist* 90:254–261.

Discusses how interbreeding between species can lead to the extinction of a rare species.

# Life History

## CHAPTER

# 10

- 10.1 The Evolution of Life Histories Involves Trade-offs**
- 10.2 Reproduction Involves Both Benefits and Costs to Individual Fitness**
- 10.3 Age at Maturity Is Influenced by Patterns of Age-Specific Mortality**
- 10.4 Reproductive Effort Is Governed by Trade-offs between Fecundity and Survival**
- 10.5 There Is a Trade-off between the Number and Size of Offspring**
- 10.6 Species Differ in the Timing of Reproduction**
- 10.7 An Individual's Life History Represents the Interaction between Genotype and the Environment**
- 10.8 Mating Systems Describe the Pairing of Males and Females**
- 10.9 Acquisition of a Mate Involves Sexual Selection**
- 10.10 Females May Choose Mates Based on Resources**
- 10.11 Patterns of Life History Characteristics Reflect External Selective Forces**



A female Alaskan brown bear (*Ursus arctos*) with her three cubs. Litter sizes range from one to four cubs, born between the months of January and March. The cubs remain with their mothers for at least two and a half years, so the most frequently females can breed is every three years.

**A**n organism's life history is its lifetime pattern of growth, development, and reproduction. At what age or size do individuals mature and begin to reproduce? How many offspring are produced during its lifetime? How long do individuals live? Life history characteristics are traits that affect and are reflected in the life table of an organism. Earlier, we discussed how the growth of a population (population dynamics) is a function of age-specific patterns of mortality (survivorship) and fecundity (birth rate) (Chapter 9). Here, we will see that these age-specific patterns are a product of evolution by natural selection. We have explored how natural selection functions to shape the physiology, morphology, and behavior of organisms, resulting in specific adaptations that allow an organism to survive, grow, and reproduce in a given environment (Part Two). Likewise, we saw that those same adaptations also function to limit the organism to do equally well under different environmental conditions. Adaptation is a story of constraints and trade-offs; when a beneficial change in one trait is associated with a detrimental change in another. We will extend our discussion of this framework of constraints and trade-offs that seemingly guide the process of evolution to understand how the age-specific patterns of reproduction and mortality evolve.

## 10.1 The Evolution of Life Histories Involves Trade-offs

If reproductive success (the number of offspring that survive to reproduce) is the measure of fitness, imagine designing an organism with the objective of maximizing its fitness. It would reproduce as soon as possible after birth, and it would reproduce continuously, producing large numbers of large offspring that it would nurture and protect. Yet such an organism is not possible. Each individual has a limited amount of resources that it can allocate to specific tasks. Its allocation to one task reduces the resources available for the others. Thus, allocation to reproduction reduces the amount of resources available for growth. Should an individual reproduce early in life or delay reproduction? For a given allocation of resources to reproduction, should an individual produce many small offspring, or fewer, but larger offspring? Each possible action has both benefits and costs. Thus, organisms face trade-offs in life history characteristics related to reproduction, just as they do in the adaptations related to carbon, water, and energy balance (discussed in Chapters 6 and 7). These trade-offs involve modes of reproduction; age at reproduction; allocation to reproduction; number and size of eggs, young, or seeds produced; and timing of reproduction. These trade-offs are imposed by constraints of physiology, energetics, and the prevailing physical and biotic environment—the organism's habitat. As such, the evolution of an organism's life history reflects the interaction between intrinsic and extrinsic factors. Extrinsic ecological factors such as the physical environment and the presence of predators or competitors directly influence age specific rates of mortality and survivorship. Intrinsic factors relating to

phylogeny (the evolutionary history of the species), patterns of development, genetics, and physiology impose constraints resulting in trade-offs among traits. In our discussion, we explore these trade-offs and the diversity of solutions that have evolved to assure success at the one essential task for continuation of life on our planet: reproduction.

## 10.2 Reproduction Involves Both Benefits and Costs to Individual Fitness

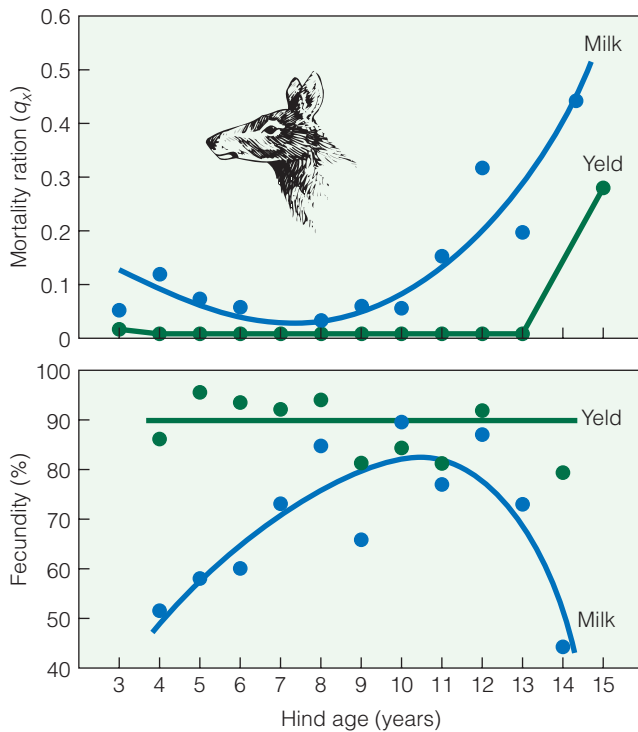
To understand how trade-offs function to influence natural selection requires an understanding of the balance between benefits and costs associated with a phenotypic trait. If the objective of reproduction is to maximize the relative fitness of the individual, then the benefit of increasing the number of offspring produced would seem obvious. Yet a central tenet of life history theory is that the behavioral, physiological, and energetic activities involved in reproduction extract some sort of cost to future reproductive success in the form of reduced survival, fecundity, and/or growth.

There are many examples of various activities involved in reproduction that increase an individual's probability of mortality. One, activities associated with the acquisition of a mate (see Sections 10.8 and 10.9). Two, defense of a breeding territory (see Chapter 11, Section 11.10). Three, the feeding and protection of young, as well as the direct physiological costs of reproduction can exhaust a toll on the individual, reducing its probability of future survival.

The work of Tim Cutton-Block of Cambridge University provides an example of the costs of reproduction in terms of increased probability of future survival. In the development of life tables for a population of red deer in central Scotland, he examined differences in the age-specific patterns of mortality for females who have reared a calf to weaning age (these females are referred to as milk hinds) and those who have not (referred to as yield hinds) (Figure 10.1). The higher reproductive costs to milk hinds associated with the care and feeding (lactation) of calves result in higher mortality rates than those observed for yield hinds.

Reproduction can also directly reduce an individual's ability to produce future offspring. The current reproductive expenditure might leave the individual with insufficient energy resources to produce the same number of offspring during future periods of reproduction. For example, studies by Sveinn Hanssen of the University of Tromsø in Norway have shown that current reproduction results in reduced future fecundity in eider ducks. The common eider (*Somateria mollissima*) is a long-lived sea-duck whose females do not eat during the incubation period. As a result, the reproductive effort of the females results in an increased loss of body mass and reduced immune function.

In a four-year study, Richard Primack and Pamela Hall of Boston University examined the costs of reproduction in the pink lady's slipper orchid (*Cypripedium acaule*). In two eastern Massachusetts populations, plants were randomly assigned to be hand-pollinated (increased reproduction) or left

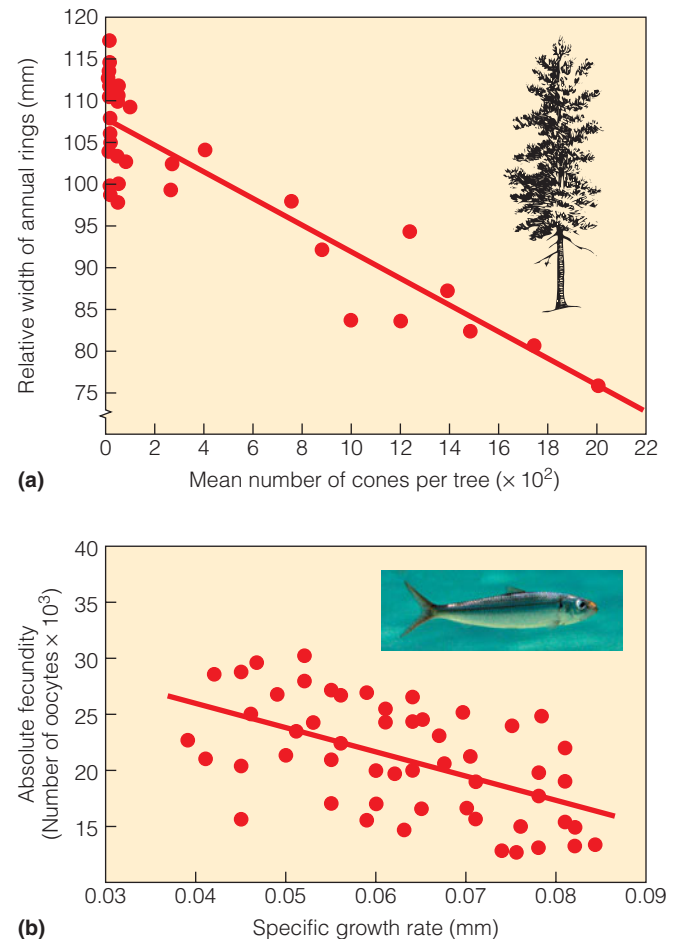


**Figure 10.1** Example of the costs of reproduction. (a) Age-specific mortality rates for red deer hinds that have reared a calf to weaning (milk hinds) milk and those that failed to produce or rear a calf (yield hinds) show the effects of breeding on survival (measured as overwinter mortality). Increase in mortality rate is associated with declining condition (measured in terms of kidney fat) resulting from the energetic costs of lactation. (b) Red deer hinds that have reared a calf to weaning (milk hinds) are less likely to conceive the following year than yield hinds. (Clutton-Brock 1984.)

as controls, with the treatments repeated in four successive years. By the third and fourth years of the study, the high cost of reproduction resulted in a lower growth and flowering rate of hand-pollinated plants in comparison with the control plants. For an average-sized plant, the production of fruit in the current year results in an estimated 10–13 percent decrease in leaf area and a 5–16 percent decrease in the probability of flowering in the following year. Increased allocation of resources to reproduction relative to growth diminished future fecundity.

Allocation to reproduction has been shown to reduce allocation to growth in a wide variety of plant and animal species (Figure 10.2). In many species there is a direct relationship between body size and fecundity (Figure 10.3). As a result, an individual reproducing earlier in age will produce fewer offspring per reproductive period than an individual that postpones reproduction in favor of additional growth.

The act of reproduction at a given age therefore has potential implications to both age-specific patterns of mortality (survivorship) and fecundity (birth rate) moving forward. For this reason, the age at which reproduction begins—the age at maturity—is a key aspect of the organism’s life history.

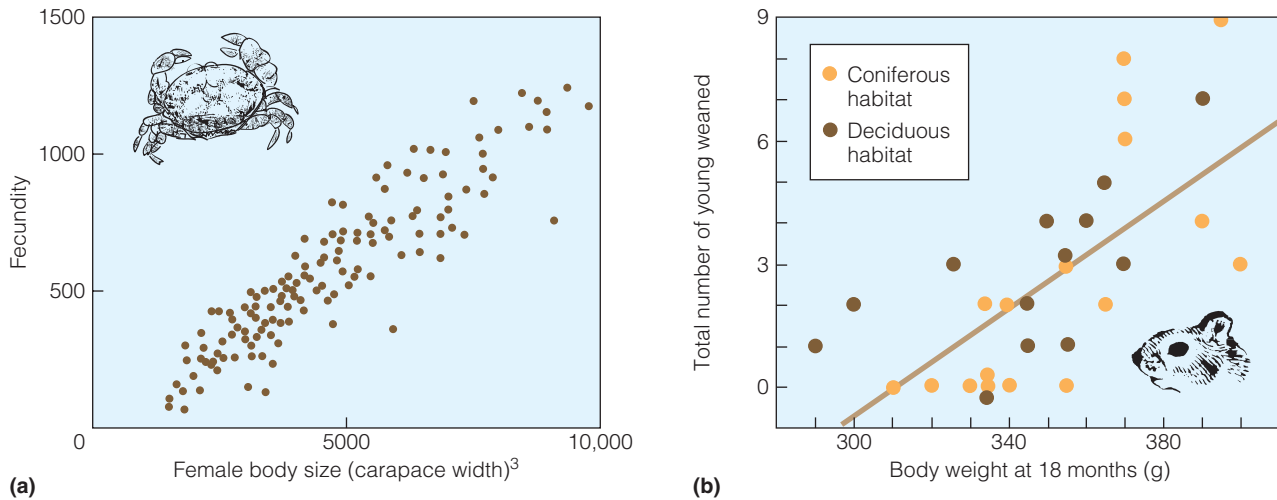


**Figure 10.2** (a) Douglas fir trees (*Pseudotsuga menziesii*) exhibit an inverse relationship between the allocation to reproduction (number of cones produced) and annual growth (as measured by radial growth). (b) The relationship between growth rate increase in (ln) total body length between February and June and absolute fecundity (measured as the number of oocytes) of three-year-old round sardinella. (a) (Adapted from Eis 1965.) (b) (Adapted from Tsikliras et al. 2007.)

### 10.3 Age at Maturity Is Influenced by Patterns of Age-Specific Mortality

When should an organism begin the process of reproduction? Some species begin reproduction early in their life cycle while others have a period of growth and development prior to the onset of reproduction. If natural selection functions to maximize the relative fitness of the individual, then the age and size at maturity are optimized when the difference between the costs and benefits of maturation at different ages/sizes is maximized. That is when the “payoff” in the fitness of the individual is greatest. An important component of this evolution is the age-specific pattern of mortality since it both shapes and is shaped by the age specific expenditures of reproductive effort.

To explore how natural selection can function to influence the age at maturity, let’s return to the age-specific patterns of survival and fecundity that developed in the previous chapter, the patterns of survival and fecundity that determine the trajectory of population growth (Table 10.1. See also Section 9.6).



**Figure 10.3** (a) Annual production of young (fecundity) by female big-handed crabs (native to New Zealand) increases with female body size (as measured by the width of the carapace, or shell). (b) Lifetime reproductive success of the European red squirrel is correlated with body weight in the first winter as an adult (age of 18 months).

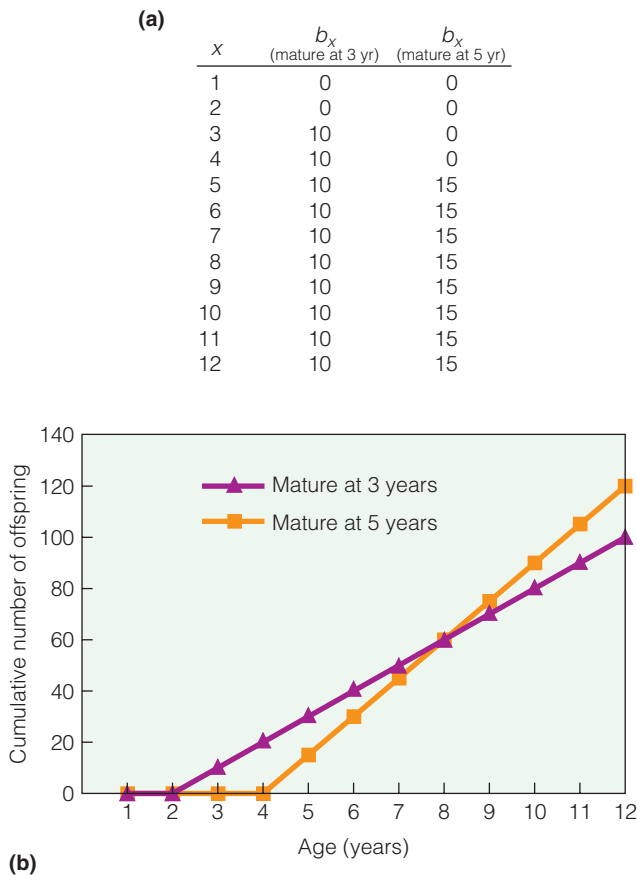
(a) (Adapted from Jones 1978.) (b) (Adapted from Wauters and Dhondt 1989.)

**Table 10.1**

$x$	$s_x$	$b_x$	
0	0.05	00.0	} Prereproductive (Juvenile)
1	0.05	00.0	
2	0.10	00.0	
3	0.25	25.0	} Reproductive (Adult)
4	0.45	30.0	
5	0.50	30.0	
6	0.50	25.0	
7	0.45	20.0	
8	0.40	15.0	} Postreproductive (Adult)
9	0.20	00.0	
10	0.00	00.0	

Recall that the first column labeled  $x$  is the age or age-class of individuals in the population. The column labeled  $s_x$  is the age-specific survival rates (the probability of an individual of age  $x$  surviving to age  $x + 1$ ), and column  $b_x$  represents the average number of female offspring produced by an individual female of age  $x$ . The three columns have been divided into three distinct age categories relating to reproduction: prereproductive, reproductive, and postreproductive. Prereproductive age categories represent juveniles, while the reproductive and postreproductive categories are referred to as adults. The age of maturity then represents the transition from juvenile to adult, or the age at which first reproduction occurs. In our example, we assume that the organism reproduces repeatedly following the onset of maturity until postreproductive age is achieved. This is not always the case as we will discuss later. Our objective is to understand that both extrinsic and intrinsic factors influence the evolution of age at maturity.

Natural selection will favor the age at maturity that results in the greatest number of offspring produced over the lifetime of an individual. Consider a simple hypothetical example of a species that continues to grow with age only until it reaches sexual maturity and then begins to reproduce. As with the examples presented in Figure 10.3, assume that fecundity increases with body weight—the larger the individual female, the greater the number of offspring produced per time period (reproductive event). Now assume that individuals within the population vary in the age at which they achieve maturity. As a result of differences in body weight, a female that begins to reproduce at age 3 will have 10 offspring per year over the duration of her lifetime, while a female that delays reproduction until age 5 will have a 50 percent greater fecundity, or 15 offspring per year (Figure 10.4). Therefore we can calculate the cumulative number of offspring produced at any point in each female’s life by summing the number of offspring from the onset of maturity to that age (Figure 10.4). Note in Figure 10.4 that the female that delayed maturity until year 5 has produced a greater number of offspring over her lifetime and natural selection should favor delayed maturity. However, this conclusion assumes that the females live to their maximum age (12 years). In fact, prior to age 8 the female that matured early has a greater cumulative number of offspring, and it is only if females survive past year 8 that the strategy of delayed maturity is the optimal strategy. Recall the difference between gross and net reproductive rate presented earlier (Section 9.6). The value obtained by summing the values in the  $b_x$  column as was done in this example is a measure of gross reproductive rate and the strategy of delayed maturity is clearly the winner. However, the true measure of reproductive rate, net reproductive rate ( $R_0$ ) considers both the age-specific values of fecundity ( $b_x$ ) and the age-specific values of survivorship ( $l_x$ ). If survival beyond age 8 is an



**Figure 10.4** Comparison of cumulative reproduction over the lifetime of two individuals that differ in their age at maturity. Both individuals continue to grow only until the onset of maturity. (a) One individual matures at age 3 and has a fixed fecundity rate of 10 offspring per year. The other individual matures at age 5, but the additional allocation to growth and increased body size results in a 50 percent increase in fecundity (average of 15 offspring per year). (b) Until age 8, the individual that matures at age 3 has a greater accumulated fecundity. From age 8 forward, however, the individual that delayed maturity until age 5 has the greater accumulated reproduction.

improbable event for this species, then the strategy of early maturity is clearly the winner.

As the above hypothetical example demonstrates, the primary fitness advantage of delaying maturity is the larger initial body size obtained by individuals when they first reproduce. The primary cost of delaying reproduction (late maturity) is the increased risk of death prior to reproduction, or death before the advantage of increased fecundity as a result of delayed maturity are fully realized (in this example, death before age 8). If one assumes that natural selection acts on age-specific expectations of producing future offspring, then age at maturity can be predicted from the mean juvenile and adult survival rates ( $s_x$  column in Table 10.1.). Decreases in the ratio of adult:juvenile survival (low survival for adults relative to juveniles) appear to favor reductions in age at maturity. As external factors (those unassociated with

reproduction) increase adult mortality, selection should be expected to favor genotypes that mature earlier (prior to those ages), thus increasing their probability of contributing genes to future generations.

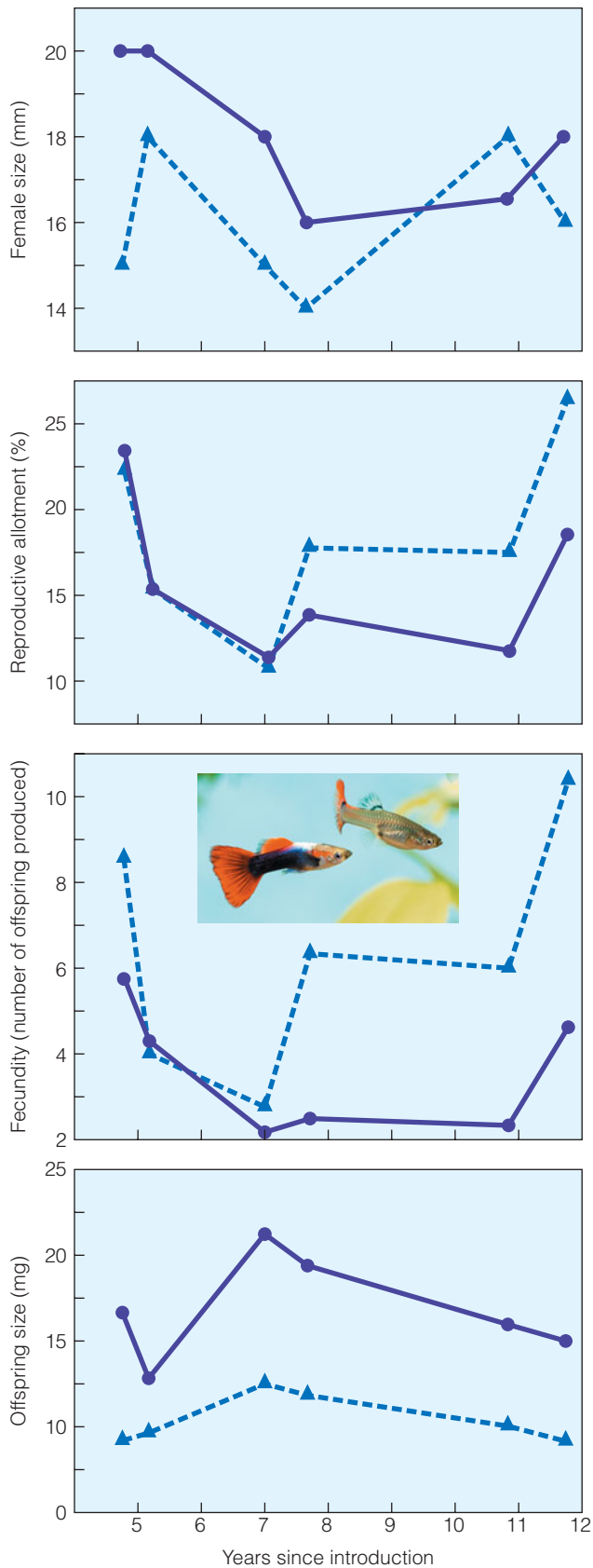
Laboratory studies and comparative data from natural populations, as well as a number of long-term experiments in which patterns of mortality have been manipulated, support the prediction that reduced adult survival will select for earlier maturation, and conversely, that reduced juvenile survival relative to that of adults will select for delayed maturation. David Reznick and colleagues at the University of California–Riverside conducted a long-term experiment of guppies in Trinidad in which these predictions relating to age-specific patterns of mortality and age at maturity are supported. Local populations of guppies on the island differ in their life history characteristics, and differences among populations are closely associated with the identity of the predator species living in their habitat. Predator species alter age-specific survival because they are size-specific in their choice of prey. *Crenicichla alta* (a cichlid), the main predator at one set of localities, preys predominantly on larger, sexually mature size classes of guppies. At other localities, *Rivulus hartii* (a killifish) is the main predator. *Rivulus* feeds primarily on small, immature size classes. Guppies from localities with *Crenicichla* mature at an earlier age than do guppies from localities with *Rivulus*. To prove that differences in age-specific patterns of survival resulting from different patterns of predation caused the differences in age at maturity, Reznick and colleagues transplanted guppies from a site with *Crenicichla* to a site that contained *Rivulus* but no guppies. This manipulation released the guppies from selective predation on adults and exposed them to selective predation on juveniles.

Eleven years (30–60 generations) after the shift in predation-induced mortality from adults to juveniles, guppies responded to the increase in the ratio of adult:juvenile survival by significantly increasing age at maturity (from 48 to 58 days). The increased age at maturity was accompanied by a larger average size at age of maturity for females, and the production of fewer, but larger offspring (Figure 10.5).

## 10.4 Reproductive Effort Is Governed by Trade-offs between Fecundity and Survival

Fecundity is the number of offspring produced per unit of time ( $b_x$ ), but the energetic costs of reproduction include a wide variety of physiological and behavioral activities in addition to the energy and nutrient demands of the reproductive event, including gonad development, movement to spawning area, competition for mates, nesting, and parental care. Together, the total energetic costs of reproduction per unit time are referred to as an individual's **reproductive effort**.

The amount of energy organisms invest in reproduction varies. Herbaceous perennials invest between 15 and 20 percent of annual net production to reproduction, including vegetative



**Figure 10.5** Experiment in which researchers shifted predation induced mortality from adults to juveniles in a population of guppies on the island of Trinidad. The dashed line represents the control group (high adult mortality relative to juveniles) and the solid line represents the introduction of predator that shifted mortality from adults to juveniles. Over the 11-year experiment ( $x$ -axis: years since introduction), guppies responded to the increase in the ratio of adult:juvenile survival by significantly increasing age at maturity (from 48 to 58 days). The increased age at maturity was accompanied by: (a) larger average size at age of maturity for females, (b) decrease in the allocation to reproduction, (c) reduction in fecundity (number of offspring produced), and (d) an increase in the average size of offspring produced.

(Adapted from Reznick et al. 1990)

propagation. Wild annuals that reproduce only once expend 15 to 30 percent; most grain crops, 25 to 30 percent; and corn and barley, 35 to 40 percent. The common lizard (*Lacerta vivipara*) invests 7 to 9 percent of its annual energy assimilation to reproduction. The female Allegheny Mountain salamander (*Desmognathus ochrophaeus*) expends 48 percent of its annual energy budget on reproduction.

Reproductive effort is thought to be associated with the adaptive responses to age at maturity discussed above (Section 10.3). For example, a decline in adult (reproductive) survival rate relative to that for juveniles (prereproductive) is predicted to favor genotypes that mature earlier in life and increase reproductive effort. The probability of future survival (and therefore future reproduction) is low, so early maturity and high reproductive effort will maximize individual fitness. Conversely, an increased juvenile mortality results in delayed maturity and reduced reproductive effort. This is the pattern that was observed by Reznick and colleagues in their experiments with predation induced mortality in populations of guppies on Trinidad (see Figure 10.5).

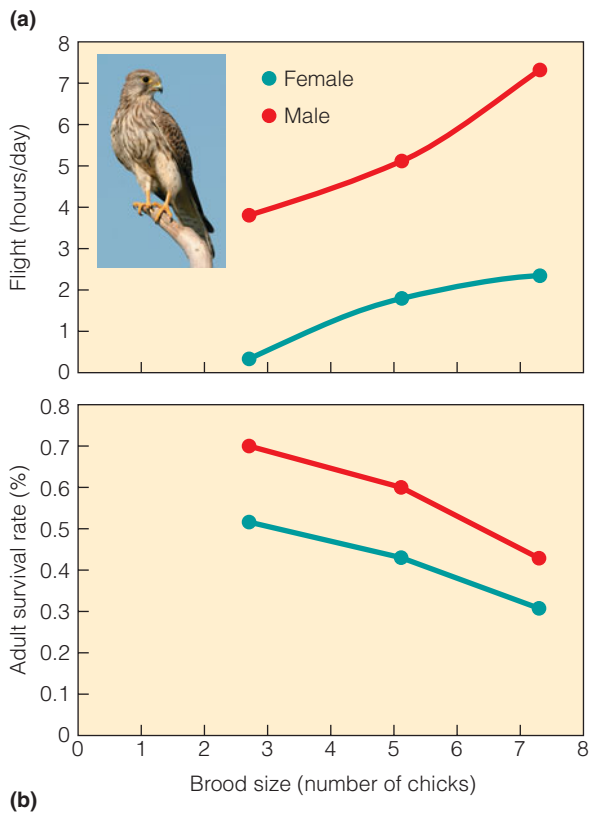
Michael Fox and Allen Keast of Trent University in Canada found that pumpkinseed fish (*Lepomis gibbosus*) inhabiting two shallow ponds that experienced major winterkills (weather-related mortality events during winter months) matured 1–2 years earlier and at a smaller size (difference > 20 mm in length) than individuals of the same species living in an adjacent lake in which winterkill did not occur. In addition, females inhabiting the high mortality environment have a significantly higher allocation to reproduction than those inhabiting low mortality environments.

In both of the above studies, variations in allocation to reproduction were found to be related to patterns of mortality caused by extrinsic factors (predation or extreme temperatures). Patterns of mortality, however, are also influenced directly by reproductive effort. For example, in the study of red deer presented in Figure 10.1, allocation to reproduction resulted in an increase in female mortality rate. Therefore, allocation to reproduction at any time during the life of an individual involves trade-offs between current benefits from the production of offspring and costs in terms of potential reduction in future reproduction. Natural selection should function to optimize the trade-off between present and future reproduction.

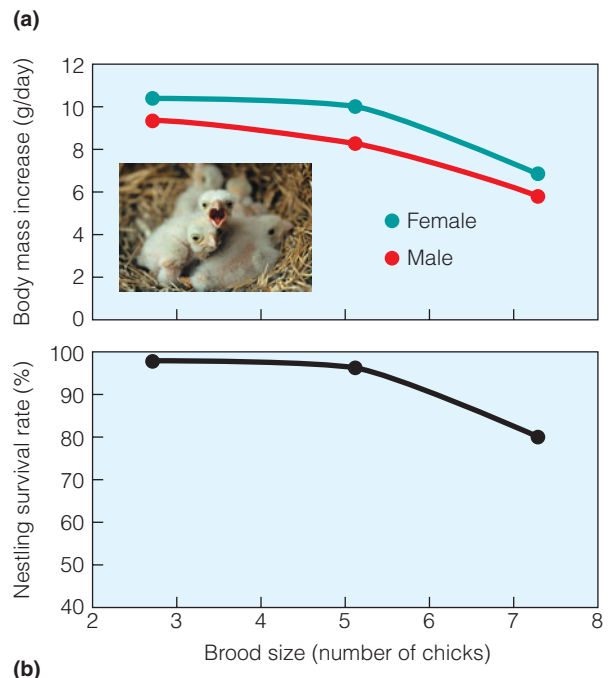
An optimized life history is one that resolves conflicts between the competing demands for survival and reproduction to the best advantage of the individual in terms of fitness. To explore this relationship we can examine how fecundity and survival vary as a function of allocation to reproduction at any given time period in an individual's life.

Cor Dijkstra and colleagues at the University of Groningen in the Netherlands examined the trade-off between fecundity and survival in the European kestrel (*Falco tinnunculus*), predatory birds that feed on small mammals. Both parents provide food for the brood (offspring), therefore reproductive allocation associated with the feeding of young can be approximated by the time (hours/day) spent in flight (hunting activity). The brood size for nesting pairs of kestrels on the study area averaged five chicks.

The researchers divided the nesting population in the study area into two groups: an unmanipulated control group and group in which brood size in the nests would be manipulated. Starting when the nestlings were 5 to 10 days old, the researchers removed two nestlings from selected nests, thus reducing brood size by two. These chicks were then transferred to other nests, increasing the size of the brood by two. Brood enlargement forced an increase in daily hunting activities of both parents (Figure 10.6a). Despite the increase, food intake



**Figure 10.6** Results from an experiment involving the manipulation of brood size in breeding pairs of European kestrels. (a) The amount of time spent foraging (flight time) by both the male and female parent increased as a function of the brood size (number of chicks in the nest). (b) As a result of the increase in energy expenditure, the survival rate of parents decreased with increasing brood size. (Adapted from Dijkstra et al. 1990.)

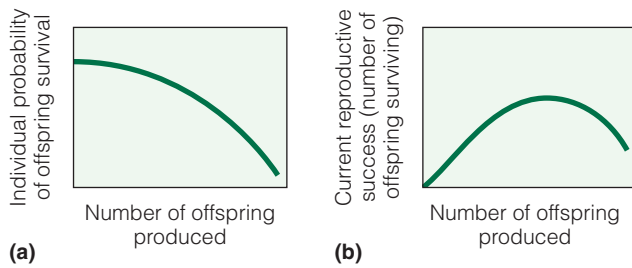


**Figure 10.7** Results from an experiment involving the manipulation of brood size in breeding pairs of European kestrels. (a) The body mass of both male and female chicks decreased as the number of chicks in the nest (brood size) increased. (b) As a result, nestling survival rate declined with increasing brood size. (Adapted from Dijkstra et al. 1990.)

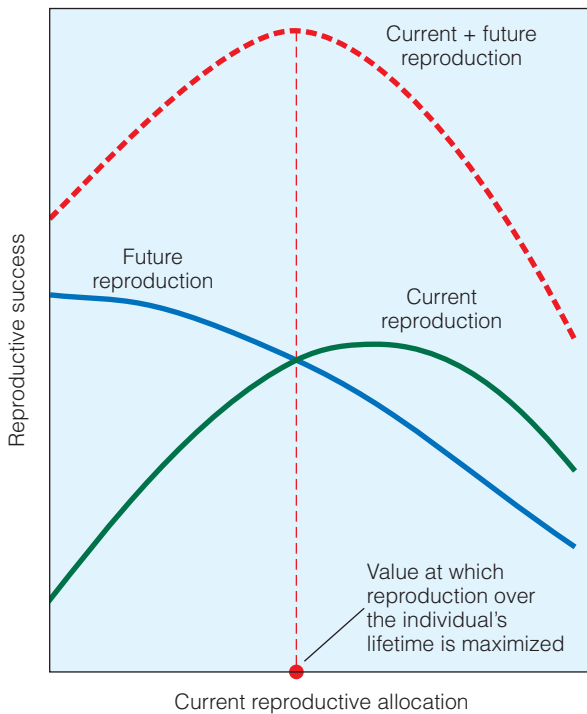
per chick declined with increased brood size. This decline resulted in reduced growth rate of the nestlings and increased nestling mortality (Figure 10.7). In addition, brood enhancement resulted in enhanced weight loss in the female parent, and survival of the parents was negatively correlated with the experimental change in brood size (Figure 10.6b). Increased allocation to reproduction (energy expenditure to the feeding and caring of offspring) resulted in a reduction in the probability of future survival of parents, and therefore future reproduction.

The responses of offspring and parental survival to brood enhancement in the study by Dijkstra reveal two patterns that are essential to understand how natural selection functions to optimize reproductive effort. First, as reproductive effort increased, the number of offspring increased, but the probability of offspring survival decreased. Therefore, for any given value of reproductive effort, current reproductive success is the product of the two: number of offspring produced multiplied by the probability of their survival. As a result of the inverse relationship between the number of offspring and their probability of survival (Figure 10.7), the resulting pattern of current reproductive success is one of diminishing returns, with each additional unit of reproductive allocation returning a decreasing benefit in terms of current fecundity (Figure 10.8). Second, as reproductive effort increased, parental survival decreased, once again with each additional unit of reproductive allocation returning an increasing cost in terms of future





**Figure 10.8** (a) As the number of offspring produced per reproductive effort increased, there is a corresponding decline in their probability of survival. As a result, (b) the current reproductive success (calculated as the number of offspring produced times their probability of survival:  $x \times y$ ) shows a pattern of diminishing return, reaching a maximum value at intermediate values of reproductive effort.



**Figure 10.9** If we assume that future reproductive success follows the general pattern of parental survival with current reproductive success seen in Figure 10.6b (decreasing with increasing number of offspring), then we can plot predicted current (from Figure 10.7) and future reproductive success of parents as a function of current allocation to reproduction. By summing the values of current and future reproduction for any value of current reproductive allocation (dashed red line), we can see that reproduction over an individual's lifetime (current + future reproduction) is maximized at intermediate values of reproductive allocation.

### INTERPRETING ECOLOGICAL DATA

**Q1.** How would a decrease in the probability of offspring survival in Figure 10.8a change the optimal value for current reproductive allocation?

**Q2.** How would a decrease in adult survival in Figure 10.6b change the optimal value for current reproductive allocation?

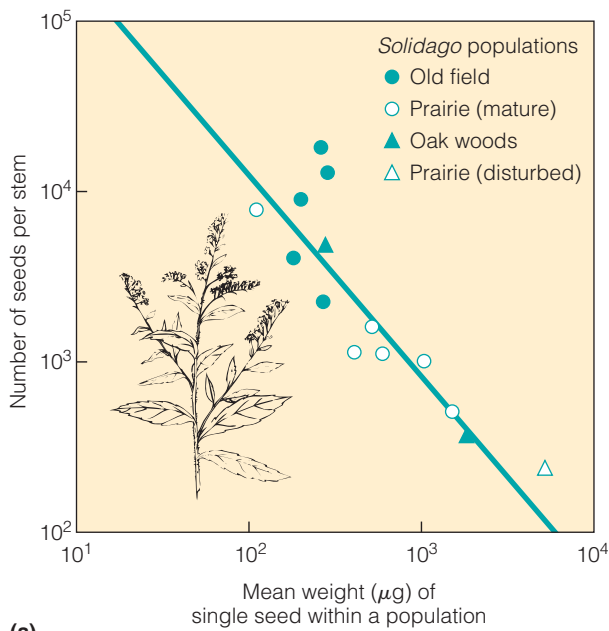
fecundity. Figure 10.9 illustrates these patterns in that each additional unit of reproductive allocation returns a decreasing benefit (current reproduction) and increasing cost (reduced future reproduction). The dashed line represents the sum of the values for current and future reproduction for any given allocation to reproduction (value along  $x$ -axis). The dashed line reaches its maximum value at intermediate values of reproductive allocation. Fitness of the parent is often maximized at intermediate reproductive effort/investment, particularly for organisms that reproduce repeatedly. In this analysis, optimal allocation to reproduction is not the maximum possible number of offspring that can be produced for a given reproductive event, nor is it the allocation that maximizes the benefit in terms of current fecundity (maximum offspring survival; see Figure 10.8). If natural selection functions to maximize the relative fitness of the parent, the allocation to reproduction represents a trade-off with parental survival not offspring survival. Natural selection functions to maximize fitness over the lifetime of the parent.

## 10.5 There Is a Trade-off between the Number and Size of Offspring

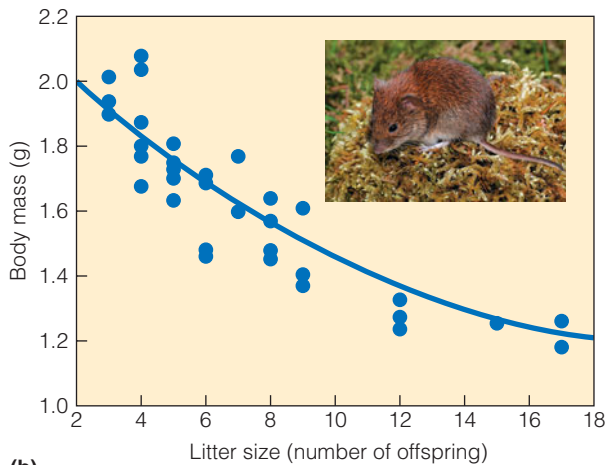
In theory, a given allocation to reproduction can potentially produce many small offspring or fewer large ones. The number of offspring affects the parental investment each receives. If the parent produces a large number of young, it can afford only minimal investment in each one. In such cases, animals provide no parental care, and plants store little food energy in seeds (Figure 10.10). Such organisms usually inhabit disturbed sites, unpredictable environments, or places such as the open ocean where opportunities for parental care are difficult at best. By dividing energy for reproduction among as many young as possible, these parents increase the chances that some young will successfully settle and reproduce in the future.

Parents that produce few young are able to expend more energy on each. The amount of energy will vary with the number, size, and maturity of individuals at birth. Some organisms expend less energy during incubation. The young are born or hatched in a helpless condition and require considerable parental care. These animals, such as young mice or nestling American robins (*Turdus migratorius*), are **altricial**. Other animals have longer incubation or gestation, so the young are born in an advanced stage of development. They are able to move about and forage for themselves shortly after birth. Such young are called **precocial**. Examples are gallinaceous birds, such as chickens and turkeys, and ungulate mammals, such as cows and deer.

The degree of parental care varies widely. Some species of fish, such as cod (*Gadus morhua*), lay millions of floating eggs that drift freely in the ocean with no parental care. Other species, such as bass, lay eggs in the hundreds and provide some degree of parental care. Among amphibians, parental care is most prevalent among tropical toads and frogs and some species of salamanders. The spotted (*Ambystoma maculatum*) and redback (*Plethodon cinereus*) salamanders found in eastern North America provide such an example of contrasting life history strategies relating to the number of young produced



(a)



(b)

**Figure 10.10** Examples of the trade-off between number of offspring produced and the average size of each offspring. (a) Inverse relationship between mean weight of individual seeds and the number of seeds produced per stem for populations of goldenrod (*Solidago* spp.) in a variety of habitats. (b) Inverse relationship between litter size (number of offspring per litter) and average body mass (g) of offspring at birth for litters of bank vole (*Clethrionomys glareolus*). (a) (Adapted from Werner and Platt 1976.) (b) (Adapted from Oksanen et al. 2003.)

and parental care (Figure 10.11). The spotted salamander is found under logs and piles of damp leaves in deciduous forest habitats. During the month of February, individuals migrate to ponds and other small bodies of water to reproduce. After mating, females lay up to 250 eggs in large, compact, gelatinous masses that are attached to twigs just below the water surface. After mating, adults leave the water and provide no parental care of eggs or young. In contrast, the redback salamander occupies similar habitats in mixed coniferous–deciduous forests. After mating, females lay 4 to 10 eggs, which are



(a)



(b)

**Figure 10.11** The spotted (*Ambystoma maculatum*) and redback (*Plethodon cinereus*) salamanders found in eastern North America provide an example of contrasting life history strategies. (a) The spotted salamander lays a large number of eggs that form an egg mass, which it then abandons. (b) In contrast, the redback lays only few eggs, which it guards until they hatch.

deposited in a cluster within the crevice of a rotting log or stump. The female then curls about the egg cluster, guarding them until the larvae hatch.

Among reptiles, which rarely exhibit parental care, crocodiles are an exception. They actively defend the nest and young for a considerable time. Invertebrates exhibit parental care to varying degrees. Octopus, crustaceans (such as lobsters), crayfish, and shrimp, and certain amphipods (such as millipedes), brood and defend eggs. Parental care is best developed among the social insects: bees, wasps, ants, and termites. Social insects perform all functions of parental care, including feeding, defense, heating and cooling, and sanitation.

How a given investment in reproduction is allocated, the number and size of offspring produced, and the care and defense provided, will all interact in the context of the environment to determine the return to the individual in terms of increased fitness (see Quantifying Ecology 10.1: Interpreting Trade-offs).

## QUANTIFYING ECOLOGY 10.1 Interpreting Trade-offs

Many of the life history characteristics discussed in this chapter involve trade-offs, and understanding the nature of trade-offs involves the analysis of costs and benefits for a particular trait.

One trade-off in reproductive effort discussed in Section 10.5 involves the number and size of offspring produced. The graph in Figure 1 is similar to the one presented in Figure 10.10, showing the trade-off relationship between seed size and the number of seeds produced per plant. The example assumes a fixed allocation (100 units); therefore, the number of seeds produced per plant declines with increasing seed size.

Based on this information alone, it would appear that the best strategy for maximizing reproductive success would be to produce small seeds, thereby increasing the number of offspring produced. However, we must also consider any benefits to reproductive success that might vary as a function of seed size. The reserves of

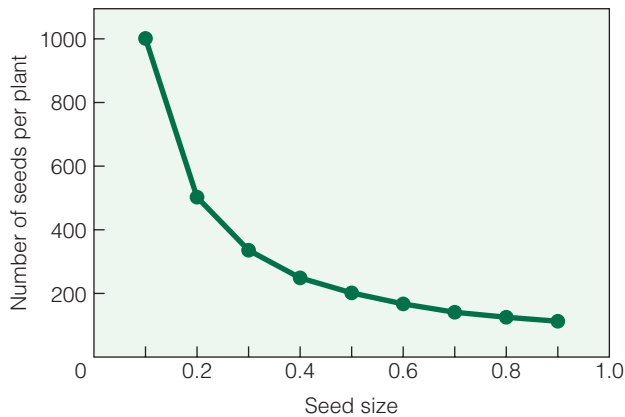


Figure 1

energy and nutrients associated with large seed size have been shown to increase the probability of successful establishment, particularly for seedlings in low-resource environments (see an example of the relationship between seed size and seedling survival in shade in Chapter 6, Field Studies: Kaoru Kitajima). A generalized relationship between seed size and seedling survival for two different environments (wet and dry) is plotted in Figure 2. In both environments, survival increases with seed size; however, in dry environments, the probability of survival declines dramatically with decreasing seed size.

By multiplying the number of seeds produced by the probability of survival, we can now calculate the expected reproductive success (the number of surviving offspring produced per plant) for plants producing seeds of a given size in both the wet and dry environments (Figure 3).

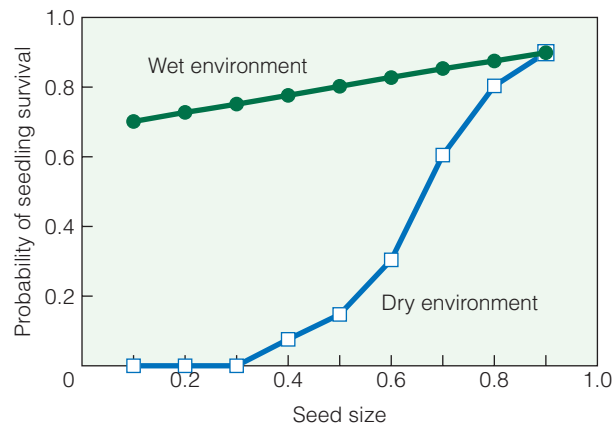


Figure 2

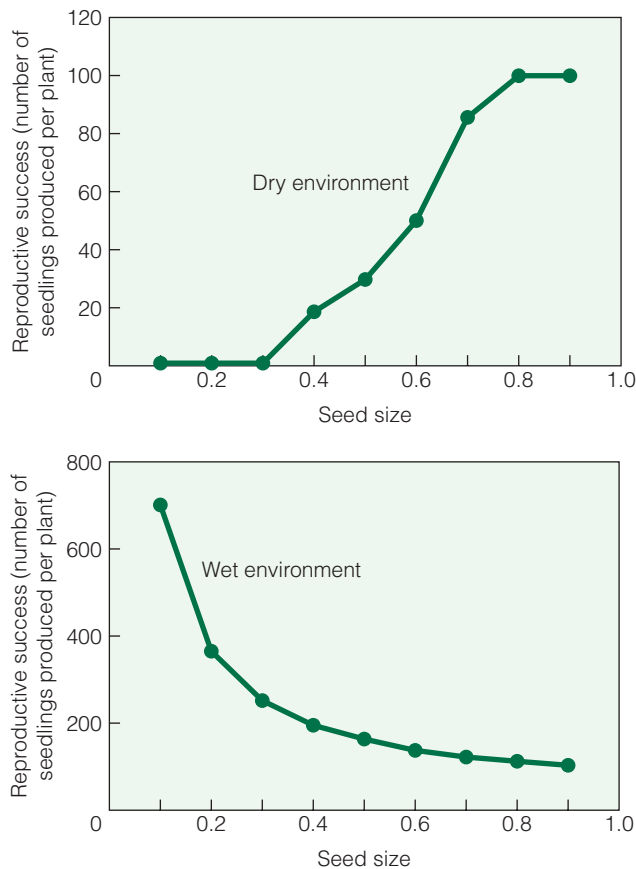
## 10.6 Species Differ in the Timing of Reproduction

How should an organism invest its allocation in reproduction through time? Thus far we have focused on age-structured populations in which reproduction begins with the onset of maturity and continues over some period of time until either reproduction ceases (postreproductive period) or senescence occurs. Organisms that produce offspring more than once over their lifetime are called **iteroparous**. Iteroparous organisms include most vertebrates, perennial herbaceous plants, shrubs, and trees. As we have explored, for an iteroparous organism the problem is timing reproduction. Whatever the choice, it involves trade-offs. Early reproduction means earlier maturity, less growth, reduced fecundity per reproductive period, reduced survivorship, and reduced potential for future reproduction. Later reproduction means increased growth,

later maturity, and increased survivorship, but less time for reproduction. In effect, if an organism is to make a maximum contribution to future generations, it has to balance the profits of immediate reproduction against future prospects, including the cost to fecundity (total offspring produced) and its own survival (Section 10.5).

Another approach to reproduction is initially to invest all energy into growth, development, and energy storage, followed by one massive reproductive effort and then death. In this strategy, an organism sacrifices future prospects by expending all its energy in one suicidal act of reproduction. Organisms exhibiting this mode of reproduction are called **semelparous**.

Semelparity is employed by most insects and other invertebrates, by some species of fish (notably salmon), and by many plants. It is common among annuals, biennials, and some species of bamboos. Many semelparous plants, such as ragweed



**Figure 3**

In wet environments, where all seed sizes have comparable probabilities of survival, the strategy of producing many small seeds results in the highest reproductive success and fitness. In contrast, the greater probability of survival makes the strategy of producing large seeds the most successful in dry environments, even though far fewer seeds are produced.

Interpreting the trade-offs observed in life history characteristics, such as the one illustrated between seed size and the number of seeds produced, requires understanding how those trade-offs function in the context of the environment (both biotic and abiotic) in which the species lives. Costs and benefits of a trait can change as the environmental conditions change. The diversity of life history traits exhibited by species is testimony that there is no single “best” solution for all environmental conditions.

1. In the example just presented, natural selection should favor plants producing small seeds in wet environments and plants that produce larger seeds in dry environments, resulting in a difference in average seed size in these two environments. What might you expect in an environment where annual rainfall is relatively high during most years (wet) but in which periods of drought (dry) commonly persist for several years?
2. The seeds of shade-tolerant plant species are typically larger than those of shade-intolerant species. How might this difference reflect a trade-off in life history characteristics relating to successful reproduction in sun and shade environments? See the discussion of shade tolerance in Chapter 6 and the Field Studies feature in that chapter.

(*Ambrosia* spp.), are small, short-lived, and found in ephemeral or disturbed habitats. For them, it would not pay to hold out for future reproduction, for the chances are slim. They gain their maximum fitness by expending all their energies in one bout of reproduction.

Other semelparous organisms, however, are long-lived and delay reproduction. Mayflies (*Ephemeroptera*) may spend several years as larvae before emerging from the surface of the water for an adult life of several days devoted to reproduction. Periodical cicadas spend 13 to 17 years belowground before they emerge as adults to stage a single outstanding exhibition of reproduction. Some species of bamboo delay flowering for 100 to 120 years, produce one massive crop of seeds, and die. Hawaiian silverswords (*Argyroxiphium* spp.) live 7 to 30 years before flowering and dying. In general, species that evolve semelparity have to increase fitness enough to compensate for the loss of repeated reproduction.

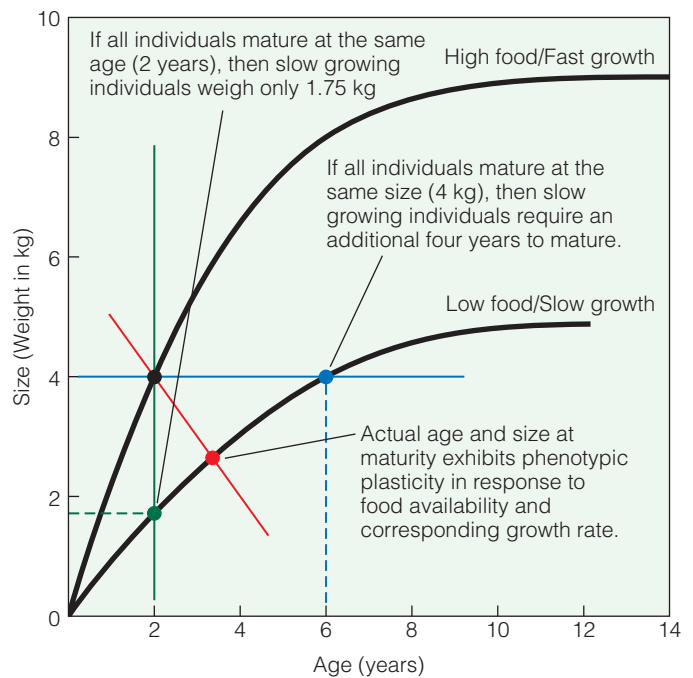
As we have seen, optimal reproductive effort per unit time (per reproductive event) is the balance between current and future reproduction that functions to maximize the individual’s (parental) fitness. Within this framework, semelparity implies that one single maximum reproductive effort followed by death represents the optimal solution for the individual in the context of its environment (external constraints). It follows that iteroparity evolved through a change in conditions such that less than the maximum possible reproductive effort is optimal on the first reproductive attempt, and that the organism survives to reproduce during future time intervals. What type of change in conditions might bring about the shift from semelparity to iteroparity? If the external environment imposes a high adult mortality relative to juvenile mortality, and if individuals reach maturity, chances are that they will not survive much longer, so future reproductive expectations are bleak. Under these conditions semelparity would be favored. If the opposite holds

true and juvenile mortality is high compared to adult mortality, once an individual makes it to maturity, it has a good chance of surviving into the future, so prospects of future reproduction are good. Under these conditions iteroparity would be favored.

## 10.7 An Individual's Life History Represents the Interaction between Genotype and the Environment

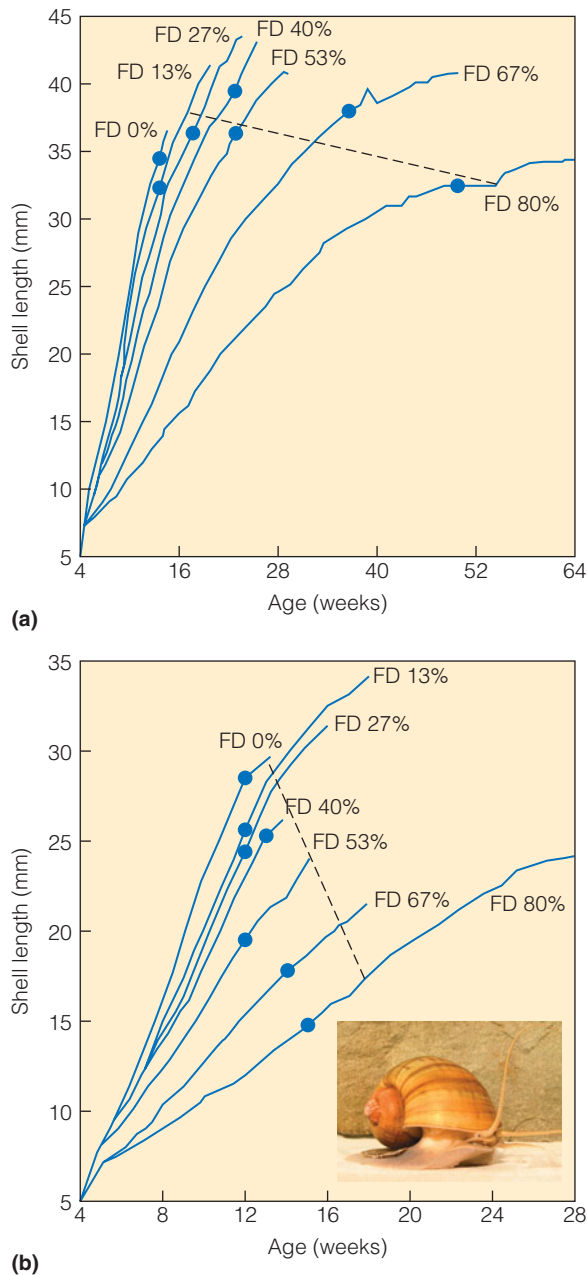
Natural selection acts on phenotypic variation among individuals within the population and variation in life history characteristics, such as age at maturity, allocation to reproduction, and the average number and size of offspring produced is common among individuals within a population (see Chapter 5). The observed phenotypic variation within populations can arise from two sources: genotypic variation among individuals, and interactions between the genotype and environment. Recall that most phenotypic traits are influenced by the environment; that is to say, the phenotypic expression of the genotype is influenced by the environment (see Chapter 5, Section 5.3). The ability of a genotype to give rise to different phenotypic expressions under different environmental conditions is termed phenotypic plasticity, and the set of phenotypes expressed by a single genotype across a range of environmental conditions is referred to as the norm of reaction (see Figure 5.18). Just as with the examples of phenotypic plasticity related to physiological, morphological, and behavioral characteristics involved in the thermal, energy, and water balance of plants and animals, the characteristics related to life history also exhibit reaction norms as a result of interactions between genes and environment (see Chapters 6 and 7). One life history trait that has received a great deal of focus regarding response to environmental variation is the relationship between age and size at maturity.

Let's begin by examining the expected patterns of size and age at maturity for a given genotype. Figure 10.12 shows the graph of a growth curve for a hypothetical fish species that under the best of conditions can mature at 2 years of age and a weight of 4 kg. Now let us change the environmental conditions by reducing the availability of food. The result is a slower rate of growth (Figure 10.12). The question now becomes when should the slow-growing fish begin reproduction (mature)? There are three possible ways to go. First, individuals will always mature at the same size (blue line in Figure 10.12). The problem with this approach is that it now requires an additional four years to mature, increasing the probability of mortality before the individual has the opportunity to breed (blue dashed line). The second approach is to always mature at the same age (green line in Figure 10.12). This approach also presents a downside: now the individual will weigh only 1.75 kg and smaller individuals produce fewer offspring (green dashed line). Somewhere between these two approaches is a compromise between the increased costs of increased risk of mortality and that of reduced fecundity. Individuals could evolve a reaction norm for age and size at maturity (red line in Figure 10.12). The optimal solution for any growth rate would depend on the relationship between size and fecundity and the age-specific patterns of juvenile mortality.



**Figure 10.12** Example of norm of reaction for age and size at maturity. Assume that when food is not limiting individual growth (high food) an individual matures (begins reproduction) at 2 years of age and 4 kg in weight (black circle). When food availability is low the growth rate slows. Under these conditions one of three rules can apply. First, the individual can mature at a fixed age: 2 years (green line and circle). The problem with this rule is that as a result of the reduced growth rate the individual will only weigh 1.5 kg. If reproductive effort is related to body weight this will result in a reduction in fecundity. Second, the individual can mature at a fixed size: 4 kg (blue line and circle). Under this rule, the individual will not mature until age 6. Third, the individual may exhibit phenotypic plasticity in the relationship between size and age at maturity, exhibiting a compromise between age and size at maturity (red line and circle).

Nicolas Tamburi and Pablo Martin of the Universidad Nacional del Sur in Argentina examined patterns of phenotypic plasticity in the age and size at maturity in the fresh water applesnail (*Pomacea canaliculata*) native to South America. It has a broad geographic range, and its local populations exhibit variation in life history traits. In their experiments, the researchers reared full sibling snails in isolation under a gradient of seven different levels of food availability determined by size-specific ingestion rates. The reaction norms for age and size at maturity for both male and female snails are presented in Figure 10.13. They show a marked difference between males and females. Males showed less variation in age at maturity but a wide variation in shell size. Size is largely irrelevant in gaining access to females and male fitness can be maximized through fast maturation at the expense of size at maturity. In contrast, a minimum size is required for females to reach maturity so there is a much greater variation in age at maturity rather than size. In both cases, the reaction norms reflect a trade-off between age and size at maturity. The differences between the reaction norms of males and females reflect basic differences in the trade-offs between the sexes as they relate to fitness.



**Figure 10.13** Mean growth curves for (a) females and (b) males of the freshwater applesnail, *P. canaliculata*, grown under a gradient of food deprivation (FD 0 percent to FD 80 percent). Dots indicate the age and size at sexual maturity. The dashed line represents the general trend in the norm of reaction—phenotypic plasticity in the age and size at maturity in response to food availability (interaction between genotype and environment). (Adapted from Tamburi and Martin 2009.)

## 10.8 Mating Systems Describe the Pairing of Males and Females

In all sexually reproducing species there is a social framework involving the selection of mates. The pattern of mating between males and females in a population is called the **mating system** (also see Chapter 5). The structure of mating systems in animal species ranges from **monogamy**, which

involves the formation of a lasting pair bond between one male and one female, to **promiscuity**, in which males and females mate with one or many of the opposite sex and form no pair bond. The primary mating systems in plants are **outcrossing** (cross-fertilization in which pollen from one individual fertilizes the ovum of another) and **autogamy** (self-fertilization). However, mixed mating systems, in which plants utilize both outcrossing and autogamy is common.

The mating system of a species has direct relevance to its life history because it influences allocation to reproduction, particularly in males. Competition among males for mates, courtship behavior, territorial defense, and parental care (feeding and protection of offspring) can represent a significant component of reproductive allocation. In addition, we shall see that the degree of parental care differs among mating systems and parental care has a direct effect on offspring survival. As such, a mating system is both influenced by and influences age-specific patterns of fecundity and mortality.

Monogamy is most prevalent among birds and rare among mammals, except for several carnivores such as foxes (*Vulpes* spp.) and weasels (*Mustela* spp.), and a few herbivores such as the beaver (*Castor* spp.), muskrat (*Ondatra zibethica*), and prairie vole (*Microtus ochrogaster*). Monogamy exists mostly among species in which cooperation by both parents is needed to raise the young successfully. Most species of birds are seasonally monogamous (during the breeding season), because most young birds are helpless at hatching and need food, warmth, and protection. The avian mother is no better suited than the father to provide these needs. Instead of seeking other mates, the male can increase his fitness more by continuing his investment in the young. Without him, the young carrying his genes may not survive. Among mammals, the situation is different. The females lactate (produce milk), providing food for the young. Males often can contribute little or nothing to the survival of the young, so it is to their advantage to mate with as many females as possible. Among the mammalian exceptions, the male provides for the female and young and defends the territory (area defended for exclusive use and access to resources; see Section 11.10 for discussion). Both males and females regurgitate food for the weaning young.

Monogamy, however, has another side. Among many species of monogamous birds, such as bluebirds (*Sialia sialis*), the female or male may “cheat” by engaging in extra-pair copulations while maintaining the reproductive relationship with the primary mate and caring for the young. By engaging in extra-pair relationships, the female may increase her fitness by rearing young sired by two or more males. The male increases his fitness by producing offspring with several females.

**Polygamy** is the acquisition by an individual of two or more mates. It can involve one male and several females or one female and several males. A pair bond exists between the individual and each mate. The individual having multiple mates (be it male or female) is generally not involved in caring for the young. Freed from parental duty, the individual can devote more time and energy to competition for more mates and resources. The more unevenly such crucial resources as food or quality habitat are distributed, the greater the opportunity for a successful individual to control the resource and several mates.

The number of the opposite sex an individual can monopolize depends on the degree of synchrony in sexual receptivity. For example, if females in the population are sexually active for only a brief period, as with the white-tailed deer, the number a male can monopolize is limited. However, if females are receptive over a long period of time, as with elk (*Cervus elaphus*), the size of a harem a male can control depends on the availability of females and the number of mates the male has the ability to defend.

Environmental and behavioral conditions result in various types of polygamy. In **polygyny**, an individual male pairs with two or more females. In **polyandry**, an individual female pairs with two or more males. Polyandry is interesting because it is the exception rather than the rule. This system is best developed in three groups of birds, the jacanas (Jacanidae; Figure 10.14), phalaropes (*Phalaropus* spp.), and some sandpipers (Scolopacidae). The female competes for and defends resources essential for the male, as well as the males themselves. As in polygyny, this mating system depends on the distribution and defensibility of resources, especially quality habitat. The female produces multiple clutches of eggs, each with a different male. After the female lays a clutch, the male begins incubation and becomes sexually inactive.

The nature and evolution of male–female relationships are influenced by environmental conditions, especially the availability and distribution of resources and the ability of individuals to control access to resources. If the male has no role in feeding and protecting the young and defends no resources available to them, the female gains no advantage by remaining with him. Likewise, the male gains no increase in fitness by remaining with the female. If the habitat were sufficiently uniform, so that little difference existed in the quality of territories held by individuals, selection would favor monogamy because female fitness in all habitats would be nearly the same. However, if the habitat is diverse, with some parts more productive than others, competition may be intense, and some males will settle on poorer territories. Under such conditions, a female may find it more advantageous to join another female in the territory of the male defending a rich resource than to settle alone with a male in a poorer territory. Selection under those conditions will favor a



**Figure 10.14** An example of polyandry. The male African jacana (*Actophilornis africanus*) is shown defending the young. After the female lays a clutch, the male incubates the eggs and cares for the young while the female seeks additional mates.

polygamous relationship, even though the male may provide little aid in feeding the young.

## 10.9 Acquisition of a Mate Involves Sexual Selection

For females, the production and care of offspring represents the largest component of reproduction expenditure. For males, however, the acquisition of a mate is often the major energetic expenditure that influences fitness.

The flamboyant plumage of the peacock (Figure 10.15a) presented a troubling problem for Charles Darwin. Its tail feathers are big and clumsy and require a considerable allocation of energy to grow. They are also very conspicuous and present a hindrance when a peacock is trying to escape predators. In the theory of natural selection what could account for the peacock's tail? Of what possible benefit could it be (see Chapter 5)?

In his book *The Descent of Man and Selection in Relation to Sex*, published in 1871, Darwin observed that the elaborate and often outlandish plumage of birds as well as the horns, antlers, and large size of polygamous males seemed incompatible with natural selection. To explain why males and females of the same species often differ greatly in body size, ornamentation, and color (referred to as *sexual dimorphism*), Darwin developed a theory of sexual selection. He proposed two processes to account for these differences between the sexes: intrasexual selection and intersexual selection.

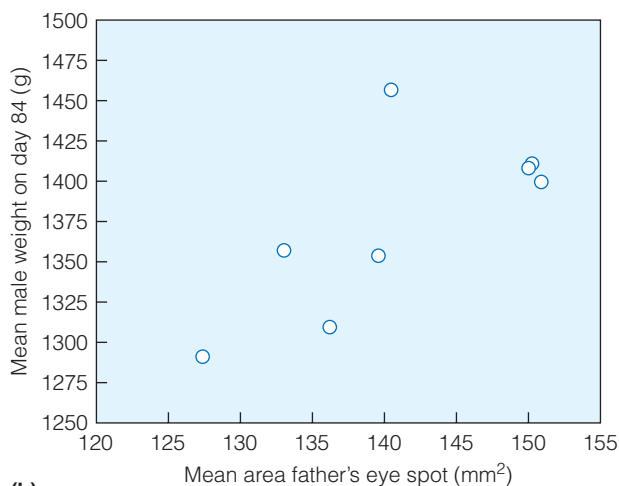
**Intrasexual selection** involves male-to-male (or in some cases, female-to-female) competition for the opportunity to mate. It leads to exaggerated secondary sexual characteristics, such as large size, aggressiveness, and organs of threat such as antlers and horns (Figure 10.16) that aid in competition for access to mates.

**Intersexual selection** involves the differential attractiveness of individuals of one sex to another (see Field Studies: Alexandra L. Basolo). In the process of intersexual selection, the targets of selection are characteristics in males such as bright or elaborate plumage used in sexual displays, as well as the elaboration of some of the same characteristics related to intrasexual selection (such as horns and antlers). It is a form of assortative mating where the female selects a mate based on specific phenotypic characteristics (see Section 5.6). There is intense rivalry among males for female attention. In the end, the female determines the winner, selecting an individual as a mate. The result is an increase in relative fitness for those males that are chosen, shifting the distribution of male phenotypes in favor of the characteristics on which female choice is based (see Chapter 5). But do such characteristics as bright coloration, elaborate plumage, and size really influence the selection of males by females of the species?

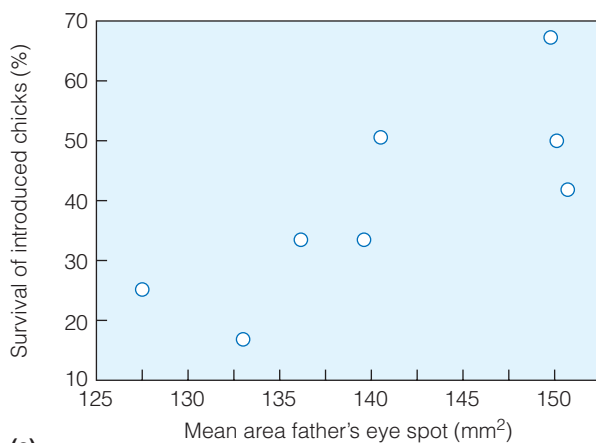
Marion Petrie of the University of Newcastle, England, conducted some experiments to examine intersexual selection in peacocks (*Pavo cristatus*). She measured characteristics of the tail feathers (referred to as the train) of male peacocks chosen by females as mates over the breeding season. Her results show that females selected males with more elaborate trains. In particular, she found a positive correlation between the number of eyespots a male had on his train (see photograph in Figure 10.15a) and the number of females he mated with. She then altered the tail feathers from a group of males with



(a)



(b)



(c)

**Figure 10.15** (a) Male peacock in courtship display. The tail feathers, referred to as the train, are elaborately colored with marking referred to as “eyespot.” Relationship between the elaboration of the father’s train (measured by the area of eyespots) and (b) mean weight of male offspring (at age 84 days) and (c) their probability of survival after introduction to the wild. The offspring of males with more elaborate trains have both a higher growth rate and probability of survival than those fathered by males with less elaborate trains. (Adapted from M. Petrie 1994.)



**Figure 10.16** This bull elk is bugling a challenge to other males in a contest to control a harem.

elaborate trains and found that reduction in the number of eyespots led to a reduction in mating success.

However, the train itself is not what is important; it is what the elaborate tail feathers imply about the individual. The large, colorful, and conspicuous tail makes the male more vulnerable to predation, or in many other ways reduces the male’s probability of survival. A male that can carry these handicaps and survive shows his health, strength, and genetic superiority. Females showing preference for males with elaborately colored tail feathers will produce offspring that will carry genes for high viability. Thus, the selective force behind the evolution of exaggerated secondary sexual characteristics in males is preference by females. In fact, later experiments by Petrie found that the offspring of female peacocks that mated with males having elaborate tail feathers had higher rates of survival and growth than did the offspring of those paired with males having less elaborate trains (Figure 10.15b and c). A similar mechanism may be at work in the selection of male birds with bright plumage. One hypothesis proposes that only healthy males can develop bright plumage. There is evidence from some species that males with low parasitic infection have the brightest plumage. Females selecting males based on differences in the brightness of plumage are in fact selecting males that are the most disease resistant, therefore increasing their fitness (Section 16.7).

## 10.10 Females May Choose Mates Based on Resources

A female exhibits two major approaches in choosing a mate. In the sexual selection discussed above, the female selects for characteristics such as exaggerated plumage or displays that are indirectly related to the health and quality of the male as a mate. The second approach is that the female can base her choice on resources such as habitat or food that will improve fitness.

For monogamous females, the criterion for mate selection appears to be acquisition of a resource, usually a defended high-quality habitat or territory. Does the female select the male and accept the territory that goes with him, or does she select the territory and accept the male that goes with it? There is some



## FIELD STUDIES

## Alexandra L. Basolo

School of Biological Sciences, University of Nebraska–Lincoln, Lincoln, Nebraska

The elaborate and often flamboyant physical traits exhibited by males of many animal species—bright coloration, exceedingly long feathers or fins—have always presented a dilemma to the traditional theory of natural selection. Because females in the process of mate selection often favor these male traits, sexual selection (see Section 10.9) will reinforce these characteristics. However, male investment in these traits may also reduce the amount of energy available for other activities that are directly related to individual fitness, such as reproduction, foraging, defense, predator avoidance, and growth. The effect of such trade-offs in energy allocation on the evolution of animal traits is the central focus of ecologist Alexandra Basolo's research, which is changing how behavioral ecologists think about the evolution of mate selection.

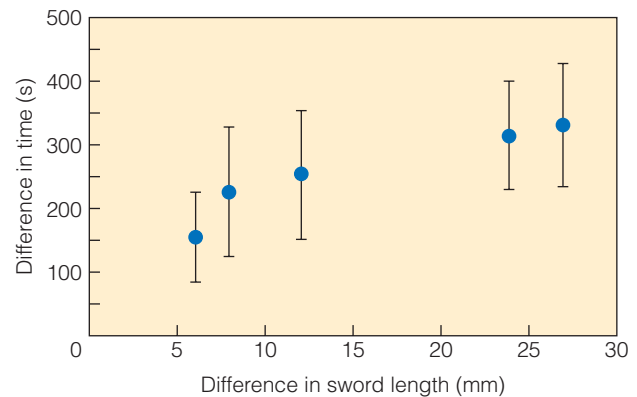
Basolo's research focuses on the small freshwater fishes of the genus *Xiphophorus* that inhabit Central America. One group of species within this genus, the swordtail fish, exhibits a striking sexual dimorphism in the structure of the caudal fin. Males have a colorful, elongated caudal appendage, termed the *sword* (Figure 1), that is absent in females. This appendage appears to play no role other than as a visual signal to females in the process of mate selection. To test the hypothesis that this trait results partly from female choice (intersexual selection), Basolo undertook a series of laboratory experiments to determine if females exhibited a preference for male sword length. Her test subject was the green swordtail, *Xiphophorus helleri*, shown in Figure 1. These experiments allowed



**Figure 1** The green swordtail, *Xiphophorus helleri*. Males (shown in the photo) have a colorful, elongated appendage on the lower caudal fin (tail), termed the sword, which functions as a visual cue to attract females during courtship.

females to choose between a pair of males differing in sword length. Five tests with different pairs of males were conducted in which the sword differences between paired males varied. Female preference was measured by scoring the amount of time a female spent in association with each male.

Results of the experiments revealed that females preferred males with longer swords. The greater the difference in sword length between two males, the greater was the difference in time that the female spent with them (Figure 2). The results suggest that sexual selection through female choice will influence the relative fitness of males. The benefit of having a long sword is the increased probability of mating. But what is the cost? Locomotion accounts for a large part of the energy budget of fish, and the elongated caudal fin (sword) of the male swordtails may well influence the energetic cost of swimming. The presence of the sword increases mating success (via female choice) but may well negatively affect swimming activities.



**Figure 2** Relationship between the difference in sword length between the two test males and the difference in the time spent with the male having the longer sword.

(Adapted from Basolo 1990b.)

evidence from laboratory and field studies that female songbirds base their choice, in part, on the variety of the male's song. In aviary studies, female great tits (*Parus major*) were more receptive of males with more varied or elaborate songs (Figure 10.17 on page 198). In the field, male sedge warblers (*Acrocephalus schoenobaenu*) with more complex song repertoires appear to hold the higher quality territories, so the more complex song may

convey that fact to the female. Neither of these studies, however, determined the fitness of females attracted to these males.

Among polygamous species, the question becomes more complex. In those cases in which females acquire a resource along with the male, the situation is similar to that of monogamous relationships. Among polygamous birds, for example, the females show strong preference for males with high-quality territories.

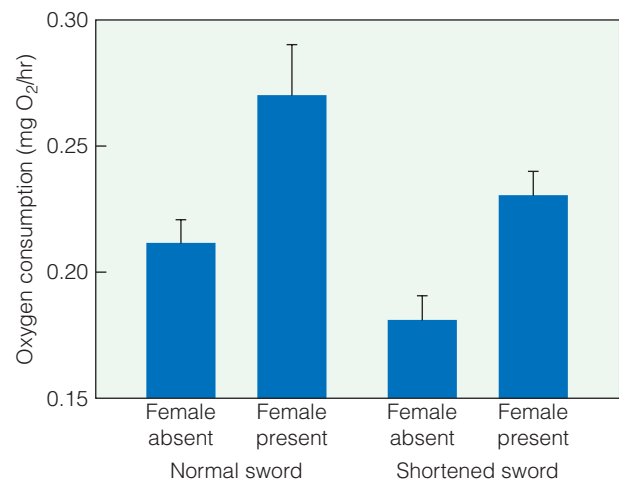
To evaluate the costs associated with sword length, Basolo undertook a series of experiments using another species of swordtail, the Montezuma swordtail (*X. montezumae*) found in Mexico. Like the green swordtail, the Montezuma swordtail males have an asymmetric caudal fin as a result of an extended sword, and the presence of this sword increases mating success. The experiments were designed to quantify the metabolic costs of the sword fins during two types of swimming—routine and courtship—for males with and without sword fins. Males having average-length sword fins were chosen from the population. For some of these males, the sword was surgically removed (excised). Comparisons were then made between males with and without swords for both routine (no female present) and courtship (female present) swimming. Male courtship behavior involves a number of active maneuvers. Routine swimming by males occurs in the absence of females, whereas the presence of females elicits courtship-swimming behavior.

Basolo placed test males into a respirometric chamber—a glass chamber instrumented to measure the oxygen content of the water continuously. For a trial where a female was present, the female was suspended in the chamber in a cylindrical glass tube having a separate water system. During each trial, water was sampled from the chamber for oxygen content to determine the rate of respiration. Higher oxygen consumption indicates a higher metabolic cost (respiration rate).

Results of the experiments show a significant energy cost associated with courtship behavior (Figure 3). A 30 percent increase in net cost was observed when females were present for both groups (males with and without swords) as a result of increased courtship behavior. However, the energy cost for males with swords was significantly higher than that for males without swords for both routine and courtship swimming behavior (Figure 3). Thus, although sexual selection via female choice favors long swords, males with longer swords experience higher metabolic costs during swimming, suggesting that sexual and natural selection have opposing effects on sword evolution.

The cost of a long sword to male swordtails extends beyond the energetics of swimming. Other studies have shown that more conspicuous males are more likely to be attacked by predators than are less conspicuous individuals. In fact, in green swordtail populations that occur sympatrically (together) with predatory fish, the average sword length of males in the population is significantly shorter than in populations where predators are not present.

Despite the cost, both in energy and probability of survival, the sword fin of the male swordtails confers an advantage in the acquisition of mates that must offset the energy and survival costs in terms of natural selection.



**Figure 3** Mean oxygen consumption for males with intact (normal) swords and shortened (excised) swords in the absence and presence of females. Female-absent results represent routine swimming. Female-present results represent male-courtship swimming.

(Adapted from Basolo 2003.)

### Bibliography

- Basolo, A. L. 1990a. Female preference predates the evolution of the sword in swordtail fish. *Science* 250:808–810.
- Basolo, A. L. 1990b. Female preference for male sword length in the green swordtail, *Xiphophorus helleri*. *Animal Behaviour* 40:332–338.
- Basolo, A. L., and G. Alcaraz. 2003. The turn of the sword: Length increases male swimming costs in swordtails. *Proceedings of the Royal Society of London* 270:1631–1636.

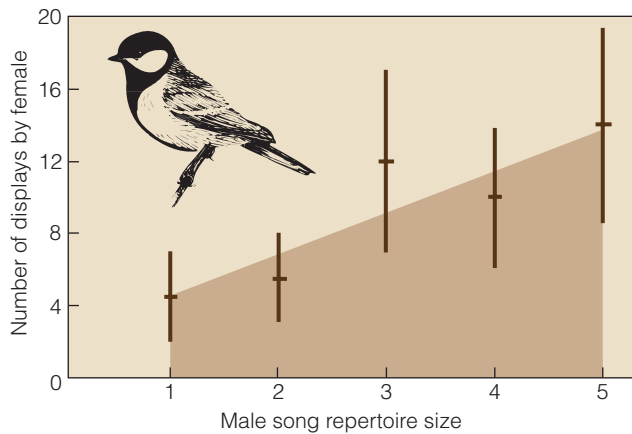
1. In her experiments examining the costs and benefits of swords on the caudal fins of male fish, did Basolo actually quantify differences in fitness associated with this characteristic?
2. Often, sexual selection favors characteristics that appear to reduce the probability of survival for the individual. Doesn't this run counter to the idea of natural selection presented in Chapter 2?

In territories with superior nesting cover and an abundance of food, females can attain reproductive success even if they share the territory and the male with other females

When polygamous males offer no resources, it would seem that the female has limited information upon which to act. She might select a winner from among males that best others in combat—as in bighorn sheep, elk, and seals. She might select mates

by intensity of courtship display or some morphological feature that may reflect a male's genetic superiority and vitality.

Among some polygamous species, it is hard to see female choice at work. In elk and seals, a dominant male takes charge of a harem of females. Nevertheless, the females express some choice. Protestations by female elephant seals over the attention of a dominant male may attract other large males nearby,



**Figure 10.17** Mean number of copulation–solicitation displays given by 11 female great tits (*Parus major*) as a function of the complexity of the male song. Complexity of song was measured in terms of repertoire size, ranging from one to five song types. Females responded more frequently to male courtship songs that included a greater number of song types. (Adapted from M. C. Baker et al. 1986).

who attempt to replace the male. Such behavior ensures that females will mate only with the highest ranking male.

In other situations, females appear clearly in control. Males put on an intense display for them. Such advertising can be costly for courting polygamous males. Because of conspicuous behavior and inattention, they may be subject to intense predation. Outstanding examples of female choice appear in lek species. These animals aggregate into groups on communal courtship grounds called **leks** or arenas. Males on the lek defend small territories that hold no resources and advertise their presence by colorful vocal and visual displays (Figure 10.18). Females visit the leks of displaying males, select a male, mate, and move on. Although a relatively uncommon form of mating system, it is found in a wide variety of taxonomic groups, from insects to frogs to birds to mammals. Males defend small, clustered mating



**Figure 10.18** Male greater sage grouse (*Centrocercus urophasianus*) exhibiting courtship display on a communal courtship ground called a lek. Females visit these leks of displaying males, select a male, mate, and move on.

territories, whereas females have large overlapping ranges that the males cannot economically defend. Leks provide an unusual opportunity for females to choose a mate among the displaying males. Congregating about dominant males with the most effective displays, subdominant and satellite males may steal mating opportunities. A majority of matings on the lek, however, are done by a small percentage of the males in a dominance hierarchy formed in the absence of females.

## 10.11 Patterns of Life History Characteristics Reflect External Selective Forces

Nature presents us with a richness of form and function in the diversity of life that inhabits our planet. Some species are large, others small. Some mature early, others later in their lives. Some organisms produce only a few offspring over their lifetime, while others species produce thousands in a single reproductive event. Some organisms fit an entire lifetime into a single season, while others live for centuries. Are the characteristics exhibited by any given species a random assemblage of these traits, or is there a discernable pattern? What we have seen so far is that these characteristics, which define the life history of an individual, are not independent of each other. These characteristics are a product of evolution by natural selection, the possible outcomes molded by the external environment and constrained by tradeoffs relating to fundamental physiological and developmental processes. Ecologists have long recognized that the set of characteristics that define a species' life history covary, forming what appear to be distinctive “suites” of characteristics that seem to be a product of broad categories of selective forces. A number of empirical models have developed to account for the observed covariation among life history traits. One such model is the fast–slow continuum hypothesis.

The fast–slow continuum hypothesis emphasizes the selective forces imposed by mortality at different stages of the life cycle. Under this scheme, species can be arranged along a continuum from those experiencing high adult mortality levels to those experiencing low adult mortality. This differential mortality is responsible for the evolution of contrasting life histories on either end of the continuum. Species undergoing high adult mortality are expected to have a shorter life cycle (longevity), with faster development rates, early maturity, and higher fecundity than those experiencing low adult mortality. This approach has proven accurate in predicting patterns of life history characteristics in many groups of species and is generally consistent with the patterns presented in the preceding sections.

Other approaches to understanding the observed correlations among life history traits have focused on constraints imposed by the abiotic environment. If the life history characteristics and mating system exhibited by a species are the product of evolution, shouldn't they reflect adaptations to the prevailing environmental conditions under which natural selection occurred? If this is the case, do species inhabiting similar environments exhibit similar patterns of life history characteristics? Do life history characteristics exhibit patterns related to the habitats that species occupy?

One way of classifying environments (or species habitats) relates to their variability in time. We can envision two contrasting types of habitats: (1) those that are variable in time or short-lived, and (2) those that are relatively stable (long-lived and constant), with few random environmental fluctuations. The ecologists Robert MacArthur of Princeton University, E. O. Wilson of Harvard University, and later E. Pianka of the University of Texas used this dichotomy to develop the concept of *r*- and *K*-selection.

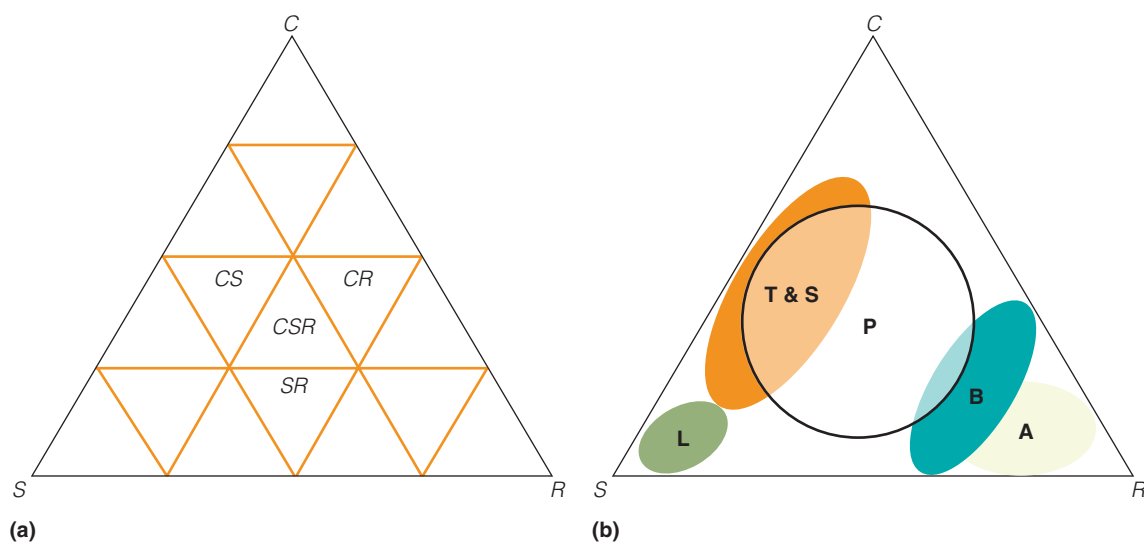
The theory of *r*- and *K*-selection predicts that species adapted to these two different environments will differ in life history traits such as size, fecundity, age at first reproduction, number of reproductive events during a lifetime, and total life span. Species popularly known as ***r*-strategists** are typically short-lived. They have high reproductive rates at low population densities, rapid development, small body size, large number of offspring (with low survival), and minimal parental care. They make use of temporary habitats. Many inhabit unstable or unpredictable environments that can cause catastrophic mortality independent of population density. Environmental resources are rarely limiting. They exploit noncompetitive situations. Some *r*-strategists, such as weedy species, have means of wide dispersal, are good colonizers, and respond rapidly to disturbance.

***K*-strategists** are competitive species with stable populations of long-lived individuals. They have a slower growth rate at low populations, but they maintain that growth rate at high densities. *K*-strategists can cope with physical and biotic pressures. They possess both delayed and repeated reproduction and have a larger body size and slower development. They produce few seeds, eggs, or young. Among animals, parents care for the young; among plants, seeds possess stored food that gives the seedlings a strong start. Mortality relates more to density than to unpredictable environmental conditions. They are specialists, efficient users of a particular environment, but their populations

are at or near carrying capacity (maximum sustainable population size) and are resource limited. These qualities, combined with their lack of means for wide dispersal, make *K*-strategists poor colonizers of new and empty habitats.

The terms *r* and *K* used to characterize these two contrasting strategies relate to the parameters of the logistic model of population growth (presented later in Chapter 11): *r* is the per capita rate of growth, and *K* is the carrying capacity (maximum sustainable population size). Using the classification of *r*- and *K*- strategies for comparing species across a wide range of sizes is of limited value. For example, the correlation among body size, metabolic rate, and longevity in warm-blooded organisms results in species with small body size generally being classified as *r* species and those with large body size as *K* species (see Chapter 7). The concept of *r* species and *K* species is most useful in comparing organisms that are either taxonomic or functionally similar.

The plant ecologist J. Phillip Grime of the University of Sheffield, England, used a framework similar to that used by MacArthur and Wilson to develop a life history classification for plants. He based it on three primary strategies—*R*, *C*, and *S*—that relate plant adaptations to different habitats. Species exhibiting the *R*, or ruderal, strategy rapidly colonize disturbed sites. These species are typically small in stature and short-lived. Allocation of resources is primarily to reproduction, with characteristics allowing for a wide dispersal of seeds to newly disturbed sites. Predictable habitats with abundant resources favor species that allocate resources to growth, favoring resource acquisition and competitive ability (*C* species). Habitats where resources are limited favor stress-tolerant species (*S* species) that allocate resources to maintenance. These three strategies form the end points of a triangular classification system that allows for intermediate strategies, depending on such environmental factors as resource availability and frequency of disturbance (Figure 10.19).



**Figure 10.19** Grime's model of life history variation in plants based on three primary strategies: ruderals (*R*), competitive (*C*), and stress-tolerant (*S*). (a) These primary strategies define the three points of the triangle. Intermediate strategies are defined by combinations of these three (e.g., *CS*, *CR*, *CSR*, and *SR*). (b) Grime's assessment of life history strategies of most trees and shrubs (*T & S*), lichens (*L*), biennial herbs (*B*), perennial herbs (*P*), and annual herbs (*A*).

(Adapted from Grime 1977.)

## Summary

### Trade-offs 10.1

Organisms face trade-offs in life history characteristics related to reproduction. Trade-offs are imposed by constraints of physiology, energetics, and the prevailing physical and biotic environment. Trade-offs involve conflicting demands on resources or negative correlation among traits.

### Benefits and Costs 10.2

The behavioral, physiological, and energetic activities involved in reproduction extract a cost to future reproductive success on the parent in the form of reduced survival, fecundity, and/or growth.

### Age at Maturity 10.3

Natural selection will favor the age at maturity that results in the greatest number of offspring produced over the lifetime of an individual. Environmental factors that result in reduced adult survival select for earlier maturation, and conversely, that reduced juvenile survival relative to that of adults select for delayed maturation.

### Reproductive Effort 10.4

An individual's reproductive effort should function to maximize its fitness over its lifetime. Optimal reproductive effort, therefore, represents a trade-off between current and future reproduction. Allocation to current reproduction functions to increase current fecundity, but reduce parental survival, resulting in decreased future reproduction. Fitness is often maximized at intermediate reproductive effort, particularly for organisms that reproduce repeatedly over their lifespan.

### Number and Size of Offspring 10.5

Organisms that produce many offspring have a minimal investment in each offspring. They can afford to send a large number into the world with a chance that a few will survive. By so doing, they increase parental fitness but decrease the fitness of the young. Organisms that produce few young invest considerably more in each one. Such organisms increase the fitness of the young at the expense of the fitness of the parents.

### Timing of Reproduction 10.6

To maximize fitness, an organism has to balance immediate reproductive efforts against future prospects. One alternative, semelparity, is to invest maximum energy in a single reproductive effort. The other alternative, iteroparity, is to allocate less energy each time to repeated reproductive efforts.

### Reaction Norms 10.7

The set of phenotypes expressed by a single genotype across a range of environmental conditions (phenotypic plasticity) is referred to as the norm of reaction. The characteristics related to life history, such as age at maturity, exhibit reaction norms as a result of the interaction between genes and the environment.

### Mating Systems 10.8

The pattern of mating between males and females in a population is called the mating system. In animal species, mating systems range from monogamy to promiscuity.

### Sexual Selection 10.9

In general, males compete with males for the opportunity to mate with females, but females finally choose mates. Sexual selection favors traits that enhance mating success, even if they handicap the male by making him more vulnerable to predation. Male competition is intrasexual selection, whereas intersexual selection involves the differential attractiveness of individuals of one sex to the other. By choosing the best males, females ensure their own fitness.

### Resources and Mate Selection 10.10

Females may also choose mates based on the acquisition of resources, usually a defended territory or habitat. By choosing a male with a high-quality territory, the female may increase her fitness.

### Life History Strategies 10.11

The set of characteristics that define a species' life history covary, forming what appear to be distinctive "suites" of characteristics that seem to be a product of broad categories of selective forces. A number of hypotheses have been developed to explain these patterns. The fast-slow continuum hypothesis says that species can be arranged along a continuum from high to low adult mortality. High adult mortality results in selection for a shorter life cycle, faster development rates, and higher fecundity than populations experiencing low adult mortality. Another hypothesis is based on two contrasting types of habitats: those that are variable in time or short-lived and those that are relatively stable. The former habitat type selects for short life cycle, fast development and high reproductive rates and high fecundity; the latter for longevity, delayed maturity and lower reproductive effort distributed over a longer period of time.

## Study Questions

1. What are some of the costs associated with reproduction and how might they function to limit future reproduction by the individual?
2. How might an increase in adult (reproductive ages) mortality influence selection for age at maturity? Given your answer(s) to question 1, what might be a consequence (cost) associated with this change in age at maturity?
3. The ecologist David Lack put forth a hypothesis that natural selection should favor the clutch size (number of offspring) that maximizes offspring survival (the maximum value for current reproductive success in Figure 10.8). What is the basis for your answer? (Hint: See Figure 10.9.)
4. What is the trade-off between the number and size of offspring produced for a given reproductive effort? How is this influenced by parental care?
5. What type(s) of environment would favor plant species with a strategy of producing many small seeds rather than few large ones?
6. What conditions favor semelparity over iteroparity?
7. Distinguish between monogamy and polygamy; between polygyny and polyandry.
8. Why is monogamy more common in birds than it is in mammals?
9. How might female preference for a male trait (sexual selection), such as coloration or body size, drive selection in a direction counter to that of natural selection?
10. Contrast intrasexual selection and intersexual selection.
11. What is the difference between *r*-selected and *K*-selected organisms? Which strategy would you expect to be more prevalent in unpredictable environments (high stochastic variation in conditions)?

## Further Readings

Alcock, J. 2009. *Animal behavior: An evolutionary approach*. 9th ed. Sunderland, TMS: Sinauer.

This text is an excellent treatment of topics covered in this chapter. It is a good reference for students who want to pursue specific topics relating to behavioral ecology.

Andersson, M., and Y. Iwasa. 1996. Sexual selection. *Trends in Ecology and Evolution* 11:53–58.

An excellent but technical review of sexual selection.

Buss, D. M. 1994. The strategies of human mating. *American Scientist* 82:238–249.

This article, an application of sexual selection theory to humans, is a fun read for students. It explores the question of whether

mate selection by females has influenced male characteristics in humans.

Krebs, J. R., and N. D. Davies. 1993. *An introduction to behavioral ecology*. 3rd ed. Oxford: Blackwell Scientific.

This text provides a comprehensive discussion of behavioral topics that are covered in this chapter.

Stearns, S. C. 1992. *The evolution of life histories*. Oxford: Oxford University Press.

This book explores the link between natural selection and life history. It does an excellent job of illustrating how both biotic and abiotic factors interact to influence the evolution of specific life history traits.

# Intraspecific Population Regulation



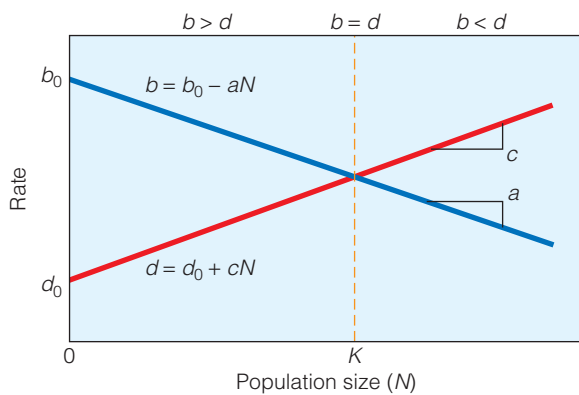
The pygmy sweep (*Parapriacanthus ransonetti*) form densely packed schools in small openings in the coral knolls of the South Pacific. This species feeds at night on small plankton by using bioluminescent organs located at the back of their pectoral fins.

- 11.1 The Environment Functions to Limit Population Growth**
- 11.2 Population Regulation Involves Density Dependence**
- 11.3 Competition Results When Resources Are Limited**
- 11.4 Intraspecific Competition Affects Growth and Development**
- 11.5 Intraspecific Competition Can Influence Mortality Rates**
- 11.6 Intraspecific Competition Can Reduce Reproduction**
- 11.7 High Density Is Stressful to Individuals**
- 11.8 Dispersal Can Be Density Dependent**
- 11.9 Social Behavior May Function to Limit Populations**
- 11.10 Territoriality Can Function to Regulate Population Growth**
- 11.11 Plants Preempt Space and Resources**
- 11.12 Density-Independent Factors Can Influence Population Growth**

**N**o population continues to grow indefinitely. In particular, populations that exhibit exponential growth eventually confront the limits of the environment. As a population's density changes, interactions mediated by the environment occur among members of the population and tend to regulate the population's size. These interactions include a wide variety of mechanisms relating to physiological, morphological, and behavioral adaptations.

## 11.1 The Environment Functions to Limit Population Growth

The exponential model of population growth that we developed is based on several assumptions about the environment in which the population is growing (Chapter 9). The model assumes that essential resources (space, food, etc.) are unlimited and that the environment is constant (no seasonal or annual variations that might influence the probabilities of birth or death). In the real world, the environment is not constant, and resources are limited. As the density of a population increases, demand for resources increases. If the rate of consumption exceeds the rate at which resources can be resupplied, then the resource base will shrink. Shrinking resources and the potential for an unequal distribution of those resources will result in increased mortality, decreased fecundity, or both. The simplest form of representing changes in birth and death rates with increasing population is a straight line (linear function). The graph in Figure 11.1 presents an example where the per capita birthrate ( $b$ ) decreases with increasing population size ( $N$ ). Conversely, the per capita



**Figure 11.1** Rates of birth ( $b$ ) and death ( $d$ ), represented as a linear function of population size  $N$ . The values  $b_0$  and  $d_0$  represent the ideal birth and death rates (respectively) under conditions where the population size is near zero and resources are not limiting. The values  $a$  and  $c$  represent the slopes of the lines describing changes in birth and death rates as a function of  $N$  (respectively). The population density where  $b = d$  and population growth is zero is defined as  $K$ , the carrying capacity. For values of  $N$  above  $K$ ,  $b$  is less than  $d$  and the population growth rate is negative. For values of  $N$  below  $K$ ,  $b$  is greater than  $d$ , and the population growth rate is positive.

death rate ( $d$ ) increases with population size. We can describe the line representing the change in birthrate as a function of population size as

$$b = b_0 - aN$$

In this equation,  $b_0$  is the intercept (value of  $b$  when  $N$  is equal to zero), and  $a$  is the slope of the line ( $\Delta b/\Delta N$ ; see Figure 11.1). The intercept,  $b_0$ , represents the birthrate achieved under ideal (uncrowded with no resource limitation) conditions, whereas  $b$  is the actual birthrate, which is reduced as a function of crowding. The ideal birthrate,  $b_0$ , is the value used in the exponential model of population growth (see Section 9.1). Likewise, we can represent the change in death rate as a function of population size as

$$d = d_0 + cN$$

Again, the constant  $d_0$  is the death rate when the population size is close to zero (no crowding or resource limitation), and the constant  $c$  represents the increase in death rate with increasing population size (slope of the line shown in Figure 11.1).

We can now rewrite the exponential model of population growth developed in Section 9.1 ( $dN/dt = (b - d)N$ ) to include the variations in the rates of birth and death as a function of population size presented above:

$$\frac{dN}{dt} = [(b_0 - aN) - (d_0 + cN)]N$$

The pattern of population growth now differs from that of the original exponential model. As  $N$  increases, the birthrate ( $b_0 - aN$ ) declines, the death rate ( $d_0 + cN$ ) increases, and the result is a slowing of the rate of population growth. If the value of  $d$  exceeds that of  $b$ , population growth is negative, and population size declines (Figure 11.1). When the birthrate ( $b$ ) is equal to the death rate ( $d$ ), the rate of population change is zero ( $dN/dt = 0$ ). The value of population size where the birthrate is equal to the death rate ( $b = d$ ) represents the maximum sustainable population size under the prevailing environmental conditions. We can solve for this value by setting the equation for population growth developed above equal to zero and solving for  $N$ :

$$\frac{dN}{dt} = [(b_0 - aN) - (d_0 + cN)]N = 0$$

$$(b_0 - aN)N - (d_0 + cN)N = 0$$

(move the term for death rate to the right side of the equation)

$$(b_0 - aN)N = (d_0 + cN)N$$

(then divide both sides by  $N$ )

$$b_0 - aN = d_0 + cN$$

(move  $d_0$  to the left side of the equation and  $aN$  to the right side)



## ECOLOGICAL ISSUES

## The Human Carrying Capacity

Demographers estimate that the current human population of over 6.5 billion will most likely double by the year 2200. Can Earth support such an increase in the number of human inhabitants? Or, to ask more directly: What is the human carrying capacity of Earth? To address this question, we must qualify it by asking two important questions: (1) at what standard of living (nutritional, cultural, technological, etc.) and (2) at what cost to the environment? In his book *How Many People Can the Earth Support?* population biologist Joel E. Cohen of Rockefeller University reviews eight estimates of human carrying capacity that use different assumptions relating to these two questions. The earliest estimate was made by E. G. Ravenstein and presented in a paper to the British Association for the Advancement of Science in 1891. The remaining seven are products of the latter part of the 20th century. Remarkably, the estimates vary by a thousandfold.



In October 1966, C. T. DeWit of the Institute for Biological and Chemical Research on Field Crops and Herbage in Wageningen, The Netherlands, presented his estimate of Earth's carrying capacity at the symposium "Harvesting the Sun: Photosynthesis in Plant Life." DeWit framed the question as follows: "How many people can live on Earth if photosynthesis is the limiting process?" In his answer, he estimated the potential global productivity of green plants (crops). After examining the geographic variation in the constraints on photosynthesis imposed by light (solar radiation), length of growing season, and temperature, DeWit calculated Earth's potential productivity in the absence of constraints by water and nutrients (assuming sufficient water and nutrients are made available through irrigation and fertilization). Based solely on estimates of primary production and the average annual

per capita energy (caloric) requirement, DeWit estimated that 1000 billion people could live from Earth if photosynthesis is the limiting factor. This estimate is for the population that could live *from* Earth, not *on* Earth, since the estimate assumes that the entire land area is dedicated to the production of plants (food). To account for the land area needed to support activities other than food production, DeWit goes on to assume that each person would require a minimum of 750 m<sup>2</sup> of land for urban use, plus the area required for growing food (approximately 830 m<sup>2</sup>). This requirement of approximately 1500 m<sup>2</sup> per person brings the carrying capacity down to 146 billion people. Countless problems are associated with the assumptions embedded in DeWit's calculations, and it is unlikely that the effects on Earth's environment would allow for human survival at such a density.

At the other extreme is a study published in 1970 by H. R. Hulett, who at that time was in the Department of Genetics of the Stanford University Medical School. Hulett set out to calculate the "optimum world population." He used the standard of living and resource consumption (food and other raw materials) for the average U.S. citizen as his definition of optimal lifestyle. Using the ratio of current (1970) world production of these materials to the average per capita American consumption, Hulett calculated an upper limit for the optimal world population. The U.S. population was approximately 200 million in 1970 when Hulett reached the conclusion that approximately 1 billion people represented the maximum world population supportable at the then-current agricultural and industrial levels of production.

So there we have it. Given the range of estimates (1 billion to 146 billion), the answer no doubt is somewhere in between. Technology will undoubtedly allow our species to further increase agricultural productivity, and necessity will require us to move from fossil fuels to alternative, renewable sources of energy in the future. Perhaps the real issue of Earth's carrying capacity lies not in our ability to feed, clothe, and shelter additional people but rather in the "kind of Earth" that we hope to inhabit.

1. What are some factors (environmental, economic, etc.) that influence the carrying capacity of your region or community?
2. Extreme environmental events in the United States—hurricanes in Florida, freezing temperatures in southern California, drought in the Midwest—dramatically affect agricultural production. What does this imply about the carrying capacity of the United States (or for that matter any region or country)? How might a population respond to unpredictable variations in essential resources through time?

$$b_0 - d_0 = aN + cN$$

(rearrange the right-hand side of the equation)

$$(b_0 - d_0) = (a + c)N$$

[divide both sides by  $(a + c)$ ]

$$\frac{(b_0 - d_0)}{(a + c)} = N$$

Because  $b_0$ ,  $d_0$ ,  $a$ , and  $c$  are constants, we can define a new constant:

$$K = \frac{(b_0 - d_0)}{(a + c)}$$

The constant  $K$  is the **carrying capacity**—the maximum sustainable population size for the prevailing environment (see Ecological Issues: The Human Carrying Capacity). It is a function of the supply of resources (e.g., food, water, space, etc.).

We can now rewrite the equation for population growth that includes the rates of birth ( $b$ ) and death ( $d$ ) that vary with population size using the value of carrying capacity,  $K$ , defined above:

$$\frac{dN}{dt} = rN \left( 1 - \frac{N}{K} \right)$$

In this form, referred to as the **logistic model of population growth**, the per capita growth rate,  $r$ , is defined as  $b_0 - d_0$ . The derivation of the logistic equation is presented in Quantifying Ecology 11.1: The Logistic Model of Population Growth.

The logistic model effectively has two components: the original exponential term ( $rN$ ) and a second term

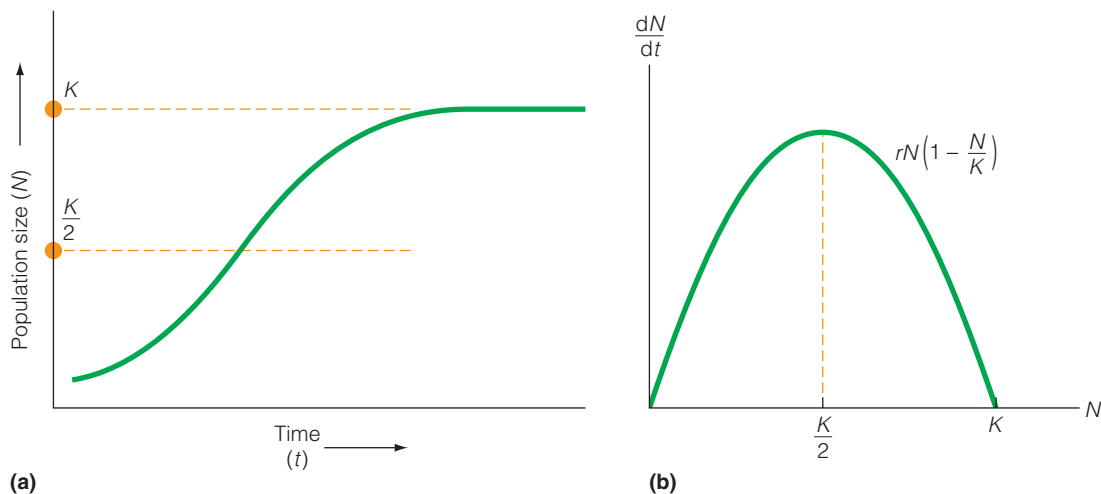
$(1 - N/K)$  that functions to reduce population growth as the population size approaches the carrying capacity. When the population density ( $N$ ) is low relative to the carrying capacity ( $K$ ), the term  $(1 - N/K)$  is close to 1.0, and population growth follows the exponential model ( $rN$ ). However, as the population grows and  $N$  approaches  $K$ , the term  $(1 - N/K)$  approaches zero, slowing population growth. Should the population density exceed  $K$ , population growth becomes negative and population density declines back toward carrying capacity.

As with the exponential growth model, we can use the rules of calculus (see Quantifying Ecology 9.2: Exponential Model of Population Growth) to integrate the logistic growth equation and express population size as a function of time:

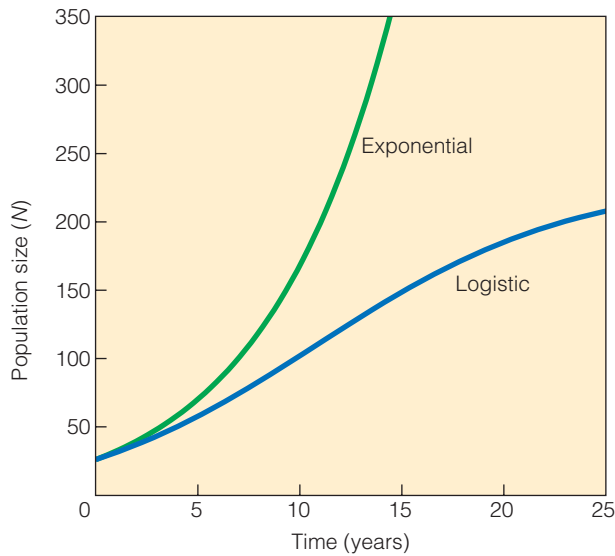
$$N(t) = \frac{K}{1 + \left\{ \frac{[K - N(0)]}{N(0)} \right\} e^{-rt}}$$

The graph of population size ( $N$ ) through time for the logistic model is shown in Figure 11.2a. When the population is small, it increases rapidly, at a rate slightly lower than that predicted by the exponential model. The rate of population growth ( $dN/dt$ ) is at its highest when  $N = K/2$  (called the inflection point) and then decreases as it approaches the carrying capacity ( $K$ ; Figure 11.2b). This is in contrast to the exponential model, in which the population growth rate increases linearly with population size (see Figure 9.3).

As an illustration of logistic growth, we can return to the example of the gray squirrel population (Chapter 9). Let us assume a carrying capacity of  $K = 200$  individuals. Given the value of  $r = 0.18$  calculated from the life table and an initial



**Figure 11.2** (a) Change in population size ( $N$ ) through time as predicted by the logistic model of population growth [ $dN/dt = rN(1 - N/K)$ ]. Initially (low values of  $N$ ), the population grows exponentially; as  $N$  increases, the rate of population growth decreases, eventually reaching zero as the population size approaches the carrying capacity ( $K$ ). (b) The relationship between the rate of population growth,  $dN/dt$ , and population size,  $N$ , takes the form of a parabola, reaching a maximum value at a population size of  $N = K/2$ .



**Figure 11.3** Predictions of the exponential and logistic population growth models for the gray squirrel population from Tables 9.1 and 9.6;  $r = 0.18$ ,  $K = 200$ , and  $N(0) = 30$ .

population size of 30 individuals, the predicted patterns of population growth under both the exponential and logistic models of population growth are shown in Figure 11.3.

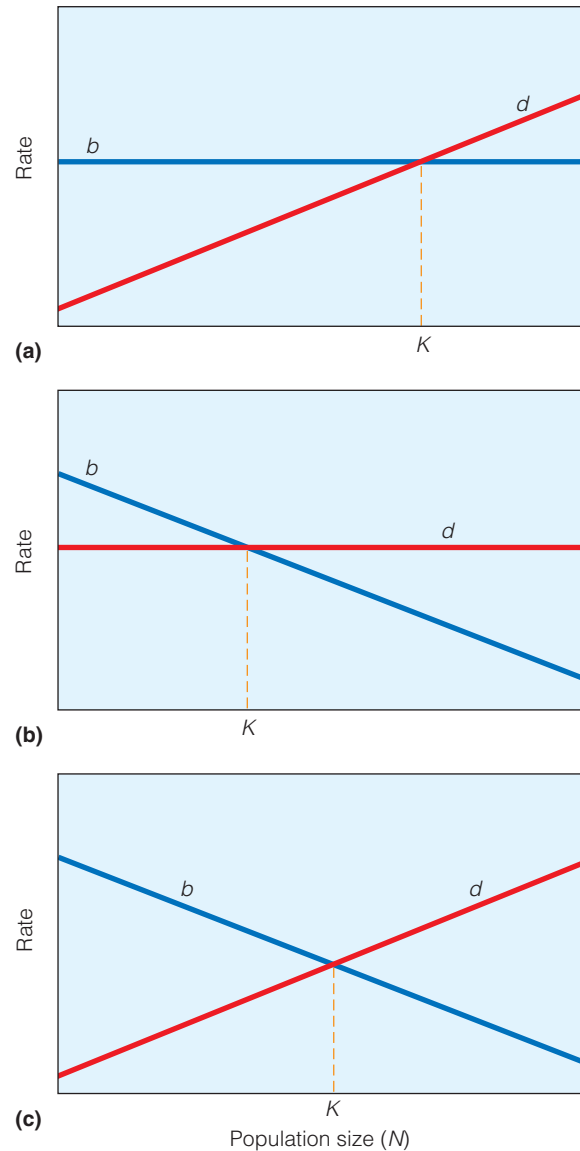
## 11.2 Population Regulation Involves Density Dependence

The concept of carrying capacity suggests a negative feedback between population increase and resources available in the environment. As population density increases, the per capita availability of resources declines. The decline in per capita resources eventually reaches some crucial level at which it functions to regulate population growth. Implicit in this model of population regulation is **density dependence**.

Density-dependent effects influence a population in proportion to its size. They function by slowing the rate of population growth with increasing population density, by increasing the rate of mortality (termed **density-dependent mortality**), decreasing the rate of fecundity (**density-dependent fecundity**), or both. In the case of the logistic growth model, this is done by varying the rates of birth ( $b$ ) and death ( $d$ ), expressed through the value of the carrying capacity,  $K$  (Figure 11.4).

Mechanisms of density-dependent population regulation may include factors other than the direct effects of resource availability. For example, population density can influence patterns of predation or the spread of disease and parasites (see Chapters 15 and 16).

Density-dependent mechanisms have also been identified that reduce rates of birth and survival at low population densities, referred to as the Allee effect. An example of the Allee effect occurs where low population densities restrict the ability of individuals to find potential mates or result



**Figure 11.4** Regulation of population size in three situations. (a) Birthrate ( $b$ ) is independent of population density, as indicated by the horizontal line. Only the death rate ( $d$ ) increases with population size. At  $K$ , equilibrium is maintained by increasing mortality. (b) The situation is reversed. Mortality is independent, but birthrate declines with population size. At  $K$ , a decreasing birthrate maintains equilibrium. (c) Full density-dependent regulation. Both birthrate and mortality are density dependent. Fluctuations in either one hold the population at or near  $K$ .

in the breakdown of social structures in species that practice facilitative or cooperative behaviors relating to mating, foraging, or defense (see Section 9.10 and Figure 9.14). The result is a form of inverse density dependence, where at low population size, birthrate declines or mortality increases; and below some minimum population density, the rate of population growth is negative (Figure 11.5).

Other factors that can directly influence rates of birth and death function independently of population density. If some environmental factor such as adverse weather conditions affects the population regardless of the number of individuals, or if the

## QUANTIFYING ECOLOGY 11.1 The Logistic Model of Population Growth

We can derive the logistic population growth by beginning with the equation that allows the rates of birth ( $b$ ) and death ( $d$ ) to vary as a function of population size, as outlined in Section 11.1:

$$\frac{dN}{dt} = (b - d)N$$

Because  $b = b_0 - aN$  and  $d = d_0 + cN$ , we rewrite the equation as follows:

$$\frac{dN}{dt} = [(b_0 - aN) - (d_0 + cN)]N$$

After rearranging the terms (see p. 203), we have

$$\frac{dN}{dt} = [(b_0 - d_0) - (a + c)N]N$$

Next, we multiply by  $(b_0 - d_0)/(b_0 - d_0)$ . This term is equal to 1.0, so it only simplifies the equation further:

$$\frac{dN}{dt} = \frac{(b_0 - d_0)}{(b_0 - d_0)} [(b_0 - d_0) - (a + c)N]N$$

$$\frac{dN}{dt} = [(b_0 - d_0)] \left[ \frac{(b_0 - d_0)}{(b_0 - d_0)} - \frac{(a + c)}{(b_0 - d_0)} N \right] N$$

Because we have defined  $r = (b_0 - d_0)$  in Section 11.1, we have:

$$\frac{dN}{dt} = rN \left[ 1 - \frac{(a + c)}{(b_0 - d_0)} N \right]$$

Note that  $(a + c)/(b_0 - d_0) = 1/K$ , as shown on page 205.

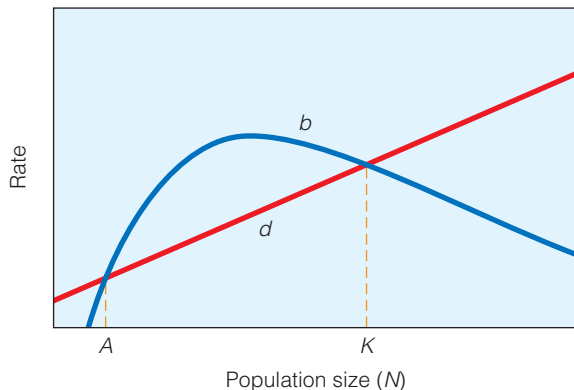
Making the appropriate substitution, we have:

$$\frac{dN}{dt} = rN \left[ 1 - N \left( \frac{1}{K} \right) \right]$$

$$\frac{dN}{dt} = rN \left( 1 - \frac{N}{K} \right)$$

This is the equation for the logistic model of population growth.

1. The equation is sometimes presented in an alternative but equivalent form:  $dN/dt = rN[(K - N)/K]$ . Show algebraically how this equation is equivalent to the one presented above.



**Figure 11.5** The Allee effect provides an example of how density dependence can operate in small populations. In this example the per capita birth rate ( $b$ ) declines at low population size (or density) because of the increased difficulty of finding a mate (see example in Figure 9.14). In theory, a low-density equilibrium would be sustained at population density  $A$ , where the birth rate equals the death rate. However, given the susceptibility of small populations to demographic and environmental stochasticity, the population could be driven below the low density equilibrium, and thus continue to decline into extinction.

proportion of individuals affected is the same at any density, then the influence is referred to as **density independent**.

In the remaining sections of our discussion, we will explore the variety of factors that can influence the rates of birth and death—and therefore the rates of density-dependent as well as density-independent population growth.

## 11.3 Competition Results When Resources are Limited

Implicit in the concept of carrying capacity is competition among individuals for essential resources. **Competition** occurs when individuals use a common resource that is in short supply relative to the number seeking it. Competition among individuals of the same species is referred to as **intraspecific competition**. As long as the availability of resources does not impede the ability of individuals to survive, grow, and reproduce, no competition exists. When resources are insufficient to satisfy all individuals, the means by which they are allocated has a marked influence on the welfare of the population.

When resources are limited, a population may exhibit one of two responses: scramble competition or contest competition. **Scramble competition** occurs when growth and reproduction are depressed equally across individuals in a population as the intensity of competition increases. **Contest competition** takes place when some individuals claim enough resources while denying others a share. Generally, under the stress of limited resources, a species exhibits only one type of competition. Some are scramble species and others are contest species. One species may practice both types of competition at different stages in the life cycle. For example, some insects endure scramble competition during their larval stages until the population declines. The adult stage is then characterized by contest competition.

The outcomes of scramble and contest competition vary. In its extreme, scramble competition can lead to all individuals

receiving insufficient resources for survival and reproduction, resulting in local extinction. In contest competition, only a fraction of the population suffers—the unsuccessful individuals. The survival, growth, and reproduction of individuals that successfully compete for the limited resources all function to sustain the population.

In many cases, competing individuals do not directly interact with one another. Instead, individuals respond to the level of resource availability that is depressed by the presence and consumption of other individuals in the population. For example, large herbivores such as zebras grazing on the savannas of Africa may influence each other not through direct interactions but by reducing the amount of grass available as food. Similarly, as a tree in the forest takes up water through its roots, it decreases the remaining amount of water in the soil for other trees. In these cases, competition is termed **exploitation**.

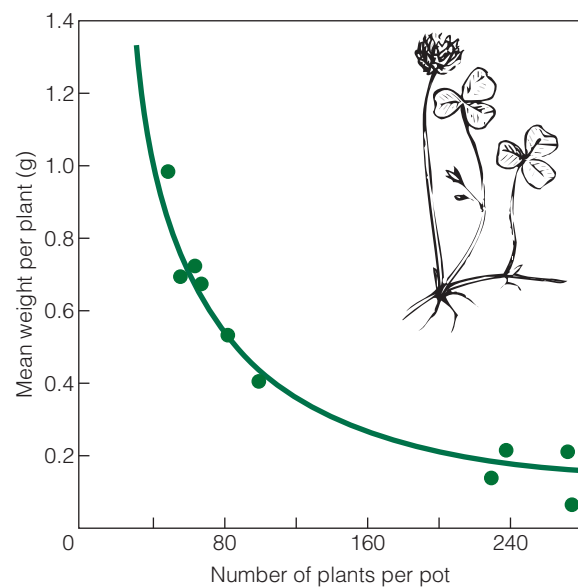
In other situations, however, individuals interact directly with each other, preventing others from occupying a habitat or accessing resources within it. For example, most bird species actively defend the area around their nest during the breeding season, denying other individuals access to the site and its resources (see Section 11.10). In this case, competition is termed **interference**.

## 11.4 Intraspecific Competition Affects Growth and Development

Because the intensity of intraspecific competition is usually density dependent, it increases gradually, at first affecting growth and development. Later it affects individual survival and reproduction.

As population density increases toward a point at which resources are insufficient to provide for all individuals in the population, some (contest competition) or all individuals (scramble competition) reduce their intake of resources. That reduction slows the rate of growth and development. The result is an inverse relationship between population density and individual growth, referred to as **density-dependent growth**. Examples of this inverse relationship between density and individual growth rate have been observed in a wide variety of plant and animal populations.

Reduced growth rate under conditions of resource competition has been observed experimentally in plant populations in both the laboratory and the field. In one of the earliest experiments, plant ecologist J. N. Chatworthy of Oxford University examined the growth of white clover plants (*Trifolium repens*) grown in pots with varying densities of individuals. Results of the experiments clearly show an inverse relationship between growth rate and population density (Figure 11.6). The mean weight of individual plants declines with increasing density of individuals in the pot. This decline is a direct consequence of resource limitation. At low densities, all individuals are able to acquire sufficient resources to meet demands for growth. As the density is increased (more individuals planted per pot), demand

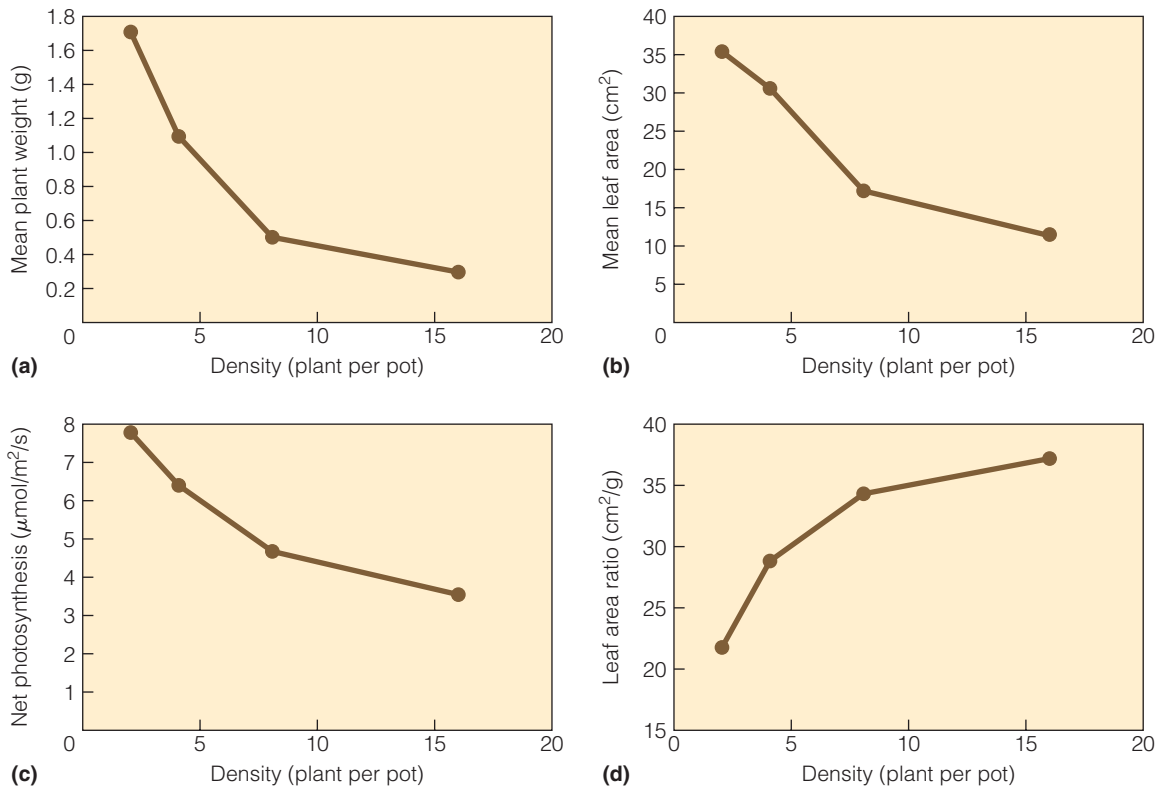


**Figure 11.6** Effect of population density on the growth of individuals. The growth rate and subsequent weight of white clover (*Trifolium repens*) plants declines markedly with increasing density of individuals planted in the pot. (Adapted from Chatworthy 1960.)

exceeds the supply of resources in the pot, and both growth rate and plant size decline.

In an experiment to examine the effects of intraspecific competition on photosynthesis and growth of the salt marsh spear-leaved orache (*Atriplex prostrata*), Li-Wen Wang and colleagues at Ohio University grew plants in pots at varying densities that correspond to the range of densities observed in natural populations. Plants were grown under controlled environmental conditions in growth chambers. After four weeks, measurements of photosynthesis were made, and plants were harvested to provide measures of tissue dry weight, leaf area, and plant height.

As with the results of the earlier experiment by Chatworthy, Wang and colleagues observed an inverse relationship between density and individual plant growth (Figure 11.7). High plant density caused an 80 percent reduction in mean plant weight (Figure 11.7a) and a 72 percent reduction in leaf area (Figure 11.7b) over values observed at the lowest plant densities. A 50 percent reduction in net photosynthetic rate paralleled the observed growth inhibition with increasing plant density (Figure 11.7c), indicating that the growth inhibition caused by intraspecific competition is mainly due to a decline in the net rate of carbon uptake. In addition to the decline in plant weight and leaf area with increasing density, the data illustrate a corresponding shift in carbon allocation and plant morphology. The average leaf area ratio of individual plants (leaf area:total plant weight) increased with increasing plant density (Figure 11.7d), reflecting a relative increase in the allocation of photosynthates (total plant mass) to the production of leaf area (photosynthetic surface). You may recall from the



**Figure 11.7** Effects of intraspecific competition on growth and photosynthesis of *Atriplex prostrata*. Mean values of (a) plant dry weight (accumulated biomass), (b) leaf area, and (c) net photosynthetic rate plotted as a function of varying densities of individuals per pot in the experiments. Besides the general pattern of reduced photosynthesis and growth experienced under increasing competition, individual plants exhibit a shift in patterns of carbon allocation, as illustrated by the increase in leaf area ratio (leaf area cm<sup>2</sup>:plant weight g) with increasing density of plants per pot (d). The increase in leaf area ratio is characteristic of reduced light availability during plant development. (Data from Wang et al. 2005.)

### INTERPRETING ECOLOGICAL DATA

**Q1.** What is the approximate difference in mean plant weight between individuals grown at the density of 2 plants per pot and those grown at 8 plants per pot? How does this difference in mean plant weight compare to that between plants grown at 8 and 16 plants per pot?

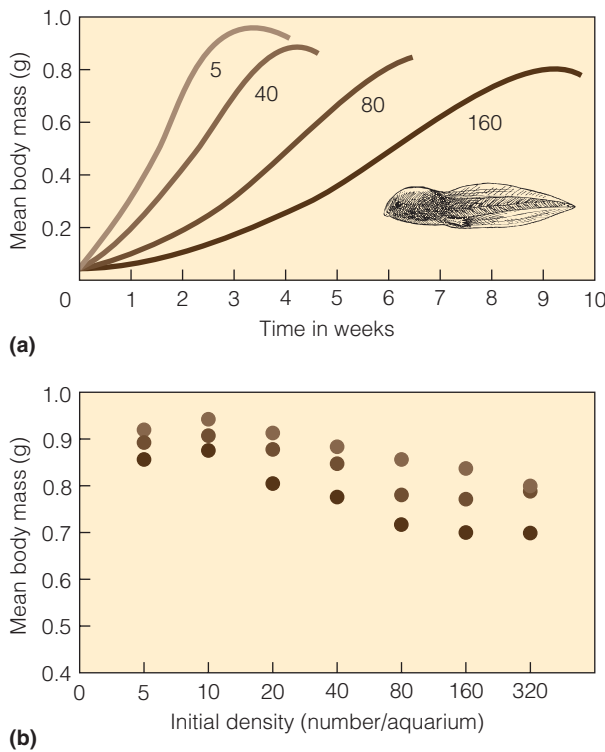
**Q2.** Why is the decline in mean plant weight with increasing density not linear (straight line)? (Hint: Calculate the total biomass in each pot by multiplying the density [2, 4, 8, and 16 plants per pot] by mean plant weight [1.7, 1.1, 0.5, and 0.3 g] for each treatment.)

discussion of plant response to variations in the light environment presented, an increase in leaf area ratio is indicative of reduced light availability, strongly suggesting that the observed reductions in photosynthesis and growth with increasing plant density are a result of shading and competition for light resources (see Section 6.2 and Quantifying Ecology 6.1: Relative Growth Rate).

Similar patterns of density-dependent growth to those reported for plants have been observed among populations of ectothermic (cold-blooded) vertebrates. In a series of experiments to examine the effects of population density on the growth and development of *Rana tigrina* tadpoles, ecologists Madhab Dash and Ashok Hota of Sambalpur University

(Orissa, India) reared *R. tigrina* larvae in isolation (single individual) and at various densities under conditions of fixed food availability. Tadpoles reared experimentally at high densities experienced slower growth (Figure 11.8a), required a longer time to change from tadpoles to frogs (metamorphosis), and had a lower probability of completing this transformation. Those that did reach threshold size were smaller than those living in less dense populations (Figure 11.8b).

In a similar study to that of Dash and Hota, Rick Relyea of the University of Pittsburgh examined the effects of intraspecific competition on experimental populations of wood frog tadpoles (*Rana sylvatica*). In addition to the influence of density on individual growth rate, Relyea examined the



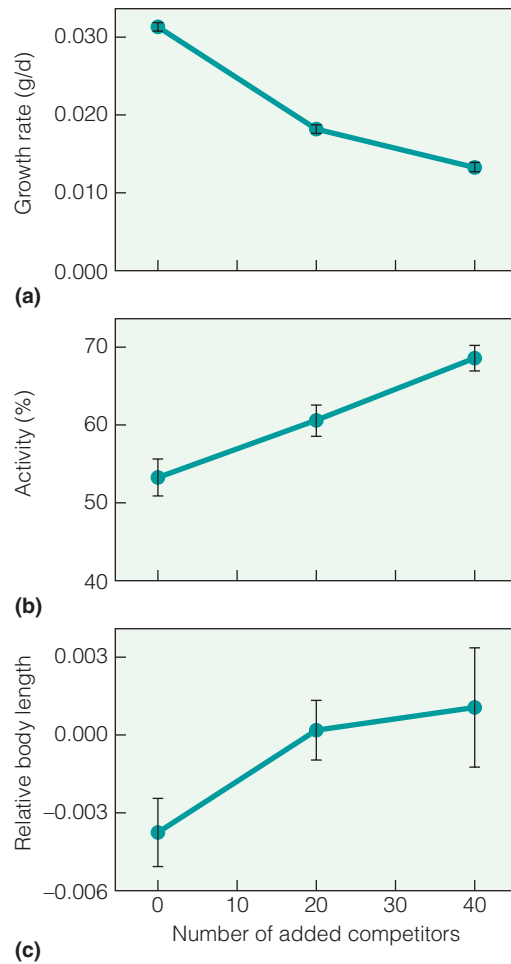
**Figure 11.8** Effect of population density on the growth and development of individuals. (a) The growth rate of the tadpole *Rana tigrina* declines swiftly as density increases from 5 to 160 individuals confined in the same space. (b) As a result, the mean body mass at metamorphosis declines as a function of the population density.

(Adapted from Dash and Hota 1980.)

impacts of intraspecific competition on phenotypic variations in behavior and morphology (phenotypic plasticity: see Section 5.9 for discussion). Like Dash and Hota, Relyea found a decrease in the average individual growth rate with increasing population density (Figure 11.9a). The reductions in growth rate, however, were accompanied by distinct changes in behavior and morphology in response to the competitive environment. Individuals reared in higher-density populations exhibited an increase in activity (time spent in movement) (Figure 11.9b) and generally developed longer bodies (Figure 11.9c), shorter tails, and wider mouths—a clear example of competition-induced phenotypic plasticity (see Section 5.9). Increased activity was shown to increase resource acquisition, and previous studies have found that the observed shifts in morphology are associated with enhanced growth under competitive environments, suggesting that both behavioral and developmental responses are adaptive.

## 11.5 Intraspecific Competition Can Influence Mortality Rates

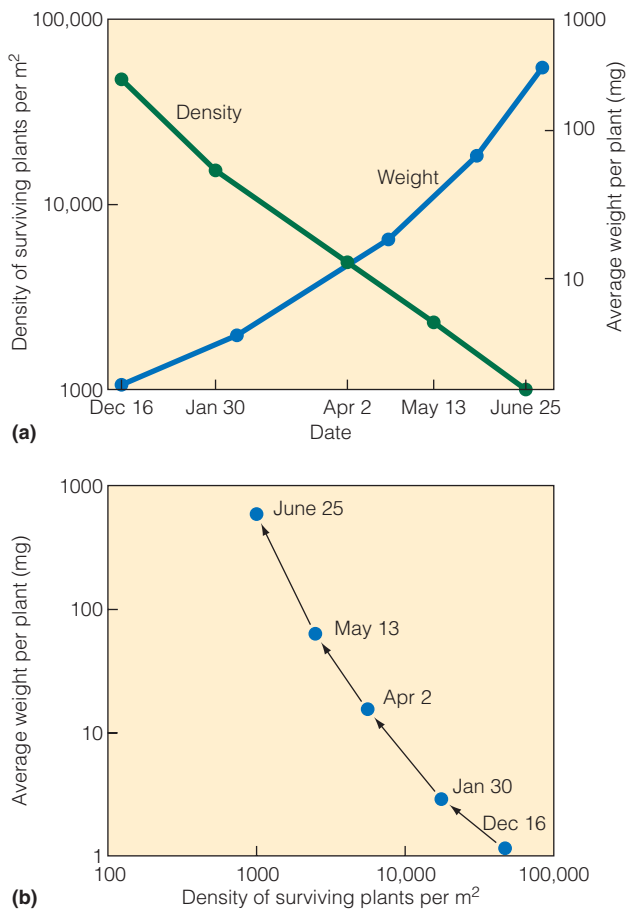
In addition to reduced growth rate, a common response of plants to high population density is reduced survival. Mortality functions to increase resource availability for the



**Figure 11.9** Mean (a) growth rate, (b) activity, and (c) relative body length ( $\pm$ SE) of larval wood frogs as a function of density of intraspecific competitors. Activity was measured by determining the proportion (%) of observed individuals in motion during the observation period. The measure of relative body length is the residual of the regression of body length as a function of body mass. This approach removes differences in body length among individuals due purely to differences in overall size (body mass). Mean values (and estimates of variance) for the residuals were then calculated for each treatment, as presented in graph (c).

(Adapted from Relyea 2002.)

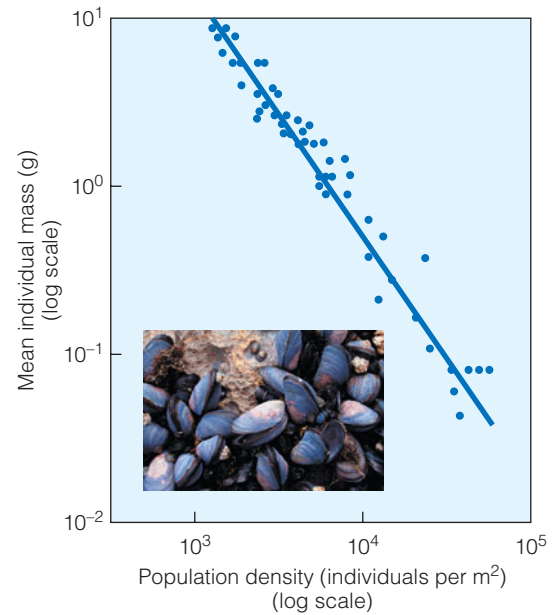
remaining individuals, allowing for increased growth. In an experiment aimed at exploring this relationship, the late plant ecologist Kyoji Yoda planted seeds of horseweed (*Erigeron canadensis*) at a density of 100,000 seeds per square meter. As the seedlings grew, competition for the limited resources ensued (Figure 11.10a). The number of seedlings surviving declined within months to a density of approximately 1000 individuals. The death of individuals increased the per capita resource availability, and the average size of the surviving individuals increased as population density declined. The inverse relationship between population density and average plant size during the experiment can be seen more easily in Figure 11.10b. This progressive decline in density and increase in biomass (growth) of remaining individuals caused by the combined effects of density-dependent mortality and growth within a population is known as **self-thinning**.



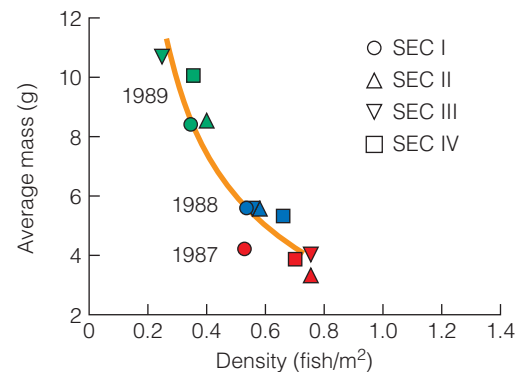
**Figure 11.10** (a) Changes in the number of surviving individuals and average plant size (weight in milligrams) through time for an experimental population of horseweed (*Erigeron canadensis*). (b) Data from (a) replotted to show the relationship between population density and average plant weight. Competition results in mortality, which in turn increases the per capita availability of resources, resulting in increased growth for the survivors. (Adapted from Yoda 1963.)

Originally described in forest trees, self-thinning has been widely documented in plant populations and identified in sessile animals such as barnacles and mussels (Figure 11.11). More recent studies have presented evidence of self-thinning in mobile animals.

Most evidence of self-thinning in mobile organisms comes from studies of stream-dwelling fish populations. Thomas Jenkins and colleagues at the University of California–Santa Barbara undertook a multiyear experimental study to examine the effects of population density on individual growth of brown trout (*Salmo trutta*) in two stream ecosystems in the Sierra Nevada mountains (Sierra Nevada Aquatic Research Laboratory). Their data reveal an inverse relationship between average mass of individuals and density of surviving brook trout over the study period (Figure 11.12) that is similar to the general patterns shown in Figures 11.10 and 11.11 for populations of plants and sessile animals. The reduction in density of brook trout through time was due to intraspecific competition for limited food



**Figure 11.11** Relationship between mean individual mass (g) and population density (individuals per m<sup>2</sup>) for the black mussel, *Choromytilus meridionalis*. (Adapted from Hughes and Griffiths 1988.)

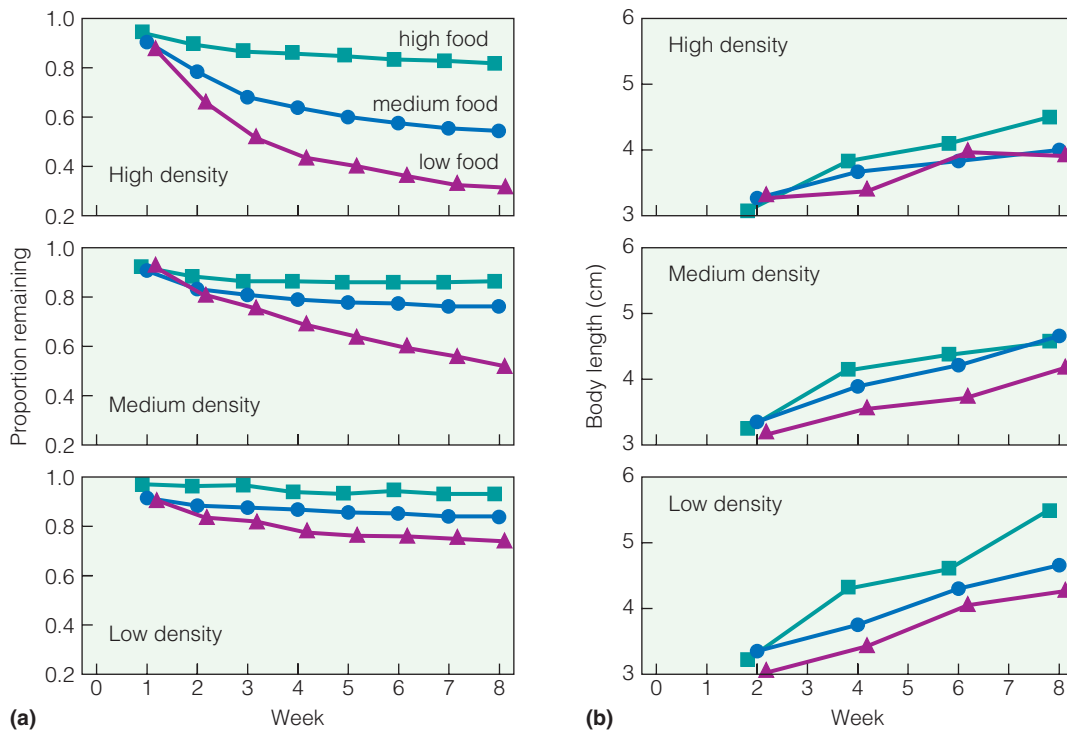


**Figure 11.12** Self-thinning in a population of brook trout inhabiting Convict Creek in the Sierra Nevada Mountain range. Average body mass of surviving brown trout is expressed as a function of the total density of individuals in different stream sections as measured during the fall censuses 1987–1989. Data points represent means of from 118 to 699 individuals. Each symbol represents the subpopulation occupying a specific stream section. The color red denotes data from 1987; the color blue, from 1988; the color green, from 1989. (Adapted from Jenkins et al. 1999.)

resources as mean body size (and associated demand for food resources) increases.

Ernest Keeley of the University of British Columbia conducted experiments in artificial stream channels, manipulating density of competitors and food abundance to examine density-dependent growth and mortality in populations of steelhead trout





**Figure 11.13** Patterns of (a) mortality (as measured by proportion of initial individuals remaining) and (b) growth (as measured by body length) of juvenile steelhead trout in stream channels over an eight-week experimental period. Experiments examined the influence of intraspecific competition by manipulating density of competitors and abundance of food. Initial densities of individuals in the experimental stream channels were set at 32 (low density), 64 (medium density), or 128 individuals per  $m^2$  (high density). Food abundance was varied by providing either 0.3 (low food), 0.6 (medium food), or 1.2 grams/ $m^2$ /day (high food). (Adapted from Keeley 2001.)

### INTERPRETING ECOLOGICAL DATA

**Q1.** How does mortality differ for the high-density experimental populations under low, medium, and high food abundance? How do these differences among the three food abundance treatments change as population density is reduced (medium and low population densities)?

**Q2.** How does growth (body length) differ for the high-density experimental populations under low, medium, and high food abundance? How do these differences among the three food abundance treatments change as population density is reduced (medium and low population densities)?

**Q3.** Why do the greatest differences in mortality among the three food abundance treatments occur at high population density, while the greatest differences in growth rate among the three food abundance treatments occur at low population density?

(*Oncorhynchus mykiss*). His results illustrate a pattern of decreasing growth and increasing mortality with increasing levels of per capita food competition, resulting either from an increase in population density for a given level of food abundance or from reduced food abundance for a given population density (Figure 11.13).

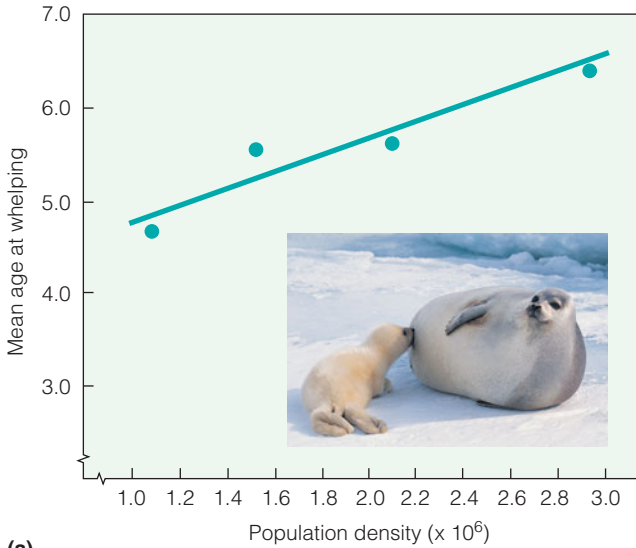
## 11.6 Intraspecific Competition Can Reduce Reproduction

Besides directly influencing the survival and growth of individuals, competition within a population can reduce fecundity. The timing of the response depends on the nature of the population, and the mechanisms by which competition influences reproductive rate can vary with species. Harp seals (*Phoca groenlandica*) become sexually mature when they reach approximately 87 percent of their mature body weight of about

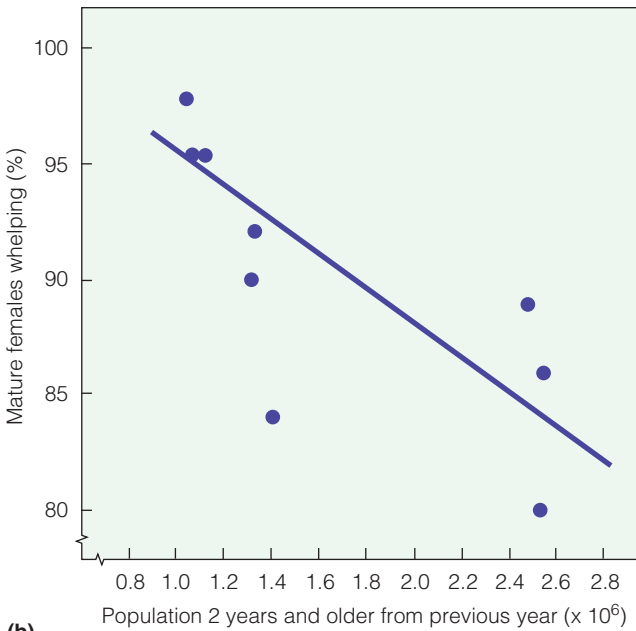
120 kg. Reduced growth rates (weight gain) under high population densities increase the mean age at which females become reproductive (Figure 11.14a). The result is that fertility in harp seals, as measured by the number of females giving birth to young, is density dependent, inversely related to the population density of the previous year (Figure 11.14b). Similar patterns of density-dependent fecundity have been observed in bird populations (see Figure 3 in Field Studies: T. Scott Sillett).

For animal species that exhibit indeterminate rates of growth and development, density-dependent growth is a potentially powerful mechanism of population regulation, because fecundity is typically related to body size (see Section 10.8 and Field Studies: Martin Wikelski, on page 128). Population density has been shown to affect patterns of growth, age at maturity, and fecundity in fish populations, suggesting density dependence as an important mechanism of population control. Amy Schueller and

colleagues at the University of Wisconsin–Stevens Point examined the effects of population density on maturity and fecundity of walleyes (*Sander vitreus*) in Big Crooked Lake, Wisconsin, over a period of six years. The researchers found a significant positive relationship between age of maturity (reproduction) and adult walleye population density (Figure 11.15c) similar to that observed for the harp seal population shown in Figure 11.14.

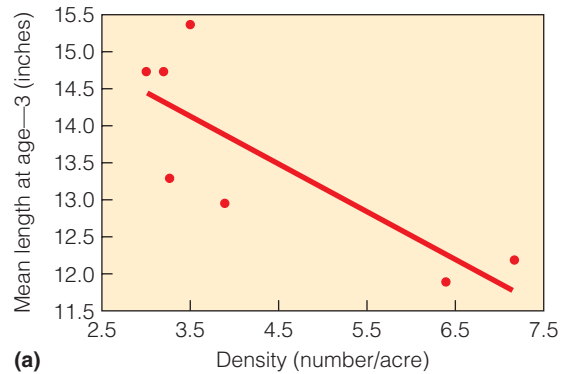


(a)

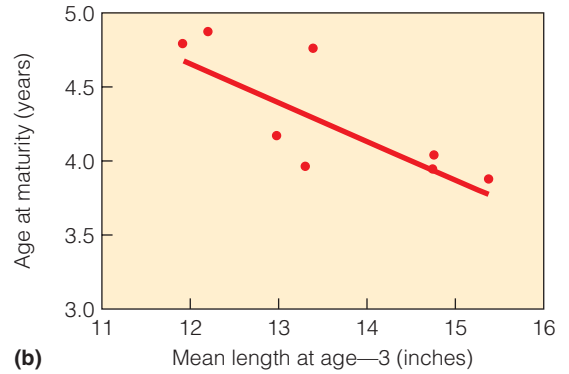


(b)

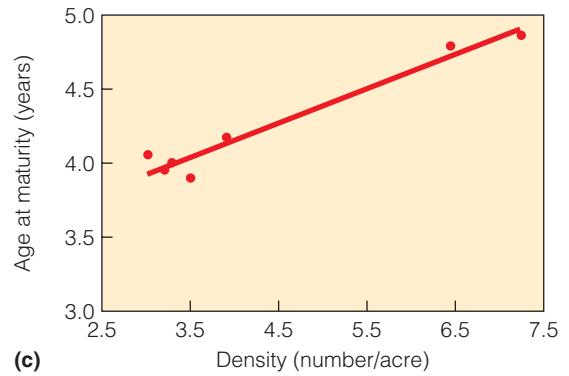
**Figure 11.14** (a) The mean age of sexual maturity for female harp seals (*Phoca groenlandica*) is related to weight more than to age. Seals arrive at sexual maturity when they reach 87 percent of average adult body weight. Seals attain this weight at an earlier age when population density is low. (b) As a result, fertility is density dependent. As the seal population (measured by the number of individuals 2 years and older during the previous year) increases, the percentage of females giving birth to young decreases markedly. (Adapted from Lett et al. 1981.)



(a)



(b)



(c)

**Figure 11.15** Influence of population density on growth rate and age at sexual maturity for female walleye in Big Crooked Lake, Wisconsin. (a) Individual walleye growth, as measured by the mean length at 3 years of age, is inversely related to population density. (b) The age at which walleye achieve sexual maturity is size dependent, therefore increasing with decreasing growth rate. (c) The result is a positive relationship between age at maturity and population density for female walleye in the lake ecosystem.

(Adapted from Schueller et al. 2005.)

## FIELD STUDIES

## T. Scott Sillett

Smithsonian Migratory Bird Center, National Zoological Park, Washington, D.C.

One of the most studied and publicized conservation issues today is the decline of migratory songbirds, particularly neotropical migrants—species that migrate between breeding grounds in the temperate zone of North America and that winter in the tropics of Central and South America. Determining the factors responsible for the decline of these species, however, is difficult because birds spend parts of their annual cycle in different geographic locations. Furthermore, events during one period of the annual cycle are likely to influence populations in subsequent stages.

Understanding the factors influencing the population dynamics of migratory bird species is the research focus of avian ecologist T. Scott Sillett of the Smithsonian Migratory Bird Center. Sillett's research focuses on the population dynamics of the black-throated blue warbler (Figure 1), a migratory songbird that breeds in the forested regions of eastern North America and overwinters in the Greater Antilles (Jamaica and Cuba). The species is territorial and feeds largely on insects, primarily the larvae of Lepidoptera (butterflies and moths). Individuals exhibit strong site fidelity in both breeding and wintering grounds—meaning that individuals return to the same locations (often the same territorial areas) each year.

In a series of studies, Sillett and his colleagues quantified the demography of black-throated blue warbler populations from 1986 to 2000 at two locations during their annual cycle: the overwinter period at Copse Mountain, near Bethel Town in northwestern Jamaica, and the breeding season at Hubbard Brook Experimental Forest, New Hampshire. The Jamaican site was visited twice annually, first at the beginning of the overwinter period in autumn (October) and again at the end of the winter (March), before the start of the spring migration, in the



**Figure 1** Black-throated blue warbler feeding young.

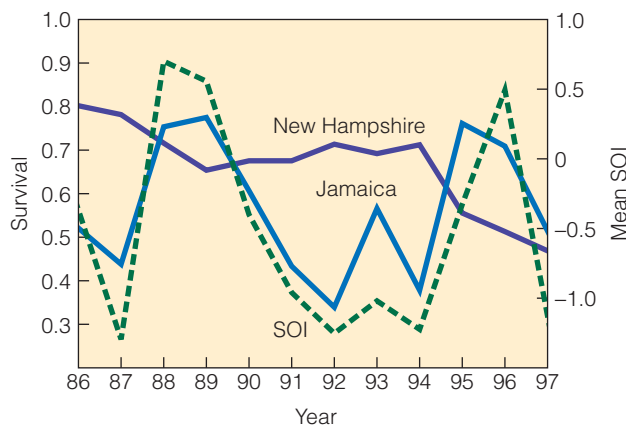
following calendar year. Sillett studied the New Hampshire site each year during the breeding season from mid-May through August.

The research team made estimates of fecundity during the spring–summer breeding season at the New Hampshire site. Estimates of survival are much more difficult, requiring the use of mark-recapture sampling over multiple years (see Section 9.4). Sillett's team used mist nets to capture and tag individuals at both sites to allow for estimates of survival during the six-month winter and three-month summer stationary periods. From these data, the team was also able to estimate survivorship for the three-month migratory period.

Results of the decade-long study reveal an interesting pattern of variations in fecundity and survival that are influenced by both density-dependent and density-independent factors. Average survival rates for birds at both locations (Jamaica and New Hampshire) did not differ significantly. Average monthly values during the summer (0.99, New Hampshire) and winter (0.93, Jamaica) stationary periods were high. In contrast, monthly survival probability during the periods of migration ranged from 0.77 to 0.81. Thus, apparently mortality rates are at least 15 times higher during the migration compared to the stationary periods, and more than 85 percent of annual mortality occurred during migration.

Although mean monthly values of survival did not vary between the sites, interannual patterns of survival were markedly different (Figure 2). The annual survival rate of warblers breeding in New Hampshire was relatively constant over the period of the study; however, the probability of survival on the wintering grounds in Jamaica varied more than threefold. Sillett and his colleagues found that the difference is related to different impacts of climate variation on the birds at the two locations. The El Niño–Southern Oscillation (ENSO) discussed in Chapter 2 (see Section 2.9) influences patterns of climate variation at both the Jamaica and New Hampshire sites, but it influences population dynamics in very different ways. Sillett used annual mean monthly values of the standardized Southern Oscillation Index (SOI) to represent ENSO conditions for each calendar year. High, positive values of SOI indicate La Niña conditions, and low, negative values indicate El Niño conditions (Figure 2). Survival at the Jamaica site was low in El Niño years and high in La Niña years (Figure 2). During El Niño years in Jamaica, reduced rainfall leads to decreased food available for warblers in the winter





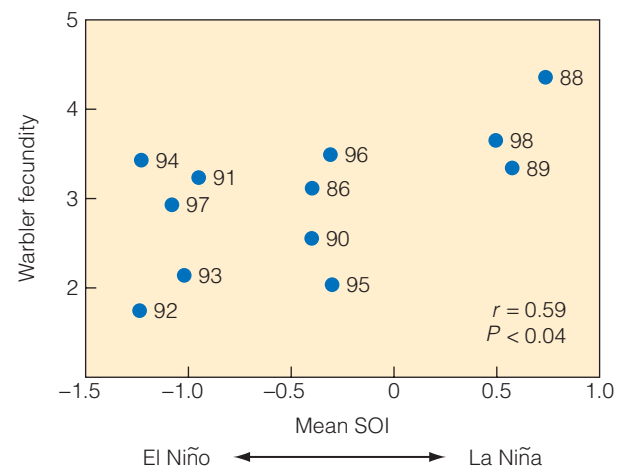
**Figure 2** Comparison of annual survival estimates for Jamaica and New Hampshire to mean monthly values of the Southern Oscillation Index (SOI).

dry season and, hence, to lower survival. La Niña years, in contrast, tend to be wetter and with increased food availability and higher survival.

In contrast to Jamaica, the ENSO cycle had little effect on survival rates at the New Hampshire site during the breeding season. The ENSO cycle did, however, significantly influence fecundity rates.

Both the mean number of young fledged per warbler pair (Figure 3) and the mass at fledging were lower in El Niño years relative to La Niña years. The influence of ENSO on fecundity was due to the influence of climate variation on food abundance. Prey biomass (lepidopteran larvae) was low during El Niño (dry) years and high during La Niña (wet) years.

Besides the influence of the ENSO cycle, Sillett and his colleagues observed density-dependent regulation of fecundity rates at the New Hampshire site over the period of study. They observed an inverse relationship between fecundity and population density. The result is a combination of density-independent (ENSO) and density-dependent factors that functions to control the black-throated blue warbler population dynamics. In El Niño years, high mortality (low survival) in the wintering grounds (Jamaica) and low fecundity in the breeding grounds (New Hampshire) function to reduce the density of the breeding population. In subsequent La Niña years, increasing rates of survival during the winter, combined with high rates of fecundity, cause the population to rise. As the density of the breeding population increases, density-dependent factors (crowding) control fecundity rates and population growth.



**Figure 3** Relationship between the mean monthly Southern Oscillation Index (SOI) and warbler fecundity, measured as the mean number of offspring fledged per warbler pair per year at the New Hampshire site. Numbers at each point represent the calendar year.

The work of Scott Sillett and his colleagues has greatly expanded our understanding of neotropical bird species—and of all migratory species whose dynamics depend on environmental conditions over a broad geographic region.

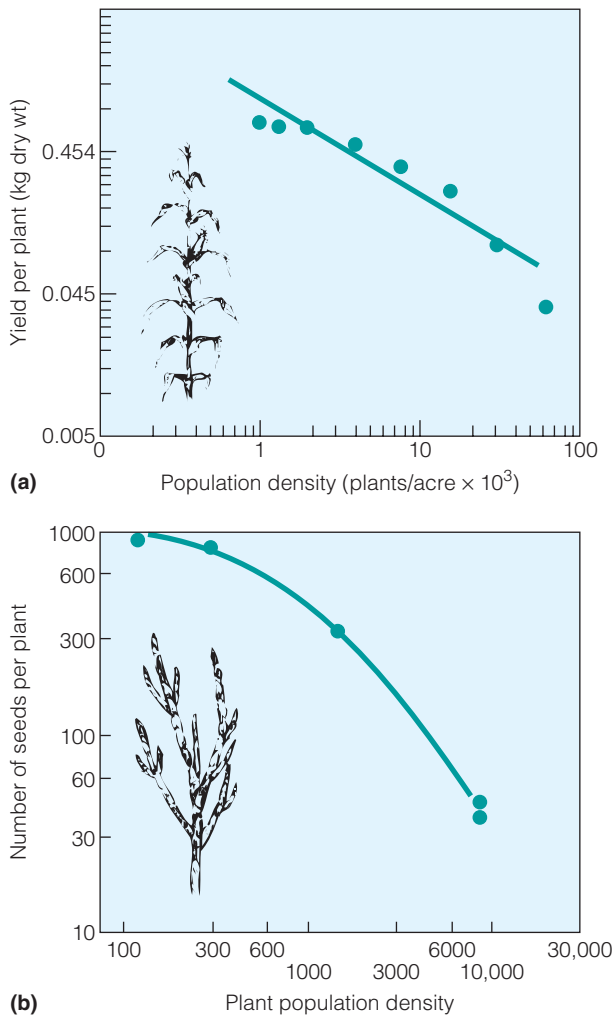
### Bibliography

- Rodenhouse, N. L., T. S. Sillett, P. J. Doran, and R. T. Holmes. 2003. Multiple density-dependence mechanisms regulate a migratory bird population during the breeding season. *Proceedings of the Royal Society of London* 270:2105–2110.
- Sillett, T. S., R. T. Holmes, and T. W. Sherry. 2000. Impacts of a global climate cycle on population dynamics of a migratory songbird. *Science* 288:2040–2042.
- Sillett, T. S., and R. T. Holmes. 2002. Variation in survivorship of a migratory songbird throughout its annual cycle. *Journal of Animal Ecology* 71:296–308.

1. Suppose that environmental conditions associated with El Niño resulted in a decreased survival in Jamaica during the winter but increased fecundity rates in New Hampshire. How would the population dynamics of the species differ from that discussed above?
2. How does population density influence the birth rate at the New Hampshire site?

The onset of sexual maturity is size dependent (Figure 11.15b), and the delayed maturity observed with increasing population density is a direct result of the inverse relationship between individual growth rates and population density (Figure 11.15a). In addition to delayed maturity, Schueller observed a decrease in mean fecundity rate (egg production) with increasing population density.

Density-dependent controls on fecundity are a common observation in plant populations. The amount of grain produced by individual corn plants is reduced dramatically when planted at higher densities (Figure 11.16a). Similarly, the number of seeds produced per plant declines with increasing density of individuals in populations of the annual herb glasswort (*Salicornia europaea*; Figure 11.16b) inhabiting coastal salt marshes.



**Figure 11.16** Two examples of density-dependent effects on fecundity in plants. (a) Grain (seed) production of individual corn plants declines with increasing density of plants per acre. (b) Number of seeds produced per plant declines with increasing population density in the marsh shrub *Salicornia europaea*.

(a) (Adapted from Fery and Janick 1971.) (b) (Adapted from Watkinson and Davy 1985.)

## 11.7 High Density Is Stressful to Individuals

As a population reaches a high density, individual living space can become restricted. Often, aggressive contacts among individuals increase. One hypothesis of population regulation in animals is that increased crowding and social contact cause stress. Such stress triggers hormonal changes that can suppress growth, curtail reproductive functions, and delay sexual activity. They may also suppress the immune system and break down white blood cells, increasing vulnerability to disease. In mammals, social stress among pregnant females may increase mortality of the young in the fetal stage (unborn) and cause inadequate lactation, stunting the growth and development of nursing young. Thus, stress results in decreased births and increased infant mortality. Such population-regulating effects have been confirmed in confined laboratory populations of several species of mice and to a lesser degree in enclosed wild populations of woodchucks (*Marmota monax*) and rabbits (*Oryctolagus cuniculus*). Evidence of the effects of stress in free-ranging wild animals, however, is difficult to obtain.

Pheromones are perfume-like chemical signals that function to communicate between individuals, influencing behavior and body function much like a hormone does. In social insects, pheromones released by the queen have been identified as an important mechanism in controlling the development and reproduction of colony members. Pheromones present in the urine of adult rodents can encourage or inhibit reproduction. One study by Adrienne Massey and John Vendenbergh of North Carolina State University involved wild female house mice (*Mus musculus*) confined to grassy areas surrounded by roadways that prohibited dispersal. One group lived in a high-density population and the other in a low-density population. Urine from females of each population was absorbed onto filter paper and placed in laboratory cages with juvenile test females. Exposing juvenile females to urine from high-density populations delayed puberty, whereas exposing females to urine from low-density populations did not. The results suggest that pheromones contained in the urine of adult females in high-density populations function to delay puberty and help slow further population growth.

## 11.8 Dispersal Can Be Density Dependent

Instead of coping with stress, some animals disperse, leaving the population to seek vacant habitats. Although dispersal is most apparent when population density is high, it goes on all the time. Some individuals leave the parent population whether it is crowded or not. There is no hard-and-fast rule about who disperses.

When a lack of resources resulting from high population density forces some individuals to disperse, the ones to go are usually subadults driven out by adult aggression. The odds are that such individuals will perish, although a few

may arrive at some suitable area and successfully become established. Because dispersal under conditions of high population density is a response to overpopulation, this type of dispersal does not function as an effective means of population regulation. More important to population regulation is dispersal when density is low or increasing, well before the local population reaches the point of overexploiting resources.

For example, ecologists Dominique Berteaux and Stan Boutin of McGill University (Quebec, Canada) studied dispersal in a red squirrel (*Tamiasciurus hudsonicus*) population in the Yukon in Canada. They found that every year, a fraction of older reproductive females left their home areas during the summer months when food availability was high. The dispersal of female adults increased the survival of their juvenile offspring that remained on the home area during the winter months, when food resources are scarce.

Some dispersing individuals, especially juveniles, can maximize their probability of survival and reproduction only if they leave their birthplace. When intraspecific competition at home is intense, dispersers can relocate in habitats where resources are more accessible, breeding sites more available, and competition less intense. Further, the disperser reduces the risk of inbreeding (see Section 5.6). At the same time, dispersers incur risks that come with living in unfamiliar terrain.

Does dispersal actually regulate a population? Although dispersal is often positively correlated with population density, no relationship that can be generalized exists between the proportion of the population leaving and its increase or decrease. Dispersal may not function as a regulatory mechanism, but it contributes strongly to population expansion (see Section 8.8). It also aids in the persistence of local populations (see Chapter 12).

## 11.9 Social Behavior May Function to Limit Populations

Intraspecific competition can express itself in social behavior, or the degree to which individuals of the same species tolerate one another. Social behavior appears to be a mechanism that limits the number of animals living in a particular habitat, having access to a common food supply, and engaging in reproductive activities.

Many species of animals live in groups with some kind of social organization. In some populations, the group structure is crucial to acquiring resources (as with predators that hunt in packs) and maintaining defense. The organization, however, is often based on aggressiveness, intolerance, and the dominance of one individual over another. Two opposing forces are at work. One is mutual attraction of individuals; the other is a negative reaction against crowding—the need for individual space.

Each individual occupies a position in the group based on dominance and submissiveness. In its simplest form, the group includes an alpha individual dominant over all others,

a beta individual dominant over others except the alpha, and an omega individual subordinate to all others. Individuals settle social rank by fighting, bluffing, and threatening at initial encounters between any given pair of individuals or through a series of such encounters. Once individuals establish social rank, they maintain it by habitual subordination of those in lower positions. Threats and occasional punishment handed out by those of higher rank reinforce this relationship. Such organization stabilizes and formalizes intraspecific (contest) competitive relationships and resolves disputes with a minimum of fighting and wasted energy.

Social dominance plays a role in population regulation when it affects reproduction and survival in a density-dependent manner. An example is the wolf. Wolves live in small groups, called packs, of 6 to 12 or more individuals. The pack is an extended kin group consisting of a mated pair, one or more juveniles from the previous year that do not become sexually mature until the second year, and several related nonbreeding adults.

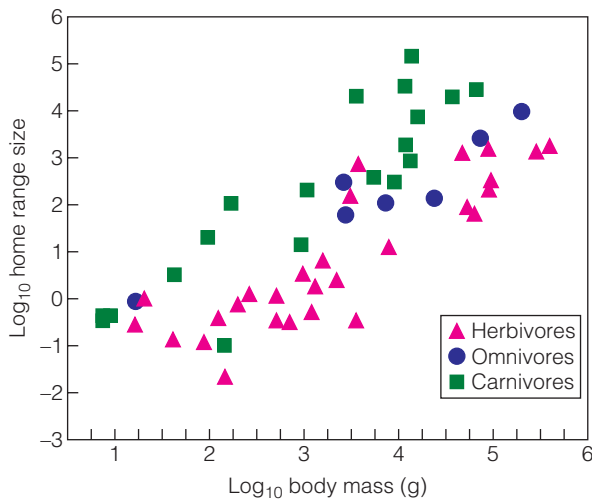
The pack has two social hierarchies, one headed by an alpha female and the other headed by an alpha male—the leader of the pack, to which all other members defer. Below the alpha male is the beta male—closely related, often a full brother, who has to defend his position against pressure from males below him in the social hierarchy.

Mating within the pack is rigidly controlled. The alpha male (occasionally the beta male) mates with the alpha female. She prevents lower-ranking females from mating with the alpha and other males, while the alpha male inhibits other males from mating with her. Therefore, each pack has one reproducing pair and one litter of pups each year. They are reared cooperatively by all members of the pack.

The size of packs, which is heavily influenced by the availability of food, governs the level of the wolf population in a region. Priority for food goes to the reproducing pair. At high pack density and decreased availability of food, individuals may be expelled or leave the pack. Unless they have the opportunity to settle successfully in a new area and form a pack, they may not survive. Thus, at high wolf densities, mortality increases and birthrates decline. When the population of wolves is low, sexually mature males and females leave the pack, settle in unoccupied habitat, and establish their own packs that have an alpha (reproducing) female. In this case, nearly every sexually mature female reproduces, and the wolf population increases. At very low densities, however, females may have difficulty locating males to establish a pack with, and so they fail to reproduce or even survive.

## 11.10 Territoriality Can Function to Regulate Population Growth

The area that an animal normally uses during a year is its **home range**. Overall size of the home range varies with the available food resources, mode of food gathering, body size, and metabolic needs. Among mammal species, the home range



**Figure 11.17** Relationship between the size of home range and body weight of North American mammals. For a given body mass, the home range of carnivores is larger than that of herbivores because the home range of a carnivore must be large enough to support a population of the prey (other animals) that it feeds upon. (Adapted from Harastad and Bunnell 1979.)

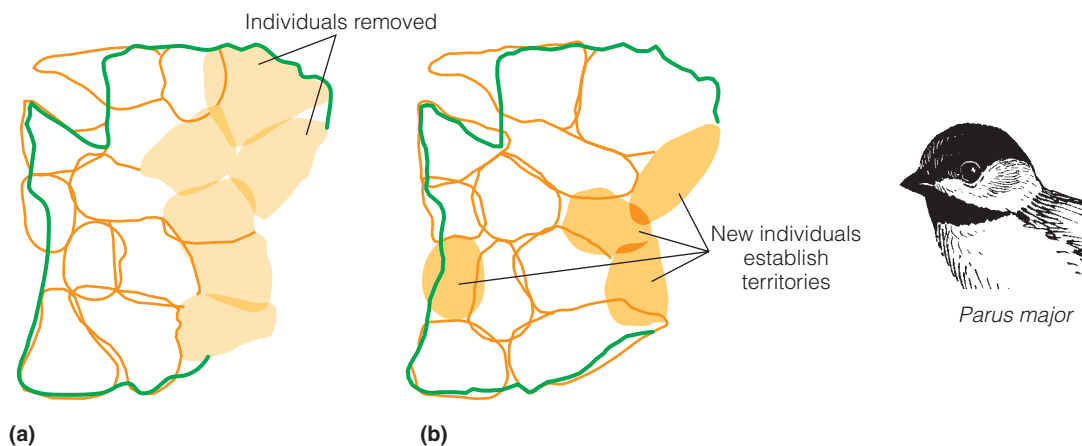
size is related to body size (Figure 11.17), reflecting the link between body size and energy requirements (food resources; see Chapter 7 and Field Studies: Martin Wikelski). In general, carnivores require a larger home range than herbivores and omnivores of the same size. Males and adults have larger home ranges than do females and juveniles.

Although the home range is not defended, aggressive interactions may influence the movements of individuals within another's home range. Some species, however, defend a core

area of the home range against others. If the animal defends any part of its home range, we define that part as a **territory**—a defended area. If the animal defends its entire home range, its home range and territory are the same.

By defending a territory, the individual secures sole access to an area of habitat and the resources it contains. The defense of a territory involves well-defined behavioral patterns: song and call, intimidation displays such as spreading wings and tail in birds and baring fangs in mammals, attack and chase, and marking with scents that evoke escape and avoidance in rivals. As a result, territorial individuals tend to occur in more or less regular patterns of distribution (see Section 8.3 and Figure 11.18).

The total area available divided by the average size of the territory determines the number of territorial owners a habitat can support. When the available area is filled, owners evict excess individuals, denying them access to resources and potential mates. These individuals make up a floating reserve of potential breeders. The existence of such a reserve of potentially breeding adults has been described for several bird species, including the red grouse (*Lagopus lagopus*) in Scotland, the Australian magpie (*Gymnorhina tibicen*), Cassin's auklet (*Ptychoramphus aleuticus*) of Alaska, and the white-crowned sparrow (*Zonotrichia leucophrys*) of California. Studies of a banded (marked for identification) population of white-crowned sparrows by Lewis Petrinovich and Thomas Patterson of the University of California–Riverside indicated a surplus of potential breeding individuals. In fact, 24 percent of the individuals holding territories had been floaters (no territory) for a period ranging from two to five years before acquiring a territory. Floaters quickly replaced territory holders that disappeared during the breeding season.



**Figure 11.18** Replacement of removed individuals and settlement of vacant territories in an oak woodland. Lines represent the territory boundaries of breeding pairs of great tits (*Parus major*). Six pairs were removed between March 19 and March 24 (1969), shown as the shaded areas in map (a). Within three days, some of the resident pairs had shifted (and in some cases expanded) the boundaries of their territories, and four new pairs had taken up the new territories shown in map (b). After this short period of adjustment, the territories again formed a complete mosaic over the woodland.

(Adapted from Krebs 1971.)

Ecologist John Krebs of the University of Oxford conducted a field experiment in which breeding pairs of great tits (*Parus major*) were removed from their territories in an English oak woodland (Figure 11.18). The pairs were quickly replaced by new birds, largely first-year individuals that moved into the vacated territories from adjacent areas of suboptimal habitat, such as hedgerows.

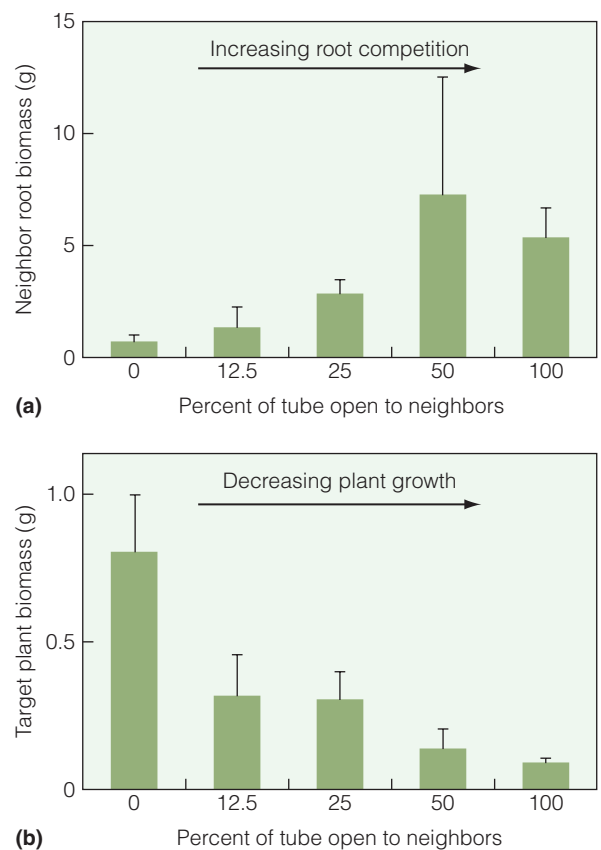
Territoriality functions to limit access to the defended area by other individuals in the population, but under what conditions can territoriality function as a mechanism of population regulation? If all pairs that settle on an area get a territory, territoriality only influences the spatial distribution of individuals within the population and does not regulate it. By contrast, if territorial size has a lower limit, the number of pairs that can settle on an area is limited, and individuals that fail to do so have to leave. In that situation, territoriality might regulate the local population, but only if an excess of nonterritorial males and females of reproductive age occurs, as is the case for examples presented earlier. Then, reproduction is limited by territoriality, and we have density-dependent population regulation.

### 11.11 Plants Preempt Space and Resources

Plants are not territorial in the same sense that animals are, but plants can capture and hold onto space. This phenomenon in the plant world is analogous to territoriality in animals, especially if one accepts an alternative definition of territoriality: individual organisms spaced out more than we would expect from a random occupancy of suitable habitat. In fact, the presence of a uniform distribution is often used as an indication that competition is occurring within plant populations (see Figure 8.8).

Plants from dandelions to trees do capture a certain amount of space and exclude individuals of their own and other species. When a dandelion plant spreads its rosettes of leaves on the ground, it eliminates all other plants from the area it covers. Plants also establish zones of resource depletion associated with their canopy (leaves) and root systems. Taller individuals intercept light, shading the ground below and limiting successful establishment to species that can tolerate the reduced availability of light (see Sections 4.2 and 6.9). Likewise, the uptake of water and nutrients from the soil limits availability to individuals with overlapping rooting zones.

Plant ecologist James Cahill of the University of Alberta has conducted a variety of experiments that have quantified the relationship between neighboring root biomass and belowground competition in plants using root exclusion tubes made of PVC pipe. The exclusion tubes are placed vertically into the soil to separate roots of the target plant, which is planted inside the tube, from the roots of other individuals in the population that naturally occur surrounding it. Differing quantities of neighboring roots are then allowed to access the soil within the tubes by placing differing numbers of holes through the sides of the tube before installing it. Results of these experiments have demonstrated a clear relationship between the growth of target



**Figure 11.19** Results of an experiment controlling root competition among neighboring plants by using root exclusion tubes made out of PVC pipe. By drilling holes, the investigator controlled access to soil in the tube by neighboring plants. (a) The amount of root biomass from neighboring plants that grew into the tubes increased as a function of the number of openings in the tube (percent of tube open to neighbors:  $x$ -axis). (b) The increase in belowground (root) competition resulted in a decline in the mean biomass (dry weight in grams) of target plants grown in the exclusion tubes.

(Adapted from Cahill 2000.)

plants and their overlap with the biomass of neighboring individuals (Figure 11.19).

Because of their longevity, some plants, especially trees, occupy space for a long time, preventing invasion by individuals of the same or other species. Plants successful in capturing space increase their fitness at the expense of others.

### 11.12 Density-Independent Factors Can Influence Population Growth

We have seen that population growth and fecundity are heavily influenced by density-dependent responses. But there are other, often overriding influences on population growth that do not relate to density. These influences are termed **density independent**. Factors such as temperature, precipitation, and natural disasters (fire, flood, and drought) may influence the rates of birth and death within a population (see Field Studies: T. Scott Sillett) but do not regulate population growth, because regulation implies feedback.

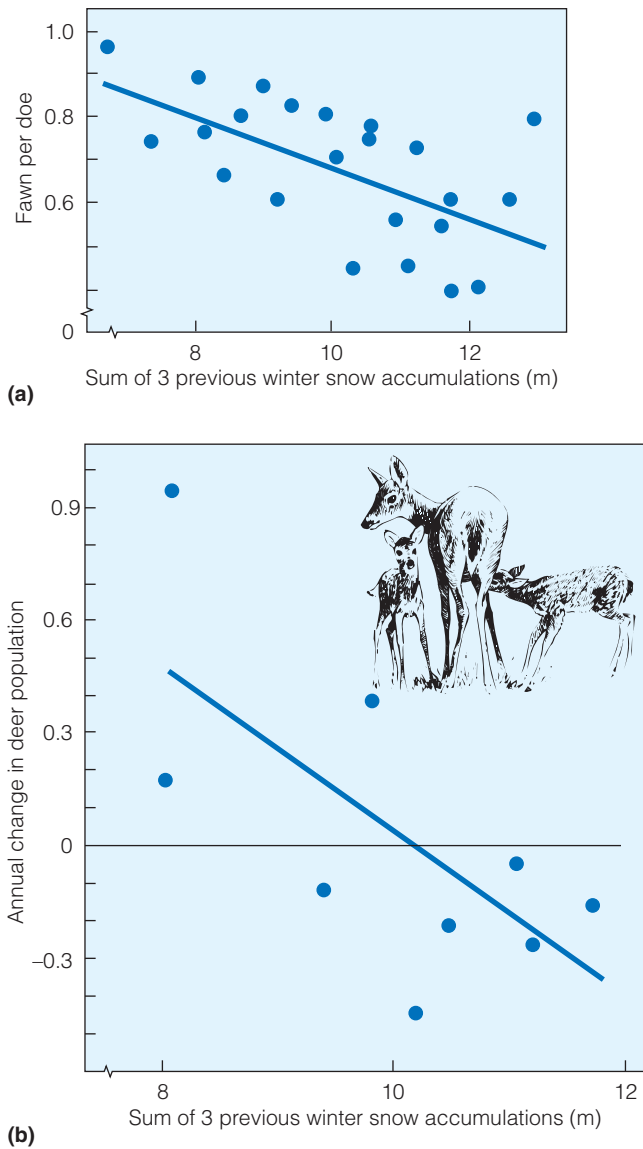


If environmental conditions exceed an organism's limits of tolerance, the result can be disastrous, affecting growth, maturation, reproduction, survival, and movement. The resulting increase in mortality rates can even lead to the extinction of local populations.

Pronounced changes in population growth often correlate directly with variations in moisture and temperature. For example, outbreaks of spruce budworm (*Choristoneura fumiferana*) are usually preceded by five or six years of low rainfall and drought. Outbreaks end when wet weather returns. Such density-independent effects can occur on a local scale where topography and microclimate influence the fortunes of local populations.

In desert regions, a direct relationship exists between precipitation and rate of increase in certain rodents and birds. Merriam's kangaroo rat (*Dipodomys merriami*) occupies lower elevations in the Mojave Desert. The kangaroo rat has the physiological capacity to conserve water and survive long periods of aridity. However, it does require the prevailing patterns of seasonal moisture availability to be sufficient to stimulate the growth of herbaceous desert plants in fall and winter. The kangaroo rat becomes reproductively active in January and February when plant growth, stimulated by fall rains, is green and succulent. Herbaceous plants provide a source of water, vitamins, and food for pregnant and lactating females. If rainfall is scanty, annual plants fail to develop, and reproduction by kangaroo rats is low. This close relationship between population dynamics and seasonal rainfall and success of winter annuals is also apparent in other rodents and birds occupying similar desert habitats.

In more northern regions of the temperate zone, winters can be harsh, and the accumulation of snow can directly (physiological) and indirectly (food availability) affect many animal species. L. D. Mech and colleagues at the Patuxent Wildlife Research Center (U.S. Fish and Wildlife Service) examined the relationship between winter snow accumulation and dynamics of the white-tailed deer population that inhabits northeastern Minnesota. Their studies reveal that the average number of offspring (fawns) produced per female (doe) in the spring (Figure 11.20a), and subsequently the annual change in the population (Figure 11.20b), is inversely related to the previous winter's snow accumulation.



**Figure 11.20** Relationship between the sum of the previous three winter monthly snow accumulations in northeastern Minnesota and the population of white-tailed deer. (a) Fecundity (fawn:doe ratio). (b) Percent annual change in next winter's population.

(Adapted from Mech et al. 1987.)

## Summary

### Logistic Population Growth 11.1

Because resources are limited, exponential growth cannot be sustained indefinitely. The maximum population size that can be sustained for a particular environment is termed the carrying capacity ( $K$ ). The logistic model of population growth incorporates the concept of carrying capacity into the previously developed model of exponential growth. The result is a decrease in the rate of population growth as the population size approaches the carrying capacity.

### Density-Dependent Regulation 11.2

Populations do not increase indefinitely. As resources become less available to an increasing number of individuals, birthrates decrease, mortality increases, and population growth slows. If the population declines, mortality decreases, births increase, and population growth speeds up. Positive and negative feedback function to regulate the population.

**Competition 11.3**

Intraspecific competition occurs when resources are in short supply. Competition can take two forms: scramble and contest. In scramble competition, growth and reproduction are depressed equally across individuals as competition increases. In contest competition, dominant individuals claim sufficient resources for growth and reproduction. Others produce no offspring or perish. Competition can involve interference among individuals or indirect interactions via exploitation of resources.

**Competition, Growth, and Development 11.4**

Competition for scarce resources can decrease or retard growth and development. Up to a point, plants respond to competition by modifying form and size.

**Competition and Mortality 11.5**

A common response to high population density is reduced survival. Mortality functions to increase resource availability for the remaining individuals, allowing for increased growth.

**Competition and Reproduction 11.6**

High population density and competition can also function to delay reproduction in animals and reduce fecundity in both plants and animals.

**Density and Stress 11.7**

In animals, the stress of crowding may cause delayed reproduction, abnormal behavior, and reduced ability to resist disease and parasitic infections; in plants, crowding results in reduced growth and production of seeds.

**Dispersal 11.8**

Dispersal is a constant phenomenon in populations. When dispersal occurs in response to the overexploitation of resources, or crowding, it is not a mechanism of population regulation.

It can, however, regulate populations if the rate of dispersal increases in response to population growth.

**Social Behavior 11.9**

Intraspecific competition may be expressed through social behavior. The degree of tolerance can limit the number of animals in an area and access of some animals to essential resources. A social hierarchy is based on dominance. Dominant individuals secure most of the resources. Shortages are borne by subdominant individuals. Such social dominance may function as a mechanism of population regulation.

**Territoriality 11.10**

Social interactions influence the distribution and movement of animals. The area an animal normally covers in its life cycle is its home range. The size of a home range is influenced by body size.

If the animal or a group of animals defends a part or all of its home range as its exclusive area, it exhibits territoriality. The defended area is its territory. Territoriality is a form of contest competition in which part of the population is excluded from reproduction. These nonreproducing individuals act as a floating reserve of potential breeders, available to replace losses of territory holders. In such a manner, territoriality can act as a population-regulating mechanism.

**Plants Preempt Space 11.11**

Plants are not territorial in the same sense as animals are, but they do hold on to space, excluding other individuals of the same or smaller size. Plants capture and hold space by intercepting light, moisture, and nutrients.

**Density-Independent Factors 11.12**

Density-independent influences, such as weather, affect but do not regulate populations. They can reduce populations to the point of local extinction. Their effects, however, do not vary with population density. Regulation implies feedback.

---

**Study Questions**

1. What is the difference between the exponential and logistic models of population growth?
2. What is the definition of carrying capacity ( $K$ )? How is it used in the framework of the logistic model to introduce a mechanism of density-dependent population regulation?
3. We have seen from many examples in Chapter 11 that competition among individuals within a population can result in an inverse relationship between population density and the growth (see Figures 11.8 and 11.9) and reproduction (see Figures 11.14 and 11.15) of individuals within the population. Besides the inverse correlation between population density and growth/reproduction, what condition must hold for the researcher to establish that competition is responsible for these relationships?
4. Competition can function as a mechanism of density-dependent population regulation. How might scramble and contest competition differ in their effect on population growth (regulation)?
5. Distinguish between home range and territory.
6. How does the relationship between body size and home range size influence the estimates of population density and abundance discussed in Chapter 9?
7. What condition must hold true for territoriality to function as a density-dependent mechanism regulating population growth (and density)?

8. How might social dominance within a population function to regulate population growth?
9. Years of below-average rainfall in Kruger National Park (South Africa) cause a decline in the growth and productivity of grasses. Mortality rates in populations of herbivores such as the African buffalo then increase, resulting

in a decline in population density. Based on the information that you have been provided, would you conclude the annual variations in rainfall within Kruger function is a density-dependent mechanism regulating growth of the buffalo population? Is there any additional information that would cause you to change your answer?

---

## Further Readings

- Barrett, G. W., and E. P. Odum. 2000. The twenty-first century: The world at carrying capacity. *Bioscience* 50:363–368.  
A discussion on the relationships among global human population growth, economic growth, and world carrying capacity.
- Lack, D. 1954. *The natural regulation of animal numbers*. London: Oxford University Press.  
A classic text on population regulation that should be read by those interested in the historical development of ideas in population ecology.
- Murdoch, W. W. 1994. Population regulation in theory and practice. *Ecology* 75:271–287.  
This excellent paper contrasts the theory of population regulation as understood by ecologists against the difficulties of experimentally detecting regulation in natural populations.
- Newton, I. 1998. *Population limitation in birds*. London: Academic Press Limited.  
Chapters 2 through 5 present an excellent, readable overview of population regulation.

- Sinclair, A. R. E. 1977. *The African buffalo: A study of resource limitations of populations*. Chicago: University of Chicago Press.  
A classic study of intraspecific population regulation. Provides an example of the interaction between various factors that together function to regulate the population of this large herbivore.
- Stephens, P. A., and W. J. Sutherland. 1999. Consequences of the allee effect for behavior, ecology and conservation. *Trends in Ecology and Evolution* 14:401–405.  
An extensive, but technical, review of the concept of the Allee effect in population regulation.
- Turchin, P. 1999. Population regulation: A synthetic view. *Oikos* 84:160–163.  
An excellent discussion of the broader issues relating to the regulation of natural populations.
- Wolff, J. O. 1997. Population regulation in mammals: An evolutionary perspective. *Journal of Animal Ecology* 66:1–13.  
This is an excellent discussion of the broader issues relating to the regulation of natural populations.

# Metapopulations

CHAPTER

12

- 12.1 Four Conditions Define a Metapopulation**
- 12.2 Metapopulation Dynamics Is a Balance between Colonization and Extinction**
- 12.3 Patch Area and Isolation Influence Metapopulation Dynamics**
- 12.4 Habitat Heterogeneity Influences Local Population Persistence**
- 12.5 Some Habitat Patches May Function as the Major Source of Emigrants**
- 12.6 Certain Factors Can Function to Synchronize the Dynamics of Local Populations**
- 12.7 Species Differ in Their Potential Rates of Colonization and Extinction**
- 12.8 The Concept of Population Is Best Approached by Using a Hierarchical Framework**



Caribou herd moving on winter grounds in Alaska. Caribou (*Rangifer tarandus*) are distributed in 32 herds based on the location of their calving areas, but the different herds may mix together on winter ranges.

**W**e have explored the ecology of populations that form a single spatial unit in which individuals share the same environment (Chapters 10 and 11). Within the geographic distribution of a species, however, the environmental conditions are typically not uniformly favorable for the successful survival, growth, and reproduction of individuals. Rather, suitable habitat forms a network of patches of various shape and size within the larger landscape of unsuitable habitat (Figure 12.1). Where these habitat patches are large enough to support local breeding populations, the population of a species consists of a group of spatially discrete subpopulations. In 1970, population ecologist Richard Levins of Harvard University coined the term **metapopulation** to describe a population consisting of many local populations—a population of populations.

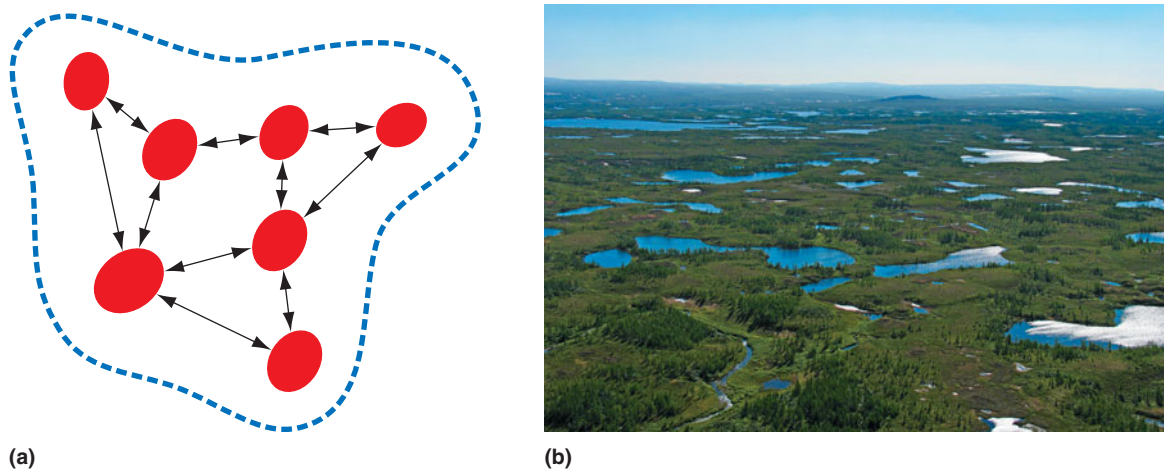
Just as we defined a population as a group of interacting individuals of the same species occupying a given habitat, the metapopulation is a collection of local populations interacting within the larger area or region. How might the dynamics of this collective of local populations differ from that of a single, continuous population? The answer depends on the degree to which the dynamics of the various local populations are connected. The models of population dynamics presented assume that populations are closed—no immigration or emigration—and therefore that population growth is solely a function of the processes of birth and death (see Chapters 10 and 11). Using this framework, the dynamics of each local population would be independent, and the dynamics of the metapopulation (the collective of local populations within the region) would simply be the sum of the dynamics exhibited by the local subpopulations.

If movement of individuals to and from local populations has a significant influence on their dynamics, then a new and broader framework is necessary. For example, Paul Ehrlich

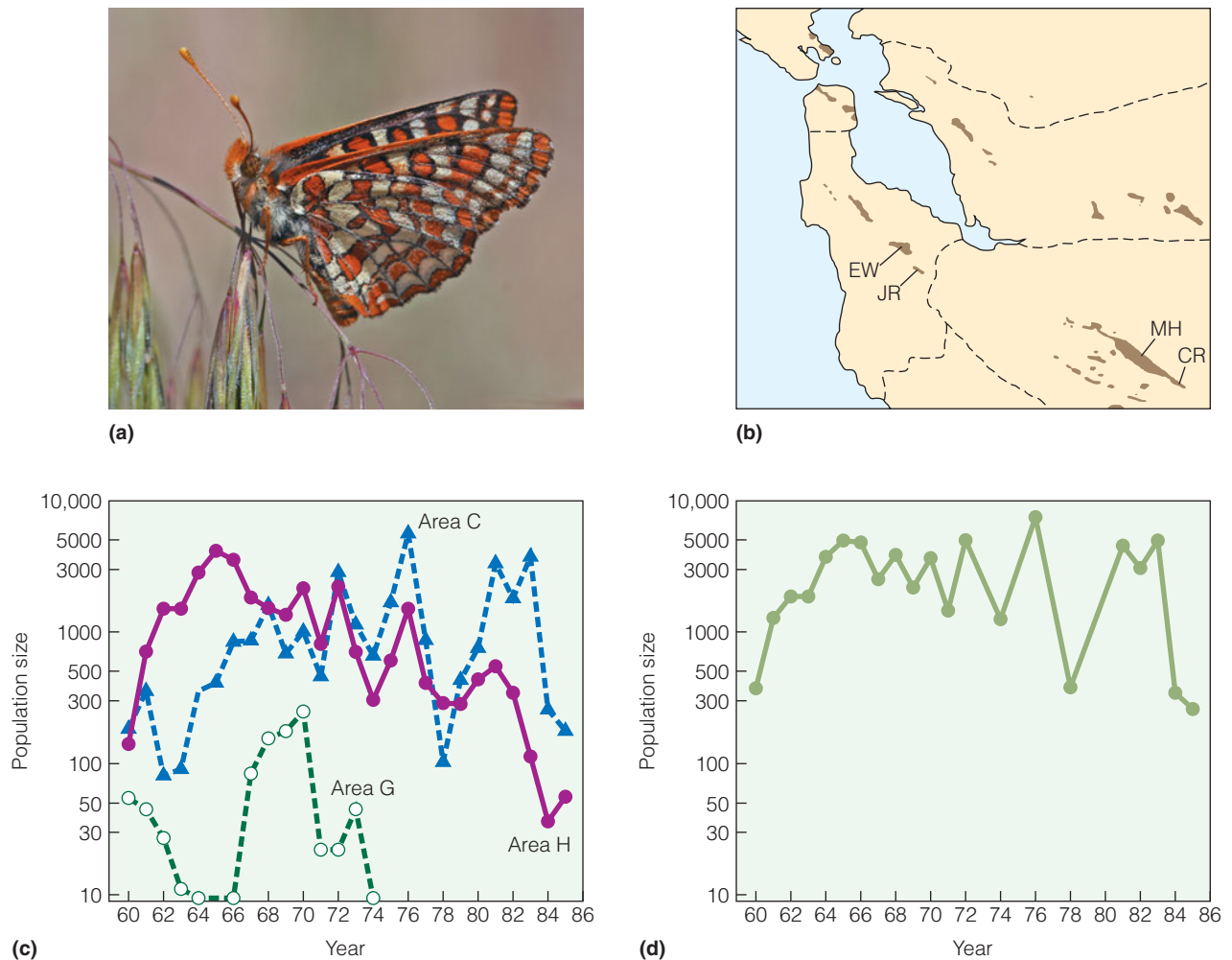
and colleagues at Stanford University in California have been studying for three decades the population dynamics of checkerspot butterflies (genus *Euphydryas*) occupying areas of serpentine soils in the vicinity of San Francisco Bay. The most extensively studied population is that of the bay checkerspot butterfly (*Euphydryas editha*) on Stanford University's Jasper Ridge Biological Preserve. The colony of butterflies was found to consist of three localized populations, sufficiently isolated to have independent dynamics. The dynamics of the three local populations on Jasper Ridge have been documented since 1960 and are presented in Figure 12.2. Note that one of the populations (area G) went extinct in 1964, was reestablished in 1966, and then went extinct again in 1974. The reestablishment of the population in area G after local extinction (extirpation) was a result of emigration from the other two populations occupying Jasper Ridge. Had the subpopulations been closed (no immigration), area G would have remained unoccupied. In this example, however, the local populations did not function in isolation; they interacted via dispersal (immigration and emigration) with the other subpopulations within the larger area of Jasper Ridge. In other words, they functioned as a metapopulation, and an understanding of the regional dynamics of the species requires an understanding of processes operating at the level of the local population(s) as well as at the scale of the metapopulation.

## 12.1 Four Conditions Define a Metapopulation

Many populations exhibit a patchy spatial distribution (see Section 9.3). But Ilkka Hanski of the University of Helsinki, a leading ecologist in the area of metapopulation biology, has



**Figure 12.1** (a) In the metapopulation concept, the distribution of a species (defined by the dashed line) is composed of a group of sub- or local populations (red circles) that are linked by dispersal (movement of individuals represented by arrows). (b) The ponds dotting the tundra landscape of Siberia (Russia) are an example of the metapopulation concept. The ponds represent a network of habitat patches of various size and shape for aquatic organisms. These habitat patches exist within a larger landscape of unsuitable habitat (land). The populations of aquatic organisms inhabiting these ponds represent distinct, spatially discrete local populations that form part of the larger metapopulation over the region.



**Figure 12.2** Dynamics of three local populations of (a) the bay checkerspot butterfly (*Euphydryas editha*) on Jasper Ridge. (b) Distribution of serpentine soils in the San Francisco Bay area, including grassland habitats of the checkerspot butterfly. EW = Edgewood Park, JR = Jasper Ridge, CR = Coyote Reservoir, and MH = Morgan Hill. (c) Population size changes of the local populations in three areas (C, G, and H) of Jasper Ridge. Note the extinction and recolonization events in area G. (d) The dynamics of population size for the Jasper Ridge population, had the separate subpopulations not been identified (collective dynamics of the metapopulation at Jasper Ridge).

(Adapted from Ehrlich 1987.)

suggested four necessary conditions for the term *metapopulation* to be applicable to a system of local populations: (1) the suitable habitat occurs in discrete patches that may be occupied by local breeding populations; (2) even the largest populations have a substantial risk of extinction; (3) habitat patches must not be too isolated to prevent recolonization after local extinction; and (4) the dynamics of the local populations are not synchronized. Not all uses of the term *metapopulation* by ecologists, however, are limited to the strict set of conditions originally outlined by Hanski. In many applications of the concept, the metapopulation consists of a larger *core population* that functions as the main source of emigrants to smaller *satellite populations*. Under this set of conditions, the probability of local extinction for the core population may be extremely small (see condition 2). We will explore application of this broader use of the term *metapopulation* later (see Section 12.5).

Metapopulation dynamics differs from our discussion of population dynamics thus far in that it is governed by two sets of processes operating at two distinctive spatial scales. At the **local or within-patch scale**, individuals move and interact with each other in the course of their routine feeding and breeding activities. Population growth and regulation at the local scale are governed by the demographic processes (birth and death) (Chapter 9).

The second scale is the **metapopulation or regional scale**, which encompasses the set of local populations (patches) that compose the metapopulation. At this scale, dynamics are governed by the interaction of local populations, namely the process of dispersal and colonization. Because all local populations have a probability of extinction, the long-term persistence of the metapopulation depends on the process of (re)colonization.

Colonization involves the movement of individuals from occupied patches (existing local populations) to unoccupied patches to form new local populations. Individuals moving from one patch (population) to another typically move across habitat types that are not suitable for their feeding and breeding activities and often face substantial risk of failing to locate another suitable habitat patch to settle in. This dispersal of individuals between local populations is a key feature of metapopulation dynamics. If no individuals move between habitat patches, the local populations act independently. If the movement of individuals between local populations is sufficiently high, then the local populations will function as a single large population. Under this scenario, the dynamics of the various local populations may be synchronized and equally susceptible to factors that can lead to possible extinction (see condition 4).

At intermediate levels of dispersal, a dynamic emerges where the processes of local extinction and recolonization achieve some balance, where the metapopulation exists as a shifting mosaic of occupied and unoccupied habitat patches. The metapopulation concept is therefore closely linked with the processes of population turnover—extinction and establishment of new populations—and the study of metapopulation dynamics is essentially the study of the conditions under which these two processes are in balance.

## 12.2 Metapopulation Dynamics Is a Balance between Colonization and Extinction

The fundamental idea of metapopulation persistence is a dynamic balance between the extinction of local populations and recolonization of empty habitat patches. In 1970, Levins proposed a simple model of metapopulation dynamics, where metapopulation size is defined by the fraction of (discrete) habitat patches ( $P$ ) occupied at any given time ( $t$ ). Within a given time interval, each subpopulation occupying a habitat patch has a probability of going extinct ( $e$ ). Therefore, if  $P$  is the fraction of patches that is occupied during the time interval, the rate at which subpopulations will go extinct ( $E$ ) is defined as

$$E = eP$$

The rate of colonization of empty patches ( $C$ ) depends on the fraction of empty patches ( $1 - P$ ) available for colonization and the fraction of occupied patches providing colonists ( $P$ ), multiplied by the probability of colonization ( $m$ ), a constant that reflects the rate of movement (dispersal) of individuals between habitat patches. Therefore, the colonization rate will be

$$C = [mP(1 - P)]$$

We can think of metapopulation growth in a manner analogous to our discussion of population growth in Chapter 9, where the change in the population ( $\Delta N$ ) over a given time interval ( $\Delta t$ ) can be expressed as the difference between the rates of birth and death ( $\Delta N/\Delta t = b - d$ ). The change in metapopulation, defined as the fraction of habitat patches occupied by local

populations through time ( $\Delta P/\Delta t$ ) can therefore be defined as the difference between the rates of colonization  $C$  and extinction  $E$ :

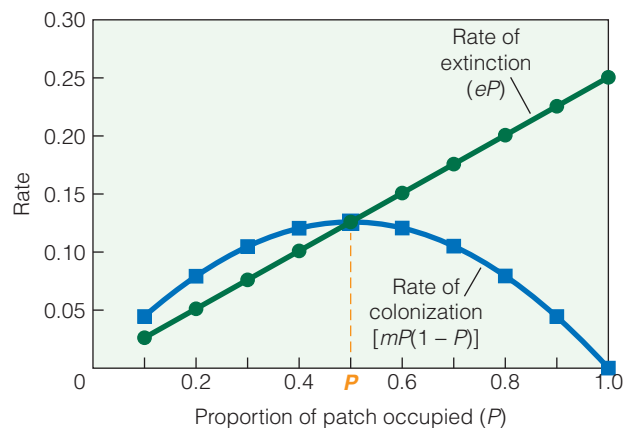
$$\Delta P/\Delta t = C - E$$

or

$$\Delta P/\Delta t = [mP(1 - P)] - eP$$

The model of metapopulation growth functions in a manner similar to the logistic model, in that growth is regulated in a density-dependent fashion (see model presented in Chapter 11). This characteristic is probably not immediately apparent (at least to most of us) just by looking at the above equation, but it is much easier to see if we examine the equation graphically.

For any given values of  $e$  and  $m$ , we can plot the rates of extinction ( $E$ ) and colonization ( $C$ ) as a function of the proportion of habitat patches occupied ( $P$ ; Figure 12.3). The extinction rate increases linearly with  $P$ , and the colonization rate forms a convex curve, initially rising with the proportion of patches occupied and then declining as the proportion approaches 1.0 (all patches are occupied). The value of  $P$  where the lines cross represents the equilibrium value,  $P$ . At this value of  $P$ , the extinction and colonization rates are equal ( $E = C$ ) and the metapopulation growth rate is zero ( $\Delta P/\Delta t = 0$ ). It is an equilibrium value because when the fraction of patches occupied ( $P$ ) is below this value ( $P$ ), the rate of colonization exceeds the rate of extinction and the number of occupied habitat patches



**Figure 12.3** Rates of extinction and colonization as a function of patch occupancy ( $P$ , the proportion of available habitat patches occupied) under the Levins model of metapopulation dynamics:  $\Delta P/\Delta t = [mP(1 - P)] - eP$ . Values of  $m$  (probability of colonization) and  $e$  (probability of extinction) were set at 0.5 and 0.25, respectively. Note that the equilibrium value of patch occupancy ( $P$ ) is 0.5. At this value, the rate of extinction equals the rate of colonization. At values of  $P$  above 0.5, the rate of change is negative, and the value of  $P$  declines. When values of  $P$  are below the equilibrium value ( $<0.5$ ), the rate of change is positive, and  $P$  increases with time.

### INTERPRETING ECOLOGICAL DATA

**Q1.** Why is the rate of change ( $\Delta P/\Delta t$ ) negative for values of  $P$  above the equilibrium ( $P$ )? Answer in terms of the influence on the rates of extinction and colonization.

**Q2.** Conversely, why is the rate of change ( $\Delta P/\Delta t$ ) positive for values of  $P$  below the equilibrium ( $P$ )?

## QUANTIFYING ECOLOGY 12.1 Equilibrium Proportion of Occupied Patches

When the rate of local population extinction ( $E$ ) is equal to the rate at which unoccupied habitat patches are being colonized ( $C$ ), the metapopulation size, as measured by the proportion of habitat patches occupied (the number of local population), will be at equilibrium (see Figure 12.3). By setting  $\Delta P/\Delta t$  equal to zero, we can solve for the equilibrium value  $P$ :

$$\frac{\Delta P}{\Delta t} = 0$$

$$[mP(1 - P)] - eP = 0$$

Using simple algebraic substitution, we can solve for  $P$  (the equilibrium value  $P$ )

$$mP(1 - P) = eP$$

by dividing both sides of the equation by  $P$

$$m(1 - P) = e$$

then dividing both sides of the equation by  $m$

$$1 - P = \frac{e}{m}$$

and subtracting 1 from both sides

$$-P = -1 + \frac{e}{m}$$

then multiplying both sides of the equation by  $-1$

$$P = 1 - \frac{e}{m}$$

For the metapopulation to persist, the equilibrium value  $P$  must be greater than zero, so the probability of extinction ( $e$ ) cannot exceed the probability of colonization  $m$ .

1. In what way is the concept of  $P$  (the equilibrium proportion of occupied patches) similar to the concept of carrying capacity ( $K$ ) presented in Chapter 11?

increases. Conversely, if the value of  $P$  exceeds  $P$ , the rate of extinction exceeds the rate of colonization and the size of the metapopulation (number of occupied patches) declines. So just as in the logistic model—in which the population density ( $N$ ) tends to the equilibrium population size represented by the carrying capacity ( $K$ )—in the metapopulation model, the metapopulation density,  $P$  (proportion of patches occupied), will tend to the equilibrium metapopulation size represented by  $P$ .

The equilibrium value of  $P$  is a function of the probabilities of extinction ( $e$ ) and colonization ( $m$ ):

$$P = 1 - \frac{e}{m}$$

We calculate the equilibrium value mathematically from the metapopulation growth equation by setting the growth rate equal to zero:  $\Delta P/\Delta t = 0$  (see Quantifying Ecology 12.1: Equilibrium Proportion of Occupied Patches).

The Levins model makes several assumptions for the sake of mathematical simplicity. It assumes that all patches are equal in size and quality as habitat. Regarding the process of colonization, the model assumes that each patch contributes equally to the pool of emigrants and that these emigrants have an equal probability of colonizing any of the unoccupied habitat patches. Finally, it assumes that the probability of extinction of any local population is independent of all other local populations; that is, the dynamics of local populations are asynchronous.

In reality, each of these assumptions may be (and most likely are) unrealistic for naturally occurring metapopulations. Local populations often differ in their susceptibility to extinction. Habitat patches differ in size and spatial position relative to each other, and large-scale features of the regional environment often function to synchronize local population dynamics. In the following sections we will explore the consequences to metapopulation dynamics of relaxing each of these assumptions.

## 12.3 Patch Area and Isolation Influence Metapopulation Dynamics

Although the simple model of metapopulation dynamics just presented assumes that all habitat patches are the same (size and quality) and contribute equally to the pool of potential colonizers, in reality habitat patches vary in size and quality. The ability of individuals to disperse between habitat patches is directly related to their spatial arrangement on the landscape—namely, their degree of isolation (see Figure 12.1). The work of Oskar Kindvall and Ingemar Ahlen of the Swedish University of Agricultural Sciences illustrates the importance of the location and size of habitat patches on metapopulation dynamics. Kindvall and Ahlen conducted a study of the metapopulation dynamics of the bush cricket (*Metrioptera bicolor*) in the Vomb valley of Sweden. The bush cricket, a medium-sized (12–19 mm), flightless katydid (Figure 12.4a), inhabits grass and heathland patches of varying size and isolation within a landscape dominated by pine forest. The metapopulation was surveyed in 1986, 1989, and 1990. Figure 12.4b shows the distribution of potential habitat patches within the valley. During the study period, the proportion of available patches occupied varied between 72 percent and 79 percent. Patterns of occupancy were directly related to characteristics of the habitat patches and their influence on patterns of extinction and colonization.

Altogether, 18 habitat patches were colonized (or recolonized after local extinction) during the survey period. These patches were less isolated than patches that were not colonized (Figure 12.4c). The chance of colonization decreases dramatically when the interpatch distance exceeds about 100 m. The longest interpatch distance recorded for colonization by the crickets was 250 m over agricultural fields. Colonized and uncolonized patches did not differ significantly with respect to patch size; however, patch size did influence the probability of extinction.

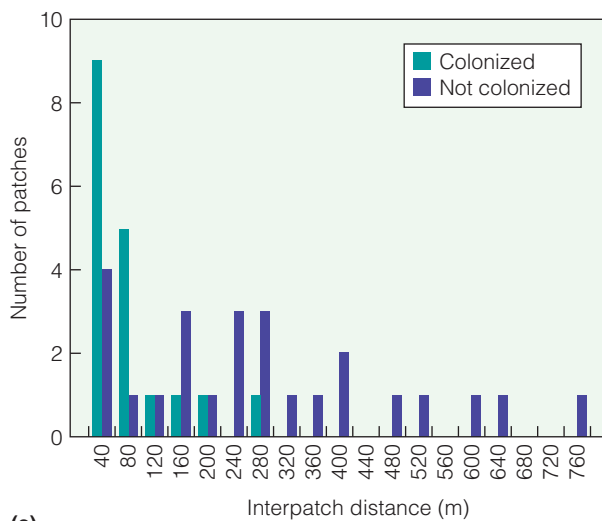




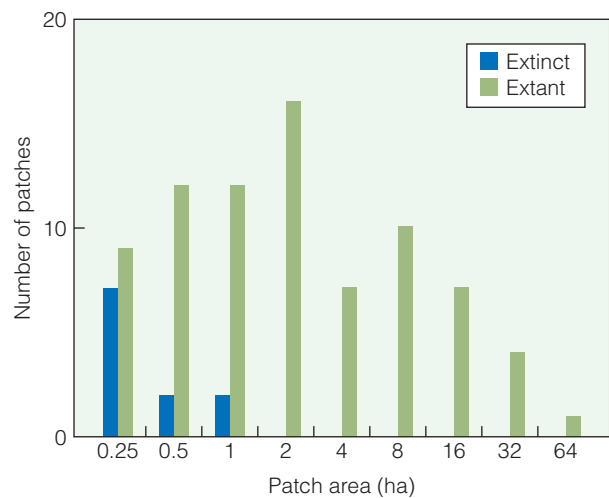
(a)



(b)



(c)



(d)

**Figure 12.4** The Swedish metapopulation of bush cricket (*Metrioptera bicolor*) (a) is located south of Lake Vombsjön, which is surrounded by two streams (b). Patches of grassland with local populations that did not become extinct during the period of study are shown in brown. Other areas in tan were occupied either occasionally or not at all during the survey period. (c) The frequency distribution of interpatch distance between colonized and uncolonized patches during the survey period. (d) The frequency distribution of areas of patches with existing (extant) populations and patches where the local population became extinct during the survey period.

(Adapted from Kindvall 1992.)

### INTERPRETING ECOLOGICAL DATA

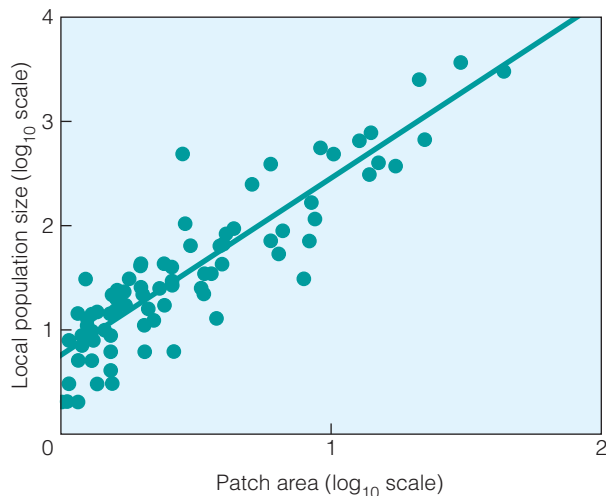
**Q1.** In Figure 12.4c, interpatch distance is a measure of the spatial isolation of the habitat patches from other patches of suitable habitat on the landscape. What is the maximum interpatch distance beyond which dispersal and colonization of bush crickets does not appear to be possible?

**Q2.** In Figure 12.4d, the total number of habitat patches in each size category ( $x$ -axis) that were occupied by bush cricket populations during the study period can be calculated by adding the values of occupied (extant) and extinct. Which size category of habitat patch has the highest value for occupancy during the study period? Which size category has the largest existing value for occupancy? What patch size appears to represent a threshold for the long-term persistence of local cricket populations?

From 1986 to 1990, a total of 18 local populations became extinct. Habitat destruction or alteration, such as grazing, house building, or the application of pesticides, caused six of these extinctions (see Sections 19.1 and 28.1). The other 12 extinctions occurred on patches displaying no noticeable change in habitat. These 12 patches were significantly smaller than those

with persisting populations (Figure 12.4d). The risk of local extinction apparently increases with decreasing patch size. The probability of local population extinction, however, appeared not to be influenced by patch isolation.

The influence of patch size on the persistence of local populations of bush cricket was found to be indirect, through



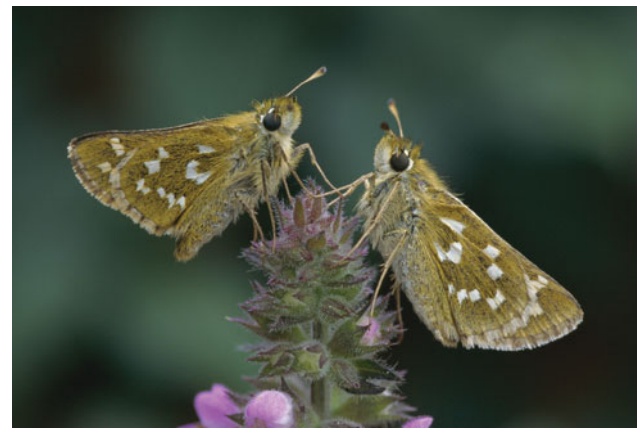
**Figure 12.5** Relationship between local population size and patch area in the metapopulation of bush crickets, *Metrioptera bicolor* (see Figure 12.4 for map of site). Values for both population size and patch have been log-transformed. The line represents the general trend as defined by the simple linear regression:  $y = 1.70x + 0.74$  ( $n = 83$ ,  $r^2 = 0.81$ ).

(Adapted from Kindvall 1992.)

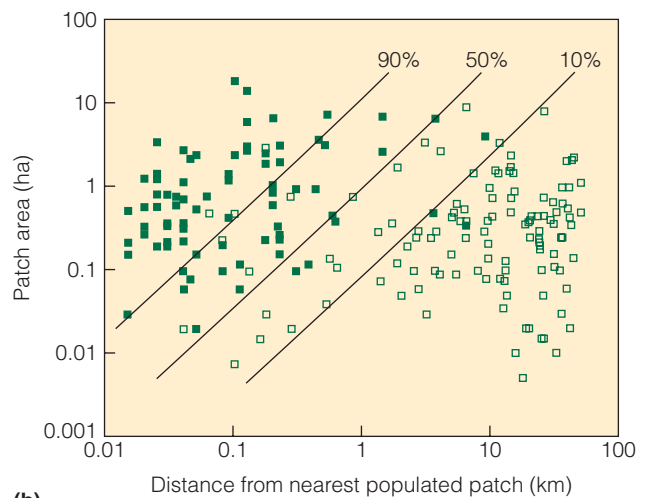
Go to [QUANTIFY it!](https://www.ecologyplace.com) at [www.ecologyplace.com](https://www.ecologyplace.com) to review linear regression.

the influence of patch area on the size of local populations. The researchers found a significant positive relationship between patch size and local population size (Figure 12.5). Data revealed that the risk of local extinction increases for a patch size of less than one-half hectare (Figure 12.4d), which corresponds to a critical population size of about 12 males (see Sections 9.10 and 29.6 for discussion of minimum viable population concept). In 1990, only 67 percent of the suitable patches were larger than one-half hectare; however, 79 percent of the habitat patches were occupied. The fraction of habitat patches occupied ( $P$ ) was maintained at a higher value than would be expected under isolation of patches as a result of recolonization after local extinction. The fraction of occupied patches ( $P$ ) was fairly constant during the survey period (five years); however, different patches were occupied each year.

As seen in the preceding example, both patch size and isolation influence local population dynamics. That is to say, metapopulation persistence depends simultaneously on patch size and isolation. The interaction between patch area and isolation is illustrated in the work of C. D. Thomas and T. M. Jones of Imperial College, England. Thomas and Jones examined patterns of extinction and colonization of patches of grassland habitat in the North and South Downs of southern England by the skipper butterfly (*Hesperia comma*; Figure 12.6a). The probability of local extinction declined with increasing patch area and increased with isolation. Conversely, the probability of colonization increased with patch area and declined with isolation from other local populations. The result, shown in Figure 12.6b, is a clear pattern of increasing probability of patch occupancy with declining isolation and increasing patch area, with increasing patch size compensating for increasing degree of isolation from neighboring populations.



(a)



(b)

**Figure 12.6** Distribution of occupied (solid) and vacant (open) habitat patches of the skipper butterfly (*Hesperia comma*) (a) in southern Britain (1991) in relation to patch area and isolation from the nearest populated patch. (b) Lines give the 90 percent, 50 percent, and 10 percent probabilities of occupancy. Note the compensatory effect of isolation and patch area on occupancy.

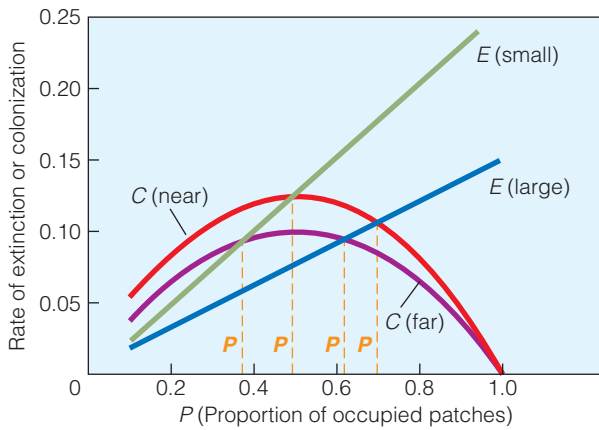
(Adapted from Thomas 1993.)

### INTERPRETING ECOLOGICAL DATA

The three lines in Figure 12.6b define the combined values of patch area and patch isolation (distance from nearest populated patch) that include 90 percent, 50 percent, and 10 percent of the occupied habitat patches (solid squares) on the landscape during the study period. Use these lines to answer the following questions.

- Q1.** What is the approximate probability that a 10-ha habitat patch 1 km from the nearest populated patch will be occupied by skipper butterflies?
- Q2.** What is the approximate area necessary to provide a 50 percent probability that a habitat patch 1 km from any neighboring populated patches will be colonized and occupied by a local population of skipper butterflies?

To explore how the factors of patch size and isolation interplay to influence the dynamics of patch occupancy ( $P$ ), we can use the graphical model of metapopulation growth presented in Figure 12.3. Isolation (distance from neighboring patches) decreases the probability of colonization ( $m$ ) and therefore decreases the rate of colonization ( $C$ ). Increasing



**Figure 12.7** Changes in the equilibrium proportion of habitat patches occupied ( $P$ ) for habitat patches of differing size (small and large) and isolation (near and far). Increasing patch size functions to decrease the rate of extinction ( $E$  large), and increasing distance from neighboring patches functions to decrease the rate of colonization ( $C$  far).

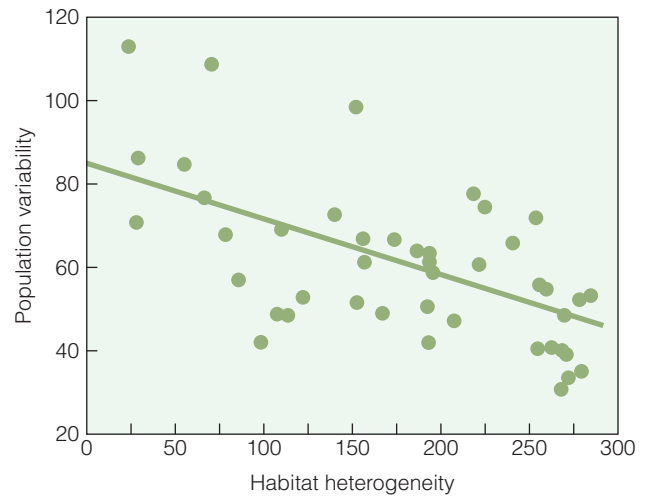
patch size decreases the probability of extinction ( $e$ ) and therefore the rate of extinction ( $E$ ). Shifts in the rates of colonization and extinction function to change the equilibrium value of  $P$  (proportion of patches occupied; Figure 12.7). An increase in isolation reduces the equilibrium value of  $P$ , and an increase in patch size increases the equilibrium value of  $P$ .

The compensatory effect between patch size and isolation can also be seen in Figure 12.7. Increasing isolation of habitat patches can be offset by an increase in the average patch size; conversely, a decline in the average patch size can be compensated by a reduced degree of isolation.

## 12.4 Habitat Heterogeneity Influences Local Population Persistence

Besides supporting larger local populations (greater carrying capacity), increasing patch size may influence the persistence of local populations by increasing the potential for environmental heterogeneity. Numerous studies have demonstrated that larger patches have the potential to be spatially more heterogeneous than smaller patches. As a result, larger patches may include habitat types lacking from smaller areas. An important consequence of such environmental heterogeneity is that when environmental conditions change, the essential resources (habitat or food) for a particular species might disappear entirely from small patches (though perhaps only temporarily). In larger areas, however, favorable conditions are more likely to remain somewhere within the patch. This hypothesis therefore predicts that increasing area reduces the risk of extinction by supporting greater habitat heterogeneity and thus reducing the impact of environmental stochasticity (see Section 9.8).

In his work on the dynamics of bush cricket metapopulation dynamics in Sweden (see previous section), Kindvall explored the role of habitat heterogeneity as a possible mechanism in reducing fluctuations in population densities within habitat patches.



**Figure 12.8** Relationship between temporal variability of population size and habitat heterogeneity for local populations of the bush cricket (*Metrioptera bicolor*) in the Lake Vombsjön metapopulation (see Figure 12.4). Population variability was measured by the coefficient of variation in population size through time. Habitat heterogeneity is an index measuring the spatial heterogeneity of vegetation cover types (e.g., dense, low grassland; tall grassland; sparse grassland on sandy soils). (Adapted from Kindvall 1996.)

The habitat requirements of the bush cricket are known to change in relation to weather conditions. Wet conditions have a depressing effect on both survival rate and fecundity, so that in extremely rainy years it is better for crickets to live in sites with sparse vegetation on sandy soils that dry quickly. But during periods of drought, the dense, tall grassland vegetation is beneficial for the survival of juveniles. As Figure 12.8 demonstrates, Kindvall found that habitat heterogeneity significantly affects temporal variability of local populations (as measured by the variation in population size over the study period). Populations inhabiting habitat patches that supported various types of vegetation cover (e.g., dense, low grassland; tall grassland; sparse grassland on sandy soils) exhibited less fluctuation in population densities and subsequently a lower probability of local extinction than did populations inhabiting more homogeneous patches.

The work of Oskar Kindvall shows that habitat heterogeneity can influence both the temporal variability of local populations and the probability of local extinction. For species susceptible to weather fluctuation, habitat heterogeneity becomes an important factor that determines the relative strength of environmental stochasticity acting on different local populations, and therefore the risk of extinction (see Section 9.11).

## 12.5 Some Habitat Patches May Function as the Major Source of Emigrants

The ecologists James Brown and Astrid Kodric-Brown of the University of New Mexico introduced the concept of **rescue effect** into the metapopulation literature. By *rescue effect*

they meant the increasing population size, and hence the decreasing risk of extinction that occurs with an increasing rate of immigration (see Section 9.11). The focus of their work was the colonization of islands by individuals from a mainland population. Although migration between islands occurs, the major source of immigrants to island populations is emigration from the mainland population. As with our discussion of colonization in the context of metapopulations, the rate of immigration to an island is influenced by its degree of isolation and declines with distance from the mainland. Assuming the mainland population is extremely large relative to the island populations, species will not go extinct from the network of islands as long as there is some dispersal (emigration) from the mainland.

The **mainland–island metapopulation structure** is unique in that a single habitat patch (the mainland) is the dominant source of individuals emigrating to the other habitat patches within the metapopulation network. Many populations have an essentially similar mainland and island structure, owing to high variation in the sizes of habitat patches or populations. For a system to have mainland–island dynamics, there need not be a single mainland of extreme size. Substantial variation in patch or population size can produce the same effect. An example is the Morgan Hill population of the bay checkerspot butterfly (*Euphydryas editha bayensis*) in central California, studied by Susan Harrison and colleagues at Stanford University (Figure 12.9). One very large and apparently persistent “mainland” population dominates this metapopulation, with transient “island” populations found nearby in small habitat patches. Local extinctions will tend to strike the smallest populations, which have the least impact on the overall persistence of the

metapopulation. Differences in habitat quality among patches, rather than patch or population size, may produce a similar rescue effect. Ronald Pulliam of the University of Georgia proposed the idea that dispersal from **source populations** in high-quality habitat may permit **sink populations** to exist in inferior habitat. Source populations are defined by their ability to maintain a positive growth rate ( $r > 0$ ), whereas sink populations cannot support positive population growth ( $r < 0$ ), because of their poor quality.

Ecologists also refer to **source and sink habitats**. The source–sink scenario reinforces the point that the presence and size of a population in a habitat patch might give an entirely misleading idea of environmental conditions in the patch. Sink populations may be persistent or even large due to high rates of immigration from the source populations, even though they cannot sustain a positive growth rate through reproduction.

An extreme example of a source–sink metapopulation is the metapopulation of the checkered white butterfly (*Pieris protodice*) in the Central Valley of California, studied by Arthur Shapiro of the University of California, Davis. The source population occupies a unique riparian habitat along the east side of the Sacramento Valley (patches of vegetation that occur on dredge tailings created by mining activity in the valley during the early 1900s), which is the only part of the regional geographic range where the butterfly can overwinter successfully. In most years, breeding colonies may be found far from the source population, but most breeding colonies do not persist into a second season. Reestablishment of colonies the following spring is dependent on emigration from the source population inhabiting the dredge tailings.



**Figure 12.9** Habitat for the bay checkerspot butterfly (*Euphydryas editha bayensis*) metapopulation is fragmented due to both natural and human-induced factors. The shaded areas are patches of serpentine grasslands: the butterfly’s habitat. The largest population occupies the Morgan Hill area. Only those patches closest to the Morgan Hill area (marked by arrows) are usually occupied, suggesting that the butterfly is a poor disperser. Extinctions and recolonizations of smaller patches are common.

(Adapted from Harrison et al. 1988.)

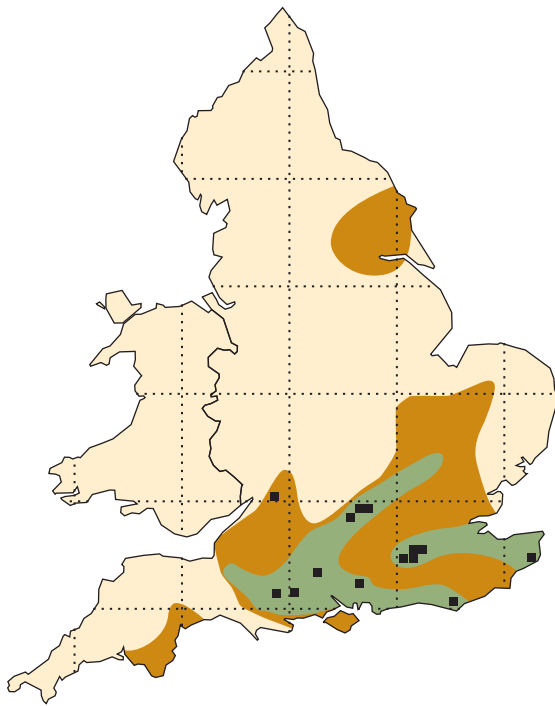
## 12.6 Certain Factors Can Function to Synchronize the Dynamics of Local Populations

Asynchronous dynamics of local populations is a key factor in the persistence of a metapopulation (see Section 12.1). When the chance of extinction is completely independent in each local population, the probability of metapopulation extinction rapidly declines with increasing number of local populations. If extinction probabilities are correlated, however, metapopulations with even large numbers of local populations may be susceptible to extinction.

A number of demographic and environmental factors can potentially synchronize the dynamics of local populations. Environmental stochasticity often operates at a regional scale (see Section 10.11). Weather, for example, causes insect populations to fluctuate in synchrony over broad geographic areas, and a single drought or freeze may eliminate multiple local populations. Paul Ehrlich and colleagues at Stanford University documented the effects of a regional drought during the years 1975–1977 on butterfly populations in central California. The researchers found the synchronized extinction of local populations in response to a dramatic decline in a particular species of annual flowering

plant that is an essential food resource for the butterflies. Odette Sutcliffe and colleagues of the University of Leeds observed a similar synchronization of local population extinction for the ringlet butterfly (*Aphantopus hyperantus*) in eastern England (Monk Wood National Nature Reserve, Cambridgeshire) during a period of severe regional drought. Such regional stochasticity reduces the likelihood of persistence for metapopulations. In turn, it increases the potential importance of other mechanisms that enable persistence, such as refuge habitats (as in the case of habitat heterogeneity and the bush cricket; see Section 12.4) or resistant or dormant life stages (see Section 7.12).

During longer time periods, landscape and habitat changes that cause deterministic extinctions have often been relatively synchronized over larger areas. The work of C. D. Thomas of Imperial College provides an example of regional scale synchronization of local populations resulting from a large-scale change in habitat availability. At the beginning of the 20th century, the skipper butterfly (*Hesperia comma*) inhabited most of the chalk grasslands of southern England (Figure 12.10). Despite its extensive geographic distribution,



**Figure 12.10** Decline of the skipper butterfly (*Hesperia comma*) in England during the 20th century. Brown shading represents the pre-1920 range. The skipper butterfly was recorded in all calcareous outcrops within this range. Green shading represents the known range between 1920 and 1961. The current distribution, shown as black squares, consists of a complex of refuges (as of 1982 census). The decline in distribution is due to the conversion of native grasslands to pasture and agricultural fields and the reduction of grazing in remaining native grasslands after the introduction of the myxomatosis virus (used to control rabbit populations) in the mid-1950s. (Adapted from Thomas 1993.)

the skipper butterfly has specific ecological requirements. It occurs only on heavily grazed, calcareous grasslands where the turf is short and sparse. The conversion of lands to agriculture and a reduction in the stocking of lands with domestic animals led to a gradual decline of the skipper butterfly in the first half of the century, with a retraction of the distribution southward (Figure 12.10). But grazing by previously introduced rabbits assured that many of the remaining grasslands did not become overgrown, and the species survived throughout the southern portion of its distribution. When the myxomatosis virus was introduced in England in 1954 to control rabbit populations, the calcareous grasslands became overgrown and the shortgrass habitats disappeared throughout England. The skipper butterfly showed a period of rapid decline and is now found only in those areas (habitat refuges) where domestic grazing continues (Figure 12.10).

Changes in land-use practices, such as the conversion of natural ecosystems to agricultural or forest production, usually occur over very large areas in a relatively short time, causing widespread changes in the fortunes of associated species (Chapters 19 and 28 discuss habitat fragmentation and species extinction in more detail).

## 12.7 Species Differ in Their Potential Rates of Colonization and Extinction

Thus far, we have explored the influence of the physical environment on the potential rates of extinction and colonization of habitat patches within a metapopulation. Species, however, differ widely in their susceptibility to local extinction and their ability to colonize available habitats. An important component determining a species' ability to colonize new areas is dispersal rate, which varies greatly among species and is clearly the result of natural selection.

General life history classifications, such as *r*- and *K*-species or the ruderal–competitive–stress tolerant classification of plants put forward by Philip Grime consider dispersal ability as a key characteristic of species adapted to ephemeral or disturbance-prone habitats (see Section 10.10). Frequent dispersal is common in insects that occupy temporary habitats or where variation in local carrying capacity is large. In contrast, less emigration occurs in stable or isolated habitats. In analyzing the evolution of flightlessness in insects, Derek Roff of McGill University in Montreal showed that flightlessness is associated with decreased environmental heterogeneity and habitat persistence.

High fecundity has also been associated with higher rates of dispersal. In a survey of ecological characteristics of British angiosperms, Helen Peat and Alastair Fitter of the University of York (England) found that species with wind-dispersed seeds produced more seeds than did species with no specialized dispersal mechanisms. Wind dispersal is an adaptation that permits long-distance dispersal, whereas mean dispersal distances tend to be small—often measured in centimeters—for species with unspecialized dispersal.

Mode of reproduction can also influence rates of dispersal and colonization. Plant species that reproduce asexually tend to have relatively high rates of growth and subsequent lower rates of local extinction. However, the asexual mode of reproduction through the production of ramets can reduce dispersal ability and thus the ability to colonize new habitats (see Section 8.1, Figure 8.1).

The relationship between body size and home range size directly influences population density (individuals/unit area) presented (Section 11.9, Figure 11.10). Smaller organisms can potentially support higher population densities per unit area than can species having a larger body size. Because the most likely cause for frequent local extinctions is simply that many local populations are small and hence vulnerable to stochastic extinction small body size might well reduce the probability of extinction by allowing for a larger potential population size for a given area of habitat (patch size; see Section 9.10). This relationship also directly influences the minimum patch size necessary to support a viable local population (patch occupancy). Larger species require a larger minimum patch size to support a viable population.

In other circumstances, body size can increase the susceptibility of a species to extinction. In endothermic species, mass-specific metabolic rate increases exponentially with decreasing body mass (higher mass-specific metabolic rate in smaller species; see Section 7.10, Figure 7.15). The high mass-specific metabolic rate results in a shorter period to starvation for smaller species during periods of resource shortage, making smaller species potentially more susceptible to environmental stochasticity. Anu Peltonen and Ilkka Hanski of the University of Helsinki surveyed the presence of three species of shrews (*Sorex araneus*, *S. caecutiens*, and *S. minutus*) on 108 islands in 3 lakes in Finland. Their results demonstrated that on islands less than 8 ha in size, the probability of extinction varied significantly between species and was negatively correlated with body size. The smallest species, *S. minutus*, had the highest rate of extinction as a result of increased sensitivity to environmental stochasticity and variations in food supply.

Correlations have also been identified between mode of temperature regulation and susceptibility to extinction from environmental stochasticity. Generally, smaller ectothermic animals, such as insects, are more affected by environmental anomalies (e.g., drought, extreme temperature events, ice storms, etc.) than larger endothermic animals, giving rise to greater temporal variations in population size. In a review of the studies examining the population dynamics of 91 species of terrestrial vertebrates (mammals, birds, and lizards) and 99 species of terrestrial arthropods (moths, aphids, hoverflies, grasshoppers, etc.), Hanski found that vertebrates have generally less-variable populations and a greater degree of density dependence than do the invertebrate populations examined.

In general, virtually all aspects of a species' life history can influence demographic processes, either directly or indirectly, and can subsequently influence metapopulation dynamics. We will revisit this topic when we explore patterns of species diversity at the landscape scale (Chapter 19).

## 12.8 The Concept of Population Is Best Approached by Using a Hierarchical Framework

The classic definition of a *population* as “a group of organisms of the same species occupying a particular area at a particular time” is so general that it could describe everything from a group of individuals occupying a single patch of habitat (local population) to all members of the species within its geographic range (see the introduction to Part Three). Ecologist Edward O. Garton has proposed a more useful framework for the concept of population; it is based on a series of hierarchical spatial units containing groupings of individuals significant for our understanding, estimation, and prediction of future population conditions. Four levels of spatial aggregation that we have discussed thus far in the text provide an understanding of the role of population in the processes of demography, movement, geographic distribution, and evolution.

The smallest group of individuals that we have discussed is the local population. The local population is the spatial unit for which it is reasonable to estimate the rates of birth, death, emigration, and immigration (the focus of our discussion in Chapter 9). Individuals in this grouping are ideally distributed continuously within a single, contiguous patch of habitat.

The second spatial unit is the metapopulation—the focus of this chapter. This spatial unit represents a collection of local populations within sufficient proximity that dispersing individuals can readily colonize empty habitat patches resulting from local population extinction. Local populations within a single metapopulation may or may not show correlations in demographic rates, but the low rates of dispersal are sufficient to maintain substantial gene flow among populations and, therefore, to preserve genetic similarity.

The third spatial unit is the subspecies (presented in Chapter 5, Section 5.7). This spatial unit represents a collection of metapopulations in a geographic region, where local and metapopulations occupy habitat patches that may be separated by large distances or by large areas of unsuitable habitat, resulting in substantial demographic independence among metapopulations. The physical isolation of the metapopulations can result in a divergence in natural selection and the evolution of distinct subspecies (a taxonomic term for populations of a species that are distinguishable by one or more characteristics). Rare dispersal, however, maintains some gene flow and genetic similarity among these potentially interbreeding populations. The salamander *Plethodon jordani* in the southern Appalachian Mountains is an example of a population unit at this spatial scale (see Figure 5.14). This species is broken into several subspecies that form semi-isolated populations in this landscape of rugged terrain.

The fourth spatial unit is the species: the collection of subspecies encompassing the entire distribution and geographic range of the species (see Section 8.2).

Differentiating among these different spatial scales is essential to our understanding of the processes driving population dynamics, both demographically and genetically.

## Summary

### Conditions for Metapopulation 12.1

When the population of a species consists of spatially discrete subpopulations, the collective of subpopulations is termed a *metapopulation*. Four conditions are necessary for the term *metapopulation* to be applicable to a system of local populations: (1) discrete habitat patches, (2) substantial risk of extinction, (3) migration and recolonization after local extinction, and (4) asynchronous dynamics. Under this set of conditions, processes operating at two distinct spatial scales govern the dynamics of the metapopulation. At the metapopulation or regional scale, which encompasses the set of local populations (patches) that compose the metapopulation, population dynamics are governed by the interaction of local populations—namely, the process of migration and colonization.

### Extinction and Colonization 12.2

Metapopulation persistence is a dynamic balance between the extinction and recolonization of empty habitat patches. For local extinction to occur, populations on separate patches must be reasonably isolated from one another, with most recruitment coming from within the patch (birth) rather than from immigration. Colonization involves the movement of individuals from occupied patches to unoccupied patches to form new local populations. The persistence of the metapopulation, therefore, depends on the balance between the rates of local extinction and the (re) colonization of unoccupied patches. If rates of immigration are high in comparison to local rates of extinction, the local populations will function as a single continuous population. In contrast, if the colonization rate is not sufficient to offset the local extinction rate, the metapopulation will not persist.

### Patch Size and Isolation 12.3

The ability of individuals to disperse between habitat patches is directly related to their spatial arrangement on the landscape. The rate of colonization declines with increasing isolation (distance from adjacent patches). The risk of local extinction increases with decreasing patch size. A major cause of the inverse relationship between patch size and probability of local extinction is that small patches support smaller populations, and small population size increases the probability of extinction due to demographic and genetic stochasticity.

Patch area and isolation can have a compensatory effect on the probability of patch occupancy (size of metapopulation). Reduced isolation can compensate for patch size through increased rates of recolonization. Conversely, larger patch size and associated decrease in probability of extinction can function to compensate for patch isolation (reduced probability of colonization).

### Habitat Heterogeneity 12.4

Increasing patch size may also influence the persistence of local populations by increasing the potential for environmental heterogeneity. An important consequence of such environmental

heterogeneity is that when environmental conditions change, the essential resources for a particular species might disappear entirely from small patches; in larger areas, however, favorable conditions are more likely to remain somewhere within the patch.

### Rescue Effect 12.5

The role of immigration in maintaining the persistence of local populations that would otherwise go extinct has been termed the rescue effect, most noted in island populations. Assuming the mainland population is extremely large relative to the island populations, species will not go extinct from the network of islands as long as there is some migration from the mainland. Many populations have an essentially similar mainland and island structure owing to high variation in the sizes of habitat patches or populations.

Differences in habitat quality among patches, rather than patch or population size, may produce a similar rescue effect, giving rise to source and sink populations (or habitat patches). Source populations are defined by their ability to maintain a positive growth rate ( $r > 0$ ), whereas sink populations cannot support positive population growth ( $r < 0$ ) because of their poor quality.

### Synchronized Dynamics 12.6

Asynchronous dynamics of local populations is a key factor in the persistence of a metapopulation. When the chance of extinction is completely independent in each local population, the probability of metapopulation extinction rapidly declines with increasing number of local populations. If extinction probabilities are correlated, however, metapopulations with even large numbers of local populations may be susceptible to extinction. Some demographic and environmental factors, including weather and changes in patterns of land use, can potentially synchronize the dynamics of local populations.

### Species Characteristics 12.7

Species differ widely in their susceptibility to local extinction and their ability to colonize available habitats. Species life history characteristics such as mode of reproduction, fecundity rate, dispersal mechanism, and longevity can influence rates of dispersal and colonization. Likewise, characteristics such as body size and mode of thermal regulation have been correlated with probability of local extinction, particularly under conditions of environmental stochasticity.

### Hierarchical Concept of Population 12.8

Four levels of spatial aggregation provide an understanding of the role of population in the processes of demography, movement, geographic distribution, and evolution: (1) local population (demography), (2) metapopulation (movement), (3) subspecies (evolution), and (4) the species' geographic distribution (geography).

## Study Questions

1. Define *metapopulation*. How does this concept relate to the spatial distribution (dispersion) of the population over a geographic region?
2. What four conditions are necessary for the term *metapopulation* to be applied to a system of local populations?
3. Discuss the role of local extinction and colonization on metapopulation dynamics. How does the rate of immigration influence the degree to which the dynamics of the local populations are synchronized? What effect does the synchronicity of local dynamics have on metapopulation persistence?
4. How do the size and spatial arrangement of habitat patches (local populations) influence metapopulation dynamics? Discuss in terms of probabilities of extinction and colonization.
5. How does habitat heterogeneity influence the dynamics of local populations? What does this imply about the importance of habitat heterogeneity among habitat patches?
6. What is the rescue effect? Under what set of conditions might this effect be important?
7. Contrast the terms *source population* and *sink population*. What feature(s) of a population define it as being a potential source or sink?
8. How does the concept of source and sink population relate to habitat quality?
9. What environmental factors might function to synchronize local population dynamics over a region?

## Further Readings

- den Boer, P. 1981. On the survival of populations in a heterogeneous and variable environment. *Oecologia* 50:39–53.  
An early and important paper that examines the importance of the metapopulation concept in understanding the persistence of populations in variable environments.
- Hanski, I., and M. Gilpin. 1991. Metapopulation dynamics: Brief history and conceptual domain. *Biological Journal of the Linnean Society* 42:3–16.  
This paper is an excellent overview of the research areas relating to the study of metapopulations.
- Hanski, I. 1999. *Metapopulation ecology*. New York: Oxford University Press.  
The definitive reference text written by a leading scientist in the study of metapopulations. Provides a wealth of illustrated examples relating to topics covered in this chapter.
- Holyoak, M., and C. Ray. 1999. A road map for metapopulation research. *Ecology Letters* 2:273–275.  
Excellent discussion of the role of metapopulation research in conservation ecology.
- Pulliam, R. 1988. Sources, sinks, and population regulation. *American Naturalist* 132:652–661.  
The initial work that developed the idea of source and sink populations (and habitats).



# Species Interactions

**Chapter 13** Species Interactions, Population Dynamics, and Natural Selection p. 238

**Chapter 14** Interspecific Competition p. 251

**Chapter 15** Predation p. 273

**Chapter 16** Parasitism and Mutualism p. 298

In the *Eucalyptus* woodlands of eastern Australia, among the dead leaves on the forest floor, lives a small, nondescript orchid. Standing only 8–12 cm tall and bearing only a single greenish-brown flower approximately 20 mm wide, the broad-lipped bird-orchid (*Chiloglottis trapeziformis*) is a study in contrast from its bright and ostentatious relatives. Despite its nondescript appearance, the sex life of the broad lipped bird-orchid is a fascinating story of deception and exploitation.

In most flowering plants, successful reproduction requires that pollen (male gametes) from one individual be transported to the female organ (stigma) of another flowering individual, and orchids, like many other flowering plants, depend upon a variety of animal species to carry out this process of pollination. The great majority of animal-pollinated plant species secure the services of their animal pollinators by providing food rewards such as nectar (see Section 13.3 for detailed discussion of pollination), but the broad-lipped bird-orchid is an exception. It secures the services of its animal pollinator by an amazing act of deception.

The broad-lipped bird-orchid is pollinated by males of a single species of thynnine wasp (*Neozeleboria cryptoides*). The much smaller female wasps are wingless, so during the breeding season they crawl up the stem of a plant, secure an elevated perch and then release a sex pheromone (chemical signal) from glands in their head that functions to attract male wasps. At the same time, males are flying in search of the odor of a receptive female. This is where the act of deception by the orchid enters the story. The flower of the orchid produces a chemical compound (allomones) that mimics the pheromones released by female wasps. In addition, the enlarged bottom petal of the flower (called the labellum) has a structure that closely resembles the wingless female wasp. The result is that male wasps are attracted to the orchid flower by the odor, and thinking that the floral structure is a female wasp, attempt to mate. In doing so, contact is made with the anther and stigma, both of which are located above the structure. Pollen from the anther is

deposited on the back of the male wasp and/or pollen from previously visited orchids is deposited on the stigma. Realizing the mistake, the male wasp continued his search, transferring pollen to other orchids in the process.

Once successful pollination occurs, the orchids produce seeds and a new chapter in this story of deception and exploitation begins. Orchids produce the smallest seeds of any flowering plant, and unlike other plant species, these minute seeds lack an endosperm, or food source (see Chapter 6, Field Studies: Kaoru Kitajima, for detailed discussion of seed morphology). In other plant species, the endosperm provides the basic carbon and nutrient resources for the seed to develop after germination, until such time that it can produce leaves and begin the process of photosynthesis. Lacking an endosperm, the germination of broad-lipped bird-orchid seeds require the assistance of yet another unwitting partner: a specific soil fungus. It is believed that the seeds release a chemical compound that functions to attract certain species of fungi. Once the fungus is attached to the seed, it penetrates the seed coat. When this connection is made, organic carbon and other nutrients begin to pass from the fungus to the seed. The fungus continues to supply the developing seed with all its organic energy until the developing plant begins to photosynthesize. Many species of plants develop this type of symbiotic relationship with soil fungi, but in all other cases the relationship is very different from that of the fungi and the developing orchid seed. The plant gains the benefits of the fungi's higher absorptive capacity for water and mineral nutrients, thus providing additional soil nutrients, however, this increased supply of water and nutrients comes at a cost. The fungus extracts carbohydrates, such as glucose and sucrose, from the plant. The orchid, however, has developed a mechanism for blocking the flow of carbohydrates to the fungi. The relationship between the orchid and the fungi is one of exploitation in which the orchid derives its needed resources from the fungi, yet the fungi appears to gain nothing from the association.



The broad-lipped bird-orchid (*Chiloglottis trapeziformis*).

The story of the reproductive cycle of the broad-lipped bird-orchid serves to illustrate two very important ecological concepts. First, individuals of the various species of plants and animals found within an ecological community interact with each other in a variety of ways. In the example of the broad-lipped bird-orchid and its relationships with the thynnine wasp and fungi, the interaction is one of exploitation, benefiting only the orchid. The interactions provide critical services and resources necessary for the successful reproduction of the orchid. As such, the population dynamics of the orchid species cannot be understood outside the context of these interactions. Secondly, the characteristics exhibited by the broad-lipped bird-orchid that enable these interactions represent adaptations, phenotypic traits that are the products of natural selection. In this case, the agents of selection are not features of the physical environment but the interactions with the other species.

Here, we will examine the diversity of species interactions, their influence on demographic processes, and their role as agents of natural selection. We will begin by developing a simple classification of species



A male thynnine wasp (*Neozeleboria cryptoides*) at the base of the orchid flower. The black-colored structure on the bottom petal of the flower closely resembles the much smaller, wingless female wasp. The anther and stamen of the orchid flower are located just above the black-colored structure, under the top petal.

interactions and a general framework for understanding how these interactions influence demographic processes and function as agents of natural selection (Chapter 13). In the chapters that follow we will then explore specific interactions in more detail. We will explore the process of interspecific competition, building on the conceptual and mathematical models of competition within populations that we developed earlier (Chapter 11, see also Chapter 14). Then, we will examine the process of predation, including carnivory (animals eating animals) as well as herbivory (animals eating plants and algae) (Chapter 15). We will develop a model of the interaction between predator and prey that suggests a mutual regulation of populations, giving rise to a pattern of predictable population cycles through time. Finally, we will explore the relationship between parasites and their hosts and examine how, through the process of natural selection, antagonistic relationships sometimes evolve into interactions that are mutually beneficial to the species involved (Chapter 16). The discussion of species interactions in these chapters will form the foundation for our examination of ecological communities later.

# Species Interactions, Population Dynamics, and Natural Selection



In the species interaction known as brood parasitism, female birds of one species lay their eggs in an active nest of another bird species. When the eggs hatch, the adoptive parents feed and care for the nestlings. In this example, a Reed Warbler (*Acrocephalus scirpaceus*) is feeding a Common Cuckoo (*Cuculus canorus*) chick, which hatched from an egg deposited in the Warbler nest by a female Cuckoo.

- 13.1** Species Interactions Can Be Classified Based on Their Reciprocal Effects
- 13.2** Species Interactions Influence Population Dynamics
- 13.3** Species Interactions Can Function as Agents of Natural Selection
- 13.4** The Nature of Species Interactions Can Vary Across Geographic Landscapes
- 13.5** Species Interactions Can Be Diffuse
- 13.6** Species Interactions Influence the Species' Niche
- 13.7** Species Interactions Can Drive Adaptive Radiation

**T**hus far, we have examined interactions among organisms only as they pertain to individuals within the same population or species (intraspecific interactions). We examined interactions among individuals within the population that relate to reproduction (selecting mates and care and defense of offspring, Chapter 10). We also examined how competition for limited resources among individuals within a population can function to limit population growth (Chapter 11). But species cannot be viewed in isolation. Species occupying the same physical area—be it a lake, stream, forest, or field—interact in many ways. Central to these interactions is the need to acquire the basic resources necessary for growth and reproduction. Although different plant species that co-occur within a habitat may differ in their specific needs for certain essential nutrients or in their ability to continue the process of assimilation as resources become limiting all plants require the same resources of water, light, carbon dioxide, and other essential nutrients (see Chapter 6, Table 6.1). As a result, competition for these resources within a habitat can become intense, with their acquisition by individuals of one species reducing availability to individuals of other species.

Among heterotrophic organisms, the range of potential interactions expands. Because heterotrophic organisms derive their energy and nutrients from consuming organic matter, the very act of feeding involves interaction between species: interaction between predator (the consumer) and prey (the consumed). When different predator species feed on the same species as prey, there is also the potential for competition.

For some species, other organisms provide habitat as well as nourishment. Many microorganisms, such as bacteria and fungi, take up residence in or on other organisms. They draw their energy and nutrient needs from the host, hopefully without killing the source of resources that they depend on. This is the interaction between parasite and host.

Not all interactions among species are negative (i.e., involving winners and losers). Interactions that are mutually beneficial to the parties involved are ubiquitous and relate to nutrition, shelter, defense, and reproduction.

In this chapter, we develop a simple classification of species interactions based a qualitative description (neutral, positive, or negative) of how individuals of one population influence individuals of the population with which they are interacting. We then show how species interactions influence the probabilities of survival and reproduction of individuals within each population. Finally, we explore how species interactions influence the respective populations (species) at two timescales: (1) by influencing the demographic processes of birth and death, interspecific interactions play a central role in population dynamics; and (2) by differentially influencing the survival and reproduction of individuals within the population, these same interactions can function as agents of natural selection. In functioning as agents of natural selection, species interactions play a central role in the process of evolution. In the chapters that follow we will examine specific interactions in more detail, developing quantitative models of how interactions between two species influence their linked population dynamics.

## 13.1 Species Interactions Can Be Classified Based on Their Reciprocal Effects

If we designate the positive effect of one species on another as +, a detrimental effect as −, and no effect as 0, we can use this qualitative description of the different ways in which populations of two species interact to develop a classification of possible interactions between two co-occurring species (Table 13.1). When neither of the two populations affects the other, the relationship is (00), or neutral. If the two populations mutually benefit, the interaction is (++), or positive, and the relationship is termed **mutualism** (Chapter 16). When one species maintains or provides a condition that is necessary for the welfare of another but does not affect its own well being, the relationship (+0) is termed **commensalism**. For example, the trunk or limb of a tree provides the substrate on which an epiphytic orchid grows (Figure 13.1). The arrangement benefits the orchid, which gets nutrients from the air and moisture from aerial roots, while the tree is unaffected.

When the relationship is detrimental to the populations of both species (−−), the interaction is termed **competition** (Chapter 14). In some situations, the interaction is (−0). One species reduces or adversely affects the population of another, but the affected species has no influence in return. This relationship is **amensalism**. It is considered by many ecologists as a form of asymmetric competition, such as when taller plant species shade smaller stature species.

Relationships in which one species benefits at the expense of the other (+−) include predation, parasitism, and parasitoidism (see Chapter 15 for more on predation; and Chapter 16 for more on parasitism and parasitoidism). **Predation** is when one organism feeds on another, typically killing the prey. Predation always has a negative effect on the individual prey. In **parasitism**, one organism feeds on the other, rarely killing it outright. The two, parasite and host live together for some time. The host typically survives, although its fitness is reduced. **Parasitoidism**, like predation, kills the host eventually. Parasitoids, which include certain wasps and flies, lay eggs in or on the body of the host. When the eggs hatch, the larvae feed upon it. By the time the larvae reach the pupal stage, the host has succumbed.

**Table 13.1** Population Interactions between Individuals of Two Species (A and B)

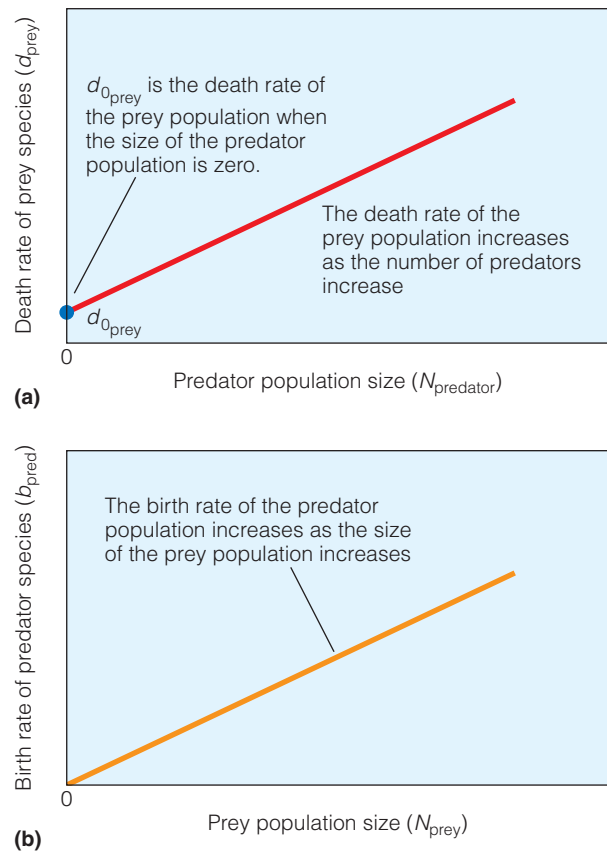
Type of Interaction	Response	
	Species A	Species B
Neutral	0	0
Mutualism	+	+
Commensalism	+	0
Competition	−	−
Amensalism	−	0
Predation	+	−
Parasitism	+	−
Parasitoidism	+	−



**Figure 13.1** The trunk or limb of a tree provides the substrate on which an epiphytic orchid grows. This is an example of commensalism (+0) in which the orchid benefits from the interaction while the effect on the tree is neutral.

## 13.2 Species Interactions Influence Population Dynamics

The varieties of species interactions outlined in the previous section typically involve the interaction of individual organisms. A predator captures a prey or a bacterium infects a host organism. Yet through their beneficial or detrimental effects on the individuals involved, these interactions influence the collective properties of birth and death at the population level, and in doing so influence the dynamics of the respective populations. For example, by capturing and killing individual prey, predators function as agents of mortality. We might therefore expect that as the number of predators ( $N_{\text{predator}}$ ) in an area increases, the number of prey captured and killed will likewise increase. If we use the same approach presented earlier, of expressing the population mortality rate as the proportion of individuals in the population that die over a given time interval, then we can represent the influence of changes in the predator population ( $N_{\text{predator}}$ ) on the mortality rate of the prey population ( $N_{\text{prey}}$ ) (Figure 13.2a and Chapter 10, Section 10.1). As the number of predators in the population ( $N_{\text{predator}}$ ) increases, the proportion of the prey population that is captured and killed (per unit time) increases. Subsequently, the mortality rate of the prey population increases. The net effect is a decline in the growth rate of the prey population. Note the similarity in the functional relationship presented in Figure 13.2a with the example of density-dependent population control presented earlier (Chapter 11, Figure 11.1). Previously, we examined how an increase in



**Figure 13.2** (a) Relationship between predator population size ( $N_{\text{predator}}$ ) and the rate of mortality ( $d_{\text{prey}}$ ) for the prey population. The prey population has a rate of mortality in the absence of predators ( $d_0$ ). As the predator population increases, the probability of an individual prey being captured and consumed increases. The result is an increase in the mortality rate of the prey population as the number of predator population increases. (b) Relationship between the birth rate of predator population ( $b_{\text{pred}}$ ) and the size of the prey population ( $N_{\text{prey}}$ ). As the size of the prey population increases, the rate at which individual predators are able to capture and consume prey increases. This increase in food supply results in an increase in the reproductive output of individual predators and subsequently an increase in the birth rate of the predator population.

population size can function as a negative feedback on population growth by increasing the mortality rate or decreasing the birth rate (density-dependent population regulation) (Section 11.2 and Figure 11.4). The relationship shown in Figure 13.2a expands the concept of density-dependent population regulation to include the interaction between species. As the population of predators increase, there will be a subsequent decline in the population of prey as a direct result of their increased rate of mortality.

A similar approach can be taken to evaluate the positive effects of species interactions. Staying with the example of predation, whereas the net effect of predation on the prey is negative, the predator benefits from the capture and consumption of prey. Prey provide basic food resources to the predator, and directly influence its ability to survive and reproduce. If we assume that the ability of a predator to capture and kill prey increases as the number of potential prey increase ( $N_{\text{prey}}$ ), and that the reproductive fitness of a predator is directly related to its

consumption of prey, then we would expect the birth rate of the predator population to increase as the size of the prey population increases (Figure 13.2b). The result is a direct link between the availability of prey (size of the prey population:  $N_{\text{prey}}$ ) and the growth rate of the predator population ( $dN_{\text{predator}}/dt$ ).

When individuals of two species share a common limited resource, resource limitations can influence the probability of survival and reproduction for individuals of both species. We developed a model of intraspecific competition and density-dependent population regulation using the concept of carrying capacity ( $K$ ) (Chapter 11). You will recall that  $K$  represents the population size at which the growth rate ( $dN/dt$ ) is equal to zero, or the birth and death rates are equal. It represents the maximum sustainable population size that can be supported by the available resources. The carrying capacity functions to regulate population growth in that if the population size exceeds  $K$ , population growth is negative (death rate exceeds birth rate) and the population size declines. When individuals of two different species ( $N_1$  and  $N_2$ ) share a common limiting resource that defines the carrying capacity for each population ( $K_1$  and  $K_2$ ), the potential exists for competition between individuals of the two species (interspecific competition). Thus the population density of both species must be considered when evaluating the role of density-dependent regulation on each population. For example, when evaluating the growth of species 1 using the model of logistic population growth ( $dN_1/dt = r_1N_1((K_1 - N_1)/K_1)$ ) we must consider the combined populations of both species 1 and species 2 relative to species 1's carrying capacity since individuals of species 2 are also consuming the shared resource determining  $K_1$ . This is accomplished by using a factor ( $\alpha$ ) that equates (converts) individuals of species 2 to individuals of species 1 as relates to the consumption of the shared, limited resource ( $dN_1/dt = r_1N_1((K_1 - N_1 - \alpha N_2)/K_1)$ ). We explore this approach in more detail later, where we develop a combined model of population growth for two competing species using the logistic model of population growth developed in our earlier discussion (Chapter 14, using Chapter 11 as a starting point).

In all cases where individuals of two species interact, the nature of the interaction can be classified qualitatively as neutral, positive, or negative, and the influence of the specific interaction can be evaluated in terms of its impact on the survival and/or reproduction of individuals within the populations. In the discussion that follows we develop quantitative models to examine how the diversity of species interactions outlined in Table 13.1 influence the combined population dynamics of the species involved (Chapters 14, 15, and 16). In all cases, these models involve quantifying the per capita effect of interacting individuals on the birth and death rates of the respective populations.

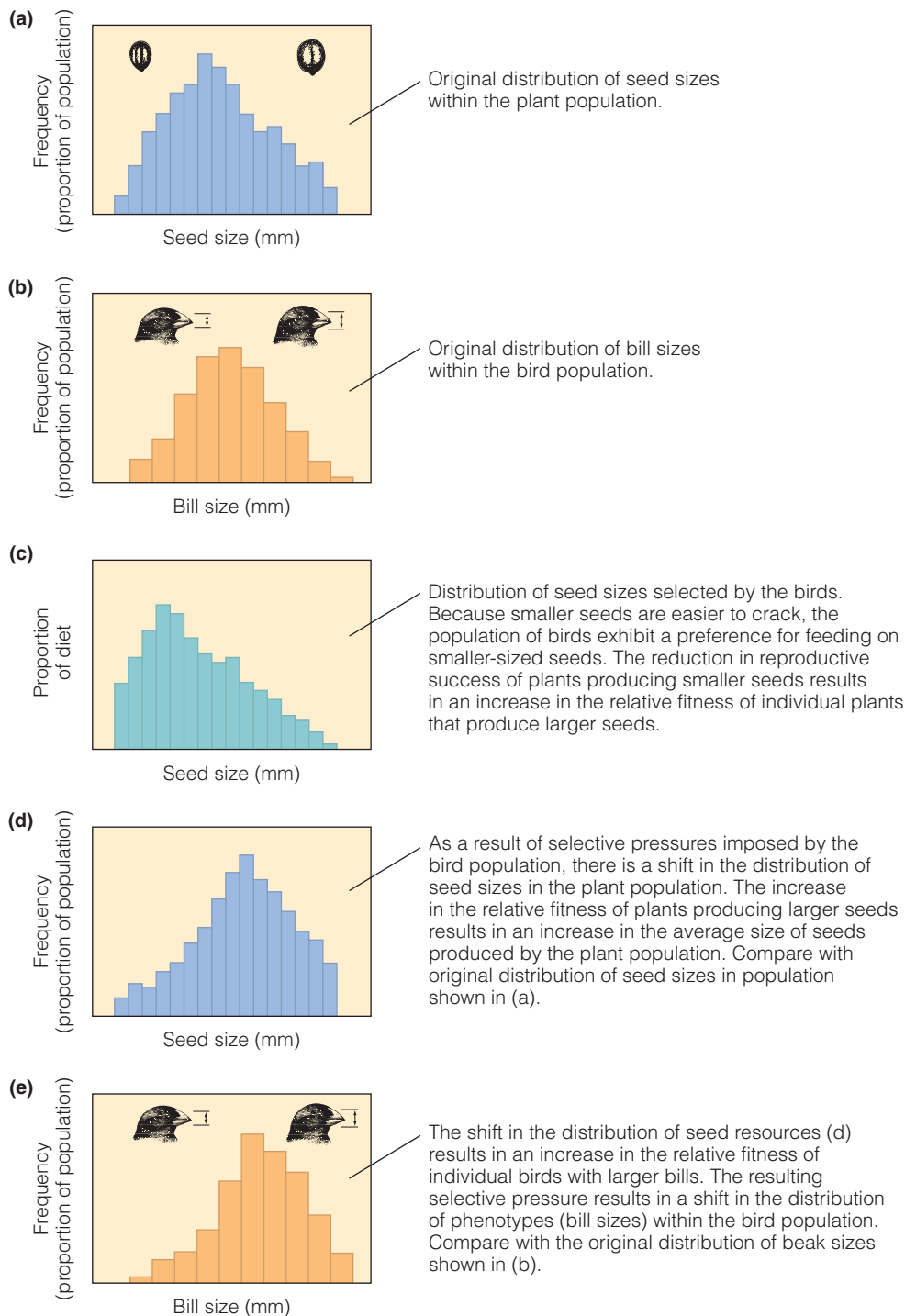
### 13.3 Species Interactions Can Function as Agents of Natural Selection

For a number of reasons, the interaction between two species will not influence all individuals within the respective populations equally. First, interactions among species involve a diverse array of physiological processes and behavioral activities that

are influenced by phenotypic characteristics (physiological, morphological, and behavioral characteristics of the individuals). Secondly, these phenotypic characteristics vary among individuals within the populations (see Chapter 5). Therefore, the variations among individuals within the populations will result in differences in the nature and degree of interactions that occur. For example, imagine a species of seed-eating bird that feeds upon the seeds of a single plant species. Individuals of the plant species exhibit a wide degree of variation in the size of seeds that they produce. Some individuals produce smaller seeds, while others produce larger seeds (Figure 13.3a), and seed size is a heritable characteristic (genetically determined). Seed size is important to the birds, because the larger the seed, the thicker the seed coat, and the more difficult it is for a bird to crush the seed with its bill. If the seed coat is not broken, the seed passes through the digestive system undigested and provides no food value to the bird. As a result, birds actively select smaller seeds in their diet (Figure 13.3b). In doing so, the birds are decreasing the reproductive success of individual plants that produce small seeds while increasing the relative fitness of those individuals that produce larger seeds. The net effect is a shift in the distribution of phenotypes in the plant population to individuals that produce larger, harder seeds (Figure 13.3c). In this situation, the bird population (and pattern of seed predation) is functioning as an agent of natural selection, increasing the relative fitness of one phenotype over another (see Section 5.5). The result over time is a directional change in the genetic structure of the population (gene frequencies)—the process of evolution (Chapter 5).

In this example, the predator functions as an agent of natural selection, decreasing the reproduction for certain phenotypes (small seed-producing individuals) within the plant population and increasing the relative fitness of other phenotypes (large seed-producing individuals). But the shifting distribution of phenotypes within the plant population and the resulting change in the distribution of food resources will in turn have a potential influence on the predator population (Figure 13.3d). The directional selection for increased seed size within the plant population decreases the relative abundance of smaller seeds, effectively decreasing the availability of food resources for birds with smaller bill sizes. If the birds with smaller bills are unable to crack the larger seeds, these individuals will experience a decreased probability of survival and reproduction, increasing the relative fitness of individuals with larger bill size. The shift in the distribution of phenotypes in the plant population, itself a function of selective pressures imposed by the bird population, now functions as an agent of natural selection in the predator (bird) population. The result is a shift in the distribution of phenotypes and associated gene frequencies within the bird population toward larger bill size (Figure 13.3e). This process in which two species undergo reciprocal evolutionary change through natural selection is called **coevolution**.

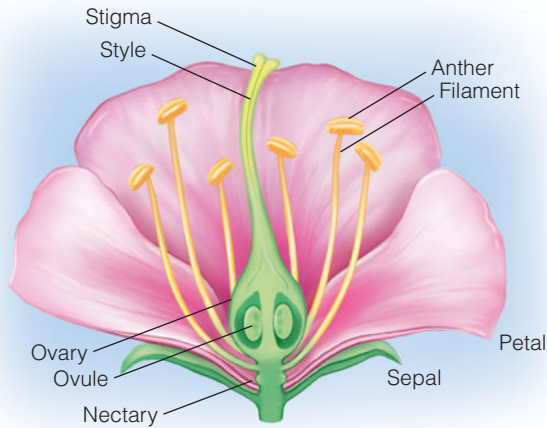
Unlike adaptation to the physical environment, adaptation to another species can produce reciprocal evolutionary responses that either thwart (counter) these adaptive changes, as in the example above, or in mutually beneficial interactions, magnify (reinforce) their effect (see Part Two). An example of the latter can be found in the relationship between



**Figure 13.3** Example of the coevolution of a predator (seed-eating bird) and its prey (seeds).

flowering plants and their animal pollinators. Many species of flowering plants require the transfer of pollen from one individual to another for successful fertilization and reproduction (outcrossing) (Figure 13.4). In some plant species this is accomplished through passive transport by the wind, but many plants depend upon animals to transport pollen between flowers. That is accomplished by attracting animals, such as insects or birds, to the flower. When the animal comes into contact with the flower, pollen becomes deposited on its body, which is then transferred to another individual as the animal travels from flower to flower.

This process requires the plant species to possess some mechanism to attract the animal to the flower. Flowering plants have evolved a wide variety of characteristics that function to entice animals to visit flowers through either signal or reward. Signals can involve brightly colored flowers or scents. The most common reward to potential pollinators is nectar, a sugar-rich liquid produced by plants, which serves no purpose for the individual plant other than to attract potential pollinators. Nectar is produced in glands called nectaries, which are most often located at the base of the floral tube (see Figure 13.4).



**Figure 13.4** Cross-sectional illustration of flower structure. For pollination and reproduction to occur, pollen from the anther must be transported and deposited on the stigma of another individual. As an animal pollinator gains access to nectar located in the nectary, pollen is deposited on its body. The pollen is then transported to other flowers as the animal continues to forage.

The relationship between nectar-producing flowers and nectar-feeding birds provides an excellent example of the magnification of reciprocal evolutionary responses—coevolution—resulting from a mutually beneficial interaction. The elongated bill of hummingbirds distinguishes them from other birds, and is uniquely adapted to the extraction of nectar (Figure 13.5). Their extremely long tongues are indispensable in gaining nectar from long tubular flowers. Let us assume a species of hummingbird feeds on a variety of flowering plants within a tropical forest, but prefers the flowers of one plant species in particular because it produces larger quantities of nectar, and thus the reward to the hummingbird for visiting this species is greater than that of other plant species in the forest. Now assume that flower size (an inherited characteristic) varies among individuals within the plant population, and that an increase in nectar production is associated with elongation of the floral tube (larger flower size).

The result is an increase in the visitation rate by hummingbirds to individuals with larger flowers. If this increase in visitation rate results in an increase in pollination and reproduction, the net effect would be an increase in the relative fitness of individuals that produce larger flowers, shifting the distribution of phenotypes within the plant population. The larger flower size and the longer floral tube, however, make it more difficult to gain access to the nectar. Individual hummingbirds with longer bills are more efficient at gaining access, and bill size varies among individuals within the population. With increased access to nectar resources, the relative fitness of longer-billed individuals increases at the expense of individuals with shorter bills. In addition, any gene mutation that results in increasing bill length will be selected for, because it will increase the fitness of the individual and its offspring (assuming that they exhibit the phenotype). The genetic changes that are occurring in each population are reinforced and magnified by the mutually beneficial interaction between the two species. The plant characteristic of nectar production is reinforced and magnified by natural selection in the form of improved



**Figure 13.5** The bill of the hummingbird is adapted for extracting nectar from flowers. In this example, the extremely long bill of the sword-billed hummingbird (*Ensifera ensifera*) is uniquely adapted for extracting nectar from the long floral tubes of the passion flower (*Passiflora mixa*) growing in the highlands of the Andes Mountains of South America.

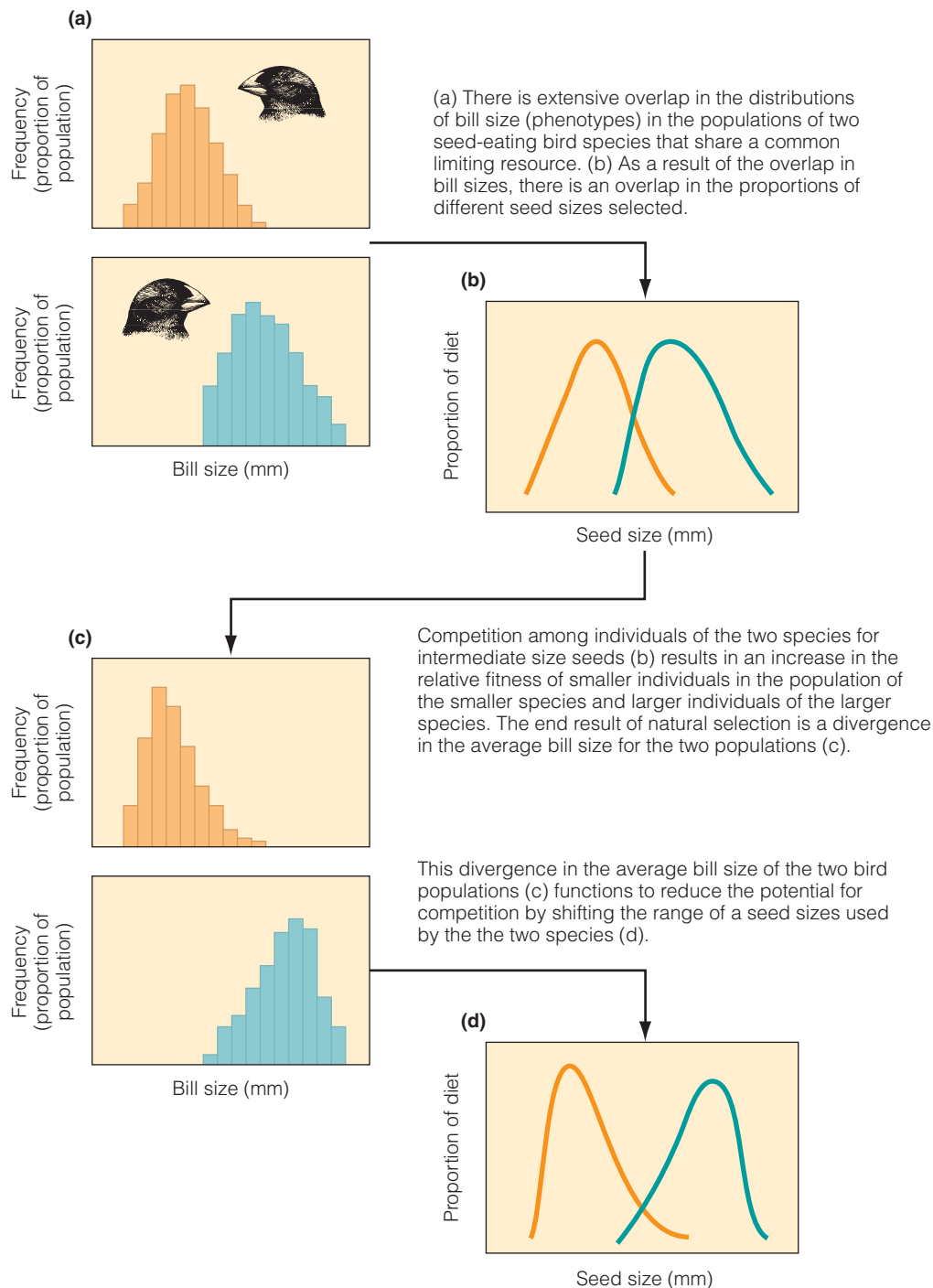
pollination success by the plant and reproductive success by the hummingbird. In turn, the increased flower size and associated nectar production functions as a further agent of natural selection in the bird population, resulting in an increase average bill size (length). One consequence of this type of coevolutionary process is specialization, where changes in phenotypic characteristics of the species involved function to limit the ability of the species to carry out the same or similar interactions with other species. For example, the increase in bill size in the hummingbird population will function to limit its ability to efficiently forage on plant species that produce smaller flowers, restricting its feeding to the subset of flowering plants within the tropical forest that produce large flowers with long floral tubes (Figure 13.5). In the extreme case, the interaction can become obligate, where the degree of specialization in phenotypic characteristics results in the two species being dependent on each other for survival and successful reproduction. We will examine the evolution of obligate species interactions in detail later (Chapter 16).

Unlike the case of mutually beneficial interactions, where natural selection functions to magnify the intensity of the interaction, interactions that are mutually negative to the species involved can lead to the divergence in phenotypic characteristics that function to reduce the intensity of interaction. Such is the case where the interaction involves competition for essential resources. Consider the case where two species of seed-eating birds co-occur on an island. The two populations differ in average



body and bill size, yet the two populations overlap extensively in the range of these phenotypic characteristics (Figure 13.6a), and subsequently in the range of seed sizes on which they forage (Figure 13.6b). The selection of seeds by individual birds is related to body and bill size. Smaller individuals are limited to foraging on the smaller, softer seeds, while only larger individuals are capable of cracking the larger, harder seeds. Although larger birds are able to feed on smaller seeds, it is energetically inefficient, so their foraging is restricted to relatively larger seeds (see Section 5.8 for an example).

Seed resources on the island are limited relative to the populations of the two species, so competition is often intense for the intermediate-sized seeds on which both species forage. If competition for intermediate-sized seeds functions to reduce the fitness of individual birds that depend upon these resources, the result will be reduced survival and reproductive rates for larger individuals of the smaller species, and smaller individuals of the larger species (see Figure 13.6c). The result is a divergence in the average body and bill size for the two populations that functions to reduce the potential for competition between the two species (Figure 13.6d).

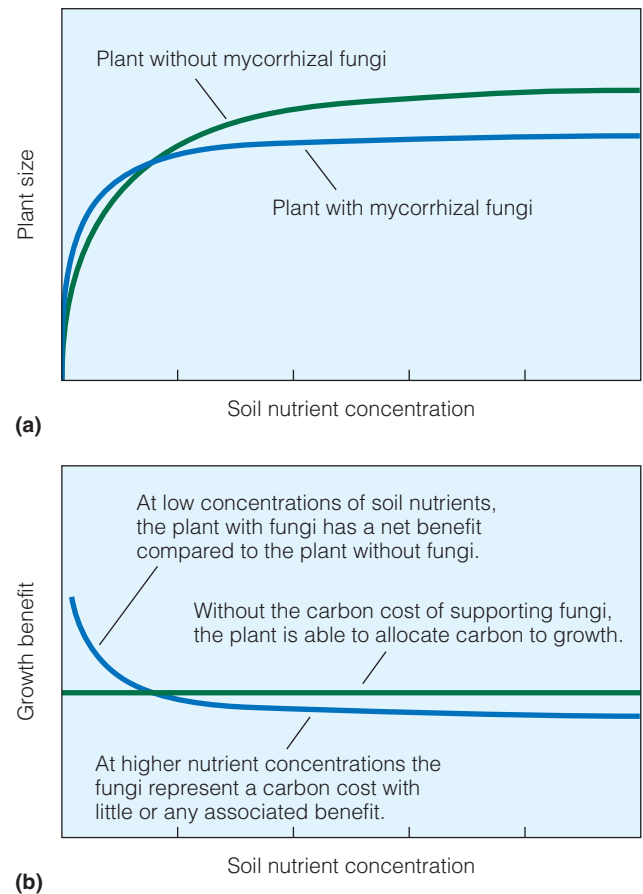


**Figure 13.6** Example coevolution leading to the divergence of phenotypes in two competing species.

### 13.4 The Nature of Species Interactions Can Vary Across Geographic Landscapes

We have examined how natural selection can result in genetic differentiation—genetic variation among local populations (see Chapter 12 for discussion of metapopulation concept; see also Section 5.7). Species having wide geographic distributions generally encounter a broader range of physical environmental conditions than species whose distribution is more restricted. The variation in physical environmental conditions often gives rise to a corresponding variation in phenotypic characteristics. As a result, significant genetic differences can occur among local populations inhabiting different regions (see Section 5.7 for examples). In a similar manner, species with wide geographic distributions are more likely to encounter a broader range of biotic interactions. For example, a tree species such as red maple that has an extensive geographic range in eastern North America, extending from southern Canada to Florida is more likely to encounter a greater diversity of potential pathogens and insect herbivores than will black maple, whose distribution is restricted to a smaller geographic region of the central United States (see Figures 9.4 and 17.2 for distribution maps). Changes in the nature of biotic interactions across a species geographic range can result in different selective pressures and adaptations to the local biotic environment. Ultimately, differences in the types of species interactions encountered by different local populations can result in genetic differentiation and the evolution of local ecotypes similar to those that arise from geographic variations in the physical environment (see Section 5.7 for examples of the latter). For a simple illustration, we can return to the example of the seed-eating bird from the previous section (Section 13.3). Let us suppose that the bird species and the species of plant upon which it feeds coexist on the mainland, but that the plant species also inhabits an island off the coast not inhabited by the seed-eating bird. In the absence of seed predators, we might expect to see a very different distribution of seed sizes within the population compared to the mainland populations. On the other hand, suppose that a different species of seed predator is found on the island, a small mammal that feeds primarily on large seeds. In this case, natural selection would favor small-seed phenotypes within the plant population, the exact opposite of the selective pressure imposed by the bird population on the mainland. The result would be a divergence in the distribution of phenotypes between the two populations (mainland and island).

In some cases, even the qualitative nature of some species interactions can be altered when the background environment is changed. For example, mycorrhizal fungi are associated with a wide variety of plant species (see Chapter 16, Section 16.11). The fungi infect the plant root system acting as an extension of the root system. The fungi aid the plant in the uptake of nutrients and water, and in return the plant provides the fungi with a source of carbon. In environments where soil nutrients are low, this relationship is extremely beneficial to the plant, increasing nutrient uptake and plant growth (Figure 13.7). Under these conditions, the interaction between plant and fungi is mutually beneficial. In environments where soil nutrients are abundant, however, plants are able to meet nutrient demand through direct uptake of nutrients

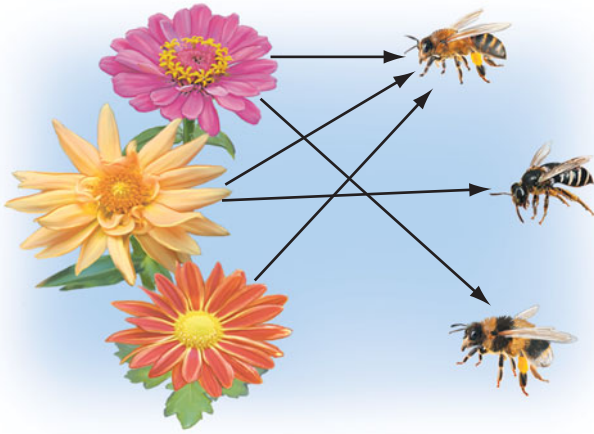


**Figure 13.7** Cost-benefit curves for individual plants with and without mycorrhizal fungi associated with the root system across a gradient of soil nutrient concentration. Mycorrhizal fungi provide increased access to soil nutrients but cost the plant carbon resources. (a) At low soil nutrient concentrations, the presence of the fungi increases growth rate and plant size compared to plants without fungi. (b) As nutrient availability in the soil increases, the presence of fungi switch from a net benefit to a net cost. The carbon cost to the plant in supporting the fungi yields little benefit but costs the plant carbon that otherwise could be used for growth. Under high soil nutrient concentration the plants without fungi have a higher growth rate and size.

through their root system. Under these conditions, the fungi are of little if any benefit to the plant; however, the fungi continue to be an energetic cost to the plant, reducing its overall net carbon balance and growth (Figure 13.7). Across the landscape, the association between plant and fungi change from mutually beneficial (+ +) to parasitic (+ -) with increasing soil nutrient availability.

### 13.5 Species Interactions Can Be Diffuse

The examples of species interactions that we have discussed thus far involve the direct interaction between two species only. However, most interactions (e.g., predator–prey, competitors, mutually beneficial) are not exclusive, involving only two species, but involve a number of species that form diffuse associations. For example, most terrestrial communities are inhabited by an array of insect, small mammal, reptile, and bird species that feed upon



**Figure 13.8** Example of pollinator network in which species interactions are diffuse (involving many species). Arrows represent the corresponding pollinator species that pollinates the corresponding plant species (flower). Note that each plant species is pollinated by a number of pollinators, and each pollinator visits a number of different plant species.

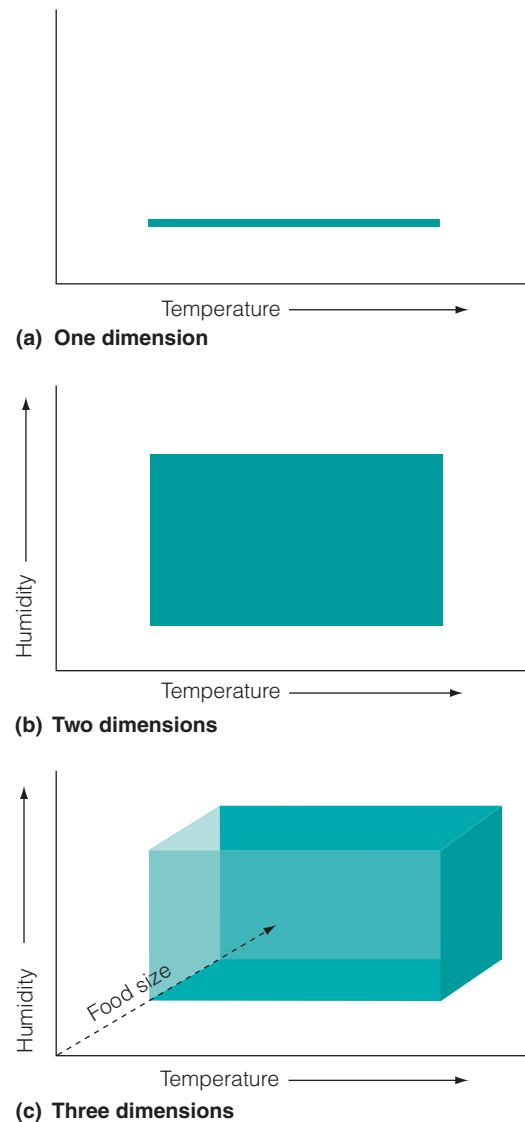
seeds. As a result, there is a potential for competition to occur among any number of species that draw upon this limited food resource. Similarly, highly specific mutually beneficial interactions between two species are rare. Most mutually beneficial interactions are somewhat diffuse. In plant-pollinator interactions, most plants are pollinated by multiple animal species, and each animal species pollinates multiple plant species. For example, honey bees (*Apis mellifera*) are known to visit the flowers of hundreds of plant species, and white mangrove (*Laguncularia racemosa*) is visited by over 50 different insect species. Species of plants and pollinators form pollination networks and the resulting selective forces that reinforce the mutually beneficial interactions are likewise diffuse (Figure 13.8). This process in which a network of species undergoes reciprocal evolutionary change through natural selection is referred to as *diffuse coevolution*.

### 13.6 Species Interactions Influence the Species' Niche

We discussed that the diversity of species inhabiting our planet represents different solutions to the same basic processes of assimilation and reproduction, and that the characteristics that distinguish each species often reflect adaptations (products of natural selection) that allow individuals of that species to survive, grow, and reproduce under a particular set of environmental conditions (Part Two). As such, each species can be described in terms of the range of physical and chemical conditions under which it can persist (survive and reproduce) and the array of essential resources it utilizes. This characterization of the species is referred to as its **ecological niche**.

The concept of the ecological niche was originally developed independently by ecologist Joseph Grinnell (1917, 1924) and ecologist Charles Elton (1927), who proposed slightly different meanings for the term. Grinnell's definition centered on the limitations imposed by the physical environment, while

Elton emphasized the role of the species in the context of the community (species interactions). The limnologist G. Evelyn Hutchinson later (1957) proposed the idea of the niche as a multidimensional space called a hypervolume, in which each axis is defined by a variable relating to the specific resource need or an environmental factor that is essential for species survival and successful reproduction. We can begin to visualize a multidimensional niche by creating a three-dimensional one. Consider three niche-related variables for a hypothetical organism: temperature, humidity, and food size (Figure 13.9). For each axis there is a range of values (conditions) that permit the species to survive and reproduce (or in Hutchinson's own words, "for the population to persist indefinitely"). The region so defined represents an



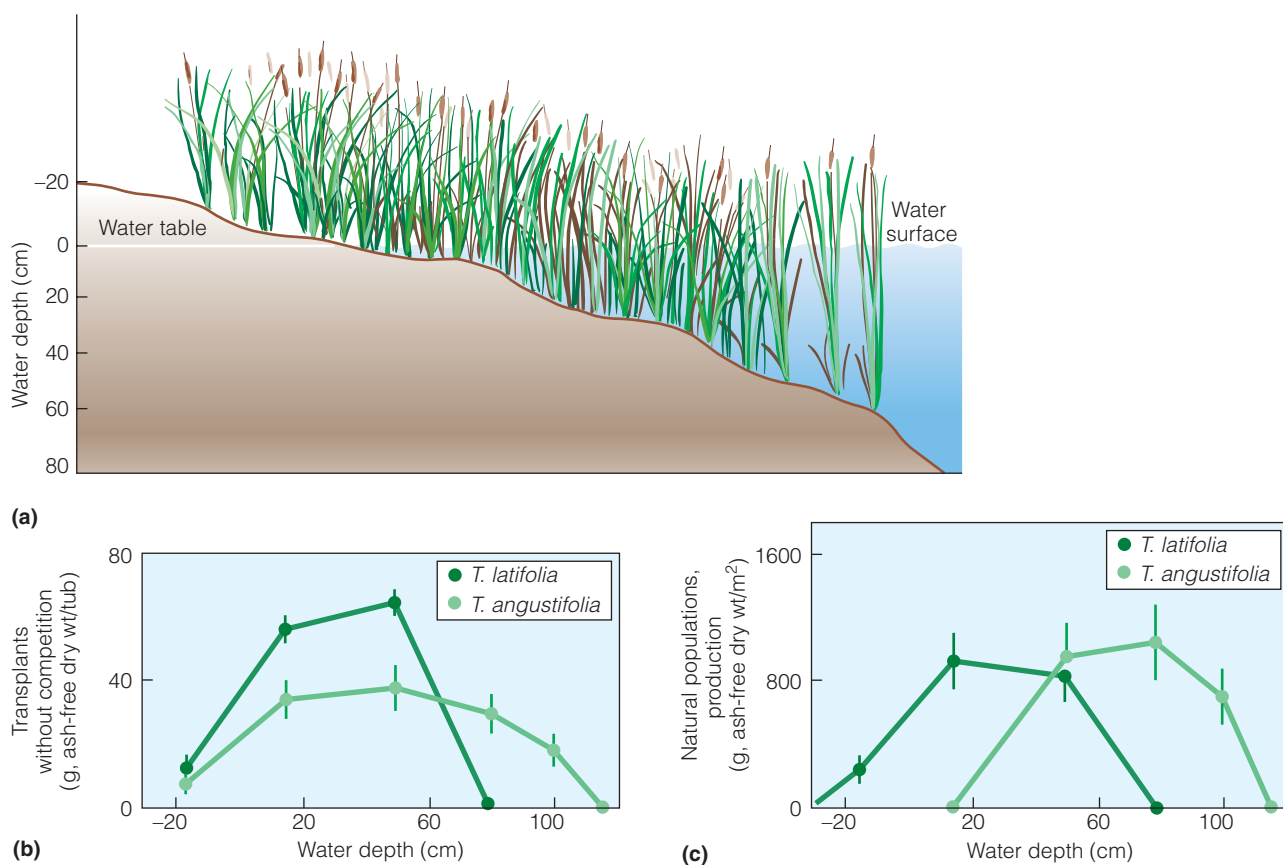
**Figure 13.9** An illustration of niche dimension. Consider three variables composing the species' niche: temperature, humidity, and food size. (a) A one-dimensional niche involving only temperature. (b) A second dimension, humidity, has been added. Enclosing that space we have a two-dimensional niche. (c) Adding a third dimension, food size, and enclosing all three gives a three-dimensional niche space, or volume. A fourth variable would create a hypervolume.

$n$ -dimensional volume (where  $n$  is the number of variables considered). Hutchinson referred to this hypervolume that defines the environmental conditions under which a species can survive and reproduce as the **fundamental niche**. The fundamental niche, sometimes referred to as the *physiological niche*, provides a description of the set of environmental conditions under which a species “can persist.” As we have discussed in the previous sections, however, a population’s response to the environment can be modified by interactions with other species. Hutchinson recognized that interactions such as competition may restrict the environment in which a species can persist (survive and reproduce) and referred to the portion of the fundamental niche that a species actually exploits as a result of interactions with other species as the **realized niche**.

An illustration of the difference between a species’ fundamental and realized niche is provided by the work of J. B. Grace and R. G. Wetzel of the University of Michigan. Two species of cattail (*Typha*) occur along the shoreline of ponds in Michigan. One species, *Typha latifolia* (Common bulrush), dominates in the shallower water, whereas *Typha angustifolia* (Narrow-leaved cattail) occupies the deeper water farther from shore. When these two species were grown along the water depth gradient in the absence of the other species, a comparison of the results with their natural distributions revealed how competition

influences their realized niche (Figure 13.10). Both species can survive in shallow waters; but only the narrow-leaved cattail, *T. angustifolia*, can grow in water deeper than 80 cm. When the two species grow together along the same gradient of water depth, their distributions, or realized niches, change. Even though *T. angustifolia* can grow in shallow waters (0–20 cm depth) and above the shoreline (–20 to 0 cm depth), in the presence of *T. latifolia* it is limited to depths of 20 cm or deeper. Individuals of *T. latifolia* outcompete individuals of *T. angustifolia* for the resources of nutrients, light, and space, limiting the distribution of *T. angustifolia* to the deeper waters. Note that the maximum abundance of *T. angustifolia* occurs in the deeper waters, where *T. latifolia* is not able to survive.

As originally proposed, the concept of realized niche focused on how the fundamental niche of a species is restricted as a result of negative interactions with other species. Competition can function to restrict the range of resources or environmental conditions utilized by a species, as in the example of the distribution of *T. angustifolia* along the gradient of water depth presented in the example above. In other cases, the presence of predators or pathogens may restrict the range of behaviors exhibited by a potential prey species, resources it utilizes, or ultimately the habitats in which it can persist (see Chapter 15, Section 15.8 for an example of changes in



**Figure 13.10** Distribution of two species of cattail (*Typha latifolia* and *Typha angustifolia*): (a) along a gradient of water depth; (b) grown separately in an experiment; (c) growing together in natural populations. The response of the two species in the absence of competition (b) reflects their fundamental niche (physiological tolerances). The response of each species is altered by the presence of the other (c). They are forced to occupy only their realized niches. (Adapted from Grace and Wetzel 1981.)

foraging behavior under the risk of predation). As such, the realized niche of a species was seen as a subset of the broader, more inclusive range of conditions and resources that the species could utilize in the absence of interactions with other species. In more modern times, however, ecologists have come to appreciate the importance of positive interactions, particularly mutually beneficial interactions, and how this class of interactions can modify the species' fundamental niche. By either directly or indirectly enhancing the probabilities of survival and reproduction of individuals in the participating populations, interactions that are either beneficial to one species and neutral to the other (commensalism), or mutually beneficial to both (mutualism), can function to expand the range of environmental conditions and/or resources under which a species can persist. In this case, the realized niche of the species is greater (more expansive) than that of its fundamental niche. For example, nitrogen-fixing *Rhizobium* bacteria associated with the root systems of certain plant species provide a direct source of mineral nitrogen to the plant, enabling it to persist in soils that have low mineral nitrogen content (see Section 16.11 for detailed discussion of this mutualistic interaction). In the absence of interaction with the bacteria, the plants would be restricted to a narrower range of soils that have higher availability of mineral nitrogen.

Although the realized niche is by definition a product of species interactions, over evolutionary time biotic interactions can play a critical role in defining a species' fundamental niche. The previous discussion of species adaptation to the environment focused almost exclusively on the role of the physical and chemical environments as agents of natural selection (Part Two). We now have seen, however, that species interactions also function as agents of natural selection, and phenotypic characteristics often reflect adaptation to these selective pressures. As such, over evolutionary timescales, species interactions can have a major role in determining the range of physical and chemical conditions under which it can persist (survive and reproduce) and the array of essential resources it utilizes—the species ecological niche.

### 13.7 Species Interactions Can Drive Adaptive Radiation

Adaptive radiation is the process in which one species gives rise to multiple species that exploit different features of the environment, such as food resources or habitats (see Section 5.8, Figure 5.17). The different features of the environment exert the selective pressures that push the populations in various directions (phenotypic divergence); reproductive isolation, the necessary condition for speciation to occur, is often a by-product of the changes in morphology, behavior, or habitat preferences that are the actual targets of selection. Likewise, variations among local populations in biotic interactions can result in phenotypic divergence and therefore have the potential to function as mechanisms of adaptive radiation. Resource competition is often inferred as a primary factor driving phenotypic divergence (the concept of resource partitioning will be examined in Chapter 14), but the importance of other interactions, such as predation, remain largely unexplored. The research of Patrik Nosil and Bernard Crespi of Simon Fraser University (British Columbia, Canada), however, has shown that adaptive radiation can result from divergent adaptations to avoid predators. Nosil and Crespi's research focused on two ecotypes (populations of the same species adapted to their local environments) of the stick insect *Timema cristinae* (see Section 5.7). *Timema* walking sticks are wingless insects inhabiting southwestern North America. Individuals feed and mate on the host plants on which they reside. The two distinct ecotypes of *Timema* are adapted to feeding on different host-plant species, *Ceanothus* and *Adenostoma*. The two host plants differ strikingly in foliage form, with *Ceanothus* plants being relatively large and tree-like with broad leaves, and *Adenostoma* plants being small and shrub-like with thin, needle-like leaves (Figure 13.11).

The two *Timema* ecotypes differ in 11 quantitative traits (Figure 13.11), comprising aspects of color, color pattern, body



**Figure 13.11** Contrasting phenotypic traits of two ecotypes of the walking stick *Timema cristinae* that are associated with two host plants: *Ceanothus* and *Adenostoma*. The insects feed and reproduce on the host plants. The phenotypic differences in the two ecotypes reflect adaptations to avoid detection by avian predators on the two morphologically different plant species. The two ecotypes represent an early stage of adaptive radiation.

(Adapted from Nosil and Crespi 2006.)

size, and body shape. Divergent adaptation in the two ecotypes appears to be driven by divergent selection from predators. The different traits exhibited by each of the ecotypes appear to provide crypsis (avoidance of observation) from avian predators on the respective host-plant species. Field experiments were used to determine how differences in phenotypic traits influenced the survival rates of the two ecotypes on the two plant species. Each of the two *Timema* ecotypes was placed on each of the two plant-host

species. The results of the experiment clearly indicated that the direction and magnitude of divergence in traits represent adaptations that function to reduce rates of predation on their respective host-plant species. The ecotypes of *Timema cristinae*, like the example of the limnetic and benthic ecotypes of sticklebacks examined earlier, can be considered an early stage of adaptive radiation, since studies indicate that reproductive isolation is not complete (see Chapter 5, Field Studies: Beren Robinson).

---

## Summary

### Classification 13.1

By designating the positive effect of one species on another as +, a detrimental effect as −, and no effect as 0, we can develop a classification of possible interactions between two co-occurring species: (00) neutral; (0+) commensalism; (++) mutualism; (0−) amensalism; (− −) competition; (+−) predation, parasitism, or parasitoidism.

### Population Dynamics 13.2

Species interactions typically involve the interaction of individual organisms within the respective populations. By influencing individuals' probabilities of survival and/or reproduction, interactions influence the collective properties of birth and death at the population level, and in doing so influence the dynamics of the respective populations.

### Natural Selection 13.3

Phenotypic variations among individuals within the populations can result in differences in the nature and degree of interactions that occur. These phenotypic differences in the degree of interaction may influence the relative fitness of individuals within the populations, resulting in the process of natural selection. The process in which two species undergo reciprocal evolutionary change through natural selection is called coevolution. Mutually beneficial interactions typically serve to reinforce the phenotypic changes that result from the species interaction, while mutually detrimental interactions typically result in phenotypic changes that function to reduce the intensity of interaction.

### Geographic Variation 13.4

Species with wide geographic distributions are more likely to encounter a broader range of biotic interactions. Changes in the nature of biotic interactions across a species geographic range can result in different selective pressures and adaptations to the local biotic environment. Ultimately, differences in the types of species interactions encountered by different local populations can result in genetic differentiation and the evolution of local ecotypes.

### Diffuse Interactions 13.5

Most interactions are not exclusive, involving only two species, but involve a number of species that form diffuse associations.

### Niche 13.6

The range of physical and chemical conditions under which a species can persist and the array of essential resources it utilizes define its ecological niche. The ecological niche of a species in the absence of interactions with other species is referred to as the fundamental niche. The species' realized niche is its ecological niche as modified by its interactions with other species within the community. Species interactions can function to either restrict or expand the fundamental niche of a species dependent on whether the interaction is detrimental or beneficial.

### Adaptive Radiation 13.7

Variations among local populations in biotic interactions can result in phenotypic divergence and therefore have the potential to function as mechanisms of adaptive radiation if the divergence in phenotypic characteristics results in reproductive isolation.

---

## Study Questions

1. Contrast amensalism and competition. What do ecologists mean when they refer to amensalism as a form of asymmetrical competition?
2. What does a researcher need to establish to distinguish whether an interaction between two species is an example of commensalism or mutualism?
3. Do you think all species interactions influence the population dynamics of the species involved? Can you construct an example of where a population of predators may not have a negative impact on the population growth rate of the prey species?
4. Do you think that all positive interactions will necessarily lead to an increase in the relative fitness of the individuals involved?
5. If a predator affects all phenotypes within the prey population equally will the interaction function as an agent of natural selection?
6. If a phenotypic characteristic exhibited by a prey species functions to help individuals avoid being detected by predators, can we assume that the characteristic is a product of coevolution?

## Further Readings

- Bascompte, J. 2010. Structure and dynamics of ecological networks. *Science* 329:765–766.  
An excellent overview of the concept of diffuse interactions in ecological communities.
- Elton, C. S. 2001. *Animal Ecology*. University of Chicago Press.  
One of the two classic works that introduced the concept of ecological niche to the field of ecology.
- Futuyma, D. J. and M. Slatkin. 1983. *Coevolution*. Sinauer Associates Inc., Sunderland, MA.  
This now classic volume is a compilation of contributed chapters that presents a wide array of studies examining the process of coevolution.
- Grinnell, J. 1917. The niche-relationships of the California Thrasher. *Auk* 34:427–433.  
One of the two classic papers that introduced the concept of ecological niche to the field of ecology.
- Holt, R. D. 2009. Bringing the Hutchinsonian niche into the 21<sup>st</sup> century: Ecological and evolutionary perspectives. *Proceedings of the National Academy of Sciences* 106:19659–19665.  
This manuscript provides a modern perspective of the concept of the ecological niche.
- Hutchinson, G. E. 1957. Concluding remarks. *Cold Spring Harbor Symposia on Quantitative Biology* 22 (2):415–427.  
In this manuscript Hutchinson defines the modern concept of the ecological niche.
- Lindberg, A. B., and J. M. Olesen. 2001. The fragility of extreme specialization: *Passiflora mixta* and its pollinating hummingbird *Ensifera ensifera*. *Journal of Tropical Ecology* 17:323–329.  
An excellent example of the evolution of specialization in the coevolution of plants and pollinators.
- Thompson, J. N. 2005. *The geographic mosaic of coevolution*. University of Chicago Press, Chicago.  
This volume provides a discussion of how the biology of species provides the raw material for long-term coevolution and evaluates how local coadaptation forms the basic module of coevolutionary change.
- Thompson, J. N. 1988. Variation in interspecific interactions. *Annual Review of Ecology and Systematics* 19:65–87.  
An excellent review of the role of species interactions in community structure and evolutionary processes.
- Travis, J. 1996. The significance of geographic variation in species interactions. *American Naturalist* 148 (Supplement):S1–S8.  
This work explores how interspecific interactions vary across the landscape and explore the implications to both evolutionary processes and the structure of ecological communities.

# Interspecific Competition

- 14.1 Interspecific Competition Involves Two or More Species**
- 14.2 There Are Four Possible Outcomes of Interspecific Competition**
- 14.3 Laboratory Experiments Support the Lotka–Volterra Equations**
- 14.4 Studies Support the Competitive Exclusion Principle**
- 14.5 Competition Is Influenced by Nonresource Factors**
- 14.6 Temporal Variation in the Environment Influences Competitive Interactions**
- 14.7 Competition Occurs for Multiple Resources**
- 14.8 Relative Competitive Abilities Change along Environmental Gradients**
- 14.9 Interspecific Competition Influences the Niche of a Species**
- 14.10 Coexistence of Species Often Involves Partitioning Available Resources**
- 14.11 Competition Is a Complex Interaction Involving Biotic and Abiotic Factors**



A yellow-necked mouse (*Apodemus flavicollis*) feeds on an acorn on a forest floor in South Lower Saxony, Germany. This mouse is only one of an array of species within the forest that depend on acorns as a food resource.



In Chapter 3 of *The Origin of Species*, Charles Darwin wrote: “as more individuals are produced than can possibly survive, there must in every case be a struggle for existence, either one individual with another of the same species, or with the individuals of distinct species, or with the physical conditions of life.” The concept of interspecific competition is a cornerstone of evolutionary ecology. Darwin based his idea of natural selection on competition, the “struggle for existence.” Because it is advantageous for individuals to avoid this struggle, competition has been regarded as the major force behind species divergence and specialization (Chapter 5).

### 14.1 Interspecific Competition Involves Two or More Species

A relationship that affects the populations of two or more species adversely (– –) is interspecific competition. In interspecific competition, as in intraspecific competition, individuals seek a common resource in short supply (see Chapter 11). But in interspecific competition, the individuals are of two or more species. Both kinds of competition may take place simultaneously. In the deciduous forest of eastern North America, for example, gray squirrels compete among themselves for acorns during a year when oak trees produce fewer acorns. At the same time, white-footed mice, white-tailed deer, wild turkey, and blue jays vie for the same resource. Because of competition, one or more of these species may broaden the base of their foraging efforts. Populations of these species may be forced to turn away from acorns to food that is less in demand.

Like intraspecific competition, interspecific competition takes two forms: exploitation and interference (see Section 11.3). As an alternative to this simple dichotomous classification of competitive interactions, Thomas Schoener of the University of California, Davis proposed that six types of interactions are sufficient to account for most instances of interspecific competition: (1) consumption, (2) preemption, (3) overgrowth, (4) chemical interaction, (5) territorial, and (6) encounter.

Consumption competition occurs when individuals of one species inhibit individuals of another by consuming a shared resource, such as the competition among various animal species for acorns. Preemptive competition occurs primarily among sessile organisms, such as barnacles, where the occupation by one individual precludes establishment (occupation) by others. Overgrowth competition occurs when one organism literally grows over another (with or without physical contact), inhibiting access to some essential resource. An example of this interaction is when a taller plant shades those individuals below, reducing available light (as discussed in Chapter 4, Section 4.2). In chemical interactions, chemical growth inhibitors or toxins released by an individual inhibit or kill other species. **Allelopathy** in plants, in which chemicals produced by

some plants inhibit germination and establishment of other species, is an example of this type of species interaction. Territorial competition results from the behavioral exclusion of others from a specific space that is defended as a territory (see Section 11.10). Encounter competition results when nonterritorial meetings between individuals negatively affect one or both of the participant species. Various species of scavengers fighting over the carcass of a dead animal provide an example of this type of interaction.

### 14.2 There Are Four Possible Outcomes of Interspecific Competition

In the early 20th century, two mathematicians—the American Alfred Lotka and the Italian Vittoria Volterra—independently arrived at mathematical expressions to describe the relationship between two species using the same resource. Both men began with the logistic equation for population growth that we developed earlier (Chapter 11):

$$\frac{dN}{dt} = rN \frac{(K - N)}{K}$$

Next, they both modified the logistic equation for each species by adding to it a term to account for the competitive effect of one species on the population growth of the other. For species 1, this term is  $\alpha N_2$ , where  $N_2$  is the population size of species 2, and  $\alpha$  is the competition coefficient that quantifies the per capita effect of species 2 on species 1. Similarly, for species 2, the term is  $\beta N_1$ , where  $\beta$  is the per capita competition coefficient that quantifies the per capita effect of species 1 on species 2. The competition coefficients can be thought of as factors for converting an individual of one species into the equivalent number of individuals of the competing species, based on their shared use of the resources that define the carrying capacities. In resource use, an individual of species 1 is equal to  $\beta$  individuals of species 2. Likewise, an individual of species 2 is equivalent to  $\alpha$  individuals of species 1. These terms, in effect, convert the population size of the one species into the equivalent number of individuals of the other. Now we have a pair of equations that consider both intraspecific and interspecific competition.

$$\text{Species 1: } \frac{dN_1}{dt} = r_1 N_1 \left( \frac{K_1 - N_1 - \alpha N_2}{K_1} \right) \quad (1)$$

$$\text{Species 2: } \frac{dN_2}{dt} = r_2 N_2 \left( \frac{K_2 - N_2 - \beta N_1}{K_2} \right) \quad (2)$$

As you can see, in the absence of interspecific competition—either  $\alpha$  or  $N_2 = 0$  in Equation (1) and  $\beta$  or  $N_1 = 0$  in Equation (2)—the population of each species grows logistically to equilibrium at  $K$ , the carrying capacity. In the presence of competition, however, the picture changes.

For example, the carrying capacity for species 1 is  $K_1$ , and as  $N_1$  approaches  $K_1$ , the population growth ( $dN_1/dt$ ) approaches zero. However, species 2 is also vying for the limited resource that determines  $K_1$ , so we must consider the impact of species 2. Because  $\alpha$  is the per capita effect of species 2 on species 1, the total effect of species 2 on species 1 is  $\alpha N_2$ . We must consider the effects of both species in calculating population growth. As the combined population effect ( $N_1 + \alpha N_2$ ) approaches  $K_1$ , the growth rate of species 1 will approach zero. The greater the population size of the competing species ( $N_2$ ), the greater the reduction in the growth rate of species 1 ( $dN_1/dt$ ).

Depending on the combination of values for the  $K$ s and for  $\alpha$  and  $\beta$ , the Lotka–Volterra equations predict four different outcomes. Figure 14.1 graphically depicts all the possible outcomes. In two situations (Figures 14.1c and 14.1d), one species is the superior competitor and wins out over the other. In one case, species 1 inhibits the population growth of species 2 while continuing to increase, driving species 2 to extinction. In the other case, species 2 inhibits further increase in species 1 while continuing to increase, driving species 1 to extinction. In the third situation (Figure 14.1e), each species, when abundant, inhibits the growth of the other species more than it inhibits its own growth. Eventually, one or the other species wins, driving the other to extinction. The outcome (which species wins) depends on the initial population densities of the two species (that is, which species is most abundant). In the fourth situation (Figure 14.1f), neither population can achieve a density capable of eliminating the other. Each species inhibits its own population growth more than that of the other species.

In each graph of Figure 14.1, the  $x$ -axis represents the population size of species 1 ( $N_1$ ) and the  $y$ -axis represents the population size of species 2 ( $N_2$ ). Two lines are plotted on each graph, and each line represents one of the two species. The diagonal line for species 1 represents the combined population sizes of species 1 and 2 that equal  $K_1$  and therefore  $dN/dt = 0$ . The line for species 2 represents the combined population sizes of species 1 and 2 that equal  $K_2$ . For any point on the species 1 line,  $N_1 + \alpha N_2 = K_1$ . When  $N_1 = K_1$ , then  $N_2$  must be zero. Because  $\alpha$  is the per capita effect of species 2 on species 1, the population size of species 2 that is exactly equivalent to the carrying capacity of species 1 ( $\alpha N_2 = K_1$ ) will be  $N_2 = K_1/\alpha$ . Therefore, when  $N_2 = K_1/\alpha$  then  $N_1$  must equal zero. For the line describing species 2, the values where the line crosses the axes will be  $K_2$  and  $N_1 = K_2/\alpha$ , the population size of species 1 that is equal to the carrying capacity of species 2.

The diagonal lines are called **zero-growth isoclines**. The zero-growth isoclines for species 1 and 2 are shown in Figures 14.1a and 14.1b, respectively. In the region below the line, or isocline, for species 1 (Figure 14.1a), combinations of  $N_1$  and  $N_2$  are below carrying capacity ( $N_1 + \alpha N_2 < K_1$ ); therefore, the population is increasing ( $dN_1/dt$  greater than zero). This increase is represented by the arrows that are parallel to the  $x$ -axis and point in the direction of increasing values of  $N_1$ . In the region

above the isocline, combinations of  $N_1$  and  $N_2$  are greater than carrying capacity; therefore, the population is declining ( $dN_1/dt$  is negative). In this region, the arrows point in the direction of decreasing population size. Figure 14.1b depicts the analogous situation for species 2. By combining the zero isoclines for the two species, we can interpret the combined dynamics of the two populations (see Quantifying Ecology 14.1: Interpreting Population Isoclines).

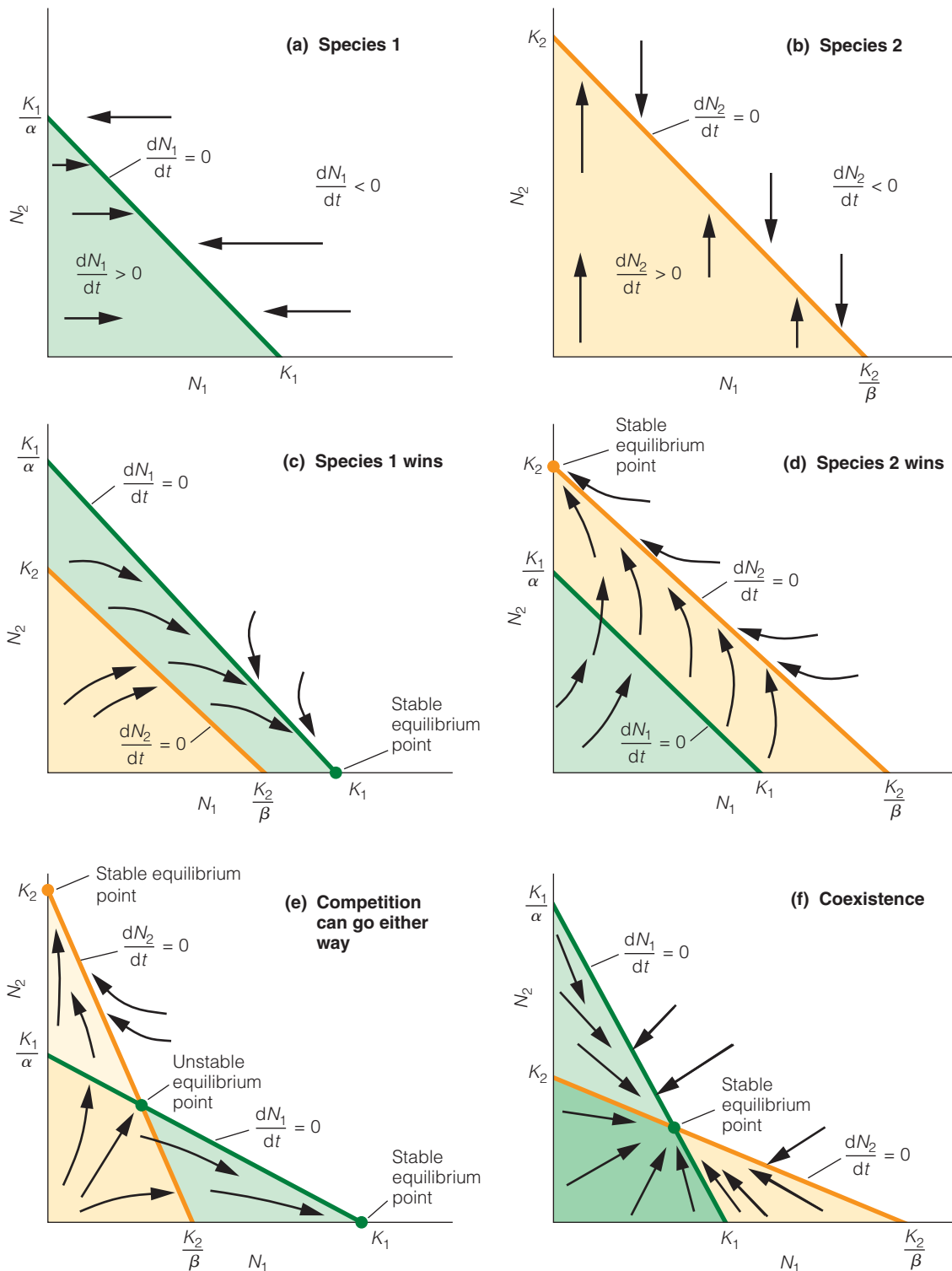
Figures 14.1c–14.1f depict the possible outcomes when the two isoclines are combined. In Figure 14.1c, the isocline of species 1 is parallel to, and lies outside, the isocline of species 2. In this case, even when the population of species 2 is at its carrying capacity ( $K_2$ ), its density cannot stop the population of species 1 from increasing ( $K_2 < K_1/\alpha$ ). As species 1 continues to increase, species 2 eventually becomes extinct. In Figure 14.1d, the situation is reversed. Species 2 wins, leading to the exclusion of species 1.

In Figures 14.1e and 14.1f, the isoclines cross but the outcomes of competition are quite different. In Figure 14.1e, note that along the  $x$ -axis the value of  $K_2/\beta$  is less than the value of  $K_1$ . Recall that the value  $N_1 = K_2/\beta$  is the density of species 1 exactly equal to the carrying capacity of species 2 ( $K_2$ ). Because  $K_2/\beta$  is less than  $K_1$ , species 1 can achieve population sizes that would exceed the size required to drive the population of species 2 to extinction ( $> K_2$ ). Likewise, because  $K_1/\alpha$  is less than  $K_2$  along the  $y$ -axis, species 2 can achieve population sizes high enough to drive species 1 to extinction ( $> K_1$ ). Which species “wins” the competition depends on the initial populations of the two species.

In Figure 14.1f, the result of competition differs in that neither species can exclude the other. The result is coexistence. Note that in this case  $K_1$  is less than  $K_2/\beta$ . The population of species 1 can never reach a size sufficient to eliminate species 2. For this to happen, the population of species 1 would have to reach  $N_1 = K_2/\beta$ . Likewise,  $K_2$  is less than  $K_1/\alpha$  so species 2 can never achieve a population size high enough to eliminate species 1. Intraspecific competition (as represented by the respective values of  $K$ ) inhibits the growth of each population more than interspecific competition inhibits the growth of the other species.

### 14.3 Laboratory Experiments Support the Lotka–Volterra Equations

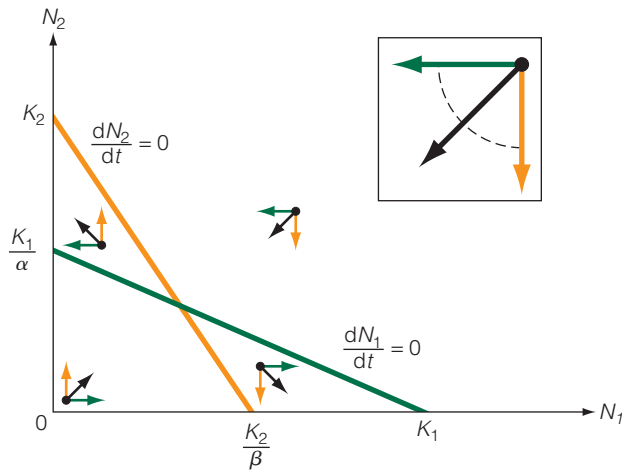
The theoretical Lotka–Volterra equations stimulated studies of competition in the laboratory, where under controlled conditions an outcome is more easily determined than in the field. One of the first to study the Lotka–Volterra competition model experimentally was the Russian biologist G. F. Gause. In a series of experiments published in the mid-1930s, he examined competition between two species of *Paramecium*: *P. aurelia* and *P. caudatum*. *P. aurelia* has a higher rate of population growth than *P. caudatum* and can tolerate a higher population density. When Gause introduced both species into one tube containing a fixed amount of bacterial food,



**Figure 14.1** The Lotka–Volterra model of competition between two species. (a, b) The zero isocline for each species is defined as the combinations of  $(N_1, N_2)$  for which  $dN/dt = 0$  (zero population growth). In the shaded area (combined values of  $(N_1, N_2)$ ) below the line, population growth is positive and the population increases (as indicated by the arrows); for combined values of  $(N_1, N_2)$  above the line, the population decreases. (c) The isocline of species 1 falls outside the isocline of species 2. Species 1 always wins, leading to the extinction of species 2. (d) The situation is the reverse of (c). (e) The isoclines cross. Each species inhibits the growth of the other more than its own growth. The more abundant species often wins. (f) Each species inhibits the growth of its own population more than that of the other by intraspecific competition. The species coexist.

## QUANTIFYING ECOLOGY 14.1 Interpreting Population Isoclines

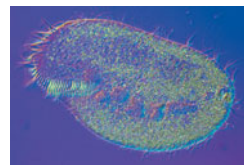
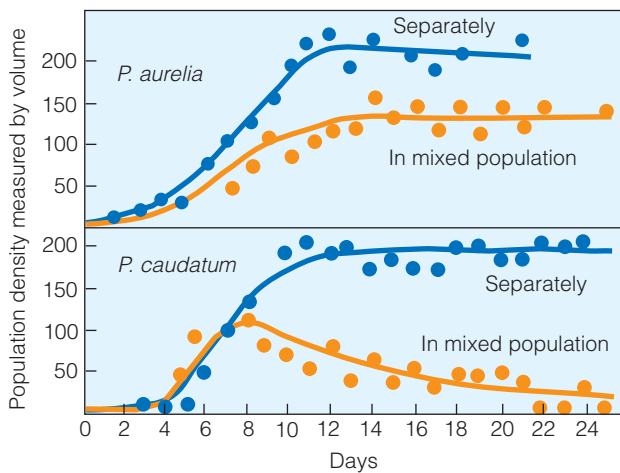
The zero isoclines for species 1 and 2 are presented in Figures 14.1a and 14.1b, respectively. These isoclines (lines) represent the combined values of species 1 and species 2 under which the population growth rate is zero ( $dN/dt = 0$ ; see graph below). For combined values of  $N_1$  and  $N_2$  that fall below the isocline (the region from the line to the  $x$ - $y$  origin), population growth is positive. For values of  $N_1$  and  $N_2$  above the isocline, population growth is negative. Arrows are used to represent the direction of population change for any point. For the zero isocline of species 1, the arrows are parallel to the



$x$ -axis ( $N_1$  axis), showing the direction of population change, increasing if pointing in the direction away from the origin and declining if pointing toward the origin. For species 2, the arrows are parallel to the  $y$ -axis ( $N_2$  axis).

To interpret the dynamics for any combination of  $\alpha$ ,  $\beta$ ,  $K_1$ , and  $K_2$ , the isoclines for the two species must be drawn on the same  $x$ - $y$  graph. Now when any point is plotted on the graph that represents the combined values of species 1 and 2 ( $N_1, N_2$ ), two arrows must be plotted to represent the direction of change for both populations. In the graph shown here, the green arrow represents the change in species 1, and the yellow arrow shows the corresponding change in species 2 at each of the four points (values of  $(N_1, N_2)$ ) that are plotted. The future (predicted) values of  $N_1$  and  $N_2$  will therefore lie in the direction of the arrow. Therefore, the next point representing the combined values of  $N_1$  and  $N_2$  must lie somewhere between the two arrows (in the region defined by the dashed line—see insert) and is represented by the black arrow. In Figures 14.1c–14.1f, only the black arrows are shown, and the arrows are sometimes bent (curved) to show in which general direction the combined populations will move through time.

1. What is the outcome of competition for the case presented in the graph?
2. What parameter(s) in the Lotka–Volterra equations ( $\alpha$ ,  $\beta$ ,  $K_1$ ,  $K_2$ ,  $r_1$ , and  $r_2$ ) will influence the actual projected values of  $N_1$  and  $N_2$  ( $N_1, N_2$ ) within the region defined by the two arrows (green and yellow)?



**Figure 14.2** Competition experiments with two ciliated protozoans, *Paramecium aurelia* and *P. caudatum*, grown separately and in a mixed culture. In a mixed culture, *P. aurelia* outcompetes *P. caudatum*, and the result is competitive exclusion.

(Adapted from Gause 1934.)

*P. caudatum* died out (Figure 14.2). In another experiment, Gause reared the losing species, *P. caudatum*, with another species, *P. bursaria*. These two species coexisted because *P. caudatum* fed on bacteria suspended in solution, whereas *P. bursaria* confined its feeding to bacteria at the bottom of the tubes. Each species used food unavailable to the other.

In the 1940s and 1950s, Thomas Park at the University of Chicago conducted several classic competition experiments with laboratory populations of flour beetles. He found that the outcome of competition between *Tribolium castaneum* and *T. confusum* depended on environmental temperature, humidity, and fluctuations in the total number of eggs, larvae, pupae, and

adults. Often, the outcome of competition was not determined until many generations had passed.

In a much later study, ecologist David Tilman of the University of Minnesota grew laboratory populations of two species of diatoms: *Asterionella formosa* and *Synedra ulna*. Both species require silica for the formation of cell walls. The researchers monitored population growth and decline as well as the level of silica in the water. When grown alone in a liquid medium to which silica was continually added, both species kept silica at a low level because they used it to form cell walls. However, when grown together, the use of silica by *S. ulna* reduced the concentration to a level below that necessary for *A. formosa* to survive and reproduce (Figure 14.3). By reducing resource availability, *Synedra* drove *Asterionella* to extinction.

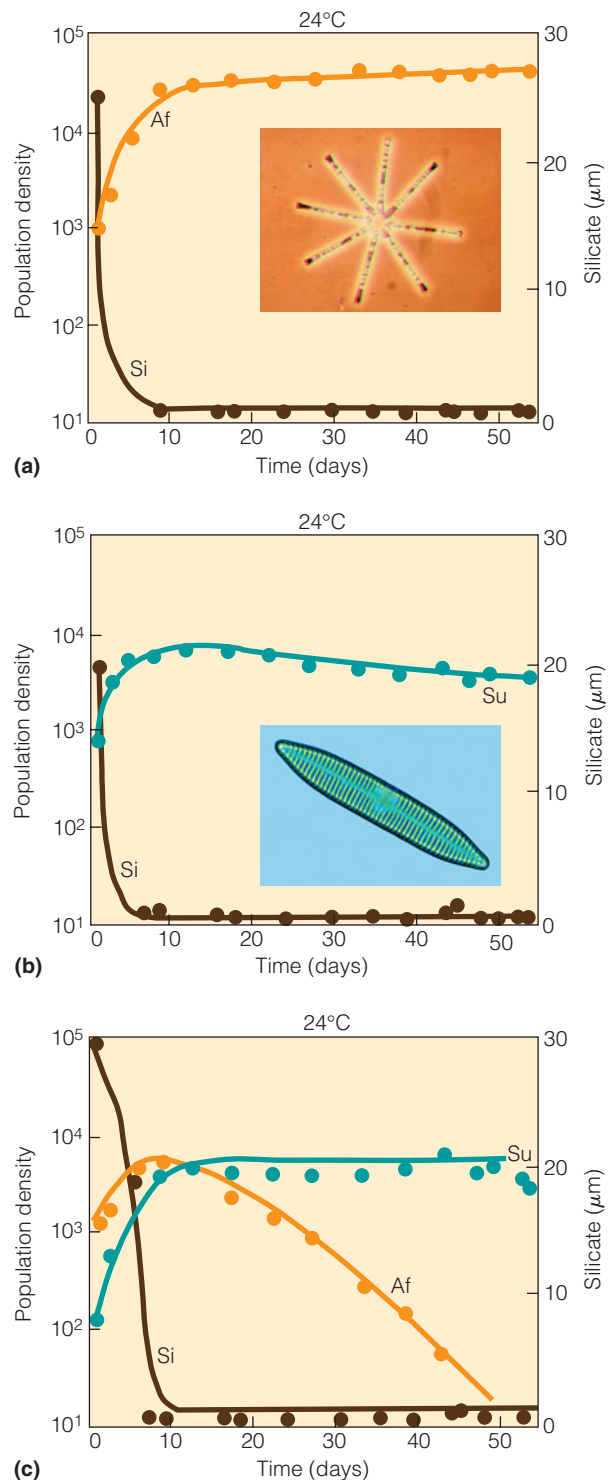
### 14.4 Studies Support the Competitive Exclusion Principle

In three of the four situations predicted by the Lotka–Volterra equations, one species drives the other to extinction. The results of the laboratory studies just presented tend to support the mathematical models. These and other observations have led to the concept called the **competitive exclusion principle**, which states that “complete competitors” cannot coexist. Complete competitors are two species (non-interbreeding populations) that live in the same place and have exactly the same ecological requirements (see concept of fundamental niche in Chapter 13, Section 13.6). Under this set of conditions, if population A increases the least bit faster than population B, then A will eventually outcompete B, leading to its local extinction.

Competitive exclusion, then, invokes more than competition for a limited resource. The competitive exclusion principle involves assumptions about the species involved as well as the environment in which they exist. First, this principle assumes that the competitors have exactly the same resource requirements. Second, it assumes that environmental conditions remain constant. Such conditions rarely exist. The idea of competitive exclusion, however, has stimulated a more critical look at competitive relationships in natural situations. How similar can two species be and still coexist? What ecological conditions are necessary for coexistence of species that share a common resource base? The resulting research has identified a wide variety of factors affecting the outcome of interspecific competition, including environmental factors that directly influence a species’ survival, growth, and reproduction but are not consumable resources (such as temperature or pH); spatial and temporal variations in resource availability; competition for multiple limiting resources; and resource partitioning. In the following sections, we examine each topic and consider how it functions to influence the nature of competition.

### 14.5 Competition Is Influenced by Nonresource Factors

Interspecific competition involves individuals of two or more species vying for the same limited resource. An array of factors influences the relative abilities of different species



**Figure 14.3** Competition between two species of diatom, *Asterionella formosa* (Af) and *Synedra ulna* (Su), for silica (Si). (a, b) Grown alone in a culture flask, both species reach a stable population that keeps silica at a constant low level. *Synedra* draws silica lower. (c) When the two are grown together, *Synedra* reduces silica to a point at which *Asterionella* dies out.

(Adapted from Tilman et al. 1981.)

to compete for the shared resource. For example, when a managed agricultural field or pasture is abandoned, a wide variety of native plant species colonize the site and compete for essential resources, including space (see photograph in Figure 1 of Ecological Issues: The Changing Forests of Eastern North America, Chapter 19). Species that have high rates of photosynthesis and allocate carbon to height growth and leaf production will overgrow and shade other species, preempting space and gaining access to the essential resource of light. At first, it would seem that the set of characteristics (adaptations) allowing fast growth under high light conditions would be the decisive factor in determining the superior competitor(s) (see Section 6.9). Field experiments have shown this assumption to be true; the shade-intolerant, fast-growing species quickly dominate these environments. However, features of the environment other than light also directly influence the germination, establishment, and growth rates of species—and thus the outcome of competition (see Field Studies: Katherine N. Suding). Environmental factors such as temperature, soil or water pH, relative humidity, and salinity directly influence physiological processes related to plant growth and reproduction, but they are not consumable resources that species compete over.

The late Fakhri Bazzaz of Harvard University examined the responses of a variety of annual plants that dominate during the early stages of old-field succession (colonization following disturbance) to variations in air temperature (see Section 19.3). Species differed significantly in the range of temperatures over which maximum rates of seed germination occurred (Figure 14.4). These differences among species in germination rates directly affect patterns of seedling establishment, subsequent competition for resources,

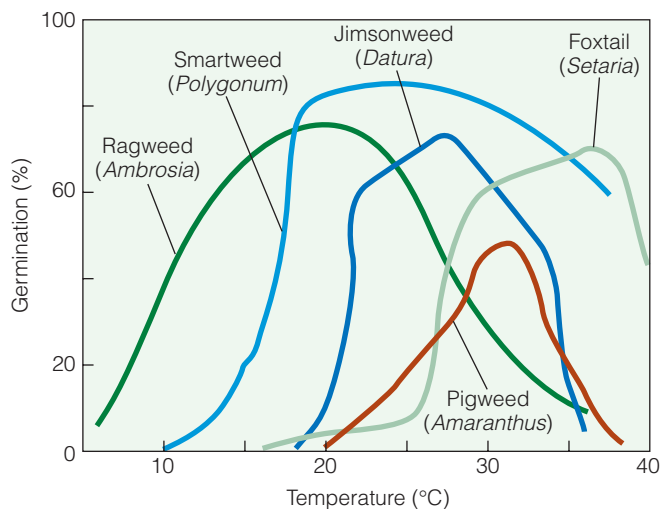
and the structure of old-field communities during the early stages of colonization and recovery. Differences in the temperature responses of these species can result in year-to-year variation in species composition within an old field as a result of differences in temperatures during the early growing season. Similar results have been observed in salt-marsh communities, where variations in salinity (both spatial and temporal) can shift the relative growth rates and competitive ability of plant species based on their salt tolerance.

The performance and competitive ability of animal species can likewise be influenced by an array of environmental factors that directly influence physiological performance yet are not consumable resources over which individuals compete. Susan Warner and colleagues at Pennsylvania State University examined the effect of water pH (acidity) on interspecific competition between two species of tadpoles (*Hyla gratiosa* and *H. femoralis*). The two species overlap broadly in their geographic distribution, yet differ in their responses to water acidity. The researchers conducted experiments using two levels of water pH (4.5 and 6.0) and varying levels of population densities to examine the interactions of pH and population density on both intra- and interspecific competition. The results of the experiments indicated that interspecific interactions were minimal at low water pH (4.5); however, at higher water pH (6.0), interspecific competition from *H. femoralis* caused decreased survival and increased larval period for *H. gratiosa*. The latter resulted in decreased size at metamorphosis for *H. gratiosa* individuals.

## 14.6 Temporal Variation in the Environment Influences Competitive Interactions

One species can exclude another when it exploits a shared, limiting resource more effectively; the result is an increase in that species' population at the expense of its competitor. However, as in the example of the annual plant species studied by Bazzaz, each species does best under particular environmental conditions (see Figure 14.4). Low temperature may favor one competitor; if temperatures rise over time, the advantage may shift to another species. When environmental conditions vary through time, the competitive advantages also change. As a result, no one species will reach sufficient density to displace its competitors. In this manner, environmental variation allows competitors to coexist where under constant conditions one would exclude the other.

The work of Peter Dye of the South African Forestry Research Institute provides an example of shifting competitive ability resulting from temporal variation in resource availability in the grasslands of southern Africa. He examined annual variations in the relative abundance of grass species occupying a savanna community in southwest Zimbabwe. From 1971



**Figure 14.4** Patterns of seed germination of five annual plant species along a gradient of temperature. These species dominate the early stages of secondary succession in field communities of the midwestern United States.

(Adapted from Bazzaz 1996.)

## FIELD STUDIES

## Katherine N. Suding

Department of Ecology and Evolutionary Biology, University of California–Irvine

Natural disturbances such as fire, wind, floods, or extreme weather conditions typically result in partial or complete destruction of plant life within an affected area. By altering the local environment, such disturbances can influence the relative rates of establishment, growth, and survival of different plant species within a community; in doing so, they influence the outcome of interspecific competition. Plant ecologists have long appreciated that natural disturbances can alter the composition of plant communities, favoring some species while reducing the abundance of others. But the mechanisms responsible for the observed changes have rarely been explored. For ecologist Katherine Suding of the University of California–Irvine, however, the question of how disturbance influences the nature of competition within plant communities has been a central focus of her research in the grassland ecosystems of North America.

Natural disturbances can potentially influence the nature of competition by changing environmental conditions in various ways. By removing the competitively dominant species or simply reducing overall plant density, disturbance can reduce the intensity of competition within an affected area. Disturbances can also alter the abiotic environment. For example, within prairie grassland ecosystems, small (10- to 70-cm diameter) soil mounds created by the burrowing of small mammals significantly affect the surrounding biotic and abiotic environments. The formation of burrows reduces vegetation cover in the area surrounding the opening and influences soil compaction and soil moisture in the area of the mound. Plant species that inhabit the resulting mounds tend to differ from those that characterize the surrounding prairie.

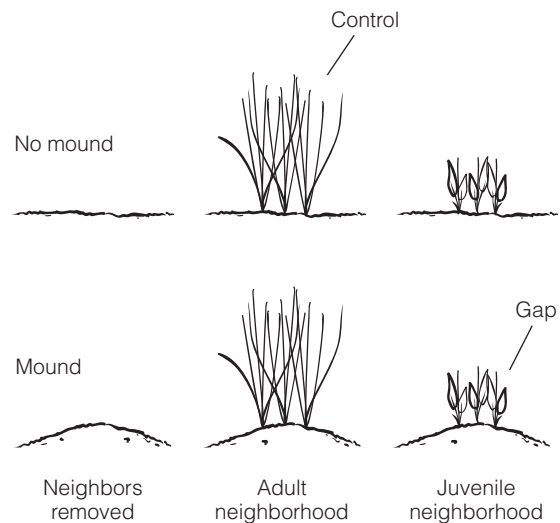
To understand how this disturbance influences interspecific competition, Suding conducted a series of experiments in the tallgrass prairie ecosystem at the Resthaven Wildlife Area near Castalia, Ohio. Suding developed an experimental design to separate the effects of reduced competition (resulting from the removal of plants) and changes in the abiotic environment (mound formation) on the outcome of competition after disturbance. Two soil environment treatments were applied. On some plots, the soil environment was left undisturbed (no mound). On a second set of plots, mounds similar to those formed by small mammals were simulated by extracting existing plants with a bulber, turning over the soil to a depth of 15 cm, removing the remaining plant roots and crowns, and then further loosening the soil.

Besides the two soil treatments, three neighborhood treatments were applied. On some plots, the vegetation was left unaltered (adult neighborhood). On a second set of plots, the vegetation

was removed, and seedlings of colonizing species were planted to simulate the conditions found in the early states after disturbance (juvenile neighborhood). On a third set of plots, all vegetation was removed (no neighbors).

The combination of two soil and three neighborhood treatments gives a total of six treatments (Figure 1). The combination of mound and juvenile neighborhood is the “gap treatment,” and the combination of no mound and adult neighborhood is the “control treatment.”

Three plant species, the  $C_4$  grass *Andropogon gerardii*, and two forbs, *Ratibida pinnata* and *Coreopsis tripteris*, were included in the experiment. In each plot, three individuals of the same target species were planted, giving a total of 18 treatments (3 species  $\times$  2 soil  $\times$  3 neighborhood). The experiment lasted two years, and Suding harvested the six treatments annually and calculated estimates of relative growth rate (RGR) for the



**Figure 1** Combinations of soil (mound and no mound) and neighborhood treatments used in the disturbance experiment.

intervening period (see Quantifying Ecology 6.1). She used the natural logarithm (ln) of the ratio of the relative growth rates of target individuals measured with and without neighbors (ln RR) as an index of competition:

$$\ln RR = \ln (RGR_{\text{with neighbors}} / RGR_{\text{without neighbors}})$$

The competition index for the three species under the control (adult and no mound) treatment (RGR control/RGR neighbors removed) showed a clear competitive hierarchy, with neighboring plants having the least competitive effect on *Coreopsis*, an intermediate effect on *Ratibida*, and the greatest effect (reduction in RGR) on *Andropogon* (Figure 2a). The gap treatment (juvenile and mound) resulted in a reduction in the effects of competition for all three species, eliminating the competitive hierarchy observed under the control treatment (Figure 2a). The relative influence of decreasing intensity of competition (removal of neighboring plants) and changes in abiotic factors (mound formation) on the breakdown of the competitive hierarchy can be evaluated by comparing the results of the control treatment with both the mound treatment and the reduced neighborhood treatment (the two components that make up the gap treatment; see Figure 1). The comparison between the control and the reduced neighborhood treatments (Figure 2b) reveals a reduction in the intensity of competition, but the competitive hierarchy observed under the control treatment is not altered. In contrast, the mound treatment shifted the relative competitive abilities of the three species (Figure 2c), decreasing the competitive effect of neighboring plants on *Andropogon* but increasing the competitive effect of

neighboring plants on *Coreopsis*. The treatment did not influence the competitive index of *Ratibida*, and it retained its intermediate value in the hierarchy.

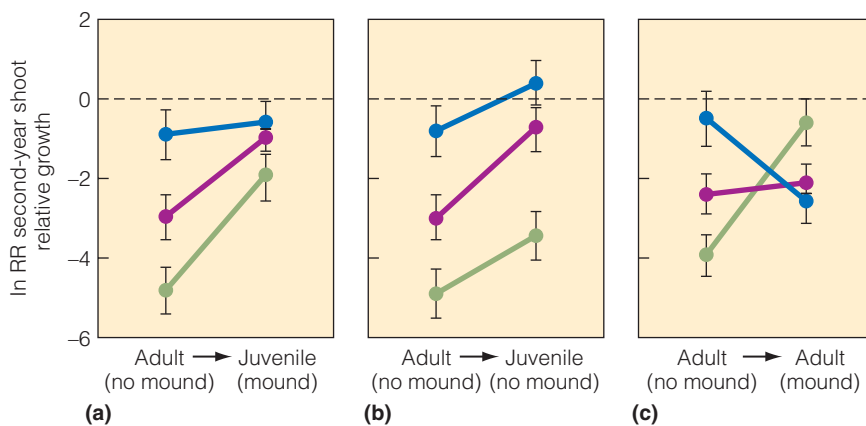
Suding's results clearly show that elimination of the competitive hierarchy existing in the established prairie (the control treatment) after the creation of gap environments by the burrowing behavior of small mammals is a result of abiotic changes (specifically, decreased compaction and lower soil moisture associated with mound formation) rather than changes in neighborhood characteristics such as biomass.

Katherine Suding's work provides valuable insights into the interactions between biotic and abiotic factors that occur after disturbances. It also demonstrates how those interactions can influence the relative competitive abilities of plant species and, ultimately, the composition and dynamics of plant communities.

### Bibliography

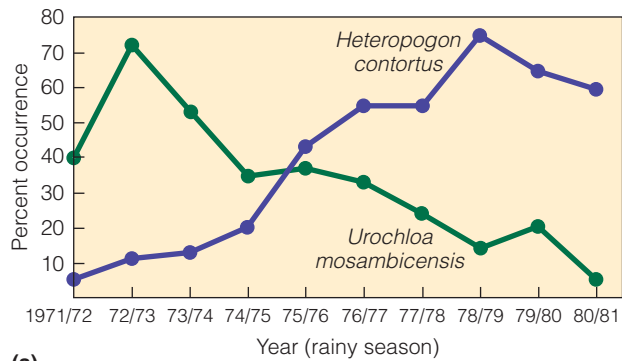
- Suding, K. 2001. The effect of spring burning on competitive rankings of prairie species. *Journal of Vegetation Science* 12:849–856.
- Suding, K., and D. Goldberg. 2001. Do disturbances alter competitive hierarchies? Mechanisms of change following gap formation. *Ecology* 82:2133–2149.

1. What exactly is the index of competition, RR, measuring?
2. Based on the discussion of plant adaptations in Chapter 6, what characteristics might make the forb species *Coreopsis* and *Ratibida* better competitors than the grass *Andropogon* in the absence of disturbance?

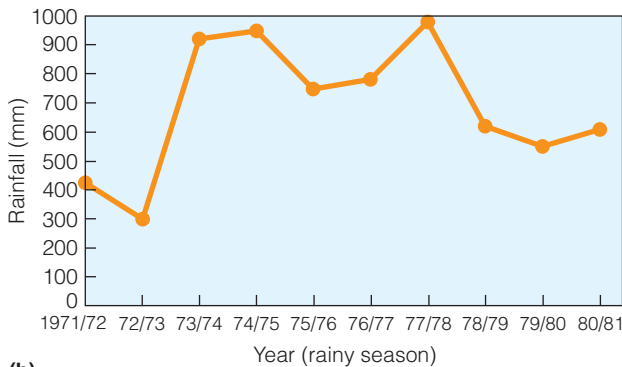


**Figure 2** Effects of selected treatments (see Figure 1) on the competitive response of *Andropogon* (green), *Coreopsis* (blue), and *Ratibida* (magenta). Each graph, (a), (b), and (c), presents a comparison between the control (Adult, no mound) and one of the other six treatments outlined in Figure 1. Go to [www.ecologyplace.com](http://www.ecologyplace.com) to use data to calculate standard deviation.





(a)



(b)



**Figure 14.5** (a) Shift in the dominant grass species in a savanna community in southwest Zimbabwe during the period 1971–81. The shift is in response to changing patterns of precipitation during the same period (b). *Urochloa mosambicensis* was able to compete successfully under the drier conditions during the 1971–72 and 1972–73 rainy seasons. With the increase in rainfall beginning in the 1973–74 season, *Heteropogon contortus* came to dominate the site.

(Adapted from Dye and Spear 1982.)

to 1981, the dominant grass species shifted from *Urochloa mosambicensis* to *Heteropogon contortus* (Figure 14.5a). This observed shift in dominance was a result of yearly variations in rainfall (Figure 14.5b). Rainfall during the 1971–72 and 1972–73 rainy seasons was much lower than average. *Urochloa mosambicensis* can maintain higher rates of survival and growth under dry conditions than can *H. contortus*, making it a better competitor under conditions of low rainfall. With the return to higher rainfall during the remainder of the decade, *H. contortus* became the dominant grass species. Annual rainfall in this semiarid region of southern Africa is highly variable, and fluctuations in species composition such as those shown in Figure 14.5 are a common feature of the community.

A similar pattern was observed by Peter Adler (Utah State University) and colleagues for a prairie grassland site at Hays, Kansas, in the Great Plains region of North America. Adler and colleagues examined the role of interannual climate variability on the relative abundance of prairie grasses over a period of 30 years (1937–1968). The researchers found that year-to-year variations in climate correlated with interannual variations in species performance. The year-to-year variations in the relative competitive abilities of the species functioned to buffer species from competitive exclusion.

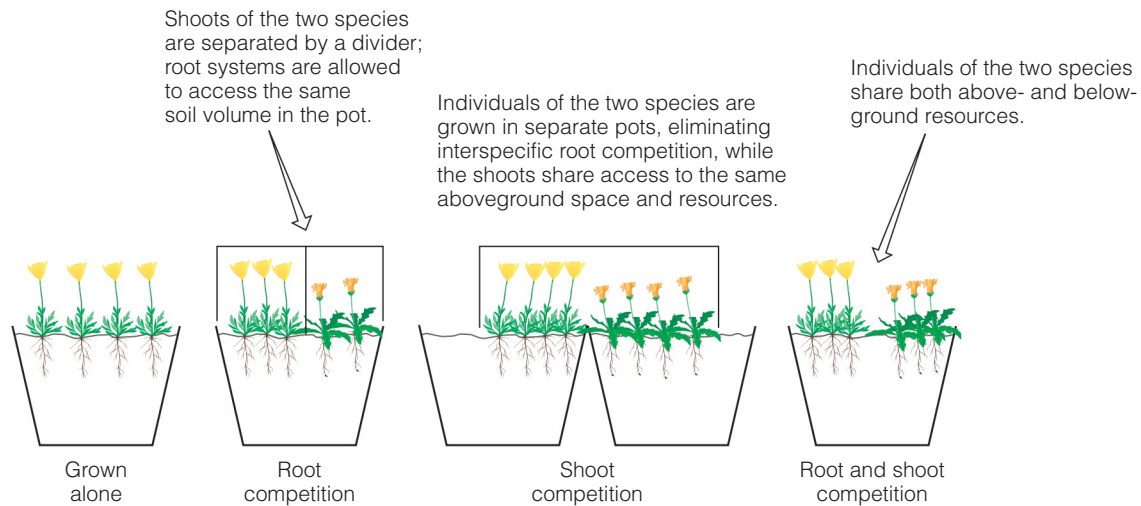
Besides shifting the relative competitive abilities of species, variation in climate can function as a density-independent limitation on population density (see Section 11.12). Periods of drought or extreme temperatures may depress populations below carrying capacity. If these events are frequent enough relative to the time required for the population to recover (approach carrying capacity), resources may be sufficiently abundant during the intervening periods to reduce or even eliminate competition.

## 14.7 Competition Occurs for Multiple Resources

In many cases, competition between species involves multiple resources; and competition for one resource often influences an organism's ability to access other resources. One such example is the practice of interspecific territoriality, where competition for space influences access to food and nesting sites (see Section 11.10).

A wide variety of bird species in the temperate and tropical regions exhibit interspecific territoriality. Most often, this practice involves the defense of territories against closely related species, such as the gray (*Empidonax wrightii*) and dusky (*E. oberholseri*) flycatchers of the western United States. Some bird species, however, defend their territories against a much broader range of potential competitors. For example, the acorn woodpecker (*Melanerpes formicivorus*) defends territories against jays and squirrels as well as other species of woodpeckers. Strong interspecific territorial disputes likewise occur among brightly colored coral reef fish.

Competition among plants provides many examples of how competition for one resource can influence an individual's ability to exploit other essential resources, leading to a combined effect on growth and survival. R. H. Groves and J. D. Williams examined competition between populations of subterranean clover (*Trifolium subterraneum*) and skeletonweed (*Chondrilla juncea*) in a series of greenhouse experiments. Plants were grown both in monocultures (single populations) and in mixtures (two populations combined). The investigators used a unique experimental design to determine the independent effects of competition for aboveground (light) and belowground (water and nutrients) resources (see Section 11.11). In the monocultures, plants were grown in pots, allowing for the canopies (leaves) and roots to intermingle. In the two-species mixtures (Figure 14.6), three different approaches were used: (1) plants of both species were grown in the same pot, allowing their canopies and roots to intermingle; (2) plants of both species were grown in the same pot but with their canopies separated; and (3) the plant species were grown in separate pots with their canopies intermingled.



**Figure 14.6** Experimental design used to examine aboveground and belowground competition between subterranean clover and skeleton weed.

(Adapted from Groves and Williams 1975.)

Clover was not significantly affected by the presence of skeletonweed; however, the skeletonweed was affected in all three treatments where the two populations were grown together. When the roots were allowed to intermingle, the biomass (dry weight of plant population) of skeletonweed was reduced by 35 percent compared to the biomass for the species when grown as a monoculture. The biomass was reduced by 53 percent when the canopies were intermingled. When both the canopies and roots were intermingled, the biomass was reduced by 69 percent, indicating an interaction in the competition for aboveground and belowground resources. Clover plants were the superior competitors for both aboveground and belowground resources, resulting in a combined effect of competition for these two resources (see Sections 11.11 and 19.7). This type of interaction has been seen in a variety of laboratory and field experiments. The species with the faster growth rate grows taller than the slower-growing species, reducing its available light, growth, and demand for belowground resources. The result is increased access to resources and further growth by the superior competitor.

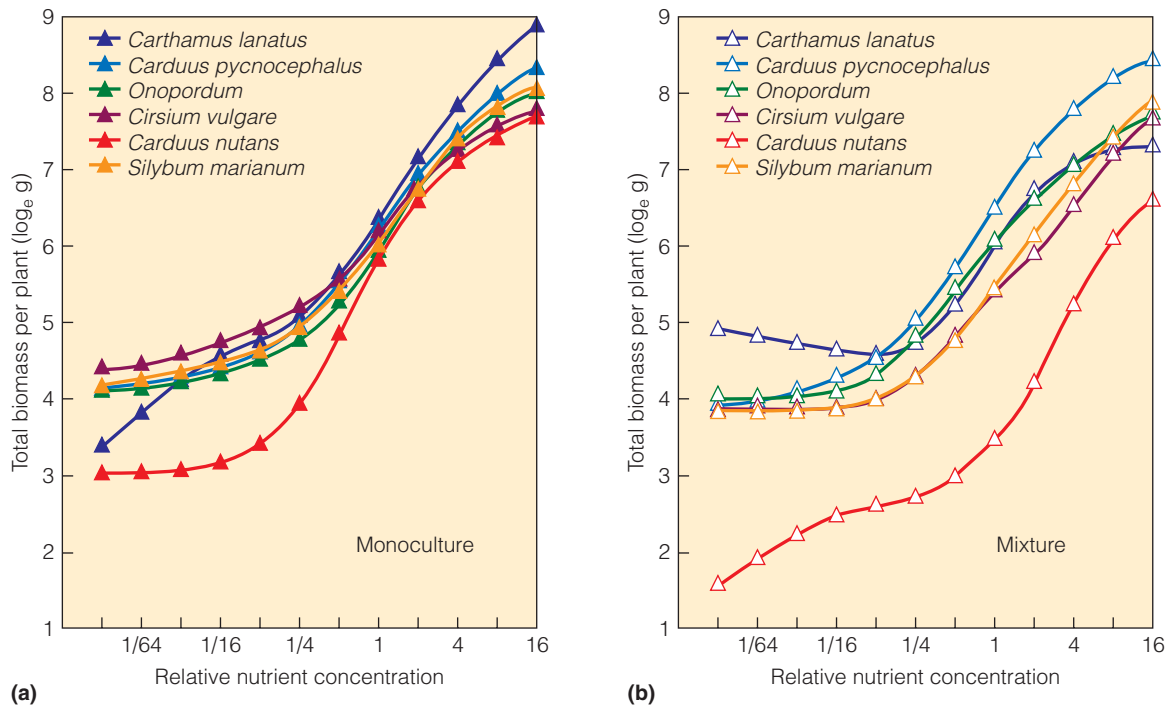
In a series of field studies, James Cahill of the University of Alberta (Canada) examined the interactions between competition for aboveground and belowground resources in an old field grassland community in Pennsylvania. With an experimental design in the field similar to that used by Groves and Williams in the greenhouse, Cahill grew individual plants with varying degrees of interaction with the roots of neighboring plants through the use of root exclusion tubes made of PVC pipe. The target plant was planted inside an exclusion tube that was placed vertically into the soil to separate roots of the target plant from the roots of other individuals in the population that naturally surround it. The degree of belowground competition was controlled by drilling varying numbers of holes in the PVC pipe that allowed access to the soil volume from neighboring plants (see Section 11.11 for further description of method). The level of aboveground competition was varied by tying back the aboveground neighboring vegetation. In total, 16 combinations of varying intensities of aboveground and belowground interaction with neighbors were created. This experimental

design allowed Cahill to compare the response of individuals exposed to varying combinations of aboveground and belowground competition to control plants isolated from neighbors. The results of his experiments show a clear pattern of interaction between aboveground and belowground competition. In general, increased competition for belowground resources functions to reduce growth rates and plant stature, the result of which is reduced competitive ability for light (aboveground resource).

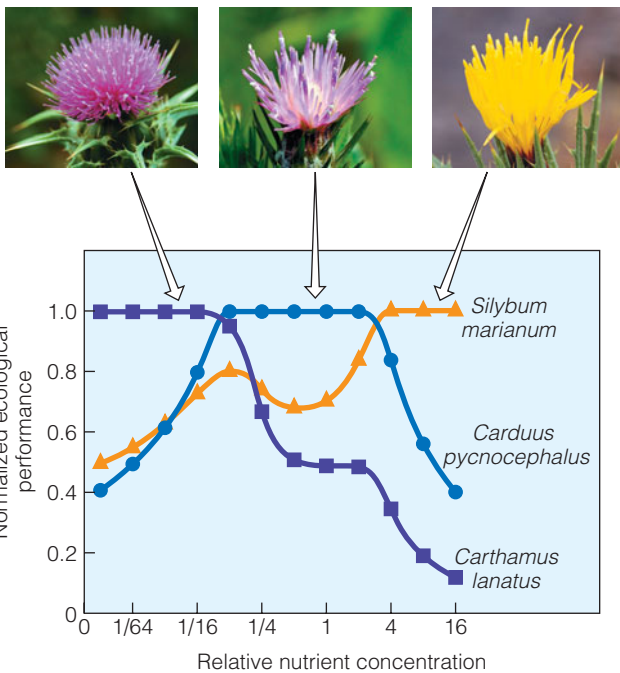
## 14.8 Relative Competitive Abilities Change along Environmental Gradients

As environmental conditions change, so will the relative competitive abilities of species. Shifts in competitive ability can result either from changes in the carrying capacities of species (values of  $K$ ; see Quantifying Ecology 14.2: Competition under Changing Environmental Conditions, Application of the Lotka–Volterra Model) related to a changing resource base or from changes in the physical environment that interact with resource availability.

Many laboratory and field studies have examined the outcomes of competition among plant species across experimental gradients of resource availability. Mike Austin and colleagues at the Commonwealth Scientific and Industrial Research Organization (CSIRO) research laboratory in Canberra, Australia, have conducted several greenhouse studies to explore the changing nature of interspecific competition among plant species across experimental gradients of nutrient availability. In one such experiment, the researchers examined the response of six species of thistle along a gradient of nutrient availability (application of nutrient solution). Plants were grown both in monoculture (single species) and mixture (all six species) under 11 different nutrient treatments, ranging from 1/64 to 16 times the recommended concentration of standard greenhouse nutrient solution. After 14 weeks, the plants were harvested, and their dry weights were determined.



**Figure 14.7** Response of six thistle species to an experimental gradient of nutrient availability: (a) single-species populations (monocultures) and (b) mixed populations. (Adapted from Austin et al. 1986.)



**Figure 14.8** Differences in the response of three thistle species grown at different relative nutrient levels. Nutrient levels (x-axis) represent 11 different nutrient treatments, ranging from 1/64 to 16 times the recommended concentration of standard greenhouse nutrient solution. Response (y-axis) is measured as the normalized ecological response of the three dominant thistle species when grown as a mixture (interspecific competition). This expression is achieved by dividing the biomass (dry weight) value for each species at each nutrient level by the value of the species that achieved the highest biomass at that level (see results of experiments presented in Figure 14.7b). The relative performance of each species at each nutrient level then ranges from 0 to 1.0. (Adapted from Austin et al. 1986.)

**INTERPRETING ECOLOGICAL DATA**

- Q1.** Which of the three species of thistle included in the graph had the highest biomass production under the 1/64 nutrient treatment? What does this imply about this species' competitive ability under low nutrient availability relative to other thistle species?
- Q2.** Using relative biomass production at each treatment level as an indicator of competitive ability, which thistle species is the superior competitor under the standard concentration of nutrient solution (1.0)?
- Q3.** At which nutrient level is the relative biomass of the three species most similar (smallest difference in the biomass of the three species)?

Responses of the six species along the nutrient gradient for monoculture and mixture experiments are shown in Figure 14.7.

Two important results emerged from the experiment. First, when grown in mixture, the response of each species along the resource gradient differed from the pattern observed when grown in isolation. Second, the relative competitive abilities of the species changed along the nutrient gradient. This result was easily seen when the response of each species in the mixed-species

experiments was expressed on a relative basis, achieved by dividing the biomass (dry weight) value for each species at each nutrient level by the value of the species that achieved the highest biomass at that level. The relative performance of each species at each nutrient level then ranged from 0 to 1.0. Relative responses of the three dominant thistle species along the nutrient gradient are shown in Figure 14.8. Note that *Carthamus lanatus* was the superior competitor under low nutrient concentrations, *Carduus*

## QUANTIFYING ECOLOGY 14.2

## Competition under Changing Environmental Conditions: Application of the Lotka–Volterra Model

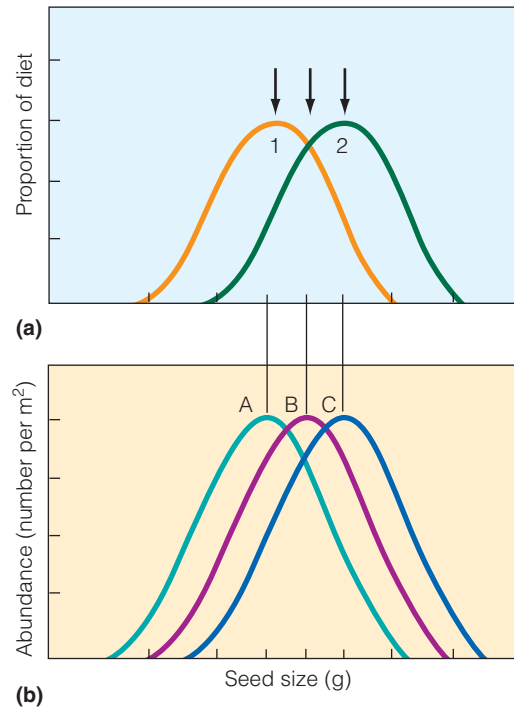
Under any set of environmental conditions, the outcome of interspecific competition will reflect the relative abilities of the species involved to gain access and acquire the essential resources required for survival, growth, and reproduction. As we have seen in the analysis of interspecific competition using the Lotka–Volterra equations two factors interact to influence the outcome of competition—the competition coefficients ( $\alpha$  and  $\beta$ ), and the carrying capacities of the species involved ( $K_1$  and  $K_2$ ). The competition coefficients represent the per capita effect of an individual of one species on the other. These values will be a function of both the overlap in diets and the rates of resource uptake of the two species. These values, therefore, reflect characteristics of the species. In contrast, the carrying capacities are a function of the resource base (availability) for each species in the prevailing environment. Changes in environmental conditions that influence resource availability, therefore, influence the relative carrying capacities of the species and can directly influence the nature of competition.

Consider, for example, two species (species 1 and 2) that draw upon the same limiting food resources: seeds. The diets of the two species are shown in Figure 1a. Note that the overlap in diet of the two species is symmetric. If the rate of food intake (seeds eaten per unit time) is the same, we can assume that the competition coefficients are the same. For this example, let us assume a value of 0.5 for both  $\alpha$  and  $\beta$ .

Now let's assume that the size distribution of seeds and their abundance vary as a function of environmental conditions. For example, in Figure 1b the average seed size increases from environment A to B and C. As the size distribution of seeds changes, so will the carrying capacity ( $K$ ) for each species. Now assume that the carrying capacities of the two species vary as shown in the following table.

	Environment		
	A	B	C
Species 1 ( $K$ )	225	150	75
Species 2 ( $K$ )	75	150	225

We can now explore the changing nature of competition between the two species at these three sites by using the Lotka–Volterra equations and the graphical analysis of the zero growth isoclines presented in Section 14.2. Construction of the



**Figure 1** (a) Proportion of different seed sizes making up the diets of two species (species 1 and 2). (b) Relative abundances of the different seed sizes in three different environments (A, B, and C).

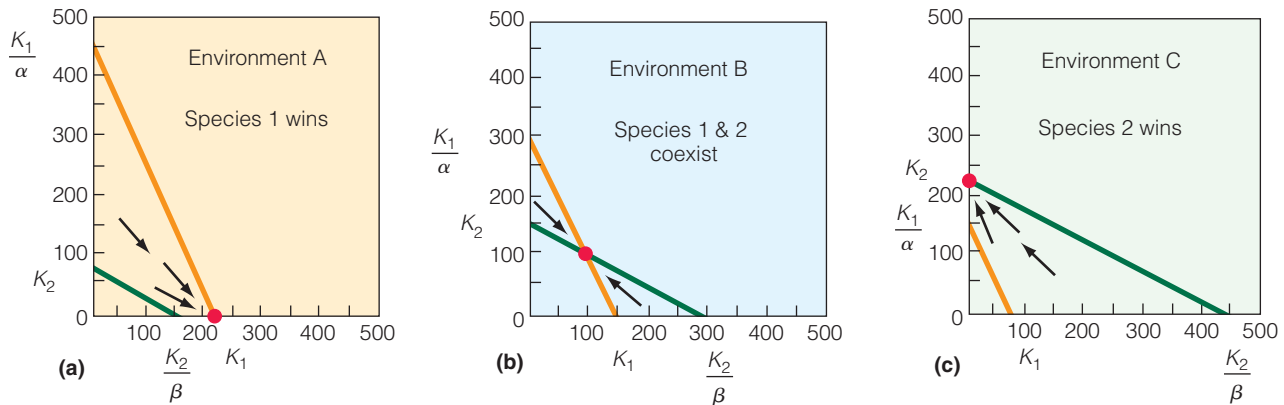
zero isoclines in each of the three environments requires the values presented in the following table.

Environment	$K_1$	$K_1/\alpha$	$K_2$	$K_2/\beta$
A	225	450	75	150
B	150	300	150	300
C	75	250	225	450

The outcomes of competition in these three environments using the Lotka–Volterra equations are graphically depicted in Figure 2. In environment A, species 1 wins; in environment B, species 1 and 2 coexist; in environment C, species 2 wins. As the relative abundance of different seed sizes changes from one environment to another, the resulting changes in carrying capacities of the two species shift the nature of interspecific competition.

continued on page 264

## QUANTIFYING ECOLOGY 14.2 continued



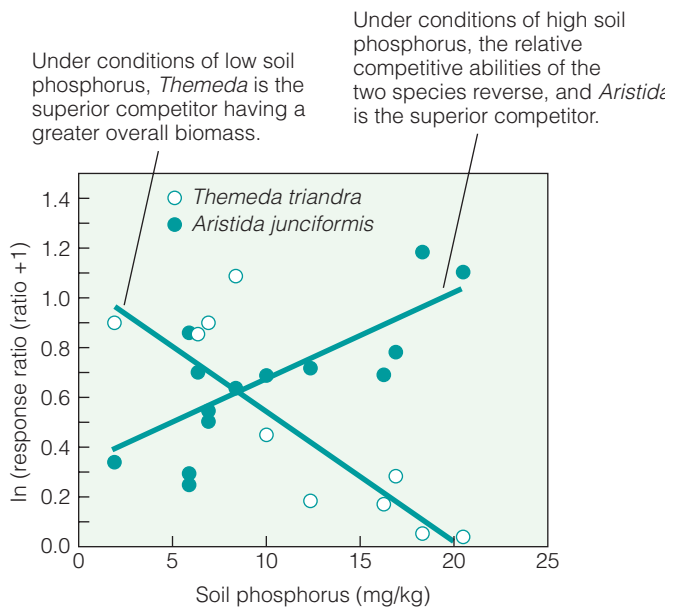
**Figure 2** Outcomes for the competitive interactions of the two species in the three different environments (parameters of Lotka–Volterra equations given in text).

1. Suppose that the competition coefficients were not the same for both species, but rather  $\alpha = 0.5$  and  $\beta = 0.25$ . How would this influence the outcome of competition in environment A?
2. What factor or factors might cause the relative values of the competition coefficients ( $\alpha$  and  $\beta$ ) to change in the three different environments?

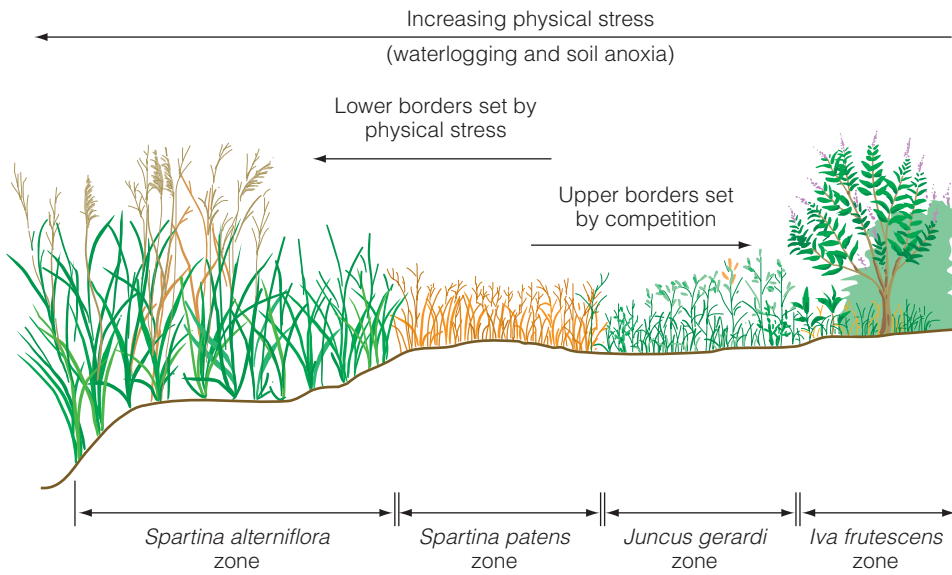
*pycnoccephalus* at intermediate values, and *Silybum marianum* at the highest nutrient concentrations.

In a series of field experiments, Richard Flynn and colleagues at the University of KwaZulu-Natal (South Africa) examined trade-offs in competitive ability among five perennial  $C_4$  grass species at different levels of soil fertility and disturbance. Soil fertility treatments were established through the application of different levels of fertilizer, while varying levels of clipping were used to simulate disturbance due to grazing by herbivores. Individuals of the five grass species were grown in both monoculture and mixtures at each treatment level. The results of their experiments show a pattern of changing relative competitive abilities of the species along the gradients of soil fertility and disturbance (Figure 14.9). Moreover, in some of the results there were clear interactions between soil fertility and disturbance on competitive outcomes.

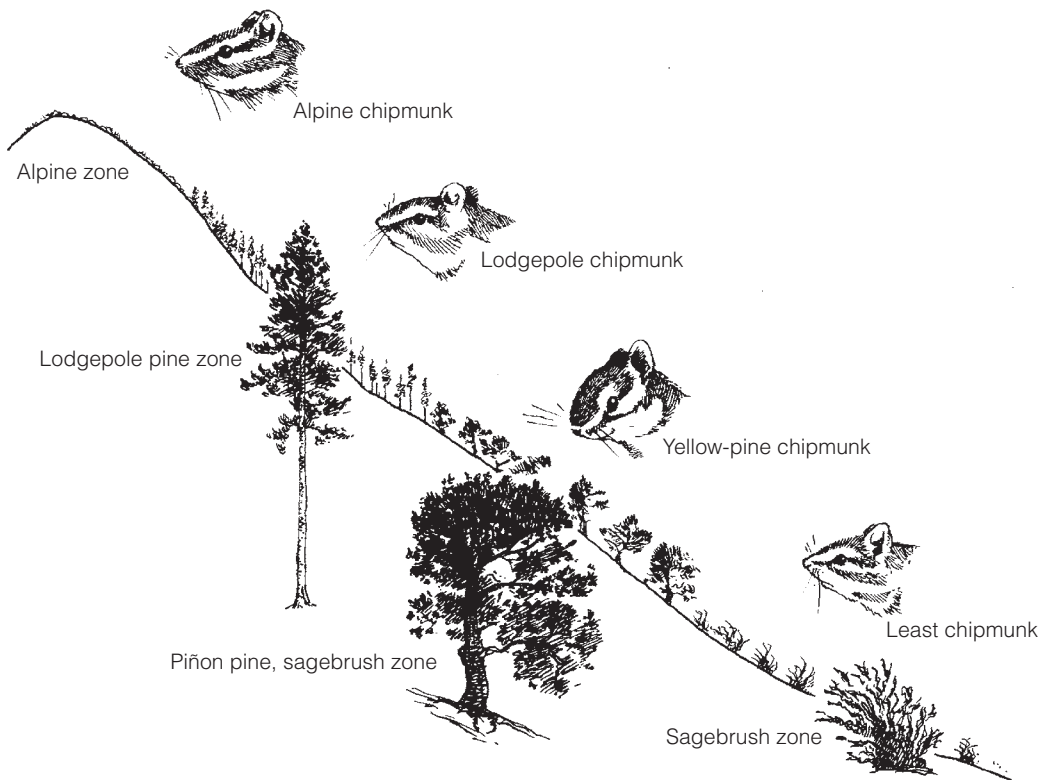
Field studies designed to examine the influence of interspecific competition across an environmental gradient often reveal that multiple environmental factors interact to influence the response of organisms across the landscape. In the coastal regions of New England, interspecific competition for nutrients has been shown to be an important factor determining the patterns of salt-marsh plant zonation (see Section 19.5). However, the relative competitive abilities of species for nutrients are influenced by the ability of plant species to tolerate a gradient of increasing physical stress relating to waterlogging, salinity, and oxygen availability in the soil and sediments (Figure 14.10). Upper boundaries (toward shoreline) of species distribution are set by interspecific competition for nutrients, whereas lower boundaries



**Figure 14.9** Patterns of response ratio (mixture biomass/monoculture biomass) for two grass species (*Themeda triandra* and *Aristida junceiformis*) grown along a gradient of soil phosphorus. Plants were grown both in monoculture and mixtures (both species present) along the gradient, and the response ratio reflects the relative competitive abilities of the two species at the varying levels of soil phosphorus. (Flynn et al. 2005).



**Figure 14.10** Zonation of the dominant perennial plant species in a New England salt-marsh community. Upper borders of species distribution are a function of competition, whereas lower borders are a function of the species' ability to tolerate the physical stress associated with salinity, waterlogging, and low oxygen concentrations in the sediments. (Adapted from Emery et al. 2001.)



**Figure 14.11** A transect of the Sierra Nevada in California, 38° N latitude, showing vegetation zonation and the altitudinal ranges of four species of chipmunks (*Eutamias*) on the east slope. (Adapted from Heller-Gates 1971.)

are set by the ability to tolerate the physical stress associated with increasing water depth (see Section 19.5).

Chipmunks furnish a striking example of the interaction of competition and tolerance to physical stress in determining species distribution along an environmental gradient. In this case, physiological tolerance, aggressive behavior, and restriction to habitats in which one organism has competitive

advantage all play a part. On the eastern slope of the Sierra Nevada live four species of chipmunks: the alpine chipmunk (*Tamias alpinus*), the lodgepole chipmunk (*T. speciosus*), the yellow-pine chipmunk (*T. amoenus*), and the least chipmunk (*T. minimus*). Each of these species has strongly overlapping food requirements, and each species occupies a different altitudinal zone (Figure 14.11).

The line of contact between chipmunks is determined partly by interspecific aggression. Aggressive behavior by the dominant yellow-pine chipmunk determines the upper range of the least chipmunk. Although the least chipmunk can occupy a full range of habitats from sagebrush desert to alpine fields, it is restricted in the Sierra Nevada to sagebrush habitat. Physiologically, it is more capable of handling heat stress than the others, enabling it to inhabit extremely hot, dry sagebrush. If the yellow-pine chipmunk is removed from its habitat, the least chipmunk moves into vacated open pine woods. However, if the least chipmunk is removed from the sagebrush habitat, the yellow-pine chipmunk does not invade the habitat. The aggressive behavior of the lodgepole chipmunk in turn determines the upper limit of the yellow-pine chipmunk. The lodgepole chipmunk is restricted to shaded forest habitat because it is vulnerable to heat stress. Most aggressive of the four, the lodgepole chipmunk also may limit the downslope range of the alpine chipmunk. Thus, the range of each chipmunk is determined both by aggressive exclusion and by its ability to survive and reproduce in a habitat hostile to the other species.

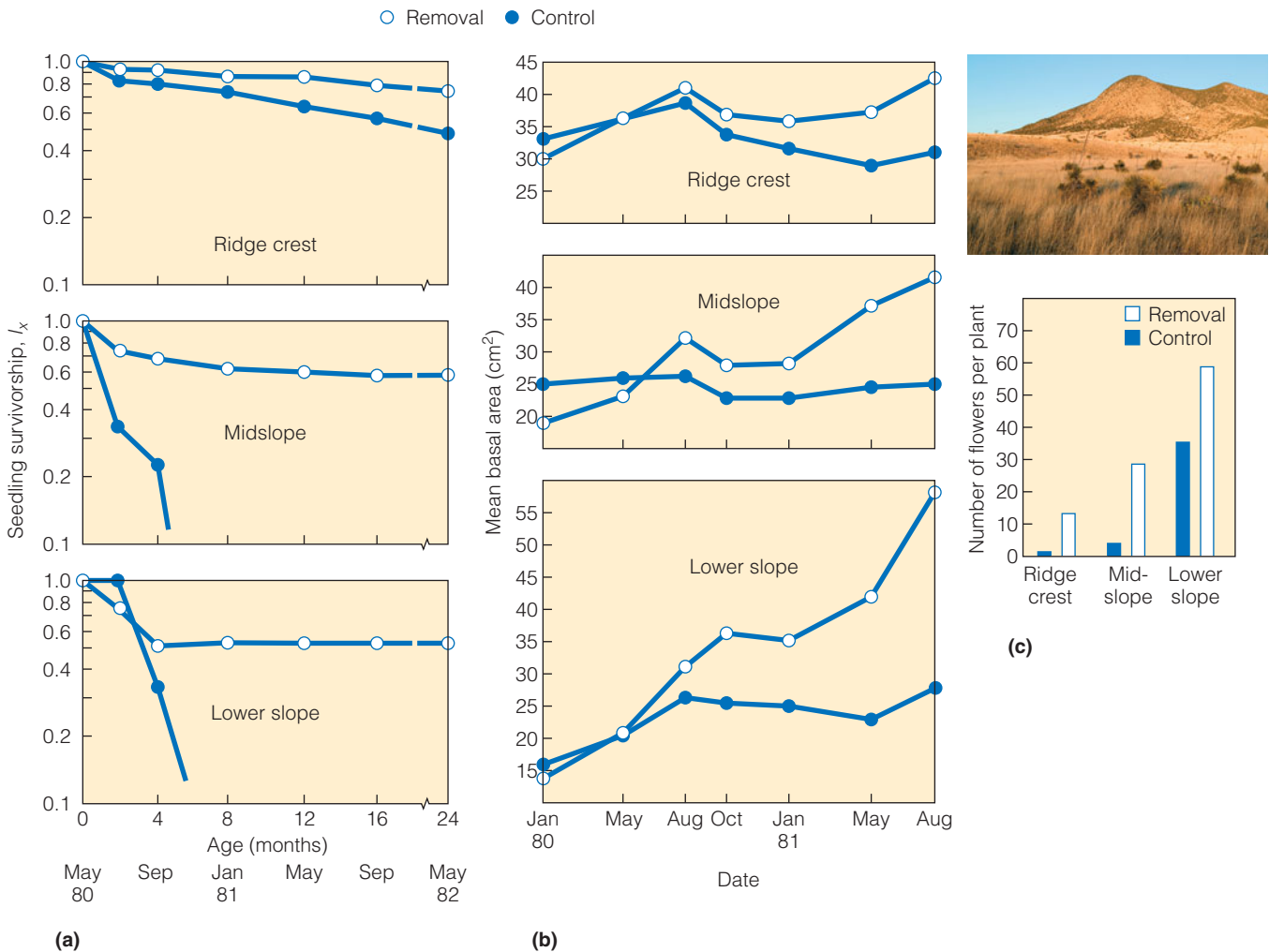
## 14.9 Interspecific Competition Influences the Niche of a Species

Earlier, we defined the ecological niche of a species as the range of physical and chemical conditions under which it can persist (survive and reproduce) and the array of essential resources it utilizes and drew the distinction between the concepts of fundamental and realized niche (Chapter 13, Section 13.6). The fundamental niche is the ecological niche in the absence of interactions with other species, whereas the realized niche is that the portion of the fundamental niche that a species actually exploits as a result of interactions with other species. As preceding examples have illustrated, competition may force species to restrict their use of space, range of foods, or other resource-oriented activities. As a result, species do not always occupy that part of their fundamental niche where conditions yield the highest growth rate, reproduction, or fitness. The work of Jessica Gurevitch, of the University of New York–Stony Brook illustrates this point well. Gurevitch examined the role of interspecific competition on the local distribution of *Stipa neomexicana*, a C<sub>3</sub> perennial grass found in the semiarid grassland communities of southeastern Arizona. *Stipa* is found only on the dry ridge crests where grass cover is low, rather than in moister, low-lying areas below the ridge crests where grass cover is greater. In a series of experiments, Gurevitch removed neighboring plants from individual *Stipa* plants in ridge-crest, midslope, and lower-slope habitats. She compared the survival, growth, and reproduction of these plants with control individuals (whose neighboring plants were not removed). Her results clearly show that *Stipa* has a higher growth rate, produces more flowers per plant, and has higher rates of seedling survival in midslope and lower-slope

habitats (Figure 14.12). Its population density in these habitats is limited by competition with more successful grass species. *Stipa* distribution (or realized niche) is limited to suboptimal habitats due to interspecific competition.

Much of the evidence for competition comes from studies, such as the one just presented, demonstrating the contraction of a fundamental niche in the presence of a competitor. Conversely, when a species expands its niche in response to the removal of a competitor, the result is termed **competitive release**. Competitive release may occur when a species invades an island that is free of potential competitors, moves into habitats it never occupied on a mainland, and increases its abundance. Such expansion may also follow when a competing species is removed from a community, allowing remaining species to move into microhabitats they previously could not occupy. Such was the case with the distribution of cattails along the gradient of water depth discussed earlier, where in the absence of competition from *T. latifoli*, *T. angustifolia* was able to expand its distribution to areas above the shoreline (expressed as negative values of water depth; see Figure 13.9).

An example of competitive release in a lake ecosystem is presented by Daniel Bolnick and colleagues at the University of Texas–Austin. Bolnick and his colleagues tested for short-term changes in the feeding niche of the three-spine stickleback (*Gasterosteus aculeatus*) after experimentally manipulating the presence or absence of two interspecific competitors: juvenile cut-throat trout (*Oncorhynchus clarki*) and prickly sculpin (*Cottus asper*). Direct examination of stomach contents of sculpin and trout reveals overlap with stickleback diets. Sculpin are exclusively benthic feeders, whereas juvenile trout feed at the surface and in the water column. In contrast, stickleback feed in both microhabitats. The experiment consisted of 20 experimental enclosures (made of netting) in Blackwater Lake on northern Vancouver Island, British Columbia. Five blocks of four enclosures each were distributed along the shoreline of the lake. Sticklebacks collected from similar habitats nearby were placed in the enclosures. The enclosures in each of the blocks were assigned to one of four treatments: (1) competition, with sculpin and trout present; (2) release from sculpin, with trout present; (3) release from trout, with sculpin present; and (4) total release, with no competitors. The experimental treatments were left undisturbed for 15 days, after which all sticklebacks were removed, and the researchers identified (to the lowest feasible taxonomic level) and counted prey in the stomach of each stickleback. The diversity of prey species in the diet of the sticklebacks in each treatment was used as a measure of niche breadth. Results of the experiment reveal no significant change in the niche breadth (diversity of prey consumed) for the stickleback population when released from competition from sculpin. When released from competition from juvenile cut-throat trout, however, the researchers observed a significant expansion of niche breadth for the stickleback population (Figure 14.13 on page 268).



**Figure 14.12** Response of *Stipa neomexicana* plants in three different habitats (ridge crest, midslope, and lower slope). Results of both treatment (neighboring plants removed) and control (neighbors *not* removed) plants are shown for (a) seedling survival, (b) mean growth rate, and (c) flowers produced per plant. Under natural conditions, distribution of *Stipa* is restricted to the ridge-crest habitats due to competition from other grass species.

(Adapted from Gurevitch 1986.)

### INTERPRETING ECOLOGICAL DATA

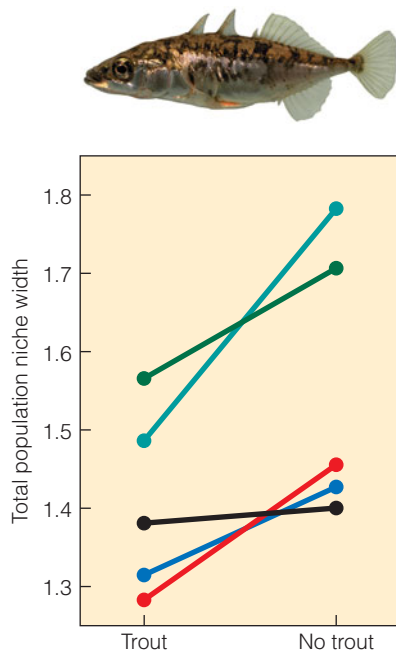
- Q1.** How does the influence of interspecific competition on seedling survival of *Stipa* differ between the ridge-crest and lower-slope habitats?
- Q2.** Experiment results show that *Stipa* individuals can effectively grow at the lower slope even under conditions of interspecific competition, as indicated by values of mean basal area in part (b). Based on the results in Figure 14.12, what part(s) of the *Stipa* life cycle are most heavily influenced by interspecific competition, and how would these limitations affect distribution of the species on the landscape?

## 14.10 Coexistence of Species Often Involves Partitioning Available Resources

All terrestrial plants require light, water, and essential nutrients such as nitrogen and phosphorus. Consequently, competition between various co-occurring species ought to be common. The same should be true for the variety of insect-feeding bird

species inhabiting the canopy of a forest, large mammalian herbivores feeding on grasslands, and predatory fish species that make the coral reef their home. How is it that these diverse arrays of potential competitors can coexist in the same community? The competitive exclusion principle introduced in Section 14.4 suggests that if two species have identical resource requirements, then one species will eventually displace the other. But how different do two species have to be in their use of resources



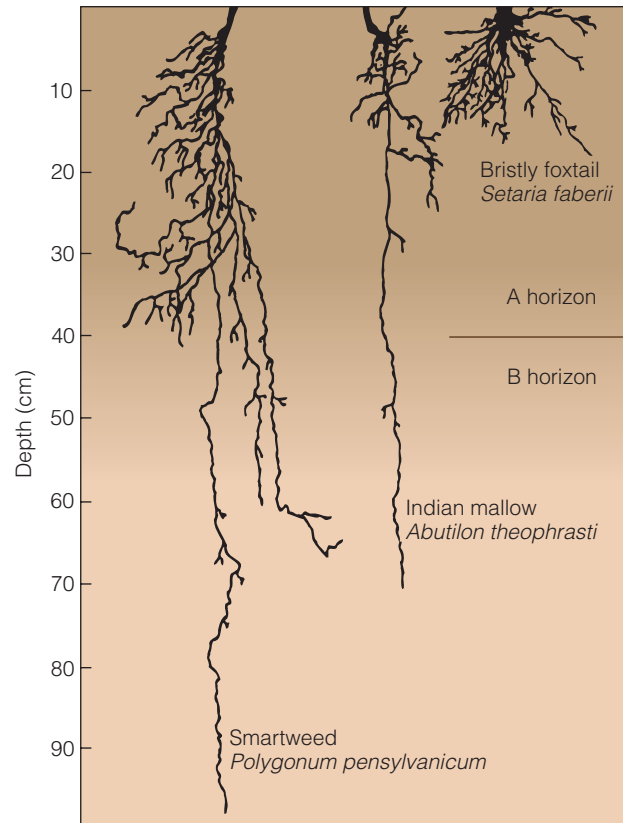


**Figure 14.13** Example of niche expansion in a population of three-spine stickleback (*Gasterosteus aculeatus*) with the removal of its competitor, juvenile cut-throat trout (*Oncorhynchus clarki*). Total population niche width represents the diversity of prey species in the diet of the stickleback. When food competition from trout was removed, the diversity of the prey consumed by stickleback increased significantly. Each of the five lines represents the average response of stickleback in one of the five experimental treatment blocks (see text for discussion). (Bolnick et al. 2010).

before competitive exclusion does not occur (or conversely, how similar can two species be in their resource requirements and still coexist)?

We have seen that the coexistence of competitors is associated with some degree of “niche differentiation”—differences in the range of resources used or environmental tolerances—in the species’ fundamental niches. Observations of similar species sharing the same habitat suggest that they coexist by partitioning available resources. Animals use different kinds and sizes of food, feed at different times, or forage in different areas. Plants require different proportions of nutrients or have different tolerances for light and shade. Each species exploits a portion of the resources unavailable to others, resulting in differences among co-occurring species that would not be expected purely due to chance.

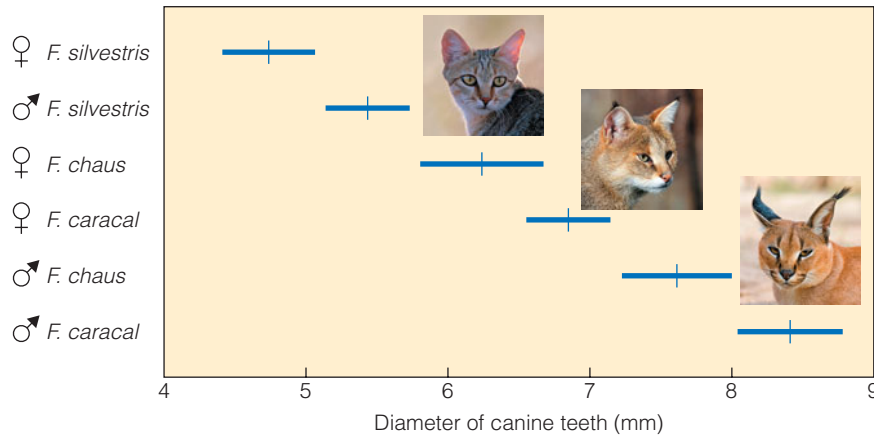
Field studies provide many reports of apparent resource partitioning. One example involves three species of annual plants growing together on prairie soil abandoned one year after plowing. Each plant exploits a different part of the soil resource (Figure 14.14). Bristly foxtail (*Setaria faberii*) has a fibrous, shallow root system that draws on a variable supply of moisture. It recovers rapidly from drought, takes up water rapidly after a rain, and carries on a high rate of photosynthesis even when partially wilted. Indian mallow (*Abutilon theophrasti*) has a sparse, branched taproot extending to intermediate depths, where moisture is adequate during the early



**Figure 14.14** Vertical partitioning of the prairie soil resource at different levels by three species of annual plants, one year after disturbance.

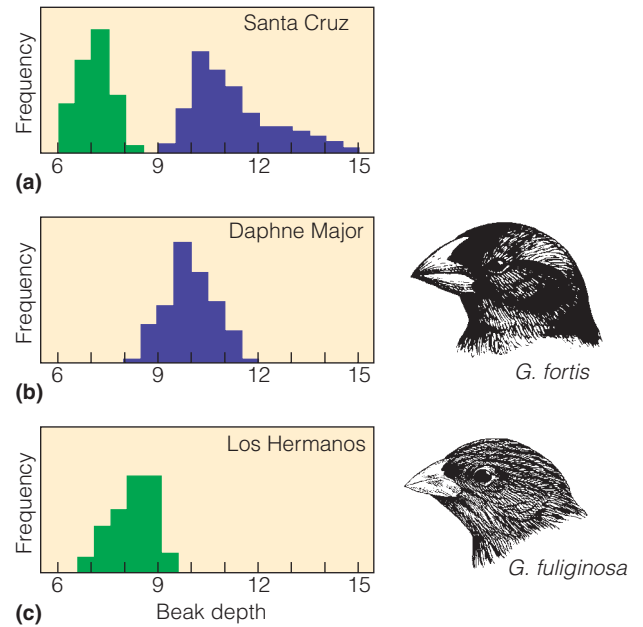
part of the growing season but is less available later on. The plant is able to carry on photosynthesis at low water availability (Section 6.10). The third species, smartweed (*Polygonum pensylvanicum*), has a taproot that is moderately branched in the upper soil layer and develops mostly below the rooting zone of other species, where it has a continuous supply of moisture.

Apparent resource partitioning is also common among related animal species that share the same habitat and draw upon a similar resource base. Tamar Dayan, at Tel Aviv University, examined possible resource partitioning in a group of coexisting species of wild cats inhabiting the Middle East. Dayan and colleagues examined differences among species in the size of canine teeth, which are crucial to wild cats in capturing and killing their prey. For these cats, there is a general relationship between the size of canine and the prey species selected. Dayan found clear evidence of systematic differences in the size of the canine teeth, not only between male and female individuals within each of the species (sexual dimorphism) but also among the three coexisting cat species (Figure 14.15, see also Chapter 10). The pattern observed suggests an exceptional regularity in the spacing of species along the axis defined by the average size of canine teeth ( $x$ -axis in Figure 14.15). Dayan and colleagues hypothesize that intraspecific and interspecific competition for food has resulted in natural selection favoring the observed differences, thereby reducing the overlap in the types and sizes of prey that are taken.



**Figure 14.15** Size (diameter) of canine teeth for small cat species that co-occur in Israel. Note the regular pattern of differences in size between species. Size is correlated with the size of prey selected by the different species. (Adapted from Dayan et al. 1990.)

The patterns of resource partitioning discussed above are a direct result of differences among co-occurring species in specific physiological, morphological, or behavioral adaptations that allow individuals access to essential resources while at the same time function to reduce competition (see Chapter 5). Because the adaptations function to reduce competition, they are often regarded as a product of coevolutionary forces (see Chapter 13, Section 13.6 for discussion and example of coevolution driven by competition). Although patterns of resource partitioning observed in nature are consistent with the hypothesis of phenotypic divergence arising from coevolution between competing species, it is difficult to prove that competition functioned as the agent of natural selection that resulted in the observed differences in resource use (observed differences in fundamental niches of the species). Differences among species may relate to adaptation for the ability to exploit a certain environment or range of resources independent of competition. Differences among species have evolved over a long period of time, and we have limited or no information about resources and potential competitors that may have influenced natural selection. This issue led Joseph Connell, an ecologist at the University of California–Santa Barbara, to refer to the hypothesis of resource partitioning as a product of coevolution between competing species as the “ghosts of competition past.” Unable to directly observe the role of past competition on the evolution of characteristics, some of the strongest evidence supporting the role of “competition past” comes from studies examining differences in the characteristics of (sub)populations of a species that face different competitive environments. A good example is the work of Peter Grant and Rosemary Grant, of Princeton University, involving two Darwin’s finches of the Galápagos Islands. The Grants studied the medium ground finch (*Geospiza fortis*) and the small ground finch (*G. fuliginosa*), both of which feed on an overlapping array of seed sizes (for further discussion and illustrations, see Section 5.8). On the large island of Santa Cruz, where the two species of finch coexist, the distribution of beak sizes (phenotypes) for the two species does not overlap. Average beak size is significantly larger for *G. fortis* than for the smaller *G. fuliginosa* (Figure 14.16a). On the adjacent—and much smaller— islands of Los Hermanos and Daphne Major, the two species do not coexist; and the distributions of beak sizes for the two species are distinctively different from the patterns observed on Santa Cruz. The medium ground finch is allopatric (lives separately) on the island of



**Figure 14.16** Apparent character displacement in beak size in two populations of Galápagos finches—the medium ground finch (*Geospiza fortis*) and the small ground finch (*G. fuliginosa*). (a) On the large island of Santa Cruz, where the two finch species coexist, distribution of beak sizes (phenotypes) for these species does not overlap. Average beak size is significantly larger for *G. fortis* than for the smaller *G. fuliginosa*. In contrast, on the smaller islands of (b) Daphne Major and (c) Los Hermanos, where the two species do not co-occur, distribution of beak sizes for these species is intermediate and overlapping. (Adapted from Grant and Grant 1986.)

than for the smaller *G. fuliginosa* (Figure 14.16a). On the adjacent—and much smaller— islands of Los Hermanos and Daphne Major, the two species do not coexist; and the distributions of beak sizes for the two species are distinctively different from the patterns observed on Santa Cruz. The medium ground finch is allopatric (lives separately) on the island of

Daphne Major, and the small ground finch is allopatric on Los Hermanos. Populations of each species on these two islands possess intermediate and overlapping distributions of beak sizes (Figures 14.16b and 14.16c). These patterns suggest that on islands where the two species coexist, competition for food results in natural selection favoring medium ground finch individuals with a large beak size that can effectively exploit larger seeds while also favoring small ground finch individuals that feed on smaller seeds. The outcome of this competition was a shift in feeding niches. When the shift involves features of the species' morphology, behavior, or physiology, it is referred to as **character displacement**.

The preceding example suggests that the competing species on the island of Santa Cruz exhibit character displacement as a result of coevolutionary forces—that is, divergence in phenotypic traits relating to the exploitation of a shared and limited resource. However, until recently, the process of character displacement had never been documented by direct observational data. The first direct evidence of character displacement is provided by the recently published work of Peter Grant and Rosemary Grant on the population of *G. fortis* inhabiting the small island of Daphne Major.

Before 1982, *G. fortis* (medium ground finch) was the only species of ground finch inhabiting the island of Daphne Major. The situation changed in 1982 when a new competitor species emigrated from the larger adjacent islands—the large ground finch, *G. magnirostris* (see Section 5.8 and Figure 5.16). *G. magnirostris* is a potential competitor on the island as a result of diet overlap with *G. fortis*. *G. magnirostris* feeds primarily on seeds of the herbaceous forb, Jamaican feverplant (*Tribulus cistoides*). The seeds are contained within a hard seed coat and exposed when a finch cracks or tears away the woody outer coating. Large-beaked members of the *G. fortis* population also feed on these seeds; in fact, during the 1976–77 drought, the survival of the population depended on this seed resource (see Section 5.5 for a discussion of natural selection in this population).

Initially, the population of *G. magnirostris* on Daphne Major was too small in relation to the food supply to have anything but a small competitive effect on *G. fortis*. From 1982 to 2003, however, the population increased. Then little rain fell on the island during 2003 and 2004, and populations of both finch species declined dramatically as a result of declining food resources. During this period, *G. magnirostris* depleted the supply of large seeds from the Jamaican feverplant, causing the *G. fortis* population to depend on the smaller seed resources on the island. The result of this shift in

resource availability due to competition from *G. magnirostris* was that during 2004 and 2005, *G. fortis* experienced strong directional selection against individuals with large beaks. The resulting decrease in the average beak size of the *G. fortis* population provides a clear example of the coevolutionary process of character displacement.

## 14.11 Competition Is a Complex Interaction Involving Biotic and Abiotic Factors

Demonstrating interspecific competition in laboratory “bot-tles” or the greenhouse is one thing; demonstrating competition under natural conditions in the field is another. In the field, researchers (1) have little control over the environment, (2) have difficulty knowing whether the populations are at or below carrying capacity, and (3) lack full knowledge of the life history requirements or the subtle differences between the species.

In the previous sections, we reviewed an array of studies examining the role of competition in the field. Perhaps the most common are removal experiments, in which one of the potential competitors is removed and the response of the remaining species is monitored. These experiments might appear straightforward, yielding clear evidence of competitive influences. But removing individuals may have direct and indirect effects on the environment that are not intended or understood by the investigators and that can influence the response of the remaining species. For example, removing (neighboring) plants from a location may increase light reaching the soil surface, soil temperatures, and evaporation. The result may be reduced soil moisture and increased rates of decomposition, influencing the abundance of belowground resources. These sometimes “hidden treatment effects” can hinder the interpretation of experimental results.

As we have seen in previous sections, competition is a complex interaction that seldom involves the interaction between two species for a single limiting resource. Interaction between species involves a variety of environmental factors that directly influence survival, growth, and reproduction; these factors vary in both time and space. The outcome of competition between two species for a specific resource under one set of environmental conditions (temperature, salinity, pH, etc.) may differ markedly from the outcome under a different set of environmental conditions. As we shall see in the following chapters, competition is only one of many interactions occurring between species—interactions that ultimately influence population dynamics and community structure.

---

## Summary

### Interspecific Competition 14.1

In interspecific competition, individuals of two or more species share a resource in short supply, thus reducing the fitness of both. As with intraspecific competition, competition between

species can involve either exploitation or interference. Six types of interactions account for most instances of interspecific competition: (1) consumption, (2) preemption, (3) overgrowth, (4) chemical interaction, (5) territorial, and (6) encounter.

### Competition Model 14.2

The Lotka–Volterra equations describe four possible outcomes of interspecific competition. Species 1 may succeed over species 2; species 2 may succeed over species 1. Both of these outcomes represent competitive exclusion. The other two outcomes involve coexistence. One is unstable equilibrium, in which the species that was most abundant at the outset usually succeeds. A final possible outcome is stable equilibrium, in which two species coexist but at a lower population level than if each existed without the other.

### Experimental Tests 14.3

Laboratory experiments with species interactions support the Lotka–Volterra model.

### Competitive Exclusion 14.4

Experiment results led to formulation of the competitive exclusion principle—two species with exactly the same ecological requirements cannot coexist. This principle has stimulated critical examinations of competitive relationships outside the laboratory, especially how species coexist and how resources are partitioned.

### Nonresource Factors 14.5

Environmental factors such as temperature, soil or water pH, relative humidity, and salinity directly influence physiological processes related to growth and reproduction but are not consumable resources that species compete over. By differentially influencing species within a community, these nonresource factors can influence the outcome of competition.

### Environmental Variability 14.6

Environmental variability may give each species a temporary advantage. It allows competitors to coexist, where under constant conditions one would exclude the other.

### Multiple Factors 14.7

In many cases, competition between species involves multiple resources. Competition for one resource often influences an organism's ability to access other resources.

### Environmental Gradients 14.8

As environmental conditions change, so may the relative competitive ability of species. Shifts in competitive ability can result either from changes in the carrying capacities related to a changing resource base or from changes in the physical environment that interact with resource availability. Natural environmental gradients often involve the covariation of multiple factors, both resource and nonresource factors, such as salinity, temperature, and water depth.

### Niche 14.9

A species compresses or shifts its fundamental niche when competition forces it to restrict its type of food or habitat. In some cases, the realized niche may not provide optimal conditions for the species. In the absence of competition, the species may experience competitive release, thus expanding its niche.

### Resource Partitioning 14.10

Many species that share the same habitat coexist by partitioning available resources. When each species exploits a portion of the resources unavailable to others, competition is reduced. Resource partitioning is often viewed as a product of the coevolution of characteristics that function to reduce competition. Interspecific competition can reduce the fitness of individuals. If certain phenotypes within the population function to reduce competition with individuals of other species, those individuals will encounter less competition and increased fitness. The result is a shift in the distribution of phenotypes (characteristics) within the competing population(s). When the shift involves features of the species' morphology, behavior, or physiology, it is referred to as character displacement.

### Complexity of Competition 14.11

Competition is a complex interaction that seldom involves the interaction between two species for a single limiting resource. Competition involves a variety of environmental factors that directly influence survival, growth, and reproduction—factors that vary in both time and space.

---

## Study Questions

1. What condition(s) must be established before a researcher can definitively state that two species are competing for a resource? Is establishing that two species overlap in their use of a resource enough to determine that interspecific competition is occurring?
2. In analyzing the Lotka–Volterra model of interspecific competition in Section 14.2, three outcomes of competition between two species were identified. In three of the cases, one species outcompetes another, driving its population to zero. In the fourth case, the two species coexist. What condition is necessary for this outcome?
3. Environmental factors such as temperature and salinity are not consumable resources, but species that occupy the same habitat often differ in their response to these factors. How might environmental factors such as temperature and salinity influence the outcome of competition between two species that occupy the same habitat?
4. In Chapter 13, Figure 13.6 presents a hypothetical case where two bird species overlap in their use of food resources (seeds). Let us assume that due to interspecific competition, the two species cannot co-occur in the same habitat (say species 1 succeeds). Now assume that the distribution of seed sizes in

their habitat changes from year to year depending on rainfall, and that the average seed size varies from  $a$  to  $b$  (Figure 13.19). How might this temporal variation in resources influence the outcome of competition between the two species?

5. In the experiment conducted by Austin and colleagues (Figures 14.7 and 14.8), relative competitive abilities of the three dominant thistle species change under different nutrient availability. Based on the discussion of plant adaptations to nutrient availability in Chapter 6 (see Section 6.12), how do you think these three species might differ (morphology, maximum rates of photosynthesis, etc.)?
6. Resource partitioning due to natural selection is often interpreted as the cause for observed differences in the characteristics of closely related species that occupy the same area, such as the example of the cats in Figure 14.15, or the Galápagos finches in Figure 14.16. What other factors unrelated to competition might account for the observed differences in the bill size of the finches? Think about possible differences in the use of habitats within the area.
7. Distinguish between niche shift and character displacement. Which of these two concepts best describes the example of the Galápagos finches in Figure 14.16? Why?

---

## Further Readings

Bazzaz, F. A. 1996. *Plants in changing environments: Linking physiological, population, and community ecology*. New York: Cambridge University Press.

An excellent overview of competition in plant populations, linking species characteristics and competitive interactions. Also illustrates the shifting nature of competitive interactions as environmental conditions change in time and space.

Connell, J. H. 1983. On the prevalence and relative importance of interspecific competition: Evidence from field experiments. *American Naturalist* 122:661–696.

This paper provides a review of field experiments that have examined the role of competition.

Grace, J. B., and D. Tilman. 1990. *Perspectives on plant competition*. San Diego: Academic Press.

An excellent overview of the concept of competition in plant communities. Provides a wealth of illustrated examples from laboratory, greenhouse, and field experiments.

Gurevitch, J. L., L. Murrow, A. Wallace, and J. J. Walch. 1992. Meta-analysis of competition in field experiments. *American Naturalist* 140:539–572.

An update of the paper by Connell (listed earlier).

Keddy, P. 1989. *Competition*. London: Chapman and Hall.

An outstanding review of theoretical developments in the area of competition. Also provides a wide array and review of experimental research aimed at examining the importance of competition in structuring ecological communities.

Schoener, T. W. 1983. Field experiments on interspecific competition. *American Naturalist* 122:240–285.

A companion paper to the one by Connell (listed earlier).

Werner, E. E., and J. D. Hall. 1976. Niche shifts in sunfishes: Experimental evidence and significance. *Science* 191:404–406.

A classic work in the study of how competition influences the species' niche.

Wiens, J. A. 1977. On competition and variable environments. *American Scientist* 65:590–597.

This paper discusses the influence of temporal variation in environmental conditions on the outcome of competition. This paper provides a contrast to the papers by Connell and Schoener (listed earlier).

# Predation

## CHAPTER 15

- 15.1** Predation Takes a Variety of Forms
- 15.2** Mathematical Model Describes the Basics of Predation
- 15.3** Model Suggests Mutual Population Regulation
- 15.4** Functional Responses Relate Prey Consumed to Prey Density
- 15.5** Predators Respond Numerically to Changing Prey Density
- 15.6** Foraging Involves Decisions about the Allocation of Time and Energy
- 15.7** Foragers Seek Productive Food Patches
- 15.8** Risk of Predation Can Influence Foraging Behavior
- 15.9** Coevolution Can Occur between Predator and Prey
- 15.10** Animal Prey Have Evolved Defenses against Predators
- 15.11** Predators Have Evolved Efficient Hunting Tactics
- 15.12** Herbivores Prey on Autotrophs
- 15.13** Plants Have Evolved Characteristics That Deter Herbivores
- 15.14** Plants, Herbivores, and Carnivores Interact
- 15.15** Predators Influence Prey Dynamics through Lethal and Nonlethal Effects



Lioness attacking a female Kudu in Etosha National Park, Namibia, Africa.

**W**hen the poet Alfred, Lord Tennyson wrote “Tho’ Nature, red in tooth and claw,” he was no doubt seeking to evoke savage images of predation. The very term *predator* brings to mind images of lions on the African savannas or the great white shark cruising coastal waters; however, *predation* is defined more generally as “the consumption of one living organism by another.” Although all heterotrophic organisms acquire their energy by consuming organic matter, predators are distinguished from scavengers and decomposers in that they feed on living organisms (see Chapter 22). As such, they function as agents of mortality with the potential to regulate prey populations. Likewise, being the food resource, the prey population has the potential to influence the growth rate of the predator population. These interactions between predator and prey species can have consequences on community structure and serve as agents of natural selection, influencing the evolution of both predator and prey.

## 15.1 Predation Takes a Variety of Forms

The broad definition of predation as the consumption of one living organism (the prey) by another (the predator) excludes scavengers and decomposers. Nevertheless, this definition results in the potential classification of a wide variety of organisms as predators. The simplest classification of predators would be the categories of heterotrophic organisms presented earlier, which are based on their use of plant and animal tissues as sources of food: carnivores (carnivory—consumption of animal tissue), herbivores (herbivory—consumption of plant or algal tissue), and omnivores (omnivory—consumption of both plant and animal tissues) (see Chapter 7). Predation, however, is more than a transfer of energy. It is a direct and often complex interaction of two or more species: the eater and the eaten. As a source of mortality, the predator population has the potential to reduce, or even regulate, the growth of prey populations. In turn, as an essential resource, the availability of prey may function to regulate the predator population. For these reasons, ecologists recognize a functional classification, based on the specific interactions between predator and prey, which provides a more appropriate framework for understanding the interconnected dynamics of predator and prey populations.

In this functional classification of predators we reserve the term *predator*, or *true predator*, for species that kill their prey more or less immediately upon capture. These predators typically consume multiple prey organisms and continue over their lifetime to function as agents of mortality on prey populations. In contrast, most herbivores (grazers and browsers) consume only part of the individual plant. Although this activity may harm the plant, it usually does not result in mortality. Seed predators and planktivores (aquatic herbivores that feed on phytoplankton) are exceptions; these herbivores function as true predators. Like herbivores, parasites feed on the prey organism (the host) while it is still alive; and although harmful, their feeding activity is generally not lethal in the short term. However, the association between parasites and their host organisms has an intimacy that is not seen in true predators and herbivores, because many parasites live on or in their host organisms for some portion of their life cycle. The last category

in this functional classification, the parasitoids, consists of a group of insects classified based on the egg-laying behavior of adult females and the development pattern of their larvae. The parasitoid attacks the prey (host) indirectly by laying its eggs on the host’s body. When the eggs hatch, the larvae feed on the host, slowly killing it. As with parasites, parasitoids are intimately associated with a single host organism, and they do not cause the immediate death of the host.

In this chapter we will use the preceding functional classification, focusing our attention on the two categories of true predators and herbivores. (From this point forward, the term *predator* is used in referring to the category of true predator). We will discuss the interactions of parasites and parasitoids and their hosts later, focusing on the intimate relationship between parasite and host that extends beyond the feeding relationship between predator and prey (Chapter 16).

We will begin by exploring the connection between the hunter and the hunted, developing a mathematical model to define the link between the populations of predator and prey. The model is based on the same approach of quantifying the per capita effects of species interactions on rates of birth and death within the respective populations that we introduced previously (Chapter 13, Section 13.2). We will then examine the wide variety of subjects and questions that emerge from this simple mathematic abstraction of predator–prey interactions.

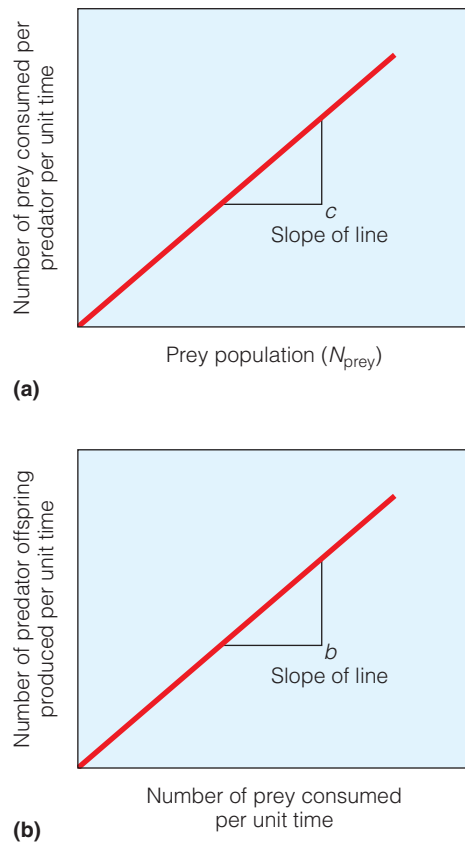
## 15.2 Mathematical Model Describes the Basics of Predation

In the 1920s, Lotka and Volterra turned their attention from competition to the effects of predation on population growth (see Section 14.2). Independently, they proposed mathematical statements to express the relationship between predator and prey populations. They provided one equation for the prey population and another for the predator population.

The population growth equation for the prey population consists of two components: the exponential model of population growth ( $dN/dt = rN$ ) and a mortality term that represents removal of the prey from the population by the predator (see Chapter 9). The per capita rate at which predators consume prey is assumed to increase linearly with the number of prey (Figure 15.1a) and can therefore be represented as  $cN_{\text{prey}}$ , where  $c$  represents the efficiency of predation, defined by the slope of the relationship shown in Figure 15.1a. The total rate of predation (number of prey captured per unit time) will be the product of the per capita rate of consumption ( $cN_{\text{prey}}$ ) and the number of predators ( $N_{\text{pred}}$ ), or  $cN_{\text{prey}}N_{\text{pred}}$ . This value represents a source of mortality for the prey population and must be subtracted from the rate of population increase represented by the exponential model of growth. The resulting equation representing the rate of change in the prey population ( $dN_{\text{prey}}/dt$ ) is:

$$\frac{dN_{\text{prey}}}{dt} = rN_{\text{prey}} - cN_{\text{prey}}N_{\text{pred}}$$

The equation for the predator population consists of a term representing the birthrate and a second term representing



**Figure 15.1** (a) Relationship between prey population ( $x$ -axis) and the per capita consumption rate of predation ( $y$ -axis). The slope of the relationship ( $\Delta y/\Delta x$ ) defined as  $c$ , represents the “efficiency of predation.” (b) Relationship between the number of prey consumed ( $x$ -axis) and the number of predator offspring produced ( $y$ -axis). The slope of the relationship,  $b$ , represents the efficiency with which food is converted into predator population growth (reproduction).

the predator mortality rate. The birthrate is assumed to be a function of the amount of food consumed, increasing linearly with the rate at which prey are being captured and consumed:  $cN_{\text{prey}}N_{\text{pred}}$  (Figure 15.1b). The birthrate is therefore the product of  $b$ , the efficiency with which food is converted into population growth (reproduction), which is defined by the slope of the relationship shown in Figure 15.1b, and the rate of predation ( $cN_{\text{prey}}N_{\text{pred}}$ ), or  $b(cN_{\text{prey}}N_{\text{pred}})$ .

The predator mortality rate is assumed to be a constant proportion of the predator population and is therefore represented as  $dN_{\text{pred}}$ , where  $d$  is the probability of mortality. The resulting equation representing the rate of change in the predator population is

$$\frac{dN_{\text{pred}}}{dt} = b(cN_{\text{prey}}N_{\text{pred}}) - dN_{\text{pred}}$$

The Lotka–Volterra equations for predator and prey population growth therefore explicitly link the two populations, each functioning as a density-dependent regulator on the other. Predators regulate the growth of the prey population by functioning as a source of density-dependent mortality. The prey population functions as a source of density-dependent regulation on the

birthrate of the predator population. To understand how these two populations interact, we can use the same graphical approach used to examine the outcomes of interspecific competition. (Chapter 14, Section 14.2). In the absence of predators (or at very low predator density), the prey population will grow exponentially ( $dN_{\text{prey}}/dt = rN_{\text{prey}}$ ). As the predator population increases, prey mortality will increase until eventually the mortality rate due to predation ( $cN_{\text{prey}}N_{\text{pred}}$ ) is equal to the inherent growth rate of the prey population ( $rN_{\text{prey}}$ ), and the net population growth for the prey species is zero ( $dN_{\text{prey}}/dt = 0$ ). We can solve for the size of the predator population ( $N_{\text{pred}}$ ) at which this occurs:

$$\begin{aligned} cN_{\text{prey}}N_{\text{pred}} &= rN_{\text{prey}} \\ cN_{\text{pred}} &= r \\ N_{\text{pred}} &= \frac{r}{c} \end{aligned}$$

Simply put, the growth rate of the prey population is zero when the number of predators is equal to the per capita growth rate of the prey population ( $r$ ) divided by the efficiency of predation ( $c$ ). If the predator population exceeds this value, the growth rate of the prey becomes negative, and the population size declines. The mortality due to predation ( $cN_{\text{prey}}N_{\text{pred}}$ ) is greater than the inherent growth rate of the prey population ( $rN_{\text{prey}}$ ).

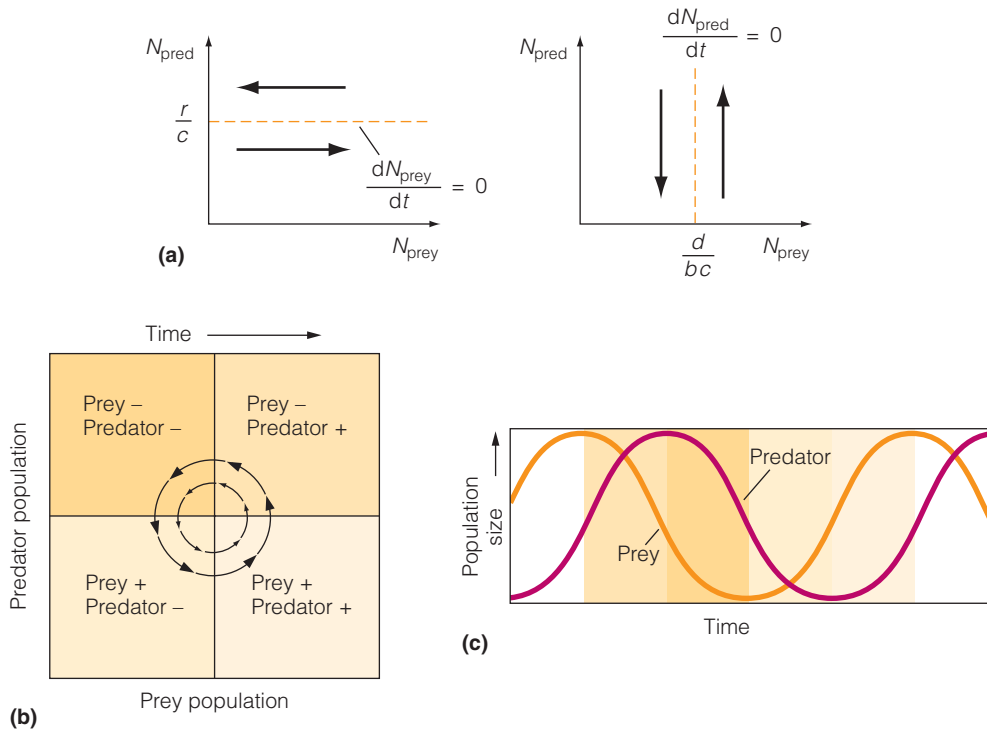
Likewise, we can examine the influence of prey population size on the growth rate of the predator population. The growth rate of the predator population will be zero ( $dN_{\text{pred}}/dt = 0$ ) when the rate of predator increase (resulting from the consumption of prey) is equal to the rate of mortality:

$$\begin{aligned} b(cN_{\text{prey}}N_{\text{pred}}) &= dN_{\text{pred}} \\ bcN_{\text{prey}} &= d \\ N_{\text{prey}} &= \frac{d}{bc} \end{aligned}$$

The growth rate of the predator population is zero when the size of the prey population ( $N_{\text{prey}}$ ) equals the mortality rate of the predator population divided by the product of the efficiency of predation ( $c$ ) and the conversion efficiency of captured prey into new predators ( $b$ ).

We can examine these results graphically (Figure 15.2a) using two axes that represent the population sizes of the prey ( $x$ -axis) and predator ( $y$ -axis). Having defined the size of the prey population at which the growth of the predator population is equal to zero ( $N_{\text{prey}} = d/bc$ ) and the number of predators at which the growth rate of the prey population is zero ( $N_{\text{pred}} = r/c$ ), we can draw the corresponding zero isoclines (see Section 14.2). If values of the prey population are to the right of the predator isocline, the predator population increases; if they are to the left of the predator isocline, predator population declines. The same is true for the prey isocline. For values of predator population below the prey isocline, the prey growth rate is positive. For values of predator population above the prey isocline, the prey population declines. The two isoclines (Figure 15.2b) can be combined to examine changes





**Figure 15.2** Patterns predicted by the Lotka–Volterra model of predator–prey interaction. (a) Zero isoclines ( $dN/dt = 0$ ) for the prey and predator populations. Note that the zero isocline for the prey population is defined by a fixed number of predators, and the zero isocline for the predator population is defined by a fixed number of prey. Arrows show the direction of change in population size relative to the isoclines. (b) The combined zero isoclines provide a means of examining the combined population trajectories of the predator and prey populations. Arrows represent the combined population trajectory. A minus sign indicates population decline, and a plus sign indicates population increase. This trajectory shows the cyclic nature of the predator–prey interaction. (c) By plotting the implied changes in size for both the predator and prey populations through time, we can see that the two populations continuously cycle out of phase with each other, and the density of predators lags behind that of prey. Shaded regions in the background of the graph relate to the corresponding regions in (b).

**INTERPRETING ECOLOGICAL DATA**

**Q1.** In Figure 15.2b, which of the four quadrants (regions of the graph) correspond to the following conditions?

$$N_{prey} < d/bc \text{ and } N_{pred} > r/c$$

**Q2.** In which of the four shaded regions of Figure 15.2c does the mortality rate of predators exceed their birthrate as a result of low prey density?

in the growth rates of predator and prey populations for any combination of population sizes.

These paired equations, when solved, show that the two populations rise and fall in oscillations (Figure 14.2c). The oscillation occurs because as the predator population increases, it consumes more and more prey until the prey population begins to decline. The declining prey population no longer supports the large predator population. The predators now face a food shortage, and many of them starve or fail to reproduce. The predator population declines sharply to a point where the reproduction of prey more than balances its losses through predation. The prey increases, eventually followed by an increase in the population of predators. The cycle may continue indefinitely. The prey is never quite destroyed; the predator never completely dies out.

**15.3 Model Suggests Mutual Population Regulation**

The Lotka–Volterra model of predator–prey interactions assumes a mutual regulation of predator and prey populations. In the equations presented earlier, the growth of predator and prey populations are linked by a single term relating to the consumption of prey:  $cN_{prey}N_{pred}$ . For the prey population, this term serves to regulate population growth through mortality. In the predator population, it serves to regulate population growth through reproduction. Regulation of the predator population growth is a direct result of two distinct responses by the predator to changes in prey population. First, predator population growth depends on the rate at which prey are captured ( $cN_{prey}N_{pred}$ ). The equation implies that the greater the number of prey, the more the predator

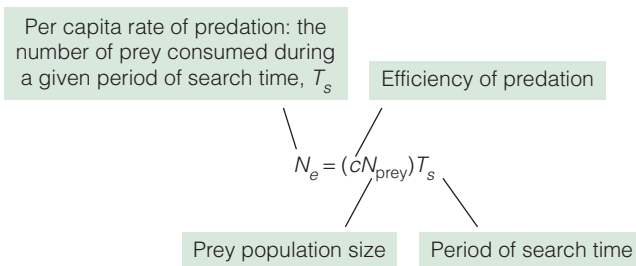
eats. The relationship between the per capita rate of consumption and the number of prey is referred to as the predator’s **functional response**. Second, this increased consumption of prey results in an increase in predator reproduction [ $b(cN_{\text{prey}}N_{\text{pred}})$ ], referred to as the predator’s **numerical response**.

This model of predator–prey interaction has been widely criticized for overemphasizing the mutual regulation of predator and prey populations. The continuing appeal of these equations to population ecologists, however, lies in the straightforward mathematical descriptions and in the oscillatory behavior that seems to occur in predator–prey systems. Perhaps the greatest value of this model is in stimulating a more critical look at predator–prey interactions in natural communities, including the conditions influencing the control of prey populations by predators. A variety of factors have emerged, including the availability of cover (refuges) for the prey, the increasing difficulty of locating prey as it becomes scarcer, choice among multiple prey species, and evolutionary changes in predator and prey characteristics (coevolution). In the following sections, we examine each of these topics and consider how they influence predator–prey interactions.

### 15.4 Functional Responses Relate Prey Consumed to Prey Density

The English entomologist M. E. Solomon introduced the idea of functional response in 1949. A decade later, the ecologist C. S. Holling explored the concept in more detail, developing a simple classification based on three general types of functional response. The functional response is the relationship between the per capita predation rate (number of prey consumed per unit time) and prey population size (see Figure 15.1a). How a predator’s rate of consumption responds to changes in the prey population is a key factor influencing the predator’s ability to regulate the prey population.

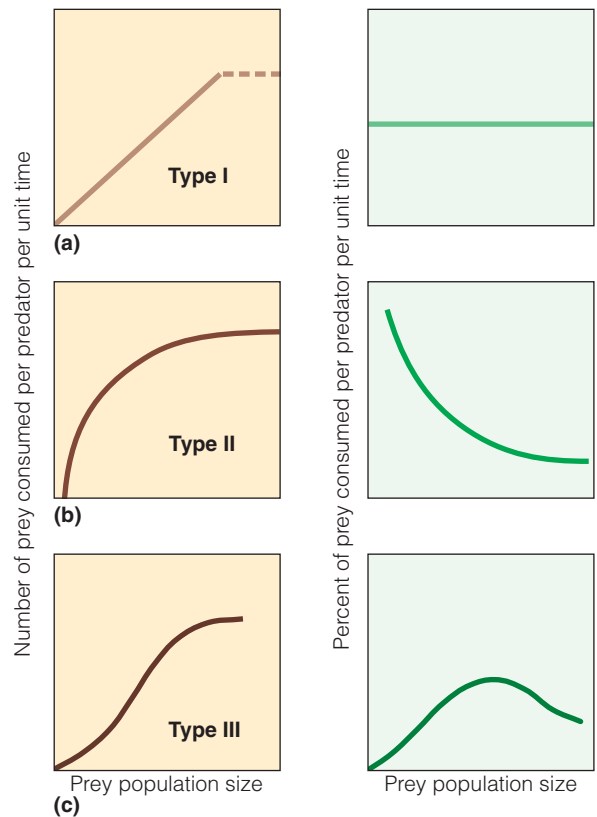
In developing the predatory prey equations in Section 15.2, we defined the per capita rate of predation as  $cN_{\text{prey}}$ , where  $c$  is the “efficiency” of predation, and  $N_{\text{prey}}$  is the size of the prey population. Because the dynamics of the predator and prey populations are described as differential equations, this rate of predation is instantaneous ( $\Delta t$  approaches zero: see Quantifying Ecology 9.1: Derivatives and Differential Equations). Alternatively, if we define the rate of predation as the number of prey consumed by a single predator during a period of search time ( $T_s$ ) as  $N_e$ , we can express the per capita rate of predation as:



For a given period of search time ( $T_s$ ), the relationship between the per capita rate of predation ( $N_e$ ) and the size of the prey population ( $N_{\text{prey}}$ ) for the equation just presented is linear; it was referred to by Holling as a **type I functional response**

(Figure 15.3a). In the type I response, the number of prey captured per unit time by a predator (per capita rate of predation) increases linearly with prey population size up to a point where the predator is satiated, after which the rate of predation is constant (dashed line). The rate of prey mortality due to predation (proportion of prey population captured per predator per unit time:  $N_e/N_{\text{prey}}$ ) for the type I response is constant, a function of the efficiency of predation ( $c$ ), as in Figure 15.3a.

The type I functional response is characteristic of passive predators, such as filter feeders that extract prey from a constant volume of water that washes over their filtering apparatus, or spiders that depend on prey happening upon their web. The former is a feeding behavior exhibited by a range of aquatic organisms from zooplankton to blue whales. Filter feeders capture prey that flow through and over their filtering system, so for a given rate of water flow over their feeding apparatus, the rate of prey capture will be a direct function of the density of prey per volume of water. Above some maximum prey density, however, the predator



**Figure 15.3** Three types of functional response curves, which relate the per capita rate of predation to prey population size. (a) Type I: The number of prey taken per predator increases linearly as prey population size increases. Expressed as a proportion of the prey population size, the rate of predation is constant— independent of prey population size. (b) Type II: The predation rate rises at a decreasing rate to a maximum level. Expressed as a proportion of prey population size, the rate of predation declines as the prey population grows. (c) Type III: The rate of predation is low at first and then increases in a sigmoid fashion, approaching an asymptote. Plotted as a proportion of prey population size, the rate of predation is low at low prey population size, rising to a maximum before declining as the rate of predation reaches its maximum.

is no longer able to swallow all of the prey that are filtered, therefore they continue to consume prey at this maximum rate regardless of further increase in prey density (Figure 15.4a).

The type I functional response suggests a form of predation where all of the time allocated to feeding is spent searching ( $T_s$ ). In general, however, the time available for searching will be shorter than the total time associated with consuming the  $N_e$  prey, because time is required to “handle” the prey item. Handling includes chasing, killing, eating, and digesting (type I functional

response assumes no handling time below the maximum rate of ingestion). If we define  $T_h$  as the time required by a predator to handle an individual prey item, then the time spent handling  $N_e$  prey will be the product  $N_e T_h$ : The total time ( $T$ ) spent searching and handling the prey is now:

$$T = T_s + (N_e T_h)$$

By rearranging the preceding equation, we can define the search time as:

$$T_s = T - N_e T_h$$

For a given total foraging time ( $T$ ), search time now varies, decreasing with increasing allocation of time to handling.

We can now expand the original equation describing the type I functional response [ $N_e - (cN_{\text{prey}})T_s$ ] by substituting the equation for  $T_s$  just presented. This includes the additional time constraint of handling the  $N_e$  prey items:

$$N_e = c(T - N_e T_h)N_{\text{prey}}$$

Note that  $N_e$ , the number of prey consumed during the time period  $T$ , appears on both sides of the equation, so to solve for  $N_e$ , we need to rearrange the equation.

$$N_e = c(N_{\text{prey}}T - N_{\text{prey}}N_e T_h)$$

Move  $c$  inside the brackets, giving:

$$N_e = cN_{\text{prey}}T - N_e cN_{\text{prey}}T_h$$

Add  $N_e cN_{\text{prey}}T_h$  to both sides of the equation, giving:

$$N_e + N_e cN_{\text{prey}}T_h = cN_{\text{prey}}T$$

Rearrange the left-hand side of the equation, giving:

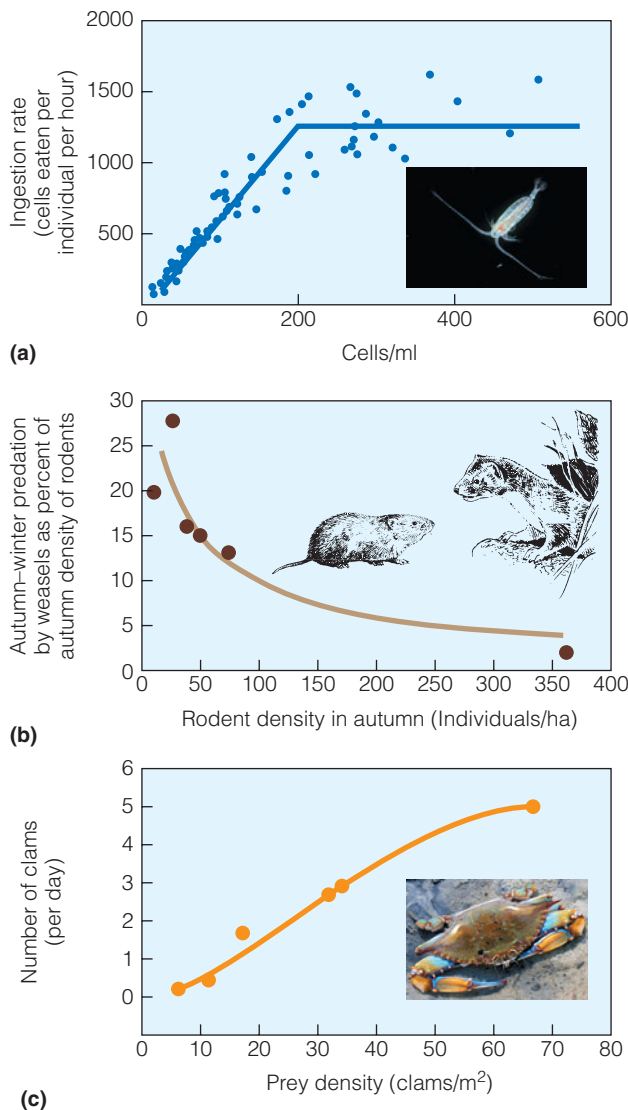
$$N_e(1 + cN_{\text{prey}}T_h) = cN_{\text{prey}}T$$

Divide both sides of the equation by  $(1 + cN_{\text{prey}}T_h)$ , giving:

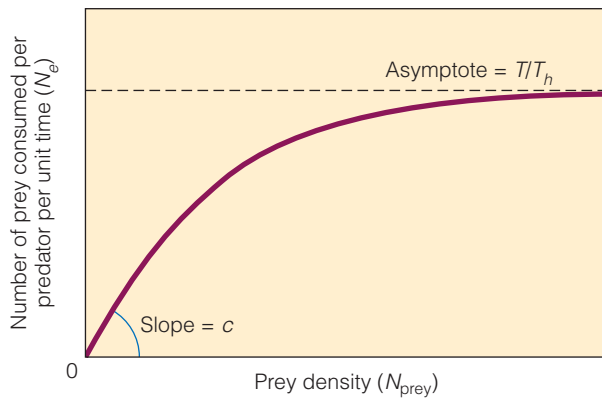
$$N_e = \frac{cN_{\text{prey}}T}{(1 + cN_{\text{prey}}T_h)}$$

We can now plot the relationship between  $N_e$  and  $N_{\text{prey}}$  for a given set of values for  $c$ ,  $T$ , and  $T_h$  (Figure 15.5). (Recall that the values of  $c$ ,  $T$ , and  $T_h$  are constants.) In this relationship, referred to by Holling as a **type II functional response**, the per capita rate of predation ( $N_e$ ) increases in a decelerating fashion only up to a maximum rate that is attained at some high prey population size (see Figure 15.3b). The reason that the value of  $N_e$  approaches an asymptote is related to the predator’s time budget. Recall that the total time ( $T$ ) is divided into two activities: searching and handling ( $T = T_s + N_e T_h$ ). As prey density increases the number of prey captured during the total time period ( $T$ ) increases; however, the handling time ( $N_{\text{prey}}T_h$ ) also increases, decreasing the time available for further searching ( $T_s$ ). At very high prey density, the search time approaches zero and the predator is effectively spending all of its time handling prey. The result is a declining mortality rate of prey with increasing prey density (see Figure 15.3b). The type II functional response is the most commonly reported for predators (see Figure 15.4b).

Holling also described a **type III functional response**, illustrated in Figures 15.3c and 15.4c. At high prey density, this



**Figure 15.4** Three examples of functional response curves relating the per capita rate of predation (y-axis) to prey density (x-axis). (a) Functional response of the marine copepod *Calanus* (zooplankton filter feeder) feeding on *Coscinodiscus angustii*. The x-axis represents prey population size as cells per ml of water and the y-axis is ingestion rate (cells eaten per individual per hour) (Frost 1972). (b) Functional response of weasels (*Mustela nivalis*) preying on rodents in the deciduous forests of Bialowieza National Park, Poland. Note that the y-axis represents mortality rate rather than per capita consumption (see Figure 15.3b) (Jedrzejewski et al. 1995). (c) Functional response of blue crabs (*Callinectes sapidus*) feeding on the clam (*Mya arenaria*). (Seitz et al. 2001.)



**Figure 15.5** Relationship between the density of prey population ( $x$ -axis) and the per capita rate of prey consumed ( $y$ -axis) for the model of predator functional response presented in Section 15.4 that includes both search ( $T_s$ ) and handling  $N_e T_h$  time ( $T = T_s + T_h$ ). At low prey density, the number of prey consumed is low, as is handling time. As prey density increases, the number of prey consumed increases; a greater proportion of the total foraging time ( $T$ ) is spent handling prey, reducing time available for searching. As the handling time approaches the total time spent foraging, the per capita rate of prey consumed approaches an asymptote. The resulting curve is referred to as a type II functional response.

functional response is similar to type II, and the explanation for the asymptote is the same. However, the rate at which prey are consumed is low at first, increasing in an S-shaped (sigmoid) fashion as the rate of predation approaches the maximum value. If predator density is constant, only a type III functional response can regulate a prey population because it is the only functional response in which the initial rate of prey mortality increases with prey density (see Figure 15.4c). At low densities, mortality rate is negligible, but as prey density increases (as indicated by the upward sweep of the curve), prey mortality increases in a density-dependent fashion. However, the regulating effect of predators is limited to the interval of prey density where mortality increases. If prey density exceeds the upper limit of this interval, then mortality due to predation starts to decline.

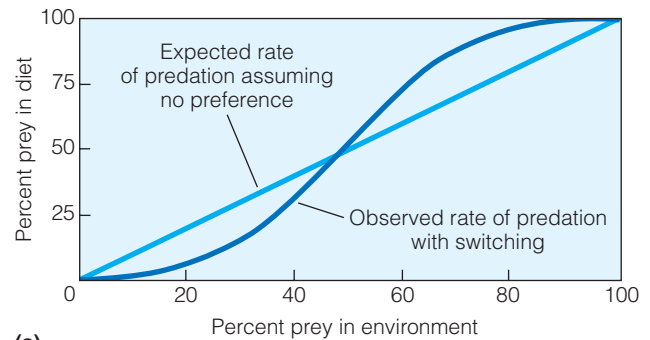
Several factors may result in a type III response. Availability of cover (refuge) from which to escape predators may be an important factor. If the habitat provides only a limited number of hiding places, it will protect most of the prey population at low density; but the susceptibility of individuals will increase as the population grows.

Another reason for the sigmoidal shape of the type III functional response curve may be the predator's **search image**, an idea first proposed by the animal behaviorist L. Tinbergen. When a new prey species appears in the area, its risk of becoming selected as food by a predator is low. The predator has not yet acquired a search image—a way to recognize that species as a potential food item. Once the predator has captured an individual, it may identify the species as a desirable prey. The predator then has an easier time locating others of the same kind. The more adept the predator becomes at securing a particular prey item, the more intensely it concentrates on it. In time, the number of this particular prey species becomes so reduced or its population becomes so dispersed that encounters between it and the predator

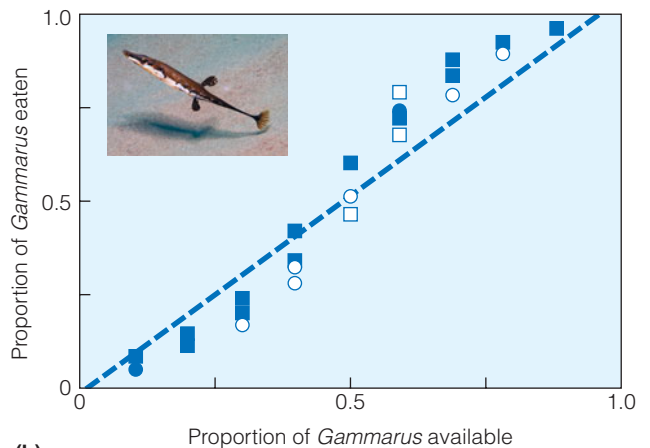
lessen. The search image for that prey item begins to wane, and the predator may turn its attention to another prey species.

A third factor that can result in a type III functional response is the relative abundance of different, alternative prey species. Although a predator may have a strong preference for a certain prey, in most cases it can turn to another, more abundant prey species that provides more profitable hunting. If rodents, for example, are more abundant than rabbits and quail, foxes and hawks will concentrate on rodents.

Ecologists call the act of turning to more abundant, alternate prey **switching** (Figure 15.6a). In switching, the predator



(a)



(b)

**Figure 15.6** (a) A model of prey switching. The straight line represents the expected rate of predation assuming no preference by the predator. The prey are eaten in a fixed proportion to their relative availability (percent of total prey available to predator in environment). The curved line represents the change in predation rate observed in the case of prey switching. At low densities, the proportion of the prey species in the predator's diet is less than expected based on chance (based on its proportional availability to other prey species). Over this range of abundance, the predator is selecting alternative prey species. When prey density is high, the predator takes more of the prey than expected. Switching occurs at the point where the lines cross. The habit of prey switching results in a type III functional response between a predator and its prey species. (b) Example of frequency-dependent predation (prey switching) by sticklebacks (*Spinachia spinachia*) fed on mixtures of *Gammarus* and *Artemia*. Proportion of *Gammarus* in the diet is plotted as a function of the proportion available. Dotted line represents frequency-independent predation.  $\square$  = first trial,  $\circ$  = third trial. Closed symbols denote trials with increasing availability of *Gammarus*, open symbols decreasing availability of *Gammarus* prey. (Hughes and Croy 1993).

feeds heavily on the more abundant species and pays little attention to the less abundant species. As the relative abundance of the second prey species increases, the predator turns its attention to that species.

The point in prey abundance when a predator switches depends considerably on the predator's food preference. A predator may hunt longer and harder for a palatable species before turning to a more abundant, less palatable alternate prey. Conversely, the predator may turn from the less desirable species at a much higher level of abundance than it would from a more palatable species.

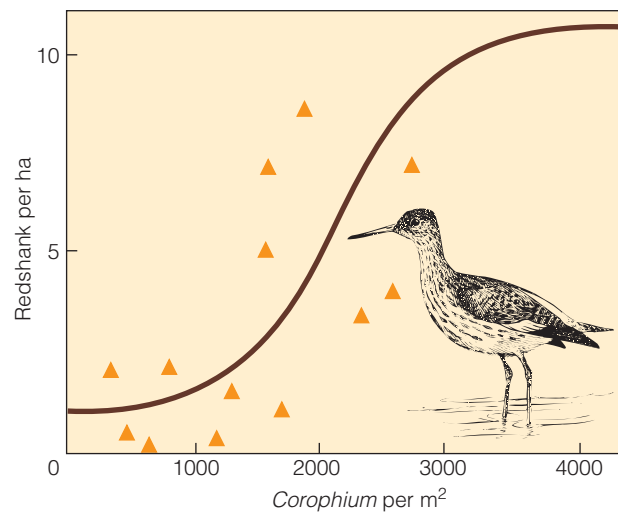
In a series of laboratory experiments, Roger Hughes and M.I. Croy of the University of Wales (Great Britain) examined prey switching in 15-spine stickleback (*Spinachia spinachia*) feeding on two prey species: amphipod (*Gammarus locusta*) and brine shrimp (*Aremia* sp.). In all experiments, fish showed the sigmoid response to changing relative abundances of prey, typical of switching (Figure 15.6b). The researchers found that a combination of changing attack efficiency and search image formation contributed to the observed pattern of prey switching.

Although simplistic, the model of functional response developed by Holling has been a valuable tool. It allows ecologists to explore how various behaviors, exhibited by both the predator and prey species, influence predation rate and subsequently predator and prey population dynamics. Because the model explicitly addresses the principle of time budget in the process of predation, this framework has been expanded to examine questions relating to the efficiency of foraging, a topic we will return to in Section 15.6.

## 15.5 Predators Respond Numerically to Changing Prey Density

As the density of prey increases, the predator population growth rate should respond positively. A numerical response of predators can occur through reproduction by predators (as suggested by the conversion factor  $b$  in the Lotka–Volterra equation for predators) or through the movement of predators into areas of high prey density (immigration). The latter is referred to as an **aggregative response** (Figure 15.7). The response of predators to aggregate in areas of high prey density can be a crucial feature in determining a predator population's ability to regulate prey density. Aggregative response is important because most predator populations grow slowly in comparison to those of their prey.

Marc Salamolard of the Center for Biological Studies (French National Center for Scientific Research) and colleagues provide an example of how these two components of numerical response (immigration and increased reproduction) can combine to influence the response of a predator population to changes in prey abundance. Salamolard quantified the functional and numerical responses of Montagu's harrier (*Circus pygargus*), a migratory raptor, to variations in abundance of its main prey, the common vole (*Microtus arvalis*). The researchers monitored variations in the vole

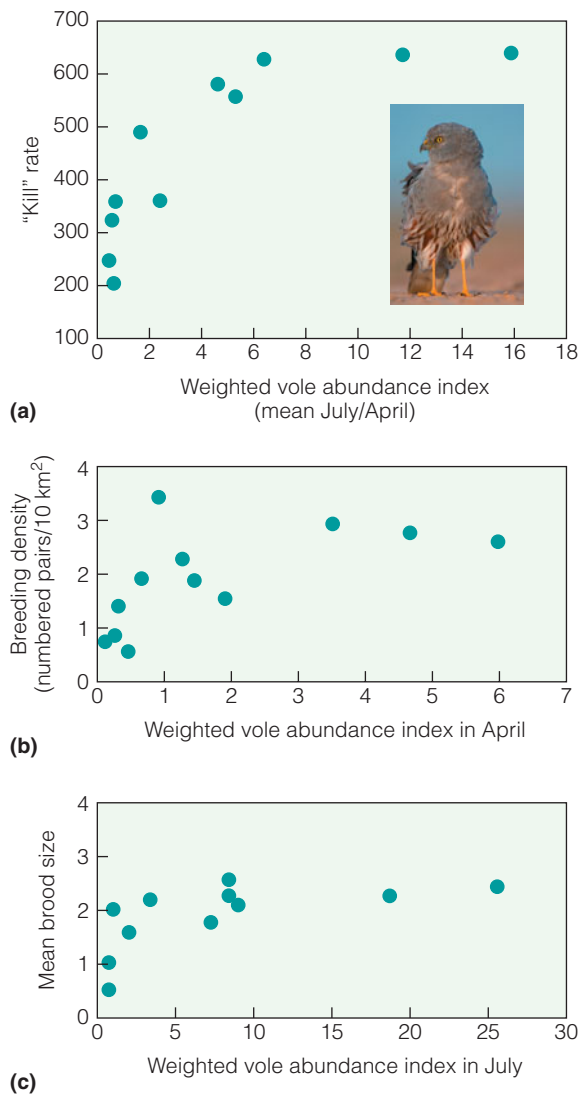


**Figure 15.7** Aggregative response in the redshank (*Tringa totanus*). The curve plots the density of the redshank in relation to the average density of its arthropod prey (*Corophium* spp.). (Adapted from Hassel and May 1974.)

population over a 15-year period and the response of the harrier population to this variable food supply. This predatory bird species exhibits a type II functional response; the per capita rate of predation increases with increasing prey density up to some maximum (see Figure 15.8a). The researchers were able to provide a number of measures relating to the bird's numerical response. The breeding density of birds increases with increasing prey density (Figure 15.8b). This increase in predator density is a result of an increase in the number of nesting pairs occupying the area and represents an aggregative response. In addition, the mean brood size of nesting pairs (mean number of chicks at fledging) also increased. The net result is an increase in the growth rate of the predator population in response to an increase in the abundance of prey (vole population).

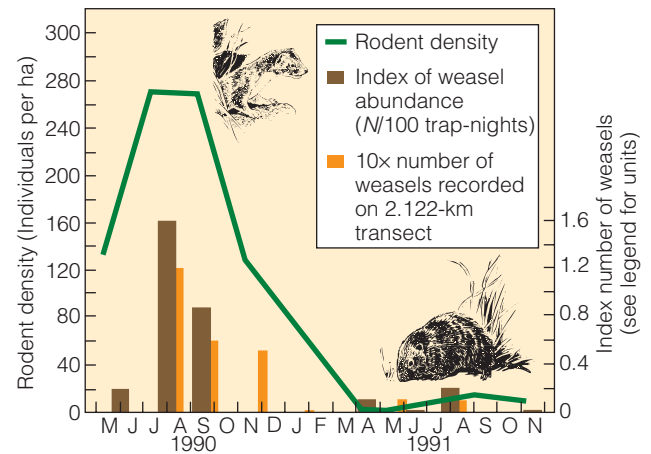
The work of Włodzimierz Jedrzejewski and colleagues at the Mammal Research Institute of the Polish Academy of Sciences provide an example where the numerical response of the predator population is dominated by reproductive effort. Jedrzejewski examined the response of a weasel (*Mustela nivalis*) population to the density of two rodents, the bank vole (*Clethrionomys glareolus*) and the yellow-necked mouse (*Apodemus flavicollis*), in Biatowieza National Park in eastern Poland in the early 1990s. During that time, the rodents experienced a two-year irruption in population size brought about by a heavy crop of oak, hornbeam, and maple seeds. The abundance of food stimulated the rodents to breed throughout the winter. The long-term average population density was 28–74 animals per hectare. During the irruption, the rodent population reached nearly 300 per hectare and then declined precipitously to 8 per hectare (Figure 15.9).

The weasel population followed the fortunes of the rodent population. At normal rodent densities, the winter weasel density ranged from 5–27 per km<sup>2</sup> declining by early spring



**Figure 15.8** Functional and numerical responses of Montagu's harriers (*Circus pygargus*). (a) Functional response is represented as the "kill rate," defined as the number of common voles preyed upon by a breeding pair of Montagu's harriers over the breeding season. Numerical response expressed as (b) the breeding density of harriers (aggregative response) and (c) mean brood size (number of chicks fledged per nest) expressed as a function of vole abundance. The latter is a measure of increased reproductive success per breeding pair. (Salamolard et al. 2000.)

to 0–19. Following reproduction, the midsummer density rose to 42–47 weasels per km<sup>2</sup>. Because reproduction usually requires a certain minimal time (related to gestation period), a lag typically exists between an increase of a prey population and a numerical response by a predator population. No time lag, however, exists between increased rodent reproduction and weasel reproductive response. Weasels breed in the spring, and with an abundance of food they may have two litters or one larger litter. Young males and females breed during their first year of life. During the irruption, the number of weasels grew to 102 per km<sup>2</sup> and during the crash the number declined to



**Figure 15.9** Numerical response of weasels (predators) to an irruption and crash in the populations of forest rodents (prey). The x-axis represents time of year (month); the y-axes represent the density of the rodent population (left axis) and an index of the predator population density (right axis). Brown bars represent weasel data from live trapping; orange bars represent data from captures, visual observations, and radio tracking. The reproductive rate of the weasel population is high enough to allow the population to track increases in the prey population during 1990. (Adapted from Jedrzejewski et al. 1995.)

8 per km<sup>2</sup>. The increase and decline in weasels was directly related to changes in the rates of birth and death in response to the spring rodent density.

## 15.6 Foraging Involves Decisions about the Allocation of Time and Energy

Thus far, we have discussed the activities of predators almost exclusively in terms of foraging. But all organisms are required to undertake a wide variety of activities associated with survival, growth, and reproduction. Time spent foraging must be balanced against other time constraints such as defense, avoiding predators, searching for mates, or caring for young. This trade-off between conflicting demands has led ecologists to develop an area of research known as **optimal foraging theory**. At the center of optimal foraging theory is the hypothesis that natural selection should favor "efficient" foragers: individuals that maximize their energy or nutrient intake per unit of effort. The concept of efficient foraging involves an array of decisions involved in the process of foraging: what food to eat; where and how long to search; how to search. Optimal foraging theory approaches these decisions in terms of costs and benefits. Costs can be measured in terms of the time and energy expended in the act of foraging, and benefits should be measured in terms of fitness. However, it is extremely difficult to quantify the consequences of a specific behavioral choice on the probability of survival and reproduction. As a result, benefits are typically measured in terms of energy or nutrient gain, which is assumed to correlate with individual fitness.

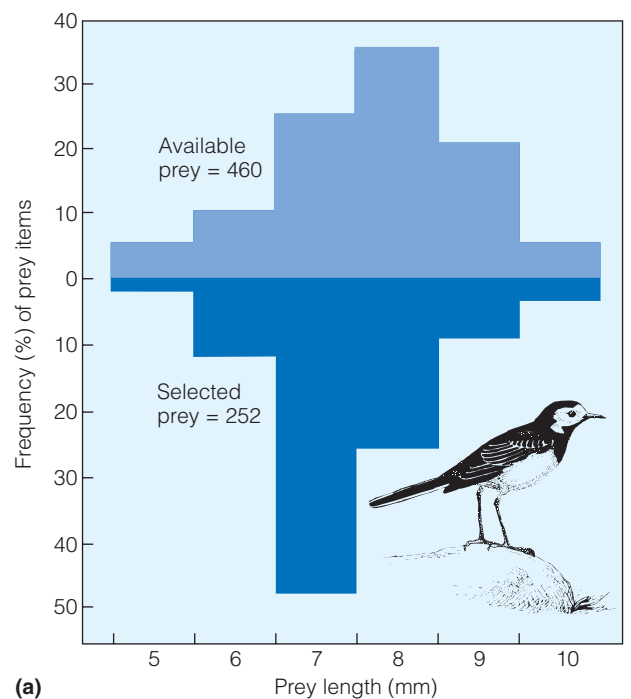
One of the most active areas of research in optimal foraging theory has focused on the composition of animal diets—the process of choosing what to eat from among a variety of choices. We can approach this question using the framework of time allocation developed in the simple model of function response in Section 15.4, where the total time spent foraging ( $T$ ) can be partitioned into two categories of activity: searching ( $T_s$ ) and handling ( $T_h$ ).

For simplicity, consider a predator hunting in a habitat that contains just two kinds of prey:  $P_1$  and  $P_2$ . Assume that the two prey types yield  $E_1$  and  $E_2$  units of net energy gain (benefits), and they require  $T_{h_1}$  and  $T_{h_2}$  seconds to handle (costs). Profitability of the two prey types is defined as the net energy gained per unit handling time:  $E_1/T_{h_1}$  and  $E_2/T_{h_2}$ . Now suppose that  $P_1$  is more profitable than  $P_2$ :  $E_1/T_{h_1} > E_2/T_{h_2}$ . Optimal foraging theory predicts that  $P_1$  should be the preferred prey type because it has a greater profitability.

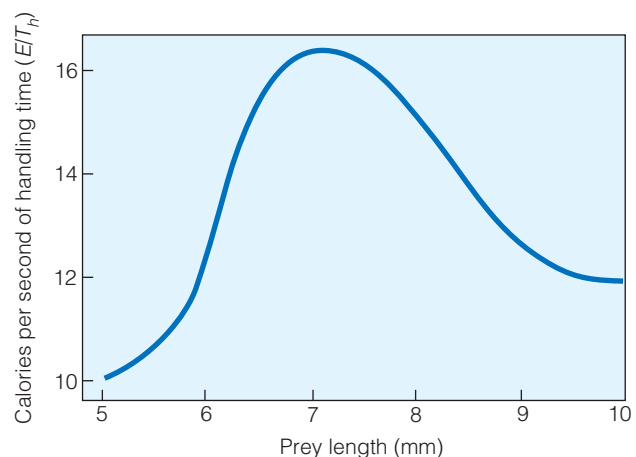
This same approach can be applied to a variety of prey items within a habitat. Behavioral ecologist Nicholas B. Davies of the University of Cambridge examined the feeding behavior of the pied wagtail (*Motacilla alba*) in a pasture near Oxford, England. The birds fed on various dung flies and beetles attracted to cattle droppings. Potential prey types were of various sizes: small, medium, and large flies and beetles. The wagtails showed a decided preference for medium-sized prey (Figure 15.10a). The size of the prey selected corresponded to the optimal-sized prey the birds could handle profitably ( $E/T_h$ ; Figure 15.10b). The birds virtually ignored smaller prey. Although easy to handle (low value of  $T_h$ ), small prey types did not return sufficient energy ( $E$ ), and large prey types required too much time and effort to handle relative to the energy gained.

The simple model of optimal foraging presented here provides a means for evaluating which of two or more potential prey types is most profitable based on the net energy gain per unit of handling time. As presented, however, it also implies that the predator should always choose the most profitable prey item. Is there ever a situation where the predator should choose to eat the alternative, less profitable prey? To answer this question, we turn our attention to the second component of time involved in foraging: search time ( $T_s$ ).

Suppose that while searching for  $P_1$ , the predator encounters an individual of  $P_2$ . Should it eat it or continue searching for another individual of  $P_1$ ? The optimal choice will depend on the search time for  $P_1$ , defined as  $T_{s_1}$ . The profitability of consuming the individual of  $P_2$  is  $E_2/T_{h_2}$ ; the alternative choice of continuing to search, capture, and consume an individual of  $P_1$  is  $E_1/(T_{h_1} + T_{s_1})$ , which now includes the additional time cost of searching for another individual of  $P_1$  ( $T_{s_1}$ ). If  $E_2/T_{h_2} > E_1/(T_{h_1} + T_{s_1})$ , then according to optimal foraging theory, the predator should eat the individual of  $P_2$ . If this condition does not hold true, then the predator should continue searching for  $P_1$ . Testing this hypothesis requires the researcher to quantify the energy value and search and handling times of the various potential prey items. An example of this simple model of optimal prey choice is presented in Quantifying Ecology 15.1: A Simple Model of Optimal Foraging.



(a)



(b)

**Figure 15.10** (a) Relationship between prey length ( $x$ -axis) and frequency of prey in the diet of pied wagtails ( $y$ -axis) foraging in a pasture. Individuals show a definite preference for medium-sized prey, which are taken in disproportionate amounts compared to the frequencies of prey available. (b) The prey size ( $x$ -axis) chosen by pied wagtails represented the optimal size, providing maximum energy per handling time ( $E/T_h$ ;  $y$ -axis).

(Adapted from Davies 1977.)

A wealth of studies examines the hypothesis of optimal prey choice in a wide variety of species and habitats, and patterns of prey selection generally follow the rules of efficient foraging. But the theory as presented here fails to consider the variety of other competing activities influencing a predator's time budget and the factors other than energy content that may influence prey selection. One reason that a predator consumes a varied diet is that it may not be able to meet its nutritional requirements from a single prey species (see Chapter 7).

## QUANTIFYING ECOLOGY 15.1 A Simple Model of Optimal Foraging

Faced with a variety of potential food choices, predators must make decisions. Which types of food to eat? Where and how long to search for food? But how are these decisions made? Do predators function opportunistically, pursuing prey as they are encountered, or do they make choices and pass by potential prey of lesser food quality (energy content) while continuing the search for more preferred food types? If the objective is to maximize energy intake (energy gain per unit time), a predator should forage in a way that maximizes benefits (energy gained from consuming prey) relative to costs (energy expended). This concept of maximizing energy intake is the basis for models of optimal foraging.

Any food item has a benefit (energy content) and a cost (in time and energy involved in search and acquisition). The benefit–cost relationship determines how much profit a particular food item represents. The profitability of a prey item is the ratio of its energy content ( $E$ ) to the time required for handling the item ( $T_h$ ), or  $E/T_h$ .

Let us assume that a predator has two possible choices of prey,  $P_1$  and  $P_2$ . The two prey types have energy contents of  $E_1$  and  $E_2$  (units of kJ) and take  $T_{h_1}$  and  $T_{h_2}$  seconds to handle. The searching time for the two prey types are  $T_{s_1}$  and  $T_{s_2}$  in seconds. We will define  $P_1$  as the most profitable prey type (greater value of  $E/T_h$ ).

As the predator searches for  $P_1$ , it encounters an individual of  $P_2$ . Should the predator capture and eat  $P_2$  or continue to search for another individual of  $P_1$ ? Which decision, capture  $P_2$  or continue to search, would be the more profitable, maximizing the predator's energy intake? This is the basic question posed by optimal foraging theory, and the solution depends on the search time for  $P_1$ .

The profitability of capturing and eating  $P_2$  is  $E_2/T_{h_2}$ ; and the profitability of continuing the search, capturing, and eating another individual of  $P_1$  is  $E_1/(T_{h_1} + T_{s_1})$ . Notice that the decision to ignore  $P_2$  and continue the search carries the additional cost of the average search time for  $P_1$ ,  $T_{s_1}$ . Therefore, the optimal solution, the decision that will yield the greater profit, is based on the following conditions:

$$\text{If: } \frac{E_2}{T_{h_2}} > \frac{E_1}{(T_{h_1} + T_{s_1})}$$

then capture and eat  $P_2$ .

$$\text{If: } \frac{E_2}{T_{h_2}} < \frac{E_1}{(T_{h_1} + T_{s_1})}$$

then ignore  $P_2$  and continue to search for  $P_1$ .

Therefore, if the search time for  $P_1$  is short, the predator will be better off continuing the search; if the search time is long, the most profitable decision is to capture and consume  $P_2$ .

The benefit–cost trade-off for the optimal choice in prey selection is best understood through an actual example. David

Irons and colleagues at Oregon State University examined the foraging behavior of glaucous-winged gulls (*Larus glaucescens*) that forage in the rock intertidal habitats of the Aleutian Islands, Alaska. Data on the abundance of three prey types (urchins, chitons, and mussels) in three intertidal zones (A, B, and C) are presented in the table below. Mean densities of the three prey types in numbers per  $m^2$  are given for the three zones. Average energy content ( $E$ ), handling time ( $T_h$ ), and search time ( $T_s$ ) for each of the three prey types are also listed in the table.

In feeding preference experiments, where search and handling time were not a consideration, chitons were the preferred prey type and the obvious choice for maximizing energy intake. However, the average abundance of urchins across the three zones is greater than that of chitons. As a gull happens upon an urchin while hunting for chitons, should it capture and eat the urchin or continue to search for its preferred food, the chitons? Under conditions of optimal foraging, the decision depends on the conditions outlined earlier. The profit gained by capturing and consuming the urchin is  $E/T_h = (7.45 \text{ kJ}/8.3 \text{ s})$ , or 0.898. In contrast, the profit gained by ignoring the urchin and searching, capturing, and consuming another chiton is  $E/(T_h + T_s) = [24.52 \text{ kJ}/(3.1 \text{ s} + 37.9 \text{ s})]$  or 0.598. Because the profit gained by consuming the urchin is greater than the profit gained by ignoring it and continuing the search for chitons, it would make sense for the gull to capture and eat the urchin.

What about a gull foraging in intertidal zone A that happens upon a mussel? In this case, the profit gained by capturing and eating the mussel is  $(1.42/2.9)$ , or 0.490, and the profit gained by continuing the search for a chiton remains  $[24.52 \text{ kJ}/(3.1 \text{ s} + 37.9 \text{ s})]$  or 0.598. In this case, the gull would be better off ignoring the mussel and continuing the search for chitons.

We now know what the gulls “should do,” but do they in fact forage optimally as defined by this simple model of benefits and costs? If gulls are purely opportunistic, their selection of prey in each of the three zones would be in proportion to their relative abundances. Irons and colleagues, however, found that the relative preferences for urchins and chitons were in fact related to their profitability ( $E/T_h$ ); mussels, however, were selected less frequently than predicted by their relative value of  $E$ .

1. How would reducing the energy content of chitons by half (to 12.26 kJ) influence the decision whether the gull should capture and eat the mussel or continue searching for a chiton in the example presented?
2. Because the gulls do not have the benefit of the optimal foraging model in deciding whether to select a prey item, how might natural selection result in the evolution of optimal foraging behavior?

Prey Type	Density Zone A	Density Zone B	Density Zone C	Energy (kJ/individual)	Handling Time (s)	Search Time (s)
Urchins	0.0	3.9	23.0	7.45	8.3	35.8
Chitons	0.1	10.3	5.6	24.52	3.1	37.9
Mussels	852.3	1.7	0.6	1.42	2.9	18.9

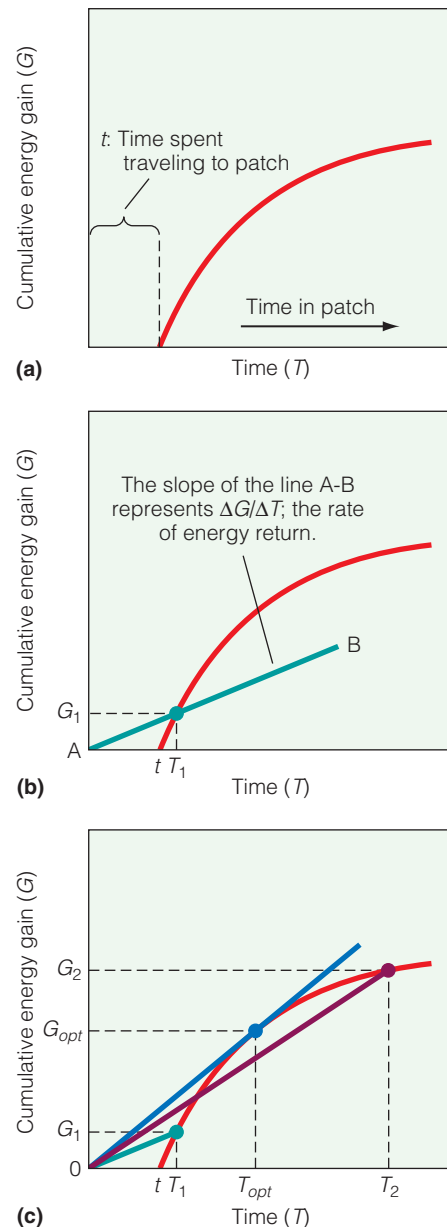


## 15.7 Foragers Seek Productive Food Patches

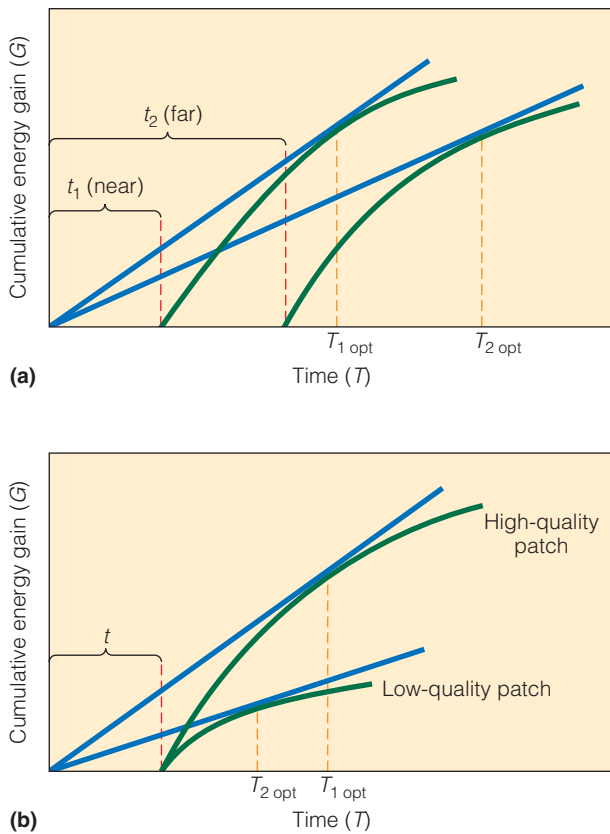
Most animals live in a heterogeneous or patchy environment. Some patches might contain a high density of prey and others a low density or no prey at all. How should a predator allocate its time foraging in a patchy environment? Once again, optimal foraging theory would suggest that the decision is based on maximizing profitability, where profitability can now be defined as the energy gained per unit of time spent foraging in a given patch (rate of energy gain). The advantages to a predator of spending more time in highly profitable patches are obvious, but the question of when a predator should abandon one patch for a different patch is much more subtle. This question has generated considerable interest and research and has given rise to another set of decision rules in optimal foraging theory. The behavioral ecologist Eric Charnov of the University of New Mexico addressed this problem using an approach known as the **marginal value theorem**. The theorem predicts the length of time an individual should stay in a resource patch before leaving and seeking another. The length of stay is related to the richness of the food patch (prey density), the time required to get there (travel time), and the time required to extract the resource.

The marginal value theorem is illustrated in Figure 15.11. The  $x$ -axis represents time and the  $y$ -axis represents the cumulative energy gain ( $G$ ) from foraging activities in the patch. There is an initial time cost associated with traveling to the patch ( $t$ ). Once foraging is initiated, the rate of energy gain, defined as the energy gain per unit time, is high. But as time progresses, the prey population becomes depleted (declining  $N_{\text{prey}}$ ) and the rate declines, with the cumulative energy gain approaching an asymptote. The rate of return at any point in time is defined as the cumulative value of  $G$  (value on  $y$ -axis) divided by the combined travel time ( $t$ ) and foraging time ( $T$ ; value on  $x$ -axis). How long should the predator continue to forage in the patch before leaving? Optimal foraging theory predicts that the predator should abandon the patch when the rate of energy gain is at its maximum value, after which the rate of energy gain begins to decline (Figure 15.11c). The maximum rate of energy gain is represented by the line that is tangent to the curve of cumulative gain with time (Figure 15.11c). Before this time, the rate of return is still increasing; after this time, the rate of return declines.

The marginal value theorem also predicts that predators should remain in a rich food patch (higher prey density) for a longer time than they remain in a poorer one (Figure 15.12a), and that for patches of the same quality, time spent foraging ( $T$ ) in a patch should increase with the amount of time spent traveling to the patch (increasing value of  $t$ ; Figure 15.12b). Whether animals follow these predictions has been the object of experimental research both in the laboratory and in the field. Eric Wajnberg and colleagues at France's National Institute of Agronomy Research examined patterns of patch exploitation by females of the parasitoid wasp *Trichogramma brassicae* on habitat patches containing



**Figure 15.11** How long should a predator remain in a habitat patch seeking food? The graphs shown above provide the answer to this question using Charnov's marginal value theorem, based on the assumption that the predator seeks to maximize the rate of energy return (energy gained per unit of time expended). (a) The total time spent foraging ( $T$ ), which includes the time spent traveling to the patch ( $t$ ), is shown on the  $x$ -axis. The cumulative energy gain ( $G$ ) from the consumption of prey at any point in time is plotted on the  $y$ -axis. (b) For a given amount of foraging time ( $T_1$ ), the predator acquires a corresponding amount of food energy ( $G_1$ ). The slope of the line A–B that extends from the origin to the point on the energy gain curve that corresponds to ( $T_1$ ,  $G_1$ ) represents the rate of energy gain for a predator that spends  $T_1$  amount of time in the patch. (c) The theorem predicts that the optimal amount of time that a predator should spend in a patch is the value of  $T$  at which the rate of energy gain is maximum. The optimal solution ( $T_{\text{opt}}$ ) is represented by the line that is tangent to the curve of cumulative gain with time [the blue line shown in (c)]. Note that for values of  $T$  below  $T_{\text{opt}}$ , the rate of energy gain continues to increase with increasing time spent in the patch. For values of  $T$  above  $T_{\text{opt}}$ , the rate of energy gain declines.



**Figure 15.12** Under the marginal value theorem (see Figure 15.11), both the time spent traveling ( $t$ ) to a habitat patch (a) and the quality of the patch (b) influence the time spent foraging ( $T_{opt}$ ).

different host densities (eggs of the European corn borer, *Ostrinia nubilalis*). On average, *T. brassicae* females spent more time on patches of higher quality, and all patches were reduced to the same level of profitability before being left. The results appeared to agree with the optimal predictions of Charnov's marginal value theorem.

## 15.8 Risk of Predation Can Influence Foraging Behavior

Most predators are also prey to other predatory species and therefore face the risk of predation while involved in their routine activities, such as foraging. Habitats and foraging areas vary in their foraging profitability and their risk of predation. In deciding whether it will feed, the forager must balance its energy gains against the risk of being eaten. If predators are about, then it may be to the forager's advantage not to visit a most profitable, but predator-prone, area and to remain in a less profitable but more secure part of the habitat. Many studies report how the presence of predators affects foraging behavior. In one such study, Jukka Suhonen of the University of Jyväskylä (Finland) examined the influence of predation risk on the use of foraging sites by willow tits (*Parus montanus*) and crested tits (*P. cristatus*) in the coniferous forests of

central Finland. During the winter months, flocks of these two bird species forage in spruce, pine, and birch trees. Their major threat to survival is the Eurasian pygmy owl (*Glaucidium passerinum*). The owl is a diurnal ambush, or sit-and-wait hunter, that pounces downward upon its prey. Its major food is voles, and when vole populations are high, usually every three to five years, the predatory threat to these small passerine birds declines. When vole populations are low, however, the small birds become the owl's primary food. During these periods, the willow and crested tits forsake their preferred foraging sites on the outer branches and open parts of the trees, restricting their foraging activity to the denser inner parts of spruce trees that provide cover and to the tops of the more open pine and leafless birch trees.

## 15.9 Coevolution Can Occur between Predator and Prey

By acting as agents of mortality, predators exert a selective pressure on prey species (see Chapter 13, Section 13.3). That is, any characteristic that enables individual prey to avoid being detected and captured by a predator will increase its fitness. Natural selection should function to produce "smarter," more evasive prey (fans of the *Road Runner* cartoons should already understand this concept). However, failure to capture prey results in reduced reproduction and increased mortality of predators. Therefore, natural selection should also produce "smarter," more skilled predators. As prey species evolve characteristics to avoid being caught, predators evolve more effective means to capture them. To survive as a species, the prey must present a moving target that the predator can never catch. This view of the coevolution between predator and prey led the evolutionary biologist Van Valen to propose the Red Queen hypothesis. In Lewis Carroll's *Through the Looking Glass, and What Alice Found There*, there is a scene in the Garden of Living Flowers in which everything is continuously moving. Alice is surprised to see that no matter how fast she moves, the world around her remains motionless—to which the Red Queen responds, "Now, here, you see, it takes all the running you can do, to keep in the same place." So it is with prey species. To avoid extinction at the hands of predators, they must evolve means of avoiding capture; they must keep moving just to stay where they are.

## 15.10 Animal Prey Have Evolved Defenses against Predators

Animal species have evolved a wide range of characteristics to avoid being detected, selected, and captured by predators. These characteristics are collectively referred to as **predator defenses**.

**Chemical defense** is widespread among many groups of animals. Some species of fish release alarm pheromones (chemical signals) that, when detected, induce flight reactions in members of the same and related species. Arthropods,



**Figure 15.13** From a pair of glands located on its back, the stinkbug (*Cosmopepla bimaculata*) discharges a volatile secretion that has the effect of discouraging predators.

amphibians, and snakes employ odorous secretions to repel predators. For example, when disturbed, the stinkbug (*Cosmopepla bimaculata*) discharges a volatile secretion from a pair of glands located on its back (Figure 15.13). The stinkbug can control the amount of fluid released and can reabsorb the fluid into the gland. In a series of controlled experiments, Bryan Krall and colleagues at Illinois State University have found that the secretion deters feeding by both avian and reptile predators.

Many arthropods possess toxic substances, which they acquired by consuming plants and then stored in their own bodies. Other arthropods and venomous snakes, frogs, and toads synthesize their own poisons.

Prey species have evolved numerous other defense mechanisms. **Cryptic coloration** includes colors and patterns that allow prey to blend into the background (Figure 15.14). Some animals are protectively colored, blending into the background of their normal environment. Such protective coloration is common among fish, reptiles, and many ground-nesting birds. **Object resemblance** is common among insects. For example,



**Figure 15.14** Cryptic coloration in the flounder (*Paralichthys*), which inhabits the shallow coastal waters of eastern North America. Most flounders can change their color and pattern rapidly to match that of the bottom sediments, allowing them to avoid detection by both predators and potential prey.



**Figure 15.15** The walking stick (Phasmatidae), which feeds on the leaves of deciduous trees, strongly resembles a twig.

walking sticks (Phasmatidae) resemble twigs (Figure 15.15), and katydids (Pseudophyllinae) resemble leaves. Some animals possess eyespot markings, which intimidate potential predators, attract the predators' attention away from the animal, or delude them into attacking a less vulnerable part of the body. Associated with cryptic coloration is **flashing coloration**. Certain butterflies, grasshoppers, birds, and ungulates, such as the white-tailed deer, display extremely visible color patches when disturbed and put to flight. The flashing coloration may distract and disorient predators; in the case of the white-tailed deer, it may serve as a signal to promote group cohesion when confronted by a predator (Figure 15.16). When the animal comes to rest, the bright or white colors vanish, and the animal disappears into its surroundings.

Animals that are toxic to predators or use other chemical defenses often possess **warning coloration**, or **aposematism**: bold colors with patterns that may serve as warning to would-be predators. The black-and-white stripes of the skunk, the bright orange of the monarch butterfly, and the yellow-and-black coloration of many bees and wasps and some snakes may



**Figure 15.16** The white-tailed deer of North America received its name from the white tail patch that serves as an alarm and a distraction while the deer flees predators.



(a)



(b)

**Figure 15.17** The bright and distinctive coloration patterns of (a) the monarch butterfly (*Danaus plexippus*) and (b) the strawberry poison dart frog (*Dendrobates pumilio*) warn potential predators of these species' toxicity.

serve notice of danger to their predators (Figure 15.17). All their predators, however, must have an unpleasant experience with the prey before they learn to associate the color pattern with unpalatability or pain.

Some animals living in the same habitats with inedible species sometimes evolve a coloration that resembles or mimics the warning coloration of the toxic species. This type of mimicry is called **Batesian mimicry** after the English naturalist H. E. Bates, who described it when observing tropical butterflies. The mimic, an edible species, resembles the inedible species, called the model. Once the predator has learned to avoid the model, it avoids the mimic also. In this way, natural selection reinforces the characteristic of the mimic species that resembles that of the model species.

Most discussions of Batesian mimicry concern butterflies, but mimicry is not restricted to Lepidoptera and other invertebrates. Mimicry has also evolved in snakes with venomous models and nonvenomous mimics (Figure 15.18). For example, in eastern North America, the scarlet king snake (*Lampropeltis triangulum*) mimics the eastern coral snake (*Micrurus fulvius*); in southwestern North America, the mountain kingsnake (*Lampropeltis pyromelana*) mimics the western coral snake



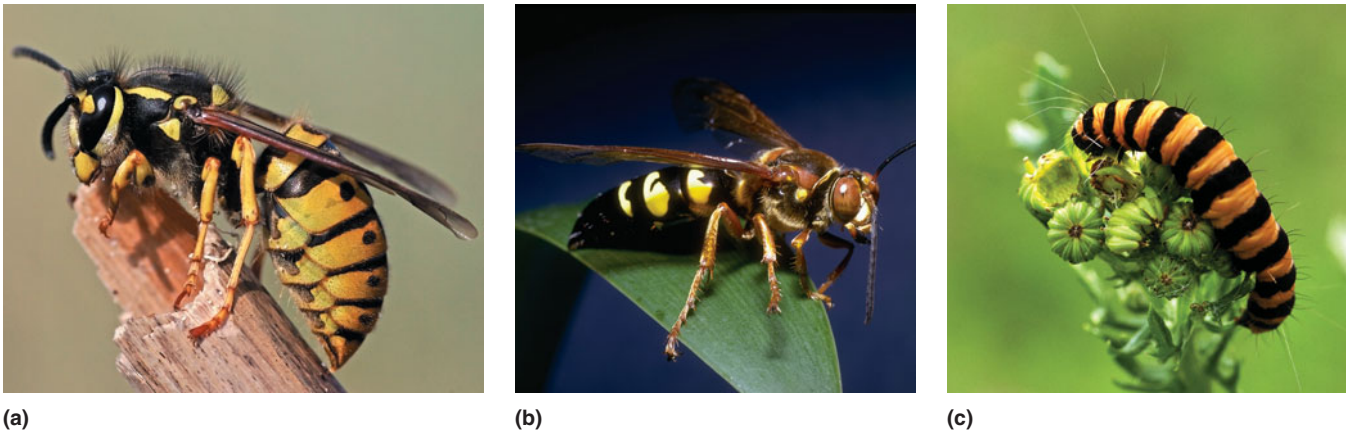
(a)



(b)

**Figure 15.18** The warning coloration of (a) the poisonous coral snake (*Micrurus fulvius*) is mimicked by (b) the nonvenomous scarlet king snake (*Lampropeltis triangulum*).

(*Micruroides euryxanthus*). Mimicry is not limited to color patterns. Some species of nonvenomous snakes are acoustic mimics of rattlesnakes. The fox snake (*Elaphe vulpina*) and the pine snake of eastern North America, the bull snake of the Great Plains, and the gopher snake of the Pacific States, all subspecies of *Pituophis melanoleucus*, rapidly vibrate their tails in leafy litter to produce a rattle-like sound.



**Figure 15.19** Example of Müllerian mimicry. The black-and-yellow striped bodies of (a) social wasps (Vespidae), (b) solitary digger wasps (Sphecidae), and (c) caterpillars of the cinnabar moths (*Callimorpha jacobaeae*) warn predators that these organisms are inedible. All are unrelated species with a shared color pattern that functions to keep predators away.

Another type of mimicry is called Müllerian, after the 19th-century German zoologist Fritz Müller. With **Müllerian mimicry**, many unpalatable or venomous species share a similar color pattern. Müllerian mimicry is successful because the predator has to be exposed to only one of the species before learning to stay away from all other species with the same warning color patterns. The black-and-yellow-striped bodies of social wasps, solitary digger wasps, and caterpillars of the cinnabar moths warn predators that the organism is inedible (Figure 15.19). All are unrelated species with a shared color pattern that functions to keep predators away.

Some animals employ **protective armor** for defense. Clams, armadillos, turtles, and many beetles all withdraw into their armor coats or shells when danger approaches. Porcupines, echidnas, and hedgehogs possess quills (modified hairs) that discourage predators.

Still other animals use **behavioral defenses**, which include a wide range of behaviors by prey species aimed at avoiding detection, fleeing, and warning others of the presence of predators. Animals may change their foraging behavior in response to the presence of predators, as in the example of the willow and crested tits (see Section 15.8). Some species give an alarm call when a predator is sighted. Because high-pitched alarm calls are not species specific, they are recognized by a wide range of nearby animals. Alarm calls often bring in numbers of potential prey that mob the predator. Other behavioral defenses include distraction displays, which are most common among birds. These defenses direct the predator's attention away from the nest or young.

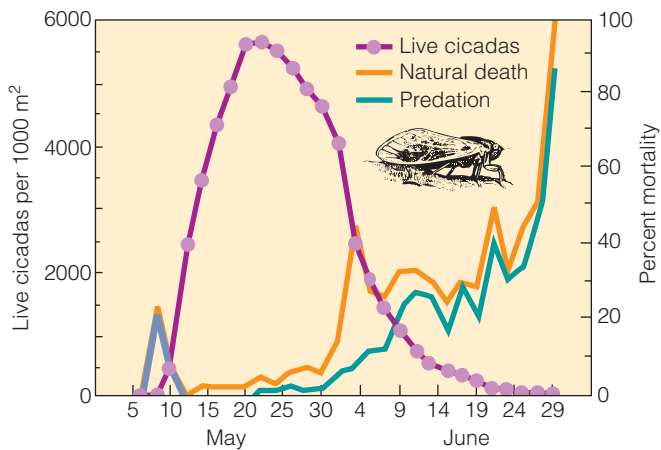
For some prey, living in groups is the simplest form of defense. Predators are less likely to attack a concentrated group of individuals. By maintaining a tight, cohesive group, prey make it difficult for any predator to obtain a victim (Figure 15.20). Sudden, explosive group flight can confuse a predator, leaving it unable to decide which individual to follow.

A subtler form of defense is the timing of reproduction so that most of the offspring are produced in a short period. Prey are thus so abundant that the predator can take only a fraction



**Figure 15.20** Musk ox (*Ovibos moschatus*) form a circle, each facing outward, to present a combined defense when threatened by predators.

of them, allowing a percentage of the young to escape and grow to a less-vulnerable size. This phenomenon is known as **predator satiation**. Periodic cicadas (*Magicicada* spp.) emerge as adults once every 13 years in the southern portion of their range in North America and once every 17 years in the northern portion of their range, living the remainder of the period as nymphs underground. Though these cicadas emerge only once every 13 or 17 years, a local population emerges somewhere within their range virtually every year. When emergence occurs, the local density of cicadas can number in the millions of individuals per hectare. Ecologist Kathy Williams, of San Diego State University, and her colleagues tested the effectiveness of predator satiation during the emergence of periodic cicadas in northwest Arkansas. Williams found that the first cicadas emerging in early May were eaten by birds, but avian predators quickly became satiated. Birds consumed 15–40 percent of the cicada population at low cicada densities but only a very small proportion as cicada densities increased (Figure 15.21). Williams's results demonstrated that, indeed, the synchronized, explosive emergences of periodic cicadas are an example of predator satiation.



**Figure 15.21** Estimated daily population density of periodic cicadas (*Magicalcada*) on a study site in Arkansas (left y-axis) and estimated daily mortality due to bird predation and natural causes (right y-axis). Maximum cicada density occurred around May 24, and maximum predation occurred around June 10. At the height of predation, most of the cicadas had already emerged and escaped bird predation.

(Adapted from Williams et al. 1993.)

The predator defenses just discussed fall into two broad classes: permanent and induced. Permanent, or **constitutive defenses**, are fixed features of the organism, such as object resemblance and warning coloration. In contrast, defenses that are brought about, or induced, by the presence or action of predators are referred to as **induced defenses**. Behavioral defenses are an example of induced defenses, as are chemical defenses such as alarm pheromones that, when detected, induce flight reactions. Induced defenses can also include shifts in physiology or morphology, representing a form of phenotypic plasticity (see *Field Studies*: Rick A. Relyea).

## 15.11 Predators Have Evolved Efficient Hunting Tactics

As prey have evolved ways of avoiding predators, predators have evolved better ways of hunting. Predators have three general methods of hunting: ambush, stalking, and pursuit. Ambush hunting means lying in wait for prey to come along. This method is typical of some frogs, alligators, crocodiles, lizards, and certain insects. Although ambush hunting has a low frequency of success, it requires minimal energy. Stalking, typical of herons and some cats, is a deliberate form of hunting with a quick attack. The predator's search time may be great, but pursuit time is minimal. Pursuit hunting, typical of many hawks, lions, wolves, and insectivorous bats, involves minimal search time because the predator usually knows the location of the prey, but pursuit time is usually great. Stalkers spend more time and energy encountering prey. Pursuers spend more time capturing and handling prey.

Predators, like their prey, may use cryptic coloration to blend into the background or break up their outlines (Figure 15.22). Predators use deception by resembling the prey. Robber flies



**Figure 15.22** The alligator snapping turtle uses a combination of cryptic coloration and mimicry to avoid detection and attract prey. By lying motionless on the bottom with its mouth wide open, it wiggles its worm-shaped tongue (see bottom of mouth) to attract and ambush potential prey.



**Figure 15.23** The robber fly (*Laphria* spp.) illustrates aggressive mimicry. It is the mimic of the bumblebee (*Megabombus pennsylvanicus*), on which it preys.

(*Laphria* spp.) mimic bumblebees, their prey (Figure 15.23). The female of certain species of fireflies imitates the mating flashes of other species to attract males of those species, which she promptly kills and eats. Predators may also employ chemical poisons, as do venomous snakes, scorpions, and spiders. They may form a group to attack large prey, as lions and wolves do.

## 15.12 Herbivores Prey on Autotrophs

Although the term *predator* is typically associated with animals that feed on other animals, herbivory is a form of predation in which animals prey on autotrophs (plants and algae). Herbivory represents a special type of predation because herbivores typically do not kill the individuals they feed on. Because the ultimate source of food energy for all heterotrophs is carbon fixed by plants in the process of photosynthesis (see Chapter 6), autotroph–herbivore interactions represent a key component of all communities.

If you measure the amount of biomass actually eaten by herbivores, it may be small—perhaps 6–10 percent of total plant biomass present in a forest community or as much as 30–50 percent in grassland communities (see Chapter 21, Section 21.11). In years of major insect outbreaks, however, or

## FIELD STUDIES

Rick A. Relyea

Department of Biological Sciences, University of Pittsburgh

Ecologists have long appreciated the influence of predation on natural selection. Predators select prey based on their sizes and shapes, thereby acting as a form of natural selection that alters the range of phenotypes within the population. In doing so, predators alter the genetic composition of the population (gene pool), which determines the range of phenotypes in future generations. Through this process, many of the mechanisms of predator avoidance discussed in Section 15.10 are selected for in prey populations. In recent years, however, ecologists have discovered that predators can have a much broader influence on the characteristics of prey species through nonlethal effects. For example, presence of a predator can change the behavior of prey, causing them to reduce activity (or hide) to avoid being detected. This change in behavior can reduce foraging activity. In turn, changes in the rate of food intake can influence prey growth and development, resulting in shifts in their morphology (size and shape of body). This shift in the phenotype of individual prey, induced by the presence and activity of predators, is termed *induction* and represents a form of phenotypic plasticity (see Section 5.9).

The discovery that predators can influence the characteristics (phenotype) of prey species through natural selection and induction presents a much more complex picture of the role of predation in evolution. Although ecologists are beginning to understand how natural selection and induction function separately, very little is known about how these two processes interact to determine the observed range of phenotypes within a prey population. Thanks to the work of ecologist Rick Relyea, however, this picture is becoming much clearer.

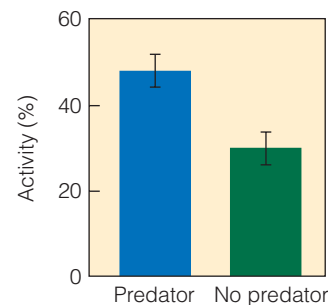
Relyea's research is conducted in wading pools that are constructed to serve as experimental ponds. In one series of experiments, Relyea explored the nature of induced changes in behavior and morphology in prey (gray tree frog tadpoles, *Hyla*



**Figure 1** Dragonfly larvae feeding on tadpole.

*versicolor*) by introducing caged predators (dragonfly larvae, *Anax longipes*) into the experimental ponds (Figure 1). The tadpoles can detect waterborne chemicals produced by the predators, allowing Relyea to simulate the threat of predation to induce changes in the tadpoles while preventing actual predation. By comparing the characteristics of tadpoles in control ponds (no predator present) and in ponds with caged predators, he was able to examine the responses induced by the presence of predators.

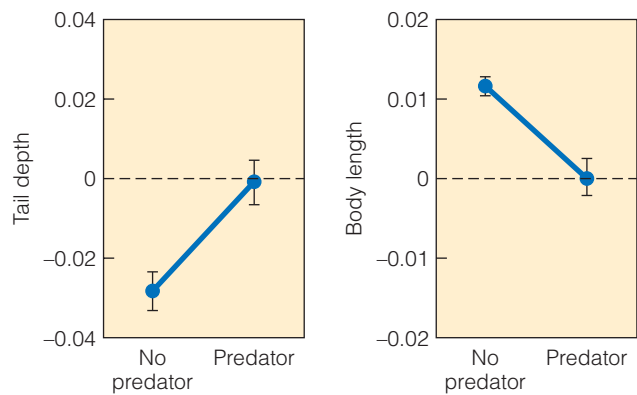
Results of the experiments reveal that induction by predatory chemical cues altered the tadpoles' behavior. They became less active in the presence of predators (Figure 2). Reduced activity makes prey less likely to encounter predators and improves their probability of survival. The predators' presence also induced a shift in the morphology of tadpoles—a form of phenotypic plasticity. Tadpoles raised in the experimental ponds in which predators were present have a greater tail depth and shorter overall body length than do individuals raised in the absence of predators (control ponds; Figure 3). Interestingly, previous studies showed that tadpoles with deeper tails and shorter bodies escape dragonfly predators better than tadpoles with the opposite morphology. Therefore, the induced morphological responses



**Figure 2** Activity of gray tree frog tadpoles when reared either in the absence (green bar) or presence (blue bar) of caged predators.

Go to [QUANTIFY!](http://www.ecologyplace.com) at [www.ecologyplace.com](http://www.ecologyplace.com) to use data to calculate standard deviation.

(Adapted from Relyea 2002.)



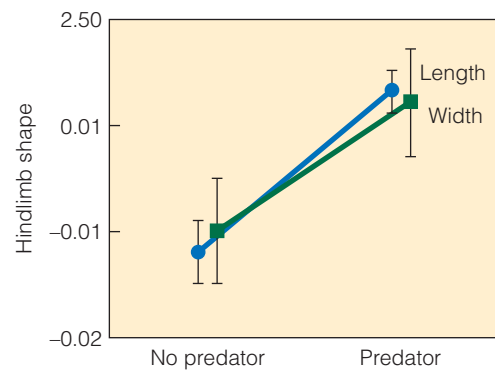
**Figure 3** Relative morphology of tree frog tadpoles when reared in either the presence or absence of caged predators. All values are relative to the mean, with negative values below and positive values above the mean value of the respective characteristic (see Figure 11.9 for complete description of statistical analyses).

(Adapted from Relyea 2002.)

that were observed in Relyea's experiments are adaptive; they are a form of phenotypic plasticity that functions to increase the survival of individual tadpoles. Relyea's experiments clearly show that predators can induce changes in prey phenotype and that the induced changes are of the same type as those that result from natural selection.

The experiments discussed here focus on only one life stage in the development of the tree frog: the larval (tadpole) stage. But how might these changes in morphology early in development affect traits later in life? As the tadpoles metamorphose into adult frogs, they have drastically different morphologies and occupy different habitats. To answer this question, Relyea conducted an experiment to examine how differences in the morphology of wood frog tadpoles (*Rana sylvatica*), induced by the presence of predators, subsequently affected the morphology of the adult frog later in development.

As in previous experiments, tadpoles reared with caged predators developed relatively deeper tail fins and had shorter bodies, lower mass, and longer developmental times than did tadpoles reared without predators. Adult frogs that emerged from the tadpoles exposed to predators (and exhibiting these induced changes during the larval stage) exhibited no differences in mass but developed relatively large hindlimbs and forelimbs and narrower bodies as compared to individuals emerging from environments where predators were absent (Figure 4). These results clearly show that predator-induced



**Figure 4** Relative morphology of adult wood frogs that developed from tadpoles reared either in the presence or absence of caged predators. All values are relative to the mean (see Figure 3).

(Adapted from Relyea 2001.)

shifts in traits early in development can subsequently alter traits later in development.

### Bibliography

- Relyea, R. 2001. The lasting effects of adaptive plasticity: Predator-induced tadpoles become long-legged frogs. *Ecology* 82:1947–1955.
- Relyea, R. 2002a. The many faces of predation: How induction, selection, and thinning combine to alter prey phenotypes. *Ecology* 83:1953–1964.
- Relyea, R. 2002b. Local population differences in phenotypic plasticity: Predator induced changes in wood frog tadpoles. *Ecological Monographs* 72:77–93.

1. Theory predicts that phenotypic plasticity should evolve when alternative phenotypes are favored in different environments. How does this prediction relate to the patterns of phenotypic plasticity observed by Relyea? What are the different environments that may function as a selective force to reinforce the benefit of phenotypic plasticity?
2. In the last experiment described, induced shifts in morphology observed during the larval stage (tadpole) influenced the morphology of these individuals at the adult stage. What type of experiment might determine if these induced changes in phenotype influence the fitness of adult frogs?





(a)



(b)

**Figure 15.24** Examples of the impact of high rates of herbivory. (a) Intense predation on oaks by gypsy moths in the forests of eastern North America. (b) Contrast between heavily grazed grassland in southeast Africa and an adjacent area where large herbivores have been excluded.

in the presence of an overabundance of large herbivores, consumption is considerably higher (Figure 15.24). Consumption, though, is not necessarily the best measure of the importance of herbivory within a community. Grazing on plants can have a subtler impact on both plants and herbivores.

The removal of plant tissue—leaf, bark, stems, roots, and sap—affects a plant’s ability to survive, even though the plant may not be killed outright. Loss of foliage and subsequent loss of roots will decrease plant biomass, reduce the vigor of the plant, place it at a competitive disadvantage with surrounding vegetation, and lower its reproductive effort. The effect is especially strong in the juvenile stage, when the plant is most vulnerable and least competitive with surrounding vegetation.

A plant may be able to compensate for the loss of leaves by increasing photosynthesis in the remaining leaves. However, it may be adversely affected by the loss of nutrients, depending on the age of the tissues removed. Young leaves are dependent structures—importers and consumers of nutrients drawn from reserves in roots and other plant tissues. Grazing herbivores, both vertebrate and invertebrate, often concentrate on younger

leaves and shoots because they are lower in structural carbon compounds such as lignins, which are difficult to digest and provide little if any energy (see Section 22.4). By selectively feeding on younger tissues, grazers remove considerable quantities of nutrients from the plant.

Plants respond to defoliation with a flush of new growth that drains nutrients from reserves that otherwise would have gone into growth and reproduction. For example, Anurag Agrawal of the University of Toronto found that herbivory by longhorn beetles (*Tetraopes* spp.) reduced fruit production and mass of milkweed plants (*Asclepias* spp.) by as much as 20–30 percent.

If defoliation of trees is complete (Figure 15.24a), as often happens during an outbreak of gypsy moths (*Lymantria dispar*) or fall cankerworms (*Alsophila pometaria*), leaves that regrow in their place are often quite different in form. The leaves are often smaller, and the total canopy (area of leaves) may be reduced by as much as 30–60 percent. In addition, the plant uses stored reserves to maintain living tissue until new leaves form, reducing reserves that it will require later. Regrown twigs and tissues are often immature at the onset of cold weather, reducing their ability to tolerate winter temperatures. Such weakened trees are more vulnerable to insects and disease. In contrast to deciduous tree species, defoliation kills coniferous species.

Browsing animals such as deer, rabbits, and mice selectively feed on the soft, nutrient-rich growing tips (apical meristems) of woody plants, often killing the plants or changing their growth form. Burrowing insects, like the bark beetles, bore through the bark and construct egg galleries in the phloem–cambium tissues. In addition to phloem damage caused by larval and adult feeding, some bark beetle species carry and introduce a blue stain fungus into a tree that colonizes sapwood and disrupts water flow to the tree crown, hastening tree death.

Some herbivores, such as aphids, do not consume tissue directly but tap plant juices instead, especially in new growth and young leaves. Sap-sucking insects can decrease growth rates and biomass of woody plants by as much as 25 percent.

Grasses have their meristems, the source of new growth, close to the ground. As a result, grazers first eat the older tissue and leave intact the younger tissue with its higher nutrient concentration. Therefore, grasses are generally tolerant of grazing and, up to a point, most benefit from it. The photosynthetic rate of leaves declines with leaf age. Grazing stimulates production by removing older tissue functioning at a lower rate of photosynthesis, increasing the light availability to underlying young leaves. Some grasses can maintain their vigor only under the pressure of grazing, even though defoliation reduces sexual reproduction. Not all grasses, however, tolerate grazing. Species with vulnerable meristems or storage organs can be quickly eradicated under heavy grazing.

### 15.13 Plants Have Evolved Characteristics That Deter Herbivores

Most plants are sessile; they cannot move. Thus, avoiding predation requires adaptations that discourage being selected by herbivores. The array of characteristics that plants employ to deter herbivores includes both structural and other defenses.



**Figure 15.25** Thorns of this *Acacia* tree can deter herbivores or reduce levels of defoliation by browsers.

Structural defenses, such as hairy leaves, thorns, and spines, can discourage feeding (Figure 15.25), thereby reducing the amount of tissues removed by herbivores.

For herbivores, often the quality of food rather than the quantity is the constraint on food supply. Because of the complex digestive process needed to break down plant cellulose and convert plant tissue into animal flesh, high-quality forage rich in nitrogen is necessary (see Chapter 7, Section 7.3). If the nutrient content of the plants is not sufficient, herbivores can starve to death on a full stomach. Low-quality foods are tough, woody, fibrous, and indigestible. High-quality foods are young, soft, and green or they are storage organs such as roots, tubers, and seeds. Most plant tissues are relatively low in quality, and herbivores that have to live on such resources suffer high mortality or reproductive failure.

Plants contain various chemicals that are not involved in the basic metabolism of plant cells. Many of these chemicals, referred to as **secondary compounds**, either reduce the ability of herbivores to digest plant tissues or deter herbivores from feeding. Although these chemicals represent an amazing array of compounds, they can be divided into three major classes based on their chemical structure: nitrogen-based compounds, terpenoids, and phenolics. Nitrogen-based compounds include alkaloids such as morphine, atropine, nicotine, and cyanide. Terpenoids (also called isoprenoids) include a variety of essential oils, latex, and plant resins (many spices and fragrances contain terpenoids). Phenolics are a general class of aromatic compounds (i.e., contain the benzene ring) including the tannins and lignins.

Some secondary compounds are produced by the plant in large quantities and are referred to as **quantitative inhibitors**. For example, tannins and resins may constitute up to 60 percent of the dry weight of a leaf. In the vacuoles of their leaves, oaks and other species contain tannins that bind with proteins and inhibit their digestion by herbivores. From 5–35 percent of the carbon contained in the leaves of terrestrial plants is in the form of lignins—complex, carbon-based molecules that are impossible for herbivores to digest, making the nitrogen

and other essential nutrients bound in these compounds unavailable to the herbivore. These types of compounds reduce digestibility and thus potential energy gain from food (see Sections 7.2 and 7.3).

Other secondary compounds that function as defenses against herbivory are present in small to minute quantities and are referred to as **qualitative inhibitors**. These compounds are toxic, often causing herbivores to avoid their consumption. This category of compounds includes cyanogenic compounds (cyanide) and alkaloids such as nicotine, caffeine, cocaine, morphine, and mescaline that interfere with specific metabolic pathways of physiological processes. Many of these compounds, such as pyrethrin, have become important sources of pesticides.

Although the qualitative inhibitors function to protect against most herbivores, some specialized herbivores have developed ways of breaching these chemical defenses. Some insects can absorb or metabolically detoxify the chemical substances. They even store the plant poisons to use them in their own defense, as the larvae of monarch butterflies do, or in the production of pheromones (chemical signals). Some beetles and certain caterpillars sever veins in leaves before feeding, stopping the flow of chemical defenses.

Some plant defenses are constitutive, such as structural defenses or quantitative inhibitors (tannins, resins, or lignins) that provide built-in physical or biological barriers against the attacker. Others are active, induced by the attacking herbivore. These induced responses can be local (at the site of the attack) or can extend systematically throughout the plant. Often, these two types of defenses are used in combination. For example, when attacked by bark beetles carrying an infectious fungus in their mouthparts, conifer trees release from the attack sites large amounts of resin (constitutive, quantitative defense) that flows out onto the attackers, entombing the beetles. Meanwhile, the tree mobilizes induced defenses against the pathogenic fungus that the intruder has deposited at the wound site.

In another kind of plant–insect interaction, it has been found that some plants appear to “call for help,” attracting the predators of their predators. Parasitic and predatory arthropods often prevent plants from being severely damaged by killing herbivores as they feed on the plants. Recent studies show that a variety of plant species, when injured by herbivores, emit chemical signals to guide natural enemies to the herbivores. It is unlikely that the herbivore-damaged plants initiate the production of chemicals solely to attract predators. The signaling role probably evolved secondarily from plant responses that produce toxins and deterrents against herbivores. For example, in a series of controlled laboratory studies, Ted Turlings and James Tumlinson, researchers at the Agricultural Research Service of the U.S. Department of Agriculture, found that corn seedlings under attack by caterpillars release several volatile terpenoid compounds that function to attract parasitoid wasps (*Cotesia marginiventris*) that then attack the caterpillars. Experiment results showed that the induced emission of volatiles is not limited to the site of damage but occurs throughout the plant. The systematic release of volatiles by injured corn seedlings results in a significant increase in visitation by the parasitoid wasp.

Various hypotheses have been put forward to explain why plants differ in the types of defenses they have evolved to avoid herbivores. A feature common to all of these hypotheses is the trade-off between the costs and benefits of defense. The cost of defense in diverting energy and nutrients from other needs must be offset by the benefits of avoiding predation.

### 15.14 Plants, Herbivores, and Carnivores Interact

In our discussion thus far, we have considered herbivory on plants and carnivory on animals as two separate topics, linked only by the common theme of predation. However, they are linked in another very important way. Plants are consumed by herbivores, which in turn are consumed by carnivores. Therefore we cannot really understand an herbivore–carnivore system without understanding plants and their herbivores, nor can we understand plant–herbivore relations without understanding predator–herbivore relationships. All three—plants, herbivores, and carnivores—are interrelated. Ecologists are beginning to understand these three-way relationships.

A classic case (Figure 15.26) is the three-level interaction of plants, the snowshoe hare (*Lepus americanus*), and its predators—lynx (*Felis lynx*), coyote (*Canis latrans*), and horned owl (*Bubo virginianus*). The snowshoe hare inhabits the high-latitude forests of North America. In winter, it feeds on the buds of conifers and the twigs of aspen, alder, and willow, which are termed *browse*. Browse consists mainly of smaller stems and young

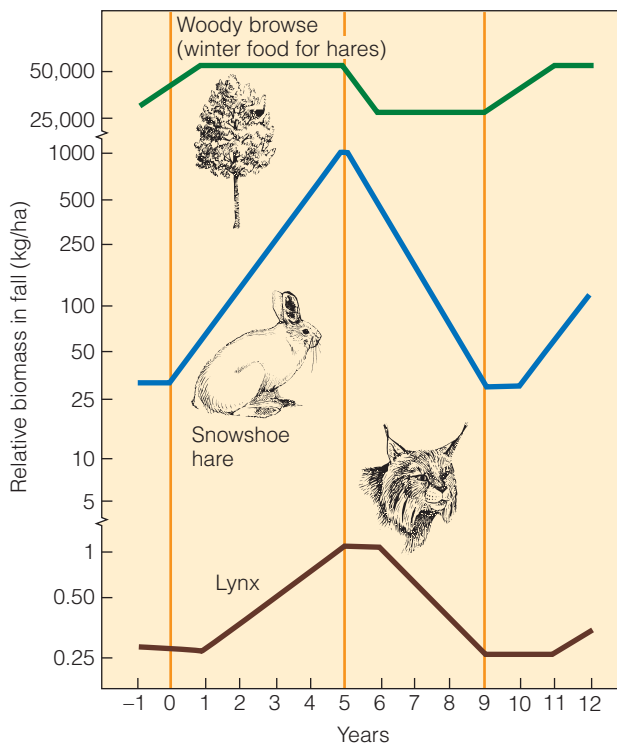
growth rich in nutrients. The hare–vegetation interaction becomes critical when the amount of essential browse falls below that needed to support the population over winter (approximately 300 g per individual per day). Excessive browsing when the hare population is high reduces future woody growth, bringing on a food shortage.

The shortage and poor quality of food lead to malnutrition, parasite infections, and chronic stress. Those conditions and low winter temperatures weaken the hares, reducing reproduction and making them extremely vulnerable to predation. Intense predation causes a rapid decline in the number of hares. Now facing their own food shortage, the predators fail to reproduce, and populations decline. Meanwhile, upon being released from the pressures of browsing by hares, plant growth rebounds. As time passes, with the growing abundance of winter food as well as the decline in predatory pressure, the hare population starts to recover and begins another cycle. Thus, an interaction between predators and food supply (plants) produces the hare cycle and, in turn, the hare cycle affects the population dynamics of its predators.

### 15.15 Predators Influence Prey Dynamics through Lethal and Nonlethal Effects

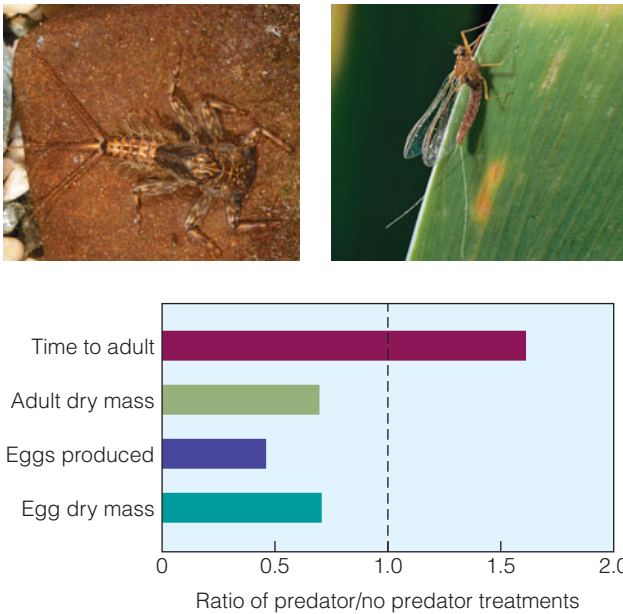
The ability of predators to suppress prey populations has been well documented. Predators can suppress prey populations through consumption; that is, they reduce prey population growth by killing and eating individuals. Besides causing mortality, however, predators can cause changes in prey characteristics by inducing defense responses in prey morphology, physiology, or behavior (see Field Studies: Rick A. Relyea). Predator-induced defensive responses can help prey avoid being consumed, but such responses often come at a cost. Prey individuals may lose feeding opportunities by avoiding preferred but risk-prone habitats, as in the example of foraging by willow and crested tits presented in Section 15.8. Reduced activity by prey in the presence of predators can reduce prey foraging time and food intake, subsequently delaying growth and development. A convincing demonstration of the long-term costs of anti-predator behavior comes from studies of aquatic insects such as mayflies (Ephemeroptera), which do not feed during their adult life stages. Mayflies are ideal study subjects because their adult fitness depends on the energy reserves they develop during the larval stage. Thus, it has been possible to show that a marked reduction in feeding activity by mayfly larvae in the presence of predators leads to slower growth and development, which ultimately translates into smaller adults that produce fewer eggs (Figure 15.27).

Predator-induced defensive responses can potentially influence many aspects of prey population regulation and dynamics, given the negative reproductive consequences of anti-predator behavior. Translating behavior decisions to population-level consequences, however, can be difficult. But research by Eric Nelson and colleagues at the University of California, Davis has clearly demonstrated an example of reduction in prey population growth resulting from predator-induced changes in prey behavior. Nelson and colleagues studied the interactions



**Figure 15.26** The three-way interaction of woody vegetation, snowshoe hare, and lynx. Note the time lag between the cycles of the three populations.

(Adapted from Keith et al. 1974.)



**Figure 15.27** Consequences of reduced activity in the mayfly *Baetis tricaudatus*. For each measure (time to adult, dry mass of adults, number of eggs produced, and dry mass of eggs), the ratio ( $x$ -axis) of its average value in the presence of predators (fish) relative to that in the absence of predators is given. A ratio smaller than 1.0 represents a reduced average value in the presence of predators, whereas values greater than 1.0 represent a greater average value in the presence of predators.

(Adapted from Scrimgeour and Culp 1994, as illustrated in Lima 1998.)

### INTERPRETING ECOLOGICAL DATA

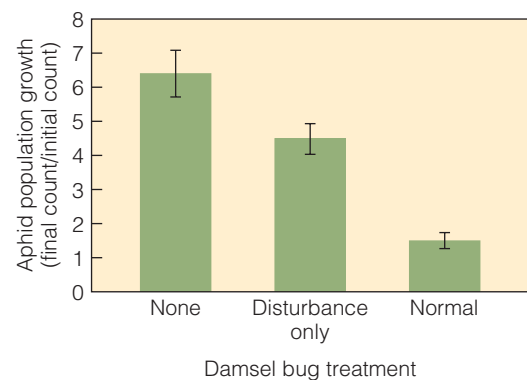
- Q1.** Based on the results of the experimental study presented in Figure 15.27, how does the reduced activity of larval mayflies in the presence of predators influence the time required for larvae to develop into adult mayflies?
- Q2.** How does the presence of predators and associated reduction in activity during the larval stage influence the fitness of adult mayflies? Explain the variables you used to draw your conclusions about adult fitness.

between herbivorous and predatory insects in fields of alfalfa (*Medicago sativa*). Pea aphids (*Acyrtosiphon pisum*) feed by inserting their mouthparts into alfalfa phloem tissue, and they reproduce parthenogenetically (asexual reproduction through the development of an unfertilized ovum) at rates of 4 to 10 offspring per day. A suite of natural enemies attacks the aphids, including damsel bugs (*Nabis* spp.). The aphids respond to the presence of foraging predators by interrupting feeding and walking away from the predator or dropping off the plant. The costs suffered by the aphids due to their defensive behavior may include increased mortality or reduced reproduction.

Damsel bugs feed by piercing aphids with a long proboscis and ingesting the body contents. Damsel bugs, therefore, influence prey in two ways: first by consuming aphids and second by disturbing their feeding behavior. In a series of controlled experiments, Nelson was able to distinguish between the effects of these two influences by surgically removing the mouthparts (proboscises) of some damsel bugs, therefore making them unable to kill and feed on aphids. By exposing aphids to these

damsel bugs, the researchers were able to test the predators' ability to suppress aphid population growth through behavioral mechanisms only. The greatest reduction in aphid population growth was caused by normal predators that were able to consume and disturb the aphids, but aphid population growth was also strongly reduced by nonconsumptive predators (Figure 15.28). These field experiments clearly demonstrated that predators reduce population growth partly through predator-induced changes in prey behavior and partly through direct mortality (consuming prey individuals).

The relationship between a predator and its prey is influenced by an array of specific behavioral, morphological, and physiological adaptations, making it difficult to generalize about the influence of predation on prey populations. Nonetheless, many laboratory and field studies offer convincing evidence that predators can significantly alter prey abundance. Whereas the influence of competition on community structure is somewhat obscure, the influence of predation is more demonstrable. Because all heterotrophs derive their energy and nutrients from consuming other organisms, the influence of predation can more readily be noticed throughout a community. As we shall see later in our discussion, the direct influence of predation on the population density of prey species can have the additional impact of influencing the interactions among prey species, particularly competitive relationships (Chapters 18 and 19).



**Figure 15.28** Per capita population growth rate ( $y$ -axis) of pea aphids in field cages containing either no predatory damsel bug (none), damsel bugs with proboscis removed (disturbance only), and normal damsel bugs (normal). Bars represent the mean population growth for each treatment, where population growth was measured as the ratio of final to initial population size. Vertical lines associated with each bar represent  $\pm 1$  standard error of the mean.

Go to [ecologyplace.com](http://www.ecologyplace.com) at [www.ecologyplace.com](http://www.ecologyplace.com) to perform confidence intervals and  $t$ -tests.

(Adapted from Nelson et al. 2004.)

## Summary

### Forms of Predation 15.1

Predation is defined generally as the consumption of all or part of one living organism by another. Forms of predation include carnivory, parasitoidism, cannibalism, and herbivory.

### Model of Predation 15.2

A mathematical model that links the two populations through the processes of birth and death can describe interactions between predator and prey. Predation represents a source of mortality for the prey population, while the reproduction of the predator population is linked to the consumption of prey.

### Mutual Population Regulation 15.3

The models of predator–prey interactions predict oscillations of predator and prey populations, with the predator population lagging behind that of the prey population. The results of the models assume mutual regulation of predator and prey populations.

### Functional Response 15.4

The interaction between predator and prey involves a functional response and a numerical response. A functional response is one in which the number of prey taken increases with the density of prey. There are three types of functional responses. In type I, the number of prey affected increases linearly. In type II, the number of prey affected increases at a decreasing rate toward a maximum value. In type III, the number of prey taken increases sigmoidally as the density of prey increases. Only type III is important as a population-regulating mechanism.

### Numerical Response 15.5

A numerical response is the increase of predators with an increased food supply. Numerical response may involve an aggregative response: the influx of predators to a food-rich area. More important, a numerical response involves a change in the growth rate of a predator population through changes in fecundity.

### Optimal Foraging 15.6

Central to the study of predation is the concept of optimal foraging. This approach to understanding the foraging behavior of animals assumes that natural selection should favor “efficient” foragers: individuals that maximize their energy or nutrient intake per unit of effort. Decisions are based on the relative profitability of alternative prey types, defined as the energy gained per unit of handling time. An optimal diet includes the most efficient size of prey for handling and net energy return.

### Foraging in a Heterogeneous Environment 15.7

Optimal foraging concentrates activity in the most profitable areas or patches. After depleting the food to the average profitability of the area as a whole, the predator abandons that patch for one more profitable. The time a predator should spend foraging in a patch is influenced by the time required

to travel to the patch and the quality of the patch in terms of prey density.

### Foraging Behavior and Risk of Predation 15.8

Most predators are also prey to other predatory species and thus face the risk of predation while involved in their routine activities, such as foraging. If predators are about, it may be to the forager’s advantage not to visit a most profitable but predator-prone area and to remain in a less profitable but more secure part of the habitat.

### Coevolution of Predator and Prey 15.9

Prey species evolve characteristics to avoid being caught by predators. Predators have evolved their own strategies for overcoming these prey defenses. This process represents a coevolution of predator and prey in which each functions as an agent of natural selection on the other.

### Predator Defenses 15.10

Chemical defense in animals usually takes the form of distasteful or toxic secretions that repel, warn, or inhibit would-be attackers. Cryptic coloration and behavioral patterns enable prey to escape detection. Warning coloration declares that the prey is distasteful or disagreeable. Some palatable species mimic unpalatable species for protection. Armor and aggressive use of weapons defend some prey. Alarms and distraction displays help others. Another form of defense is predator satiation—prey species reproduce so many young at once that predators can take only a fraction of them. Predator defenses can be classified as permanent or induced.

### Predator Evolution 15.11

Predators have evolved different methods of hunting that include ambush, stalking, and pursuit. Predators also employ cryptic coloration for hiding and aggressive mimicry for imitating the appearance of prey.

### Herbivory 15.12

Herbivory is a form of predation. The amount of plant or algal biomass actually eaten by herbivores varies among communities. Plants respond to defoliation with a flush of new growth, which draws down nutrient reserves. Such drawdown can weaken plants, especially woody ones, making them more vulnerable to insects and disease. Moderate grazing may stimulate leaf growth in grasses up to a point. By removing older leaves less active in photosynthesis, grazing stimulates the growth of new leaves.

### Herbivore Defenses 15.13

Plants affect herbivores by denying them palatable or digestible food or by producing toxic substances that interfere with growth and reproduction. Certain herbivore specialists are able to breach the chemical defenses. They detoxify the secretions, block their flow, or sequester them in their own tissues as a defense against predators. Defenses can be

either permanent (constitutive) or induced by damage inflicted by herbivores.

### Vegetation–Herbivore–Carnivore Systems 15.14

Plant–herbivore and herbivore–carnivore systems are closely related. An example of a three-level feeding interaction is the cycle of vegetation, hares, and their predators. Malnourished hares fall quickly to predators. Recovery of hares follows recovery of plants and decline in predators.

### Lethal and Nonlethal Influences 15.15

Besides influencing prey population directly through mortality, predators can cause changes in prey characteristics by inducing defense responses in prey morphology, physiology, or behavior. Reduced activity by prey in the presence of predators can reduce foraging time and food intake, subsequently delaying growth and development. The net result can be a reduction in the growth rate of the prey population.

## Study Questions

1. The Lotka–Volterra model of predator–prey dynamics suggests mutual control between predator and prey populations that results in the two populations oscillating through time (see Figure 15.2). Why does the predator population lag behind the prey population?
2. What is a functional response in predation? What component of the Lotka–Volterra model of predator–prey dynamics presented in Section 15.2 represents the functional response?
3. Distinguish among type I, type II, and type III functional responses. Which type of functional response is included in the Lotka–Volterra model of predator–prey dynamics presented in Section 15.2?
4. In Hindu mythology, Brahma created a large and monstrous creature that grew rapidly and devoured everything in its path. In reality, predators are much more selective about what they eat. What factors appear to be important in determining what a predator selects to eat among the possible array of potential prey?
5. Optimal foraging theory suggests that a predator will select among possible prey based on their relative profitability (energy gained per unit of energy expended). Do you think that predators directly evaluate the profitability of potential prey items before selecting or rejecting them? If not, how might a foraging strategy evolve?
6. What is a numerical response? Which terms in the Lotka–Volterra model of predator–prey dynamics presented in Section 15.2 relate to the numerical response of predators to prey?
7. How would changes in the value of  $b$  (the efficiency with which consumption of prey is converted into predator population growth) influence the lag between predator and prey populations (see Figure 15.2c)?
8. How can predators function as agents of natural selection in prey populations?
9. Distinguish between permanent and induced predator defenses. Provide an example of each in both plants and animals.
10. How can prey function as agents of natural selection in predator populations?

## Further Readings

- Clark, T. W., A. P. Curlee, S. C. Minta, and P. M. Kareiva (Eds.) 1999. *Carnivores in ecosystems: the Yellowstone experience*. New Haven: Yale University Press.  
Covers interactions among canids and smaller predators and the three species systems of vegetation, herbivores, and predators.
- Danell, K., R. Bergstrom, P. Duncan, and J. Pastor. (Eds.) 2006. *Large herbivore ecology, ecosystem dynamics, and conservation*. New York: Cambridge University Press.  
Excellent synthesis of the impact of large herbivores on plant community structure and long-term plant diversity.
- Hay, M. E. 1991. Marine-terrestrial contrasts in the ecology of plant chemical defenses against herbivores. *Trends in Ecology and Evolution* 6:362–365.  
This review article, an excellent overview of plant chemical defenses, contrasts the differences in plant chemical defenses that have evolved in terrestrial and aquatic plant species. It also considers how adaptations to the abiotic environment constrain the adaptation of plant defenses.
- Krebs, C. J., R. Boonstra, S. Boutin, and A. R. E. Sinclair. 2001. What drives the 10-year cycle of snowshoe hares? *Bioscience* 51:25–35.  
An excellent, easy-to-read overview of factors involved in the predator–prey cycle discussed in Section 15.14.
- Tollrian, R., and C. D. Harvell. 1999. *The ecology and evolution of inducible defenses*. Princeton, NJ: Princeton University Press.  
An extensive, excellent review of the defenses exhibited by prey species (both plant and animal) that are induced by the presence and activity of predators. The text provides a multitude of illustrated examples from recent research in the growing area of coevolution between predator and prey.
- Williams, K., K. G. Smith, and F. M. Steven. 1993. Emergence of the 13-year periodic cicada: Phenology, mortality, and predator satiation. *Ecology* 74:1143–1152.  
A classic example of the consequences of predator satiation on the functional response of predators.



Corn (*Zea mays*) infected with corn smut (*Ustilago maydis*).

- 16.1 Parasites Draw Resources from Host Organisms**
- 16.2 Hosts Provide Diverse Habitats for Parasites**
- 16.3 Direct Transmission Can Occur between Host Organisms**
- 16.4 Transmission between Hosts Can Involve an Intermediate Vector**
- 16.5 Transmission Can Involve Multiple Hosts and Stages**
- 16.6 Hosts Respond to Parasitic Invasions**
- 16.7 Parasites Can Affect Host Survival and Reproduction**
- 16.8 Parasites May Regulate Host Populations**
- 16.9 Parasitism Can Evolve into a Mutually Beneficial Relationship**
- 16.10 Mutualisms Involve Diverse Species Interactions**
- 16.11 Mutualisms Are Involved in the Transfer of Nutrients**
- 16.12 Some Mutualisms Are Defensive**
- 16.13 Mutualisms Are Often Necessary for Pollination**
- 16.14 Mutualisms Are Involved in Seed Dispersal**
- 16.15 Mutualism Can Influence Population Dynamics**

In Chapter 13 we introduced the concept of coevolution—the process in which two species undergo reciprocal evolutionary change through natural selection. Competition between two species can lead to character displacement and resource partitioning (Chapter 14, Section 14.10). Prey have evolved means of defense against predators, and predators have evolved ways to breach those defenses (Chapter 15, Sections 15.10 and 15.11): an evolutionary “game” of adaptation and counteradaptation.

The process of coevolution is even more evident in the interactions between parasites and their hosts. The parasite lives on or in the host organism for some period of its life, in a relationship referred to as symbiosis (Greek *sym*, “together,” and *bios*, “life”). **Symbiosis**, as defined by the eminent evolutionary biologist Lynn Margulis, is the “intimate and protracted association between two or more organisms of different species.” This definition does not specify whether the result of the association between the species is positive, negative, or benign. It therefore includes a wide variety of interactions in which the fate of individuals of one species depends on their association with individuals of another.

Some symbiotic relationships benefit both species involved, as in the case of mutualism. In other symbiotic relationships, however, one species benefits at the expense of the other. Such is the case of the parasitic relationship, in which the host organism is the parasite’s habitat as well as its source of nourishment. For the parasite, this is an obligatory relationship; the parasite requires the host organism for its survival and reproduction. To minimize the negative impact of parasites, host species have evolved a variety of defense mechanisms.

## 16.1 Parasites Draw Resources from Host Organisms

Parasitism is a type of symbiotic relationship between organisms of different species. One species, the parasite, benefits from a prolonged, close association with the other species—the host, which is harmed. Parasites increase their fitness by exploiting host organisms for food, habitat, and dispersal. Although they draw nourishment from the tissues of the host organism, parasites typically do not kill their hosts as predators do. However, the host may die from secondary infection or suffer reduced fitness due to stunted growth, emaciation, modification of behavior, or sterility. In general, parasites are much smaller than their hosts, are highly specialized for their mode of life, and reproduce more quickly and in greater numbers than their hosts.

The definition of parasitism just presented may appear unambiguous. But as with predation the term *parasitism* is often used in a more general sense to describe a much broader range of interactions (see Section 15.1). Interactions between species frequently satisfy some but not all parts of this definition, because in many cases it is hard to demonstrate that the host is harmed. In other cases, there may be no apparent specialization by the parasite or the interaction between the organisms may be short-lived. For example, due to the episodic nature of their feeding habits, mosquitoes and hematophagic (“blood-feeding”) bats are typically not considered parasitic. *Parasitism* can also be used

to describe a form of feeding in which one animal appropriates food gathered by another (the host), a behavior termed *cleptoparasitism* (literally meaning “parasitism by theft”). An example is the brood parasitism practiced by many species of cuckoo (Cuculidae). Many cuckoos use other bird species as “babysitters”; they deposit their eggs in the nest of the host species, which raise the cuckoo young as one of their own. In the following discussion, we use the narrower definition of *parasite* as given in the previous paragraph, which includes a wide range of organisms—viruses, bacteria, protists, fungi, plants, and an array of invertebrates, among them arthropods. A heavy load of parasites is termed an **infection**, and the outcome of an infection is a **disease**.

Parasites are distinguished by size. Ecologically, parasites may be classified as microparasites and macroparasites. **Microparasites** include viruses, bacteria, and protozoans. They are characterized by small size and a short generation time. They develop and multiply rapidly within the host and are the class of parasites that we typically associate with the term *disease*. The infection generally lasts a short time relative to the host’s expected life span. Transmission from host to host is most often direct, although other species may serve as carriers or vectors.

**Macroparasites** are relatively large. Examples include flatworms, acanthocephalans, roundworms, flukes, lice, fleas, ticks, fungi, rusts, and smuts. Macroparasites have a comparatively long generation time and typically do not complete an entire life cycle in a single host organism. They may spread by direct transmission from host to host or by indirect transmission, involving intermediate hosts and carriers.

Although the term *parasite* is most often associated with heterotrophic organisms such as animals, bacteria, and fungi, over 4000 species of parasitic plants derive some or all of their sustenance from another plant. Parasitic plants have a modified root—the haustorium—that penetrates the host plant and connects to the vascular tissues (xylem and/or phloem). Parasitic plants may be classified as holoparasites or hemiparasites based on whether they carry out the process of photosynthesis. **Hemiparasites**, such as most species of mistletoe (Figure 16.1),



**Figure 16.1** Mistletoe (*Viscum album*) in poplar tree. Mistletoes are hemiparasites. Although capable of photosynthesis, they penetrate the host tree, extracting water and nutrients.





**Figure 16.2** Squawroot (*Conopholis americana*), a member of the broomrape family, is a holoparasite on the roots of oak.

are photosynthetic plants that contain chlorophyll when mature and obtain water, with its dissolved nutrients, by connecting to the host xylem. **Holoparasites**, such as broomrape and dodder (Figure 16.2), lack chlorophyll and are thus nonphotosynthetic. These plants function as heterotrophs that rely totally on the host's xylem and phloem for carbon, water, and other essential nutrients.

Parasites are extremely important in interspecific relations. In contrast with the species interactions of competition and predation, however, it was not until the late 1960s that ecologists began to appreciate the role of parasitism in population dynamics and community structure. Parasites have dramatic effects when they are introduced into host populations that have not evolved defenses against them. In such cases, diseases sweep through and decimate the population.

## 16.2 Hosts Provide Diverse Habitats for Parasites

Hosts are the habitats for parasites, and the diverse arrays of parasites that have evolved exploit every conceivable habitat on and within their hosts. Parasites that live on the host's skin, within the protective cover of feathers and hair, are **ectoparasites**. Others, known as **endoparasites**, live within the host. Some burrow beneath the skin. They live in the bloodstream, heart, brain, digestive tract, liver, spleen, mucosal lining of the stomach, spinal cord, nasal tract, lungs, gonads, bladder, pancreas, eyes, gills of fish, muscle tissue, or other sites. Parasites of insects live on the legs, on the upper and lower body surfaces, and even on the mouthparts.

Parasites of plants also divide up the habitat. Some live on the roots and stems; others penetrate the roots and bark to live in the woody tissue beneath. Some live at the root collar, commonly called a crown, where the plants emerge from the soil. Others live within the leaves, on young leaves, on mature leaves, or on flowers, pollen, or fruits. A major problem for parasites, especially parasites of animals, is gaining access to and escaping from the host. Parasites can enter and exit host animals through various pathways including the mouth, nasal

passages, skin, rectum, and urogenital system; they travel to their point of infection through the pulmonary, circulatory, or digestive systems.

For parasites, host organisms are like islands that eventually disappear (die). Because the host serves as a habitat enabling their survival and reproduction, parasites have to escape from one host and locate another—something they cannot do at will. Endo-macroparasites can escape only during a larval stage of their development, known as the infective stage, when they must make contact with the next host. The process of transmission from one host to another can occur either directly or indirectly and can involve adaptations by parasites to virtually all aspects of feeding, social, and mating behaviors in host species.

## 16.3 Direct Transmission Can Occur between Host Organisms

Direct transmission occurs when a parasite is transferred from one host to another without the involvement of an intermediate organism. The transmission can occur by direct contact with a carrier, or the parasite can be dispersed from one host to another through the air, water, or other substrate. Microparasites are more often transmitted directly, as in the case of influenza (airborne) and smallpox (direct contact) viruses and the variety of bacterial and viral parasites associated with sexually transmitted diseases.

Many important macroparasites of animals and plants also move from infected to uninfected hosts by direct transmission. Among internal parasites, the roundworms (*Ascaris*) live in the digestive tracts of mammals. Female roundworms lay thousands of eggs in the host's gut that are expelled with the feces, where they are dispersed to the surrounding environment (water, soil, ground vegetation). If they are swallowed by a host of the correct species, the eggs hatch in the host's intestines, and the larvae bore their way into the blood vessels and come to rest in the lungs. From there they ascend to the mouth, usually by causing the host to cough, and are swallowed again to reach the stomach, where they mature and enter the intestines.

The most important debilitating external parasites of birds and mammals are spread by direct contact. They include lice, ticks, fleas, botfly larvae, and mites that cause mange. Many of these parasites lay their eggs directly on the host, but fleas lay their eggs and their larvae hatch in the host's nests and bedding, from which they leap onto nearby hosts.

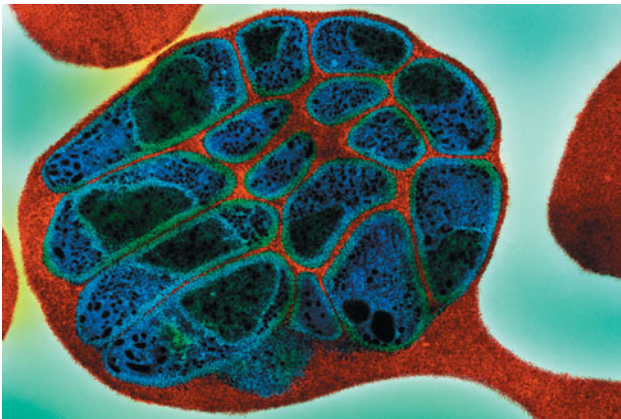
Some parasitic plants also spread by direct transmission—notably those classified as holoparasites, such as members of the broomrape family (Orobanchaceae). Two examples are squawroot (*Conopholis americana*), which parasitizes the roots of oaks (see Figure 16.2), and beechdrops (*Epifagus virginiana*), which parasitizes mostly the roots of beech trees. Seeds of these plants are dispersed locally; upon germination, their roots extend through the soil and attach to the roots of the host plant.

Some fungal parasites of plants spread through root grafts. For example, *Fomes annosus*, an important fungal infection of white pine (*Pinus strobus*), spreads rapidly through pure stands of the tree when roots of one tree grow onto (and become attached to) the roots of a neighbor.

## 16.4 Transmission between Hosts Can Involve an Intermediate Vector

Some parasites are transmitted between hosts by an intermediate organism, or vector. For example, the black-legged tick (*Ixodes scapularis*) functions as an arthropod vector in the transmission of Lyme disease, the major arthropod-borne disease in the United States. Named for its first noted occurrence at Lyme, Connecticut, in 1975, the disease is caused by a bacterial spirochete, *Borrelia burgdorferi*. It lives in the bloodstream of vertebrates, from birds and mice to deer and humans. The spirochete depends on the tick for transmission from one host to another.

Malaria parasites infect a wide variety of vertebrate species, including humans. The four species of protozoan parasites (*Plasmodium*) that cause malaria in humans are transmitted to the bloodstream by the bite of an infected female mosquito of the genus *Anopheles* (Figure 16.3). Mosquitoes are known to transmit more than 50 percent of the approximately 102 arboviruses (a contraction of “arthropod-borne viruses”) that can produce disease in humans, including dengue and yellow fever.



(a)



(b)

**Figure 16.3** Malaria is a recurring infection produced in humans by protozoan parasites (a) transmitted by the bite of an infected female mosquito of the genus *Anopheles* (b). Today, more than 40 percent of the world’s population is at risk, and more than 1 million are killed each year by malaria.

Insect vectors are also involved in the transmission of parasites among plants. European and native elm bark beetles (*Scolytus multistriatus* and *Hylurgopinus rufipes*) carry spores of the fungi *Ophiostoma ulmi* that spreads the devastating Dutch elm disease from tree to tree. Mistletoes (*Phoradendron* spp.) belong to a group of plant parasites known as hemiparasites (see Figure 16.1) that, although photosynthetic, draw water and nutrients from their host plant. Transmission of mistletoes between host plants is linked to seed dispersal. Birds feed on the mistletoe fruits. The seeds pass through the digestive system unharmed and are deposited on trees where the birds perch and defecate. The sticky seeds attach to limbs and send out rootlets that embrace the limb and enter the sapwood.

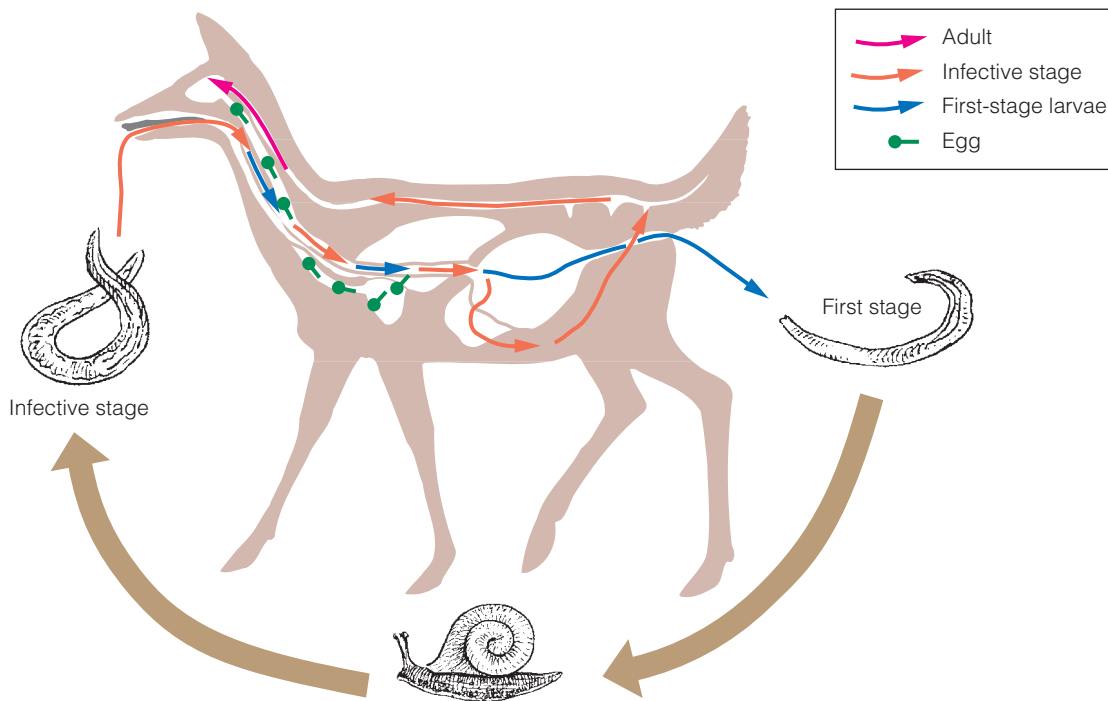
## 16.5 Transmission Can Involve Multiple Hosts and Stages

Earlier, we introduced the concept of life cycle—the phases associated with the development of an organism, typically divided into juvenile (or prereproductive), reproductive, and postreproductive (Chapter 10). Some species of parasites cannot complete their entire life cycle in a single host species. The host species in which the parasite becomes an adult and reaches maturity is referred to as the **definitive host**. All others are **intermediate hosts**, which harbor some developmental phase. Parasites may require one, two, or even three intermediate hosts. Each stage can develop only if the parasite can be transmitted to the appropriate intermediate host. Thus, the dynamics of a parasite population are closely tied to the population dynamics, movement patterns, and interactions of the various host species.

Many parasites, both plant and animal, use this form of indirect transmission and spend different stages of the life cycle with different host species. Figure 16.4 shows the life cycle of the meningeal worm (*Parelaphostrongylus tenuis*), a parasite of the white-tailed deer in eastern North America. Snails or slugs that live in the grass serve as the intermediate host species for the larval stage of the worm. The deer picks up the infected snail while grazing. In the deer’s stomach, the larvae leave the snail, puncture the deer’s stomach wall, enter the abdominal membranes, and travel via the spinal cord to reach spaces surrounding the brain. Here, the worms mate and produce eggs. Eggs and larvae pass through the bloodstream to the lungs, where the larvae break into air sacs and are coughed up, swallowed, and passed out with the feces. The snails acquire the larvae as they come into contact with the deer feces on the ground. Once within the snail, the larvae continue to develop to the infective stage.

## 16.6 Hosts Respond to Parasitic Invasions

Just as the coevolution of predators and prey has resulted in the adaptation of defense mechanisms by prey species, likewise host species exhibit a range of adaptations that minimize the impact of parasites. Some responses are mechanisms that reduce parasitic invasion. Other defense mechanisms aim to combat parasitic infection once it has occurred.



**Figure 16.4** The life cycle of a macroparasite, the meningeal worm *Parelaphostrongylus tenuis*, which infects white-tailed deer, moose, and elk. Transmission is indirect, involving snails as an intermediate host.

(Adapted from Anderson 1963.)

Some defensive mechanisms are behavioral, aimed at avoiding infection. Birds and mammals rid themselves of ectoparasites by grooming. Among birds, the major form of grooming is preening, which involves manipulating plumage with the bill and scratching with the foot. Both activities remove adults and nymphs of lice from the plumage. Deer seek dense, shaded places where they can avoid deerflies, which are common to open areas.

If infection should occur, the first line of defense involves the inflammatory response. The death or destruction (injury) of host cells stimulates the secretion of histamines (chemical alarm signals), which induce increased blood flow to the site and cause inflammation. This reaction brings in white blood cells and associated cells that directly attack the infection. Scabs can form on the skin, reducing points of further entry. Internal reactions can produce hardened cysts in muscle or skin that enclose and isolate the parasite. An example is the cysts that encase the roundworm *Trichinella spiralis* (Nematoda) in the muscles of pigs and bears and that cause trichinosis when ingested by humans in undercooked pork.

Plants respond to bacterial and fungal invasion by forming cysts in the roots and scabs in the fruits and roots, cutting off contact by the fungus with healthy tissue. Plants react to attacks on leaf, stem, fruit, and seed by gall wasps, bees, and flies by forming abnormal growth structures unique to the particular gall insect (Figure 16.5). Gall formation exposes the larvae of some gall parasites to predation. For example, John Confer and Peter Paicos of Ithaca College (New York) reported that the conspicuous, swollen knobs of the goldenrod ball gall (Figure 16.5d) attract the downy woodpecker (*Picoides pubescens*), which excavates and eats the larva within the gall.

The second line of defense is the immune response (or immune system). When a foreign object such as a virus or bacteria, termed an *antigen* (a contraction of “antibody-generating”), enters the bloodstream, it elicits an immune response. White cells called lymphocytes (produced by lymph glands) produce antibodies. The antibodies target the antigens present on the parasite’s surface or released into the host, helping to counter their effects. These antibodies are energetically expensive to produce. They also are potentially damaging to the host’s own tissues. Fortunately, the immune response does not have to kill the parasite to be effective. It only has to reduce the feeding, movements, and reproduction of the parasite to a tolerable level. The immune system is extremely specific, and it has a remarkable “memory.” It can “remember” antigens it has encountered in the past and react to them more quickly and vigorously in subsequent exposures.

The immune response, however, can be breached. Some parasites vary their antigens more or less continuously. By doing so, they are able to keep one jump ahead of the host’s response. The result is a chronic infection of the parasite in the host. Antibodies specific to an infection normally are composed of proteins. If the animal suffers from poor nutrition and its protein deficiency is severe, normal production of antibodies is inhibited. Depletion of energy reserves breaks down the immune system and allows viruses or other parasites to become pathogenic. The ultimate breakdown in the immune system occurs in humans infected with the human immunodeficiency virus (HIV), the causal agent of AIDS, which is transmitted sexually, through the use of shared needles, or by infected donor blood. The virus attacks the immune system itself, exposing the host to a range of infections that prove fatal.



(a) Spruce cone gall



(b) Oak succulent gall



(c) Oak bullet gall



(d) Goldenrod ball gall

**Figure 16.5** Galls are a growth response to an alien substance in plant tissues. In this case, the presence of a parasitic egg stimulates a genetic transformation of the host's cells. (a) The spruce cone gall caused by the aphid *Adelges abietis*. (b) The oak succulent gall induced by the gall wasp *Andricus paloak*. (c) The oak bullet gall, caused by the gall wasp *Disholcaspis globulus*. (d) The goldenrod ball gall, a stem gall induced by a gallfly, *Eurosta solidaginis*.

## 16.7 Parasites Can Affect Host Survival and Reproduction

Although host organisms exhibit a wide variety of defense mechanisms to prevent, reduce, or combat parasitic infection, all share the common feature of requiring resources that the host might otherwise have used for some other function. Given that organisms have a limited amount of energy, it is not surprising that parasitic infections function to reduce both growth and reproduction. Joseph Schall of the University of Vermont examined the impact of malaria on the western fence lizard (*Sceloporus occidentalis*) inhabiting California. Clutch size (number of eggs produced) is approximately 20 percent smaller in malaria-infected females compared with noninfected individuals. Reproduction is reduced because infected females are less able to store fat during the summer, so they have less energy for egg production the following spring.

Parasitic infection can reduce the reproductive success of males. Females of many species choose mates based on the secondary sex characteristics, such as bright and ornate plumage of male birds (see discussion of intrasexual selection in Chapter 10). Full expression of these characteristics can be limited by parasite infection, thus reducing the male's ability to successfully attract a mate. For example, the bright red color of the male zebra finch's beak depends on its level of carotenoid pigments, the naturally occurring chemicals that are

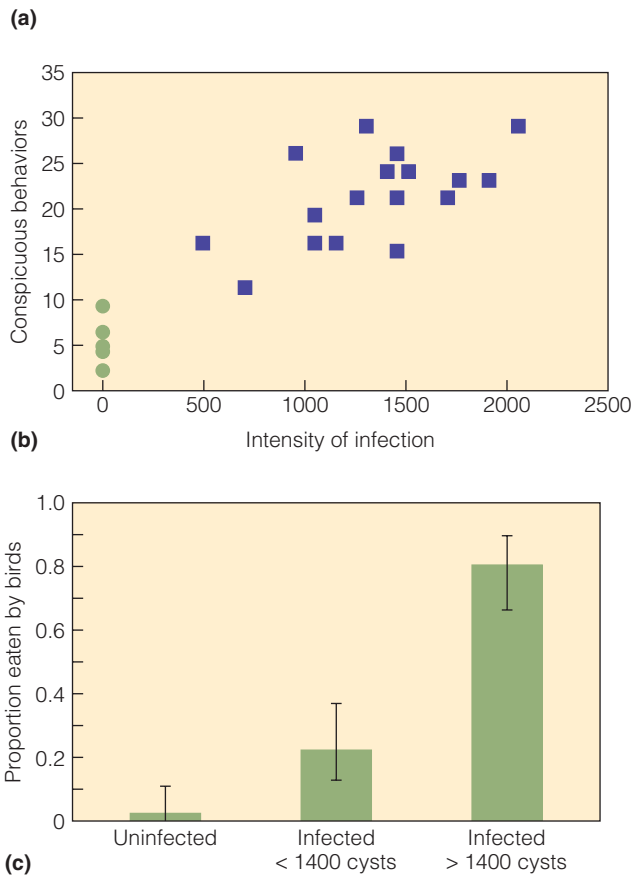
responsible for the red, yellow, and orange coloration patterns in animals as well as in foods such as carrots. Birds cannot synthesize carotenoids and must obtain them through the diet. Besides being colorful pigments, carotenoids stimulate the production of antibodies and absorb some of the damaging free radicals that arise during the immune response. In a series of laboratory experiments, Jonathan Blount and colleagues from the University of Glasgow (Scotland) found that only those males with the fewest parasites and diseases can devote sufficient carotenoids to producing bright red beaks and therefore succeed in attracting mates and reproducing.

Although most parasites do not kill their host organisms, increased mortality can result from a variety of indirect consequences of infection. One interesting example is when the infection alters the behavior of the host, increasing its susceptibility to predation. Rabbits infected with the bacterial disease tularemia (*Francisella tularensis*), transmitted by the rabbit tick (*Haemaphysalis leporis-palustris*), are sluggish and thus more vulnerable to predation. In another example, ecologists Kevin Lafferty and Kimo Morris of the University of California–Santa Barbara observed that killifish (*Fundulus parvipinnis*; Figure 16.6a) parasitized by trematodes (flukes) display abnormal behavior such as surfacing and jerking. In a comparison of parasitized and unparasitized populations, the scientists found that the frequency of conspicuous behaviors displayed by individual fish is related to the intensity of parasitism (Figure 16.6b). The abnormal behavior of the infected killifish attracts fish-eating birds. Lafferty and Morris found that heavily parasitized fish were preyed upon more frequently than unparasitized individuals (Figure 16.6c). Interestingly, the fish-eating birds represent the trematodes' definitive host, so that by altering its intermediate host's (the killifish's) behavior, making it more susceptible to predation, the trematode ensures the completion of its life cycle.

## 16.8 Parasites May Regulate Host Populations

For parasite and host to coexist under a relationship that is hardly benign, the host needs to resist invasion by eliminating the parasites or at least minimizing their effects. In most circumstances, natural selection has resulted in a level of immune response where the allocation of metabolic resources by the host species minimizes the cost of parasitism yet does not unduly impair its own growth and reproduction. Conversely, the parasite gains no advantage if it kills its host. A dead host means dead parasites. The conventional wisdom about host–parasite evolution is that virulence is selected against, so that parasites become less harmful to their hosts and thus persist. Does natural selection work this way in parasite–host systems?

Natural selection does not necessarily favor peaceful coexistence of hosts and parasites. To maximize fitness, a parasite should balance the trade-off between virulence and other components of fitness such as transmissibility. Natural selection may yield deadly (high virulence) or benign (low virulence) parasites depending on the requirements for parasite reproduction and transmission. For example, the term *vertical transmission* is used to describe parasites transmitted directly



**Figure 16.6** Infection of the California killifish (a) by the trematode parasite causes abnormal behavior that increases the susceptibility of individuals to predation. (b) The frequency of conspicuous behaviors each fish displayed within a 30-minute period (y-axis) in relation to the intensity of parasitism (number of cysts per fish brain). In the parasitized population (squares), the number of conspicuous behaviors increased with parasite intensity. All unparasitized fish (circles) had smaller numbers of conspicuous behaviors than parasitized fish. (c) A comparison of the proportion of fish eaten by birds after 20 days (y-axis), showing that heavily parasitized fish were preyed on more frequently than unparasitized fish. Vertical lines in bars represent 95 percent confidence intervals. (Adapted from Lafferty and Morris 1996.)

from the mother to the offspring during the perinatal period (the period immediately before or after birth). Typically, parasites that depend on this mode of transmission cannot be as virulent as those transmitted through other forms of direct contact between adult individuals because the recipient (host) must survive until reproductive maturity to pass on the parasite. The host's condition is important to a parasite only as it relates to

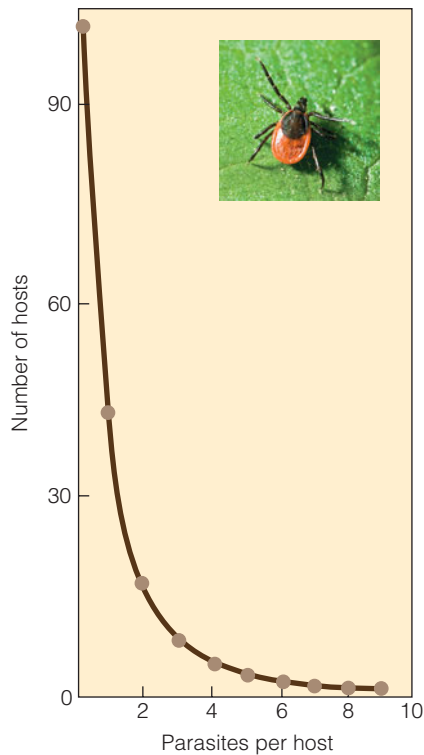
the parasite's reproduction and transmission. If the host species did not evolve, the parasite might well be able to achieve some optimal balance of host exploitation. But just as with the coevolution of predator and host species do evolve prey (see Section 15.9's discussion of the Red Queen hypothesis). The result is an "arms race" between parasite and host.

Parasites can have the effect of decreasing reproduction and increasing the probability of host mortality, but few studies have quantified the effect of a parasite on the dynamics of a particular plant or animal population under natural conditions. Parasitism can have a debilitating effect on host populations, a fact that is most evident when parasites invade a population with no evolved defenses (see Ecological Issues: Plagues Upon Us). In such cases, the spread of disease may be virtually density independent, reducing populations, exterminating them locally, or restricting distribution of the host species. The chestnut blight (*Endothia parasitica*), introduced into North America from Europe, nearly exterminated the American chestnut (*Castanea dentata*) and removed it as a major component of the forests of eastern North America. Dutch elm disease, caused by a fungus (*Ophiostoma ulmi*) spread by beetles, has nearly removed the American elm (*Ulmus americana*) from North America and the English elm (*U. glabra*) from Great Britain. Anthracnose (*Discula destructiva*), a fungal disease, is decimating flowering dogwood (*Cornus florida*), an important understory tree in the forests of eastern North America.

Rinderpest, a viral disease of domestic cattle, was introduced to East Africa in the late 19th century and subsequently decimated herds of African buffalo (*Syncerus caffer*) and wildebeest (*Connochaetes taurinus*). Avian malaria carried by introduced mosquitoes has eliminated most native Hawaiian birds below 1000 m, the altitude above which the mosquito cannot persist.

On the other hand, parasites may function as density-dependent regulators on host populations. Such incidents typically occur with directly transmitted endemic (native) parasites that are maintained in the population by a small reservoir of infected carrier individuals. Outbreaks of these diseases appear to occur when the host population density is high; and they tend to reduce host populations sharply, resulting in population cycles of host and parasite similar to those observed for predator and prey (see Section 15.2). Examples are distemper in raccoons and rabies in foxes, both significant diseases in controlling their host populations.

In other cases, the parasite may function as a selective agent of mortality, infecting only a subset of the population. Distribution of macroparasites, especially those with indirect transmission, is highly clumped. Some individuals in the host population carry a higher load of parasites than others do (Figure 16.7). These individuals are most likely to succumb to parasite-induced mortality, suffer reduced reproductive rates, or both. Such deaths often are caused not directly by the macroparasites, but indirectly by secondary infection. In a study of reproduction, survival, and mortality of bighorn sheep (*Ovis canadensis*) in south-central Colorado, Thomas Woodard and colleagues at Colorado State University found that individuals may be infected with up to seven different species of lungworms (Nematoda). Highest infections occur in the spring when the lambs are born. Heavy lungworm infections in the



**Figure 16.7** Clumped distribution of the shrew tick (*Ixodes trianguliceps*) on a population of the European field mouse (*Apodemus sylvaticus*). Most individuals in the host population carry no ticks. A few individuals carry most of the parasite load. (Adapted from Randolph 1975.)

young bring about a secondary infection—pneumonia—that kills the lambs. The researchers found that such infections can sharply reduce mountain sheep populations by reducing reproductive success.

## 16.9 Parasitism Can Evolve into a Mutually Beneficial Relationship

Parasites and their hosts live together in a symbiotic relationship in which the parasite derives its benefit (habitat and food resources) at the expense of the host organism. Hosts have evolved a variety of defenses to minimize the negative impact of the parasite's presence. In the situation where adaptations have countered the negative impacts, the relationship may be termed commensalism—a relationship between two species in which one species benefits without significantly affecting the other (Section 13.1, Table 13.1). At some stage in host–parasite coevolution, the relationship may become beneficial to both species. For example, a host tolerant of parasitic infection may begin to exploit the relationship. At that point the relationship is termed mutualism. There are many examples of “parasitic relationships” in which there is an apparent benefit to the host organism. For example, rats infected with the intermediate stages of the tapeworm *Spirometra* grow larger than uninfected rats do because the tapeworm larva produces an analogue of vertebrate growth hormone. In this example, is the increased growth beneficial or harmful to the host?

Similarly, many mollusks, when infected with the intermediate stages of digenetic flukes (Digenea), develop thicker, heavier shells that could be deemed an advantage. Some of the clearest examples of evolution from parasites to mutualists are parasites that are transmitted vertically from mother to offspring (see discussion in Section 16.8). Theory predicts that vertically transmitted parasites will be selected to increase host survival and reproduction because maximization of host reproductive success benefits both the parasite and host. This prediction has been supported by studies examining the effects of *Wolbachia*, a common group of bacteria that infect the reproductive tissues of arthropods. Investigations of the effects of *Wolbachia* on host fitness in the wasp *Nasonia vitripennis* have shown that infection increases host fitness and that infected females produce more offspring than do uninfected females. Similar increases in fitness have been reported for natural populations of fruit flies (*Drosophila*).

Mutualism is a relationship between members of two species that benefits both. Out of this relationship, individuals of both species enhance their survival, growth, or reproduction. Evidence, however, suggests that often this interaction is more a reciprocal exploitation than a cooperative effort between individuals. Many classic examples of mutualistic associations appear to have evolved from species interactions that previously reflected host–parasite or predator–prey interactions. In many cases of apparent mutualisms, the benefits of the interaction for one or both of the participating species may be environment dependent (see Section 13.8). For example, many tree species have associated with their roots fungal mycorrhizae (see Section 16.11). The fungi obtain organic nutrients from the plant via the phloem, and in nutrient-poor soil the trees seem to benefit by increased nutrient uptake, particularly phosphate by the fungus. In nutrient-rich soils, however, the fungi appear to be a net cost rather than benefit; this seemingly mutualistic association appears much more like a parasitic invasion by the fungus. Depending on external conditions, the association switches between mutualism and parasitism (see further discussion of example in Section 13.8).

## 16.10 Mutualisms Involve Diverse Species Interactions

Mutualistic relationships involve many diverse interactions that extend beyond simply acquiring essential resources. Thus it is important to consider the different attributes of mutualistic relationships and how they affect the dynamics of the populations involved. Mutualisms can be characterized by a number of variables: the benefits received, the degree of dependency, the degree of specificity, and the duration of the intimacy.

Mutualism is defined as an interaction between members of two species that serves to benefit both parties involved, and the benefits received can include a wide variety of processes. Benefits may include provision of essential resources such as nutrients or shelter (habitat) and may involve protection from predators, parasites, and herbivores, or they may reduce competition with a third species. Finally, the benefits may involve reproduction, such as dispersal of gametes or zygotes.

## ECOLOGICAL ISSUES Plagues Upon Us

Humans have always been bedeviled by parasites, but more so in recent stages of human history (see Part Eight Introduction). During our first 2 million years as hunter-gatherers, the most bothersome parasites were macroparasites such as roundworms, directly transmitted. Only microparasites with high transmission rates that produced no immunity could persist in such small groups of hosts.

Once humans became sedentary agriculturalists aggregated in villages, however, populations became large enough to sustain bacterial and viral parasites. Many of these parasites evolved from those causing diseases in domestic animals. Measles, for instance, evolved from canine distemper. Populations were too small at first to support disease continuously without reinfection from some neighboring settlement. Once a settlement grew into a sufficiently large city, the population was dense enough to maintain a reservoir of infection. As commerce developed between cities, people and goods began to move long distances. They introduced diseases from one part of the world to another where the populations lacked immunity. Periodic epidemics swept through the cities.

A classic example of the importation, direct transmission, and rapid spread of a disease is bubonic plague. Plague is caused by a rod-shaped bacillus, *Yersinia pestis*. It is transmitted from host to host mostly by the bite of its vector (fleas from infected rodents) and directly from person to person by mucus droplets spread by coughing. Infected individuals become ill in a few hours to a few days, showing symptoms of high fever and swollen lymph nodes. Death often follows within several days. Plague was called the Black Death because of the dark color on the faces of many dead victims.

Burrowing rodents are the reservoir of the bacillus. It is most closely associated with the black rat (*Rattus rattus*), a native of central India, also the original focal point of the disease. An agile climber, the black rat easily boarded cargo ships that carried it to port cities in Asia and the Mediterranean region. Hidden in the baggage of caravans, the black rat spread across the steppes of Asia, where undoubtedly it transferred the plague bacillus to burrowing rodents in the region. In 1331, an epidemic of the plague swept through China. Mongol armies carried the disease with them as they swept across Asia to the Mediterranean. At the siege of Caffa in 1346 on the Crimean peninsula, the Mongol army

was devastated by the plague and withdrew after catapulting the victims' corpses into town. Trade resumed, and ships carried infected black rats to ports of southern Europe.

Conditions were right for the spread of disease. Europe was experiencing huge population growth, climate was worsening, and crops were failing. By the end of December 1347, the disease that had decimated the Mongol army spread to Italy and southern France; by December 1348, it reached southern Germany and England; and by December 1350, it reached Scandinavia. Between 1348 and 1350, one-third of the European population, including entire villages, succumbed to the Black Death—upsetting the social, political, and economic stability of Europe. Later outbreaks occurred in 1630 in Milan, in 1665 in London, and in 1720–21 in Marseilles. Sporadic local outbreaks occurred throughout the world until 1944, when antibiotics quickly cured the disease if diagnosed early. Harbored by burrowing rodents, the plague bacillus still thrives worldwide—including North America.

Other diseases introduced into populations lacking immunity mimic the spread and devastation of the Black Death. Smallpox, measles, and typhus, carried to the New World by Spanish and English explorers and settlers, spread rampantly through indigenous populations of South, Central, and North America. Disease devastated the Aztecs in Mexico and nearly exterminated the native American population of New England, allowing uncontested settlement of the region by the English. In more recent times, a massive flu epidemic (known as “Spanish Flu” or “La Grippe”) spread worldwide in 1918 and killed 21 million people, including 500,000 people in the United States. Flu still remains a threat because of its high mutation rate. Strains evolve faster than resistance develops to the disease. As a result, flu returns in waves of different types.

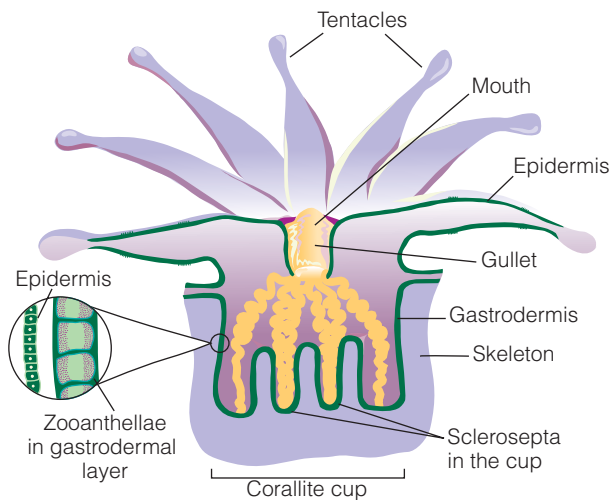
Because many of the old plagues, like measles, have been contained by vaccinations and other health measures, many of us are complacent about disease. Even so, new diseases—Ebola in Zaire and AIDS everywhere—warn us that plagues are still with us. New mutant forms of old diseases such as tuberculosis, once considered conquered—combined with a massive increase in world population, changing global climate, and rapid transcontinental movement of people and goods—set the stage for future plagues.

Mutualisms also vary in how much the species involved in the mutualistic interaction depend upon each other. Obligate mutualists cannot survive or reproduce without the mutualistic interaction, whereas facultative mutualists can. In addition, the degree of specificity of mutualism varies from one interaction to another, ranging from one-to-one, species-specific associations (termed specialists) to association with a wide diversity of mutualistic partners (generalists). The duration of intimacy in the association also varies among mutualistic interactions. Some mutualists are symbiotic, while others are free living (nonsymbiotic). In symbiotic mutualism, individuals coexist and their relationship is more often obligatory—at least one

member of the pair becomes totally dependent on the other. Some forms of mutualism are so permanent and obligatory that the distinction between the two interacting organisms becomes blurred. Reef-forming corals of the tropical waters provide an example. These corals secrete an external skeleton composed of calcium carbonate. The individual coral animals, called *polyps*, occupy little cups, or corallites, in the larger skeleton that forms the reef (Figure 16.8). These corals have in their tissues single-celled, symbiotic algae called *zooxanthellae*. Although the coral polyps are carnivores, feeding on zooplankton suspended in the surrounding water, they acquire only about 10 percent of their daily energy requirement from zooplankton.



(a)



(b)

**Figure 16.8** (a) Photograph showing individual polyps of the Great Star (*Montastrea cavernosa*) coral. (b) Anatomy of a coral polyp, showing the location of the symbiotic zooanthellae.

They obtain the remaining 90 percent of their energy from carbon produced by the symbiotic algae through photosynthesis. Without the algae, these corals would not be able to survive and flourish in their nutrient-poor environment (see Field Studies: John J. Stachowicz). In turn, the coral provides the algae with shelter and mineral nutrients, particularly nitrogen in the form of nitrogenous wastes.

Lichens are involved in a symbiotic association in which the fusion of mutualists has made it even more difficult to distinguish the nature of the individual. Lichens (Figure 16.9) consist of a fungus and an alga (or in some cases cyanobacterium) combined within a spongy body called a *thallus*. The alga supplies food to both organisms, and the fungus protects the alga from harmful light intensities, produces a substance that accelerates photosynthesis in the alga, and absorbs and retains water and nutrients for both organisms. There are about 25,000 known species of lichens, each composed of a unique combination of fungus and alga.

In nonsymbiotic mutualism, the two organisms do not physically coexist yet they depend on each other for some essential function. Although nonsymbiotic mutualisms may be obligatory, most are not. Rather, they are facultative, representing a

form of mutual facilitation. Pollination in flowering plants and seed dispersal are examples. These interactions are generally not confined to two species, but rather involve a variety of plants, pollinators, and seed dispersers.

In the following sections we explore the diversity of mutualistic interactions. The discussion centers on the benefits derived by mutualists: acquisition of energy and nutrients, protection and defense, and reproduction and dispersal.

## 16.11 Mutualisms Are Involved in the Transfer of Nutrients

The digestive system of herbivores is inhabited by a diverse community of mutualistic organisms that play a crucial role in the digestion of plant materials. The chambers of a ruminant's stomach contain large populations of bacteria and protozoa that carry out the process of fermentation (see Section 7.2). Inhabitants of the rumen are primarily anaerobic, adapted to this peculiar environment. Ruminants are perhaps the best studied, but are not the only example of the role of mutualism in animal nutrition. The stomachs of virtually all herbivorous mammals and some species of birds and lizards rely on the presence of a complex microbial community to digest cellulose in plant tissues.

Mutualistic interactions are also involved in the uptake of nutrients by plants. Nitrogen is an essential constituent of protein, a building block of all living material. Although nitrogen is the most abundant constituent of the atmosphere, approximately 79 percent in its gaseous state, it is unavailable to most life. It must first be converted into a chemically usable form. One group of organisms that can use gaseous nitrogen ( $N_2$ ) are the nitrogen-fixing bacteria of the genus *Rhizobium*. These bacteria (called rhizobia) are widely distributed in the soil, where they can grow and multiply. But in this free-living state, they do not fix nitrogen. Legumes—a group of plant species that include clover, beans, and peas—attract the bacteria through the release of exudates and enzymes from the roots. Rhizobia enter the root hairs, where they multiply and increase



**Figure 16.9** Lichen consists of a fungus and an alga combined within a spongy body called a *thallus*.



## FIELD STUDIES

## John J. Stachowicz

Section of Evolution and Ecology, Center for Population Biology,  
University of California–Davis

Facilitative, or positive, interactions are encounters between organisms that benefit at least one of the participants and cause harm to neither. Such interactions are considered “mutualisms” when both species derive benefit from the interaction. Ecologists have long recognized the existence of mutualistic interactions, but there is still far less research on positive interactions than on competition and predation. Now, however, ecologists are beginning to appreciate the ubiquitous nature of positive interactions and their importance in affecting populations and in the structuring of communities. The research of marine ecologist John Stachowicz has been at the center of this growing appreciation for the importance of facilitation.

Stachowicz works in the shallow-water coastal ecosystems of the southeastern United States. The large colonial corals and calcified algae that occupy the warm subtropical waters of this region provide a habitat for a diverse array of invertebrate and vertebrate species. In well-lit habitats, corals and calcified algae (referred to as coralline algae) grow slowly relative to the fleshy species of seaweed. The persistence of corals appears to be linked to the high abundance of herbivores that suppress the growth of the seaweeds, which grow on and over the coral and coralline algae and eventually cause their death. In contrast, the relative cover of corals is generally low in habitats such as reef flats and seagrass beds, where herbivory is less intense.

Stachowicz hypothesized that mutualism plays an influential role in the distribution of coral species. Although corals are typically associated with the colorful and diverse coral reef ecosystems of the tropical and subtropical coastal waters, many temperate and subarctic habitats support corals, and some tropical species occur where temperatures drop to 10°C or lower for certain months of the year. One such species is the coral *Oculina arbuscula*.

*Oculina arbuscula* occurs as far north as the coastal waters of North Carolina, forming dense aggregations in poorly lit habitats where seaweeds are rare or absent. In certain areas of the coastal waters, however, *O. arbuscula* does co-occur with seaweeds on natural and artificial reefs. It is the only coral in this region with a structurally complex branching morphology that provides shelter for a species-rich epifauna. More than 300 species of invertebrates are known to live among the branches of *Oculina* colonies.

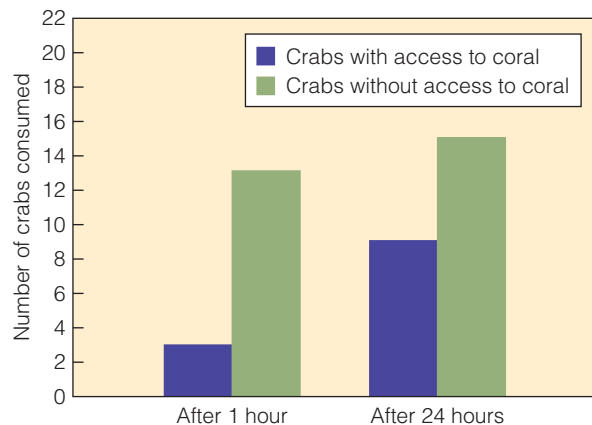
How can *O. arbuscula* persist in these well-lit, shallow-water systems? In well-lit habitats, corals grow slowly relative to seaweeds, and the persistence of coral reefs appears to be tightly linked to high abundance of herbivores that prevent seaweed from growing on and over the corals. When herbivorous fish or sea urchins are naturally or experimentally removed from tropical reefs, seaweed biomass increases dramatically and corals are smothered. In contrast, on the temperate reefs of North Carolina, herbivorous fish are less abundant than in the tropics, and the standing biomass of seaweed is typically much higher. On these reefs, herbivorous fish and urchins also

alter the species composition of the seaweed community by selectively removing their preferred species, but they do not diminish the total seaweed biomass. The dependence of corals on positive interactions with herbivores may thus explain why corals are generally uncommon in temperate latitudes.

Stachowicz suspected the role of a key herbivore in these temperate reef ecosystems: the herbivorous crab *Mithrax forceps*. He hypothesized that the success of *O. arbuscula* on temperate reefs is derived from its ability to harbor symbiotic, herbivorous crabs that mediate competition with encroaching seaweeds. To evaluate the hypothesis, he conducted field experiments monitoring the fouling (overgrowth by seaweeds) and growth of corals in the presence and absence of crabs. Experiments were located at Radio Island Jetty near Beaufort, North Carolina.

In these experiments, metal stakes were driven into substrate, and one coral (which had previously been weighed) was fastened to each stake. A single crab was then placed on a subset of the corals, and the remainder was left vacant. At the end of the experiment, all seaweed (and other epiphytic growth) was removed from the corals, dried, and weighed. After removal of the seaweeds, the corals were reweighed to measure growth.

To determine if association with *O. arbuscula* reduced predation on *M. forceps*, Stachowicz tethered crabs both with and



**Figure 1** Predation on *M. forceps* with and without access to corals.

(Adapted from Stachowicz 1999.)

without access to coral. He checked each tether after 1 and 24 hours to see if crabs were still present.

The experiment results clearly demonstrated a mutually beneficial association between *O. arbuscula* and *M. forceps*. The coral shelters the crab from predators (Figure 1); in turn, the crab defends the coral from overgrowth by encroaching competitors, thus enhancing coral growth and survivorship (Figure 2).

Interactions between *O. arbuscula* and *M. forceps* have population and community implications extending beyond these two species. The crab directly alters the benthic community, enhancing the growth and survival of its host and ensuring the persistence of the diverse community associated with the coral structure.

### Bibliography

Stachowicz, J. 2001. Mutualism, facilitation, and the structure of ecological communities. *BioScience* 51:235–246.

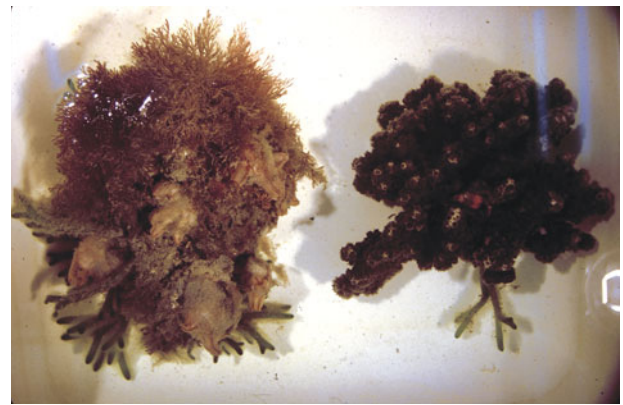
Stachowicz, J., and M. Hay. 1996. Facultative mutualism between an herbivorous crab and a coralline alga: Advantages of eating noxious seaweeds. *Oecologia* 105:337–387.

Stachowicz, J., and M. Hay. 1999. Mutualism and coral persistence: The role of herbivore resistance to algal chemical defense. *Ecology* 80:2085–2101.

1. Would you classify the relationship between corals and crabs described here as an example of facilitation or obligate mutualism? Can you determine this based on the information provided? If not, what additional information would you need?
2. In the results presented in Figures 1 and 2, has Stachowicz demonstrated that the mutualistic interactions directly influence the fitness of the two species involved?



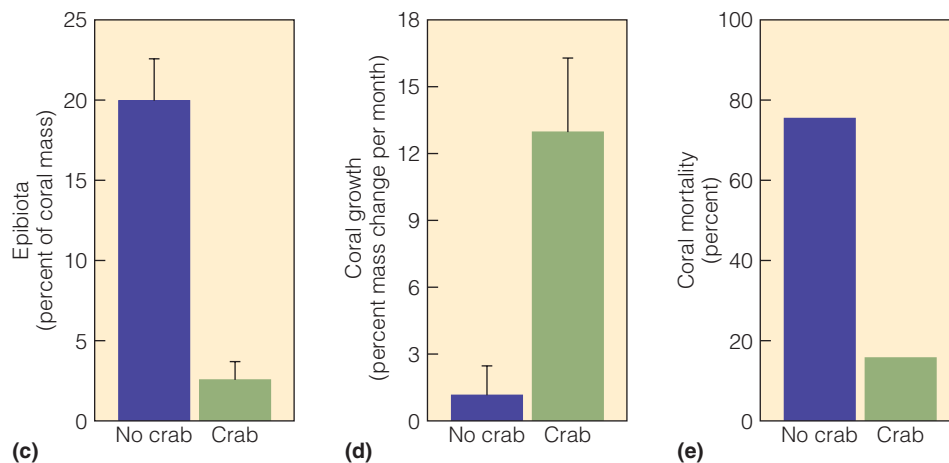
(a)



(b)

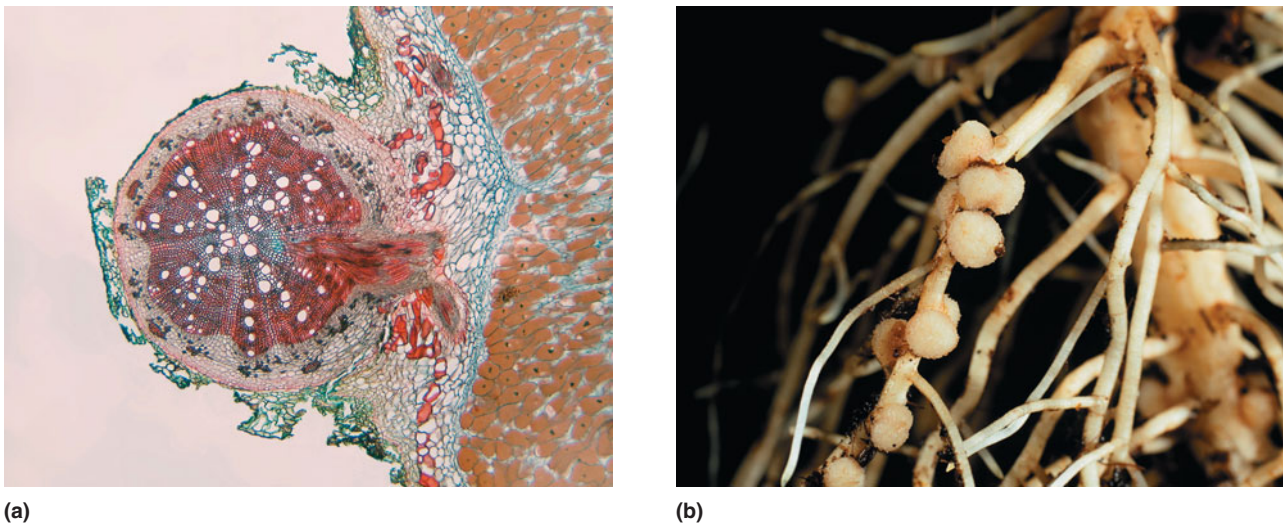
No crab

Crab



**Figure 2** (a) The crab (*Mithrax forceps*) hiding within the branches of the coral (*Oculina arbuscula*). (b) When corals are grown in the field without crabs, they are smothered by a dense cover of seaweed. By reducing overgrowth (c), the crab increases coral growth (d) and survival (e).

(Adapted from Stachowicz 2001.)



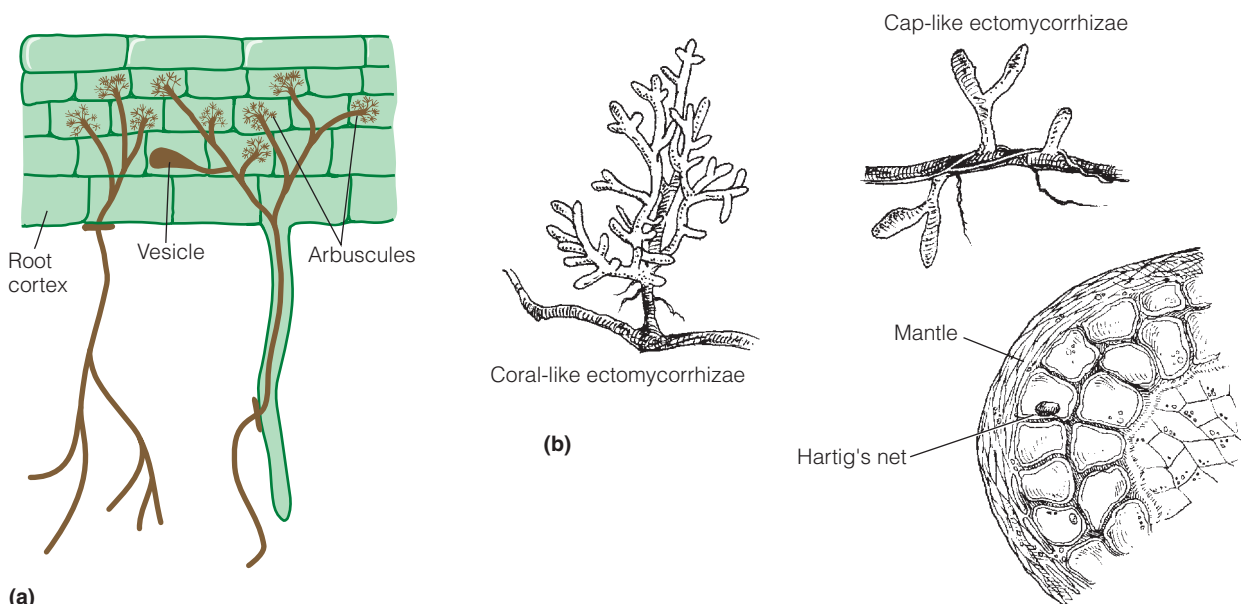
**Figure 16.10** Nitrogen-fixing *Rhizobium* bacteria (a) infect the plant roots, thus forming nodules (b). Bacteria derive carbon from the plant and in return provide the plant with nitrogen.

in size. This invasion and growth results in swollen, infected root hair cells, which form root nodules (Figure 16.10). Once infected, rhizobia within the root cells reduce gaseous nitrogen to ammonia (a process referred to as nitrogen fixation). The bacteria receive carbon and other resources from the host plant; in return, the bacteria contribute fixed nitrogen to the plant, allowing it to function and grow independently of the availability of mineral (inorganic) nitrogen in the soil (see Chapter 6, Section 6.12).

Another example of a symbiotic relationship involving plant nutrition is the relationship between plant roots and mycorrhizal fungi. The fungi assist the plant with the uptake of

nutrients and water from the soil. In return, the plant provides the fungi with carbon, a source of energy.

Endomycorrhizae have an extremely broad range of hosts; they have formed associations with over 70 percent of all plant species. Mycelia—masses of interwoven fungal filaments in the soil—infect the tree roots. They penetrate host cells to form a finely bunched network called an arbuscule (Figure 16.11a). The mycelia act as extended roots for the plant but do not change the shape or structure of the roots. They draw in nitrogen and phosphorus at distances beyond those reached by the roots and root hairs. Another form, ectomycorrhizae, produces shortened, thickened roots that look like coral (Figure 16.11b).



**Figure 16.11** (a) Endomycorrhizae grow within tree roots, and fungal hyphae enter the cells. (b) Ectomycorrhizae form a mantle of fungi about the tips of rootlets. Hyphae invade the tissues of rootlets between the cells. The network is called Hartig's net.

The threads of the fungi penetrate between the root cells. Outside the root, they develop into a network that functions as extended roots. Ectomycorrhizae have a more restricted range of hosts than do endomycorrhizae. They are associated with about 10 percent of plant families, and most of these species are woody.

Together, either ecto- or endomycorrhizae are found associated with the root systems of the vast majority of terrestrial plant species and are especially important in nutrient-poor soils. They aid in the decomposition of dead organic matter and the uptake of water and nutrients, particularly nitrogen and phosphorus from the soil into the root tissue (see Sections 22.5 and 6.12).

## 16.12 Some Mutualisms Are Defensive

Other mutualistic associations involve defense of the host organism. A major problem for many livestock producers is the toxic effects of certain grasses, particularly perennial ryegrass and tall fescue. These grasses are infected by symbiotic endophytic fungi that live inside plant tissues. The fungi (Clavicipitaceae and Ascomycetes) produce alkaloid compounds in the tissue of the host grasses. The alkaloids, which impart a bitter taste to the grass, are toxic to grazing mammals, particularly domestic animals, and to a number of insect herbivores. In mammals, the alkaloids constrict small blood vessels in the brain, causing convulsions, tremors, stupor, gangrene of the extremities, and death. At the same time, these fungi seem to stimulate plant growth and seed production. This symbiotic relationship suggests a defensive mutualism between plant and fungi. The fungi defend the host plant against grazing. In return, the plant provides food to the fungi in the form of photosynthates (products of photosynthesis).

A group of Central American ant species (*Pseudomyrmex* spp.) that live in the swollen thorns of acacia (*Acacia* spp.) trees provides another example of defensive mutualism. Besides providing shelter, the plants supply a balanced and almost complete diet for all stages of ant development. In return, the ants protect the plants from herbivores. At the least disturbance, the ants swarm out of their shelters, emitting repulsive odors and attacking the intruder until it is driven away.

Perhaps one of the best-documented examples of a defensive or protective mutualistic association is the cleaning mutualism found in coral reef communities between cleaner shrimp or cleaner fishes and a large number of fish species. Cleaner fishes and shrimp obtain food by cleaning ectoparasites and diseased and dead tissue from the host fish (Figure 16.12a). In so doing, they benefit the host fish by removing harmful and unwanted materials.

Cleaning mutualism also occurs in terrestrial environments. The red-billed oxpecker (Figure 16.12b) of Africa is a bird that feeds almost exclusively by gleaning ticks and other parasites from the skin of large mammals such as antelope, buffalo, rhinoceros, or giraffe (also domestic cattle). It has always been assumed that these birds significantly reduce the



(a)



(b)

**Figure 16.12** Examples of cleaning mutualism. (a) Bluehead wrasse (small fish at eel's mouth) participating in cleaning symbiosis with a moray eel (*Muraenidae*). The cleaner fish obtains food by cleaning ectoparasites from the host fish. (b) The red-billed oxpecker of Africa feeds almost exclusively by gleaning ticks and other parasites from the skin of large mammals such as the impala shown here.

number of ticks on the host animal, yet a recent study by ecologist Paul Weeks of Cambridge University brings into question whether this relationship is indeed mutualistic. In a series of field experiments, Weeks found that changes in adult tick load of cattle were unaffected by excluding the birds. In addition, oxpeckers will peck a vulnerable area (often an ear) and drink blood when parasites are not available.

## 16.13 Mutualisms Are Often Necessary for Pollination

The goal of cross-pollination is to transfer pollen from the anthers of one plant to the stigma of another plant of the same species (see Figure 13.4). Some plants simply release their pollen in the wind. This method works well and costs little when plants grow in large homogeneous stands, such as grasses and pine trees often do. Wind dispersal can

be unreliable, however, when individuals of the same species are scattered individually or in patches across a field or forest. In these circumstances, pollen transfer typically depends on insects, birds, and bats.

Plants entice certain animals by color, fragrances, and odors, dusting them with pollen and then rewarding them with a rich source of food: sugar-rich nectar, protein-rich pollen, and fat-rich oils (Section 13.3). Providing such rewards is expensive for plants. Nectar and oils are of no value to the plant except as an attractant for potential pollinators. They represent energy that the plant otherwise might expend in growth.

Nectivores (animals that feed on nectar) visit plants to exploit a source of food. While feeding, the nectivores inadvertently pick up pollen and carry it to the next plant they visit. With few exceptions, the nectivores are typically generalists that feed on many different plant species. Because each species flowers briefly, nectivores depend on a progression of flowering plants through the season.

Many species of plants, such as blackberries, elderberries, cherries, and goldenrods, are generalists themselves. They flower profusely and provide a glut of nectar that attracts a diversity of pollen-carrying insects, from bees and flies to beetles. Other plants are more selective, screening their visitors to ensure some efficiency in pollen transfer. These plants may have long corollas, allowing access only to insects and hummingbirds with long tongues and bills and keeping out small insects that eat nectar but do not carry pollen. Some plants have closed petals that only large bees can pry open. Orchids, whose individuals are scattered widely through their habitats, have evolved a variety of precise mechanisms for pollen transfer and reception so that pollen is not lost when the insect visits flowers of other species (see Part Four Introduction for discussion and example).

## 16.14 Mutualisms Are Involved in Seed Dispersal

Plants with seeds too heavy to be dispersed by wind depend on animals to carry them some distance from the parent plant and deposit them in sites favorable for germination and seedling establishment. Some seed-dispersing animals upon which the plant depends may be seed predators as well, eating the seeds for their own nutrition. Plants depending on such animals produce a tremendous number of seeds during their reproductive lives. Most of the seeds are consumed, but the sheer number ensures that a few will be dispersed, come to rest on a suitable site, and germinate (see concept of predator satiation, Section 15.10).

For example, a very close mutualistic relationship exists between wingless-seeded pines of western North America [whitebark pine (*Pinus albicaulis*), limber pine (*Pinus flexilis*), southwestern white pine (*Pinus strobiformis*), and piñon pine (*Pinus edulis*)] and several species of jays [Clark's nutcracker (*Nucifraga columbiana*), piñon jay (*Gymnorhinus cyanocephalus*), western scrub jay (*Aphelocoma californica*),



**Figure 16.13** Bleeding hearts, trilliums, and several dozen other plants have appendages on their seeds that contain oils attractive to ants. The ants carry the seeds to their nest, where the elaiosomes are removed and consumed as food, leaving the seeds unharmed.

and Steller's jay (*Cyanocitta stelleri*)]. In fact, there is a close correspondence between the ranges of these pines and jays. The relationship is especially close between Clark's nutcracker and the whitebark pine. Research by ecologist Diana Tomback of the University of Colorado–Denver has revealed that only Clark's nutcracker has the morphology and behavior appropriate to disperse the seeds successfully away from the tree. The bird carries as many as 50 seeds in cheek pouches for distances up to 20 km away from the source and caches them deep enough in the soil of forest and open fields to reduce their detection and predation by rodents.

Seed dispersal by ants is prevalent among a variety of herbaceous plants that inhabit the deserts of the southwestern United States, the shrublands of Australia, and the deciduous forests of eastern North America. Such plants, called **myrmecochores**, have an ant-attracting food body on the seed coat called an **elaiosome** (Figure 16.13). Appearing as shiny tissue on the seed coat, the elaiosome contains certain chemical compounds essential for the ants. The ants carry seeds to their nests, where they sever the elaiosome and eat it or feed it to their larvae. The ants discard the intact seed within abandoned galleries of the nest. The area around ant nests is richer in nitrogen and phosphorus than the surrounding soil, providing a good substrate for seedlings. Further, by removing seeds far from the parent plant, the ants significantly reduce losses to seed-eating rodents. Plants may enclose their seeds in a nutritious fruit attractive to fruit-eating animals—the frugivores (Figure 16.14). Frugivores are not seed predators. They eat only the tissue surrounding the seed and, with some exceptions, do not damage the seed. Most frugivores do not depend exclusively on fruits, which are only seasonally available and deficient in proteins.

To use frugivorous animals as agents of dispersal, plants must attract them at the right time. Cryptic coloration, such as green unripened fruit among green leaves, and unpalatable texture, repellent substances, and hard outer coats discourage



**Figure 16.14** The frugivorous cedar waxwing (*Bombycilla cedrorum*) feeds on the red berries of mountain ash (*Sorbus*).

consumption of unripe fruit. When seeds mature, fruit-eating animals are attracted by attractive odors, softened texture, increasing sugar and oil content, and “flagging” of fruits with colors.

Most plants have fruits that can be exploited by an array of animal dispersers. Such plants undergo quantity dispersal; they scatter a large number of seeds to increase the chance that various consumers will drop some seeds in a favorable site. Such a strategy is typical of, but not exclusive to, plants of the temperate regions, where fruit-eating birds and mammals rarely specialize in one kind of fruit and do not depend exclusively on fruit for sustenance. The fruits are usually succulent and rich in sugars and organic acids. They contain small seeds with hard seed coats resistant to digestive enzymes, allowing the seeds to pass through the digestive tract unharmed. Such seeds may not germinate unless they have been conditioned or scarified by passage through the digestive tract. Large numbers of small seeds may be dispersed, but few are deposited on suitable sites.

In tropical forests, 50–75 percent of the tree species produce fleshy fruits whose seeds are dispersed by animals. Rarely are these frugivores obligates of the fruits they feed on, although exceptions include many tropical fruit-eating bats.

## 16.15 Mutualism Can Influence Population Dynamics

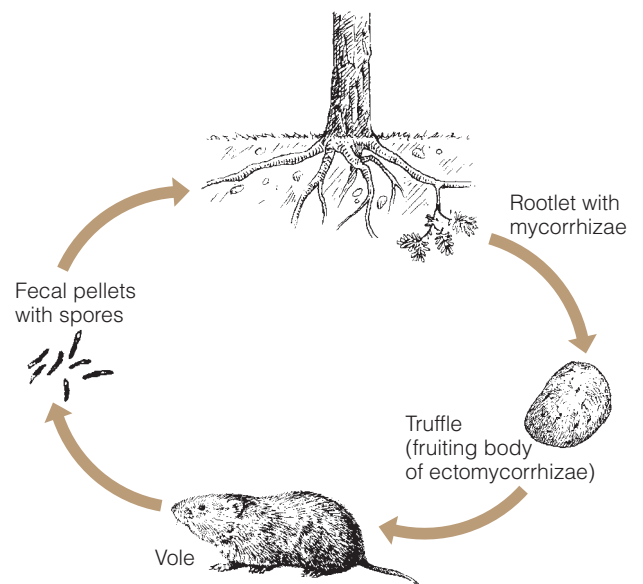
Mutualism is easy to appreciate at the individual level. We grasp the interaction between an ectomycorrhizal fungus and its oak or pine host; we count the acorns dispersed by squirrels and jays; and we measure the cost of dispersal to oaks in terms of seeds consumed. Mutualism improves the growth and reproduction of the fungus, the oak, and the seed predators. But what are the consequences at the population and community levels?

Mutualism exists at the population level only if the growth rate of species 1 increases with the increasing density of species 2, and vice versa (see Quantifying Ecology 16.1: A Model

of Mutualistic Interactions). For symbiotic mutualists where the relationship is obligate, the influence is straightforward. Remove species 1 and the population of species 2 no longer exists. If ectomycorrhizal spores fail to infect the rootlets of young pines, the fungi will not develop. If the young pine invading a nutrient-poor field fails to acquire a mycorrhizal symbiont, it will not grow well, if at all.

Discerning the role of facultative (nonsymbiotic) mutualisms in population dynamics can be more difficult. As discussed in Sections 16.13 and 16.14, mutualistic relationships are common in plant reproduction, where plant species often depend on animal species for pollination, seed dispersal, or germination. Although some relationships between pollinators and certain flowers are so close that loss of one could result in the extinction of the other, in most cases the effects are subtler and require detailed demographic studies to determine the consequences on species fitness.

When the mutualistic interaction is diffuse, involving a number of species—as is often the case with pollination systems (see discussion of pollination networks in Section 13.5) and seed dispersal by frugivores—the influence of specific species–species interactions is difficult to determine. In other situations, the mutualistic relationship between two species may be mediated or facilitated by a third species, much the same as for vector organisms and intermediate hosts in parasite–host interactions. Mutualistic relationships among conifers, mycorrhizae, and voles in the forests of the Pacific Northwest as described by ecologist Chris Maser of the University of Puget Sound (Washington) and his colleagues are one such example (Figure 16.15). To acquire nutrients from the soil, the conifers



**Figure 16.15** A mutualism involving three species and both symbiotic and nonsymbiotic interactions. Voles eat truffles, the belowground fruiting bodies of some mycorrhizae. The spores become concentrated in fecal pellets. The voles disperse the spores to locations where they can infect new host plants.

## QUANTIFYING ECOLOGY 16.1 A Model of Mutualistic Interactions

The simplest model for a mutualistic interaction between two species is similar to the basic Lotka–Volterra model as described in Chapter 14 for two competing species. The crucial difference is that rather than negatively influencing each other’s growth rate, the two species have positive interactions. The competition coefficients  $\alpha$  and  $\beta$  are replaced by positive interaction coefficients, reflecting the per capita effect of an individual of species 1 on species 2 ( $\alpha_{12}$ ) and the effect of an individual of species 2 on species 1 ( $\alpha_{21}$ ).

$$\text{Species 1: } \frac{dN_1}{dt} = r_1 N_1 \left( \frac{K_1 - N_1 + \alpha_{21} N_2}{K_1} \right)$$

$$\text{Species 2: } \frac{dN_2}{dt} = r_2 N_2 \left( \frac{K_2 - N_2 + \alpha_{12} N_1}{K_2} \right)$$

All of the terms are analogous to those used in the Lotka–Volterra equations for interspecific competition, except that  $\alpha_{21} N_2$  and  $\alpha_{12} N_1$  are added to the respective population densities ( $N_1$  and  $N_2$ ) rather than subtracted.

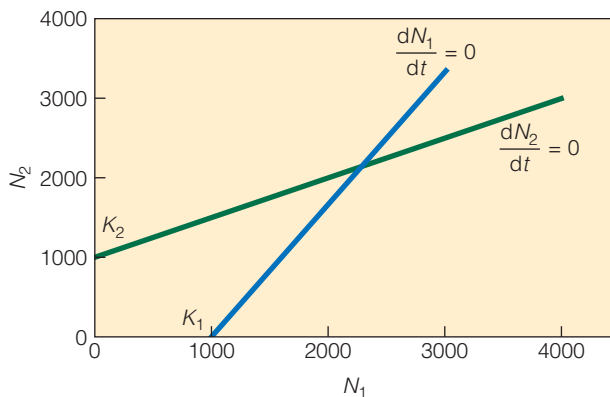
This model describes a facultative rather than obligate interaction because the carrying capacities of the two species are positive, and each species (population) can grow in the absence of the other. In this model, the presence of the mutualist offsets the negative effect of the species’ population on the carrying capacity. In effect, the presence of the one species increases the carrying capacity of the other.

To illustrate this simple model, we can define values for the parameters  $r_1$ ,  $r_2$ ,  $K_1$ ,  $K_2$ ,  $\alpha_{21}$ , and  $\alpha_{12}$ .

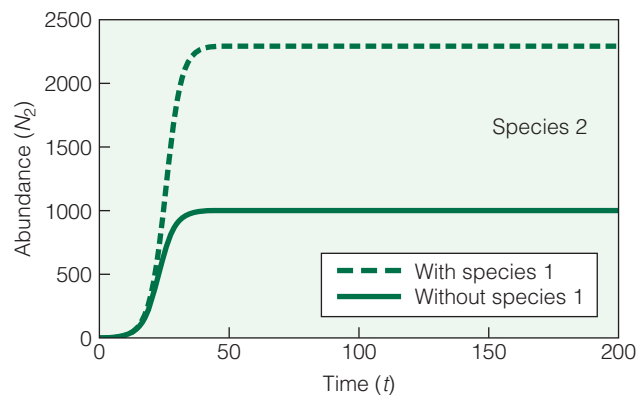
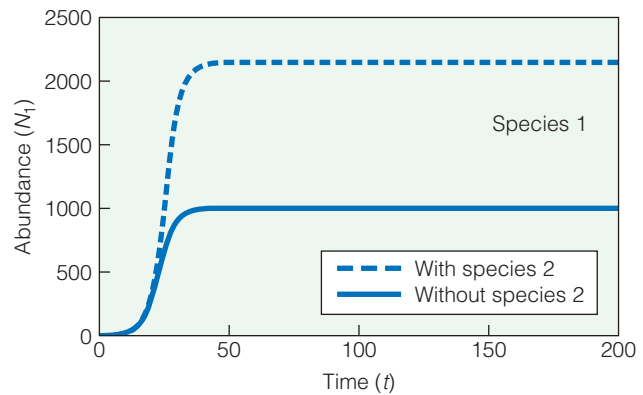
$$r_1 = 3.22, K_1 = 1000, \alpha_{12} = 0.5$$

$$r_2 = 3.22, K_2 = 1000, \alpha_{21} = 0.6$$

As with the Lotka–Volterra model for interspecific competition, we can calculate the zero isocline for the two mutualistic species that are represented by the equations presented two paragraphs above. The zero isocline for species 1 is solved by defining the values of  $N_1$  and  $N_2$ , where  $(K_1 - N_1 + \alpha_{21} N_2)$  is equal to zero. As with the competition model, because the equation is a linear function, we can define the line (zero isocline) by solving for only two points. Likewise, we can solve for the species 2 isocline. The resulting isoclines are shown in Figure 1.



**Figure 1** Zero isoclines for species 1 ( $N_1$ ) and species 2 ( $N_2$ ) based on the modified Lotka–Volterra model for two mutualistic species presented in text.



**Figure 2** Population trajectories for a pair of facultative mutualists using the equations presented in text. Parameters for the Lotka–Volterra equations are presented in table form in the text. Population projections are shown for simulations of the equations both with and without the presence of the mutualist. (Adapted from Morin 1999.)

Note that, unlike the possible outcomes with the competition equations, the zero isoclines extend beyond the carrying capacities of the two species ( $K_1$  and  $K_2$ ), reflecting that the carrying capacity of each species is effectively increased by the presence of the mutualist (other species: see Figure 14.2). If we use the equations to project the density of the two populations through time (Figure 2), each species attains a higher density in the presence of the other species than when they occur alone (in the absence of the mutualist).

1. On the graph displaying the zero isoclines shown in Figure 1, plot the four points listed below and indicate the direction of change for the two populations.

$$\begin{aligned} (N_1, N_2) &= 500, 500 \\ (N_1, N_2) &= 3500, 3000 \\ (N_1, N_2) &= 3000, 1000 \\ (N_1, N_2) &= 1000, 3000 \end{aligned}$$

2. What outcome do the isoclines indicate for the interaction between these two species?

depend on mycorrhizal fungi associated with the root system. In return, the mycorrhizae depend on the conifers for energy in the form of carbon (see Section 16.10). The mycorrhizae also have a mutualistic relationship with voles that feed on the fungi and disperse the spores, which then infect the root systems of other conifer trees.

Perhaps the greatest limitation in evaluating the role of mutualism in population dynamics is that many—if not

most—mutualistic relationships arise from indirect interaction in which the affected species never come into contact. Mutualistic species influence each other's fitness or population growth rate indirectly through a third species or by altering the local environment (habitat modification)—topics we will revisit later (Part Five). Mutualism may well be as significant as either competition or predation in its effect on population dynamics and community structure.

---

## Summary

### Characteristics of Parasites 16.1

Parasitism is a symbiotic relationship between individuals of two species in which one benefits from the association while the other is harmed. Parasitic infection can result in disease. Microparasites include viruses, bacteria, and protozoa. They are small, have a short generation time, multiply rapidly in the host, tend to produce immunity, and spread by direct transmission. They are usually associated with dense populations of the host. Macroparasites are relatively large and include parasitic worms, lice, ticks, fleas, rusts, smuts, fungi, and other forms. They have a comparatively long generation time, rarely multiply directly in the host, persist with continual reinfection, and spread by both direct and indirect transmission.

### Parasite–Host Relationships 16.2

Parasites exploit every conceivable habitat in host organisms. Many are specialized to live at certain sites, such as in plant roots or an animal's liver. Parasites must (1) gain entrance into and (2) escape from the host. Their life cycle revolves about these two problems.

### Direct Transmission 16.3

Transmission for many species of parasites occurs directly from one host to another. It occurs either through direct physical contact or through the air, water, or another substrate.

### Indirect Transmission 16.4

Some parasites are transmitted between hosts by means of other organisms, called vectors. These carriers become intermediate hosts of some developmental or infective stage of the parasite.

### Intermediate Hosts 16.5

Other species of parasites require more than one type of host. Indirect transmission takes them from definitive to intermediate to definitive host. Indirect transmission often depends on the feeding habits of the host organisms.

### Response to Infection 16.6

Hosts respond to parasitic infections through behavioral changes, inflammatory responses at the site of infection, and subsequent activation of their immune systems.

### Influence on Mortality and Reproduction 16.7

A heavy parasitic load can decrease reproduction of the host organism. Although most parasites do not kill their hosts, mortality can result from secondary factors. Consequently, parasites can reduce fecundity and increase mortality rates of the host population.

### Population Response 16.8

Under certain conditions, parasitism can regulate a host population. When introduced to a population that has not developed defense mechanisms, parasites can spread quickly, leading to high rates of mortality and in some cases to virtual extinction of the host species.

### Predation to Mutualism 16.9

Mutualism is a positive reciprocal relationship between two species that may have evolved from predator–prey or host–parasite relationships. Where adaptations have countered the negative impacts, the relationship is termed commensalism. Where the interaction is beneficial to both species, the interaction is termed mutualism.

### Mutualistic Relationships 16.10

Mutualistic relationships involve diverse interactions. Mutualisms can be characterized by a wide number of variables relating to the benefits received, degree of dependency of the interaction, degree of specificity, and duration of the intimacy of the association.

### Nutrient Uptake 16.11

Symbiotic mutualisms are involved in the uptake of nutrients in both plants and animals. The chambers of a ruminant's stomach contain large populations of bacteria and protozoa that carry out the process of fermentation. Some plant species have a mutualistic association with nitrogen-fixing bacteria that infect and form nodules on their roots. The plants provide the bacteria with carbon, and the bacteria provide nitrogen to the plant. Fungi form mycorrhizal associations with the root systems of plants, assisting in the uptake of nutrients. In return, they derive energy in the form of carbon from the host plant.



**Mutualisms Involving Defense 16.12**

Other mutualistic associations are associated with defense of the host organism.

**Pollination 16.13**

Nonsymbiotic mutualisms are involved in the pollination of many species of flowering plants. While extracting nectar from the flowers, the pollinator collects and exchanges pollen with other plants of the same species. To conserve pollen, some plants have morphological structures that permit only certain animals to reach the nectar.

**Seed Dispersal 16.14**

Mutualism is also involved in seed dispersal. Some seed-dispersing animals that the plant depends upon may be seed

predators as well, eating the seeds for their own nutrition. Plants depending on such animals must produce a tremendous number of seeds to ensure that a few are dispersed, come to rest on a suitable site, and germinate. Alternatively, plants may enclose their seeds in a nutritious fruit attractive to frugivores (fruit-eating animals). Frugivores are not seed predators. They eat only the tissue surrounding the seed and, with some exceptions, do not damage the seed.

**Population Dynamics 16.15**

Mutualistic relationships, both direct and indirect, may influence population dynamics in ways that we are just beginning to appreciate and understand.

---

**Study Questions**

1. In both predation and parasitism, one organism (species) derives its energy and nutrients from consuming another organism. How do these two processes differ?
2. For the parasite trematode discussed in Section 16.7, infection begins as snails grazing on algae incidentally ingest worm eggs. The eggs hatch into worms that prevent a snail's own reproduction. Instead, the infected snail nourishes the growing larval worms, which eventually develop into a free-swimming stage and leave the snails to seek their second, or intermediate, host—the California killifish. In traveling to the fish's brain, the worm causes the fish to behave differently from other killifish; it moves about jerkily near the water's surface. This behavior attracts predators like herons. The heron, in turn, becomes the host to the adult worm. The adult trematode takes up final residence in the bird's gut, releasing thousands of eggs that are deposited by way of bird droppings back into the salt marsh, completing the parasite's life cycle. How might such a complex life cycle have evolved?
3. How might a patchy or clumped distribution of hosts affect the spread of parasites? What spatial distribution of hosts (random, uniform, or clumped) would present the greatest difficulty in transmitting parasites from host to host?
4. What is mutualism? Look up some examples of mutualism and examine them critically. Are they in fact mutualistic?
5. Distinguish among symbiosis, obligate, and facultative mutualism.
6. Is fruit predation a chancy way of distributing seeds? Why?
7. Is mutualism reciprocal exploitation, or are the two species acting together for mutual benefit?

---

**Further Readings**

- Barth, F. G. 1991. *Insects and flowers: The biology of a partnership*. Princeton, NJ: Princeton University Press. Although technical, this monograph is an excellent overview of the ecology of plant–pollinator interactions.
- Boucher, D. H., ed. 1988. *The biology of mutualism*. New York, Oxford University Press. This volume provides a multitude of examples describing the range of mutualistic relationships covered in this chapter.
- Dobson, A. P., and E. R. Carper. 1996. Infectious diseases and human population history. *BioScience* 46:115–125. An interesting and well-written review of the role of infectious disease in the history of the human population.
- Futuyma, D. J., and M. Slatkin, eds. 1983. *Coevolution*. Sunderland, MA: Sinauer Associates. This edited volume provides an excellent overview of research in the area of coevolution.

- Handel, S. N., and A. J. Beattie. 1990. Seed dispersal by ants. *Scientific American* 263:76–83.  
This review provides an excellent discussion of the mutualistic relationship between ants and plants.
- Hatcher, M. J. and A. M. Dunn. 2011. *Parasites in ecological communities: from interactions to ecosystems*, New York, Cambridge University Press.  
Investigates the role parasites play in influencing interactions both detrimental and beneficial between competitors, predators, and their prey.
- Moore, J. 1984. Parasites that change the behavior of their host. *Scientific American* 250:108–115.  
An excellent introduction to this fascinating area of research.
- Muscatine, L., and J. W. Porter. 1977. Reef corals: Mutualistic symbioses adapted to nutrient-poor environments. *BioScience* 27:454–460.  
An excellent introduction to the ecology of corals and the symbiotic relationship that is the foundation of the most diverse aquatic ecosystems on our planet.
- Price, P. W. 1980. *Evolutionary biology of parasites*. Princeton NJ: Princeton University Press.  
A classic that provided the foundation for the study of interaction between parasites and their hosts.
- Stachowicz, J. 2001. Mutualism, facilitation, and the structure of ecological communities. *BioScience* 51:235–246.  
An excellent review of the role of positive interactions on population dynamics and community structure. Contains many well-illustrated examples of current research in this growing area of research.

# Community Ecology

**Chapter 17** Community Structure p. 320

**Chapter 18** Factors Influencing the Structure of Communities p. 335

**Chapter 19** Community Dynamics p. 353

**Chapter 20** Landscape Ecology p. 374

When European settlers to North America first explored the region west of the Mississippi River, they encountered a landscape on a scale unlike any they had known in Europe. The forested landscape of the east gave way to a vast expanse of grass and wildflowers. Grassy meadows are a common feature of the European landscape, but these grasslands seemed to extend endlessly westward. The prairies of North America once covered a large portion of the continent, ranging from Illinois and Indiana in the east into the Rocky Mountains of the west and extending from Canada in the north to Texas in the south. Today less than 1 percent of the prairie remains, mostly in small isolated patches, the result of a continental-scale transformation of this region to agriculture.

To reverse the loss of prairie ecosystems, efforts were begun in the 1960s in areas of the Midwest, such as Illinois, Minnesota, and Wisconsin, to reestablish native plant species on degraded areas of pasture land and abandoned croplands. These early efforts were in effect an attempt to reconstruct native prairie communities—the set of plant and animal species that once occupied these areas. But how does one start to rebuild an ecological community? Can a community be constructed by merely bringing together a collection of species in one place?

Many early reconstruction efforts met with failure. They involved planting whatever native plant species might be available in the form of seeds, often on very small plots surrounded by agricultural lands. The native plant species grew, but their populations often declined over time. Early efforts failed to appreciate the role of natural disturbances in maintaining these communities. Fire has historically been an important feature of the prairie, and many of the species were adapted to periodic burning. In the absence of fire, native species were quickly displaced by nonnative plant species from adjacent pastures.

Prairie communities are characterized by a diverse array of plant species that differ in the timing of germination, growth, and reproduction over the course of the growing season. The result is a shifting pattern of plant populations through time that together provide a consistent resource base for the array of animal species throughout the year. Attempts at restoration that do not include this full complement of plant species typically cannot attract and support the animal species that characterize native prairie communities.

The size of restoration projects was often a key factor in their failure. Small, isolated fragments tend to support species at low population levels and are thus prone to local extinction. These isolated patches were too distant from other patches of native grassland for the natural dispersal of other species, both plant and animal. Isolated patches of prairie often lacked the appropriate pollinator species required for successful plant reproduction.

Much has been learned from early attempts at restoring natural communities, and many restoration efforts have since succeeded. Restored prairie sites at Fermi National Accelerator Laboratory in northern Illinois are the product of 40 years of effort and now contain approximately 1000 acres—currently the largest restored prairie habitat in the world.

Attempts at reconstructing communities raise countless questions about the structure and dynamics of ecological communities, questions that in one form or another had been central to the study of ecological communities for more than a century. The ecological community is defined as the set of plant and animal species that occupy an area. Some species are abundant, supporting large populations within the area; others are rare, represented by only a small number of individuals. What controls the relative abundance of species within the community? Are all species equally important to the functioning and persistence of the community? How



The restoration project on the land surrounding the Fermi National Accelerator Laboratory west of Chicago, Illinois, is a leading success story among attempts to restore areas of the once vast Midwestern prairie grasslands. The laboratory facility can be seen in the background.

do the component species interact with each other? Do these interactions restrict or enhance the presence of other species? How do communities change through time? How does the community's size influence the number of species it can support? How do different communities on the larger landscape interact?

Here, we will explore these questions, building on our understanding of species interactions developed previously (Part Four). We begin by examining the properties of ecological communities, their biological and physical structure, and how the structure of communities changes across the landscape (Chapter 17). We then examine the factors influencing the structure of ecological communities (Chapter 18). We start with the concept of the fundamental niche of a species and continue with how adaptations to the physical environment influence the distribution and abundance of populations of species (see Parts Two and Three). We then

examine how the interactions occurring among species, both positive and negative, modify the potential distribution and abundance of species within an area, thus determining the structure of the community. We explore the dynamic nature of community structure—how the relative abundance of species changes through time (Chapter 19). Finally, we examine how patterns in the physical environment (climate, geology, topography, and soils), agents of disturbance, and biotic processes interact to create a mosaic of communities on the broader landscape and how the spatial arrangement of these communities influences their dynamics (Chapter 20).

As we shall see in the discussions that follow, ecological communities are more than an assemblage of species whose geographic distributions overlap. Ecological communities represent a complex web of interactions whose nature changes as environmental conditions vary in space and time.



Coral reefs inhabiting the shallow waters of subtropical and tropical oceans are among the most biologically diverse communities on our planet.

- 17.1 The Number of Species and Their Relative Abundance Define Diversity**
- 17.2 Numerical Supremacy Defines Dominance**
- 17.3 Keystone Species Influence Community Structure Disproportionately to Their Numbers**
- 17.4 Food Webs Describe Species Interactions**
- 17.5 Species within a Community Can Be Classified into Functional Groups**
- 17.6 Communities Have a Characteristic Physical Structure**
- 17.7 Zonation Is Spatial Change in Community Structure**
- 17.8 Defining Boundaries between Communities Is Often Difficult**
- 17.9 Two Contrasting Views of the Community**

**In walking through a forest** or swimming along a coral reef, we see a collection of individuals of different species—plants and animals that form local populations. Sharing environments and habitats, these species of plants and animals interact in various ways. The group of species that occupy a given area, interacting either directly or indirectly, is called a **community**. This definition embraces the concept of community in its broadest sense. It is a spatial concept—the collective of species occupying a place within a defined boundary. Because ecologists generally do not study the entire community, the term *community* is often used in a more restrictive sense. It refers to a subset of the species, such as a plant, bird (avian), small mammal, or fish community. This use of *community* suggests relatedness or similarity among the members in their taxonomy, response to the environment, or use of resources.

The definition of community also recognizes that species living in close association may interact. They may compete for a shared resource, such as food, light, space, or moisture. One may depend on the other as a source of food. They may provide mutual aid, or they may not directly affect each other at all.

Like a population, a community has attributes that differ from those of its components and that have meaning only within the collective. These attributes include the number of species, their relative abundances, the nature of their interactions, and their physical structure (defined primarily by the growth forms of the plant components of the community). In our discussion, we examine the properties defining the structure of the community. In the chapters that follow, we will turn our attention to the processes influencing community structure and dynamics.

## 17.1 The Number of Species and Their Relative Abundance Define Diversity

The mix of species, including their number and relative abundance, defines the biological structure of a community. The simplest measure of community structure is a count of the number of species occurring within the community, referred to as **species richness** and typically denoted by the symbol *S*.

Among the array of species that make up the community, however, not all are equally abundant. We can discover this characteristic by counting all the individuals of each species in a number of samples within a community and determining what percentage each species contributes to the total number of individuals of all species. This measure is known as **relative abundance**.

As an example of relative abundance, Tables 17.1 and 17.2 contain samples representing the tree species composition of two forest communities. The sample from the first forest consists of 24 species. Two species, yellow poplar and white oak, make up nearly 44 percent of the total number of individuals. The four next most abundant trees—black oak, sugar maple, red maple, and American beech—each make up a little over 5 percent of the total. Nine species range from 1.2 percent to 4.7 percent, and the nine remaining species as a group represent about 0.5 percent. The second forest presents a somewhat different picture. This community consists of 10 species, of which two—yellow poplar and sassafras—make up almost 84 percent of the total tree density.

**Table 17.1** Structure of One Mature Deciduous Forest Stand in Northern West Virginia Species

Species	Number of Individuals	Relative Abundance (Percentage of Total Individuals)
Yellow poplar ( <i>Liriodendron tulipifera</i> )	76	29.7
White oak ( <i>Quercus alba</i> )	36	14.1
Black oak ( <i>Quercus velutina</i> )	17	6.6
Sugar maple ( <i>Acer saccharum</i> )	14	5.4
Red maple ( <i>Acer rubrum</i> )	14	5.4
American beech ( <i>Fagus grandifolia</i> )	13	5.1
Sassafras ( <i>Sassafras albidum</i> )	12	4.7
Red oak ( <i>Quercus rubra</i> )	12	4.7
Mockernut hickory ( <i>Carya tomentosa</i> )	11	4.3
Black cherry ( <i>Prunus serotina</i> )	11	4.3
Slippery elm ( <i>Ulmus rubra</i> )	10	3.9
Shagbark hickory ( <i>Carya ovata</i> )	7	2.7
Bitternut hickory ( <i>Carya cordiformis</i> )	5	2.0
Pignut hickory ( <i>Carya glabra</i> )	3	1.2
Flowering dogwood ( <i>Cornus florida</i> )	3	1.2
White ash ( <i>Fraxinus americana</i> )	2	0.8
Hornbeam ( <i>Carpinus carolinia</i> )	2	0.8
Cucumber magnolia ( <i>Magnolia acuminata</i> )	2	0.8
American elm ( <i>Ulmus americana</i> )	1	0.39
Black walnut ( <i>Juglans nigra</i> )	1	0.39
Black maple ( <i>Acer nigra</i> )	1	0.39
Black locust ( <i>Robinia pseudoacacia</i> )	1	0.39
Sourwood ( <i>Oxydendrum arboreum</i> )	1	0.39
Tree of heaven ( <i>Ailanthus altissima</i> )	1	0.39
	<b>256</b>	<b>100.00</b>

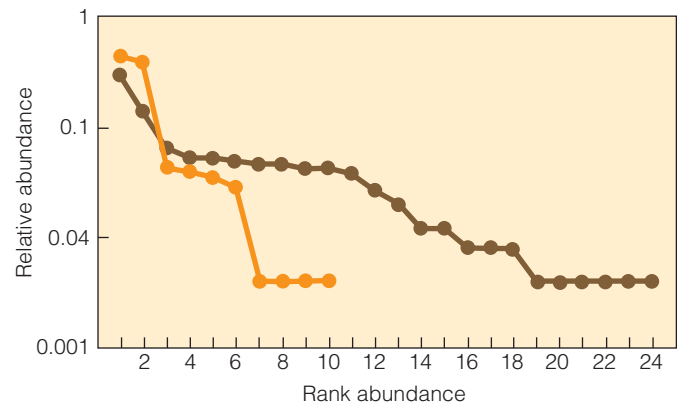
**Table 17.2** Structure of a Second Deciduous Forest Stand in Northern West

Species	Number of Individuals	Relative Abundance (Percentage of Total Individuals)
Yellow poplar ( <i>Liriodendron tulipifera</i> )	122	44.5
Sassafras ( <i>Sassafras albidum</i> )	107	39.0
Black cherry ( <i>Prunus serotina</i> )	12	4.4
Cucumber magnolia ( <i>Magnolia acuminata</i> )	11	4.0
Red maple ( <i>Acer rubrum</i> )	10	3.6
Red oak ( <i>Quercus rubra</i> )	8	2.9
Butternut ( <i>Juglans cinerea</i> )	1	0.4
Shagbark hickory ( <i>Carya ovata</i> )	1	0.4
American beech ( <i>Fagus grandifolia</i> )	1	0.4
Sugar maple ( <i>Acer saccharum</i> )	1	0.4
	<b>274</b>	<b>100.0</b>

Although both forest communities illustrate the pattern of a few common species associated with many rare ones, these two forests exhibit quite different patterns of species richness and relative abundance. A common method for comparing the patterns of species richness and abundance between communities involves plotting the relative abundance of each species against rank, where rank is defined by the order of species from the most to the least abundant. Thus, the most abundant species is plotted first along the *x*-axis, with the corresponding value on the *y*-axis being the value of relative abundance. This process is continued until all species are plotted. The resulting graph is called a **rank-abundance diagram**. Figure 17.1 depicts the rank-abundance curves for the two forest stands presented in Tables 17.1 and 17.2.

As the rank-abundance diagram shows, these two forest communities differ in both species richness and how individuals are apportioned among the species (relative abundance). The first forest community has greater species richness and a more equitable distribution of individuals among the species; this characteristic is referred to as **species evenness**. The greater species richness is reflected by the greater length of the rank-abundance curve (number of species), and the more equitable distribution of individuals among the species (species evenness) is indicated by the more gradual slope of the rank-abundance curve.

Although the graphical procedure of rank-abundance diagrams can be used to visually assess (interpret) differences in the biological structure of communities, these diagrams offer no means of quantifying the observed differences. Ecologists have addressed this need by developing **diversity indexes**,



**Figure 17.1** Rank-abundance curves for the two forest communities described in Tables 17.1 and 17.2. Rank abundance is the species ranking based on relative abundance, ranked from the most to least abundant (*x*-axis). Relative abundance (*y*-axis) is expressed on a  $\log_{10}$  axis. The forest community in Table 17.1 (brown line) has a higher species richness (length of curve) and evenness (slope of curve) than the forest community in Table 17.2 (orange line).

### INTERPRETING ECOLOGICAL DATA

**Q1.** How does the slope of the rank-abundance curve vary with increasing species evenness? Why?

**Q2.** What would the rank-abundance curve look like for a forest community consisting of 10 species, where all of the 10 tree species are equally abundant?

which consider both the number and relative abundance of species within the community.

One of the simplest and most widely used indices of diversity is the Simpson's index. The term *Simpson's diversity index* can actually refer to any one of three closely related indexes.

**Simpson's index (*D*)** measures the probability that two individuals randomly selected from a sample will belong to the same species (category):

$$D = \frac{\sum (n_i/N)^2}{\sum (n_i/N)}$$

Summation for all species      Number of individuals of species *i*

Total number of individuals of all species

The value of *D* ranges between 0 and 1. In the absence of diversity, where only one species is present, the value of *D* is 1. As both species richness and evenness increase, the value approaches 0.

Because the greater the value of *D*, the lower the diversity, *D* is often subtracted from 1 to give

$$\text{Simpson's index of diversity} = 1 - D$$

The value of this index also ranges between 0 and 1, but now the value increases with diversity. In this case, the index represents

the probability that two individuals randomly selected from a sample will belong to different species.

Another method is to take the reciprocal of  $D$ :

$$\text{Simpson's index of diversity} = \frac{1}{D}$$

This index, called the *Simpson's diversity index*, is most commonly used. The lowest possible value of this index is 1, representing a community containing only one species. The higher the value, the greater is the diversity. The maximum value is the number of species in the community (species richness:  $S$ ). For example, there are 10 tree species in the forest community presented in Table 17.2, so the maximum possible value of the index is 10.

Because the Simpson's index actually refers to three related but different indexes, it is important to identify which is being used and reported.

Another widely used index of diversity that also considers both species richness and evenness is the **Shannon index** (also called the Shannon–Weiner index). To compute the index, the relative abundance of each species ( $p_i$ ) is expressed as

$$p_i = n_i/N$$

where, as in the calculation of the Simpson's index ( $D$ ),  $n_i$  is the number of individuals of species  $i$  and  $N$  is the total number of individuals of all species.

The Shannon index ( $H$ ) is then computed as:

$$H = -\sum(p_i)(\ln p_i)$$

In the absence of diversity, where only one species is present, the value of  $H$  is 0. The maximum values of the index, which occurs when all species are present in equal numbers, is  $H_{\max} = \ln S$ , where  $\ln$  is the natural logarithm (approximately 2.718) and  $S$  is the total number of species (species richness).

## 17.2 Numerical Supremacy Defines Dominance

Although the numbers of tree species occurring in the two forest communities (species richness) presented in Tables 17.1 and 17.2 differ more than twofold, the two communities share a common feature. Both communities are composed of a few common tree species with high population density, whereas the remaining tree species are relatively rare and at low population density. This is a characteristic of most communities. When a single or few species predominate within a community, those species are referred to as **dominants**.

Dominance is the converse of diversity. In fact, the basic Simpson index,  $D$ , is often used as a measure of dominance. Recall that values of  $D$  range from 0 to 1, where 1 represents complete dominance—only one species present in the community.

Dominant species are usually defined separately for different taxonomic or functional groups of organisms within the community. For example, yellow poplar is a dominant tree species in both of the forest communities just discussed, but we could likewise identify the dominant herbaceous plant species within the forest or the dominant species of bird or small mammal.

Dominance typically is assumed to mean the greatest in number. But in populations or among species, where individuals can vary widely in size, abundance alone is not always a sufficient indicator of dominance. In a forest, for example, the small or understory trees can be numerically superior, yet a few large trees that overshadow the smaller ones will account for most of the biomass (living tissue). In such a situation, we may wish to define dominance based on some combination of characteristics that include both the number and size of individuals.

Because dominant species typically achieve their status at the expense of other species in the community, they are often the dominant competitors under the prevailing environmental conditions. For example, the American chestnut tree (*Castanea dentata*) was a dominant component of oak–chestnut forests in eastern North America until the early 20th century. At that time, the chestnut blight introduced from Asia decimated chestnut tree populations. Since then a variety of species—including oaks, hickories, and yellow poplar—have taken over the chestnut's position in the forest. As we shall see, however, processes other than competition can also be important in determining dominance within communities (Chapter 18).

## 17.3 Keystone Species Influence Community Structure Disproportionately to Their Numbers

Relative abundance is just one measure, based only on numerical supremacy, of a species' contribution to the community. Other, less-abundant species, however, may play a crucial role in the function of the community. A species that has a disproportionate impact on the community relative to its abundance is referred to as a **keystone species**.

Keystone species function in a unique and significant manner through their activities, and their effect on the community is disproportionate to their numerical abundance. Their removal initiates changes in community structure and often results in a significant loss of diversity. Their role in the community may be to create or modify habitats or to influence the interactions among other species. An organism that functions as a keystone species by creating habitat is the coral *Oculina arbuscula*, which occurs along the eastern coast of the United States as far north as the coastal waters of North Carolina. It is the only coral in this region with a structurally complex, branching morphology that provides shelter for a species-rich epifauna (organisms that live on and among the coral). More than 300 species of invertebrates are known to live among the branches of *Oculina* colonies, and many more are reported to complete much of their life cycle within the coral (see Chapter 16, Field Studies John J. Stachowicz).

In other cases, keystone herbivores may modify the local community by their feeding activities. An excellent example





**Figure 17.2** Elephants, which consume large quantities of woody vegetation and uproot trees, are a keystone species in the savannas of southern Africa. By decreasing woody vegetation, elephants increase the productivity of grasses upon which a wide variety of grazing herbivores depend.

is the role of the African elephant in the savanna communities of southern Africa. This herbivore feeds primarily on a diet of woody plants (browse). Elephants are destructive feeders that often uproot, break, and destroy the shrubs and trees they feed on (Figure 17.2). Reduced density of trees and shrubs favors the growth and production of grasses. This change in the composition of the plant community is to the elephant's disadvantage, but other herbivores that feed on the grasses benefit from it.

Predators often function as keystone species within communities (see Section 18.3 for further discussion of keystone predators). For example, sea otters (*Enhydra lutris*) are a keystone predator in the kelp bed communities found in the coastal waters of the Pacific Northwest. Sea otters eat urchins, which feed on kelp. The kelp beds provide habitat to a wide diversity of other species, and if sea otter populations decline in an area (as has happened in large areas of western Alaska since the early 1990s), the sea urchin population increases dramatically. The result is overgrazing of the kelp beds and a loss of habitat for the many species inhabiting these communities.

## 17.4 Food Webs Describe Species Interactions

Perhaps the most fundamental process in nature is that of acquiring the energy and nutrients required for assimilation. The species interactions discussed earlier—predation, parasitism, competition, and mutualism—are all involved in acquiring these essential resources (Part Four). For this reason, ecologists studying the structure of communities often focus on the feeding relationships among the component species, or how species interact in the process of acquiring the resources necessary for metabolism, growth, and reproduction.

An abstract representation of feeding relationships within a community is the **food chain**. A food chain is a descriptive diagram—a series of arrows, each pointing from one species to another, representing the flow of food energy from prey (the consumed) to predator (the consumer). For example, grasshoppers eat

grass, clay-colored sparrows eat grasshoppers, and marsh hawks prey on the sparrows. We write this relationship as follows:

$$\text{grass} \rightarrow \text{grasshopper} \rightarrow \text{sparrow} \rightarrow \text{hawk}$$

Feeding relationships in nature, however, are not simple, straight-line food chains. Rather, they involve many food chains meshed into a complex food web with links leading from primary producers through an array of consumers (Figure 17.3). Such **food webs** are highly interwoven, with linkages representing a wide variety of species interactions.

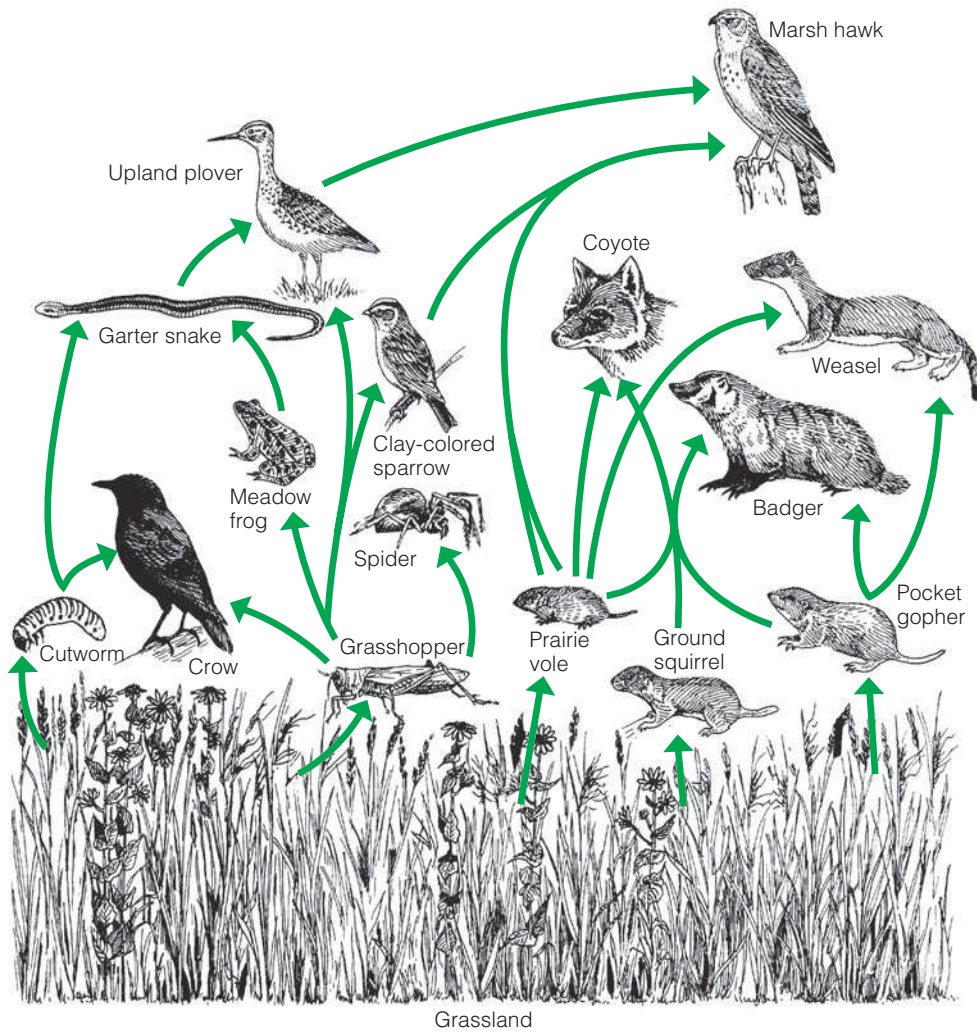
A simple hypothetical food web is presented in Figure 17.4 to illustrate the basic terminology used to describe the structure of food webs. Each circle represents a species, and the arrows from the consumed to the consumer are termed **links**. The species in the webs are distinguished by whether they are basal species, intermediate species, or top predators. **Basal species** feed on no other species but are fed upon by others. **Intermediate species** feed on other species and they are prey of other species. **Top predators** are not subjected to predators; they prey on intermediate and basal species. These terms refer to the structure of the web rather than to strict biological reality.

Although any two species are linked by only a single arrow representing the relationship between predator (the consumer) and prey (the consumed), the dynamics of communities cannot be understood solely in terms of direct interactions between species. For example, a predator may reduce competition between two prey species by controlling their population sizes below their respective carrying capacities. An analysis of the mechanisms controlling community structure must include these “indirect” effects represented by the structure of the food web; we will explore this topic in more detail later (Chapter 18).

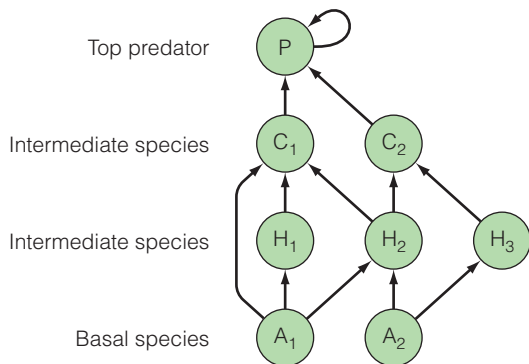
The simple designation of feeding relationships using the graphical approach of food webs can become incredibly complex in communities of even moderate diversity. For this reason, ecologists often simplify the representation of food webs by lumping species into broader categories that represent general feeding groups based on the source from which they derive energy. Earlier, we defined organisms that derive their energy from sunlight as autotrophs, or primary producers (Part Two). Organisms that derive energy from consuming plant and animal tissue are called heterotrophs, or secondary producers, and are further subdivided into herbivores, carnivores, and omnivores based on their consumption of plant tissues, animal tissues, or both. These feeding groups are referred to as **trophic levels**, after the Greek word *trophikos*, meaning “nourishment.”

## 17.5 Species within a Community Can Be Classified into Functional Groups

The grouping of species into trophic levels is a functional classification, defining groups of species that derive their energy in a similar manner. Another approach is to subdivide each trophic level into groups of species that exploit a common resource in a similar fashion; these groups are termed **guilds**. For example, hummingbirds and other nectar-feeding birds form a guild of



**Figure 17.3** A food web for a prairie grassland community in the midwestern United States. Arrows flow from prey (consumed) to predator (consumer).



**Figure 17.4** Hypothetical food web illustrating the various categories of species. A<sub>1</sub> and A<sub>2</sub> feed on no other species in the food web and are referred to as basal species (typically autotrophs). H<sub>1</sub>, H<sub>2</sub>, and H<sub>3</sub> are herbivores. C<sub>2</sub> is a carnivore, and C<sub>1</sub> is defined as an omnivore, because it feeds on more than one trophic level. Species designated as H and C are all intermediate species because they function as both predators and prey within the food web. P is a top predator, because it is eaten by no other species within the food web. P also exhibits cannibalism, because this species feeds on itself.

species that exploit the common resource of flowering plants in a similar fashion. Likewise, seed-eating birds could be grouped into another feeding guild within the broader community. Because species within a guild draw upon a shared resource, there is potential for strong interactions between the members.

Classifying species into guilds can simplify the study of communities, allowing researchers to focus on more manageable subsets of the community. Yet by classifying species into guilds based on their functional similarity, ecologists can also explore questions about the very organization of communities. Just as we can use the framework of guilds to explore the interactions of the component species within a guild, we can also use this framework to pose questions about the interactions between the various guilds that compose the larger community. At one level, a community can be a complex assembly of component guilds interacting with each other and producing the structure and dynamics that we observe.

In recent years, ecologists have expanded the concept of guilds to develop a more broadly defined approach of classifying species based on function rather than taxonomy. The term **functional type** is now commonly used to define a group of species based on their common response to the environment, life

history characteristics, or role within the community. For example, plants may be classified into functional types based on their photosynthetic pathway ( $C_3$ ,  $C_4$ , and CAM), which, as we have seen earlier, relates to their ability to photosynthesize and grow under different thermal and moisture environments (Chapter 6). Similarly, plant ecologists use the functional classification of shade-tolerant and shade-intolerant to reflect basic differences in the physiology and morphology of plant species in response to the light environment (Section 6.9). Grouping plants or animals into the categories of iteroparous and semelparous also represents a functional classification based on the timing of reproductive effort (Chapter 10).

As with the organization and classification of species into guilds, using functional groups allows ecologists to simplify the structure of communities into manageable units for study and to ask basic questions about the factors that structure communities, as we shall see later in the discussion of community dynamics (Chapter 19).

### 17.6 Communities Have a Characteristic Physical Structure

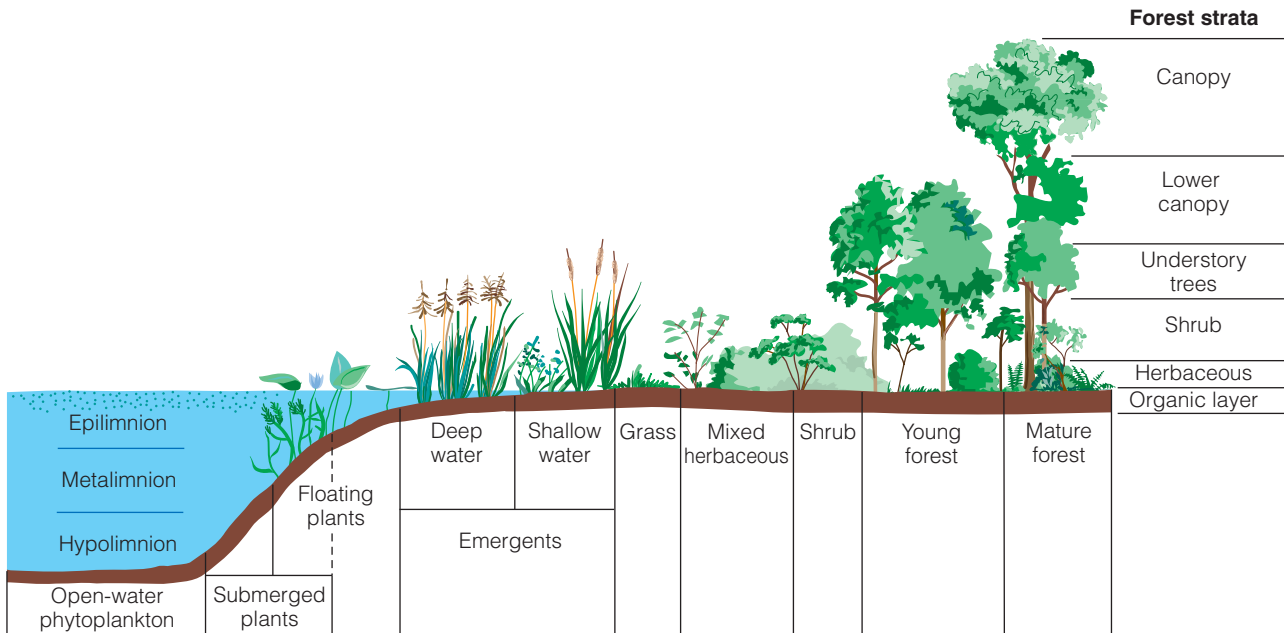
Communities are characterized not only by the mix of species and by the interactions among them—the biological structure—but also by their physical features. The physical structure of the community reflects abiotic factors, such as the depth and flow of water in aquatic environments. It also reflects biotic factors,

such as the spatial arrangement of the resident organisms. For example, the size and height of the trees and the density and spatial distribution of their populations help define the physical attributes of the forest community.

The form and structure of terrestrial communities are defined primarily by their vegetation. Plants may be tall or short, evergreen or deciduous, herbaceous or woody. Such characteristics can describe growth forms. Thus, we might speak of shrubs, trees, and herbs and further subdivide the categories into needle-leaf evergreens, broadleaf evergreens, broadleaf deciduous trees, thorn trees and shrubs, dwarf shrubs, ferns, grasses, forbs, mosses, and lichens. Ecologists often classify and name terrestrial communities based on the dominant plant growth forms and their associated physical structure: forests, woodlands, shrublands, or grassland communities (see Chapter 24).

In aquatic environments, the dominant organisms are also used to classify and name communities. Kelp forests, seagrass meadows, and coral reefs are examples. However, the physical structure of aquatic communities is more often defined by features of the abiotic environment, such as water depth, flow rate, or salinity (see Chapter 25).

Every community has an associated vertical structure (Figure 17.5). On land, the growth form of the plants largely determines vertical structure—their size, branching, and leaves—and this vertical structure in turn influences, and is influenced by, the vertical gradient of light (see Section 4.2). The vertical structure of the plant community also provides a physical framework in which many forms of animal life are



**Figure 17.5** A vertical view of communities from aquatic to terrestrial. In aquatic and terrestrial environments, the primary zone of decomposition and regeneration is the bottom stratum, and the zone of energy fixation is the upper stratum. From left to right in the figure, community stratification and complexity increase. Stratification in aquatic communities is largely physical, influenced by gradients of oxygen, temperature, and light. Stratification in terrestrial communities is largely biological. Dominant vegetation affects the physical structure of the community and the microclimatic conditions of temperature, moisture, and light. Because the forest has four or five strata, it supports a greater diversity of life than can grassland with two strata. Floating and emergent aquatic plant communities typically support greater diversity of life than can open water.

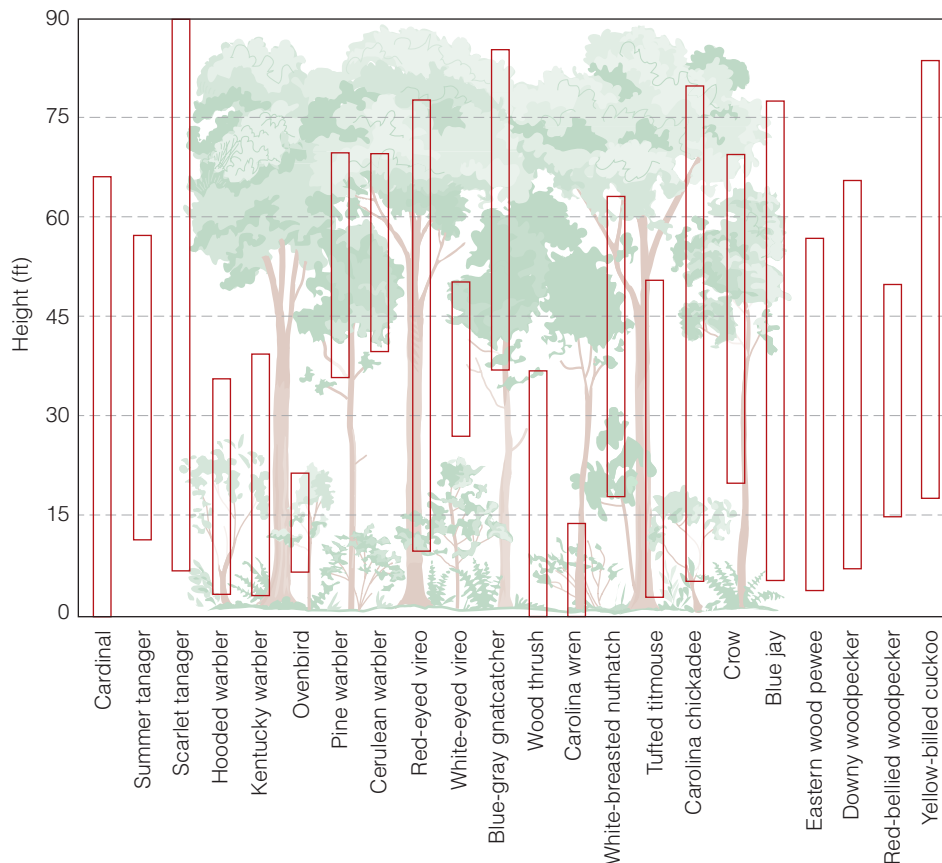
adapted to live. A well-developed forest ecosystem, for example, has multiple layers of vegetation. From top to bottom, they are the canopy, the understory, the shrub layer, the herb or ground layer, and the forest floor.

The upper layer, the **canopy**, is the primary site of energy fixation through photosynthesis. The canopy structure has a major influence on the rest of the forest. If the canopy is fairly open, considerable sunlight will reach the lower layers. If ample water and nutrients are available, a well-developed **understory** and shrub strata will form. If the canopy is dense and closed, light levels are low, and the understory and shrub layers will be poorly developed.

In the forests of the eastern United States, the understory consists of tall shrubs such as witch hobble (*Viburnum alnifolium*), understory trees such as dogwood (*Cornus* spp.) and hornbeam (*Carpinus caroliniana*), and younger trees, some of which are the same species as those in the canopy. The nature of the **herb layer** depends on the soil moisture and nutrient conditions, slope position, density of the canopy and understory, and exposure of the slope, all of which vary from place to place throughout the forest. The final layer, the **forest floor**, is where the important process of decomposition takes place and where microbial organisms feeding on decaying organic matter release mineral nutrients for reuse by the forest plants (see Chapter 22).

Aquatic ecosystems such as lakes and oceans have strata determined largely by light penetration through the water column (see Section 3.3). They also have distinctive profiles of temperature and oxygen (see Section 3.4). In the summer, well-stratified lakes have a layer of well-mixed water, the epilimnion; a second layer, the metalimnion, which is characterized by a thermocline (a steep and rapid decline in temperature relative to the waters above and below); the hypolimnion, a deep, cold layer of dense water at about 4°C (39°F), often low in oxygen; and a layer of bottom sediments. Two other structural layers are also recognized, based on light penetration: an upper zone, the **photic zone**, where the availability of light supports photosynthesis primarily by phytoplankton; and in deeper waters, the **aphotic zone**, an area without light. The bottom zone, where decomposition is most active, is referred to as the **benthic zone**.

Characteristic organisms inhabit each available vertical layer in a community. In addition to the vertical distribution of plant life already described, various types of consumers and decomposers occupy all levels of the community—although decomposers are typically found in greater abundance in the forest floor (soil surface) and sediment (benthic) layers. Considerable interchange takes place among the vertical strata, but many highly mobile animals confine themselves to only a few layers (Figure 17.6). The species occupying a given vertical layer may



**Figure 17.6** Vertical distribution of bird species within the forest community on Walker Branch watershed, Oak Ridge, Tennessee. Height range represented by colored bars is based on total observations of birds during the breeding season regardless of activity. (Adapted from Anderson and Shugart 1974.)

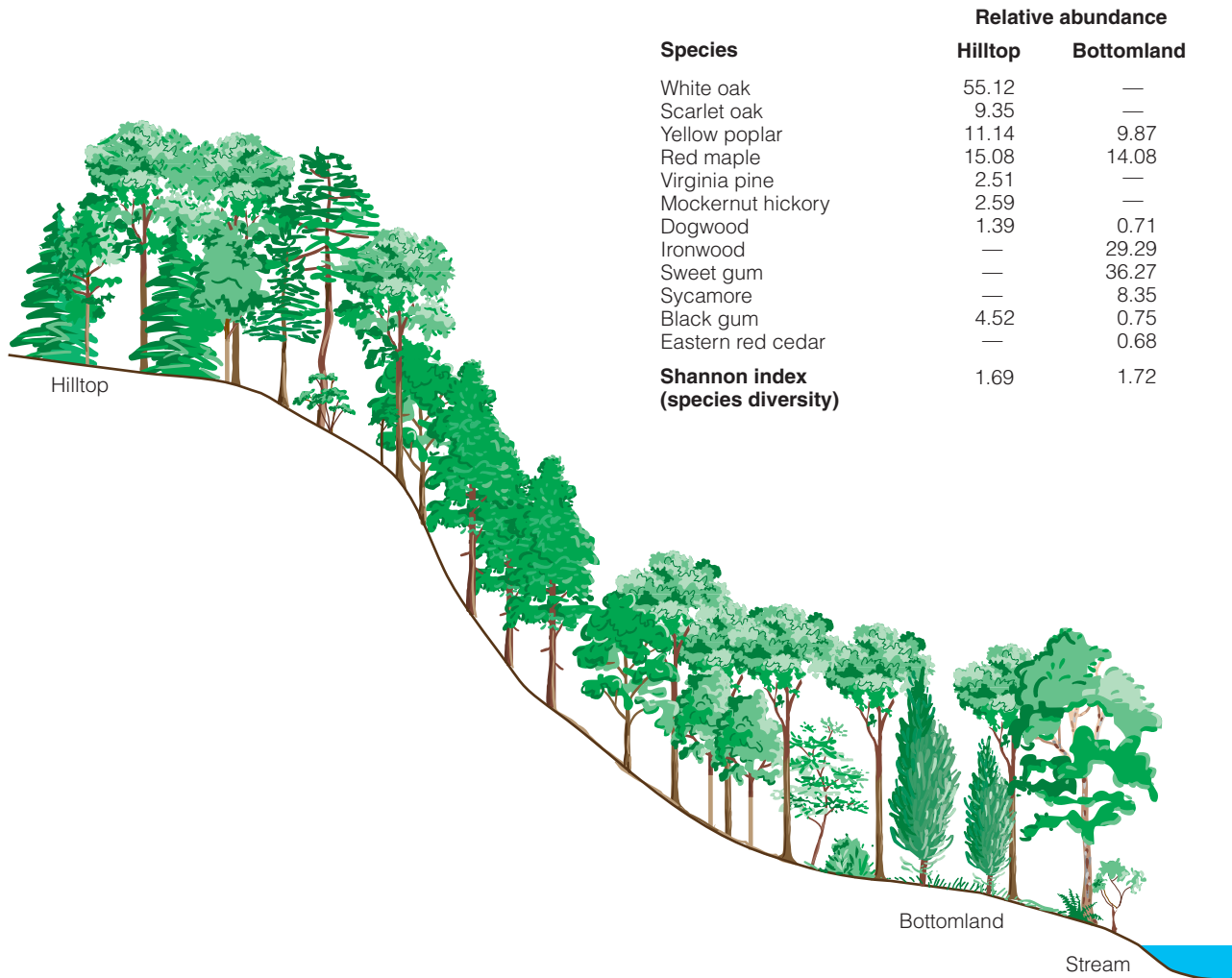
change during the day or season. Such changes reflect daily and seasonal variations in the physical environment such as humidity, temperature, light, and oxygen concentrations in the water; shifts in the abundance of essential resources such as food; or different requirements of organisms for the completion of their life cycles. For example, zooplankton migrate vertically in the water column during the course of the day in response to varying light (see Section 3.3).

## 17.7 Zonation Is Spatial Change in Community Structure

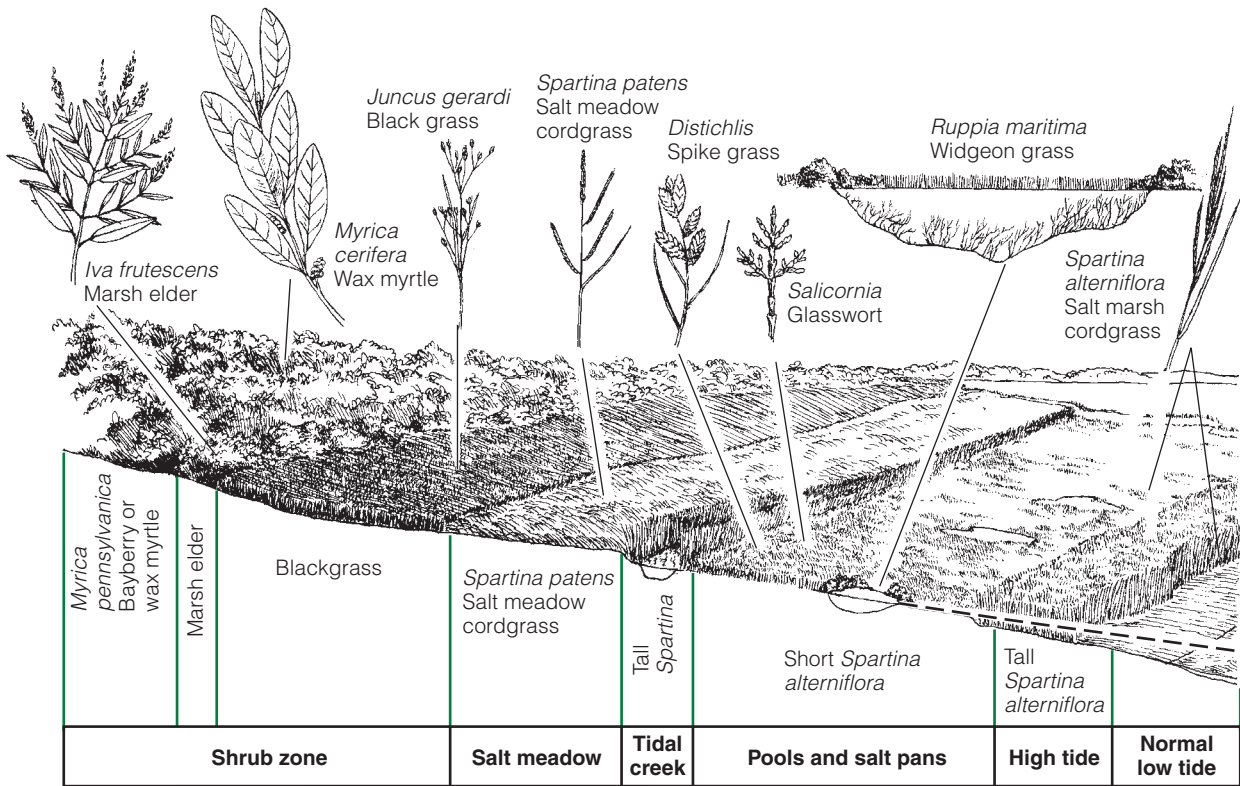
As we move across the landscape, the physical and biological structure of the community changes. Often these changes are small, subtle ones in the species composition or height of the vegetation. However, as we travel farther, these changes often become more pronounced. For example, central Virginia

just east of the Blue Ridge Mountains is a landscape of rolling hills. The area is a mosaic of forest and field. As we walk through a forest, the physical structure of the community—the canopy, understory, shrub layer, and forest floor—appears much the same. But the biological structure, the mix of species that compose the community, may change quite dramatically. As we move from a hilltop to the bottomland along a stream (Figure 17.7), the mix of trees changes from oaks (*Quercus* spp.) and hickory (*Carya* spp.) to species associated with much wetter environments, such as sycamore (*Platanus occidentalis*), hornbeam (*Carpinus caroliniana*), and sweet gum (*Liquidambar styraciflua*). Besides changes in the vegetation, the animal species—insects, birds, and small mammals—that occupy the forest also change. These changes in the physical and biological structures of communities as one moves across the landscape are referred to as **zonation**.

Patterns of spatial variation in community structure or zonation are common to all environments, aquatic and terrestrial.



**Figure 17.7** Changes in species composition of forest stands along a topographic gradient in Fluvana County, Virginia. The table summarizes stand composition (relative abundance) as sampled at two points: on the hilltop and along the stream. Changes in the community structure are continuous, but the two forest communities on the hilltop and bottomland are quite distinct. The transition in community structure along this transect from hilltop to stream is an example of zonation.



**Figure 17.8** Patterns of zonation in an idealized New England salt marsh, showing the relationship of plant distribution to microtopography and tidal submergence.

Figure 17.8 provides an example of zonation in a salt marsh along the northeastern coastline of North America. In moving from the shore and through the marsh to the upland, notice the variations in the physical and biological structures of the communities. The dominant plant growth forms in the marsh are grasses and sedges. These growth forms give way to shrubs and trees as we move to dry land and the depth of the water table increases. In the zone dominated by grasses and sedges, the dominant species change as we move back from the tidal areas. These differences result from various environmental changes across a spatial gradient, including microtopography, water depth, sediment oxygenation, and salinity. The changes are marked by distinct plant communities that are defined by changes in dominant plants as well as in structural features such as height, density, and spatial distribution of individuals.

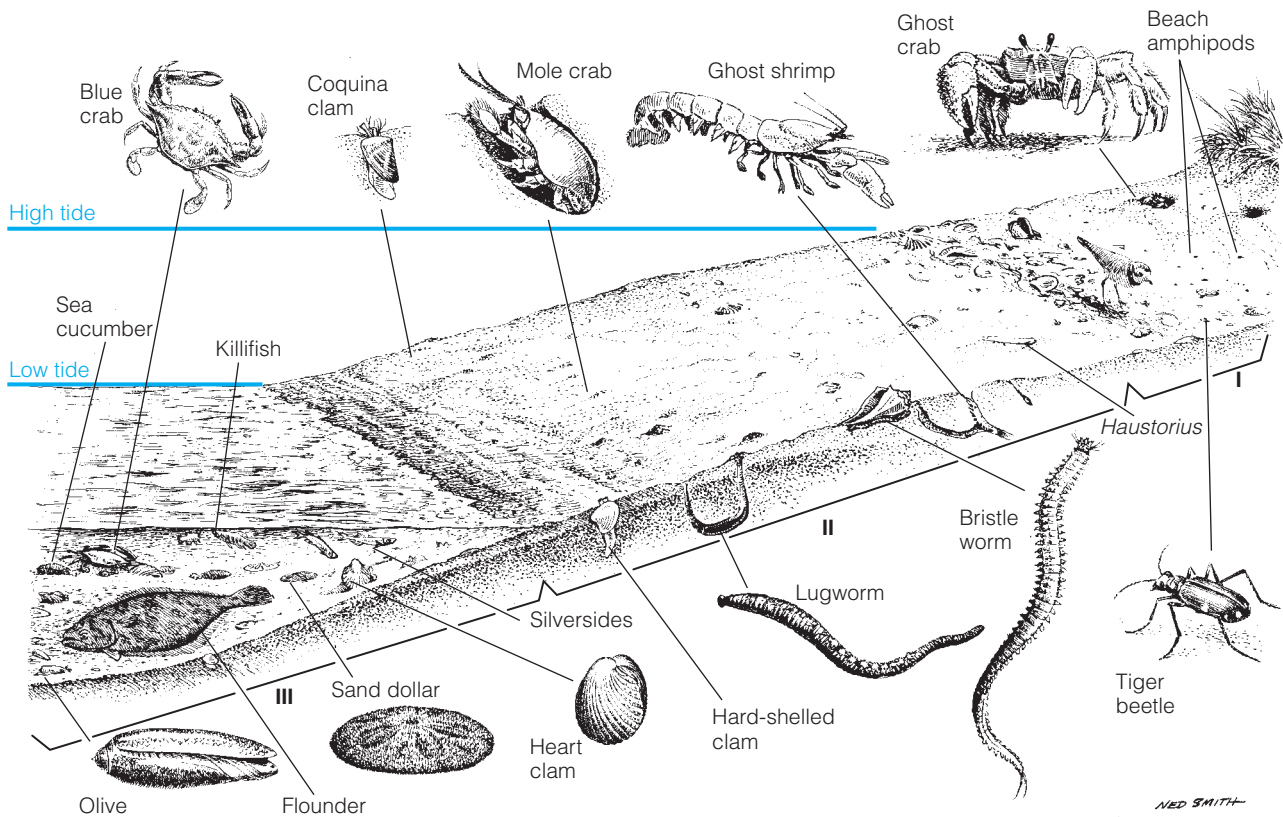
The intertidal zone of a sandy beach provides an example in which the zonation is dominated by heterotrophic organisms rather than autotrophs (Figure 17.9). Patterns of species distribution relate to the tides. Sandy beaches can be divided into supratidal (above the high-tide line), intertidal (between the high- and low-tide lines), and subtidal (below the low-tide line; continuously inundated) zones, each home to a unique group of animal organisms. Pale, sand-colored ghost crabs (*Ocypode quadrata*) and beach fleas (*Talorchestia* and *Orchestia* spp.) occupy the upper beach, or supratidal zone. The intertidal beach is the zone where true marine life begins. An array of animal species adapted to the regular periods of inundation and exposure to the air are found within this zone. Many of these

species, such as the mole crab (*Emerita talpoida*), lugworm (*Arenicola cristata*), and hard-shelled clam (*Mercenaria mercenaria*), are burrowing animals, protected from the extreme temperature fluctuations that can occur between periods of inundation and exposure. In contrast, the subtidal zone is home to a variety of vertebrate and invertebrate species that migrate into and out of the intertidal zone with the changing tides.

## 17.8 Defining Boundaries between Communities Is Often Difficult

As previously noted, the community is a spatial concept involving the species that occupy a given area. Ecologists typically distinguish between adjacent communities or community types based on observable differences in their physical and biological structures: the different species assemblages characteristic of different physical environments. How different must two adjacent areas be before we call them separate communities? This is not a simple question. Consider the forest illustrated in Figure 17.7. Given the difference in species composition from hilltop to bottomland, most ecologists would define these two areas as different vegetation communities. As we walk between these areas, however, the distinction may not seem so straightforward. If the transition between the two communities is abrupt, it may not be hard to define community boundaries. But if the species composition and patterns of dominance shift gradually, the boundary is not as clear.

Ecologists use various sampling and statistical techniques to delineate and classify communities. Generally, all



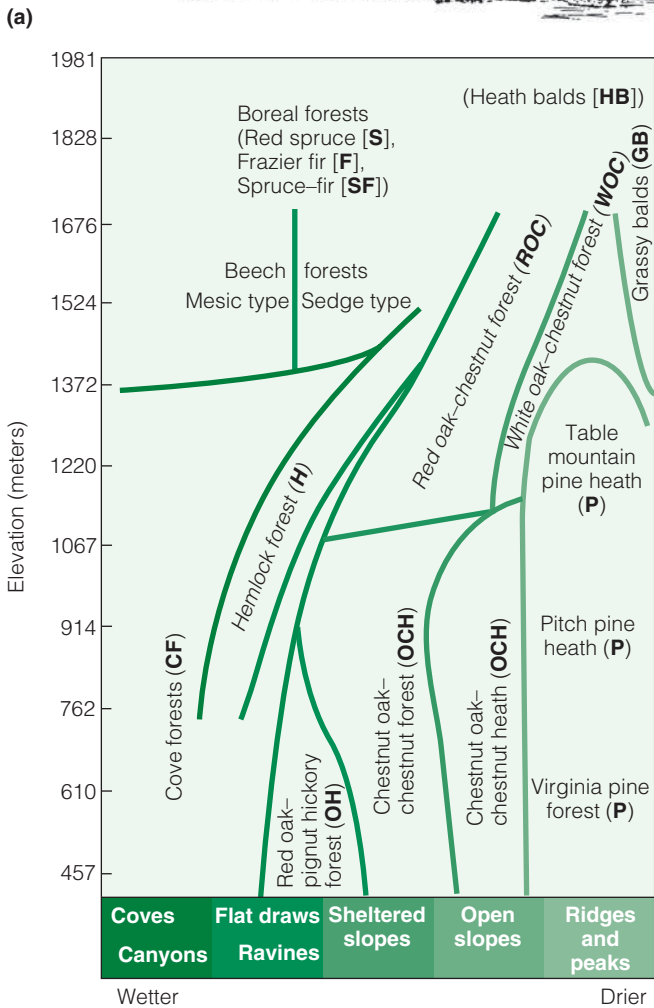
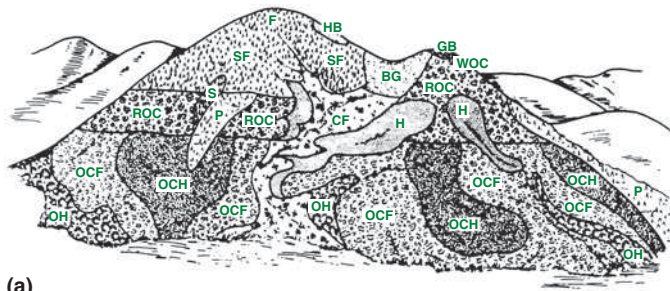
**Figure 17.9** Life on a sandy ocean beach along the Mid-Atlantic coast is an example of zonation dominated by changes in the fauna. The distribution of organisms changes along a gradient from land to sea as a function of the degree and duration of inundation during the tidal cycle. **I**—supratidal zone: ghost crabs and sand fleas. **II**—intertidal zone: ghost shrimp, bristle worms, clams, lugworms, mole crabs. **III**—subtidal zone: flounder, blue crab, sea cucumber. The blue lines indicate high and low tides.

employ some measure of community similarity or difference (see Quantifying Ecology 17.1: Community Similarity). Although it is easy to describe the similarities and differences between two areas in terms of species composition and structure, actually classifying areas into distinct groups of communities involves a degree of subjectivity that often depends on the study objectives and the spatial scale at which vegetation is being described.

The example of forest zonation presented in Figure 17.7 occurs over a relatively short distance of a few hundred meters, or an area of only a few hectares. As we consider ever-larger areas, differences in community structure—both physical and biological—increase. An example is the pattern of forest zonation in Great Smoky Mountains National Park (Figure 17.10). The zonation is a complex pattern related to elevation, slope position, and exposure. Note that the description of the forest communities in the park contains few species names. Names like *hemlock forest* are not meant to suggest a lack of species diversity; they are just a shorthand method of naming communities for the dominant tree species. Each community could be described by a complete list of species, their population sizes, and their contributions to the total biomass (as with the communities in Tables 17.1 and 17.2). However,

such lengthy descriptions are unnecessary to communicate the major changes in the structure of communities across the landscape. In fact, as we expand the area of interest to include the entire eastern United States, the nomenclature for classifying forest communities becomes even broader. In Figure 17.11, which is a broad-scale description of forest zonation in the eastern United States developed by James M. Dyer, all of Great Smoky Mountains National Park shown in Figure 17.10 (located in southeastern Tennessee and northwestern North Carolina) is described as a single forest community type: Mesophytic (Appalachian oak section), a type that extends from New York to Georgia.

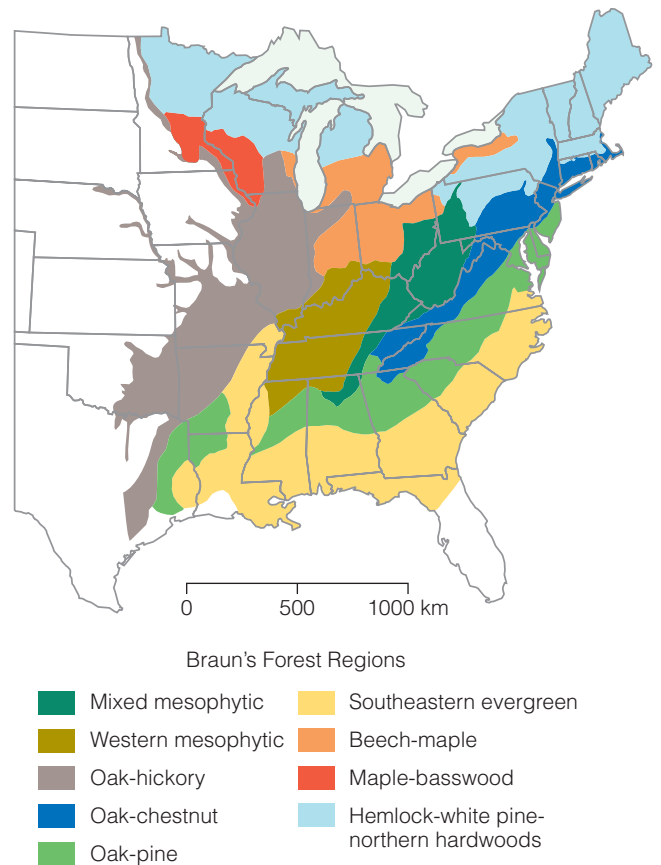
These large-scale examples of zonation make an important point that we return to when examining the processes responsible for spatial changes in community structure: our very definition of community is a spatial concept. Like the biological definition of population the definition of community refers to a spatial unit that occupies a given area (see Chapter 8). In a sense, the distinction among communities is arbitrary, based on the criteria for classification. As we shall see, the methods used in delineating communities as discrete spatial units have led to problems in understanding the processes responsible for patterns of zonation (see Chapter 18).



**Figure 17.10** Two descriptions of forest communities in Great Smoky Mountains National Park. (a) Topographic distribution of vegetation types on an idealized west-facing mountain and valley. (b) Idealized arrangement of community types according to elevation and aspect. (Adapted from Whittaker 1954.)

### 17.9 Two Contrasting Views of the Community

At the beginning of this chapter, we defined the community as the group of species (populations) that occupy a given area, interacting either directly or indirectly. Interactions can have both positive and negative influences on species populations. How important are these interactions in determining community structure? In the first half of the 20th century, this question



**Figure 17.11** Large-scale distribution of deciduous forest communities in the eastern United States is defined by nine regions. (Adapted from Dyer 2006.)

led to a major debate in ecology that still influences our views of the community.

When we walk through most forests, we see a variety of plant and animal species—a community. If we walk far enough, the dominant plant and animal species will change (see Figure 17.7). As we move from hilltop to valley, the structure of the community will differ. But what if we continue our walk over the next hilltop and into the adjacent valley? We will most likely notice that although the communities on the hilltop and valley are quite distinct, the communities on the two hilltops or valleys are quite similar. As a botanist might put it, they exhibit relatively consistent floristic composition. At the International Botanical Congress of 1910, botanists adopted the term *association* to describe this phenomenon. An association is a type of community with (1) relatively consistent species composition, (2) a uniform, general appearance (physiognomy), and (3) a distribution that is characteristic of a particular habitat, such as the hilltop or valley. Whenever the particular habitat or set of environmental conditions repeats itself in a given region, the same group of species occurs.

Some scientists of the early 20th century thought that association implied processes that might be responsible for structuring communities. The logic was that if clusters or groups of species repeatedly associate together, that is indirect evidence for either positive or neutral interactions among them. Such evidence favors a view of communities as integrated units.



## QUANTIFYING ECOLOGY 17.1 Community Similarity

When saying that a community's structure changes as we move across the landscape, we imply that the set of species that define the community differ from one place to another. But how do we quantify this change? How do ecologists determine where one community ends and another begins? Distinguishing between communities based on differences in species composition is important in understanding the processes that control community structure as well as in conservation efforts to preserve natural communities (see Chapter 29).

Various indexes have been developed that measure the similarity between two areas or sample plots based on species composition. Perhaps the most widely used is **Sorensen's coefficient of community (CC)**. The index is based on species presence or absence. Using a list of species compiled for the two sites or sample plots that are to be compared, the index is calculated as:

$$CC = \frac{2c}{(s_1 + s_2)}$$

Number of species common to both communities
  
Number of species in community 1
Number of species in community 2

As an example of this index, we can use the two forest communities presented in Tables 17.1 and 17.2:

$$s_1 = 24 \text{ species}$$

$$s_2 = 10 \text{ species}$$

$$c = 9 \text{ species}$$

$$CC = \frac{(2 \times 9)}{(24 + 10)} = \frac{18}{34} = 0.529$$

The value of the index ranges from 0, when the two communities share no species in common, to 1.0, when the species composition of the two communities is identical (all species in common).

The *CC* does not consider the relative abundance of species. It is most useful when the intended focus is the presence or absence of species. Another index of community similarity that is based on the relative abundance of species within the communities being compared is the **percent similarity (PS)**.

To calculate *PS*, first tabulate species abundance in each community as a percentage (as was done for the two communities in Tables 17.1 and 17.2). Then add the lowest percentage for each species that the communities have in common. For the two forest communities, 16 species are exclusive to one community or the other. The lowest percentage of those 16 species is 0, so they need not be included in the summation. For the remaining nine species, the index is calculated as follows:

$$PS = 29.7 + 4.7 + 4.3 + 0.8 + 3.6 + 2.9 + 0.4 + 0.4 + 0.4 = 47.2$$

This index ranges from 0, when the two communities have no species in common, to 100, when the relative abundance of the species in the two communities is identical. When comparing more than two communities, a matrix of values can be calculated that represents all pairwise comparisons of the communities—referred to as a *similarity matrix*.

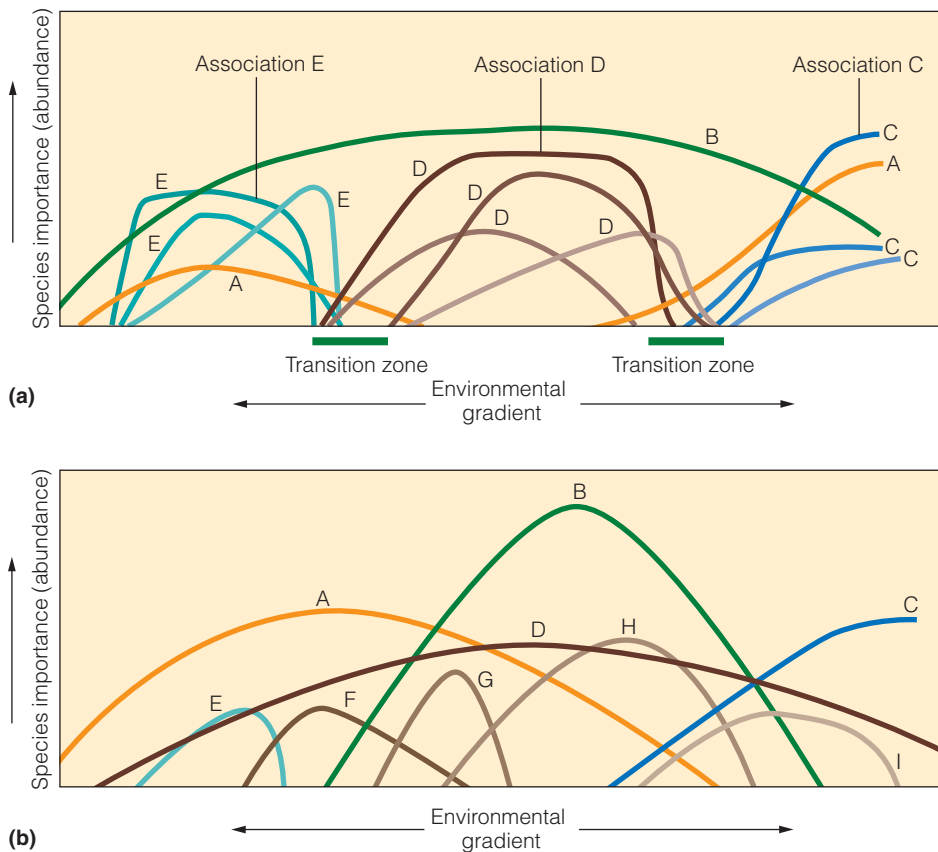
1. Calculate both the Sorensen's and the percent similarity indexes using the data presented in Figure 17.7 for the forests on the hilltop and bottomland sites.
2. Are these two forest communities more or less similar than the two sites in West Virginia?

A leading proponent of this thinking was the Nebraskan botanist Frederick Clements. Clements developed what has become known as the **organismic concept of communities**. Clements likened associations to organisms, with each species representing an interacting, integrated component of the whole. Development of the community through time (a process termed *succession*) was viewed as development of the organism (see Chapter 19).

As depicted in Figure 17.12a, in Clements's view the species in an association have similar distributional limits along the environmental gradient, and many of them rise to maximum abundance at the same point. Transitions between adjacent communities (or associations) are narrow, with few species in common. This view of the community suggests a common evolutionary history and similar fundamental

responses and tolerances for the component species (see Chapter 5 and Section 13.6). Mutualism and coevolution play an important role in the evolution of species that make up the association. The community has evolved as an integrated whole; species interactions are the "glue" holding it together.

In contrast to Clements's organismal view of communities was the botanist H. A. Gleason's view of community. Gleason stressed the individualistic nature of species distribution. His view became known as the **individualistic, or continuum concept**. The continuum concept states that the relationship among coexisting species (species within a community) is due to similarities in their requirements and tolerances, not to strong interactions or common evolutionary history. In fact, Gleason concluded that changes in species abundance along environmental gradients occur so gradually that it is not



**Figure 17.12** Two models of community. (a) The organismal, or discrete, view of communities proposed by Clements. Clusters of species (Cs, Ds, and Es) show similar distribution limits and peaks in abundance. Each cluster defines an association. A few species (e.g., A) have sufficiently broad ranges of tolerance that they occur in adjacent associations but in low numbers. A few other species (e.g., B) are ubiquitous. (b) The individualistic, or continuum, view of communities proposed by Gleason. Clusters of species do not exist. Peaks of abundance of dominant species, such as A, B, and C, are merely arbitrary segments along a continuum.

practical to divide the vegetation (species) into associations. Unlike Clements, Gleason asserted that species distributions along environmental gradients do not form clusters but represent the independent responses of species. Transitions are gradual and difficult to identify (Figure 17.12b). What we refer to as the community is merely the group of species found

to coexist under any particular set of environmental conditions. The major difference between these two views is the importance of interactions, evolutionary and current, in the structuring of communities. It is tempting to choose between these views; but as we will see, current thinking involves elements of both perspectives.

## Summary

### Diversity 17.1

A community is the group of species (populations) that occupy a given area and interact either directly or indirectly. The number of species in the community defines species richness. Species diversity involves two components: species richness and species evenness, which reflect how individuals are apportioned among the species (relative abundance).

### Dominance 17.2

When a single or a few species predominate within a community, they are referred to as dominants. The dominants are the most numerous.

### Keystone Species 17.3

Keystone species are species that function in a unique and significant manner through their activities, and their effect on the

community is disproportionate to their numerical abundance. Their removal initiates changes in community structure and often results in a significant loss of diversity. Their role in the community may be to create or modify habitats or to influence the interactions among other species.

### Food Webs 17.4

Feeding relationships can be graphically represented as a food chain: a series of arrows, each pointing from one species to another that is a source of food. Within a community, many food chains mesh into a complex food web with links leading from primary producers through an array of consumers. Species that are fed upon but do not feed on others are termed basal species. Species that feed on others but are not prey for other species are termed top predators. Species that are both predators and prey are termed intermediate species.

### Functional Groups 17.5

Species that exploit a common resource in a similar fashion are termed guilds. Functional group or functional type is a more general term used to define a group of species based on their common response to the environment, life history characteristics, or role within the community.

### Physical Structure 17.6

Communities are characterized by physical structure. In terrestrial communities, the structure is largely defined by the vegetation. Vertical structure on land reflects the life-forms of plants. In aquatic communities, it is largely defined by physical features such as light, temperature, and oxygen profiles. All communities have an autotrophic and a heterotrophic layer. The autotrophic layer carries out photosynthesis. The heterotrophic layer uses carbon stored by the autotrophs as a food source. Vertical layering provides the physical structure in which many forms of animal life live.

### Zonation 17.7

Changes in the physical structure and biological communities across a landscape result in zonation. Zonation is common to all environments, aquatic and terrestrial. Zonation is most pronounced where sharp changes occur in the physical environment, as in aquatic communities.

### Community Boundaries 17.8

In most cases, transitions between communities are gradual, and defining the boundary between communities is difficult. The way we classify a community depends on the scale we use.

### Concept of the Community 17.9

Historically, there have been two contrasting concepts of the community. The organismal concept views the community as a unit, an association of species, in which each species is a component of the integrated whole. The individualistic concept views the co-occurrence of species as a result of similarities in requirements and tolerances.

---

## Study Questions

1. Distinguish between species richness and species evenness.
2. Distinguish between a dominant and a keystone species.
3. Why are plants classified as basal species in the structure of a food web?
4. Are all carnivores top predators? What distinguishes a top predator in the structure of a food chain?
5. Contrast the vertical stratification of an aquatic community with that of a terrestrial community.
6. Define zonation.
7. In Figure 17.10, the vegetation of Great Smoky Mountains National Park is classified into distinct community types. Does this approach suggest the organismal or individualistic concept of communities? Why?

---

## Further Readings

- Brown, J. H. 1995. *Macroecology*. Chicago: University of Chicago Press.  
In this book, Brown presents a broad perspective for viewing ecological communities over large geographic and time scales.
- Estes, J., M. Tinker, T. Williams, and D. Doak. 1998. Killer whale predation on sea otters linking oceanic and nearshore ecosystems. *Science* 282:473–476.  
An excellent example of the role of keystone species in the coastal marine communities of western Alaska.
- Morin, Peter J. 1999. *Community Ecology*. Oxford: Blackwell Science.  
This text provides a good overview of the field of community ecology.
- Pimm, S. L. 2002. *Food webs*. Chicago: University of Chicago Press.  
Although first published over 20 years ago, this book remains the most complete and clearest introduction to the study of food webs.
- Pimm, S. L. 1991. *The balance of nature*. Chicago: University of Chicago Press.  
An excellent example of the application of theoretical studies on food webs and the structure of ecological communities to current issues in conservation ecology.
- Power, M. E., D. Tilman, J. Estes, B. Menge, W. Bond, L. Mills, G. Daily, J. Castilla, J. Lubchenco, and R. Paine. 1996. Challenges in the quest for keystones. *Bioscience* 46:609–620.  
This paper reviews the concept of keystone species as presented by many of the current leaders in the field of community ecology.
- Ricklefs, R. E., and D. Schluter, eds. 1993. *Ecological communities: Historical and geographic perspectives*. Chicago: University of Chicago Press.  
This pioneering work examines biodiversity in its broadest geographical and historical contexts, exploring questions relating to global patterns of species richness and the historical events that shape both regional and local communities.

# Factors Influencing the Structure of Communities

- 18.1** The Fundamental Niche Constrains Community Structure
- 18.2** Species Interactions Are Diffuse
- 18.3** Food Webs Illustrate Indirect Interactions
- 18.4** Food Webs Suggest Controls of Community Structure
- 18.5** Species Interactions along Environmental Gradients Involve Both Stress Tolerance and Competition
- 18.6** Environmental Heterogeneity Influences Community Diversity
- 18.7** Resource Availability Can Influence Plant Diversity within a Community



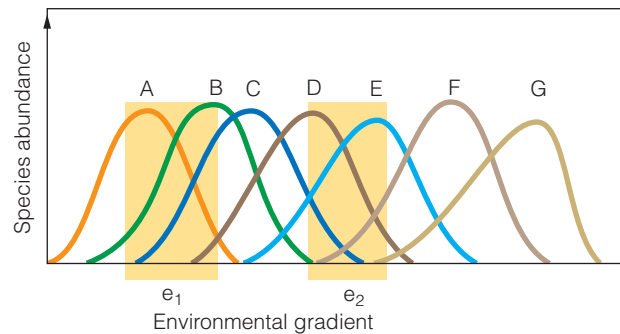
Douglas-fir and western hemlock with an abundance of dead wood and decomposing logs—a setting characteristic of old-growth forests.

**T**he community is a group of plant and animal species that inhabit a given area. As such, understanding the biological structure of the community depends on understanding the distribution and abundance of species. Thus far we have examined a wide variety of topics addressing this broad question, including the adaptation of organisms to the physical environment, the evolution of life history characteristics and their influence on population demography, and the interactions among different species. Earlier, we examined characteristics that define both the biological and physical structure of communities and described the structure of community change as one moves across the landscape (Chapter 17). However, the role of science is to go beyond description and to answer fundamental questions about the processes that give rise to these observed patterns. What processes shape these patterns of community structure? How will communities respond to the addition or removal of a species? Why are communities in some environments more or less diverse than others? Here, we integrate our discussion of the adaptation of organisms to the physical environment presented earlier with the discussion of species interactions to explain the processes that control community structure in a wide variety of communities (Parts Two and Four).

## 18.1 The Fundamental Niche Constrains Community Structure

All living organisms have a range of environmental conditions under which they can successfully survive, grow, and reproduce. This range of environmental conditions is not the same for all organisms. The conditions under which an organism can function successfully are the consequence of a wide variety of physiological, morphological, and behavioral adaptations. As well as allowing an organism to function under a specific range of environmental conditions, these same adaptations also limit its ability to do equally well under different conditions. We have explored many examples of this premise. Plants adapted to high-light environments exhibit characteristics that preclude them from being equally successful under low-light conditions (Chapter 6). Animals that regulate body temperature through ectothermy (cold-blooded animals—poikilotherms) are able to reduce energy requirements during periods of resource shortage. Dependence on external sources of energy, however, limits diurnal and seasonal periods of activity as well as the geographic distribution of poikilotherms (Chapter 7). The number of offspring produced at any one time constrains the nature of parental care (Chapter 10). Each set of adaptations reflects a solution to a set of environmental conditions and, conversely, restricts or precludes adaptation to another. Such adaptations define the fundamental niche of a species (Section 13.6).

Environmental conditions vary in both time and space (Part One). This observation, when combined with inherent differences in the fundamental niches of species, provides a starting point for exploring the processes that structure



**Figure 18.1** Fundamental niches of seven hypothetical species along an environmental gradient (e.g., moisture, temperature, or elevation) in the absence of competition from other species. The species all have bell-shaped responses to the gradient, but each has different tolerance limits defined by a minimum and maximum value along the gradient. As conditions change, for example from  $e_1$  to  $e_2$ , the set of species that can potentially occur in the community changes.

communities. We can represent the fundamental niches of various species with bell-shaped curves along an environmental gradient, such as availability of water or light for plants (Figure 18.1). The response of each species is defined in terms of its population density or abundance. Although the fundamental niches overlap, each species has limits beyond which it cannot survive. The distribution of fundamental niches along the environmental gradient represents a primary constraint on the structure of communities. For any given range of environmental conditions, only a subset of species can survive, grow, and reproduce. As environmental conditions change from location to location, the possible distribution and abundance of species will change—in turn changing the community's structure. For example, Figure 18.2 depicts geographic distributions of three of the tree species that are components of the forest communities in West Virginia (Tables 17.1 and 17.2). As we discussed previously, these geographic distributions reflect the occurrence of suitable environmental conditions (within the range of environmental tolerances) (Chapter 8). Note that the geographic distributions of the three species are quite distinct, and the sites in West Virginia are part of a relatively small geographic region where the distributions of these three species overlap. As we move from this site in West Virginia to other regions of eastern North America, the set of tree species whose distributions overlap will change and, subsequently, so will the biological structure of the forest community.

This view of community represents what ecologists refer to as a **null model**. It assumes that the presence and abundance of the individual species found in a given community are solely a result of the independent responses of each individual species to the prevailing physical environment. Interactions among species have no significant influence on community structure. Considering the examples we have reviewed, this assumption must seem somewhat odd (Part Four). However, it is helpful as



**Figure 18.2** Geographic distribution of three tree species that are part of the two forest communities presented in Tables 17.1 and 17.2. The three tree species have distinct geographic distributions, and the site in West Virginia (shown as a red circle) falls within a very limited region where the distributions of the three species overlap. As you move across eastern North America, the set of tree species whose distributions overlap will change, and so will the species composition of the forest communities.

a framework for comparing the actual patterns observed within the community. For example, this particular null model is the basis for comparisons in the experiments, examined in which the interactions between two species (competition, predation, parasitism, and mutualism) are explored by physically removing one species and examining the population response of the other (Part Four). If the population of the remaining species does not differ from that observed previously in the presence of the removed species, we could assume that the apparent interspecific interaction has no influence on the remaining species' abundance within the community.

A great deal of evidence, however, indicates that species interactions do influence both the presence and abundance of species within a wide variety of communities. As we have seen in the examples presented earlier, species interactions modify the fundamental niche of both species involved, influencing their relative abundance and, in some cases, their distribution (Part Four). The process of interspecific competition can reduce the abundance of or even exclude some species from a community, while positive interactions such as facilitation and mutualism can enhance the presence of a species or even extend a species' distribution beyond that defined by its fundamental niche (see Field Studies: Sally Hacker). Because studies that examine species interactions typically focus on only two (or at best a small subset) of the species found within a community, such studies most likely underestimate the importance of species interactions on the structure and dynamics of communities.

## 18.2 Species Interactions Are Diffuse

One reason such experiments tend to underestimate the importance of species interactions in communities is that such interactions are often diffuse, involving a number of

species (see Chapter 13). The work of Norma Fowler at the University of Texas provides an example. She examined competitive interactions within an old-field community by selectively removing species of plants from experimental plots and assessing the growth responses of remaining species. Her results showed that competitive interactions within the community tended to be rather weak and diffuse because removing a single species had relatively little effect. The response to removing groups of species, however, tended to be much stronger, suggesting that individual species compete with several other species for essential resources within the community. In diffuse competition, the direct interactions between any two species may be weak, making it difficult to determine the effect of any given species on another. Collectively, however, competition may be an important factor limiting the abundance of all species involved.

Diffuse interactions, where one species may be influenced by interactions with many different species, is not limited to competition. In the example of predator–prey cycles, a variety of predator species (including the lynx, coyote, and horned owl) are responsible for periodic cycles observed in the snowshoe hare population (Chapter 15, Section 15.16). Examples of diffuse mutualisms relating to both pollination and seed dispersal were presented previously in our discussion, where a single plant species may depend on a variety of animal species for successful reproduction (Chapter 16, Sections 16.13 and 16.14). Although food webs present only a limited view of species interactions within a community, they are an excellent means of illustrating the diffuse nature of species interactions (see Quantifying Ecology 18.1: Quantifying the Structure of Food Webs: Connectance). Charles J. Krebs of the University of British Columbia developed a generalized food web for the boreal forest communities of northwestern

## FIELD STUDIES

## Sally D. Hacker

Department of Zoology, Oregon State University, Corvallis, Oregon

Salt marsh plant communities are ideal for examining the forces that structure natural communities. They are typically dominated by a small number of plant species that form distinct zonation patterns (see Figure 15.8). Seaward distribution of marsh plant species is set by harsh physical conditions such as waterlogged soils and high soil salinities, whereas terrestrial borders are generally set by competitive interactions (see Figure 14.10). Yet marsh plants also have strong ameliorating effects on these harsh physical conditions. Shading by marsh plants limits surface evaporation and the accumulation of soil salts. In addition, the transport of oxygen to the rooting zone (rhizosphere) by marsh plants can alleviate anaerobic substrate conditions. How might these modifying effects of marsh plants on the physical environment influence the structure of salt marsh communities? This question has been central to the research of ecologist Sally Hacker of Oregon State University.

To examine the role of plant–physical environment interactions on salt marsh plant zonation, Hacker focused on the terrestrial border of New England marshes. In southern New England, terrestrial marsh borders are dominated by the perennial shrub *Iva frutescens* (marsh elder) mixed with the rhizomatous perennial rush *Juncus gerardi* (black grass), which also dominates the lower marsh elevations (see Figure 14.10). The seaward border of the *Iva* zone is often characterized by low densities of stunted (35–50 cm) adult plants, whereas at higher elevations *Iva* are taller (up to 150 cm), more productive, and reach higher densities.

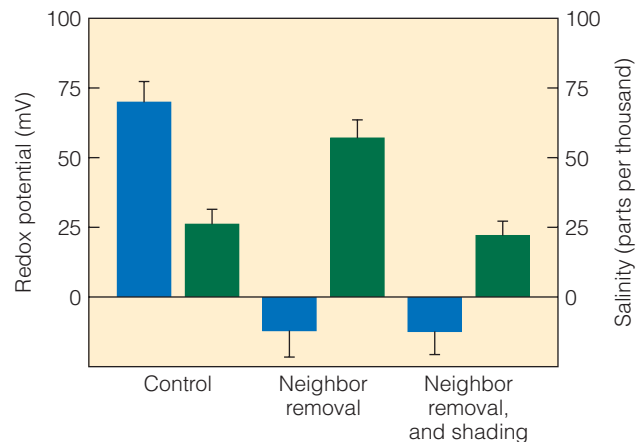
Previous studies had suggested that *Iva* is relatively intolerant of high soil salinities and waterlogged soil conditions. Given the potential role of marsh plants to modify the local environment, Hacker hypothesized that the modifying effects of *Juncus* on soil environment function to extend the seaward distribution of *Iva*. To test this hypothesis, Hacker and colleague Mark Bertness of Brown University applied one of three treatments to randomly selected adult *Iva* shrubs on the seaward border of the *Iva* zone. The three treatments were designed to examine the effects of *Juncus* neighbors on established adult *Iva*: (1) all *Juncus* within a 0.5-m radius of each *Iva* plant were regularly clipped to ground level (neighbor removal, or NR), (2) all *Juncus* were clipped (as in NR) and then the soil was covered with a water-permeable fabric (shaded neighbor removal, or SNR), and (3) control. The use of fabric in the SNR treatment mimics the effect of *Juncus* shading on soil salinities without the effects of *Juncus* transporting oxygen to the rhizosphere (increased soil oxygen).

Soil physical conditions (soil salinity and redox, a measure of oxygen content of soils) and *Iva* performance (photosynthetic rates

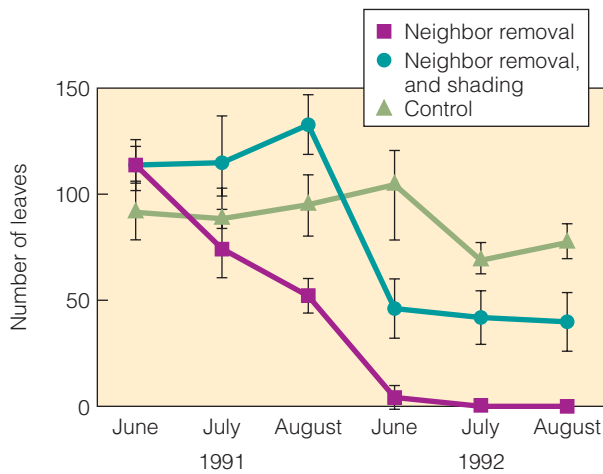
and leaf production) were monitored in all treatments for a two-year period.

Removing *Juncus* plants in the neighborhood of *Iva* shrubs strongly affected local physical conditions (Figure 1). Removing *Juncus* neighbors more than doubled soil salinities in contrast to other treatments and led to more than an order-of-magnitude drop in soil redox, suggesting that the presence of *Juncus* neighbors increases soil oxygen levels. Because shading plots without *Juncus* (SNR treatment) prevented salinity increases but did not influence soil redox, the NR and SNR treatments separated the effects caused by both salt buffering and soil oxidation from those caused only by soil oxidation.

Photosynthetic rate and leaf production of *Iva* individuals in the treatment where *Juncus* neighbors were removed (NR) declined significantly in comparison to either the shaded neighbor removal (SNR) or control (C) treatments (Figure 2). Fourteen months after



**Figure 1** Redox potential (blue) and surface salinity (green) of soil in the *Iva frutescens* neighbor manipulation treatments. The data are means ( $\pm$  standard error) of pooled monthly (June–September) measurements during 1991–92. (Adapted from Bertness and Hacker 1994.)

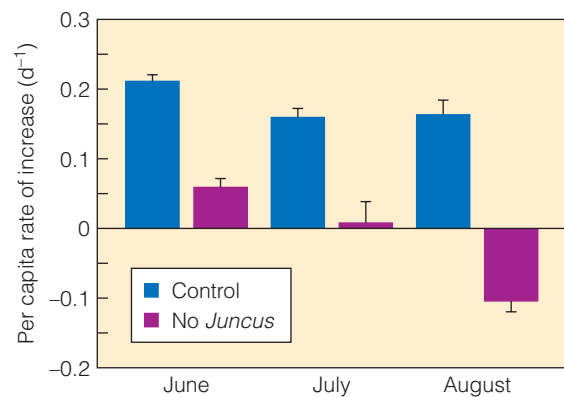


**Figure 2** Total number of leaves on adult *Iva frutescens* under experimentally manipulated conditions, with and without neighbors. All data are means ( $\pm$  standard error).

the experimental treatments were established, all *Iva* in the NR treatment were dead. These results show that soil salinity is the primary factor influencing the performance of *Iva* across the gradient. They also show that the presence of *Juncus*, with its superior ability to withstand waterlogging and salt stress, modifies physical conditions in such a manner as to create a hospitable environment for *Iva*, and allow this species to extend its distribution to lower intertidal habitats.

The *Iva*–*Juncus* interaction has interesting consequences for higher trophic levels in the marsh. The most common insects living on *Iva* are aphids (*Uroleucon ambrosiae*) and their predators, ladybird beetles (*Hippodamia convergens* and *Adalia bipunctata*). Interestingly, aphids are most abundant on short, stunted *Iva* in the lower intertidal zone, despite having far higher growth rates on the taller *Iva* shrubs in the upper intertidal zone. This reduced growth rate occurs because ladybird beetles prefer tall structures, and increased predation on tall plants restricts aphids to the poorer-quality *Iva* plants in the lower marsh. These findings prompted the investigators to hypothesize that the *Iva*–*Juncus* interaction is critical in maintaining aphid populations in the marsh.

To explore this hypothesis, Hacker examined the abundance of aphids and ladybird beetles on the *Iva* individuals with (treatment C) and without (treatment NR) *Juncus* neighbors. To determine how the aphid population growth rates were affected by the absence of *Juncus*, Hacker calculated aphid population growth rates as the per capita rate of increase per day.



**Figure 3** The per capita rate of population increase for the period June–August (1993) on control and no *Juncus* plants (neighbors removed).

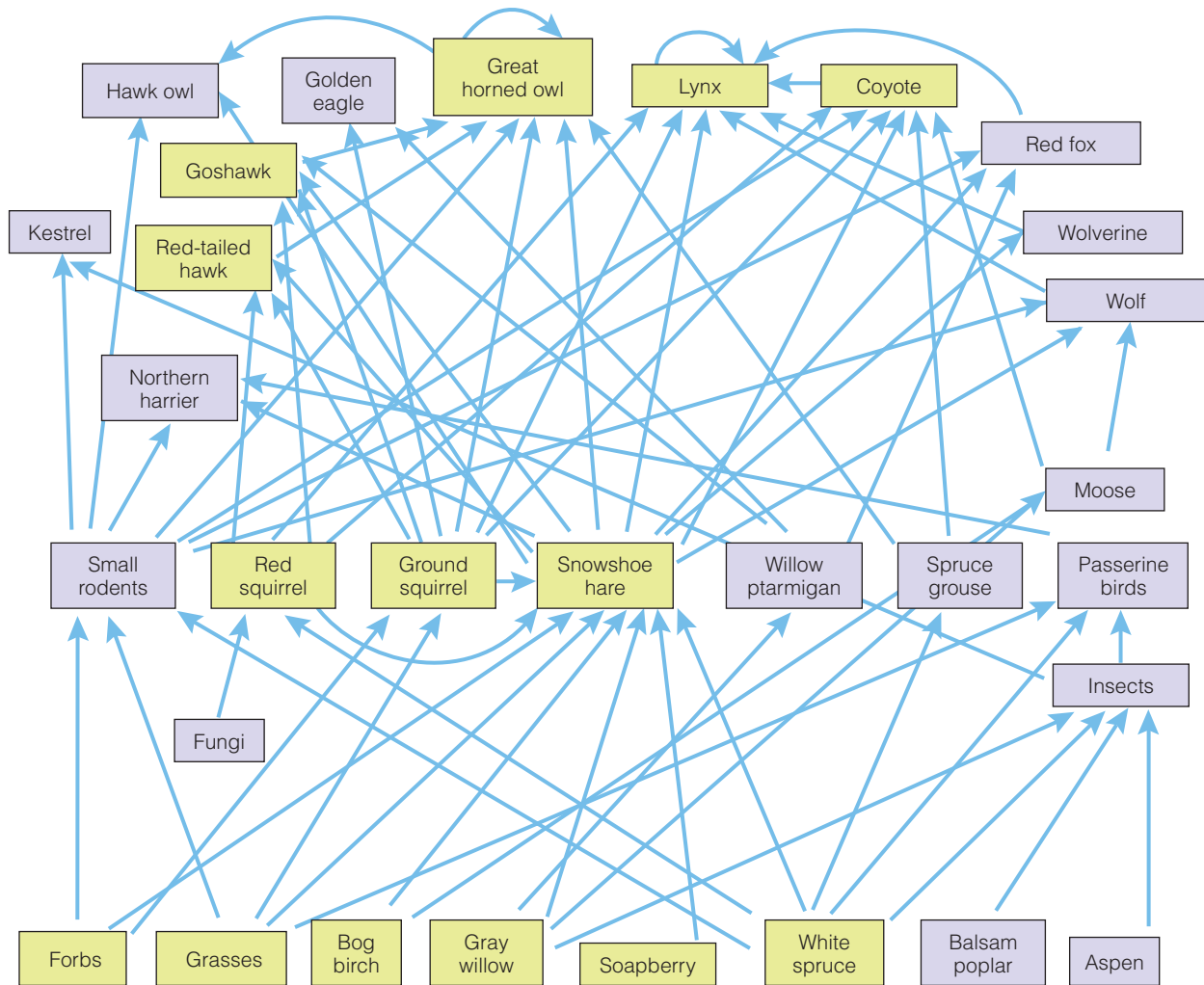
The overall percentage of plants with aphids and ladybird beetle predators was significantly higher for *Iva* plants without *Juncus* (NR) than for control (C) plants. This result suggests that *Juncus* neighbors influence stunted *Iva* by making it less noticeable for colonizing aphids as well as their predators. Although the removal of neighboring *Juncus* increased the proportion of *Iva* individuals colonized by aphids, growth rates for aphid populations (Figure 3) were lower on the stunted *Iva* without *Juncus* (NR) than for control individuals (C). Even though aphids are better at finding stunted *Iva* host plants when *Juncus* is removed, by late summer (August), population growth rates were negative on *Iva* individuals without neighbors—indicating that food quality of *Iva* host plants decreases such that aphids were unable to produce enough offspring to replace themselves.

### Bibliography

- Bertness, M. D., and S. D. Hacker. 1994. Physical stress and positive associations among marsh plants. *The American Naturalist* 144:363–372.
- Hacker, S. D., and M. D. Bertness. 1996. Trophic consequences of a positive plant interaction. *The American Naturalist* 148:559–575.

1. How do the results presented in Figures 1 and 2 suggest that salinity is the factor limiting seaward distribution of *Iva* in the marsh?
2. How does the presence of *Juncus* function to maintain the aphid populations in the marsh?





**Figure 18.3** A generalized food web for the boreal forests of northwestern Canada. Dominant species within the community are shown in green. Arrows link predator with prey species. Arrows that loop back to the same species (box) represent cannibalism. (Adapted from Krebs 2001.)

Canada (Figure 18.3). This food web contains the plant–snowshoe hare–carnivore system discussed earlier (Chapter 15, Figure 15.26). The arrows point from prey to predator, and an arrow that circles back to the same box (species) represents cannibalism (e.g., great horned owl and lynx). Although this food web shows only the direct links between predator and prey, it also implies the potential for competition among predators for a shared prey resource, and it illustrates the diffuse nature of species interactions within this community. For example, 11 of the 12 predators present within the community prey upon snowshoe hares. Any single predator species may have a limited effect on the snowshoe hare population, but the combined impact of multiple predators regulates the snowshoe hare population. This same example illustrates the diffuse nature of competition within this community. Although the 12 predator species feed on a wide variety of prey species, snowshoe hares represent an important shared food resource for the three dominant predators: lynx, great horned owls, and coyotes.

### 18.3 Food Webs Illustrate Indirect Interactions

Food webs also illustrate a second important feature of species interactions within the community: indirect effects. Indirect interactions occur when one species does not interact with a second species directly, but instead influences a third species that does directly interact with the second. For example, in the food web presented in Figure 18.3, lynx do not directly interact with white spruce; however, by reducing snowshoe hare and other herbivore populations that feed on white spruce, lynx predation can positively affect the white spruce population (survival of seedlings and saplings). The key feature of indirect interactions is that they can potentially arise throughout the entire community because of a single direct interaction between only two component species.

By affecting the outcome of competitive interactions among prey species, predation provides another example of

## QUANTIFYING ECOLOGY 18.1 Quantifying the Structure of Food Webs: Connectance

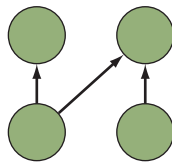
The use of food webs to describe community structure has raised many questions about the forces that structure ecological communities. Communities differ in the arrangement of species and feeding links, and these differences affect the population dynamics of component species. As discussed in Section 18.3, the manner in which species are connected within the food web has implications for community structure and dynamics beyond the direct interactions between predator and prey. One way that food webs of different communities differ is in their degree of connectance.

Connectance is a way of describing how many possible links in a food web are present. Links are simply the lines that connect consumers and the consumed. One formula for connectance is

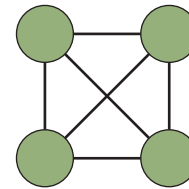
$$C = L/[S(S-1)/2]$$

Number of links  
in the food web  
Index of  
connectance      Number of species  
in the food web

This formula is based on the notion that in a web consisting of  $S$  species, there are  $S(S-1)/2$  possible unidirectional links (the link between any two species is in only one direction), excluding cannibalism.



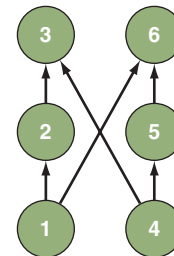
Consider the simple food web just presented. There are four species (circles) and three links (arrows). The number of possible links is  $4(4-1)/2$ , and the connectance is  $3/6$  or  $0.5$ . These possible links are shown in the following figure. No arrows are shown, because the possible links could represent either one of two possible interactions (direction of arrow).



Linkage density,  $L/S$ , refers to the average number of feeding links per species. It is a function of the connectance and the number of species in the food web. In the example presented above, the value of linkage density is  $3/4$  or  $0.75$ .

The density of interactions in a food web provides one measure of community complexity. Highly connected systems contain many links for a given number of species. One central question in the study of food webs is how connectance varies with diversity. Some recent studies suggest that the number of links in a food web increases with species richness, but connectance decreases. The reason for this pattern is not completely understood, but the connectance of a food web will be influenced by the abundance of generalists (predators that feed on a variety of prey species) as compared to the number of feeding specialists (predators that feed on only one or few prey species). Likewise, connectance will increase with the presence of omnivores as compared to strict herbivores or carnivores.

Use the food web shown here to answer the following questions.



1. How many links are present in this food web?
2. How many possible links are there (using the formula presented earlier)?
3. What is the value of connectance for this food web?
4. Are any of the species in the food web omnivores?

indirect effects within food webs. Robert Paine of the University of Washington was one of the first ecologists to demonstrate this point. The intertidal zone along the rocky coastline of the Pacific Northwest is home to a variety of mussels, barnacles, limpets, and chitons (all invertebrate herbivores). All of these species are preyed upon by the starfish (*Pisaster*) (Figure 18.4). Paine conducted an experiment in which he removed the starfish from some areas (experimental plots) while leaving other areas undisturbed for purposes of comparison (controls). After the starfish were removed, the number of prey species in the

experimental plots dropped from 15 at the beginning of the experiment to 8. In the absence of predation, several of the mussel and barnacle species that were superior competitors excluded the other species and reduced overall diversity in the community. This type of indirect interaction is called **keystone predation**, where the predator enhances one or more inferior competitors by reducing the abundance of the superior competitor (see discussion of keystone species in Section 17.3).

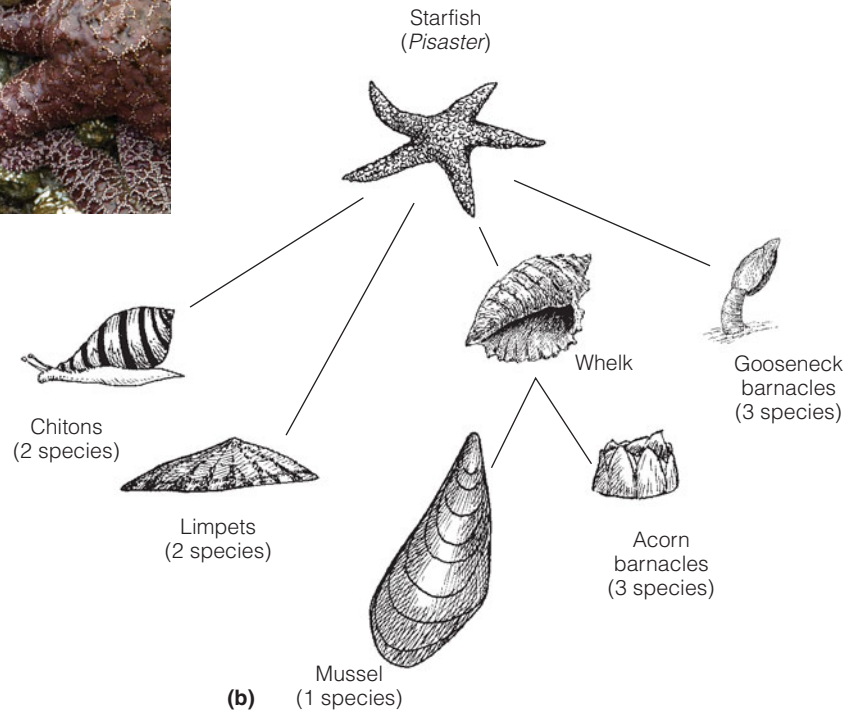
Ecologist Robert Holt of the University of Florida first described the conditions that might promote a type of indirect



(a)

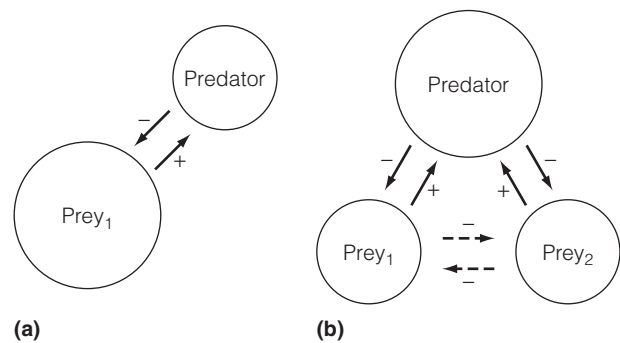
**Figure 18.4** (a) The rocky intertidal zone of the Pacific Northwest coast is inhabited by a variety of species including starfish, barnacles, limpets, chitons, and mussels. (b) A food web of this community shows that the starfish (*Pisaster*) preys on a variety of invertebrate species. The experimental removal of starfish from the community reduced the diversity of prey species as a result of increased competition.

(Adapted from Paine 1969.)



(b)

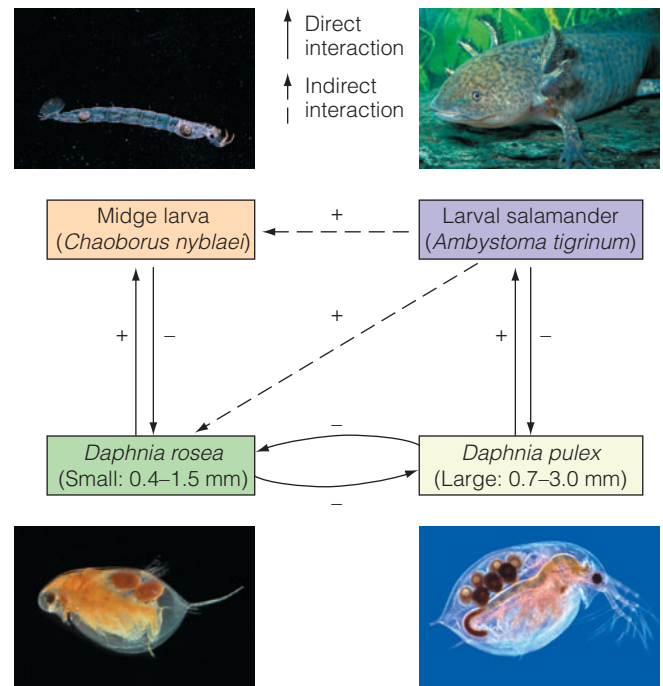
interaction he referred to as **apparent competition**. In its simplest form, apparent competition occurs when a single species of predator feeds on two prey species (Figure 18.5). When the predator species is absent, each population of the two prey species is regulated by purely intraspecific, density-dependent mechanisms (see Chapter 11). Neither species competes, directly or indirectly, with the other. Now assume that the predator abundance depends on the combined abundance of all prey species (numerical response; discussed in Section 15.5). Under these conditions, the combined population abundance of the two prey species will support a higher predator density than in situations where only a single prey species occurs. Because increased predator abundance will increase the rate of prey consumption (see Section 15.4), a situation can occur in which both prey species occur at lower densities when they occur together than when they occur separately. The pattern of reduced population density in the presence of another species would have the outward appearance of interspecific competition (the prey species are less abundant when coexisting than when in the other species' absence), but in fact the two species do not compete either directly or indirectly (see Figure 18.5). The lower abundance of the two co-occurring prey species is caused entirely by the greater abundance of the predator population supported by both prey populations together than by either prey population alone.



**Figure 18.5** Diagram illustrating the emergence of apparent competition between two prey species (Prey<sub>1</sub> and Prey<sub>2</sub>) that have a common predator (Predator). Direct interactions are represented by a solid arrow, and indirect interactions between species are indicated by a dashed arrow. The size of the predator and prey populations is indicated by the size of the respective circles. The relationship between a single prey species (Prey<sub>1</sub>) and its predator (a) is modified by the presence of a second prey species (Prey<sub>2</sub>) upon which the predator also feeds (b). The combined populations of the two prey species, however, function to increase the predator population to a level above what would be supported by either of the two prey species alone. The higher rates of predation thus reduce the populations of both prey species, even though the two prey species do not interact directly. The reduction in both prey populations gives the outward appearance of interspecific competition.

Apparent competition is an interesting concept that can arise from the structure of food webs. But does it really occur in nature? Many studies have identified community patterns that are consistent with apparent competition, and there is convincing experimental evidence of apparent competition in intertidal, freshwater, and terrestrial communities. One such study was conducted by the ecologists Christine Müller and H. C. J. (Charles) Godfray of Imperial College (Berkshire, England). Müller and Godfray examined the role of apparent competition between two species of aphids that do not interact directly, yet share a common predator. The nettle aphid (*Microlophium carnosum*) feeds only on nettle plants (*Urtica* spp.), whereas the grass aphid (*Rhopalosiphum padi*) feeds on a variety of grass species. Although these two aphid species use different plant resources within the field community, they share a common predator, the ladybug beetle (Coccinellidae). In their study, the researchers placed potted nettle plants containing colonies of nettle aphids in plots of grass within the field community that contained natural populations of grass aphid. On a subset of the grass plots, they applied fertilizer that led to rapid grass growth and an increase in the local population of grass aphids. Nettle aphid colonies adjacent to the fertilized plots suffered a subsequent decline in population density when compared to colonies that were adjacent to unfertilized plots (control plots with low grass aphid populations). The reduced population of nettle aphids in the vicinity of high population densities of grass aphids (fertilized plots) thus was due to increased predation by ladybug beetles, attracted into the area by the large concentrations of grass aphids; it was not due to direct resource competition between the two aphid species.

Some indirect interactions have negative consequences for the affected species, as in the preceding case of apparent competition. In other cases, however, indirect interactions between species can be positive. An example comes from a study of subalpine ponds in Colorado by Stanley Dodson of the University of Wisconsin. It involves the relationships between two herbivorous species of *Daphnia* and their predators, a midge larva (*Chaoborus*) and a larval salamander (*Ambystoma*). The salamander larvae prey on the larger of the two *Daphnia* species, while the midge larvae prey on the small species (Figure 18.6). In a study of 24 pond communities in the mountains of Colorado, Dodson found that where salamander larvae were present, the number of large *Daphnia* was low and the number of small *Daphnia* high. However, in ponds where salamander larvae were absent, small *Daphnia* were absent and midges could not survive. The two species of *Daphnia* apparently compete for the same resources. When the salamander larvae are not present, the larger of the two *Daphnia* species can outcompete the smaller. With the salamander larvae present, however, predation reduces the population growth rate of the larger *Daphnia*, allowing the two species to coexist. In this example, two indirect positive interactions arise. The salamander larvae indirectly benefit the smaller species of *Daphnia* by reducing the population size of its competitor. Subsequently, the midge apparently depends on the presence of salamander larvae for its survival in the pond. The indirect interaction between the midge and the larval salamander is referred to as **indirect commensalism**, because the



**Figure 18.6** Diagram showing the relationship among the midge larva (*Chaoborus*), larval salamander (*Ambystoma*), and two species of *Daphnia* (*D. rosea* and the larger *D. pulex*) that inhabit pond communities in the mountains of Colorado. Removing salamander larvae from some ponds resulted in the competitive exclusion of *D. rosea* by *D. pulex* and the local extinction of the midge population that preyed on it.

(Adapted from Dodson 1974.)

interaction is beneficial to the midge while neutral to the larval salamander. When the indirect interaction is beneficial to both species, the indirect interaction is termed **indirect mutualism**.

This role of indirect interactions can be demonstrated only in controlled experiments involving manipulations of the species populations involved. The importance of indirect interactions remains highly speculative, but experiments such as those just presented strongly suggest that indirect interactions among species, both positive and negative, can be an integrating force in structuring natural communities. There is a growing appreciation within ecology for the role of indirect effects in shaping community structure, and understanding these complex interactions is more than an academic exercise: it has direct implications for conservation and management of natural communities.

As with the example of starfish in the intertidal zone, removing a species from the community can have many unforeseen consequences. For example, Joel Berger of the University of Nevada and colleagues have examined how the local extinctions of grizzly bears (*Ursus arctos*) and wolves (*Canis lupus*) from the southern Greater Yellowstone ecosystem, resulting from decades of active predator control, have affected the larger ecological community. One unforeseen consequence of losing these large predators is the decline of bird populations that use the vegetation along rivers (riverine habitat) within the region. The elimination of large predators from the community resulted in an increase in the moose population (prey species). Moose selectively feed on willow (*Salix* spp.) and other woody species

that flourish along the river shorelines. The increase in moose populations dramatically affected the vegetation in riverine areas that provide habitat for a wide variety of bird species and led to the local extinction of some populations.

## 18.4 Food Webs Suggest Controls of Community Structure

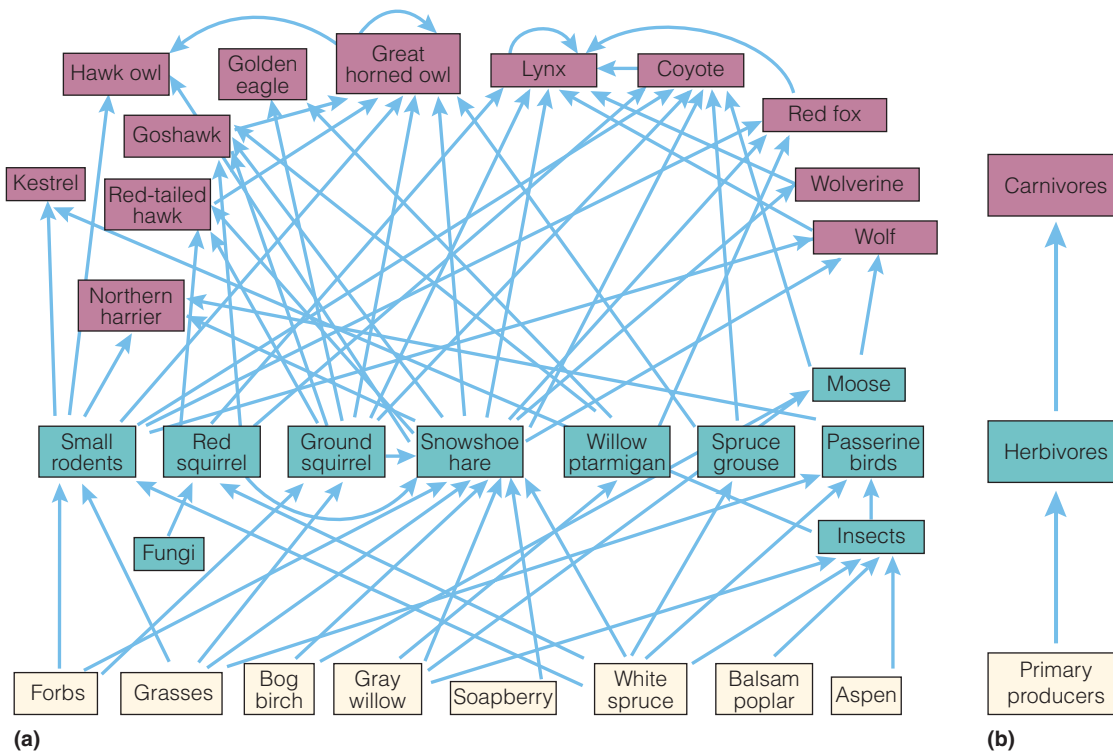
The wealth of experimental evidence illustrates the importance of both direct and indirect interactions on community structure. On that basis, rejecting the null model as presented in Section 18.1 would be justified. However, given the complexity of direct and indirect interactions suggested by food webs, how can we begin to understand which interactions are important in controlling community structure and which are not? Are all species interactions important? Does some smaller subset of interactions exert a dominant effect, whereas most have little impact beyond those species directly involved? The hypothesis that all species interactions are important in maintaining community structure would suggest that the community is like a house of cards—that is, removing any one species may have a cascading effect on all others. The hypothesis that only a smaller subset of species interactions are controlling community structure suggests a more loosely connected assemblage of species.

These questions are at the forefront of conservation ecology, due to the dramatic decline in biological diversity that is a result of human activity (see Chapter 29). Certain species within the community can exert a dominant influence on its structure, such as the predatory starfish that inhabits the rocky intertidal

communities. However, the relative importance of most species in the functioning of communities is largely a mystery. One approach being used to understand the influence of species diversity on the structure and dynamics of communities is to group species into functional categories based on criteria relating to their function within the community. For example, the concept of guilds is a functional grouping of species based on sharing similar functions within the community or exploiting the same resource (e.g., grazing herbivores, pollinators, cavity-nesting birds; see Section 17.5). By aggregating species into a smaller number of functional groups, researchers can explore the processes controlling community structure in more general terms. For example, what is the role of mammalian predators in boreal forest communities? This functional grouping of species can be seen in the food web presented in Figure 18.3, where the categories (boxes) of forbs, grasses, small rodents, insects, and passerine birds represent groups of functionally similar species.

One way to simplify food webs is to aggregate species into trophic levels (Section 18.4). The food web presented in Figure 18.3 has been aggregated into three trophic levels: primary producers, herbivores, and carnivores (Figure 18.7). Although this is an obvious oversimplification, using this approach raises some fundamental questions concerning the processes that control community structure.

As with food webs, the arrows in a simple food chain based on trophic levels point in the direction of energy flow—from primary producers to herbivores, and from herbivores to carnivores. The structure of food chains suggests that the productivity and abundance of populations at any given trophic level



**Figure 18.7** (a) Aggregation of species forming the food web for Canadian boreal forests presented in Figure 18.3 into trophic levels (generalized feeding groups). (b) As with the food web, arrows point from prey to predator.

are controlled (limited) by the productivity and abundance of populations in the trophic level below them. This phenomenon is called **bottom-up control**. Plant population densities control the abundance of herbivore populations, which in turn control the densities of carnivore populations in the next trophic level. However, as we have seen from the previous discussion of predation and food webs, **top-down control** also occurs when predator populations can control the abundance of prey species.

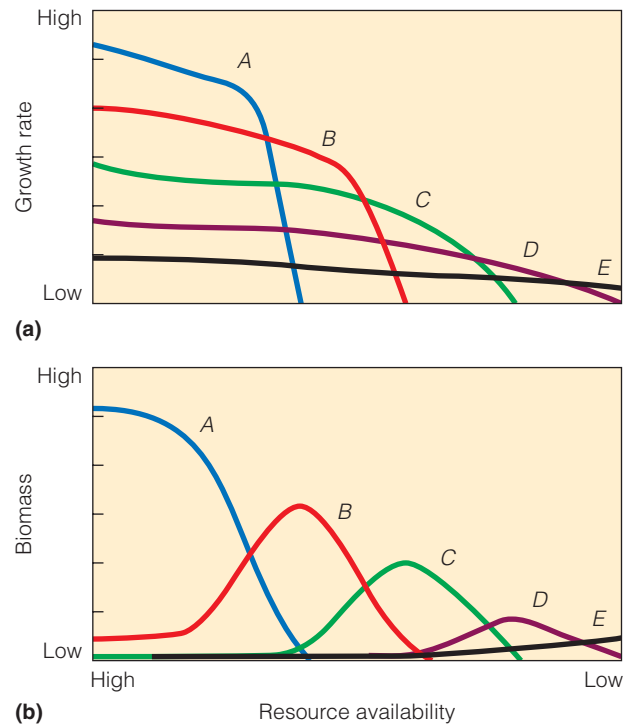
Work by Mary Power and her colleagues at the University of Oklahoma Biological Station suggests that the role of top predators (carnivores) on community structure can extend to lower trophic levels, influencing primary producers as well as herbivore populations. Power and colleagues showed that a top predator largemouth bass (*Micropterus salmoides*) had strong indirect effects that cascaded through the food web to influence the abundance of benthic algae in stream communities of the midwestern United States. In these stream communities, herbaceous minnows (primarily *Camptostoma anomalum*) graze on algae, and in turn largemouth bass (and two species of sunfish) feed on the minnows. During periods of low flow, isolated pools form in the streams. As part of the experiment, bass were removed from some pools and the populations of algae and minnows were monitored. Pools with bass had low minnow populations and a luxuriant growth of algae. In contrast, pools from which the bass were removed had high minnow populations and low populations (biomass) of algae. In this example, top predators (carnivores) were shown to control the abundance of plant populations (primary producers) indirectly through their direct control on herbivores (also see Chapter 21, Field Studies, Brian Silliman).

A now-famous article written by Nelson Hairston, Fred Smith, and Larry Slobodkin first introduced the concept of top-down control with the frequently quoted “the world is green” proposition. These three ecologists proposed that the world is green (plant biomass accumulates) because predators keep herbivore populations in check. Although this proposition is supported by a growing body of experimental studies like those by Power and her colleagues, experimental data required to test this hypothesis are still limited, particularly in terrestrial ecosystems. However, the proposition continues to cause great debate within the field of community ecology. We will return to the topic when discussing factors that control primary productivity (Chapter 21).

## 18.5 Species Interactions along Environmental Gradients Involve Both Stress Tolerance and Competition

We have now seen that the biological structure of a community is first constrained by the environmental tolerances of the species (fundamental niche). These tolerances are in turn modified through direct and indirect interactions with other species (realized niche). Competitors and predators, for example, can restrict a species from a community; conversely, mutualists can facilitate a species' presence and abundance within the community. As we move across the landscape, variations in the abiotic environment will alter these constraints on species distribution and abundance. Species differ in their range of environmental tolerances, and species interactions can change based on the environmental context (see Sections 13.4 and 14.8).

Our earlier discussion of plant adaptations to environmental conditions provides some insight into how the relative competitive abilities of plant species may vary across environmental gradients of resource availability (Chapter 6). Adaptations of plants to variations in the availability of light, water, and nutrients result in a general pattern of trade-offs between the characteristics that enable a species to survive and grow under low resource availability and those that allow for high rates of photosynthesis and growth under high resource availability (Figure 18.8a). Competitive success in plants is often linked to their growth rate and the acquisition of resources (see



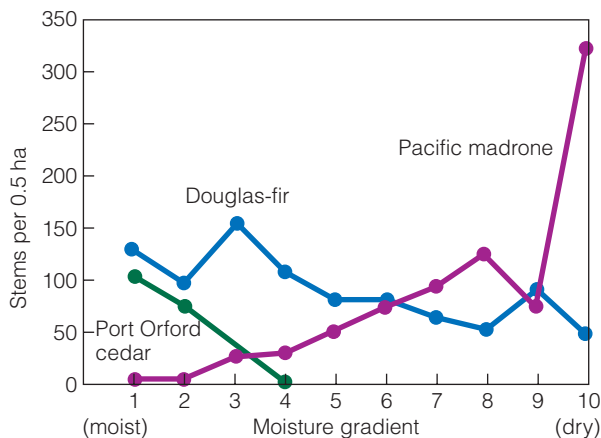
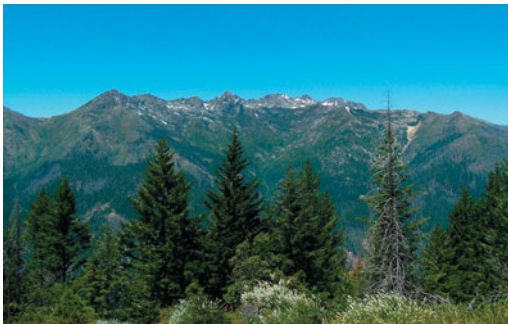
**Figure 18.8** (a) General pattern of trade-off between a species' ability to survive and grow under low resource availability and the maximum growth rate achieved under high resource availability as for the resources of light, water, and soil nutrients. The result is an inverse relationship between physiological maximum growth rate and the minimum resource requirement for hypothetical plant species A–E. Assuming that the superior competitor at any point along the resource gradient ( $x$ -axis) will be the species with the highest growth rate, the species' relative competitive ability changes with resource availability. (b) The outcome of competition will be a pattern of zonation in which lower boundaries (value of resource availability) for the species are due to differences in their tolerances for low resource availability and upper boundaries are a product of competition.

(Adapted from Smith and Huston 1989.)

### INTERPRETING ECOLOGICAL DATA

- Q1.** In the hypothetical example in graph (a), under what resource conditions (availability) is the growth rate of each plant species assumed to be optimal?
- Q2.** What is the rank order of the hypothetical plant species in graph (a), moving from most to least tolerant of resource limitation?
- Q3.** If species B were removed from the hypothetical community, how would the predicted distribution of species A along the resource gradient ( $x$ -axis) in graph (b) be altered? How would you expect the distribution of species C to change? Why?

Chapter 14). Species that have the highest growth rate and acquire most of the resources at any given point on the resource gradient have the competitive advantage there. The differences in adaptations to resource availability among the species in Figure 18.8a result in a competitive advantage for each species over the range of resource conditions under which they have the greatest growth rate relative to the other plant species present (see discussion of changing competitive ability along resource gradients in Section 14.8). The result is a pattern of zonation along the gradient (Figure 18.8b) that reflects the changing relative competitive abilities. The lower boundary of each species along the gradient is defined by its ability to tolerate (survive and maintain a positive carbon balance) resource limitation, while the upper boundary is defined by competition. Such a trade-off in tolerance and competitive ability can be seen in the examples of interspecific competition and the distribution of cattail species with water depth and in the distribution of grass species in the semiarid regions of southeastern Arizona (see Figures 13.10 and 14.12). The trade-off between tolerance and competitive ability is also evident in the patterns of zonation along gradients of soil moisture, such as the one reported by the plant ecologist Robert Whittaker (formerly of Cornell University) for lower elevations of the central Siskiyou Mountains of Oregon and California (Figure 18.9).

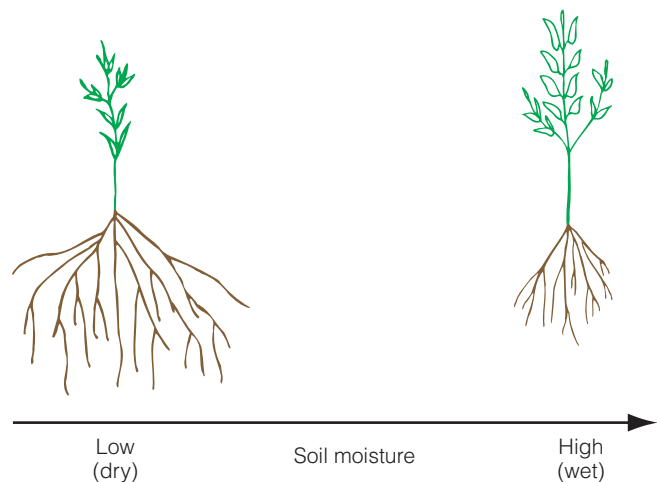


**Figure 18.9** Distribution of tree species along a soil-moisture gradient at low elevations in the central Siskiyou Mountains of Oregon and California. Data are from 50 stands sampled between 610 and 915 m in elevation. Species distributions reflect differences in competitive ability at the moist end of the gradient and the ability to tolerate dry conditions at the dry end of the gradient.

(Adapted from Whittaker 1960.)

Competition among plant species rarely involves a single resource, however. The greenhouse experiments of R. H. Groves and J. D. Williams examining competition between populations of subterranean clover and skeletonweed, and the field experiments of James Cahill Section, clearly show that there is an interaction between competition for both aboveground (light) and belowground resources (water and nutrients (see Section 14.7 and Figure 14.6)). The differences in adaptations relating to the acquisition of above- and belowground resources when they are in short supply can result in changing patterns of competitive ability along gradients where these two classes of resources covary. Allocating carbon to the production of leaves and stems provides increased access to the resources of light, but at the expense of allocating carbon to the production of roots. Likewise, allocating carbon to the production of roots increases access to water and soil nutrients but limits the production of leaves and, therefore, the future rate of carbon gain through photosynthesis. As the availability of water (or nutrients) increases along a supply gradient (such as in Figure 18.9), the competitive advantage shifts from those species adapted to low availability of water (high root production) to those species that allocate carbon to leaf production and height growth but that require higher water availability to survive (Figure 18.10).

This framework of trade-offs between the set of characteristics that enable individuals of a plant species to survive and grow under low resource conditions as compared to the set of characteristics that would enable those same individuals to maximize growth and competitive ability under higher resource conditions is a powerful tool for understanding changes in the structure and dynamics of plant community structure along resource gradients. However, applying this simple framework of trade-offs in phenotypic characteristics can become more complicated when dealing with environmental gradients

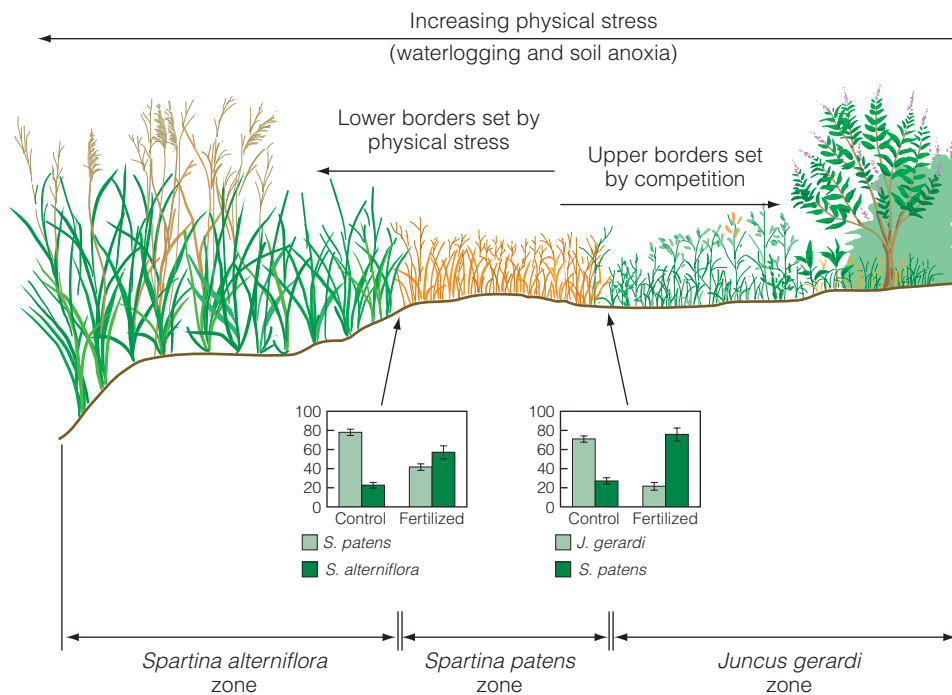


**Figure 18.10** General trends in plant adaptations (characteristics) that increase fitness along a soil moisture gradient. At low soil moisture, allocation to the production of roots at the expense of leaves aids in acquiring water and reducing transpiration, allowing the plant to survive (tolerance). As water availability increases, the overall increase in plant growth results in competition for light as some individuals overtop others. A shift in allocation to height growth (stems) and the production of leaves increases a plant's competitive ability.

and communities where there are interactions of resource and nonresource factors (see Section 14.5).

The complex nature of competition along an environmental gradient involving both resource and nonresource factors is nicely illustrated in the pattern of plant zonation in salt marsh communities along the coast of New England (see Figure 14.10). Nancy Emery and her colleagues at Brown University conducted a number of field experiments to identify the factors responsible for patterns of species distribution in these coastal communities, including the addition of nutrients, removal of neighboring plants, and reciprocal transplants (i.e., planting species in areas where they are not naturally found to occur along the gradient). Experiment results indicate that the patterns of zonation are an

interaction between the relative competitive abilities of species for nutrients and the ability of plant species to tolerate increasing physical stress. The low marsh is dominated by *Spartina alterniflora* (smooth cordgrass), a large perennial grass with extensive rhizomes. The upper edge of *S. alterniflora* is bordered by *Spartina patens* (saltmeadow cordgrass), a perennial turf grass, which is replaced at higher elevations in the marsh by *Juncus gerardi* (black needle rush), a dense turf grass (Figure 18.11). Although the low marsh experiences daily flooding by the tides, the *S. patens* and *J. gerardi* zones are inundated only during high-tide cycles (see Chapter 3). These differences in the frequency and duration of tidal inundation establish a spatial gradient of increasing salinity, waterlogging, and reduced oxygen levels



**Figure 18.11** Patterns of plant zonation and physical stress along an elevation gradient in a tidal salt marsh. The lower marsh experiences daily flooding by the tides, but the upper zones are inundated only during the high-tide cycles. The higher water levels of the lower marsh result in lower oxygen levels in the sediments and higher salinities. The lower (elevation) boundary of each species is determined by its tolerance to physical stress, and the upper boundaries are limited by competition. Bar graphs show shifts in percentage of cover by the two adjoining species within the border zones under normal (control) conditions and when fertilizer was added to increase nutrient availability. Note that the increase in nutrient availability resulted in the subordinate competitor under ambient conditions (control) becoming the dominant (superior competitor).

(Adapted from Emery et al. 2001.)

### INTERPRETING ECOLOGICAL DATA

- Q1.** In the transition zone between the areas dominated by *Spartina alterniflora* and *Spartina patens*, which of the two species was the superior competitor (dominated) in the experimental plots under control conditions? Was the competitive outcome altered when nutrient availability was enhanced in the experimental plots (fertilized)? How so?
- Q2.** In the transition zone between the areas dominated by *Spartina patens* and *Juncus gerardi*, which of the two species was the superior competitor (dominated) in the experimental plots under control conditions? Was the competitive outcome altered when nutrient availability was enhanced in the experimental plots (fertilized)? How so?
- Q3.** What do the results of these experiments suggest about the role of nutrients in limiting the distribution of plant species along the gradient from low (sea side) to high (land side) marsh?



across the marsh (see Figure 18.11). Individuals of *S. patens* and *J. gerardi* that were transplanted into lower marsh positions exhibited stunted growth and increased mortality. Thus, the lower distribution of each species is determined by its physiological tolerance to the physical stress imposed by tidal inundation (its fundamental niche). In contrast, individuals of *S. alterniflora* and *S. patens* exhibited increased growth when transplanted onto higher marsh positions where the neighboring plants had been removed. They were excluded by competition from higher marsh positions when neighboring plants were present (not removed). These results indicate that the upper distribution of each species in the marsh was limited by competition.

At first, this example would seem to be a clear case of the trade-off between adaptations for stress tolerance and competitive ability (high growth rate and resource use), as suggested in Figure 18.8. However, such was not the case. The experimental addition of nutrients to the marsh indeed changed the outcome of competition, but not in the manner that might be predicted. The addition of nutrients completely reversed the relative competitive abilities of the species, allowing *S. alterniflora* and *S. patens* to shift their distributions to higher marsh positions (see Figure 18.11).

*J. gerardi*, dominant under ambient (low) nutrient conditions, allocates more carbon to root biomass than either species of *Spartina* does. That allows *Juncus* to be the superior competitor under conditions of nutrient limitation but limits its ability to tolerate the higher water levels of the lower marsh. In contrast, *S. alterniflora* allocates a greater proportion of carbon to aboveground tissues, producing taller tillers (stems and leaves)—an advantage in the high water levels of the lower marsh. The trade-off in allocation to belowground and aboveground tissues results in the competitive hierarchy and thus patterns of zonation observed under ambient conditions. When nutrients are not limiting (nutrient addition experiments), competition for light dictates the competitive outcome among marsh plants. The greater allocation of carbon to height growth by the *Spartina* species increased their competitive ability on the upper marsh.

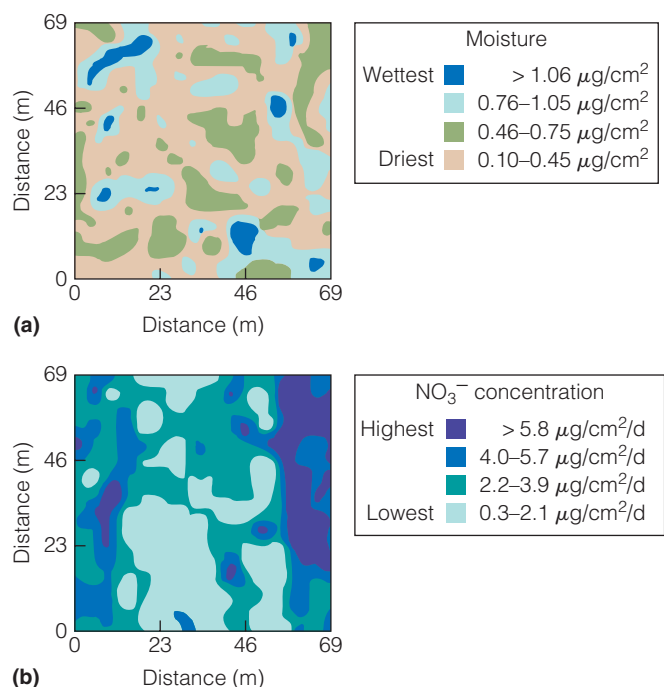
In the salt marsh plant community, a trade-off between competitive ability belowground and the ability to tolerate the physical stress associated with the low oxygen and high salinity levels of the lower marsh appears to drive zonation patterns across the salt marsh landscape. In this environment, the stress gradient does not correspond to the resource gradient as in Figure 18.8, allowing the characteristics for stress tolerance to enhance competitive ability under high resource availability.

Spatial variation in resource and nonresource factors that directly influence physiological processes gives rise to patterns of zonation in terrestrial as well as aquatic communities. Patterns of temperature and moisture resulting from regional variations in climate are the major determinant of regional and global patterns of vegetation distribution, and they form the basis of most vegetation classification systems (see Chapters 2 and 24). On a local scale, climate interacts with soils and topography to influence patterns of temperature and soil moisture (see Section 4.8). The underlying geology of an area interacts with climate to influence soil characteristics such as texture. In turn, texture directly affects soil moisture-holding capacity, cation exchange, and base saturation,

influencing the moisture and nutrient environment of plants (see Section 4.9). In aquatic environments, water depth, flow rate, and salinity are the major environmental gradients that directly influence the distribution and dynamics of communities (see Chapter 25).

## 18.6 Environmental Heterogeneity Influences Community Diversity

As we have seen thus far, the biological structure (species composition) of a community reflects both the direct response (survival, growth, and reproduction) of the component species to the prevailing abiotic environmental conditions, as well as their interactions (directly and indirectly). In turn, as environmental conditions change from location to location, so will the set of species that can potentially occupy the area and the manner in which they interact. This framework has helped us understand why the biological structure of a community changes as we move across a landscape from hilltop to valley or from the shoreline into the open waters of a lake or pond. However, environmental conditions are typically not homogeneous even within a given community. For example, ecologist Philip Robertson and colleagues quantified spatial variation in soil nitrogen and moisture across an abandoned agricultural field in southeastern Michigan. Once used for agriculture, the site was abandoned in the late 1920s and reverted to an old-field community composed of a variety of forb, grass, and shrub species. Detailed sampling of a 0.5-ha plot within the old field revealed considerable spatial variation (more than an order of magnitude) in soil moisture and nitrate at this spatial scale (Figure 18.12). Studies similar to that of Robertson and his colleagues have shown comparable



**Figure 18.12** Variations in (a) soil moisture and (b) nitrogen (nitrate;  $\text{NO}_3^-$ ) production in an old-field community in Michigan (abandoned agricultural field).

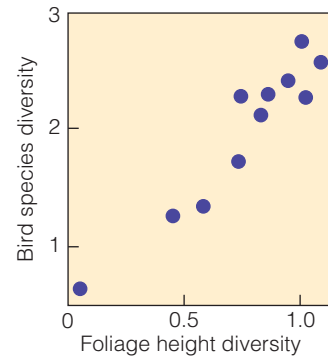
(Adapted from Robertson et al. 1998.)

patterns of fine-scale environmental variation within forest, intertidal, and benthic communities.

But how does environmental heterogeneity within a community influence patterns of diversity? Do variations in environmental conditions translate into an area's ability to support more species? Some examples that we have considered in previous chapters provide an answer to this question in plant communities. Heterogeneity in the light environment of the forest floor caused by the death of canopy trees (gap formation) has been shown to increase tree species diversity in forest ecosystems. The increase in available light below canopy gaps allows for the survival and growth of shade-intolerant species that otherwise would be excluded from the community (see Section 6.9, Figure 6.14). Likewise, heterogeneity in the soil environment of prairie communities caused by the burrowing of small mammals results in small-scale variations in plant species composition (see Chapter 14, Field Studies: Katherine N. Suding).

A good example of the influence of environmental heterogeneity comes from the link between vegetation structure and bird species diversity. The structural features of the vegetation that influence habitat suitability for a given bird species are related to a variety of species-specific needs relating to food, cover, and nesting sites. Because these needs vary among species, the structure of vegetation has a pronounced influence on the diversity of bird life within the community. Increased vertical structure means more resources and living space and a greater diversity of potential habitats (see Section 17.6, Figure 17.6). Grasslands, with their two strata, support six or seven species of birds, all of which nest on the ground. A deciduous forest in eastern North America may support 30 or more species occupying different strata. The scarlet tanager (*Piranga olivacea*) and wood pewee (*Contopus virens*) occupy the canopy; the hooded warbler (*Wilsonia citrina*) is a forest shrub species; and the ovenbird (*Seiurus aurocapillus*) forages and nests on the forest floor.

The late Robert MacArthur of Princeton University was the first ecologist to quantify the relationship between the structural heterogeneity of vegetation and the diversity of animal species that depend on the vegetation as habitat. He measured bird species diversity and the structural heterogeneity of vegetation in 13 communities in the northeastern United States. The communities represented a variety of structures, from grassland to deciduous forest. Bird species diversity in each community was measured using an index of species diversity (see Section 17.1). To quantify the structural heterogeneity of the vegetation, MacArthur developed an index of foliage height diversity. The value of the index increased with the number of vertical layers (and therefore the maximum height of the vegetation), as well as the relative abundance of vegetation (biomass) within the vertical layers. By comparing the two indexes, MacArthur found a strong relationship between bird species diversity and the index of foliage height diversity for the various communities (Figure 18.13). Since the publication of this pioneering work by MacArthur in the early 1960s, similar relationships between the structural diversity of habitats and the diversity of animal species within a community have been reported for a wide variety of taxonomic groups in both terrestrial and aquatic environments.



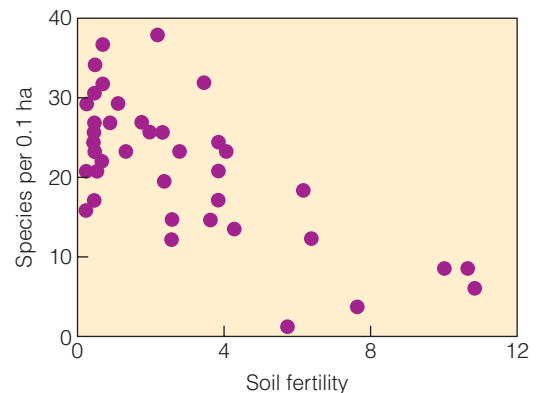
**Figure 18.13** Relationship between bird species diversity and foliage height diversity for deciduous forest communities in eastern North America. Foliage height diversity is a measure of the vertical structure of the forest. The greater the number of vertical layers of vegetation, the greater the diversity of bird species present in the forest.

(Adapted from MacArthur and MacArthur 1961.)

## 18.7 Resource Availability Can Influence Plant Diversity within a Community

We examined the role of nutrient availability on plant processes (see Section 6.12). In general, increased availability of nutrients can support higher rates of photosynthesis, plant growth, and a higher density of plants per unit area. It might seem somewhat odd, therefore, that a variety of studies have shown an inverse relationship between nutrient availability and plant diversity in communities.

Michael Huston, an ecologist at Texas State University, examined the relationship between the availability of soil nutrients and species richness at 46 tropical rain forest sites in Costa Rica. Huston found an inverse relationship between species richness and a composite index of soil fertility (Figure 18.14). Tropical forest communities on soils with



**Figure 18.14** Relationship of tree species richness (species per 0.1 ha) to a simple index of soil fertility for 46 forest communities in Costa Rica. The fertility index is the sum of the percentage values of phosphorus, potassium, and calcium obtained by dividing the value of each nutrient by the mean value of that nutrient for all 46 sites.

(Adapted from Huston 1980.)

lower nutrient availability supported a greater number of tree species (species richness) than did communities on more fertile soils. Huston hypothesized that the inverse relationship results from reduced competitive displacement under low nutrient availability. Low nutrient availability reduces growth rates and supports a lower density and biomass of vegetation. Species that might dominate under higher nutrient availability cannot realize their potential growth rates and biomass and thus are unable to displace slower-growing, less competitive species.

A wide variety of field and laboratory experiments have supported the hypothesis put forward by Huston. In a series of competition experiments under controlled greenhouse conditions, Fakhri Bazzaz of Harvard University and the British ecologist John Harper found that two herbaceous plant species—white mustard (*Sinapis alba*) and cress (*Lepidium sativum*)—coexisted on less fertile soil, whereas *Lepidium* was driven to extinction by *Sinapis* under conditions of higher soil fertility.

The Park Grass experiment was begun at Rothamsted Experimental Station in Great Britain in 1859 to examine the effects of fertilizers on yield and quality of hay from permanently maintained grasslands. This experiment has continued for more than 140 years. Beginning with a uniform mixture of grass and other herbaceous species, various types, quantities, and schedules of fertilization have been applied to experimental plots within the field. Changes in species composition began as early as the second year and increased through time until a relatively stable community structure was achieved. The unfertilized plots are the only ones retaining the original diversity of species that were planted. In all cases, the number of species was reduced by fertilization, and the most heavily fertilized plots became dominated by only a few grass species. Nearly identical results to those of the Park Grass experiment have been obtained in other fertilization experiments in both agricultural and natural grassland communities.

Greenhouse and field experiments both leave little doubt that changes in nutrient availability can greatly alter the composition and structure of plant species in communities. In all experimental studies to date, the effect of increasing nutrient availability has been to decrease diversity. But what processes cause this decrease in diversity, allowing some plant species to displace others under conditions of high nutrient availability? In a series of field experiments, ecologist James Cahill of the University of Alberta (Canada) examined how competition in grassland communities shifts along a gradient of nutrient availability. The experiments revealed a shift in the importance of belowground and aboveground competition and the nature of their interaction under varying levels of nutrient availability. Cahill's work indicates that competition for belowground and aboveground resources differs in an important way. Competition for belowground resources is *size symmetric* because nutrient uptake is proportional to

the size of the plant's root system. Symmetric competition results when individuals compete in proportion to their size, so that larger plants cause a large decrease in the growth of smaller plants, and small plants cause a small (but proportionate to their size) decrease in the growth of larger plants. In contrast, competition for light (aboveground) is generally one-sided or *size asymmetric*—larger plants have a disproportionate advantage in competition for light by shading smaller ones, resulting in initial size differences being compounded over time. Any factor that reduces the growth rate of a plant initiates a positive feedback loop that decreases the plant's likelihood of obtaining a dominant position in the developing size hierarchy.

Under low nutrient availability, plant growth rate, size, and density are low for all species. Competition primarily occurs belowground and therefore is symmetric. Competitive displacement is low and diversity is maintained. As nutrient availability increases, growth rate, size, and density increase. Species that are able to maintain higher rates of photosynthesis and growth exhibit a disproportionate increase in size. As faster-growing species overtop the others, creating a disparity in light availability, competition becomes strongly asymmetric. Species that achieve high rates of growth and stature under conditions of high soil fertility eventually outcompete and displace the slower-growing, smaller-stature species, thus reducing the species richness of the community.

In contrast to the inverse relationship between soil fertility (nutrient availability) and plant species richness observed in terrestrial plant communities, the pattern between nutrient availability and the species richness of autotrophic organisms in aquatic communities is quite different. Ecologist Helmut Hillebrand (University of Cologne, Germany) and colleagues reviewed the results of 44 published studies in which nutrient availability was experimentally manipulated in aquatic communities (19 freshwater and 23 marine). Their analyses reveal that, unlike the pattern observed in terrestrial plant communities, fertilization results in an increase in the species richness of autotrophs in both freshwater and marine communities. Although there is no consensus as to what causes the observed differences between terrestrial and aquatic communities, several factors have been suggested, including differences in the role of competition in terrestrial and marine environments. Unlike the competitive displacement of species under high nutrient availability discussed earlier for terrestrial plant communities, competitive exclusion among phytoplankton species in pelagic waters is less common. Reduced competition may result from rapid nutrient changes that occur in the water column (see discussion of nutrient cycling in aquatic ecosystems) and restrict competitive dominance for any given species (Chapter 22). Or, different species in the assemblage of phytoplankton may be limited by more than one nutrient, so that no single species has a competitive advantage.

## Summary

### Fundamental Niche and Community Structure 18.1

The range of environmental conditions tolerated by a species defines its fundamental niche. These constraints on the ability of species to survive and flourish will limit their distribution and abundance to a certain range of environmental conditions. Species differ in the range of conditions they tolerate. As environmental conditions change in both time and space, the possible distribution and abundance of species will change. This framework provides a null model against which to compare observed community patterns.

### Diffuse Interactions 18.2

Experiments that examine only two potentially interacting species tend to underestimate the importance of species interactions in communities because interactions are often diffuse, involving a number of species. In diffuse competition, direct interaction between any two species may be weak, making it difficult to determine the effect of any given species on another. Collectively, however, competition may be an important factor limiting the abundance of all species involved. Diffuse interactions involving predation and competition can be seen in the structure of food webs.

### Indirect Interactions 18.3

Food webs also illustrate indirect interactions among species within the community. Indirect interactions occur when one species does not interact with a second species directly, but influences a third species that does interact directly with the second. For example, a predator may increase the population density of one or more inferior competitors by reducing the abundance of the superior competitor it preys on. Indirect positive interactions result when one species benefits another indirectly through its interactions with others, reducing either competition or predation.

### Controls on Community Structure 18.4

To understand the role of species interactions in structuring communities, food webs are often simplified by placing species into functional groups based on their similarity in using resources or their role within the community. One such functional classification divides species into trophic levels based on general feeding groups (primary producers, herbivores, carnivores, etc.). The resulting food chains suggest the possibility of

either bottom-up (primary producers) or top-down (top carnivores) control on community structure and function.

### Species Interactions along Environmental Gradients 18.5

The biological structure of a community is first constrained by the environmental tolerances of the species (fundamental niche), which are then modified through direct and indirect interactions with other species (realized niche). As we move across the landscape, variations in the physical environment will alter the nature of both these constraints on species distribution and abundance. There is a general trade-off between a species' stress tolerance and its competitive ability along gradients of resource availability. This trade-off can result in patterns of zonation across the landscape where variations in resource availability exist. The relationship between stress tolerance and competitive ability is more complex along gradients that include both resource and nonresource factors, such as temperature, salinity, or water depth.

### Environmental Heterogeneity 18.6

Environmental conditions are not homogeneous within a given community, and spatial variations in environmental conditions within the community can function to increase diversity by supporting a wider array of species. The structure of vegetation has a pronounced influence on the diversity of animal life within the community. Increased vertical structure means more resources and living space and a greater diversity of habitats.

### Resource Availability 18.7

A variety of studies have shown an inverse relationship between nutrient availability and plant diversity in communities. By reducing growth rates, low nutrient availability functions to reduce competitive displacement. As nutrient availability in terrestrial communities increases, competition shifts from belowground (symmetrical competition) to aboveground (asymmetrical competition). The net result is an increase in competitive displacement and a reduction in plant species diversity as the faster-growing, taller plant species dominate the light resource.

Unlike the pattern observed in terrestrial plant communities, fertilization results in an increase in the species richness of autotrophs in both freshwater and marine communities.

## Study Questions

1. How does the species' fundamental niche function to constrain community structure?
2. The number of tree species that occur in a hectare of equatorial rain forest in eastern Africa can exceed 250. In contrast, the number of tree species occurring in a hectare of tropical woodland in southern Africa rarely exceeds three. In which forest community (rain forest or woodland) do you think diffuse competition would be the most prevalent? Why?
3. Define indirect interaction in the context of food webs.
4. Give an example of how predation can result in indirect positive interactions between species.
5. Contrast bottom-up and top-down control in the structure of a food web.
6. In the ecologist Mary Power's work, presented in Section 18.4, the top predators appear to control plant productivity by controlling the abundance of herbivores (their prey). Now suppose we were to conduct a second experiment and reduce plant productivity by using some chemical that had no direct effect on the consumer organisms. If the results show that reduced plant productivity reduces herbivore populations, in turn leading to the decline of the top predator, what type of control would this imply? How might you reconcile the findings of these two experiments?
7. Using Chapter 6 (Section 6.12) as your resource, what characteristics might enable a plant species (species 1) to tolerate low soil nutrient availability? How might these characteristics limit the maximum growth rates under high soil nutrient conditions? Conversely, what characteristics might enable a plant species (species 2) to maintain high growth rates under high soil nutrient availability? How might these characteristics limit the plant species' ability to tolerate low soil nutrient conditions? Now predict the outcome of competition between species 1 and 2 in two plant communities, one with low soil nutrients and the other with abundant nutrients. Discuss in terms of tolerance and competition.
8. How does the structure of vegetation within a community influence the diversity of animal life?
9. Contrast symmetric and asymmetric competition. How does the availability of soil nutrients shift the nature of competition from symmetric to asymmetric?

## Further Readings

- Brown, J., T. Whitham, S. Ernest, and C. Gehring. 2001. Complex species interactions and the dynamics of ecological systems: Long-term experiments. *Science* 93:643–650.  
Review of long-term experiments that have revealed some of the complex interactions occurring within ecological communities.
- Huston, M. 1994. *Biological diversity*. Cambridge, UK: Cambridge University Press.  
An essential resource for those interested in community ecology. Huston provides an extensive review of geographic patterns of species diversity and presents a framework for understanding the distribution of species in both space and time.
- McPeck, M. 1998. The consequences of changing the top predator in a food web: A comparative experimental approach. *Ecological Monographs* 68:1–23.  
This paper presents a series of experiments directed at understanding the role of top predators in structuring care communities. An excellent example of the application of experimental manipulations to understanding species interactions in ecological communities.
- Pimm, S. L. 1991. *The balance of nature*. Chicago: University of Chicago Press.  
An excellent example of the application of theoretical studies on food webs and the structure of ecological communities to current issues in conservation ecology.
- Power, M. E. 1992. Top-down and bottom-up forces in food webs: Do plants have primacy? *Ecology* 73:733–746.  
This is an excellent discussion of the role of predation in structuring communities, including a contrast between bottom-up and top-down controls on the structure of food webs.
- Rosenzweig, M. 1995. *Species diversity in space and time*. Cambridge, UK: Cambridge University Press.  
This book combines empirical studies and ecological theory to address various topics relating to patterns of species diversity. An excellent discussion of large-scale patterns of biological diversity over geological time.

- 19.1 Community Structure Changes through Time**
- 19.2 Primary Succession Occurs on Newly Exposed Substrates**
- 19.3 Secondary Succession Occurs after Disturbances**
- 19.4 The Study of Succession Has a Rich History**
- 19.5 Succession Is Associated with Autogenic Changes in Environmental Conditions**
- 19.6 Species Diversity Changes during Succession**
- 19.7 Succession Involves Heterotrophic Species**
- 19.8 Systematic Changes in Community Structure Are a Result of Allogenic Environmental Change at a Variety of Timescales**
- 19.9 Community Structure Changes over Geologic Time**
- 19.10 The Concept of Community Revisited**



A diverse grassland community occupies a site once used for agriculture. The study of processes involved in the colonization of abandoned agricultural lands has given ecologists important insights into the dynamics of plant communities.

**W**e have examined the variety of processes that interact to influence the structure of communities (Chapter 18). The changing nature of community structure across the landscape (zonation) reflects the shifting distribution of populations in response to changing environmental conditions, as modified by the interactions (direct and indirect) among the component species. Yet the structure (physical and biological) of the community also changes through time: it is dynamic, reflecting the population dynamics of the component species. The vertical structure of the community changes with time as plants establish themselves, mature, and die. The birth and death rates of species change in response to environmental conditions, resulting in a shifting pattern of species dominance and diversity through time. This changing pattern of community structure through time—community dynamics—is the topic of this chapter.

### 19.1 Community Structure Changes through Time

Community structure varies in time as well as in space. Suppose that rather than moving across the landscape, as with the examples of zonation mentioned earlier, we stand in one position and observe the area as time passes (see Section 17.7, Figures 17.7–17.9). For example, abandoned cropland and pasture land are common sights in agricultural regions in once forested areas in eastern North America (see Ecological Issues: American Forests). No longer tended, the land quickly grows up in grasses, goldenrod (*Solidago* spp.), and weedy herbaceous plants. In a few years, these same weedy fields are invaded by shrubby growth—blackberries (*Rubus* spp.), sumac (*Rhus* spp.), and hawthorn (*Crataegus* spp.). These shrubs are followed by fire cherry (*Prunus pennsylvanica*), pine (*Pinus* spp.), and aspen (*Populus* spp.). Many years later, this abandoned land supports a forest of maple (*Acer* spp.), oak (*Quercus* spp.), cherry, or pine (Figure 19.1). The process you would have observed, the gradual and seemingly directional change in community structure through time from field to forest, is called **succession**. Succession, in its most general definition, is the temporal change in community structure. Unlike zonation (changes in community structure across the landscape), succession refers to changes in community structure at a given location on the landscape through time.

The sequence of communities from grass to shrub to forest historically has been called a **seres** (from the word *series*), and each of the changes is a seral stage. Although each **seral stage** is a point in a continuum of vegetation through time, it is often recognizable as a distinct community. Each stage has its characteristic structure and species composition. A seral stage may last only one or two years, or it may last several decades. Some stages may be missed completely or may appear only in abbreviated or altered form. For example, when an abandoned field is colonized immediately by forest trees (as in Figure 19.1), the shrub stage appears to have been bypassed; however, structurally, its place is taken by the incoming young trees.

Like zonation, the process of succession is generally common to all environments, both terrestrial and aquatic. The



(a)

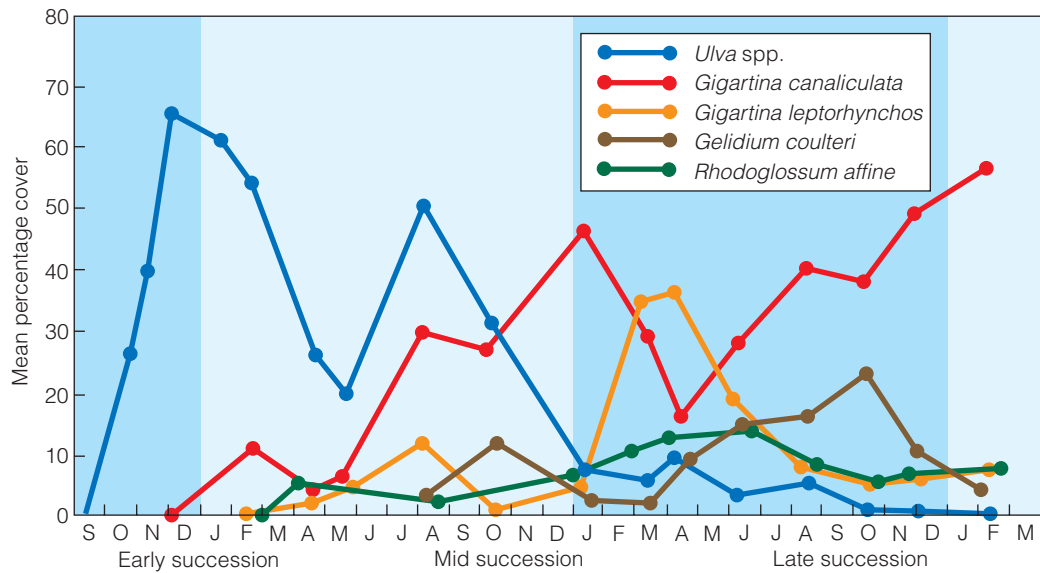


(b)



(c)

**Figure 19.1** Successional changes over 30 years in a western Pennsylvania field. (a) In 1942, it was moderately grazed. (b) In 1963, the same area 21 years later. (c) In 1972, quaking aspen and maple have claimed some of the ground.



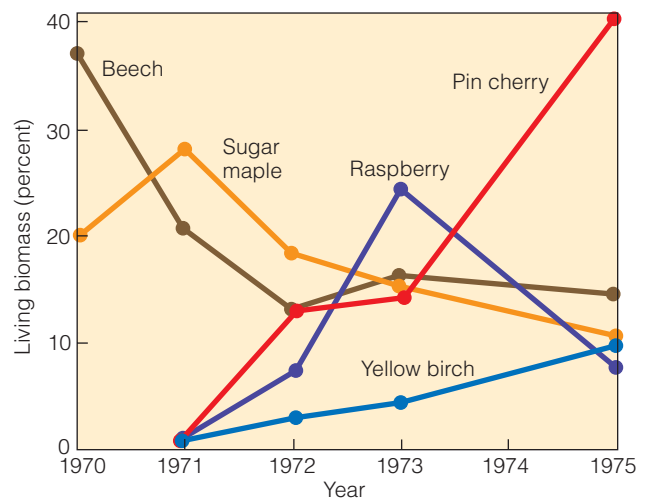
**Figure 19.2** Mean percentage of five algal species that colonized concrete blocks introduced into the rocky intertidal zone in September 1974. Note the change in species dominance over time. (Adapted from Sousa 1979.)

### INTERPRETING ECOLOGICAL DATA

- Q1.** At what time does the species *Gigartina canaliculata* first appear in the experiments (month and year)? At what period during the experiment does this species of algae dominate the community?
- Q2.** Which algal species dominates the community during the first year of succession? Which species dominates during the last year of the experiment?
- Q3.** Which algal species never dominate the community (greatest relative abundance)?
- Q4.** During which period of the observed succession (early, mid, or late) is overall species diversity the highest?

ecologist William Sousa carried out a series of experiments designed to examine the process of succession in a rocky intertidal algal community in southern California. A major form of natural disturbance in these communities is the overturning of rocks by the action of waves. Algal populations then recolonize these cleared surfaces. To examine this process, Sousa placed concrete blocks in the water to provide new surfaces for colonization. Over time, the study results show a pattern of colonization and extinction, with other species displacing populations that initially colonized the concrete blocks (Figure 19.2). This is the process of succession. The initial, or **early successional species** (often referred to as **pioneer species**) are usually characterized by high growth rates, smaller size, high degree of dispersal, and high rates of per capita population growth. In contrast, the **late successional species** generally have lower rates of dispersal and colonization, slower per capita growth rates, and they are larger and longer-lived. As the terms *early* and *late succession* imply, the patterns of species replacement with time are not random. In fact, if Sousa's experiment were to be repeated tomorrow, we would expect the resulting patterns of colonization and extinction (the successional sequence) to be very similar to those presented in Figure 19.2.

A similar pattern of succession occurs in terrestrial plant communities. Figure 19.3 depicts the patterns of woody plant species replacement after forest clearing (clear-cutting) at the Hubbard Brook Experimental Forest in New Hampshire. Before forest clearing, seedlings and saplings of beech (*Fagus*



**Figure 19.3** Changes in the relative abundance (percentage of living biomass) of woody species in the Hubbard Brook Experimental Forest after a clear-cut.

(Adapted from Bormann and Likens 1979.)

*grandifolia*) and sugar maple (*Acer saccharum*) dominated the understory. Large individuals of these two tree species dominated the canopy, and the seedlings represent successful reproduction of the parent trees. After the larger trees were removed by timber harvest in 1970, the numbers of beech and maple seedlings declined and were soon replaced by raspberry thickets



## ECOLOGICAL ISSUES American Forests

Old-field communities, such as the one shown in Figure 1, are a common sight in the eastern portion of the United States. These fields represent the early stages in the process of secondary succession, a process that began with the abandonment of agricultural lands (cropland or pasture) and that will eventually lead to forest. Although more than 50 percent of the U.S. land area east of the Mississippi River is currently covered by forest, the vast majority of these forest communities are less than 100 years old, the product of a continental-scale shift in land use that has occurred over the past 200 years.

When colonists first arrived on the eastern shores of North America in the 17th century, the landscape was dominated by forest. Native Americans had historically used fire to clear areas for planting crops or to create habitat for game species. However, their impact on the landscape was minor compared to what was to come as a result of the westward expansion of European colonization. The clearing of forest was driven by the need for agricultural lands and forest products, and as the

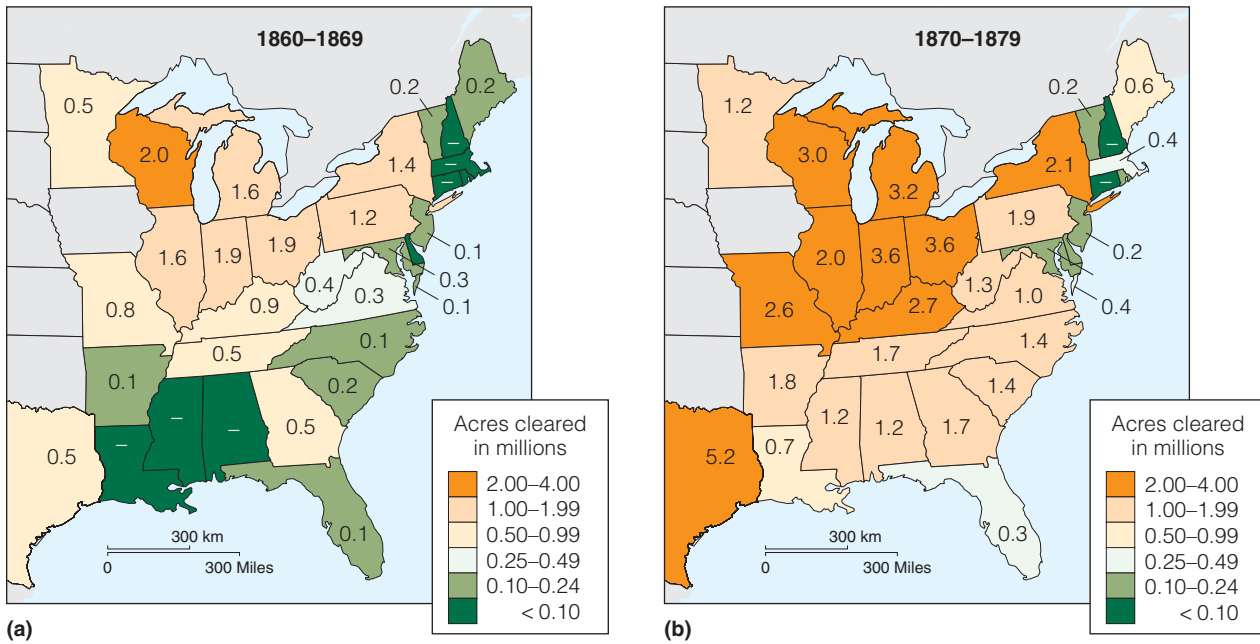


population of colonists grew and expanded westward, so did the clearing of land (Figure 2). By the 19th century, most of the forests in eastern North America had been felled for agriculture. But by the early part of the 20th century, there would be a reversal of this trend.

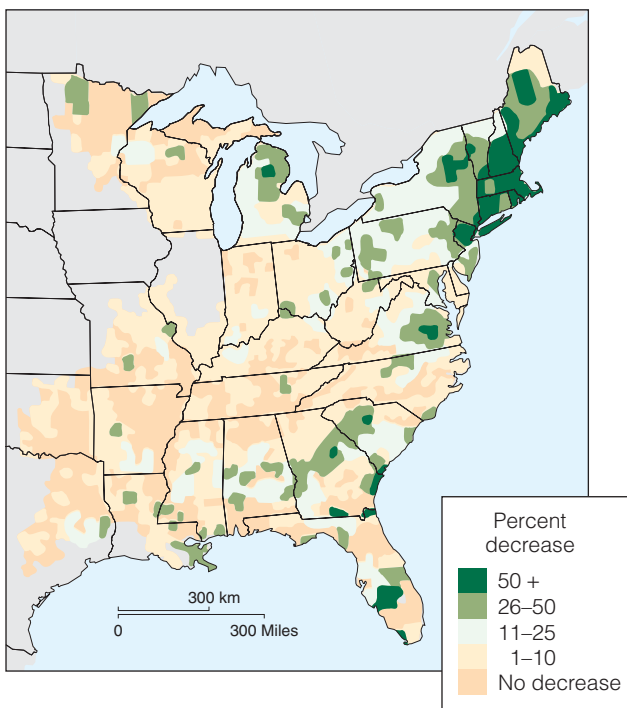
The Dust Bowl period in the 1930s saw the beginning of the decline in small family farms in the agricultural regions west of the Mississippi. With the mechanization of agriculture and the large-scale production of chemical fertilizers by the late 1940s, agriculture in the West would undergo a major transition, moving from small, family-owned farms to large commercial farms. The rise of large-scale commercial agriculture hastened the decline in agriculture east of the Mississippi River—a decline that began in the 1800s with the end of the large plantation farms in the Southern states. By the 1930s, the amount of agricultural land in the east had peaked, and it has been declining ever since (Figure 3). Since 1972 alone, more than 4500 square miles of farmland in the eastern United States has been abandoned and is currently reverting to forest. This is twice the amount of agricultural land that has been converted to commercial and residential development during the same period.

1. How might the shifts in land use and cover discussed here have changed the diversity of animal life in the eastern United States during the past 100 years?
2. How do the changes in biological diversity in the eastern part of the United States during the past century compare to the shifts that have occurred west of the Mississippi River because of the shift in agriculture and the decline of prairie ecosystems (see Part Five Introduction)?

**Figure 1** A typical old-field community in the eastern portion of the United States. Once managed agricultural land, the site is now undergoing the process of secondary succession after abandonment. Eventually the site will revert to forest, like that seen in the background.



**Figure 2** Millions of acres of forest lands were cleared for agriculture during the decades of (a) 1860–69 and (b) 1870–79 in the eastern United States. Note the westward expansion and accelerating rate of clearing during this period. (Adapted from Williams 1989.)



**Figure 3** Percent decrease in agricultural land in the eastern United States since its peak in 1930. (Adapted from Williams 1989.)

and seedlings of sun-adapted (shade-intolerant), fast-growing, early successional tree species such as pin cherry (*Prunus pennsylvanica*) and yellow birch (*Betula alleghaniensis*). After many years, these species will be replaced by the late successional species of beech and sugar maple that previously dominated the site.

The two studies just presented point out the similar nature of successional dynamics in two very different environments. They also present examples of two different types of succession: primary and secondary. **Primary succession** occurs on a site previously unoccupied by a community—a newly exposed surface such as the cement blocks in a rocky intertidal environment. The study at Hubbard Brook after forest clearing is an example of **secondary succession**. Unlike primary succession, secondary succession occurs on previously occupied (vegetated) sites after disturbance. In this case, disturbance is defined as any process that results in the removal (either partial or complete) of the existing vegetation (community). As seen in the Hubbard Brook example, the disturbance does not always result in the removal of all individuals. In these cases, the amount (density and biomass) and composition of the surviving community will have a major influence on the proceeding successional dynamics. A more detailed discussion of disturbance and its role in structuring communities is presented later (Chapter 20).

## 19.2 Primary Succession Occurs on Newly Exposed Substrates

Primary succession begins on sites that never have supported a community, such as rock outcrops and cliffs, sand dunes, and newly exposed glacial till. For example, consider primary succession on a very inhospitable site: a sand dune. Sand, a product of weathered rock, is deposited by wind and water. Where deposits are extensive, as along the shores of lakes and oceans and on inland sand barrens, sand particles may be piled in long, windward slopes to form dunes (Figure 19.4). Under the forces of wind and water, the dunes can shift, often covering existing vegetation or buildings. The establishment and growth of plant cover acts to stabilize the dunes. The late plant ecologist H. C. Cowles of the University of Chicago first described colonization of sand dunes and the progressive development of vegetation in his pioneering classic study (published in 1899) of plant succession on the dunes of Lake Michigan.



**Figure 19.4** Primary succession on a coastal sand dune colonized by beach grass (*Ammophila breviligulata*).

Grasses, especially beach grass (*Ammophila breviligulata*), are the most successful pioneering plants and function to stabilize the dunes with their extensive system of rhizomes (see Section 8.1). Once the dunes are stabilized, mat-forming shrubs invade the area. Subsequently, the vegetation shifts to dominance by trees—first pines and then oak. Due to low moisture reserves in the sand, oak is rarely replaced by more moisture-demanding (mesophytic) trees. Only on the more favorable leeward slopes and in depressions, where microclimate is more moderate and where moisture can accumulate, does succession proceed to more mesophytic trees such as sugar maple, basswood, and red oak. Because these trees shade the soil and accumulate litter on the soil surface, they act to improve nutrients and moisture conditions. On such sites, a mesophytic forest may become established without going through the oak and pine stages. This example emphasizes one aspect of primary succession: the colonizing species ameliorate the environment, paving the way for invasion of other species.

Newly deposited alluvial soil on a floodplain represents another example of primary succession. Over the past 200 years, the glacier that once covered the entire region of Glacier Bay National Park, Alaska, has been retreating (melting; Figures 19.5a and 19.5b). As the glacier retreats, the newly exposed landscape is initially colonized by a variety of species such as alder (*Alnus* spp.) and cottonwood (*Populus* spp.). Eventually, these early successional species are replaced by the later successional tree species of spruce (*Picea* spp.) and hemlock (*Tsuga* spp.), and the resulting forest (Figure 19.5c) resembles the forest communities in the surrounding landscape.

## 19.3 Secondary Succession Occurs after Disturbances

A classic example of secondary succession in terrestrial environments is the study of old-field succession in the Piedmont region of North Carolina by the eminent plant ecologist Dwight Billings (Duke University) in the late 1930s. During the first year after a crop field has been abandoned, the ground is claimed by annual crabgrass (*Digitaria sanguinalis*); its seeds, lying dormant in the soil, respond to light and moisture and germinate. However, the crabgrass's claim to the ground is short-lived. In late summer, the seeds of horseweed (*Lactuca canadensis*), a winter annual, ripen. Carried by the wind, the seeds settle on the old field, germinate, and by early winter have produced rosettes. The following spring, horseweed, off to a head start over crabgrass, quickly claims the field. During the second summer, the field is invaded by other plants: white aster (*Aster ericoides*) and ragweed (*Ambrosia artemisiifolia*).

By the third summer, broomsedge (*Andropogon virginicus*), a perennial bunchgrass, invades the field. Abundant organic matter and ability to exploit soil moisture efficiently permits broomsedge to dominate the field. About this time, pine seedlings, finding room to grow in open places among the clumps of broomsedge, invade the field. Within 5 to 10 years, the pines are tall enough to shade the broomsedge. Eventually, hardwood species such as oaks and ash grow up through the pines and, as the pines die, take over the field (Figure 19.6). Development

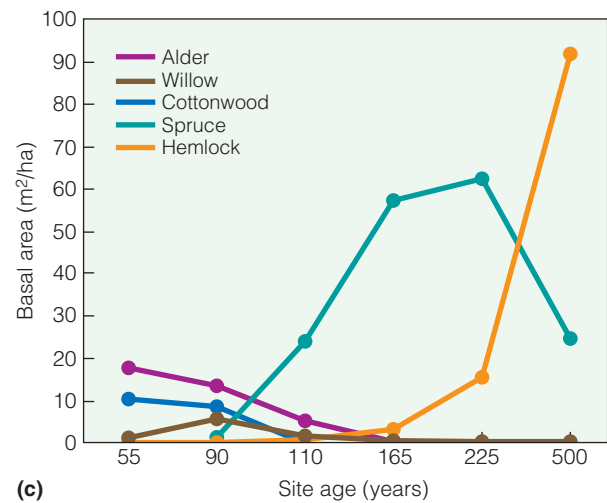


(a)

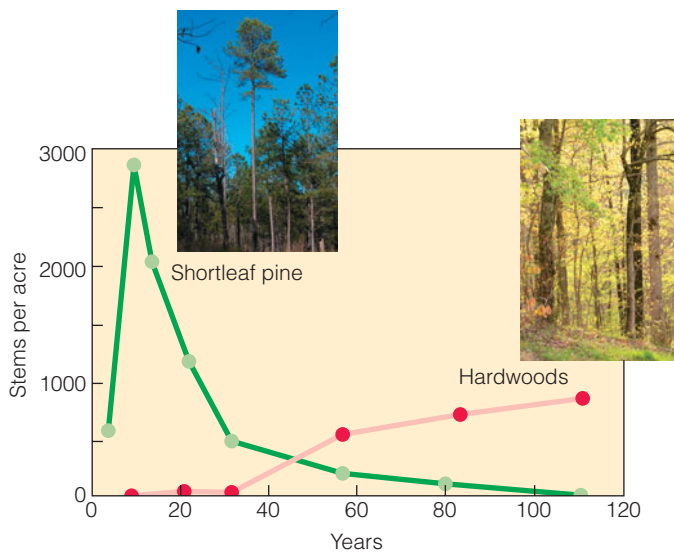
**Figure 19.5** (a) The Glacier Bay fjord complex in southeastern Alaska, showing the rate of ice recession since 1760. As the ice retreats, it leaves moraines along the edge of the bay in which primary succession occurs. (b) Primary succession along riverine environments of Glacier Bay National Park, Alaska. (c) Changes in community composition (basal area of woody plant species) with age for sites (time since sediments first exposed) at Glacier Bay. (Adapted from Hobbie 1994.)



(b)



(c)



**Figure 19.6** Decline in the abundance of shortleaf pine (*Pinus echinata*) and increase in the density of hardwood species oak (*Quercus*) and hickory (*Carya*) during secondary succession on abandoned farmland in the Piedmont region of North Carolina. (Adapted from Billings 1938.)

of the hardwood forest continues as shade-tolerant trees and shrubs—dogwood, redbud, sourwood, hydrangea, and others—fill the understory.

Similarly, studies of physical disturbance in marine environments have demonstrated secondary succession in seaweed, salt marsh, mangrove, seagrass, and coral reef communities. Ecologist David Duggins of the University of Washington examined the process of succession after disturbance in the subtidal kelp forests of Torch Bay, Alaska (Figure 19.7). One year after the removal of the kelp forest, a mixed canopy of kelp species (*Nereocystis luetkeana* and *Alaria fistulosa*) formed, and an understory of *Costaria costata* and *Laminaria dentigera* developed. During the second and third years, continuous stands of *Laminaria setchellii* and *Laminaria groenlandica* developed, and the community had returned to its original composition. A similar pattern has been observed in the subtidal kelp forests off the California coast.

Secondary succession in seagrass communities has been described for a variety of locations, including the shallow tropical waters of Australia and the Caribbean. Secondary succession in the seagrass communities of Florida Bay has been described in detail by ecologist Jay Zieman of the University



**Figure 19.7** A kelp forest off the Aleutian Islands of Alaska: *Cymathera triplicata* (foreground); *Alaria fistulosa* (rear). Kelp forests in the eastern and northern Pacific commonly have complex three-dimensional structure, with many coexisting species. As in coral reefs, shading is a major mechanism of intraspecific and interspecific competition.

of Virginia. Wave action associated with storms or heavy grazing by sea turtles and urchins creates openings in the grass cover, exposing the underlying sediments. Erosion on the down-current side of these openings results in localized disturbances called blowouts (Figure 19.8). Initial colonizers on these disturbed sites are typically rhizophytic macroalgae, in which species of *Halimeda* and *Penicillus* are the most common (Figure 19.9). These algae have some sediment-binding capability, but their ability to stabilize the sediments is minimal, and their major function in the early successional stage seems to be the contribution of sedimentary particles as they die and decompose. The local pioneer species of seagrass, shoal grass (*Halodule wrightii*), colonizes readily from either seed or rapid vegetative branching. The carpet of cover laid by *Halodule* further stabilizes the sediment surface. Eventually, turtle grass (*Thalassia testudinum*) will begin to colonize the region. Its larger leaves and extensive rhizome and root system effectively trap and retain particles, increasing the organic matter of the sediment. The once disturbed area again resembles the surrounding seagrass community.

## 19.4 The Study of Succession Has a Rich History

The study of succession has been a focus of ecological research for more than a century. Early in the 20th century, botanists E. Warming in Denmark and Henry Cowles in the United States largely developed the concept of ecological succession. The intervening years have seen a variety of hypotheses attempting to address the processes that drive succession—the seemingly consistent directional change in species composition through time.

Frederick Clements (1916, 1936) developed a theory of plant succession and community dynamics known as the *monoclimax hypothesis*. The community is viewed as a highly integrated superorganism and the process of succession represents



**Figure 19.8** Disturbed areas (light-colored areas) within seagrass communities in Florida Bay. These areas, called *blowouts*, undergo a process of recovery that involves a shift in species dominance from macroalgae to seagrass (see Figure 19.9). Insert shows a turtle grass (*Thalassia testudinum*)–dominated community in Florida Bay.

the gradual and progressive development of the community to the ultimate, or climax stage (see Section 17.9). The process was seen as analogous to the development of an individual organism.

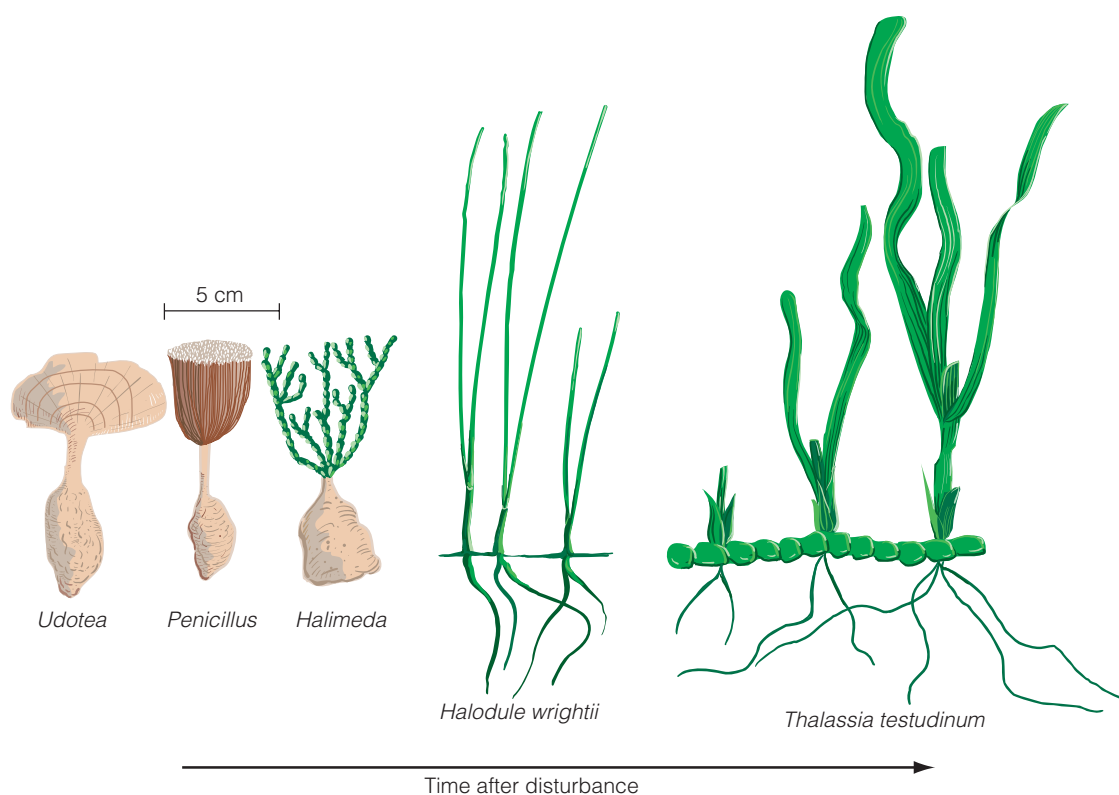
In 1954, F. Egler proposed a hypothesis he termed *initial floristic composition*. In Egler's view, the process of succession at any site is dependent on which species get there first. Species replacement is not an orderly process, because some species suppress or exclude others from colonizing the site. No species is competitively superior to another. The colonizing species that arrive first inhibit any further establishment of newcomers. Once the original colonizers eventually die, the site then becomes accessible to other species. Succession is therefore very individualistic, dependent on the particular species that colonize the site and the order in which they arrive.

In 1977, ecologists Joseph Connell (University of California–Santa Barbara) and Ralph Slatyer (Australian National University) proposed a generalized framework for viewing succession that considers a range of species interactions and responses through succession. They offered three models.

The *facilitation model* states that early successional species modify the environment so that it becomes more suitable for later successional species to invade and grow to maturity. In effect, early-stage species prepare the way for late-stage species, facilitating their success (see Chapter 16, discussion of facilitation).

The *inhibition model* involves strong competitive interactions. No one species is completely superior to another. The first species to arrive hold the site against all invaders. They make the site less suitable for both early and late successional species. As long as they live and reproduce, the first species maintain their position. The species relinquish it only when they are damaged or die, releasing space to another species. Gradually, however, species composition shifts as short-lived species give way to long-lived ones.

The *tolerance model* holds that later successional species are neither inhibited nor aided by species of earlier stages. Later-stage species can invade a newly exposed site, establish



**Figure 19.9** Idealized pattern of secondary succession in seagrass communities of Florida Bay after disturbance (see Figure 19.8). The disturbed area is initially colonized by rhizophytic macroalgae. These algae species are soon displaced by the early successional seagrass species shoal grass (*Halodule wrightii*), which is eventually displaced by the larger species turtle grass (*Thalassia testudinum*).

themselves, and grow to maturity independent of species that precede or follow them. They can do so because they tolerate a lower level of some resources. Such interactions lead to communities composed of those species most efficient in exploiting available resources. An example might be a highly shade-tolerant species that could invade, persist, and grow beneath the canopy because it is able to exist at a lower level of one resource: light. Ultimately, through time, one species would prevail.

Since the work of Connell and Slatyer, the search for a general model of plant succession has continued among ecologists. Although many hypotheses have been put forward in recent decades, a general trend in thinking has emerged. The current focus is on how the adaptations and life history traits of individual species influence species interactions and ultimately species distribution and abundance under changing environmental conditions.

## 19.5 Succession Is Associated with Autogenic Changes in Environmental Conditions

The changes in environmental conditions that bring about shifts in the physical and biological structures of communities across the landscape are varied. They can, however, be grouped into two general classes: allogenic and autogenic.

**Autogenic** environmental change is a direct result of the presence and activities of organisms within the community. For example, the vertical profile of light in a forest is a direct result of the interception and reflection of solar radiation by the trees (see Section 4.2 and Quantifying Ecology 4.1). In contrast, **allogenic** environmental change is a feature of the physical environment—it is governed by physical rather than biological processes. Examples are the decline in average temperature with elevation in mountainous regions, the decrease in temperature with depth in a lake or ocean and the changes in salinity and water depth in coastal environments (see Sections 2.3 and 3.4).

Earlier, we defined succession as changes in community structure through time—specifically, changes in species dominance (Section 19.1). One group of species initially colonizes an area, but as time progresses they decline and are replaced by other species. We observe this general pattern of changing species dominance as time progresses in most natural environments, thus suggesting a common underlying mechanism.

One feature common to all plant succession is autogenic environmental change. In both primary and secondary succession, colonization alters environmental conditions. One clear example is the alteration of the light environment. Leaves reflecting and intercepting solar radiation create a vertical profile of light within a plant community. In moving from the canopy to ground level, less light is available to drive the processes of photosynthesis.

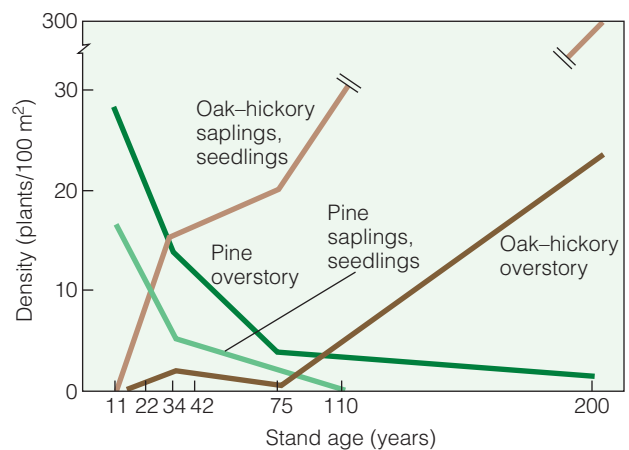
During the initial period of colonization, few if any plants are present. In the case of primary succession, the newly exposed site has never been occupied. In the case of secondary succession, plants have been killed or removed by some disturbance. Under these circumstances, the availability of light at the ground level is high, and seedlings are able to establish themselves. As plants grow, their leaves intercept sunlight, reducing the availability of light to shorter plants. This reduction in available light will decrease rates of photosynthesis, slowing the growth of these shaded individuals. Assuming that not all plant species can photosynthesize and grow at the same rate, plant species that can grow tall the fastest will have greater access to the light resource. They subsequently reduce the availability of light to the slower-growing species, enabling the fast-growing species to outcompete the other species and dominate the site. However, in changing the availability of light below the canopy, the dominant species create an environment that is more suitable for the species that will later displace them as dominants.

Recall that not all plant species respond in the same way to variation in available light (Chapter 6, Section 6.9). Sun-adapted, shade-intolerant plants exhibit a different response to light than do shade-adapted, shade-tolerant species. Shade-intolerant species exhibit high rates of photosynthesis and growth in high light environments. Under low light levels, they are not able to survive (see Figure 6.14). In contrast, shade-tolerant plant species exhibit much lower rates of photosynthesis and growth under high light conditions but are able to continue photosynthesis, growth, and survival under lower light. There is a fundamental physiological trade-off between the adaptations that enable high rates of growth under high light conditions and the ability to continue growth and survival under shaded conditions (see also Figure 6.9).

In the early stages of plant succession, shade-intolerant species can dominate because of their high growth rates. Shade-intolerant species grow above and shade the slower-growing, shade-tolerant species. As time progresses and light levels decline below the canopy, however, seedlings of the shade-intolerant species cannot grow and survive in the shaded conditions. At that time, although shade-intolerant species dominate the canopy, no new individuals are being recruited into their populations. In contrast, shade-tolerant species are able to germinate and grow under the canopy. As the shade-intolerant plants that form the canopy die, shade-tolerant species in the understory will replace them.

Figure 19.10 shows this pattern of changing population recruitment, mortality, and species composition through time in the forest community in the Piedmont region of North Carolina presented in Figure 19.6. Fast-growing, shade-intolerant pine species dominate in early succession. Over time, the number of new pine seedlings declines as the light decreases at the forest floor. Shade-tolerant oak and hickory species, however, are able to establish seedlings in the shaded conditions of the understory. As the pine trees in the canopy die, the community shifts from a forest dominated by pine species to one dominated by oaks and hickories.

In this example, succession results from changes in the relative environmental tolerances and competitive abilities of the species under autogenically changing environmental conditions (light availability). Shade-intolerant species are able to



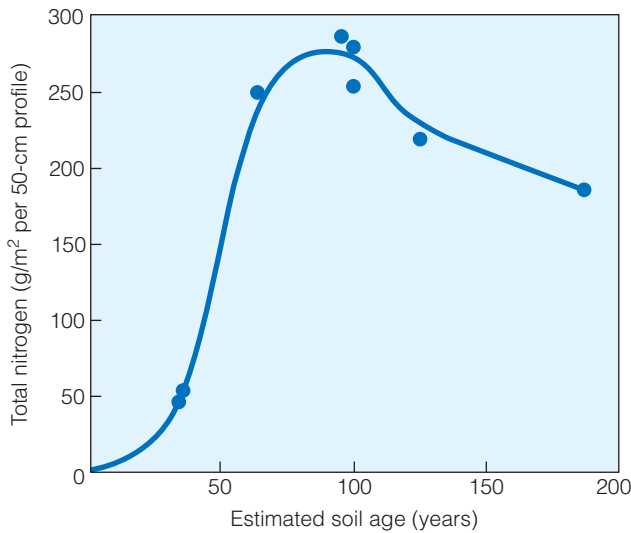
**Figure 19.10** Dominance shift of overstory and understory (seedlings and saplings) pines, oaks, and hickories during secondary succession in the Piedmont region of North Carolina (see Figure 19.6). Early successional pine species initially dominate the site. Pine seedling regeneration declines as the light decreases in the understory. Shade-tolerant oak and hickory seedlings establish themselves under the reduced light conditions. As pine trees in the overstory die, oak and hickory replace them as the dominant species in the canopy. (Adapted from Billings 1938.)

dominate the early stages of succession because of their ability to grow quickly in the high light environment. However, as autogenic changes in the light environment occur, the ability to tolerate and grow under shaded conditions enables shade-tolerant species to rise to dominance.

Light is not the only environmental factor that changes during the course of succession, however. Other autogenic changes in environmental conditions can influence patterns of succession. The seeds of some plant species cannot germinate on the surface of mineral soil; these seeds require the buildup of organic matter on the soil surface before they can germinate and become established. In the example of secondary succession in seagrass communities (Figures 19.8 and 19.9), early colonizing species function to stabilize the sediments and add organic matter, allowing for later colonization by other plant species.

Consider the example of primary succession on newly deposited glacial sediments (see Figure 19.5). Due to the absence of a well-developed soil, little nitrogen is present in these newly exposed surfaces, thus restricting the establishment, growth, and survival of most plant species. However, those terrestrial plant species that have the mutualistic association with nitrogen-fixing *Rhizobium* bacteria are able to grow and dominate the site (see Section 16.11, Figure 16.9). These plants provide a source of carbon (food) to the bacteria that inhabit their root systems. In return, the plants have access to the atmospheric nitrogen fixed by the bacteria. Alder, which colonizes the newly exposed glacial sediments in Glacier Bay, is one such plant species (see Figure 19.5c).

As individual alder shrubs shed their leaves or die, the nitrogen they contain is released to the soil through the processes of decomposition and mineralization (Figure 19.11; see also Chapter 22). Now other plant species can colonize the site. As nitrogen becomes increasingly available in the soil, species that



**Figure 19.11** Changes in soil nitrogen during primary succession in Glacier Bay National Park since the retreating glacier exposed the surface for colonization by plants. Initially, the virtual absence of nitrogen limits site colonization to alder, which has a mutualistic association with *Rhizobium* bacteria, allowing it access to atmospheric nitrogen. As plant litter decomposes, nitrogen is released to the soil through mineralization. With the buildup of soil organic matter and nitrogen levels, other plant species are able to colonize the site and displace alder (see Figure 19.5).

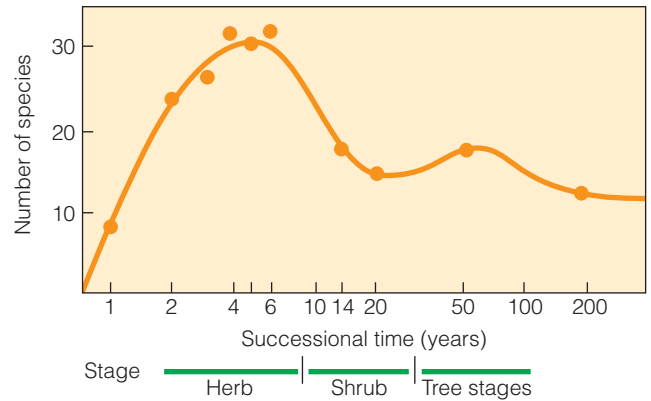
(Adapted from Crocker and Major 1955.)

do not have the added cost of mutualistic association and exhibit faster rates of growth and recruitment come to dominate the site. As in the Piedmont forest example, succession is a result of autogenic change in the environment and the relative competitive abilities of the species colonizing the site.

The exact nature of succession varies from one community to another, and it involves a variety of species interactions and responses that include facilitation, competition, inhibition, and differences in environmental tolerances. However, in all cases, the role of temporal, autogenic changes in environmental conditions and the differential response of species to those changes are key features of community dynamics.

## 19.6 Species Diversity Changes during Succession

In addition to shifts in species dominance, patterns of plant species diversity change over the course of succession. Patterns of diversity through succession have been investigated by comparing sites within an area that are at different stages of succession (seral stages); such groups of sites are known as chronoserres or **chronosequences**. Studies of secondary succession in old-field communities have shown that plant species diversity typically increases with site age (time since abandonment). The late plant ecologist Robert Whittaker of Cornell University, however, observed a different temporal pattern of species diversity for sites in New York (Figure 19.12). Species diversity increases into the late herbaceous stages and then decreases into shrub stages. Species diversity



**Figure 19.12** Changes in plant diversity during secondary succession of an oak–pine forest in Brookhaven, New York. Diversity is reported as species richness in 0.3-ha samples. Species richness increases into the late herbaceous stages, declines into the shrub stage, increases once again into the early forest stages, and declines thereafter. The peaks in diversity correspond to periods of transition between these stages, where species from both stages are present at the site.

(Adapted from Whittaker 1975, Whittaker and Woodman 1968.)

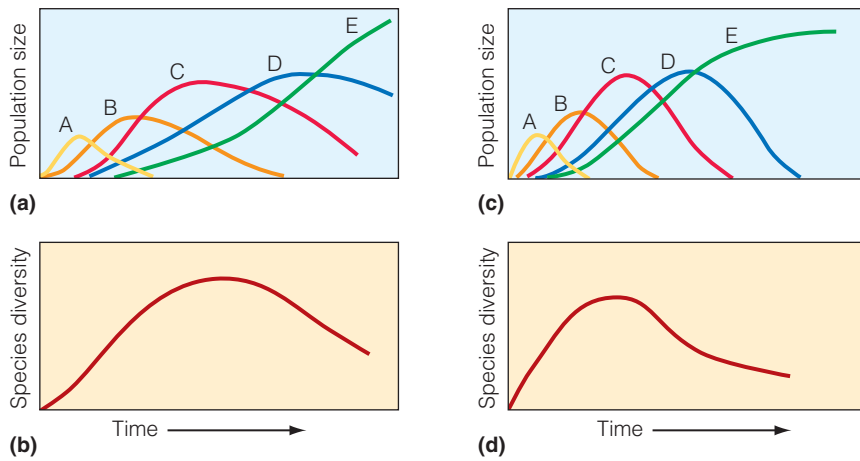
then increases again in young forest, only to decrease as the forest ages.

The processes of species colonization and replacement drive succession. To understand the changing patterns of species richness and diversity during succession, we need to understand how these two processes vary in time. Colonization by new species increases local species richness. Species replacement typically results from competition or an inability of a species to tolerate changing environmental conditions. Species replacement over time acts to decrease species richness.

During the early phases of succession, diversity increases as new species colonize the site. However, as time progresses, species become displaced—replaced as dominants by slower-growing, more shade-tolerant species. The peak in diversity during the middle stages of succession corresponds to the transition period, after the arrival of later successional species but before the decline (replacement) of early successional species. The two peaks in diversity seen in Figure 19.12 correspond to the transition between the herbaceous- and shrub-dominated phases, when both groups of plants were present, and the transition between early and later stages of woody plant succession. Species diversity declines as shade-intolerant tree species displace the earlier successional trees and shrubs.

The rate of displacement is influenced by the growth rates of species involved in the succession. If growth rates are slow, the displacement process moves slowly; if growth rates are fast, displacement occurs more quickly. This observation led Michael Huston, an ecologist at Texas State University, to conclude that patterns of diversity through succession will vary with environmental conditions (particularly resource availability) that directly influence the rates of plant growth. By slowing the population growth rate of competitors that will eventually displace earlier successional species, the period of coexistence





**Figure 19.13** (a) Hypothetical succession involving five plant species (A–E), and (b) the associated temporal pattern of species diversity. Note that species diversity increases initially as new species colonize the site. However, diversity declines as autogenically changing environmental conditions and competition result in the displacement of early successional species. (c) When the growth rates of the five species are doubled, the succession progresses more quickly, and (d) the pattern of species diversity reflects the earlier onset of competition and more rapid displacement of early successional species. As a result, the period over which species diversity is at its maximum is reduced. (Adapted from Huston 1994.)

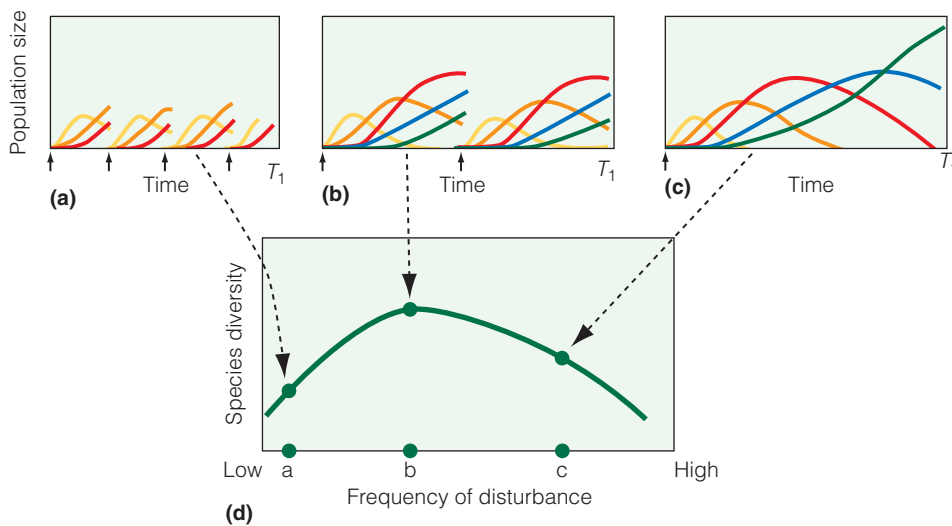
is extended, and species diversity can remain high (Figure 19.13). This hypothesis has the consequence of predicting the highest diversity at low to intermediate levels of resource availability by extending the coexistence period.

Disturbance can have an effect similar to that of reduced growth rates by extending the period over which species coexist. In the simplest sense, disturbance acts to reset the clock in succession (Figure 19.14). By reducing or eliminating plant populations, the site is once again colonized by early successional species, and the process of colonization and species replacement begins again. If the frequency of disturbance (defined by the time interval between disturbances) is high, then later successional species will never have the opportunity to colonize the site (Chapter 20). Under this scenario, diversity will remain low. In the absence of disturbance, later successional species will displace earlier ones and species diversity will decline. At an intermediate frequency of disturbance, colonization can occur, but competitive displacement is held to a minimum. The pattern of

high diversity at intermediate frequencies of disturbance was proposed independently by Michael Huston and by Joseph Connell of the University of California–Santa Barbara and is referred to as the **intermediate disturbance hypothesis**.

### 19.7 Succession Involves Heterotrophic Species

Although our discussion and examples of succession have thus far focused on temporal changes in the autotrophic component of the community (plant succession), changes in the heterotrophic component also occur. A well-studied example is succession in the heterotrophic communities involved in decomposition. Dead trees, animal carcasses, and droppings form substrates on which communities of organisms involved in decomposition exist. Within these communities, groups of plants and animals succeed each other in a process of colonization and replacement. Succession is characterized by early dominance of fungi and invertebrates that feed on dead organic matter. Available energy and



**Figure 19.14** Patterns of succession for five hypothetical plant species under three levels of disturbance frequency: frequent, intermediate, and none. Time of the disturbance is shown as an arrow on the x-axis. Note the differences in species composition and diversity at time  $T_1$  on the x-axis. (a) Under high frequency of disturbance, the absence of later successional species reduces overall diversity. (b) At intermediate frequency of disturbance, all species coexist, and diversity is at a maximum. (c) When disturbance is absent, later successional species eventually displace the earlier, and again diversity is low. (d) The general form of the relationship between species diversity and frequency of disturbance is a curve with maximum diversity at intermediate frequency and magnitude of disturbance. (Adapted from Huston 1994.)

nutrients are most abundant in the early stages of succession and decline steadily as succession proceeds.

When a windstorm uproots or breaks a tree, the fallen tree becomes the stage for succession of plant and animal colonists until the log becomes part of the forest soil (Figure 19.15). The newly fallen tree, its bark and wood intact, is a ready source of shelter and nutrients. The first to exploit this resource are bark beetles and wood-boring beetles that drill through the bark and feed on the inner bark and the cambium, reducing it to frass (feces), fragments, and tunnel galleries in which to lay eggs. Both adults and larvae drill more tunnels as they feed. *Ambrosia* beetles tunnel into the sapwood, creating galleries in which fungi grow. The tunnels provide a passageway, and the frass and softened wood form a substrate for bacteria. Loosened bark provides cover for predatory insects that are soon to follow: centipedes, mites, pseudoscorpions, and beetles. As decay proceeds, the softened wood holds more moisture, but the accessible nutrients have been depleted, leaving behind more complex, decay-resistant compounds. The pioneering arthropods leave for other logs. Fungi able to break down cellulose and lignin remain (see Chapter 22). Moss and lichens find the softened wood an ideal habitat. Plant seedlings, too, take root on the softened logs, and their roots penetrate the heartwood, providing a pathway for fungal growth into the depths of the log.

Eventually, the log is broken into light brown, soft, blocky pieces, and the bark and sapwood are gone. At this advanced stage of decay, the log provides the greatest array of microhabitats and



**Figure 19.15** A succession of plant and animal species are involved in the decomposition of a fallen tree.

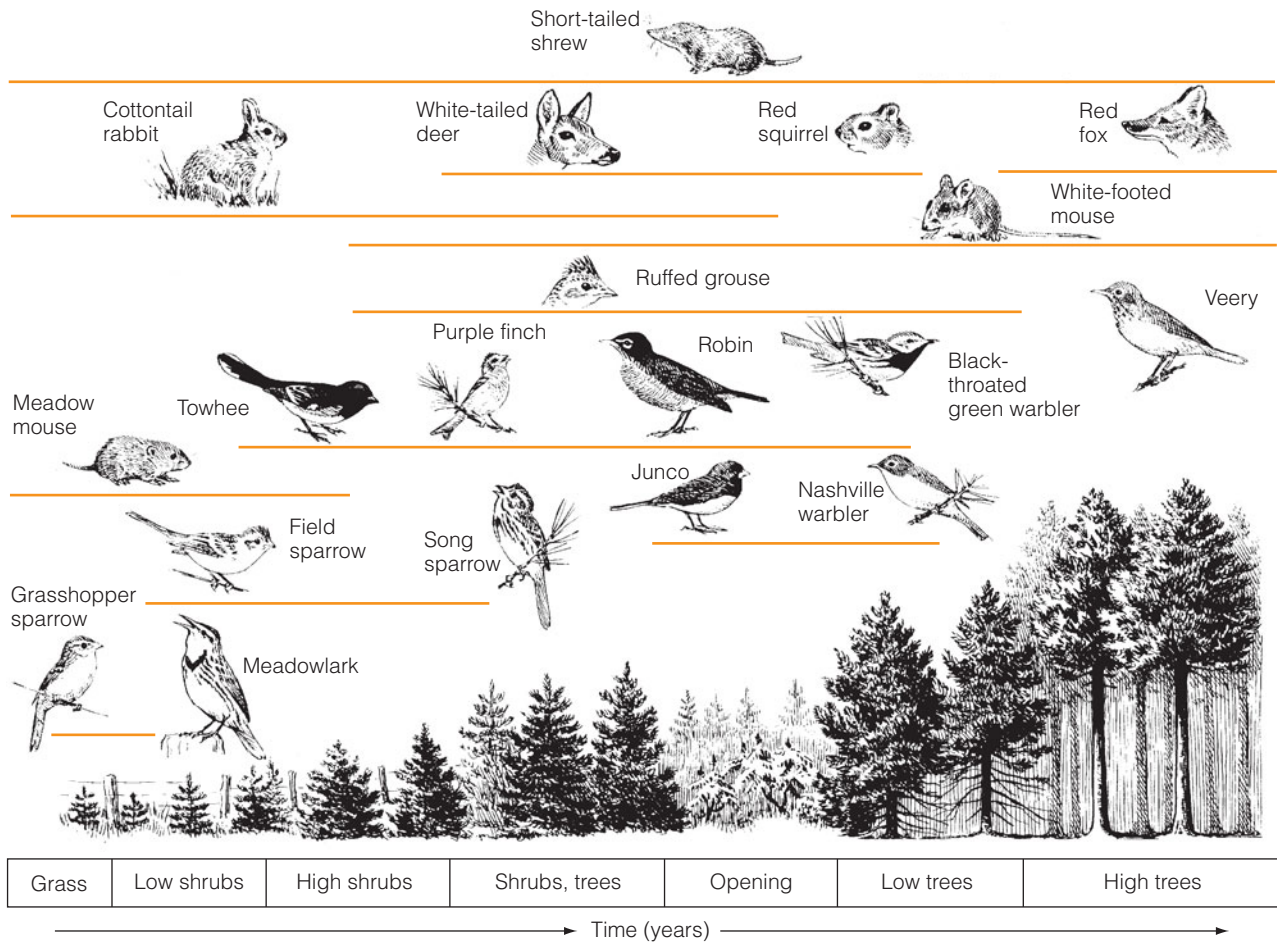
the highest species diversity. Invertebrates of many kinds find shelter in the openings and passages; salamanders and mice dig tunnels and move into the rotten wood. Fungi and other microorganisms abound, and many species of mites feed on decomposed wood and fungi. At last, the log crumbles into a red-brown, mulch-like mound of lignin materials resistant to decay, its nutrients and energy largely depleted. The tree is incorporated into the soil.

As plant succession advances, changes in the structure and composition of the vegetation result in changes in the animal life that depends on the vegetation as habitat (Figure 19.16). Each successional stage has its own distinctive fauna. Because animal life is often influenced more by structural characteristics than by species composition, successional stages of animal life may not correspond to the stages identified by plant ecologists.

During plant succession, animals can quickly lose their habitat by vegetation change. In eastern North America, grasslands and old fields support meadowlarks, meadow mice, and grasshoppers. When woody plants—both young trees and shrubs—invade, a new structural element appears. Grassland animals soon disappear, and shrubland animals take over. Towhees, catbirds, and goldfinches claim the thickets, and meadow mice give way to white-footed mice. As woody plant growth proceeds and the canopy closes, species of the shrubland decline and are replaced by birds and insects of the forest canopy. As succession proceeds, the vertical structure becomes more complex. New species appear, such as tree squirrels, woodpeckers, and birds of the forest understory, including hooded warblers and ovenbirds.

## 19.8 Systematic Changes in Community Structure Are a Result of Allogenic Environmental Change at a Variety of Timescales

The focus on succession thus far has been on shifting patterns of community structure in response to autogenic changes in environmental conditions. Such changes occur at timescales relating to the establishment and growth of the organisms that make up the community. However, purely abiotic environmental (allogenic) change can produce patterns of succession over timescales ranging from days to millennia or longer. Environmental fluctuations that occur repeatedly during an organism's lifetime are unlikely to influence patterns of succession among species with that general life span. For example, annual fluctuations in temperature and precipitation will influence the relative growth responses of different species in a forest or grassland community but they will have little influence on the general patterns of secondary succession outlined in Figures 19.2 and 19.3 (see also Figure 14.4). In contrast, shifts in environmental conditions that occur at periods as long as or longer than the organisms' life span are likely to result in successional shifts in species dominance. For example, seasonal changes in temperature, photoperiod, and light intensity produce a well-known succession of dominant phytoplankton in freshwater lakes that is repeated with very little variation each year. Seasonal succession

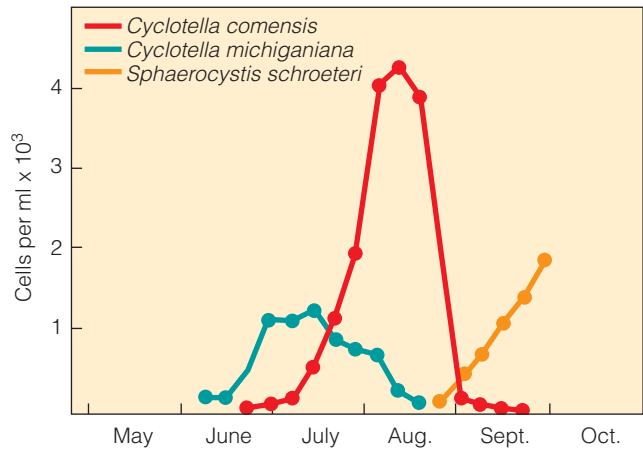


**Figure 19.16** Changes in the composition of animal species inhabiting various stages of plant succession, from old-field to conifer forest, in central New York. Species appear or disappear as vegetation density and height change. Brown lines represent the range of vegetation (stages) inhabited by the associated species.

of phytoplankton in Lawrence Lake, a small temperate lake in Michigan, is presented in Figure 19.17. Periods of dominance are correlated with species' optimal temperature, nutrient, and light requirements, all of which systematically change over the growing season. Competition and seasonal patterns of predation by herbivorous zooplankton also interact to influence the temporal patterns of species composition.

Over a much longer timescale of decades to centuries, patterns of sediment deposition can have a major influence on the successional dynamics of coastal estuarine communities. The marshlands of the River Fal in Cornwall, England, have expanded seaward some 800 m during the past century. The seaward expansion is a result of silt deposition lowering water depths. On the landward side, woodland plant species invade the marshlands, leading to a successional sequence from marsh to woodland over time.

A similar pattern of long-term transition in community structure resulting from sediment deposition occurs in freshwater environments. Ponds and small lakes act as a settling basin for inputs of sediment from the surrounding watershed. These sediments form an oozy layer that provides a substrate for rooted aquatics such as the branching green algae, *Chara*, and pondweeds. These plants bind the loose matrix of bottom sediments



**Figure 19.17** Temporal changes in the abundance of dominant phytoplankton species during the period May through October in Lawrence Lake, Michigan (1979). Mean generation times of species range from 1 to 10 days. (Adapted from Crumpton and Wetzel 1982.)

and add materially to the accumulation of organic matter. Rapid addition of organic matter and sediments reduces water depth and increases the colonization of the basin by submerged and emergent vegetation. That, in turn, enriches the water with nutrients and organic matter. This enrichment further stimulates plant growth and sedimentation and expands the surface area available for colonization by larger species of plants that root in the sediments. Eventually, the substrate, supporting emergent vegetation such as sedges and cattails, develops into a marsh. As drainage improves and the land builds higher, emergent plants disappear. Meadow grasses invade to form a marsh meadow in forested regions and wet prairie in grass country. Depending on the region, the area may pass into grassland, swamp woodland of hardwoods or conifers, or peat bog (see Section 25.7).

Over an even longer timescale, changes in regional climate directly influence the temporal dynamics of communities. The shifting distribution of tree species and forest communities during the 18,000 years that followed the last glacial maximum in eastern North America is an example of how long-term allogenic changes in the environment can directly influence patterns of both succession and zonation at local, regional, and even global scales.

## 19.9 Community Structure Changes over Geologic Time

Since its inception some 4.6 billion years ago, Earth has changed profoundly. Landmasses emerged and broke into continents. Mountains formed and eroded, seas rose and fell, and ice sheets advanced to cover large expanses of the Northern and Southern hemispheres and then retreated. All these changes affected the climate and other environmental conditions from one region of Earth to another. Many species of plants and animals evolved, disappeared, and were replaced by others. As environmental

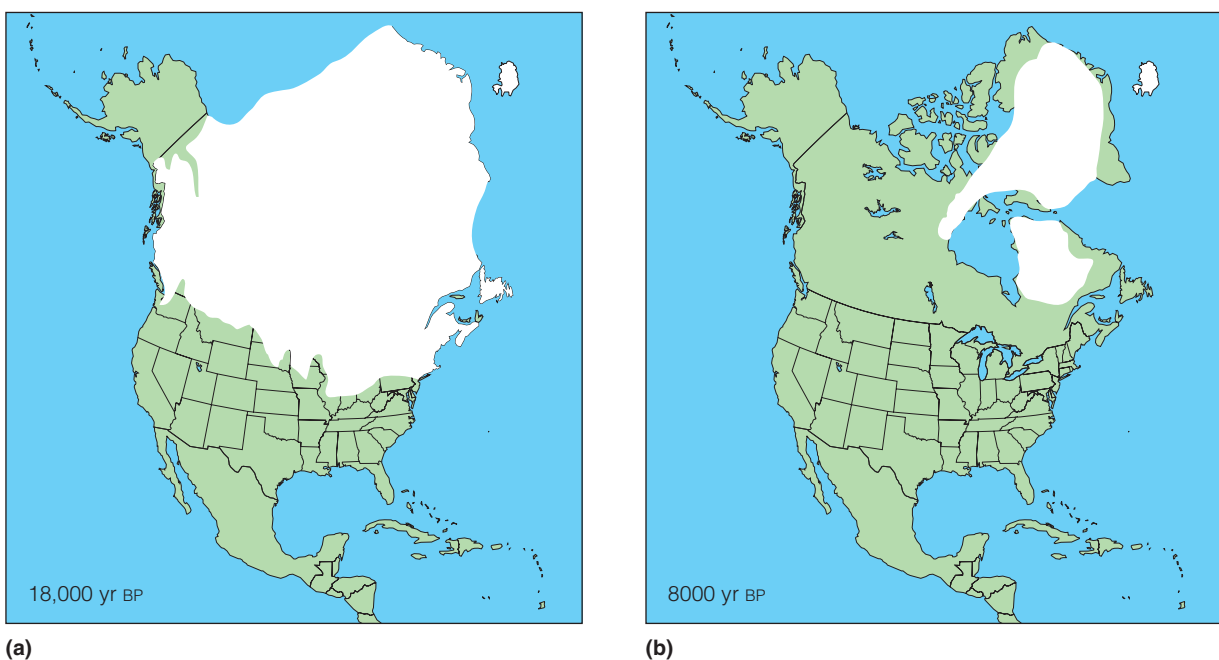
conditions changed, so did the distribution and abundance of plant and animal species.

Records of plants and animals composing past communities lie buried as fossils: bones, insect exoskeletons, plant parts, and pollen grains. The study of the distribution and abundance of ancient organisms and their relationship to the environment is **paleoecology**. The key to present-day distributions of animals and plants can often be found in paleoecological studies. For example, paleoecologists have reconstructed the distribution of plants in eastern North America after the last glacial maximum of the Pleistocene.

The Pleistocene was an epoch of great climatic fluctuations throughout the world. At least four times in North America and three times in Europe, ice sheets advanced and retreated. With each movement, the biota retreated and advanced again with a somewhat different mix of species.

Each glacial period was followed by an interglacial period. The climatic oscillations in each interglacial period had two major stages: cold and temperate. During the cold stage, tundra-like vegetation dominated the landscape (see Section 24.9). As the glaciers retreated, light-demanding forest trees such as birch (*Betula* spp.) and pine advanced. Then, as the soil improved and the climate warmed, these trees were replaced by more shade-tolerant species such as oak and ash (*Fraxinus* spp.). As the next glacial period began to develop, species such as firs (*Abies* spp.) and spruces (*Picea* spp.) took over the forest. At that time, both climate and soil began to deteriorate. Heaths began to dominate the vegetation, and forest species disappeared.

The last great ice sheet, the Laurentian, reached its maximum advance about 20,000 to 18,000 years before present (B.P.) during the Wisconsin glaciation stage in North America (Figure 19.18). Canada was under ice. A narrow belt of tundra about 60 to 100 km wide bordered the edge of the ice sheet and probably extended southward into the high Appalachians.

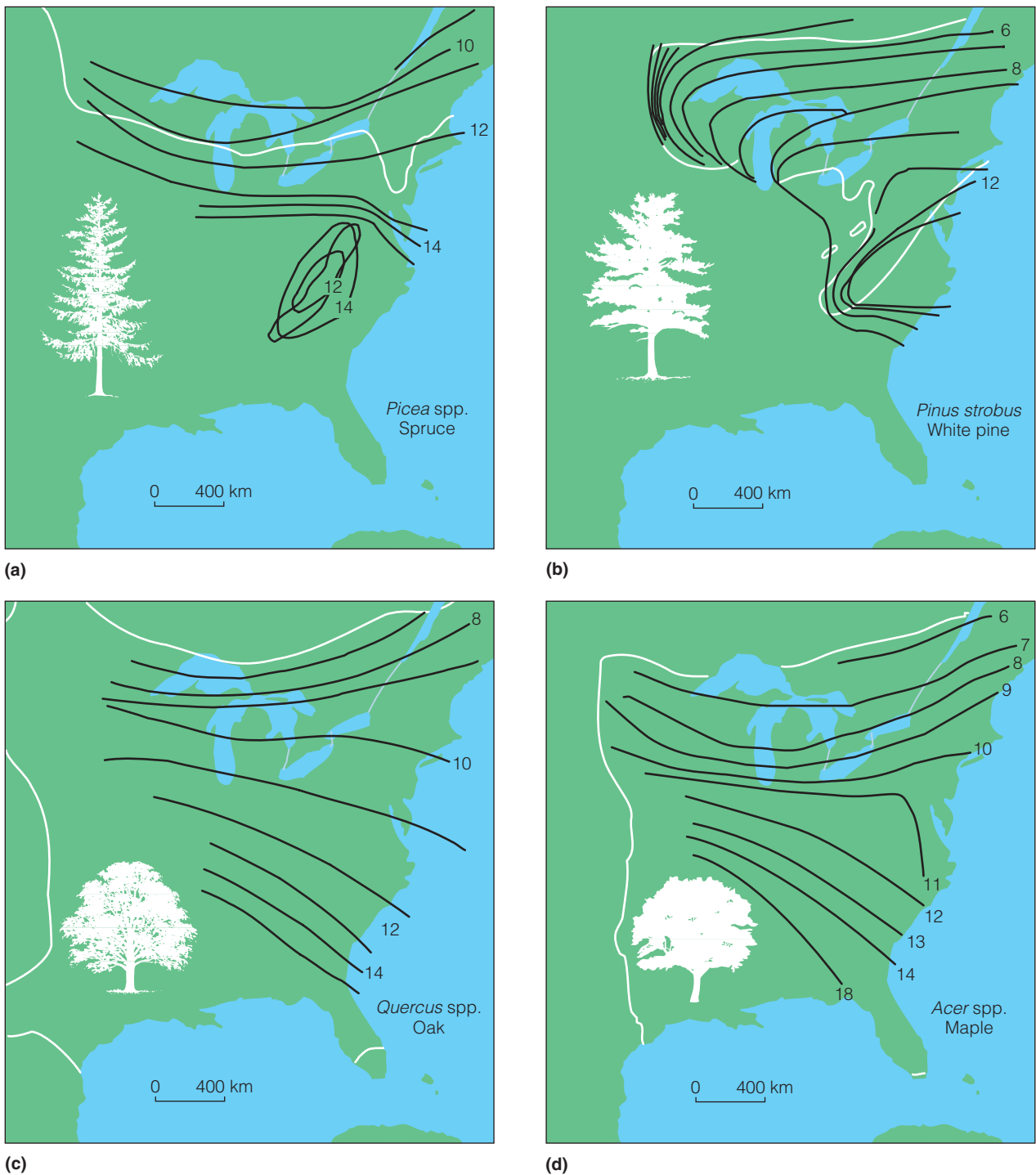


**Figure 19.18** Distribution of the glacial ice sheet on the North American continent at 18,000 (a) and 8000 (b) years before present (B.P.).

Boreal forest, dominated by spruce and jack pine (*Pinus banksiana*), covered most of the eastern and central United States as far as western Kansas.

As the climate warmed and the ice sheet retreated northward, plant species invaded the glaciated areas. The maps in Figure 19.19 reflect the advances of four major tree genera

in eastern North America after the retreat of the ice sheet. Margaret Davis of the University of Minnesota developed these maps from patterns of pollen deposition in sediment cores taken from lakes in eastern North America. By examining the presence and quantity of pollen deposited in sediment layers and radiocarbon dating the sediments, she was able to obtain a



**Figure 19.19** Postglacial migration of four tree genera: (a) spruce, (b) white pine, (c) oak, and (d) maple. Dark lines represent the leading edges of the northward-expanding populations. White lines indicate the boundaries of the present-day ranges. The numbers are thousands of years before present (B.P.).

(Adapted from Davis 1981.)

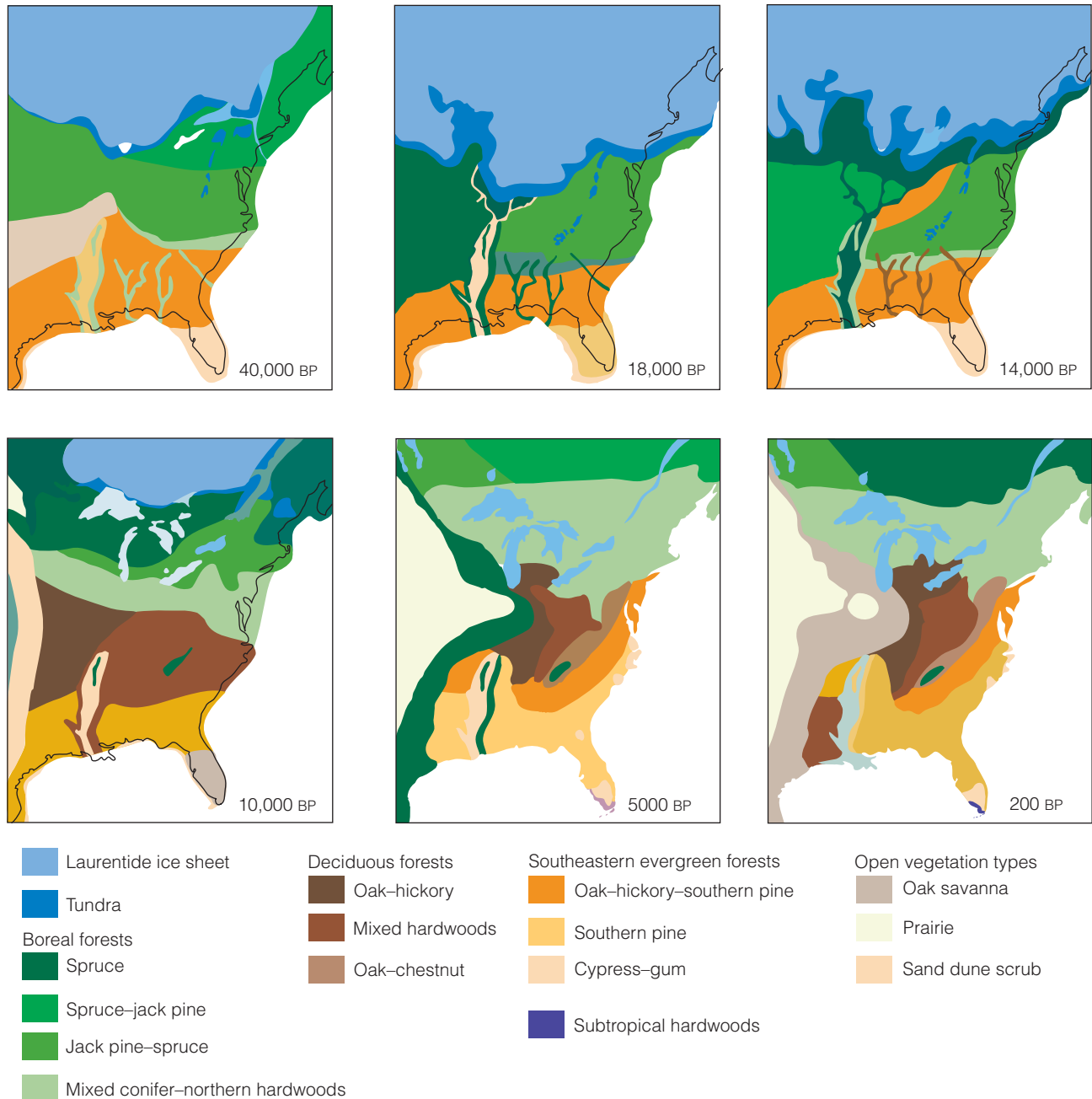
picture of the spatial and temporal dynamics of tree communities over the past 18,000 years.

These analyses identify plants at the level of genus rather than species because, in many cases, we cannot identify species from pollen grains. Note that different genera, and associated species, expanded their distribution northward with the retreat of the glacier at markedly different rates. Differences in the rates of range expansion are most likely due to the differences in temperature responses of the species, distances and rates at which seeds can disperse, and interactions among species. The implication is that, during the past 18,000 years, the distribution and abundance

of species and the subsequent structure of forest communities in eastern North America have changed dramatically (Figure 19.20).

### 19.10 The Concept of Community Revisited

Our initial discussion of the processes influencing community structure and dynamics contrasted two views of the community (see Section 17.9). Through his organismal concept, Clements viewed the community as a quasi-organism made up of interdependent species. By contrast, in his individualistic or continuum

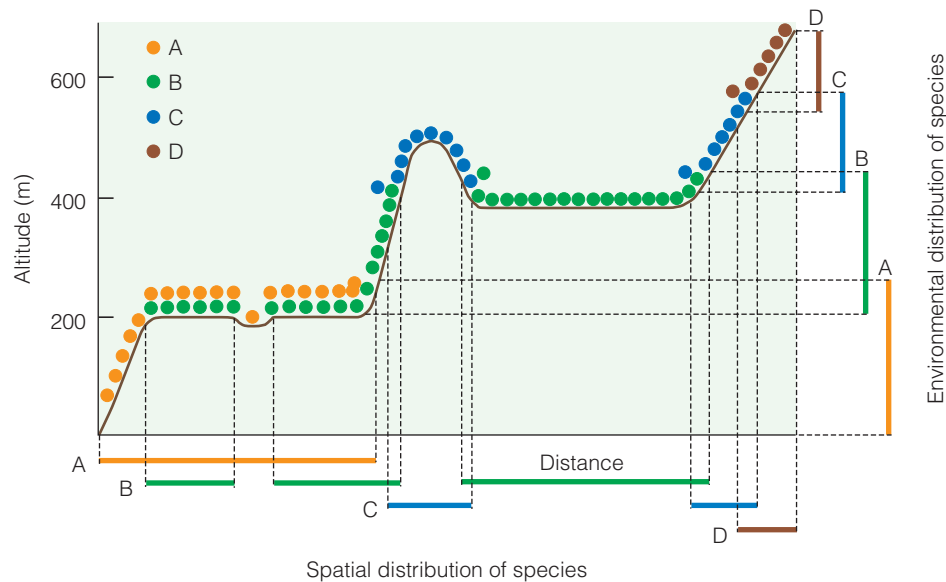


**Figure 19.20** Changes in the distribution of plant communities during and after the retreat of the Wisconsin ice sheet; the changes are reconstructed from pollen analysis at sites throughout eastern North America.

(Adapted from Delcourt and Delcourt 1981.)

concept, Gleason saw the community as an arbitrary concept and stated that each species responds independently to the underlying features of the environment. Research reveals that, as with most polarized debates, the reality lies somewhere in the middle, and our viewpoints are often colored by our perspective. The organismal community is a spatial concept. As we stand in the forest, we see a variety of plant and animal species interacting and influencing the overall structure of the forest. The continuum view is a population concept, focusing on the response of the component species to the underlying features of the environment.

A simple example of the continuum concept is presented in Figure 19.21, which represents a transect up a mountain in an area with four plant species present. The distributions of the four plant species are presented in two ways. In one view, the species distributions are plotted as a function of altitude or elevation. Note that the four species exhibit a continuum of species regularly replacing each other in a sequence of A, B, C, and D with increasing altitude—very similar to the individualistic view of communities. The second view of species distributions is a function of distance along the altitudinal gradient (mountainside). As we move up the mountainside, the distributions of the four species are not continuous. As a result, we might recognize several species associations as we walk along the transect. These associations are identified in Figure 19.21 by different symbols representing the combination of species. Communities composed of coexisting species are a consequence of the spatial pattern of the landscape.



**Figure 19.21** Patterns of co-occurrence for four plant species on a landscape along a gradient of altitude. Environmental distributions of the four species are presented in two ways: (1) as the spatial distributions of the species along a transect on the mountainside, and (2) as a function of their response to altitude. Note that the species responses to the altitudinal gradient are continuous, but their spatial distributions along the transect are discontinuous. Patterns of species composition along the mountain gradient result from the spatial pattern of environmental conditions (altitude) and the individual responses of the species. Responses of the species to the environmental gradient are consistent with the individualistic or continuum view of communities proposed by Gleason (see Figure 16.11b). However, consistent patterns of species co-occurrence across the landscape are a function of the spatial distribution of environmental conditions. Repeatable patterns of species co-occurrence in similar habitats are consistent with the idea of plant associations supported by Clements (see Figure 16.11a). (Adapted from Austin and Smith 1989.)

The two views are quite different yet consistent. Each species has a continuous response along an environmental gradient: elevation. Yet the spatial distribution of that environmental variable across the landscape determines the overlapping patterns of species distributions—the composition of the community.

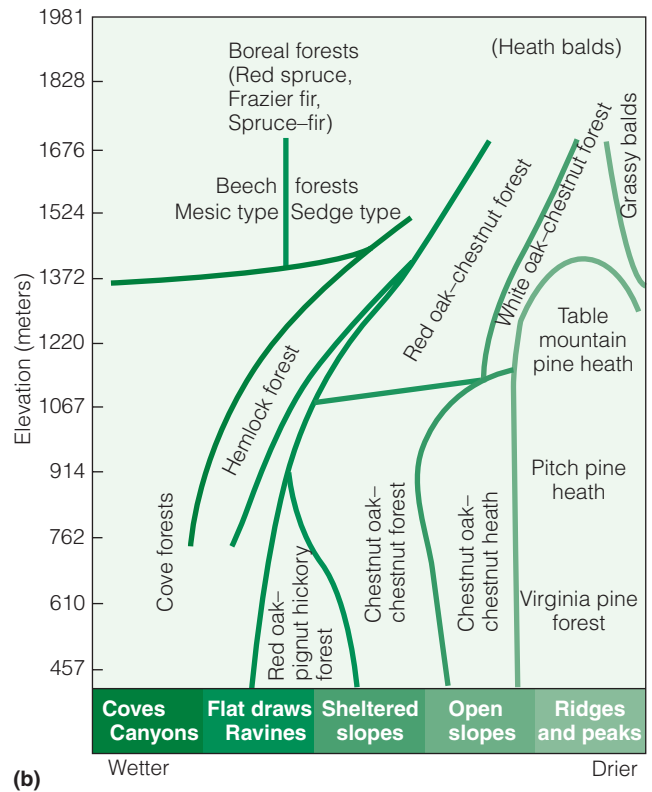
This same approach can be applied to the patterns of forest communities in Great Smoky Mountains National Park (see Chapter 17, Figure 17.10). Different elevations and slope positions are characterized by unique tree communities, identified by and named for the dominant tree species (Figure 19.22b). When presented in this fashion, the distributions of plant communities appear to support the organismal model of communities put forward by Clements. Yet if we plot the distributions of major tree species along a direct environmental gradient, such as soil moisture availability (Figure 19.22c), the species appear to be distributed independently of each other, thus supporting Gleason's view of the community.

The simple example in Figure 19.21 examines only one feature of the environment (elevation), yet the structure of communities is the product of a complex interaction of pattern and process. Species respond to a wide array of environmental factors that vary spatially and temporally across the landscape, and the interactions among organisms influence the nature of those responses. The product is a dynamic mosaic of communities that occupy the larger landscape.

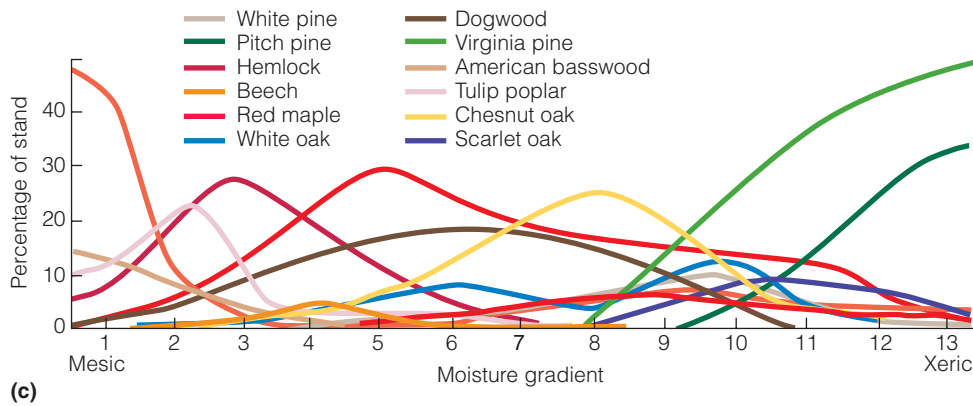
**Figure 19.22** Two perspectives of plant communities in (a) Great Smoky Mountains National Park. (b) Distribution of plant communities (associations) in the park in relation to elevation (y-axis) and slope position and aspect (x-axis). Communities are classified based on the dominant tree species. (c) Distribution and abundance of major tree species that make up vegetation communities in the park, plotted along the gradient of moisture availability (a function of slope position and aspect). (b) (Adapted from Whittaker 1954.) (c) (Adapted from Whittaker 1956.)



(a)



(b)



(c)

## Summary

### Succession 19.1

With time, natural communities change. This gradual sequential change in the relative abundance of species in a community is succession. Opportunistic, early successional species yield to late successional species. Succession occurs in all environments. The similarity of successional patterns in different environments suggests a common set of processes.

### Primary Succession 19.2

Primary succession begins on sites devoid of or unchanged by organisms. Examples include newly formed sand dunes, lava flows, or newly exposed glacial sediments.

### Secondary Succession 19.3

Secondary succession begins after disturbance on sites where organisms are already present. Examples on land include abandoned agricultural lands or the reestablishment of vegetation after logging or fire. In aquatic ecosystems, disturbances caused by storms, wave action, or herbivory can initiate the process of secondary succession.

### History 19.4

The study of succession has been a focus of ecological research for more than a century. The intervening years have seen a variety of hypotheses attempting to address the



processes that drive succession. These hypotheses include a variety of processes related to colonization, facilitation, competition, inhibition, and differences in environmental tolerances.

### Autogenic Environmental Change 19.5

Environmental changes can be autogenic or allogenic. Autogenic changes are a direct result of the activities of organisms in the community. Changes in environmental conditions independent of organisms are allogenic. Succession is the progressive change in community composition through time in response to autogenic changes in environmental conditions. One example is the changing light environment and the shift in dominance from fast-growing, shade-intolerant plants to slow-growing, shade-tolerant plants observed in terrestrial plant succession. Autogenic changes in nutrient availability, soil organic matter, and stabilization of sediments can likewise have a major influence on succession.

### Species Diversity and Succession 19.6

Patterns of species diversity change during the course of succession. Species colonization increases species richness, whereas species replacement acts to decrease the number of species present. Species diversity increases during the initial stages of succession as the site is colonized by new species. As early successional species are displaced by later arrivals, species diversity tends to decline. Peaks in diversity tend to occur during succession stages that correspond to the transition period, after the arrival of later successional species but before the decline of early successional species. Patterns of diversity during succession are influenced by resource availability and disturbance.

### Heterotrophic Species 19.7

Changes in the heterotrophic component of the community also occur during succession. The succession of organisms involved in the decomposition of fallen logs in a forest provides an example. Successional changes in vegetation affect the nature and diversity of animal life. Certain sets of species are associated with the structure of vegetation found during each successional stage.

### Allogenic Environmental Change 19.8

Fluctuations in the environment that occur repeatedly during an organism's lifetime are unlikely to influence patterns of succession among species with that general life span. Allogenic, abiotic environmental changes that occur over timescales greater than the longevity of the dominant organisms can produce patterns of succession over timescales ranging from days to millennia or longer.

### Long-Term Changes 19.9

The current pattern of vegetational distribution reflects the glacial events of the Pleistocene. Plants retreated and advanced with the movements of the ice sheets. The rates and distances of their advances are reflected in the present-day ranges of species and the distribution of plant communities.

### Community Revisited 19.10

The community is a spatial concept; the individual continuum is a population concept. Each species has a continuous response along an environmental gradient, such as elevation or moisture. Yet the spatial distribution of that environmental variable across the landscape determines the overlapping patterns of distribution—the composition of the community.

---

## Study Questions

1. Distinguish between zonation and succession.
2. Distinguish between primary and secondary succession.
3. Defoliation of oak trees by gypsy moth larvae caused the death of extensive forest stands in the Blue Ridge Mountains of central Virginia. The recovery of these forest communities after defoliation includes the growth of existing trees and shrubs that have escaped defoliation, as well as colonization of the site by tree species outside the community. Is this an example of primary or secondary succession? Why?
4. Use the discussion of secondary succession in an abandoned agricultural field presented in Section 19.3 to differentiate among the models of facilitation, competition, and tolerance as they apply to the process of succession.
5. Classification of plant species into three primary life history strategies (*R*, *C*, and *S*) proposed by the plant ecologist Philip Grime was discussed in Chapter 10, Section 10.10. Which of these three plant strategies is most likely to be found colonizing a newly disturbed site (early successional species)? Which of the three plant strategies is most likely to characterize later successional species?
6. Why is the ability to tolerate low resource availability often associated with plant species that dominate during the later stages of succession?
7. In Section 18.7, we discussed the difference between size-symmetrical and size-asymmetrical competition for resources. How might the nature of these two types of competition shift during the process of plant succession?
8. If the vertical structure of the vegetation increases during the process of terrestrial plant succession, how might the pattern of animal species diversity respond? (Hint: See Section 18.6.)

## Further Readings

- Bazzaz, F. A. 1979. The physiological ecology of plant succession. *Annual Review of Ecology and Systematics* 10:351–371.  
This now-classic paper contrasts the physiology of plant species characteristic of different stages of succession. Bazzaz provides a framework for understanding the process of succession as the result of varying plants' adaptations to changing environmental conditions through time.
- Bazzaz, F. A. 1996. *Plants in changing environments: Linking physiological, population, and community ecology*. New York: Cambridge University Press.  
This book integrates a variety of laboratory and field studies aimed at understanding the dynamics of plant communities.
- Chapin, F. S., III, L. Walker, C. Fastie, and L. Sharman. 1994. Mechanisms of primary succession following deglaciation at Glacier Bay, Alaska. *Ecological Monographs* 64:149–175.  
A detailed study of the process of primary succession in Glacier Bay, Alaska.
- Golley, F., ed. 1978. *Ecological succession*. Benchmark Papers. Stroudsburg, PA: Dowden, Hutchinson, and Ross.  
Succession as viewed over time. This edited volume presents a historical view of ecological theory relating to the process of succession. Golley presents a variety of original manuscripts with commentaries that trace the development of theoretical thinking on the subject.
- Huston, M., and T. M. Smith. 1987. Plant succession: Life history and competition. *American Naturalist* 130:168–198.  
This manuscript presents a framework for understanding the process of plant succession as a result of the trade-offs in the evolution of plant life history characteristics and the shifting nature of competition as environmental conditions change through time.
- Smith, T. M., and M. Huston. 1987. A theory of spatial and temporal dynamics of plant communities. *Vegetation* 3:49–69.  
Expands the framework first developed by Huston and Smith (see preceding article) to understand patterns of zonation and succession in plant communities from the perspective of evolutionary trade-offs in plant life history characteristics.
- Tilman, D. 1988. *Plant strategies and the dynamics and structure of plant communities*. Princeton, NJ: Princeton University Press.  
In this book, Tilman draws upon a wide range of field and laboratory studies to develop a theoretical framework for understanding pattern and process in plant communities.
- West, D. C., H. H. Shugart, and D. B. Botkin, eds. 1981. *Forest succession: Concepts and application*. New York: Springer-Verlag.  
An excellent reference on patterns and processes relating to succession in forest communities.



Landscape showing the contrast between highly managed agricultural fields in the foreground and native forest communities occupying the hills in the background.

- 20.1 Environmental Processes Create a Variety of Patches in the Landscape**
- 20.2 Transition Zones Offer Diverse Conditions and Habitats**
- 20.3 Patch Size and Shape Are Crucial to Species Diversity**
- 20.4 The Theory of Island Biogeography Applies to Landscape Patches**
- 20.5 Landscape Connectivity Permits Movement between Patches**
- 20.6 Metapopulation and Metacommunity Are Central Concepts in the Study of Landscape Dynamics**
- 20.7 Frequency, Intensity, and Scale Determine the Impact of Disturbances**
- 20.8 Various Natural Processes Function as Disturbances**
- 20.9 Human Disturbance Creates Some of the Most Long-Lasting Effects**
- 20.10 The Landscape Represents a Shifting Mosaic of Changing Communities**



**Figure 20.1** A view of a Virginia landscape showing a mosaic of patches consisting of different types of land cover: natural forest, plantations, fields, water, and rural development.

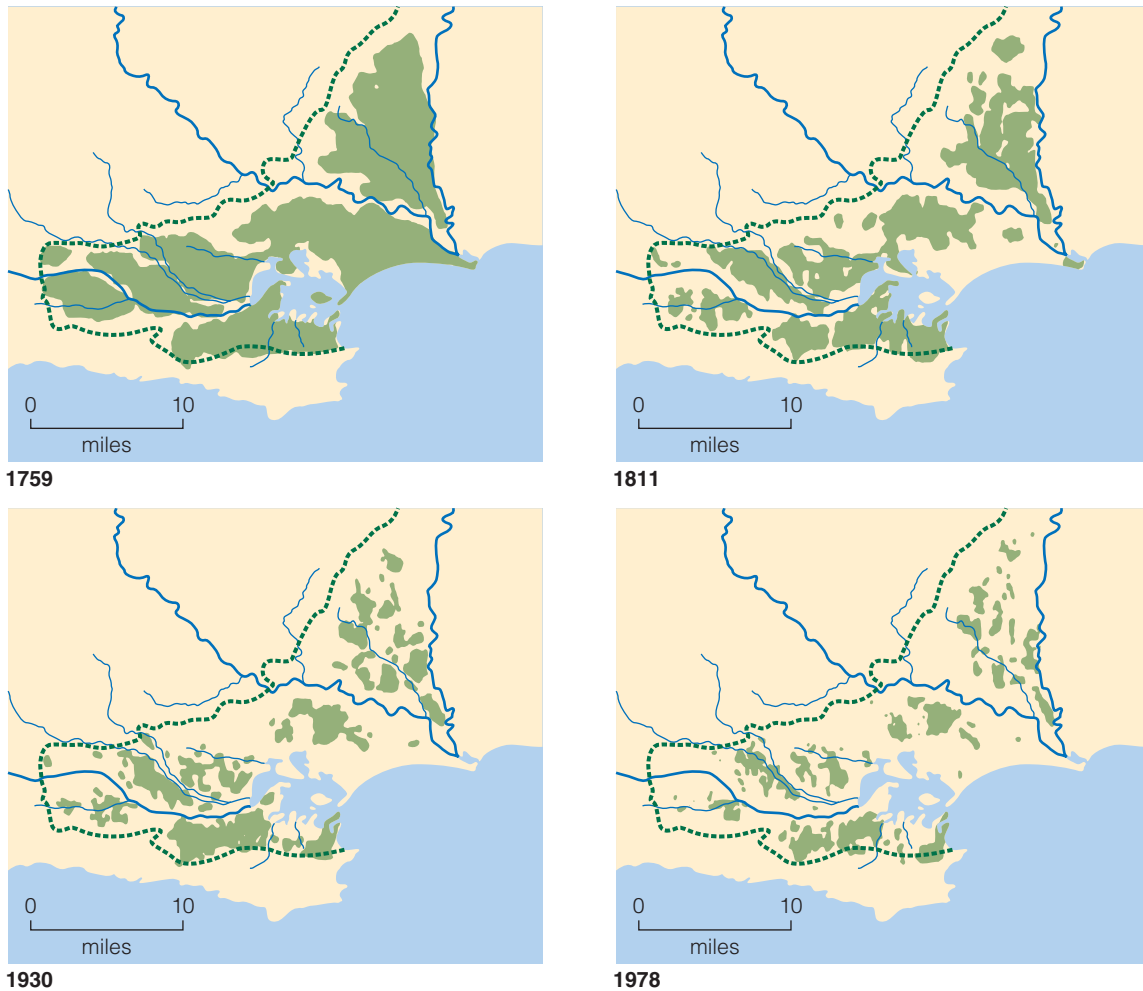
**W**e have defined a community as a group of plant and animal species occupying a given area (Chapter 17). Although ecological communities, by definition, have a spatial boundary, they likewise have a spatial context within the larger landscape (see Section 17.8). Consider the view of the Virginia countryside in Figure 20.1. It is a patchwork of forest, fields, golf course, hedgerows, pine plantation, pond, and human habitations. This patchwork of different types of land cover is called a **mosaic**, using the analogy of mosaic art in which an artist combines many small pieces of variously colored material to create a larger pattern or image (Figure 20.2). The artist creates the emerging pattern, defining boundaries by using different shapes and colors of materials to construct the mosaic. In a similar fashion, the landscape mosaic is a product of the boundaries defined by changes in the physical and biological structure of the distinct communities, called **patches**, which form its elements. In the artist's mosaic, the elements interact only visually to present the emerging image. By contrast, the landscape mosaic is dynamic. Patches and their boundaries, the structural and functional components of the landscape, interact in a variety of ways depending on their size and spatial arrangement, and change through time. The study of the causes behind the formation of patches and boundaries and the ecological consequences of these spatial patterns on the landscape is called **landscape ecology**.



**Figure 20.2** Mosaic in Khirbat al-Mafjar, Israel, dating from A.D. 710–750. Boundaries defining the different objects in the scene (lion, tree, antelope, etc.) are created using small pieces of stone that differ in shape and color.

## 20.1 Environmental Processes Create a Variety of Patches in the Landscape

Patches, relatively homogeneous community types (such as the crop fields, forest, pond, or lawns shown in Figure 20.1) differ from their surroundings both in structure and in species



**Figure 20.3** Fragmentation and isolation of Poole Basin, Dorset, England. Between 1759 and 1978, the area lost 86 percent of its heathland (40,000 ha to 6000 ha), changing from 10 large blocks separated by rivers to 1084 pieces—nearly half of these sites are less than 1 ha, and only 14 sites are larger than 100 ha.

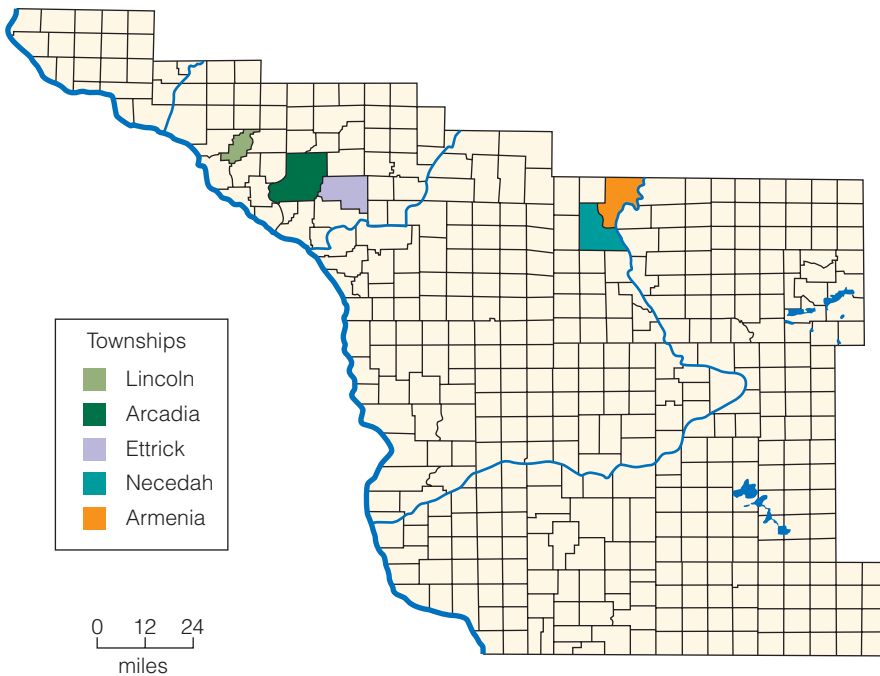
(Adapted from Webb and Haskins 1980.)

composition. They vary in size, shape, and type and are embedded within a complex mosaic of surrounding patches from which they are often separated by distinct boundaries. The communities that surround a patch constitute its **matrix**. But how does the mosaic of patches develop on the landscape?

Patches making up the landscape mosaic result from the interactions of several environmental factors, including regional variations in geology, topography, soils, and climate. Upon this natural stage, human activity makes its mark on the broad-scale distribution of communities. For example, ongoing fragmentation of large tracts of land by human development eventually results in a mosaic of smaller, often isolated patches of forest, grassland, and shrubland (Figure 20.3). Many landscape patterns we observe today in the United States reflect early land-survey methods, developed in the United States, that divided square miles of land into 640-acre sections, 320-acre half sections, and 160-acre quarter sections. Historically, these land surveys were set on straight lines (consider the Mason-Dixon Line and many state and county boundaries), with no attempt to follow topography or

natural boundaries (Figure 20.4). The straight-line survey resulted in straight corners where woods and fields, croplands and developments, and other landscape elements met. This checkerboard pattern, often overlooked by the casual observer, made a lasting impact on the landscape.

Although human activity is often the most obvious force determining the size and shape of landscape patches, natural variations in geology and soil conditions and natural events such as fire and grazing by herbivores all interact to govern the formation of patches. As a result, patches vary considerably in size and shape. They may be square, elongate, round, or convoluted, covering tens of square kilometers or only a few meters. The area, shape, and orientation of landscape patches all determine their suitability as habitats for plants and animals and influence many ecological processes, such as wind flow, dispersal of seeds, and movement of animals. In the following section we look at special zones formed where patches meet. These areas are often inhabited by species common to each adjacent community as well as by species unique to transition areas between patches.



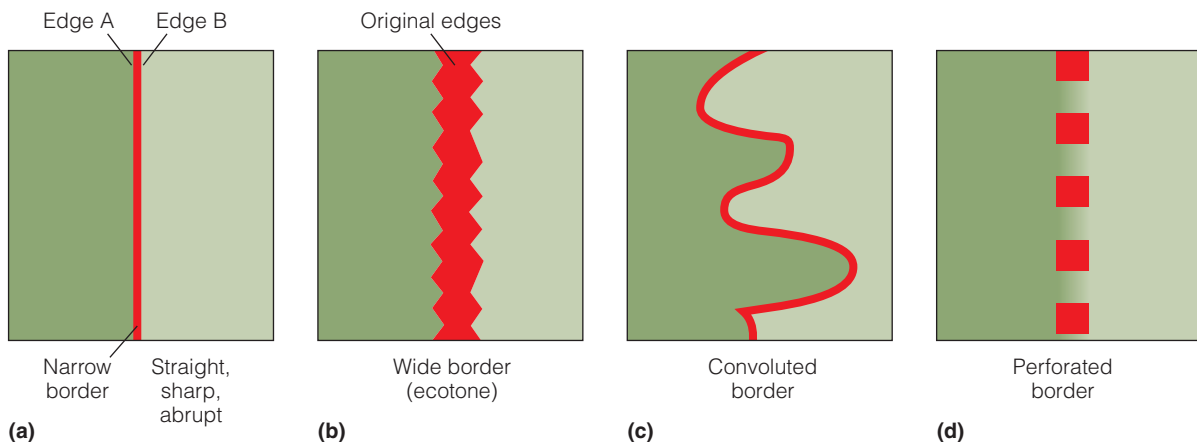
**Figure 20.4** Township boundaries in southwestern Wisconsin. Although the boundaries of some townships are defined by natural features on the landscape (see labeled townships), most boundaries were established by the U.S. Rectangular Survey System used in the 18th and 19th centuries.

(Adapted from Johnson 1976.)

## 20.2 Transition Zones Offer Diverse Conditions and Habitats

Among the landscape's most conspicuous features are the edges or borders that mark the perimeter of each patch. Some edges indicate an abrupt change in the physical conditions—topography, substrate, soil type, or microclimate—between communities. An example is a large rock outcrop in a forest. Where long-term natural features underlie adjoining vegetation, edges are usually stable and permanent. Other edges, however, result from natural disturbances such as fires, storms, and flood or from human-related activities such as timber harvesting, livestock grazing, and housing developments. Such edges are subject to successional changes over

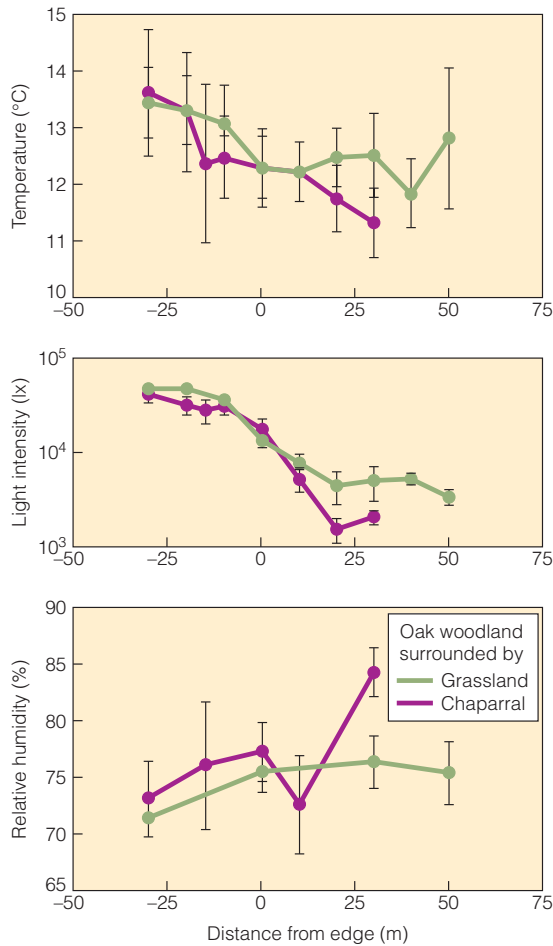
time. The place where the edge of one patch meets the edge of another is called a **border** (Figure 20.5), an area of contact, separation, or transition between patches. Some borders between landscape patches are narrow and abrupt with a sharp contrast between the adjoining patches, such as between a forest and an adjacent agricultural field. Others are wide with width best defined as the distance between the border and the point where the physical conditions and vegetation do not differ significantly from those of the interior of the patches. Wide borders form a transition zone, often called an **ecotone**, between the adjoining patches (Figure 20.5b). Borders may vary in length and may be straight (Figure 20.5a); convoluted (Figure 20.5c); or perforated (Figure 20.5d). In addition to width, borders have a



**Figure 20.5** Types of borders. (a) Narrow, sharp, abrupt border created where the edges of two patches meet; (b) wide border creating an ecotone between two adjacent patches; (c) convoluted border; and (d) perforated border.

vertical structure, the height of which influences the steepness of the physical gradient between patches.

Functionally, borders connect patches through fluxes or flows of material, energy, and organisms. The height, width, and porosity of borders influence the gradients of wind flow, moisture, temperature, and solar radiation between the adjoining patches (Figure 20.6). Borders can differentially restrict or facilitate the dispersal of seed and the movements of animals across the landscape.



**Figure 20.6** Microhabitat variation (temperature, light intensity, and relative humidity) across edges between oak woodland patches and two different matrix habitats, chaparral and grassland, in the Santa Cruz Mountains of central California. For the  $x$ -axis, positive values indicate the distance from an edge into the woodland habitat; negative values indicate the distance into the matrix habitat. Bars represent standard errors of the means. Light intensity:  $(lx \cdot 0.0185 = PAR(\mu\text{mol}/\text{m}^2/\text{s}))$ . (Adapted from Sisk 1997.)

### INTERPRETING ECOLOGICAL DATA

- Q1.** How does air temperature (top graph) change as you move out of an oak woodland patch into a surrounding chaparral habitat?
- Q2.** How does light intensity (center graph) change as you move from either chaparral or grassland habitats into woodland patches?
- Q3.** In general, how does relative humidity (bottom graph) change as you move from the more open grassland and chaparral habitats into oak woodland patches?

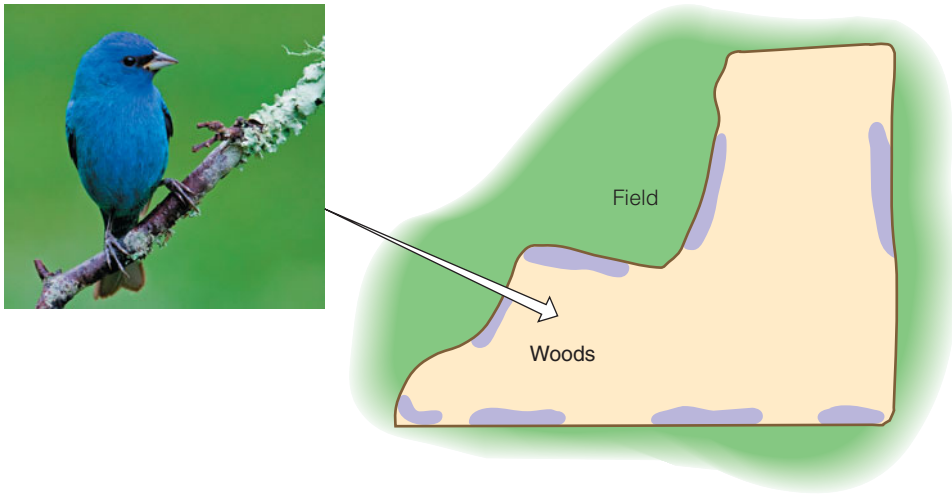
Environmental conditions imposed by the physical environment in transition zones between patches enable certain plant and animal species to colonize border environments. Plant species found in such areas tend to be more shade-intolerant (sun adapted) and can tolerate the dry conditions caused by higher air temperatures and rates of evapotranspiration (see Section 6.9). Animal species inhabiting border environments are usually those that require two or more habitat types (plant communities) within their home range or territory. For example, the ruffed grouse (*Bonasa umbellatus*) requires new forest openings with an abundance of herbaceous plants and low shrubs for feeding its young, a dense stand of sapling trees for nesting cover, and mature forests for winter food and cover. Because the home range size of a ruffed grouse is from 4 to 8 ha, the whole range of habitats must be contained within this single area. Other species, such as the indigo bunting (*Passerina cyanea*), are restricted exclusively to the edge environment (Figure 20.7) and are referred to as **edge species**.

Because borders blend elements from all adjacent patches, their structure and composition are often very different from those in any single patch. Thus, borders offer unique habitats with relatively easy access to the adjacent communities. These diverse conditions enable boundaries to support plant and animal species from adjacent patches, as well as those species adapted to the edge environment. As a result, borders are often populated by a rich diversity of life. This phenomenon, called the **edge effect**, is influenced by the area of border available (length and width) and by the degree of contrast between adjoining plant communities. In general, the greater the contrast between adjoining patches, the greater the diversity of species. Therefore, a border between forest and grassland should support more species than would a border between a young and a mature forest.

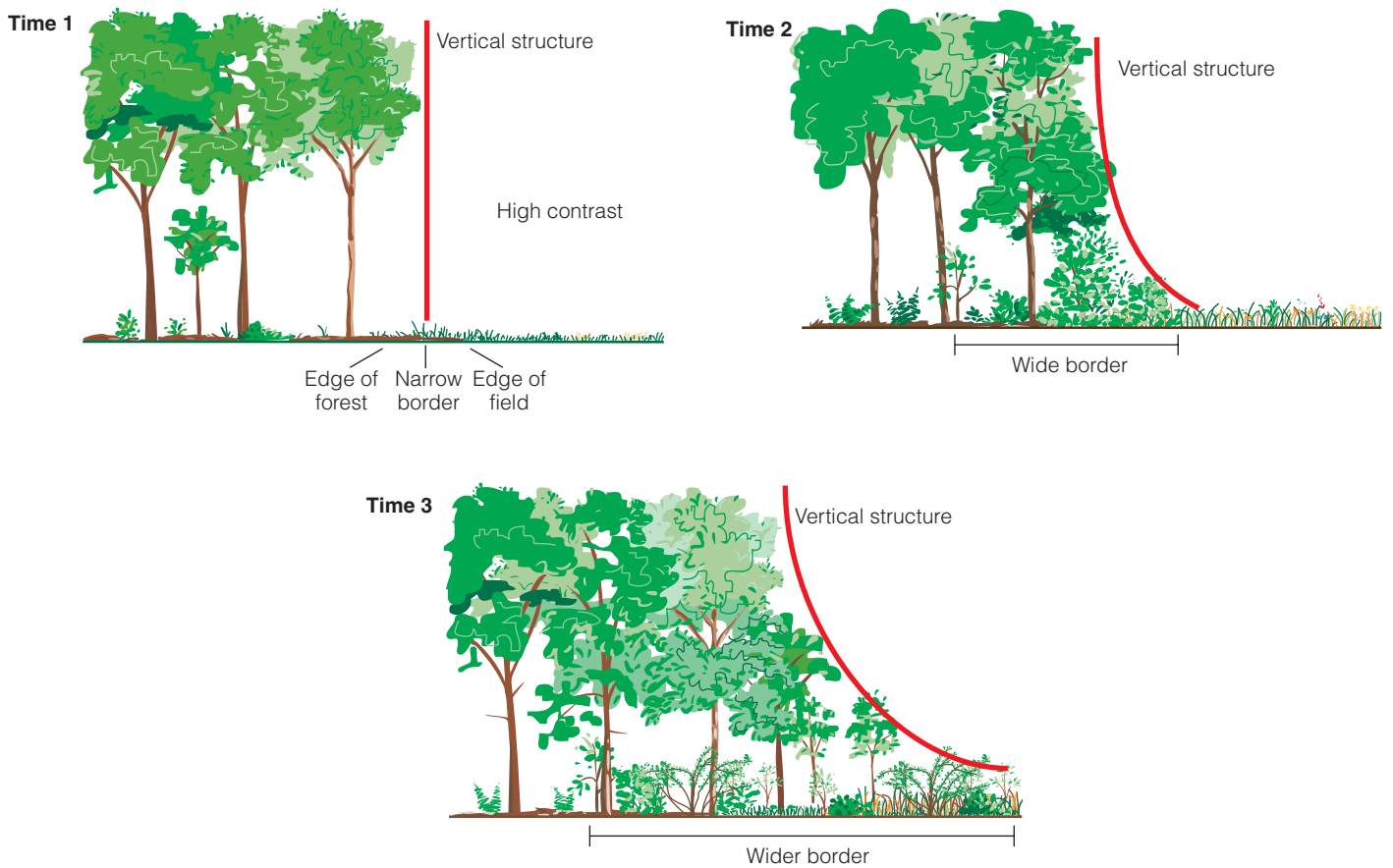
Although edge effect may increase species diversity, it can also create problems. Narrow, abrupt borders appear to be attractive to predators. Raccoons, opossums, and foxes may use edges as travel lanes. Avian predators such as crows and jays rob nests of smaller birds inhabiting more open edge habitat. Borders further alter interactions among species by either restricting or facilitating dispersal across the landscape. For example, dense, thorny shrubs growing in the boundary of forest and field can block some animals from passing through. Borders are dynamic, changing in space and time. In the example illustrated in Figure 20.8, the border between forest and field is characterized by an abrupt difference in the vertical structure of the vegetation. As the vegetation within the border grows taller, the vertical gap between the forest crown and border diminishes, forming a continuous vertical profile of vegetation. Barring disturbance, the border expands horizontally as vegetation characteristic of the border encroaches into the patch. Plant species change as size and environmental conditions within the border change and competitive interactions among border species increase.

## 20.3 Patch Size and Shape Are Crucial to Species Diversity

Patch size has a crucial influence on community structure, species diversity, and the presence and absence of species. As a general rule, large patches of habitat contain a greater number



**Figure 20.7** Map of territories of a true edge species, the indigo bunting (*Passerina cyanea*), which inhabits woodland edges, hedgerows, roadside thickets, and large gaps in forests that create edge conditions. The male requires tall, open song perches and the female a dense thicket in which to build a nest. (Adapted from Whitcomb et al. 1976.)



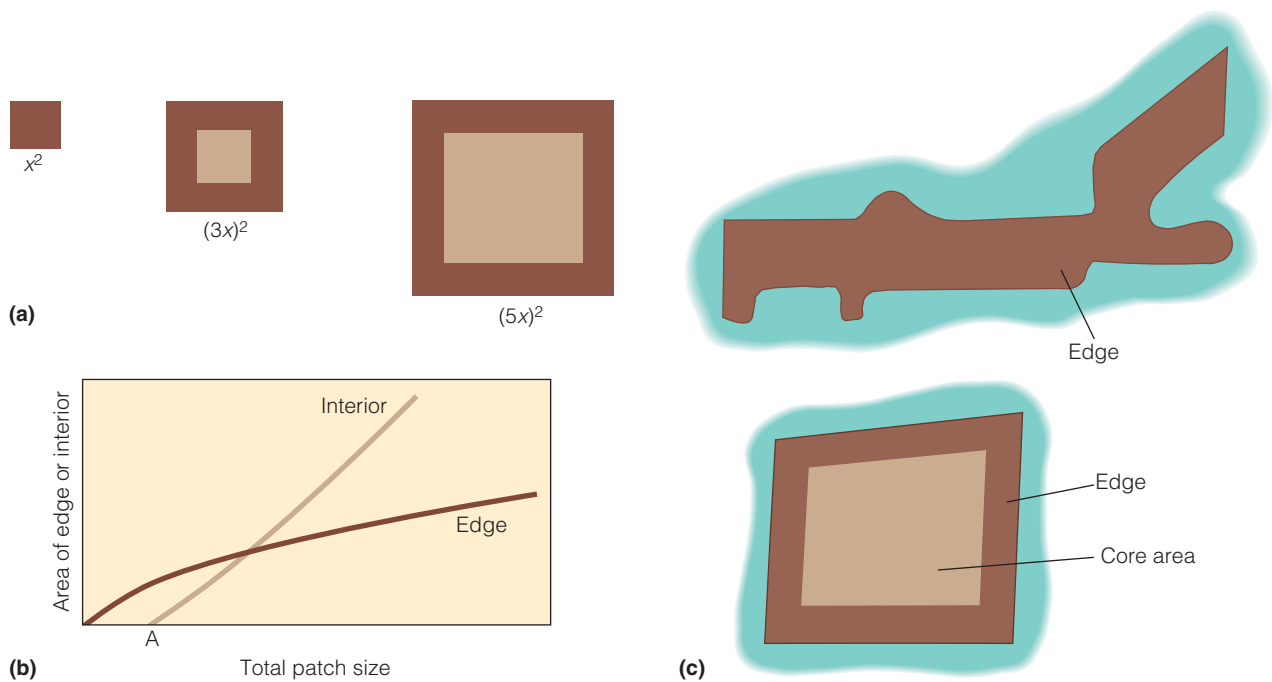
**Figure 20.8** Changes in vertical and horizontal structure of a border through time.

of individuals (population size) and species (species richness) than do small patches. The increase in population size for a given species with increasing area is simply a function of increasing the carrying capacity for the species (see Chapter 11). The greater the area, the greater will be the number of potential home ranges (or territories) that can be supported within the patch. Within the animal community, there is a general relationship between body size (weight) and the size of an animal's

home range (see Figure 11.17). In addition, for a given body size, the home range of carnivores is greater than that of herbivores. Thus, large predators such as grizzly bears, wolves, and mountain lions will be limited to much larger, contiguous patches of habitat.

The relationship between patch size and species richness is more complex. Larger patches are more likely to contain variations in topography and soils that give rise to a greater





**Figure 20.9** Relationship of habitat patch size to edge and interior conditions. All habitat patches are surrounded by edge. (a) Assuming that the depth of the edge remains constant, the ratio of edge to interior decreases as the habitat size increases. When the patch is large enough to maintain shaded, moist conditions, an interior begins to develop. (b) The general relationship between patch size and area of edge and interior. Below point A, the habitat is all edge. As size increases, interior area increases, and the ratio of edge to interior decreases. (c) This relationship of size to edge holds for a square or circular habitat patch. Long, narrow woodland islands whose widths do not exceed the depth of the edge would be edge communities, even though the area may be the same as that of square or circular ones.

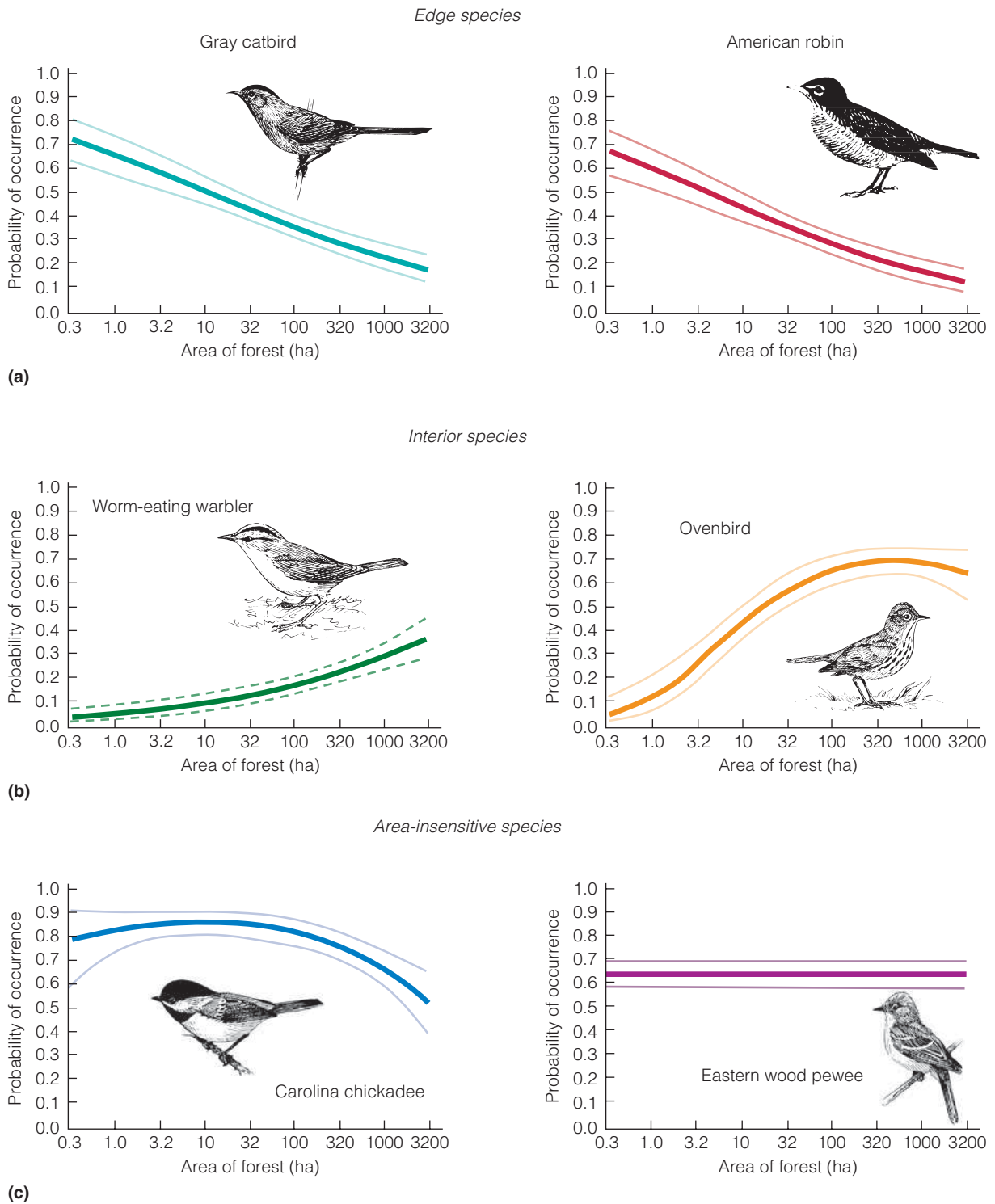
diversity of plant life (both taxonomic and structural), which in turn will create a wide array of habitats for animal species (see Section 18.6).

Another feature of patch size relates to the difference between the habitats provided by edge and interior environments. The size and shape of patches affect the relative abundance of edge (or perimeter) and interior environments. Only when a patch becomes large enough to be deeper than its border can it develop interior conditions (Figure 20.9a). For example, at one extreme a very small patch is all border or edge habitat; but as patch size increases, the ratio of border to interior decreases (Figure 20.9b). Altering the shape of a patch can change this border-to-interior relationship. For example, the long, thin habitat in Figure 20.9c is all border or edge. Such long, narrow patches of woodland, whose depth does not exceed the width of the border, are border (or edge) communities regardless of the total patch area.

In contrast to edge species (see Section 20.2 and Figure 20.7), other species, termed **interior species**, require environmental conditions characteristic of interior habitats and stay away from the abrupt changes associated with border environments. Figure 20.10 shows the relationship between forest area (patch size) and the probability of occurrence of six different bird species of eastern North America. Edge species, such as the gray catbird (*Dumetella carolinensis*) and American robin (*Turdus migratorius*), have a high probability

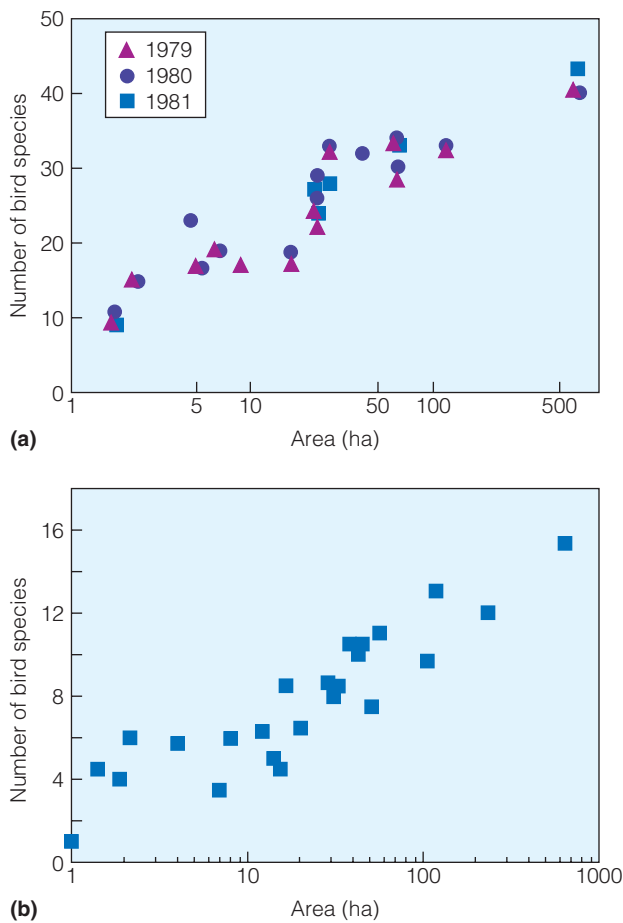
of occurring in small forest patches dominated by edge environments. As patch size increases, the probability of finding these birds decreases. In contrast, the ovenbird (*Seiurus aurocapillus*) and the worm-eating warbler (*Helminthos vermivorus*) are species adapted to the interior of older forest stands, which are characterized by large trees and sparse shrub cover in the understory layer. Accordingly, the probability is low that they will occur in small patches. Intermediate to these two groups are area-insensitive species, such as the Carolina chickadee (*Parus carolinensis*) and the Eastern wood pewee (*Contopus virens*).

The minimum size of habitat needed to maintain interior species differs between plants and animals. For plants, patch size per se is less important to the persistence of a species than are environmental conditions. For many shade-tolerant plant species found in the forest interior, the minimum size is the area needed to sustain moisture and light conditions typical of the interior. That area depends in part on the ratio of edge to interior and on the nature of the surrounding border habitat. If the stand is too small or too open, sunlight and wind will penetrate and dry the interior environment, eliminating herbaceous and woody species that require moister soil conditions. For example, in the northeastern United States, forest fragmentation can result in the decline of moisture-requiring (mesic) species such as sugar maple and beech while encouraging the growth of more xeric species such as oaks.



**Figure 20.10** Difference in habitat responses between edge species and area-sensitive or interior species. The graphs indicate the probability of detecting these species from a random point in patches of various sizes. Dashed lines indicate the 95 percent confidence intervals for the predicted probabilities. (a) The catbird and the robin are familiar edge species. As patch size increases, the probability of finding them decreases. (b) The worm-eating warbler and the ovenbird are ground-nesting birds of the forest interior. The probability of finding them in small patches is low. (c) In contrast to edge and interior species, other species—such as the Carolina chickadee and Eastern wood pewee—are insensitive to patch area (probability of encountering species is independent of patch size).

(Adapted from Robbins et al. 1989.)



**Figure 20.11** The number of bird species (species richness) plotted as a function of the area of (a) woodland or (b) grassland habitat. Area ( $x$ -axis) in both graphs is presented on a log scale. Different symbols in (a) refer to results from surveys conducted during three different time periods.

Several studies that have examined bird species diversity in both forest and grassland patches reveal a pattern of increasing species richness with patch size (Figure 20.11), but only up to a point. The ecologist R. F. Whitcomb and colleagues studied patterns of species diversity in forest patches in western New Jersey. Their findings suggest that maximum bird diversity is achieved with woodlands 24 ha in size. Similar patterns were observed in studies investigating the species composition of bird communities occupying forest patches in agricultural regions in Illinois and Ontario, Canada. With patches of intermediate size, a general pattern of maximum species diversity results from the negative correlation between edge species and the size of habitat patches, combined with the positive correlation between interior species and increased area (see Figure 20.10).

These studies suggest that two or more small forest patches will support more species than will an equivalent area of contiguous forest. However, smaller woodlands did not support true forest interior species, such as the ovenbird (*Seiurus aurocapillus*), which requires extensive wooded areas (see Figure 20.10). Therefore, estimates of species diversity do not present the complete picture of how forest fragmentation is

affecting the biological diversity in the landscape. Large forest tracts with a high degree of heterogeneity are required to support the range of bird species characteristic of both edge and interior habitats.

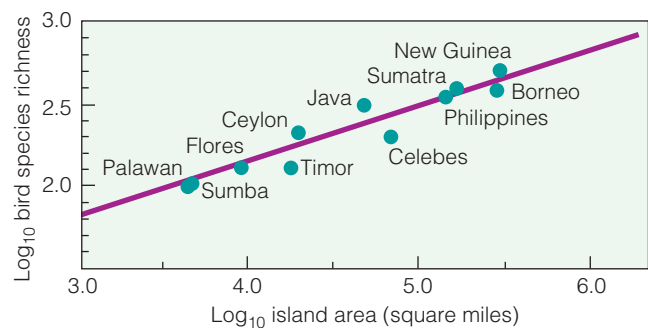
Although the relation of patch size to species diversity focuses strongly on forests, the same concept applies to other landscapes—such as grasslands, shrublands, and marshes—that are all highly fragmented by cropland, grazing, sagebrush eradication, and housing developments. Many grassland species such as grasshopper sparrows (*Ammodramus savannarum*), western meadowlarks (*Sturnella neglecta*), and prairie grouse (*Tympanuchus* spp.), and shrubland species such as sage grouse (*Centrocercus urophasianus*), all of them interior species, are experiencing serious decline as these landscapes become fragmented and patch size decreases.

## 20.4 The Theory of Island Biogeography Applies to Landscape Patches

The influence of area (patch size) on species richness did not escape the notice of early naturalist-explorers and biogeographers, who noted that large islands hold more species than small islands do (Figure 20.12). Johann Reinhold Forster, a naturalist on Captain Cook's second voyage to the Southern Hemisphere (1772–75), noted that the number of different species found on islands depended on the island's size. The zoogeographer P. Darlington offered a rule of thumb: On islands, a tenfold increase in land area leads to a doubling of the number of species.

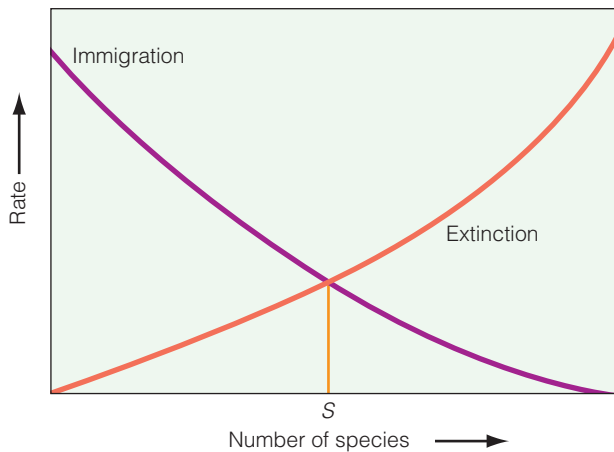
The various patches, large and small, that form the vegetation patterns across the landscape suggest islands of different sizes. Some are near each other; others are remote and isolated. A patch of forest, for example, may sit within a sea of cropland or housing developments, isolated from other forest patches on the landscape. The sizes of these patches and their distances from each other on the landscape have a pronounced influence on the nature and diversity of life they hold.

The similarity between islands and isolated patches of habitat has led ecologists to apply the **theory of island biogeography** to the study of terrestrial landscapes. First developed



**Figure 20.12** Number of bird species on various islands of the East Indies in relation to area (island size). The  $x$ - and  $y$ -axes are plotted on a  $\log_{10}$  scale.

(Adapted from Preston 1962.)



**Figure 20.13** According to the theory of island biogeography, immigration rate declines with increasing species richness ( $x$ -axis) while extinction rate increases. The balance between rates of extinction and immigration (immigration rate = extinction rate) defines the equilibrium number of species ( $S$ ) on the island.

by Robert MacArthur (formerly of Princeton University) and Edward O. Wilson (Harvard University) in 1963, the theory is quite simple: the number of species established on an island represents a dynamic equilibrium between the immigration of new colonizing species and the extinction of previously established ones (Figure 20.13, go to [www.ecologyplace.com](http://www.ecologyplace.com) to explore island biogeography theory).

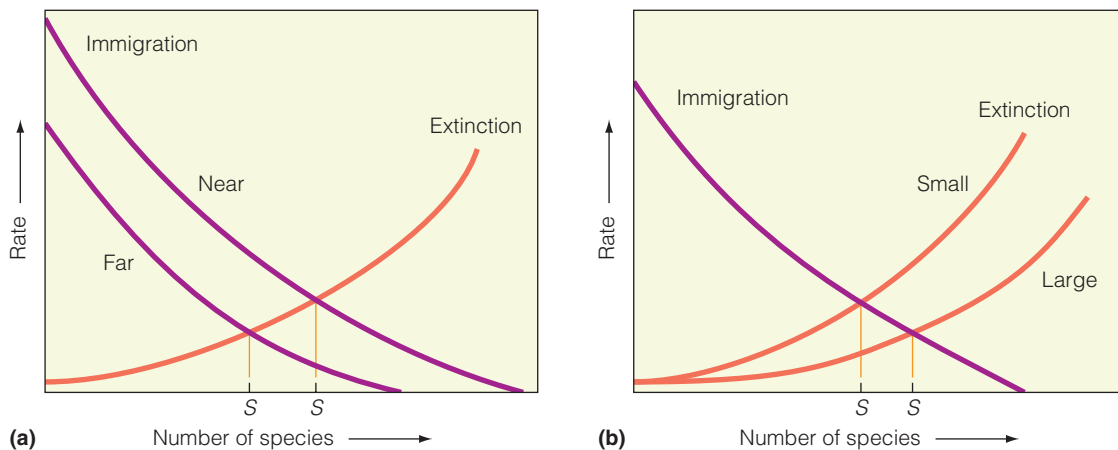
Consider an uninhabited island off the mainland. The species on the mainland make up the species pool of possible colonists. The species with the greatest ability to disperse from the mainland will be the first to colonize the island. As the number of species on the island increases, the immigration rate of new species to the island will decline. The decline results because the more mainland species that successfully colonize the island, the fewer potentially new species for colonization remain on the mainland (the source of immigrating species). When all

mainland species exist on the island, the rate of immigration will be zero.

If we assume that extinctions occur at random, the rate of species extinction on the island will increase with the number of species occupying the island based purely on chance. Other factors, however, will amplify this effect. Later immigrants may be unable to establish populations because earlier arrivals will already have used available habitats and resources. As the number of species increases, competition among the species will most likely increase, causing a progressive increase in the extinction rate. An equilibrium species richness ( $S$ ) is achieved when the immigration rate equals the extinction rate (see Figure 20.13). If the number of species inhabiting the island exceeds this value, the extinction rate is greater than the immigration rate, resulting in a decline in species richness. If the number of species is below this value, the immigration rate is greater than extinction and the number of species increases. At equilibrium, the number of species residing on the island remains stable, although the composition of species may change. The rate at which one species is lost and a replacement species is gained is the turnover rate.

The distance of the island from the mainland and the size of the island both affect equilibrium species richness (Figure 20.14). The greater the distance between the island and the mainland, the less the likelihood that many immigrants will successfully complete the journey. The result is a decrease in the equilibrium number of species (Figure 20.14a). On larger islands, extinction rates, which vary with area, are lower because a greater area generally contains a wider array of resources and habitats. For this reason, large islands can support more individuals of each species as well as meet the needs of a wider variety of species. The lower rate of extinction on larger islands results in a higher equilibrium number of species as compared to smaller islands (Figure 20.14b).

Although the theory of island biogeography was applied initially to oceanic islands, there are many other types of “islands.” Mountaintops, bogs, ponds, dunes, areas fragmented by human land use, and individual hosts of parasites are all essentially island



**Figure 20.14** (a) Immigration rates are distance related. Islands near a mainland have a higher immigration rate and associated equilibrium species richness ( $S$ ) than do islands distant from a mainland. (b) Extinction rates relate to area and are higher on small islands than on large ones. The equilibrium number of species varies according to island size, and larger islands have greater equilibrium species richness than do smaller islands.

habitats. As Daniel Simberloff of the University of Tennessee, one of the first ecologists to experimentally test the predictions of this theory, put it: “Any patch of habitat isolated from similar habitat by different, relatively inhospitable terrain traversed only with difficulty by organisms of the habitat patch may be considered an island.”

Exactly how does island theory apply to landscape patches? Landscape patches or islands differ considerably from the oceanic islands for which the theory was developed. Oceanic islands are terrestrial environments surrounded by an aquatic barrier to dispersal. They are inhabited by organisms of various species that arrived there by chance dispersal over a long period of time or represent remnant populations that existed in the area long before isolation. By contrast, the organisms that inhabit landscape patches are samples of populations that extend over a much wider area. These patch communities contain fewer species than are found in the larger area, and only a few individuals may represent each species. However, unlike oceanic islands, terrestrial landscape patches are associated with other terrestrial environments, which often present fewer barriers to movement and dispersal among patches.

## 20.5 Landscape Connectivity Permits Movement between Patches

The theory of island biogeography emphasizes the importance of migration, the movement of species among patches on the landscape, in regulating the structure (diversity) and dynamics of communities. It is the types, number, and spatial arrangement of patches on the landscape that influence movement of organisms among patches, and ultimately the population dynamics of species and community structure. The degree to which the landscape facilitates or impedes the movement of organisms among patches is referred to as **landscape connectivity**.

There are two components to landscape connectivity: structural and functional. **Structural connectivity** relates to the physical arrangement of habitat patches on the landscape; that is, the degree to which patches are contiguous or physically linked to one another. **Functional connectivity** describes the degree to which the landscape facilitates the movement of organisms and is a function of both the physical structure of the landscape as well as the behavioral responses of organisms to the structure. Functional connectivity is therefore both landscape and species-specific. Distinguishing between these two types of connectivity is important because structural connectivity does not necessarily imply functional connectivity. Depending on their modes of and limits to dispersal, the physical structure (as measured by its structural connectivity) of a given landscape may facilitate the movement of one species, while presenting a barrier to effective dispersal for another. Conversely, patches do not necessarily need to be structurally connected to be functionally connected. Species capable of flight, such as butterflies and birds, are often capable of movement among patches across considerable distances. Therefore, assessing landscape connectivity requires a species-centered approach that considers factors such as a species’ mode and range of dispersal, and its ability to move through different types of habitat.

One structural feature of the landscape that can facilitate the ability of organisms to move patches of suitable habitat is **corridors**. Typically, corridors are strips of vegetation similar to the patches they connect but different from the surrounding matrix in which they are set (see Field Studies: Nick M. Haddad). Many corridors are of human origin. Some may be narrow-line corridors, such as hedgerows and lines of trees planted as windbreaks, bridges over fast-flowing streams, highway median strips, and drainage ditches (Figure 20.15a). Wider bands of vegetation, called strip corridors, can consist of both interior and edge environments. Such corridors may be broad strips of woodlands left between housing developments, power-line rights-of-way, and belts of vegetation along streams and rivers (Figure 20.15b).

Corridors probably function best as travel lanes for individuals moving within the bounds of their home range, but when corridors interconnect to form networks, they offer dispersal routes for species traveling between habitat patches. They enhance the movement of organisms beyond what is possible through the adjacent matrix. By facilitating the movement among different patches, corridors can encourage



(a)



(b)

**Figure 20.15** Examples of corridors: (a) hedgerow and (b) riverine vegetation.

gene flow between subpopulations and help reestablish species in habitats that have experienced local extinction (see Chapter 12). Corridors also act as filters, providing dispersal routes for some species but not others. Different-sized gaps in corridors allow certain organisms to cross while restricting others; this is the **filter effect**.

Corridors can negatively affect some populations as well. For example, corridors offer scouting positions for predators that need to remain concealed while hunting in adjacent patches. They can create avenues for the spread of disease between patches and provide a pathway for the invasion or spread of exotic species from the matrix to other patches. If they are too narrow, corridors can inhibit the movement of social groups.

Corridors may also provide habitats in their own right. Corridors along streams and rivers provide important riparian habitat for animal life. In Europe, the long history of hedgerows in the rural landscape has encouraged the development of typical hedgerow animal and plant communities (see Figure 20.15a). In suburban and urban settings, corridors provide habitat for edge species and act as stopover habitat for migrating birds.

Roads—corridors designed as dispersal routes for humans, have a negative impact by dissecting the landscape, impeding the movement of organisms by intersecting migratory and dispersal routes, and effectively dividing populations for many species. High-speed roads are a major source of mortality for wildlife ranging in size from large mammals to tiny insects.

## 20.6 Metapopulation and Metacommunity Are Central Concepts in the Study of Landscape Dynamics

The distribution of a species over the landscape is limited to those areas that provide a suitable habitat. Habitats are often so fragmented that the species exist as distinct, partially isolated subpopulations, each possessing its own population dynamics. Linking these subpopulations are individuals moving among patches. Such separated populations interconnected by the movement of individuals are called metapopulations (see Chapter 12).

The concept of metapopulations has become central to the study of landscape dynamics because it provides a framework for examining the dynamics of species that are distributed as discrete populations on the larger landscape. You may have noted the similarity in the structure of the basic model of metapopulation dynamics developed by Richard Levins and the model of island biogeography presented in this chapter (Section 20.4; see also Section 12.2). The theory of island biogeography views the equilibrium number of species (species richness) occupying a given patch (island) as the balance between the processes of colonization and extinction. The model of metapopulation dynamics views the equilibrium number of patches occupied by a given species as the balance between colonization and extinction of local populations. In addition, both models explicitly address how rates of colonization and extinction are influenced by the size and isolation of habitat patches (islands) on the larger landscape (see Section 12.3). In a way, the model of island biogeography can be viewed as

a consequence of the metapopulation dynamics of the set of species that occupy the larger landscape.

Some researchers have extended the framework of metapopulations to examine the dynamics involving interactions among local communities. Each habitat patch on the landscape is composed of a set of plant and animal species that define the local community. The set of local communities that are linked by the dispersal of multiple potentially interacting species define the **metacommunity**. Just as with metapopulations, the analysis of metacommunity dynamics involves examining processes that occur at the landscape scale. The interaction among communities is influenced by size, shape, spatial arrangement of the habitat patches and the matrix in which they are imbedded. The exact spatial arrangement of habitat patches can influence metacommunity dynamics. Small fragmented communities support few species at lower trophic levels, whereas large communities support greater species diversity and higher trophic levels. Thus size, spatial patterns, and species composition influence dispersal within the metacommunity, and influence the processes of colonization and extinction among the local communities. Species differ in the extent they can disperse. A low rate of dispersal can lead to variations in the species composition of the habitat patches. Habitat patches within the metacommunity are not static. Successional changes influence species composition of some patches relative to others (see Chapter 19). Increasing fragmentation reduces the size of some, altering the distribution and dispersal of constituent species among the patches.

The model of metapopulation dynamics views the equilibrium number of patches occupied by a given species as the balance between colonization and extinction of local populations. The model of metacommunity dynamics examines patterns and processes relating to the connections among local communities. By providing a framework for examining species distributed as discrete populations within the larger landscape, both the concepts of metapopulation and metacommunity are central to the study of landscape dynamics. In turn, landscape studies are expanding our understanding of metapopulation and metacommunity dynamics.

## 20.7 Frequency, Intensity, and Scale Determine the Impact of Disturbances

The distinct patterns of communities that we see in the landscape, as well as the plant and animal species inhabiting them, are heavily influenced by disturbances both past and present. A **disturbance** is any relatively discrete event—such as fire, windstorm, flood, extremely cold temperatures, drought, or epidemic—that disrupts community structure and function. Disturbances both create and are influenced by patterns on the landscape. For example, communities on ridgetops are more susceptible to damage from wind and ice storms, and bottomland communities along streams and rivers are more susceptible to flooding. In turn, these disturbances result in a new pattern of patches on the landscape.

Ecologists often distinguish between a particular disturbance event—a single storm or fire—and the disturbance regime, or pattern of disturbance, that characterizes a landscape over a

## FIELD STUDIES

Nick M. Haddad

Department of Zoology, North Carolina State University, Raleigh, North Carolina

Corridors are thought to facilitate movement between connected patches of habitat, thus increasing gene flow, promoting reestablishment of locally extinct populations, and increasing species diversity within otherwise isolated areas. The potential utility of corridors in the conservation of biological diversity has attracted much attention, but the proposed value of corridors is based more on intuition than on empirical evidence. However, recent studies by ecologists, such as Nick Haddad of North Carolina State University, are providing valuable data on the role of corridors in facilitating dispersal among otherwise isolated habitat patches on the larger landscape.

Nick Haddad studies the influence of corridors on the dispersal and population dynamics of butterfly species. He has chosen butterflies as a focal organism because their life histories are well defined, they are generally associated with a narrow range of resources, and they are relatively easy to study at large spatial scales.

To examine the effects of corridors on the dispersal and population dynamics of butterfly species, in cooperation with the U.S. Forest Service, Haddad and colleagues established eight 50-ha landscapes on the 1240 km<sup>2</sup> Savannah River Site, a National Environmental Research Park in South Carolina. All landscapes were composed of mature (40- to 50-year-old) forest dominated by loblolly pine (*Pinus taeda*) and longleaf pine (*Pinus palustris*). Within each landscape, five early successional habitat patches were created by cutting and removing all trees and then burning the cleared areas.

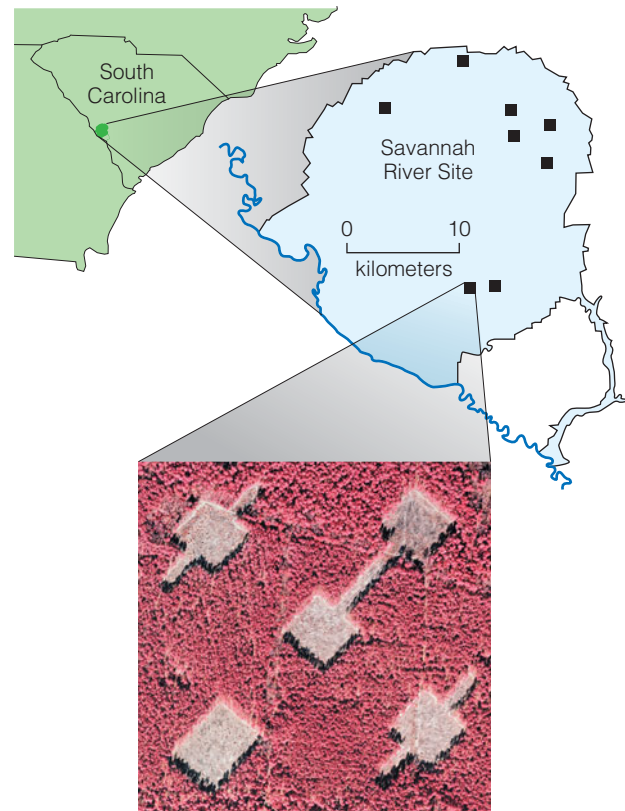
Haddad compared movement rates from a 1-ha central patch, created at the center of each landscape, to four surrounding peripheral patches, created at the same time, each 150 m from the central patch (Figure 1). A corridor 25 m wide connected the central patch to one of the peripheral patches (“connected patches”). All other peripheral patches (“unconnected patches”) were equal in size to the area of the connected patch plus the area of the corridor (1.375 ha), thus controlling for effects of increased patch area in the connected patches. In unconnected patches, the corridor’s area was added either as 75-m “wings” projecting from the sides of patches (“winged patches”) or as additional habitat added to the backs of patches (“rectangular” patches). By comparing the rates of movement from the central patch into the connected patches versus movement into unconnected patches, the biologists were able to test the hypothesis that corridors function as conduits of movement.

To examine the effects of corridors on individual butterflies, Haddad tracked the movement of two species, the common buckeye (*Junonia coenia*) and the variegated fritillary (*Euptoieta claudia*), both common in early successional habitats and rare in mature forest habitats on the Savannah River Site. He marked naturally occurring butterflies in the central patches and recaptured marked individuals in the peripheral patches. All captured butterflies were marked, and

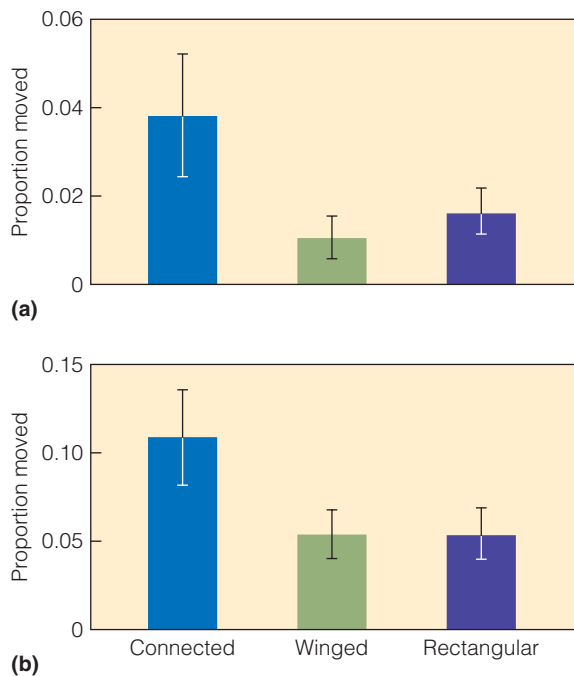
the locations of initial and subsequent recaptures were recorded.

The common buckeye was three to four times more likely to move from center patches to connected patches than to unconnected patches, and the variegated fritillary was twice as likely to move down corridors than through forests when moving from the center patch (Figure 2). Neither butterfly was more likely to move to winged patches than to rectangular patches.

The results clearly showed that the presence of a corridor facilitated the movement of both butterfly species between



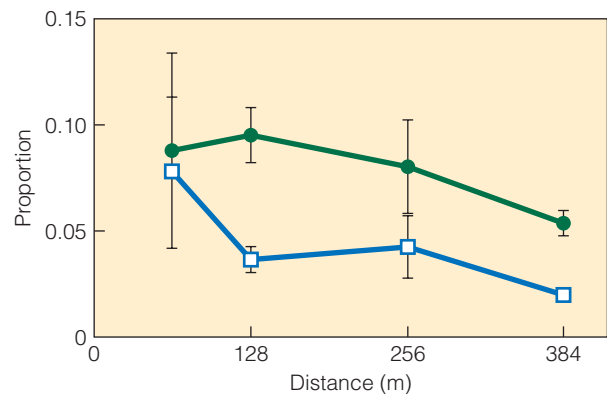
**Figure 1** Map of experimental landscape locations and aerial photograph of one landscape, showing patch configuration.



**Figure 2** Movement rates of butterfly species between connected and isolated patches. *J. coenia* (a) and *E. claudia* (b) both moved between connected patches more often than between isolated patches. Data in both panels are means  $\pm 1$  standard error for proportion of individuals marked in the central patch and recaptured in connected, winged, and rectangular peripheral patches. Go to [www.ecologyplace.com](http://www.ecologyplace.com) to perform confidence intervals and t-tests. (Haddad 1999a.)

connected patches, even after controlling for patch size and shape. But in this experiment, the length of the corridor was fixed at 150 m. How might the length of the corridor influence patterns of dispersal? To examine the influence of corridor length on patterns of butterfly dispersal, Haddad once again created experimental patches and corridors at the Savannah River Site. The experiment consisted of square patches of equal size (1.64 ha). The size of the square patches was fixed at 128 m on a side, and all patches were oriented in the same direction. Two characteristics of the patches were varied: interpatch distance and the presence or absence of a connecting corridor. Distances between patches were 64, 128, 256, or 384 m (0.5, 1, 2, or 3 times the width of the patch).

In this study, Haddad examined the movement patterns of the same two butterfly species, the common buckeye (*J. coenia*) and the variegated fritillary (*E. claudia*). Results of the mark-recapture studies showed that individuals of both species



**Figure 3** Mean proportion ( $\pm 1$  standard error) of individuals (males) *Junonia* marked in a patch who moved one of four distances to an adjacent patch. Circles indicate mean proportions moving between patches connected by a corridor; squares indicate mean proportions moving between unconnected patches. (Haddad 1999a.)

moved more frequently between patches connected by corridors than between unconnected patches, just as was observed in the previous study. In addition, however, interpatch movement was negatively related to interpatch distance (Figure 3), and the density of both butterfly species was significantly higher in connected patches.

The studies by Haddad and colleagues have expanded our understanding of the utility of corridors in aiding patterns of dispersal, a key factor in the persistence of populations in a fragmented landscape. The unique design of these experiments has allowed us to test specific hypotheses regarding the influence of the size and shape of corridors on their utility in conservation efforts.

### Bibliography

- Haddad, N. 1999a. Corridor and distance effects on interpatch movements: A landscape experiment with butterflies. *Ecological Applications* 9:612–622.
- Haddad, N., and K. Baum. 1999b. An experimental test of corridor effects on butterfly densities. *Ecological Applications* 9:623–633.
- Tewksbury, J., D. Levey, N. Haddad, S. Sargent, J. Orrock, A. Weldon, B. Danielson, J. Brinkerhoff, E. Damschen, and P. Townsend. 2002. Corridors affect plants, animals, and their interactions in fragmented landscapes. *Proceedings of the National Academy of Sciences of the United States of America* 99:12923–12926.

1. What life history characteristics might you expect for a species that benefits from the presence of corridors?



longer period of time. The disturbance regime has spatial and temporal characteristics including intensity, frequency, and spatial extent or scale. **Intensity** is measured by the proportion of total biomass, or population of a species, that the disturbance kills or eliminates. It is influenced by the magnitude of the physical force involved, such as the strength of the wind or the amount of energy released during a fire. **Scale** refers to the spatial extent of the impact of the disturbance relative to the size of the affected landscape. **Frequency** is the mean number of disturbances that occur within a particular time interval (Figure 20.16). The mean time between disturbances for a given area is the return interval.

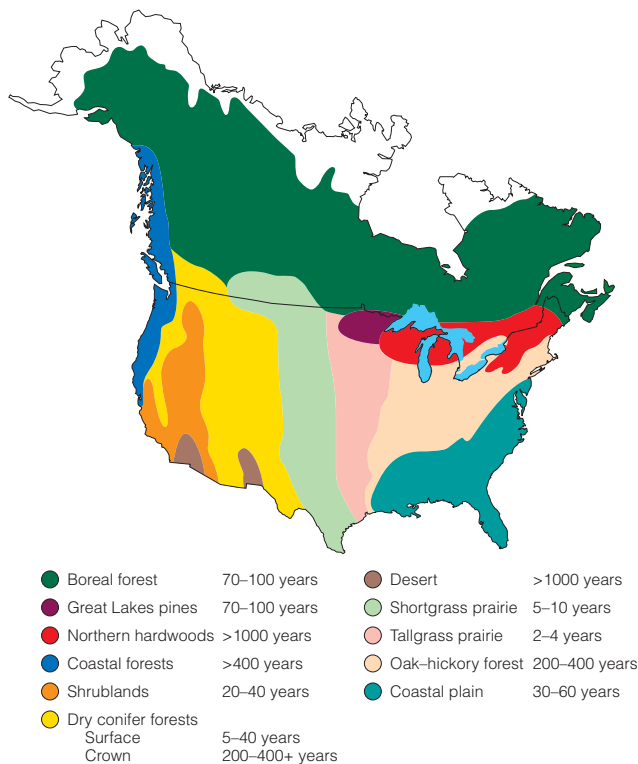
Often, a disturbance's frequency is linked to its intensity and scale. For example, natural disturbances taking place on a small scale, such as the death of an individual canopy tree in a temperate or tropical forest, occur quite frequently. By contrast, large-scale disturbances, such as fire, are rarer and occur on average once every 50 to 200 years. In the intertidal zone of the ocean, the abrasive action of waves tears away mussels and algae from rocks along the shore. In grasslands, badgers and groundhogs dig burrows and expose small patches of mineral soil (see Chapter 14, Field Studies: Katherine N. Suding). The outcome of such small-scale disturbances is the creation of a **gap**, an opening that becomes a site of localized regeneration and growth within the community. (Plant ecologist A. S. Watt first applied the term *gap* in 1947.) Gap formation within the community does more than provide access to physical space for colonization by new individuals. Within the gap, the physical environment often differs substantially from conditions in the surrounding area. For example, the microclimate in a gap

created by the death of a canopy tree differs from the climate of the rest of the forest. Light and soil temperature increase, while soil moisture and relative humidity decrease. These new conditions encourage the germination and growth of sun-adapted species as well as stimulate the growth of smaller trees already established in the understory (see Figure 6.14 and Field Studies: Kaoru Kitajima). The race is on as individuals grow in height; their fate will be determined by how rapidly crowns of trees surrounding the gap can expand to fill the opening.

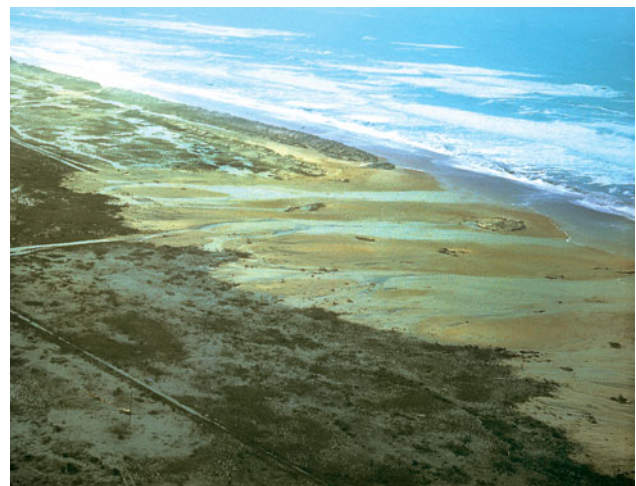
Community responses to larger-scale disturbances, such as fire, logging, or other forms of land clearing, result in responses that go beyond reorganizing the populations already occupying the site. Large-scale disturbances result in substantial reduction or even elimination of local populations and significantly modify the site's physical environment. In these situations, a period of colonization follows. Some species may already be present but dormant, as seeds of woody and herbaceous plants, roots and rhizomes, stump sprouts, and surviving seedlings and saplings. Other colonizing species are carried to the site by the wind or animals. Long-term recovery involves the process of secondary succession in which species characteristic of the original community eventually replace the early colonizing species (Chapter 19).

## 20.8 Various Natural Processes Function as Disturbances

Many disturbances arise from natural causes, such as wind and ice storms, lightning fires, hurricanes, floods, grazing animals, and insect outbreaks. Although disturbance usually results in the death of organisms and the loss of biomass, it can also be a powerful force for change in the physical environment. Storm floods cut away banks, change the course of streams and rivers, scour stream bottoms, redeposit sediments, and carry away aquatic organisms. High winds and tides break down barrier dunes and allow seawater to invade the shoreline, changing the geomorphology of barrier islands (Figure 20.17). By modifying an ecosystem in ways that favor survival of some species and eliminate others, disturbance can either reduce or foster species diversity.



**Figure 20.16** Map of North America showing generalized fire frequencies for major vegetation communities. (Map from Aber and Melillo 1991. Data from Heinselman 1973, 1981; Gray and Schlesinger 1981; Christensen 1981; Bormann and Likens 1979).



**Figure 20.17** Erosion of coastal dunes by storm surges creates breaks in the front dunes, resulting in areas of inundation.



(a)



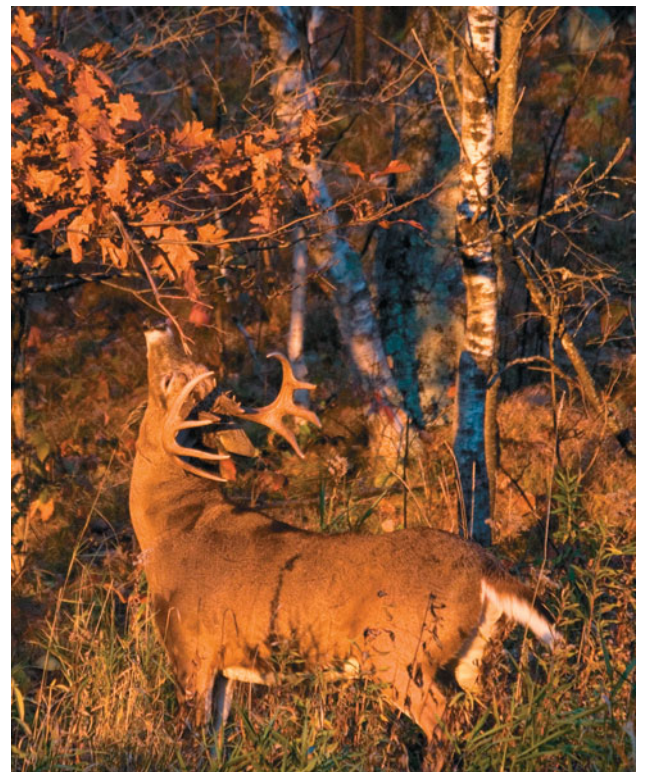
(b)

**Figure 20.18** (a) Crown fire resulting in a landscape mosaic of patches of burned and unburned forest. (b) Fires of great intensity can profoundly influence ecosystems. After a spruce forest located on the Alleghany Plateau area of West Virginia was cut in the mid-1800s, intense ground fires burned, fueled by piles of debris from logging. Fire consumed the ground layer, exposing bedrock and mineral soil. The forest never recovered.

Wind and moving water are two powerful agents of disturbance. Large, inflexible forest canopy trees, as well as trees growing in open fields and along forest edges, are vulnerable to windthrow. So, too, are trees growing on poorly drained soils; their shallow roots are not well anchored. On rocky intertidal and subtidal shores, powerful waves overturn boulders and dislodge sessile organisms. This action clears patches of hard substrate, making them available for recolonization. Hurricanes represent large-scale wind- and water-related disturbances that have a devastating impact on ecosystems. In the Caribbean region, the frequency (average time interval between occurrences) of hurricanes with the intensity of Hurricane Hugo, which struck the southeastern United States in 1989 (wind speeds more than 166 km/hr), is once every 50 to 60 years.

Fire is a major agent of disturbance, altering both biological and physical environments. In many regions, fire is a major determinant of landscape patterns. Losses of plants and animals can be significant, reducing biomass as well as species diversity. Fire also consumes standing dead material, accumulated plant litter, and soil organic matter; the nutrients contained in these substances are released through the process of pyromineralization (see Section 28.5 and Field Studies: Deborah Lawrence). Fire prepares the seedbed for some species of trees by exposing mineral soil. By removing some or all of the previous vegetation, fire can lead to an increase in the availability of light, water, and nutrients to both remaining (surviving) and newly colonizing plants. Its return rate is influenced by the occurrence of droughts, accumulation and flammability of the fuel (biomass), the resulting intensity of the burn, and human interference. Before the European settlement of North America, fires occurred about every three years in the grasslands of the Midwest (see Figure 20.16). In forest ecosystems, the frequency of fires varies greatly, depending on the type of forest. Frequent light fires that burn only the surface layer may have a return interval of 1 to 25 years, whereas fires that destroy canopy trees may have a return interval of 50, 100, or even 300 years (Figure 20.18).

Grazing by domestic animals is a common cause of disturbance. For example, in the southwestern United States, domestic cattle disperse seeds of mesquite (*Prosopis* spp.) and other shrubs through their droppings, encouraging those species to invade already overgrazed grassland. The disturbance of herbivores is not limited to domestic species. In many parts of eastern North America, overpopulations of white-tailed deer (*Odocoileus virginianus*) have decimated herbaceous plants and shrubs in the forest understory (Figure 20.19), eliminated



**Figure 20.19** High population density of white-tailed deer in the eastern United States can result in elimination of forest understory plants and creation of a “browse line” in the forest trees. The browse line is the highest point that deer can reach for food.

forest regeneration, and destroyed habitat for forest understory wildlife. The African elephant (*Loxodonta africana*) has long been considered a major influence on the development of savanna communities. The combination of high density and restricted movement, limited to the confines of national parks and conservation areas, can result in the large-scale destruction of woodlands (see Figure 17.2).

Beavers (*Castor canadensis*) modify many forested areas in North America and Europe. By damming streams, beavers alter the structure and dynamics of flowing water ecosystems. When dammed streams flood lowland areas, beavers convert forested stands into wetlands. Pools behind dams become catchments for sediments. By feeding on aspen, willow, and birch, beavers maintain stands of these trees, which otherwise would be replaced by later successional species. Thus, the action of beavers creates a diversity of patches—pools, open meadows, and thickets of willow and aspen—within the larger landscape (Figure 20.20).

Birds may seem an unlikely cause of major vegetation changes, but in the lowlands along the west coast of Hudson Bay, large numbers of the lesser snow goose (*Chen caerulescens caerulescens*) have affected the brackish and freshwater marshes. Snow geese grub for roots and rhizomes of graminoid plants in early spring and graze intensively on leaves of grasses and sedges in summer. The dramatic increase in the number of geese has stripped large areas of their vegetation, resulting in the erosion of peat and the exposure of underlying glacial gravels. Plant species colonizing these patches differ from the surrounding marsh vegetation, giving rise to a mosaic of patches on the landscape.

Outbreaks of insects such as gypsy moths and spruce budworms defoliate large areas of forest and cause the death or reduced growth of affected trees. Gypsy moth infestations of a hardwood forest may result in a mortality rate of 10–50 percent (see Chapter 8, Ecological Issues: Human-Assisted Dispersal). Infestation of spruce and fir stands by spruce budworms may result in 100 percent tree mortality. The impact



**Figure 20.20** A small beaver dam about 2 m high along a stream in the Rocky Mountains. The reservoir of water behind the dam alters the stream flow.

of forest insects is most intense in large expanses of homogeneous forest occupied by older trees.

## 20.9 Human Disturbance Creates Some of the Most Long-Lasting Effects

Some of the most lasting disturbances to the landscape are human induced. Because human activity is ongoing and involves continuous management of an ecosystem, it affects ecosystems more profoundly than natural disturbances do. One of the more permanent and radical changes in vegetation communities occurs when we remove natural communities and replace them with cultivated cropland and pastures. Many of us have the idea that agriculture is a relatively recent disturbance, but prehistoric human populations were cultivating crops and converting land to pasture as early as 5000 years B.P. Their activities changed the landscape pattern by extending or reducing the ranges of woody and herbaceous plants, allowing the invasion and spread of opportunistic weedy species, and altering the dominance structure in woodlands.

Another large-scale disturbance is timber harvesting (see Chapter 28). Disturbance to the forest depends on the logging methods employed, which range from removing only selected trees to clearing entire blocks of timber. In selection cutting, foresters remove only mature single trees or groups of trees scattered through the forest. Selection cutting produces only gaps in the forest canopy (see Section 28.9). Therefore, while this form of timber removal can minimize the scale of disturbance, it can also change the species composition and diversity because it favors shade-tolerant over shade-intolerant species of trees.

Clear-cutting involves removal of wide blocks of trees (Figure 20.21), favoring reproduction of shade-intolerant species of trees. However, unless foresters manage these cleared areas carefully, erosion can badly disturb the ecosystem, affecting recovery of the site as well as adjacent aquatic communities.

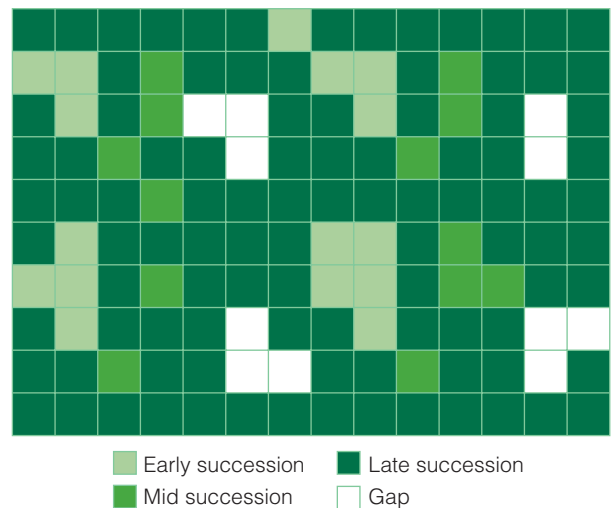


**Figure 20.21** Block clear-cutting in a coniferous forest in the western United States. Such cutting fragments the forest.

## 20.10 The Landscape Represents a Shifting Mosaic of Changing Communities

Unlike the artist's mosaic shown in Figure 20.2 that has survived unchanged for centuries, the mosaic of communities defining the landscape is ever-changing. Disturbances—large and small, frequent and infrequent—alter the biological and physical structures of communities making up the landscape, giving way to the process of succession. This view of the landscape suggests a **shifting mosaic** composed of patches, each in a phase of successional development (Figure 20.22). The ecologists F. Herbert Bormann and Gene Likens applied this concept to describe the process of succession in forested landscapes, using the term *shifting-mosaic steady state*. The term *steady state* is a statistical description of the collection of patches and thus refers to the average state of the forest. In other words, the mosaic of patches shown in Figure 20.22 is not static. Each patch is continuously changing. Disturbance causes the patches in the mosaic that are currently classified as late successional to revert to early successional. Patches currently classified as early successional undergo shifts in species composition, and later successional species will come to dominate. Although the overall mosaic is continuously changing, the average composition of the forest (average over all patches) may remain fairly constant—in a steady state. This example of a continuously changing population of patches that remains fairly constant when viewed collectively rather than individually is very similar to the concept of a stable age distribution presented in Chapter 9 (Section 9.7). In a population with a stable age distribution, the proportion of individuals in each age class remains constant even though individuals are continuously entering and leaving the population through births and deaths.

Returning to the Virginia countryside of Figure 20.1, we can now see the mosaic of patches—forest, fields, golf course,



**Figure 20.22** Representation of a forested landscape as a mosaic of patches in various stages of successional development. Although each patch is continuously changing, the average characteristic of the forest may remain relatively constant—in a steady state.

hedgerows, pine plantation, pond, and human habitations—not as a static image but as a dynamic landscape. Most of the forested lands were once fields and pastureland. During the late 19th and early 20th centuries, when agriculture in the region declined, farmers abandoned their fields and the land reverted to forest (see Chapter 19, Ecological Issues: American Forests). The current mosaic of land cover is maintained by active processes, many of which are forms of human-induced disturbance. Within these patches, the communities function as islands, some bridged by corridors. Their populations are part of larger metapopulations linked by dispersal of individuals. As time passes, the landscape will continue to change. Patterns of land use will shift boundaries; succession will alter the structure of communities; and natural disturbances such as fire and storms will form new dynamic patches within the mosaic.

## Summary

### Landscapes 20.1

Landscapes consist of mosaics of patches related to and interacting with each other. The study of the causes and consequences of these spatial patterns is landscape ecology. Various patches in the landscape have their own unique origin, from remnant patches of original vegetation to introduced patches requiring human maintenance. Several environmental factors interact to create landscape pattern, including geology, topography, soils, climate, biotic processes, and disturbance.

### Borders and Edges 20.2

The place where the edges of two different patches meet is a border. A border may be produced by a sharp environmental change, such as a topographical feature or a shift in soil type—or created by some form of disturbance that is limited

in extent and changes through time. Some borders are narrow and abrupt; others are wide and form a transition zone, or ecotone, between adjoining patches. A border also has a vertical structure that influences physical gradient between patches. Functionally, a border connects patches through fluxes or flows of material, energy, and organisms. Typically, transition zones between patches have high species richness because they support selected species of adjoining communities as well as a group of opportunistic species adapted to edges—a phenomenon called edge effect.

### Patch Size, Shape, and Diversity 20.3

A positive relationship exists between area and species diversity. Generally, large areas support more species than small areas do. The increase in species diversity with increasing

patch size is related to several factors. Many species are area sensitive—they require large, unbroken blocks of habitat. Larger areas typically encompass a greater number of microhabitats and thus will support a greater array of animal species. Another feature of patch size relates to differences between the habitats provided by border and interior environments. In contrast to edge species, interior species require environmental conditions found in the interior of large habitat patches, away from the abrupt changes in environmental conditions associated with edge environments.

### Island Biogeography 20.4

The theory of island biogeography proposes that the number of species an island holds represents a balance between immigration and extinction. The island's distance from a mainland or source of potential immigrants influences immigration rates. Thus, islands farthest from a mainland would receive fewer immigrants than would islands closer to the mainland. The area of an island influences extinction rates. Because they hold fewer individuals of a species and their habitat varies less, small islands have higher extinction rates than large islands do. In habitat patches, as in islands, large areas support more species than do small areas.

### Connectivity 20.5

The degree to which the landscape facilitates or impedes the movement of organisms among patches is referred to as landscape connectivity. Structural connectivity relates to the physical arrangement of habitat patches on the landscape, while functional connectivity describes the degree to which the landscape facilitates the movement of organisms. Linking one patch to another are corridors, the strips of habitat similar to a patch but unlike the surrounding matrix. Corridors act as conduits or travel lanes, function as filters or barriers, and provide dispersal routes among patches.

### Metapopulations and Metacommunities 20.6

Habitat fragmentation and human exploitation have reduced many species to isolated or semi-isolated populations. These subpopulations inhabiting fragmented habitats

form metapopulations. Communities occupying habitat patches connected by movements of the constituent species make up the metacommunity. Maintaining them depends on movement of individuals among habitat patches. The theory of metapopulation dynamics is a mechanism for understanding patterns of species richness in habitat patches.

### Characteristics of Disturbance 20.7

Disturbance, a discrete event that disrupts communities and populations, also initiates succession and creates diversity. Disturbances have spatial and temporal characteristics. Small-scale disturbances make gaps in the substrate or vegetation, creating patches of different composition or successional stage. Large-scale disturbances favor opportunistic species. Severe disturbances can replace the community altogether. Of great ecological importance are intensity, frequency, and return interval. Too frequent disturbances can eliminate certain species.

### Natural Disturbances 20.8

Natural disturbances can be a powerful force for change in the landscape. Fire is a natural large-scale disturbance that has both beneficial and adverse effects. Other major natural disturbance regimes include wind, floods, storms (especially hurricanes), and animals. Through their activities they can transform landscape mosaics.

### Human Disturbances 20.9

Major human-induced disturbances include logging, mining, agriculture, and development. These produce profound, often permanent, changes in the landscape.

### Landscape as a Shifting Mosaic 20.10

The landscape is dynamic, and patches are in various stages of development and disturbance. This process suggests a landscape pattern that represents a shifting mosaic. The term *steady state* is a statistical description of the collection of patches; it describes the average state of the landscape.

---

## Study Questions

1. In what way is the study of spatial pattern the defining characteristic of landscape ecology?
2. How do variations in the physical environment (geology, topography, soils, and climate) give rise to the landscape patterns in your region? Contrast these patterns to the influence of human activities in defining the mosaic of patches that form the surrounding landscape.
3. Distinguish among edge, border, and ecotone.
4. Why do edges and ecotones often support a greater diversity of species than do the adjoining communities?
5. How does the ratio of the proportion of edge to interior habitat change with increasing patch size? How does species diversity change with increasing patch size?
6. The theory of island biogeography envisions the species richness of island communities as a balance between the processes of colonization and local extinction. In what way can this theory be applied to isolated habitat patches in terrestrial environments?
7. How do island size and isolation (distance to neighboring islands) influence patterns of species diversity?
8. How does the theory of island biogeography relate to the model of metapopulation dynamics developed by Richard Levins and presented in Chapter 12, Section 12.2?
9. How do corridors aid in maintaining the diversity of habitat patches?

10. Species comprising a community differ in their dispersal behavior. How might the landscape connectivity—both structural and functional—influence species composition and diversity of the various patches making up the metacommunity?
11. Distinguish among these terms as they apply to landscape disturbances: scale, intensity, frequency.
12. How has the landscape around your home or neighborhood changed since you were a young child? What processes have been responsible for the changes?

## Further Readings

- Cadenasso, M. L., S. T. A. Pickett, K. E. Weathers, and C. D. Jones. 2003. A framework for a theory of ecological boundaries. *BioScience* 53:750–758.  
This paper provides a framework for the study of ecological boundaries, focusing on flows of organisms, materials, and energy in heterogeneous landscape mosaics.
- Collinge, S. K. 2009. *Ecology of fragmented landscapes*. Baltimore: John Hopkins University Press.  
Synthesizes body of research on landscape fragmentation discusses restoration, conservation, and planning
- Forman, R. T. T. 1995. *Land mosaics: The ecology of landscapes and regions*. New York: Cambridge University Press.  
A state-of-the-art synthesis exploring the ecology of heterogeneous land areas, where natural processes and human activities spatially interact to produce a continually changing mosaic.
- Harris, L. D. 1988. Edge effects and the conservation of biotic diversity. *Conservation Biology* 2:330–332.  
This short paper is a good overview of the development of the concept of edge effect in ecology. It introduces a series of articles in this journal issue that examine the role of edge communities in conservation ecology.
- Hilty, J. A., W. Z. Lidicker, Jr., and A. M. Merenlender. 2006. *Corridor ecology: The science and practice of linking landscapes for biodiversity conservation*. Washington, DC: Island Press.  
An excellent review of corridor ecology and its application in creating, enhancing, and maintaining connectivity between natural areas.
- Holyoak, M., M. A. Leibold, and R. D. Holt (eds). 2005. *Metacommunities: Spatial dynamics and ecological communities*. Chicago: University of Chicago Press.  
Collection of empirical, theoretical, and synthetic chapters focusing on how communities work in fragmented landscapes.
- Johnson, H. B. 1976. *Order upon the land*. Oxford: Oxford University Press.  
This book provides an historical perspective and analysis of the impacts of early survey and settlement practices on the American landscape.
- Lindenmayer, D. B., and J. Fischer. 2006. *Habitat fragmentation and landscape change: An ecological and conservation synthesis*. Washington, DC: Island Press.  
Reviews ecological problems caused by landscape change and discusses the relationships among landscape change, habitat fragmentation, and biodiversity.
- McDonnell, M. J., and S. T. A. Pickett. 1990. Ecosystem structure and function along urban–rural gradients: An unexploited opportunity for ecology. *Ecology* 71:1232–1237.  
This excellent paper develops a framework for studying the transition from rural to urban environments to better understand the influence of human activity on ecological patterns and processes on the larger landscape.
- Pyne, S. J. 1995. *World fire: The culture of fire on earth*. New York: Henry Holt.  
An engaging study of global evolution of fire, its role in the landscape and in human culture.
- Romme, W. H., and D. H. Knight. 1982. Landscape diversity: The concept applied to Yellowstone Park. *BioScience* 32:664–670.  
An early application of the principles of landscape ecology to Yellowstone National Park. The authors provide a practical application of landscape theory to understanding pattern and process across this expansive landscape.
- Rosenberg, D. K., B. R. Noon, and E. C. Meslow. 1997. Biological corridors: Forms, function and efficiency. *BioScience* 47:677–687.  
An excellent introduction to the topic of landscape corridors. This review article presents numerous examples of field research addressing the role of corridors in conservation ecology.
- Strayer, D. L., M. E. Power, W. F. Fagen, S. T. Pickett, and J. Belnap. 2003. A classification of ecological boundaries. *BioScience* 53:723–729.  
This paper presents a classification of the attributes of ecological boundaries as related to their origin and maintenance, their spatial structure, their function, and their temporal dynamics.
- Turner, M. 1989. Landscape ecology: The effects of pattern and process. *Annual Review of Ecology and Systematics* 20:171–197.  
One of the earliest reviews of the emerging field of landscape ecology. This paper provides an overview of terms and concepts that are central to the theory of ecological landscapes.
- Turner, M. 1998. Landscape ecology. In *Ecology* (S. I. Dodson et al., eds.). Oxford: Oxford University Press.  
This well-written chapter by one of the field’s leading researchers is an excellent introduction to the ecology of landscapes.
- Urban, D., R. V. O’Neill, and H. H. Shugart. 1987. Landscape ecology. *BioScience* 37:119–127.  
An early and important paper in the development of landscape ecology. This well-written and illustrated article is a good introduction to many of the central concepts in landscape ecology.
- Worboys, G. L., W. L. Francis, and M. Lockwood (eds). 2010. *Connectivity conservation management: A global guide*. London: Earthscan  
Focuses on the establishment and management of connectivity conservation areas between areas of large scale parks and protected areas. Provides an excellent summary of all aspects of corridor ecology.
- Wuerthner, G. (ed.). 2006. *Wildfire: A century of failed forest policy*. Washington, DC: Island Press.  
Covers the topic of wildfire from ecological, economic, and sociopolitical perspectives.

# Ecosystem Ecology

**Chapter 21 Ecosystem Energetics p. 396**

**Chapter 22 Decomposition and Nutrient Cycling p. 419**

**Chapter 23 Biogeochemical Cycles p. 446**

Hawaii Volcanoes National Park, on the island of Hawaii, is one of the most dynamic places on Earth—volcanic activity at the park is continuously forming new land as lava flows toward the ocean. Exposed to the sun and wind, the smooth, hard surface of the cooled lava flows is a harsh environment for the establishment and growth of plants. Where there are cracks, however, plants may find a more hospitable environment to colonize. Certain plant species, such as lichens, ferns, and the native tree species (*ohia-lehua*), can tolerate these harsh conditions and appear first on the flows. Temperatures are more moderate in the shaded crevices, moisture may accumulate by running off the adjacent surfaces, and wind-blown dust and organic matter can accumulate in these sheltered areas where the material is less likely to be blown away. These early colonists establish themselves in the most sheltered cracks and crevices, where they have access to the scarce moisture and nutrients. The lichen *Stereocaulon volcani* and the evergreen tree *Myrica faya* are able to fix atmospheric nitrogen (see Section 16.11). As these plants become established, they further contribute to the process of environmental change by shading the substrate and contributing organic matter, which is important for the retention of soil moisture and nutrient availability. As the plant roots grow outward, they add to the process of substrate breakdown by mechanically cracking the lava. Soon a sparse organic layer appears and over time other plant species become established beneath the protective cover of the original colonizers. This process continues through time as the colonizing plants modify the environmental conditions, facilitating the successful arrival of other species until eventually a forest is established.

As we have discussed in previously, the distribution and abundance of species and the biological structure of the community vary in response to environmental conditions. However, as we can see from the example of plant succession on the lava flows of Hawaii, it is equally true that the organisms themselves, in part, define the abiotic environment. It is this inseparable link between the biotic

environment (the community) and the abiotic environment that led A. G. Tansley to coin the term *ecosystem* in an article in the journal *Ecology* in 1935. Tansley wrote:

The more fundamental conception is...the whole system (in the sense of physics) including not only the organism-complex, but also the whole complex of physical factors forming what we call the environment... We cannot separate them [the organisms] from their special environment with which they form one physical system... It is the systems so formed which... [are] the basic units of nature on the face of the earth... These ecosystems, as we may call them, are of the most various kinds and sizes.

In the concept of the ecosystem, the biological and physical components of the environment are a single interactive system.

The ecosystem concept brought with it a new way of approaching the study of nature. Given the diversity of life within any given community, the taxonomic perspective had to give way to a more functional approach of viewing nature. In discussing the ecology of a forest with a population or community ecologist, one will hear a story of species—the dynamics of populations, their interactions, food webs, and patterns of diversity. Discuss the same forest with an ecosystem ecologist, however, and a more abstract picture emerges: a story of energy and matter, where the boundary between the biotic and abiotic components of the forest is often blurred. To the ecosystem ecologist, the forest is a system composed of autotrophs, heterotrophs, and the abiotic environment, each component processing and exchanging energy and matter.

The autotrophs, or primary producers, are predominantly green plants and algae. These organisms use the energy of the Sun in photosynthesis to transform inorganic compounds into simple organic compounds (see Section 6.2). The heterotrophs, or consumers,



(a)



(b)

Plant species characteristic of the early stages of plant succession on the lava flows of Hawaii.

(a) *Metrosideros polymorpha* (Hawaiian: 'ohi'a) are well adapted to the environment of the lava flows and are a common plant in the early stages of colonization. (b) Litter breakdown is associated with the common lichen, *Stereocaulon volcani*.

use the organic compounds produced by the autotrophs as a source of food. Through decomposition, heterotrophs eventually transform these complex organic compounds into simple inorganic compounds that are once again used by the primary producers. The heterotrophic component of the ecosystem is often subdivided into two subsystems: consumers and decomposers. The consumers feed mostly on living tissue and the decomposers break down dead matter into inorganic substances.

The abiotic component consists of the air, water, soil, sediments, particulate matter, dissolved organic matter in aquatic ecosystems, and dead organic matter. All of the dead organic matter is derived from plant and consumer remains and is acted upon by the decomposers. Such dead organic matter is crucial to the internal cycling of nutrients in the ecosystem (see Chapter 22).

The driving force of the ecosystem is the energy of the Sun. This energy, harnessed by the primary producers, flows from producers to consumers to decomposers and eventually dissipates as heat.

Like the community, the ecosystem is a spatial concept; it has boundaries. Like the community, these boundaries are often difficult to define. At first examination, a pond ecosystem is clearly separate and distinct from the surrounding terrestrial environment. A closer inspection, however, reveals a less distinct boundary

between aquatic and adjacent terrestrial ecosystems. Some plants along the shoreline, such as cattails, may be either partially submerged or rooted in the surrounding land and able to tap the shallow water table with their roots. Amphibians move between the shoreline and the water. Surrounding trees drop leaves into the pond, adding to the dead organic matter that feeds the decomposer community on the pond bottom.

Regardless of these difficulties, ecosystems theoretically have spatial boundaries; having defined the boundaries, we can view our ecosystem in the context of its surrounding environment. Exchanges from the surrounding environment into the ecosystem are inputs. Exchanges from inside the ecosystem to the surrounding environment are outputs. An ecosystem with no inputs is called a **closed ecosystem**; one with inputs is an **open ecosystem**. Inputs and outputs, together with exchanges of energy and matter among components within the ecosystem, will form the basis of our upcoming discussion (Chapter 21, ecosystem energetics; Chapter 22 nutrient cycling; and Chapter 23 biogeochemical cycles).

As you will see, the study of ecosystems draws upon the concepts and understanding that we have developed thus far. In a way, it provides a framework to integrate our accumulated understanding of adaptation, populations, communities, and the abiotic environment.





A Rocky Mountain alpine tundra ecosystem carpeted with cotton grass (*Eriophorum angustifolium*) in full bloom.

- 21.1 The Laws of Thermodynamics Govern Energy Flow**
- 21.2 Energy Fixed in the Process of Photosynthesis Is Primary Production**
- 21.3 Temperature, Water, and Nutrients Control Primary Production in Terrestrial Ecosystems**
- 21.4 Temperature, Light, and Nutrients Control Primary Production in Aquatic Ecosystems**
- 21.5 External Inputs of Organic Carbon Can Be Important to Aquatic Ecosystems**
- 21.6 Energy Allocation and Plant Life-Form Influence Primary Production**
- 21.7 Primary Production Varies with Time**
- 21.8 Primary Productivity Limits Secondary Production**
- 21.9 Consumers Vary in Efficiency of Production**
- 21.10 Ecosystems Have Two Major Food Chains**
- 21.11 Energy Flows through Trophic Levels Can Be Quantified**
- 21.12 Consumption Efficiency Determines the Pathway of Energy Flow through the Ecosystem**
- 21.13 Energy Decreases in Each Successive Trophic Level**

**The sunlight that floods Earth** is the ultimate source of energy that keeps the planet functioning. Solar energy arrives as light in particles of energy known as photons. When these photons reach the atmosphere, land, and water, some are transformed into another form of energy—heat—that warms Earth, warms the atmosphere, drives the water cycle, and causes the currents of air (winds) and water (see Chapters 2 and 3). Some of those photons that reach plants are transformed into photochemical energy used in photosynthesis (see Chapter 6). That energy, stored in the chemical bonds of carbohydrates and other carbon-based compounds, becomes the source of energy for other living organisms. In this way, the story of energy within an ecosystem is in large part a story of carbon in the form of organic matter—the living and dead tissues of plants and animals.

All ecological processes involve the transfer of energy, and ecosystems are no different from physical systems like the atmosphere because they are subjected to the same physical laws. Here, we will explore the pathways, efficiencies, and constraints that characterize energy flow through the ecosystem. But first, we must examine the physical laws governing the flow of energy.

## 21.1 The Laws of Thermodynamics Govern Energy Flow

Energy exists in two forms: potential and kinetic. **Potential energy** is stored energy—it is capable of and available for performing work. **Kinetic energy** is energy in motion. It performs work at the expense of potential energy. Work is of at least two kinds: the storage of energy and the arranging or ordering of matter.

Two laws of thermodynamics govern the expenditure and storage of energy. The **first law of thermodynamics** states that energy is neither created nor destroyed. It may change form, pass from one place to another, or act upon matter in various ways. Regardless of what transfers and transformations take place, however, no gain or loss in total energy occurs. Energy is simply transferred from one form or place to another. When wood burns, the potential energy lost from the molecular bonds of the wood equals the kinetic energy released as heat (thermal energy). When a chemical reaction results in the loss of energy from the system, the reaction is **exothermic**.

On the other hand, some chemical reactions must absorb energy in order to proceed. These are **endothermic** reactions. Here, too, the first law of thermodynamics holds true. In photosynthesis, for example, the molecules of the products (simple sugars) store more energy than do the reactants that combined to form the products. The extra energy stored in the products is acquired from the sunlight harnessed by the chlorophyll within the leaf (see Chapter 6). Again, there is no gain or loss in total energy. Although the total amount of energy in any reaction, such as burning wood, does not increase or decrease, much of the potential energy degrades into a form incapable of doing further work. It is transferred to the surrounding environment as heat. This reduction in potential energy is commonly referred to as **entropy**. The transfer of energy involves the **second law of thermodynamics**. This law states that when energy

is transferred or transformed, part of the energy assumes a form that cannot pass on any further. Entropy increases. When coal is burned in a boiler to produce steam, some of the energy creates steam and some of the energy is dispersed as heat to the surrounding air. The same thing happens to energy in the ecosystem. As energy is transferred from one organism to another in the form of food, a portion is stored as energy in living tissue, whereas a large part of that energy is dissipated as heat—entropy increases.

At first, biological systems do not seem to conform to the second law of thermodynamics. The tendency of life is to produce order out of disorder, to decrease rather than increase entropy. The second law theoretically applies to closed systems in which no energy or matter is exchanged with the surrounding environment. With the passage of time, closed systems tend toward maximum entropy; eventually, no energy is available to do work. Living systems, however, are open systems with a constant input of energy in the form of solar radiation, providing the means to counteract entropy.

## 21.2 Energy Fixed in the Process of Photosynthesis Is Primary Production

The flow of energy through a terrestrial ecosystem starts with the harnessing of sunlight by autotrophs. The rate at which radiant energy is converted by photosynthesis to organic compounds is referred to as primary productivity because it is the first and basic form of energy storage.

**Gross primary productivity** is the total rate of photosynthesis, or energy assimilated by the autotrophs. Like all other organisms, autotrophs must expend energy in the process of respiration (see Chapter 6). The rate of energy storage as organic matter after respiration is **net primary productivity (NPP)**. NPP can be described by the following equation:

$$\begin{array}{rcc} \text{Net primary} & \text{Gross primary} & \text{Respiration by} \\ \text{productivity} & = \text{productivity} & - \text{autotrophs} \\ \text{(NPP)} & \text{(GPP)} & \text{(R)} \end{array}$$

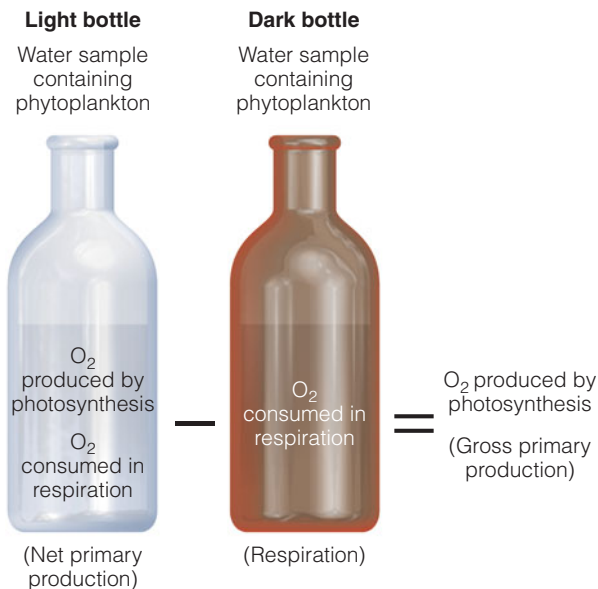
Productivity is usually expressed in units of energy per unit area per unit time: kilocalories per square meter per year ( $\text{kcal/m}^2/\text{yr}$ ). However, productivity may also be expressed in units of dry organic matter: ( $\text{g/m}^2/\text{yr}$ ). As pointed out by the late ecologist Eugene Odum, in all these definitions, the term *productivity* and the phrase *rate of production* may be used interchangeably. Even when the word *production* is used, a time element is always assumed or understood, so one should always state the time interval.

The amount of accumulated organic matter found in an area at a given time is the **standing crop biomass**. Biomass is usually expressed as grams of organic matter per square meter ( $\text{g/m}^2$ ) or some other appropriate unit of area. Biomass differs from productivity. Productivity is the rate at which organic matter is created by photosynthesis. Biomass is the amount of organic matter present at any given time.

The simplest and most common method of measuring net primary production in terrestrial ecosystems is to estimate the change in standing crop biomass (*SCB*) over a given time interval

$(t_2 - t_1):\Delta SCB = SCB(t_2) - SCB(t_1)$ . Two possible losses of biomass over the time period must also be recognized: loss of biomass due to the death of plants ( $D$ ), and loss of biomass due to consumption by consumer organisms ( $C$ ). The estimate of net primary productivity is then  $NPP = (\Delta SCB) + D + C$ .

In aquatic ecosystems, the most common method of estimating NPP is the light/dark bottle method (Figure 21.1). Because oxygen is one of the most easily measured products of both photosynthesis and respiration, a good way to gauge primary productivity in an aquatic ecosystem is to measure the concentration of dissolved oxygen (see Section 6.1). In one set of clear glass “light bottles,” a water sample from the aquatic ecosystem (and associated autotrophic organisms—phytoplankton) is allowed to incubate for a defined time period. If photosynthesis is greater than respiration, oxygen will accumulate in the water, providing an estimate of NPP. Water is also incubated over the same time period in another set of “dark bottles” (painted dark to prevent light from reaching the water). Because the lack of light will prevent photosynthesis, the oxygen content of the water will decline as a function of respiration. The difference between the values of oxygen in the light (photosynthesis + respiration) and dark (respiration) bottles at the end of the time period therefore provides an estimate of total photosynthesis, or gross primary productivity.

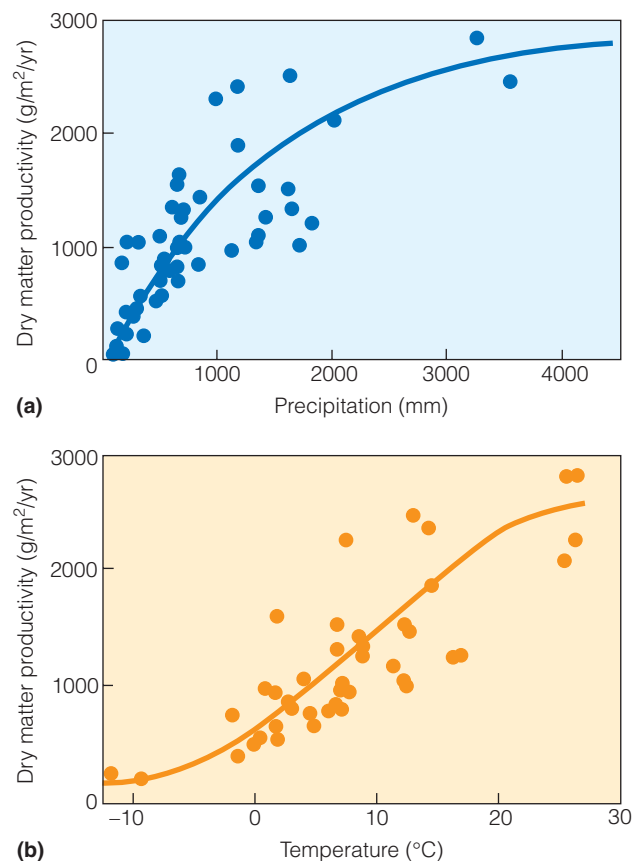


**Figure 21.1** Paired light and dark bottles are used to measure photosynthesis (gross production), respiration, and net primary production by phytoplankton in aquatic ecosystems. A sample of water containing phytoplankton (primary producers) is placed in both bottles and allowed to incubate for a period of time. In the light (clear) bottle,  $O_2$  is produced in photosynthesis and consumed in respiration. The resulting change (increase) in  $O_2$  concentration represents the difference in the rates at which these two processes occur: net primary productivity. Lacking light to drive the process of photosynthesis, only respiration occurs in the dark bottle. As a result,  $O_2$  concentration declines. The difference between the  $O_2$  concentrations of the water from the light and dark bottles at the end of the incubation period represents the rate of  $O_2$  produced in photosynthesis: gross primary productivity.

## 21.3 Temperature, Water, and Nutrients Control Primary Production in Terrestrial Ecosystems

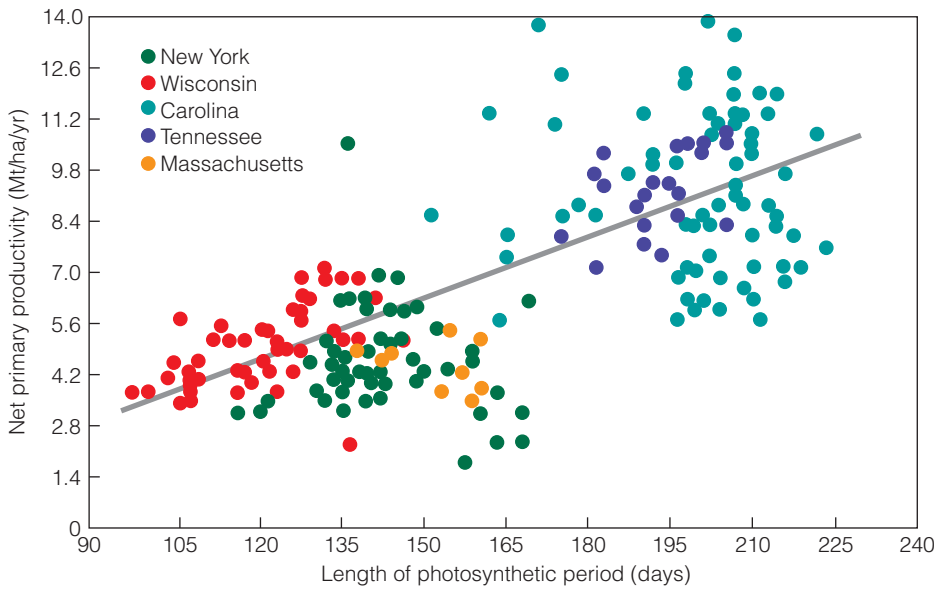
An array of environmental factors, including climate, influence the productivity of terrestrial ecosystems. Measured estimates of net primary productivity (NPP) for various terrestrial ecosystems are plotted in Figure 21.2 against the mean annual precipitation (Figure 21.2a) and mean annual temperature (Figure 21.2b) for each site. NPP increases with increasing mean annual temperature and rainfall. Increasing mean annual temperature is directly related to the annual intercepted solar radiation at the site, reflecting both an increase in mean daily temperature and the length of the growing season (see Chapter 2, Section 2.2). The length of the growing season is defined as the period (number of days) during which temperatures are warm enough to support photosynthesis. As a result, sites with a higher mean annual temperature typically support higher rates of photosynthesis and are associated with a longer time period over which photosynthesis can occur (Figure 21.3).

As we have seen, for photosynthesis and productivity to occur, the plant must open the stomata to take in  $CO_2$



**Figure 21.2** Net primary productivity for a variety of terrestrial ecosystems (a) as a function of mean annual precipitation and (b) as a function of mean annual temperature.

(Adapted from Lieth 1973.)

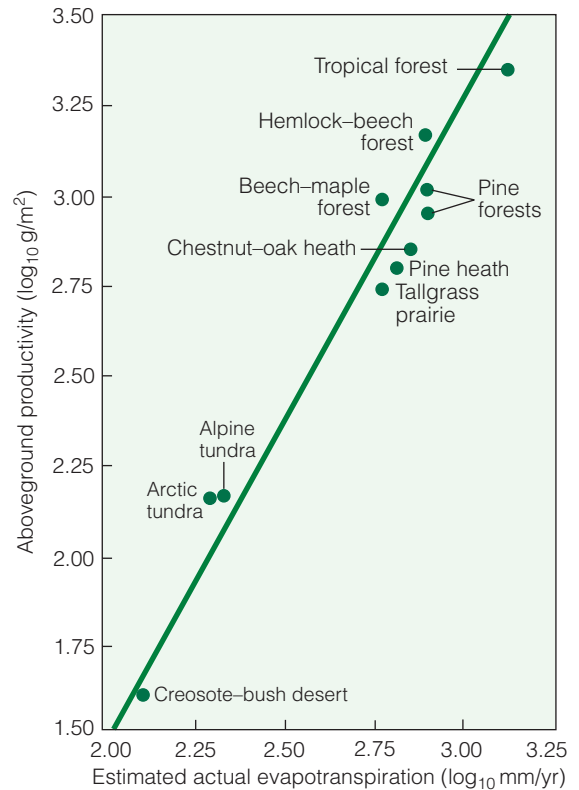


**Figure 21.3** Relationship between net primary productivity and the length of the growing season for deciduous forest stands in eastern North America. Each point represents a single forest site. The (regression) line represents the general trend of increasing productivity with increasing length of the growing season (largely a function of latitude). (Adapted from Leith 1975.)

(see Chapter 6). When the stomata are open, water is lost from the leaf to the surrounding air (transpiration). For the plant to keep the stomata open, roots must replace the lost water. The higher the rainfall, the more water is available for transpiration. The amount of water available to the plant will therefore limit both the rate of photosynthesis and the amount of leaves (surface area that is transpiring) that can be supported (see Section 6.10). The combination of these factors determines the rate of primary productivity.

Although the two graphs in Figure 21.2 show independent effects of temperature and precipitation on primary productivity, in reality the influence of these two factors is closely related. Warm air temperatures increase the potential for evaporation and therefore increase rates of transpiration and plant water demand (see Section 2.6). If temperatures are warm but water availability is low, productivity will also be low. Conversely, if temperatures are low, rates of photosynthesis and productivity will be low regardless of the availability of water. This interaction between temperature and water on the process of NPP explains the high degree of variation in NPP observed in Figures 21.2a and 21.2b with increasing values of mean annual temperature and precipitation. For example, in Figure 21.2a, values of NPP for sites having a mean annual temperature of approximately 12°C range from a low of 900 to a high of more than 2500 g/m<sup>2</sup>/yr. This range of values reflects differences in the corresponding mean annual precipitation at these sites, with low values of productivity associated with low rainfall sites and high values associated with high precipitation sites. Similarly, in Figure 21.2b, variation in values of NPP for sites receiving approximately the same annual precipitation reflects differences in the mean annual temperature.

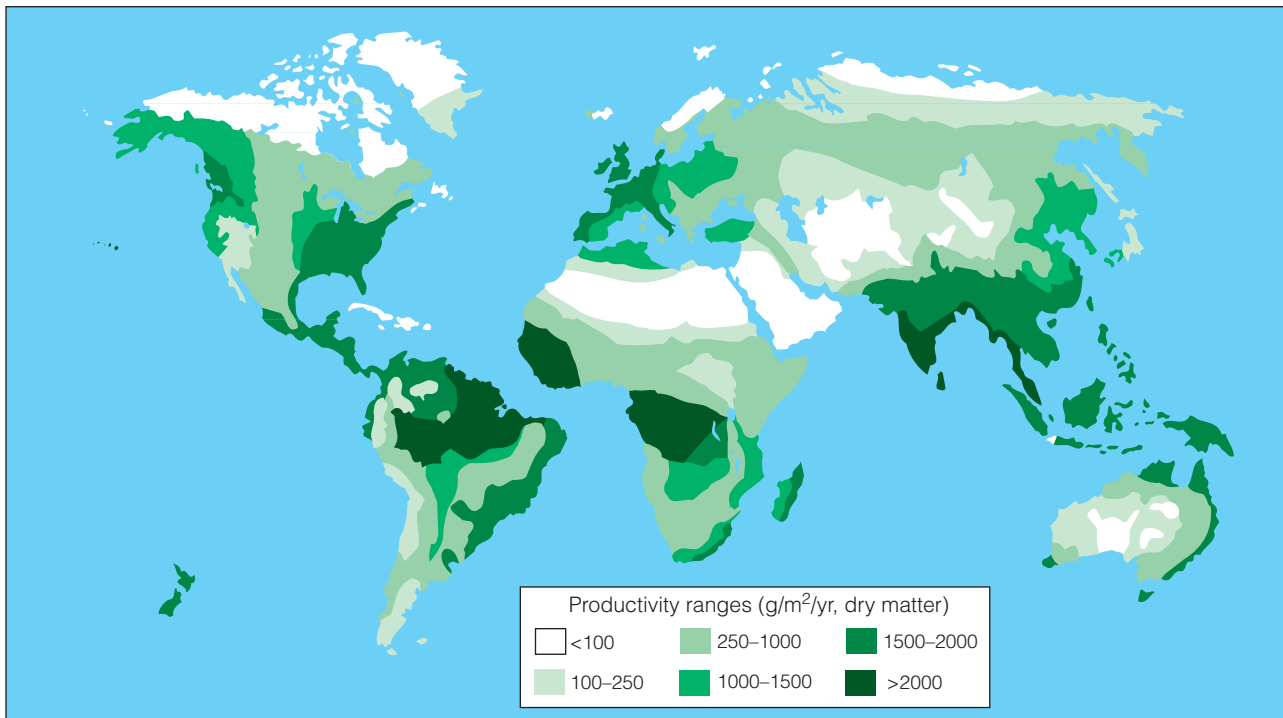
It is the combination of warm temperatures and an adequate water supply for transpiration that gives the highest primary productivity. This pattern is reflected in Figure 21.4, which relates the NPP of various ecosystems to estimates of actual evapotranspiration (AET). Actual evapotranspiration is the combined value of surface evaporation and transpiration



**Figure 21.4** Relationship between aboveground net primary productivity and (actual) evapotranspiration for a range of terrestrial ecosystems. Evapotranspiration—the combination of evaporation and transpiration at a site—depends on both precipitation and temperature (see Chapter 3). (Adapted from MacArthur and Connell 1966.)

(see Section 3.1). It reflects both the demand and the supply of water to the ecosystem. The demand is a function of incoming radiation and temperature, whereas the supply is a function of precipitation.

The influence of climate on primary productivity in terrestrial ecosystems is reflected in the global patterns presented in

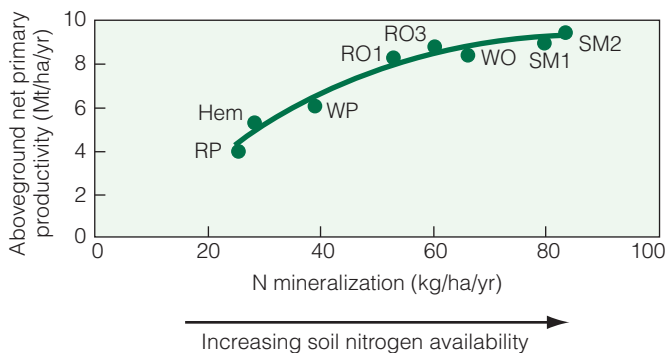


**Figure 21.5** A global map of primary productivity for the terrestrial surface (ecosystems).  
(Adapted from Golley and Leigh 1972.)

Figure 21.5. These patterns of productivity reflect the global patterns of temperature and precipitation presented earlier (Figures 2.8 and 2.17). In addition, measured estimates of NPP for a variety of ecosystems are summarized in Table 21.1. The regions of highest NPP are located in the equatorial zone where the combination of year-round warm temperatures and precipitation supports high rates of photosynthesis and leaf area (tropical rain forest). Moving north and south from the Equator, the seasonality of precipitation increases (see discussion of intertropical convergence zone, Section 2.7. This decreases the growing season and, subsequently, the values of NPP. Continuing into the temperate regions (midlatitudes), an increasing seasonality of temperature functions to reduce the mean annual temperature and restrict the length of the growing season (see Figure 21.3). In addition, as one moves from the coast to the interior of the continents, both mean annual temperature and precipitation decline, reducing values of NPP (Chapter 2).

In addition to climate, the availability of essential nutrients required for plant growth directly affects ecosystem productivity. The availability of nutrients in the soil influences the rate of nutrient uptake, photosynthesis, and plant growth; the net result is a general pattern of increasing NPP with increasing soil nutrient availability (discussed in Chapter 6, Section 6.12).

John Pastor of the University of Minnesota, together with colleagues, examined the role of nitrogen availability on patterns of primary productivity in different forest types on Blackhawk Island, Wisconsin. Their results clearly show the relationship between soil nitrogen availability and aboveground primary productivity (Figure 21.6). A similar response of primary productivity to nutrient availability has been reported for oak savannas that form the transition from the forest ecosystems of eastern North America to the western grasslands of the Great Plains. Peter Reich and colleagues at the University of Minnesota examined the relationship between soil nitrogen



**Figure 21.6** Relationship between net primary production and nutrient availability. Aboveground productivity increases with increasing nitrogen availability (N mineralization rate) for a variety of forest ecosystems on Blackhawk Island, Wisconsin. Abbreviations refer to the dominant trees in each stand: Hem, hemlock; RP, red pine; RO, red oak; WO, white oak; SM, sugar maple; WP, white pine.  
(Adapted from Pastor et al. 1984.)

**Table 21.1** Net Primary Production and Plant Biomass of World Ecosystems

Ecosystems (in Order of Productivity)	Area (10 <sup>6</sup> km <sup>2</sup> )	Mean Net Primary Production per Unit Area (g/m <sup>2</sup> /yr)	World Net Primary Production (10 <sup>9</sup> Mt/yr)	Mean Biomass per Unit Area (kg/m <sup>2</sup> )	Relative Net Primary Productivity (Mean Net Primary Productivity: Mean Biomass per Unit Area) (g/g/yr)
<b>Continental</b>					
Tropical rain forest	17.0	2000.0	34.00	44.00	0.045
Tropical seasonal forest	7.5	1500.0	11.30	36.00	0.042
Temperate evergreen forest	5.0	1300.0	6.40	36.00	0.036
Temperate deciduous forest	7.0	1200.0	8.40	30.00	0.040
Boreal forest	12.0	800.0	9.50	20.00	0.040
Savanna	15.0	700.0	10.40	4.00	0.175
Cultivated land	14.0	644.0	9.10	1.10	0.585
Woodland and shrubland	8.0	600.0	4.90	6.80	0.088
Temperate grassland	9.0	500.0	4.40	1.60	0.313
Tundra and alpine meadow	8.0	144.0	1.10	0.67	0.215
Desert shrub	18.0	71.0	1.30	0.67	0.106
Rock, ice, sand	24.0	3.3	0.09	0.02	–
Swamp and marsh	2.0	2500.0	4.90	15.00	0.167
Lake and stream	2.5	500.0	1.30	0.02	25.0
Total continental	149.0	720.0	107.09	12.30	
<b>Marine</b>					
Algal beds and reefs	0.6	2000.0	1.10	2.00	1.0
Estuaries	1.4	1800.0	2.40	1.00	1.8
Upwelling zones	0.4	500.0	0.22	0.02	25.0
Continental shelf	26.6	360.0	9.60	0.01	36.0
Open ocean	332.0	127.0	42.00	0.003	42.3
Total marine	361.0	153.0	55.32	0.01	15.3
<b>World total</b>	<b>510.0</b>	<b>320.0</b>	<b>162.41</b>	<b>3.62</b>	

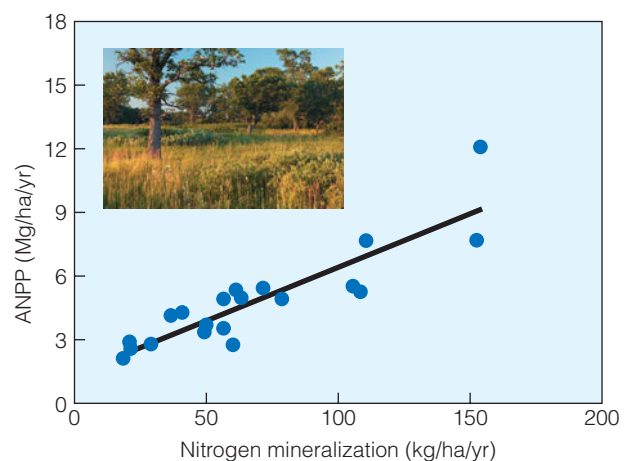
Source: Adapted from Whittaker 1975.

Relative net primary productivity (RNPP) is calculated by dividing the value of net primary production (column 3) by the corresponding value of mean biomass (column 5). Values of mean biomass must first be converted to units of g/m<sup>2</sup>. The resulting units for RNPP are g/g/yr.

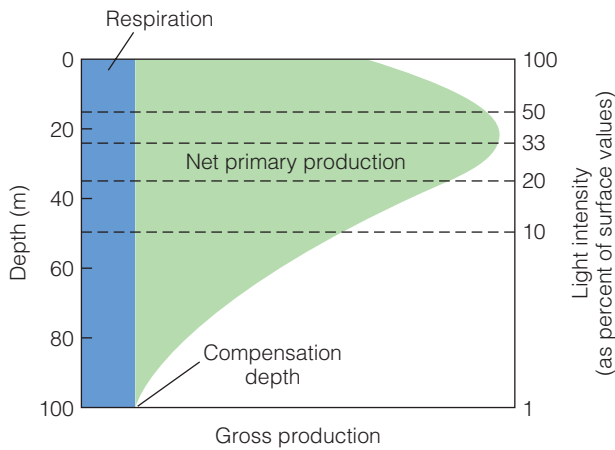
availability and aboveground NPP in 20 mature oak savanna stands in Minnesota. Their results show a pattern of increasing primary productivity with available nitrogen in these mixed tree–grass ecosystems (Figure 21.7).

## 21.4 Temperature, Light, and Nutrients Control Primary Production in Aquatic Ecosystems

Light is a primary factor limiting productivity in aquatic ecosystems, and the depth to which light penetrates in a lake or ocean is crucial in determining the zone of primary productivity. Recall that photosynthetically active radiation (PAR) declines exponentially with water depth (Figure 21.8, see also Figure 3.7). The photosynthetic rate and subsequently the gross productivity of phytoplankton are highest at intermediate levels of PAR (see Figure 6.2). On the other hand, the respiration rate does not change much with depth. This means that as the



**Figure 21.7** Relationship between aboveground net primary productivity (ANPP) and nitrogen availability (nitrogen mineralization rate) for 20 oak savanna sites in Minnesota. Go to [www.ecologyplace.com](http://www.ecologyplace.com) to perform regression analysis. (Adapted from Reich et al. 2001.)

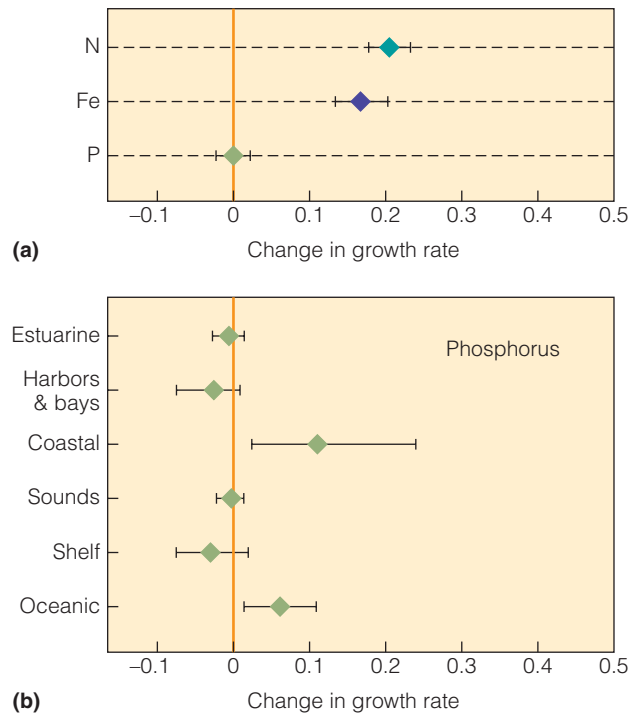


**Figure 21.8** Changes in available light, gross productivity, respiration, and net primary productivity (gross productivity – respiration) with water depth. Respiration rate is relatively constant with depth, whereas gross productivity (photosynthesis) declines with depth as a function of declining available light. The depth at which gross productivity is equal to respiration (net photosynthesis equal to zero) is called the compensation depth.

phytoplankton go deeper in the water column, the photosynthetic rate declines as the light intensity decreases until at some point the rate of photosynthesis (gross production) is equal to the rate of respiration, and net primary productivity (NPP) is zero (Figure 21.8). This zone is referred to as the **compensation depth** and corresponds to the depth at which the availability of light is equal to the light compensation point (discussed in Chapter 6; see Figure 6.2).

In the oceans, nutrients in the deeper waters must be transported to the surface waters, where light (PAR) is sufficient to support photosynthesis. As a result, nutrients—particularly nitrogen, phosphorus, and iron—are a major limitation on primary productivity in the oceans (see Chapters 22 and 25). John Downing, an ecologist at Iowa State University, together with his colleagues Craig Osenburg and Orlando Sarnelle, examined results from more than 300 nutrient-enrichment experiments conducted in marine habitats around the world (Figure 21.9a). The authors found that nitrogen (N) addition stimulated phytoplankton growth the greatest, followed closely by the addition of iron (Fe). In contrast, the addition of phosphorus (P) did not, on average, stimulate phytoplankton growth. These results confirm the prevailing view among marine ecologists that N and Fe are the two most limiting nutrients in marine environments. These results, however, are average responses and do not account for differences among habitats. The magnitude of response to nutrient enrichment varied significantly among marine environments, particularly for phosphorus (Figure 21.9b). Growth response to phosphorus addition in the more polluted waters of the nearshore environments (bays, estuaries, and harbors) was largely negative. In contrast, in the more pristine coastal and oceanic environments, the positive response was nearly as great as that observed for nitrogen.

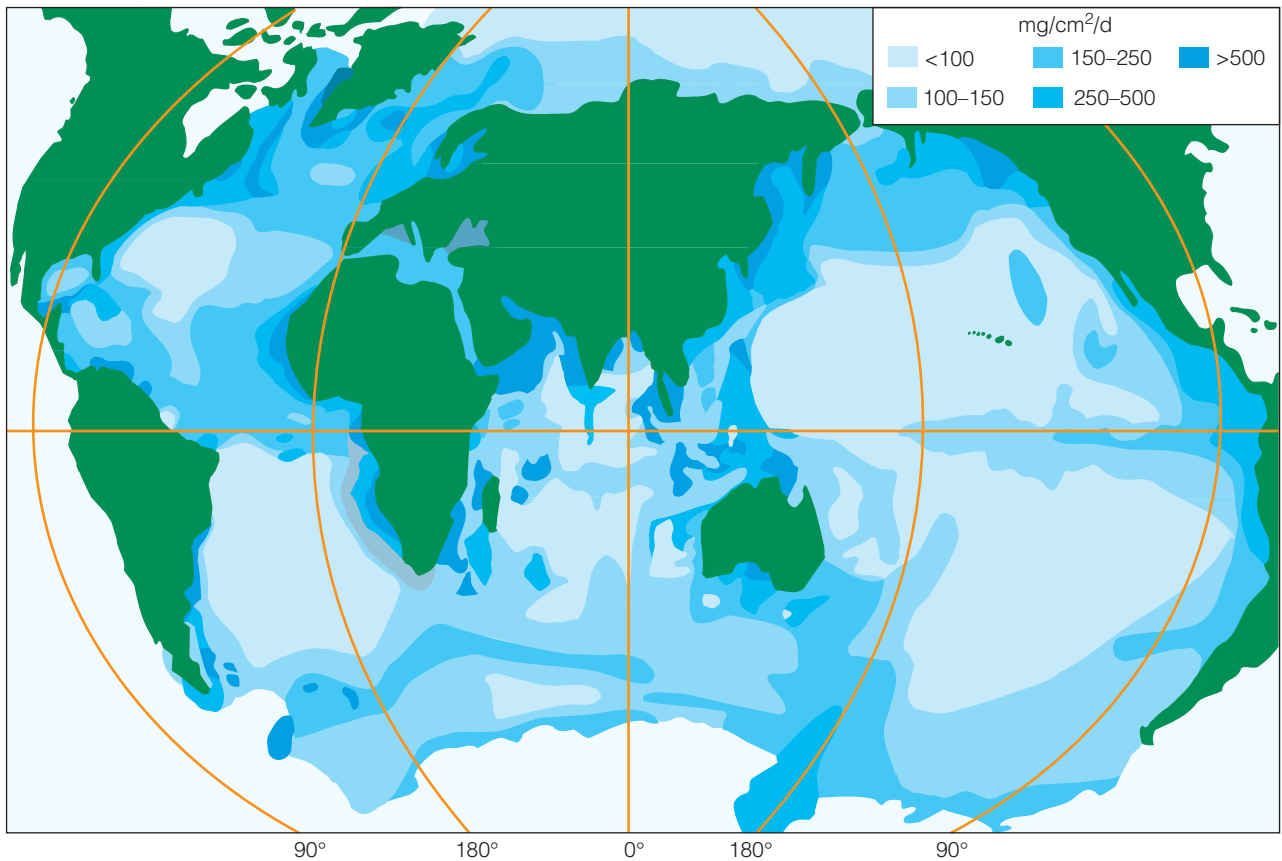
The role of environmental constraints on the primary productivity of the world's oceans can be seen in the map



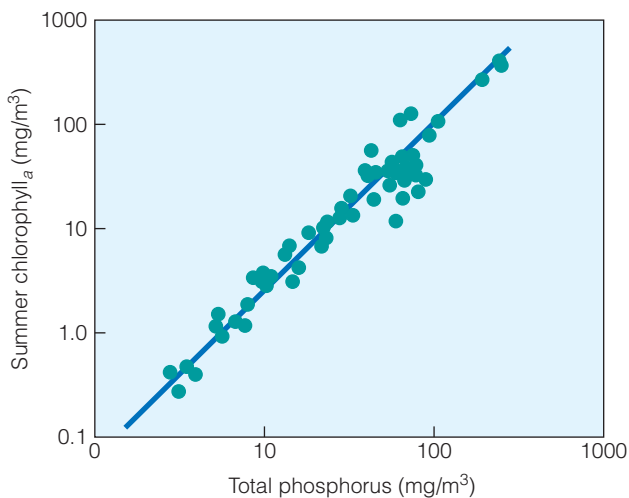
**Figure 21.9** (a) Effects of nutrient addition (nitrogen, iron, and phosphorus) on marine phytoplankton growth rates in 303 experiments. Diamonds represent mean values from the experiments, and the bars represent 95 percent confidence intervals. The solid red line represents zero effect. Mean response values are based on 148 (N), 114 (P), and 35 (Fe) experiments. (b) The change in phytoplankton growth rate due to phosphorus addition varied among different marine environments. Go to [QUANTIFYIT!](http://www.quantifyit.com) at [www.ecologyplace.com](http://www.ecologyplace.com) to perform confidence intervals and t-tests. (Adapted from Downing et al. 1999.)

presented in Figure 21.10 (see also Table 21.1). For two reasons, the most productive waters of the oceans are the shallow waters of the coastal environments. First, shallow waters allow for a greater transport of nutrients from the bottom sediments to the surface waters, aided by wave action and the changing tides. Second, coastal waters receive a large input of nutrients carried from terrestrial ecosystems by rivers and streams (see Chapters 22 and 25).

The constraints on NPP in freshwater ecosystems are not always as easy to interpret as those operating in marine ecosystems. Solar radiation limits primary productivity in lake ecosystems, but the close link between light intensity and temperature makes it difficult to evaluate these two factors independently (see Section 3.4). The role of nutrient availability on primary productivity in lake ecosystems, however, has been well established. Ecologists P. J. Dillon and F. H. Rigler of the University of Toronto examined the relationship between summer chlorophyll and spring total phosphorus concentration for 19 lakes in southern Ontario. Water chlorophyll concentration provides a simple and accurate estimate of phytoplankton standing biomass and productivity. The researchers combined their results with data reported in the literature for other North American lakes. The results of their analysis, presented in Figure 21.11,



**Figure 21.10** Geographic variation in primary productivity of the world's oceans. Note that the highest productivity is along the coastal regions, and areas of lowest productivity are in the open ocean (see Chapter 27 for detailed discussion).



**Figure 21.11** Relationship between summer average chlorophyll (an estimate of phytoplankton net primary productivity) content (y-axis) and spring total phosphorus concentration (x-axis) for northern temperate lake ecosystems (each point represents a single lake).

(Adapted from Dillon and Rigler 1974.)

show a clear pattern of increasing primary productivity with phosphorus concentration. Similar results have been reported for studies in which the nutrient concentrations of lake water have been manipulated experimentally through fertilization.

Although experimental manipulation of water nutrient concentrations in stream and river (lotic) ecosystems have shown an increase in NPP with nutrient concentration, primary productivity in these ecosystems is typically low in comparison to standing water (lentic ecosystems). An important source of organic carbon for these ecosystems is inputs of dead organic matter from adjacent terrestrial ecosystems.

## 21.5 External Inputs of Organic Carbon Can Be Important in Aquatic Ecosystems

Organic carbon produced within an ecosystem is termed **autochthonous**, while inputs from outside the ecosystem are termed **allochthonous**. In aquatic ecosystems, the allochthonous input is through the photosynthesis by aquatic plants, attached algae in the shallow waters, and by phytoplankton in the open waters. However, a substantial proportion of organic carbon in these ecosystems is allochthonous, derived from dead organic matter from adjacent terrestrial ecosystems,

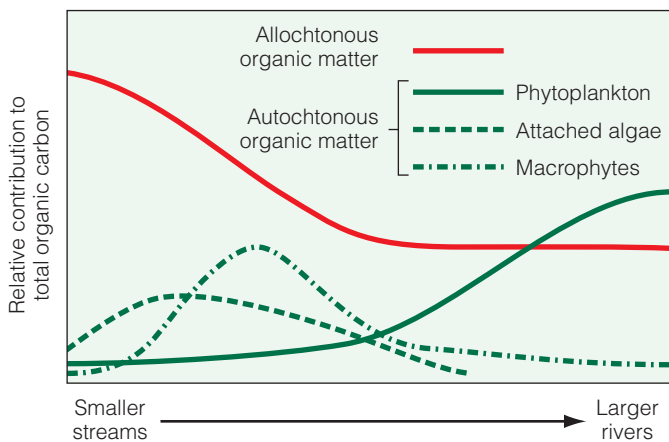


entering the water as both dissolved (DOM) and particulate organic matter (POM).

The relative importance of allochthonous sources of carbon varies widely among different aquatic ecosystems. In most marine ecosystems, autochthonous inputs of organic carbon dominate due to the high net primary productivity of resident populations of phytoplankton. In contrast, small stream ecosystems flowing through forested catchments derive most of their organic carbon from dead plant materials deposited into the water from the surrounding forest ecosystem. Shading from trees limits available light in the waters, preventing any significant growth of phytoplankton or attached algae. In these streams, allochthonous carbon provides energy resources that support levels of consumer abundance beyond those that could be supported from autochthonous sources (NPP within the ecosystem).

As the stream widens further downstream, the shading by adjacent trees becomes limited to the stream margins, and the increase in available light in the water column allows for an increase in net primary productivity by submerged aquatic plants, algae, and phytoplankton. The result is a continuum of the relative importance of allochthonous and autochthonous sources of carbon in the energy balance of flowing water ecosystems from the smaller headwater streams to larger river systems (Figure 21.12).

As with flowing water ecosystems, the relative importance of internal and external sources of organic carbon varies among lake ecosystems as a function of their morphology (size and shape) and the nature of the surrounding catchment. In larger lake ecosystems autochthonous inputs



**Figure 21.12** Generalized scheme showing the relative contributions of allochthonous organic matter and autochthonous production by aquatic plants (macrophytes), attached algae, and phytoplankton in the transition of a stream to a river ecosystem. (From Wetzel 1975).

generally dominate. Allochthonous inputs of both DOM and POM vary seasonally with the volume of water from streams and rivers that flows into the lake. In contrast, in smaller lakes allochthonous inputs can be significant. In a study of two small lake ecosystems (1.1 and 2.5 ha) occupying a forested catchment in Michigan, ecologist Michael Pace of the University of Virginia and colleagues found that upwards of 50 percent of organic carbon that moved through the food chain was from adjacent terrestrial sources (allochthonous). We will further examine these linkages between terrestrial and aquatic ecosystems later.

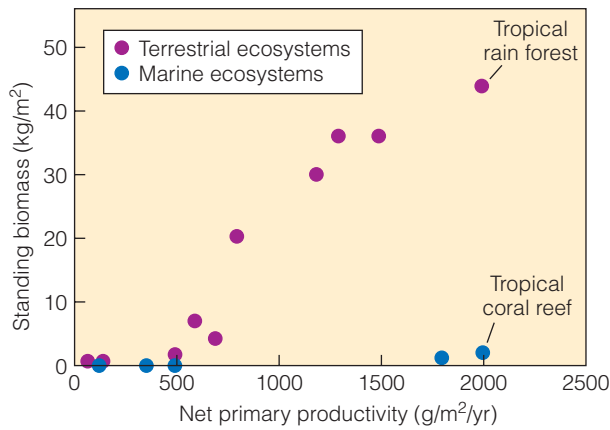
## 21.6 Energy Allocation and Plant Life-Form Influence Primary Production

Earlier, we examined the implications of how plants allocate the carbon fixed in photosynthesis (carbon allocation) to the process of plant growth (see Section 6.7). You will recall that the process of plant growth functions as a positive feedback system. For a given rate of photosynthesis, the greater the allocation of carbon (energy) to photosynthetic tissues (leaves) relative to non-photosynthetic tissues (stems and roots), the greater the net carbon gain and plant growth.

As discussed in Section 21.3, the pattern of decreasing net primary productivity (NPP) with declining precipitation is partially due to the changing pattern of carbon–energy allocation of plants within the ecosystem. Reduced moisture conditions result in an increased allocation to roots at the expense of leaves, thus reducing leaf area and rates of net carbon gain. Although plant species within an ecosystem exhibit a wide range of characteristics and adaptation to microclimatic conditions (such as the case of shade-tolerant and shade-intolerant species), the average patterns of carbon allocation for different ecosystems reflect the general pattern of carbon allocation exhibited by individual plants in response to such environmental gradients as moisture availability (see Sections 6.9 and 6.10). In a recent review of over 700 studies that have examined patterns of carbon allocation in different biomes, Karel Mokany of Australian National University and colleagues found that estimates of the ratio of belowground to aboveground biomass (root-to-shoot ratio; R:S) range from a low of 0.20 in tropical rain forest ecosystems to 1.2 for arid shrublands and to a high of 4.5 in desert ecosystems. These differences reflect the greater allocation to roots relative to aboveground tissues (leaves and stem) with decreasing annual precipitation.

The decline in NPP from mesic to more xeric environments shown in Figure 21.2 is paralleled with a reduction in standing crop biomass, the accumulation of NPP over time (Figure 21.13). Those ecosystems with a greater NPP are those with the greater standing biomass. This relationship of increasing standing biomass with increasing NPP is seen in both terrestrial and marine environments.

Recall from our discussion of the growth of individual plants that larger plants generally have a greater net carbon gain or absolute growth rate (grams per unit time) than do



**Figure 21.13** Relationship between standing biomass and net primary productivity for ecosystems in Table 21.1. Note the difference in the standing biomass per unit of net primary productivity between terrestrial and aquatic ecosystems (see text for discussion).

smaller plants (see Quantifying Ecology 6.1: Relative Growth Rate). This situation often changes, however, when we examine the relative growth rate, or biomass gain per unit of plant mass (grams per gram plant mass per unit time). The same holds true for the collective growth of plants within the ecosystem. The ratio of NPP to standing biomass from Table 21.1, relative net primary productivity (RNPP: units of  $g/g/yr$ ), represents an index similar to that of relative growth rate for individual plants: the rate of biomass accumulation per unit of plant biomass present. A comparison of RNPP with the average standing biomass in each of the terrestrial ecosystems shows a pattern inverse to that discussed for Figure 21.13. For example, although the NPP of a temperate forest ( $0.04 g/g/yr$ ) is more than twofold greater than that of a temperate grassland, if we calculate the productivity per unit of standing biomass, the grassland ecosystem is almost an order of magnitude higher than the forest ( $0.31 g/g/yr$ ). This reflects the general pattern of higher relative growth rate for grasses when compared to trees.

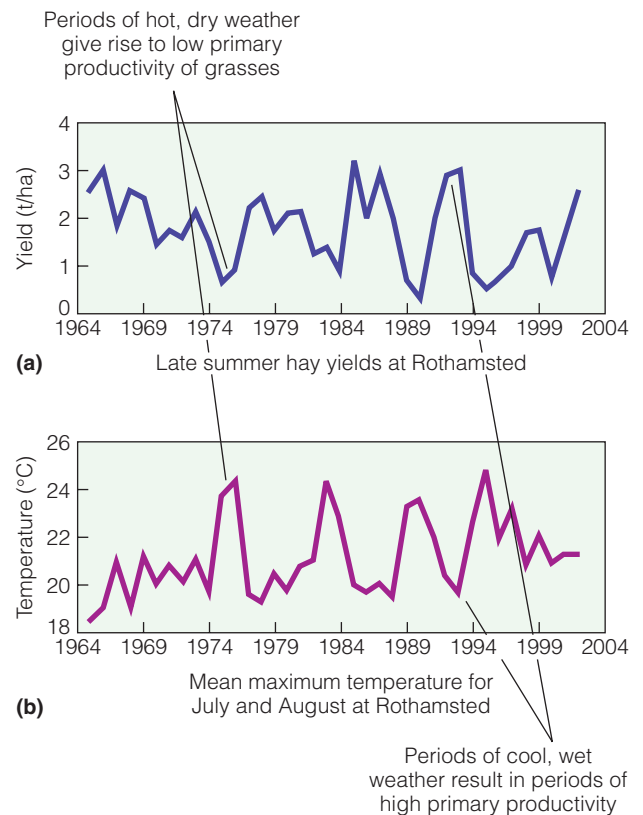
The same inverse relationship between standing biomass and RNPP observed for terrestrial ecosystems also occurs in marine ecosystems. The interpretation, however, is quite different. In the terrestrial ecosystems represented in Table 21.1, the longevity of dominant plant species is typically much greater than the period over which net primary productivity is measured (annual NPP). This is not, however, the case for most marine ecosystems. Phytoplankton (microscopic algae) are the dominant net primary producers in open-water ecosystems. These species are short-lived (weeks) with high rates of reproduction. As a result, there is a constant turnover of the populations, with many generations occurring during the period over which annual NPP is measured. As a result of the fast turnover of the populations, the standing biomass at any time interval is low as compared to the accumulated NPP over the course of the year. This accounts for the extremely high value of RNPP for the open ocean ( $42.3 g/g/yr$ ) as compared to all terrestrial ecosystems (see Table 21.1).

## 21.7 Primary Production Varies with Time

Primary production also varies within an ecosystem with time and age. Both photosynthesis and plant growth are directly influenced by seasonal variations in environmental conditions. Regions with cold winters or distinct wet and dry seasons have a period of plant dormancy when primary productivity ceases. In the wet regions of the tropics, where conditions are favorable for plant growth year-round, there is little seasonal variation in primary productivity.

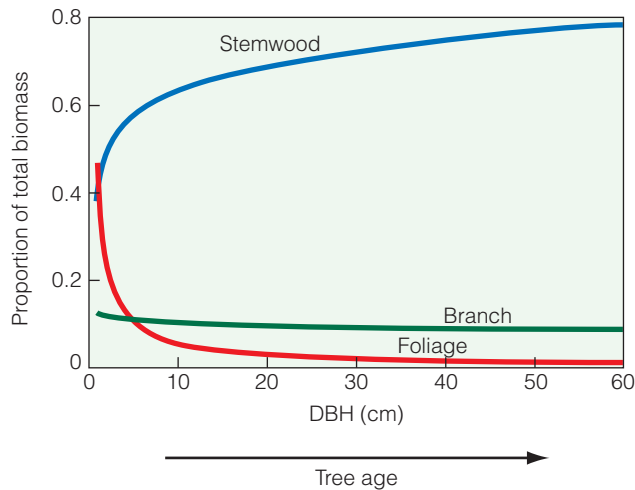
Year-to-year variations in primary productivity within an area can occur as a result of climatic variation. In a long-term experiment, known as Park Grass, at the Rothamsted Experimental Station in Hertfordshire (England), grass yields have been recorded since 1856. Yields have been recorded, with constant treatments using standard measurement methods, since 1965 (Figure 21.14). Yields in late summer are depressed in hot, dry summers. The three lowest-yielding years were 1976, 1990, and 1995—all years with hot, dry summers.

Disturbances such as herbivory and fire can also lead to year-to-year variations in net primary productivity (NPP) at a site (see Section 20.8). Overgrazing of grasslands by cattle and sheep or defoliation of forests by such insects as the gypsy moth can significantly reduce NPP. Fire in grasslands may increase productivity in wet years but reduce it in dry years.



**Figure 21.14** Seasonal variations in (a) grass productivity and (b) mean maximum temperature for the period of July and August at the Rothamsted Experimental Station in Hertfordshire (England) for the period 1965–2004.

(Adapted from Sparks and Potts 2004.)



**Figure 21.15** Changes in the proportion of biomass in foliage (leaves), branch, and stemwood (bole) for white oak trees (*Quercus alba*) as a function of tree size (diameter of the trunk at 1.5 m above the ground, or DBH).

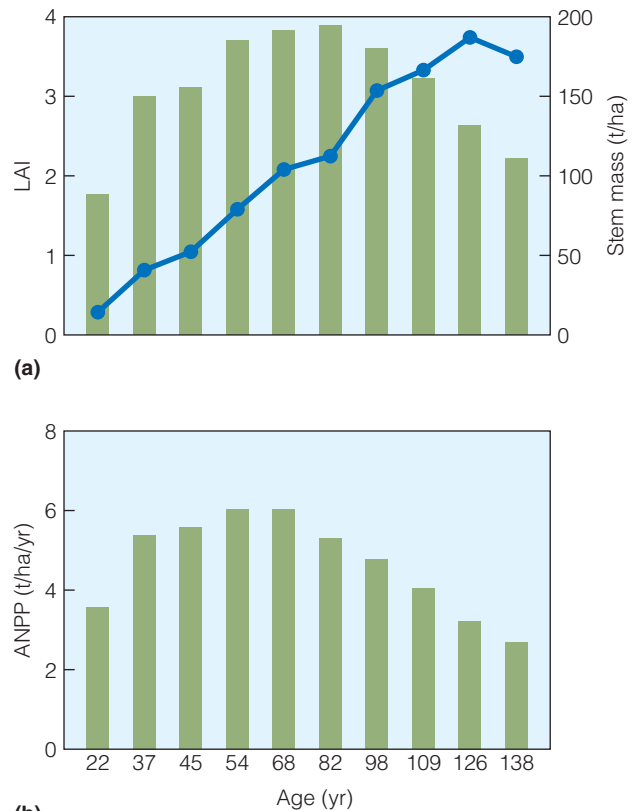
### INTERPRETING ECOLOGICAL DATA

**Q1.** Go to Quantifying Ecology 6.1: Relative Growth Rate on page 104. Assuming that leaf area ( $\text{cm}^2$ ) increases linearly with leaf mass (g), how does the leaf area ratio of the oak tree change with age?

**Q2.** Based on the discussion of relative growth rate presented in Quantifying Ecology 6.1, hypothesize how relative growth rate might change as the tree increases in size (DBH) and age.

Net primary productivity also varies with stand age, particularly in ecosystems that are dominated by woody vegetation. Trees and woody shrubs can survive for a long time, which greatly influences how they allocate energy. Early in life, leaves make up more than one-half of their biomass (dry weight), but as trees age, they accumulate more woody growth. Trunks and stems become thicker and heavier, and the ratio of leaves to woody tissue changes (Figure 21.15). Eventually, leaves account for only 1 to 5 percent of the total mass of the tree. The production system (leaf mass) that supplies the energy is considerably less than the biomass it supports. Thus, as the woody plant grows, much of the energy goes into support and maintenance (respiration), which increase as the plant ages. This pattern of growth and energy allocation has implications for the pattern of NPP of forests through the process of stand development.

Stith Gower, a forest ecologist at the University of Wisconsin, examined the potential causes of declining productivity with stand age with colleagues Ross McMurtrie and Danuse Murty of Australian National University. The authors found that as the age of a forest stand increases, more and more of the living biomass is in woody tissue while the leaf area remains relatively constant or declines (Figure 21.16a). As the stand ages, rates of both photosynthesis and respiration decline. In addition, more of the gross production (photosynthates) goes for maintenance (respiration of woody tissues) and less remains for growth. The result is a pattern of increasing primary productivity during the early stages of stand development followed by a decline as the forest ages and the standing biomass increases (Figure 21.16b).



**Figure 21.16** (a) Aboveground stem mass (filled circles), leaf area index (LAI; green bars), and (b) aboveground net primary productivity (ANPP) for stands of the boreal needle-leaf evergreen conifer (*Picea abies*) of differing age.

(Adapted from Gower et al. 1996.)

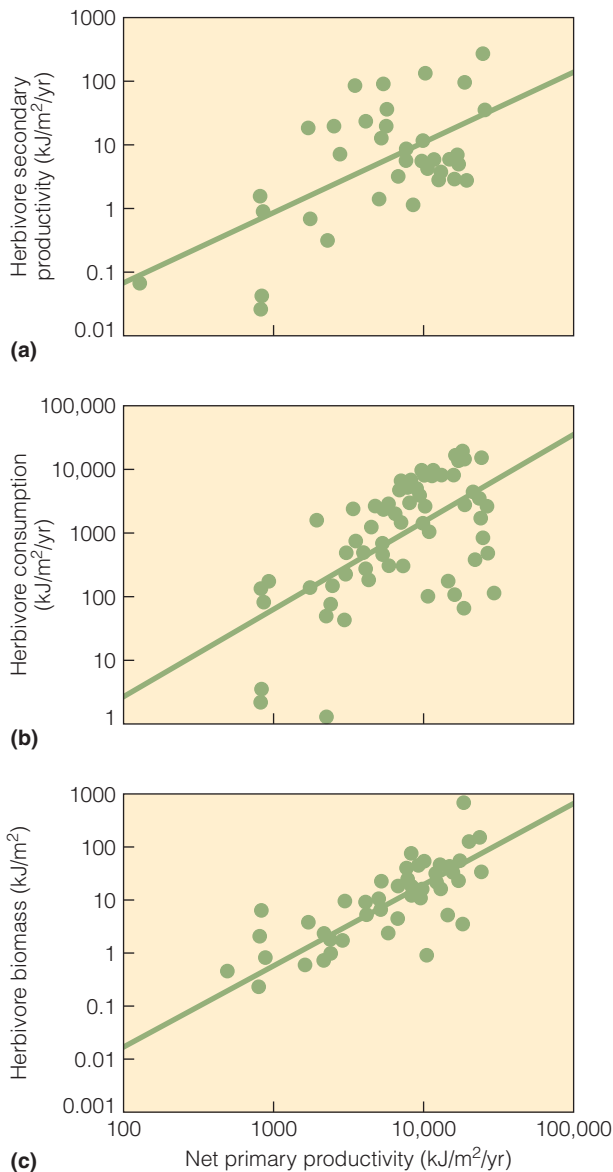
## 21.8 Primary Productivity Limits Secondary Production

Net primary production (NPP) is the energy available to the heterotrophic component of the ecosystem. Either herbivores or decomposers eventually consume all plant productivity, but often it is not all used within the same ecosystem. Humans or other agents, such as wind or water currents, may disperse the NPP of any given ecosystem to another food chain outside the ecosystem (see Ecological Issues: Human Appropriation of Net Primary Productivity). For example, about 45 percent of the net production of a salt marsh is lost to adjacent estuarine waters (see Chapter 26).

Some energy in the form of plant material, once consumed, passes from the body as waste products (such as feces and urine). Of the energy assimilated, part is used as heat for metabolism (see Section 7.7). The remainder is available for maintenance—capturing or harvesting food, performing muscular work, and keeping up with wear and tear on the animal's body. The energy used for maintenance is eventually lost to the surrounding environment as heat. The energy left over from maintenance and respiration goes into production, including the growth of new tissues and the production of young (allocation to reproduction; see Chapter 10). This net energy of production is called **secondary production**. As with primary

production, secondary production per unit time (grams per unit area per unit time) is referred to as **secondary productivity**. Secondary productivity is greatest when the birthrate of the population and the growth rate of individuals are highest.

Secondary production depends on primary production for energy, and therefore primary productivity should function as a constraint on secondary productivity within the ecosystem. Ecologist Sam McNaughton of Syracuse University compiled data from 69 studies that reported both net primary and secondary productivity for terrestrial ecosystems ranging from Arctic tundra to tropical forests. A number of general patterns emerge. As expected, both herbivore biomass (Figure 21.17a) and consumption of primary productivity by herbivores (Figure 21.17b) increase with primary productivity. Likewise,



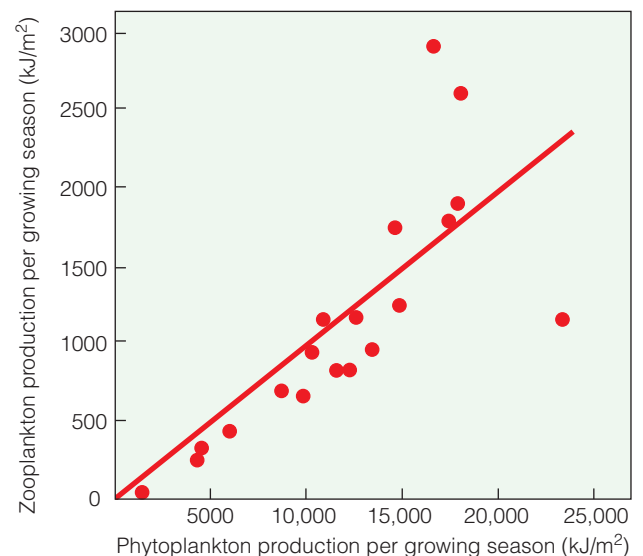
**Figure 21.17** Relationship between aboveground net primary productivity and (a) net secondary productivity of herbivores, (b) consumption, and (c) herbivore biomass. Units are KJ/m<sup>2</sup>/yr except for biomass, which is KJ/m<sup>2</sup>. Each point represents a different terrestrial ecosystem.

(Adapted from McNaughton et al. 1989; Nature Publishing Group.)

secondary productivity of herbivores increased with primary productivity (Figure 21.17c). Inspection of the relationship between consumption and NPP (Figure 21.17b) revealed that forests have less consumption per unit of primary productivity than do grasslands. Restricting the estimates of NPP to foliage only (leaves), however, reduced these differences.

A similar relationship to that observed by McNaughton for terrestrial ecosystems has been observed between phytoplankton production (primary productivity) and zooplankton production (secondary productivity) in lake ecosystems. Michael Brylinsky and K. H. Mann of Dalhousie University (Canada) examined the relationship between phytoplankton and zooplankton productivity in 43 lakes and 12 reservoirs distributed from the tropics to the Arctic. The researchers found a significant positive relationship between phytoplankton productivity and the productivity of both herbivorous and carnivorous (Figure 21.18) zooplankton.

The relationships presented in Figures 21.17 and 21.18 suggest a bottom-up control on the flow of energy through the ecosystem, where populations and productivity of secondary producers (herbivores) are controlled by the populations and productivity of primary producers (plants and algae). However, in our discussion of food webs, we saw a more complex picture of species interactions between plant, herbivore, and carnivore populations (Part Five). For example, recall “the world is green” proposition of Hairston and colleagues (Chapter 18, Section 18.4). The proposition suggests a top-down control on primary productivity and standing biomass. Hairston and colleagues proposed that the world is green (plant biomass accumulates) because predators keep herbivore populations in check. A growing body of experimental data suggests that top-down controls are important in many ecosystems and that patterns of NPP are influenced not only by abiotic conditions but also by controls on herbivore populations (and rates of consumption of primary productivity) by predators (see Section 18.4 and Field Studies: Brian Silliman).



**Figure 21.18** Relationship between phytoplankton (primary) and zooplankton (secondary) productivity in lake ecosystems.

(Adapted from Brylinsky and Mann 1973.)

## ECOLOGICAL ISSUES

## Human Appropriation of Net Primary Productivity

Although we represent only one of more than 1.5 million known species inhabiting our planet, humans use a vastly disproportionate share of Earth's resources. This pattern of resource use is most evident in the human appropriation of net primary productivity (NPP). In a paper first published in 1986, ecologist Peter Vitousek and colleagues at Stanford University used three different approaches to estimate the fraction of NPP that humans have appropriated. A low estimate was derived by calculating the amount of NPP people use directly—as food, fuel, fiber, or timber. An intermediate estimate was also calculated that includes all the productivity of lands devoted entirely to human activities (such as the NPP of croplands, as opposed to the portion of crops actually eaten). This estimate also included the energy human activity consumes, such as in setting fires to clear land. A third approach provided a high estimate, which further included productive capacity lost as a result of converting open land to cities and forests to pastures or because of desertification or overuse (overgrazing, excessive erosion). Results of the three approaches (low, intermediate, and high) yielded a wide range of estimates: 3 percent, 19 percent, and 40 percent, respectively. These estimates are a remarkable level of use for a species that represents approximately 0.5 percent of the total heterotrophic biomass on Earth.

Since the initial analyses conducted by Vitousek and colleagues in the mid-1980s, advances in satellite technology have greatly enhanced scientists' ability to monitor patterns of land use and primary productivity at a continental to global scale. Marc Imhoff and Lahouari Bounoua, researchers at NASA's Goddard Space Flight Center (GSFC; Greenbelt, Maryland), and colleagues have recently undertaken an analysis of patterns of human use of NPP at a global scale using satellite-derived data (see <http://earthobservatory.nasa.gov/Features/MeasuringVegetation>).

The researchers defined human appropriation of terrestrial NPP (HANPP) as the amount of terrestrial NPP required to derive food and fiber products consumed by humans, including the organic matter that is lost during harvesting and processing of whole plants into end products. Using data compiled by the Food and Agricultural Organization (FAO) of the United

Nations on products consumed in 1995 for 230 countries in seven categories (plant foods, meat, milk, eggs, wood, paper, and fiber), a per capita value of HANPP was calculated for each country. The per capita estimate of HANPP was then applied to a gridded database of the human population at a spatial resolution of 0.25° (latitude and longitude). This spatial scale was chosen to match the spatial resolution of NPP derived from the satellite-derived vegetation index (NDVI). The resulting map depicts the spatial pattern of HANPP, showing where the products of terrestrial photosynthesis are consumed (Figure 1a). By combining the maps of global NPP and HANPP, the researchers were able to map HANPP as a percentage of NPP, providing a spatially explicit balance of NPP “supply” and “demand” (Figure 1b). The resulting map reveals a great deal of regional variation in the appropriation of NPP.

Summing for the globe, the researchers estimate annual HANPP to be 24.2 Pg organic matter (1 Pg = 10<sup>15</sup>g), or approximately 20 percent of terrestrial annual NPP (with high and low estimates of 14–26 percent). Some regions, however, such as Western Europe and south central Asia, consume more than 70 percent of their NPP. In contrast, HANPP in other regions (typically in the wet tropics) is less than 15 percent. The lowest value (approximately 6 percent) is found in South America.

Both population and per capita consumption interact to determine the human ecological impact at a regional scale. The role of population is clear from Figure 1b despite vast differences in consumption among nations. For example, east and south central Asia, with almost half of the world's population, appropriates 72 percent of its regional NPP despite having the lowest per capita consumption of any region. Affluence also plays an important role. The average annual per capita HANPP for industrialized countries is almost double that of developing nations, which are home to 83 percent of the global population. If the per capita HANPP of the developing nations increased to match that of the industrialized countries, global HANPP would increase by 75 percent to a value of 35 percent of the current global NPP.

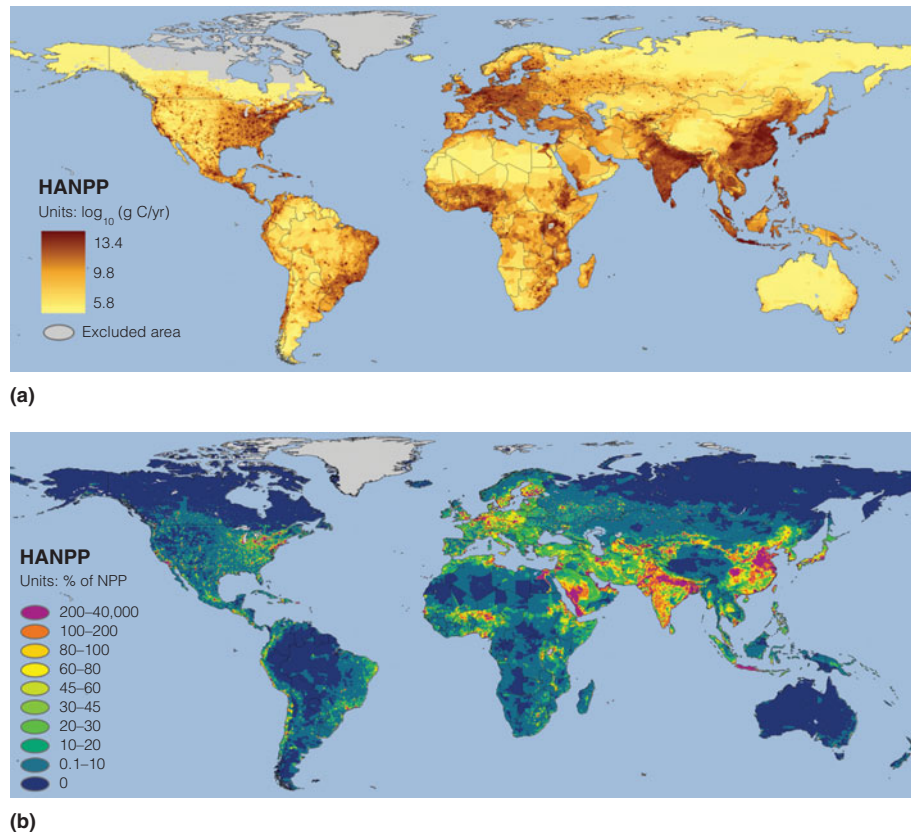
## 21.9 Consumers Vary in Efficiency of Production

Although there is a general relationship between the availability of primary productivity and the productivity of consumer organisms (secondary productivity) across a variety of terrestrial and aquatic ecosystems, within a given ecosystem there is considerable variation among consumer organisms in their efficiency to transform energy consumed into secondary production. These differences can be illustrated using the following simple model of energy flow through a consumer organism.

Of the food ingested by a consumer ( $I$ ), a portion is assimilated across the gut wall ( $A$ ), and the remainder is expelled from the body as waste products ( $W$ ). Of the energy that is assimilated, some is used in respiration ( $R$ ) and the remainder goes to production ( $P$ ), which includes production of new tissues as well as reproduction. The ratio of assimilation to ingestion ( $A/I$ ) the **assimilation efficiency**, is a measure of the efficiency with which the consumer extracts energy from food. The ratio of production to assimilation ( $P/A$ ) the **production efficiency**, is a measure of how efficiently the consumer incorporates assimilated energy into secondary production.

1. Use Figure 21.5 together with Figure 1 to answer the following question. Why is the HANPP expressed as a percentage (Figure 1b) low for the wet tropical regions of the world (regions of tropical rain forest)?

2. Since the mid-20th century, the efficiency of agricultural production (the quantity of crops produced per hectare of land under cultivation) has increased dramatically. How would this increase have changed the values of HANPP shown in Figures 1a and 1b?



**Figure 1** Spatial distribution of the annual NPP resources required by the human population, as measured by (a) HANPP, and (b) HANPP as a percentage of local NPP.

(Nature Publishing Group.)

A consumer's ability to convert the energy it ingests into secondary production varies with species and the type of consumer. Assimilation efficiencies vary widely among ectotherms and endotherms. Endotherms are much more efficient than ectotherms. However, carnivorous animals, even ectothermic ones, have a higher assimilation efficiency (approximately 80 percent) than herbivores (20 percent to 50 percent). Predatory spiders feeding on invertebrates have assimilation efficiencies of more than 90 percent.

Production efficiency varies mainly according to taxonomic class (Table 21.2). Invertebrates in general have high efficiencies (30 percent to 40 percent), losing relatively

little energy in respiratory heat and converting more assimilated energy into production. Among the vertebrates, ectotherms have intermediate values of production efficiency (approximately 10 percent). In contrast, endotherms, with their high energy expenditure associated with maintaining a constant body temperature, convert only 1 to 2 percent of their assimilated energy into production (see Section 7.11).

For endotherms, body size also influences production efficiency. You may recall that the mass-specific metabolic rate ( $\text{kcal/g body weight/hr}$ ) increases exponentially with decreasing body mass (Chapter 7, Section 7.10). An increase in mass-specific metabolic rate lowers production efficiency.

**Table 21.2** Production Efficiency ( $P/A \cdot 100$ ) of Various Animal Groups

Group	$P/A$ (%)
Mice	4.10
Voles	2.63
Other mammals	2.92
Birds	1.26
Fish	9.74
Social insects	8.31
Orthoptera	41.67
Hemiptera	41.90
All other insects	41.23
Mollusca	21.59
Crustacea	24.96
All other noninsect invertebrates	27.68
Noninsect invertebrates	
Herbivores	18.81
Carnivores	25.05

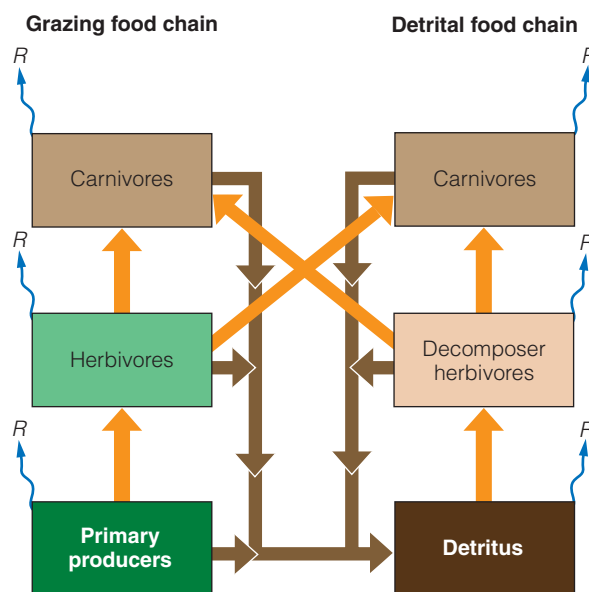
Source: Data from Humphreys 1979.

## 21.10 Ecosystems Have Two Major Food Chains

Energy fixed by plants is the base that the rest of life on Earth depends on. This energy stored by plants is passed along through the ecosystem in a series of steps of eating and being eaten—known as a food chain (see Section 17.4). Feeding relationships within a food chain are defined in terms of trophic or consumer levels. From a functional rather than a species viewpoint, all organisms that obtain their energy in the same number of steps from the autotrophs or primary producers belong to the same trophic level in the ecosystem. The first trophic level belongs to the primary producers, the second level to the herbivores (first-level consumers), and the higher levels to the carnivores (second-level consumers). Some consumers occupy a single trophic level, but many others, such as omnivores, occupy more than one trophic level (see Section 7.1).

Food chains are descriptive. They represent a more abstract expression of the food webs presented earlier (Chapters 17 and 18). Major feeding groups are defined based on a common source of energy, such as autotrophs, herbivores, and carnivores. Each feeding group is then linked to others in a manner that represents the flow of energy (see Figure 18.6 for a simple food chain). Boxes represent the three feeding groups: autotrophs, herbivores, and carnivores. The arrows linking the boxes represent the direction of energy flow.

Within any ecosystem there are two major food chains: the **grazing food chain** and the **detrital food chain** (Figure 21.19). The distinction between these two food chains is the source of energy for the first-level consumers, the herbivores. In the grazing food chain, the source of energy is living plant biomass or net primary production. In the detrital food chain, the source of energy is dead organic matter or detritus. In turn, the herbivores



**Figure 21.19** Two parts of any ecosystem: a grazing food chain and a detrital food chain. Orange arrows linking trophic levels represent the flow of energy associated with ingestion. The blue arrows from each trophic level represent the loss of energy through respiration. The brown arrows represent a combination of dead organic matter (unconsumed biomass) and waste products (feces and urine).

in each food chain are the source of energy for the carnivores, and so on. Cattle grazing on pastureland, deer browsing in the forest, insects feeding on leaves in the forest canopy, or zooplankton feeding on phytoplankton in the water column all represent first-level consumers of the grazing food chain. In contrast, a variety of invertebrates—such as snails, beetles, millipedes, and earthworms, as well as fungi and bacteria—represent first-level consumers of the detrital food chain (see Chapter 22).

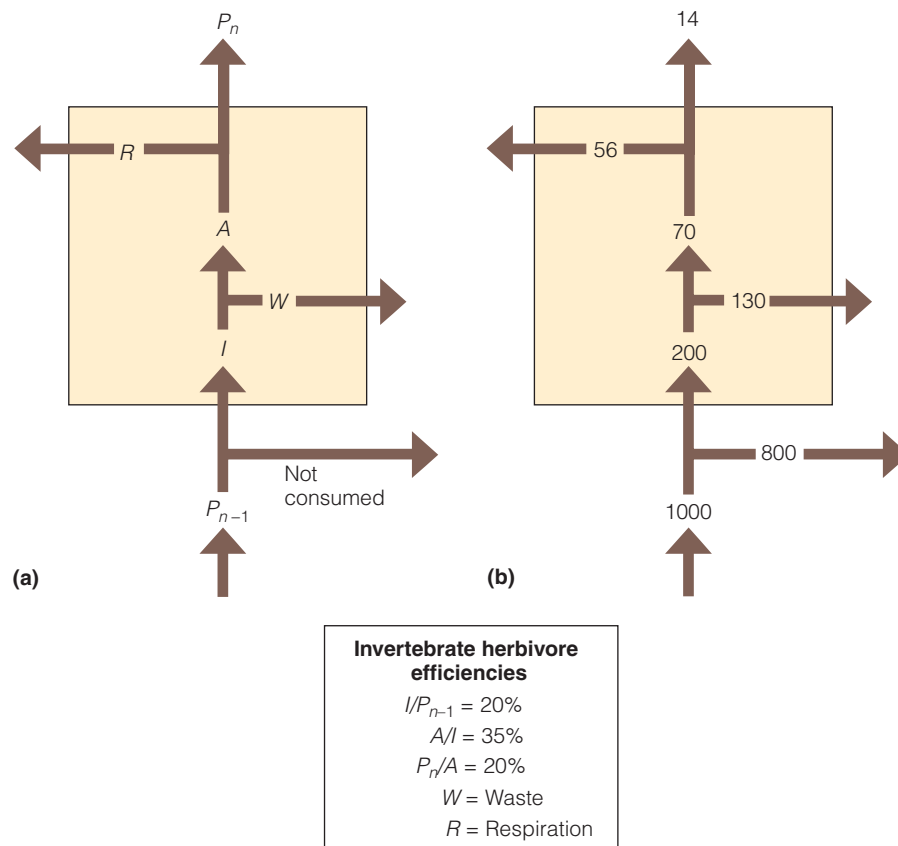
Figure 21.19 combines the two food chains to produce a generalized model of trophic structure and energy flow through an ecosystem. The two food chains are linked. The initial source of energy for the detrital food chain is the input of dead organic matter and waste materials from the grazing food chain. This linkage appears as a series of brown arrows from each of the trophic levels in the grazing food chain, leading to the box designated as detritus or dead organic matter. There is one notable difference in the flow of energy between trophic levels in the grazing and decomposer food chains. In the grazing food chain, the flow is unidirectional, with net primary production providing the energy source for herbivores, herbivores providing the energy for carnivores, and so on. In the decomposer food chain, the flow of energy is not unidirectional. The waste materials and dead organic matter (organisms) in each of the consumer trophic levels are “recycled,” returning as an input to the dead organic matter box at the base of the detrital food chain. In addition, the distinction between the grazer and consumer food chains is often blurred at the higher trophic levels (carnivores), as predators rarely distinguish whether prey draw their resources from primary producers or detritus. For example, the diet of an insectivorous bird might include beetle species that feed on detritus, as well as species that feed on green leaf tissues.

## 21.11 Energy Flows through Trophic Levels Can Be Quantified

To quantify the flux of energy through the ecosystem using the conceptual model of the food chains just discussed, we need to return to the processes involved in secondary production discussed in Section 21.8: consumption, ingestion, assimilation, respiration, and production. We will examine a single trophic compartment (Figure 21.20a). The energy available to a given trophic level (designated as  $n$ ) is the production of the next-lower level ( $n - 1$ ); for example, net primary production ( $P_1$ ) is the available energy for grazing herbivores (trophic level 2). Following the simple model of energy flow through a consumer organism presented in Section 21.9, some proportion of that productivity is consumed or ingested ( $I$ ); the remainder makes its way to the dead organic matter of the detrital food chain. Some portion of the energy consumed is assimilated by the organisms ( $A$ ), and the remainder is lost as waste materials ( $W$ ) to the detrital food chain. Of the energy assimilated, some is lost to respiration, shown as the arrow labeled  $R$  that is leaving the upper left corner of the box, and the remainder goes to production ( $P$ ).

We quantify this flow with the energetic efficiencies defined in Section 21.9: the assimilation efficiency ( $A/I$ ) and the production efficiency ( $P/A$ ). One additional index of energetic efficiency, however, must be introduced: consumption efficiency. The **consumption efficiency**, the ratio of ingestion to production at the next lower trophic level ( $I_n/P_{n-1}$ ) defines the amount of available energy being consumed. Sample values of these efficiencies for an invertebrate herbivore in the grazing food chain are provided in Figure 21.20b. Using these efficiency values, we can track the fate of a given amount of energy (1000 kcal) available to herbivores in the form of NPP through the herbivore trophic level.

If we apply efficiency values for each trophic level in the grazing and detrital food chains, we can calculate the flow of energy through the whole ecosystem. The production from each trophic level provides the input to the next-higher level, and unconsumed production (dead individuals) and waste products from each trophic level provide input into the dead organic matter compartment. The entire flow of energy through the ecosystem is a function of the initial transformation of solar energy into NPP. All energy entering the ecosystem as NPP eventually is lost through respiration.



**Figure 21.20** (a) Energy flow within a single trophic compartment. (b) An example of quantifying energy flow for an invertebrate herbivore using estimates of efficiencies provided in table. Values are kilocalories (kcal).

### INTERPRETING ECOLOGICAL DATA

- Q1.** Assume that the assimilation efficiency is changed from 35 percent to 25 percent; what is the new value for respiration?
- Q2.** What is the trophic efficiency (TE) for the example presented in the figure above? (See Section 21.12.)



## FIELD STUDIES

## Brian Silliman

Department of Zoology, University of Florida, Gainesville, Florida

The salt marshes that fringe the coastline of eastern North America are among the most productive ecosystems in the world. For the past half century, the prevailing theory found in the ecological literature and textbooks has been that productivity in these coastal ecosystems is controlled by physical conditions (water depth, frequency of inundation, salinity, etc.) and nutrient availability, referred to as “bottom-up” control (see Sections 18.4 and 21.4). But as a result of research conducted by ecologist Brian Silliman of the University of Florida, this long-held view is being brought into question. The focus of Silliman’s research is the role of consumer organisms in the salt marshes of the southeastern United States. What is emerging from his work is a rich, complex picture of salt marshes that involves the interactions of marsh plants, “fungus-farming” snails, and an array of predators, including the blue crab.

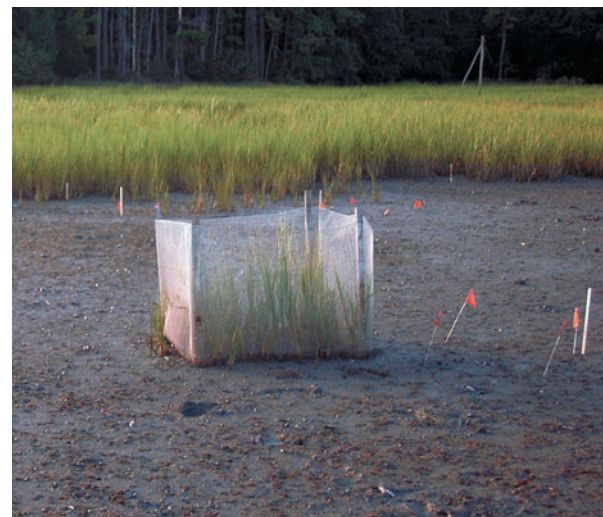
The salt-marsh tidal zones of eastern North America are dominated by salt-marsh cordgrass (*Spartina alterniflora*). The most abundant and widespread grazer in these communities is the marsh periwinkle (*Littoraria irrorata*; Figure 1). The marsh periwinkle is a small snail, reaching 2.5 cm in length, with population densities upward of 500 individuals per square meter within the tidal zone dominated by *Spartina*.

While he was a graduate student working in the salt marshes along the eastern shore of Virginia, Silliman explored the role of the herbivory on patterns of net primary productivity (NPP) and standing biomass. Before Silliman’s work, it was assumed that the grazers had little influence on the growth of *Spartina* plants and the overall productivity of the marsh. Snails were believed to function largely as part of the decomposer food chain, feeding on dead and dying plant tissues. Silliman designed an experiment to assess the influence of grazing snails

on *Spartina* growth (individual plants) and productivity (collective growth of plants). He used cages, 1 m<sup>2</sup> in size, made from a fine-mesh wire fencing material, to establish different experimental treatments (see Figure 2). In some of the cages, snails were excluded; in others, snails were added to establish populations of differing densities. The results of Silliman’s experiments were dramatic. Moderate to high snail densities led to runaway grazing effects, ultimately transforming one of the most productive ecosystems in the world into a barren mudflat (Figure 2). The effect of snails on plant growth and productivity is not through the direct consumption of green plant tissues, but by preparing the leaf tissue for colonization by their preferred food: fungus. As the snail crawls along the leaf surface, it scrapes the surface with its band of sawlike teeth called *radulae*, creating wounds that run lengthwise on the leaf surface and kill the surrounding tissues. While it travels, the snail also deposits feces containing fungal spores and nutrients, effectively stimulating the establishment and growth of fungus.



**Figure 1** The marsh periwinkle on *Spartina* leaf.



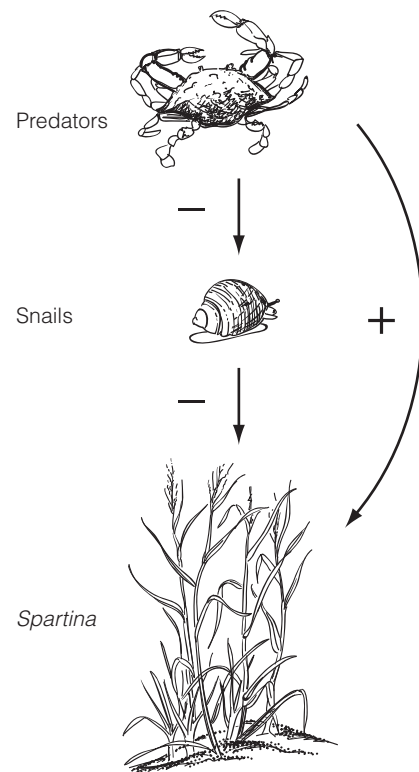
**Figure 2** Effects of snail grazing on *Spartina* standing crop and canopy structure after eight months. Photographs show experimental enclosure in area of high snail density.

In a series of follow-up experiments with colleague Steven Newell of the University of Georgia Marine Institute, Silliman has demonstrated a mutualistic relationship between snails and fungi at the expense of the *Spartina* plants, the resource upon which both depend. The snails employ a low-level food production strategy whereby they prepare a favorable environment for fungal growth, provide substrate to promote growth, add supplemental nutrients and propagules, and consume fungus. Although this type of facilitation, known as “fungus farming,” has been reported for some beetle, termite, and ant species, the work by Silliman and his colleague is the first reported case of this type of cultivation behavior outside of insects.

Given the potential of the snails to so dramatically reduce plant growth and marsh primary productivity at even moderate population densities, how do the salt marshes remain so productive? To answer this question, Silliman has turned his attention to the structure of the marsh’s food chain. The periwinkle has several potential predators, including the terrapin, mud crab, and blue crab. Silliman hypothesized that predation maintains snail populations below the densities at which they have a devastating impact on *Spartina* plants. This type of control on plant productivity, in which predators limit populations of herbivores, is called “top-down” control.

To establish the role of predators in determining the distribution of snails within the marsh, Silliman once again used wire mesh cages—this time not to exclude the snails, but to exclude their predators. Other plots (areas of marsh) without cages were monitored for comparison (control plots). The experiment ran for a year, after which the snail populations were estimated in both the enclosure and control plots. The experiment results supported the hypothesis that predators control the distribution and abundance of snail populations (density of adults as well as recruitment of juveniles into the population). Once again, the results were dramatic. The density of juvenile snails was 100 times greater in the plots where predators were excluded as compared to the control plots. Predators such as the blue crab do indeed control snail populations in the marsh.

Thanks to Silliman’s research, a new, more complex picture of the salt marshes of the eastern U.S. shorelines has emerged. Rather than the classical view of a bottom-up control on NPP, where plant growth and productivity are controlled primarily by the physical conditions and nutrient availability, a new top-down model of control on plant growth and productivity has emerged in which consumers exert a strong control on plant structure and productivity within the ecosystem. This phenomenon is referred to as a *trophic cascade*, because the effects of predators cascade down the food web to influence primary productivity (Figure 3).



**Figure 3** The proposed mechanism of the marsh *trophic cascade*. Grazing by snails has a negative impact on *Spartina* populations. Likewise, predators have a negative impact on snail populations. The net effect is that predators have a positive indirect effect on *Spartina* populations by reducing rates of herbivory by snails.

### Bibliography

- Silliman, B. R., and J. C. Zieman. 2001. Top-down control on *Spartina alterniflora* production by periwinkle grazing in a Virginia salt marsh. *Ecology* 82:2830–2845.
- Silliman, B. R., and M. D. Bertness. 2002. A trophic cascade regulates salt marsh primary production. *Proceedings of the National Academy of Sciences USA* 99:10500–10505.
- Silliman, B. R., and S. Y. Newell. 2003. Fungal farming in a snail. *Proceedings of the National Academy of Sciences USA* 100:15643–15648.

1. How does the model of trophic cascade presented in Figure 3 relate to the concept of indirect mutualism developed in Chapter 18 (Section 18.3)?
2. Suppose we were to introduce a top predator to this ecosystem that feeds on blue crabs. How might this action change the nature of the trophic cascade outlined in Figure 3?

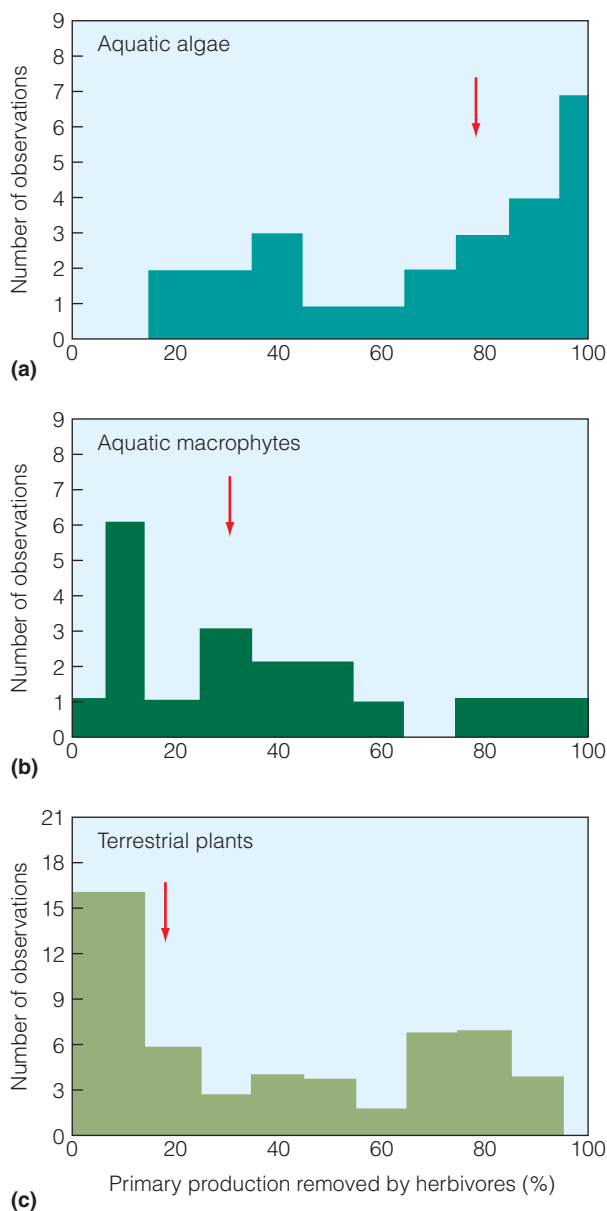
## 21.12 Consumption Efficiency Determines the Pathway of Energy Flow through the Ecosystem

Although the general model of energy flow presented in Figure 20.18 pertains to all ecosystems, the relative importance of the two major food chains and the rate of energy flow through the various trophic levels can vary widely among different types of ecosystems. The consumption efficiency ( $I_n/P_{n-1}$ ) defines the amount of available energy produced by any given trophic level ( $P_{n-1}$ ) that is consumed by the next-higher level ( $I_n$ ). Values of consumption efficiency for the various consumer trophic levels therefore determine the pathway of energy flow through the food chain, providing a basis for comparison of energy flow through different ecosystems.

Despite its conspicuousness, the grazing food chain is not the major one in most terrestrial and many aquatic ecosystems. Only in some open-water aquatic ecosystems do the grazing herbivores play the dominant role in energy flow. Ecologists Helene Cyr of the University of Toronto (Canada) and Michael Pace of the University of Virginia compiled published measurements of herbivore consumption rates (herbivore consumption efficiency), herbivore biomass, and primary productivity for a wide range of aquatic and terrestrial ecosystems (Figure 21.21). Although there is considerable variation in both environments, some generalizations do emerge from their analysis. Aquatic ecosystems dominated by phytoplankton have higher rates of herbivory (median value of 79 percent) than do those in which vascular plants (submerged and emergent) dominate (median value of 30 percent). In contrast, only 17 percent of primary productivity (median value) is removed by herbivores in terrestrial ecosystems. Therefore, in most terrestrial and shallow-water ecosystems, with their high standing biomass and relatively low harvest of primary production by herbivores, the detrital food chain is dominant. In deep-water aquatic ecosystems, with their low standing biomass, rapid turnover of organisms, and high rate of harvest, the grazing food chain may be dominant.

In terrestrial ecosystems, distinct differences in consumption efficiency and energy flow exist between forest and grassland ecosystems. Nelson Hairston of Cornell University reviewed a wide range of studies that examined patterns of energy flow through terrestrial ecosystems, providing a comparison of consumption efficiencies for herbivores (primary producer → herbivore) and their predators (herbivore → carnivore). The author found an average consumption efficiency of 3.7 percent for herbivores inhabiting deciduous forest ecosystems, whereas herbivores inhabiting grassland ecosystems had a value of 9.3 percent (both values lower than the average for terrestrial ecosystems reported by Cyr and Pace). Much smaller differences were observed for the consumption efficiency of predators inhabiting the two ecosystem types. Predators inhabiting forests had a value of 89.9 percent, whereas predators inhabiting grassland ecosystems had an average value of 77 percent.

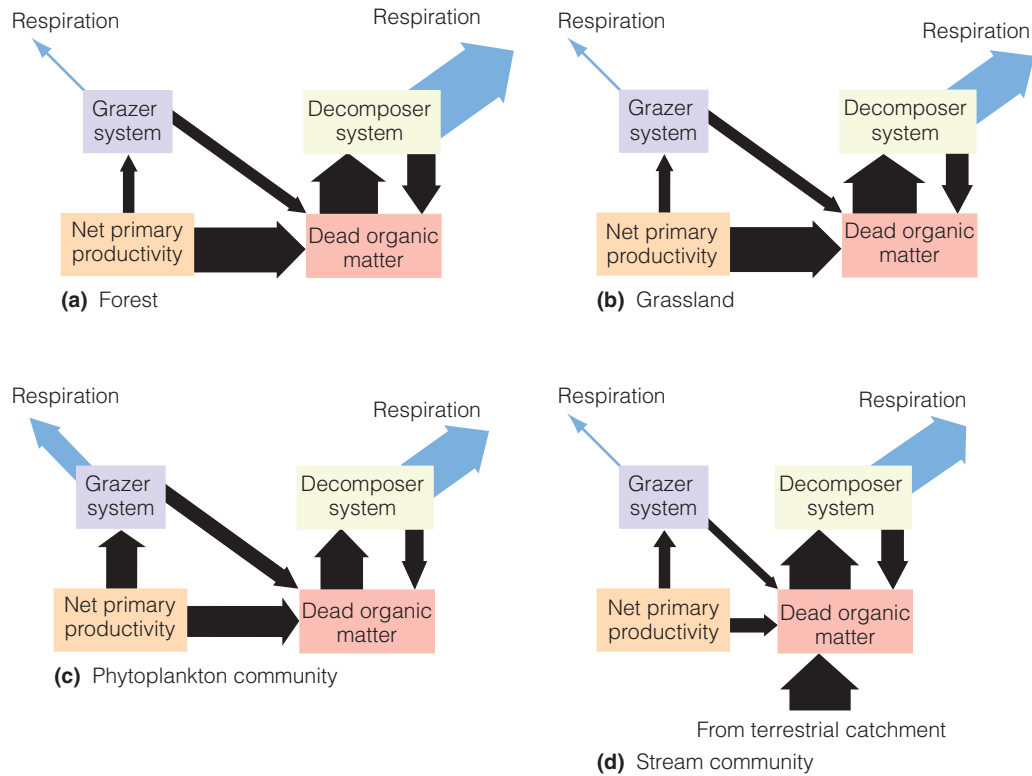
Patterns of energy flow through flowing-water ecosystems (streams and rivers) differ markedly from both terrestrial and standing-water ecosystems (lakes and oceans). By comparison,



**Figure 21.21** Results from a review of studies that examined rates of herbivory in different ecosystems. Histograms represent the percentage of net primary productivity consumed by herbivores in ecosystems dominated by (a) algae (phytoplankton), (b) rooted aquatic plants, and (c) terrestrial plants. Number of observations refers to the number of experiments having a given level of consumption. Red arrows indicate the median value. Note that herbivores consume a significantly greater proportion of phytoplankton productivity than do either aquatic or terrestrial plants. Go to [QUANTIFY IT! at www.ecologyplace.com](http://www.ecologyplace.com) to perform a chi-square test. (Nature Publishing Group.) (Adapted from Cyr and Pace 1993.)

stream and river ecosystems have extremely low NPP, and the grazing food chain is minor (see Chapter 25). The detrital food chain dominates and depends on inputs of dead organic matter from adjacent terrestrial ecosystems (see Section 20.4).

Figure 21.22 graphically represents the different patterns of energy transfer in the four different ecosystems just discussed: forest, grassland, standing water, and running water.



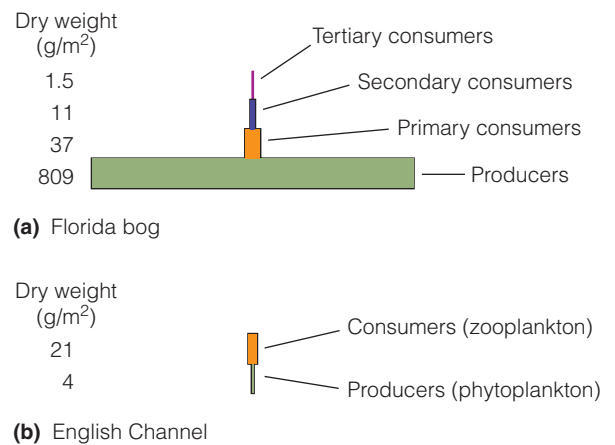
**Figure 21.22** General patterns of energy flow through four ecosystems: (a) forest, (b) terrestrial grassland, (c) ocean (phytoplankton community), and (d) stream. Relative sizes of boxes and arrows are proportional to the relative magnitude of compartments and flow. (Adapted from Begon et al. 1986.)

### 21.13 Energy Decreases in Each Successive Trophic Level

Based on the preceding discussion and the analysis presented in Figure 21.20, we can conclude that the quantity of energy flowing into a trophic level decreases with each successive trophic level in the food chain. This pattern occurs because not all energy is used for production. An ecological rule of thumb is that only 10 percent of the energy stored as biomass in a given trophic level is converted to biomass at the next-higher trophic level. If, for example, herbivores eat 1000 kcal of plant energy, only about 100 kcal is converted into herbivore tissue, 10 kcal into first-level carnivore production, and 1 kcal into second-level carnivore production. However, ecosystems are not governed by some simple principle that regulates a constant proportion of energy reaching successive trophic levels.

As we have seen thus far in our discussion, differences in the consumption efficiency as well as the efficiency of energy conversion (assimilation and production efficiencies) exist among different feeding groups (see Table 21.2). These differences will directly influence the rate of energy transfer from one trophic level to the next-higher level. A measure of efficiency used to describe the transfer of energy between trophic levels is called the trophic efficiency. The **trophic efficiency (TE)** is the ratio of productivity in a given trophic level ( $P_n$ ) to the trophic level it feeds on ( $P_{n-1}$ ):  $TE = P_n/P_{n-1}$ .

Daniel Pauly and Villy Christensen of the University of British Columbia examined the energy transfer efficiency reported in 48 different studies of aquatic ecosystems. There is



**Figure 21.23** Biomass pyramids for the consumer food chain of (a) a bog ecosystem in Florida and (b) the marine ecosystem of the English Channel. The pyramid for the marine ecosystem is inverted due to the high productivity but fast turnover of phytoplankton populations (short life span and high rate of consumption by zooplankton).

considerable variation among studies and trophic levels, but the mean value of 10.13 percent is close to the general rule of 10 percent transfer between trophic levels.

An important consequence of decreasing energy transfers through the food web is a corresponding decrease in the standing biomass of organisms within each successive trophic level. If we sum all of the biomass or energy contained in each trophic level, we can construct pyramids for the ecosystem (Figure 21.23). The pyramid of biomass indicates by weight, or other means of

measuring living material, the total bulk of organisms or fixed energy present at any one time—the standing crop. Because some energy or material is lost at each successive trophic level, the total mass supported at each level is limited by the rate at which energy is being stored at the next-lower level. In general, the biomass of producers must be greater than that of the herbivores they support, and the biomass of herbivores must be greater than that of carnivores. That circumstance results in a narrowing pyramid for most ecosystems (Figure 21.23a).

This arrangement does not hold for all ecosystems. In such ecosystems as lakes and open seas, primary production is concentrated in the phytoplankton. These microscopic organisms have a short life cycle and rapid reproduction. They are heavily grazed by herbivorous zooplankton that are larger and longer-lived. Thus, despite the high productivity of algae, their biomass is low compared to that of zooplankton herbivores (Figure 21.23b). The result is an inverted pyramid, with a lower standing biomass of primary producers (phytoplankton) and herbivores (zooplankton).

---

## Summary

### Laws of Thermodynamics 21.1

Energy flow in ecosystems supports life. Energy is governed by the laws of thermodynamics. The first law states that although energy can be transferred, it cannot be created or destroyed. The second law states that as energy is transferred, a portion ceases to be usable. As energy moves through an ecosystem, much of it is lost as heat of respiration. Energy is degraded from a more organized to a less organized state, or entropy. However, a continuous flux of energy from the Sun prevents ecosystems from running down.

### Primary Production 21.2

The flow of energy through an ecosystem starts with the harnessing of sunlight by green plants through a process referred to as primary production. The total amount of energy fixed by plants is gross primary production. The amount of energy remaining after plants have met their respiratory need is net primary production in the form of plant biomass. The rate of primary production is net primary productivity, which is measured in units of weight per unit area per unit time.

### Terrestrial Ecosystems 21.3

Productivity of terrestrial ecosystems is influenced by climate, especially temperature and precipitation. Temperature influences the photosynthetic rate and the amount of available water limits photosynthesis and the amount of leaves that can be supported. Warm, wet conditions make the tropical rain forest the most productive terrestrial ecosystem. Nutrient availability also directly influences rates of primary productivity.

### Aquatic Ecosystems 21.4

Light is a primary factor limiting productivity in aquatic ecosystems, and the depth to which light penetrates is crucial to determining the zone of primary productivity. Nutrient availability is the most pervasive influence on the productivity of oceans. The most productive ecosystems are shallow coastal waters, coral reefs, and estuaries, where nutrients are more available. Nutrient availability is also a dominant factor limiting net primary productivity in lake ecosystems. In rivers and streams, net primary productivity is low, with inputs of dead organic matter from adjacent terrestrial ecosystems being an important source of energy input.

### External Inputs 21.5

In many aquatic ecosystems a substantial proportion of organic carbon is derived from dead organic matter from adjacent terrestrial ecosystems. The relative importance of external sources of organic carbon varies widely among different aquatic ecosystems. In large rivers, lakes, and most marine systems, the majority of organic carbon is derived internally from photosynthesis by autotrophs. In contrast, in smaller streams and lakes the dominant source is often external sources of organic carbon.

### Energy Allocation 21.6

Energy fixed by plants is allocated to different parts of the plant and to reproduction. How much is allocated to each component is a function of the plant life-form as well as the environmental conditions. The pattern of allocation will directly influence standing biomass and productivity rate.

### Temporal Variation 21.7

Primary production in an ecosystem varies with time. Seasonal and yearly variations in moisture and temperature directly influence primary production. In ecosystems dominated by woody vegetation, net primary production declines with age. As the ratio of woody biomass to foliage increases, more of gross production goes into maintenance.

### Secondary Production 21.8

Net primary production is available to consumers directly as plant tissue or indirectly through animal tissue. Once consumed and assimilated, energy is diverted to maintenance, growth, and reproduction, and to feces, urine, and gas. Change in biomass, including weight change and reproduction, is secondary production. Secondary production depends upon primary production. Any environmental constraint on primary production will constrain secondary production in the ecosystem.

### Efficiency of Energy Use 21.9

Efficiency of production varies. Endotherms have high assimilation efficiency but low production efficiency because they have to expend so much energy in maintenance. Ectotherms have low assimilation efficiency but high production efficiency; they put more energy into growth.

### Food Chains and Energy Flow 21.10

A basic function of the ecosystem is to move energy from the Sun through various consumers to its final dissipation in a series of energy transfers known as the food chain. The various members of a food web can be grouped into categories called trophic or feeding levels. Autotrophs occupy the first trophic level. Herbivores that feed on autotrophs make up the next trophic level. Carnivores that feed on herbivores make up the third and higher trophic levels.

Energy flow in ecosystems takes two routes: one through the grazing food chain, the other through the detrital food chain. The bulk of production is used by organisms that feed on dead organic matter. The two food chains are linked by the input of dead organic matter and wastes from the consumer food chain functioning as input into the detrital food chain.

### Quantifying Energy Flow 21.11

At each trophic level, estimates of the efficiency of energy exchange are defined as consumption efficiency, the proportion of available energy being consumed; assimilation efficiency, the portion of energy ingested that is assimilated and not lost as waste material; and production efficiency, the portion of assimilated energy that goes to growth rather than respiration.

These estimates of efficiency can be used to quantify the flow of energy through the food chain.

### Consumption Efficiency 21.12

Consumption efficiency determines the flow of energy through the ecosystem. The detrital food chain dominates in terrestrial ecosystems, with only a small proportion of net primary productivity being consumed by herbivores. In open-water ecosystems, such as lakes and oceans, a greater proportion of primary productivity is consumed by herbivores. Consumption efficiency of predators is more similar among these ecosystems.

### Energy Pyramids 21.13

The quantity of energy flowing into a trophic level decreases with each successive trophic level in the food chain. This pattern occurs because not all energy is used for production. An ecological rule of thumb is that only 10 percent of the energy stored as biomass in a given trophic level is converted to biomass at the next-higher trophic level. A plot of the total weight of individuals at each successive level produces a tapering pyramid. In aquatic ecosystems, however, where there is a rapid turnover of small aquatic producers, the pyramid of biomass becomes inverted.

---

## Study Questions

- How do the concepts of community and ecosystem differ?
- Relate the following terms: gross primary productivity, autotrophic respiration, and net primary productivity.
- Contrast net primary productivity and standing biomass for an ecosystem.
- How do temperature and precipitation interact to influence net primary productivity in terrestrial ecosystems?
- How does net primary productivity vary with water depth in standing-water ecosystems (lakes and oceans)? What is the basis for the vertical profile of net primary productivity in these ecosystems?
- What environmental factors might influence the (light) compensation depth of a lake ecosystem?
- How does primary productivity function as a constraint on secondary productivity in ecosystems?
- What does the top-down model of food chain structure imply about the role of secondary producers in controlling net primary productivity and standing biomass within ecosystems?
- How do assimilation efficiency and production efficiency relate to the flow of energy through a trophic level?
- What is the difference in energy allocation and production efficiency between endotherms and ectotherms?
- What are the two major food chains, and how are they related?
- How does consumption efficiency differ between terrestrial and marine ecosystems?

---

## Further Readings

- Aber, J. D., and J. M. Melillo. 2001. 2nd edition. *Terrestrial ecosystems*. Philadelphia: Saunders College Publishing. This well-written and illustrated text gives readers an excellent introduction to ecosystem ecology.
- Chapin, F. S., P. A. Matson, and H. A. Mooney. 2002. *Principles of terrestrial ecosystem ecology*. New York: Springer-Verlag. This well-written and illustrated text is aimed at a more advanced readership but provides an excellent reference for exploring topics covered in Part Six in more depth.
- Gates, D. M. 1985. *Energy and ecology*. Sunderland, MA: Sinauer Associates. This text shows the function of ecological systems in terms of energy flow and covers a range of topics from photosynthesis to ecosystem productivity.
- Golley, F. B. 1994. *A history of the ecosystem concept in ecology*. New Haven, CT: Yale University Press. This book presents a historical overview of the development of the study of ecosystems in the broader framework of ecology.

Gosz, J. R., R. T. Holmes, G. E. Likens, and F. H. Bormann. 1978. The flow of energy in a forest ecosystem. *Scientific American* 238:92–102.

This paper summarizes one of the most detailed analyses of energy flow through an ecosystem and provides a contrast to the more aggregated view based on food chains presented in this chapter.

Howarth, R. W. 1988. Nutrient limitation of net primary production in marine ecosystems. *Annual Review of Ecology and Systematics* 19:89–110.

This paper reviews research on the role of nutrients in limiting net primary productivity of the world's oceans.

Leith, H., and R. H. Whittaker, eds. 1975. *Primary productivity in the biosphere*. Ecological Studies Vol. 14. New York: Springer-Verlag.

A classic volume exploring patterns of primary productivity at a global scale. An excellent resource for comparing primary productivity patterns in the various terrestrial and aquatic ecosystems on Earth.

Lindeman, R. L. 1942. The trophic-dynamics aspect of ecology. *Ecology* 23:399–418.

The classic paper that developed the concept of viewing ecosystems in terms of productivity and energy flow.

National Academy of Science. 1975. *Productivity of world ecosystems*. Washington, DC: National Academy of Science.

This volume provides an excellent summary of world primary production.

Wiegert, R. C., ed. 1976. *Ecological energetics. Benchmark papers*. Stroudsburg, PA: Dowden, Hutchinson & Ross. A collection of papers surveying the development of the concept of energy flow through ecological systems. Gives readers an excellent historical overview of the development of ideas in ecosystem ecology.

# Decomposition and Nutrient Cycling

- 22.1 Most Essential Nutrients Are Recycled within the Ecosystem**
- 22.2 Decomposition Is a Complex Process Involving a Variety of Organisms**
- 22.3 Studying Decomposition Involves Following the Fate of Dead Organic Matter**
- 22.4 Several Factors Influence the Rate of Decomposition**
- 22.5 Nutrients in Organic Matter Are Mineralized During Decomposition**
- 22.6 Decomposition Proceeds as Plant Litter Is Converted into Soil Organic Matter**
- 22.7 Plant Processes Enhance the Decomposition of Soil Organic Matter in the Rhizosphere**
- 22.8 Decomposition Occurs in Aquatic Environments**
- 22.9 Key Ecosystem Processes Influence the Rate of Nutrient Cycling**
- 22.10 Nutrient Cycling Differs between Terrestrial and Open-Water Aquatic Ecosystems**
- 22.11 Water Flow Influences Nutrient Cycling in Streams and Rivers**
- 22.12 Land and Marine Environments Influence Nutrient Cycling in Coastal Ecosystems**
- 22.13 Surface Ocean Currents Bring about Vertical Transport of Nutrients**



Colorful decomposers such as honey mushrooms (*Armillaria mellea*) reside on the forest floor throughout much of continental North America.



**T**he flow of energy through ecosystems is the story of the element carbon (Chapter 21). Beginning with the fixing of carbon dioxide into simple organic carbon compounds in the process of primary productivity, carbon moves through the food chain, ultimately returning to the atmosphere through the process of cellular respiration. Primary productivity, however, depends on the uptake of an array of essential mineral (inorganic) nutrients by plants and their incorporation into living tissues (Part Two). The source of carbon is carbon dioxide in the atmosphere, but what is the source of the array of other essential elements life depends on? Each element has its own story of origin and movement through the ecosystem, and we shall explore these pathways, known as biogeochemical cycles, later (Chapter 23). In general, however, the source of these essential nutrients is either the atmosphere, as in the case of carbon, or the weathering of rocks and minerals (a topic discussed in Chapter 4). Once in the soil or water, the nutrients are taken up by plants and then move through the food chain. In fact, nutrients in organic form, stored in living tissues, represent a significant proportion of nutrients within ecosystems. So what is the fate of these nutrients once they make their way into the food chain? As these living tissues senesce, the nutrients are returned to the soil or sediments in the form of dead organic matter, where they make their way through the decomposer food chain. But unlike carbon, most of the nutrients are recycled within the ecosystem. Various microbial decomposers transform the organic nutrients into a mineral form, and the nutrients are once again available to the plants for uptake and incorporation into new tissues. This process, called **internal cycling** (or **nutrient cycling**), is an essential feature of all ecosystems. It represents a recycling of nutrients within the ecosystem.

In this chapter, we will examine the processes involved in the recycling of nutrients within the ecosystem. A central focus of our discussion will be the processes of decomposition and nutrient mineralization and the environmental factors that control the rate at which these processes proceed. We

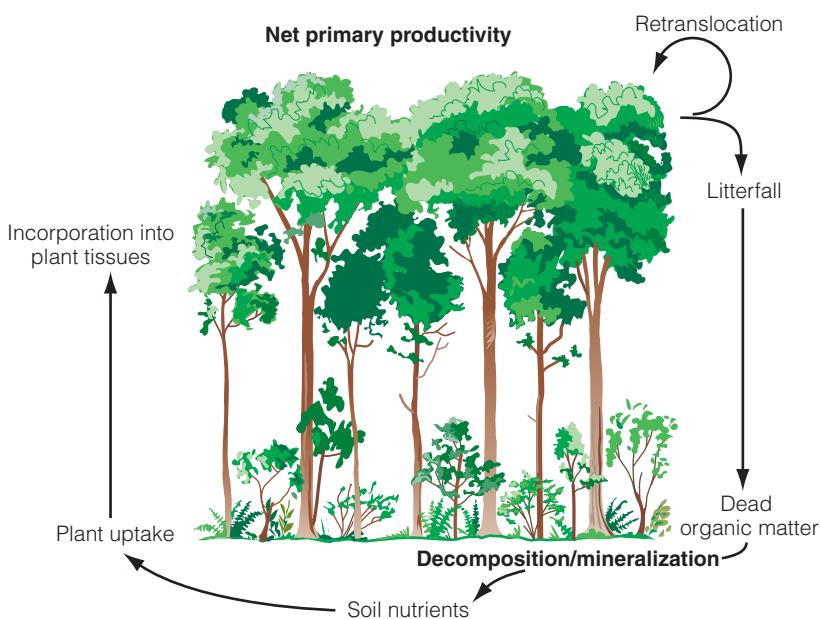
also will explore how this general process, common to all ecosystems, varies between terrestrial and aquatic ecosystems, thus setting the stage for discussing specific biogeochemical cycles (Chapter 23).

## 22.1 Most Essential Nutrients Are Recycled within the Ecosystem

The cycling of nutrients within a terrestrial ecosystem is represented in Figure 22.1. We use the essential element nitrogen as an example to follow the pathway taken by nutrients from the soil to the vegetation and back again to the soil.

As with all essential nutrients, plants require nitrogen in an inorganic or mineral form. Nitrogen in the soil solution (in the form of ammonium and nitrate) is taken up through plant roots and used to produce proteins, enzymes, and a variety of other nitrogen-based compounds. This step represents a transformation of nitrogen from inorganic to organic form—namely, nitrogen contained in living plant tissues. The availability of nitrogen and other nutrients in the soil solution will limit the rate of uptake by plants and subsequently the rate of net primary productivity (see Sections 6.12 and 21.3). In the case of nitrogen, the rate of plant uptake will directly influence the rate of photosynthesis (see Figure 6.24).

As plant tissues senesce, nutrients are returned to the soil surface in the form of dead organic matter. Before senescence occurs, however, plants absorb some of the nutrients from senescing tissues into the perennial parts of the plant to be stored and used in producing new tissues. This process of recycling nutrients within the plant is called **retranslocation** or **resorption**. For example, as the days of autumn become shorter in the deciduous forests of temperate regions, the synthesis of chlorophyll (the light-harvesting pigment that gives leaves their green color) by plants begins to decline (Section 6.1). The yellow and orange pigments (carotenoids and xanthophylls), always present within the leaf, begin to show. The senescing cells of



**Figure 22.1** A generalized model of nutrient cycling in a terrestrial ecosystem.

**Table 22.1 Nitrogen Content of Living and Senescent Leaves for Nine Tree Species Found in Central Virginia\***

Species	Green Leaf % N	Senescent Leaf % N	Retranslocation % N
White oak	2.08	0.82	60.6
Scarlet oak	2.14	0.85	60.3
Southern red oak	1.88	0.60	68.1
Red maple	1.96	0.76	61.2
Tulip poplar	2.55	0.90	64.7
Virginia pine	1.62	0.54	66.7
American hornbeam	2.20	1.16	47.3
Sweetgum	1.90	0.59	68.9
Sycamore	2.10	0.90	57.1

\*All values are expressed as a percentage of dry weight. Percent nitrogen retranslocation is the difference between the nitrogen content of green and senescent leaves expressed as a percentage of green leaf nitrogen content.

the leaves also produce other chemicals, particularly anthocyanins, that are responsible for red and purple colors. The leaves of some species, particularly the oaks, contain high quantities of tannins that produce brown colors. As senescence occurs, water and nutrients are being drawn into the stems and away from the leaves. The plant can recover as much as 70 percent of the nitrogen in the green leaves before they senesce and fall to the forest floor (Table 22.1), thus reducing the amount of nutrients returned to the soil as dead organic matter.

Once on the forest floor, various decomposer organisms break down and consume the dead plant tissues, transforming the organic nutrients into a mineral form through the process of mineralization (discussed in detail in Section 22.5). The cycle is now complete, and the nutrients are once again available to the plants for uptake and incorporation into plant tissues.

## 22.2 Decomposition Is a Complex Process Involving a Variety of Organisms

The key process in the recycling of nutrients within ecosystems is decomposition. Decomposition is the breakdown of chemical bonds formed during the construction of plant and animal tissues. Whereas photosynthesis involves the incorporation of solar energy, carbon dioxide, water, and inorganic nutrients into organic compounds (living matter), decomposition involves the release of energy originally fixed by photosynthesis, carbon dioxide, and water, and ultimately the conversion of organic compounds into inorganic nutrients. Decomposition is a complex of many processes, including leaching, fragmentation, changes in physical and chemical structure, ingestion, and excretion of waste products. These processes are accomplished by a variety of decomposer organisms. All heterotrophs function to some degree as decomposers. As they digest food, they

break down organic matter, alter it structurally and chemically, and release it partially in the form of waste products. However, what we typically refer to as decomposers are organisms that feed on dead organic matter or detritus. These organisms include microbial decomposers, a group made up primarily of bacteria and fungi, and detritivores, animals that feed on dead material including dung (Figure 22.2).

The innumerable organisms involved in decomposition are categorized into several major groups based on their size and function. Organisms most commonly associated with the process of decomposition are the microflora, composed of the bacteria and fungi. Bacteria may be aerobic, requiring oxygen for metabolism (respiration), or they may be anaerobic, able to carry on their metabolic functions without oxygen by using inorganic compounds. This type of respiration by anaerobic bacteria, commonly found in the mud and sediments of aquatic habitats and in the rumen of ungulate herbivores, is fermentation (see Section 7.2).

Bacteria are the dominant decomposers of dead animal matter, whereas fungi are the major decomposers of plant material. Fungi extend their hyphae into the organic material to withdraw nutrients. Fungi range in type from species that feed on highly soluble, organic compounds such as glucose to the more complex hyphal fungi that invade tissues with their hyphae (Figure 22.2a).

Bacteria and fungi secrete enzymes into plant and animal tissues to break down the complex organic compounds. Some of the resulting products are then absorbed as food. After one group has exploited the material to the extent of its ability, a different group of bacteria and fungi able to use the remaining material moves in. Thus, a succession of microflora are involved in decomposing the organic matter until it is finally reduced to inorganic nutrients.

Decomposition is aided by the fragmentation of leaves, twigs, and other dead organic matter (detritus) by invertebrate detritivores. These organisms fall into four major groups, classified by body width: (1) microfauna and microflora (< 100  $\mu\text{m}$ ) include protozoans and nematodes inhabiting the water in soil pores; (2) mesofauna (between 100  $\mu\text{m}$  and 2 mm) include mites (Figure 22.2b), potworms, and springtails that live in soil air spaces; (3) macrofauna (2–20 mm); and (4) megafauna (> 20 mm). The last two categories are represented by millipedes, earthworms (Figure 22.2c), and snails in terrestrial habitats and by annelid worms, smaller crustaceans—especially amphipods and isopods—and mollusks and crabs in aquatic habitats (Figure 22.2d). Earthworms and snails dominate the megafauna. The macrofauna and megafauna can burrow into the soil or substrate to create their own space, and megafauna, such as earthworms, have major influences on soil structure (see Chapter 4). These detritivores feed on plant and animal remains and on fecal material.

Energy and nutrients incorporated into bacterial and fungal biomass do not go unexploited in the decomposer world. Feeding on bacteria and fungi are the microbivores. Making up this group are protozoans such as amoebas, springtails (Collembola), nematodes, larval forms of beetles (Coleoptera), and mites (Acari). Smaller forms feed only on bacteria and fungal hyphae. Because larger forms feed on both microflora and detritus, members of this group are often difficult to separate from detritivores.



**Figure 22.2** (a) Fungi and bacteria are major decomposers of plant and animal tissues. (b) Mites and springtails are among the most abundant of small detritivores. (c) Earthworms and millipedes are large detritivores in terrestrial ecosystems, and (d) mollusks and crabs play a similar role in aquatic ecosystems.

## 22.3 Studying Decomposition Involves Following the Fate of Dead Organic Matter

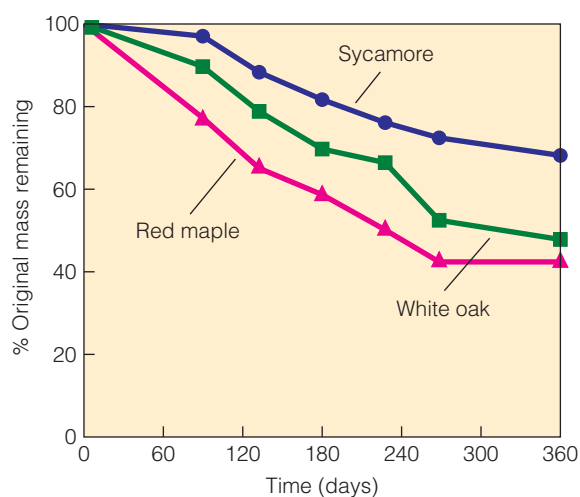
Decomposers, like all heterotrophs, derive their energy and most of their nutrients from the consumption of organic compounds. Energy is obtained through the oxidation of carbon compounds, carbohydrates (such as glucose), in the process of respiration (see the introduction to Part Two). Ecologists study the process of decomposition by designing experiments that follow the decay of dead plant and animal tissues through time. The most widely used approach is the use of litterbags to examine the decomposition of dead plant tissues (called plant litter). Litterbags are mesh bags constructed of synthetic material that does not readily decompose (Figure 22.3). The holes in the bag must be large enough to allow decomposer organisms to enter and feed on the litter but small enough to prevent decomposing plant material from falling out of the bag (holes typically 1–2 mm), although this compromise in mesh size typically restricts access to the larger decomposer organisms.

A fixed amount of litter material is placed in each bag. In the experiments presented in Figure 22.4, for each of the three tree species, 30 litterbags filled with 5 g of leaf litter were buried in the litter layer of the forest. At six intervals during the course of a year, five bags were collected for each species and their contents were dried and weighed in the laboratory. From these data, the decomposition rate can be determined for each of the species (see Quantifying Ecology 22.1: Estimating the Rate of Decomposition).

Note in Figure 22.4 that the mass of litter remaining in the bags decreases continuously as time progresses. As decomposer organisms consume the litter, using the carbon as a source of energy, the carbon is eventually lost to the atmosphere as  $\text{CO}_2$  in the process of respiration. It is important to note, however, that in this approach of studying decomposition, as time passes, the mass of organic matter remaining in the litterbag includes



**Figure 22.3** Litterbag experiment. In this example, a known quantity of senescent leaves is placed in mesh bags on the forest floor. Bags are retrieved at various intervals, and the mass loss due to consumption by decomposers is tracked through time.



**Figure 22.4** Results of a litterbag experiment in central Virginia designed to examine the decomposition of fallen leaves from red maple, white oak, and sycamore trees. Decomposition is expressed as the percentage of the original mass remaining at different times during the first year of the experiment.

### INTERPRETING ECOLOGICAL DATA

- Q1.** What proportion of the original leaf mass has been lost (consumed) for each of the three species at day 180 in the experiment?
- Q2.** Which of the three species has the slowest rate of decomposition?

original plant material as well as the bacteria and fungi (microbial decomposers) that have colonized and grown on the plant litter. Because of the difficulty in doing so, very few studies have quantified the changing contribution of primary (original plant material) and secondary (microbial biomass) organic matter in the decomposing litter (remaining mass).

Microbial ecologist Martin Swift of the University of Zimbabwe was able to estimate the growth of fungi during decomposition of wood sawdust by measuring the change in chitin content, which is restricted to the fungal cell walls. By the end of the experiment, a 39 percent weight loss was recorded for the sawdust, but the biomass estimate showed that 58 percent of the remaining mass was composed of living and dead fungal biomass. The apparent decomposition rate ( $k$ ) of the sawdust was 0.04/wk, but the rate more than doubled—to 0.09/wk—when recalculated to exclude the fungal biomass (for a discussion of  $k$ , see Quantifying Ecology 22.1: Estimating the Rate of Decomposition). This shift in the proportion of remaining organic matter in plant and decomposer biomass is crucial to understanding the dynamics of other nutrients, such as nitrogen, during the decomposition process; that topic is examined in Section 22.5.

A similar approach to litterbag experiments is used to evaluate the decomposition of plant litter in stream ecosystems. Leaf litter subsidies to aquatic ecosystems provide large inputs of energy and nutrients into streams that typically exhibit low primary productivity (see Section 21.12). Leaf litter often accumulates in areas of active

## QUANTIFYING ECOLOGY 22.1 Estimating the Rate of Decomposition

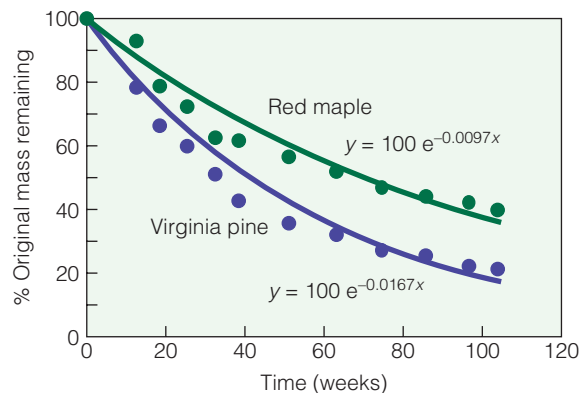
Litterbag experiments such as the one presented in Figures 22.4 and 22.16 are the primary means by which ecologists study the process of decomposition. By collecting multiple (replicate) litterbags at regular intervals during the process of decay, researchers can plot the proportion of mass loss (or proportion of mass remaining) through time. These data, in turn, can be used to estimate the rate of decomposition. Each point in Figure 1 represents the average of five replicate litterbags collected on the given day during the experiment. The  $x$ -axis is time (weeks), and the  $y$ -axis is the percentage of the original mass remaining.

The mass loss through time is generally expressed as a negative exponential function:

$$\text{Original mass remaining} = e^{-kt}$$

Here,  $e$  is the natural logarithm,  $t$  is the time unit used (years, months, weeks, or days), and  $k$  is the decomposition coefficient, which defines the slope of the negative exponential curve. The decomposition coefficient can be estimated using regression techniques (fitting an exponential regression model to the data set:  $y = e^{-kx}$ , where  $y$  is the original mass remaining and  $x$  is the corresponding time in units of weeks). This technique was used for the data presented in Figure 1, and the resulting equations are presented. The estimates of  $k$  for red maple and Virginia pine are 0.0167 and 0.0097, respectively, in units of proportion mass loss per week. In the current example, the values are multiplied by 100 to convert from proportion to percentage. The higher the value of  $k$ , the faster the rate of decomposition.

Data from the same litterbag study for a third tree species, sycamore (*Platanus occidentalis*), is presented in Table 1. Each value represents the remaining dry mass of the original 7 grams dry weight of leaf litter that was placed in the bag at the beginning of the experiment (day 0). The percent original mass remaining is therefore calculated by dividing each value by 7 and multiplying by 100 to convert the proportion to a percentage.



**Figure 1** Data from two litterbag experiments that examined the rate of decomposition for red maple (*Acer rubra*) and Virginia pine (*Pinus virginiana*) leaf litter over a period of two years. Each point represents the average mass remaining in five replicate litterbags sampled during that period. The lines represent the predicted relationship based on the solution for the decomposition coefficient  $k$  using the negative exponential model discussed in the text. Values of  $k$  were estimated using a nonlinear regression technique.

1. Using the data in Table 1, calculate the mean value for the five replicate samples at each time period, and then convert the mean value to percent original mass remaining (%OMR). Now plot the resulting mean values of %OMR ( $y$ -axis) as a function of time ( $x$ -axis), as shown in Figure 1. For purposes of comparison, you will need to convert days to weeks.
2. How does the general pattern of decomposition (%OMR) through time compare to that of red maple and Virginia pine presented in Figure 1?
3. How do you think the lignin concentration of the sycamore leaves compares to that of red maple or Virginia pine? Why?

**Table 1** Litterbag Study after the Decomposition of Sycamore Leaf Litter\*

Litterbag	Day											
	0	90	131	179	228	269	360	445	525	603	680	730
Replicate1	7	6.92	5.82	5.69	5.29	4.89	4.66	4.33	4.06	3.78	3.84	3.71
Replicate2	7	6.84	6.08	5.59	5.28	4.99	4.87	4.24	3.99	4.09	3.71	3.83
Replicate3	7	6.91	5.98	5.83	5.38	5.18	4.75	4.38	4.26	4.01	3.60	3.78
Replicate4	7	6.75	5.74	5.88	5.44	5.13	4.92	4.50	4.21	3.88	3.92	3.58
Replicate5	7	6.72	5.88	5.78	5.41	5.21	4.72	4.19	4.08	3.87	3.82	3.65

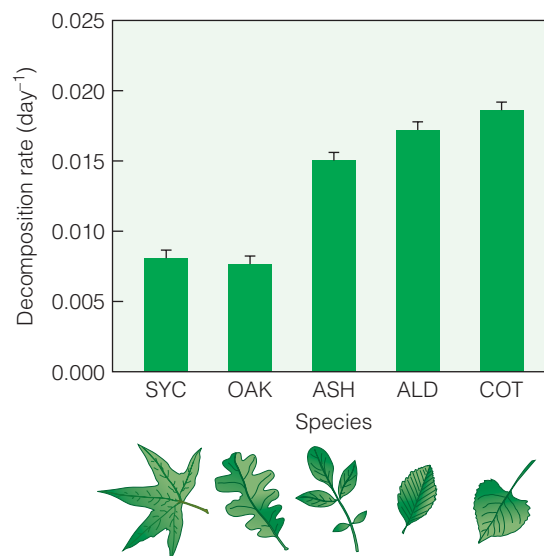
\*All values in grams dry weight. Initial weight of leaf litter in each bag was 7.0 g.



**Figure 22.5** (a) Inputs of plant litter from the surrounding terrestrial environment can form areas of deposition, known as leaf packs, in stream ecosystems. (b) Much like the use of litterbag experiments by terrestrial ecologists, stream ecologists use mesh bags to simulate natural leaf packs and examine the processes of decomposition. (c) Smaller mesh bags allow access to only microbial decomposers, while larger mesh bags allow access to the diversity of invertebrate decomposers that inhabit streams.

deposition, forming **leaf packs** (Figure 22.5a). To quantify the process of decomposition, plant litter is placed in mesh bags (Figure 22.5b) (also referred to as **leaf packs**), which are anchored in place in areas where active deposition occurs. By varying the mesh size, investigators can determine the relative importance of microbial and macroinvertebrates on the decomposition of leaves. Fine-mesh screens allow only microbes to colonize and decompose the leaves, while coarse screens also allow the larger organisms to enter and feed on the material (Figure 22.5c). As with terrestrial litterbags, the leaf packs are placed in the stream for several weeks to measure the weight loss and chemical changes of the leaves during the process of decomposition (Figure 22.6).

Unlike terrestrial litterbag experiments, leaf pack studies typically quantify the diversity of micro- and macrodecomposers during decomposition. (A detailed discussion



**Figure 22.6** Decomposition rates for leaf litter from five tree species submerged in stream. Experiments used submerged litter bags (leaf packs) that were sampled at five intervals over a period of 83 days. Decomposition rates expressed as mass loss per day (see Quantifying Ecology 22.1). SYC: *Platanus wrightii*; OAK: *Quercus gambelii*; ASH: *Fraxinus velutina*; ALD: *Alnus oblongifolia*; COT: *Populus fremontii*. (Adapted from Leroy and Marks 2006.)

of decomposition in stream ecosystems is presented in Chapter 25, Section 25.6).

Litterbags and leaf packs are also used to examine the decomposition of plant litter in wetland and marsh ecosystems. The mesh bags are tethered to stakes to prevent them from being displaced by the tidal currents.

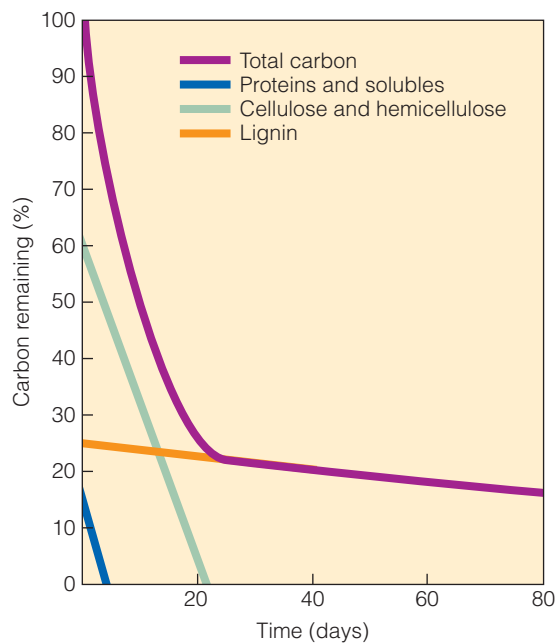
## 22.4 Several Factors Influence the Rate of Decomposition

Not all organic matter decomposes at the same rate. For example, note the differences in decomposition rate for the leaf litter of the three tree species reported in Figure 22.4. From the wealth of studies completed over the past 50 years, some generalizations concerning factors that influence decomposition rate have emerged. The rate of decay (mass loss) is related to (1) the quality of plant litter as a substrate for microorganisms and soil fauna active in the decomposition process, and (2) features of the physical environment that directly influence decomposer populations, namely soil properties (e.g., texture and pH) and climate (temperature and precipitation).

Characteristics that influence the quality of plant litter as an energy source relate directly to the types and quantities of carbon compounds present; that is, the types of chemical bonds present and the size and three-dimensional structure of the

molecules in which these bonds are formed. Carbon is plentiful in plant remains, typically making up 45–60 percent of the total dry weight of plant tissues, but not all carbon compounds are of equal quality as an energy source for microbial decomposers. Glucose and other simple sugars, the first products of photosynthesis, are high-quality sources of carbon. These molecules are physically small. The breakage of their chemical bonds yields much more energy than required to synthesize the enzymes needed to break them. Cellulose and hemicellulose are the main constituents of cell walls. These compounds are more complex in structure and therefore more difficult to decompose than simple carbohydrates. They are of moderate quality as a substrate for microbial decay. The much larger lignin molecules are among the most complex and variable carbon compounds in nature. There is no precise chemical description of lignin; rather, it represents a class of compounds. These compounds possess very large molecules, intricately folded into complex three-dimensional structures that effectively shield much of the internal structure from attack by enzyme systems. As such, lignins, major components of wood, are among the slowest components of plant tissue to decompose. Lignin compounds are of such low quality as a source of energy that they yield almost no net gain of energy to microbes during decomposition. Bacteria do not degrade lignins; they are broken down by only a single group of fungi, the basidiomycetes (which includes the mushrooms; see Figure 22.2a).

Variation in the consumption rates of different carbon compounds is revealed in an experiment examining the rate at which carbon was consumed during the decomposition of straw that was placed on a soil surface (Figure 22.7). The



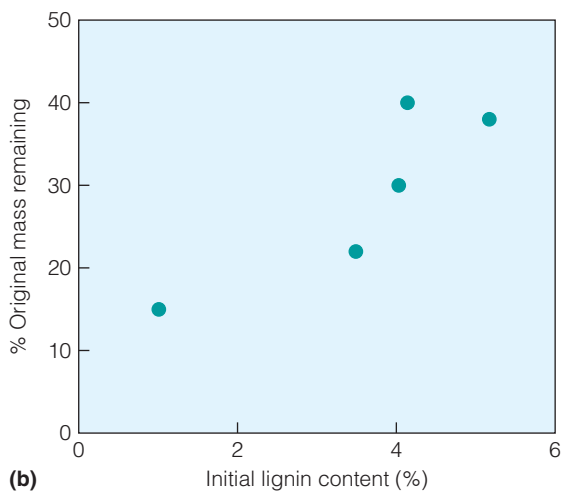
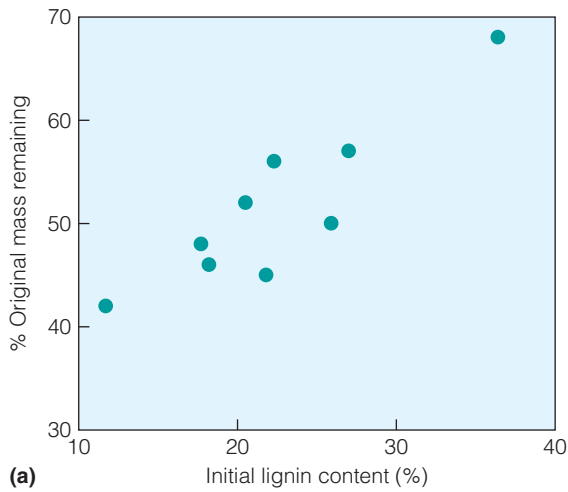
**Figure 22.7** Variation in the rates of decay (mass loss) of different classes of carbon compounds in an experiment examining the decomposition of straw on the soil surface. At any time, the sum of the three classes of carbon compounds is equal to the value for total carbon.

(Adapted from Swift, Heal, and Anderson 1979.)

total carbon content of the straw, expressed as a percentage of the original mass, declined exponentially during the period of the 80-day study. However, when the total carbon was partitioned into various classes of carbon compounds, the decomposition rates of these compounds varied widely. Proteins, simple sugars, and other soluble compounds made up some 15 percent of the original total carbon content. These compounds decomposed very quickly, disappearing completely within the first few days of the experiment. Cellulose and hemicellulose made up some 60 percent of the original carbon content. Although these compounds decomposed more slowly than the proteins and simple sugars, by three weeks into the experiment they had been completely broken down. The third category of carbon compounds examined, the lignins, made up some 20 percent of the total original carbon. These compounds were broken down very slowly during the course of the experiment, and the vast majority of lignins remained intact by day 80. As decomposition proceeded during the experiment, the quality of the carbon resource declined. High- and intermediate-quality carbon compounds declined at a relatively rapid rate. Thus, the proportion of total carbon remaining as lignin compounds continually increased with time. The increasing component of lignin lowered the overall quality of the remaining litter as an energy source for microbial decomposers, therefore slowing the decomposition rate.

Because of its low quality as an energy source, the proportion of carbon contained in lignin-based compounds is used as an index of litter quality for decomposer organisms. The difference in the decomposition rates of the three species shown in Figure 22.4 is a direct result of their initial lignin content. The freshly fallen leaves of red maple (*Acer rubrum*) have a lignin content of 11.7 percent as compared to 17.7 percent for white oak (*Quercus alba*) and 36.4 percent for sycamore (*Platanus occidentalis*) leaves. In general, there is an inverse relationship between the decomposition rate for plant litter and its lignin content at the start of decomposition. This inverse relationship between decomposition rate and the carbon quality of plant litter as a source of energy for decomposers has been reported for a wide range of plant species inhabiting both terrestrial and aquatic environments (Figure 22.8). Carbon quality of plant litters can have a particularly important influence on decomposition in coastal marine environments. Phytoplankton have a low lignin concentration and therefore decompose rather quickly. However, vascular plants, such as sea grasses, marsh grasses, and reeds that inhabit estuarine and marsh ecosystems, can have lignin concentrations that approach those of terrestrial plants. Decomposition of these plant litters is dependent on the oxygen content of the water. In the mud and sediments of aquatic habitats, where oxygen levels can be extremely low, anaerobic bacteria carry out most of the decomposition (see Section 22.2). The absence of fungi, which require oxygen for respiration, hinders the decomposition of lignin compounds, therefore slowing the overall rate of decomposition (Figure 22.9).

Besides the quality of dead organic matter as a food source, the physical environment also directly affects both macro- and micro-decomposers and, therefore, the rate of decomposition.

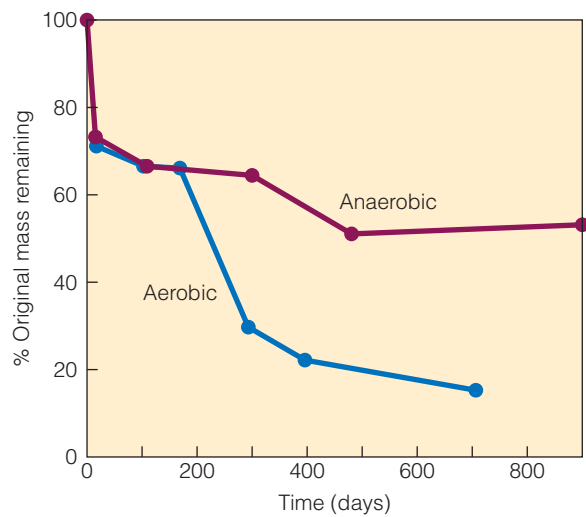


**Figure 22.8** Relationship between initial lignin content of litter material and rate of decomposition for a variety of plant litters in (a) terrestrial and (b) aquatic environments. Each point on the graphs represents an individual plant species. Go to [www.ecologyplace.com](http://www.ecologyplace.com) to perform regression analysis.

(b) (Adapted from Klap et al. 1999.)

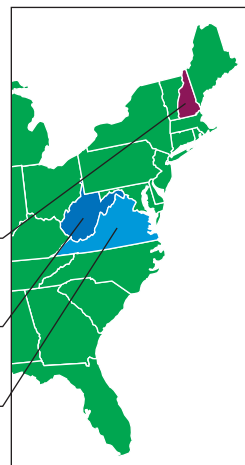
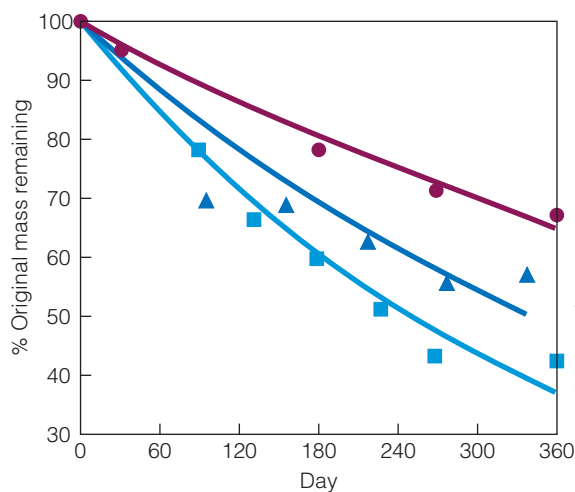
Temperature and moisture greatly influence microbial activity. Low temperatures reduce or inhibit microbial activity, as do dry conditions. The optimum environment for microbes is warm and moist. As a result, decomposition rates are highest in warm, wet climates (see Field Studies: Edward A. G. (Ted) Schuur). Alternate wetting and drying and continuous dry spells tend to reduce microflora activity and populations.

This effect of climate on the decomposition of red maple leaves at three sites in eastern North America (New Hampshire, West Virginia, and Virginia) can be seen in Figure 22.10. Although the lignin content of red maple leaves does not differ significantly at the sites, the decomposition rate increases as you move southward from New Hampshire to West Virginia and Virginia. These observed differences can be attributed directly to climate differences at the sites. Mean daily



**Figure 22.9** Results of a litterbag experiment designed to examine the decomposition of *Spartina alterniflora* litter exposed to aerobic (litterbags on the marsh surface) and anaerobic (buried 5–10 cm below the marsh surface) conditions.

(Adapted from Valiela 1984.)



**Figure 22.10** Decomposition of red maple litter at three sites in eastern North America: New Hampshire (circles), West Virginia (triangles), and Virginia (squares). Mass loss through time was estimated using litterbag experiments at each site. The decline in decomposition rate from north to south is a direct result of changes in climate, primarily temperature.

(Adapted from Melillo et al. 1982, New Hampshire; Mudrick et al. 1994, West Virginia; Smith 2002, Virginia.)



## FIELD STUDIES

## Edward A. G. (Ted) Schuur

Department of Botany, University of Florida, Gainesville, Florida

The warm, wet environments of the tropical rain forest support the highest rates of net primary productivity and decomposition of any terrestrial ecosystem on Earth. Or so we thought before the work of ecologist Ted Schuur of the University of Florida. The focus of Schuur's research is how species characteristics and features of the physical environment interact to control patterns of net primary productivity (NPP) and nutrient cycling in terrestrial ecosystems. Much of his work on this subject has been done in the montane forests of Maui in the Hawaiian Islands.

The island of Maui provides a unique environment in which to explore the interactions between biotic and abiotic controls on ecosystem processes. Ecologists are drawn to it for two reasons. First, the interaction of topography and prevailing easterly trade winds results in a diversity of microclimatic conditions on the island. Second, the Hawaiian Islands flora is relatively species poor; thus, a few species and genera occupy the broad range of environmental conditions.

The rain shadow created by the 3055-m Haleakala volcano allowed Schuur to establish a series of six experimental plots at a constant altitude (1300 m) while mean annual precipitation ranged systematically from 2200 mm/yr (mesic) to more than 5000 mm/yr (wet) as a function of aspect relative to the prevailing trade winds (see Section 3.10). Other environmental factors—such as temperature regime, parent material, ecosystem age, vegetation, and topographic relief—were similar among the sites, allowing Schuur to focus on the role of precipitation on ecosystem processes. The forest canopy at all sites on this gradient was consistently dominated by the native evergreen tree *Metrosideros polymorpha* (Myrtaceae; Figure 1).

Initial experiments designed to estimate the aboveground NPP at the sites revealed an unexpected result. The prevailing conceptual (and empirical) model of how net primary productivity relates to precipitation is shown in Chapter 21 (Figure 21.2). Net primary productivity increases with increasing precipitation, leveling off under the conditions of high annual precipitation typical of that found in the wet tropics. What Ted Schuur found in the forests of Maui, however, was a distinct pattern of decreasing NPP with increasing annual precipitation. Aboveground NPP decreased by a factor of 2.2 over the gradient (1000 g/m<sup>2</sup> to < 500 g/m<sup>2</sup>).

What mechanism could be at play? How could increasing precipitation result in a decrease in forest productivity? Measurements of the chemical composition of leaves collected from trees at the six sites along the mountainside provided some clues. Two characteristics varied systematically across the gradient of rainfall: Leaf nitrogen concentration decreased and the

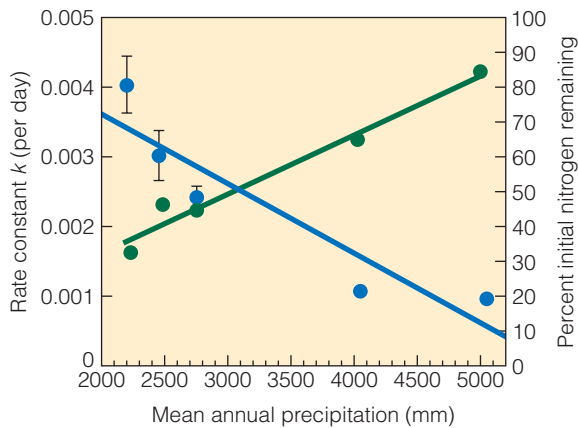
concentration of lignin increased with increasing annual precipitation at the sites. Both of these characteristics are known to influence the processes of decomposition and mineralization (see Figures 22.9 and 22.16). This evidence pointed to the possibility that systematic changes in plant characteristics along this gradient may reduce rates of decomposition and nutrient cycling and subsequently limit nutrient availability for NPP.

To test this hypothesis, Schuur conducted a set of experiments designed to examine how both plant and site (physical environment) characteristics influenced the process of nutrient cycling across the six study sites. The dynamics of carbon and nitrogen during decomposition were examined using litterbag experiments (see Sections 22.3 and 22.5). In each litterbag experiment, replicate samples (bags) were collected at each of five time intervals during a 15-month period (1, 3, 6, 9, and 15 months).

The litterbag experiment results revealed that the decomposition rate of leaves decreased by a factor of 6.4 across the



**Figure 1** Typical forest of the Makawao and Koolau Forest Reserves.



**Figure 2** Rate of decomposition ( $k$ : blue circles) and nitrogen loss (green circles) as a function of rainfall for the six study sites. (Adapted from Schuur 2001.)

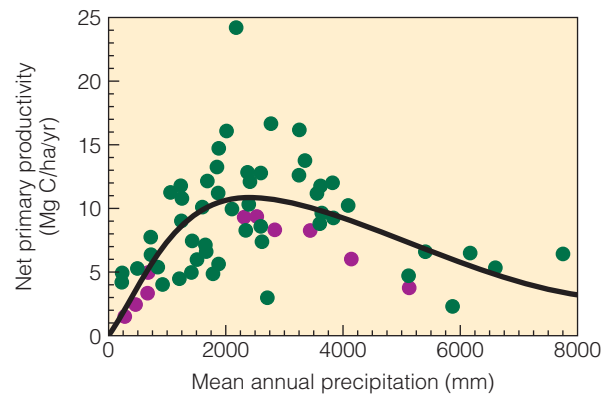
precipitation gradient, while the rate of nitrogen release from decomposing litter declined by a factor of 2.2 across the gradient (Figure 2). The litterbag experiments, therefore, presented a consistent picture of declining decomposition and nitrogen cycling across the gradient of mean annual rainfall.

Differences in the rate of nitrogen release from decomposing litter were reflected in the availability of nitrogen in the soil. Available (inorganic) soil nitrogen declined with increasing annual precipitation at the sites, as did oxygen availability in the soil.

The results clearly showed that reduced nitrogen availability was responsible for the decline in NPP across the rainfall gradient. But what factor (or factors) was controlling the rate of nutrient cycling in these forest ecosystems?

In these forests, the litter decomposition rates and nutrient release slow with increasing rainfall, apparently due to decreased soil oxygen availability and the production of low-quality litter at wetter sites. These two factors are interrelated, however. Because of high precipitation, low soil oxygen reduces rates of decomposition and nitrogen release (mineralization). In turn, low soil nitrogen potentially leads to lower leaf concentrations and litter quality, which in turn further decreases decomposition rates (see Figure 21.22).

Schuur's work in the forests of Maui has important implications for the current debate over how climate change will influence terrestrial ecosystems (see Chapter 30). According to



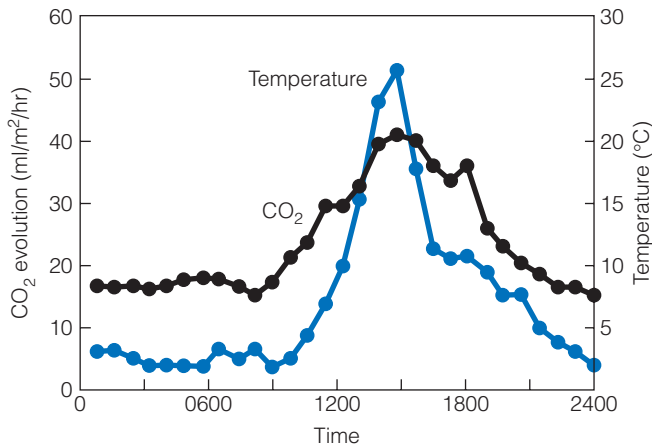
**Figure 3** Relationship between net primary productivity and mean annual precipitation. (Adapted from Schuur 2003.)

current empirical models, precipitation has little effect on NPP and decomposition in the humid tropical ecosystems (see Figure 21.2). When this relationship is extended to wetter environments within the tropics using Schuur's data, however, an inverse relationship emerges (Figure 3). Because tropical forest is the largest terrestrial biome and accounts for one-third of the potential terrestrial NPP, the nature of this relationship is crucial to understanding how projected climate change will influence the global carbon balance.

### Bibliography

- Schuur, E. A. G. 2001. The effect of water on decomposition dynamics in mesic to wet Hawaiian montane forests. *Ecosystems* 4:259–273.
- Schuur, E. A. G. 2003. Productivity and global climate revisited: The sensitivity of tropical forest growth to precipitation. *Ecology* 84:1165–1170.
- Schuur, E. A. G., and P. A. Matson. 2001. Net primary productivity and nutrient cycling across a mesic to wet precipitation gradient in Hawaiian montane forest. *Oecologia* 128:431–442.

1. What type of experiment could Schuur undertake at his research site in Hawaii to determine if nitrogen availability in the soil is directly limiting the nutrient concentration of leaves and subsequently the rates of net primary productivity?
2. Why would reduced oxygen concentrations in the soil function to reduce rates of decomposition?



**Figure 22.11** Diurnal changes in air temperature and decomposition in a temperate deciduous forest. Decomposition rate is measured indirectly as the release (evolution) of CO<sub>2</sub> from decomposing litter on the forest floor. The release of CO<sub>2</sub> is a measure of the respiration of decomposer organisms. (Adapted from Whitkamp and Frank 1969.)

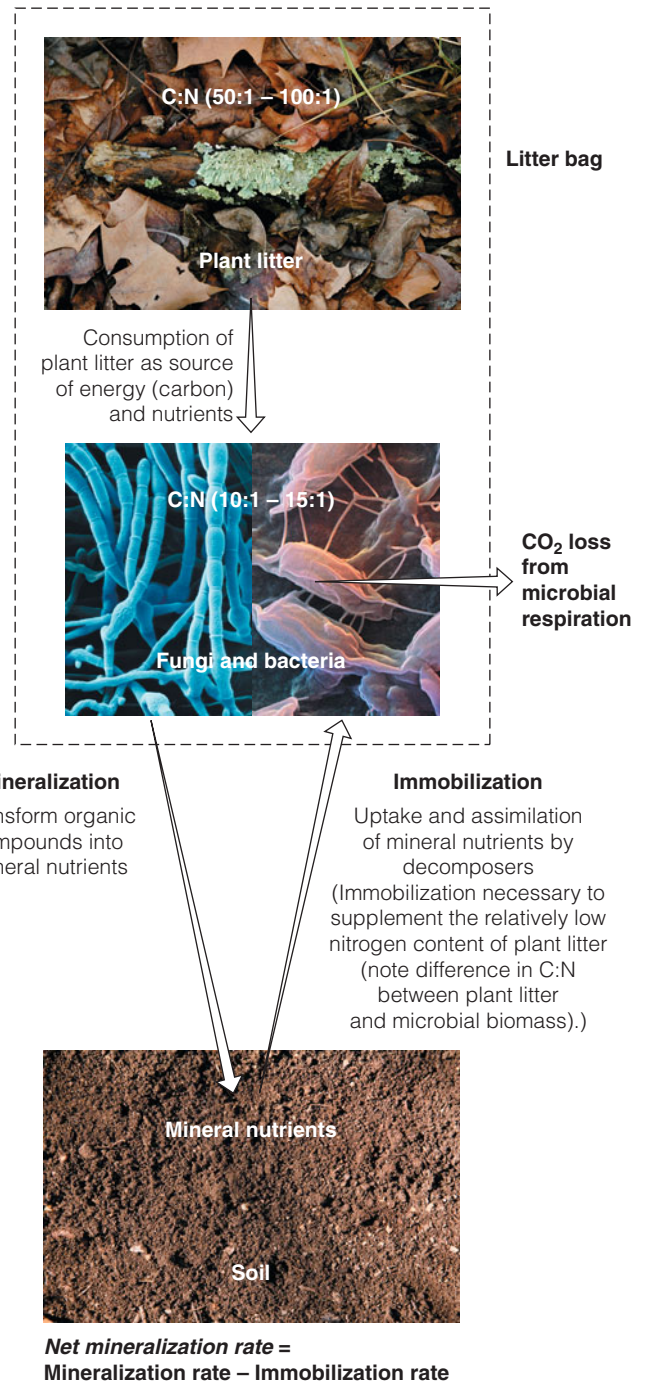
temperature at the New Hampshire site is 7.2°C, and mean annual potential evaporation is 621 mm; mean daily temperature at the West Virginia site is 12.2°C, and mean annual potential evaporation is 720 mm; mean daily temperature at the Virginia site is 14.4°C, and mean potential evaporation is 806 mm (see Chapter 4, Section 4.9).

The direct influence of temperature on decomposers results in a distinct diurnal pattern of microbial activity as measured by microbial respiration from the soil (Figure 22.11). The daily temperature pattern is closely paralleled by the release of CO<sub>2</sub> from the soil due to the respiration of microbial decomposers.

## 22.5 Nutrients in Organic Matter Are Mineralized During Decomposition

Just as dead organic matter varies in the quality of carbon compounds as an energy source for microbial decomposers, likewise the nutrient quality of dead organic material varies greatly. The macronutrient nitrogen can serve as an example. Most dead leaf material has a nitrogen content in the range of 0.5–1.5 percent (see Table 22.1). The higher the nitrogen content of the dead leaf, the higher the nutrient value for the microbes and fungi that feed upon the leaf.

As the dead organic matter is consumed, the microbial decomposers—bacteria and fungi—transform nitrogen and other elements contained in organic compounds into inorganic (or mineral) forms (Figure 22.12). This process is called **mineralization**. For example, the inorganic form of nitrogen, ammonia, is a waste product of microbial metabolism. The same decomposers that are responsible for mineralization also require nitrogen for their own growth and reproduction. Therefore, whenever mineralization occurs, **immobilization**—the uptake and assimilation of mineral nitrogen by microbial decomposers—runs counter to

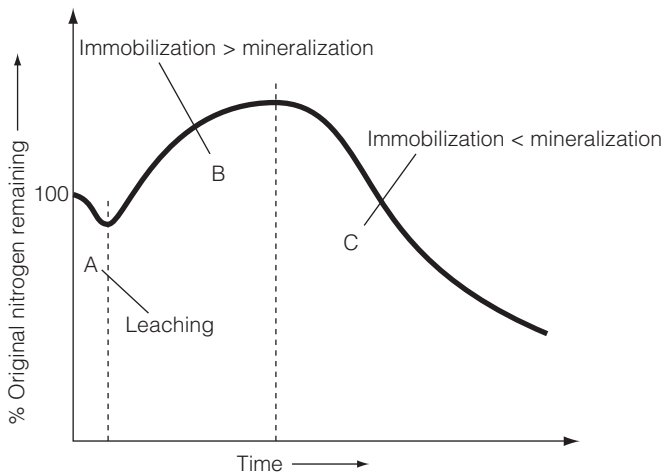


**Figure 22.12** Diagram illustrating the exchanges between litterbag (delineated by the dashed line) and soil in a standard litterbag experiment used to quantify the process of decomposition. Decomposer organisms (bacteria and fungi) colonize the plant litter. As litter is consumed, a significant proportion of carbon is respired, and nutrients bound in organic matter are mineralized and released to the soil. To convert plant carbon to microbial biomass, mineral nutrients are taken up in the process of immobilization. The difference in the rates of mineral nutrient release (mineralization) and immobilization is the rate of net mineralization. Note that as time progresses, the residual organic matter in the litterbag is composed of a growing proportion of microbial biomass as the original plant material is consumed, respired, and converted into microbial biomass.

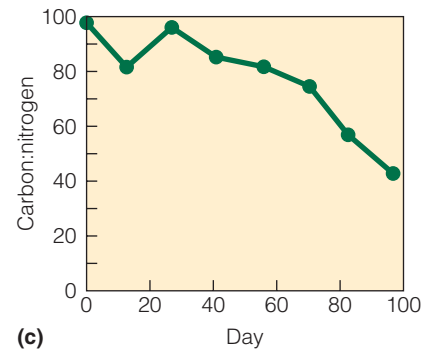
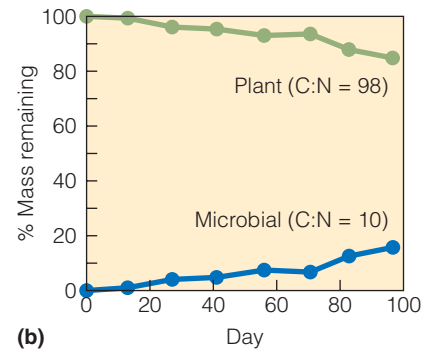
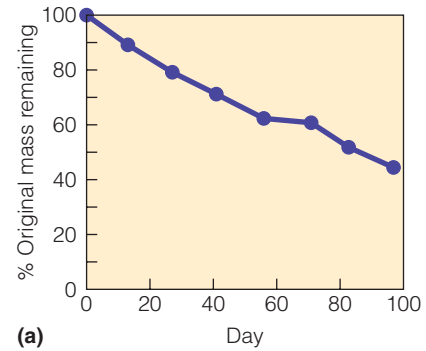
it (Figure 22.12). Because both of these processes, mineralization and immobilization, are taking place as decomposer organisms are consuming the litter, the supply rate of mineral nutrients to the soil during the process of decomposition, the **net mineralization rate**, is the difference between the rates of mineralization and immobilization.

Changes in the nutrient content of litter during decomposition are typically examined concurrently with changes in mass and carbon content in the litterbag experiments described in Section 22.3. As with litter mass (see Figure 22.4) and carbon content (see Figure 22.9), the nitrogen content of the remaining litter can be expressed as a percentage of the nitrogen content of the original litter mass. Changes in the nitrogen content of plant litter during decomposition typically conform to three stages (Figure 22.13). Initially, the amount of nitrogen in the litter declines as water-soluble compounds are leached from the litter. This stage can be very short and, in terrestrial environments, depends on the soil moisture environment.

After the initial period of leaching, nitrogen content typically increases as microbial decomposers immobilize nitrogen from outside the litter. For this reason, nitrogen concentrations can rise above 100 percent, actually exceeding the initial nitrogen content of the litter material. To understand how this can occur, first recall from our discussion that the remaining organic matter in the litterbags includes the original dead leaf material as well as the living and dead microorganisms (see Figures 22.12 and 22.14). Second, the nitrogen content of the fungi and bacteria is considerably higher than that of the plant material they are feeding upon. The ratio of carbon to nitrogen (C:N; grams of carbon per gram of nitrogen) is a widely used index to characterize the nitrogen content of different tissues. The C:N of plant biomass is typically in the range of 50:1 to 100:1, whereas the C:N of bacteria and fungi is in the range of 10:1 to 15:1. As the



**Figure 22.13** Idealized graph showing the change in nitrogen content of plant litter during decomposition. The initial phase (A) corresponds to the leaching of soluble compounds. Nitrogen content then increases above initial concentrations (phase B) as the rate of immobilization exceeds the rate of mineralization. As decomposition proceeds, the rate of nitrogen mineralization exceeds that of immobilization, and there is a net release of nitrogen from the litter (phase C).



**Figure 22.14** Results from a litterbag experiment designed to examine the changing composition of decomposing winter rye in an agricultural field. (a) Mass loss continued throughout the 100 days of the experiment. (b) The proportion of the remaining mass in plant and microbial (fungal) biomass (living and dead). (c) Because the ratio of carbon to nitrogen (C:N) of the microbial biomass is much lower than that of the remaining plant litter, there is a general pattern of decline in the C:N during decomposition.

(Adapted from Beare et al. 1992.)

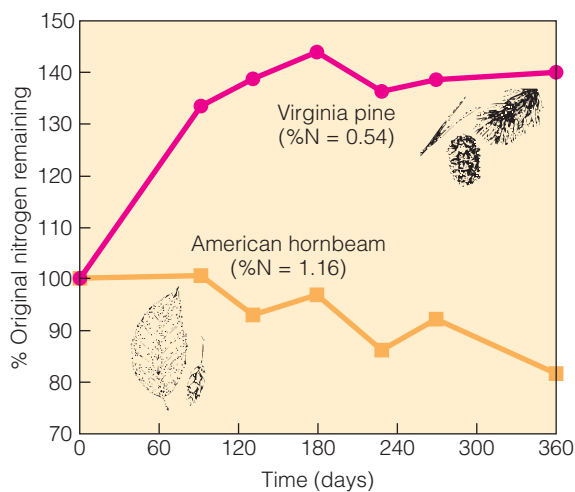
### INTERPRETING ECOLOGICAL DATA

**Q1.** In the above experiment, assume that microbial decomposers assimilate 100 units of carbon in the form of plant litter, of which 40 units are converted into microbial biomass while the remaining 60 are respired as  $\text{CO}_2$ . Since the C:N ratio of the decomposers is 10:1, the production of 40 units of biomass would require 4 units of nitrogen (40:4). Assuming the C:N ratio of the 100 units of plant litter is 98:1, and that the decomposers retained all the nitrogen in the litter they consumed, how many units of nitrogen must be immobilized to produce the 40 units of microbial biomass?

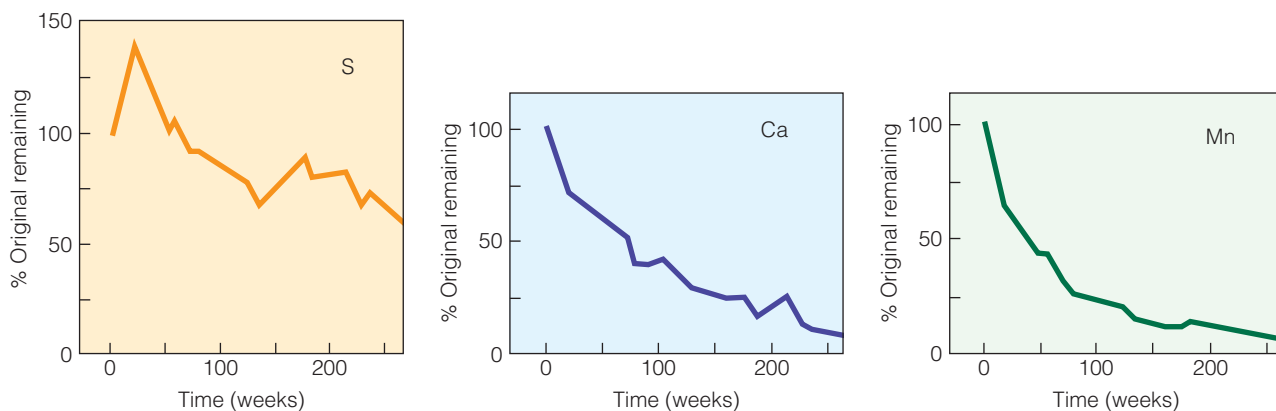
plant material is consumed and nitrogen is immobilized to meet the metabolic demands of the decomposers, the C:N will decline (Figure 22.14), reflecting the changing proportion of plant and microbial biomass remaining in the litterbag.

As decomposition proceeds and carbon quality declines (due to a higher proportional fraction of lignin), the mineralization rate exceeds the immobilization rate. The result is a net release of nitrogen to the soil (or water).

The pattern presented in Figure 22.13 is idealized. The actual pattern of nitrogen dynamics during decomposition depends on the initial nutrient content of the litter material. If the nitrogen content of the litter material is high, then mineralization may exceed the rate of immobilization from the onset of decomposition and nitrogen concentration of the litter will not increase above the initial concentration (Figure 22.15).



**Figure 22.15** Change in the nitrogen content of leaf litter from two tree species inhabiting the forests of central Virginia: American hornbeam and Virginia pine. Nitrogen content is expressed as the percentage of the original mass of nitrogen remaining at different times during the first year of the experiment. All data are from litterbag experiments. Note the difference between the two species in the initial nitrogen content of the leaf litter and the subsequent rates of immobilization.



**Figure 22.16** Patterns of immobilization and mineralization for sulfur (S), calcium (Ca), and manganese (Mn) in decomposing needles of Scots pine. Results are from a litterbag experiment during a period of five years.

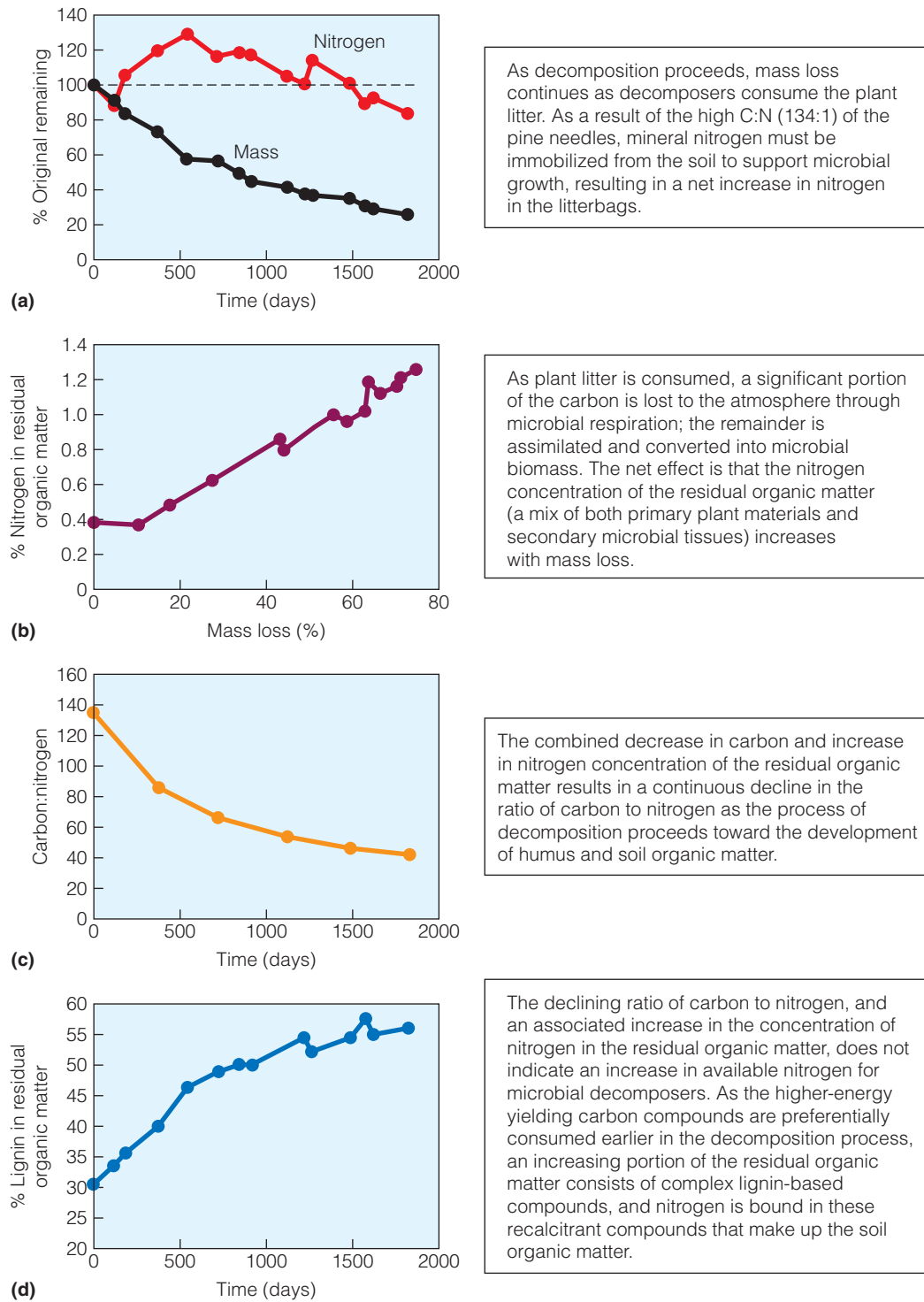
(Adapted from Staaf and Berg 1982.)

Although the discussion and examples just presented have focused on nitrogen, the same pattern of immobilization and mineralization as a function of litter nutrient content applies to all essential nutrients (Figure 22.16). As with nitrogen, the exact pattern of dynamics during decomposition is a function of the nutrient content of the litter and the demand for the nutrient by the microbial population.

## 22.6 Decomposition Proceeds as Plant Litter Is Converted into Soil Organic Matter

We have thus far discussed a process of decomposition in which decomposer organisms consume plant litter. In doing so, they mineralize nutrients and alter the chemical composition of residual organic matter. This process continues as the litter degrades into a dark brown or black homogeneous organic matter known as humus. As this organic matter becomes embedded in the soil matrix, it is referred to as soil organic matter (see Section 4.7). Most field studies, including those presented earlier, are relatively short term, examining the initial stages of decomposition over a period of one or two years at most. The work of Bjorn Berg and colleagues at the Swedish University of Agricultural Sciences, however, provides one of the most complete pictures of long-term decomposition of plant litter.

Berg and colleagues examined the decomposition of leaf (needle) litter in a Scots pine forest in central Sweden over a period of five years. Mass loss continued over the five-year study, and carbon continued to decline as carbon dioxide was lost to the atmosphere through microbial respiration (Figure 22.17). Simultaneously, the need for decomposers to convert plant organic matter with a carbon to nitrogen (C:N) ratio of 134:1 into microbial biomass (C:N of approximately 10:1) results in a prolonged period of immobilization (Figure 22.17a) and increasing nitrogen content of the residual organic matter (Figure 22.17b). The net result is an increasing nitrogen concentration and a declining C:N of the residual organic matter (Figure 22.17c).



**Figure 22.17** Patterns of (a) mass loss and nitrogen dynamics, (b) changes in nitrogen concentration of residual organic matter, (c) ratio of carbon to nitrogen, and (d) concentration of lignin in residual organic matter during a five-year experiment examining the decomposition of Scots pine leaf litter in central Sweden.

(Data from Berg et al. 1982.)

As the litter decomposition progresses, the rate of mass loss (decomposition rate) declines. This decline is a result of the preferential consumption of easily digested, high-energy-yielding carbon compounds (termed labile organic matter), leaving increasingly recalcitrant (hard to break down) compounds in the remaining litter, illustrated by the increasing fraction of lignin-based compounds in the residual organic matter (Figure 22.17d). Through fragmentation by soil invertebrates and chemical alterations, the litter is converted into soil organic matter. As microbes die, chitin and other recalcitrant components of their cell walls comprise an increasing proportion of the residual organic matter (litter plus microbial mass), leading to the production of humus. All of these processes contribute to a gradual reduction in the quality of soil organic matter as it ages. The C:N ratio continues to decline as decomposition proceeds; the carbon is respired, and some of the mineralized nitrogen is incorporated into humus and combines with mineral particles in the soil to form colloids.

The decline in C:N ratio is not, however, an indicator of increased nitrogen availability, because the nitrogen becomes incorporated into chemical structures that are recalcitrant—carbon compounds of very low quality that yield little energy to decomposers. At that point, the original plant litter has been degraded to a form where decomposition proceeds very slowly; the rate is largely a function of carbon quality. Soil organic matter typically has a residence time of 20 to 50 years, although it can range from 1 or 2 years in a cultivated field to thousands of years in environments that support slow rates of decomposition (dry or cold). Humus decomposes very slowly, but due to its abundance it represents a significant portion of the carbon and nutrients released from soils.

## 22.7 Plant Processes Enhance the Decomposition of Soil Organic Matter in the Rhizosphere

Over a century ago, the soil scientist Lorenz Hiltner put forward the term **rhizosphere** to describe a region of the soil where plant roots function. It is an active zone of root growth and death, characterized by intense microbial and fungal activity. Decomposition in the rhizosphere is more rapid than in the bulk soil. The rhizosphere makes up virtually all of the soil in fine-rooted grasslands, where the average distance between roots is about 1 mm. Root density is far less per unit of soil volume in forested ecosystems (often 10 mm between roots). Roots alter the chemistry of the rhizosphere by secreting carbohydrates into the soil. These carbohydrates may account for as much as 40 percent of dry matter production of plants. This large expenditure of carbon must be of fundamental importance for plants to justify the significant trade-off in carbon allocation.

The growth of bacteria in the rhizosphere is supported by the abundant source of high-quality, energy-rich carbon of the root exudates (exuded matter). Bacterial growth is limited most strongly by nutrient availability because the exudates are energy rich, but very low in nitrogen and other essential nutrients for microbial growth. Bacteria must acquire their nutrients for

growth by breaking down soil organic matter. In other words, plant roots use carbon-rich exudates to supplement the decomposition process of low carbon quality organic matter in the rhizosphere. Nutrient immobilization occurs during microbial growth, and nutrients would remain sequestered in bacterial biomass if predation by protozoa and nematodes did not constantly remobilize essential nutrients for plant uptake.

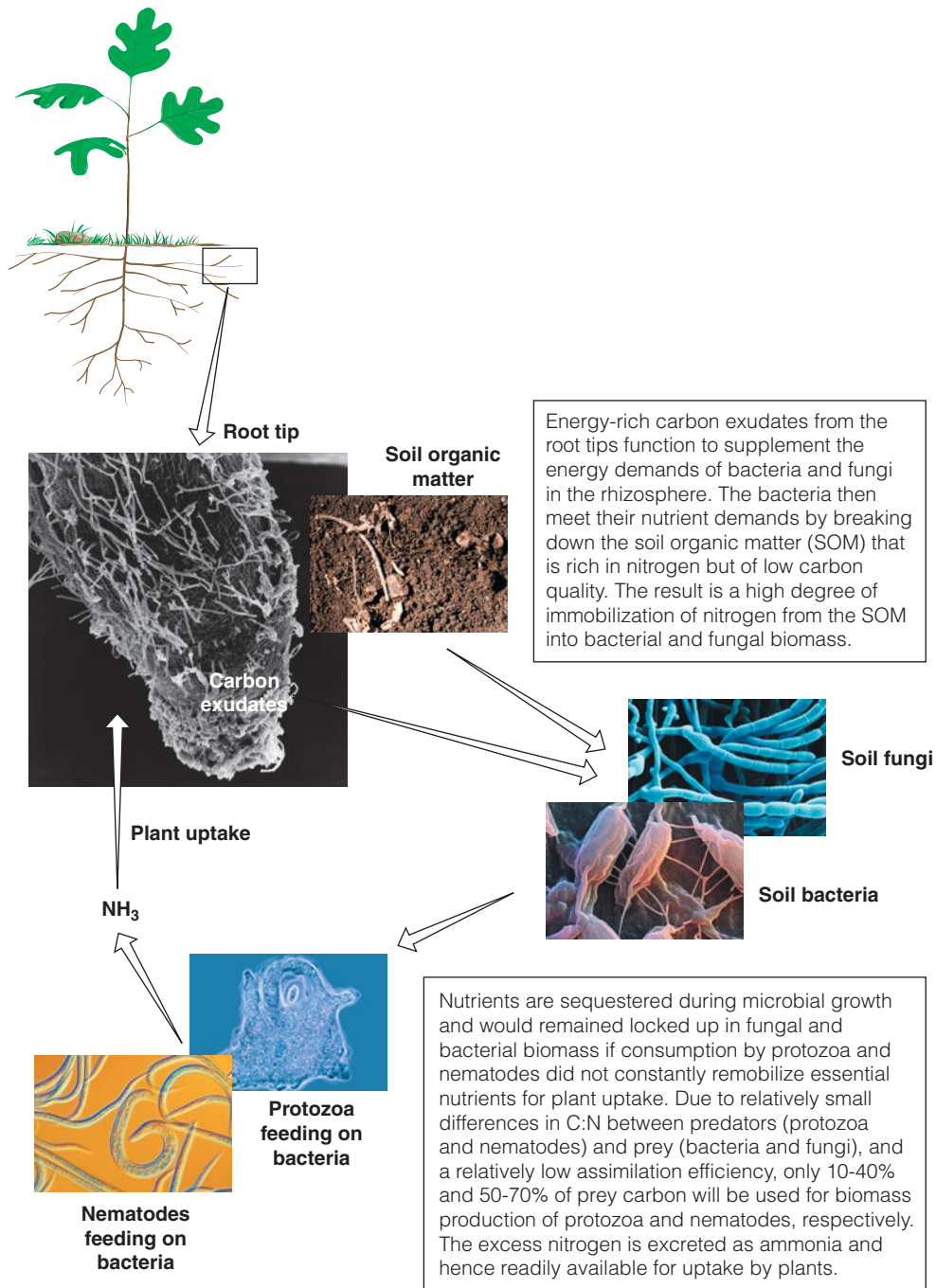
Unlike the significant difference in the C:N ratio between bacteria and the plant litter they feed on (see discussions in Sections 22.4 and 22.5), there is a relatively small difference in C:N ratio between predators and bacterial prey. Because of their relatively low assimilation efficiency, only 10–40 percent and 50–70 percent of prey carbon will be used for biomass production of protozoa and nematodes, respectively (see Section 21.8). The remainder is lost as CO<sub>2</sub> in cellular respiration. Excess nitrogen is excreted as ammonia and hence readily available for uptake by plant roots within the rhizosphere. The interplay between microbial decomposers and microbivores determines the rate of nutrient cycling in the rhizosphere and strongly enhances the availability of mineral nutrients to plants. This process of supplementing carbon to microbial decomposers in the rhizosphere, enhancing the decomposition of soil organic matter, and subsequently releasing mineral nutrients for plant uptake by microbial grazers is referred to as the **soil microbial loop** (Figure 22.18). It is similar in structure to the concept of the microbial loop in aquatic ecosystems discussed in Section 22.8 (and Chapter 25, Section 25.10).

Populations of protozoa and nematodes fluctuate. As populations decline, their readily decomposable tissues also enter the detrital food chain. Protozoan biomass can equal that of all other soil animal groups except for earthworms. As much as 70 percent and 15 percent of soil respiration can be due to protozoa and nematodes, respectively. Production rates of microbivores can reach 10–12 times their standing biomass with a minimum generation time of 2–4 hours, suggesting a significant effect on nutrient mineralization within the soil.

Some estimates conclude that at the global scale, rhizosphere processes utilize approximately 50 percent of the energy fixed by photosynthesis in terrestrial ecosystems, contribute roughly 50 percent of the total CO<sub>2</sub> emitted from terrestrial ecosystems, and mediate virtually all aspects of nutrient cycling.

## 22.8 Decomposition Occurs in Aquatic Environments

Decomposition in aquatic ecosystems follows a pattern similar to that in terrestrial ecosystems but with some major differences influenced by the watery environment. As in terrestrial environments, decomposition involves leaching, fragmentation, colonization of detrital particles by bacteria and fungi, and consumption by detritivores and microbivores. In coastal environments, permanently submerged plant litters decompose more rapidly than do those on the marsh surface because (1) they are more accessible to detritivores, and (2) the stable physical environment is more favorable to microbial decomposers than are



**Figure 22.18** Illustration of the soil microbial loop in which energy-rich carbon exudates from the plant roots within the rhizosphere enhance the growth of microbial populations and the breakdown of soil organic matter. Nutrients immobilized in microbial biomass are then liberated to the soil through predation by microbivores, providing increased mineral nutrients to support plant growth.

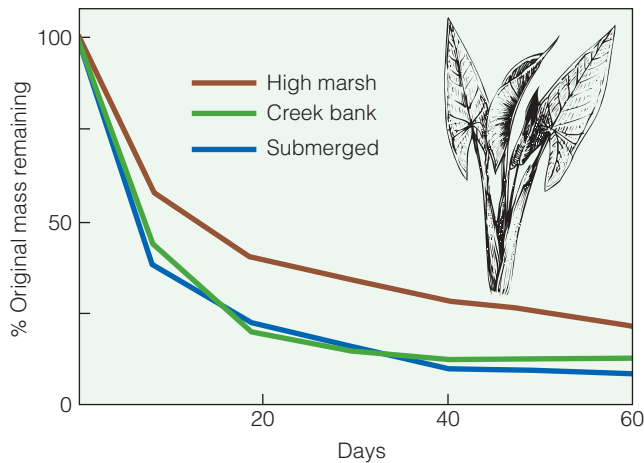
the alternative periods of wetting and drying that characterize tidal environments (Figure 22.19).

In flowing-water ecosystems, aquatic fungi colonize leaves, twigs, and other particulate matter. One group of aquatic arthropods, called shredders, fragment the organic particles, in the process eating bacteria and fungi on the surface of the litter (see Chapter 25). Downstream, another group of invertebrates, the filtering and gathering collectors, filter from the water fine particles and fecal material left by the shredders.

Grazers and scrapers feed on algae, bacteria, fungi, and organic matter collected on rocks and large debris (all discussed in Chapter 25). Algae take up nutrients and dissolved organic matter from the water.

In the still, open water of ponds and lakes and in the ocean, dead organisms and other organic material, called particulate organic matter (POM), drift toward the bottom. On its way, POM is constantly ingested, digested, and mineralized until much of the organic matter settles on the bottom in the form





**Figure 22.19** Decomposition of leaves of arrow arum (*Peltandra virginica*) in a tidal freshwater marsh. Decomposition is a measure of the percent of original mass remaining in litterbags under three conditions: irregularly flooded high marsh exposed to alternate periods of wetting and drying, creek bed flooded two times daily (tidal), and permanently submerged. The litter that is consistently wet (high marsh) has the highest rate of decomposition. (Adapted from Odum and Heywood 1978.)

of humic compounds (see discussion of humus formation in Chapter 4 and Section 21.6). How much depends partly on the depth of the water the particulate matter falls through. In shallow water, much of it may arrive in relatively large packages to be further fragmented and digested by bottom-dwelling detritivores such as crabs, snails, and mollusks.

Bacteria work on the bottom, or benthic, organic matter. Bacteria living on the surface can carry on aerobic respiration, but the oxygen supply is exhausted within a few centimeters below the surface of the sediment. Under this anoxic condition, a variety of bacteria capable of anaerobic respiration take over decomposition, which proceeds at a much slower rate than in the aerobic environment of the surface and shallow sediments (see Figure 22.9).

Aerobic and anaerobic decomposition in the benthic environment form only a part of the decomposition process. Dissolved organic matter (DOM) in the water column also provides a source of fixed carbon for decomposition. Major sources of DOM are the free-floating macroalgae, phytoplankton, and zooplankton inhabiting the open water. Upon death, their bodies break up and dissolve within 15 to 30 minutes, too rapidly for any bacterial action to occur. Phytoplankton and other algae also excrete quantities of organic matter at certain stages of their life cycles, particularly during rapid growth and reproduction. For example, during photosynthesis the marine alga *Fucus vesiculosus* produces an exudate high in carbon content. This dissolved organic matter then becomes a substrate for bacterial growth.

Ciliates and zooplankton eat bacteria and in turn excrete nutrients in the form of exudates and fecal pellets in the water. Zooplankton, in the presence of abundant food, consumes more than it needs and excretes half or more of the ingested material as fecal pellets. These pellets make up a significant fraction of the suspended material, providing a substrate for further bacterial decomposition. An important component of the aquatic

nutrient cycle is the microbial loop. The microbial loop is the trophic pathway through which dissolved organic matter is re-introduced to the food web by being incorporated into bacteria, which in turn are consumed by ciliates and zooplankton (see Section 25.10 for a detailed discussion of the microbial loop in aquatic food chains). The lighter nature of dissolved organic matter (in contrast to particulate) allows it to remain longer in the upper waters, so that nutrients entering the microbial loop have a greater likelihood of remaining in the upper waters of the photic zone to support further primary productivity.

## 22.9 Key Ecosystem Processes Influence the Rate of Nutrient Cycling

You can see from Figure 22.1 that the internal cycling of nutrients through the ecosystem depends on the processes of primary production and decomposition. Primary productivity determines the rate of nutrient transfer from inorganic to organic form (nutrient uptake), and decomposition determines the rate of transformation of organic nutrients into inorganic form (net mineralization rate). Therefore, the rates at which these two processes occur directly influence the rates at which nutrients cycle through the ecosystem (see Ecological Issues: Nitrogen Fertilizers). But how do these two key processes interact to limit the rate of the internal cycling of nutrients through the ecosystem? The answer lies in their interdependence.

For example, consider the cycling of nitrogen, an essential nutrient for plant growth. The direct link between soil nitrogen availability, rate of nitrogen uptake by plant roots, and the resulting leaf nitrogen concentrations was discussed earlier (Chapter 6, Figure 6.25). The maximum rate of photosynthesis is strongly correlated with nitrogen concentrations in the leaves because certain compounds directly involved in photosynthesis (e.g., rubisco and chlorophyll) contain a large portion of leaf nitrogen (see Figure 6.24). Thus, availability of nitrogen in the soil (sediments or water in the case of aquatic ecosystems) directly affects rates of ecosystem primary productivity via the influence of nitrogen on photosynthesis and carbon uptake.

A low availability of soil nitrogen reduces net primary production (the total production of plant tissues) as well as the nitrogen concentration of the plant tissues produced (again, see Figure 6.25). Thus, the reduced availability of soil nitrogen influences the input of dead organic matter to the decomposer food chain by reducing both the total quantity of dead organic matter produced and its nutrient concentration (see Section 21.9). The net effect is a lower return of nitrogen in the form of dead organic matter.

Both the quantity and quality of organic matter as a food source for decomposers directly influence the rates of decomposition and nitrogen mineralization (nutrient release). Lower nutrient concentrations in the dead organic matter promote immobilization of nutrients from the soil and water to meet the nutrient demands of decomposer populations (see Figure 22.15). This immobilization effectively reduces nutrient availability to the plants (reduces the rate of net mineralization); adversely affecting primary productivity (see Section 22.5).

## ECOLOGICAL ISSUES Nitrogen Fertilizers

In natural ecosystems, there is a tight link between the processes of net primary productivity (NPP) and decomposition. NPP determines the quantity and quality of organic matter available to decomposer populations. In turn, the process of decomposition determines the rate of nutrient release to the soil, constraining plant uptake and NPP. In agriculture, this balance is disrupted. Plants, and the nutrients they contain, are harvested as crops; the organic matter does not return to the soil surface to undergo the process of decomposition and mineralization. As a result, to maintain an adequate supply of soil nutrients for continued crop production, nutrient supplements (fertilizers) must be added to the soil.

Natural fertilizers such as manures and ground animal bones have been used since ancient times, but it was not until the development of the modern study of plants, soils, and the chemical requirements for growth in the mid-19th century that an understanding of the specific elemental needs of plants began to emerge. And with that understanding came the first production of fertilizers from inorganic chemical sources.

Early scientific study established three elements as necessary in large quantities for plant growth: potassium (K), phosphorus (P), and nitrogen (N). These inorganic fertilizer elements were all originally mineral deposits. From the mid-1800s to the present day, various deposits of phosphate rocks and potash (potassium hydroxide: KOH) have been found to provide adequate sources of the elements phosphorus and potassium. Such was not the case with nitrogen. One source of mineral nitrogen, saltpeter ( $\text{NaNO}_3$ ), found in deposits in Chile (South America), accounted for more than 60 percent of the world's supply for most of the 19th century. Although other sources of nitrogen were discovered periodically, they were usually depleted within a few years. Ammonia ( $\text{NH}_4^+$ ) and nitrates ( $\text{NO}_3^-$ ) were also produced from the distillation of coal and as industrial by-products of other chemical processes; however, these quantities were too limited to provide a significant source of nitrogen fertilizer.

As world population increased, the growing demand for food was accompanied by an increasing need for fertilizers. Using fertilizer with a high nitrogen content provided good crop yield, but by the late 1800s there was growing concern about depletion of the sources of nitrogen for chemical fertilizer. What was needed was an effective means of tapping the virtually limitless source of nitrogen contained in the atmosphere: nitrogen gas ( $\text{N}_2$ ).

Although the reaction between nitrogen gas and hydrogen gas ( $\text{H}_2$ ) to produce ammonia gas ( $\text{NH}_3$ ) had been known for many years, the yields were very small and the reaction very slow. In the early 20th century, however, the German physical chemist Fritz Haber developed the synthetic ammonia process, which made the manufacture of ammonia economically feasible. Carl Bosch, an industrial chemist, soon translated the process developed by Haber for synthesizing liquid ammonia into a large-scale process using a catalyst and high-pressure methods. By 1913, a chemical plant was operating in Germany, producing ammonia using what has now become known as the Haber–Bosch process.

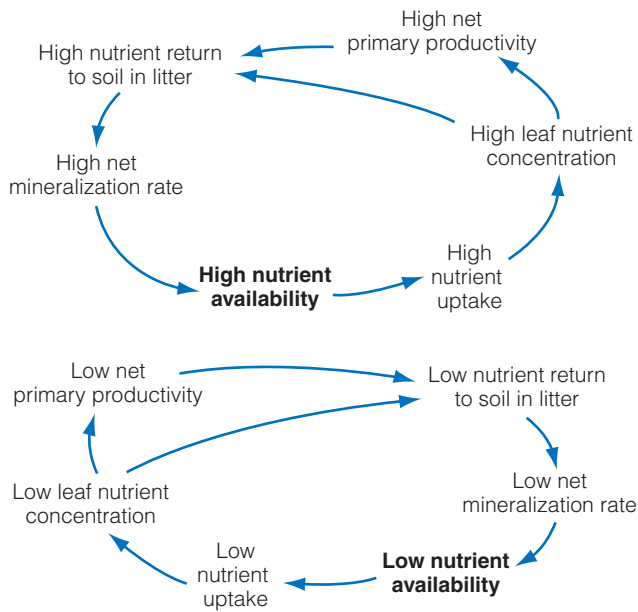
In the 1920s, the first ammonia-producing plants based on the Haber–Bosch process were built in the United States and in Europe outside of Germany. There was a general consolidation of smaller chemical companies into larger ones, which seemed to provide cheaper and more efficient methods of producing ammonia. In the 1930s, American agriculture developed methods for adding ammonia directly to the soil as fertilizers. In addition, better processes of carrying on the chemical reaction continued to be developed. Although ammonia from these plants was still more expensive to use in fertilizers than the ammonia from by-products of other reactions, the advent of World War II increased demand and led to still cheaper and more efficient methods.

The Haber–Bosch process has changed the way nitrogen fertilizers are produced and used, and it was an important part of the “Green Revolution” of the 20th century. The use of ammonia in fertilizer has made it the second most important chemical in the United States. It is the most important source of nitrogen in fertilizers today. Because of the explosive growth in world population over the past century, the demand for nitrogen-based fertilizers has grown exponentially—an increase of more than 400 percent since the 1940s. It is estimated that the nitrogen content of more than one-third of the protein consumed by the world's population is a product of the Haber–Bosch process.

The bounty of food produced as a result of the synthesis of nitrogen fertilizers, however, does not come without an environmental cost. Nitrates pollute drinking water and, through farm runoff, nitrogen also makes its way into streams and rivers and eventually to the oceans. There, it disrupts the normal constraints on primary productivity that result from the seasonal cycling of nitrogen in these ecosystems (see Section 22.8) and leads to the explosive growth of algae by a process known as eutrophication (see Section 25.4). The high inputs of organic matter to the waters result in a corresponding increase in decomposition and heterotrophic respiration, dramatically reducing the oxygen content of the water. The result is a decline in other organisms, particularly fish, that cannot survive under the low-oxygen conditions.

Almost a century after the development of the Haber–Bosch process, in June 2003, the Pew Oceans Commission released its final report to Congress and the nation. Among the conclusions presented in the report was that nitrogen fertilizer had become the main source of pollution in the ocean. The human ingenuity used in developing the process that enables us to feed the world's population was then faced with an equally difficult task—reducing the environmental consequences created by the abundant production and use of this most essential element for plant growth.

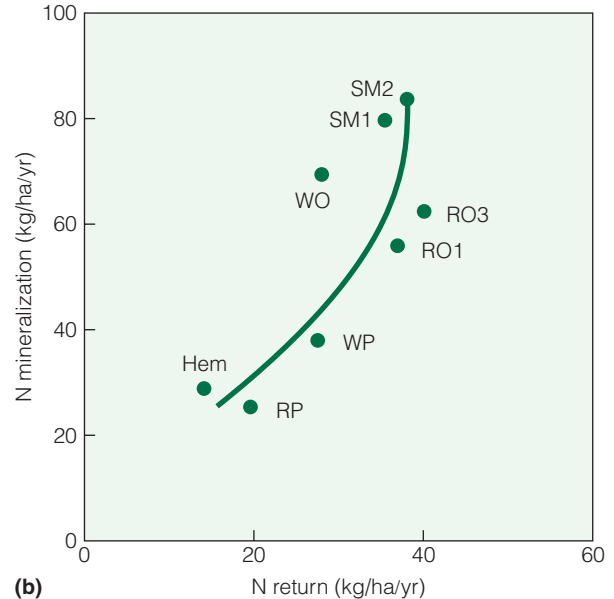
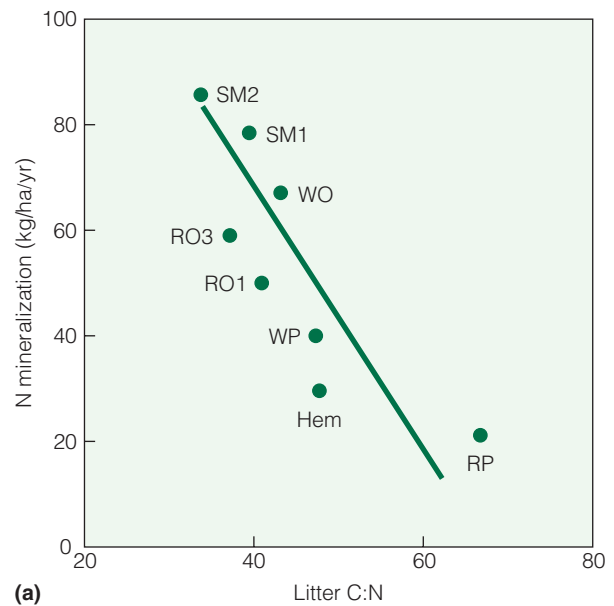
1. How do chemical fertilizers, such as ammonium and nitrate, differ from natural fertilizers such as manures, ground animal bone, or compost?
2. Using the graphic presented in Figure 22.1 as a guide, diagram the steps involved in the cycling of nutrients (such as nitrogen) in an agricultural ecosystem.



**Figure 22.20** Feedback that occurs between nutrient availability, net primary productivity, and nutrient release in decomposition for initial conditions of low and high nutrient availability. (Adapted from Chapin 1980.)

One can now appreciate the feedback system that exists in the internal cycling of nutrients within an ecosystem (Figure 22.20). Reduced nutrient availability can have the combined effect of reducing both the nutrient concentration of plant tissues (primarily leaf tissues) and net primary productivity (NPP). This reduction lowers the total amount of nutrients returned to the soil in dead organic matter. The reduced quantity and quality (nutrient concentration) of organic matter entering the decomposer food chain increase immobilization and reduce the availability of nutrients for uptake by plants. In effect, low nutrient availability begets low nutrient availability. Conversely, high nutrient availability encourages high plant tissue concentrations and high NPP. In turn, the associated high quantity and quality of dead organic matter encourage high rates of net mineralization and nutrient supply in the soil.

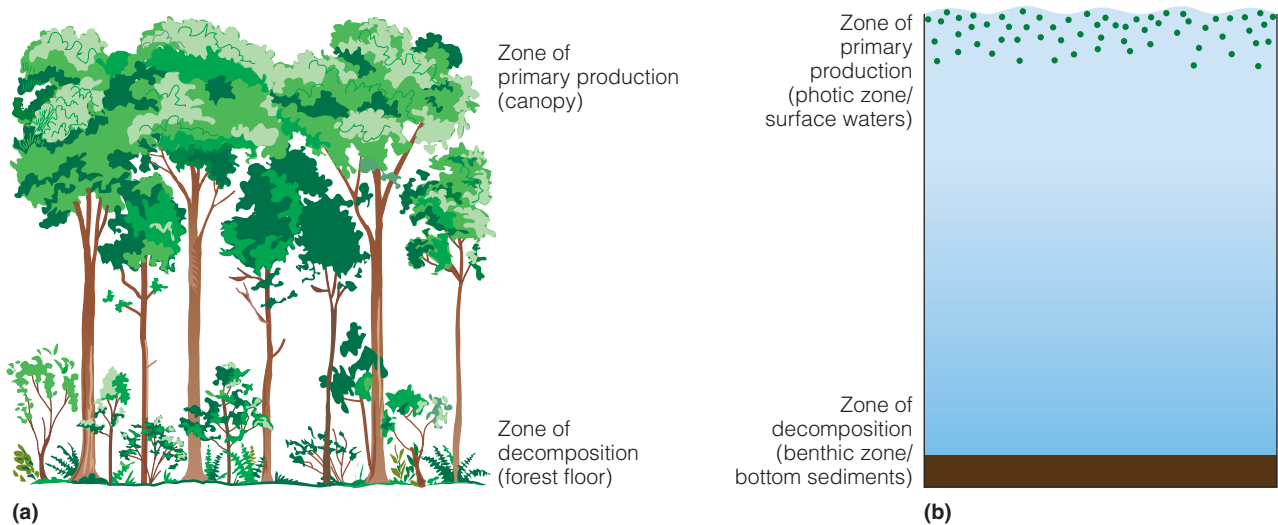
The feedback between litter quality, nutrient cycling, and NPP is illustrated in the work of John Pastor of the University of Minnesota. Pastor and colleagues examined aboveground production and nutrient cycling (nitrogen and phosphorus) in a series of forest stands along a gradient of soil texture on Blackhawk Island, Wisconsin. Tree species producing higher-quality litter (lower C:N ratio) dominated sites with a progressively finer soil texture (silt and clay content; see Chapter 4). The higher-quality litter resulted in a higher rate of nutrient mineralization (release; Figure 22.21a). Higher rates of nutrient availability in turn resulted in a higher rate of primary productivity (see Figure 22.20) and nutrient return in litterfall (Figure 22.21b). The net effect was to increase the rate at which nitrogen and phosphorus cycle through the forest stands. The changes in species composition and litter quality along the soil gradient were directly related to the influence of soil texture on plant available moisture (see Section 4.7).



**Figure 22.21** Relationship between (a) litter quality (C:N) and nitrogen mineralization rate (N availability) and (b) nitrogen mineralization rate and nitrogen returned in annual litterfall for a variety of forest ecosystems on Blackhawk Island, Wisconsin. Abbreviations refer to the dominant trees in each stand: Hem, hemlock; RP, red pine; RO, red oak; WO, white oak; SM, sugar maple; WP, white pine. (Adapted from Pastor et al. 1984.)

## 22.10 Nutrient Cycling Differs between Terrestrial and Open-Water Aquatic Ecosystems

Nutrient cycling is an essential process in all ecosystems and represents a direct (cyclic) link between net primary productivity (NPP) and decomposition. However, the nature of this link varies among ecosystems, particularly between terrestrial and aquatic ecosystems.



**Figure 22.22** Comparison of the vertical zones of production and decomposition in (a) a terrestrial (forest) and (b) an open-water (lake) ecosystem. In the terrestrial ecosystem, the two zones are linked by the vegetation (trees). However, this is not the case in the lake ecosystem.

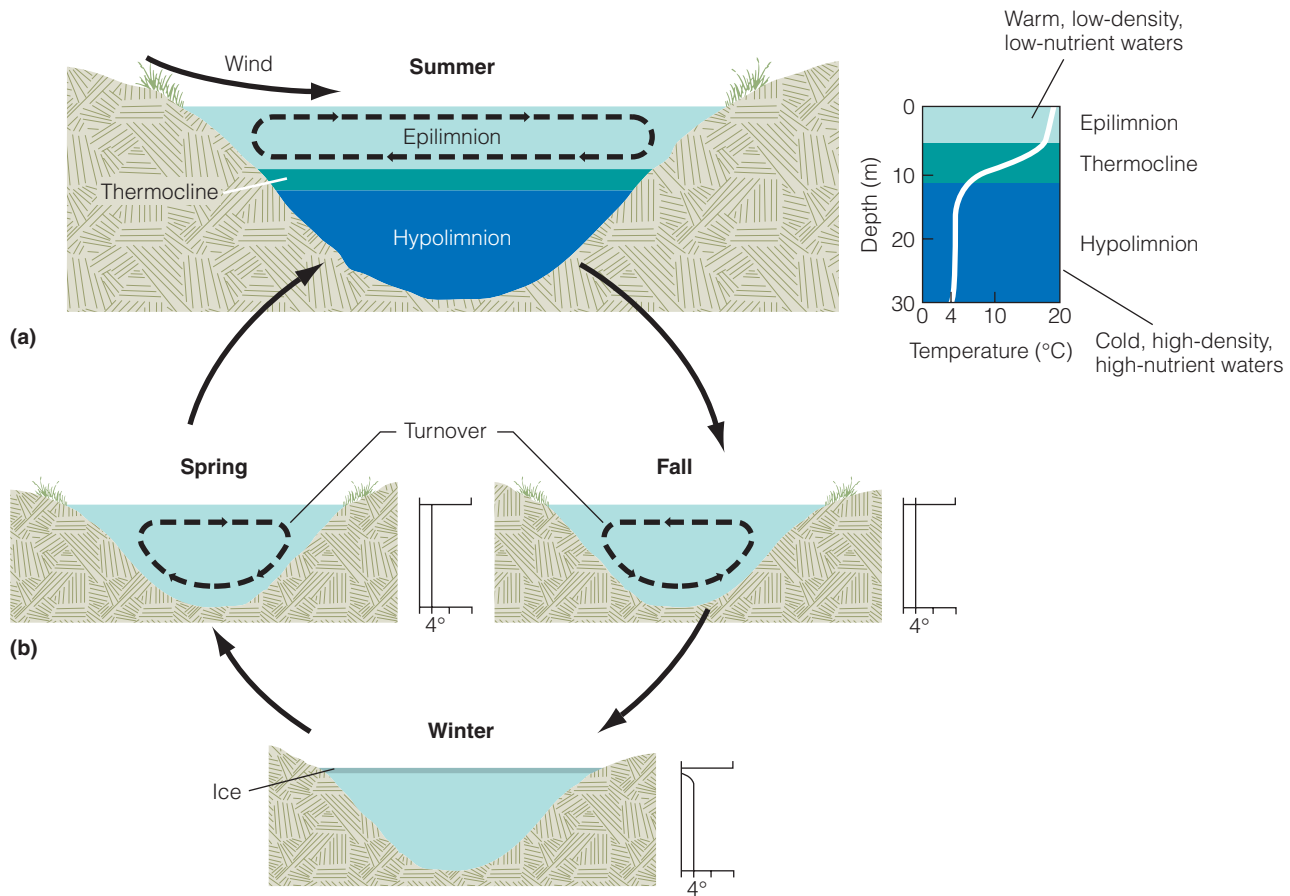
In virtually all ecosystems, there is a vertical separation between the zones of production (photosynthesis) and decomposition (Figure 22.22). In terrestrial ecosystems, the plants themselves bridge this physical separation between the decomposition zone at the soil surface and the productivity zone in the plant canopy; the plants physically exist in both zones. The root systems provide access to the nutrients made available in the soil through decomposition, and the vascular system within the plant transports these nutrients to the sites of production (canopy).

In aquatic ecosystems, however, plants do not always function to bridge the zones of production and decomposition. In shallow-water environments of the shoreline, emergent vegetation such as cattails, cordgrasses (*Spartina* spp.), and sedges are rooted in the sediments. Here, as in terrestrial ecosystems, the zones of decomposition and production are linked directly by the plants. Likewise, submerged vegetation, such as sea grasses, is rooted in the sediments. The plants extend up the water column into the photic zone, the shallower waters where light levels support higher productivity (see Figure 25.16). However, as water depths increase, primary production is dominated by free-floating phytoplankton within the upper waters (photic zone). In deeper water, the zones of decomposition in the bottom sediments and waters (the benthic zone) are physically separated from the surface waters, where temperatures and light availability support primary productivity. This physical separation between the zones where nutrients become available through decomposition and the zone of productivity where nutrients are needed to support photosynthesis and plant growth is a major factor controlling the productivity of open-water ecosystems (e.g., lakes and oceans).

To understand how nutrients are transported vertically from deeper waters to the surface, where temperature and light conditions can support primary productivity, we must

examine the vertical structure of the physical environment in open-water ecosystems. (First presented in Chapter 3, Section 3.4.) The vertical structure of open-water ecosystems, such as lakes or oceans, can be divided into three rather distinct zones (Figure 22.23; also see Chapter 17). The epilimnion, or surface water, is relatively warm because of the interception of solar radiation. The oxygen content is also relatively high, due to the diffusion of oxygen from the atmosphere into the surface waters (see Section 3.6). In contrast, the hypolimnion, or deep water, is cold and relatively low in oxygen. The transition zone between the surface and deep waters is characterized by a steep temperature gradient called the thermocline. In effect, the vertical structure can be represented as a warm, low-density surface layer of water on top of a denser, colder layer of deep water; these layers are separated by the rather thin zone of the thermocline. This vertical structure and physical separation of the epilimnion and hypolimnion have an important influence on the distribution of nutrients and subsequent patterns of primary productivity in aquatic ecosystems. The colder, deeper waters where decomposition occurs are relatively nutrient rich, but temperature and light conditions cannot support high productivity. In contrast, the surface waters are relatively nutrient poor; however, this is the zone where temperatures and light can support high productivity.

Although winds blowing over the water surface cause turbulence that mixes the waters of the epilimnion, this mixing does not extend into the colder, deeper waters because of the thermocline. As autumn and winter approach in the temperate and polar zones, the amount of solar radiation reaching the water surface decreases, and the temperature of the surface water declines. As the water temperature of the epilimnion approaches that of the hypolimnion, the thermocline breaks down, and mixing throughout the profile can take place



**Figure 22.23** Seasonal dynamics in the vertical structure of an open-water aquatic ecosystem in the temperate zone. Solid arrows track seasonal changes and dashed arrows show the circulation of waters. Winds mix the waters within the epilimnion during the summer (a), but the thermocline isolates this mixing to the surface waters. With the breakdown of the thermocline during the fall and spring months, turnover occurs, allowing the entire water column to become mixed (b). This mixing allows nutrients in the epilimnion to be brought up to the surface waters.

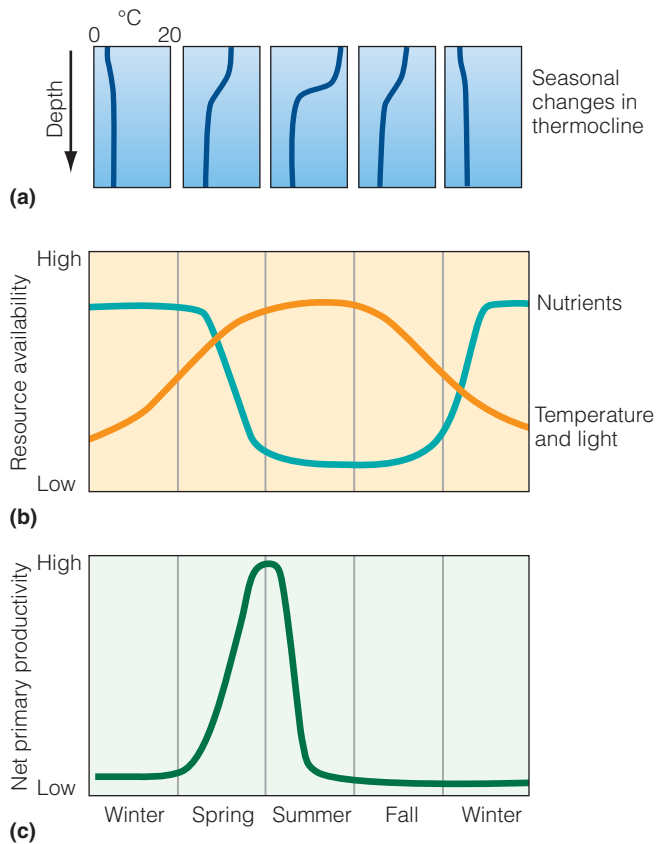
(Figure 22.23). If surface waters become cooler than the deeper waters, they will begin to sink, displacing deep waters to the surface. This process is called turnover (see Section 3.4). With the breakdown of the thermocline and mixing of the water column, nutrients are brought up from the bottom to the surface waters. With the onset of spring, increasing temperatures and light in the epilimnion give rise to a peak in productivity due to the increased availability of nutrients in the surface waters. As the spring and summer progress, the nutrients in the surface water are used, reducing the nutrient content of the water, and a subsequent decline in productivity occurs. The annual cycle of productivity in these ecosystems (Figure 22.24) is a direct result of thermocline dynamics and the consequent behavior of the vertical distribution of nutrients.

### 21.11 Water Flow Influences Nutrient Cycling in Streams and Rivers

Inputs in the form of dead organic matter from adjacent terrestrial ecosystems (leaves and woody debris), rainwater, and subsurface seepage bring nutrients into streams. Although

the internal cycling of nutrients follows the same general pathway as that discussed for terrestrial and open-water ecosystems (see Figure 22.1), the continuous, directional movement of water affects nutrient cycling in stream ecosystems. Jack Webster of the University of Georgia was the first to note that because nutrients are continuously being transported downstream, a spiral rather than a cycle better represents the cycling of nutrients. He coined the term **nutrient spiraling** to describe this process.

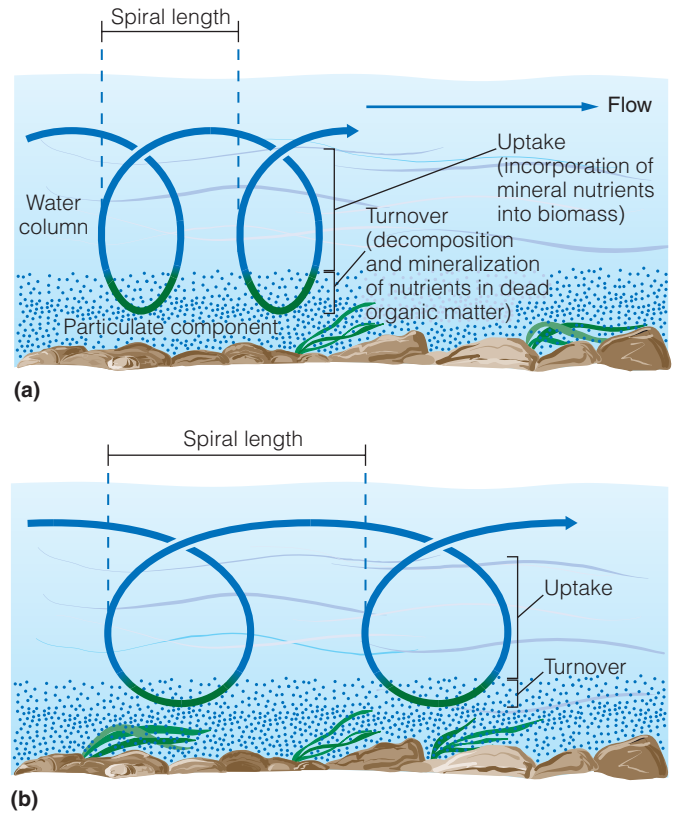
Nutrients in terrestrial and open-water ecosystems are recycled more or less in place. An atom of nutrients passes from the soil or water column to plants and consumers and passes back to the soil or water in the form of dead organic matter. Then it is recycled within the same location within the ecosystem, although losses do occur. Cycling essentially involves time. Flowing water has an added element: a spatial cycle. Nutrients in the form of organic matter are constantly being carried downstream. How quickly these materials are carried downstream depends on how fast the water moves and the physical and biological factors that hold nutrients in place. Physical retention



**Figure 22.24** Seasonal dynamics of (a) the thermocline and associated changes in (b) the availability of light and nutrients, and (c) net primary productivity of the surface waters.

involves storage in wood detritus such as logs and snags, in debris caught in pools behind logs and boulders, in sediments, and in patches of aquatic vegetation. Biological retention occurs through the uptake and storage of nutrients in animal and plant tissue.

The processes of recycling, retention, and downstream transport may be pictured as a spiral lying horizontally (longitudinally) in a stream (Figure 22.25). One cycle in the spiral is the uptake of an atom of nutrient, its passage through the food chain, and its return to water, where it is available for reuse. Spiraling is measured as the distance needed to complete one cycle. The longer the distance required, the more open the spiral; the shorter the distance, the tighter the spiral. If dead leaves and other debris are physically held in place long enough to allow organisms to process the organic matter, the spiral is tight. This type of physical retention is especially important in fast headwater streams, which can rapidly lose organic matter downstream. Organisms can function to both open and tighten the spiral. Organisms that shred and fragment the organic matter can open the spiral by facilitating the transport of organic materials downstream. Other organisms tighten the spiral by physically storing dead organic matter.

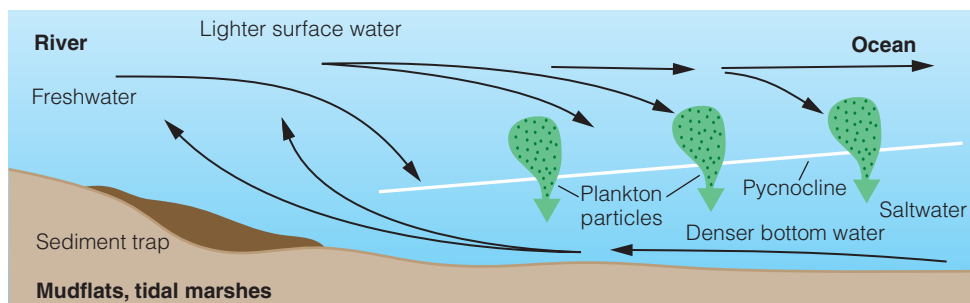


**Figure 22.25** Nutrient spiraling between organic matter and the water column in a stream ecosystem. Uptake and turnover take place as nutrients flow downstream. The tighter the spiraling, the longer the nutrients remain in place. (a) Tight spiraling; (b) open spiraling. (Adapted from Newbold et al. 1982.)

J. D. Newbold and colleagues at Oak Ridge National Laboratory in Tennessee experimentally determined how quickly phosphorus moved downstream in a small woodland brook on the Walker Branch watershed. They determined that phosphorus moved downstream at the rate of 10.4 m a day and cycled once every 18.4 days. The average downstream distance of one cycle (spiral) was 190 m. In other words, 1 atom of phosphorus on the average completed one cycle from the water compartment and back again for every 190 m of downstream travel.

## 22.12 Land and Marine Environments Influence Nutrient Cycling in Coastal Ecosystems

Coastal ecosystems are among the most productive environments. Water from most streams and rivers eventually drains into the oceans, and the place where this freshwater joins saltwater is called an estuary (see Section 3.10). Estuaries are semi-enclosed parts of the coastal ocean where seawater is diluted and partially mixed with water coming from the land. As the rivers meet the ocean, the current velocity drops and sediments are deposited within a short distance (referred to



**Figure 22.26** Circulation of freshwater and saltwater in an estuary functions to trap nutrients. A salty wedge of intruding seawater on the bottom produces a surface flow of lighter freshwater and a counterflow of heavier brackish water. These layers are physically separated by variations in water density arising from both salt concentration and temperature differences. The zone of maximum vertical difference in density, the pycnocline, functions much like the thermocline in lake ecosystems. Living and dead particles settle through the pycnocline into the countercurrent and are carried up-estuary along with their nutrient content, conserving the nutrients within the estuary rather than being flushed out to sea.

(Adapted from Correll 1978.)

as a sediment trap; Figure 22.26). The buildup of sediments creates alluvial plains about the estuary, giving rise to mudflats and salt marshes that are dominated by grasses and small shrubs rooted in the mud and sediments (see Chapter 25 for detailed descriptions of these ecosystems). Nutrient cycling in these ecosystems differs from that of terrestrial, open-water, and stream ecosystems discussed thus far. In a way, it combines some features of each. As in terrestrial ecosystems, the dominant plants are rooted in the sediments and therefore function to link the zones of decomposition and primary production. Submerged plants take up nutrients from the sediments as well as directly from the water column. As in streams and rivers, the directional (horizontal) flow of water transports organic matter (energy) and nutrients both into (inputs) and out of (outputs) the ecosystem.

Nutrients are carried into the coastal marshes by precipitation, surface water (streams and rivers), and groundwater. In addition, the rise and fall of water depth with the tidal cycle serves to flush out salts and other toxins from the marshes and brings in nutrients from the coastal waters by a process referred to as the **tidal subsidy**. The tidal cycle also serves to replace oxygen-depleted waters within the surface sediments with oxygenated water.

The salt marsh is a detrital system with only a small portion of its primary production being consumed by herbivores. Almost three-quarters of the detritus (dead organic matter) produced in the salt-marsh ecosystem is broken down by bacteria and fungi. Nearly 50 percent of the total NPP is lost through respiration by microbial decomposers. The low oxygen content of the sediments favors anaerobic bacteria. They can carry on their metabolic functions without oxygen by using inorganic compounds such as sulfates rather than oxygen in the process of fermentation (see Sections 6.1 and 7.2).

A substantial portion (usually 20–45 percent) of the NPP of a salt marsh is exported to adjacent estuaries. The exact nature of this exchange depends on the geomorphology

of the basin (its shape and nature of the opening to the sea) and the magnitude of tidal and freshwater flows (fluxes). Each salt marsh differs in the way carbon and other nutrients move through the food web as well as in the route taken and amount of nutrients exported. Some salt marshes are dependent on tidal exchanges and import more than they export, whereas others export more than they import. Some material may be exported to the estuary as mineral nutrients or physically as detritus; as bacteria; or as fish, crabs, and intertidal organisms within the food web. Although inflowing water from rivers and coastal marshes carries mineral nutrients into the estuary, primary production is regulated more by internal nutrient cycling than by external sources. This internal cycling involves the release of nutrients through decomposition and mineralization within the bottom sediments, as well as excretion of mineralized nutrients by herbivorous zooplankton.

As in the tidal marshes, nutrients and oxygen are carried into the estuary by the tides. Typical estuaries maintain a “salt wedge” of intruding seawater on the bottom, producing a surface flow of freshwater and a counterflow of more brackish, heavier water (see Figure 22.26). These layers are physically separated by variations in water density arising from both salt concentration and temperature differences. The zone of maximum vertical difference in density, the **pycnocline**, functions much like the thermocline in lake ecosystems. Living and dead particles settle through the pycnocline into the countercurrent and are carried up the estuary along with their nutrient content, thus conserving the nutrients within the estuary rather than being flushed out to sea.

The regular movement of freshwater and saltwater into the estuary, coupled with the shallowness and turbulence, generally allows for sufficient vertical mixing. In deeper estuaries, a thermocline can form during the summer months. In this case, the seasonal pattern of vertical mixing and nutrient cycling will be similar to the pattern discussed for open-water ecosystems in Section 22.10.

## 22.13 Surface Ocean Currents Bring about Vertical Transport of Nutrients

The global pattern of ocean surface currents influences patterns of surface-water temperature, productivity, and nutrient cycling (Chapter 2, Figure 2.15). The Coriolis effect drives the patterns of surface currents. But how deep does this lateral movement of water extend vertically into the water column? In general, the lateral flow is limited to the upper 100 m, but in certain regions the lateral movement can bring about a vertical circulation or upwelling of water. Along the western margins of the continents, the surface currents flow along the coastline and toward

the equator (see Figure 2.15 and Chapter 2, Section 3.9). At the same time, these surface waters are pushed offshore by the Coriolis effect. The movement of surface waters offshore results in deeper, more nutrient-rich waters being transported vertically to the surface (Figure 3.17a).

Surface currents give rise to a similar pattern of upwelling in the equatorial waters. As the two equatorial currents flow west, they are deflected to the right north of the equator and to the left south of the equator. Where this occurs, subsurface water is transported vertically, bringing cold waters, rich in nutrients, to the surface (see Figure 3.17b). These regions of nutrient-rich waters are highly productive and support some of the world's most important fisheries (see Figure 21.10).

---

## Summary

### Nutrient Cycling 22.1

As plants take up nutrients from the soil or water, they become incorporated into living tissues: organic matter. As the tissues senesce, the dead organic matter returns to the soil or sediment surface. Various decomposers transform the organic nutrients into mineral form, and they are once again available for uptake by plants. This process is called internal cycling.

### Decomposition Processes 22.2

Decomposition is the breakdown of chemical bonds formed during the construction of plant and animal tissues. Decomposition involves an array of processes including leaching, fragmentation, digestion, and excretion. An essential function of decomposers is the release of organically bound nutrients into an inorganic form. The wide variety of organisms involved in decomposition are classified into groups based on both function and size. The microflora, comprising the bacteria and fungi, are the group most commonly associated with decomposition. Bacteria can be grouped as either aerobic or anaerobic based on their requirement of oxygen to carry out respiration. Invertebrate detritivores are classified based on body size. Microbivores feed on bacteria and fungi.

### Litterbag Studies 22.3

Decomposers derive their energy and most of their nutrients by consuming organic compounds. Ecologists study the process of decomposition by designing experiments that follow the decay of dead plant and animal tissues through time. The most widely used approach is litterbags. A fixed amount of dead organic matter is placed in mesh bags, and the rate of loss is followed through time.

### Factors Influencing Decomposition 22.4

Microbial decomposers use carbon compounds contained in dead organic matter as a source of energy. Various carbon compounds differ in their quality as an energy source for decomposers. Glucose and other simple sugars are easily broken down and provide a high-quality source of carbon. Cellulose and hemicellulose, the main constituents of cell walls, are

intermediate in quality, whereas the lignins are of very low quality and therefore decompose the slowest. The quality of dead organic matter as a food source for decomposers is influenced by the types of carbon compounds present and by the nutrient content. Because of the low quality of lignins as an energy source for decomposers, there is an inverse relationship between the lignin content of plant litter and its decomposition rate. The physical environment directly influences both macro- and micro-decomposers. Temperature and moisture greatly influence microbial activity. Low temperatures and moisture inhibit microbial activity. As a result, the highest decomposition rates occur during warm, wet conditions. The influence of temperature and moisture on decomposer activity results in geographic variation in rates of decomposition that relate directly to climate.

### Nutrient Mineralization 22.5

The nutrient quality of dead organic matter depends on its nutrient content. As microbial decomposers break down dead organic matter, they transform nutrients tied up in organic compounds into an inorganic form. This process is called nutrient mineralization. The same organisms responsible for mineralization reuse some of the nutrients they have produced, incorporating the inorganic nutrients into an organic form. This process is called nutrient immobilization. The difference between the rates of mineralization and immobilization is the net mineralization rate, which represents the net release of nutrients to the soil or water during decomposition. The rates of mineralization and immobilization during decomposition are related to the nutrient content of the dead organic matter being consumed.

### Soil Organic Matter 22.6

The process of decomposition continues as the litter degrades into a dark brown or black homogeneous organic matter known as humus. As this organic matter becomes embedded in the soil matrix, it is referred to as soil organic matter. Although high in nitrogen, humus decomposes very slowly due to the low quality of carbon compounds. Despite the slow rate of decomposition,



due to its sheer abundance, the decomposition of humus represents a significant portion of the carbon and nutrients released from soils.

### Rhizosphere 22.7

The rhizosphere is an active zone of root growth and death, characterized by intense microbial and fungal activity. Decomposition in the rhizosphere is more rapid than in the bulk soil because plant roots use carbon-rich exudates to supplement the decomposition process of low carbon quality organic matter. Nutrients immobilized in bacteria biomass are then released to the soil as microbivores (protozoa and nematodes) that feed on the bacteria.

### Decomposition in Aquatic Environments 22.8

Decomposition in aquatic ecosystems varies as a function of water depth and flow rate. In flowing waters (streams and rivers), various specialized detritivores are involved in the breakdown of plant litter imported from adjacent terrestrial ecosystems. In open-water environments, dead organisms and other organic matter, called particulate organic matter (POM), drift downward to the bottom. On its way, POM is constantly being ingested, digested, and mineralized until much of the organic matter is in the form of humic compounds by the time it reaches the bottom sediments. Bacteria decompose organic matter on the bottom sediments, using aerobic or anaerobic respiration depending on the supply of oxygen. Dissolved organic matter (DOM) in the water column also provides a source of carbon for decomposers.

### Rate of Nutrient Cycling 22.9

The rate at which nutrients cycle through the ecosystem is directly related to the rates of primary productivity (nutrient uptake) and decomposition (nutrient release). Environmental factors that influence these two processes will affect the rate at which nutrients cycle through the ecosystem.

### Comparison of Terrestrial and Aquatic Ecosystems 22.10

There is typically a vertical separation between the zones of primary production and decomposition. In terrestrial and shallow-water ecosystems, plants function to bridge this gap. In open-water ecosystems, there is a physical separation

between these zones that limits nutrient availability in the surface waters. The thermocline functions to limit the movement of nutrients from the bottom (benthic) zone (cold) to the surface (warm) waters. During the winter season, the thermocline breaks down, allowing for a mixing of the water column and the movement of nutrients into the surface waters. This seasonality of the thermocline and mixing of the water column controls seasonal patterns of productivity in these ecosystems.

### Stream Ecosystems 22.11

The continuous, directional movement of water affects nutrient cycling in stream ecosystems. Because nutrients are continuously being transported downstream, a spiral rather than a cycle better represents the cycling of nutrients. One cycle in the spiral is the uptake of an atom of nutrient, its passage through the food chain, and its return to water, where it is available for reuse. The cycle length involved is related to the flow rate of the stream and to the physical and biological mechanisms available for nutrient retention.

### Coastal Ecosystems 22.12

Water from most streams and rivers eventually drains into the oceans, giving rise to estuary and salt-marsh ecosystems along the coastal environment. As in streams and rivers, the directional flow of water functions to transport both organic matter and nutrients into and out of the ecosystem. The rise and fall of water depth with the tidal cycle serves to flush out salts and other toxins from the marshes and brings in nutrients from the coastal waters. The combined effect of the inward (toward the coast) movement of saltwater and the outward flow of freshwater develops a countercurrent that carries both living and dead particles and the nutrients they contain back toward the coastline. This mechanism conserves nutrients within the estuary and salt-marsh ecosystems.

### Vertical Transport in Oceans 22.13

The global pattern of surface currents brings about the transport of deep, nutrient-rich waters to the surface in the coastal regions. As surface currents move waters away from the western coastal margins, deep water moves to the surface, carrying nutrients with it. A similar pattern of upwelling occurs in the equatorial regions of the oceans, where surface currents move to the north and south.

---

## Study Questions

1. Define decomposition. What are the major microbial decomposers of plant and animal material?
2. (a) How does the type of carbon compounds present in dead organic matter influence its quality as an energy source for decomposers? (b) How does lignin concentration influence the decomposition of plant litter?
3. How does the concentration of lignin-based compounds in the residual (remaining) organic matter change during the decomposition of plant litter?
4. (a) Contrast the processes of mineralization and immobilization. (b) What does an increase in the nitrogen content of decomposing plant tissues imply about the relative rates of mineralization and immobilization?
5. How does the initial ratio of carbon to nitrogen (C:N) of plant litter influence the relative rates of nitrogen mineralization and immobilization during the initial stages of decomposition?

6. What is the difference in the ratio of carbon to nitrogen (C:N) of plant litter and the tissues of microbial decomposers, and how does the difference influence the nutrient dynamics during decomposition?
7. Tree species that inhabit the forests of the far north (boreal forests) are characterized by low concentrations of nitrogen in their leaves. How might this factor influence nitrogen cycling in these forests?
8. Contrast nutrient cycling in terrestrial and open-water aquatic ecosystems. What is the outstanding difference?
9. How do changes in the thermocline influence seasonal patterns of net primary productivity in lake ecosystems?
10. Go back to the global map of primary productivity of marine ecosystems in Figure 21.10. Identify areas of high productivity in the equatorial region that might be related to the process of upwelling.
11. How does the continuous, directional flow of water influence the cycling of nutrients in stream ecosystems?
12. What mechanism functions to conserve nutrients in estuary ecosystems?

---

## Further Readings

- Aber, J. D., and J. M. Melillo. 1991. *Terrestrial ecosystems*. Philadelphia: Saunders College Publishing.  
This text provides an excellent introduction to the topic of nutrient cycling in terrestrial ecosystems.
- Bertness, M. D. 1992. The ecology of a New England salt marsh. *American Scientist* 80:260–268.  
This paper presents a fine overview of nutrient cycling in these important coastal ecosystems.
- Hooper, D. U., and P. M. Vitousek. 1998. Effects of plant composition and diversity on nutrient cycling. *Ecological Monographs* 68:121–149.  
Although technical, this paper presents a good example of the influences of plant species characteristics on the collective process of internal nutrient cycling within terrestrial ecosystems.
- Likens, G. E., and F. H. Bormann. 1995. *Biogeochemistry of a forest ecosystem*. 2nd ed. New York: Springer-Verlag.  
This now-classic text provides a 32-year record of the structure, dynamics, and nutrient cycling of the Hubbard Brook ecosystem.
- McNaughton, S. J., R. M. Ruess, and S. W. Seagle. 1988. Large mammals and process dynamics in African ecosystems. *BioScience* 38:794–800.  
An interesting perspective on the role of consumer organisms in the cycling of nutrients in savanna ecosystems.
- Newbold, J. D., J. W. Elwood, R. V. O'Neill, and A. L. Sheldon. 1983. Phosphorus dynamics in a woodland stream ecosystem: A study of nutrient spiraling. *Ecology* 64:1249–1265.  
This early study helped change ecologists' view of nutrient cycling in flowing-water ecosystems.
- Pastor, J., and S. D. Bridgham. 1999. Nutrient efficiency along nutrient availability gradients. *Oecologia* 118:50–58.  
This paper provides an excellent discussion of variation in the processes involved in nutrient cycling along an environmental gradient of nutrient availability.
- Swift, M. J., O. W. Heal, and J. M. Anderson. 1979. *Decomposition in terrestrial ecosystems*. Berkeley: University of California Press.  
An older, but extensive reference on decomposition processes in terrestrial ecosystems.
- Wagener, S. M., M. W. Oswood, and J. P. Schimel. 1998. Rivers and soils: Parallels in carbon and nutrient processing. *BioScience* 48:104–108.  
An excellent discussion of the similarities and differences in the processes of decomposition and nutrient cycling in terrestrial and stream ecosystems.



Impala (*Aepyceros melampus*) standing in the shade of acacia trees. Their urine and droppings make the impala important contributors to the internal nitrogen cycle of these trees.

- 23.1 There Are Two Major Types of Biogeochemical Cycles**
- 23.2 Nutrients Enter the Ecosystem via Inputs**
- 23.3 Outputs Represent a Loss of Nutrients from the Ecosystem**
- 23.4 Biogeochemical Cycles Can Be Viewed from a Global Perspective**
- 23.5 The Carbon Cycle Is Closely Tied to Energy Flow**
- 23.6 Carbon Cycling Varies Daily and Seasonally**
- 23.7 The Global Carbon Cycle Involves Exchanges among the Atmosphere, Oceans, and Land**
- 23.8 The Nitrogen Cycle Begins with Fixing Atmospheric Nitrogen**
- 23.9 The Phosphorus Cycle Has No Atmospheric Pool**
- 23.10 The Sulfur Cycle Is Both Sedimentary and Gaseous**
- 23.11 The Global Sulfur Cycle Is Poorly Understood**
- 23.12 The Oxygen Cycle Is Largely under Biological Control**
- 23.13 The Various Biogeochemical Cycles Are Linked**

**W**e have examined the internal cycling of nutrients within the ecosystem, driven by the processes of net primary productivity and decomposition (Chapter 22). The internal cycling of nutrients within the ecosystem is a story of biological processes. But not every transformation of elements in the ecosystem is biologically mediated. Many chemical reactions take place in abiotic components of the ecosystem: the atmosphere, water, soil, and parent material. The weathering of rocks and minerals releases certain elements into the soil and water, making them available for uptake by plants. The energy from lightning produces small amounts of ammonia ( $\text{NH}_3$ ) from molecular nitrogen and water in the atmosphere, providing an input of nitrogen to aquatic and terrestrial ecosystems. Other processes, such as the sedimentation of calcium carbonate in marine environments, remove elements from the active process of internal cycling (see Section 3.5).

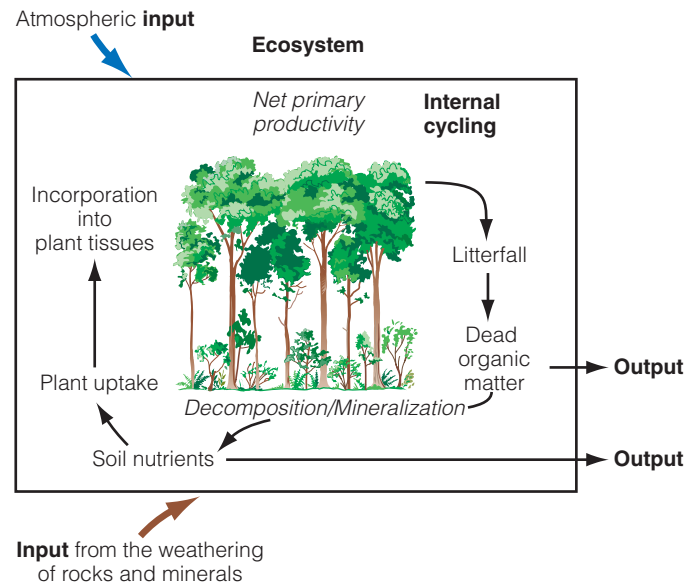
Each element has its own story, but all nutrients flow from the nonliving to the living and back to the nonliving components of the ecosystem in a more or less cyclic path known as the **biogeochemical cycle** (from *bio*, “living”; *geo* for the rocks and soil; and *chemical* for the processes involved). We will expand our view of nutrient flow through the ecosystem from our previous discussion of internal cycling, which was dominated by the biological processes of uptake and decomposition, to include a wider array of both biotic and abiotic processes. We will also examine in detail some of the major biogeochemical cycles.

## 23.1 There Are Two Major Types of Biogeochemical Cycles

There are two basic types of biogeochemical cycles: gaseous and sedimentary. This classification is based on the primary source of nutrient input to the ecosystem. In gaseous cycles, the main pools of nutrients are the atmosphere and the oceans. For this reason, gaseous cycles are distinctly global. The gases most important for life are nitrogen, oxygen, and carbon dioxide. These three gases—in stable quantities of 78 percent, 21 percent, and 0.03 percent, respectively—are the dominant components of Earth’s atmosphere.

In sedimentary cycles, the main pool is the soil, rocks, and minerals. The mineral elements required by living organisms come initially from inorganic sources. Available forms occur as salts dissolved in soil water or in lakes, streams, and seas. The mineral cycle varies from one element to another, but essentially it consists of two phases: the rock phase and the salt solution phase. Mineral salts come directly from Earth’s crust through weathering (see Section 4.4). The soluble salts then enter the water cycle. With water, the salts move through the soil to streams and lakes and eventually reach the seas, where they remain indefinitely. Other salts return to Earth’s crust through sedimentation. They become incorporated into salt beds, silts, and limestone. After weathering, they enter the cycle again.

There are many different kinds of sedimentary cycles. Cycles such as the sulfur cycle are a hybrid of the gaseous and the sedimentary because they have major pools in Earth’s crust



**Figure 23.1** A generalized representation of the biogeochemical cycle of an ecosystem. The three common components—inputs, internal cycling, and outputs—are shown in bold. Key processes involved in the internal cycling of nutrients within ecosystems, net primary productivity and decomposition, are shown in italics.

as well as in the atmosphere. Other cycles, such as the phosphorus cycle, have no significant gaseous pool; the element is released from rock and deposited in both the shallow and deep sediments of the sea.

Gaseous and sedimentary cycles involve biological and nonbiological processes. Both cycles are driven by the flow of energy through the ecosystem, and both are tied to the water cycle (see Section 3.1). Water is the medium that moves elements and other materials through the ecosystem. Without the cycling of water, biogeochemical cycles would cease.

Although the biogeochemical cycles of the various essential nutrients required by autotrophs and heterotrophs differ in detail, from the perspective of the ecosystem all biogeochemical cycles have a common structure. They share three basic components: inputs, internal cycling, and outputs (Figure 23.1).

## 23.2 Nutrients Enter the Ecosystem via Inputs

The input of nutrients to the ecosystem depends on the type of biogeochemical cycle. Nutrients with a gaseous cycle, such as carbon and nitrogen, enter the ecosystem via the atmosphere. In contrast, nutrients such as calcium and phosphorus have sedimentary cycles, with inputs dependent on the weathering of rocks and minerals (see Section 4.4). The process of soil formation and the resulting soil characteristics have a major influence on processes involved in nutrient release and retention (see Chapter 4).

Supplementing nutrients in the soil are nutrients carried by rain, snow, air currents, and animals. Precipitation brings appreciable quantities of nutrients, called **wetfall**. Some of these nutrients, such as tiny dust particles of calcium and sea

salt, form the nuclei of raindrops; others wash out of the atmosphere as the rain falls. Some nutrients are brought in by airborne particles and aerosols, collectively called **dryfall**. Between 70 percent and 90 percent of rainfall striking the forest canopy reaches the forest floor. As it drips through the canopy (throughfall) and runs down the stems (stemflow), rainwater picks up and carries with it nutrients deposited as dust on leaves and stems together with nutrients leached from them. Therefore, rainfall reaching the forest floor is richer in calcium, sodium, potassium, and other nutrients than rain falling in the open at the same time.

The major sources of nutrients for aquatic life are inputs from the surrounding land in the form of drainage water, detritus, and sediment, and from the atmosphere in the form of precipitation. Flowing-water aquatic systems (streams and rivers) are highly dependent on a steady input of dead organic matter from the watersheds they flow through (see Chapters 24 and 25).

### 23.3 Outputs Represent a Loss of Nutrients from the Ecosystem

The export of nutrients from the ecosystem represents a loss that must be offset by inputs if a net decline is not to occur. Export can occur in a variety of ways depending on the specific biogeochemical cycle. Carbon is exported to the atmosphere in the form of CO<sub>2</sub> via the process of respiration by all living organisms (see the introduction to Part Two). Likewise, various microbial and plant processes result in the transformation of nutrients to a gaseous phase that can subsequently be transported from the ecosystem in the atmosphere. Examples of these processes are provided in the following sections, which examine specific biogeochemical cycles.

Transport of nutrients from the ecosystem can also occur in the form of organic matter. Organic matter from a forested watershed can be carried from the ecosystem through surface flow of water in streams and rivers. The input of organic carbon from terrestrial ecosystems constitutes most of the energy input into stream ecosystems (see Chapter 25). Organic matter can also be transferred between ecosystems by herbivores. Moose feeding on aquatic plants can transport and deposit nutrients to adjacent terrestrial ecosystems in the form of feces. Conversely, the hippopotamus (*Hippopotamus amphibius*) feeds at night on herbaceous vegetation near the body of water where it lives. Large quantities of nutrients are then transported in the form of feces and other wastes to the water.

Although the transport of organic matter can be a significant source of nutrient loss from an ecosystem, organic matter plays a key role in recycling nutrients because it prevents rapid losses from the system. Large quantities of nutrients are bound tightly in organic matter structure; they are not readily available until released by activities of decomposers.

Some nutrients are leached from the soil and carried out of the ecosystem by underground water flow to streams. These losses may be balanced by inputs to the ecosystem, such as the weathering of rocks and minerals (see Chapter 4).

Considerable quantities of nutrients are withdrawn permanently from ecosystems by harvesting, especially in farming and logging, as biomass is directly removed from the ecosystem. In

such ecosystems, these losses must be replaced by applying fertilizer; otherwise, the ecosystem becomes impoverished. In addition to the nutrients lost directly through biomass removal, logging can result in the transport of nutrients from the ecosystem by altering processes involved in internal cycling (see Chapter 28).

Depending on its intensity, fire kills vegetation and converts varying proportions of the biomass and soil organic matter to ash (see Chapter 20 for a discussion of fire as disturbance). Besides the loss of nutrients through volatilization and airborne particles, the addition of ash changes the soil's chemical and biological properties. Many nutrients become readily available, and phosphorus in ash is subject to rapid mineralization—a process known as pyromineralization (see Chapter 28, Field Studies: Deborah Lawrence). If not taken up by vegetation during recovery, nutrients may be lost from the ecosystem through leaching and erosion. Stream-water runoff is often greatest after fire because of reduced water demand for transpiration. High nutrient availability in the soil, coupled with high runoff, can lead to large nutrient losses from the ecosystem (see Section 28.9).

### 23.4 Biogeochemical Cycles Can Be Viewed from a Global Perspective

The cycling of nutrients and energy occurs within all ecosystems, and it is most often studied as a local process; that is, the internal cycling of nutrients within the ecosystem and the identification of exchanges both to (inputs) and from (outputs) the ecosystem. Through these processes of exchange, the biogeochemical cycles of differing ecosystems are linked.

Often, the output from one ecosystem represents an input to another, as in the case of exporting nutrients from terrestrial to aquatic ecosystems. The processes of exchanging nutrients among ecosystems requires viewing the biogeochemical cycles from a much broader spatial framework than that of a single ecosystem. This is particularly true of nutrients that go through a gaseous cycle. Because the main pools of these nutrients are the atmosphere and the ocean, they have distinctly global circulation patterns. In the following sections, we explore the cycling of carbon, nitrogen, phosphorus, sulfur, and oxygen, and examine the specific processes involved in their movement through the ecosystem. We will then expand our model of biogeochemical cycling to provide a framework for understanding the global cycling of these elements, which are crucial to life.

### 23.5 The Carbon Cycle Is Closely Tied to Energy Flow

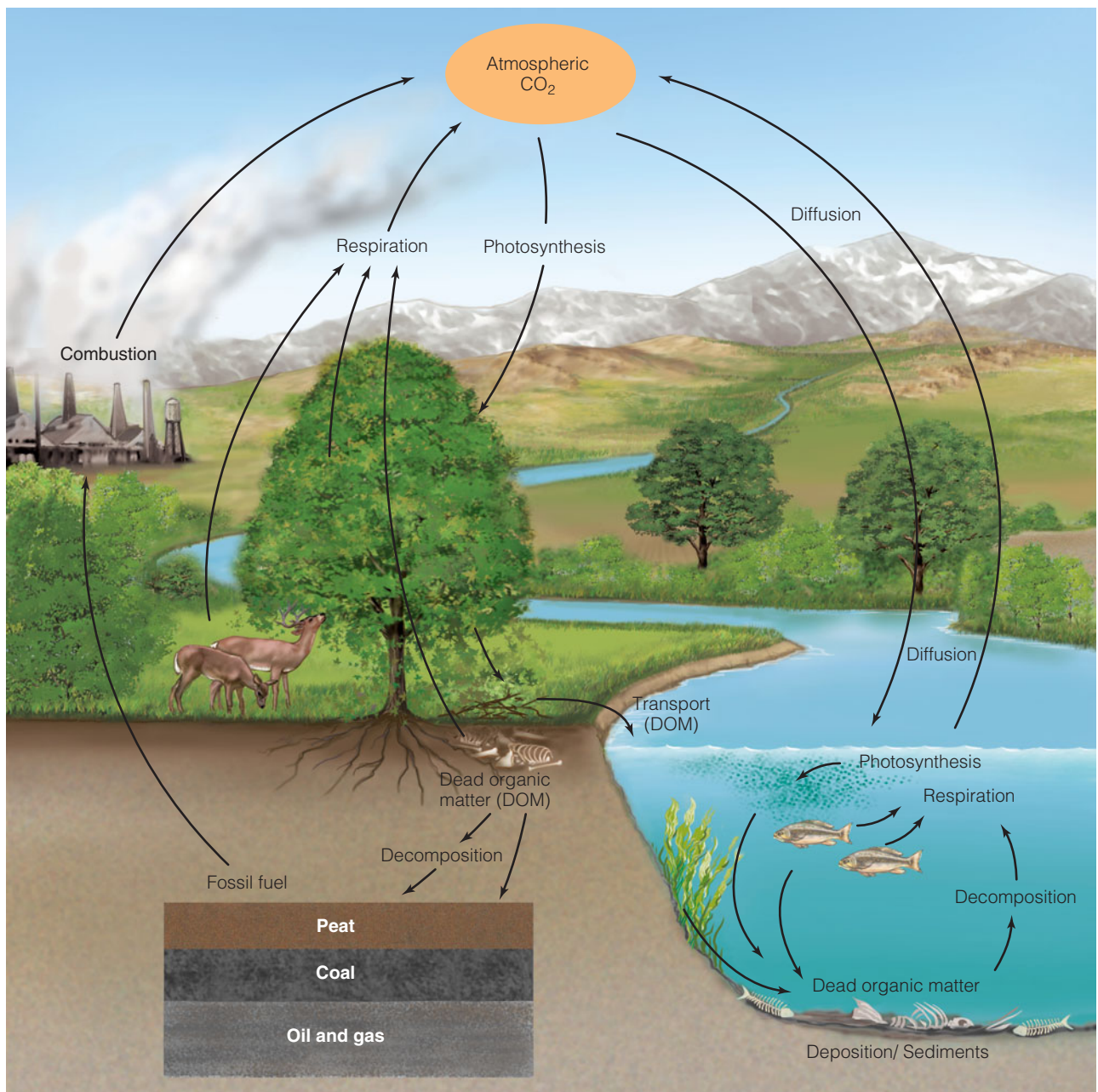
Carbon, a basic constituent of all organic compounds, is involved in the fixation of energy by photosynthesis (see Chapter 6). Carbon is so closely tied to energy flow that the two are inseparable. In fact, we often express ecosystem productivity in terms of grams of carbon fixed per square meter per year (see Section 21.1).

The source of all carbon, both in living organisms and fossil deposits, is carbon dioxide (CO<sub>2</sub>) in the atmosphere and the waters of Earth. Photosynthesis draws CO<sub>2</sub> from the

air and water into the living component of the ecosystem. Just as energy flows through the grazing food chain, carbon passes to herbivores and then to carnivores. Primary producers and consumers release carbon back to the atmosphere in the form of  $\text{CO}_2$  by respiration. The carbon in plant and animal tissue eventually goes to the reservoir of dead organic matter. Decomposers release it to the atmosphere through respiration.

Figure 23.2 shows the cycling of carbon through a terrestrial ecosystem. The difference between the rate of carbon uptake by plants in photosynthesis and release by respiration is the net primary productivity (in units of carbon). The difference between the rate of carbon uptake in photosynthesis and the rate of carbon loss due to autotrophic and heterotrophic respiration is the **net ecosystem productivity**.

Several processes, particularly the rates of primary productivity and decomposition, determine the rate at which carbon cycles through the ecosystem. Both processes are influenced strongly by environmental conditions such as temperature and precipitation (see Section 21.3). In warm, wet ecosystems such as a tropical rain forest, production and decomposition rates are high, and carbon cycles through the ecosystem quickly. In cool, dry ecosystems, the process is slower. In ecosystems where temperatures are very low, decomposition is slow, and dead organic matter accumulates (see Chapter 24). In swamps and marshes, where dead material falls into the water, organic material does not completely decompose. When stored as raw humus or peat, carbon circulates slowly (see Chapter 26). Over geologic



**Figure 23.2** The carbon cycle as it occurs in both terrestrial and aquatic ecosystems.

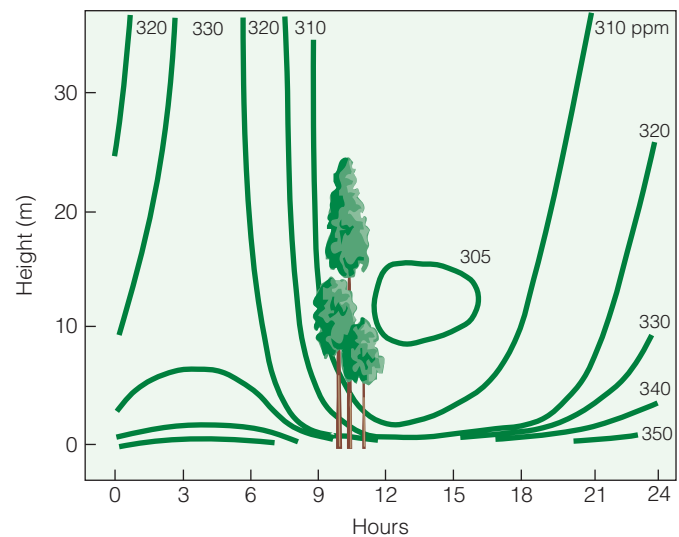
time, this buildup of partially decomposed organic matter in swamps and marshes has formed fossil fuels (oil, coal, and natural gas).

Similar cycling takes place in freshwater and marine environments (see Figure 23.2). Phytoplankton uses the carbon dioxide that diffuses into the upper layers of water or is present as carbonates and converts it into plant tissue. The carbon then passes from the primary producers through the aquatic food chain. Carbon dioxide produced through respiration is either reused or reintroduced to the atmosphere by diffusion from the water surface to the surrounding air (see Section 3.7 for a discussion of the carbon dioxide–carbonate system in aquatic ecosystems).

Significant amounts of carbon can be bound as carbonates in the bodies of mollusks and foraminifers and incorporated into their exoskeletons (shells, etc.). Some of these carbonates dissolve back into solution, and some become buried in the bottom mud at varying depths when the organisms die. Because it is isolated from biotic activity, this carbon is removed from cycling. Upon incorporation into bottom sediments over geologic time, it may appear in coral reefs and limestone rocks.

## 23.6 Carbon Cycling Varies Daily and Seasonally

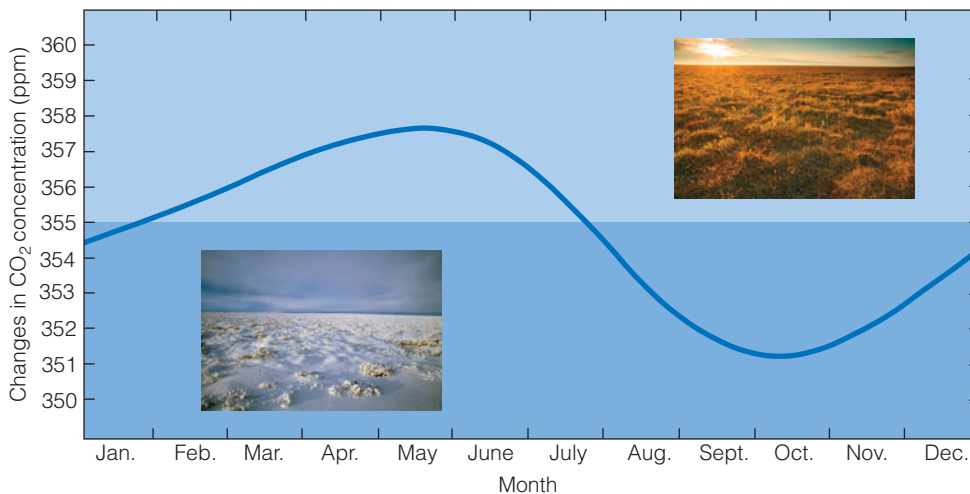
If you were to measure the concentration of carbon dioxide in the atmosphere above and within a forest on a summer day, you would discover that it fluctuates throughout the day (Figure 23.3). At daylight when photosynthesis begins, plants start to withdraw carbon dioxide from the air, and the concentration declines sharply. By afternoon when the temperature is increasing and relative humidity is decreasing, the rate of photosynthesis declines, and the concentration of carbon dioxide in the air surrounding the canopy increases. By sunset, photosynthesis ceases (carbon dioxide is no longer being withdrawn from the atmosphere), respiration increases, and the atmospheric concentration of carbon dioxide increases sharply. A similar diurnal fluctuation occurs in aquatic ecosystems.



**Figure 23.3** Daily flux of CO<sub>2</sub> in a forest. Isoleths (lines) define concentration gradients. Note the consistently high level of CO<sub>2</sub> on the forest floor—the site of microbial respiration. Atmospheric CO<sub>2</sub> in the forest is lowest from midmorning to late afternoon. CO<sub>2</sub> levels are highest at night, when photosynthesis shuts down and respiration pumps CO<sub>2</sub> into the atmosphere.

(Adapted from Baumgartner 1968.)

Likewise, the production and use of carbon dioxide undergoes a seasonal fluctuation relating both to the temperature and the timing of the growing and dormant seasons (Figure 23.4). With the onset of the growing season when the landscape is greening, the atmospheric concentration begins to drop as plants withdraw carbon dioxide through photosynthesis. As the growing season reaches its end, photosynthesis declines or ceases, respiration is the dominant process, and atmospheric concentrations of carbon dioxide rise. Although these patterns of seasonal rise and decline occur in both aquatic and terrestrial ecosystems, the fluctuations are much greater in terrestrial environments. As a result, these fluctuations in atmospheric concentrations of carbon dioxide are more pronounced in the Northern Hemisphere with its much larger land area.



**Figure 23.4** Variation in atmospheric concentration of CO<sub>2</sub> during a typical year at Barrow, Alaska. Concentrations increase during the winter months, declining with the onset of photosynthesis during the growing season (May–June).

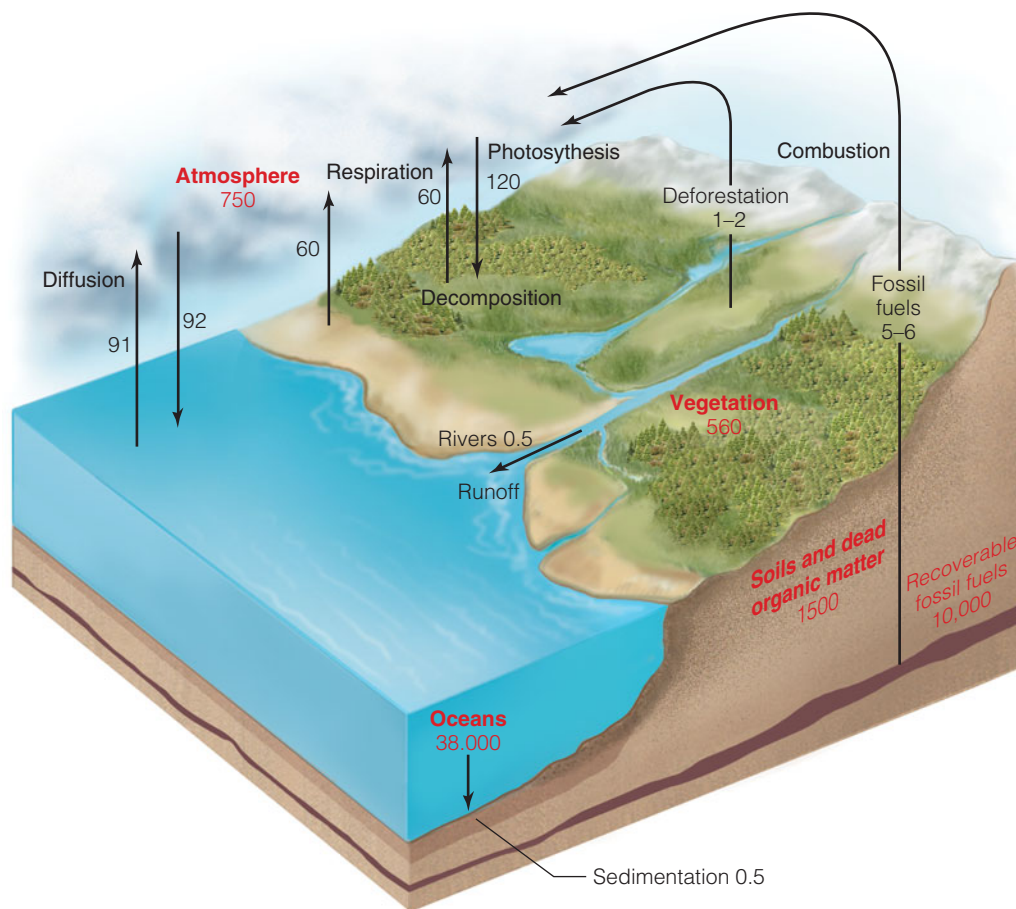
(Adapted from Pearman and Hyson 1981.)

## 23.7 The Global Carbon Cycle Involves Exchanges among the Atmosphere, Oceans, and Land

The carbon budget of Earth is closely linked to the atmosphere, land, and oceans and to the mass movements of air around the planet (see Chapter 2). Earth contains about 1023 Gt of carbon, or 100 million Gt [Gt is a gigaton, equal to 1 billion ( $10^9$ ) metric tons, or  $10^{15}$  g]. All but a small fraction of carbon is buried in sedimentary rocks and is not actively involved in the global carbon cycle. The carbon pool involved in the global carbon cycle (Figure 23.5) amounts to an estimated 55,000 Gt. Fossil fuels, created by the burial of partially decomposed organic matter, account for an estimated 10,000 Gt. The oceans contain

the vast majority of the active carbon pool, about 38,000 Gt, mostly as bicarbonate and carbonate ions (see Section 3.7). Dead organic matter in the oceans accounts for 1650 Gt of carbon; living matter, mostly phytoplankton (primary producers), accounts for 3 Gt. The terrestrial biosphere (all terrestrial ecosystems) contains an estimated 1500 Gt of carbon as dead organic matter and 560 Gt as living matter (biomass). The atmosphere holds about 750 Gt of carbon.

In the ocean, the surface water acts as the site of main exchange of carbon between atmosphere and ocean. The ability of the surface waters to take up  $\text{CO}_2$  is governed largely by the reaction of  $\text{CO}_2$  with the carbonate ion to form bicarbonates (see Section 3.7). In the surface water, carbon circulates physically by means of currents and biologically



**Figure 23.5** The global carbon cycle. The sizes of the major pools of carbon are labelled in red, and arrows indicate the major exchanges (fluxes) among them. All values are in gigatons (Gt) of carbon, and exchanges are on an annual timescale. The largest pool of carbon, geologic, is not included due to the slow rates (geologic timescale) of transfer with other active pools.

(Adapted from Edmonds 1992.)

### INTERPRETING ECOLOGICAL DATA

- Q1.** Which two fluxes in Figure 23.5 provide an estimate of global net primary productivity (NPP)? What is the estimate of global NPP in the figure?
- Q2.** Which of the major pools identified in the figure represent an estimate of global standing crop biomass?
- Q3.** Which fluxes can be used to provide an estimate of global net ecosystem productivity? What is the estimate of global net ecosystem productivity in the figure?



through photosynthesis by phytoplankton and movement through the food chain. The net exchange of  $\text{CO}_2$  between the oceans and atmosphere due to both physical and biological processes results in a net uptake of 1 Gt per year by the oceans, and burial in sediments accounts for a net loss of 0.5 Gt of carbon per year.

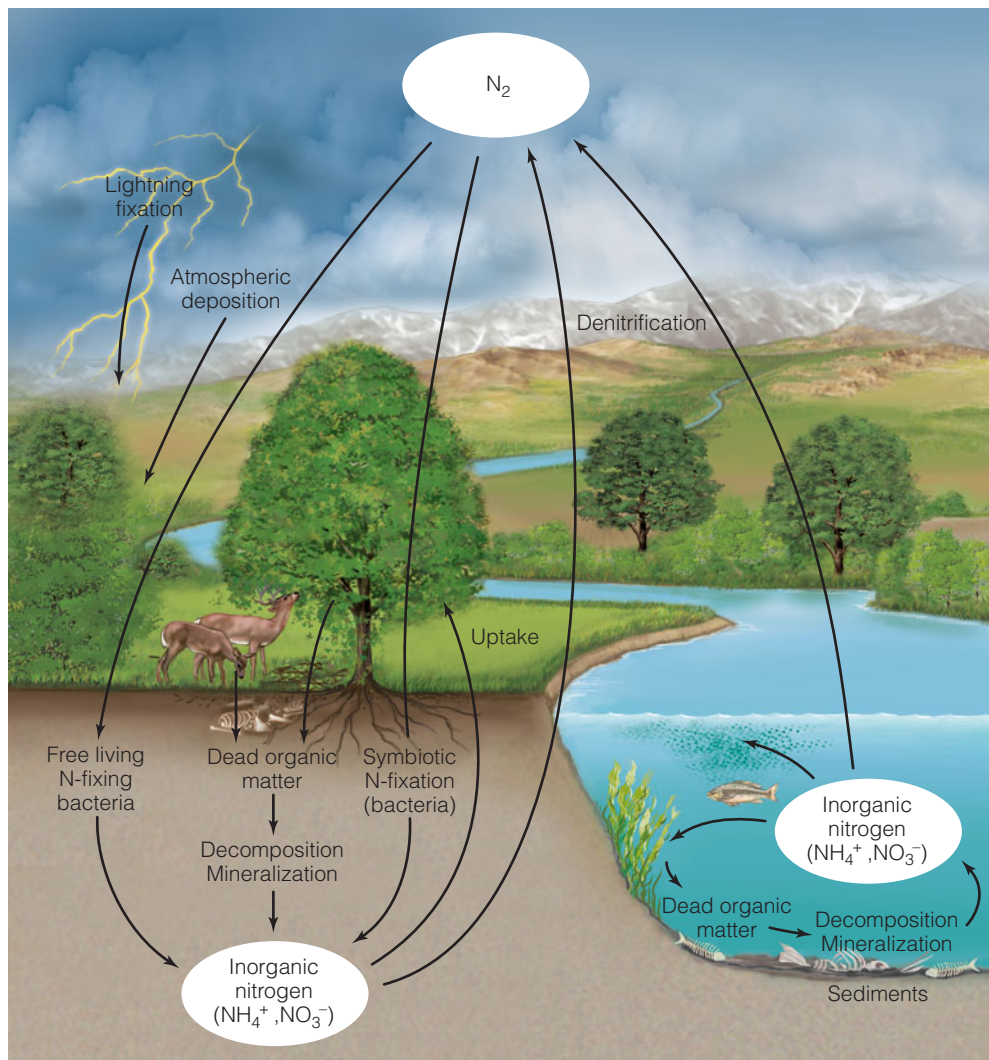
Uptake of  $\text{CO}_2$  from the atmosphere by terrestrial ecosystems is governed by gross production (photosynthesis). Losses are a function of autotrophic and heterotrophic respiration, the latter being dominated by microbial decomposers. Until recently, exchanges of  $\text{CO}_2$  between the landmass and the atmosphere (uptake in photosynthesis and release by respiration and decomposition) were believed to be nearly in equilibrium (Figure 23.5). However, more recent research suggests that the terrestrial surface is acting as a carbon sink, with a net uptake of  $\text{CO}_2$  from the atmosphere (see Chapter 30).

Of considerable importance in the terrestrial carbon cycle are the relative proportions of carbon stored in soils and in living vegetation (biomass). Carbon stored in soils includes dead organic matter on the soil surface and in the underlying mineral soil. Estimates place the amount of soil carbon at 1500 Gt compared with 560 Gt in living biomass.

The average amount of carbon per volume of soil increases from the tropical regions poleward to the boreal forest and tundra (see Chapter 24). Low values for the tropical forest reflect high rates of decomposition, which compensate for high productivity and litterfall. Frozen tundra soil and waterlogged soils of swamps and marshes have the greatest accumulation of dead organic matter because factors such as low temperature, saturated soils, and anaerobic conditions function to inhibit decay.

## 23.8 The Nitrogen Cycle Begins with Fixing Atmospheric Nitrogen

Nitrogen is an essential constituent of protein, which is a building block of all living tissue. Nitrogen is generally available to plants in only two chemical forms: ammonium ( $\text{NH}_4^+$ ) and nitrate ( $\text{NO}_3^-$ ). Thus, although Earth's atmosphere is almost 80 percent nitrogen gas, it is in a form ( $\text{N}_2$ ) that is not available for uptake (assimilation) by plants. Nitrogen enters the ecosystem via two pathways, and the relative importance of each varies greatly among ecosystems (Figure 23.6). The first pathway is atmospheric deposition. This can be in wetfall—such as rain, snow,



**Figure 23.6** The nitrogen cycle in terrestrial and aquatic ecosystems.

or even cloud and fog droplets—and in dryfall, such as aerosols and particulates. Regardless of the form of atmospheric deposition, nitrogen in this pathway is supplied in a form that is already available for uptake by plants.

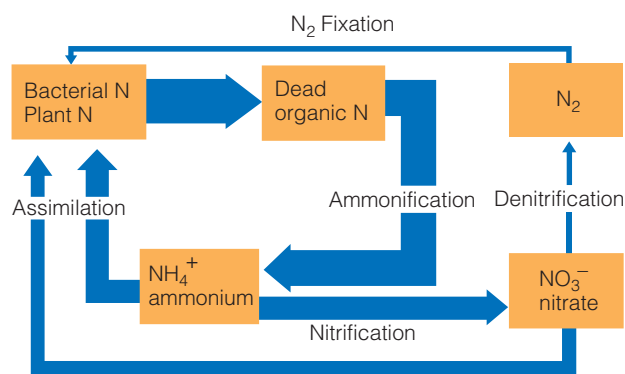
The second pathway for nitrogen to enter ecosystems is via **nitrogen fixation**. This fixation comes about in two ways. One is high-energy fixation. Cosmic radiation, meteorite trails, and lightning provide the high energy needed to combine nitrogen with the oxygen and hydrogen of water. The resulting ammonia and nitrates are carried to Earth's surface in rainwater. Estimates suggest that less than 0.4 kg N/ha comes to Earth annually in this manner. About two-thirds of this amount is deposited as ammonia and one-third as nitric acid ( $\text{HNO}_3$ ).

The second method of fixation is biological. This method produces approximately 10 kg N/yr for each hectare of Earth's land surface, or roughly 90 percent of the fixed nitrogen contributed each year. This fixation is accomplished by symbiotic bacteria living in mutualistic association with plants, by free-living aerobic bacteria, and by cyanobacteria (blue-green algae) (see Section 16.11 and Figure 16.7). Fixation splits molecular nitrogen ( $\text{N}_2$ ) into two atoms of free N. The free N atoms then combine with hydrogen to form two molecules of ammonia ( $\text{NH}_3$ ). The process of fixation requires considerable energy. To fix 1 g of nitrogen, nitrogen-fixing bacteria associated with the root system of a plant must expend about 10 g of glucose, a simple sugar produced by the plant in photosynthesis.

In agricultural ecosystems, *Rhizobium* bacteria associated with approximately 200 species of leguminous plants are the preeminent nitrogen fixers (see Figure 16.10). In nonagricultural systems, some 12,000 species, from cyanobacteria to nodule-bearing plants, are responsible for nitrogen fixation. Also contributing to the fixation of nitrogen are free-living soil bacteria, the most prominent of the 15 known genera being the aerobic *Azotobacter* and the anaerobic *Clostridium*. Cyanobacteria (blue-green algae) are another important group of largely nonsymbiotic nitrogen fixers. Of some 40 known species, the most common are in the genera *Nostoc* and *Calothrix*, which are found in soil as well as in aquatic habitats. Certain lichens (*Collema tunaeforme* and *Peltigera rufescens*) are also involved in nitrogen fixation. Lichens with nitrogen-fixing ability possess nitrogen-fixing cyanobacteria as their algal component (see Section 16.9).

Ammonium in the soil can be used directly by plants. In addition to atmospheric deposition,  $\text{NH}_4^+$  occurs in the soil as a product of microbial decomposition of organic matter wherein  $\text{NH}_3$  is released as a waste product of microbial activity (see Section 22.5). This process is called **ammonification** (Figure 23.7). Most soils have an excess of  $\text{H}^+$  (slightly acidic) and the  $\text{NH}_3$  is rapidly converted to ammonium ( $\text{NH}_4^+$ ) (See Section 3.7.) Interestingly, because  $\text{NH}_3$  is a gas, the transfer of nitrogen back to the atmosphere (volatilization) can occur in soils with a pH close to 7 (neutral)—a low concentration of  $\text{H}^+$  ions to convert ammonia to ammonium. Volatilization can be especially pronounced in agricultural areas where both nitrogen fertilizers and lime (to decrease soil acidity) are used extensively.

In some ecosystems, plant roots must compete for  $\text{NH}_4^+$  with two groups of aerobic bacteria, which use it as part of their



**Figure 23.7** Bacterial processes involved in nitrogen cycling.

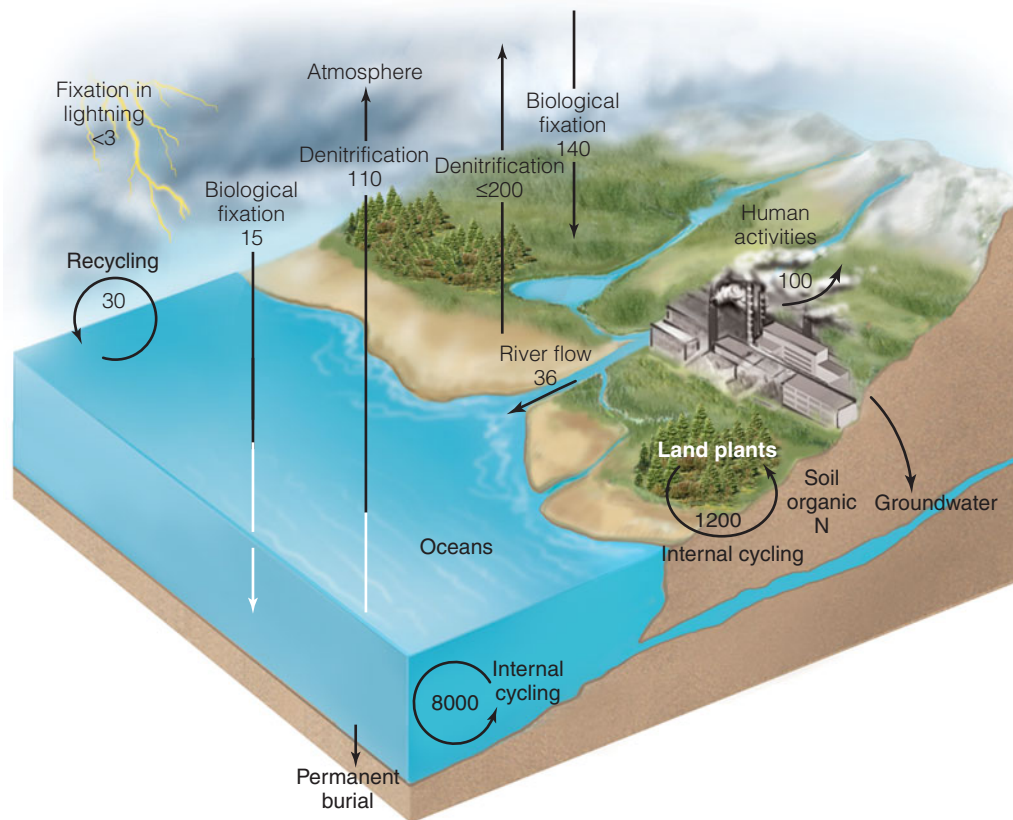
metabolism (see Figure 23.6). The first group (*Nitrosomonas*) oxidizes  $\text{NH}_4^+$  to  $\text{NO}_2^-$ , and a second group (*Nitrobacter*) oxidizes  $\text{NO}_2^-$  to  $\text{NO}_3^-$ . This process is called **nitrification**. Once nitrate is produced, several things can happen to it. First, plant roots can take it up. Second, **denitrification** can occur under anaerobic (“lacking oxygen”) conditions, when another group of bacteria (*Pseudomonas*) chemically reduces  $\text{NO}_3^-$  to  $\text{N}_2\text{O}$  and  $\text{N}_2$ . These gases are then returned to the atmosphere. The anaerobic conditions necessary for denitrification are generally rare in most terrestrial ecosystems (but can occur seasonally). These conditions, however, are common in wetland ecosystems and in the bottom sediments of open-water aquatic ecosystems (see Chapters 25 and 26).

Finally, nitrate is the most common form of nitrogen exported from terrestrial ecosystems in stream water although in undisturbed ecosystems the amount is usually quite small because of the great demand for nitrogen (see Chapter 28). Indeed, the amount of nitrogen recycled within the ecosystem is usually much greater than the amount either entering or leaving the ecosystem through inputs and outputs.

Because both nitrogen fixation and nitrification are processes mediated by bacteria, they are influenced by various environmental conditions, such as temperature and moisture. However, one of the more important factors is soil pH. Both processes are usually greatly limited in extremely acidic soils due to the inhibition of bacteria under those conditions.

Inputs of nitrogen can vary, but the internal cycling of nitrogen is fairly similar from ecosystem to ecosystem. The process involves the assimilation of ammonium and nitrate by plants and the return of nitrogen to the soil, sediments, and water via the decomposition of dead organic matter.

The global nitrogen cycle follows the pathway of the local nitrogen cycle presented earlier, only on a grander scale (Figure 23.8). The atmosphere is the largest pool, containing  $3.9 \times 10^{21}$  g. Comparatively small amounts of nitrogen are found in the biomass ( $3.5 \times 10^{15}$  g) and soils ( $95 \times 10^{15}$  to  $140 \times 10^{15}$  g) of terrestrial ecosystems. Global estimates of denitrification in terrestrial ecosystems vary widely but are of the order  $200 \times 10^{12}$  g/yr, and more than half of that total occurs in wetland ecosystems. Major sources of nitrogen for the world's oceans are dissolved forms in the freshwater drainage from rivers ( $36 \times 10^{12}$  g/yr) and inputs in



**Figure 23.8** The global nitrogen cycle. Each flux is shown in units of  $10^{12}$  g N/yr. (Adapted from Schlesinger 1997.)

precipitation ( $30 \times 10^{12}$  g/yr). Biological fixation accounts for another  $15 \times 10^{12}$  g/yr. Denitrification accounts for an estimated flux of  $110 \times 10^{12}$  g N/yr from the world's oceans to the atmosphere.

There also are small but steady losses from the biosphere to the deep sediments of the ocean and to sedimentary rocks. In return, there is a small addition of new nitrogen from the weathering of igneous rocks and from volcanic activity.

Human activity has significantly influenced the global nitrogen cycle. Major human sources of nitrogen input are agriculture, industry, and automobiles; in recent decades, anthropogenic inputs of nitrogen into aquatic and terrestrial ecosystems have been a growing cause of concern (see Chapter 28 and Ecological Issues: Nitrogen Saturation). The first major intrusion probably came from agriculture, when people began burning forests and clearing land for crops and pasture. Heavy application of chemical fertilizers to croplands disturbs the natural balance between nitrogen fixation and denitrification, and a considerable portion of nitrogen fertilizers is lost as nitrates to groundwater and runoff that find their way into aquatic ecosystems (see Chapter 26).

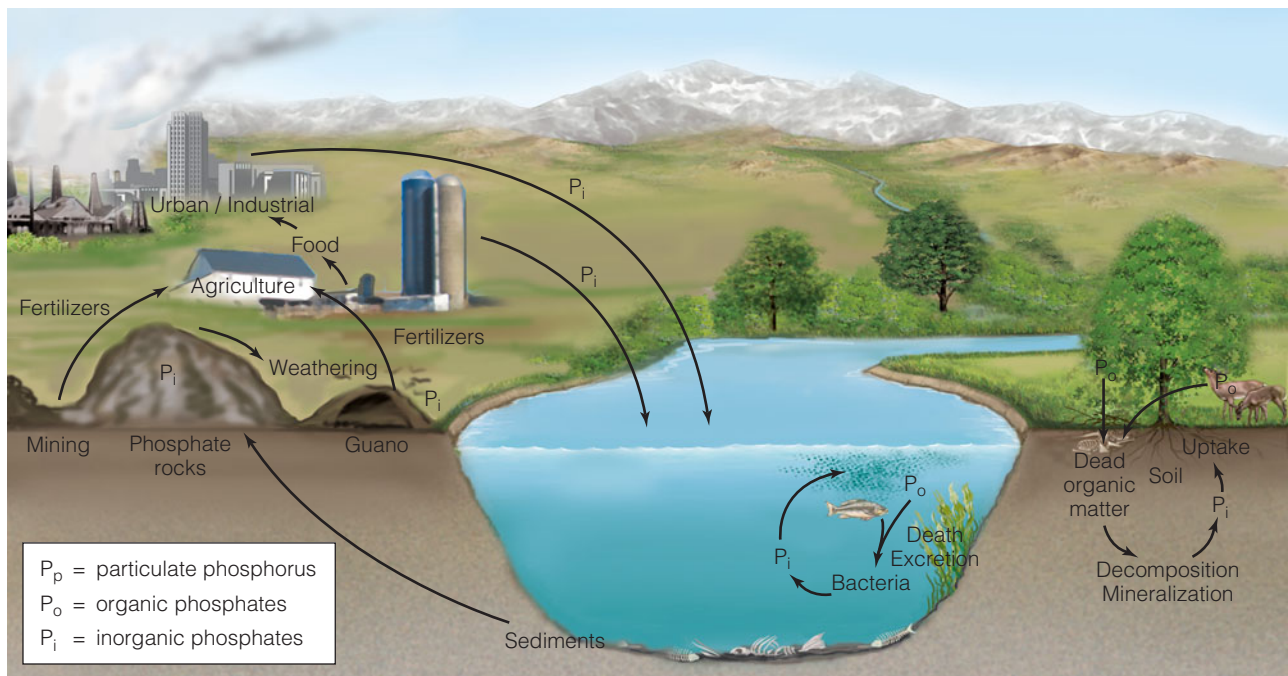
Automobile exhaust and industrial high-temperature combustion add nitrous oxide ( $\text{N}_2\text{O}$ ) nitric oxide ( $\text{NO}$ ), and nitrogen dioxide ( $\text{NO}_2$ ) to the atmosphere. These oxides can reside in the atmosphere for up to 20 years, drifting slowly up to the stratosphere. There, ultraviolet light reduces nitrous oxide to nitric oxide and atomic oxygen ( $\text{O}$ ). Atomic oxygen reacts with oxygen ( $\text{O}_2$ ) to form ozone ( $\text{O}_3$ ) (see Section 23.12).

## 23.9 The Phosphorus Cycle Has No Atmospheric Pool

Phosphorus occurs in only minute amounts in the atmosphere. Therefore, the phosphorus cycle can follow the water (hydrological) cycle only part of the way—from land to sea (Figure 23.9). Because phosphorus lost from the ecosystem in this way is not returned via the biogeochemical cycle, phosphorus is in short supply under undisturbed natural conditions. The natural scarcity of phosphorus in aquatic ecosystems is emphasized by the explosive growth of algae in water receiving heavy discharges of phosphorus-rich wastes.

The main reservoirs of phosphorus are rock and natural phosphate deposits. Phosphorus is released from these rocks and minerals by weathering, leaching, erosion, and mining for use as agricultural fertilizers. Nearly all of the phosphorus in terrestrial ecosystems comes from the weathering of calcium phosphate minerals. In most soils, only a small fraction of the total phosphorus is available to plants. The major process regulating phosphorus availability for net primary production is the internal cycling of phosphorus from organic to inorganic forms (nutrient cycling; see Chapter 22). Some of the available phosphorus in terrestrial ecosystems escapes and is exported to lakes and seas.

In marine and freshwater ecosystems, the phosphorus cycle moves through three states: particulate organic phosphorus, dissolved organic phosphates, and inorganic phosphates. Organic phosphates are taken up quickly by all forms



**Figure 23.9** The phosphorus cycle in aquatic and terrestrial ecosystems.

of phytoplankton, which in turn are eaten by zooplankton and detritus-feeding organisms. Zooplankton may excrete as much phosphorus daily as it stores in its biomass, returning it to the cycle. More than half of the phosphorus zooplankton excretes is inorganic phosphate, which is taken up by phytoplankton. The remaining phosphorus in aquatic ecosystems exists in organic compounds that may be used by bacteria, which fail to regenerate much dissolved inorganic phosphate. Bacteria are consumed by the microbial grazers, which then excrete the phosphate they ingest. Part of the phosphate is deposited in shallow sediments and part in deep water. In the process of ocean upwelling, the movement of deep waters to the surface brings some phosphates from the dark depths to shallow waters, where light is available to drive photosynthesis (see Sections 3.8 and 22.13). These phosphates are taken up by phytoplankton. Part of the phosphorus contained in the bodies of plants and animals sinks to the bottom and is deposited in the sediments. As a result, surface waters may become depleted of phosphorus, and the deep waters become saturated. Much of this phosphorus becomes locked up for long periods of time in the hypolimnion and bottom sediments, while some is returned to the surface waters by upwelling.

The global phosphorus cycle (Figure 23.10) is unique among the major biogeochemical cycles in having no significant atmospheric component, although airborne transport of P in soil dust and sea spray is of the order  $1 \times 10^{12}$  g P/yr.

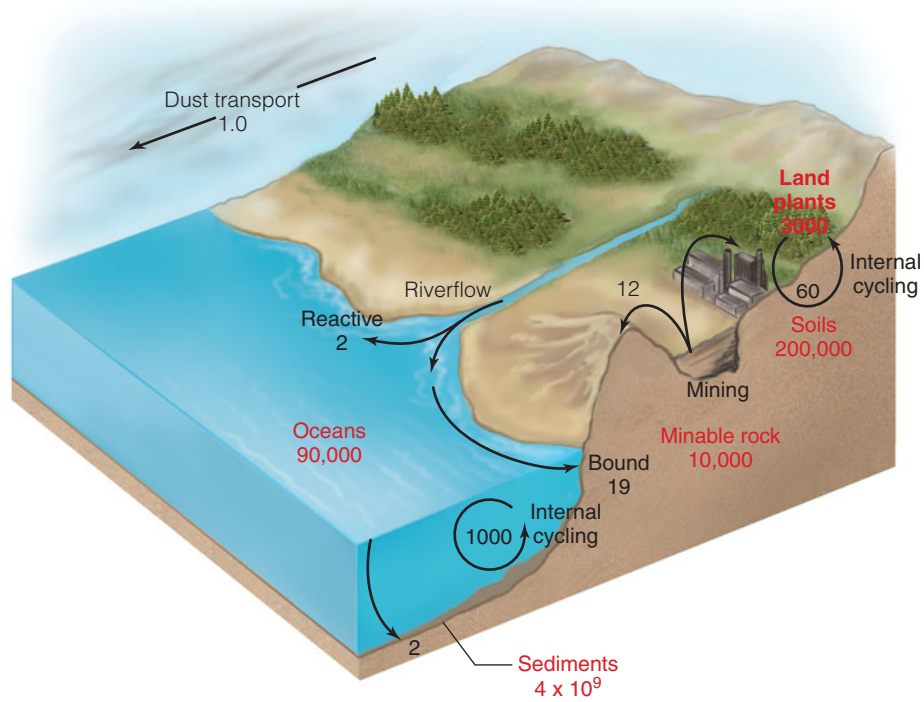
Rivers transport approximately  $21 \times 10^{12}$  g P/yr to the oceans, but only about 10 percent of this amount is available for net primary productivity. The remainder is deposited in sediments. The concentration of phosphorus in the ocean waters is low, but the large volume of water results in a significant global pool of phosphorus. The turnover of organic phosphorus

in the surface waters occurs within days, and the vast majority of phosphorus taken up in primary production is decomposed and mineralized (internally cycled) in the surface waters. However, approximately  $2 \times 10^{12}$  g/yr is deposited in the ocean sediments or transported to the deep waters. In the deep waters, organic phosphorus converted into inorganic, soluble forms remains unavailable to phytoplankton in the surface waters until transported by upwelling (see Section 3.8). On a geological timescale, uplifting and subsequent weathering return this phosphorus to the active cycle.

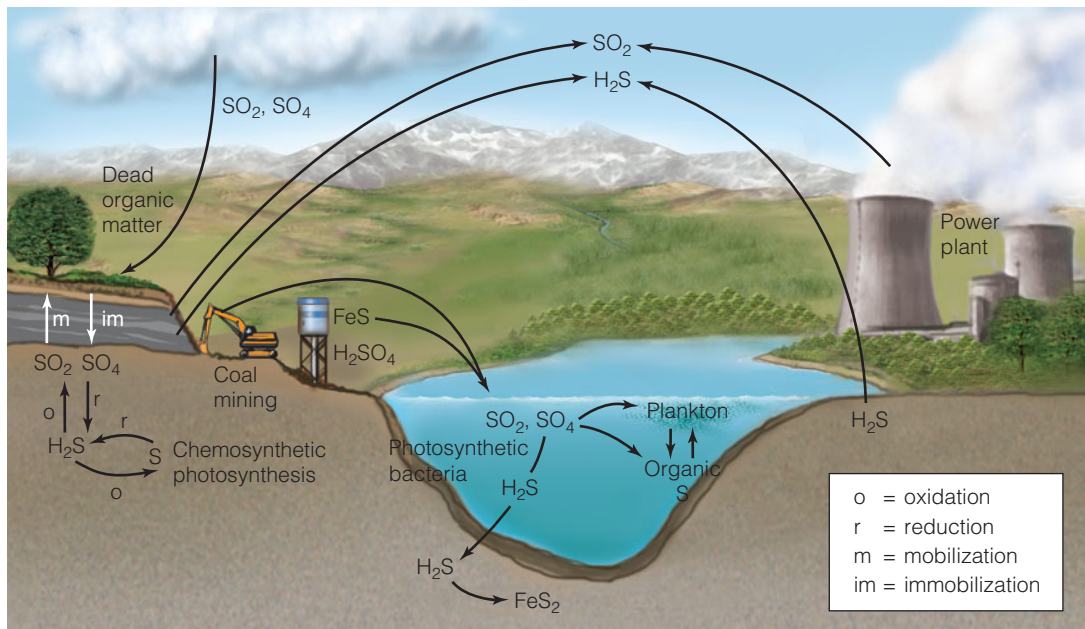
## 23.10 The Sulfur Cycle Is Both Sedimentary and Gaseous

The sulfur cycle has both sedimentary and gaseous phases (Figure 23.11). In the long-term sedimentary phase, sulfur is tied up in organic and inorganic deposits, released by weathering and decomposition, and carried to terrestrial ecosystems in salt solution. The gaseous phase of the cycle permits sulfur circulation on a global scale.

Sulfur enters the atmosphere from several sources: the combustion of fossil fuels, volcanic eruptions, exchange at the surface of the oceans, and gases released by decomposition. It enters the atmosphere initially as hydrogen sulfide (H<sub>2</sub>S), which quickly interacts with oxygen to form sulfur dioxide (SO<sub>2</sub>). Atmospheric sulfur dioxide, soluble in water, is carried back to the surface in rainwater as weak sulfuric acid (H<sub>2</sub>SO<sub>4</sub>). Whatever the source, sulfur in a soluble form is taken up by plants and incorporated through a series of metabolic processes, starting with photosynthesis, into sulfur-bearing amino acids. From the primary producers, sulfur in amino acid is transferred to consumers.



**Figure 23.10** The global phosphorus cycle. Each flux is shown in units of  $10^{12}$  g P/yr. (Adapted from Schlesinger 1997.)



**Figure 23.11** The sulfur cycle. Note the two components: sedimentary and gaseous. Major sources from human activity are the burning of fossil fuels and acidic drainage from coal mines.

Excretion and death carry sulfur from living material back to the soil and to the bottom of ponds, lakes, and seas, where bacteria release it as hydrogen sulfite or sulfate. One group, the colorless sulfur bacteria, reduces hydrogen sulfide to elemental sulfur and then oxidizes it to sulfuric acid. Green and purple bacteria, in the presence of light, use hydrogen sulfide during photosynthesis. Best known are the purple bacteria found in

salt marshes and in the mudflats of estuaries. These organisms can transform hydrogen sulfide into sulfate, which is then recirculated and taken up by producers or used by bacteria that further transform the sulfates. Green bacteria can transform hydrogen sulfide into elemental sulfur.

Sulfur, in the presence of iron and under anaerobic conditions, will precipitate as ferrous sulfide ( $\text{FeS}_2$ ). This compound

## ECOLOGICAL ISSUES Nitrogen Saturation

Net primary productivity in most terrestrial forest ecosystems is limited by the availability of soil nitrogen, yet in recent decades, human activities have caused a dramatic increase in the rates of nitrogen deposition. In North America, anthropogenic activities such as fossil fuel combustion and high-intensity agriculture have increased the inputs of nitrogen oxides in the atmosphere far above natural inputs (see Chapters 28 and 30). Nitrogen oxides quickly undergo a variety of chemical reactions in the atmosphere and therefore do not reside in the atmosphere for long periods, but rather are deposited in the region where the emissions originated. The result is that deposition rates vary widely among geographic regions (Figure 1).

The concentration of nitrogen in the soil solution influences the rate of plant uptake and plant tissue concentration (see Chapter 6, Section 6.12). In turn, there is a strong relationship between photosynthetic capacity and leaf nitrogen due to a greater concentration of enzymes and pigments used in photosynthesis (see Chapter 6 and Figure 6.24). The net result is that initially nitrogen deposition acts as a fertilizer, increasing rates of net primary productivity. However, as water and other nutrients become more limiting relative to nitrogen, these ecosystems may approach “nitrogen saturation.” If the nitrogen supply continues to increase, a complex series of changes to soil and plant processes may ultimately lead to forest decline and soil acidification (Figure 2).

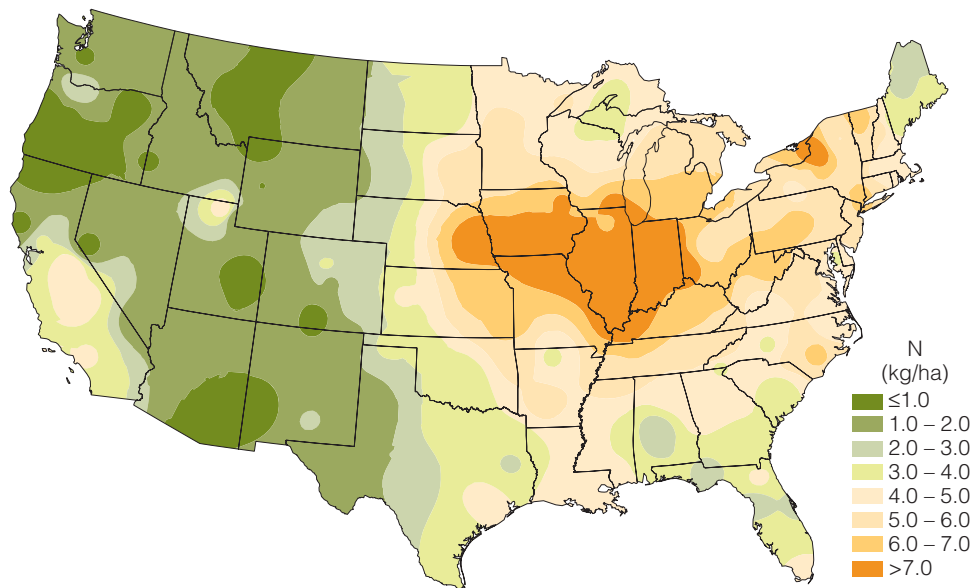
Most nitrogen deposited is in the form of either nitrate or ammonium, though ammonium is dominant. In the early stages of nitrogen saturation, plants will take up most of the nitrogen, and forest productivity and growth are stimulated by nitrogen inputs. As the limitations on plant growth shift from nitrogen to other resources, more ammonium will be available in the soil.

High concentrations of ammonium may cause the release of other cations to the soil solution by overwhelming cation exchange sites on soil particles (see Section 4.9). Microbes may also use some of the excess ammonium to increase their own populations, thus increasing other microbial processes such as decomposition, but much of the ammonium will be nitrified to nitrate.

In contrast to ammonium, nitrate is highly mobile in soils, as it is not strongly adsorbed to soil particles through ion exchange (see Section 4.9). Nitrate may be taken up by plants or used by microbes in the process of denitrification, reducing nitrate to  $N_2$  gas and thus completing the nitrogen cycle (see Section 23.8, Figure 23.7). Nitrate that is not used by plants or microbes may be leached to groundwater and surface water, resulting in eutrophication of streams (see Section 25.4).

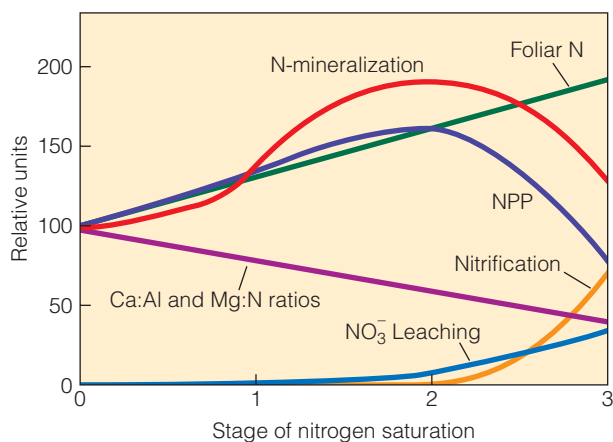
When nitrate is leached from the soil, a loss of base cations and soil acidification may result. As the pH of the soil decreases, the buffering ability of cation exchange may be exhausted, resulting in the release of Al ions to the soils solution (see Section 4.9). Aluminum can be leached to aquatic ecosystems, where it is toxic. In addition, high Al concentrations in the soil solution can have detrimental effects on forest ecosystems.

In the later stages of nitrogen saturation, productivity is expected to decrease and plant mortality may increase. This is partly due to nutrient imbalances resulting from the overwhelming availability of nitrogen. The health of plants is affected primarily by the relative concentrations of nutrients as opposed to their absolute abundances. As Al concentration in the soil solution increases due to soil acidification, the Ca:Al and Mg:Al ratios decrease. This decrease is partly due to the higher affinity of Al during the passive uptake of nutrients in soil solution by roots. These relative nutrient proportions have been correlated with declining spruce (*Picea*)



**Figure 1** Estimated inorganic nitrogen deposition from nitrate and ammonium in 1998 (National Atmospheric Deposition Program).

## ECOLOGICAL ISSUES continued



**Figure 2** Hypothesized response of temperate forest ecosystems to long-term nitrogen additions. In stage 1, N-mineralization increases, which results in increased NPP. In stage 2, NPP and N-mineralization decline due to decreasing Ca:Al and Mg:N ratios and to soil acidification. Nitrification also increases as excess ammonium is available. Finally, in stage 3, nitrate leaching increases dramatically.

(Adapted from Aber et al. 1998.)

populations in Europe and the United States. Calcium has several important roles in plant functioning. For example, Ca is essential for the production of new sapwood—the outer wood on the bole of the tree that contains the active vascular tissues for water transport. Decreased Ca availability will restrict functioning sapwood and water transport, which would result in decreasing the leaf area that the tree can support. Magnesium is an important element in plant enzymes, particularly chlorophyll. Limitations on chlorophyll production will function to limit photosynthesis. The combined effect is reduced net uptake of carbon by the plant. The yellowing of leaves often identifies trees suffering from the effects of nitrogen saturation. This condition is believed to be caused by the retranslocation of nutrients, such as Ca and Mg, during periods of new growth. Because high nitrogen levels stimulate new growth, the plant mobilizes the necessary Ca and Mg from leaves, regardless of its relative availability.

1. Using the information provided by the map in Figure 1, in which areas in the United States would you expect nitrogen saturation to occur?
2. Increased concentrations of ammonium can result in increased microbial processes, such as decomposition. How might the increase in microbial decomposition influence the availability of other mineral nutrients (in soil) to plants?

is highly insoluble in neutral and low pH (acidic) conditions, and it is firmly held in mud and wet soil. Sedimentary rocks containing ferrous sulfide, called pyritic rocks, may overlie coal deposits. When exposed to air during deep and surface mining for coal, the ferrous sulfide reacts with oxygen. In the presence of water, it produces ferrous sulfate ( $\text{FeSO}_4$ ) and sulfuric acid.

In this manner, sulfur in pyritic rocks, suddenly exposed to weathering by human activities, discharges sulfuric acid, ferrous sulfate, and other sulfur compounds into aquatic ecosystems. These compounds destroy aquatic life. They have converted hundreds of kilometers of streams in the eastern United States to lifeless, highly acidic water.

### 23.11 The Global Sulfur Cycle Is Poorly Understood

The global sulfur cycle is presented in Figure 23.12. Although a great deal of research now focuses on the sulfur cycle, particularly the role of human inputs, our understanding of the global sulfur cycle is primitive.

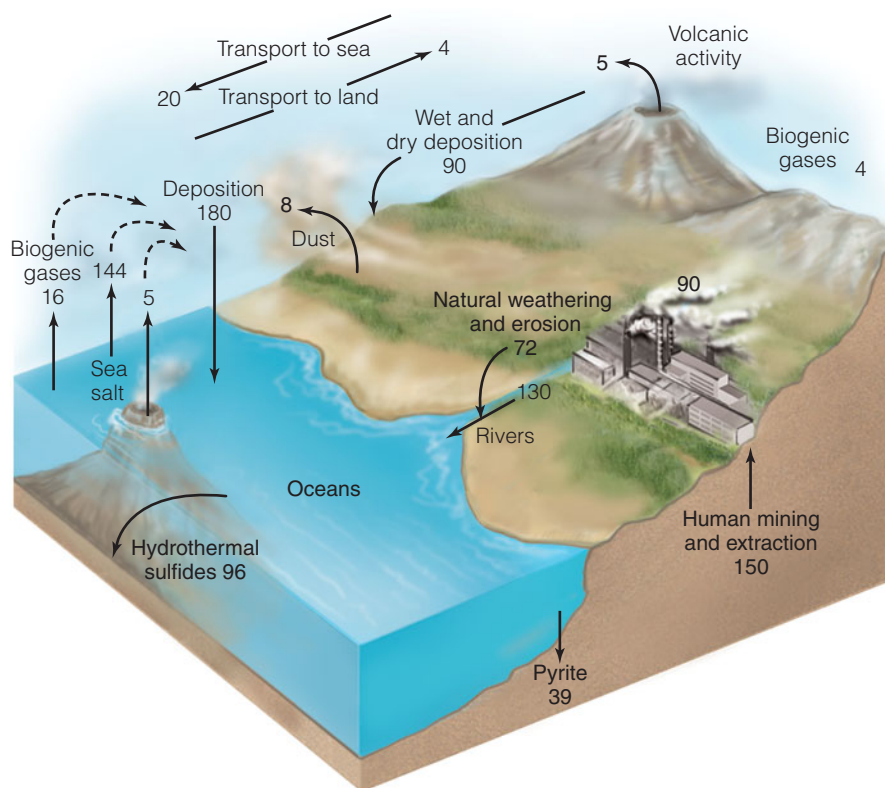
The gaseous phase of the sulfur cycle permits circulation on a global scale. The annual flux of sulfur compounds through the atmosphere is of the order  $30 \times 10^{12}$  g. The atmosphere contains not only sulfur dioxide and hydrogen sulfide, but sulfate particles. The sulfate particles become part of dry

deposition (dryfall); the gaseous forms combine with moisture and are transported in precipitation (wetfall).

The oceans are a large source of aerosols that contain sulfate ( $\text{SO}_4$ ); however, most are redeposited in the oceans as precipitation and dryfall (see Figure 23.11). Dimethylsulfide [ $(\text{CH}_3)_2\text{S}$ ] is the major gas emitted from the oceans that is generated by biological processes. Estimates of  $16 \times 10^{12}$  g S/yr make it the largest natural source of sulfur gases released to the atmosphere.

Various biological sources of sulfur emissions from terrestrial ecosystems exist, but collectively they cause only a minor flux to the atmosphere. The dominant sulfur gas emitted from freshwater wetlands and anoxic (oxygen-depleted) soils is hydrogen sulfide ( $\text{H}_2\text{S}$ ). Emissions from plants are poorly understood, but forest fires emit on the order of  $3 \times 10^{12}$  g S annually. It is almost impossible to estimate the biological turnover of sulfur dioxide, due to the complicated cycling within the biosphere. Estimates of the net annual assimilation of sulfur by marine plants are of the order  $130 \times 10^{12}$  g. Adding the anaerobic oxidation of organic matter brings the total to an estimated  $200 \times 10^{12}$  g (see Section 22.4).

Volcanic activity also contributes to the global biogeochemical cycle of sulfur. Major events, such as the eruption of Mt. Pinatubo in 1991, release on the order of  $5 \times 10^{12}$  to  $10 \times 10^{12}$  g S. When volcanic activity is averaged over a long period, the annual global flux is of the order  $10 \times 10^{12}$  g S.



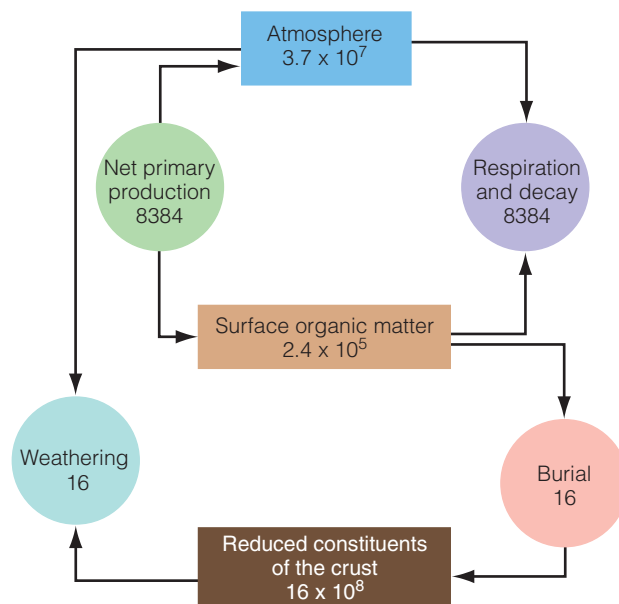
**Figure 23.12** The global sulfur cycle. Each flux is shown in units of  $10^{12}$  g S/yr. (Adapted from Schlesinger 1997.)

Human activity plays a dominant role in the biogeochemical cycle of sulfur. Thus, to complete the picture of the global sulfur cycle, we must include the inputs due to industrial activity.

## 23.12 The Oxygen Cycle Is Largely under Biological Control

The major source of free oxygen ( $O_2$ ) that supports life is the atmosphere. There are two significant sources of atmospheric oxygen. One is the breakup of water vapor through a process driven by sunlight. In this reaction, the water molecules ( $H_2O$ ) are disassociated to produce hydrogen and oxygen. Most of the hydrogen escapes into space. If the hydrogen did not escape, it would recombine with the oxygen to form water vapor again.

The second source of oxygen is photosynthesis, active only since life began on Earth (Figure 23.13). Oxygen is produced by photosynthetic autotrophs (green plants, algae, and photosynthetic bacteria) and consumed by both autotrophs and heterotrophs in the process of cellular respiration (see Section 6.1). Because photosynthesis and aerobic respiration involve the alternate release and use of oxygen, one would seem to balance the other, so no significant quantity of oxygen would accumulate in the atmosphere. Nevertheless, at some time in Earth's history, the amount of oxygen introduced into the atmosphere had to exceed the amount taken up



**Figure 23.13** A simple model for the global biogeochemical cycle of  $O_2$ . Data are expressed in units of  $10^{12}$  moles of  $O_2$  per year or the equivalent amount of reduced compounds. Note that a small misbalance in the ratio of photosynthesis to respiration can result in a net storage of reduced organic materials in the crust and an accumulation of  $O_2$  in the atmosphere. (Adapted from Schlesinger 1997.)



in respiration (including the decay of organic matter) and geological processes, such as the oxidation of sedimentary rocks. Part of the oxygen present in the atmosphere is from the past imbalance between photosynthesis and respiration in plants. Undecomposed organic matter in the form of fossil fuels and carbon in sedimentary rocks represent a net positive flux of oxygen to the atmosphere (see Section 23.7).

The other main reservoirs of oxygen are water and carbon dioxide. All the reservoirs are linked through photosynthesis. Oxygen is also biologically exchangeable in such compounds as nitrates and sulfates, which organisms transform from ammonia and hydrogen sulfide (see Sections 23.8 and 23.10).

Because oxygen is so reactive, its cycling in the ecosystem is complex. As a constituent of carbon dioxide, it circulates throughout the ecosystem. Some carbon dioxide combines with calcium to form carbonates. Oxygen combines with nitrogen compounds to form nitrates, with iron to form ferric oxides, and with other minerals to form various oxides. In these states, oxygen is temporarily withdrawn from circulation. In photosynthesis, the freed oxygen is split from the water molecule. The oxygen is then reconstituted into water during cellular respiration in both plants and animals. Part of the atmospheric oxygen is reduced to ozone ( $O_3$ ) by high-energy ultraviolet radiation.

Ozone ( $O_3$ ) is an ambivalent atmospheric gas. In the stratosphere, 10 to 40 km above Earth, it shields the planet from biologically harmful ultraviolet radiation. Close to the ground, ozone is a damaging pollutant, cutting visibility, irritating eyes and respiratory systems, and injuring or killing plant life. In the stratosphere, ozone is diminished by its reaction with human-caused pollutants. In the troposphere, ozone is born from the union of nitrogen oxides with oxygen in the presence of sunlight.

A cycling reaction requiring sunlight maintains ozone in the stratosphere. Solar radiation breaks the O–O bond in  $O_2$ . Freed oxygen atoms rapidly combine with  $O_2$  to form  $O_3$ . At the same time, a reverse reaction consumes ozone to form O and  $O_2$ . Under natural conditions in the stratosphere, a balance exists between the rates of ozone formation and destruction. In recent times, however, catalysts—some human-caused and some biologically derived—injected into the stratosphere have been reactive enough to reduce stratospheric ozone. Among them are chlorofluorocarbons (CFCs); methane ( $CH_4$ ), both natural and human caused; and nitrous oxide from denitrification and synthetic nitrogen fertilizer. Of particular concern is chlorine monoxide (ClO) derived from CFCs and used in aerosol spray propellants (banned in the United States), refrigerants, solvents, and other sources. This form of chlorine can break down ozone.

### 23.13 The Various Biogeochemical Cycles Are Linked

Although we have introduced each of the major biogeochemical cycles independently, they are all linked in various ways. In specific cases, they are linked through their common

membership in compounds that form an important component of their cycles. Examples are the links between calcium and phosphorus in the mineral apatite, a phosphate of calcium, and the link between nitrogen and oxygen in nitrate. In general, cycled nutrients are all components of living organisms and constituents of organic matter. As a result, they travel together in their odyssey through internal cycling.

Autotrophs and heterotrophs require nutrients in different proportions for different processes. For example, photosynthesis uses six moles of water ( $H_2O$ ) and produces six moles of oxygen ( $O_2$ ) for every six moles of ( $CO_2$ ) that is transformed into one mole of sugar ( $CH_2O$ )<sub>6</sub>. (See equation for photosynthesis, Chapter 6.) The proportions of hydrogen, oxygen, and carbon involved in photosynthesis are fixed. Likewise, a fixed quantity of nitrogen is required to produce a mole of rubisco, the enzyme that catalyzes the fixation of  $CO_2$  in photosynthesis (see Section 6.1). Therefore, the nitrogen content of a rubisco molecule is the same in every plant, independent of species or environment. The same is true for the variety of amino acids, proteins, and other nitrogenous compounds that are essential for the synthesis of plant cells and tissues. The branch of chemistry dealing with the quantitative relationships of elements in combination is called **stoichiometry**. The stoichiometric relationships among various elements involved in processes related to carbon uptake and plant growth have an important influence on the cycling of nutrients in ecosystems.

Because of similar relationships among the variety of macronutrients and micronutrients required by plants, the limitation of one nutrient can affect the cycling of all the others. As an example, consider the link between carbon and nitrogen (Chapter 22). Although the nitrogen content of a rubisco molecule is the same in every plant independent of species or environment, plants can differ in the concentration of rubisco found in their leaves and, therefore, in their concentration of nitrogen (grams N per gram dry weight). Plants growing under low nitrogen availability will have a lower rate of nitrogen uptake and less nitrogen for the production of rubisco and other essential nitrogen-based compounds. In turn, the lower concentrations of rubisco result in lower rates of photosynthesis and carbon gain (see Section 6.12). In turn, the lower concentrations of nitrogen in the leaf litter influence the relative rates of immobilization and mineralization and subsequent nitrogen release to the soil in decomposition (see Section 22.5). In this way, nitrogen availability and uptake by plants influence the rate at which carbon and other essential plant nutrients cycle through the ecosystem.

Conversely, the variety of other essential nutrients and environmental factors that directly influence primary productivity, and thus the demand for nitrogen, influence the rate of nitrogen cycling through the ecosystem. In fact, the cycles of all essential nutrients for plant and animal growth are linked due to the stoichiometric relationships defining the mixture of chemicals that make up all living matter.

## Summary

### Biogeochemical Cycles 23.1

Nutrients flow from the living to the nonliving components of the ecosystem and back, in a perpetual cycle. Through these cycles, plants and animals obtain nutrients necessary for their survival and growth. There are two basic types of biogeochemical cycles: the gaseous, represented by oxygen, carbon, and nitrogen cycles, whose major pools are in the atmosphere; and the sedimentary, represented by the sulfur and phosphorus cycles, whose major pools are in Earth's crust. The sedimentary cycles involve two phases: salt solution and rock. Minerals become available through the weathering of Earth's crust, enter the water cycle as a salt solution, take diverse pathways through the ecosystem, and ultimately return to Earth's crust through sedimentation. All nutrient cycles have a common structure and share three basic components: inputs, internal cycling, and outputs.

### Inputs 23.2

The input of nutrients to the ecosystem depends on whether the biogeochemical cycle is gaseous or sedimentary. The availability of essential nutrients in terrestrial ecosystems depends heavily on the nature of the soil. Supplementing the nutrients in soil are the nutrients carried by rain, snow, air currents, and animals. The major sources of nutrients for aquatic life are inputs from the surrounding land (in the form of drainage water, detritus, and sediments).

### Outputs 23.3

The export of nutrients from the ecosystem represents a loss that must be offset by inputs so that a net decline does not occur. Export can occur in a variety of ways, depending on the specific biogeochemical cycle. A major means of transportation is in the form of organic matter carried by surface flow of water in streams and rivers. Leaching of dissolved nutrients from soils into surface water and groundwater also represents a significant export in some ecosystems. Harvesting of biomass in forestry and agriculture represents a permanent withdrawal from the ecosystem. Fire is also a major source of nutrient export in some terrestrial ecosystems.

### Global Cycles 23.4

The biogeochemical cycles of various ecosystems are linked. As such, it is important to view the biogeochemical cycles of many elements from a global perspective.

### The Carbon Cycle 23.5

The carbon cycle is inseparable from energy flow. Carbon is assimilated as carbon dioxide by plants, consumed in the form of plant and animal tissue by heterotrophs, released through respiration, mineralized by decomposers, accumulated in standing biomass, and withdrawn into long-term reserves. Carbon cycles through the ecosystem at a rate that depends on the rates

of primary productivity and decomposition. Both processes are faster in warm, wet ecosystems. In swamps and marshes, organic material stored as raw humus or peat circulates slowly, forming oil, coal, and natural gas. Similar cycling takes place in freshwater and marine environments.

### Temporal Variation in the Carbon Cycle 23.6

Cycling of carbon exhibits daily and seasonal fluctuations. Carbon dioxide builds up at night, when respiration increases. During the day, plants withdraw carbon dioxide from the air and CO<sub>2</sub> concentration drops sharply. During the growing season, atmospheric concentration drops.

### The Global Carbon Cycle 23.7

Earth's carbon budget is closely linked to the atmosphere, land, and oceans, and to the mass movements of air around the planet. In the ocean, surface water acts as the main site of carbon exchange between the atmosphere and ocean. The ability of the surface waters to take up CO<sub>2</sub> is governed largely by the reaction of CO<sub>2</sub> with the carbonate ion to form bicarbonates. The uptake of CO<sub>2</sub> from the atmosphere by terrestrial ecosystems is governed by gross production (photosynthesis). Losses are a function of autotrophic and heterotrophic respiration, the latter being dominated by microbial decomposers.

### The Nitrogen Cycle 23.8

The nitrogen cycle is characterized by the fixation of atmospheric nitrogen by mutualistic nitrogen-fixing bacteria associated with the roots of many plants, largely legumes, and cyanobacteria. Other processes are ammonification, the breakdown of amino acids by decomposer organisms to produce ammonia; nitrification, the bacterial oxidation of ammonia to nitrate and nitrates; and denitrification, the reduction of nitrates to gaseous nitrogen. The global nitrogen cycle follows the pathway of the local nitrogen cycle just described, only on a grander scale. The atmosphere is the largest pool, with comparatively small amounts of nitrogen found in the biomass and soils of terrestrial ecosystems. Major sources of nitrogen to the world's oceans are dissolved forms in the freshwater drainage from rivers and inputs in precipitation.

### The Phosphorus Cycle 23.9

The phosphorus cycle has no significant atmospheric pool. The main pools of phosphorus are rock and natural phosphate deposits. The terrestrial phosphorus cycle follows the typical biogeochemical pathways. In marine and freshwater ecosystems, however, the phosphorus cycle moves through three states: particulate organic phosphorus, dissolved organic phosphates, and inorganic phosphates. Involved in the cycling are phytoplankton, zooplankton, bacteria, and microbial grazers.

The global phosphorus cycle is unique among the major biogeochemical cycles in having no significant atmospheric component, although airborne transport of P occurs in the form of soil dust and sea spray. Nearly all of the phosphorus in terrestrial ecosystems is derived from the weathering of calcium phosphate minerals. The transfer of phosphorus from terrestrial to aquatic ecosystems is low under natural conditions; however, the large-scale application of phosphate fertilizers and the disposal of sewage and wastewater to aquatic ecosystems result in a large input of phosphorus to aquatic ecosystems.

### The Sulfur Cycle 23.10

Sulfur has both gaseous and sedimentary phases. Sedimentary sulfur comes from the weathering of rocks, runoff, and decomposition of organic matter. Sources of gaseous sulfur are decomposition of organic matter, evaporation of oceans, and volcanic eruptions. Much of the sulfur released to the atmosphere is a by-product of the burning of fossil fuels. Sulfur enters the atmosphere mostly as hydrogen sulfide, which quickly oxidizes to sulfur dioxide,  $\text{SO}_2$ . Sulfur dioxide reacts with moisture in the atmosphere to form sulfuric acid that is carried to Earth in precipitation. Plants incorporate this acid into sulfur-bearing amino acids. Consumption, excretion, and death carry sulfur back to soil and aquatic sediments, where bacteria release it in inorganic form.

### The Global Sulfur Cycle 23.11

The global sulfur cycle is a combination of gaseous and sedimentary cycles, because sulfur has reservoirs in Earth's crust and in the atmosphere. The sulfur cycle involves a long-term

sedimentary phase in which sulfur is tied up in organic and inorganic deposits, is released by weathering and decomposition, and is carried to terrestrial and aquatic ecosystems in salt solution. The bulk of sulfur first appears in the gaseous phase as a volatile gas, hydrogen sulfide ( $\text{H}_2\text{S}$ ), in the atmosphere, which quickly oxidizes to form sulfur dioxide. Once in soluble form, sulfur is taken up by plants and incorporated into organic compounds. Excretion and death carry sulfur in living material back to the soil and to the bottoms of ponds, lakes, and seas, where sulfate-reducing bacteria release it as hydrogen sulfide or as a sulfate.

### The Oxygen Cycle 23.12

Oxygen, the by-product of photosynthesis, is very active chemically. It combines with a wide range of chemicals in Earth's crust, and it reacts spontaneously with organic compounds and reduced substances. It is involved in oxidizing carbohydrates in the process of respiration to release energy, carbon dioxide, and water. The current atmospheric pool of oxygen is maintained in a dynamic equilibrium between the production of oxygen in photosynthesis and its consumption in respiration. An important constituent of the atmospheric reservoir of oxygen is ozone ( $\text{O}_3$ ).

### Biogeochemical Cycles Are Linked 23.13

All of the major biogeochemical cycles are linked; the nutrients that cycle are all components of living organisms, constituents of organic matter. Stoichiometric relationships among the various elements involved in plant processes related to carbon uptake and plant growth have an important influence on the cycling of nutrients in ecosystems.

---

## Study Questions

1. How are the processes of photosynthesis and decomposition involved in the carbon cycle?
2. In the temperate zone, is the atmospheric concentration of carbon dioxide higher during the day or night? Why?
3. Characterize the following processes in the nitrogen cycle: fixation, ammonification, nitrification, and denitrification.
4. What biological and nonbiological mechanisms are responsible for nitrogen fixation?
5. What is the source of sulfur in the sulfur cycle? Why does the sulfur cycle have characteristics of both sedimentary and gaseous cycles?
6. What is the source of phosphorus in the phosphorus cycle?
7. What is the major source of phosphorus input into aquatic ecosystems?
8. What is the role of photosynthesis and decomposition in the oxygen cycle?
9. How would the development of large deposits of fossil fuels have influenced the oxygen concentration of the atmosphere over geologic time?

## Further Readings

- Aber, J. D. 1992. Nitrogen cycling and nitrogen saturation in temperate forest ecosystems. *Trends in Ecology and Evolution* 7:220–223.  
This paper is an excellent introduction to the concept and consequences of nitrogen saturation in terrestrial ecosystems.
- Post, W. M., T. H. Peng, W. R. Emanuel, A. W. King, V. H. Dale, and D. L. DeAngelis. 1990. The global carbon cycle. *American Scientist* 78:310–326.  
An excellent updated review of the global carbon cycle—what we know and do not know about it.
- Sarmiento, J. L., and N. Gruber, 2006. *Ocean biogeochemical dynamics*. Princeton, NJ: Princeton University Press.  
This text is an excellent overview of the circulation and interactions of the major elements in the oceans.
- Schlesinger, W. H. 1997. *Biogeochemistry: An analysis of global change*, 2nd ed. London: Academic Press.  
A superb text on the subject of biogeochemical cycles. Provides an excellent discussion of nutrient cycling in both terrestrial and aquatic environments.
- Sprent, J. I. 1988. *The ecology of the nitrogen cycle*. New York: Cambridge University Press.  
This text provides an ecological perspective to the processes involved in the nitrogen cycle.
- Vitousek, P. M., J. Aber, R. W. Howarth, G. E. Likens, P. A. Matson, D. W. Schindler, W. H. Schlesinger, and G. D. Tilman. 1997. Human alteration of the global nitrogen cycle: Sources and consequences. *Ecological Applications* 7:737–750.  
An excellent discussion of how human activities have changed the nitrogen cycle.

# Ecological Biogeography

**Chapter 24** Terrestrial Ecosystems p. 464

**Chapter 25** Aquatic Ecosystems p. 493

**Chapter 26** Coastal and Wetland Ecosystems p. 514

**Chapter 27** Large-Scale Patterns of Biological Diversity p. 528

The 19th century witnessed the golden age of the naturalist-explorer, and the tropics were the frontier of natural science. Aspiring young naturalists such as Alfred Russel Wallace, Henry Walter Bates, Joseph Hooker, Alexander Von Humboldt, and Charles Darwin traveled the tropics, cataloging an amazing array of plants and animals. In 11 years in Brazil, Henry Bates collected some 14,712 species of animals, of which more than 8000 were previously unknown to science. To someone who had been trained in the temperate zone, the biological diversity of the tropics was awe inspiring.

Besides being struck by the diversity of life encountered, these young naturalists were also impressed by the similar appearance and nature of organisms found in geographically different regions. The similarity appeared to link form and function—for example, the flying squirrel of eastern North America (a rodent) and the Australian sugar glider (a marsupial) are unrelated species inhabiting the canopies of forests half a world apart, yet they are remarkably similar in appearance (Figure 1). Both species have a flat, bushy tail and an extension of the skin between the foreleg and the hindleg. This body form enables them to glide through the air from one tree limb to another.

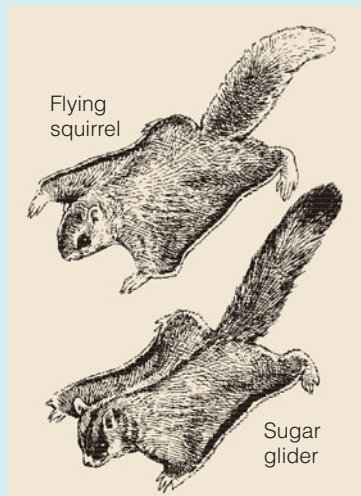
The observed link between form and function made by early naturalists was not limited to animals. One of the most striking patterns of similarity observed by these early explorers was that geographically different regions characterized by similar climates support similar types of plant communities. Consider the photos of two desert regions in southern Africa and Australia shown in Figure 2. Both regions have a similar climate with low seasonal precipitation. Although the plant species occupying these two shrub-desert ecosystems are quite distinct, the dwarf shrubs and the physical structure of the plant communities are very similar in appearance and function. The early naturalists were therefore faced with two conflicting observations in need of explanation. On the one hand, they needed a way to explain the amazing variation—the diversity—observed among plants and animals. On the other hand, they needed an explanation for the observed

similarity among different, unrelated species that exhibited similar behaviors (such as the flying squirrel and sugar glider) or inhabited similar types of environments (such as the dwarf shrubs of the shrub deserts).

Although the primary focus of Darwin's theory of natural selection is to explain the mechanism by which diversity (differences among species) can arise from common ancestry, it offers a second explanation for the similar characteristics observed in plants and animals found in geographically distinct regions—that of convergent evolution (see Chapter 5). Evolutionary biologists use the term **convergent evolution** to describe the independent evolution of a similar characteristic in two different species not derived from a recent, common ancestor. Recall that natural selection is essentially a two-step process: (1) the production of variation among individuals within the population in some characteristic, (2) which results in differences among individuals in their survival and reproduction. The random processes of genetic mutation and recombination give rise to variation, but the necessity of adaptation to the prevailing (and often changing) environmental conditions guides the invisible hand of design.

Physical processes give rise to the broad-scale patterns of climate and abiotic environment on Earth (see Chapters 2–4). These processes transcend oceans, continents, mountain ranges, and the geographic barriers that serve as mechanisms of isolation in the process of evolution. The similarity between shrub deserts in southern Africa and Australia shown in Figure 2 reflects the similar form and function of the plant species that have adapted to these distant ecosystems—species that evolved independently but under similar constraints imposed by the physical environment.

The observed similarity in the structure of plant communities found in geographically distant yet physically similar environments led 19th-century plant geographers J. F. Schouw, A. deCandolle, and A. F. W. Schimper to correlate the distribution of vegetation to climate. First, they noted that the world could be divided into zones representing broad categories of vegetation, categories defined on the basis of a similar physical appearance



**Figure 1** Convergent evolution of unrelated species showing similar relationships between form and function. A North American rodent, the flying squirrel, and an Australian marsupial, the sugar glider, both have a flat, bushy tail and an extension of the skin between the foreleg and the hindleg that enables them to glide down from one tree limb to another.

(physiognomy): deserts; grasslands; and coniferous, temperate, and tropical forests. The geographers called these categories **formations**. Second, they noted that regions of the globe occupied by a given type of vegetation formation were characterized by a similar climate. Their observations led to the development of a general understanding of the factors controlling the distribution of vegetation on a global scale.

These early studies relating the global distribution of plant life—and later animal life—with climate developed into the field of biogeography. **Biogeography** is the study of the spatial or geographical distribution of organisms, both past and present. Its goal is to describe and understand the processes responsible for the many patterns in the distribution of species and larger taxonomic groups (genus, family, etc.). Historical biogeography is concerned with reconstructing the origin, dispersal, and extinction of various taxonomic groups. Ecological biogeography is concerned with studying the distribution of contemporary organisms, and it is the focus of Part Seven. We will first explore the large-scale distribution of what the early plant geographers called formations—now generally referred to as *biomes* (see the introduction



(a)



(b)

**Figure 2** Shrub desert ecosystems: (a) Karoo Desert in southern Africa; (b) western New South Wales, Australia. Both sites are characterized by low, seasonal precipitation.

to Chapter 24). To frame this discussion, we can return to the dichotomy of dividing Earth's environments into categories of terrestrial (Chapter 24) and aquatic (Chapter 25; see also Part One). To this we will add a category to include the coastal and wetland environments that form the transition zone between land and water (Chapter 26). These chapters reflect ecology of place by examining how the processes and patterns discussed thus far in the text are reflected in different regions of the world.

We will finish our discussion of ecological biogeography by exploring patterns of biological diversity on Earth, both in time and space (Chapter 27).



Spectacular fall color is a hallmark of the eastern deciduous mixed hardwood forest (Harvey Pond, Madrid, Maine).

- 24.1 Terrestrial Ecosystems Reflect Adaptations of the Dominant Plant Life-Forms**
- 24.2 Tropical Forests Characterize the Equatorial Zone**
- 24.3 Tropical Savannas Are Characteristic of Semiarid Regions with Seasonal Rainfall**
- 24.4 Grassland Ecosystems of the Temperate Zone Vary with Climate and Geography**
- 24.5 Deserts Represent a Diverse Group of Ecosystems**
- 24.6 Mediterranean Climates Support Temperate Shrublands**
- 24.7 Forest Ecosystems Dominate the Wetter Regions of the Temperate Zone**
- 24.8 Conifer Forests Dominate the Cool Temperate and Boreal Zones**
- 24.9 Low Precipitation and Cold Temperatures Define the Arctic Tundra**

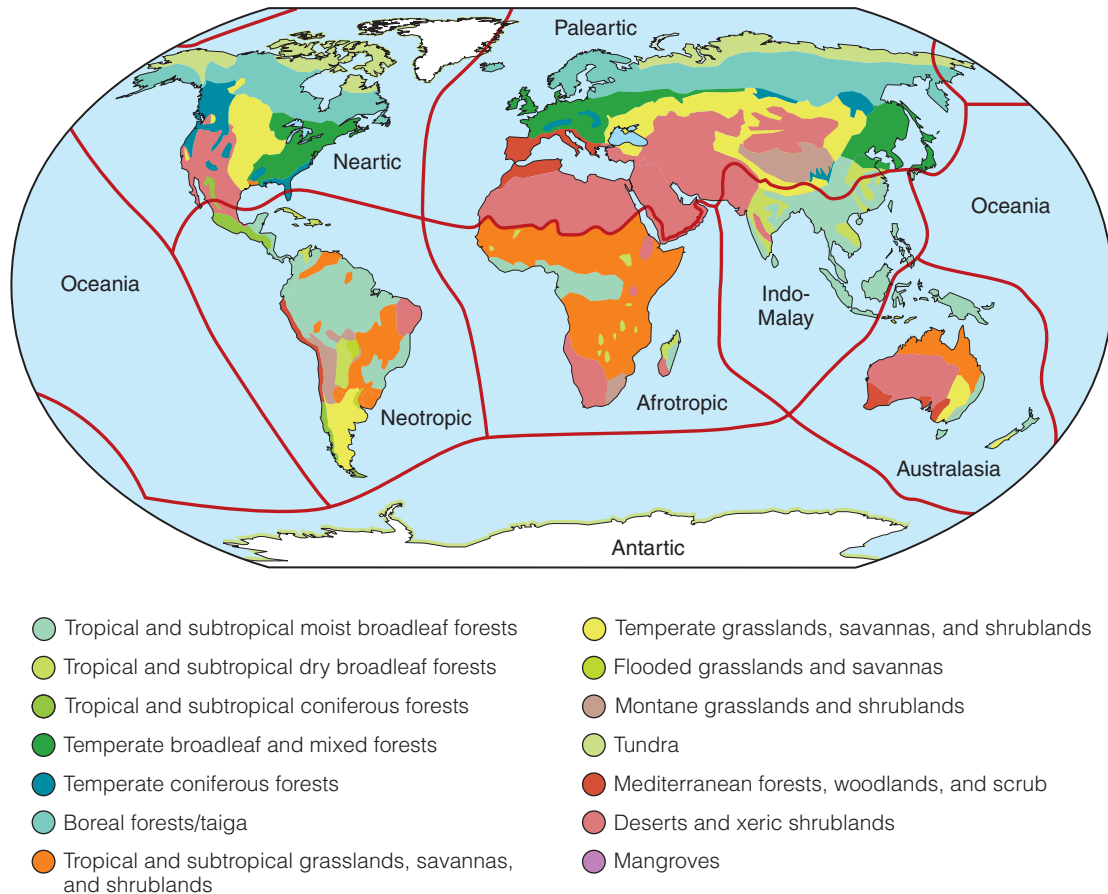
In 1939, ecologists F. E. Clements and V. E. Shelford introduced an approach for combining the broad-scale distribution of plants and associated animals into a single classification system. Clements and Shelford called these biotic units **biomes**. Biomes are classified according to the predominant plant types. Depending on how finely biomes are classified, there are at least eight major terrestrial biome types: tropical forest, temperate forest, conifer forest (taiga or boreal forest), tropical savanna, temperate grasslands, chaparral (shrublands), tundra, and desert (Figure 24.1). These broad categories reflect the relative contribution of three general plant life-forms: trees, shrubs, and grasses. A closed canopy of trees characterizes forest ecosystems. Woodland and savanna ecosystems are characterized by the codominance of grasses and trees (or shrubs). As the names imply, shrubs are the dominant plant form in shrublands, and grasses dominate in grasslands. Desert is a general category used to describe the scarcity of plant cover.

When the plant ecologist Robert Whittaker of Cornell University plotted these biome types on gradients of mean annual temperature and mean annual precipitation, he found they formed a distinctive climatic pattern, as graphed in Figure 24.2. As the graph indicates, boundaries between biomes are broad and often indistinct as they blend into each other. Besides climate, other factors such as topography, soils, and exposure to

disturbances such as fire can influence which of several biome types occupy an area.

If we plot the relationship between mean annual temperature and precipitation for locations on the land surface, another general pattern emerges from Whittaker's analysis of the relationship between biomes and climate. The range of observed values for mean annual precipitation declines with decreasing mean annual temperature (note that the range of biomes defined by precipitation along the y-axis decreases with declining temperatures along the x-axis). In geographic terms, this relationship indicates a decrease in the range of environmental conditions defined by moisture availability as one moves from the tropics to the temperate and arctic regions (see label on x-axis of Figure 24.2 and Figure 2.18). This relationship between climate and geography reflects the systematic latitudinal pattern of environmental conditions discussed in Chapter 2 that result directly from seasonal variations in the influx of solar radiation to Earth's surface. Mean annual temperature decreases from the equator to the poles, while seasonal variation in temperatures (and day length) increases (see Figure 2.7). The result is a decline in the growing season (period over which photosynthesis and plant growth can be maintained).

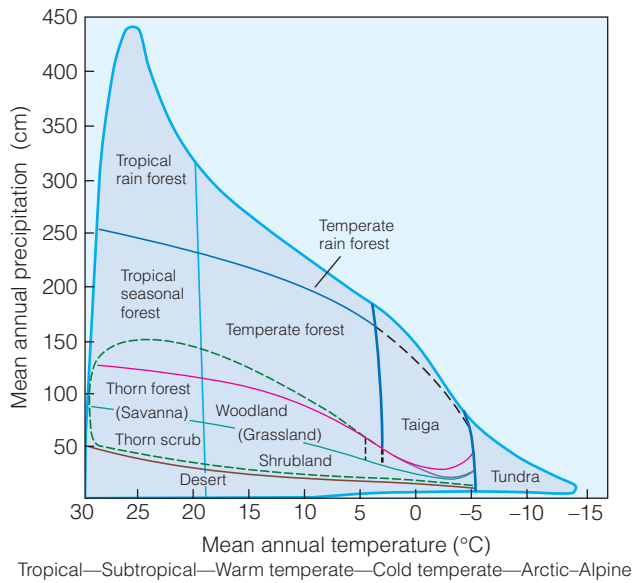
The systematic variation in climate with latitude is not limited to temperature. Average annual precipitation decreases



**Figure 24.1** Major biomes and biogeographical realms of the world.

(Adapted from Olson et al. 2001.)





**Figure 24.2** The pattern of terrestrial biomes in relation to temperature and moisture. Where the climate varies, soil can shift the balance between types. The dashed line encloses environments in which either grassland or one of the types dominated by woody plants may prevail.

(Adapted from Whittaker 1970.)

with increasing latitude due to the interaction of humidity and temperature (see Section 2.6 and Figure 2.18). With declining temperatures, the amount of moisture that can be held in the air declines, reducing the overall amount of precipitation (see Figure 2.16). As we shall see in this chapter, these systematic patterns of climate across the globe dictate the general distribution of terrestrial biomes on Earth's surface.

## 24.1 Terrestrial Ecosystems Reflect Adaptations of the Dominant Plant Life-Forms

Given that the broad classification of terrestrial biomes presented in Figures 24.1 and 24.2 (forest, woodland/savanna, shrubland, and grassland) reflects the relative contribution of three general plant life-forms (trees, shrubs, and grasses), the question of what controls the distribution of biomes relative to climate becomes: Why are there consistent patterns in the distribution and abundance of these three dominant plant life-forms that relate to climate and the physical environment? The answer to this question lies in the adaptations that these three very different plant life-forms represent as well as the advantages and constraints arising from these adaptations under different environmental conditions.

Although the broad categories of grasses, shrubs, and trees each represent a diverse range of species and characteristics, they have fundamentally different patterns of carbon allocation and morphology (see Chapter 6). Grasses allocate less carbon to the production of supportive tissues (stems) than do woody plants (shrubs and trees), enabling grasses to maintain a higher proportion of their biomass in photosynthetic tissues (leaves).

For woody plants, shrubs allocate a lower percentage of their resources to stems than do trees. The production of woody tissue gives the advantage of height and access to light, but it also has the associated cost of maintenance and respiration. If this cost cannot be offset by carbon gain through photosynthesis, the plant is unable to maintain a positive carbon balance and will die (see Chapter 6, Figure 6.8). As a result, as environmental conditions become adverse for photosynthesis (dry, low nutrient concentrations, or short growing season and cold temperatures), trees will decline in both stature and density until they can no longer persist as part of the plant community.

Within the broad classes of forest and woodland ecosystems in which trees are dominant or codominant, leaf form is another plant characteristic that ecologists use to classify ecosystems. Leaves can be classified into two broad categories based on their longevity. Leaves that live for only a single year or growing season are classified as **deciduous**, whereas those that live beyond a year are called **evergreen**. The deciduous leaf is characteristic of environments with a distinct growing season. Leaves are typically shed at the end of the growing season and then regrown at the beginning of the next. Deciduous leaf type is further divided into two categories based on dormancy period. Winter-deciduous leaves are characteristic of temperate regions, where the period of dormancy corresponds to low (below freezing) temperatures (Figures 24.3a and 24.3b). Drought-deciduous leaves are characteristic of environments with seasonal rainfall, especially in the subtropical and tropical regions, where leaves are shed during the dry period (Figures 24.3c and 24.3d). The advantage of the deciduous habit is that the plant does not have the additional cost of maintenance and respiration during the period of the year when environmental conditions restrict photosynthesis.

Evergreen leaves can likewise be classified into two broad categories. The broadleaf evergreen leaf type (Figure 24.4a) is characteristic of environments with no distinct growing season where photosynthesis and growth continue year-round, such as tropical rain forests. The needle-leaf evergreen form (Figure 24.4b) is characteristic of environments where the growing season is very short (northern latitudes) or nutrient availability severely constrains photosynthesis and plant growth.

A simple economic model has been proposed to explain the adaptation of this leaf form (see Chapter 6). The production of a leaf has a "cost" to the plant that can be defined in terms of the carbon and other nutrients required to construct the leaf. The time required to pay back the cost of production (carbon) will be a function of the rate of net photosynthesis (carbon gain). If environmental conditions result in low rates of net photosynthesis, the period of time required to pay back the cost of production will be longer. If the rate of photosynthesis is low enough, it may not be possible to pay back the cost over the period of a single growing season. A plant adapted to such environmental conditions cannot "afford" a deciduous leaf form, which requires producing new leaves every year. The leaves of evergreens, however, may survive for several years. So, under this model, we can view the needle-leaf evergreen as a plant adapted for survival in an environment with a distinct growing season, where conditions limit the plant's ability



(a)



(b)

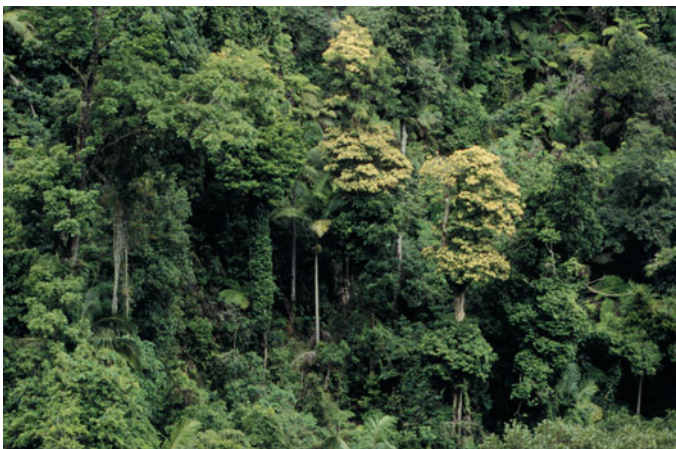


(c)



(d)

**Figure 24.3** Examples of winter and drought-deciduous trees. Temperate deciduous forest in central Virginia during (a) summer and (b) winter seasons. Semiarid savanna/woodland in Zimbabwe, Africa, during (c) rainy and (d) dry seasons.



(a)



(b)

**Figure 24.4** Examples of evergreen trees. (a) Broadleaf evergreen trees dominate the canopy of this tropical rain forest in Queensland, Australia. (b) Needle-leaf evergreen trees (foxtail pine) inhabit the high-altitude zone of the Sierra Nevada in western North America.

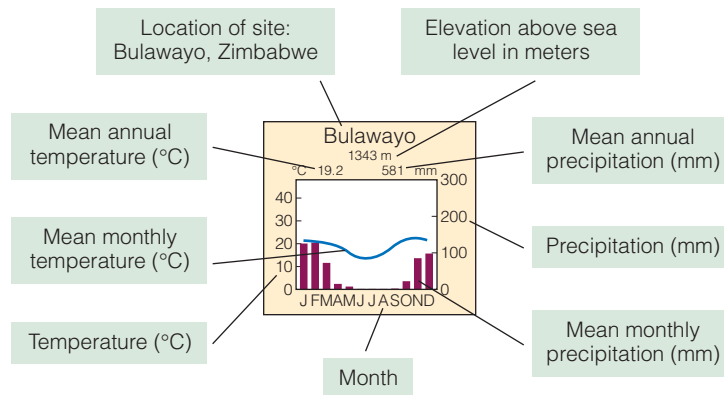
## QUANTIFYING ECOLOGY 24.1 Climate Diagrams

As illustrated in Figure 24.2, the distribution of terrestrial biomes is closely related to climate. The measures of regional climate used in Whittaker's graph (Figure 24.2) are mean annual temperature and precipitation. Yet, as we will see in the discussion of the various biome types, the distribution of terrestrial ecosystems is influenced by other aspects of climate as well, namely seasonality of both temperature and precipitation. Topographic features such as mountains and valleys also influence the climate of a region.

To help understand the relationship between regional climate and the distribution of terrestrial ecosystems, for each biome discussed in this chapter (tropical forest, savanna, etc.) we present a map showing its global distribution. Accompanying the map is a series of climate diagrams. The diagrams describe the local

climate at representative locations around the world where that particular biome type is found. See Figure 1 for a representative climate diagram, which we have labeled to help you interpret the information it presents. As you study the diagram, take particular note of the patterns of seasonality.

1. In Figure 24.12, what is the distinctive feature of the climate diagrams for these tropical savanna ecosystems? How do the patterns differ between sites in the Northern and Southern Hemispheres? What feature of Earth's climate system discussed in Chapter 3 is responsible for these distinctive patterns?
2. In Figure 24.23, what feature of the climate is common to all Mediterranean ecosystems?



**Figure 1** Climate diagram for Bulawayo, Zimbabwe. This city is in the Southern Hemisphere, where the cooler winter season occurs during the period May–August. Note the distinct dry season during the winter months, with the rainy season beginning in October (spring) and lasting through the summer months.

to produce enough carbon through photosynthesis during the growing season to pay for the cost of producing the leaves.

Upon combining the simple classification of plant life-forms and leaf type with the large-scale patterns of climate presented earlier, we can begin to understand the distribution of biome types relative to the axes of temperature and precipitation shown in Figure 24.2. Ecosystems characteristic of warm, wet climates with no distinct seasonality are dominated by broadleaf evergreen trees and are called tropical (and subtropical) rain forest. As conditions become drier, with a distinct dry season, the broadleaf evergreen habit gives way to drought-deciduous trees that characterize the seasonal tropical forests. As precipitation declines further, the stature and density of these trees declines, giving rise to the woodlands and savannas that are characterized by the coexistence of trees (shrubs) and grasses. As precipitation further declines, trees can no longer be supported, giving rise to the arid shrublands (thorn scrub) and desert.

The temperature axis represents the latitudinal gradient from the equator to the poles (see geographical labels on  $x$ -axis of Figure 24.2). Moving from the broadleaf evergreen forests of the wet tropics into the cooler, seasonal environments of the temperate regions, the dominant trees are winter-deciduous. These are the regions of temperate deciduous forest. In areas of the temperate region where precipitation is insufficient to support trees, grasses dominate and give rise to the prairies of North America, the steppes of Eurasia, and the pampas of Argentina. Moving poleward, the temperate deciduous forests give way to the needle-leaf-dominated forests of the boreal region (conifer forest or taiga). As temperatures become more extreme and the growing season shorter, trees can no longer be supported, and the short-stature shrubs and sedges (grasslike plants of the family Cyperaceae) characteristic of the tundra dominate the landscape ecosystems of the arctic region.

In the following sections, we will examine the eight major categories of terrestrial biomes outlined in Figure 24.2. We begin

each section by relating their geographic distribution to the broad-scale constraints of regional climate, as outlined in Figure 24.2, as well as to associated patterns of seasonality in temperature and precipitation (see Quantifying Ecology 24.1: Climate Diagrams) that function as constraints on the dominant plant life-forms and patterns of primary and secondary productivity. In our discussion, we emphasize the unique physical and biological characteristics defining these broad categories of terrestrial ecosystems (biomes).

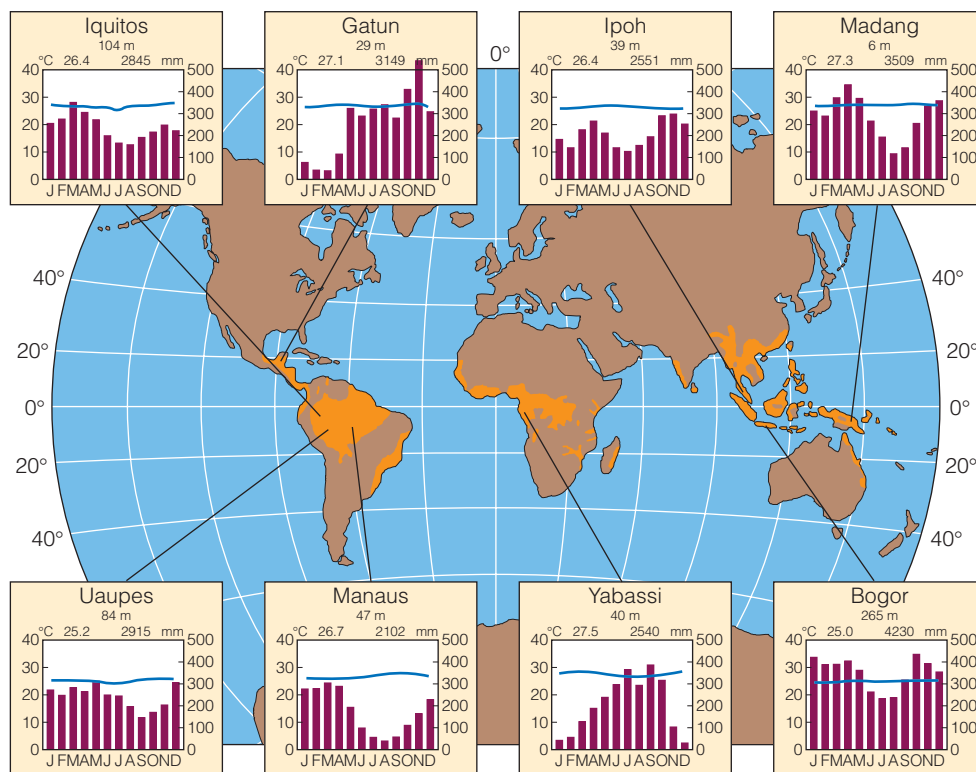
## 24.2 Tropical Forests Characterize the Equatorial Zone

The tropical rain forests are restricted primarily to the equatorial zone between latitudes 10°N and 10°S (Figure 24.5), where the temperatures are warm throughout the year and rainfall occurs almost daily. The largest and most continuous region of rain forest in the world is in the Amazon basin of South America (Figure 24.6). The second largest is located in Southeast Asia, and the third largest is in West Africa around the Gulf of Guinea and in the Congo basin. Smaller rain forests occur along the northeastern coast of Australia, the windward side of the Hawaiian Islands, the South Pacific Islands, the east coast of Madagascar, northern South America, and southern Central America.

The climate of tropical rain forest regions varies geographically but is typically characterized by a mean temperature of all months exceeding 18°C and minimum monthly precipitation above 60 mm (see climate diagrams for representative tropical rain forest sites in Figure 24.5). Within the lowland forest zone, mean annual temperatures typically exceed 25°C with an annual range less than 5°C.

Tropical rain forests have a high diversity of plant and animal life. Covering only 6 percent of the land surface, tropical rain forests account for more than 50 percent of all known plant and animal species. Tree species number in the thousands. A 10-km<sup>2</sup> area of tropical rain forest may contain 1500 species of flowering plants and up to 750 species of trees. The richest area is the lowland tropical forest of peninsular Malaysia, which contains some 7900 species.

Nearly 90 percent of all nonhuman primate species live in the tropical rain forests of the world (Figure 24.7). Sixty-four species of New World primates, small mammals with prehensile tails, live in the trees. The Indo-Malaysian forests are inhabited by a number of primates, many with a limited distribution within the region. The orangutan, an arboreal ape, is confined to the island of Borneo. Peninsular Malaysia has seven species of primates, including three gibbons, two langurs, and two macaques. The long-tailed macaque is common in disturbed or secondary forests, and the pig-tailed macaque



**Figure 24.5** Geographic distribution of Earth's tropical forest ecosystems and associated climate diagrams showing long-term patterns of monthly temperature and precipitation for selected locations. Note the lack of seasonality in mean monthly temperatures, which are above 20°C. Although the rainfall in some regions is seasonal, note that minimum monthly precipitation is typically above 60 mm, and total annual precipitation above 2000 mm. (Adapted from Archibold 1995.)



(a)



(b)

**Figure 24.6** Tropical rain forests in (a) Amazon Basin (South America) and (b) Malaysia (Southeast Asia). Despite being taxonomically distinct, these two tropical rain forest regions are dominated by broadleaf evergreen trees and support vigorous plant growth year-round. Tropical rain forests represent the most diverse and productive terrestrial ecosystems on our planet.

is a terrestrial species adaptable to human settlements. The tropical rain forest of Africa is home to mountain gorillas and chimpanzees. The diminished rain forest of Madagascar holds 39 species of lemurs.

Tropical rain forests may be divided into five vertical layers (Figure 24.8): emergent trees, upper canopy, lower canopy, shrub understory, and a ground layer of herbs and ferns. Conspicuous in the rain forest are lianas—climbing vines—growing upward into the canopy, epiphytes growing on the trunks and branches, and strangler figs (*Ficus* spp.) that grow downward from the canopy to the ground. Many large trees develop plank-like outgrowths called buttresses (Figure 24.9, on page 474). They function as prop roots to support trees rooted in shallow soil that offers poor anchorage. The floor of a tropical rain forest is thickly laced with roots, both large and small, forming a dense mat on the ground.

The continually warm, moist conditions in rain forests promote strong chemical weathering and rapid leaching of soluble materials. The characteristic soils are Oxisols, which are deeply weathered with no distinct horizons. Ultisols may develop in areas with more seasonal precipitation regimes and are typically associated with forested regions that exhibit seasonal soil moisture deficits. Areas of volcanic activity in parts of Central and Southeast Asia, where recent ash deposits quickly weather, are characterized by Andosols (see Figure 4.12).

The warmer, wetter conditions of the tropical rain forest result in high rates of net primary productivity and subsequent high annual rates of litter input to the forest floor. Little litter accumulates, however, because decomposers consume the dead organic matter almost as rapidly as it falls to the forest floor. Most of the nutrients available for uptake by plants are a result of the rapidly decomposed organic matter that is continuously falling to the surface. Growing plants, however, rapidly absorb these nutrients. The average time for leaf litter to decompose is 24 weeks.

Moving from the equatorial zone to the regions of the tropics that are characterized by greater seasonality in precipitation, the broadleaf evergreen forests are replaced by the dry tropical forests (Figure 24.10, on page 474). Dry tropical forests undergo a dry season whose length is based on latitude. The more distant the forest is from the equator, the longer is the dry season—in some areas, up to eight months. During the dry season, the drought-deciduous trees and shrubs drop their leaves. Before the start of the rainy season, which may be much wetter than the wettest time in the rain forest, the trees begin to leaf. During the wet season, the landscape becomes uniformly green.

The largest proportion of tropical dry forest is found in Africa and South America, to the south of the zones dominated by rain forest. These regions are influenced by the seasonal migration of



(a)



(b)

**Figure 24.7** Examples of primate species that inhabit the tropical rain forests of the world: the chimpanzee (*Pan troglodytes*) inhabits the tropical rain forests of Central Africa, and (b) the orangutan (*Pongo pygmaeus*) inhabits the tropical rain forests of Borneo (Southeast Asia).



**Figure 24.8** Vertical stratification of a tropical rain forest.



**Figure 24.9** Plank-like buttresses help to support tall rain forest trees.



(a)



(b)

**Figure 24.10** A tropical dry forest in Costa Rica during the (a) rainy and (b) dry season. Most of the tropical dry forests in Central America have disappeared from land-clearing for agriculture.

the Intertropical Convergence Zone (see Figure 2.19). In addition, areas of Central America, northern Australia, India, and Southeast Asia are also classified as dry tropical forest. Much of the original forest, especially in Central America and India, has been converted to agricultural and grazing land.

### 24.3 Tropical Savannas Are Characteristic of Semiarid Regions with Seasonal Rainfall

The term *savanna* was originally used to describe the treeless areas of South America. Now it is generally applied to a range of vegetation types in the drier tropics and subtropics characterized by a ground cover of grasses with scattered shrubs or trees. Savanna includes an array of vegetation types representing a continuum of increasing cover of woody vegetation, from open grassland to widely spaced shrubs or trees and to woodland (Figure 24.11). In South America, the more densely wooded areas are referred to as *cerrado*. The campos and llano are characterized by a more open appearance (lower density of



(a)



(b)

**Figure 24.11** Savanna ecosystems, such as the (a) cerrado of South America and (b) mulga woodlands of central Australia are characterized by a ground cover of grasses with scattered shrubs or trees.

trees), and thorn scrub is the dominant cover of the caatinga. In Africa, the miombo, mopane, and *Acacia* woodlands can be distinguished from the more open and parklike bushveld. Scattered individuals of *Acacia* and *Eucalyptus* dominate the mulga and brigalow of Australia.

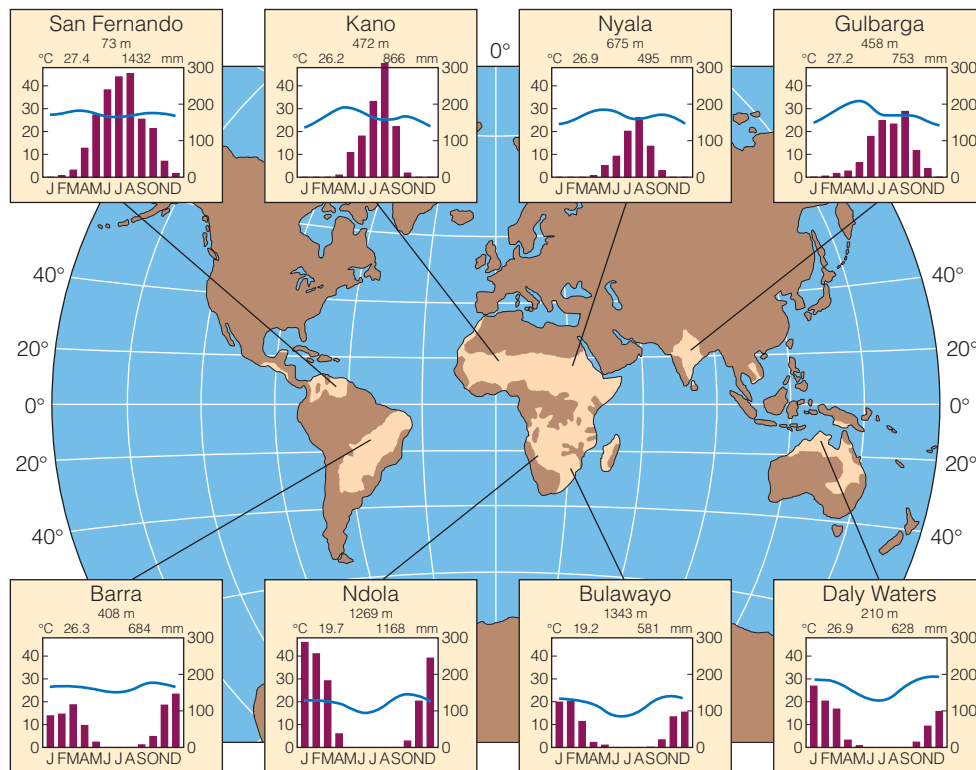
The physiognomic diversity of the savanna vegetation reflects the different climate conditions occurring throughout this widely distributed ecosystem (Figure 24.12). Moisture appears to control the density of woody vegetation, a function of both rainfall (amount and distribution) and soil—its texture, structure, and water-holding capacity (Figure 24.13; also see Chapter 4).

Savannas are associated with a warm continental climate with distinct seasonality in precipitation and a large interannual (year to year) variation in total precipitation (see climate diagrams for representative savanna sites in Figure 24.12). Mean monthly temperatures typically do not fall below 18°C, although during the coldest months in highland areas, temperatures can be considerably lower. There is seasonality in temperatures, and maximum temperatures occur at the end of the wet season. The nature of the vegetation cover, however, is more closely determined by the amount and seasonality of precipitation than by temperature.

Savannas, despite their differences in vegetation, exhibit a certain set of characteristics. Savannas occur on land surfaces of little relief—often on old plateaus, interrupted by escarpments and dissected by rivers. Continuous weathering in these regions has produced nutrient-poor Oxisols, which are particularly deficient in phosphorus. Alfisols are common in the drier savannas, whereas Entisols are associated with the driest savannas (see Figure 4.12). Subject to recurrent fires, the dominant vegetation is fire adapted. Grass cover with or without woody vegetation is always present, and the woody component is short-lived—individuals seldom survive for more than several decades. Savannas are characterized by a two-layer vertical structure due to the ground cover of grasses and the presence of shrubs or trees.

The yearly cycle of plant activity and subsequent productivity in tropical savannas is largely controlled by the markedly seasonal precipitation and corresponding changes in available soil moisture. Most leaf litter is decomposed during the wet season, and most woody debris is consumed by termites during the dry season.

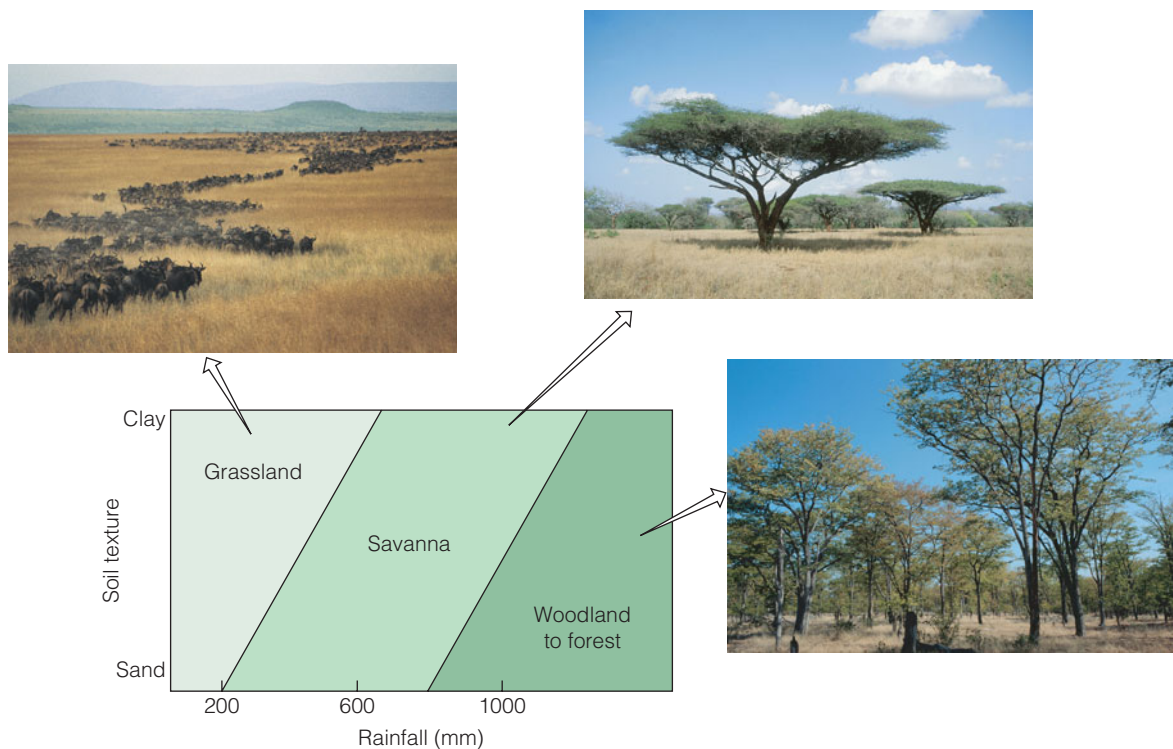
The microenvironments associated with tree canopies can influence species distribution, productivity, and soil characteristics.



**Figure 24.12** Geographic distribution of Earth's tropical savanna ecosystems and associated climate diagrams showing long-term patterns of monthly temperature and precipitation for selected locations. Seasonal patterns of temperature are similar to those of the tropical forest sites presented in Figure 24.5, reflecting the tropical and subtropical climates at these sites. Note, however, the distinct seasonality of precipitation that characterizes these ecosystems (also note the shift in timing of rainy season for Northern and Southern Hemisphere locations, reflecting the seasonal shift of the Intertropical Convergence Zone).

(Adapted from Archibold 1995.)





**Figure 24.13** Diagram showing the interaction between annual precipitation and soil texture in defining the transition from woodland to savanna and grassland in southern Africa. Plants have more limited access to soil moisture on the heavily textured soils (clays) than on the coarser sands, so annually more precipitation is needed to support the woody plants.

Stem flow and associated litter accumulation result in higher soil nutrients and moisture under tree canopies, often encouraging increased productivity and the establishment of species adapted to the more shaded environments.

Savannas can support a large and varied assemblage of herbivores—invertebrate and vertebrate, grazing and browsing. The African savanna, visually at least, is dominated by a large and diverse ungulate fauna of at least 60 species that share the vegetative resources. Some species, such as the wildebeest and zebra, are migratory during the dry season.

Savanna vegetation supports an incredible number of insects: flies, grasshoppers, locusts, crickets, carabid beetles, ants, and detritus-feeding dung beetles and termites. Mound-building termites excavate and move tons of soil, mixing mineral soil with organic matter. Some species construct extensive subterranean galleries and others accumulate organic matter.

Living on the ungulate fauna is an array of carnivores including the lion, leopard, cheetah, hyena, and wild dog. Scavengers, including vultures and jackals, subsist on leftover prey.

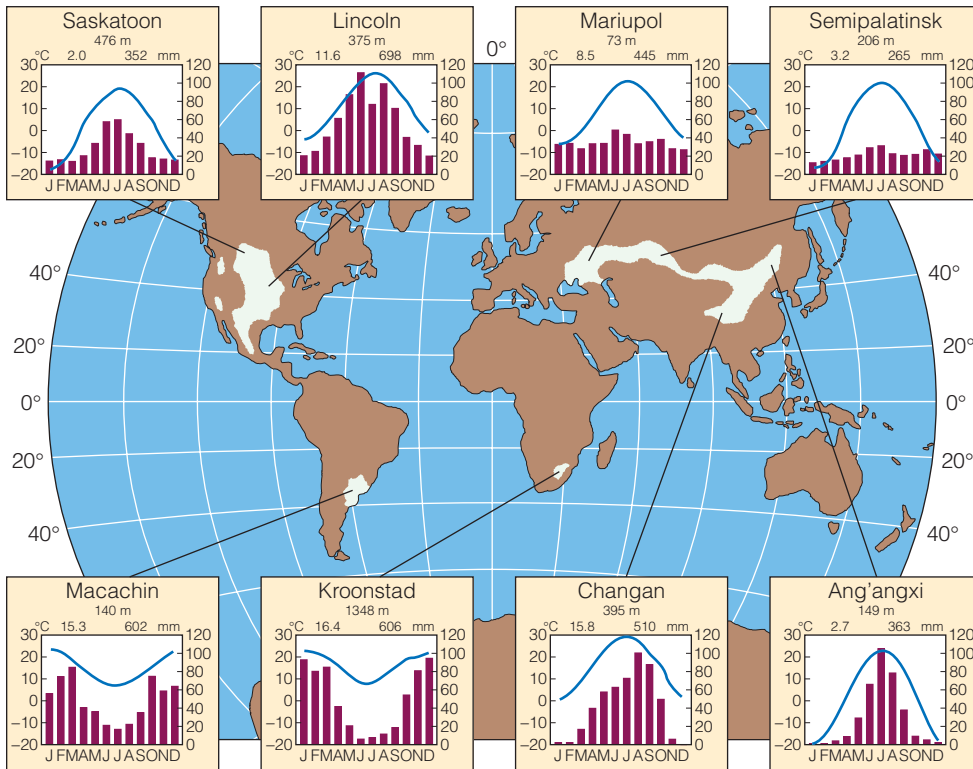
## 24.4 Grassland Ecosystems of the Temperate Zone Vary with Climate and Geography

Natural grasslands occupy regions where rainfall is between 25 and 80 cm a year, but they are not exclusively climatic. Many exist through the intervention of fire and human activity. Conversions of forests into agricultural lands and the planting

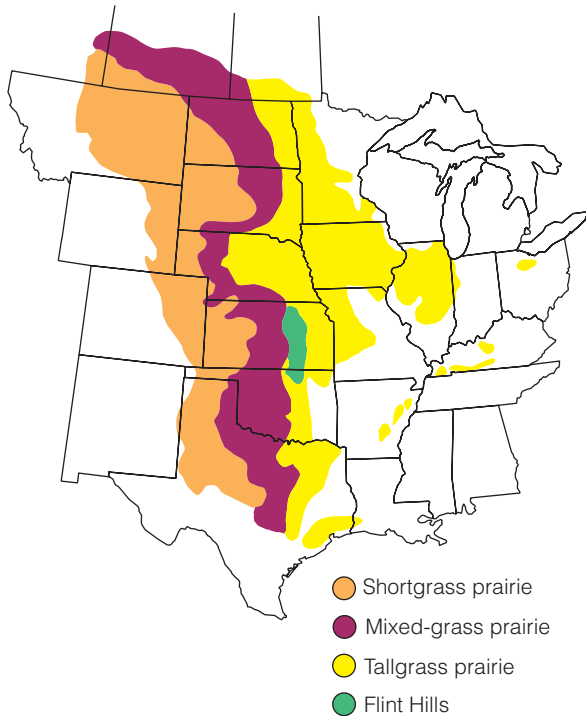
of hay and pasturelands extended grasslands into once forested regions. Formerly covering about 42 percent of the land surface of Earth, natural grasslands have shrunk to less than 12 percent of their original size because of conversion to cropland and grazing lands.

The natural grasslands of the world occur in the midlatitudes in midcontinental regions, where annual precipitation declines as air masses move inward from the coastal environments (Figure 24.14). In the Northern Hemisphere, these regions include the prairies of North America and the steppes of central Eurasia. In the Southern Hemisphere, grasslands are represented by the pampas of Argentina and the veld of the high plateaus of southern Africa. Smaller areas occur in southeastern Australia and the drier parts of New Zealand.

The temperate grassland climate is one of recurring drought, and much of the diversity of vegetation cover reflects differences in the amount and reliability of precipitation. Grasslands do the poorest where precipitation is lowest and the temperatures are high. They are tallest in stature and the most productive where mean annual precipitation is greater than 800 mm and mean annual temperature is above 15°C. Thus, native grasslands of North America, influenced by declining precipitation from east to west, consist of three main types distinguished by the height of the dominant species: tallgrass, mixed-grass, and shortgrass prairie (Figure 24.15). **Tallgrass prairie** (Figure 24.16a, on page 478) is dominated by big bluestem (*Andropogon gerardi*), growing 1 m tall with flowering stalks 1 to 3 1/2 m tall. **Mixed-grass prairie** (Figure 24.16b), typical of the Great Plains, is composed largely of needlegrass–grama grass (*Bouteloua–Stipa*). South and west



**Figure 24.14** Geographic distribution of Earth's temperate grassland ecosystems and associated climate diagrams showing long-term patterns of monthly temperature and precipitation for selected locations. Most of the major grassland regions are mid-continental with a distinct seasonality in temperature. Mean annual precipitation is typically well below 1000 mm, comparable in range to that observed for tropical and subtropical savannas but less than that of temperate deciduous forests (compare with Figure 24.27). (Adapted from Archibold 1995.)



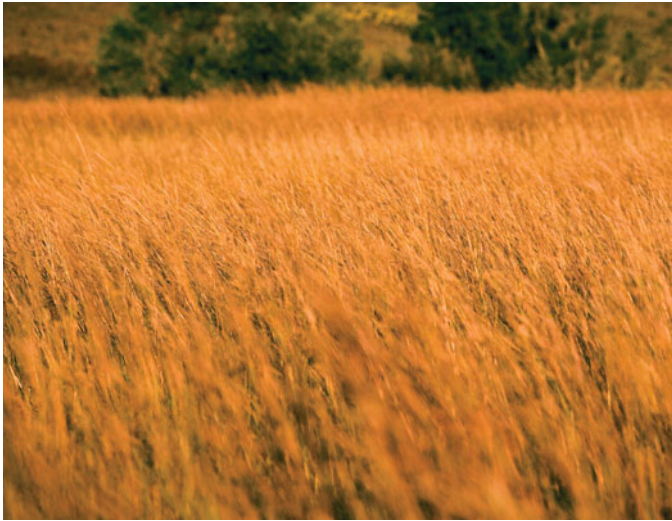
**Figure 24.15** Map showing the original extent of shortgrass, mixed-grass, and tallgrass prairies in North America before the arrival of Europeans. (After Reichman 1987.)

of the mixed prairie and grading into the desert regions is the **shortgrass prairie** (Figure 24.16c), dominated by sod-forming blue grama (*Bouteloua gracilis*) and buffalo grass (*Buchloe dactyloides*), which has remained somewhat intact, and desert grasslands. From southeastern Texas to southern Arizona and south into Mexico lies the **desert grassland**, similar in many respects to the shortgrass plains, except that three-awn grass (*Aristida* spp.) replaces buffalo grass. Confined largely to the Central Valley of California is **annual grassland**. It is associated with a mediterranean climate (see Section 24.6) characterized by rainy winters and hot, dry summers. Growth occurs during early spring, and most plants are dormant in summer, turning the hills a dry tan color accented by the deep green foliage of scattered California oaks.

At one time, the great grasslands of the Eurasian continent extended from eastern Europe to western Siberia south to Kazakhstan. These **steppes**, treeless except for ribbons and patches of forest, are divided into four belts of latitude, from the mesic meadow steppes in the north to semiarid grasslands in the south.

In the Southern Hemisphere, the major grasslands exist in southern Africa and southern South America. Known as **pampas**, the South American grasslands extend westward in a large semicircle from Buenos Aires to cover about 15 percent of Argentina. These pampas have been modified by the introduction of European forage grasses and alfalfa (*Medicago sativa*), and the eastern tallgrass pampas have been converted to wheat and corn. In Patagonia, where annual rainfall averages about 25 cm, the pampas change to open steppe.

The **velds** of southern Africa (not to be confused with savanna) occupy the eastern part of a high plateau 1500 to 2000 m above sea level in the Transvaal and the Orange Free State.



(a)



(b)



(c)

**Figure 24.16** North American grasslands. (a) A remnant tallgrass prairie in Iowa; (b) the mixed-grass prairie has been called “daisyland” for the diversity of its wildflowers; (c) shortgrass steppe in western Wyoming.

Australia has four types of grasslands: arid tussock grassland in the northern part of the continent, where the rainfall averages between 20 and 50 cm, mostly in the summer; arid hummock grasslands in areas with less than 20 cm rainfall; coastal grasslands in the tropical summer rainfall region; and subhumid grasslands along coastal areas where annual rainfall is between 50 and 100 cm. However, the introduction of fertilizers, nonnative grasses, legumes, and sheep grazing have changed most of these grasslands.

Grasslands support a diversity of animal life dominated by herbivorous species, both invertebrate and vertebrate. Large grazing ungulates and burrowing mammals are the most conspicuous vertebrates (Figure 24.17). The North American grasslands once were dominated by huge migratory herds of millions of bison (*Bison bison*) and the forb-consuming pronghorn antelope (*Antilocarpa americana*). The most common burrowing rodent was the prairie dog (*Cynomys* spp.), which along with gophers (*Thomomys* and *Geomys* spp.) and the mound-building harvester ants (*Pogonomyrex* spp.), appeared to be instrumental in developing and maintaining the ecological structure of the shortgrass prairie.

The Eurasian steppes and the Argentine pampas lack herds of large ungulates. On the pampas, the two major large herbivores are the pampas deer (*Ozotoceros bezoarticus*) and, farther



(a)



(b)

**Figure 24.17** North American grasslands were once dominated by (a) large grazing ungulates such as bison and (b) burrowing mammals such as the prairie dog.

south, the guanaco (*Lama guanicoe*), a small relative of the camel. These species, however, are greatly reduced in number compared to historical times.

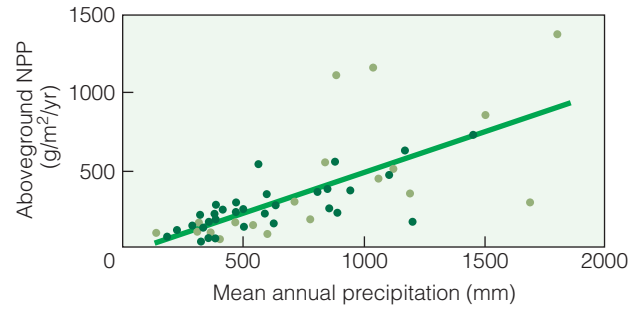
The African grassveld once supported great migratory herds of wildebeest (*Connochaetes taurinus*) and zebra (*Equus* spp.) along with their associated carnivores, the lion (*Panthera leo*), leopard (*Panthera pardus*), and hyena (*Crocota crocuta*). The great ungulate herds have been destroyed and replaced with sheep, cattle, and horses.

The Australian marsupial mammals evolved many forms that are the ecological equivalents of placental grassland mammals. The dominant grazing animals are several kangaroo species, especially the red kangaroo (*Macropus rufus*) and the gray kangaroo (*Macropus giganteus*).

Grasslands evolved under the selective pressure of grazing. Thus, up to a point, grazing stimulates primary production (see Section 15.13). Although the most conspicuous grazers are large herbivores, the major consumers in grassland ecosystems are invertebrates. The heaviest consumption takes place belowground, where the dominant herbivores are nematodes.

The most visible feature of grassland is the tall, green, ephemeral herbaceous growth that develops in spring and dies back in autumn. One of the three strata in the grassland, it arises from the crowns, nodes, and rosettes of plants hugging the soil. The ground layer and the belowground root layer are the other two major strata of grasslands. The highly developed root layer can make up more than half the total plant biomass and typically extends fairly deep into the soil.

Depending on their history of fire and degree of grazing and mowing, grasslands accumulate a layer of mulch that retains moisture and, with continuous turnover of fine roots, adds organic matter to the mineral soil. Dominant soils of the grasslands



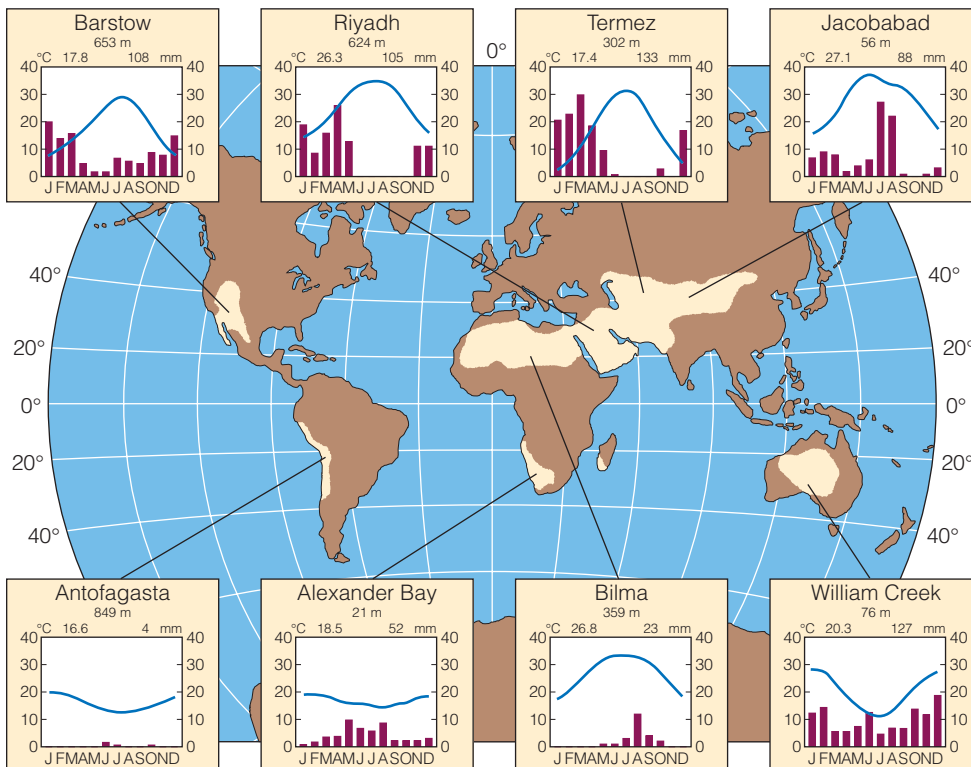
**Figure 24.18** Relationship between aboveground net primary production (NPP) and mean annual precipitation for 52 grassland sites around the world. Each point represents a different grassland site. North American grasslands are indicated by dark-green dots. (Adapted from Lauenroth 1979.)

are Mollisols with a relatively thick, dark-brown to black surface horizon that is rich in organic matter (see Figure 4.12). Soils typically become thinner and paler in the drier regions because less organic material is incorporated into the surface horizon.

The productivity of temperate grassland ecosystems is primarily related to annual precipitation (Figure 24.18), yet temperature can complicate this relationship. Increasing temperatures have a positive effect on photosynthesis but can actually reduce productivity by increasing the demand for water.

### 24.5 Deserts Represent a Diverse Group of Ecosystems

The arid regions of the world occupy from 25 to 35 percent of Earth’s landmass (Figure 24.19). The wide range reflects the various approaches used to define desert ecosystems based on



**Figure 24.19** Geographic distribution of Earth’s desert (arid) ecosystems and associated climate diagrams showing long-term patterns of monthly temperature and precipitation for selected locations. Seasonal variations in temperature differ among these representative sites; but at all sites, annual precipitation is well below the potential evaporative demand, resulting in low soil moisture to support primary productivity. (Adapted from Archibold 1995.)

climate conditions and vegetation types. Much of this land lies between 15° and 30° latitude, where the air that is carried aloft along the Intertropical Convergence Zone subsides to form the semipermanent high-pressure cells that dominate the climate of tropical deserts (see Figure 2.17). The warming of the air as it descends, and cloudless skies, result in intense radiation heat during the summer months.

Temperate deserts lie in the rain shadow of mountain barriers or are located far inland, where moist maritime air rarely penetrates. Here, temperatures are high during the summer but can drop to below freezing during the winter months. Thus the lack of precipitation, rather than continually high temperatures, is the distinctive characteristic of all deserts.

Most of the arid environments are found in the Northern Hemisphere. The Sahara, the world's largest desert, covers approximately 9 million km<sup>2</sup> of North Africa. It extends the breadth of the African continent to the deserts of the Arabian Peninsula, continuing eastward to Afghanistan and Pakistan and finally terminating in the Thar Desert of northwest India. The temperate deserts of Central Asia lie to the north. The most westerly of these is the Kara Kum desert region of Turkmenistan. Eastward lie the high-elevation deserts of western China and the high plateau of the Gobi Desert.

A similar transition to temperate desert occurs in western North America. Here, the Sierra Nevada effectively blocks the passage of moist air into the interior of the Southwest. Mountain ranges run parallel to the Sierras throughout the northern part of this region, and desert basins occur on the eastern sides of these ranges.

Apart from the drier parts of southern Argentina, the deserts of the Southern Hemisphere all lie within the subtropical high-pressure belt that mirrors that of the Northern Hemisphere (see preceding discussion). Cold ocean currents also contribute

to the development of arid coastal regions (see Section 2.5). Drought conditions are severe along a narrow strip of the coast that includes Chile and Peru. The drier parts of Argentina lie in the rain shadow of the Andes.

The deserts of southern Africa include three regions. The Namib Desert occupies a narrow strip of land that runs along the west coast of Africa from southern Angola to the border of the cape region of South Africa. This region continues south and east across South Africa as the Karoo, which merges with the Kalahari Desert to the north in Botswana. The most extensive region of arid land in the Southern Hemisphere is found in Australia, where more than 40 percent of the land is classified as desert.

Deserts are not the same everywhere. Differences in moisture, temperature, soil drainage, topography, alkalinity, and salinity create variations in vegetation cover, dominant plants, and groups of associated species. There are hot deserts and cold deserts, extreme deserts and semideserts, ones with enough moisture to verge on being grasslands or shrublands, and gradations between those extremes within continental deserts.

Cool deserts—including the Great Basin of North America, the Gobi, Takla Makan, and Turkestan deserts of Asia—and high elevations of hot deserts are dominated by *Artemisia* and chenopod shrubs (Figure 24.20). They may be considered shrub steppes or desert scrub. In the Great Basin of North America, the northern, cool, arid region lying west of the Rocky Mountains is the northern desert scrub. The climate is continental, with warm summers and prolonged cold winters. The vegetation falls into two main associations: one is sagebrush, dominated by *Artemisia tridentata*, which often forms pure stands; the other is shadscale (*Atriplex confertifolia*), a C<sub>4</sub> species, and other chenopods (halophytes—tolerant of saline soils).



(a)



(b)

**Figure 24.20** Two examples of desert scrub. (a) Northern desert shrubland in Wyoming is dominated by sagebrush (*Artemisia*). Although classified as a cool desert plant, sagebrush forms one of the most important shrub types in North America. (b) Saltbrush shrubland in Victoria, Australia, is dominated by *Atriplex* and is an ecological equivalent of the Great Basin shrublands in North America.



(a)



(b)

**Figure 24.21** Two examples of hot deserts. (a) The Chihuahuan Desert in Nuevo Leon, Mexico. The substrate of this desert is sand-sized particles of gypsum. (b) Dunes in the Saudi Arabian desert near Riyadh. Note the extreme sparseness of vegetation.

A similar type of desert scrub exists in the semiarid inland of southwestern Australia. Many chenopod species, particularly the saltbushes of the genera *Atriplex* and *Maireana*, form extensive low shrublands on low riverine plains.

The hot deserts range from those lacking vegetation to ones with some combination of chenopods, dwarf shrubs, and succulents (Figure 24.21). Creosote bush (*Larrea divaricata*) and bur sage (*Franseria* spp.) dominate the deserts of southwestern North America—the Mojave, the Sonoran, and the Chihuahuan. Areas of favorable moisture support tall growths of *Acacia* spp., saguaro (*Cereus giganteus*), palo verde (*Cercidium* spp.), ocotillo (*Fouquieria* spp.), yucca (*Yucca* spp.), and ephemeral plants.

Both plants and animals adapt to the scarcity of water by either drought evasion or drought resistance. Drought-evasion plants flower only when moisture is present. They persist as seeds during drought periods, ready to sprout, flower, and produce seeds when moisture and temperature are favorable. If no rains come, these ephemeral species do not germinate and grow.



**Figure 24.22** A spadefoot toad, named for the black, sharp-edged “spades” on its hind feet, emerges from its desert burrow to breed when the rains come.

Drought-evasion animals, like their plant counterparts, adopt an annual cycle of activities or go into estivation or some other dormant stage during the dry season. For example, the spadefoot toad (*Scaphiopus*; Figure 24.22) remains underground in a gel-lined underground cell, making brief reproductive appearances during periods of winter and summer rains. If extreme drought develops during the breeding season, many animals such as lizards and birds do not reproduce.

Desert plants may be deep-rooted woody shrubs, such as mesquite (*Prosopis* spp.) and *Tamarix*, whose taproots reach the water table, rendering them independent of water supplied by rainfall. Some plants, such as *Larrea* and *Atriplex*, are deep-rooted perennials with superficial laterals that extend as far as 15 to 30 m from the stems. Other perennials, such as the various species of cactus, have shallow roots that often extend no more than a few centimeters below the surface.

Despite their aridity, desert ecosystems support a surprising diversity of animal life, including a wide assortment of beetles, ants, locusts, lizards, snakes, birds, and mammals. The mammals are mostly herbivorous species. Grazing herbivores of the desert tend to be generalists and opportunists in their mode of feeding. They consume a wide range of species, plant types, and parts. Desert rodents, particularly the family Heteromyidae, and ants feed largely on seeds and are important in the dynamics of desert ecosystems. Seed-eating herbivores can eat up to 90 percent of the available seeds. That consumption can distinctly affect plant composition and plant populations. Desert carnivores, such as foxes and coyotes, have mixed diets that include leaves and fruits; even insectivorous birds and rodents eat some plant material. Omnivory, rather than carnivory and complex food webs, seems to be the rule in desert ecosystems.

The infrequent rainfall coupled with high rates of evaporation limit the availability of water to plants, so primary productivity is low. Most desert soils are poorly developed Aridisols and Entisols and the sparse cover of arid lands limits the ability of vegetation to heavily modify the soil environment (see Figure 4.12). Underneath established plants, however, “islands of fertility” can develop due to higher litter input and the enrichment by wastes from animals that seek shade, particularly under shrubs.

## 24.6 Mediterranean Climates

### Support Temperate Shrublands

Shrublands—plant communities where the shrub growth form is either dominant or codominant—are difficult types of ecosystems to categorize, largely because of the difficulty in characterizing the term *shrub* itself. In general, a shrub is a plant with multiple woody, persistent stems but no central trunk and a height from 4.5 to 8 m. However, under severe environmental conditions, even many trees do not exceed that size. Some trees—particularly individuals that coppice (resprout from the stump) after destruction of the aboveground tissues by fire, browsing, or cutting—are multistemmed, and some shrubs can have large, single stems. In addition, the shrub growth form can be a dominant component of a variety of tropical and temperate ecosystems, including the tropical savannas and scrub desert communities (see Sections 24.2 and 24.3, respectively). However, in five widely disjunct regions along the western margins of the continents, between 30° and 40° latitude, are found the mediterranean ecosystems dominated by evergreen shrubs and sclerophyllous trees that have adapted to the distinctive climate of summer drought and cool, moist winters.

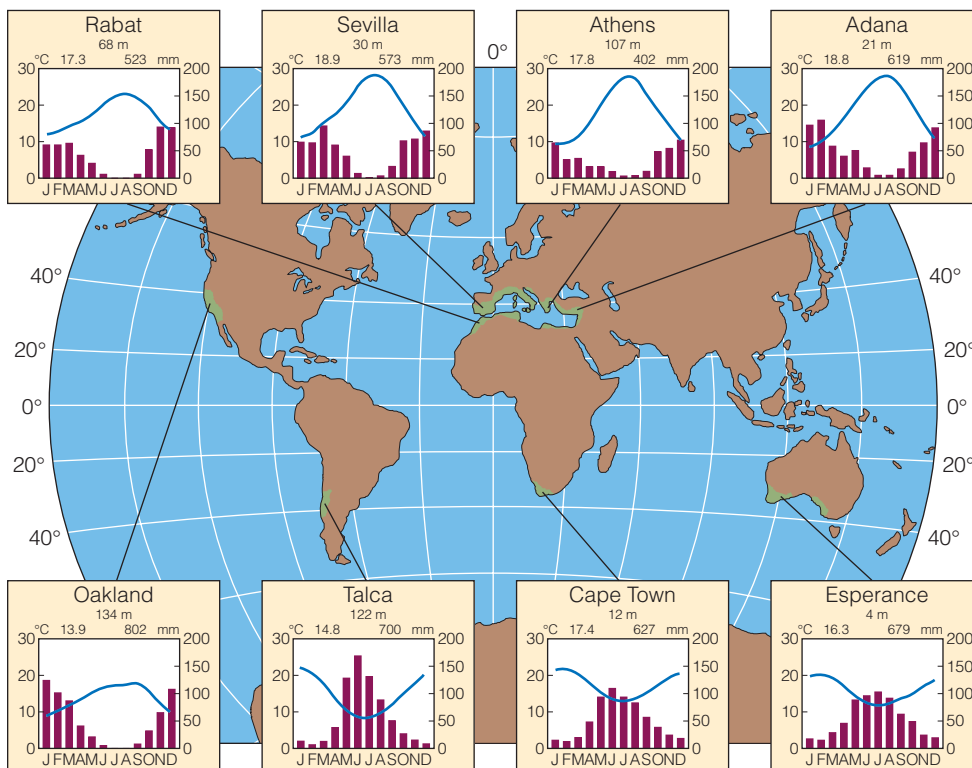
The five regions of mediterranean ecosystems include the semiarid regions of western North America, the regions bordering the Mediterranean Sea, central Chile, the cape region of South Africa, and southwestern and southern Australia (Figure 24.23). The mediterranean climate has hot, dry summers, with at least one month of protracted drought, and cool, moist winters (see representative climate diagrams in Figure 24.23). About 65 percent of the annual precipitation falls during the winter months. Winter temperatures typically average 10–12°C with a risk of frost. The hot, dry summer climates of the mediterranean regions arise from

the seasonal change in the semipermanent high-pressure zones that are centered over the tropical deserts at about 20°N and 20°S (see discussion in Section 24.5). The persistent flow of dry air out of these regions during the summer brings several months of hot, dry weather. Fire is a frequent hazard during these periods.

All five regions support similar-looking communities of xeric broadleaf evergreen shrubs and dwarf trees known as sclerophyllous (*scleros*, “hard”; *phyll*, “leaf”) vegetation with a herbaceous understory. Sclerophyllous vegetation possesses small leaves, thickened cuticles, glandular hairs, and sunken stomata, all characteristics that function to reduce water loss during the hot, dry summer period (Figure 24.24). Vegetation in each of the mediterranean systems also shares adaptations to fire and to low nutrient levels in the soil.

The largest area of mediterranean ecosystem forms a discontinuous belt around the Mediterranean Sea in southern Europe and North Africa. Much of the area is currently or was once dominated by mixed evergreen woodland supporting species such as holm oak (*Quercus ilex*) and cork oak (*Quercus suber*). Often, these two species grow in mixed stands in association with strawberry tree (*Arbutus unedo*) and various species of shrubs. The easternmost limit of these ecosystems is in the coastal areas of Syria, Lebanon, and Israel, where they grade into the arid lands of the Middle East. Here, deciduous oak species are more abundant. Desert vegetation extends across North Africa as far as Tunisia, with mediterranean shrub and woodland extending through the northern coastal areas of Algeria and Morocco.

The mediterranean zone in southern Africa is restricted to the mountainous region of the Cape Province, where the vegetation is known as *fynbos*. The vegetation is composed primarily of broadleaf proteoid shrubs that grow to a height



**Figure 24.23** Geographic distribution of Earth's mediterranean ecosystems and associated climate diagrams showing long-term patterns of monthly temperature and precipitation for selected locations. Note that these ecosystems are characterized by a winter rainy season and dry summers. (Adapted from Archibold 1995.)



(a)



(b)



(c)

**Figure 24.24** Sclerophyllous leaves of some tree and shrub species inhabiting mediterranean shrublands (chaparral) of California: (a) chamise (*Adenostoma fasciculatum*), (b) scrub oak (*Quercus dumosa*), and (c) chinquapin (*Chrysolepis sempervirens*).

of 1.5 to 2.5 m (Figure 24.25). In southwest Australia, the mediterranean shrub community known as *mallee* is dominated by low-growing *Eucalyptus*, 5 to 8 m in height, with broad sclerophyllous leaves.

In North America, the sclerophyllous shrub community is known as chaparral, a word of Spanish origin meaning a thicket of shrubby evergreen oaks (Figure 24.26). California chaparral, dominated by scrub oak (*Quercus berberidifolia*) and chamise (*Adenostoma fasciculatum*), is evergreen, winter-active, and summer-dormant. Another shrub type,

also designated as chaparral, is found in the Rocky Mountain foothills. Dominated by Gambel oak (*Quercus gambelii*), it is winter-deciduous.

The matorral shrub communities of central Chile occur in the coastal lowlands and on the west-facing slopes of the Andes. Most of the matorral species are evergreen shrubs 1 to 3 m in height with small sclerophyllous leaves, although drought-deciduous shrubs are also found.

For the most part, mediterranean shrublands lack an understory and ground litter and are highly flammable. Many spe-



**Figure 24.25** Mediterranean vegetation (fynbos) of the Western Cape region of South Africa.



**Figure 24.26** Chaparral is the dominant mediterranean shrub vegetation of southern California.



cies have seeds that require the heat and scarring action of fire to induce germination. Without fire, chaparral grows taller and denser, building up large fuel loads of leaves and twigs on the ground. In the dry season the shrubs, even though alive, nearly explode when ignited.

After fire, the land returns either to lush green sprouts coming up from buried root crowns or to grass if a seed source is nearby. As the regrowth matures, the chaparral vegetation once again becomes dense, the canopy closes, the litter accumulates, and the stage is set for another fire.

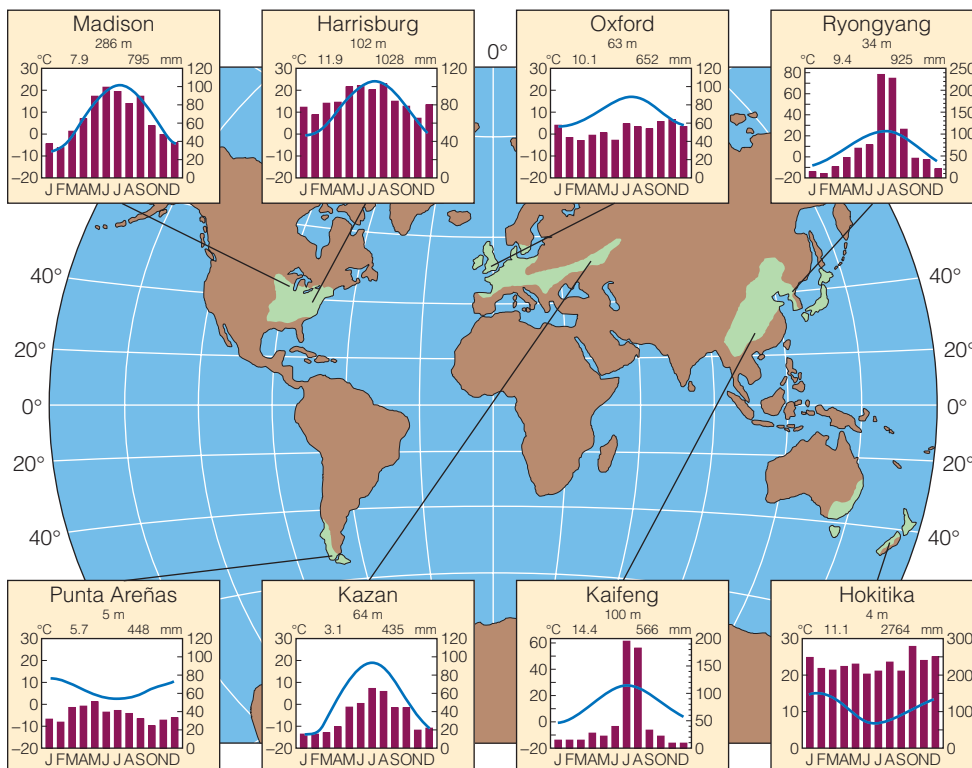
Shrub communities have a complex of animal life that varies with the region. In the mediterranean shrublands, similarity in habitat structure has resulted in pronounced parallel and convergent evolution among bird species and some lizard species, especially between the Chilean matorral and the California chaparral (see Part Seven Introduction). In North America, chaparral and sagebrush communities support mule deer (*Odocoileus hemionus*), coyotes (*Canis latrans*), a variety of rodents, jackrabbits (*Lepus* spp.), and sage grouse (*Centrocercus urophasianus*). The Australian mallee is rich in birds, including the endemic mallee fowl (*Leipoa ocellata*), which incubates its eggs in a large mound. Among the mammalian life are the gray kangaroo (*Macropus giganteus*) and various species of wallaby.

The diverse topography and geology of the mediterranean environments give rise to a diversity of soil conditions, but soils are typically classified as Alfisols (see Figure 4.12). The soils of the regions are generally deficient in nutrients, and litter decomposition is limited by low temperatures during the winter and low soil moisture during the summer months. These ecosystems vary in productivity depending on the annual precipitation and the severity of summer drought.

## 24.7 Forest Ecosystems Dominate the Wetter Regions of the Temperate Zone

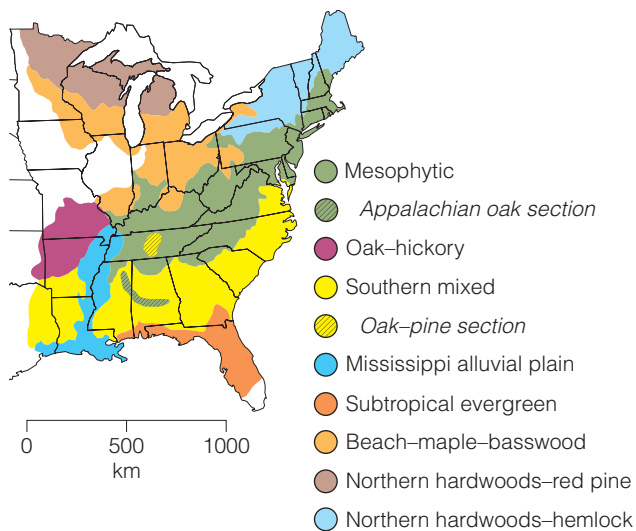
Climatic conditions in the humid midlatitude regions give rise to the development of forests dominated by broadleaf deciduous trees (Figure 24.27). But in the mild, moist climates of the Southern Hemisphere, temperate evergreen forests become predominant. Deciduous forest once covered large areas of Europe and China, parts of North and South America, and the highlands of Central America. The deciduous forests of Europe and Asia, however, have largely disappeared, cleared over the centuries for agriculture. In eastern North America, the deciduous forest consists of several forest types or associations (Figure 24.28) including the mixed mesophytic forest of the unglaciated Appalachian plateau; the beech–maple and northern hardwood forests (with pine and hemlock) in northern regions that eventually grade into the boreal forest (see Section 24.8); the maple–basswood forests of the Great Lakes states; the oak–chestnut (now oak since the die-off of the American chestnut) or central hardwood forests, which cover most of the Appalachian Mountains; the magnolia–oak forests of the Gulf Coast states; and the oak–hickory forests of the Ozarks. In North America, temperate deciduous forests reach their greatest development in the mesic forests of the central Appalachians, where the number of tree species is unsurpassed by any other temperate area in the world.

The Asiatic broadleaf forest, found in eastern China, Japan, Taiwan, and Korea, is similar to the North American deciduous forest and contains several plant species of the same genera as those found in North America and western Europe. However, broadleaf evergreen species become increasingly present in



**Figure 24.27** Geographic distribution of Earth's temperate forest ecosystems and associated climate diagrams showing long-term patterns of monthly temperature and precipitation for selected locations. These ecosystems are characterized by distinct seasonality in temperature with adequate precipitation during the growing season to support a closed canopy of trees.

(Adapted from Archibold 1995.)



**Figure 24.28** Large-scale distribution of temperate forest communities in the eastern United States, derived from contemporary data. Compare with Figure 17.11, depicting the original forest pattern. (Adapted from Dyer 2006.)

Japan, South Korea, and southern China and in the wet foothills of the Himalayas. In southern Europe, their presence reflects the transition into the mediterranean region. Evergreen oaks and pines are also widely distributed in the southeastern United States, where they are usually associated with poorly developed sandy or swampy soils.

In the Southern Hemisphere, temperate deciduous forests are found only in the drier parts of the southern Andes. In southern Chile, broadleaf evergreen rain forests have developed in an oceanic climate that is virtually frost free. Evergreen forests are also found in New Zealand, Tasmania, and parts of southeastern Australia where the winter temperatures are moderated by the coastal environment. Climate regions in these

areas are similar to those of the Pacific Northwest of North America, but here the predominant species are conifers.

In the broadleaf deciduous forests of the temperate region, the end of the growing season is marked by the autumn colors of foliage shortly before the trees enter into their leafless winter period (Figure 24.29). The trees resume growth in the spring in response to increasing temperatures and longer day lengths. Many herbaceous species flower at this time before the developing canopy casts a heavy shade on the forest floor.

Highly developed, unevenly aged deciduous forests usually have four vertical layers or strata (see Figure 17.5). The upper canopy consists of the dominant tree species, below which is the lower tree canopy, or understory. Next is the shrub layer, followed finally by the ground layer of herbs, ferns, and mosses. The diversity of animal life is associated with this vertical stratification and the growth forms of plants (see Chapter 17). Some animals, particularly forest arthropods, spend most of their lives in a single stratum; others range over two or more strata. The greatest concentration and variety of life in the forest occurs on and just below the ground layer. Many animals, the soil and litter invertebrates in particular, remain in the subterranean stratum. Others, such as mice, shrews, ground squirrels, and forest salamanders, burrow into the soil or litter for shelter and food. Larger mammals live on the ground layer and feed on herbs, shrubs, and low trees. Birds move rather freely among several strata but typically favor one layer over another (see Figure 17.6).

Differences in climate, bedrock, and drainage are reflected in the variety of soil conditions present. Alfisols, Inceptisols, and Ultisols are the dominant soil types with Alfisols typically associated with glacial materials in more northern regions (see Figure 4.12). Primary productivity varies geographically and is influenced largely by temperatures and the length of the growing season (see Section 21.3). Leaf fall in deciduous forests occurs over a short period in autumn, and the availability of nutrients is related to rates of decomposition and mineralization (see Chapter 22).

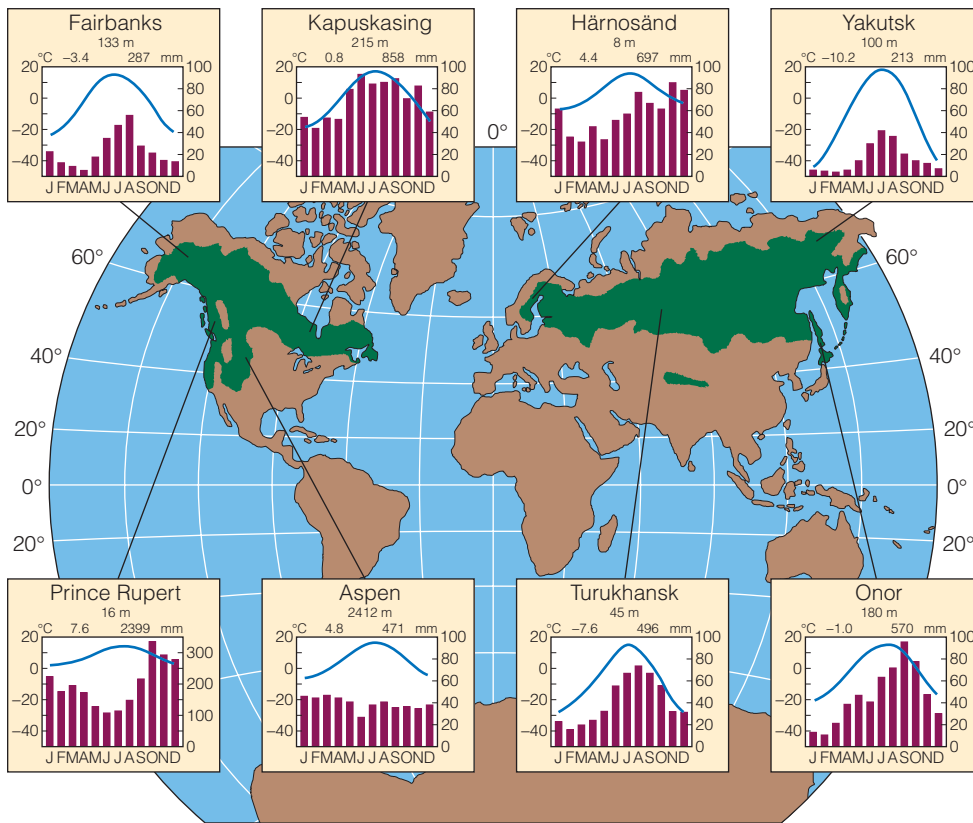


(a)



(b)

**Figure 24.29** A temperate forest of the Appalachian region: (a) the canopy during autumn, and (b) interior of the forest during spring. The forest is dominated by oaks (*Quercus* spp.) and yellow poplar (*Liriodendron tulipifera*), with an understory of redbud (*Cercis canadensis*) in bloom.



**Figure 24.30** Geographic distribution of Earth's conifer forest ecosystems and associated climate diagrams showing long-term patterns of monthly temperature and precipitation for selected locations. Regions supporting conifer forest ecosystems have a lower mean annual temperature and shorter growing season than areas that support temperate deciduous forest (see Figure 24.27). (Adapted from Archibold 1995.)

## 24.8 Conifer Forests Dominate the Cool Temperate and Boreal Zones

Conifer forests, dominated by needle-leaf evergreen trees, are found primarily in a broad circumpolar belt across the Northern Hemisphere and on mountain ranges, where low temperatures limit the growing season to a few months each year (Figure 24.30). The variable composition and structure of these forests reflect the wide range of climatic conditions in which they grow. In central Europe, extensive coniferous

forests, dominated by Norway spruce (*Picea abies*), cover the slopes up to the subalpine zone in the Carpathian Mountains and the Alps (Figure 24.31a). In North America, several coniferous forests blanket the Rocky, Wasatch, Sierra Nevada, and Cascade mountains. At high elevations in the Rocky Mountains grows a subalpine forest dominated by Engelmann spruce (*Picea engelmannii*) and subalpine fir (*Abies lasiocarpa*). Middle elevations have stands of Douglas fir, and lower elevations are dominated by open stands of ponderosa pine (*Pinus ponderosa*) (Figure 24.31b) and dense stands of

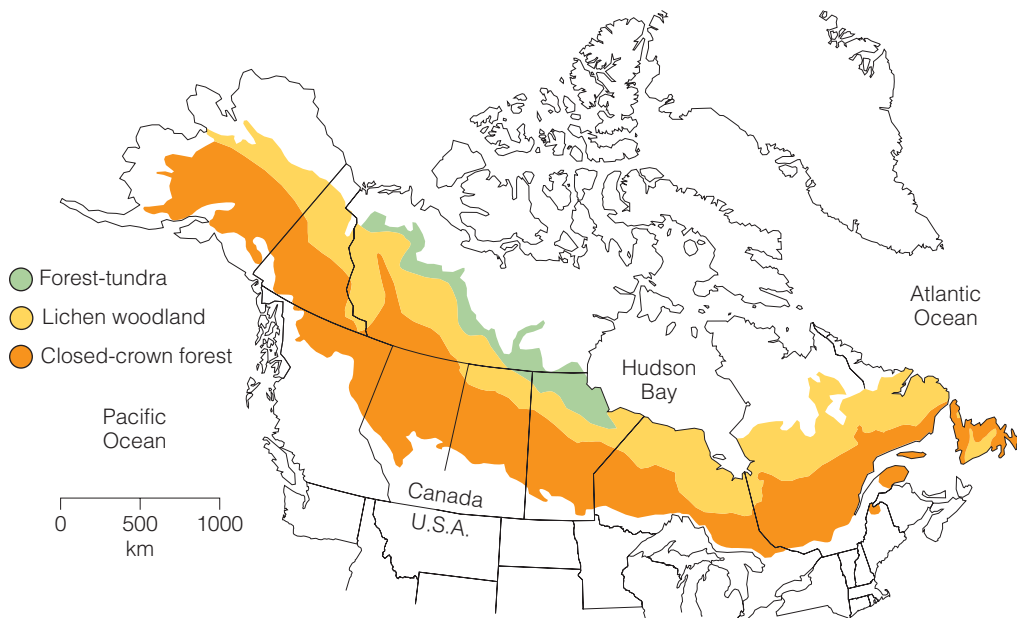


(a)



(b)

**Figure 24.31** Two coniferous forest types. (a) A Norway spruce in the Tarvisio region of Italy. (b) A montane coniferous forest in the Rocky Mountains. The dry, lower slopes support ponderosa pine; the upper slopes are cloaked with Douglas fir.



**Figure 24.32** Major subdivisions of the North American boreal forest.

(Adapted from Payette et al. 2001.)

the early successional conifer, lodgepole pine (*Pinus contorta*). The largest tree of all, the giant sequoia (*Sequoiadendron giganteum*), grows in scattered groves on the western slopes of the California Sierra. In addition, the mild, moist climate of the Pacific Northwest supports a highly productive coastal forest extending along the coastal strip from Alaska to northern California.

The largest expanse of conifer forest, in fact the largest vegetation formation on Earth, is the boreal forest, or taiga (Russian for “land of little sticks”). This belt of coniferous forest, encompassing the high latitudes of the Northern Hemisphere, covers about 11 percent of Earth’s terrestrial surface (see Figure 24.30). In North America, the boreal forest covers much of Alaska and Canada and spills into northern New England, with fingers extending down the western mountain ranges and into the Appalachians. In Eurasia, the boreal forest begins in Scotland and Scandinavia and extends across the continent, covering much of Siberia, to northern Japan.

Three major vegetation zones make up the taiga (Figure 24.32): (1) the forest–tundra ecotone with open stands of stunted spruce, lichens, and moss; (2) the open lichen woodland with stands of lichens and black spruce; and (3) the main boreal forest (Figure 24.33) with continuous stands of spruce and pine broken by poplar and birch on disturbed areas. This boreal–mixed forest grades into the temperate forest of southern Canada and the northern United States. Primarily occupying formerly glaciated land, the taiga is also a region of cold lakes, bogs, rivers, and alder thickets.

A cold continental climate with strong seasonal variation dominates the taiga. The summers are short, cool, and moist; the winters are long, harsh, and dry, with a prolonged period of snowfall. The driest winters and the greatest seasonal fluctuations are in interior Alaska and central Siberia, which experience seasonal temperature extremes (difference between minimum and maximum annual temperatures) of as much as 100°C.

Much of the taiga is under the controlling influence of permafrost, which impedes infiltration and maintains high

soil moisture. Permafrost is the perennially frozen subsurface that may be hundreds of meters deep. It develops where the ground temperatures remain below 0°C for extended periods of time. Its upper layers may thaw in summer and refreeze in winter. Because the permafrost is impervious to water, it forces all water to remain and move above it. Thus, the ground stays soggy even though precipitation is low, enabling plants to exist in the driest parts of the Arctic.

Fires are recurring events in the taiga. During periods of drought, fires can sweep over hundreds of thousands of hectares. All of the boreal species, both broadleaf trees and conifers, are well adapted to fire. Unless too severe, fire provides a seedbed for regeneration of trees. Light surface burns favor early successional hardwood species. More severe fires eliminate hardwood competition and favor spruce and jack pine regeneration.



**Figure 24.33** Black spruce is a dominant conifer in the North American taiga.

Because of the global demand for timber and pulp, vast areas of the boreal forest across North America and Siberia are being clear-cut with little concern for their future. This exploitation can alter the nature of and threaten the survival of the boreal forest.

The boreal forest has a unique animal community. Caribou (*Rangifer tarandus*), wide-ranging and feeding on grasses, sedges, and especially lichens, inhabit open spruce-lichen woodlands. Joining the caribou is the moose (*Alces alces*), called elk in Eurasia, the largest of all deer. It is a lowland mammal feeding on aquatic and emergent vegetation as well as alder and willow. Competing with moose for browse is the cyclic snowshoe hare (*Lepus americanus*). The arboreal red squirrel (*Sciurus hudsonicus*) inhabits the conifers and feeds on young pollen-bearing cones and seeds of spruce and fir, and the quill-bearing porcupine (*Erethizon dorsatum*) feeds on leaves, twigs, and the inner bark of trees. Preying on these are an assortment of predators including the wolf, lynx (*Lynx canadensis*), pine martin (*Martes americana*), and owls. The taiga is also the nesting ground of migratory neotropical birds and the habitat of northern seed-eating birds such as crossbills (*Loxia* spp.), grosbeaks (*Coccothraustes* spp.), and siskins (*Carduelis* spp.).

Of great ecological and economic importance are major herbivorous insects such as the spruce budworm (*Choristoneura fumiferana*). Although they are major food items for insectivorous summer birds, these insects experience periodic outbreaks during which they defoliate and kill large expanses of forest.

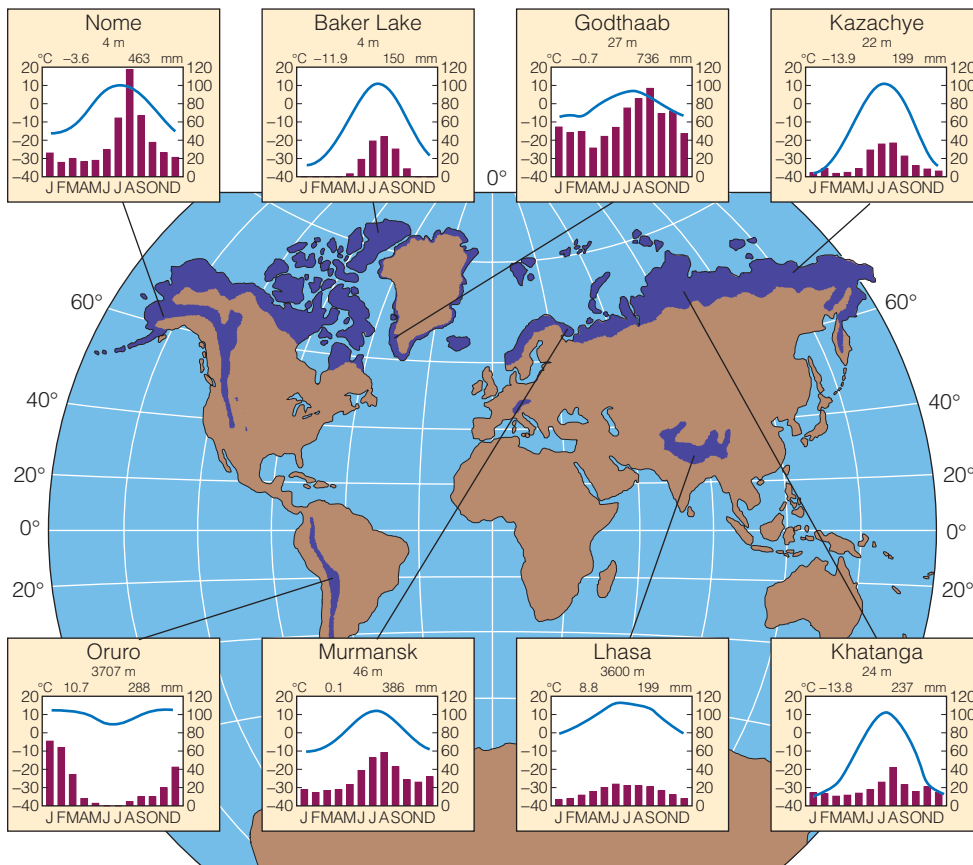
Compared to more temperate forests, boreal forests have generally low net primary productivity; they are limited by low nutrients, cooler temperatures, and the short growing season.

Likewise, inputs of plant litter are low compared to the forests of the warmer temperate zone. However, rates of decomposition are slow under the cold, wet conditions, resulting in the accumulation of organic matter. Soils are primarily Spodosols characterized by a thick organic layer (see Figure 4.12). The mineral soils beneath mature coniferous forests are comparatively infertile, and growth is often limited by the rate at which mineral nutrients are recycled through the ecosystem.

## 24.9 Low Precipitation and Cold Temperatures Define the Arctic Tundra

Encircling the top of the Northern Hemisphere is a frozen plain, clothed in sedges, heaths, and willows; dotted with lakes; and crossed by streams (Figure 24.34). Called tundra, its name comes from the Finnish *tunturi*, meaning “a treeless plain.” The arctic tundra falls into two broad types: *tundra* with up to 100 percent plant cover and wet to moist soil (Figure 24.35), and *polar desert* with less than 5 percent plant cover and dry soil.

Conditions unique to the Arctic tundra are a product of at least three interacting forces: (1) the permanently frozen deep layer of permafrost; (2) the overlying active layer of organic matter and mineral soil that thaws each summer and freezes the following winter; and (3) vegetation that reduces warming and retards thawing in summer. Permafrost chills the soil, retarding the general growth of plant parts both above- and belowground,



**Figure 24.34** Geographic distribution of Earth's tundra ecosystems and associated climate diagrams showing long-term patterns of monthly temperature and precipitation for selected locations. Tundra ecosystems are characterized by lower mean temperatures, a shorter growing season, and lower annual precipitation than regions supporting conifer forest (see Figure 24.30 for comparison). (Adapted from Archibold 1995.)



(a)



(b)

**Figure 24.35** (a) The plant cover that characterizes the wide expanse of the Arctic tundra in the Northwest Territories of Canada is in stark contrast to (b) the polar desert that is characterized by dry soils and sparse plant cover.

limiting the activity of soil microorganisms, and diminishing the aeration and nutrient content of the soil.

Alternate freezing and thawing of the upper layer of soil creates the unique, symmetrically patterned landforms typical of the tundra (Figure 24.36). The frost pushes stones and other material upward and outward from the mass to form a patterned surface of frost hummocks, frost boils, earth stripes, and stone polygons. On sloping ground, creep, frost thrusting, and downward flow of supersaturated soil over the permafrost form *solifluction terraces*, or “flowing soil.” This gradual downward creep of soils and rocks eventually rounds off ridges and other irregularities in topography. Such molding of the landscape by frost action, called *cryoplanation*, is far more important than erosion in wearing down the Arctic landscape.

Structurally, the vegetation of the tundra is simple. The number of species tends to be few and growth is slow. Only those species able to withstand constant disturbance of the soil, buffeting by the wind, and abrasion from wind-carried particles of soil and ice can survive. Low ground is covered with a complex of cotton grasses, sedges, and *Sphagnum*. Well-drained sites support heath shrubs, dwarf willows and birches, herbs, mosses, and lichens. The driest and most exposed sites support scattered heaths and crustose and foliose lichens growing on the rock. Arctic plants propagate themselves almost entirely by vegetative means, although viable seeds many hundreds of years old exist in the soil.

Plants are photosynthetically active on the Arctic tundra about three months out of the year. As snow cover disappears, plants commence photosynthetic activity. They maximize use of the growing season and light by photosynthesizing during the 24-hour daylight period, even at midnight when light is one-tenth that of noon. The nearly erect leaves of some Arctic plants permit almost complete interception of the low angle of the Arctic sun.

Much of the photosynthate goes into the production of new growth, but about one month before the growing season ends, plants cease to allocate photosynthate to aboveground biomass. They withdraw nutrients from the leaves and move them to roots and belowground biomass, sequestering 10 times the amount stored by temperate grasslands.



(a)



(b)

**Figure 24.36** Patterned landforms typical of the tundra region: (a) frost hummocks, and (b) polygons. Alternate freezing and thawing of the upper layer of soil creates the symmetrically patterned landforms.

Structurally, most of the tundra vegetation is underground. Root-to-shoot ratios of vascular plants range from 3:1 to 10:1. Roots are concentrated in the upper soil that thaws during the summer, and aboveground parts seldom grow taller than 30 cm. It is not surprising, then, that the belowground net annual production is typically three times that of the aboveground productivity.

The tundra hosts fascinating animal life, even though the diversity of species is low. Invertebrates are concentrated near the surface, where there are abundant populations of segmented white-worms (Enchytraeidae), collembolas, and flies (Diptera), chiefly crane flies. Summer in the Arctic tundra brings hordes of black flies (*Simulium* spp.), deer flies (*Chrysops* spp.), and mosquitoes.

Dominant vertebrates on the Arctic tundra are herbivores, including lemmings, Arctic hare, caribou, and musk ox (*Ovibos moschatus*). Although caribou have the greatest herbivore biomass, lemmings, which breed throughout the year, may reach densities as great as 125 to 250 per hectare; they consume three to six times as much forage as caribou do. Arctic hares that feed on willows disperse over the range in winter and congregate in more restricted areas in summer. Caribou are extensive grazers, spreading out over the tundra in summer to feed on sedges. Musk oxen are more intensive grazers, restricted to more localized areas where they feed on sedges, grasses, and dwarf willow. Herbivorous birds are few, dominated by ptarmigan and migratory geese.

The major Arctic carnivore is the wolf, which preys on musk ox, caribou, and, when they are abundant, lemmings. Medium-sized to small predators include the Arctic fox (*Alopex lagopus*), which preys on Arctic hare, and several species of weasel, which prey on lemmings. Also feeding on lemmings are snowy owls (*Nyctea scandiaca*) and the hawk-like jaegers (*Stercorarius* spp.). Sandpipers (*Tringa* spp.), plovers (*Pluvialis* spp.), longspurs (*Calcarius* spp.), and waterfowl, which nest on the wide expanse of ponds and boggy ground, feed heavily on insects.



**Figure 24.37** Rocky Mountains alpine tundra.

At lower latitudes, alpine tundra occurs in the higher mountains of the world. The alpine tundra is a severe environment of rock-strewn slopes, bogs, meadows, and shrubby thickets (Figure 24.37). It is a land of strong winds, snow, cold, and widely fluctuating temperatures. During summer, the temperature on the surface of the soil ranges from 40°C to 0°C. The atmosphere is thin so light intensity, especially ultraviolet, is high on clear days. Alpine tundras have little permafrost, and it is confined mostly to very high elevations. Lacking permafrost, soils are drier. Only in alpine wet meadows and bogs do soil moisture conditions compare with those of the Arctic. Precipitation, especially snowfall and humidity, is higher in the alpine regions than in the Arctic tundra, but steep topography induces a rapid runoff of water.

## Summary

### Ecosystem Distribution and Plant Adaptations 24.1

Terrestrial ecosystems can be grouped into broad categories called biomes. Biomes are classified according to the predominant plant types. There are at least eight major terrestrial biome types: tropical forest, temperate forest, conifer forest (taiga or boreal forest), tropical savanna, temperate grasslands, chaparral (shrublands), tundra, and desert. These broad categories reflect the relative contribution of three general plant life-forms: trees, shrubs, and grasses. Interaction between moisture and temperature is the primary factor limiting the nature and geographic distribution of terrestrial ecosystems.

Terrestrial ecosystems are classified by their vegetation structure, which is typically defined on the basis of the dominant plant life-forms (grasses, shrubs, and trees). Constraints imposed on the adaptations of these major plant life-forms to features of the physical environment (climate and soils) determine their patterns of dominance along gradients of temperature and moisture. These patterns of plant life-forms determine the corresponding distribution of ecosystems.

### Tropical Forests 24.2

Seasonality of rainfall determines the types of tropical forests. Rain forests, associated with high aseasonal rainfall, are dominated by broadleaf evergreen trees. They are noted for their enormous diversity of plant and animal life. The vertical structure of the forest is divided into five general layers: emergent trees, high upper canopy, low tree stratum, shrub understory, and a ground layer of herbs and ferns. Conspicuous in the rain forest are the lianas or climbing vines, epiphytes growing up in the trees, and stranglers growing downward from the canopy to the ground. Many large trees develop buttresses for support. Nearly 90 percent of nonhuman primate species live in tropical rain forests.

Tropical rain forests support high levels of primary productivity. The high rainfall and consistently warm temperatures also result in high rates of decomposition and nutrient cycling.

Dry tropical forests undergo varying lengths of dry season, during which trees and shrubs drop their leaves (drought-deciduous). New leaves are grown at the onset of the rainy season. Most dry tropical forests have been lost to agriculture and grazing and other disturbances.

### Tropical Savannas 24.3

Savannas are characterized by a codominance of grasses and woody plants. Such vegetation is characteristic of regions with alternating wet and dry seasons. Savannas range from grass with occasional trees to shrubs to communities where trees form an almost continuous canopy as a function of precipitation and soil texture. Productivity and decomposition in savanna ecosystems are closely tied to the seasonality of precipitation.

Savannas support a large and varied assemblage of both invertebrate and vertebrate herbivores. The African savanna is dominated by a large, diverse population of ungulate fauna and associated carnivores.

### Temperate Grasslands 24.4

Natural grasslands occupy regions where rainfall is between 250 and 800 mm a year. Once covering extensive areas of the globe, natural grasslands have shrunk to a fraction of their original size because of conversion to cropland and grazing lands.

Grasslands vary with climate and geography. Native grasslands of North America, influenced by declining precipitation from east to west, consist of tallgrass prairie, mixed-grass prairie, shortgrass prairie, and desert grasslands. Eurasia has steppes, South America the pampas, and southern Africa the veldt. Grassland consists of an ephemeral herbaceous layer that arises from crowns, nodes, and rosettes of plants hugging the ground. It also has a ground layer and a highly developed root layer. Depending on the history of fire and degree of grazing and mowing, grasslands accumulate a layer of mulch.

Grasslands support a diversity of animal life dominated by herbivorous species, both invertebrate and vertebrate. Grasslands once supported herds of large grazing ungulates such as bison in North America, migratory herds of wildebeest in Africa, and marsupial kangaroos in Australia. Grasslands evolved under the selective pressure of grazing. Although the most conspicuous grazers are large herbivores, the major consumers are invertebrates. The heaviest consumption takes place belowground, where the dominant herbivores are nematodes.

### Deserts 24.5

Deserts occupy about one-seventh of Earth's land surface and are largely confined to two worldwide belts between 15° and 30° north and south latitude. Deserts result from dry descending air masses within these regions, the rain shadows of coastal mountain ranges, and remoteness from oceanic moisture. Two broad types of deserts exist: cool deserts, exemplified by the Great Basin of North America, and hot deserts, like the Sahara. Deserts are structurally simple—scattered shrubs, ephemeral plants, and open, stark topography. In this harsh environment, plants and animals have evolved ways of circumventing aridity and high temperatures by either evading or resisting drought. Despite their aridity, deserts support a diversity of animal life, notably opportunistic herbivorous species and carnivores.

### Shrubland 24.6

Shrubs have a densely branched, woody structure and low height. Shrublands are difficult to classify due to the variety of climates in which shrubs can be a dominant or codominant component of

the plant community. But in five widely disjunct regions along the western margins of the continents between 30° and 40° latitude are found the mediterranean ecosystems. Dominated by evergreen shrubs and sclerophyll trees, these biomes have adapted to the distinctive climate of summer drought and cool, moist winters. These shrublands are fire adapted and highly flammable.

### Temperate Forests 24.7

Broadleaf deciduous forests are found in the wetter environments of the warm temperate region. They once covered large areas of Europe and China, but their distribution has been reduced by human activity. In North America, deciduous forests are still widespread. They include various types such as beech–maple and oak–hickory forest; the greatest development is in the mixed mesophytic forest of the unglaciated Appalachians. Well-developed deciduous forests have four strata: upper canopy, lower canopy, shrub layer, and ground layer. Vertical structure influences the diversity and distribution of life in the forest. Certain species are associated with each stratum.

### Conifer Forests 24.8

Coniferous forests of temperate regions include the montane pine forests and lower-elevation pine forests of Eurasia and North America and the temperate rain forests of the Pacific Northwest.

North of the temperate coniferous forest is the circumpolar taiga, or boreal forest, the largest biome on Earth. Characterized by a cold continental climate, the taiga consists of four major zones: the forest ecotone, open boreal woodland, main boreal forest, and boreal–mixed forest ecotone.

Permafrost, the maintenance of which is influenced by tree and ground cover, strongly influences the pattern of vegetation, as do recurring fires. Spruces and pines dominate boreal forest with successional communities of birch and poplar. Ground cover below spruce is mostly moss; in open spruce and pine stands, the cover is mostly lichens.

Major herbivores of the boreal region include caribou, moose, and snowshoe hare. Predators include the wolf, lynx, and pine martin.

### Tundra 24.9

The Arctic tundra extends beyond the tree line at the far north of the Northern Hemisphere. It is characterized by low temperature, low precipitation, a short growing season, a perpetually frozen subsurface (the permafrost), and a frost-molded landscape. Plant species are few, growth forms are low, and growth rates are slow. Over much of the Arctic, the dominant vegetation is cotton grass, sedge, and dwarf heaths. These plants exploit the long days of summer by photosynthesizing during the 24-hour daylight period. Most plant growth occurs underground. The animal community is low in diversity but unique. Summer in the Arctic brings hordes of insects, providing a rich food source for shorebirds. Dominant vertebrates are lemming, Arctic hare, caribou, and musk ox. Major carnivores are the wolf, Arctic fox, and snowy owl.

Alpine tundras occur in the mountains of the world. They are characterized by widely fluctuating temperatures, strong winds, snow, and a thin atmosphere.



## Study Questions

1. What are the main differences between tropical rain forest and tropical dry forest?
2. What are the major strata in the tropical rain forest?
3. How does the warm, wet environment of tropical rain forest influence rates of net primary productivity and decomposition?
4. What types of trees characterize tropical rain forest (leaf type)?
5. What distinguishes savannas from grassland ecosystems?
6. Under what climate conditions do you find tropical savanna ecosystems?
7. How does seasonality influence rates of net primary productivity and decomposition in savanna ecosystems?
8. What features of regional climate lead to the formation of desert ecosystems?
9. What climate characterizes mediterranean ecosystems?
10. What type of leaves characterize mediterranean plants?
11. What types of leaves characterize the trees of temperate forest ecosystems?
12. How does seasonality of temperature influence the structure and productivity of temperate forest ecosystems?
13. What climate is characteristic of temperate grasslands?
14. How does annual precipitation influence the structure and productivity of grassland ecosystems?
15. What type of trees characterize boreal forest?
16. What is permafrost, and how does it influence the structure and productivity of boreal forest ecosystems?
17. What physical and biological features characterize Arctic tundra?
18. How does alpine tundra differ from Arctic tundra?

## Further Readings

- Archibold, O. W. 1995. *Ecology of world vegetation*. London: Chapman & Hall.  
An outstanding reference for those interested in the geography and ecology of terrestrial ecosystems.
- Bliss, L. C., O. H. Heal, and J. J. Moore, eds. 1981. *Tundra ecosystems: A comparative analysis*. New York: Cambridge University Press.  
A major reference on the geography, structure, and function of these high-latitude ecosystems.
- Bonan, G. B., and H. H. Shugart. 1989. Environmental factors and ecological processes in boreal forests. *Annual Review of Ecology and Systematics* 20:1–18.  
An excellent review paper providing a good introduction to boreal forest ecosystems.
- Bruijnzeel, L. A., F. N. Scatena, and L. S. Hamilton 2011. *Tropical montane cloud forests*. New York: Cambridge University Press.  
Comprehensive overview of high elevation cloud forests covering among many topics, distribution, climate, soils, hydrological processes, cloud forest conservation and management.
- Evenardi, M., I. Noy-Meir, and D. Goodall, eds. 1986. *Hot deserts and arid shrublands of the world*. Ecosystems of the World 12A and 12B. Amsterdam: Elsevier Scientific.  
A major reference work on the geography and ecology of the world's deserts.
- French, N., ed. 1979. *Perspectives on grassland ecology*. New York: Springer-Verlag.  
This text provides a good summary of grassland ecology.
- Murphy, P. G., and A. E. Lugo. 1986. Ecology of tropical dry forests. *Annual Review of Ecology and Systematics* 17:67–88.  
This paper provides an overview of the distribution and ecology of tropical dry forests, one of the most endangered terrestrial ecosystems.
- Quinn, R. D., and S. C. Keeley. 2006. *Introduction to California chaparral*. Berkeley: University of California Press.  
An overview of the chaparral ecosystem with an excellent discussion of the role and impact of fire.
- Reichle, D. E., ed. 1981. *Dynamic properties of forest ecosystems*. Cambridge, UK: Cambridge University Press.  
The major reference source on the ecology and function of forest ecosystems throughout the world.
- Reichman, O. J. 1987. *Konza prairie: A tallgrass natural history*. Lawrence: University Press of Kansas.  
An excellent introduction to the tallgrass prairie ecosystem; emphasizes the interrelationships of plants, animals, and landscape.
- Richards, P. W. 1996. *The tropical rain forest: An ecological study*, 2nd ed. New York: Cambridge University Press.  
A thoroughly revised edition of a classic book on the ecology of tropical rain forests.
- Sinclair, A. R. E., and P. Arcese, eds. 1995. *Serengeti II: Dynamics, management, and conservation of an ecosystem*. Chicago: University of Chicago Press.  
A masterful study of an ecosystem. A valuable reference on the ecology of tropical savanna ecosystems.
- Sinclair, A., C. Packer, and S. Mduma, eds. 2008. *Serengeti III. Human Impacts on Ecosystem Dynamics*. Chicago: University Chicago Press.  
This book is a follow up to Serengeti II (above). It updates information on ecosystem dynamics, conservation, and changes brought about by the growing population of pastoralists and agriculturalists surrounding the Serengeti.

# Aquatic Ecosystems

- 25.1 Lakes Have Many Origins**
- 25.2 Lakes Have Well-Defined Physical Characteristics**
- 25.3 The Nature of Life Varies in the Different Zones**
- 25.4 The Character of a Lake Reflects Its Surrounding Landscape**
- 25.5 Flowing-Water Ecosystems Vary in Structure and Types of Habitats**
- 25.6 Life Is Highly Adapted to Flowing Water**
- 25.7 The Flowing-Water Ecosystem Is a Continuum of Changing Environments**
- 25.8 Rivers Flow into the Sea, Forming Estuaries**
- 25.9 Oceans Exhibit Zonation and Stratification**
- 25.10 Pelagic Communities Vary among the Vertical Zones**
- 25.11 Benthos Is a World of Its Own**
- 25.12 Coral Reefs Are Complex Ecosystems Built by Colonies of Coral Animals**
- 25.13 Productivity of the Oceans Is Governed by Light and Nutrients**



Waves heavily influence the pattern of life on rocky shores along the California coastline.

Whereas scientists classify terrestrial ecosystems according to their dominant plant life-forms, classification of aquatic ecosystems is largely based on features of the physical environment. A major feature influencing the adaptations of organisms to the aquatic environment is water salinity (see Section 3.5). For this reason, aquatic ecosystems fall into two major categories: freshwater and saltwater (or marine). These categories are further divided into several ecosystem types based on substrate, depth and flow of water, and type of dominant organisms (typically plants).

Ecologists subdivide marine ecosystems into two broad categories of coastal and open-water systems. Freshwater ecosystems are classified on the basis of water depth and flow. Flowing-water, or **lotic**, ecosystems include rivers and streams. Nonflowing-water, or **lentic** ecosystems, include ponds, lakes, and inland wetlands.

All aquatic ecosystems, both freshwater and marine, are linked directly or indirectly as components of the hydrological cycle (Figure 25.1; also see Section 3.1). Water evaporated from oceans and terrestrial environments falls as precipitation. The precipitation that remains on the land surface (i.e., does not infiltrate into the soil or evaporate) follows a path determined by gravity and topography—more specifically, geomorphology. Flowing-water ecosystems begin as streams. These streams, in turn, coalesce into rivers as they follow the topography of the landscape, or they collect in basins and floodplains to form standing-water ecosystems such as ponds, lakes, and inland wetlands. Rivers eventually flow to the coast and form estuaries, which represent the transition from freshwater to marine.

In this chapter, we will examine the basic characteristics of aquatic ecosystems, both freshwater and marine. Beginning first with freshwater ecosystems, we will examine lentic ecosystems (lakes and ponds). We then turn our attention to lotic ecosystems, following the path and changing characteristics of streams as they coalesce to form rivers, eventually flowing to

coastal environments. After examining estuarine environments, we conclude by examining the marine environments that cover over 70 percent of Earth's surface.

## 25.1 Lakes Have Many Origins

Lakes and ponds are inland depressions containing standing water (Figure 25.2). They vary in depth from 1 m to more than 2000 m and they range in size from small ponds of less than a hectare to large lakes covering thousands of square kilometers. Ponds are small bodies of water so shallow that rooted plants can grow over much of the bottom. Some lakes are so large that they mimic marine environments. Most ponds and lakes have outlet streams, and both may be more or less temporary features on the landscape, geologically speaking.

Some lakes have formed by glacial erosion and deposition. Abrading slopes in high mountain valleys, glaciers carved basins that filled with water from rain and melting snow to form tarns. Retreating valley glaciers left behind crescent-shaped ridges of rock debris, called moraines, that dammed up water behind them. Many shallow kettle lakes and potholes were left behind by the glaciers that covered much of northern North America and northern Eurasia.

Lakes also form when silt, driftwood, and other debris deposited in beds of slow-moving streams dam up water behind them. Loops of streams that meander over flat valleys and floodplains often become cut off by sediments, forming crescent-shaped oxbow lakes.

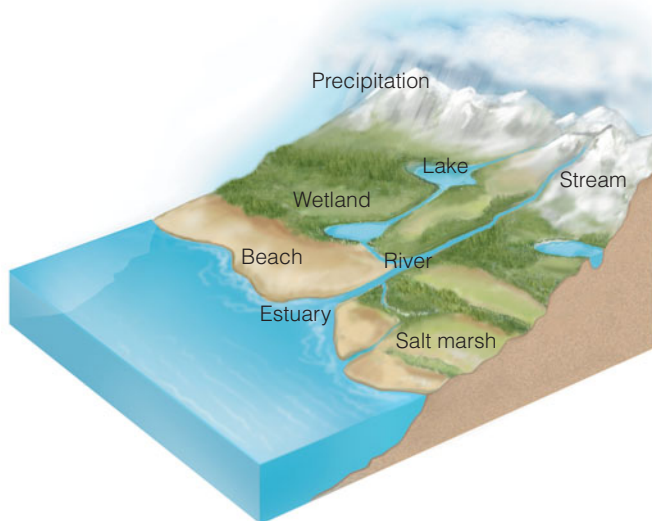
Shifts in Earth's crust, uplifting mountains or displacing rock strata, sometimes develop water-filled depressions. Craters of some extinct volcanoes have also become lakes. Landslides may block streams and valleys to form new lakes and ponds.

Many lakes and ponds form through nongeological activity. Beavers dam streams to make shallow but often extensive ponds. Humans create huge lakes by damming rivers and streams for power, irrigation, or water storage and construct smaller ponds and marshes for recreation, fishing, and wildlife (see Ecological Issues: Dams: Regulating the Flow of River Ecosystems). Quarries and surface mines can also form ponds.

## 25.2 Lakes Have Well-Defined Physical Characteristics

All lentic ecosystems share certain characteristics. Life in still-water ecosystems depends on light. The amount of light penetrating the water is influenced by natural attenuation, by silt and other material carried into the lake, and by the growth of phytoplankton. Temperatures vary seasonally and with depth (Figure 3.8). Oxygen can be limiting, especially in summer, because only a small proportion of the water is in direct contact with air, and respiration by decomposers on the bottom consumes large quantities (see Figure 3.11). Thus, variation in oxygen, temperature, and light strongly influences the distribution and adaptations of life in lakes and ponds (see Chapter 3 for more detailed discussion).

Ponds and lakes may be divided into both vertical and horizontal strata based on penetration of light and photosynthetic activity (Figure 25.3). The horizontal zones are obvious to the eye; the vertical ones, influenced by depth of light penetration,



**Figure 25.1** Idealized landscape/seascape showing the linkages among the various types of aquatic ecosystems via the water cycle.

Go to [QUANTIFY it!](http://www.ecologyplace.com) at [www.ecologyplace.com](http://www.ecologyplace.com) to learn about global freshwater resources.



(a)



(b)

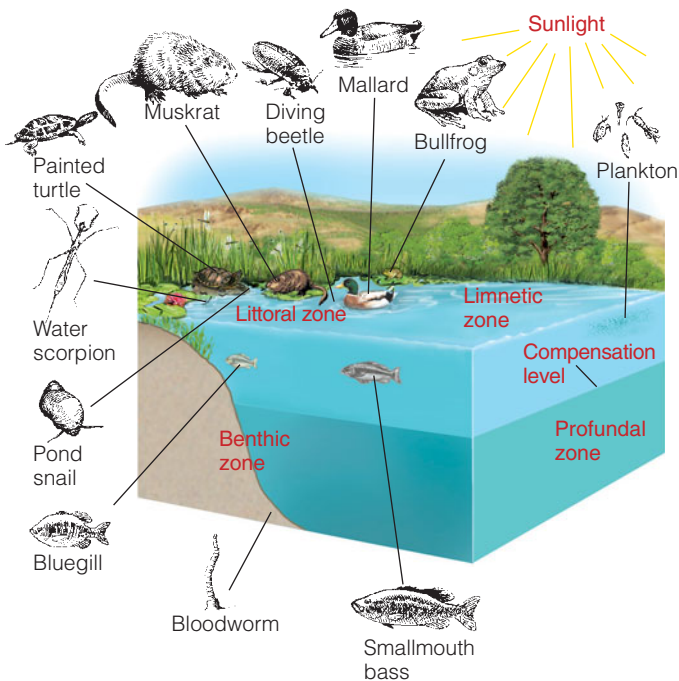


(c)



(d)

**Figure 25.2** Lakes and ponds fill basins or depressions in the land. (a) A rock basin glacial lake, or tarn, in the Rocky Mountains. (b) Swampy tundra in Siberia is dotted with numerous ponds and lakes. (c) An oxbow lake formed when a bend in the river was cut off from the main channel. (d) A human-constructed, old New England millpond. Note the floating vegetation.



**Figure 25.3** Major zones of a lake in midsummer: littoral, limnetic, profundal, and benthic. The compensation level is the depth where light levels are such that gross production in photosynthesis is equal to respiration, so net production (primary) is zero. The organisms shown are typical of the various zones in a lake community.

## ECOLOGICAL ISSUES Dams: Regulating the Flow of River Ecosystems

**D**ams constructed across rivers and streams interrupt and regulate the natural flow of water (Figure 1), profoundly affecting the river's hydrology and ecology. Dams change the environment in which lotic organisms live, most often to their detriment.

Under normal conditions, free-flowing streams and rivers experience seasonal fluctuation in flow. Snow-melt and early spring rains bring scouring high water; summer brings low water levels that expose some of the streambed and speed the decomposition of organic matter along the edges. Life has adapted to these seasonal changes. Damming a river or stream interrupts both nutrient spiraling and the river continuum.

Downstream flow is greatly reduced as a pool of water fills behind the dam, developing characteristics similar to those of a natural lake yet retaining some features of the lotic system, such as a constant inflow of water. Heavily fertilized by decaying material on the newly flooded land, the lake develops a heavy bloom of phytoplankton and, in tropical regions, dense growths of floating plants. Species of fish, often introduced exotics adapted to lake-like conditions, replace fish adapted to flowing water.

The type of pool allowed to develop depends on the purpose of the dam and strongly affects downstream conditions. Single-purpose dams serve only for flood control or water storage; multipurpose dams provide hydroelectric power, irrigation water, and recreation, among other uses. A flood-control dam has a minimum pool; the dam fills only during a flood, at which time inflow exceeds outflow. Engineers release the water slowly to minimize downstream flooding. In time, the water behind the dam recedes to the original pool depth. During and after floods, the river below carries a strong flow for some time, scouring the riverbed. During normal times, flow below the dam is stabilized. If the dam is for water storage, the reservoir holds its maximum pool, but during periods of water shortage and drought, drawdown of the pool can be considerable, exposing large expanses of shoreline for a long time and stressing or killing life in the littoral zone. Only a minimal quantity of water



is released downstream—usually an amount required by law. Hydroelectric and multipurpose dams hold a variable amount of water, determined by consumer needs. During periods of power production, pulsed releases are strong enough to wipe out or dislodge benthic life downstream, which under the best of conditions has a difficult time becoming established.

Reservoirs with large pools of water become stratified, with well-developed vertical structure—epilimnion, thermocline, and hypolimnion (see Figure 3.9). If water is discharged from the upper layer of the reservoir, the effect of the flow downstream is similar to that of a natural lake. Warm, nutrient-rich, well-oxygenated water creates highly favorable conditions for some species of fish below the spillway and on downstream. If the discharge is from the cold hypolimnion, downstream receives cold, oxygen-poor water carrying an accumulation of iron and other minerals and a concentration of soluble organic materials. Such adverse conditions to stream life may persist for hundreds of kilometers downstream before the river reaches anything near normal conditions. Gated selective withdrawal structures or induced artificial circulation to increase oxygen concentration reduce such problems at some dams.

The impact of dams is compounded when a series of multipurpose dams are built on a river. The amount of water released and moving downstream decreases with each dam, until eventually all available water is consumed and the river simply dries up. This describes the situation on the Colorado River, the most regulated river in the world. The river is nearly dry by the time it reaches Mexico and disappears before reaching the mouth of the Gulf of California.

For many years the impetus has been to build more and more dams on our rivers and streams. Now the focus has shifted to removing dams to restore degraded rivers and streams, eliminate costly repairs, and improve public safety. Over 500 old sediment-filled dams, between 5 and 10 meters high, that do not generate power or control floods have been removed. The positive ecological effects of removing dams include eliminating barriers to upstream movement of fish, restoring spawning areas, and shifting macroinvertebrates from lentic to lotic species upstream. The negative effects are short-term increases of sediment loads with their contaminants downstream, upstream movement of invasive species, and loss of any backwater wetland habitat.

1. How might the development of dams in coastal regions affect migratory fish species, such as the populations of sockeye salmon along the Pacific Northwest coast of North America discussed in the introduction to Part Three?
2. What is the source of freshwater (residential, commercial, and agricultural) for your community? Does water storage involve a reservoir? If so, what watershed (streams and rivers) are affected by the dam(s)?
3. Some people advocate removing dams in their area; others want to repair and retain them. Discuss the pros and cons of dam removal from the social, economic, legal, and ecological viewpoints.

are not. Surrounding most lakes and ponds and engulfing some ponds completely is the **littoral zone**, or shallow-water zone, in which light reaches the bottom, stimulating the growth of rooted plants. Beyond the littoral is open water, the **limnetic zone**, which extends to the depth of light penetration. Inhabiting this zone are microscopic phytoplankton (autotrophs) and zooplankton (heterotrophs) as well as **nekton**, free-swimming organisms such as fish. Beyond the depth of effective light penetration is the **profundal zone**. Its beginning is marked by the compensation depth of light, the point at which respiration balances photosynthesis (see Figure 21.8). The profundal zone depends on a rain of organic material from the limnetic zone for energy. Common to both the littoral and profundal zones is the third vertical stratum—the **benthic zone**, or bottom region, which is the primary place of decomposition. Although these zones are named and often described separately, all are closely dependent on one another in the dynamics of lake ecosystems.

### 25.3 The Nature of Life Varies in the Different Zones

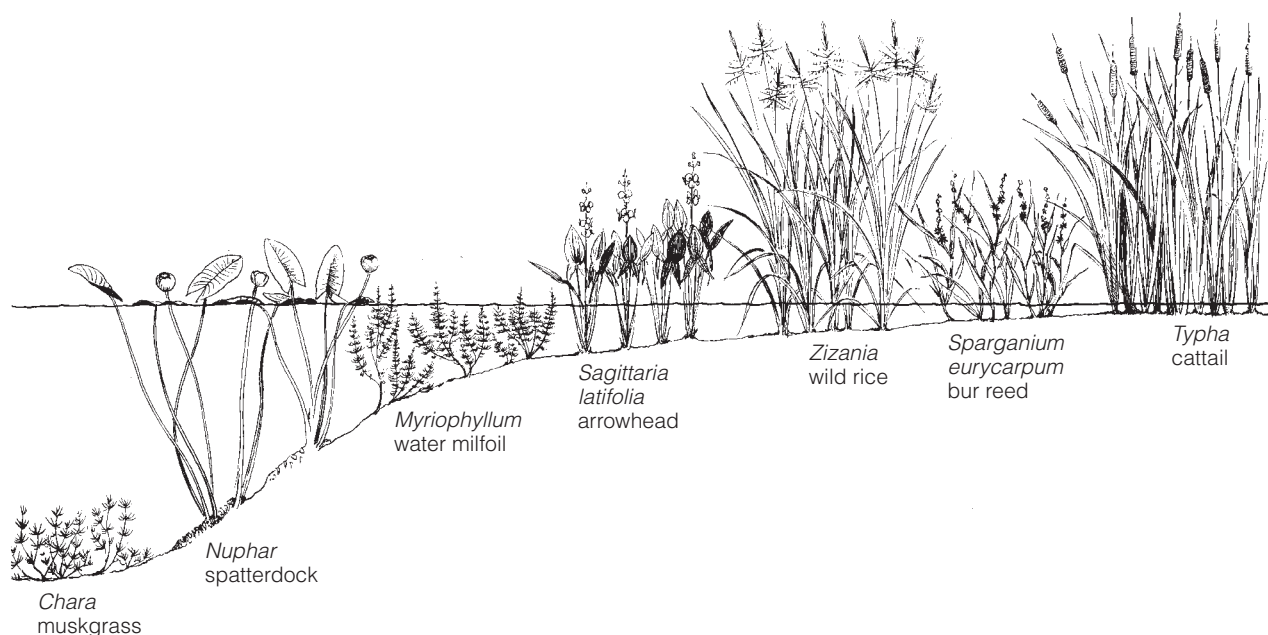
Aquatic life is richest and most abundant in the shallow water about the edges of lakes and ponds as well as in other places where sediments have accumulated on the bottom and decreased the water depth (Figure 25.4). Dominating these areas is emergent vegetation such as cattails and sedges—plants whose roots are anchored in the bottom mud, lower stems are immersed in water, and upper stems and leaves stand above water. Beyond the emergents and occupying even deeper water is a zone of floating plants such as pondweed (*Potamogeton*) and pond lily (*Nuphar* spp.). In depths too great for floating plants live submerged plants, such as species of pondweed with their finely dissected or ribbonlike leaves.

Associated with the emergents and floating plants is a rich community of organisms, among them hydras, snails, protozoans, dragonflies and diving insects, pickerel (*Esox* spp.), sunfish (*Lepomis* spp.), herons (*Ardeidae*), and blackbirds (*Agelaius* spp. and *Xanthocephalus xanthocephalus*). Many species of pond fish have compressed bodies, permitting them to move easily through the masses of aquatic plants. The littoral zone contributes heavily to the large input of organic matter into the system.

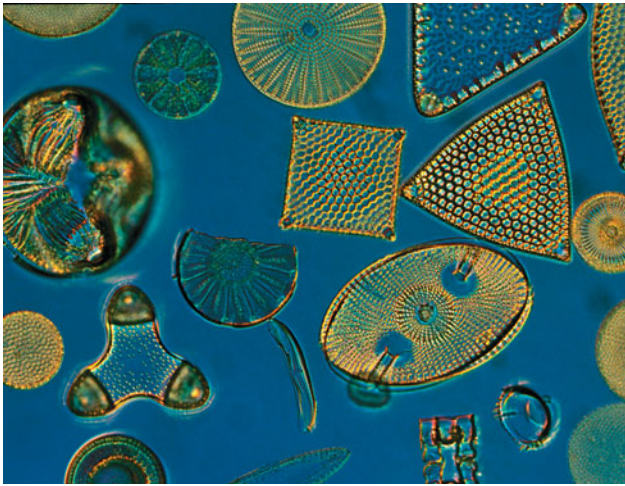
The main forms of life in the limnetic zone are phytoplankton and zooplankton (Figure 25.5). Phytoplankton, including desmids, diatoms, and filamentous algae, are the primary producers in open-water ecosystems and form the base on which the rest of life in open water depends. Also suspended in the water column are small grazing animals, mostly tiny crustaceans that feed on the phytoplankton. These animals form an important link in energy flow in the limnetic zone.

During the spring and fall turnovers plankton are carried downward, but at the same time nutrients released by decomposition on the bottom are carried upward to the impoverished surface layers (see Section 22.10 and Figure 22.25). In spring when surface waters warm and stratification develops, phytoplankton have access to both nutrients and light. A spring bloom develops, followed by rapid depletion of nutrients and a reduction in planktonic populations, especially in shallow water.

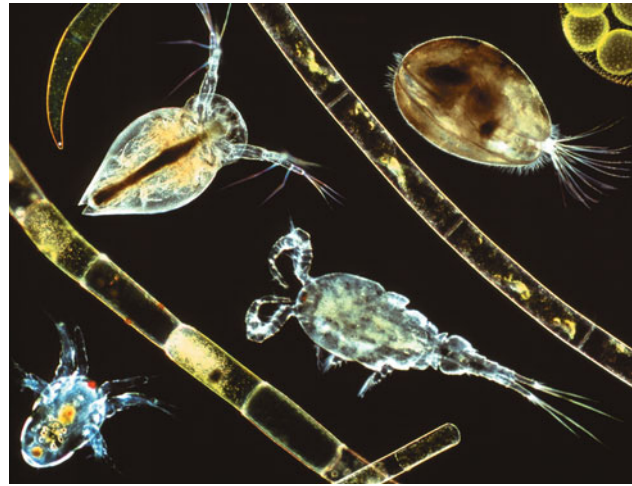
Fish make up most of the nekton in the limnetic zone. Their distribution is influenced primarily by food supply, oxygen, and temperature. During the summer, large predatory fish such as largemouth bass, pike, and muskellunge inhabit the warmer epilimnion waters, where food is abundant. In winter, these species retreat to deeper water. In contrast, lake trout require colder water temperatures and move to greater depths as summer advances. During the spring and fall turnover, when oxygen and temperature are fairly uniform throughout, both warm-water and cold-water species occupy all levels.



**Figure 25.4** Zonation of emergent, floating, and submerged vegetation at the edge of a lake or pond. Such zonation does not necessarily reflect successional stages but rather a response to water depth (see Chapter 14, Figure 14.10).



(a)



(b)

**Figure 25.5** (a) Phytoplankton and (b) zooplankton.

Life in the profundal zone depends not only on the supply of energy and nutrients from the limnetic zone above but also on the temperature and availability of oxygen. In highly productive waters, oxygen may be limiting because the decomposer organisms so deplete it that little aerobic life can survive. Only during spring and fall turnovers, when organisms from the upper layers enter this zone, is life abundant in profundal waters.

Easily decomposed substances drifting down through the profundal zone are partly mineralized while sinking. The remaining organic debris—dead bodies of plants and animals of the open water, and decomposing plant matter from shallow-water areas—settles on the bottom. Together with quantities of material washed in, these substances make up the bottom sediments, the habitat of benthic organisms.

The bottom ooze is a region of great biological activity—so great, in fact, that the oxygen curves for lakes and ponds show a sharp drop in the profundal water just above the bottom. Because the organic muck is so low in oxygen, the dominant organisms there are anaerobic bacteria. Under anaerobic conditions, however, decomposition cannot proceed to inorganic end products. When the amounts of organic matter reaching the bottom are greater than can be used by bottom fauna, they form a muck that is rich in hydrogen sulfide and methane.

As the water becomes shallower, the benthos changes. The action of water, plant growth, and recent organic deposits modifies the bottom material, typically consisting of stones, rubble, gravel, marl, and clay. Increased oxygen, light, and food encourage a richness of life not found on the bottom of the profundal zone.

Closely associated with the benthic community are organisms collectively called **periphyton** or **aufwuchs**. They are attached to or move on a submerged substrate but do not penetrate it. Small aufwuchs communities colonize the leaves of submerged aquatic plants, sticks, rocks, and debris. Periphyton, mostly algae and diatoms living on plants, are fast growing and lightly attached. Aufwuchs on stones, wood, and debris form a more crustlike growth of cyanobacteria, diatoms, water moss, and sponges.

## 25.4 The Character of a Lake Reflects Its Surrounding Landscape

Due to the close relationship between land and water ecosystems, lakes reflect the character of the landscape in which they occur. Water that falls on land flows over the surface or moves through the soil to enter springs, streams, and lakes. The water transports with it silt and nutrients in solution. Human activities including road construction, logging, mining, construction, and agriculture add another heavy load of silt and nutrients—especially nitrogen, phosphorus, and organic matter. These inputs enrich aquatic systems by a process called **eutrophication**. The term **eutrophy** (from the Greek *eutrophos*, “well nourished”) means a condition of being rich in nutrients.

A typical eutrophic lake (Figure 25.6a) has a high surface-to-volume ratio; that is, the surface area is large relative to depth. Nutrient-rich deciduous forest and farmland often surround it. An abundance of nutrients, especially nitrogen and phosphorus, flowing into the lake stimulates a heavy growth of algae and other aquatic plants. Increased photosynthetic production leads to increased recycling of nutrients and organic compounds, stimulating even further growth.

Phytoplankton concentrates in the warm upper layer of the water, giving it a murky green cast. Algae, inflowing organic debris and sediment, and remains of rooted plants drift to the bottom, where bacteria feed on this dead organic matter. Their activities deplete the oxygen supply of the bottom sediments and deep water until this region of the lake cannot support aerobic life. The number of bottom species declines, although the biomass and numbers of organisms remain high. In extreme cases, oxygen depletion (anoxic conditions) can result in the die-off of invertebrate and fish populations.

In contrast to eutrophic lakes and ponds are oligotrophic bodies of water (Figure 25.6b). **Oligotrophy** is the condition of being poor in nutrients. Oligotrophic lakes have a low surface-to-volume ratio. The water is clear and appears blue to blue-green



(a)



(b)

**Figure 25.6** (a) A eutrophic lake. Note the floating algal mats on the water surface. (b) An oligotrophic lake in Montana.

in the sunlight. The nutrient content of the water is low; nitrogen may be abundant, but phosphorus is highly limited. A low input of nutrients from surrounding terrestrial ecosystems and other external sources is mostly responsible for this condition. Low availability of nutrients causes low production of organic matter that leaves little for decomposers, so oxygen concentration remains high in the hypolimnion. The bottom sediments are largely inorganic. Although the numbers of organisms in oligotrophic lakes and ponds may be low, species diversity is often high.

Lakes that receive large amounts of organic matter from surrounding land, particularly in the form of humic materials that stain the water brown, are called **dystrophic** (from *dystrophos*, “ill-nourished”). These bodies of water occur generally on peaty substrates, or in contact with peaty substrates in bogs or heathlands that are usually highly acidic (see Section 26.6). Dystrophic lakes generally have highly productive littoral zones. This littoral vegetation dominates the lake’s metabolism, providing a source of both dissolved and particulate organic matter.

## 25.5 Flowing-Water Ecosystems Vary in Structure and Types of Habitats

Even the largest rivers begin somewhere back in the hinterlands as springs or seepage areas that become headwater streams, or they arise as outlets of ponds or lakes. A few rivers emerge fully formed from glaciers. As a stream drains away from its source, it flows in a direction and manner dictated by the lay of the land and the underlying rock formations. Joining the new stream are other small streams, spring seeps, and surface water.

Just below its source, the stream may be small, straight, and swift, with waterfalls and rapids. Farther downstream, where the gradient is less steep, velocity decreases and the stream begins to meander, depositing its load of sediment as silt, sand, or mud. At flood time, a stream drops its load of sediment on

surrounding level land, over which floodwaters spread to form floodplain deposits.

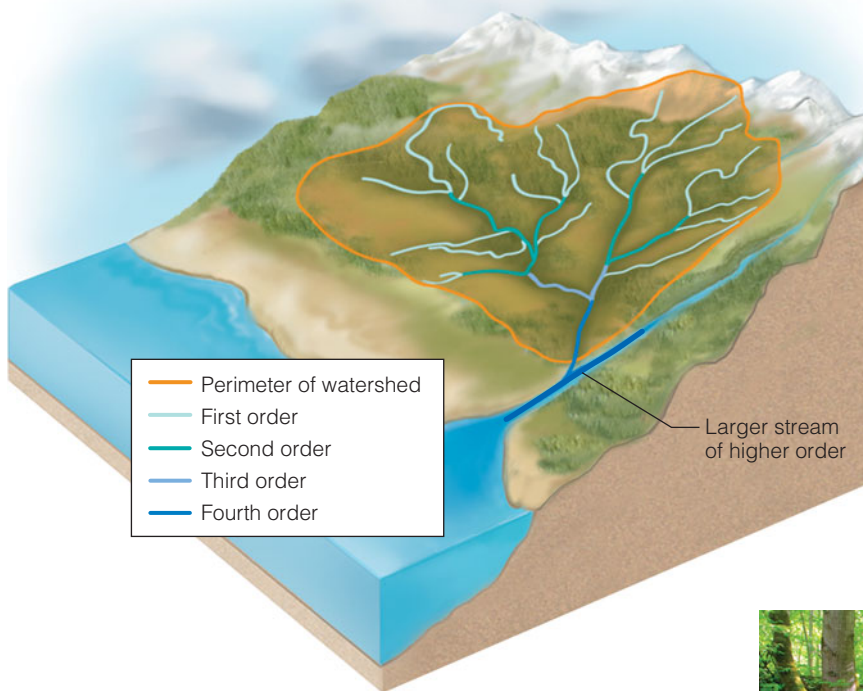
Where a stream flows into a lake or a river into the sea, the velocity of water is suddenly checked. The river then is forced to deposit its load of sediment in a fan-shaped area about its mouth to form a delta (Figure 25.7). Here, its course is carved into several channels, which are blocked or opened with subsequent deposits. As a result, the delta becomes an area of small lakes, swamps, and marshy islands. Material the river fails to deposit in the delta is carried out to open water and deposited on the bottom.

Because streams become larger on their course to rivers and are joined along the way by many others, we can classify them according to order (Figure 25.8). A small headwater stream with no tributaries is a first-order stream. Where two streams of the same order join, the stream becomes one of higher order. If two first-order streams unite, the resulting stream becomes a second-order one; and when two second-order streams unite,



**Figure 25.7** River delta formed by the deposition of sediments.





**Figure 25.8** Stream orders within a watershed.

the stream becomes a third-order one. The order of a stream can increase only when a stream of the same order joins it. It cannot increase with the entry of a lower-order stream. In general, head-water streams are orders 1 to 3; medium-sized streams, 4 to 6; and rivers, greater than 6.

The velocity of a current molds the character and structure of a stream (see Quantifying Ecology 25.1: Streamflow). The shape and steepness of the stream channel; its width, depth, and roughness of the bottom; and the intensity of rainfall and rapidity of snowmelt all affect velocity. Fast streams are those whose velocity of flow is 50 cm/s or higher. At this velocity, the current removes all particles less than 5 mm in diameter and leaves behind a stony bottom. High water increases the velocity; it moves bottom stones and rubble, scours the streambed, and cuts new banks and channels. As the gradient decreases and the width, depth, and volume of water increase, silt and decaying organic matter accumulate on the bottom. The character of the stream changes from fast water to slow (Figure 25.9), with an associated change in species composition.

Flowing-water ecosystems often alternate between two different but related habitats: the turbulent riffle and the quiet pool (Figure 25.10). Processes occurring in the rapids above influence the waters of the pool; in turn, the waters of the rapids are influenced by events in the pools upstream.

Riffles are the sites of primary production in the stream. Here the periphyton or aufwuchs, organisms that are attached to or move on submerged rocks and logs, assume dominance. Periphyton, which occupy a position of the same importance as phytoplankton in lakes and ponds, consist chiefly of diatoms, cyanobacteria, and water moss.

Above and below the riffles are the pools. Here, the environment differs in chemistry, intensity of current, and depth.



(a)



(b)

**Figure 25.9** (a) A fast mountain stream. The gradient is steep and the bottom is largely bedrock. (b) A slow stream is deeper and has a lower slope gradient.

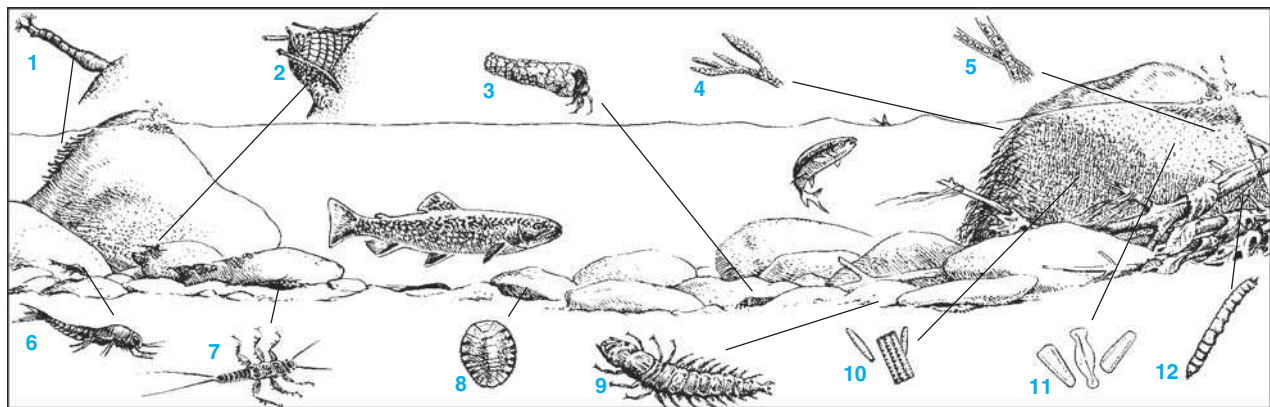


**Figure 25.10** Two different but related habitats in a stream: a riffle (background) and a pool (foreground).

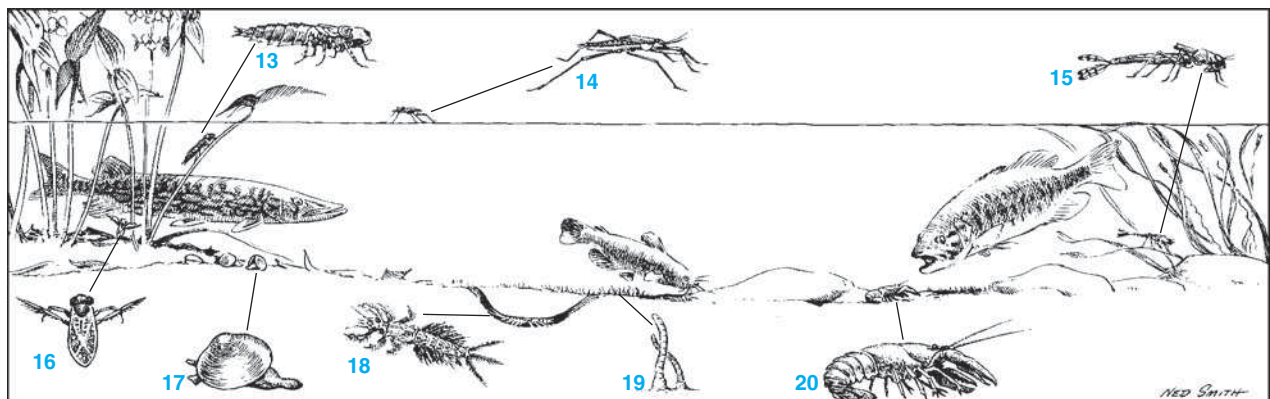
Just as the riffles are the sites of organic production, so the pools are the sites of decomposition. Here, the velocity of the current slows enough for organic matter to settle. Pools, the major sites of carbon dioxide production during the summer and fall, are necessary for maintaining a constant supply of bicarbonate in solution (see Section 3.7). Without pools, photosynthesis in the riffles would deplete the bicarbonates and result in smaller and smaller quantities of available carbon dioxide downstream.

## 25.6 Life Is Highly Adapted to Flowing Water

Living in moving water, inhabitants of streams and rivers have a major problem with remaining in place and not being swept downstream. They have evolved unique adaptations for dealing with life in the current (Figure 25.11a). A streamlined form,



(a)



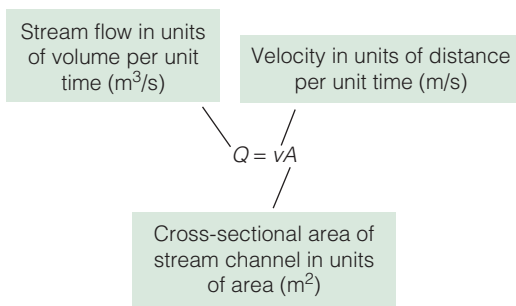
(b)

**Figure 25.11** Life in (a) a fast stream and (b) a slow stream. Fast stream: (1) black-fly larva (*Simuliidae*); (2) net-spinning caddisfly (*Hydropsyche* spp.); (3) stone case of caddisfly; (4) water moss (*Fontinalis*); (5) algae (*Ulothrix*); (6) mayfly nymph (*Isonychia*); (7) stonefly nymph (*Perla* spp.); (8) water penny (*Psephenus*); (9) hellgrammite (dobsonfly larva, *Corydalis cornuta*); (10) diatoms (*Diatoma*); (11) diatoms (*Gomphonema*); (12) crane-fly larva (*Tipulidae*). Slow stream: (13) dragonfly nymph (Odonata, *Anisoptera*); (14) water strider (*Gerris*); (15) damselfly larva (Odonata, *Zygoptera*); (16) water boatman (*Corixidae*); (17) fingernail clam (*Sphaerium*); (18) burrowing mayfly nymph (*Hexagenia*); (19) bloodworm (Oligochaeta, *Tubifex* spp.); (20) crayfish (*Cambarus* spp.). The fish in the fast stream is a brook trout (*Salvelinus fontinalis*). The fish in the slow stream are, from left to right: northern pike (*Esox lucius*), bullhead (*Ameiurus melas*), and smallmouth bass (*Micropterus dolomieu*).

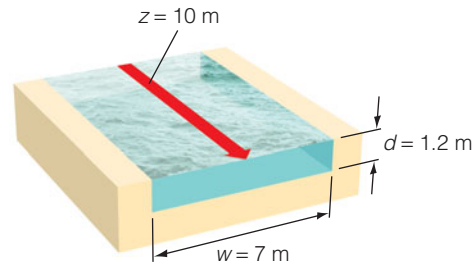
## QUANTIFYING ECOLOGY 25.1 Streamflow

The ecology of a stream ecosystem is determined largely by its streamflow—the water discharge occurring within the natural streambed or channel. The rate at which water flows through the stream channel influences the water temperature, oxygen content, rate of nutrient spiraling, physical structure of the benthic environment, and subsequently the types of organisms inhabiting the stream. As such, streamflow is an important parameter used by ecologists to characterize the stream environment.

Flow is defined simply as the volume of water moving past a given point in the stream per unit time. As such, it can be estimated from the cross-sectional area of the stream channel and the velocity of the flow as follows:



As shown in Figure 1, the cross-sectional area ( $A$ ) can be calculated by measuring the depth ( $d$ ) and width ( $w$ ) of the stream and multiplying the two ( $A = w \times d$ ). Estimates of depth and width can be easily made for a point along the stream channel by using a tape measure and meter stick. Velocity ( $v$ ) can generally be thought of as distance ( $z$ ) traveled over time ( $t$ ); see Figure 1. The velocity can be estimated using a “current” or “flow” meter. One flow meter commonly used in streams and rivers is an apparatus with rotating cups (Figure 2a). The flow causes the cups to rotate (Figure 2b), and the number of rotations is monitored electronically. Number of rotations (distance traveled) per unit time provides a measure of velocity.



**Figure 1** Idealized representation of a stream channel (water shown in blue, land surface in yellow). The cross-sectional area ( $A$ ) of the stream can be calculated as the stream width ( $w$ ) times the depth ( $d$ ). The velocity of water flow is calculated as the distance traveled ( $z$ ) per unit time. For example, if a position on the water surface travels downstream 10 m over a period ( $t$ ) of 20 s, the velocity of the water is  $z/t$  or  $10\text{ m}/20\text{ s} = 0.5\text{ m/s}$ .

For example, in the simple representation of a stream channel depicted in Figure 1, let us assume that the stream depth ( $d$ ) is 1.2 m and the stream width ( $w$ ) is 7 m. The cross-sectional area ( $A$ ) of the stream is then  $8.4\text{ m}^2$  ( $1.2\text{ m} \times 7\text{ m} = 8.4\text{ m}^2$ ). If the measured velocity ( $v$ ) is 0.5 m/s, then the streamflow ( $Q$ ) is  $4.2\text{ m}^3/\text{s}$  ( $8.4\text{ m}^2 \times 0.5\text{ m/s} = 4.2\text{ m}^3/\text{s}$ ). In reality, the profile of the stream channel is never as simple as the rectangular profile presented in Figure 1, and the water velocity varies as a function of depth and position relative to the stream bank. For this reason, multiple measurements of depth and velocity are taken across the stream profile. For example, the stream profile in Figure 3 is 6 m wide; however, the depth varies across the width of the stream channel. A simple approach to estimating flow for this stream would be to sample water depth and velocity at several locations along the width of the stream, using the average values of water depth and velocity to calculate the value of streamflow. In most cases, however, stream ecologists will use a much more elaborate sampling scheme, estimating water depth at regular intervals

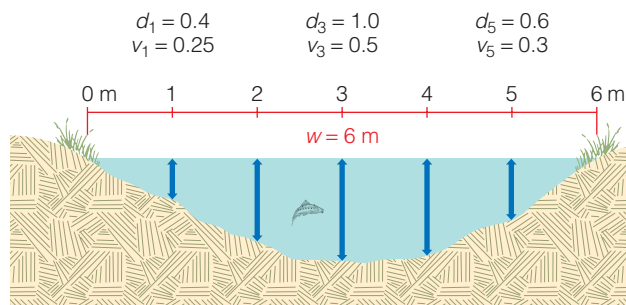
which offers less resistance to water current, is typical of many animals found in fast water. Larval forms of many insect species have extremely flattened bodies and broad, flat limbs that enable them to cling to the undersurfaces of stones where the current is weak. The larvae of certain species of caddisflies (Trichoptera) construct protective cases of sand or small pebbles and cement them to the bottoms of stones. Sticky undersurfaces help snails and planarians cling tightly and move about on stones and rubble in the current. Among the plants, water moss (*Fontinalis*) and heavily branched, filamentous algae cling to rocks by strong holdfasts. Other algae grow in cushionlike colonies or form sheets—covered with a slippery, gelatinous coating—that lie flat against the surfaces of stones and rocks.

All animal inhabitants of fast-water streams require high, near-saturation concentrations of oxygen and moving water to keep their absorbing and respiratory surfaces in continuous contact with oxygenated water. Otherwise, a closely adhering film of liquid, impoverished of oxygen, forms a cloak about their bodies.

In slow-flowing streams where current is at a minimum, streamlined forms of fish give way to fish species such as smallmouth bass, whose compressed bodies enable them to move through beds of aquatic vegetation. Pulmonate snails (Lymnaeacea) and burrowing mayflies (Ephemeroptera) replace rubble-dwelling insect larvae. Bottom-feeding fish, such as catfish (Akyidae), feed on life in the silty bottom and back-swimmers and water striders inhabit sluggish stretches and still backwaters (Figure 25.11b).



**Figure 2** (a) Stream velocity can be easily measured using a cup-type flow meter. (b) As the water flows, the cups rotate about a fixed point on the instrument. The number of rotations per unit time provides an estimate of water velocity.



**Figure 3** Cross-sectional view of a stream channel. To estimate the cross-sectional area ( $A$ ), measurements of depth ( $d$ ) and velocity ( $v$ ) are taken at several locations along the width of the stream. By averaging these values of depth and velocity, a more accurate estimate of cross-sectional area and velocity can be obtained for calculating streamflow ( $Q$ ).

along the stream profile and water velocity at several depths at each interval.

The cross-sectional area and velocity of a stream will vary through time based on the amount of water being discharged from the surrounding watershed. In turn, the amount of water discharged will reflect the input of water to the surrounding watershed from precipitation. As a result, an accurate picture of streamflow requires a systematic sampling of the stream morphology (width and depth) and velocity through time.

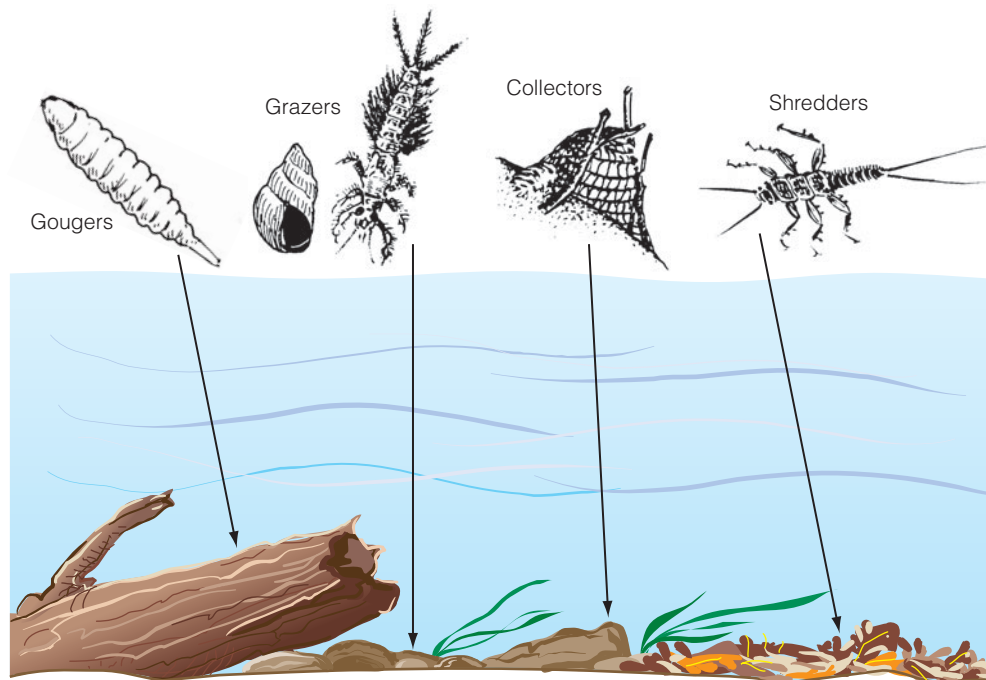
1. In Figure 3, the water depths at 1, 3, and 5 m from the left stream bank are given as 0.4, 1.0, and 0.6 m, respectively. Estimates of velocity for the three locations are 0.25, 0.5, and 0.3 m/s, respectively. Estimate streamflow by representing depth and velocity as the simple mean of the three samples.
2. Why might the water velocity ( $v$ ) decrease from the center of the stream channel to the banks?

Invertebrate inhabitants are classified into four major groups based on their feeding habits (Figure 25.12). **Shredders**, such as caddisflies (Trichoptera) and stoneflies (Plecoptera), make up a large group of insect larvae. They feed on coarse particulate organic matter (CPOM:  $> 1$  mm diameter), mostly leaves that fall into the stream. The shredders break down the CPOM, feeding on the material not so much for the energy it contains as for the bacteria and fungi growing on it. Shredders assimilate about 40 percent of the material they ingest and pass off 60 percent as feces.

When broken up by the shredders and partially decomposed by microbes, the leaves, along with invertebrate feces, become part of the fine particulate organic matter (FPOM:  $< 1$  mm but  $> 0.45 \mu\text{m}$  diameter). Drifting downstream and settling on the

stream bottom, FPOM is picked up by another feeding group of stream invertebrates, the **filtering collectors** and **gathering collectors**. The filtering collectors include, among others, the larvae of black flies (Simuliidae) with filtering fans and the net-spinning caddisflies. Gathering collectors, such as the larvae of midges, pick up particles from stream-bottom sediments. Collectors obtain much of their nutrition from bacteria associated with the fine detrital particles.

While shredders and collectors feed on detrital material, another group, the **grazers**, feeds on the algal coating of stones and rubble. This group includes the beetle larvae, water penny (*Psephenus* spp.), and a number of mobile caddisfly larvae. Much of the material they scrape loose enters the drift as FPOM. Another group, associated with woody debris, are the



**Figure 25.12** The four major feeding groups within the stream community: gougers, grazers, collectors, and shredders. Processing the leaves and other particulate matter are bacteria and fungi.

**gougers**—invertebrates that burrow into waterlogged limbs and trunks of fallen trees.

Feeding on the detrital feeders and grazers are predaceous insect larvae and fish such as the sculpin (*Cottus*) and trout. Even these predators do not depend solely on aquatic insects; they also feed heavily on terrestrial invertebrates that fall or wash into the stream.

Because of the current, quantities of CPOM, FPOM, and invertebrates tend to drift downstream to form a traveling benthos. This drift is a normal process in streams, even in the absence of high water and abnormally fast currents. Drift is so characteristic of streams that a mean rate of drift can serve as an index of a stream's production rate.

## 25.7 The Flowing-Water Ecosystem Is a Continuum of Changing Environments

From its headwaters to its mouth, the flowing-water ecosystem is a continuum of changing environmental conditions (Figure 25.13). Headwater streams (orders 1 to 3) are usually swift, cold, and in shaded forested regions. Primary productivity in these streams is typically low, and they depend heavily on the input of detritus from terrestrial streamside vegetation, which contributes more than 90 percent of the organic input. Even when headwater streams are exposed to sunlight and autotrophic production exceeds inputs from adjacent terrestrial ecosystems, organic matter produced invariably enters the detrital food chain. Dominant organisms are shredders, processing large-sized litter and feeding on CPOM, and collectors, processors of FPOM. Populations of grazers are minimal, reflecting the small amount of autotrophic production. Predators are mostly small fish—sculpins, darters, and trout. Headwater streams, then, are accumulators, processors, and transporters of particulate organic matter of terrestrial origin. As a result, the ratio of gross primary production to respiration is less than 1.

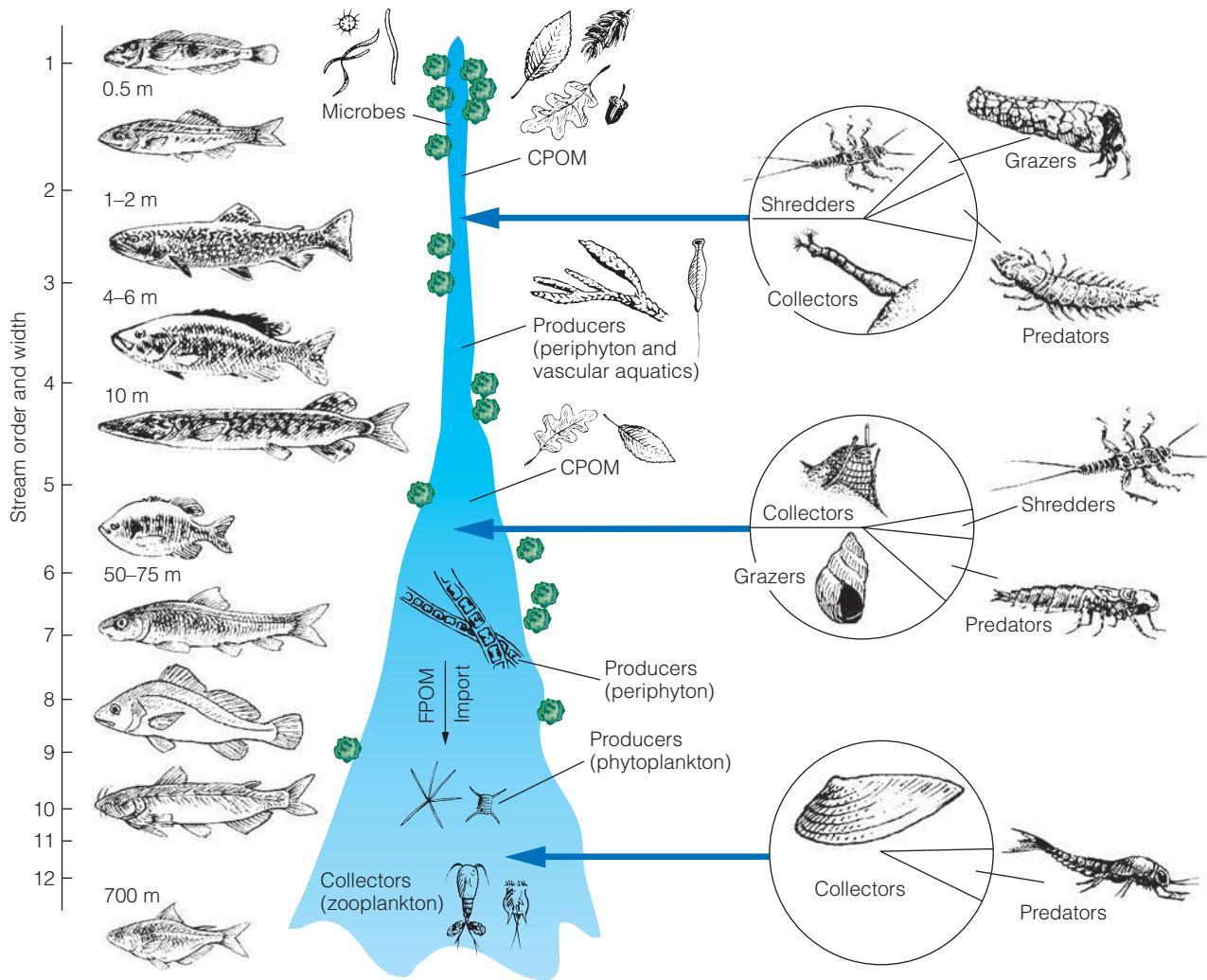
As streams increase in width to medium-sized creeks and rivers (orders 4 to 6), the importance of riparian vegetation and its detrital input decreases. With more surface water exposed to the sun, water temperature increases, and as the elevation gradient declines, the current slows. These changes bring about a shift from dependence on terrestrial input of particulate organic matter to primary production by algae and rooted aquatic plants. Gross primary production now exceeds community respiration. Due to the lack of CPOM, shredders disappear. Collectors, feeding on FPOM transported downstream, and grazers, feeding on autotrophic production, become the dominant consumers. Predators show little increase in biomass but shift from cold-water species to warm-water species, including bottom-feeding fish such as suckers (Catostomidae) and catfish.

As the stream order increases from 6 through 10 and higher, riverine conditions develop. The channel is wider and deeper. The flow volume increases, and the current becomes slower. Sediments accumulate on the bottom. Both riparian and autotrophic production decrease. A basic energy source is FPOM, used by bottom-dwelling collectors that are now the dominant consumers. However, slow, deep water and DOM (dissolved organic matter:  $< 0.45 \mu\text{m}$  diameter) support a minimal phytoplankton and associated zooplankton population.

Throughout the downstream continuum, the community capitalizes on upstream feeding inefficiency. Downstream adjustments in production and the physical environment are reflected in changes in consumer groups.

## 25.8 Rivers Flow into the Sea, Forming Estuaries

Waters of most streams and rivers eventually drain into the sea. The place where freshwater joins saltwater is called an estuary. Estuaries are semienclosed parts of the coastal ocean where seawater is diluted and partially mixed with freshwater coming from the



**Figure 25.13** Changes in consumer groups along the river continuum. Stream order and width (m) are shown on the axis to the left of the figure. The headwater stream is strongly heterotrophic, dependent on terrestrial input of detritus. The dominant consumers are shredders and collectors. As stream size increases, the input of organic matter shifts to primary production by algae and rooted vascular plants. The major consumers are now collectors and grazers. As the stream grows into a river, the lotic system shifts back to heterotrophy. A phytoplankton population may develop. The consumers are mostly bottom-dwelling collectors. The fish community likewise changes as one moves downstream (from top to bottom as shown: sculpin, darter, brook trout, smallmouth bass, pickerel, sunfish, sucker, freshwater drum, catfish, and shad).

land (Figure 25.14). Here, the one-way flow of freshwater streams and rivers into an estuary meets the inflowing and outflowing salt-water tides. This meeting sets up a complex of currents that vary with the structure of the estuary (size, shape, and volume), season, tidal oscillations, and winds. Mixing waters of different salinities and temperatures creates a counterflow that works as a nutrient trap (see Figure 22.28). Inflowing river waters most often impoverish rather than fertilize the estuary, with the possible exception of phosphorous. Instead, nutrients and oxygen are carried into the estuary by the tides. If vertical mixing occurs, these nutrients are not swept back out to sea but circulate up and down among organisms, water, and bottom sediments (see Figure 22.26).

Organisms inhabiting the estuary face two problems: maintaining their position and adjusting to changing salinity. Most estuarine organisms are benthic. They attach to the



**Figure 25.14** Estuary on the east coast of Australia.

bottom, bury themselves in the mud, or occupy crevices and crannies. Mobile inhabitants are mostly crustaceans and fish, largely young of species that spawn offshore in high-salinity water. Planktonic organisms are wholly at the mercy of the currents. Seaward movements of streamflow and ebb tide transport plankton out to sea, and the rate of water movement determines the size of the plankton population.

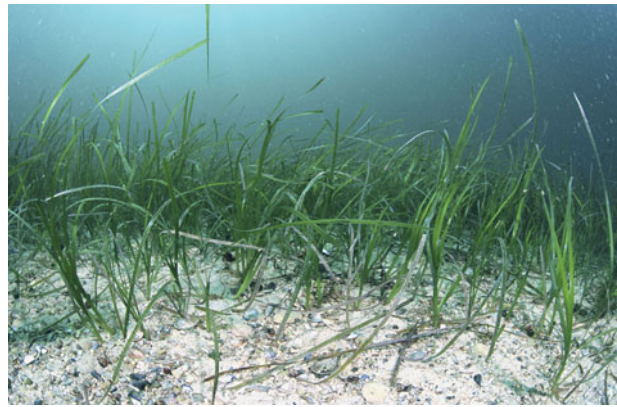
Salinity dictates the distribution of life in the estuary. The vast majority of the organisms inhabiting an estuary are marine, able to withstand full seawater. Some estuarine inhabitants cannot withstand lowered salinities, and these species decline along a salinity gradient from the ocean to the river's mouth. Sessile and slightly motile organisms have an optimum salinity range within which they grow best. When salinities vary on either side of this range, populations decline.

Anadromous fish are those that live most of their lives in saltwater and return to freshwater to spawn. These fish are highly specialized to endure the changes in salinity. Some species of fish, such as the striped bass (*Morone saxatilis*), spawn near the interface of fresh and low-salinity water. The larvae and young fish move downstream to more saline waters as they mature. Thus, for the striped bass, an estuary serves as both a nursery and as a feeding ground for the young. Anadromous species such as the shad (*Alosa*) spawn in freshwater, but the young fish spend their first summer in an estuary and then move out to the open sea. Species such as the croaker (Sciaenidae) spawn at the mouth of the estuary, but the larvae are transported upstream to feed in plankton-rich, low-salinity areas.

The oyster bed and oyster reef are the outstanding communities of the estuary (Figure 25.15). The oyster is the dominant organism about which life revolves. Oysters may be attached to every hard object in the intertidal zone, or they may form reefs, areas where clusters of living organisms grow cemented to the almost buried shells of past generations. Oyster reefs usually lie at right angles to tidal currents, which bring planktonic food, carry away wastes, and sweep the oysters clean of sediment and debris. Closely associated with oysters are encrusting organisms such as sponges, barnacles, and bryozoans, which attach themselves to oyster shells and depend on the oyster or algae for food.



**Figure 25.15** Oyster reef in estuarine environment.



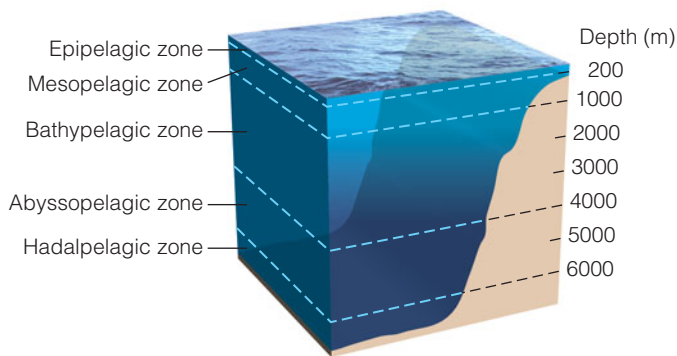
**Figure 25.16** Sea-grass meadow in the Chesapeake Bay dominated by eelgrass (*Zostera marina*).

In shallow estuarine waters, rooted aquatics such as the sea grasses widgeongrass (*Ruppia maritima*) and eelgrass (*Zostera marina*) assume major importance (Figure 25.16). These aquatic plants are complex systems supporting many epiphytic organisms. Such communities are important to certain vertebrate grazers, such as geese, swans, and sea turtles, and they provide a nursery ground for shrimp and bay scallops.

## 25.9 Oceans Exhibit Zonation and Stratification

The marine environment is marked by several differences from the freshwater world. It is large, occupying 70 percent of Earth's surface, and it is deep, in places over 10 km. The surface area lighted by the sun is small compared to the total volume of water. This small volume of sunlit water and the dilute solution of nutrients limit primary production. All of the seas are interconnected by currents, influenced by wave actions and tides, and characterized by salinity (see Chapter 3).

Just as lakes exhibit stratification and zonation, so do the seas. The ocean itself has two main divisions: the **pelagic**, or whole body of water, and the **benthic zone**, or bottom region. The pelagic is further divided into two provinces: the **neritic** province, water that overlies the continental shelf, and the **oceanic** province. Because conditions change with depth, the pelagic is divided into several distinct vertical layers or zones (Figure 25.17). From the surface to about 200 m is the **epipelagic zone**, or **photic zone**, in which there are sharp gradients in illumination, temperature, and salinity. From 200 to 1000 m is the **mesopelagic zone**, where little light penetrates and the temperature gradient is more even and gradual, without much seasonal variation. This zone contains an oxygen-minimum layer and often the maximum concentration of nutrients (nitrate and phosphate). Below the mesopelagic is the **bathypelagic zone**, where darkness is virtually complete, except for bioluminescent organisms; temperature is low; and water pressure is great. The **abyssopelagic zone** (Greek meaning “no bottom”) extends from about 4000 m to the sea floor. The only zone deeper than this is the **hadalpelagic zone**, which includes areas found in deep-sea trenches and canyons.



**Figure 25.17** Major regions of the ocean.

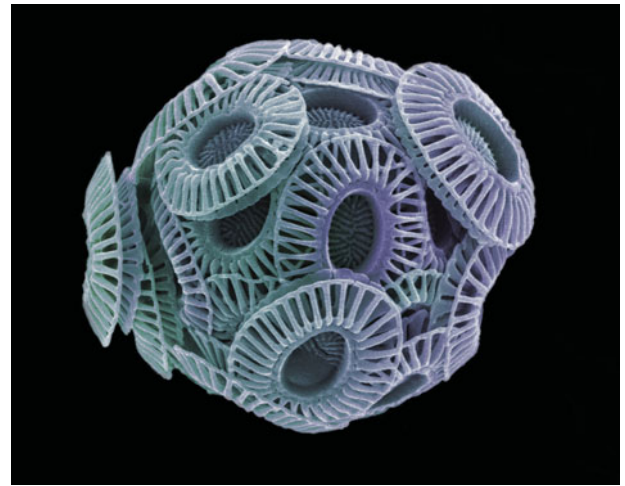
## 25.10 Pelagic Communities Vary among the Vertical Zones

When viewed from the deck of a ship or from an airplane, the open sea appears to be monotonously the same. Nowhere can you detect any strong pattern of life or well-defined communities, as you can over land. The reason is that pelagic ecosystems lack the supporting structures and framework of large, dominant plant life. The dominant autotrophs are phytoplankton, and their major herbivores are tiny zooplankton.

There is a reason for the smallness of phytoplankton. Surrounded by a chemical medium that contains in varying quantities the nutrients necessary for life, they absorb nutrients directly from the water. The smaller the organism, the greater is the surface-to-volume ratio (see Section 7.1). More surface area is exposed for the absorption of nutrients and solar energy. Seawater is so dense that there is little need for supporting structures (see Section 3.2).

Because they require light, autotrophs are restricted to the upper surface waters where light penetration varies from tens to hundreds of meters (see Section 21.4). In shallow coastal waters, the dominant marine autotrophs are attached algae—restricted by light requirements to a maximum depth of about 120 m. The brown algae (Phaeophyceae) are the most abundant, associated with the rocky shoreline. Included in this group are the large kelps—such as *Macrocystis*, which grows to a length of 50 m and forms dense subtidal forests in the tropical and subtropical regions (see Figure 4.1). The red algae (Rhodophyceae) are the most widely distributed of the larger marine plants. They occur most abundantly in the tropical oceans, where some species grow to depths of 120 m.

The dominant autotrophs of the open water are phytoplankton (see Figure 25.5a). Each ocean or region within an ocean appears to have its own dominant forms. Littoral and neritic waters and regions of upwelling are richer in plankton than the mid-oceans are. In regions of downwelling, the dinoflagellates—a large, diverse group characterized by two whiplike flagella—concentrate near the surface in areas of low turbulence. These organisms attain their greatest abundance in warmer waters. In summer, they may concentrate in the surface waters in such numbers that they color it red or brown. Often toxic to vertebrates, such concentrations of dinoflagellates are responsible for “red tides.” In regions of upwelling, the dominant forms of



**Figure 25.18** Coccolithophores have an armored appearance due to the calcium carbonate platelets, called coccoliths, covering the exterior of the cell.

phytoplankton are diatoms. Enclosed in a silica case, diatoms are particularly abundant in Arctic waters.

The **nanoplankton**, smaller than diatoms, make up the largest biomass in temperate and tropical waters. Most abundant are the tiny cyanobacteria. The haptophytes—a group of primarily unicellular, photosynthetic algae that includes more than 500 species—are distributed in all waters except the polar seas. The most important members of this group, the coccolithophores, are a major source of primary production in the oceans. Coccolithophores have an armored appearance due to the calcium carbonate platelets, called coccoliths, covering the exterior of the cell (Figure 25.18).

Converting primary production into animal tissue is the task of herbivorous zooplankton, the most important of which are the copepods (see Figure 25.5b). To feed on the minute phytoplankton, most of the grazing herbivores must also be small—between 0.5 and 5 mm. Most grazing herbivores in the ocean are copepods, which are probably the most abundant animals in the world. In the Antarctic, the shrimplike euphausiids, or krill (Figure 25.19), fed on by baleen whales and penguins, are the dominant herbivores. Feeding on the herbivorous zooplankton



**Figure 25.19** Small euphausiid shrimps, called krill, are eaten by baleen whales and are essential to the marine food chain.



are the carnivorous zooplankton, which include such organisms as the larval forms of comb jellies (Ctenophora) and arrow worms (Chaetognatha).

However, part of the food chain begins not with the phytoplankton, but with organisms even smaller. Bacteria and protists, both heterotrophic and photosynthetic, make up one-half of the biomass of the sea and are responsible for the largest part of energy flow in pelagic systems. Photosynthetic nanoflagellates (2–20  $\mu\text{m}$ ) and cyanobacteria (1–2  $\mu\text{m}$ ), responsible for a large part of photosynthesis in the sea, excrete a substantial fraction of their photosynthate in the form of dissolved organic material that heterotrophic bacteria use. In turn, heterotrophic nanoflagellates consume heterotrophic bacteria. This interaction introduces a feeding loop, the **microbial loop** (Figure 25.20), and adds several trophic levels to the plankton food chain.

Like phytoplankton, zooplankton live mainly at the mercy of the currents, but many forms of zooplankton have enough swimming power to exercise some control. Some species migrate vertically each day to arrive at a preferred level of light intensity. As darkness falls, zooplankton rapidly rise to the surface to feed on phytoplankton. At dawn, they move back down to preferred depths.

Feeding on zooplankton and passing energy along to higher trophic levels are the nekton, swimming organisms that can move at will in the water column. They range in size from small fish to large predatory sharks and whales, seals, and marine birds such as penguins. Some predatory fish, such as tuna, are more or less restricted to the photic zone. Others are

found in deeper mesopelagic and bathypelagic zones or move between them, as the sperm whale does. Although the ratio in size of predator to prey falls within limits, some of the largest nekton organisms in the sea—the baleen whales—feed on disproportionately small prey, euphausiids, or krill (see Figure 25.19). By contrast, the sperm whale attacks very large prey such as the giant squid.

Residents of the deep have special adaptations for securing food. Darkly pigmented and weak bodied, many deep-sea fish depend on luminescent lures, mimicry of prey, extensible jaws, and expandable abdomens (enabling them to consume large items of food). In the mesopelagic region, bioluminescence reaches its greatest development—two-thirds of the species produce light. Fish have rows of luminous organs along their sides and lighted lures that enable them to bait prey and recognize other individuals of the same species. Bioluminescence is not restricted to fish. Squid and euphausiid shrimp possess searchlight-like structures complete with lens and iris, and some discharge luminous clouds to escape predators.

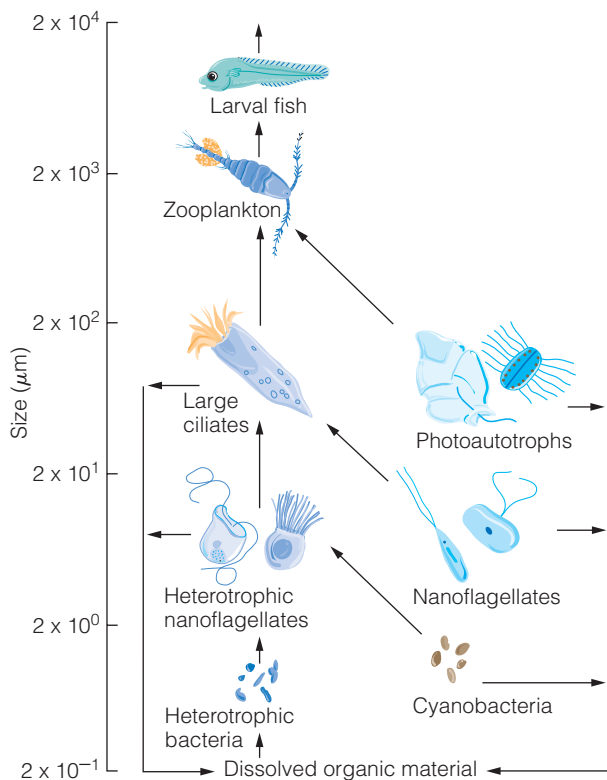
## 25.11 Benthos Is a World of Its Own

The term *benthic* refers to the floor of the sea, and **benthos** refers to plants and animals that live there. In a world of darkness, no photosynthesis takes place, so the bottom community is strictly heterotrophic (except in vent areas), depending entirely on the rain of organic matter drifting to the bottom. Patches of dead phytoplankton as well as the bodies of dead whales, seals, birds, fish, and invertebrates all provide an array of foods for different feeding groups and species. Despite the darkness and depth, benthic communities support a high diversity of species. In shallow benthic regions, the polychaete worms may exceed 250 species and the pericardid crustaceans well over 100. But the deep-sea benthos supports a surprisingly higher diversity. The number of species collected in more than 500 samples—of which the total surface area sampled was only 50  $\text{m}^2$ —was 707 species of polychaetes and 426 species of pericardid crustaceans.

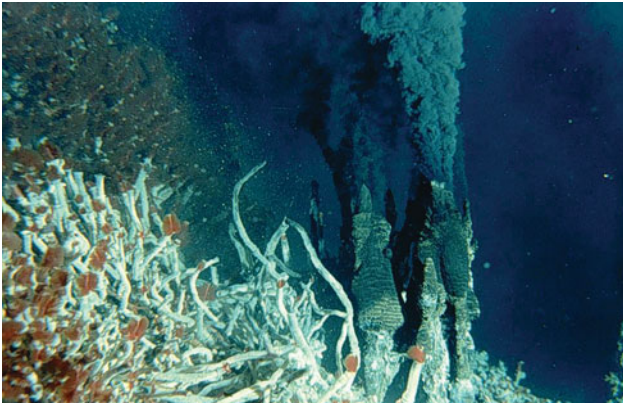
Important organisms in the benthic food chain are the bacteria of the sediments. Commonly found where large quantities of organic matter are present, bacteria may reach several tenths of a gram per square meter in the topmost layer of silt. Bacteria synthesize protein from dissolved nutrients and in turn become a source of protein, fat, and oils for other organisms.

In 1977, oceanographers first discovered high-temperature, deep-sea hydrothermal vents along volcanic ridges in the ocean floor of the Pacific near the Galápagos Islands. These vents spew jets of superheated fluids that heat the surrounding water to 8°C to 16°C, considerably higher than the 2°C ambient water. Since then, oceanographers have discovered similar vents on other volcanic ridges along fast-spreading centers of the ocean floor, particularly in the mid-Atlantic and eastern Pacific.

Vents form when cold seawater flows down through fissures and cracks in the basaltic lava floor deep into the underlying crust. The waters react chemically with the hot basalt, giving up some minerals but becoming enriched with others such as copper, iron, sulfur, and zinc. The water, heated to a high temperature, re-emerges through mineralized chimneys rising up to 13 m above the sea floor. Among the chimneys are white smokers and black



**Figure 25.20** A representation of the microbial loop and its relationship to the plankton food web. Autotrophs are on the right side of the diagram, and heterotrophs are on the left.



**Figure 25.21** Black smoker. Deep under the oceans, next to hydrothermal vents issuing from cracked volcanic rocks (“black smokers”), live some of the most unusual animals ever seen. The photograph shows a black smoker in full flow, some 2250 m down on the ocean floor west of Vancouver Island, on the Juan de Fuca Ridge. The water temperatures on the chimneys exceed 400°C. Surrounding the vents are tubeworms, a bizarre group of animals found only in conditions where high levels of hydrogen sulfide can fuel their internal symbionts—bacteria that manufacture food for them. Distribution of this fauna is related to the history of the tectonic development of the ridges, thus linking the geology and biology of this peculiar ecosystem.

smokers (Figure 25.21). White-smoker chimneys rich in zinc sulfides issue a milky fluid with a temperature of under 300°C. Black smokers, narrower chimneys rich in copper sulfides, issue jets of clear water from 300°C to more than 450°C that are soon blackened by precipitation of fine-grained sulfur–mineral particles.

Associated with these vents is a rich diversity of unique deep-sea life, confined to within a few meters of the vent system. The primary producers are chemosynthetic bacteria that oxidize reduced sulfur compounds such as  $H_2S$  to release energy used to form organic matter from carbon dioxide. Primary consumers include giant clams, mussels, and polychaete worms that filter bacteria from water and graze on bacterial film on rocks (Figure 25.22).



**Figure 25.22** Pictured is a colony of giant tubeworms with vent fish and crabs, all highly specialized and found only in the extreme environment of the hydrothermal vent ecosystem.

(Courtesy of Richard Lutz, Rutgers University, Stephen Low Productions, and Woods Hole Oceanographic Institution.)

## 25.12 Coral Reefs Are Complex Ecosystems Built by Colonies of Coral Animals

Lying in the warm, shallow waters about tropical islands and continental landmasses are coral reefs—colorful, rich oases within the nutrient-poor seas (Figure 25.23). They are a unique accumulation of dead skeletal material built up by carbonate-secreting organisms, mostly living coral (Cnidaria, Anthozoa) but also coralline red algae (Rhodophyta, Corallinaceae), green calcareous algae (*Halimeda*), foraminifera, and mollusks. Although various types of corals can be found from the water’s surface to depths of 6000 m, reef-building corals are generally found at depths of less than 45 m. Because reef-building corals have a symbiotic relationship with algal cells, their distribution is limited to depths where sufficient solar radiation (photosynthetically active radiation) is available to support photosynthesis (zooxanthellae; see Section 16.10 and Figure 16.8). Precipitation of calcium from the water is necessary to form the coral skeleton. This precipitation occurs when water temperature and salinity are high and carbon dioxide concentrations are low. These requirements limit the distribution of reef-building corals to the shallow, warm tropical waters (20°C to 28°C).

Coral reefs are of three basic types: (1) *Fringing reefs* grow seaward from the rocky shores of islands and continents. (2) *Barrier reefs* parallel shorelines of continents and islands and are separated from land by shallow lagoons. (3) *Atolls* are rings of coral reefs and islands surrounding a lagoon, formed when a volcanic mountain subsides beneath the surface. Such lagoons are about 40 m deep, usually connect to the open sea by breaks in the reef, and may have small islands of patch reefs. Reefs build up to sea level.

Coral reefs are complex ecosystems that begin with the complexity of the corals themselves. Corals are modular animals, anemone-like cylindrical polyps, with prey-capturing tentacles surrounding the opening or mouth. Most corals form sessile colonies supported on the tops of dead ancestors and



**Figure 25.23** A rich diversity of coral species, algae, and colorful fish occupy this reef in Fiji (South Pacific Ocean).

cease growth when they reach the surface of the water. In the tissues of the gastrodermal layer live zooxanthellae—symbiotic, photosynthetically active, endozoic dinoflagellate algae that coral depend on for most efficient growth (see Chapter 16). On the calcareous skeletons live still other kinds of algae—the encrusting red and green coralline species and the filamentous species, including turf algae—and a large bacterial population. Also associated with coral growth are mollusks, such as giant clams (*Tridacna*, *Hippopus*), echinoderms, crustaceans, polychaete worms, sponges, and a diverse array of fishes, both herbivorous and predatory.

Because the coralline community acts as a nutrient trap, offshore coral reefs are oases of productivity (1500 to 5000 g C/m<sup>2</sup>/yr) within the relatively nutrient-poor, lower-productivity sea (15 to 50 g C/m<sup>2</sup>/yr) (see Section 22.10). This productivity and the varied habitats within the reef support a great diversity of life—thousands of species of invertebrates (such as sea urchins, which feed on coral and algae). Many kinds of herbivorous fish graze on algae, including zooxanthellae within the coral tissues; hundreds of predatory species feed upon both invertebrate and vertebrate prey. Some of these predators, such as puffers (Tetraodontidae) and filefish (Monacanthidae), are corallivores that feed on coral polyps. Other predators lie in ambush for prey in coralline caverns. In addition, there is a wide array of symbionts, such as cleaning fish and crustaceans, that pick parasites and detritus from larger fish and invertebrates.

### 25.13 Productivity of the Oceans Is Governed by Light and Nutrients

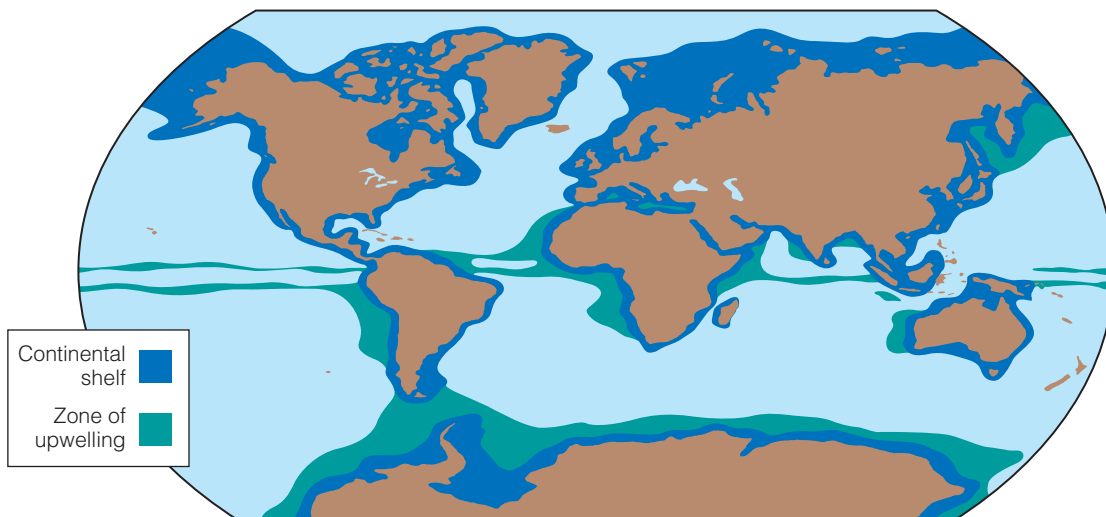
Primary productivity in marine environments is limited to regions where the availability of light and nutrients can support photosynthesis and plant growth (see Chapters 21 and 22). The vertical attenuation of light in water limits productivity to the shallower waters of the photic zone. The presence of a

thermocline, however, limits the movement of nutrients from the deeper to the surface waters where light is adequate to support photosynthesis—especially in the tropics, where the thermocline is permanent (see Section 22.10). The rate at which nutrients are returned to the surface, and therefore productivity, is controlled by two processes: (1) the seasonal breakdown of the thermocline and subsequent turnover, and (2) upwelling of deeper nutrient-rich waters to the surface (see Sections 22.10 and 22.13). As a result, the highest primary productivity is found in coastal regions (see Figure 21.10). There, the shallower waters of the continental shelf allow for turbulence and seasonal turnover (where it occurs) to increase vertical mixing, and coastal upwelling brings deeper, colder, nutrient-rich water to the surface (Figure 25.24; see also Figure 3.17).

In open waters, productivity is low in most tropical oceans because the permanent nature of the thermocline slows the upward diffusion of nutrients. In these regions, phytoplankton growth is essentially controlled by the cycling of nutrients within the photic zone. Production rates remain more or less constant throughout the year (Figure 25.25a). The highest production in the open waters of the tropical oceans occurs where nutrient-rich water is brought to the surface in the equatorial regions, where upwelling occurs as surface currents diverge (see Figures 3.14 and 25.24).

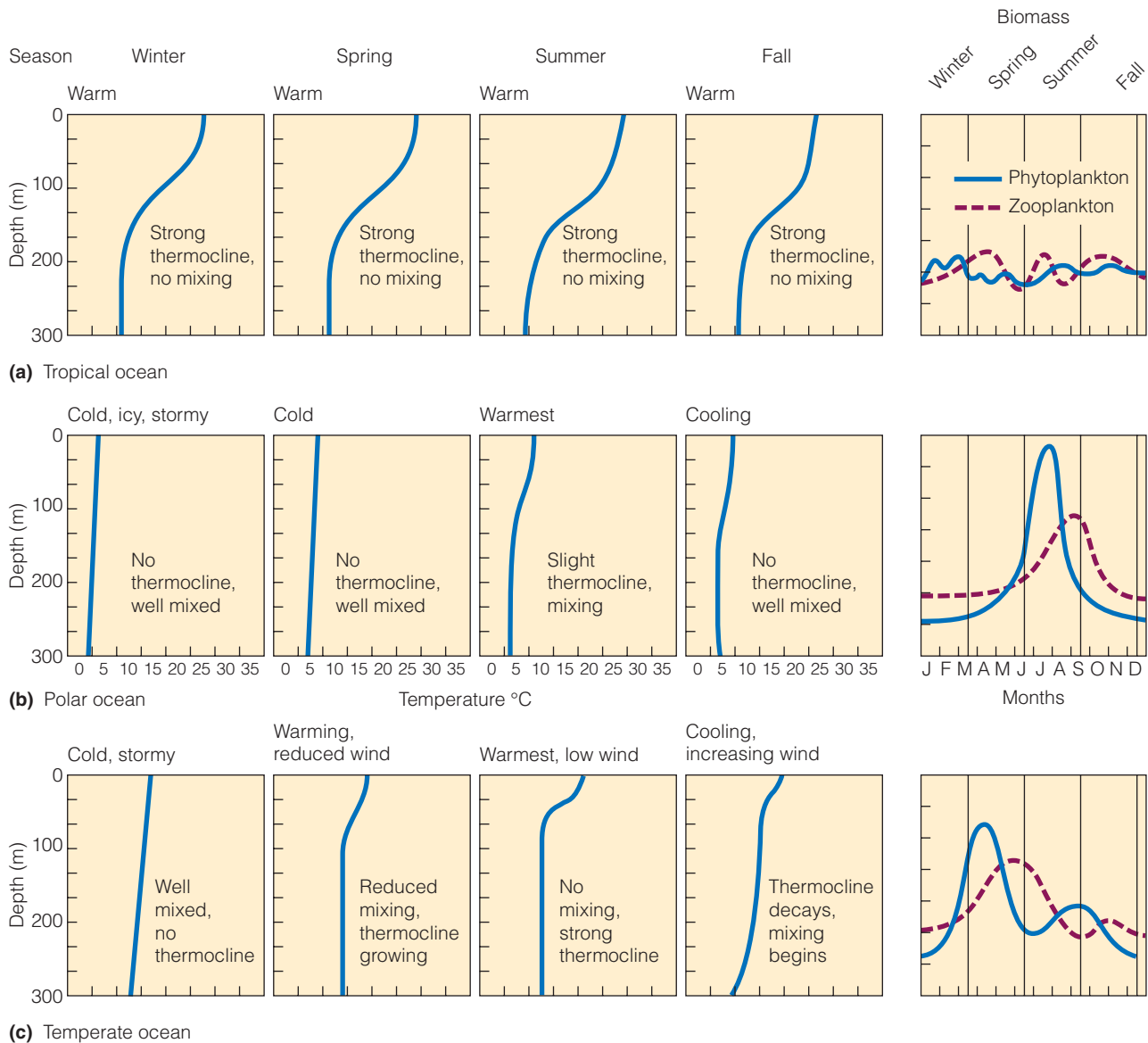
Productivity is also low in the Arctic, mainly because of light limitations. A considerable amount of light energy is lost through reflection due to the low sun angle, or it is absorbed by the snow-covered sea ice that covers as much as 60 percent of the Arctic Ocean during the summer.

In contrast, the waters of the Antarctic are noted for their high productivity as a result of the continuous upwelling of nutrient-rich water around the continent (Figure 25.25b). The growing season is limited by the short summer period. Primary productivity in temperate oceans (Figure 25.25c) is strongly related to seasonal variation in nutrient supply, driven by the seasonal dynamics of the thermocline (see Section 22.10).



**Figure 25.24** Map of continental shelf and upwellings.

(Adapted from Archibold 1995.)



**Figure 25.25** Thermal profiles, extent of vertical mixing, and associated patterns of productivity in (a) tropical, (b) polar, and (c) temperate oceans during the four seasons of the year. (From: Nybakken 1997.)

## Summary

### Lakes Defined 25.1

Lake and pond ecosystems are bodies of water that fill a depression in the landscape. They are formed by many processes, ranging from glacial and geological to human activities. Geologically speaking, lakes and ponds are successional features. In time, most of them fill, get smaller, and finally may be replaced by a terrestrial ecosystem.

### Lake Stratification 25.2

As a nearly self-contained ecosystem, a lake exhibits both vertical and horizontal gradients. Seasonal stratification in light,

temperature, and dissolved gases influences the distribution of life in the lake.

### Zonation of Life in Lakes 25.3

The area where light penetrates to the bottom of the lake, called the littoral zone, is occupied by rooted plants. Beyond this is the open-water or limnetic zone inhabited by plant and animal plankton and fish. Below the depth of effective light penetration is the profundal region, where the diversity of life varies with temperature and oxygen supply. The bottom or benthic zone is a place of intense biological activity where decomposition of organic matter takes place. Anaerobic bacteria are

dominant on the bottom beneath the profundal water, whereas the benthic zone of the littoral is rich in decomposer organisms and detritus feeders.

### Nutrient Input into Lakes 25.4

Lakes are strongly influenced by their surrounding landscape. They may be classified as eutrophic (nutrient rich), oligotrophic (nutrient poor), or dystrophic (acidic and rich in humic material). Most lakes are subjected to cultural eutrophication, which is the rapid addition of nutrients—especially nitrogen and phosphorus—from sewage, industrial wastes, and agricultural runoff.

### Flowing-Water Habitat 25.5

Currents and their dependence on detrital material from surrounding terrestrial ecosystems set flowing-water ecosystems apart from other aquatic systems. Currents shape the life in streams and rivers and carry nutrients and other materials downstream. Flowing-water ecosystems change longitudinally in flow and size from headwater streams to rivers. They may be fast or slow, characterized by a series of riffles and pools.

### Adaptations to Flowing Water 25.6

Organisms well-adapted to living in the current inhabit fast-water streams. They may be streamlined in shape, flattened to conceal themselves in crevices and underneath rocks, or attached to rocks and other substrates. In slow-flowing streams where current is minimal, streamlined forms of fish tend to be replaced by those with compressed bodies that enable them to move through aquatic vegetation. Burrowing invertebrates inhabit the silty bottom. Stream invertebrates fall into four major groups that feed on detrital material: shredders, collectors, grazers, and gougers.

### River Continuum 25.7

Life in flowing water reflects a continuum of changing environmental conditions from headwater streams to the river mouth. Headwater streams depend on inputs of detrital material. As stream size increases, algae and rooted plants become important energy sources as reflected in the changing species composition of fish and invertebrate life. Large rivers depend on fine particulate matter and dissolved organic matter as sources of energy and nutrients. River life is dominated by filter feeders and bottom-feeding fish.

### Estuaries 25.8

Rivers eventually reach the sea. The place where the one-way flow of freshwater meets the incoming and outgoing tidal water is an estuary. The intermingling of freshwater and tides creates a nutrient trap exploited by estuarine life. Salinity determines the nature and distribution of estuarine life. As salinity declines from the estuary up through the river, so do marine species. An estuary serves as a nursery for many marine organisms, particularly some of the commercially important finfish and shellfish, for here the young are protected from predators and competing species unable to tolerate lower salinity.

### Open Ocean 25.9

The marine environment is characterized by salinity, waves, tides, depth, and vastness. Like lakes, oceans experience both stratification of temperature (and other physical parameters) and stratification of the organisms that inhabit the differing vertical strata. The open sea can be divided into several vertical zones. The hadalpelagic zone includes areas found in the deep-sea trenches and canyons. The abyssopelagic zone extends from the sea floor to a depth of about 4000 m. Above is the bathypelagic zone, void of sunlight and inhabited by darkly pigmented, bioluminescent animals. Above that lies the dimly lit mesopelagic zone, inhabited by characteristic species, such as certain sharks and squid. The bathypelagic and mesopelagic zones depend on a rain of detrital material from the upper lighted zone, the epipelagic zone, for their energy.

### Ocean Life 25.10

Phytoplankton dominate the surface waters. The littoral and neritic zones are richer in plankton than the open ocean. Tiny nanoplankton, which make up the largest biomass in temperate and tropical waters, are the major source of primary production. Feeding on phytoplankton are herbivorous zooplankters, especially copepods. They are preyed upon by carnivorous zooplankton. The greatest diversity of zooplankton, including larval forms of fish, occurs in the water over coastal shelves and upwellings; the least diversity occurs in the open ocean. Making up the larger life-forms are free-swimming nekton, ranging from small fish to sharks and whales. Benthic organisms (those living on the floor of the deep ocean) vary with depth and substrate. They are strictly heterotrophic and depend on organic matter that drifts to the bottom. They include filter feeders, collectors, deposit feeders, and predators.

### Hydrothermal Vents 25.11

Along volcanic ridges are hydrothermal vents inhabited by unique and newly discovered life-forms, including crabs, clams, and worms. Chemosynthetic bacteria that use sulfates as an energy source account for primary production in these hydrothermal vent communities.

### Coral Reefs 25.12

Coral reefs are nutrient-rich oases in nutrient-poor tropical waters. They are complex ecosystems based on anthozoan coral and coralline algae. Their productive and varied habitats support a high diversity of invertebrate and vertebrate life.

### Ocean Productivity 25.13

Primary productivity in marine environments is limited to regions where the availability of light and nutrients can support photosynthesis and plant growth. The areas of highest productivity are coastal regions and areas of upwelling. In open oceans, especially in tropical areas, productivity is low because the permanent nature of the thermocline slows the upward diffusion of nutrients. Primary productivity in temperate oceans is strongly related to seasonal variation in nutrient supply, driven by the seasonal dynamics of the thermocline.

## Study Questions

1. What distinguishes the littoral zone from the limnetic zone in a lake? What distinguishes the limnetic zone from the profundal zone?
2. What conditions distinguish the benthic zone from the other strata in lake ecosystems? What is the dominant role of the benthic zone?
3. Distinguish between oligotrophy, eutrophy, and dystrophy.
4. What physical characteristics are unique to flowing-water ecosystems? Contrast these conditions in a fast- and slow-flowing stream.
5. How do environmental conditions change along a river continuum?
6. What is an estuary?
7. Characterize the major life zones of the ocean, both vertical and horizontal.
8. How does temperature stratification in tropical seas differ from that of temperate regions of the ocean? How do these differences influence patterns of primary productivity?
9. What are hydrothermal vents, and what makes life around them unique?
10. What are coral reefs, and how do they form?

## Further Readings

- Allan, J. D. 1995. *Stream ecology: Structure and functioning of running waters*. Dordrecht: Kluwer Academic Press.  
An extensive reference on the ecology of stream ecosystems.
- Grassle, J. F. 1991. Deep-sea benthic diversity. *Bioscience* 41:464–469.  
An excellent and well-written introduction for those interested in the strange and wonderful world of the deep ocean.
- Gross, M. G., and E. Gross. 1995. *Oceanography: A view of Earth*, 7th ed. Englewood Cliffs, NJ: Prentice-Hall.  
An excellent reference on the physical aspects of the sea.
- Hart, D. D., and N. L. Poff, eds. 2002. A special section on dam removal and river restoration. *Bioscience* 52:653–747.  
An in-depth appraisal of the ecological, social, economic, and legal aspects of dam removal.
- Jackson, J. B. C. 1991. Adaptation and diversity of reef corals. *Bioscience* 41:475–482.  
This excellent review paper relates patterns of species distribution to life history and disturbance.
- Kaiser, M. J., M. Attrill, S. Jennings, D. N. Thomas, D. Barnes, A. S. Brierley, J. G. Hiddink, H. Kaartokallio, N. Polunin, and D. Raffaelli. 2011. *Marine ecology: Processes, systems, and impacts*, 2nd ed. New York, Oxford University Press.  
Summarizes marine ecology from key processes involved in the marine environment to issues and challenges surrounding its future.
- Nybakken, J. W., and M. D. Bertness. 2005. *Marine biology: An ecological approach*, 6th ed. Menlo Park, CA: Benjamin Cummings.  
An excellent reference on marine life and ecosystems. Well written and illustrated.
- Reddy, P. A. 2011. *Wetland ecology: Principles and conservation*, 2nd ed. New York: Cambridge University Press.  
A well-organized text that covers the basic concepts of wetland ecology, drawing on examples from every major continent and wetland types.
- Snelgrove, P. V. R. 2010. *Discoveries of the census of marine life: making ocean life count*. New York: Cambridge University Press.  
Filled with outstanding photographs, this book highlights some of the most dramatic findings from the recently completed 10-year Census of Marine Life. Based on the 10-year Census of Marine Life, involving a global network of 71 scientists in 80 nations assessing the diversity and abundance of life in the ocean.
- Wetzel, R. G. 2001. *Limnology: Lake and river ecosystems*, 3rd ed. San Diego: Academic Press.  
An outstanding reference that provides an introduction to the ecology of freshwater ecosystems.



Pond pine (*Pinus serotina*) and a diversity of floating and emergent aquatic plants dominate this area of cypress swamp in spring (Charleston, South Carolina).

- 26.1** The Intertidal Zone Is the Transition between Terrestrial and Marine Environments
- 26.2** Rocky Shorelines Have a Distinct Pattern of Zonation
- 26.3** Sandy and Muddy Shores Are Harsh Environments
- 26.4** Tides and Salinity Dictate the Structure of Salt Marshes
- 26.5** Mangroves Replace Salt Marshes in Tropical Regions
- 26.6** Freshwater Wetlands Are a Diverse Group of Ecosystems
- 26.7** Hydrology Defines the Structure of Freshwater Wetlands
- 26.8** Freshwater Wetlands Support a Rich Diversity of Life

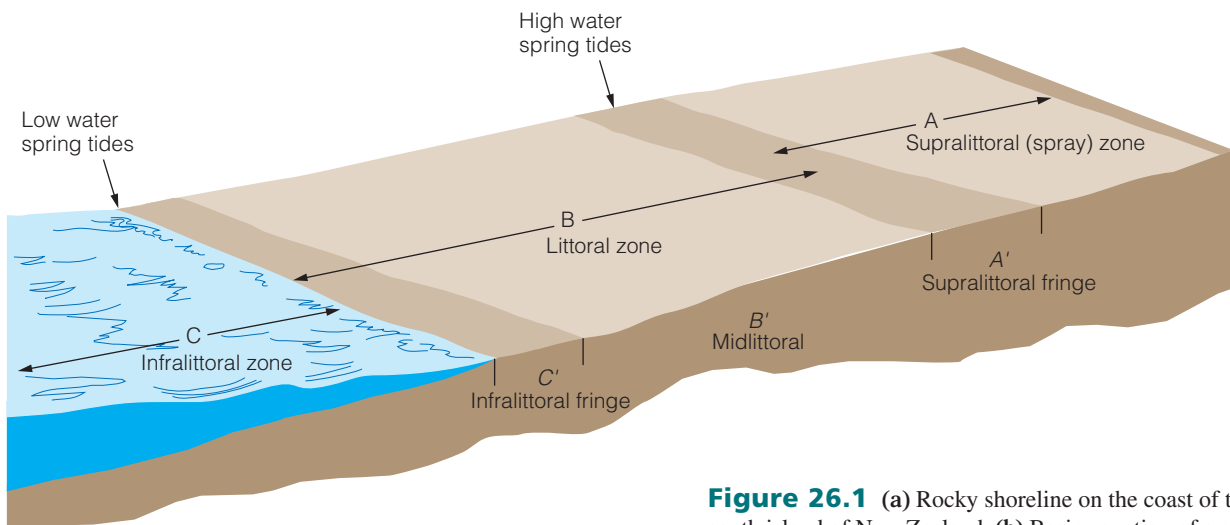
Wherever land and water meet, there is a transitional zone that gives rise to a diverse array of unique ecosystems. In coastal environments, this zone is between the terrestrial and marine environments. These environments are classified based on their underlying geology and substrate—sediment type, size, and shape. The product of marine erosion, the rocky shore, is the most primitive type of coast because it has been altered the least. Sandy beaches, found in wave-dominated, depositional settings, are highly dynamic environments subjected to continuous and often extreme change. Associated with estuarine environments or in the protected regions of coastal dunes are tidal mudflats, salt marshes, and mangrove forests.

The transitional zones between freshwater and land are characterized by terrestrial wetlands dominated by specialized plants that occur where the soil conditions remain saturated for most or all of the year. These are the marshes, swamps, bogs, and zones of emergent vegetation along rivers and lakes.

In this chapter, we will examine these unique environments and the organisms that inhabit them.



(a)



(b)

## 26.1 The Intertidal Zone Is the Transition between Terrestrial and Marine Environments

Rocky, sandy, muddy, and either protected or pounded by incoming swells, all intertidal shores have one feature in common—they are alternately exposed and submerged by the tides. Roughly, the region of the seashore is bounded on one side by the height of extreme high tide and on the other by the height of extreme low tide. Within these confines, conditions change hourly with the ebb and flow of the tides (see Section 3.9). At flood tide, the seashore is a water world; at ebb tide, it belongs to the terrestrial environment, with its extremes in temperature, moisture, and solar radiation. Despite these changes, seashore inhabitants are essentially marine organisms adapted to withstand some degree of exposure to the air for varying periods of time.

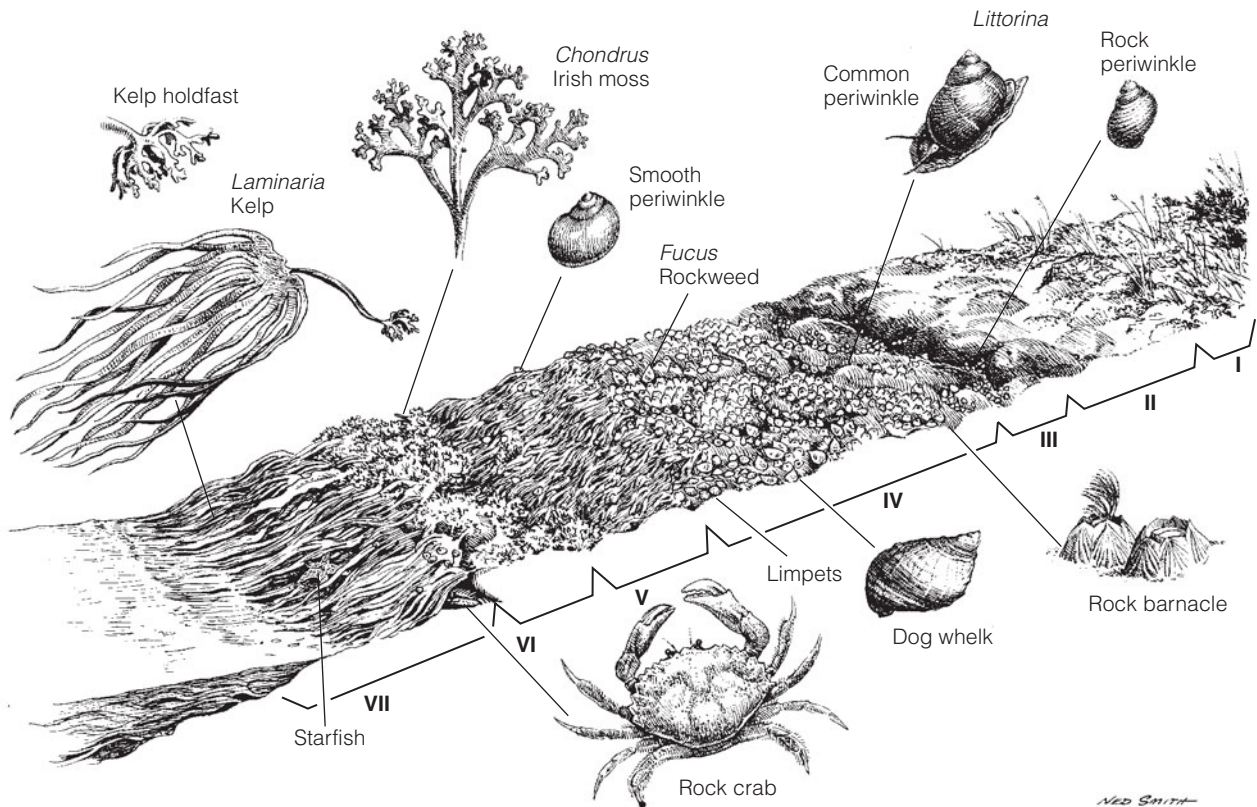
At ebb tide, the uppermost layers of intertidal life are exposed to air, wide temperature fluctuations, intense solar radiation, and desiccation for a considerable period, whereas the lowest fringes on the intertidal shore may be exposed only briefly before the rising tide submerges them again. These varying conditions result in one of the most striking features of the coastal shoreline: the zonation of life.

## 26.2 Rocky Shorelines Have a Distinct Pattern of Zonation

All rocky shores have three basic zones (Figure 26.1), each characterized by dominant organisms (Figure 26.2). The approach to a rocky shore from the landward side is marked by a gradual transition from lichens and land plants to marine life dependent at least partly on the tidal waters. Moving from the terrestrial or **supralittoral** or **supratidal zone**, the first major change from the adjacent terrestrial environment appears at the **supralittoral fringe**, where saltwater comes only once every

**Figure 26.1** (a) Rocky shoreline on the coast of the south island of New Zealand. (b) Basic zonation of a coastal shoreline. Refer to this diagram while studying Figure 26.2.





**Figure 26.2** Zonation on a rocky shore along the North Atlantic. Compare with Figure 26.1b. I, land; lichens, herbs, grasses; II, bare rock; III, black algae and rock periwinkle (*Littorina*) zone; IV, barnacle (*Balanus*) zone: barnacles, dog whelks, common periwinkles, mussels, limpets; V, fucoid zone: rockweed (*Fucus*) and smooth periwinkles; VI, Irish moss (*Chondrus*) zone; VII, kelp (*Laminaria*) zone.

two weeks on the spring tides. It is marked by the black zone, named for the thin black layer of cyanobacteria (*Calothrix*) growing on the rock together with lichens (*Verrucaria*) and green alga (*Entophysalis*) above the high-tide waterline. Living under conditions that few plants could survive, these organisms represent an essentially nonmarine community. Common to this black zone are periwinkles, small snails of the genus *Littorina* (from which the term *littoral zone* is derived) that graze on wet algae covering the rocks.

Below the black zone lies the **littoral or intertidal zone**, which is covered and uncovered daily by the tides. In the upper reaches, barnacles are most abundant. Oysters, blue mussels, and limpets appear in the middle and lower portions of the littoral, as does the common periwinkle. Occupying the lower half of the littoral zone (midlittoral) of colder climates, and in places overlying the barnacles, is an ancient group of plants—brown algae, commonly known as rockweeds (*Fucus* spp.) and wrack (*Ascophyllum nodosum*). On the hard-surfaced shores that have been covered partly by sand and mud, blue mussels may replace the brown algae.

The lowest part of the littoral zone, uncovered only at the spring tides and not even then if wave action is strong, is the **infralittoral fringe**. This zone, exposed for short periods of time, consists of forests of large brown alga—*Laminaria* (one of the

kelps)—with a rich undergrowth of smaller plants and animals among the holdfasts. Below the infralittoral fringe is the **infralittoral or subtidal zone**.

Grazing, predation, competition, larval settlement, and action of waves heavily influence the pattern of life on rocky shores. Waves bring in a steady supply of nutrients and carry away organic material. They keep the fronds of seaweeds in constant motion, moving them in and out of shadow and sunlight, allowing more even distribution of incident light and thus more efficient photosynthesis. By dislodging both plants and invertebrates from the rocky substrate, waves open up space for colonization by algae and invertebrates and reduce strong interspecific competition. Heavy wave action can reduce the activity of such predators as starfish and sea urchins that feed on sessile intertidal invertebrates. In effect, disturbance influences community structure.

The ebbing tide leaves behind pools of water in rock crevices, rocky basins, and depressions (Figure 26.3). These pools represent distinct habitats, which differ considerably from exposed rock and the open sea and even differ among themselves. At low tide, all pools are subjected to wide and sudden fluctuations in temperature and salinity. Changes are most marked in shallow pools. Under the summer sun, temperatures may rise above the maximum many organisms can tolerate. As water



**Figure 26.3** Tidal pools fill depressions along this length of rocky coastline in Maine.

evaporates, especially in the shallower pools, salt crystals may appear around the edges. When rain or drainage from the surrounding land brings freshwater to the pools, salinity may decrease. In deep pools, this freshwater tends to form a layer on top, developing a strong salinity stratification in which the bottom layer and its inhabitants are little affected. If algal growth is considerable, oxygen will be high during the daylight hours but low at night, a situation that rarely occurs at sea. The rise of  $\text{CO}_2$  at night lowers the pH (see Section 3.7). Most pools suddenly return to sea conditions on the rising tide and experience drastic and sudden changes in temperature, salinity, and pH. Life in the tidal pools must be able to withstand these extreme fluctuations.

### 26.3 Sandy and Muddy Shores Are Harsh Environments

Sandy and muddy shores often appear devoid of marine life at low tide (Figure 26.4), in sharp contrast to the life-filled rocky shore, but sand and black mud are not as barren as they seem. Beneath them life lurks, waiting for the next high tide.



**Figure 26.4** A stretch of sandy beach washed by waves on the southern New Zealand coast. Although the beach appears barren, life is abundant beneath the sand.

The sandy shore is a harsh environment, a product of the harsh and relentless weathering of rock, both inland and along the shore. Rivers and waves carry the products of rock weathering and deposit them as sand along the edge of the sea. The size of the sand particles deposited influences the nature of the sandy beach, water retention during low tide, and the ability of animals to burrow through it. In sheltered areas of the coast, the slope of the beach may be so gradual that the surface appears to be flat. Because of the flatness, the outgoing tidal currents are slow, leaving behind a residue of organic material settled from the water. In these situations, mudflats develop.

Life on sand is almost impossible. Sand provides no surface for attachment of seaweeds and their associated fauna, and the crabs, worms, and snails characteristic of rocky crevices find no protection there. Life, then, is forced to live beneath the sand.

Life on sandy and muddy beaches consists of **epifauna** (organisms living on the sediment surface) and **infauna** (organisms living in the sediments) (see Figure 17.9 for an illustration of patterns of zonation on a sandy beach). Most infauna occupy either permanent or semipermanent tubes within the sand or mud and are able to burrow rapidly into the substrate. Other infauna live between particles of sand and mud. These tiny organisms, referred to as **meiofauna**, range in size from 0.05 to 0.5 mm and include copepods, ostracods, nematodes, and gastrotrichs.

Sandy beaches also exhibit zonation related to the tides but you must discover it by digging (see Figure 17.9). Pale, sand-colored ghost crabs and beach hoppers occupy the upper beach, the supralittoral. The intertidal beach, the littoral, is a zone where true marine life appears. Although sandy shores lack the variety found on rocky shores, the populations of individual species of largely burrowing animals often are enormous. An array of animals, among them starfish and the related sand dollar, can be found above the low-tide line in the littoral zone.

Organisms living within the sand and mud do not experience the same extreme fluctuations in temperature as do those on rocky shores. Although the surface temperature of the sand at midday may be  $10^\circ\text{C}$  (or more) higher than the returning seawater, the temperature a few centimeters below the sand remains almost constant throughout the year. Nor is there a great fluctuation in salinity, even when freshwater runs over the surface of the sand. Below 25 cm, salinity is little affected.

Near and below the low-tide line live predatory gastropods, which prey on bivalves beneath the sand. In the same area lurk predatory portunid crabs such as the blue crab and green crab that feed on mole crabs, clams, and other organisms. These species move back and forth with the tides. The incoming tides also bring small predatory fish, such as killifish and silversides. As the tide recedes, gulls and shorebirds scurry across the sand and mudflats to hunt for food.

The energy base for life on the sandy shore is an accumulation of organic matter. Most sandy beaches contain a certain amount of detritus from seaweeds, dead animals, and feces brought in by the tides. This organic matter accumulates within the sand in sheltered areas. It is subject to bacterial decomposition, which is most rapid at low tide. Some detrital-feeding organisms ingest organic matter largely as a means of obtaining

bacteria. Prominent among them are many nematodes and copepods (Harpacticoida), polychaete worms (*Nereis*), gastropod mollusks, and lugworms (*Arenicola*), which are responsible for the conspicuous coiled and cone-shaped casts on the beach. Other sandy beach animals are filter feeders that obtain their food by sorting particles of organic matter from tidal water. Two of these, alternately advancing and retreating with the tide, are the mole crab (*Emerita*) and the coquina clam (*Donax*).

## 26.4 Tides and Salinity Dictate the Structure of Salt Marshes

**Salt or tidal marshes** occur in temperate latitudes where coastlines are protected from the action of waves within estuaries, deltas, and by barrier islands and dunes (Figure 26.5). The structure of a salt marsh is dictated by tides and salinity, which create a complex of distinctive and clearly demarked plant communities.

From the edge of the sea to the high land, zones of vegetation distinctive in form and color develop, reflecting a microtopography that lifts the plants to various heights within and above high tide (see Figure 17.8 for an illustration of vegetation zonation in a coastal salt marsh). Commonly found on the seaward edge of marshes and along tidal creeks of the Eastern coastline in North America are tall, deep green growths of salt-marsh cordgrass, *Spartina alterniflora*. Cordgrass forms a marginal strip between the open mud to the front and the high marsh behind. It has a high tolerance for salinity and is able to live in a semi-submerged state. To get air to its roots, which are buried in anaerobic mud, cordgrass has hollow tubes leading from the leaf to the root through which oxygen diffuses.

Above and behind the low marsh is the high marsh, standing at the level of mean high water. At this level, tall salt-marsh cordgrass gives way rather abruptly to a short form. This shorter form of *Spartina* is yellowish, in contrast to the tall, dark green form. This short form is an example of phenotypic plasticity in response to environmental conditions of the high marsh (see Chapter 5). The high marsh has



**Figure 26.5** Coastal salt marsh.



**Figure 26.6** Glasswort dominates highly saline areas on the salt marsh. The plant, which turns red in fall, is a major food of overwintering geese.

a higher salinity and a decreased input of nutrients that result from a lower tidal exchange rate than in the low marsh. Here also grow the fleshy, translucent glassworts (*Salicornia* spp.; Figure 26.6) that turn bright red in fall, sea lavender (*Limonium carolinianum*), spearscale (*Atriplex patula*), and sea blite (*Suaeda maritima*).

Where the microelevation is about 5 cm above mean high water, short *Spartina alterniflora* and its associates are replaced by salt meadow cordgrass (*Spartina patens*) and an associate, spikegrass or saltgrass (*Distichlis spicata*). As the microelevation rises several more centimeters above mean high tide, and if there is some intrusion of freshwater, *Spartina* and *Distichlis* may be replaced by two species of black needlerush or black grass (*Juncus roemerianus* and *Juncus gerardi*), so called because their dark green color becomes almost black in the fall. Beyond the black grass and often replacing it is a shrubby growth of marsh elder (*Iva frutescens*) and groundsel (*Baccharis halimifolia*). On the upland fringe grow bayberry (*Myrica pensylvanica*) and the pink-flowering sea holly (*Hibiscus palustris*).

Two conspicuous features of a salt marsh are the salt pans interspersed among meandering creeks. The creeks form an intricate system of drainage channels that carry tidal waters back out to sea (Figure 26.7). Their exposed banks support a dense population of mud algae, diatoms, and dinoflagellates that are photosynthetically active all year. Salt pans are circular to elliptical depressions flooded at high tide. At low tide, they remain filled with saltwater. If the pans are shallow enough, the water may evaporate completely, leaving an accumulating



**Figure 26.7** A tidal creek at high tide on the high marsh. Tall *Spartina* grows along the banks.



**Figure 26.8** A salt pan or pool in the high marsh.

concentration of salt on the mud. The edges of these salt flats may be invaded by glasswort and spikegrass (Figure 26.8).

Although the salt marsh is not noted for its diversity, it is home to several interesting organisms. Some of the inhabitants are permanent residents in the sand and mud; others are seasonal visitors; and most are transients coming to feed at high and low tide.

Three dominant animals of the low marsh are ribbed mussels (*Modiolus demissus*), buried halfway in the mud; fiddler crabs (*Uca* spp.), running across the marsh at low tide; and marsh periwinkles (*Littorina* spp.) that move up and down the stems of *Spartina* and onto the mud to feed on alga. Three conspicuous vertebrate residents of the low marsh of eastern North America are the diamond-backed terrapin (*Malaclemys terrapin*), clapper rail (*Rallus longirostris*), and seaside sparrow (*Ammospiza maritima*).

In the high marsh, animal life changes as abruptly as the vegetation. The small, coffee-colored pulmonate snail (*Melampus*), found by the thousands under the low grass, replaces the marsh periwinkle. The willet (*Catoptrophorus semipalmatus*) and seaside sharp-tailed sparrow (*Ammospiza caudacuta*) replace the clapper rail and seaside sparrow.

Low tide brings a host of predators into the marsh to feed. Herons, egrets, gulls, terns, willets, ibis, raccoons, and others spread over the exposed marsh floor and muddy banks of tidal creeks. At high tide, the food web changes as the tide waters flood the marsh. Small predatory fish such as the silversides (*Menidia menidia*), killifish (*Fundulus heteroclitus*), and four-spined stickleback (*Apeltes quadracus*), restricted to channel waters at low tide, spread over the marsh at high tide, as does the blue crab.

## 26.5 Mangroves Replace Salt Marshes in Tropical Regions

Replacing salt marshes on tidal flats in tropical regions are mangrove forests or mangals (Figure 26.9), which cover 60 to 75 percent of the coastline of the tropical regions. Mangrove forests develop where wave action is absent, sediments accumulate, and the muds are anoxic (without oxygen). They extend landward to the highest vertical tidal range, where they may be only periodically flooded. The dominant plants are mangroves, which include 8 families and 12 genera dominated by *Rhizophora*, *Avicennia*, *Bruguiera*, and *Sonneratia*. Growing with them are other salt-tolerant plants, mostly shrubs.

In growth form, mangroves range from short, prostrate forms to timber-size trees 30 m high. All mangroves have shallow, widely spreading roots, and many have prop roots coming from trunk and limbs (Figure 26.10). Many species have root extensions called pneumatophores that take in oxygen for the roots. The tangle of prop roots and pneumatophores slows the movement of tidal waters, allowing sediments to settle out. Land begins to move seaward, followed by colonizing mangroves.

Mangrove forests support a rich fauna, with a unique mix of terrestrial and marine life. Living and nesting in the upper branches are many species of birds, particularly herons and egrets. *Littorina* snails live on the prop roots and trunks of mangrove trees. Also attached to the stems and prop roots are oysters and barnacles, and on the mud at the base of the roots



**Figure 26.9** Mangroves replace tidal marshes in tropical regions.



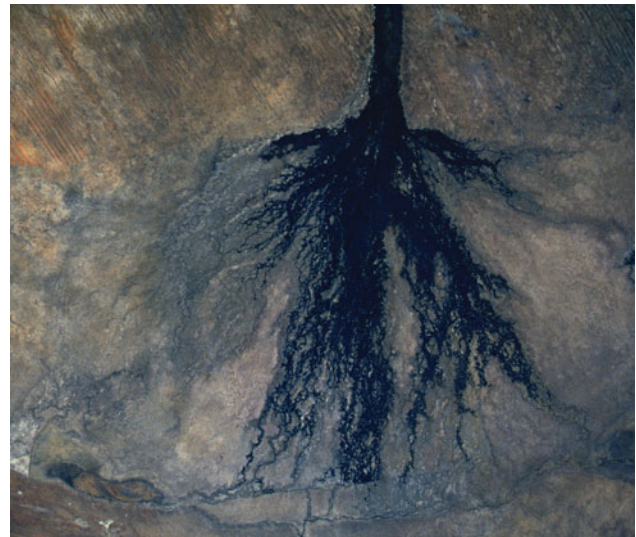
**Figure 26.10** Interior of a red mangrove stand. Note the prop roots.

are detritus-feeding snails. Fiddler crabs and tropical land crabs burrow into the mud during low tide and live on prop roots and high ground during high tide. In the Indo-Malaysian mangrove forests live mudskippers, fish of the genus *Periophthalmus*, with modified eyes set high on the head. They live in burrows in the mud and crawl about on the top of it. In many ways they act more like amphibians than fish. The sheltered waters about the roots provide a nursery and haven for the larvae and young of crabs, shrimp, and fish.

## 26.6 Freshwater Wetlands Are a Diverse Group of Ecosystems

The transitional zones between freshwater and land are characterized by terrestrial wetlands. These unique environments form ecotones between terrestrial and adjacent aquatic ecosystems, sharing characteristics of both. Wetlands cover 6 percent of Earth's surface. They are found in every climatic zone but are local in occurrence. Only a few—such as the Everglades in Florida, the Pantanal in Brazil, the Okavango in southern Africa (Figure 26.11), and the Fens of England—cover extensive areas of the landscape (see Ecological Issues: The Continuing Decline of Wetlands).

Wetlands range along a gradient from permanently flooded to periodically saturated soil (Figure 26.12) and support specialized plants that occur where the soil conditions remain saturated for most or all of the year. These hydrophytic (water-adapted) plants are adapted to grow in water or on soil that is periodically anaerobic (lacking oxygen) because of excess water (see Chapter 6). **Hydrophytic plants** are typically classified into one of three groups: (1) obligate wetland plants that require saturated soils, which include the submerged pondweeds, floating pond lily, emergent cattails and bulrushes, and trees such as bald cypress (*Taxodium distichum*); (2) facultative wetland plants that can grow in either saturated or upland soil and rarely grow elsewhere, such as certain sedges and alders, and trees such as red maple

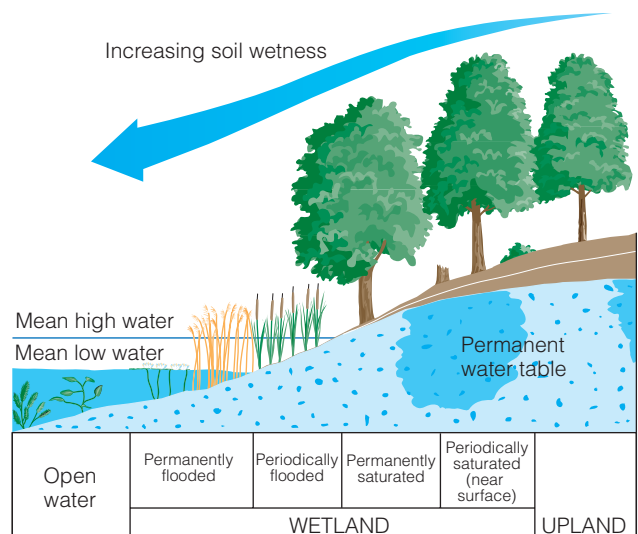


(a)

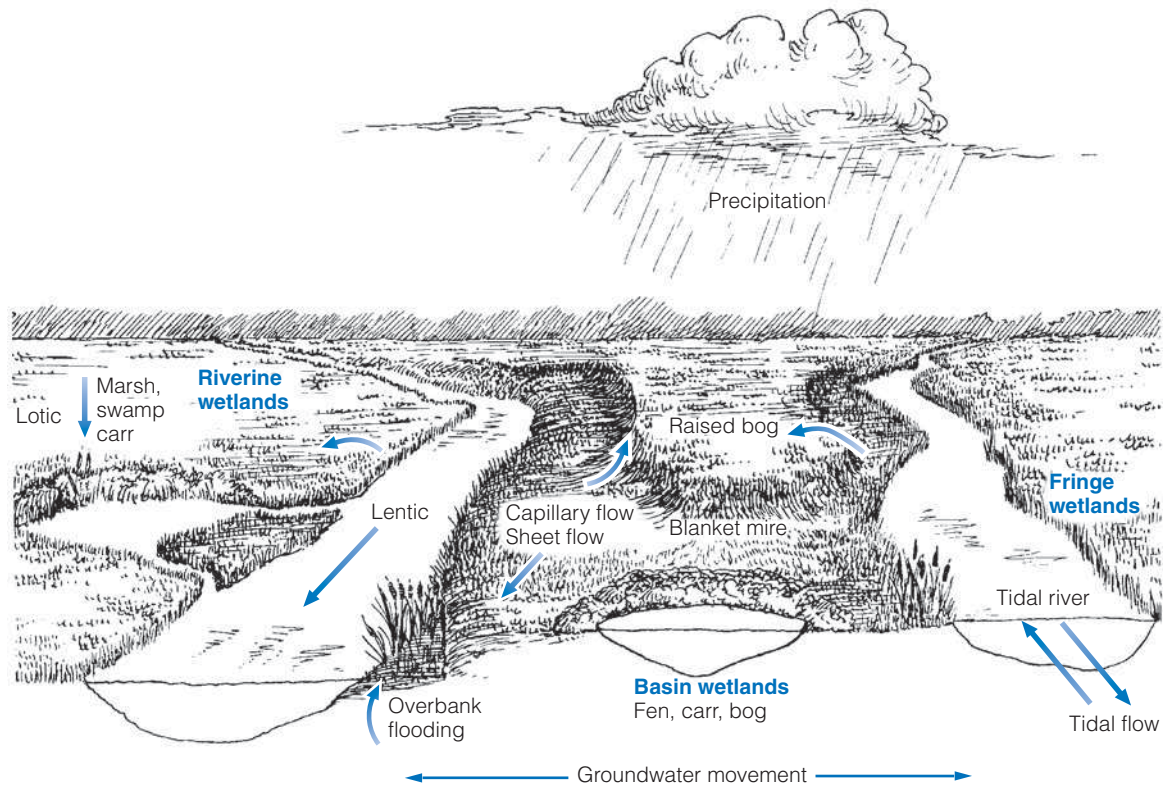


(b)

**Figure 26.11** (a) Okavango delta as photographed from the space shuttle. (b) The dry northwest corner of Botswana is the starting point for the annual summer floods of the Kavango River, which spills down through a vast network of narrow waterways, lagoons, oxbow lakes, and floodplains that covers some 22,000 km<sup>2</sup> of Botswana.



**Figure 26.12** Location of wetlands along a soil moisture gradient.



**Figure 26.13** Water flow in various types of freshwater wetlands.

(*Acer rubrum*) and cottonwoods (*Populus* spp.); and (3) occasional wetland plants that are usually found out of wetland environments but can tolerate wetlands. The third group of plants is critical in determining the upper limit of a wetland along a gradient of soil moisture.

Wetlands most commonly occur in three topographic situations (Figure 26.13). Basin wetlands develop in shallow basins, ranging from upland depressions to filled-in lakes and ponds. Riverine wetlands develop along shallow and periodically flooded banks of rivers and streams. Fringe wetlands occur along the coasts of large lakes. The three types are partially separated due to the direction of water flow (see Figure 26.13). Water flow in basin wetlands is vertical due to precipitation and the downward infiltration of water into the soil. In riverine wetlands, water flow is unidirectional. In fringe wetlands, flow is in two directions, because it involves rising lake levels or tidal action. These flows transport nutrients and sediments into and out of the wetland.

Wetlands dominated by emergent herbaceous vegetation are **marshes** (Figure 26.14). With their reeds, sedges, grasses, and cattails, marshes are essentially wet grasslands. Forested wetlands are commonly called **swamps** (Figure 26.15). They may be deep-water swamps dominated by cypress (*Taxodium* spp.), tupelo (*Nyssa* spp.), and swamp oaks (*Quercus* spp.); or they may be shrub swamps dominated by alder (*Alnus* spp.) and willows (*Salix* spp.). Along many large river systems are extensive tracts of **bottomland** or **riparian woodlands** (Figure 26.16), which are occasionally or seasonally flooded by river waters but are dry for most of the growing season.



**Figure 26.14** The Horicon marsh in Wisconsin is an outstanding example of a northern marsh with well-developed emergent vegetation and patches of open water—an ideal environment for wildlife.

Wetlands that are characterized by an accumulation of partially decayed organic matter with time are called **peatlands** or **mires** (Figure 26.17). Organic matter accumulates because it is produced faster than it can be decomposed. The water table is at or near the soil surface, which creates anaerobic conditions that slow microbial activity. Mires that are fed by groundwater moving through mineral soil, from which they obtain most of their nutrients, and dominated by sedges are known



(a)



(b)

**Figure 26.15** (a) A cypress deep-water swamp in the southern United States. (b) An alder (*Alnus*) shrub swamp with an herbaceous understory of skunk cabbage (*Symplocarpus foetidus*).



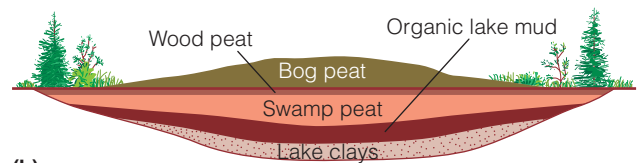
**Figure 26.16** A riparian forest in Alabama.



**Figure 26.17** An upland black spruce–tamarack bog in the Adirondack Mountains of New York.



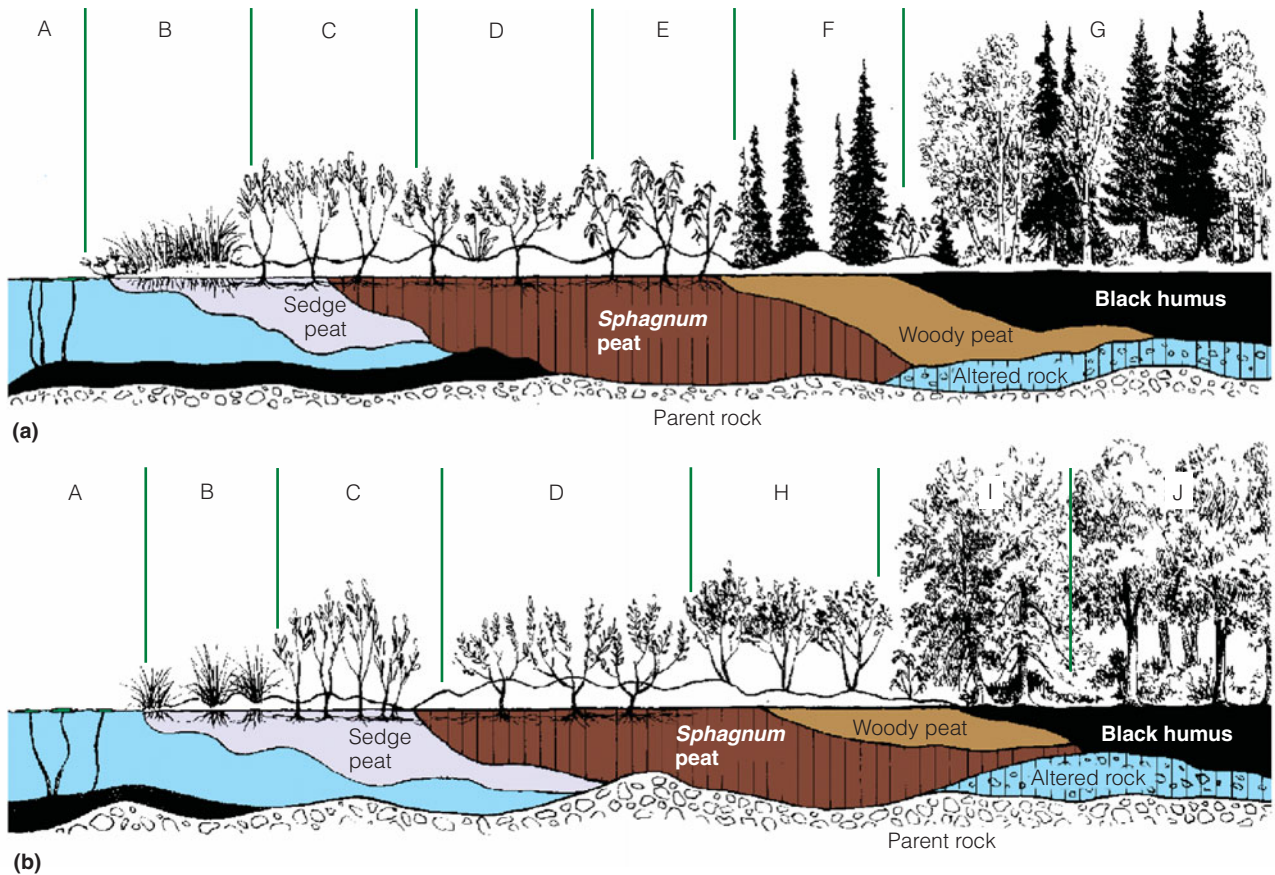
(a)



(b)

**Figure 26.18** A raised bog (a) develops when an accumulation of peat rises above the surrounding landscape (b).

as **fens**. Mires dependent largely on precipitation for their water supply and nutrients and dominated by *Sphagnum* are **bogs**. Mires that develop in upland situations—where decomposed, compressed peat forms a barrier to the downward movement of water, resulting in a perched water table (zone of saturation above an impermeable horizon) above mineral soil—are **blanket mires** and **raised bogs** (Figure 26.18). Raised bogs are popularly known as **moors**. Because bogs depend on precipitation for nutrient inputs, they are highly deficient in mineral salts and low in pH. Bogs also develop when a lake basin fills with sediments and organic matter carried by inflowing water. These sediments divert water around the lake basin and raise the surface of the mire above the influence of groundwater. Other bogs form when a lake basin fills in from above rather than from below, creating a



**Figure 26.19** (a) Transect through a quaking bog, showing zones of vegetation, *Sphagnum* mounds, peat deposits, and floating mats. A, pond lily in open water; B, buckbean (*Menyanthes trifoliata*) and sedge; C, sweetgale (*Myrica gale*); D, leatherleaf (*Chamaedaphne calyculata*); E, Labrador tea (*Ledum groenlandicum*); F, black spruce; G, birch–black spruce–balsam fir forest. (b) An alternative vegetational sequence. H, alder; I, aspen, red maple; J, mixed deciduous forest.

floating mat of peat over open water. Such bogs are often termed **quaking bogs** (Figure 26.19).

## 26.7 Hydrology Defines the Structure of Freshwater Wetlands

Wetland structure is influenced by the phenomenon that creates it: its hydrology. Hydrology has two components. One involves the physical aspects of water and its movement: precipitation, surface and subsurface flow, direction and kinetic energy of water, and chemistry of the water. The other component is the **hydroperiod**, which involves duration, frequency, depth, and season of flooding. The length of the hydroperiod varies among types of wetlands. Basin wetlands have a longer hydroperiod. They usually flood during periods of high rainfall and draw down during dry periods. Both phenomena appear to be essential to the long-term existence of wetlands. Riverine wetlands have a short period of flooding associated with peak stream flow. The hydroperiod of fringe wetlands, influenced by wind and lake waves, may be short and regular and does not undergo the seasonal fluctuation characteristic of many basin marshes.

Hydroperiod influences plant composition, for it affects germination, survival, and mortality at various stages of the plants' life cycles. The effect of hydroperiod is most



**Figure 26.20** Prairie potholes.

pronounced in basin wetlands, especially those in the prairie regions of North America. In basins (called potholes in the prairie region) deep enough to have standing water throughout periods of drought, the dominant plants will be submergents (Figure 26.20). If the wetland goes dry annually or during a period of drought, tall or midheight emergent species such as



## ECOLOGICAL ISSUES The Continuing Decline of Wetlands

For centuries, we have looked at wetlands as forbidding, mysterious places: sources of pestilence, home to dangerous and pestiferous insects, and the abode of slimy, sinister creatures that rise out of swamp waters. They have been looked upon as places that should be drained for more productive uses by human standards: agricultural land, solid waste dumps, housing, industrial developments, and roads. The Romans drained the great marshes around the Tiber to make room for the city of Rome. William Byrd described the Great Dismal Swamp on the Virginia–North Carolina border as a “horrible desert, the foul damp ascends without ceasing.” Despite the enormous amount of vacant dry land available in 1763, a corporation called the Dismal Swamp Land Company, owned in part by George Washington, failed in an attempt to drain the western end of the swamp for farmland. Although severely affected over the past 200 years, much of the swamp remains as a wildlife refuge.

Rationales for draining wetlands are many. The most persuasive relates to agriculture. Drainage of wetlands opens many hectares of rich organic soil for crop production. In prairie country, agriculturalists viewed the innumerable potholes (see Figure 26.20) as an impediment to efficient farming. Draining them tidies up fields and allows unhindered use of large agricultural machinery. There are other reasons, too. Landowners and local governments view wetlands as an economic liability that produces no economic return and provides little tax revenue. Many regard the wildlife that wetlands support as threats to grain crops. Elsewhere, wetlands are considered valueless lands, at best filled in and used for development. Some major wetlands have been in the way of dam development projects. For example, the large Pymatuning Lake in the states of Pennsylvania and Ohio covers what was once a 4200-hectare *Sphagnum*–tamarack bog. Peat bogs in the northern United States, Canada, Ireland, and northern Europe are excavated for fuel, horticultural peat, and organic soil. In some areas, such exploitation threatens to wipe out peatland ecosystems.

Many remaining wetlands, especially in the north-central and southwestern United States, are contaminated and degraded by the pesticides and heavy metals carried into them by surface and subsurface drainage and sediments from surrounding croplands. Although inputs of nitrogen and phosphorus increase the

productivity of wetlands, a concentration of herbicides, pesticides, and heavy metals poisons the water, destroys invertebrate life, and has debilitating effects on wildlife (including deformities, lowered reproduction, and death). Waterfowl in wetlands scattered throughout agricultural lands are also more exposed to predation, and without access to natural upland vegetation they breed less successfully.

Fifty-one percent of the human population of the contiguous United States, and globally 70 percent of all people, live within 80 km of the coastlines. With so much humanity clustered near the coasts, it is obvious why coastal wetlands are threatened and disappearing rapidly. Despite some efforts at regulation and acquisition at state and federal levels to slow the loss, coastal wetlands in the United States are still disappearing at a rate of 8000 hectares per year. In colonial times, the area now embraced by the 50 United States contained some 160 million hectares of wetlands. Over the past 200 years, that area has decreased to 110 million hectares (Figure 1), and many of these remnants are degraded. Coastal Europe has lost 65 percent of its original tidal marshes, and 75 percent of the remaining are heavily managed. Since the 1980s, 35 percent of tropical mangrove forests have been diked for aquaculture—pond rearing of shrimp and fish—and cut for wood chips and charcoal. Losses are continuing at a rate of 2 percent a year.

Commonly regarded as economic wastelands, salt marshes have been and are still being ditched, drained, and filled for real estate development (everyone likes to live at the water’s edge), industrial development, and agriculture. Reclamation of marshes for agriculture is most extensive in Europe, where the high marsh is enclosed within a sea wall and drained. Most of the marshland and tideland in Holland has been reclaimed in this fashion. Many coastal cities such as Boston, Amsterdam, and much of London have been built on filled-in marshes. Salt marshes close to urban and industrial developments occasionally become polluted with spillages of oil, which becomes easily trapped within the vegetation.

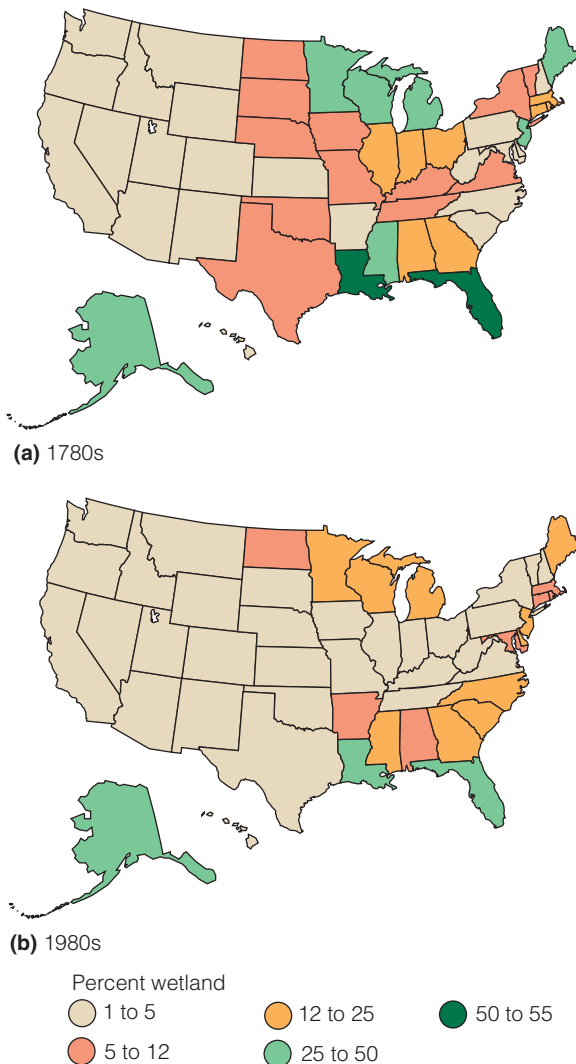
Losses of coastal wetlands have a pronounced effect on the salt marsh and associated estuarine ecosystems. They are the nursery ground for commercial and recreational fisheries. There is, for example, a positive correlation between the expanse of coastal marsh and shrimp production in Gulf

cattails will dominate the marsh. If the pothole is shallow and flooded only briefly in the spring, then grasses, sedges, and forbs will make up a wet-meadow community.

If the basin is deep enough toward its center as well as large enough, then zones of vegetation may develop, ranging from submerged plants to deep-water emergents such as cattails and bulrushes, shallow-water emergents, and wet-ground species such as spike rush. Zonation reflects the response of plants to the hydroperiod. Those areas of wetland subjected to a long hydroperiod will support submerged and deep-water emergents;

those with a short hydroperiod and shallow water are occupied by shallow-water emergents and wet-ground plants.

Periods of drought and wetness can induce vegetation cycles associated with changes in water levels. Periods of above-normal precipitation can raise the water level and drown the emergents to create a lake marsh dominated by submerged plants. During a drought, the marsh bottom is exposed by receding water, stimulating seed germination in the emergents and annuals characteristic of mudflats. When water levels rise again the mudflat species drown, and the emergents survive and spread vegetatively.



**Figure 1** The loss of wetlands in the United States over the past 200 years.

(Adapted from U.S. Department of Agriculture.)

coastal waters. Oysters and blue crabs are marsh dependent, and the decline of these important species relates to loss of salt marshes. Coastal marshes are major wintering grounds for waterfowl. One-half of the migratory waterfowl of the Mississippi Flyway depend on Gulf Coast wetlands, and the bulk of the snow goose population winters on coastal marshes from the Chesapeake Bay to North Carolina. Through grazing or uprooting, these geese may remove nearly 60 percent of the belowground production of marsh vegetation. Forced concentration of these wintering migratory birds into shrinking salt-marsh habitats could jeopardize marsh vegetation and the future of these salt-marsh ecosystems.

The loss of wetlands has reached a point where both environmental and socioeconomic values—including waterfowl habitat, groundwater supply and quality, floodwater storage, and sediment trapping—are in jeopardy. Although the United States has made some progress toward preserving the remaining wetlands through legislative action and land purchase, the future of freshwater wetlands is not secure. Apathy, hostility toward wetland preservation, political maneuvering, court decisions, and arguments over what constitutes a wetland allow the continued destruction of wetlands at a rate of more than 200,000 hectares per year.

The Everglades National Park in South Florida is one of the largest natural wetlands in the world. Over the past century, the draining of lands and the diversion of water to meet growing residential and agricultural needs in this region have threatened this wetland ecosystem. Efforts are currently under way to restore the flow of water that is critical to preserving this unique ecosystem. Information on the history of the Everglades ecosystem and the Comprehensive Everglades Restoration Plan are available online at <http://www.evergladesplan.org/>.

Peatlands differ from other freshwater wetlands in the accumulation of peat that results because organic matter is produced faster than it can be decomposed. In northern regions, acid-forming, water-holding *Sphagnum* add new growth on top of the accumulating remains of past moss generations, and their spongelike ability to hold water increases water retention on the site. As the peat blanket thickens, the water-saturated mat of moss and associated vegetation is raised above and insulated from mineral soil. The peat mat then becomes its own reservoir of water, creating a perched water table.

Peat bogs and mires generally form under oligotrophic and dystrophic conditions (see Section 25.4). Although usually associated with and most abundant in boreal regions of the Northern Hemisphere, peatlands also exist in tropical and subtropical regions. They develop in mountainous and coastal regions where hydrological conditions encourage an accumulation of partly decayed organic matter. Examples in coastal regions are the Everglades in Florida and the pocosins on the coastal plains of the southeastern United States.

## 26.8 Freshwater Wetlands Support a Rich Diversity of Life

Biologically, freshwater wetlands are among the richest and most interesting ecosystems. They support a diverse community of benthic, limnetic, and littoral invertebrates, especially crustaceans and insects. These invertebrates, along with small fishes, provide a food base for waterfowl, herons, gulls, and other birds, and supply the fat-rich nutrients ducks need for egg

production and the growth of young. Amphibians and reptiles, notably frogs, toads, and turtles, inhabit the emergent growth, soft mud, and open water of marshes and swamps.

Herbivores make up a conspicuous component of animal life. The dominant herbivore in prairie marshes is the muskrat (*Ondatra zibethicus*). Muskrats are the major prey for mink (*Mustela vison*), the dominant carnivore on the marshes. Other predators, including raccoon, fox, weasel, and skunk, can seriously reduce the reproductive success of waterfowl on small marshes.

---

## Summary

### Intertidal Zone 26.1

Sandy shores and rocky coasts occur where the sea meets the land. The drift line marks the farthest advance of the tide on sandy shores. On rocky shores, a zone of black algal growth marks the tide line.

### Rocky Coasts 26.2

The most striking feature of the rocky shore—zonation of life—results from alternate exposure and submergence by the tides. The black zone marks the supralittoral fringe, the upper part of which is flooded only once every two weeks by spring tides. Submerged daily by the tides is the littoral zone, characterized by barnacles, periwinkles, mussels, and fucoid seaweeds. Uncovered only at spring tides is the infralittoral, which is dominated by large brown laminarian seaweeds, Irish moss, and starfish. Distribution and diversity of life across rocky shores are also influenced by wave action, competition herbivory, and predation. Left behind by outgoing tides are tidal pools, distinct habitats subjected over a 24-hour period to wide fluctuations in temperature and salinity and inhabited by varying numbers of organisms, depending on the amount of emergence and exposure.

### Sandy Beaches 26.3

Sandy beaches are a product of weathering of rock. Exposed to wave action, the beaches are subjected to deposition and wearing away of the sandy substrate. Sandy and muddy shores appear barren of life at low tide; but beneath the sand and mud, conditions are more amenable to life than on the rocky shore. Zonation of life is hidden beneath the surface. The energy base for sandy and muddy shores is organic matter carried in by tides and made available by bacterial decomposition. Basic consumers are bacteria, which in turn are a major source of food for both deposit-feeding and filter-feeding organisms.

### Salt Marshes 26.4

The interaction of salinity, tidal flow, and height produces a distinctive zonation of vegetation in salt marshes. Salt-marsh cordgrass dominates marshes flooded by daily tides. Higher microelevations that are shallow, flooded only by spring tides, and subjected to higher salinity support salt meadow cordgrass and spikegrass. Salt-marsh animals are adapted to tidal rhythms. Detrital feeders such as fiddler crabs and their

predators are active at low tide; filter-feeding ribbed mussels are active at high tide.

### Mangrove Forests 26.5

In tropical regions, mangrove forests or mangals replace salt marshes and cover up to 70 percent of coastlines. Uniquely adapted to a tidal environment, many mangrove tree species have supporting prop roots that carry oxygen to the roots, and their seeds grow into seedlings on the tree and drop into the water to take root in the mud. Mangroves support a unique mix of terrestrial and marine life. The sheltered water about the prop roots provides a nursery for the larvae and young of crabs, shrimp, and fish.

### Freshwater Wetlands 26.6

Wetlands can be defined as a community of hydrophytic plants occupying a gradient of soil wetness from permanently flooded to periodically saturated during the growing season. Hydrophytic plants are adapted to grow in water or on soil periodically deficient in oxygen. Wetlands dominated by grasses and herbaceous hydrophytes are marshes. Those dominated by wooded vegetation are forested wetlands (riparian forests) or shrub swamps. Wetlands characterized by an accumulation of peat are mires. Mires fed by water moving through the mineral soil and dominated by sedges are fens; those dominated by *Sphagnum* and dependent largely on precipitation for moisture and nutrients are bogs. Bogs are characterized by blocked drainage, an accumulation of peat, and low productivity.

### Hydrology Structures Wetlands 26.7

The structure and function of wetlands are strongly influenced by their hydrology—both the physical movement of water and hydroperiod. Hydroperiod is the depth, frequency, and duration of flooding. Hydroperiod influence on vegetation is most evident in basin wetlands that exhibit zonation from deep-water submerged vegetation to wet-ground emergents.

### Diversity of Wetland Life 26.8

Wetlands support a diversity of wildlife. Freshwater wetlands provide essential habitats for frogs, toads, turtles, and a diversity of invertebrate life. Nesting, migrant, and wintering waterfowl depend on these critical habitats.

---

## Study Questions

1. Describe the three major zones of the rocky shore.
2. What environmental stresses are organisms living in the rocky intertidal zone subjected to?
3. How does life on sandy shores differ from that in the rocky intertidal zone? How is it the same?
4. What influences the major structural features (zonation) of a salt marsh?
5. Compare salt marshes with mangrove forests.
6. What is a freshwater wetland?
7. What are the three major types of wetlands in terms of hydrology (water flow)?
8. What is hydroperiod, and how does it relate to the structure of wetlands?
9. Characterize the types of wetlands by their vegetation.

---

## Further Readings

- Bertness, M. D. 1999. *The ecology of Atlantic shorelines*. Sunderland, MA: Sinauer Associates.  
A well-written and illustrated introduction to coastal ecology.
- Lugo, A. E. 1990. *The forested wetlands*. Amsterdam: Elsevier.  
Excellent overview and discussion of the structure and function of these freshwater wetland ecosystems.
- Lugo, A. E., and S. C. Snedaker. 1974. The ecology of mangroves. *Annual Review of Ecology and Systematics*, 5:39–64.  
This review paper provides an excellent introduction to mangrove ecosystems.
- Mathieson, A. C., and P. H. Nienhuis, eds. 1991. Intertidal and littoral ecosystems. *Ecosystems of the world*, 24. Amsterdam: Elsevier.  
A detailed, broad survey of the intertidal and littoral zones of the world.
- Mitsch, W. J., and J. C. Gosslink. 2007. *Wetlands*, 4th ed. New York: John Wiley & Sons.  
A pioneering text and major reference of the ecology of wetland ecosystems.
- Moore, P. G., and R. Seed, eds. 1986. *The ecology of rocky shores*. New York: Columbia University Press.  
A comprehensive, worldwide review of the rocky intertidal zone.
- Niering, W. A., and B. Littlehales. 1991. *Wetlands of North America*. Charlottesville, VA: Thomasson-Grant.  
An exceptionally illustrated survey of North American wetlands, both freshwater and salt.
- Teal, J., and M. Teal. 1969. *Life and death of the salt marsh*. Boston: Little, Brown.  
Although out of print, this beautifully written classic is an excellent introduction to salt-marsh ecology.
- Valiela, I., J. L. Bowen, and J. K. York. 2001. Mangrove forests: One of the world's threatened major tropical environments. *BioScience*, 51:807–815.  
An overview of the impact of mariculture on mangroves.
- Van der Valk, A., ed. 1989. *Northern prairie wetlands*. Ames, IA: Iowa State University Press.  
Detailed studies of this unique wetland ecosystem that is rapidly disappearing.
- Williams, M., ed. 1990. *Wetlands: A threatened landscape*. Cambridge, MA: Blackwell Publishers.  
This comprehensive appraisal of the world's wetlands covers occurrence and composition, physical and biological dynamics, human impact, and management and preservation.

# Large-Scale Patterns of Biological Diversity



- 27.1 Earth's Biological Diversity Has Changed through Geologic Time**
- 27.2 Past Extinctions Have Been Clustered in Time**
- 27.3 Regional and Global Patterns of Species Diversity Vary Geographically**
- 27.4 Species Richness in Terrestrial Ecosystems Correlates with Climate and Productivity**
- 27.5 In Marine Environments, There Is an Inverse Relationship between Productivity and Diversity**
- 27.6 Species Diversity Is a Function of Processes Operating at Many Scales**

Although covering only 6 percent of the land surface, tropical rain forests contain over 50 percent of all known terrestrial species.

**E**arth's ecosystems support an amazing diversity of species. Scientists have identified and named approximately 1.4 million species (Figure 27.1), and the task is not complete. Scientists are continuously discovering new species, and quantifying the actual number of species inhabiting Earth is an ongoing exercise (Figure 27.2). Some scientists, such as Harvard biologist E. O. Wilson, believe that the actual number of species may be close to 10 million.

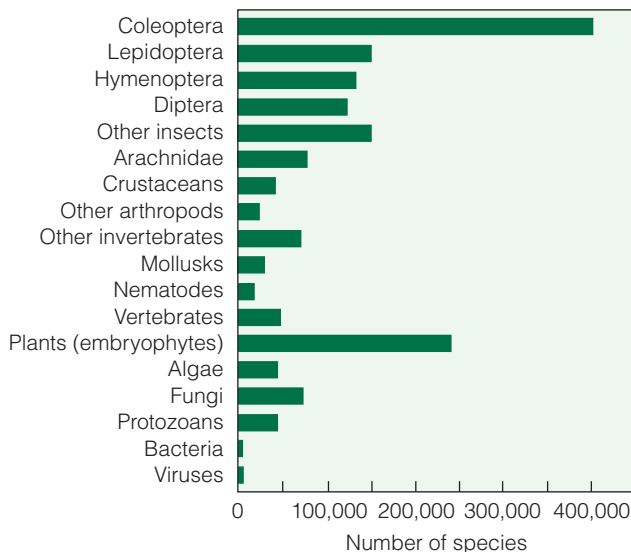
Regardless of whether the actual number of species is 1.4 million or 10 million, the diversity of our planet is not static. Over evolutionary time, new species evolve while existing species fade away and become extinct (see Chapter 29). The diversity of our planet is a story of constant change.

Nor is biological diversity the same everywhere on Earth's surface. Distinct geographic patterns of diversity relate to environmental conditions that have influenced the evolution of species diversity as well as the ability of local environments to support a diverse community. In this chapter, we will examine these regional and global patterns of Earth's biological diversity, both in time and space.

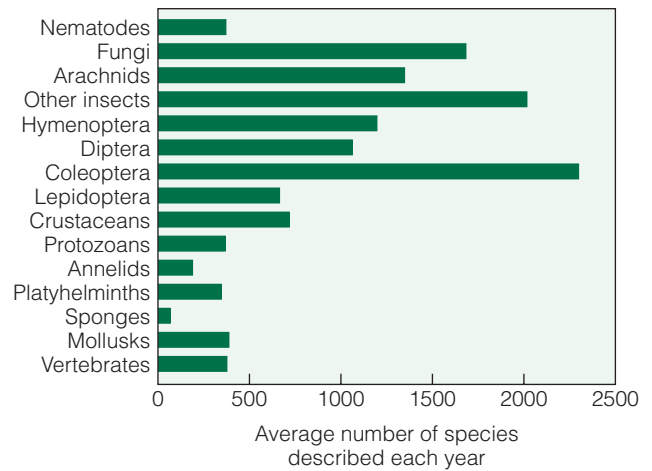
## 27.1 Earth's Biological Diversity Has Changed through Geologic Time

We have explored the temporal dynamics of species diversity on a successional timescale (Chapters 19 and 20). In those examples, the local patterns of diversity reflect changes in the local distribution and abundance of species through time. Over geologic time, however, there have been dramatic long-term evolutionary changes in patterns of global diversity.

Over the past 600 million years, the number of different types of organisms has been increasing. Among most groups of organisms for which data exist from the fossil record, the



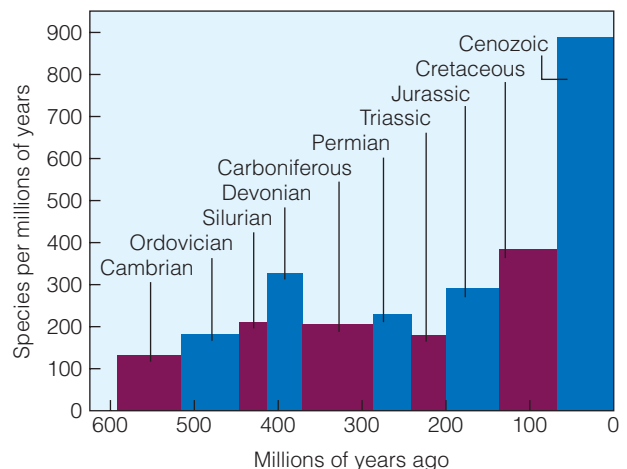
**Figure 27.1** Number of living species of all kinds of organisms currently known. Species are classified by major taxonomic groups. Insects and plants dominate the diversity of living organisms. (Adapted from Wilson 1999.)



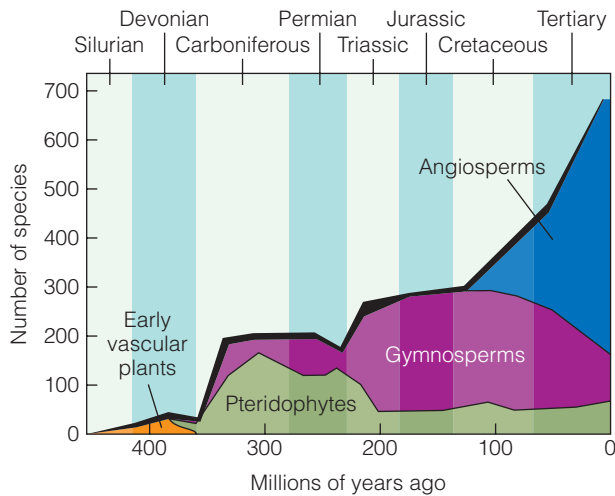
**Figure 27.2** Many new (previously unknown) species are discovered each year. Most of these species belong to taxonomic groups that are relatively small in size.

number of species has increased almost continuously since the taxonomic group first appeared in the fossil record. Figure 27.3 represents the estimated species richness of fossilized invertebrates over geologic time. Species richness of this taxonomic group has increased over the past 600 million years with slight decreases during the late Devonian and Permian periods.

The evolution of diversity among vascular land plants presents a particularly interesting pattern (Figure 27.4). Since the appearance of these plants more than 400 million years ago, the number of species has increased almost continuously, but the groups that dominate the land flora have shifted dramatically through time. The early vascular plants, the rootless and leafless psilopsids, went extinct by the end of the Devonian and were replaced by pteridophytes (ferns), which flourished during the Carboniferous period. This group then decreased in abundance by the early Triassic. The decline of this group of plants coincided with the diversification of the gymnosperms (includes ginkgos, cycads,



**Figure 27.3** Estimated species richness of fossilized invertebrates over geologic time.



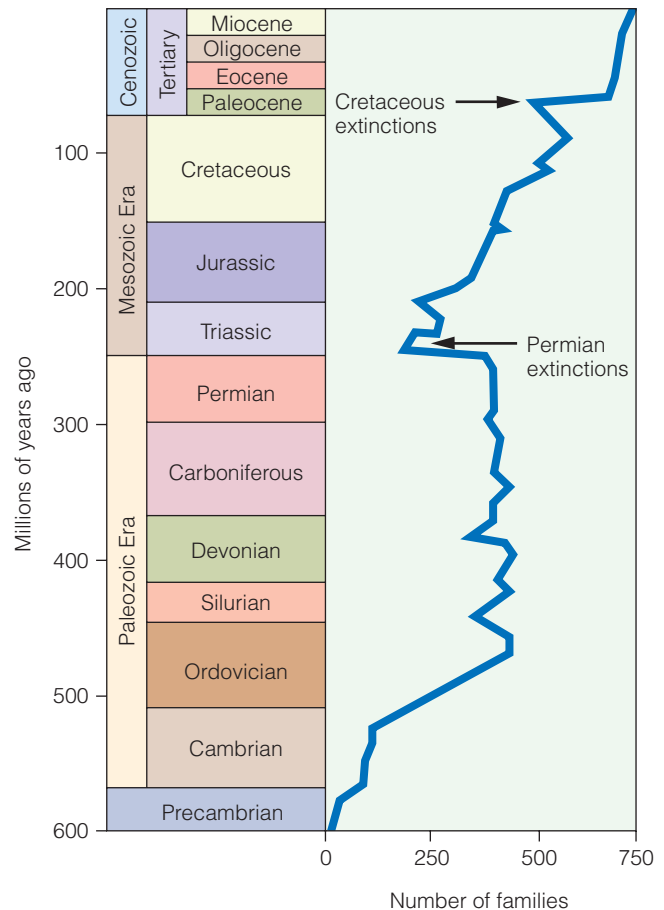
**Figure 27.4** Pattern of expansion and reduction of major terrestrial plant groups during 400 million years of plant evolution. (Adapted from Niklas et al. 1983.)

conifers), which in turn declined in abundance and diversity over the past 100 million years as angiosperms (flowering plants) diversified.

## 27.2 Past Extinctions Have Been Clustered in Time

Although the history of Earth's biological diversity is generally a story of increasing species richness, it has experienced periods of decline. The general pattern of increasing diversity through geologic time has also been accompanied by extinctions, which were not spread evenly across Earth's history (Figure 27.5). Most extinctions are clustered in geologically brief periods of time. One mass extinction occurred at the end of the Permian period, 225 million years ago, when 90 percent of the shallow-water marine invertebrates disappeared. Another occurred at the end of the Cretaceous period, 65 to 125 million years ago, when the dinosaurs vanished. An asteroid striking Earth, interrupting oceanic circulation, altering the climate, and causing volcanic and mountain-building activity is currently believed to have caused that extinction event.

One of the great extinctions of mammalian life took place during the Pleistocene, when species such as the woolly mammoth, giant deer, mastodon, giant sloth, and saber-toothed cat vanished from Earth. Some scientists suggest that climate changes as ice sheets advanced and retreated caused the extinctions. Others argue that Pleistocene hunters overkilled large mammals, especially in North America, as human populations swept through North and South America between 11,550 and 10,000 years ago. Perhaps the large grazing herbivores could not withstand the combined predatory pressure of humans and other large carnivores. The greatest number of present-day extinctions have taken place since A.D. 1600. Humans have caused well over 75 percent of these extinctions, primarily through habitat destruction, but also by introduction of predators and parasites and by exploitive hunting and fishing (see Chapter 29).

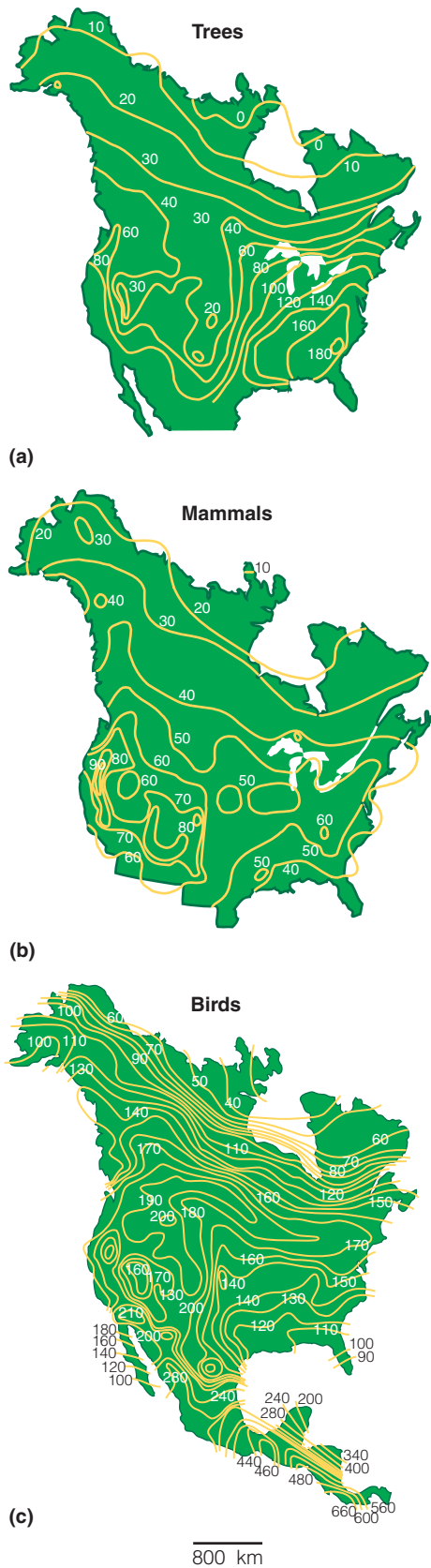


**Figure 27.5** The geologic timescale and mass extinctions in the history of life. The fossil record profiles mass extinctions during geological times. The most recent mass extinction occurred during the Cretaceous, which wiped out more than half of all species, including the dinosaurs. The mass extinction event at the end of the Permian resulted in the loss of 96 percent of all marine species and perhaps as many as 50 percent of the total species on Earth.

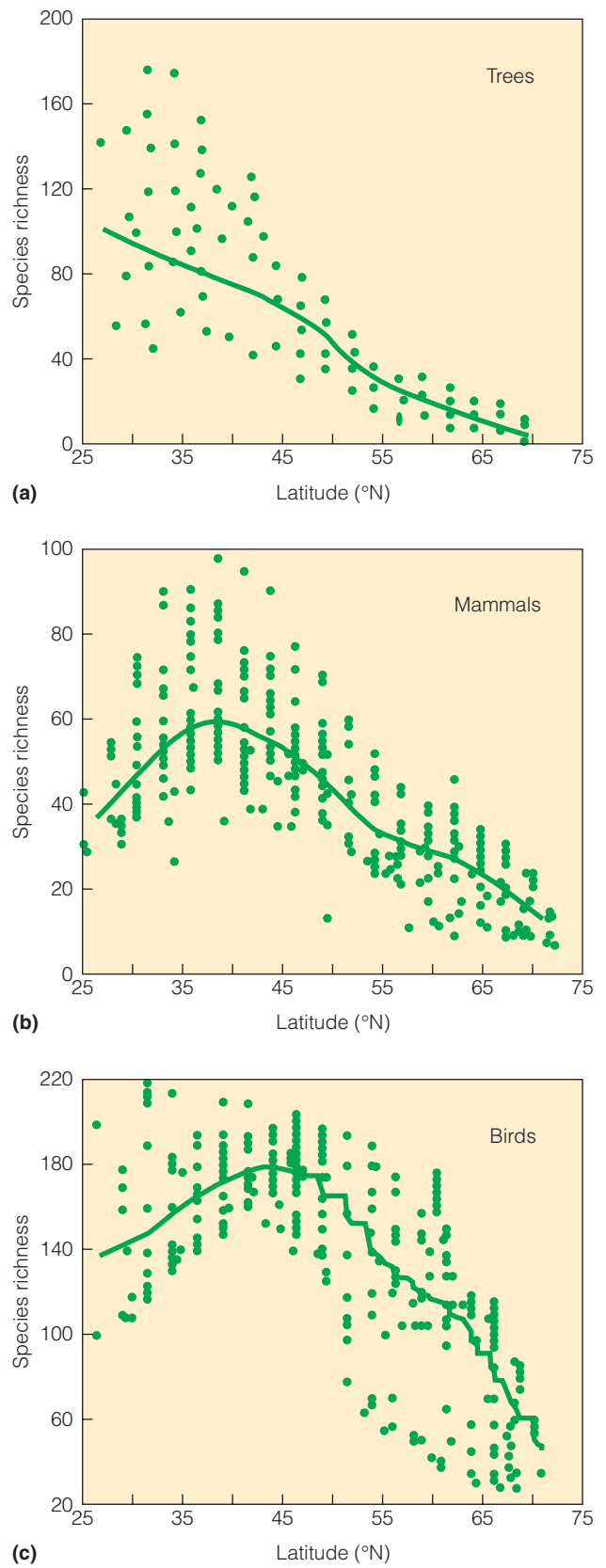
## 27.3 Regional and Global Patterns of Species Diversity Vary Geographically

The 1.4 million species that have been identified are not distributed equally across Earth's surface. There are distinct geographic patterns of species richness (number of species). In general, the number of terrestrial species decreases as one moves away from the equator toward the poles. The three maps in Figure 27.6 illustrate distinct geographic patterns of species richness for trees, mammals, and birds in North America. Note the overall decrease in species richness as one moves from south to north. This pattern is more obvious if we plot species richness as a function of latitude (Figure 27.7).

The decline in species diversity as you move northward in the North American continent is part of a global pattern of declining diversity (both terrestrial and marine) from the equator northward and southward toward the poles. Although scientists do not know the exact mechanisms underlying the geographic pattern of species diversity, they have postulated



**Figure 27.6** Geographic variation in the distribution of (a) trees, (b) mammals, and (c) birds in North America. Contour lines connect points with about the same number of species. (a) (Adapted from Currie 1991.) (b) (Adapted from Currie 1991.) (c) (Adapted from Raven and Johnson 1999.)



**Figure 27.7** North American latitudinal gradients in species richness for (a) trees, (b) mammals, and (c) birds based on cells of  $2.5 \times 2.5$  latitude/longitude. Species richness per cell is based on range maps for individual species. (Adapted from Currie 1991.)

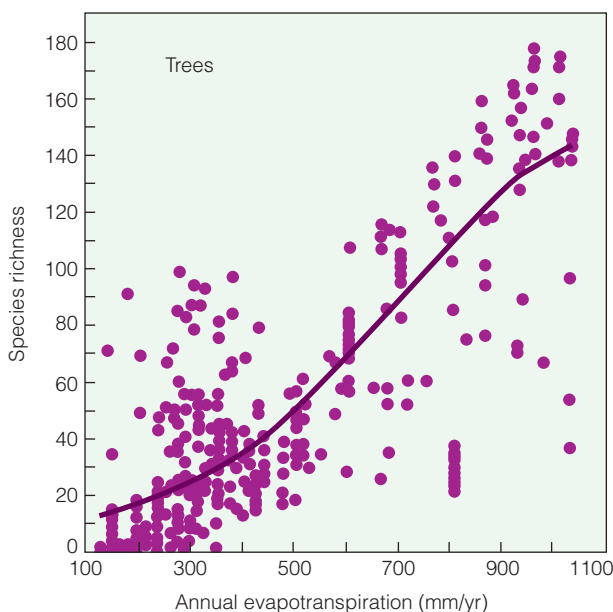


various hypotheses related to a range of factors including the age of the community, spatial heterogeneity of the environment, stability of the climate over time, and ecosystem productivity. Of the various hypotheses proposed to account for global patterns of species diversity, the most easily interpreted are those explicitly relating to environmental features such as climate and availability of essential resources, which are known to directly influence basic plant and animal processes.

## 27.4 Species Richness in Terrestrial Ecosystems Correlates with Climate and Productivity

David Currie and V. Paquin of the University of Ottawa, Canada, examined the relationship between patterns of species richness in North American tree species and several variables describing regional differences in climate. Although variation in species richness was correlated with climatic factors such as integrated measures of annual temperature, solar radiation, and precipitation, it correlated most strongly with estimates of actual evapotranspiration (AET) (Figure 27.8).

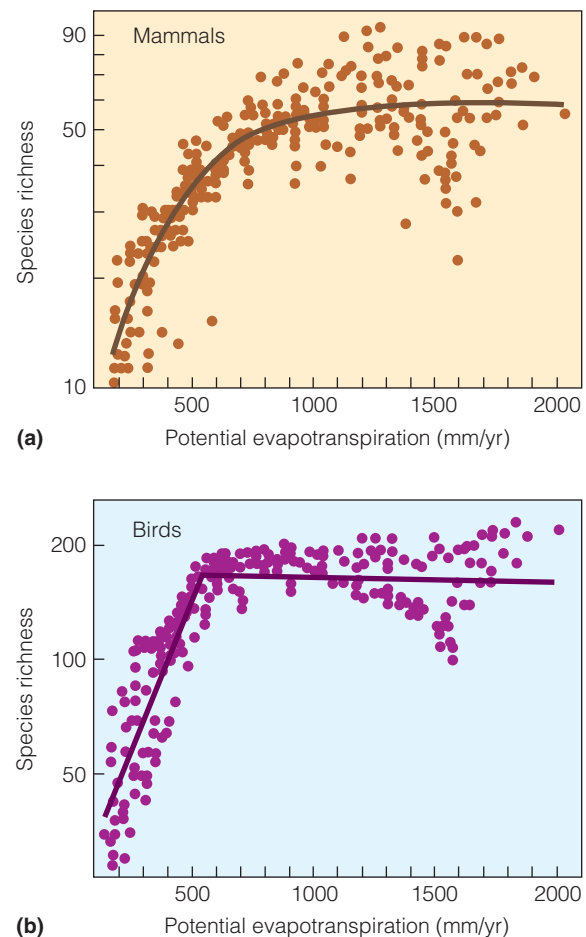
Recall that AET is the flux of water from the terrestrial surface to the atmosphere through both evaporation and transpiration (see Chapters 3 and 21). It is a function of both the atmospheric demand for water brought about by the input of solar energy to the surface and the supply of water from precipitation. The pattern of increasing species richness with increasing AET parallels the positive correlation between AET and net primary productivity, suggesting a relationship between plant diversity and primary productivity (see Figure 21.4). In other words, environmental conditions favorable for photosynthesis and plant growth may well give rise to increased plant diversity over evolutionary time.



**Figure 27.8** Relationship between annual measure of actual evapotranspiration (AET) and tree species richness for North America. (From Currie and Paquin 1987.)

Currie also demonstrated a relationship between climate and species richness of vertebrates across North America. He found a positive correlation between potential evapotranspiration (PET), an index of integrated energy availability, and regional patterns of species richness for both mammals and birds across North America (Figure 27.9). Although the correlation between PET and vertebrate species richness offers hope of a mechanistic interpretation other than latitude, PET is correlated with temperature, solar radiation, precipitation, humidity, and a host of other abiotic factors that vary with latitude. Like actual evapotranspiration, PET also correlates with plant productivity. In fact, Currie reported a positive correlation between vertebrate diversity and plant species diversity. Given the correlation between plant diversity and net primary productivity, the correlation between plant and animal diversity may relate to the positive relationship between primary and secondary productivity (see Chapter 21 and Figure 21.16). This suggests a relationship between secondary productivity and animal diversity similar to that observed between primary productivity and plant diversity.

Animal diversity is linked to plant diversity because variety in plant species provides a variety of potential food sources as



**Figure 27.9** Relationship between annual estimate of potential evapotranspiration and species richness of (a) mammals and (b) birds in North America. (From Currie 1991.)

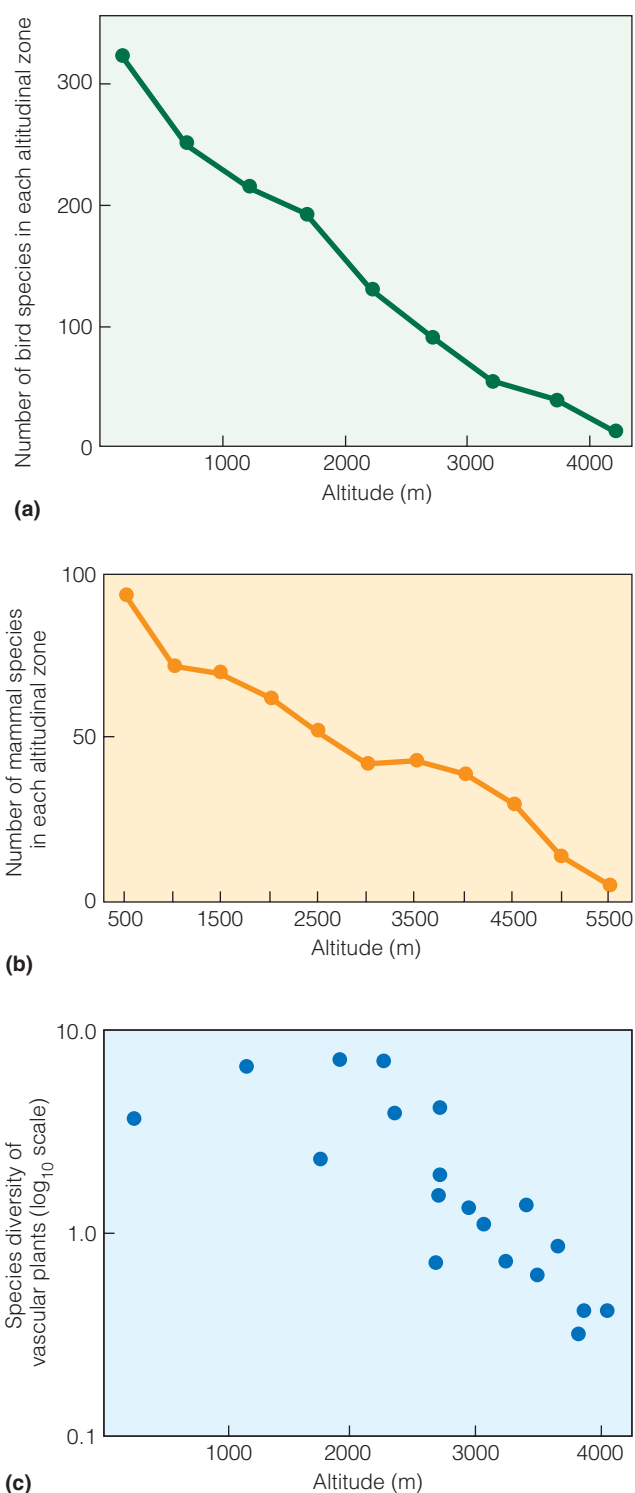
well as suitable habitats for animals. Increased structural diversity within plant communities, as measured by foliage height diversity, provides a wider range of microhabitats and associated resources and consequently supports a greater variety of animal species (see Figure 18.14). This relationship between habitat heterogeneity and species diversity is not limited to animals. Environmental heterogeneity also gives rise to increased plant species diversity. For example, the diverse topography of mountainous regions generally supports more species than does the consistent terrain of flatlands. From east to west in North America, the number of species of trees, breeding land birds, and mammals (see Figure 27.6) increases. This increased diversity on an east–west gradient relates to an increased diversity of the environment both horizontally and altitudinally.

Although mountainous regions may support more species than flatlands do, in mountainous regions scientists have observed a pattern of decreasing species richness with increasing elevation. Patterns of species richness with increasing elevation for bird species in New Guinea and for mammals and vascular plants in the Himalayan Mountains are shown in Figure 27.10. The mechanisms underlying a decline in species richness with a rise in elevation may be similar to those involved with changing latitude. Variations in temperature, PET, AET, and vegetation structure that occur with increasing elevation parallel those observed with increasing latitude. However, the negative correlation between species richness and elevation may be confounded because high-altitude communities generally occupy a smaller spatial area than do corresponding lowland communities in ecosystems located at equivalent latitudes (see Chapter 20). These high-elevation communities are also likely to be isolated from similar communities, suggesting the importance of immigration in enabling populations to persist over time (see Chapters 12 and 20).

### 27.5 In Marine Environments, There Is an Inverse Relationship between Productivity and Diversity

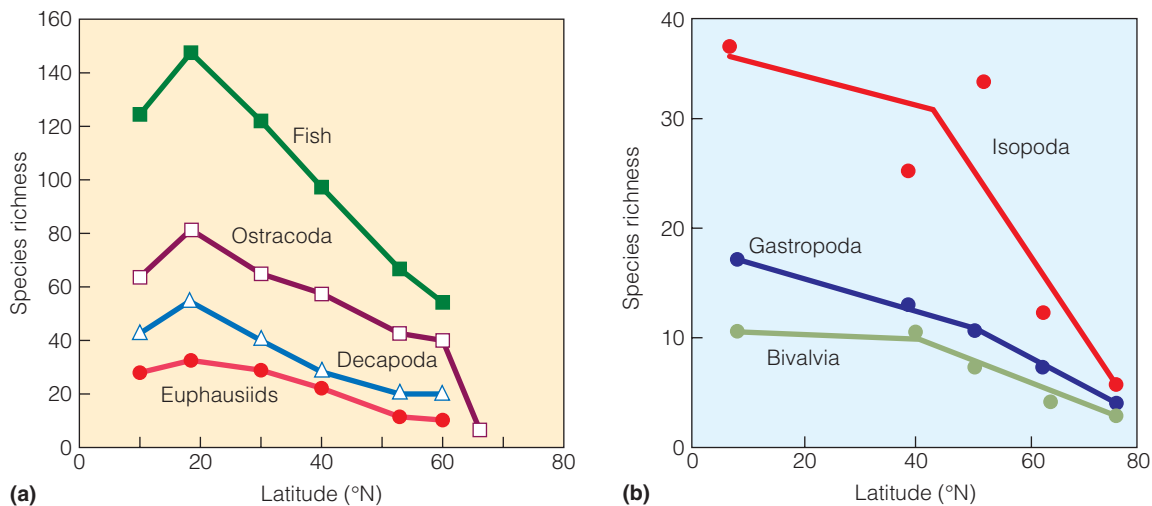
The latitudinal gradients of species richness for marine organisms are similar to those observed for terrestrial organisms (Figure 27.11). The correlation between patterns of species richness and productivity are not as straightforward as those observed in terrestrial environments. In fact, the general latitudinal gradient of productivity in the oceans is the reverse of that observed on land (see Figures 21.10 and 25.25). Except in localized areas of upwelling, the primary productivity of oceans increases from the equator to the poles (see Figure 25.24). This relationship suggests an inverse relationship between productivity and diversity—the opposite of that observed for terrestrial environments.

Circumstantial evidence points to the importance of seasonality, rather than total annual productivity, as a factor influencing local patterns of diversity for pelagic and benthic species. Observations show that as the influence of seasonal fluctuations in temperature on primary productivity increases, species richness declines and species dominance increases



**Figure 27.10** Relationship between species richness and altitude for (a) bird species in New Guinea, (b) mammal species in the Himalayas, and (c) vascular plants in the Himalayas.

(a) (From Kikkawa and Williams 1971.) (b) (From Hunter and Yonzon 1992.) (c) (Adapted from Whittaker 1977.)



**Figure 27.11** Latitudinal gradient of species richness: (a) Four groups of pelagic organisms caught at six stations along 20° W (longitude) in the Northeast Atlantic Ocean. Samples were collected over a period of 14 days (day and night) from the top 2000 m of the vertical water column. (b) Three groups of benthic organisms in the North Atlantic. (a) (Adapted from Angel 1991.) (b) (Adapted from Rex et al. 1993.)

(see Figure 25.25). Recall that primary productivity in the ocean is influenced by seasonal dynamics of the thermocline and vertical transport of nutrients from the deep to surface waters (see Chapter 22 and Figure 22.26). In northern latitudes, the seasonal formation and breakdown of the thermocline result in the productivity of surface waters ranging from very high (spring and summer) to very low (winter; see Figure 25.25). By contrast, the permanent presence of a thermocline in the tropical ocean waters results in a low but continuous pattern of primary productivity throughout the year. Seasonal variation in the temperature of surface waters functions to increase primary productivity, whereas the lack of seasonal variation functions to support a higher diversity of life.

Scientists have hypothesized that geologic history has been an important factor influencing latitudinal patterns of species richness within oceans. Some suggest that glaciation during the Quaternary period may be responsible for lower diversity observed at higher latitudes (see Section 19.9). During that period, sea ice covered the Norwegian Sea and the northern reaches of the Atlantic. As a result, the poleward decline in regional diversity may to some extent represent a recovery from the effects of glaciation. The slow northward range expansion of plants and animals suggests that the recovery is still in progress.

## 27.6 Species Diversity Is a Function of Processes Operating at Many Scales

The discussion of species diversity, even at the broad geographic scale that has been the focus of this chapter, is complicated by factors that relate directly to topics presented earlier. For example, we discussed species diversity of individual communities (Chapter 17). Ecologists define species diversity at this spatial scale as **local (alpha) diversity**. Quantifying local patterns

of diversity is hindered by the often difficult task of defining community boundaries. In addition, the relationship between species diversity and area makes it difficult to compare patterns of species diversity among communities and ecosystems that differ in size, such as lake ecosystems of varying size (see Quantifying Ecology 27.1: Quantifying Biodiversity: Comparing Species Richness Using Rarefaction Curves; see also Chapter 20). Local patterns of plant and animal diversity also change over time during succession further compounding the difficulty of comparing communities (see Figures 19.12 and 19.16).

Total species diversity (or species richness) across all communities within a geographic area is called **regional (gamma) diversity**. Diversity at this scale corresponds to the patterns depicted in Figures 27.6 and 27.11. Comparison of even these broad-scale patterns of diversity at a continental or global scale can be confounded by time. Latitudinal patterns of diversity for pelagic species illustrate this point. Data presented in Figure 27.11 comes from the Institute of Oceanographic Sciences Deacon Laboratory in Surrey, England. At the time of sampling, the major front (boundary) between the South Atlantic Central Water and the North Atlantic Central Water occurred at 18° N. Because these two major regions of the Atlantic Ocean differ in physical characteristics, each supports a different fauna, which come together within this boundary zone. Thus, the peak in species diversity observed for the four groups of organisms at 18° N and graphed in Figure 27.11a represents the rich mix of species characteristic of the edge effect (described in Chapter 20). This boundary zone of maximum diversity shifts its geographic position seasonally by several degrees, and thus its location changes with the seasons.

Although not apparent in the map shown in Figure 27.6, regional estimates of bird species diversity in eastern North America are likewise seasonally dependent. More than 50 percent of the bird species that nest and breed in this region during the spring and summer months are migratory; they reside farther south in North,

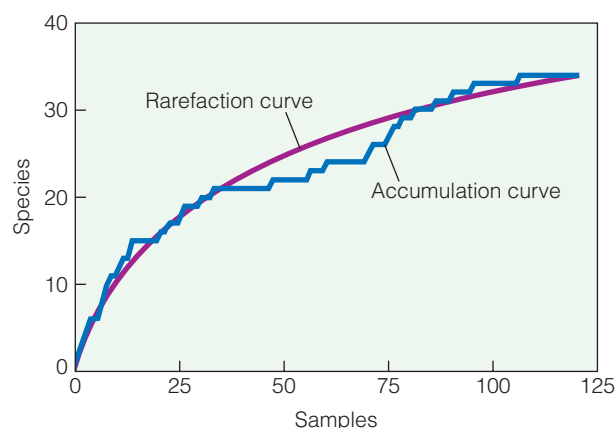
## QUANTIFYING ECOLOGY 27.1

## Quantifying Biodiversity: Comparing Species Richness Using Rarefaction Curves

Species richness is the simplest measure of community and regional diversity, but how do ecologists measure species richness within a given area or region? Because they cannot locate and identify each and every individual organism that inhabits a community, no matter how limited in extent, they must use some form of sampling.

Suppose our objective was to quantify patterns of tree species richness within a forested region. A simple approach is first to delineate the area where the estimate of species richness is to be made. A number of smaller sample areas (plots) can then be identified, and a survey can be conducted within the sample areas (plots). The problem is that for a diverse taxonomic group such as trees, as more samples are taken, more species will be recorded (see Section 20.3). Suppose that as we sample the delineated area, we plot the total number of different tree species encountered as a function of the number of samples taken. The result would be an **accumulation curve** similar to the one shown in Figure 1. A species (or higher taxon) accumulation curve records the total number of species revealed during the survey, as additional sample units are added to the pool of all previously observed or collected samples. The curve will rise somewhat rapidly at first, as the more common (abundant) species are included in the samples, and then more slowly as increasingly rarer species are included in the additional samples. In principle, an asymptote will eventually be reached, and no further species will be added. The point where the curve reaches an asymptote defines the optimal sample size; continued sampling will yield no additional information about the number of species in the area.

Suppose we now wish to compare our findings with other studies of tree species richness that have been reported for other surveys. If the same sampling procedure were used, and the same numbers of samples were collected, a direct statistical comparison would be possible. But generally this is not



**Figure 1** Examples of accumulation and rarefaction curves constructed from a survey of 125 samples. The sample size is represented by the x-axis, and the y-axis represents the corresponding number of species encountered (species richness).

the case. The areas to be compared may differ in size, or the number of samples collected in the survey might differ. As illustrated by the accumulation curve presented in Figure 1, the estimate of species richness will vary with sample size. For a meaningful comparison of the species richness derived from different surveys, we must obtain values for the same sample size for each survey. Several techniques have been developed to subsample the larger survey to obtain a result that can be compared to the smaller survey. One technique is to construct a rarefaction curve from the samples used in constructing the accumulation curve.

**Table 1** Tree Species Surveyed on Twenty 20 × 20 m Sample Plots

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20
dw	bg	mh	bg	bc	rc	bg	be	dw	br	ah	bc	be	bh	bg	co	co	ah	bg	bg
rm	dw	rc	dw	mh	rm	mh	dw	hh	rm	hh	bg	br	dw	bh	dw	rm	bw	dw	rm
ro	rc	rm	rc	rc	vp	rc	rb	rm	sg	rm	dw	dw	po	dw	rm	sf	dw	mh	ro
tp	rm	tp	rm	so	wo	rm	rm	sg	sy	sg	wo	tp	rm	ro	sf	sl	sg	rb	tp
wo	vp	wo	wo	vp		sl	ro	tp	wo	sy			wo	so	vp	vp	tp	tp	wo
	wo					so	tp							vp			wo	wo	
						tp													
						vp													

Species codes: dw, dogwood (*Cornus florida*); rm, red maple (*Acer rubrum*); ro, southern red oak (*Quercus falcata*); wo, white oak (*Q. alba*); so, scarlet oak (*Q. coccinea*); co, chestnut oak (*Q. prinus*); po, post oak (*Q. stellata*); tp, tulip poplar (*Liriodendron tulipifera*); bg, black gum (*Nyssa sylvatica*); rc, red cedar (*Juniperus virginiana*); vp, Virginia pine (*Pinus virginiana*); sl, short-leaf pine (*P. echinata*); mh, mockernut hickory (*Carya tomentosa*); bh, bitternut hickory (*C. cordiformis*); be, American beech (*Fagus grandifolia*); rb, red bud (*Cercis canadensis*); sg, sweetgum (*Liquidambar styraciflua*); sy, sycamore (*Platanus occidentalis*); br, river birch (*Betula nigra*); ah, American hornbeam (*Carpinus caroliniana*); hh, hophornbeam (*Ostrya virginiana*); bc, black cherry (*Prunus serotia*); sf, sassafras (*Sassafras albidum*).

**QUANTIFYING ECOLOGY 27.1** continued

A **rarefaction curve** is produced by repeatedly resampling the total pool of samples, defined as  $N$ , at random, plotting the average number of species represented by 1, 2, ...,  $N$  samples (see Figure 1). Thus, rarefaction generates the expected number of species in a small collection of  $n$  samples drawn at random from the larger total pool of  $N$  samples.

In effect, a rarefaction curve is constructed as the opposite of the corresponding accumulation curve. Accumulation curves, in effect, move from left to right, as they are further extended by additional sampling. In contrast, rarefaction curves move from right to left, as the full data set is increasingly “rarefied.” Because each sample that forms the corresponding accumulation curve is equally likely to be included in the mean richness value for any level of resampling along the rarefaction curve, the corresponding accumulation and rarefaction curves are closely related to one another. The rarefaction curve can be viewed as the statistical expectation of the corresponding accumulation curve over different reorderings of the samples. As such, by constructing rarefaction curves for both surveys, a comparison can be made for species richness at any given sample size up to the value of  $N$  for the smaller of the two surveys.

Table 1 represents a list of tree species identified in each of twenty  $20 \times 20$  m sample plots collected as part of a forest survey

undertaken in central Virginia. The samples are presented from left to right in the order they were collected.

1. Using the data provided in Table 1, construct an accumulation curve for the site. The first point would be for the first sample. Plot the value 1 for sample size on the  $x$ -axis and 5 as the number of unique tree species surveyed in the first sample. The value of tree species richness for sample size 2 is found by combining the species lists for the first two samples (columns) and counting the number of unique tree species in this combined sample (7 species, as the two samples have 4 species in common). Continue this process until all samples ( $N = 20$ ) are included.
2. How would you use the data in Table 1 to calculate a rarefaction curve for the survey?
3. By selecting five random numbers from 1 to 20 (you can use a random number table, found in any introductory statistics book or online), select 5 of the 20 samples from Table 1 and calculate the species richness of the combined sample. Repeat the procedure three times, and take the resulting average (of the three) as your estimate of species richness for a sample size of 5. How does this value compare with the value from the accumulation curve?

Central, and South America during the fall and winter months. Patterns of species migration alter seasonal patterns of regional diversity for a wide range of taxonomic groups.

Changes in regional diversity also occur over geologic timescales. Over timescales of tens of millions to hundreds of millions of years, evolution drives changes in patterns of diversity through the emergence and extinction of species (see Figures 27.3 through 27.5). On a timescale of thousands to tens of thousands of years, changes in climate have influenced regional patterns of

diversity by shifting the geographic ranges of species. In eastern North America, shifts in the distribution of tree species after the last glacial maximum around 20,000 years B.P. represent one such example (see Figures 19.19 and 19.20). Geographic ranges of many species in North America continue to shift, influencing local and regional patterns of diversity. Possible change in the geographic distributions of plant and animal species in response to future changes in Earth’s climate is a key area of research on global change (see Chapter 30).

## Summary

### Temporal Patterns of Species Diversity 27.1

Earth’s biological diversity has changed through evolutionary time. The fossil record suggests a pattern of increasing diversity over the past 600 million years.

### Extinctions 27.2

Despite the overall pattern of increasing diversity through time, Earth’s history is marked by periods of large-scale or mass extinctions. Two notable periods are the end of the Permian, when more than 90 percent of marine invertebrates disappeared from the fossil record, and the Cretaceous period, which saw the extinction of dinosaurs.

### Geographic Patterns of Species Richness 27.3

The approximately 1.4 million species identified by scientists are not distributed evenly over Earth’s surface. In general, species richness decreases from the equator toward the poles for both aquatic and terrestrial organisms. Various hypotheses, including the role of climate, have been put forth to explain these patterns.

### Patterns of Terrestrial Species Richness 27.4

Regionally, plant species richness is correlated with actual evapotranspiration, suggesting a positive relationship between species richness and net primary productivity. Species

richness of terrestrial vertebrates is correlated with potential evapotranspiration, an integrated measure of energy input to the ecosystem.

### Patterns of Marine Species Richness 27.5

Patterns of species diversity for pelagic and benthic organisms appear to be influenced by the seasonality of primary productivity rather than by total productivity per se.

### Local and Regional Diversity 27.6

Ecologists define diversity within a community or ecosystem as local (or alpha) diversity. Quantifying local patterns of diversity is hindered by difficulties in defining community boundaries, the species diversity–area relationship, and changes in diversity during succession. Total diversity (or species richness) across all communities within a geographic area is called regional (or gamma) diversity.

---

## Study Questions

1. How has Earth's biological diversity changed over the past 600 million years?
2. What is a mass extinction?
3. How does species richness vary with latitude? With elevation?
4. How does tree species richness vary with actual evapotranspiration?
5. What does the relationship between tree species richness and actual evapotranspiration presented in Figure 27.8 imply about the relationship between tree species diversity and net primary productivity?
6. How is climate correlated with regional patterns of vertebrate diversity?
7. How does environmental heterogeneity influence patterns of species diversity?
8. How is primary productivity related to species richness in the oceans?
9. Contrast local (alpha) and regional (gamma) diversity.
10. What are some factors that make it difficult to quantify patterns of species diversity/richness?

---

## Further Readings

- Brown, J. H. 1995. *Macroecology*. Chicago: University of Chicago Press.  
This book provides an excellent discussion of large-scale patterns of biological diversity over geologic time.
- Cox, C. B., and P. D. Moore. 2000. *Biogeography: An ecological and evolutionary approach*, 6th ed. Oxford, UK: Blackwell Publishing.  
An excellent text; provides an introduction to the geography and ecology of Earth's biological diversity.
- Currie, D. J. 1991. Energy and large-scale biogeographical patterns of animal and plant species richness. *American Naturalist*, 137:27–49.  
Research paper relating regional patterns of diversity to features of climate (as discussed in this chapter).
- Huston, M. 1994. *Biological diversity: The coexistence of species on changing landscapes*. New York: Cambridge University Press.  
An outstanding review of our current understanding of processes governing patterns of biological diversity, from a local to global scale.
- Irigoien, X., J. Huisman, and R. P. Harris. 2004. Global biodiversity patterns of marine phytoplankton and zooplankton. *Nature*, 429:863–867.  
This research paper examines global patterns of phytoplankton and zooplankton diversity as they relate to patterns of primary productivity.
- Rex, M. and R. J. Etter. 2010. *Deep Sea Biodiversity, Pattern, and Scale*. Cambridge MA: Harvard University Press.  
Relates the great discoveries by the Census of Marine Life of biodiversity patterns in the deep ocean floor to the physical and biological dynamics of the global ocean regions.
- Wilson, E. O. 1992. *The diversity of life*. Cambridge, MA: The Belknap Press of Harvard University Press.  
A modern-day classic providing an overview of biodiversity over geological and evolutionary time—and human impacts on biodiversity.

# Human Ecology

**Chapter 28** Population Growth, Resource Use, and Sustainability p. 540

**Chapter 29** Habitat Loss, Biodiversity, and Conservation p. 565

**Chapter 30** Global Climate Change p. 588

All organisms modify their environment, but perhaps no other organism has affected Earth's environment as much as the human species. As the human population has grown and the power of our technology has expanded, the nature and scope of our modification of Earth's environment have changed dramatically. The story of our species is one of continuously redefining our relationship with the environment—a relationship based on energy.

With the melting of the polar ice cap from 40,000 to 10,000 B.P., the human species spread over the world's continents, with the Americas and Australia being the last to be inhabited from 25,000 to 10,000 B.P. (see Section 18.9). At that time, the global population was approaching 5 million. To this point in human history, dependence on plants and animals for energy was the major constraint on human population growth. Hunter-gatherer societies consisted of small, autonomous bands of a few hundred individuals who depended on the productivity and abundance of plants and animals that made up natural ecosystems and on their own ability to extract and use natural resources. They were nomadic people, tracking their resource in space and time, vulnerable to environmental change. Yet by 10,000 B.P., a change leading to an era that redefined the relationship between humans and their environment began to take place—the establishment of agriculture.

The Neolithic period (8000–5000 B.C.) saw the development of agriculture—the cultivation of plants and the domestication of animals. Although the shift from hunter-gatherer to agriculture did not change human dependence on primary productivity as the dominant energy source, it shifted the dependency from the productivity of natural ecosystems to that of managed agricultural systems. The result was an increase in the quantity and predictability of food resources, accompanied by the development of permanent villages, division of labor, and rise of a new social structure.

The transition to agricultural society greatly eased the environmental constraints imposed on human carrying capacity. However, the continued dependence on plants and animals as the sole source of energy still set

an upper limit on the productivity of human activities. In a burst of effort, the human body can muster 100 watts of power (1 joule per second = 1 watt). The most any society could devote to a given task with humans or animals as the primary source of energy was a few hundred thousand watts. Expanding territory could increase the energy supply, but it could not raise the total that could be applied to a single task. It is impossible to concentrate more than a few thousand bodies on a given project, be it construction or battle. But by the 18th century, the mechanical energy of animals and human labor was replaced by a much more concentrated form of energy: coal.

The Industrial Revolution, beginning in the mid-18th century, saw the development of the steam engine and with it a shift in labor from humans and draft animals to machines. First developed in the late 17th century, the steam engine transformed the heat energy of steam into mechanical energy. At first, steam engines were inefficient; they lost more than 99 percent of their energy. By 1800, however, their efficiency rose to about 5 percent with the capacity of 20 kilowatts of power in a single engine (the equivalent of 200 persons). By 1900, engines were capable of handling high-pressure steam and were 30 times more powerful than those in 1800 (the equivalent of 6000 persons). As important as the power they provided was their portability. Steam engines could be used anywhere (ships and trains), allowing for the large-scale transport of coal and establishing a positive feedback—industrialization.

With mechanization, manufacturing that was once carried out in individual homes and small workshops became centralized in factories. By the latter part of the 19th century, mechanization was changing the nature of agriculture as well. The size of fields increased, and the amount of land dedicated to farm animals decreased greatly. Machines more efficiently accomplished the work once done by the labor of humans and draft animals. A single large farm tractor (150–200 hp) can accomplish the work of more than 1000 workers or 200 draft animals. As a result of mechanization, by 1980, each American farmer fed about 80 people.



The mechanization of farming shifted the labor force, reducing the need for farm labor and providing the workforce needed for industrialization. In 1920, half the U.S. population was involved in the farm labor force; by 1990, the proportion had declined to 2–3 percent. In the 20th century, a transition occurred from a rural agricultural-based economy to an urban industrial-based economy. In turn, this change triggered a major shift in the distribution of the human population. At the beginning of the 20th century, just over 35 percent of the human population lived in urban areas. As we entered the 21st century, that number had risen to almost 80 percent and continues to grow. Growing urbanization requires an ever-increasing infrastructure of transportation and trade, and with it an increasing demand for energy. By the 1990s, the average global citizen used about 20 “human equivalents” in energy (energy equal to that of a human working 24 hours a day, 365 days a year), primarily in the form of fossil fuels—making economic and population growth possible.

This transformation of energy use has allowed industrial labor efficiency to increase about 200-fold between 1750 and 1990, so that modern workers produce as much in a week as their 18th-century counterparts did in four years. In the 20th century alone, global industrial output grew 40-fold.

During the past 10,000 years, the human race has managed to multiply by a factor of 1000 and at the same time dramatically increase the per capita use of resources. The human population now exceeds 6.5 billion and our collective “ecological footprint” on the planet continues to grow. Nearly 40 percent of Earth’s potential terrestrial

net primary productivity is used directly, co-opted, or forgone because of human activity (see Ecological Issues: Human Appropriation of Net Primary Productivity). We use more than 50 percent of all freshwater resources, of which 70 percent goes to assist in agricultural production. In all, our activities have transformed 40–50 percent of the terrestrial surface to produce food, fuel, and fiber, and our transformations of the natural environment have led to the extinction of thousands of species.

Although profound, the changes that humans have brought about in our environment are not the product of thoughtless, malicious behavior. They are largely due to meeting the basic needs of a growing human population. Historically, we did not realize the extent of the impact of human activities on our environment. As recently as the 1970s, the mantra was “the solution to pollution is dilution.” We had little appreciation of the long-term consequences of our collective actions.

Times have changed, and we are beginning to understand the consequences of our past and current activities. Human ecology is a new field, within the broader discipline of ecology, focusing specifically on interactions between humans and the environment. Like ecology itself, human ecology is an interdisciplinary field that draws upon many of the sciences as well as anthropology, sociology, and history. In Part Eight, we explore three general topics that form the backbone of current environmental issues regarding human impacts on the environment: resource use and environmental sustainability, the declining biological diversity of our planet, and the potential for human activity to significantly change Earth’s climate.



# Population Growth, Resource Use, and Sustainability



The human population entered the 20th century with 1.6 billion people and left the century with 6.1 billion. Demographers estimate that this number could rise to more than 9 billion in the next 50 years.

- 28.1 Sustainable Resource Use Is a Balance between Supply and Demand**
- 28.2 Sustainability Can Be Indirectly Limited by Adverse Consequences of Resource Use**
- 28.3 Sustainability Is a Concept Learned from Natural Ecosystems**
- 28.4 Agricultural Practices Vary in the Level of Energy Input**
- 28.5 Swidden Agriculture Represents a Dominant Form of Agriculture in the Tropics**
- 28.6 Industrialized Agriculture Dominates the Temperate Zone**
- 28.7 Different Agricultural Methods Represent a Trade-off between Sustainability and Productivity**
- 28.8 Sustainable Agriculture Depends on a Variety of Methods**
- 28.9 Sustainable Forestry Aims to Achieve a Balance between Net Growth and Harvest**
- 28.10 Exploitation of Fisheries Has Led to the Need for Management**
- 28.11 Fisheries Management Requires an Ecosystem Approach**
- 28.12 Economics are a Key Factor Governing Resource Management**

In 1890, the superintendent of the U.S. Bureau of Census announced that the frontier was closed. No longer was there a vast expanse of unsettled land waiting to be tamed. Until that time, if you drew a map of where people were and were not living in the United States, the two areas were clearly distinct. But by 1890, there was no longer a visible frontier line on the demographic maps produced by the Census Bureau. From 1790 on, that line had been moving steadily *westward*. Thomas Jefferson had speculated that it would take 100 generations to fill the vast open spaces of America. It took approximately 80 years.

The bland statement that “the frontier was closed” carried immense symbolic meaning, for it suggested to a generation of Americans that the process of exploiting inexhaustible resources had come to an end. In 1890, the year that the Census Bureau declared the frontier closed, the U.S. population was approximately 63 million. Since that time, the U.S. population has increased almost fivefold to over 310 million, and the demand for resources has grown disproportionately with advancements in technology and economic growth. The frontier may have closed, but the exploitation of natural resources continued at an accelerated pace.

Over that same period, the global population has increased sixfold. Earth’s current population is approaching 7 billion people, who must exploit natural resources to meet the basic human needs of food, water, and shelter. The consumption of resources is driven by two factors: the total number of individuals (population size) and the per capita rate of consumption. Both factors have continued to increase steadily over the past century (Figure 28.1).

The growing human population and the desire for expanded economic growth within the world community have brought the issue of sustainability to the forefront of current economic, political, and environmental discussions. Although the term *environmental sustainability* is broad, covering a range of topics and activities including population growth, energy use, and economic development, most discussions of environmental sustainability focus on the use of *natural capital*. **Natural capital** is the range of natural resources provided by ecosystems—the air, water, soil, nutrients, forests, grasslands, and so forth—that humans draw upon as essential resources. By its very definition, environmental sustainability relates to the exploitation of natural ecosystems by human populations.



**Figure 28.1** Trends in population (a) and per capita resource use (b–d) over the past 50 years.

(Source: Census Bureau and FAO.)

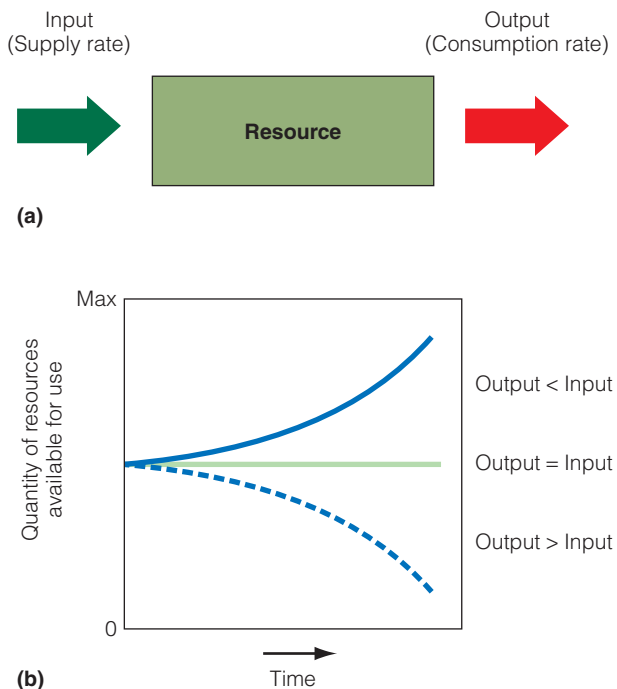
It is the ability to sustain the exploitation of natural capital to meet the growing human needs.

In this chapter, we will examine the concept of environmental sustainability as it relates to the human activities of agriculture, forestry, and fisheries. All three of these activities involve exploiting populations, plant and animal, to provide the most essential of human resources: food and shelter. We will examine the basic environmental issues related to these activities of resource management and extraction and consider how these issues relate to the ecological processes and patterns we have discussed thus far.

## 28.1 Sustainable Resource Use Is a Balance between Supply and Demand

Sustainability is an idea burdened by ambiguity. It is widely used as a concept; rarely is it quantitatively defined. The concept of environmental sustainability seems to be based on the concept of sustainable yield that was first used in German forestry during the late 18th and early 19th centuries. The concept of sustainable yield is one of matching periodic harvests to the rate of biological growth—that is, harvesting without diminishing the forests themselves or undermining their long-term ability to regenerate.

In its simplest form, sustainable resource use is constrained by supply and demand. In Figure 28.2a, the box



**Figure 28.2** (a) A simple model of resource use. The amount of resource available at any time (green box) is a function of the difference between the supply rate (green arrow) and the consumption rate (red arrow). (b) If the consumption rate is less than the supply rate, the amount of resource will increase. If the consumption rate exceeds the supply rate, the amount of resources available will decline. Sustainable resource use depends on the consumption rate not exceeding the supply rate.

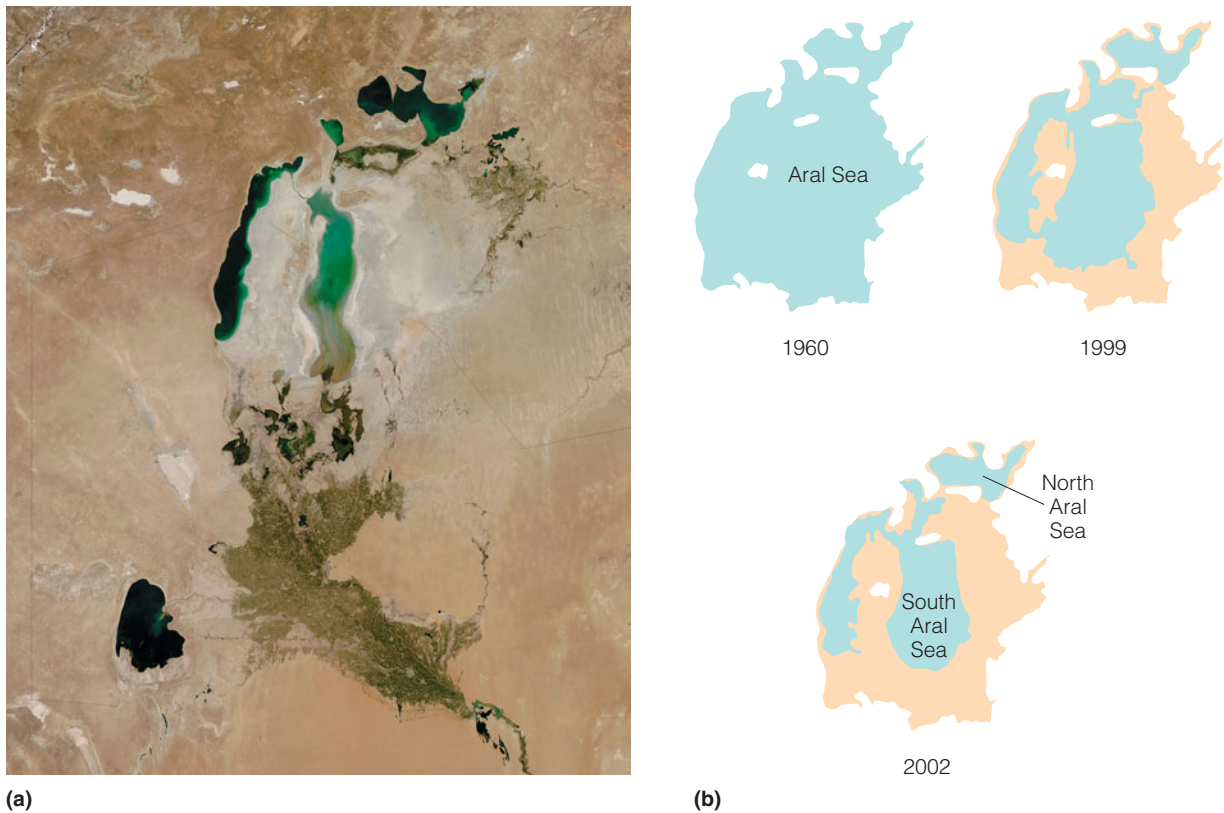
represents the resource—for example, water, trees, or fish—that is being exploited. The arrow leading into the box represents the rate at which the resource is being supplied—the rate of recharge of a lake or reservoir, the rate of tree growth in a forest or plantation, or the rate of population growth of the target fish species. The arrow going out of the box represents the rate at which the resource is being harvested—the rate of water use, the harvest rate of trees, or the rate at which fish are being caught. Simply put, for the exploitation of the resource to be sustainable, the rate at which the resource is being used (consumption or harvest rate) must not exceed the rate at which the resource is being supplied (replacement or regeneration rate). Otherwise, the quantity of resources declines through time (Figure 28.2b).

Figure 28.3 illustrates this simple principle as it applies to the sustainable use of water resources of the Aral Sea in central Asia. In 1963, the surface of the Aral Sea measured 66,100 km<sup>2</sup>. By 1987, 27,000 km<sup>2</sup> of former sea bottom had become dry land. About 60 percent of the Aral Sea's volume had been lost, and its salt concentration had doubled.

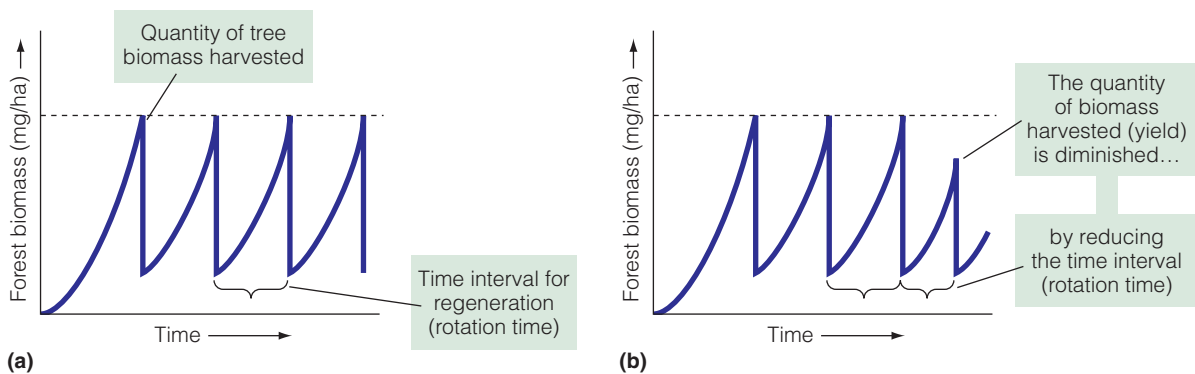
The demise of the Aral Sea occurred primarily because the inflowing Amu Dar'ya and Syr Dar'ya rivers were diverted to provide irrigation water for local croplands. These diversions dramatically reduced the river inflows, causing the Aral Sea to shrink. At the current rate of decline, the Aral Sea has the potential to disappear completely by 2020. Using the simple model presented in Figure 28.2, the harvest rate exceeded the supply rate, resulting in a continuous decline in the resource—an example of unsustainable resource use.

In the example of the Aral Sea, water resources can be continuously harvested for irrigation. But other resources can be harvested only periodically because they require an extended period between harvests to regenerate to a level where they can be harvested again. Trees in a forest plantation are an excellent example. After seedlings are planted, a period of time is required for trees to grow (Figure 28.4a). When the biomass of trees reaches a certain level, the forest or plantation is harvested. The amount of resource (tree biomass) harvested per unit time is called the **yield**. After the harvest, a period of time is required for new trees to grow and the amount of resource to return to the level of the previous harvest. This period of time is called the **rotation period** (or **harvest interval**). If the objective is to ensure a similar yield at each harvest, termed **sustained yield**, then sufficient time must be allowed between harvests (the rotation period) for the resource to recover to preharvest levels. If the rotation period is not sufficient to allow the forest stand to recover to preharvest levels, then the yield will diminish in successive harvests (Figure 28.4b). As we will see in our discussion of agriculture, forestry, and fisheries in the following sections, many of the conflicts over long-term sustainable use of resources center on maintaining a sustainable yield.

In this simple model of sustainable resource use, it is assumed that the resource is renewable—it can be resupplied or regenerated. If the resource is nonrenewable, then by definition its use is not sustainable, and the rate of resource decline is a function of the rates at which the resource is being harvested and used. Mineral resources (such as aluminum, zinc, copper, etc.) are an example of nonrenewable resources.



**Figure 28.3** (a) The Aral Sea in central Asia (shown here in 2006) is an example of unsustainable resource use. (b) The graphic shows changes in the extent of the Aral Sea from 1960 to 2002. Because its waters are diverted for irrigation, the Aral Sea decreased in volume by 60 percent between 1963 and 1987. At the current rate of decline, it could disappear completely by 2020.



**Figure 28.4** (a) To achieve sustainable yield, sufficient time must be allowed between harvests (rotation time) for biomass to return to preharvest levels. Rotation time will depend on the growth rate of the species and site conditions that influence forest productivity. (b) If the rotation time is reduced, sufficient time is not allowed for the forest stand to recover (grow) to preharvest levels, and the subsequent yield will decline. The result is that the harvest rate exceeds the resource regeneration rate (as shown in Figure 28.2), and the quantity of resource declines through time.

Often, however, resources are classified as nonrenewable even though they are being resupplied, because their resupply rate is virtually nonexistent compared to their consumption rate. Fossil fuels are a good example. Coal, oil, and natural gas are referred to as nonrenewable energy sources because their formation occurs on a timescale of millions of years, making

their rate of resupply effectively zero on the timescale of human consumption (see Chapter 30).

Unlike fossil fuel energy, many nonrenewable resources can be recycled, reducing the harvest rate for the initial resource. The effect of recycling is to extend the effective lifetime of the resource.

## 28.2 Sustainability Can Be Indirectly Limited by Adverse Consequences of Resource Use

**Ecosystem services** are the processes by which the environment produces resources (natural capital) such as clean air, water, timber, or fish. Although the rate at which ecosystems supply these essential resources functions as a fundamental constraint on sustainable use, sustainable use can also be constrained indirectly as a consequence of negative impacts on ecosystem services that arise from resource management, extraction, or use. A prime example is waste (unused or unwanted materials). Dealing with domestic, industrial, and agricultural waste is a growing environmental issue with implications for ecosystems and human health. Wastes and by-products of production often contaminate the environment (air, water, and soil) with harmful substances—pollution that can limit or disrupt the ability of ecosystems to provide essential resources and services (see Chapter 23).

Return to the example of the Aral Sea (see Figure 28.3). Today, about 200,000 metric tons of salt and sand are carried by the wind from the Aral Sea region every day and dumped within a 300-km radius. The salt pollution is decreasing the area available for agriculture, destroying pastures, and creating a shortage of forage for domestic animals. Fishing in the Aral Sea has ceased completely, shipping and other water-related activities have declined, and the associated economic changes have taken a heavy toll on agricultural production. The quality of drinking water has continued to decline due to increasing salinity, bacteriological contamination, and the presence of pesticides and heavy metals. Diseases such as anemia, cancer, and tuberculosis as well as the presence of allergies are on the rise. The incidence of typhoid fever, viral hepatitis, tuberculosis, and throat cancer is three times the national average in some areas.

In discussing sustainable resource use in the following sections, we will focus on the ability to sustain current and future levels of resource yield (the topic of sustainable yield), as well as on the negative impacts arising from the management, extraction, and use of resources. Such impacts may affect an ecosystem's ability to continue providing those natural resources (ecosystem services). In evaluating questions of sustainability, we must focus on the ability to sustain current and future rates of resource consumption as well as on the consequences of managing and consuming those resources for both environmental and human well-being.

## 28.3 Sustainability Is a Concept Learned from Natural Ecosystems

When we attempt to manage and harvest natural resources in a sustainable fashion, in many ways we are attempting to mimic the function of natural ecosystems. Natural ecosystems function as sustainable units. This should be clear from our discussion of ecosystems (Chapters 21 and 22). Take as an example the link between primary productivity and decomposition. The uptake

of nutrients such as nitrogen by plants is constrained by the rate at which they become available in the soil (see Figure 6.25). In effect, the rate of nutrient harvest by plants, and the subsequent rate of primary productivity within an ecosystem, are constrained by and cannot exceed the rate at which nutrients are being supplied to the soil (see Section 21.3). In turn, nutrients tied up in organic matter are recycled through the processes of microbial decomposition and mineralization (see Section 22.5). As nutrients are mineralized and returned to the soil, they are quickly taken up by plants, thus minimizing their loss through ground and surface waters (see Section 22.9).

To put the functioning of natural ecosystems into the context of the simple graphical model presented in Figure 28.2, the rate of resource use is limited by the resource supply rate. In the case of mineral nutrients such as nitrogen, the rate at which the resource is used is equivalent to the rate at which it is supplied (regenerated), so the size of the box representing the pool of available resources is extremely small.

When the supply rate of a resource varies through time, the rate of resource use must likewise change. During years of drought, net primary productivity of an ecosystem will decline. If sufficient water is not available to replace water lost through transpiration, stomata will close, leaves will wilt, and the plants could die. Although water stored in the soil may postpone the effects of drought, plant growth and productivity are generally tied closely to annual rates of precipitation (water availability). As we will see in the following discussions of agriculture and forestry, variations in the supply rate of resources necessary to maintain primary productivity are a major constraint on maintaining sustainable yield. Overcoming these constraints is the major source of problems related to sustainable agriculture and forestry.

## 28.4 Agricultural Practices Vary in the Level of Energy Input

The exploitation and management of natural populations provides more than 80 percent of fish and shellfish harvested globally on an annual basis, but the vast majority of human food resources are derived from agriculture—the production of crops and the grazing of livestock. Even though botanists estimate that, worldwide, there are as many as 30,000 native plant species with parts (seeds, roots, leaves, fruits, etc.) that can be consumed by humans, only 15 plant and 8 animal species produce 90 percent of our food supply. The seeds of only three annual grasses—wheat, rice, and corn (maize)—constitute more than 80 percent of the cereal crops consumed by the world population. Although initially derived from native plant species, the varieties of the cereal crops cultivated today are the products of intensive selective breeding and genetic modification by plant and agricultural scientists around the world. The same is true for domestic animals used for food production.

Approximately 11 percent of Earth's ice-free land area is under cultivation. Another 25 percent is used as pastureland for grazing livestock (primarily cattle and sheep). No matter

which crop is planted or the cultivation method used, agriculture involves replacing diverse natural ecosystems—grassland, forest, shrublands—with a community consisting of a single crop species (**monoculture**) or a mixture of crops (**polyculture**). Although a wide range of agricultural practices are carried out throughout the world, agricultural production can be classified into one of two broad categories: industrialized and traditional. Industrialized (also termed *mechanized* or *high-input*) agriculture depends on large inputs of energy in the form of fossil fuels (mechanization), chemical fertilizers, irrigation systems, and pesticides. Although energy demanding, this form of agriculture produces large quantities of crops and livestock per unit of land area. Industrialized agriculture is practiced on about 25 percent of all cropland, primarily in developed countries; however, these practices have spread to developing regions in recent decades.

Traditional agriculture is dominated by subsistence agriculture in which primarily human labor and draft animals are used to produce only enough crops or livestock for a family to survive. Examples of this low-input approach to agriculture are shifting cultivation in tropical forests and nomadic livestock herding.

In reality, these two forms of agricultural production, industrialized and traditional, define two points on a continuum of agricultural methods that are practiced throughout the world. However, we will use these two end points for the purpose of comparison in our discussion of sustainability of agricultural practices.

## 28.5 Swidden Agriculture Represents a Dominant Form of Agriculture in the Tropics

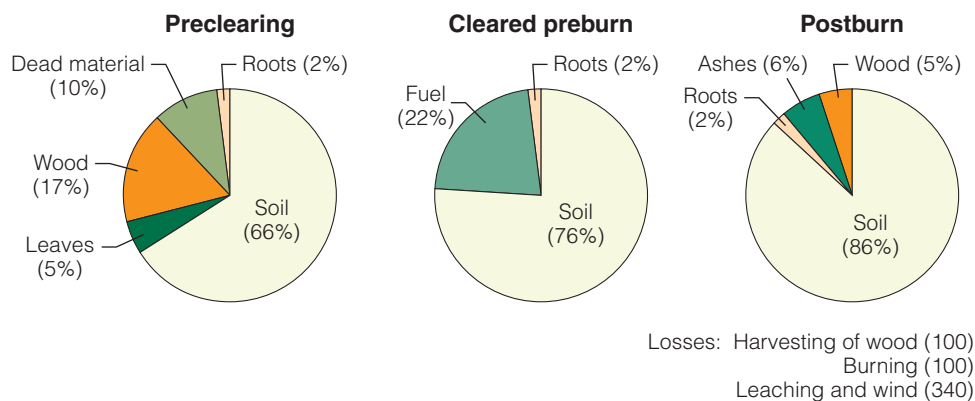
A method of subsistence farming that is practiced primarily in the tropical regions is shifting cultivation, or **swidden agriculture**. This method of traditional agriculture involves a rotating cultivation technique in which trees are first cut down and burned in order to clear land for planting



**Figure 28.5** In swidden agriculture, a plot of forest is cleared and burned to allow crops to be planted. The ashes are an important source of nutrients. Fertilizers are typically not used.

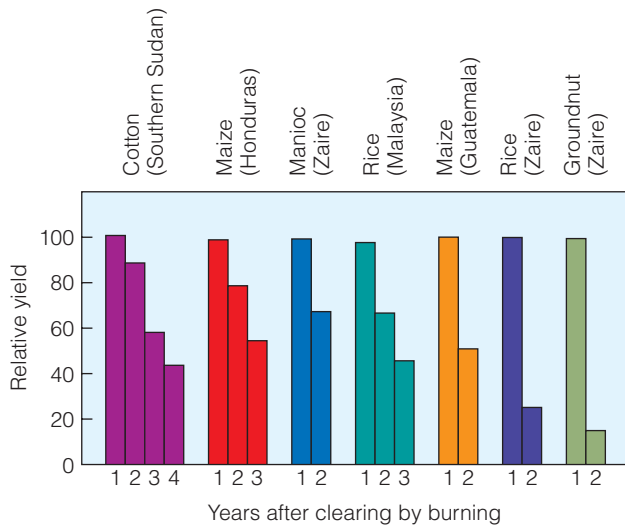
(Figure 28.5). The burning of felled trees and brush serves two purposes. First, it removes debris, thus clearing the land for planting and ensuring that the plot is relatively free of weeds. Second, the resulting ash is high in mineral nutrients (see Field Studies: Deborah Lawrence), promoting plant growth (Figure 28.6). The plot is then cultivated and crops are harvested. A characteristic of this type of agriculture is a decline in productivity with each successive harvest (Figure 28.7). The reason for this decline is that each time crops are harvested, nutrients in the form of plant tissues are being removed from the plot. Because even organic fertilizers are rarely used in this form of agriculture, soil nutrients decline. Eventually the site is abandoned as cropland and allowed to revert to natural vegetation through secondary succession (see Chapter 19).

If left undisturbed or fallow for a sufficient time, the nutrient status of the site recovers to precultivation levels (Figure 28.8). At that point, the site can once again be cleared and planted. In the meantime, other areas have been cleared, burned, and planted. So in effect, this type of agriculture represents a shifting, highly

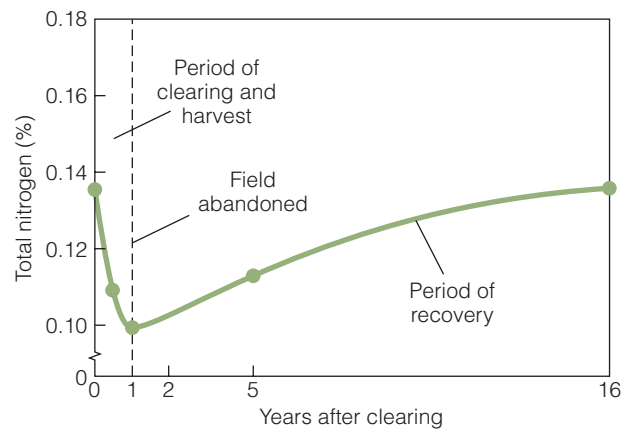


**Figure 28.6** Dynamics of total nitrogen during the burning and clearing of a forest site in Turrialba, Costa Rica (values in kg N/ha).

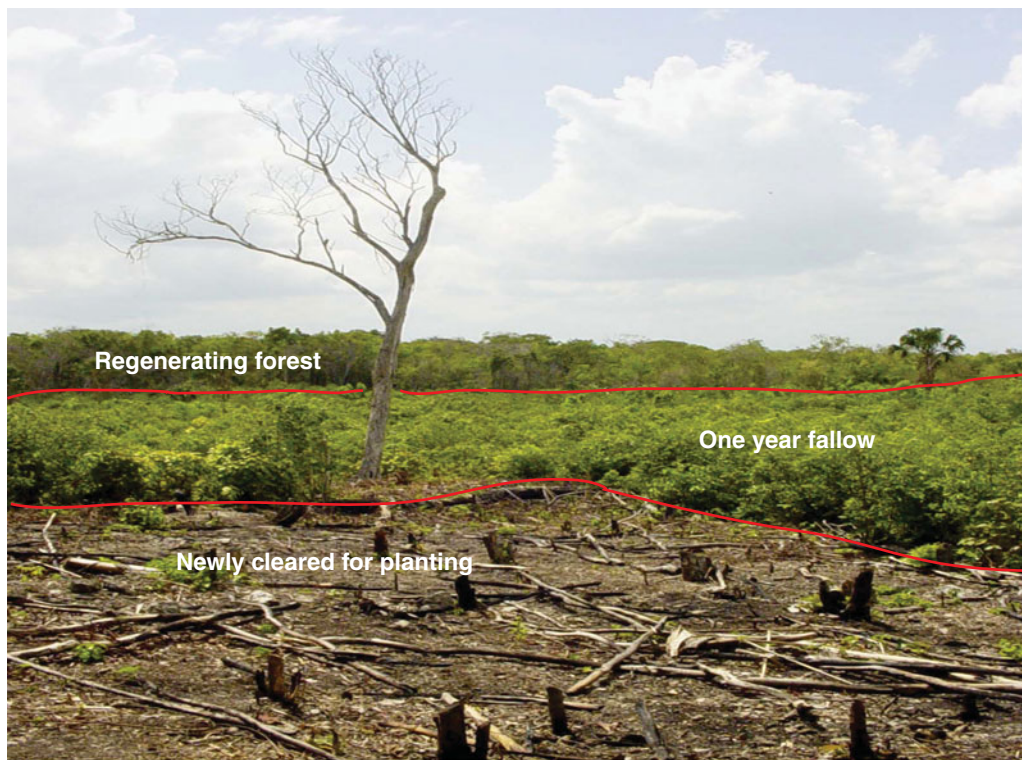
(Adapted from Ewel et al. 1981.)



**Figure 28.7** Patterns of declining productivity in successive years in swidden agricultural systems for various crops in several regions of the tropics.



**Figure 28.8** Changes in total soil nitrogen during a cycle of clearing, harvest, abandonment, and recovery for a swidden agricultural system in Costa Rica (Central America). Note that nitrogen levels decline until the plot is abandoned after the first year. Soil nitrogen recovers to original levels by year 16. (Adapted from Ewel et al. 1981.)



**Figure 28.9** Sequence of areas used for swidden agriculture in the Yucatán region of Mexico. The area in the foreground has recently been cleared for planting. The area directly behind it has been abandoned (fallow) for one year, and the forest in the background has been in the regeneration stage for several years.

heterogeneous patchwork of plots in various stages of cultivation and secondary vegetation (Figure 28.9).

The swidden cultivation system represents a sustainable form of agriculture when time is permitted for regrowth of natural vegetation and the recovery of soil nutrients. But it also requires sufficient land area to allow for the appropriate rotation period. The problem currently being faced in many parts of the tropics is that growing populations are placing ever-increasing demands on the land, and sufficient recovery periods are not always possible. In these cases, the land is quickly degraded and yield continuously declines.

## 28.6 Industrialized Agriculture Dominates the Temperate Zone

Industrialized agriculture is widely practiced in North America, much of Europe, Russia, sections of South America, Australia, and other areas of the world where money and land are available to support this form of agriculture. Machines and fossil fuel energy replace the energy supplied by humans and draft animals in traditional agricultural systems (Figure 28.10). Mechanization requires large expanses of land for machines to operate effectively and economically. Because different crops



(a)



(b)

**Figure 28.10** Examples of (a) traditional and (b) mechanized (industrial) agriculture. In industrial agriculture, machines have replaced humans and draft animals as the source of labor (energy).

(wheat, corn, cotton, etc.) require specialized equipment for planting and harvest, farmers typically plant one (monoculture) or few crop varieties season after season (continuous rotation).

With crops such as wheat and corn, most (or all) parts of the plants are removed, so little or no organic matter remains after harvest. Consequently, at each harvest large quantities of nutrients are removed from the site in the plant materials (Table 28.1). In addition, tilling the soil to prepare for planting exposes the soil to wind and water erosion, and conventional tilling practices result in annual soil losses totaling upward of 44 tons per hectare (Figure 28.11).

Due to the removal of organic matter, these agricultural ecosystems effectively have no nutrient cycle. Instead, large

quantities of chemical fertilizers must be added to maintain productivity. Nutrients are added to the soil in an inorganic (mineral) form that is readily available for uptake by plants; however, the nutrients are also readily leached to surface and groundwater (Table 28.2).

Because the same crop varieties are being planted over large, contiguous regions, pests and plant diseases spread readily. Pests are typically controlled by chemical means to avoid reduced productivity. Different chemical pesticides target different pests: insecticides (insects), herbicides (weedy herbaceous plants), fungicides (fungi), and rodenticides (rodents). These chemicals bring with them a range of environmental problems.

**Table 28.1** Approximate Amounts of Nutrient Elements Contained in Various Crops (values in kg/ha)

Crop	Yield	N	P	K	Ca	Mg
Corn						
Grain	9,416	151	26	37	18	22
Stover	10,080	112	18	135	31	19
Rice						
Grain	5,380	56	10	9	3	4
Straw	5,610	34	6	65	10	6
Wheat						
Grain	2,690	56	12	15	1	7
Straw	3,360	22	3	33	7	3
Loblolly pine (22 yr)	84,000	135	11	64	85	23
Loblolly pine (60 yr)	234,000	344	31	231	513	80



**Figure 28.11** A field that has recently been tilled. Note the lack of ground cover. Tilled fields have a high rate of erosion from water and wind.



**Table 28.2** Nutrient Inputs in Precipitation and Fertilizers for a Corn Field in the Central United States (values in kg/ha/yr)

	In Precipitation	Fertilizer	Harvest	Runoff to Streams
Nitrogen	11.0	160.0	60.0	35.0
Calcium	3.2	190.0	1.0	47.0
Phosphorus	0.03	30.0	12.0	3.0
Potassium	0.2	75.0	13.0	15.0

Soil loss due to erosion: 44 t/ha/yr.

## 28.7 Different Agricultural Methods Represent a Trade-off between Sustainability and Productivity

The two very different agricultural systems just discussed—traditional (swidden) and industrialized—represent a trade-off between energy input in production and energy harvest in food resources. Table 28.3 compares energy inputs and yields for corn

**Table 28.3** Comparison of Energy Inputs and Energy Yields for Corn Harvested Using Traditional Agricultural in Mexico and Industrial Agricultural Production in the United States

Item	Mexico(kcal/ha)	USA(kcal/ha)
<i>Inputs</i>		
Labor	589,160	5,250
Axe and hoe	16,570	
Machinery		1,018,000
Gasoline		400,000
Diesel		855,000
Irrigation		2,250,000
Electricity		100,000
Nitrogen		3,192,000
Phosphorus		730,000
Potassium		240,000
Lime		134,000
Seeds	36,608	520,000
Insecticides		300,000
Herbicides		800,000
Drying		660,000
Transportation		89,000
Total	642,338	11,036,650
<i>Outputs</i>		
Total corn yield	1,944 kg	7,000 kg
	8,748,000 kcal	31,500,000 kcal
kcal output/kcal input	13.62	2.85

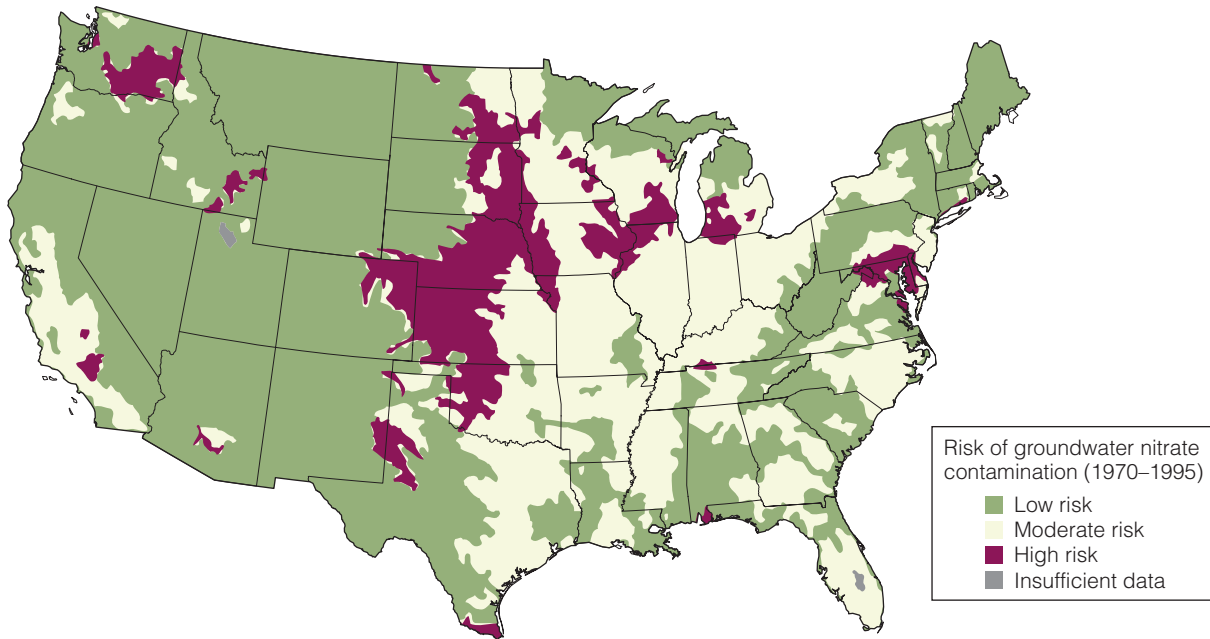
production in Mexico using traditional swidden agriculture and in the United States using large-scale industrial farming techniques. Energy inputs in the traditional agricultural system are dominated by labor (approximately 92 percent of total energy input), with small inputs in the form of tools and seed. Total crop yield is just over 1900 kg/ha. In contrast, labor is only a minor proportion of total energy input in the industrialized agricultural system (approximately 0.05 percent). Major inputs are in the form of farm machinery (3.2%), fossil fuels (4%), irrigation (7.1%), chemical fertilizers (13.6%), and pesticides (3.5%). Total crop yield in this agricultural system is 7000 kg/ha, more than 3.5 times that produced by the traditional agricultural methods used in Mexico. However, the real story emerges when we look at the ratio of energy input in production to energy produced in harvested food. The ratio of kcal output (food) to kcal energy input (energy involved in production) is 13.6 for the traditional agriculture and only 2.8 for the industrialized system. Although industrialized agriculture produces 3.5 times the yield of corn per unit of land area under cultivation, it does so at the cost of more than 17 times the energy input for production. In addition, this large input of energy is in the form of materials and services that carry a large environmental cost.

The loss of chemical fertilizers such as nitrates and phosphates from agricultural fields to adjacent streams, lakes, and coastal waters (estuaries and wetlands) has led to nutrient enrichment. This accelerated enrichment causes chemical and environmental changes that result in major shifts in plant and animal life, by a process termed **cultural eutrophication** (see Section 25.4).

Besides the impacts on adjacent natural ecosystems, the widespread use of chemical fertilizers has impacts on human health. Groundwater provides drinking water for more than half of the U.S. population and is the sole source of drinking water for many rural communities. Nitrate (from chemical fertilizers) is one of the most common groundwater contaminants in rural areas. Recent U.S. Environmental Protection Agency (EPA) surveys indicate that 1.2 percent of community water systems and 2.4 percent of rural domestic wells nationwide contain concentrations of nitrate that exceed public health standards. Although this value may seem low, contamination of groundwater is concentrated in agricultural areas, such as the Midwest, where the percentage of wells contaminated is much higher (Figure 28.12). High concentrations of nitrate in drinking water may cause birth defects, cancer, nervous system impairments, and “blue baby syndrome,” a condition in which the oxygen content of the infant’s blood falls to dangerously low levels. In addition, high concentration of nitrate can indicate the possible presence of other more serious residential or agricultural contaminants, such as bacteria or pesticides.

Along with the environmental problems caused by the widespread use of chemical fertilizers and pesticides, the large inputs of fossil fuels used for mechanization, irrigation, and chemical fertilizer production add to the growing input of carbon dioxide and other greenhouse gases to the atmosphere (see Chapter 30).

At first it may seem that the solution to sustainable agriculture is to adopt more traditional agricultural practices, such as those used in swidden agriculture. However, agricultural production must be viewed in the context of the growing human population. Increasing agricultural production to



**Figure 28.12** A national risk map designed to present patterns of risk for nitrate contamination at regional or national scales (produced by overlay analysis in a geographic information system). Knowing the site and type of risks to groundwater can alert water-resource managers and private users of the need to protect water supplies. By targeting regions with the highest risk of nitrate contamination, managers can direct resources to areas most likely to benefit from pollution prevention programs and long-term monitoring.

(Source: US EPA.)

meet the growing human demand can be accomplished in two ways: increasing the land under cultivation or increasing the production of food per unit land area. Historically, the total land under cultivation worldwide has risen exponentially, keeping pace with the growing human population. However, in the last half of the 20th century, this trend began to slow (Figure 28.13a), and the per capita land area under production declined (Figure 28.13b). This decline was due partly to the development of more productive varieties of crops and an expansion of the use of irrigation. But by far the largest factor responsible for the increased productivity per unit land was the growing use of chemical fertilizers, particularly nitrogen (Figure 28.13c). Synthetic nitrogen fertilizer now supplies about half of the nitrogen used annually by the world's crops. At least one-third of the protein in the current global food supply is derived by synthesizing ammonia ( $\text{NH}_3$ ) from hydrogen and nitrogen using the Haber–Bosch process—named after the two engineers, Fritz Haber and Carl Bosch, who would later win the Nobel Prize for developing this process for use in chemical fertilizers (see Ecological Issues: Nitrogen Fertilizers, Chapter 22).

The reality of our situation is that we are dependent on industrialized agriculture to feed the world's growing population. In addition, by slowing the amount of land being cleared for agricultural production, we are reducing the single greatest cause of Earth's declining biological diversity—habitat loss primarily due to the conversion of lands for agriculture (see Chapter 29). The task has now become one of developing methods of large-scale mechanized agriculture that minimize environmental impacts.

## 28.8 Sustainable Agriculture Depends on a Variety of Methods

As with the broader concept of environmental sustainability, the term **sustainable agriculture** refers more to a notion of maintaining agriculture production while minimizing environmental impacts than to a quantitative set of criteria. As it pertains to agriculture, *sustainable* describes farming systems that are capable of maintaining their productivity and usefulness to society while simultaneously conserving resources and minimizing the negative impacts that we have discussed.

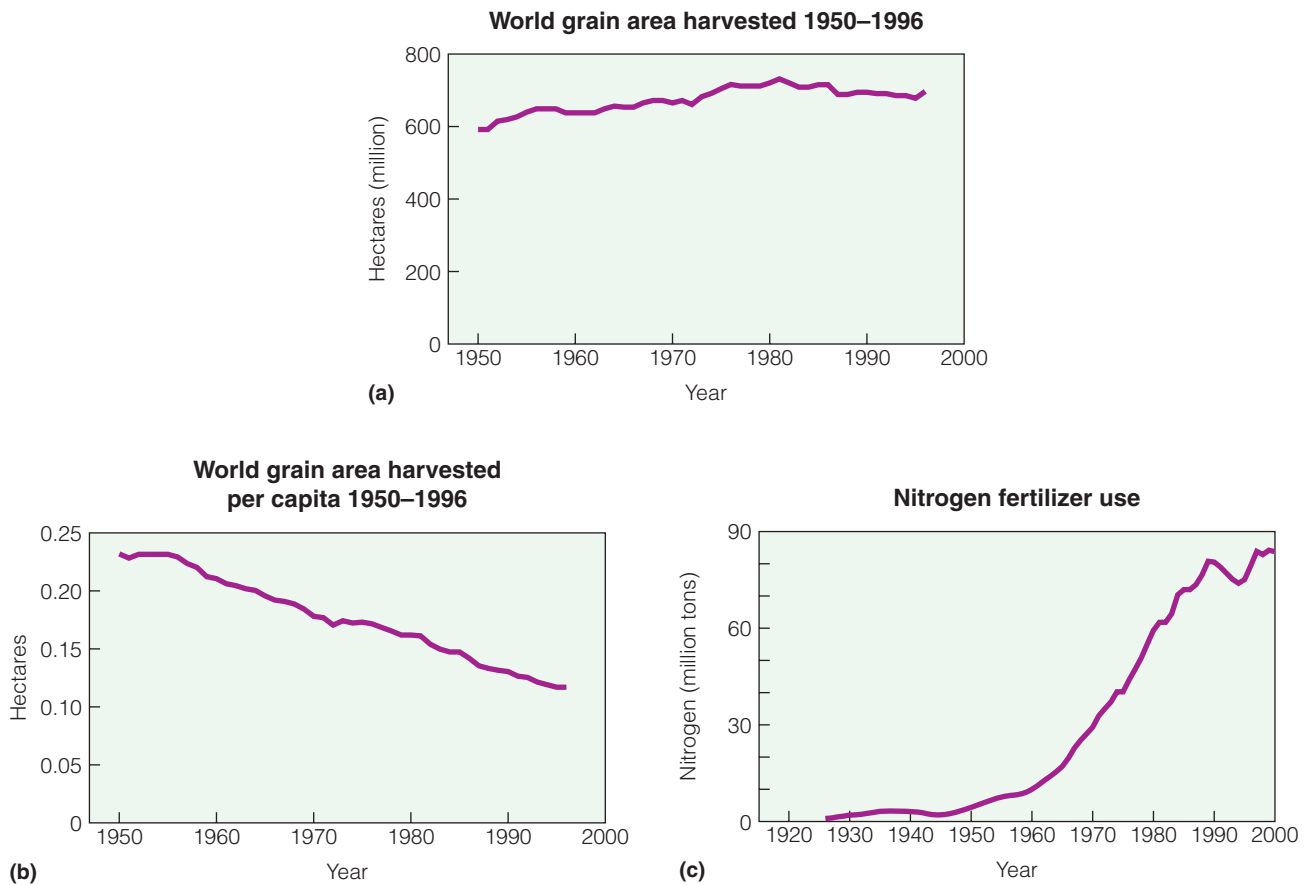
Today, sustainable farming techniques commonly include a variety of practices designed to reduce soil erosion, reduce the use of chemical fertilizers and pesticides, and conserve and protect the quality of water resources. The following are examples of these practices:

*Soil conservation methods:* Methods such as contour and strip cropping (Figure 28.14a) and reduced tillage or “no-till” farming (Figure 28.14b) help prevent soil loss due to wind and water erosion. Likewise, planting shrubs and trees as fence-rows provides wind barriers, thus reducing soil erosion.

*Reduced use of pesticides:* Crop rotations (such as planting wheat one season and hay the next) and strip cropping with multiple crops or varieties help reduce the spread of pests and disease.

*Alternative sources of soil nutrients:* Increased use of on-farm nutrient sources, such as manure and leguminous cover crops provide alternatives to chemical fertilizers (see Section 16.11).

*Water conservation and protection:* Water conservation has become an important part of agricultural stewardship. Many practices have been developed to improve the quality



**Figure 28.13** The global total of land in crop production (a) has begun to stabilize in recent decades, while the per capita area harvested (b) has actually declined. This decline is due to an increase in productivity per hectare, resulting largely from increasing use of chemical fertilizers (primarily nitrogen) over the same period (c).

(Source: FAO.)

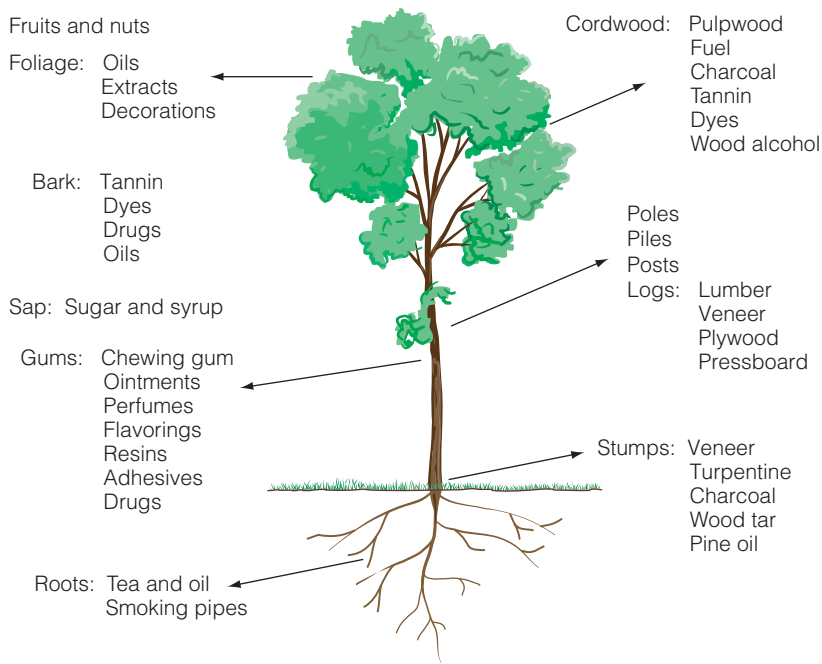


(a)



(b)

**Figure 28.14** Some methods being adopted in the effort to promote sustainable agricultural practices are (a) contour and (b) no-till farming. Go to [QUANTIFY it! at www.ecologyplace.com](http://www.ecologyplace.com) to graph food production efficiency.



**Figure 28.15** A variety of products derived from forests.

of drinking and surface water as well as to protect wetlands. Wetlands play a key role in filtering nutrients and pesticides, in addition to providing wildlife habitat (see Chapter 26).

### 28.9 Sustainable Forestry Aims to Achieve a Balance between Net Growth and Harvest

Forest ecosystems cover approximately 35 percent of Earth's surface and provide a wealth of resources, including fuel, building materials, and food (Figure 28.15; see Chapter 24). Although plantations provide a growing percentage of forest resources, more than 90 percent of global forest resources are still harvested from native forests.

Globally, about half of the forest that was present under modern (post-Pleistocene) climatic conditions—and before the spread of human influence—has disappeared largely through the impact of human activities (see Figure 19.20 and Figure 29.2). The spread of agriculture and animal husbandry, the harvesting of forests for timber and fuel, and the expansion of populated areas have all taken their toll on forests. The causes and timing of forest loss differ among regions and forest types, as do the current trends in change in forest cover. In the face of increasing demand and declining forest cover, the goal of sustained yield in forestry is to achieve a balance between net growth and harvest. To achieve this end, foresters have an array of silvicultural and harvesting techniques from clear-cutting to selection cutting.

**Clear-cutting** involves removing the forest and reverting to an early stage of succession (Figure 28.16a). The area



(a)



(b)

**Figure 28.16** Examples of (a) clear-cut and (b) shelterwood (seed-tree) forest harvest.

## FIELD STUDIES

## Deborah Lawrence

Department of Environmental Sciences, University of Virginia,  
Charlottesville, Virginia

For hundreds of years, the villagers of Kembera in West Kalimantan, Indonesia, have practiced the same form of shifting cultivation. An area of rain forest approximately 1 ha in size is cleared of all vegetation (Figure 1). After drying for several weeks, the debris is burned. With the onset of heavy rains, the field is planted with rice and a few vegetable crops. This type of upland agriculture involves no tillage or mounding, no irrigation, and no chemical inputs. The rice crop is then weeded by hand from one to three times during the five- or six-month growing period. One rice crop is grown per year, and although plots are sometimes used for a second year, fields are typically abandoned after a single harvest. During abandonment, the plot naturally regenerates to secondary forest. After a period of recovery (fallow) lasting on average 20 years, the area is once again ready to be cleared and planted.

Are these land-use practices sustainable, and how do they affect the region's biological diversity? These questions are being addressed by ecologist Deborah Lawrence of the Department of Environmental Sciences, University of Virginia.

The area surrounding the Dayak village of Kembera in West Kalimantan has been the location of Lawrence's work, which focuses on the impacts of traditional agriculture on soil resources. Phosphorus (P) availability is often a major limitation on agricultural production in the tropics. Its availability is controlled by the weathering of phosphorus-containing rocks and minerals. Moreover, rapid sorption (absorption and adsorption) of P on abundant iron and aluminum minerals in tropical soils (see Section 4.10) precludes its free movement through the soil solution to plant roots. High amounts of rainfall also cause heavy leaching of nutrients such as P from the surface soil. To understand the

limitations of P on the long-term sustainability of agriculture in this region, Lawrence needed to quantify the influence of the process of shifting cultivation on the dynamics of soil P.

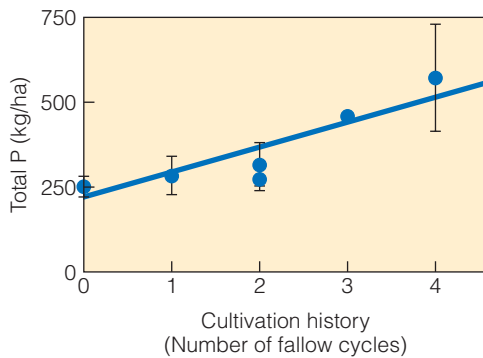
Fire transfers nutrients from the vegetation and surface organic matter to the soil. Where temperatures rise to high levels, **pyromineralization** occurs, resulting in the transformation of organic forms of P into inorganic (mineral) forms available for uptake by plants. Depending on the intensity of the fire, Lawrence found that up to 55 percent of the total P stock is lost to the atmosphere as smoke—although much of this may be redistributed across the landscape rather than being lost entirely from the forest. In addition, upward of 50 percent of mineral P that is deposited during the fire may be lost from the site through erosion by water and wind or through leaching. Despite these losses of P from the soil when the site is being prepared for planting, burning results in a large immediate increase in available inorganic P, thus promoting growth of the rice plants. The harvesting of rice, however, represents a further loss of P and depletes soil stocks. These losses of soil P during field preparation and crop harvesting are large relative to the input of P to the soil through the process of weathering. Given these facts, one would hypothesize a decline in total P with each subsequent cultivation cycle that influences the long-term potential for agricultural productivity as well as the process of secondary forest growth (forest regeneration).

To examine this hypothesis, Lawrence designed a study to examine patches of land around the village of Kembera that had been used exclusively for shifting cultivation for up to 200 years. To determine long-term changes in soil P, she sampled the top 30 cm of soil at 24 sites representing a gradient of cultivation history, from zero (primary forest) to 10 or more previous swidden-fallow cultivation cycles. At each site, 30 soil cores were collected, and each core was divided by depth (0–2.5 cm, 2.5–15 cm, and 15–30 cm).

Results of the analyses were surprising. Rather than declining, total soil P actually increased with each successive cultivation cycle over the first four cycles (approximately 80 years; Figure 2), remaining relatively constant thereafter. How could this be, given the large losses associated with clearing and



**Figure 1** Aerial view of plot cleared for planting.

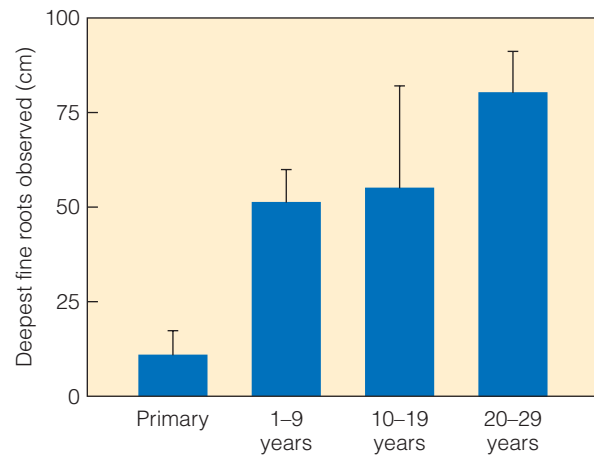


**Figure 2** Changes in phosphorus stocks in the top 30 cm of soil during the first four cycles of cultivation. Values shown are mean  $\pm$  1 standard deviation for six sites on sandy soil.

harvest? To understand how P was increasing in the top 30 cm of soil through time, Lawrence turned to examining the fallow process between periods of cultivation, during which secondary forest growth occurs.

When an area has been abandoned, nutrients accumulate in the secondary forest biomass before soil stocks begin to increase. Soil P taken up by the trees is then returned to the soil surface through litterfall and subsequent decomposition and mineralization. Upon examining the vertical distribution of roots on the study plots, Lawrence began to develop a possible explanation for the observed increase in P in the surface soil through time. Tree species that colonize the secondary forest have more fine roots, deeper in the soil profile, than do species that dominate the primary (uncleared) forest (Figure 3). These fine roots are responsible for most of the nutrient uptake from the soil. The maximum observed depth of fine roots in the secondary forest is 50 cm, as compared to 20 cm in the primary forest. This finding suggests that the increase in total P after cultivation and fallow is a result of “nutrient pumping.” Fine roots located deeper in the soil profile take up P, which is incorporated into the plant tissues as the secondary forest grows during the fallow period. Organic P in the form of senescent plant tissues is then deposited on the soil surface, where it is eventually released as inorganic P. Therefore, Lawrence found, profuse deep rooting and periodic death (with each fire) are likely to encourage the transfer of inorganic P to the surface and organic P (in the form of fine roots) to the deeper horizons.

Despite the increase in total P in the top 30 cm of soil observed during the first four cycles of cultivation, potential P losses



**Figure 3** Maximum depth of fine roots observed as a function of forest age. Deepest roots are defined as the midpoint of soil horizons in which the roots were found. Data are from two sites newly cleared from primary forest and 17 sites in secondary forest fallows 1–28 years after clearing.

through the erosion and harvest dictate that future rice production will depend on continued acquisition of P from deeper in the soil profile, hence maintaining the critical fallow periods necessary for regenerating nutrient stocks in the surface soils. In many parts of Indonesia, however, shifting cultivators are having to reduce the length of the fallow period due to the pressures of population growth—potentially jeopardizing this sustainable form of agricultural production that has supported populations of this region for so long.

### Bibliography

- Lawrence, D., and W. H. Schlesinger. 2001. Changes in soil phosphorus during 200 years of shifting cultivation in Indonesia. *Ecology* 82:2769–2780.
- Lawrence, D., D. R. Peart, and M. Leighton. 1998. The impact of shifting cultivation on a rainforest landscape in West Kalimantan: Spatial and temporal dynamics. *Landscape Ecology* 13:135–148.

1. What possible impacts other than altering soil nutrient availability might these agricultural practices have on the tropical forest ecosystems of this region?
2. How does the practice of burning the site to prepare for planting influence the availability of P in the soil in both organic and mineral forms?

harvested can range from thousands of hectares to small patch cuts of a few hectares designed to create habitat for wildlife species that require an opening within the forest (see Sections 20.2 and 20.3). Postharvest management varies widely for clear-cut areas. When natural forest stands are clear-cut, there is generally no follow-up management. Stands are left to regenerate naturally from existing seed and sprouts on the site and the input of seeds from adjacent forest stands. With no follow-up management, clear-cut areas can be badly disturbed by erosion that affects subsequent recovery of the site as well as adjacent aquatic communities.

Harvest by clear-cutting is the typical practice on forest plantations, but here intensive site management follows clearing. Plant materials that are not harvested (branches, leaves, and needles) are typically burned to clear the site for planting. After clearing, seedlings are planted and fertilizer applied to encourage plant growth. Herbicides are often used to discourage the growth of weedy plants that would compete with the seedlings for resources.

The **seed-tree**, or **shelterwood**, system is a method of regenerating a new stand by removing all trees from an area except for a small number of seed-bearing trees (Figure 28.16b). The uncut trees are intended to be the main source of seed for establishing natural regeneration after harvest. Seed trees can be uniformly scattered or left in small clumps, and they may or may not be harvested later.

In many ways, the shelterwood system is similar to a clear-cut because generally not enough trees are left standing to affect the microclimate of the harvested area. The advantage of the shelterwood approach is that the seed source for natural regeneration is not limited to adjacent stands. This can result in improved distribution (or stocking) of seedlings as well as a more desirable mix of species.

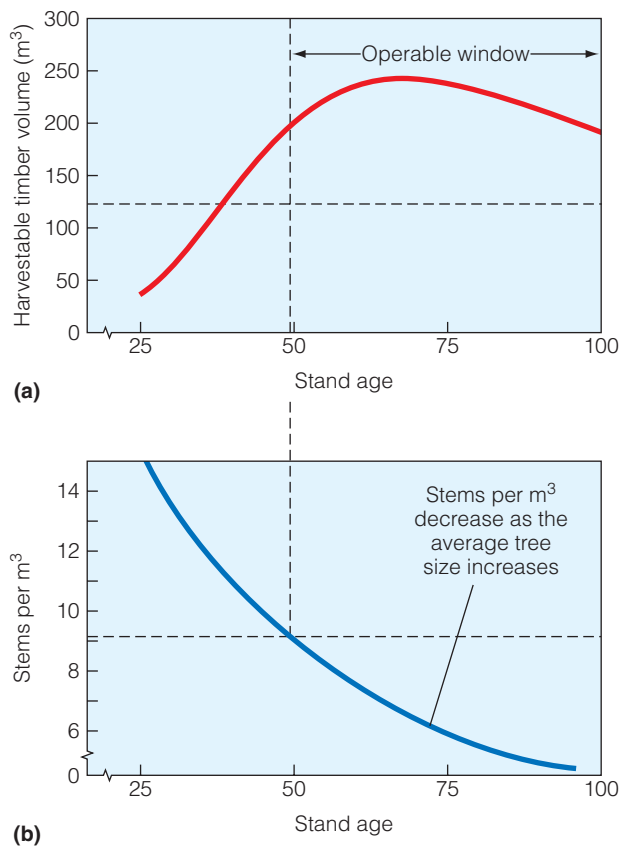
Like any silviculture system, shelterwood harvesting requires careful planning to be effective. Trees left on the site must be strong enough to withstand winds and capable of producing adequate seed, seedbed conditions must be conducive to seedling establishment (this may require a preparatory treatment during or after harvest), and follow-up management may be required to fully establish the regeneration.

In **selection cutting**, mature single trees or groups of trees scattered through the forest are removed. Selection cutting produces only small openings or gaps in the forest canopy. Although this form of timber harvest can minimize the scale of disturbance within the forest caused by direct removal of trees, the network of trails and roads necessary to provide access can be a major source of disturbance (to both plants and soils). Selective cutting also can cause changes in species composition and diversity because only certain species are selectively removed.

Regardless of the differences in approach, some general principles can be stated regarding sustainable forestry. Forest trees function in the manner discussed for competition in plant populations (Chapter 11, Section 11.3). Whether a forest is planted as seedlings or grown by natural regeneration, its establishment begins with a population of small individuals (seedlings) that grow and compete for the essential resources of light, water, and nutrients. As biomass in the forest increases, the density of trees

decreases and the average tree size increases as a result of self-thinning (Figure 28.17; also see Section 11.4 and Figure 11.10). For a stand to be considered economically available for harvest (referred to as being in an *operative state*), minimum thresholds must be satisfied for the harvestable volume of timber per hectare and average tree size (see Figure 28.17); these thresholds will vary depending on the species. In plantation forestry, for a given set of thresholds (timber volume and average tree size), the initial stand density (planting density) can be controlled to influence the timing of the stand's availability for harvest (Figure 28.18).

After trees are harvested, a sufficient time must pass for the forest to regenerate. For sustained yield, the time between harvests must be sufficient for the forest to regain the level of

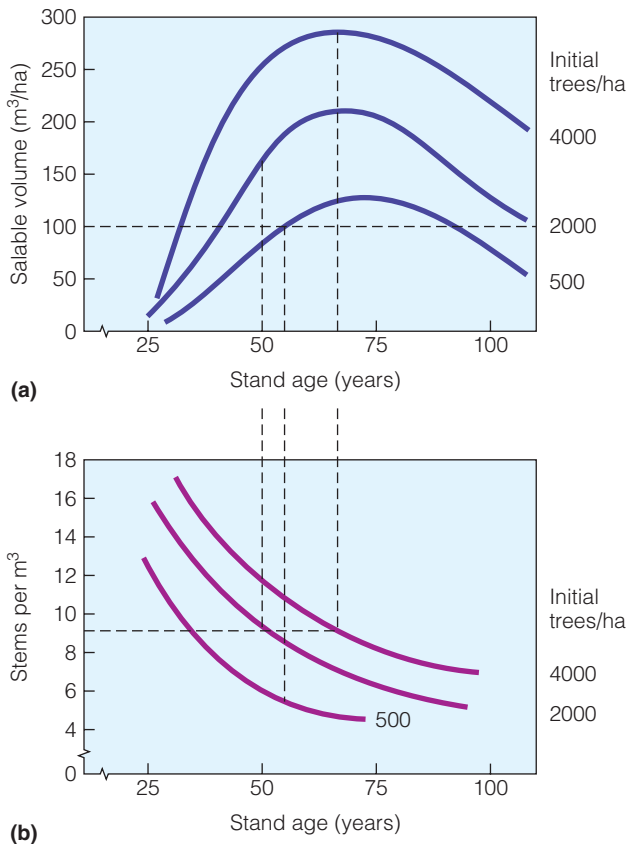


**Figure 28.17** Two criteria are used to determine when a stand of trees are suitable for harvest (referred to as the *operable window*): (1) salable volume of wood per hectare ( $\text{m}^3/\text{ha}$ ), and (2) average tree size as measured by the stems per cubic meter (the number of trees required to make a cubic meter of wood volume). In the example shown, dashed horizontal lines represent constraints of (a) minimum salable wood volume of  $100 \text{ m}^3/\text{ha}$ , and (b) minimum average tree size of  $9 \text{ stems}/\text{m}^3$ . Dashed vertical lines indicate the earliest stage at which both constraints are satisfied.

(Adapted from Oriens et al. 1986.)

### INTERPRETING ECOLOGICAL DATA

- Q1.** What does graph (a) imply about the change in average tree size (diameter and/or height) with stand age?
- Q2.** Assume that a decision is made to harvest the stand when it reaches the minimum salable wood volume ( $100 \text{ m}^3/\text{ha}$ ). How many stems (trees) per  $\text{m}^3$  would be harvested?



**Figure 28.18** Effects of initial stand density on timing of stand availability for harvest (operable window). As in Figure 28.17, dashed horizontal lines represent constraints of (a) minimum marketable wood volume of 100 m<sup>3</sup>/ha and (b) a minimum average tree size of 9 stems/m<sup>3</sup>. Dashed vertical lines indicate the earliest stage at which both constraints are satisfied. Note that the intermediate planting density of 2000 trees per hectare has the earliest operable window. (Adapted from Oriens et al. 1983.)

### INTERPRETING ECOLOGICAL DATA

**Q1.** The above analysis includes three initial planting densities: 500, 2000, and 4000 trees/ha. At which stand age does each of the three initial planting densities achieve the minimum constraint for average tree size?

**Q2.** Given the constraints on minimum wood volume and average tree size as defined, which of the initial planting densities reaches these constraints at the earliest stand age (earliest operable window)?

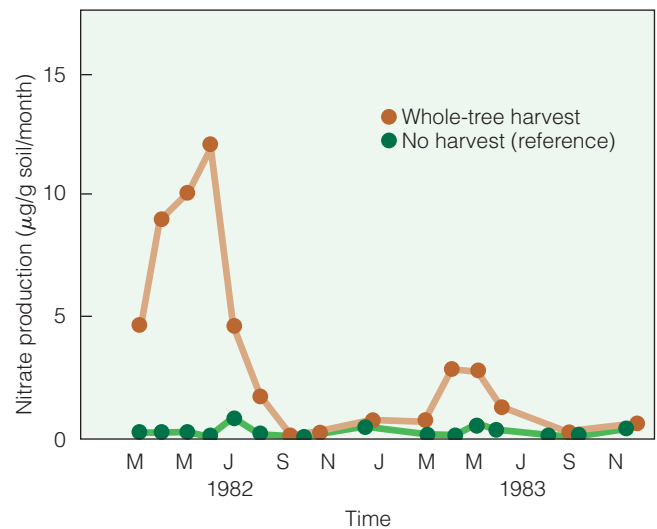
biomass it had reached at the time of the previous harvest (see Figure 28.4). Rotation time depends on a variety of factors related to the tree species, site conditions, type of management, and intended use of the trees being harvested. Wood for paper products (pulpwood), fence posts, and poles are harvested from fast-growing species, allowing a short rotation period (15–40 years). These species are often grown in highly managed plantations where trees can be spaced to reduce competition and fertilized to maximize growth rates. Trees harvested for timber (saw logs) require a much longer rotation period. Hardwood species used for furniture and cabinetry are typically slower growing and may have a rotation time of 80 to 120 years.

Sustained forestry of these species works best in extensive areas where blocks of land can be maintained in different age classes.

As with agricultural crops, a significant amount of nutrients are lost from the forest when trees are harvested and removed (see Table 28.1). The loss of nutrients in plant biomass is often compounded by further losses from soil erosion and various postharvest management practices—particularly the use of fire (see Field Studies: Deborah Lawrence). The reduction of nutrients will reduce plant growth, requiring a longer rotation period for subsequent harvests or causing reduced forest yield if the rotation period is maintained. Forest managers often counter the loss of nutrients by using chemical fertilizers, which create other environmental problems for adjacent aquatic ecosystems (see Sections 24.4 and 28.7).

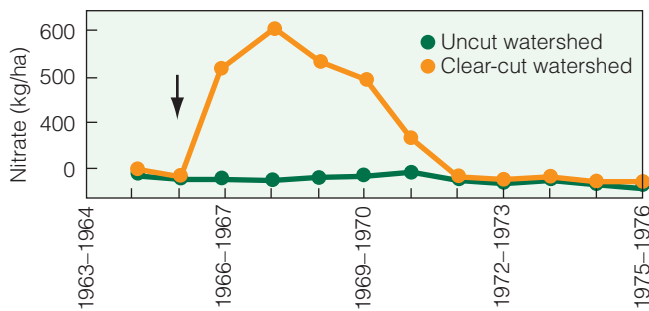
In addition to the nutrients lost directly through biomass removal, logging can also result in the transport of nutrients from the ecosystem by altering processes involved in internal cycling. The removal of trees in clear-cutting and other forest management practices increases the amount of radiation (including direct sunlight) reaching the soil surface. The resulting increase in soil temperatures promotes decomposition of remaining soil organic matter and causes an increase in net mineralization rates (Figure 28.19; see also Sections 22.4 and 22.5). This increase in nutrient availability in the soil occurs at the same time that demand for nutrients is low because plants have been removed and net primary productivity is low. As a result, there is a dramatic increase in the leaching of nutrients from the soil into ground and surface waters (Figure 28.20). This export of nutrients from the ecosystem results from decoupling the two processes of nutrient release in decomposition and nutrient uptake in net primary productivity.

Sustained yield is a key concept in forestry and is practiced to some degree by large timber companies and federal and state



**Figure 28.19** Comparison of nitrate ( $\text{NO}_3^-$ ) production after logging for a loblolly pine (*Pinus taeda*) plantation in the southeastern United States. Data for the reference stand (no harvest) are compared with those of a whole-tree harvest clear-cut. (Adapted from Vitousek 1992.)





**Figure 28.20** Temporal changes in the nitrate concentration of stream water for two forested watersheds in Hubbard Brook, New Hampshire. The forest on one watershed was clear-cut, and the other forest was undisturbed. Note the large increase in concentrations of nitrate in the stream on the clear-cut watershed. This increase is due to increased decomposition and nitrogen mineralization after clear-cutting (see Figure 28.19). The nitrogen then leached into the surface water and groundwater.

(Adapted from Likens and Borman 1995.)

forestry agencies. But all too often, industrial forestry's approach to sustained yield is to grow trees as a crop rather than maintaining a forest ecosystem. Their management approach is a form of agriculture in which trees are grown as crops: they clear-cut, spray herbicides, plant or seed the site to one species, clear-cut, and plant again. Clear-cutting practices in some national forests, especially in the Pacific Northwest and the Tongass National Forest in Alaska, hardly qualify as sustained-yield management when below-cost timber sales are mandated by the government to meet politically determined harvesting quotas. Even more extensive clear-cutting of forests is taking place in the northern forests of Canada, especially in British Columbia (Figure 28.21), and in large areas of Siberia. As the timber supply dwindles in the Pacific Northwest, the timber industry that moved west after the depletion of eastern hardwoods and pine forests of the lake states is moving east again to exploit the regrowth of eastern hardwood forests, especially the rich and diverse central hardwood forest. From Virginia and eastern Tennessee to Arkansas and Alabama, timber companies have built more than 140 highly automated chip mills that cut up trees of all sizes into chips for paper pulp and particle board. Feeding the mills requires clear-cutting 500,000 ha annually. The growing demand for timber has boosted timber prices, stimulating more clear-cutting. The rate of harvest is wholly unsustainable. In face of growing timber demands, sustained-yield management has hardly filtered down to smaller parcels of private land.

The problem of sustained-yield forestry is its economic focus on the resource with little concern for the forest as a biological community. A carefully managed stand of trees, often reduced to one or two species, is not a forest in an ecological sense. Rarely will a naturally regenerated forest, and certainly not a planted one, support the diversity of life found in old-growth forests (see Section 18.6 and Figure 18.14). By the time the trees reach economic or financial maturity—based on the type of rotation—they are cut again.



**Figure 28.21** Localized view of forest clearing using aerial photography. Go to [www.ecologyplace.com](http://www.ecologyplace.com) to graph rates of deforestation.

## 28.10 Exploitation of Fisheries Has Led to the Need for Management

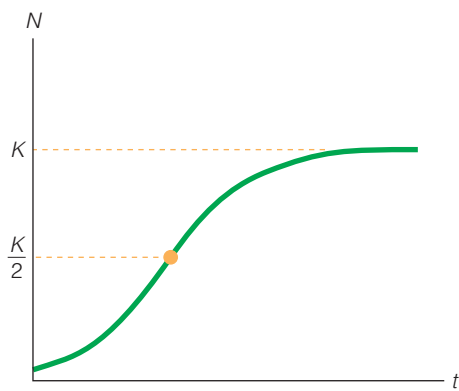
Although the advent of agriculture some 10,000 years ago reduced human dependence on natural populations as a food source, more than 80 percent of the world's commercial catches of fish and shellfish is from the harvest of naturally occurring populations in the oceans (71 percent) and inland freshwaters (10 percent).

There are many historical accounts of overexploitation and population declines, yet not until the late 1800s was there any effort to manage fisheries resources to ensure their continuance. At that time, wide fluctuations in fish catches in the North Sea began to have an economic impact on the commercial fishing industry. Ensuing debates raged over the cause of the decline and whether commercial harvest was affecting fish populations. Some people argued that removing the fish had no effect on reproduction; others argued that it did. When the Danish fishery biologist C. D. J. Petersen developed a method for estimating population size based on a technique of tagging, releasing, and recapture, biologists could begin assessing fish populations. Combining data from egg surveys and the aging of fish from commercial catches, these studies suggested that overharvesting indeed was the culprit. But

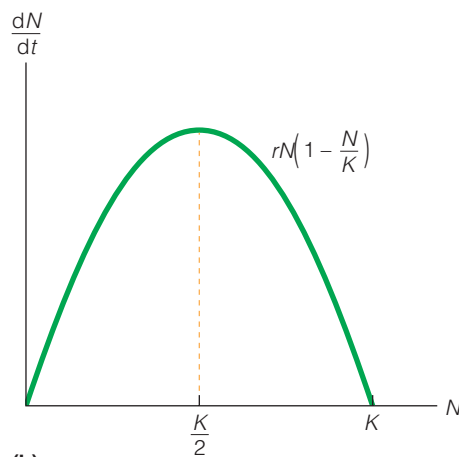
the debate continued, and the controversy was not laid to rest until after World War I.

During World War I, fishing in the North Sea stopped. After the war, fishermen experienced sizable increases in their catches. Fishery biologists suggested that the renewed fishing would once again reduce population sizes, and catches would stabilize and eventually decline with overexploitation. Their predictions were correct, and with time, attention turned to the question of sustainable harvest.

The goal of long-term sustainable harvest has been a mainstay of fisheries science for the past 50 years. A central concept of sustainable harvest is the logistic model of population growth (Chapter 11, see Section 11.1). Under conditions of the logistic model, growth rate (overall numbers of new organisms produced per year) is low when the population is small (Figure 28.22). It is also low when a population nears its carrying capacity ( $K$ ) because of density-dependent processes



(a)



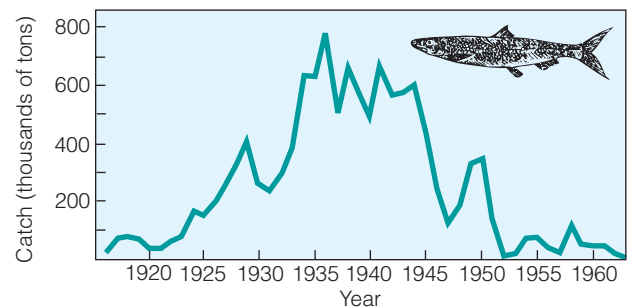
(b)

**Figure 28.22** Assuming the growth rate of the fish population follows the logistic model presented in Chapter 11 [ $dN/dt = rN(1 - N/K)$ ], (a) in the absence of fishing, the population will grow to carrying capacity,  $K$ . (b) The relationship between the rate of population growth,  $dN/dt$ , and population size,  $N$ , takes the form of a parabola, reaching a maximum value at a population size of  $N = K/2$ .

such as competition for limited resources. Intermediate-sized populations have the greatest growth capacity and ability to produce the most harvestable fish per year. The key realization of this model is that fisheries could optimize harvest of a particular species by keeping the population at an intermediate level and harvesting the species at a rate equal to the annual growth rate. This strategy was called the **maximum sustainable yield**.

In effect, the concept of sustainable yield is an attempt at being a “smart predator.” The objective is to maintain the prey population at a density where the production of new individuals just offsets the mortality represented by harvest. The higher the rate of population increase, the higher will be the rate of harvest that produces the maximum sustainable yield. Species characterized by a very high rate of population growth often lose much of their production to a high density-independent mortality, influenced by variation in the physical environment such as temperature (see Section 11.12). The management objective for these species is to reduce “waste” by taking all individuals that otherwise would be lost to natural mortality. Such a population is difficult to manage. The stock can be depleted if annual patterns of reproduction are interrupted due to environmental conditions. An example is the Pacific sardine (*Sardinops sagax*). Exploitation of the Pacific sardine population in the 1940s and 1950s shifted the age structure of the population to younger age classes. Before exploitation, reproduction was distributed among the first five age classes (years). In the exploited population, this pattern of reproduction shifted, and close to 80 percent of reproduction was associated with the first two age classes. Two consecutive years of environmentally induced reproductive failure (a result of natural climate variations associated with El Niño–Southern Oscillation—ENSO) caused a population collapse the species never recovered from (Figure 28.23; see also Section 2.9).

Sustainable yield requires a detailed understanding of the population dynamics of the fish species. Recall that the intrinsic rate of population growth,  $r$ , is a function of the age-specific birth and mortality rates (Chapter 9). Unfortunately, the usual approach to maximum sustained yield fails to consider adequately the size and age classes, differential rates of growth among them, sex ratio,



**Figure 28.23** Annual catch of Pacific sardines along the Pacific coast of North America. Overfishing, environmental changes, and an increased population of a competing fish species, the anchovy, resulted in a collapse of the sardine population. (Adapted from Murphy 1966.)

survival, reproduction, and environmental uncertainties—all data difficult to obtain. Adding to the problem is the common-property nature of the resource: because it belongs to no one, it belongs to everyone to use as each of us sees fit.

Perhaps the greatest problem with sustainable harvest models is that they fail to incorporate the most important component of population exploitation: economics (see Section 28.12). Once commercial exploitation begins, the pressure is on to increase it to maintain the underlying economic infrastructure. Attempts to reduce the rate of exploitation meet strong opposition. People argue that reduction will mean unemployment and industrial bankruptcy—that, in fact, the harvest effort should increase. This argument is shortsighted. An overused resource will fail, and the livelihoods it supports will collapse, because in the long run the resource will be depleted. Abandoned fish processing plants and rusting fishing fleets support this view. With conservative exploitation, the resource could be maintained.

## 28.11 Fisheries Management Requires an Ecosystem Approach

Another problem with the concept of sustainable harvest is that traditional population management, especially by fisheries, considers stocks of individual species as single biological units rather than components of a larger ecological system. Each stock is managed to bring in a maximum economic return, overlooking the need to leave behind a certain portion to continue its ecological role within the larger community, be it predator or prey. This attitude encourages a tremendous discard problem, euphemistically called *bycatch* (Figure 28.24). Employing large purse seines and midwater gill nets (Figure 28.25) that encompass square kilometers of ocean, fishermen haul in not only commercial species they seek but also a range of other marine life as well, including sea turtles, dolphins, and scores of other species of fish. To harvest bottom-dwelling groundfish, such as halibut, haddock, and flounder, fishermen use bottom trawls that sweep over the ocean floor scraping up all forms of benthic life and destroying the sea bottom habitat. Fishermen discard the unwanted species—at least one-third of the catch—by putting them back into the sea. This practice seriously

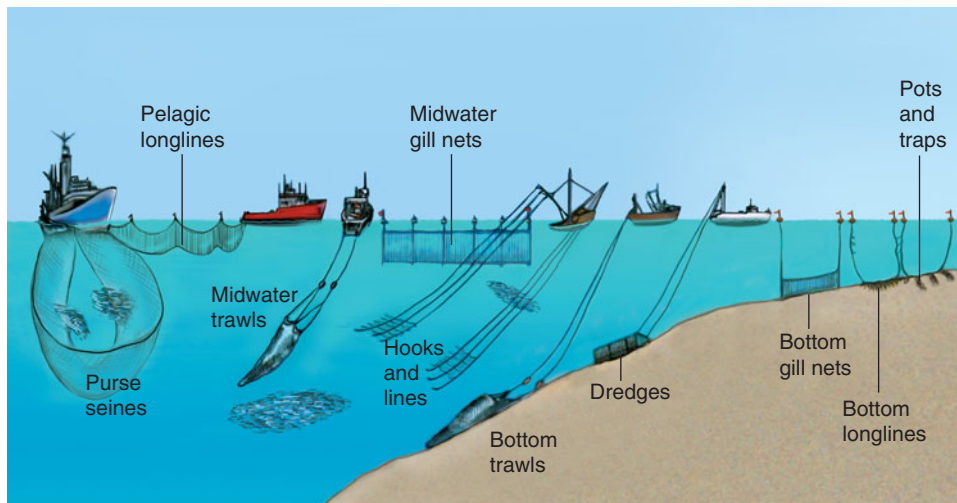


**Figure 28.24** Bycatch of fish and invertebrates can outweigh the target species (in this case, shrimp) by 5- to 20-fold. This bypass in the Gulf of California includes bottom-dwelling brittlestars, crabs, small fish, and skates.

(Photo by Elliot Norse.)

affects the future of those fisheries, damaging critical habitats and altering the structure of the community at all trophic levels. The cod (*Gadus morhua*) of the North Atlantic provides a case study of these unsustainable practices.

For 500 hundred years the waters of the Atlantic Coast from Newfoundland to Massachusetts supported one of the greatest fisheries in the world. The English explorer John Cabot in 1497 discovered and marveled at the abundance of cod off the Newfoundland Coast. Upon returning to Britain, he told of seas “swarming with fish that could be taken not only with nets but with baskets weighted down with stone.”



**Figure 28.25** Types of gear employed in taking fish. Purse seines, midwater gill nets, and pelagic longlines cover miles of ocean. Dredges and bottom trawls scrape the seabed. (Adapted from Chuenpadgee et al. 2003.)

Some cod were five to six feet long and weighed up to 200 pounds. Cabot's news created a frenzy of exploitative fishery. Portuguese, Spanish, English, and French fishermen sailed to Newfoundland to take between 100,000 and 200,000 metric tons (MT) a year. In 1542, the French sailed no fewer than 60 ships, each making two trips a year. In the 1600s, England took control of Newfoundland and its waters and established numerous coastal posts where English merchants salted and dried cod before shipping it to England. So abundant were the fish that the English thought nothing could seriously affect this seemingly inexhaustible resource.

Catches remained rather stable until after World War II, when the demand for fish increased dramatically and led to intensified fishing efforts. Large factory trawlers that could harvest and process the catch at sea replaced smaller fishing vessels. Equipped with sonar and satellite navigation, fishing fleets could locate spawning schools. They could engulf schools with huge purse nets and sweep the ocean floor clean of fish and all associated marine life. In the 1950s, annual average catch was 500,000 MT of cod, but by the 1960s the catch had almost tripled to 1,475,000 MT. In 15 years from the mid-1950s through the 1960s, 200 factory ships off Newfoundland took as many northern cod as were caught over the prior 250-year span since Cabot's arrival.

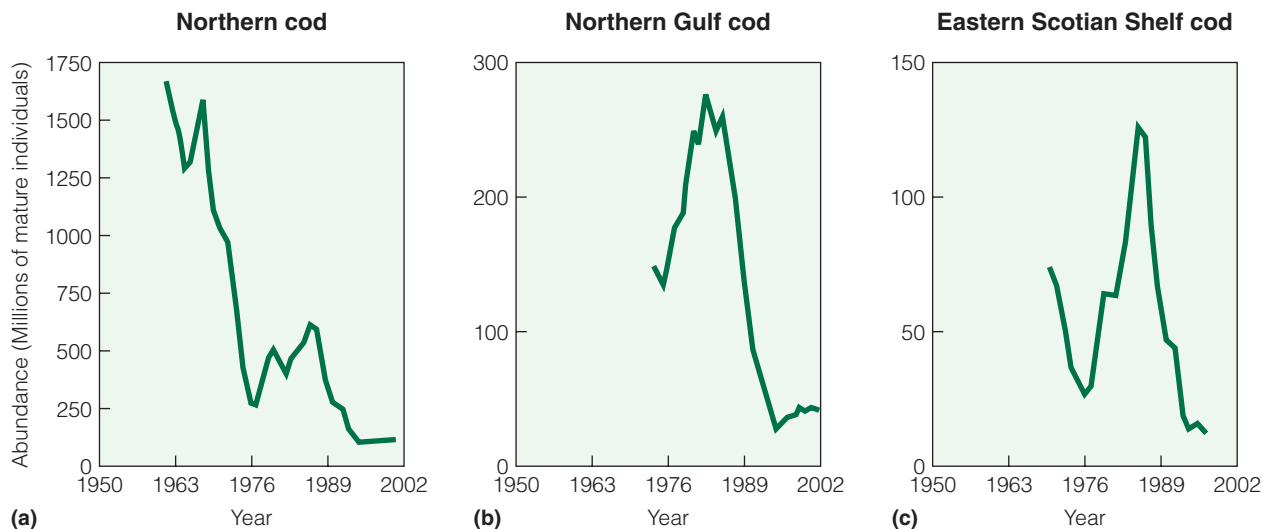
The cod fishery could not endure such intense exploitation. By 1978 the catch had declined to 404,000 MT. To protect their commercial interests in the fishery, the Canadian and U.S. governments excluded all foreign fisheries in a zone extending 200 miles. But instead of capitalizing on this opportunity to allow the stocks to recover, the Canadian government provided the industry with subsidies to build huge factory trawlers. After a brief surge in catches during the 1980s, the catch in the Canadian waters fell to 13,000 MT by the mid 1990s, and in 1997 the North Atlantic Canadian cod fishery collapsed (Figure 28.26).

The Gulf of Maine and Grand Banks fisheries controlled by the United States followed a similar trend. The Grand Banks spawning stock biomass reached a record low in the 1990s, and since 2001 has continued to decline (Figure 28.27). Combined total commercial cod landings for Canada and the United States for the Grand Banks and Gulf of Maine in 2005 was 6957 MT, a 17 percent decrease from 8381 MT in 2004. Cod populations are still being overfished, even under a management program designed to reduce fishing mortality to a level allowing for populations to recover. The plan includes time and area closures, gear restrictions, minimum size limits, limits on days at sea, and a moratorium on permits. Despite these restrictions, cheating on catch limits, a substantial trade in illegal landings, and unreported fishing contribute to overfishing.

The collapse of the Atlantic cod fishery created an ecological, economic, and social disaster. In Newfoundland, it left 40,000 fishermen and fish processors out of work, and it devastated nearly half of the region's 1300 fishing communities that depended entirely on fishing. It affected the New England fishing industry in the form of fleet reductions, processing plant closures, and loss of jobs.

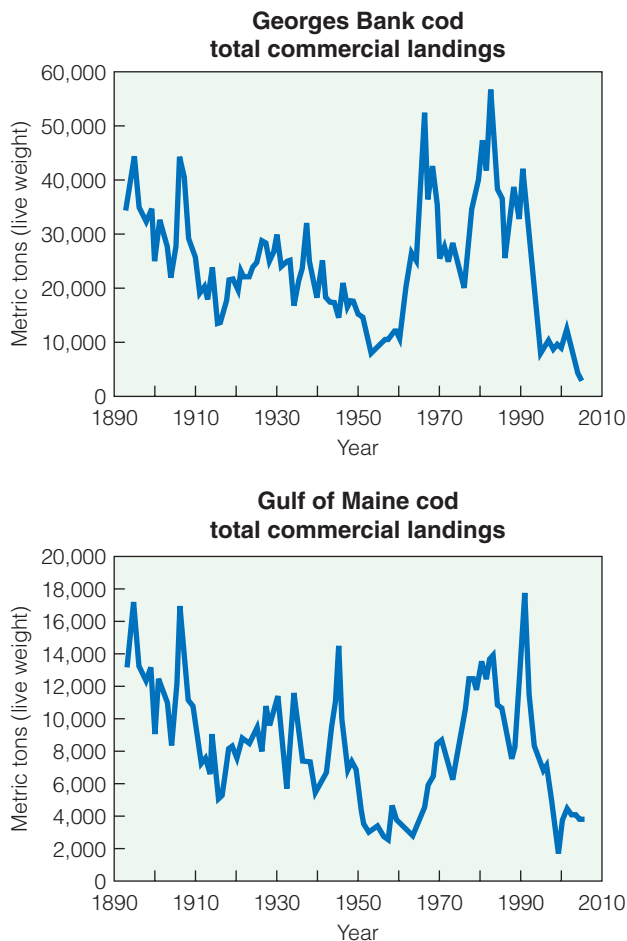
Fishery managers and the industry blamed the collapse on seal predation of the cod's favorite foods, Atlantic herring (*Clupea harengus*) and capelin (*Mallotus villosus*); on colder water temperatures that drove cod away; and on various other environmental factors. They refused to admit that the real cause was overfishing. After a 10-year moratorium on fishing, the cod population failed to recover. Many of the fishery scientists who once refused to admit that overfishing was the root cause then concluded that centuries of overfishing the cod populations and devastating other species through discard was indeed the root cause.

Emphasis on managing commercial fish species using a single-species model, viewing the dynamics of that species in isolation from other species in the community, ignores the



**Figure 28.26** Collapse of the Canadian Atlantic cod fishery is dramatically illustrated by these graphs showing the harvest of three stocks of cod. Note the spike of overfishing in the 1980s, followed by collapse of the fishery.

(After Hutchings and Reynolds 2004.)



**Figure 28.27** Trend of commercial landings of cod in the region of the famous Grand Banks fishery, 1890–2005. Again note the sharp increase in cod take in 1970–1980, followed by a collapse of the stock. (New England Fishery Science Center, National Oceanic and Atmospheric Administration, 2006.)

multitude of direct and indirect interactions that influence their populations (see Part Four). Impact on one species can filter down through the food web to affect other species (see Chapter 18). Such was the case with the cod fishery. The cod is a top predator (Figure 28.28a). With the collapse of cod populations, its prey—herring, crabs, sea urchins, shrimp, and lobster—increased dramatically. In addition, the spiny dogfish (*Squalus acanthias*) replaced the cod as a top predator.

With the increase in spiny dogfish populations, the fishery shifted emphasis, fueled by an increasing demand for this species in the European market. A slow-growing, slow-reproducing species of shark, the spiny dogfish (Figure 28.28b) became an important commercial species with the same pattern of overfishing and decline in populations.

In response to the collapse of the cod and dogfish populations, the fishing industry moved to the next-lower trophic level to concentrate on a species that was once the dominant prey of the cod—herring. Intense fishing pressure on herring brought about a sharp decline in that species as well. So to augment this decline in the fishery, the Atlantic Coast fishing industry went further down the food chain to the dominant herbivores, the kelp-grazing spiny green sea urchin (*Strongylocentrotus*

*droebachiensis*; Figure 28.28c), a prey for lobsters, crabs, and seabirds; and the green-algae-feeding periwinkles (*Littorina* spp.; Figure 28.28d), an important food for many invertebrates, fish, and waterfowl. A growing demand for sea urchins for sushi is resulting in unsustainable harvest, accomplished either by towing a dredge on sedimentary bottoms or by scuba divers. Due to these practices, the urchin population has been in decline since 1993 and is now strongly regulated by both federal and state governments. Periwinkles, considered a delicacy in European and Japanese communities, have traditionally been harvested from rocky coasts at low tide. Due to the growing market, however, periwinkles are now collected by diver-operated suction harvesters. These harvesters can collect up to several thousand pounds of periwinkles per day.

Once again facing declining stocks, the industry has now turned to the primary producer level of the food chain, to harvest rockweed (*Fucus* and *Ascophyllum*; Figure 28.28e) along the Atlantic Coast from Newfoundland to Maine. Rockweed is in demand for the production of fertilizer, mulch, processed kelp meal, and gelatinous compounds used as a thickener and stabilizer in thousands of commercial products. Once gathered by hand, rockweed is now harvested by large motorized barges with a vacuum device and cutter blades. As a dominant primary producer of these coastal ecosystems, rockweed functions as the base of the food chain, supporting large populations of invertebrates including periwinkles. It also functions as a critical nursery habitat for many species of fish.

The history of Atlantic fishery emphasizes that managing for a single species alone has direct or indirect impacts on other species in the food web. Fishery removes not just the target species, but scores of other species at all trophic levels as discard, while destroying the benthic habitat. The problem grows more acute as the industry moves down the trophic levels to the primary producer level, as in the harvesting of rockweed. There is a slowly growing realization of the long-term effects of current management and harvesting activities—and a recognition that the long-prevailing model of maximum sustained yield for individual species must be replaced with a model of ecologically sustainable yield that considers the role of the harvested species in the broader context of the community and ecosystem. Such an approach will require stricter catch limits and a change in the timing and methods of fishing. Perhaps most important, the implementation of ecologically sustainable yield will require political, economic, and social changes that involve forgoing short-term profits for long-term sustainability.

## 28.12 Economics Are a Key Factor Governing Resource Management

Although a large portion of the human population produces its own food and forest products (such as fuel wood) through subsistence farming, fishing, and wood gathering, these essential human resources are now part of the global marketplace—produced for sale and profit. As such, economic considerations are central to the production and management of natural resources.

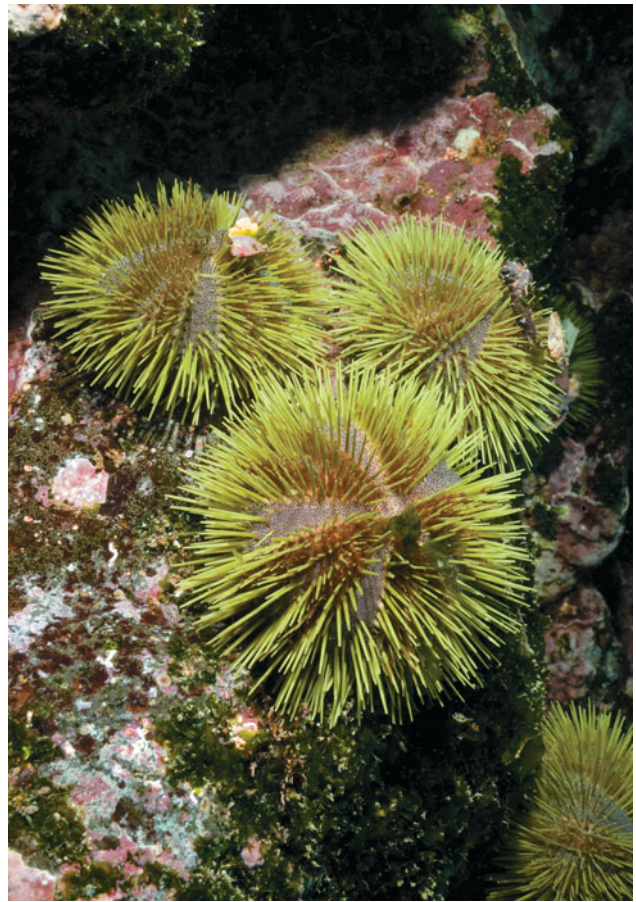
Benefit–cost analysis is a critical tool of economics used in making decisions regarding the production and management



(a)



(b)



(c)



(d)



(e)

**Figure 28.28** Major species involved in the North Atlantic harvest: (a) Atlantic cod, (b) spiny dogfish, (c) green sea urchin, (d) common periwinkle, and (e) rockweed, a dominant brown seaweed.

of natural resources. A **benefit–cost analysis** involves measuring, adding up, and comparing all the benefits and all the costs of a particular project or activity. For example, if it costs a farmer \$100 per hectare to produce corn this year, and the expected value of that corn is \$200 per hectare, the benefits (\$200) outweigh the costs (\$100), and the decision will most likely be to plant the corn. If the corn produced per hectare was worth only \$80, however, the costs outweigh the benefits, and the farmer is unlikely to plant the fields with corn and incur a

loss. In this example, the dollar value of costs and benefits can be compared directly because both costs (expenses) and benefits (revenue) occur during the same time period—the year the corn crop is planted and harvested. When costs and benefits are extended over a much longer period of time, it is necessary to use a procedure called discounting.

**Discounting** is a technique employed to add and compare costs and benefits that occur at different points in time. It is a major driving force in the economics of natural resource

management; unfortunately, discounting often runs counter to the objectives of sustainable resource management. The problem arises because in farming, forestry, and fisheries there are substantial initial costs in acquiring land, equipment, permits, and so forth. These costs must then be weighed against expected future earnings from the production and harvesting of natural resources (crops, trees, or fish). As we all know, a dollar earned in the future does not have the same value as a dollar in hand today. Inflation depreciates the value of future earnings. In addition, a dollar invested today is worth more in the future because of compounding interest. Therefore, in comparing present-day costs with expected future benefits (revenue), those benefits must be discounted to reflect the reduced value of future dollars. When this comparison is done for activities such as harvesting forests or fisheries, it often leads to the conclusion that it is economically more advantageous to “overexploit” the resource now and invest the resulting profits rather than to harvest the resource in a sustainable way over a much longer period.

In 1973, the economist Colin W. Clark made this point persuasively in the case of the blue whale, *Balaenoptera musculus*. More than 100 feet long and weighing upward of 150 tons at full maturity, the blue whale is the largest animal on either land or sea. It is also among the easiest to hunt and kill. More than 300,000 blue whales were harvested during the 20th century, with a peak harvest of more than 29,000 in the 1930–31 season. By the early 1970s, the population had plummeted to several hundred individuals. Although international negotiations were held to address the problem of overharvest and discuss potential regulatory policies, some countries were especially eager to continue the hunt even at the risk of total extinction. So Clark asked which practice would yield the whalers the most money: cease hunting and let the blue whales recover in numbers and then harvest them sustainably into the future, or kill the rest off as quickly as possible and invest the profits in the stock market? The troubling answer was that if the whalers could achieve an annual rate of return on their investments of 20 percent or more, it would be economically advantageous to harvest all of the blue whales at that time and invest their profits.

The problem with this purely economic approach to evaluation of natural resources is that the value of a blue whale is based

only on the measures relevant to the existing market; that is, on the going price per unit weight of whale oil and meat. It does not consider other services provided by the blue whale, such as ecotourism (whale watching), nor does it consider the value of blue whales to future generations. But perhaps most important, it views blue whales, trees, and even whole ecosystems as having no inherent value beyond those calculated in economic terms.

A second important economic concept essential to understanding the sustainable use of natural resources is that of externalities. **Externalities** occur when the actions of one individual (or group of individuals) affect another individual’s well-being, but the relevant costs (or benefits) are not reflected in market prices. For example, if a timber company clear-cuts an area of forest, it may well have adverse environmental consequences on adjacent areas. Erosion may transport silt to adjacent streams and rivers. Fertilizers and pesticides used as part of site preparation may likewise find their way to surface water and groundwater. The result may be reduced water quality, affecting drinking water, recreational value of the waterways, and aquatic plants and animals. Although the economic costs of these impacts can often be quantified, these costs are typically not borne by the timber company. These are externalities, or costs that are not reflected in the market prices. The timber company reaps the monetary benefit of the trees that have been harvested, but the environmental costs resulting from the tree harvest are passed on to the public. If these external costs were included in the analysis of costs associated with the timber harvest, the benefits (profits) may no longer outweigh the costs; and the clear-cut would not take place. Another option is to pass on these costs to the consumer to reflect the actual costs of the goods and services.

Recent decades have seen the emergence of a new discipline in the field of economics: **environmental economics**. Environmental economics is the study of environmental problems with the perspective and analytic tools of economics, such as those presented earlier. Incorporating economic principles into the environmental decision-making process is critical. Until the true value of natural resources and the costs of their extraction and use are understood and incorporated into economic decisions, the sustainable management and use of natural resources seems unlikely.

---

## Summary

### Sustainable Resource Use 28.1

In its simplest form, the constraint on sustainable resource use is one of supply and demand. For exploitation of the resource to be sustainable, the rate at which the resource is being used (consumption rate) must not exceed the rate at which the resource is being supplied (regeneration rate). Otherwise, the quantity of resources declines through time. If the resource is nonrenewable, then by definition its use is not sustainable, and the rate of resource decline is a function of the rate at which the resource is being harvested and used.

### Negative Impacts of Resource Extraction and Use 28.2

Sustainable resource use can also be constrained indirectly as a result of negative impacts arising from resource management, extraction, or use. Domestic, industrial, and agricultural waste disposal is a growing environmental issue with implications for ecosystems and human health. Wastes and by-products of production often contaminate the environment (air, water, and soil) with harmful substances—pollution.

### Natural Ecosystems 28.3

When we attempt to manage and harvest natural resources in a sustainable fashion, in many ways we are trying to mimic the function of natural ecosystems. Natural ecosystems function as sustainable units.

### Agriculture and Energy 28.4

No matter the crop planted or the method of cultivation used, agriculture involves replacing diverse natural ecosystems with a community consisting of a single crop species or a mixture of crops. A wide range of agricultural practices are carried out worldwide, but agricultural production falls into one of two broad categories: industrialized and traditional.

Industrialized agriculture depends on large inputs of energy in the form of fossil fuels, chemical fertilizers, irrigation systems, and pesticides. Although energy demanding, this form of agriculture produces large quantities of crops and livestock per unit of land area. Traditional agriculture is dominated by subsistence agriculture in which primarily human labor and draft animals are used to produce only enough crops or livestock for a family to survive.

### Swidden Agriculture 28.5

A traditional method of production in the wet tropics is swidden agriculture, a rotating cultivation technique in which trees are first cut down and burned to clear land for planting. Crops are planted, but production declines with each succeeding harvest. The plot is then abandoned, and the forest is allowed to reestablish itself. Eventually, the nutrient status of the site recovers, and it can again be used for crops.

### Industrialized Agriculture 28.6

In industrial agriculture, machines and fossil fuel energy replace the energy supplied by humans and draft animals in traditional agricultural systems. Mechanization requires large expanses of land for machines to operate effectively, and because different crops require specialized equipment for planting and harvest, farmers typically plant one or a few crop varieties season after season. Tilling and removal of organic matter during harvest has the effects of reducing the nutrient status of the soil and encouraging soil erosion. Large quantities of chemical fertilizers and pesticides must be used to maintain productivity.

### Trade-offs in Agricultural Production 28.7

The two very different agricultural systems—traditional (swidden) and industrialized—represent a trade-off between energy input in production and energy harvest in food resources. Industrial agriculture produces high yields per hectare at the expense of large inputs of energy in the form of fossil fuels, fertilizers, and pesticides. Each of these inputs produces serious environmental impacts. In contrast, yields are lower in traditional agricultural systems; but they are more energy efficient, yielding a greater amount of energy in crops per unit of energy input in crop production.

### Sustainable Agriculture 28.8

The term *sustainable agriculture* refers more to a notion of maintaining agriculture production while minimizing environmental

impacts than to a quantitative set of criteria. It involves the use of farming methods that conserve soil and water resources, reduce the use of pesticides, and use alternative (on-site) sources of fertilizers.

### Forestry 28.9

The goal of sustained yield in forestry is to achieve a balance between net growth and harvest. To achieve this end, foresters use an array of silvicultural and harvesting techniques, from clear-cutting to selection cutting. For a stand to be considered economically available for harvest, it must satisfy minimum thresholds for harvestable volume of timber per hectare and average tree size. When trees are harvested, enough time must pass for the forest stand to reach harvestable size again. For sustained yield, the time between harvests must be sufficient for the forest to regain the level of biomass it had reached for the previous harvest.

Tree harvesting, and the alterations to the site after harvesting, results in loss of nutrients from the site. To maintain productivity, it is often necessary to fertilize the site, which can lead to further nutrient loss from the site to adjacent aquatic ecosystems.

### Fisheries 28.10

The goal of long-term sustainable harvest has been a mainstay of fisheries science for the past 50 years. A central concept of sustainable harvest is the logistic model of population growth, in which the population growth rate is highest at intermediate population densities. The key realization of this model is that fisheries could optimize harvest of a particular species by keeping the population at an intermediate level and harvesting the species at a rate equal to the annual growth rate. This strategy was called the maximum sustainable yield. In practice, the concept of maximum sustainable yield is difficult to achieve because it requires a detailed understanding of the population structure and dynamics of the species being harvested.

### Ecosystem Approach 28.11

A problem with the concept of sustainable harvest is that traditional population management, especially by fisheries, considers stocks of individual species as single biological units rather than components of a larger ecological system. Each stock is managed to result in maximum economic return. The catch is dictated by economics and market demands that override considerations of maximum sustainable yield. As target species are depleted, the fisheries exploit lower trophic levels, in turn depleting those stocks. There is a growing realization that the long-term effects of current fishery management are such that the maximum sustained yield concept must be replaced with a model of ecological sustained yield that considers the harvested species within the broader context of community and ecosystem.

### Environmental Economics 28.12

Benefit–cost analysis is a critical tool of economics, used in making decisions regarding the production and management of natural resources. A benefit–cost analysis involves measuring, adding up, and comparing all the benefits and all the costs of a particular project or activity. When costs and benefits are



extended over a much longer time, it is necessary to use a procedure called discounting. In this procedure, the benefits derived in the future must be discounted to reflect the reduced value of future dollars. Discounting often leads to economic choices that run counter to sustainable yield.

Externalities occur when the actions of one individual (or group of individuals) affect another individual's well-being,

but the relevant costs (or benefits) are not reflected in market prices. Externalities are important in evaluating methods of sustainable resource extraction because actual costs of production (pollution, habitat degradation, and negative impacts on human health) are typically not built into the price structure, so the true cost of the activity or resource is not being considered.

---

## Study Questions

1. What relationship between supply rate and consumption rate must exist for the consumption of a resource to be sustainable?
2. Contrast renewable and nonrenewable resources in the context of sustainable resource use.
3. How might the sustainable use of resources be limited indirectly by adverse consequences from the management, extraction, and consumption of resources? Provide examples.
4. Contrast traditional and industrialized agricultural methods. What are the major inputs of energy (for production) in each?
5. Which agricultural method (industrial or traditional) produces the greatest yield of crops per unit area? Which produces the most crops per unit of energy input for production?
6. Name some methods or practices that can increase the sustainability of current industrialized agricultural production.
7. What is sustained yield? What is maximum sustainable yield? How do these two concepts differ?
8. Identify and discuss two sources of nutrient loss during forest harvest and management.
9. Why is it important to take an ecosystem approach to fisheries management rather than approaching the management and harvest of each species as a separate issue?
10. Why do economists discount future benefits? What is the effect of discounting on sustainable management of resources?
11. Why is air pollution caused by coal-fired power plants an externality?

---

## Further Readings

- Barrett, G. W., and A. Farina, eds. 2000. Special roundtable section on ecology and economics. *BioScience* 50:311–368. An excellent series of eight articles on integrating ecology and economics.
- Clover, C. 2006. *End of the line: How overfishing is changing the world and what we eat*. New York: New Press. All fisheries are experiencing the cod scenario, as this book points out. An excellent read on the global impacts of overfishing.
- Daily, G., ed. 1997. *Nature's services: Societal dependence on natural ecosystems*. Washington, DC: Island Press. In this excellent book, a group of eminent scientists explain in simple terms the critical role of natural ecosystems in meeting basic human needs.
- Farley, J., J. Erickson, and H. E. Daly. 2003. *Ecological economics*. Washington, DC: Island Press. Places traditional neoclassical economic concepts in the context of economic growth, environmental degradation, and social inequity.
- Gliessman, S. R., ed. 1990. *Agroecology: Researching the ecological basis for sustainable agriculture*. Ecological Studies Series no. 78. New York: Springer-Verlag. Provides examples of agricultural systems employed in different parts of the world, including tropical and temperate zones, and discusses the ecological issues associated with agricultural production.
- Jaeger, W. K. 2005. *Environmental economics for tree huggers and other skeptics*. Washington, DC: Island Press. Explains how to put economics to work for environmental causes.
- Jenkins, M. B., ed. 1998. *The business of sustainable forestry: Case studies*. Chicago: J. D. and K. T. MacArthur Foundation. This excellent volume integrates and analyzes a series of 21 case studies to provide a composite snapshot of the business of sustainable forestry; also presents management practices, techniques, and technologies.
- Kurlansky, M. 1997. *Cod: The biography of the fish that changed the world*. New York: Walker and Company. An outstanding book on the history of the cod, from abundance through scarcity through determined shortsightedness.
- McNeeley, J. A., and S. J. Sheerr. 2002. *Ecoagriculture*. Washington, DC: Island Press. Management of landscapes for production of food and conservation of wild diversity. Focuses mainly on tropical regions.
- Orians, G., ed. 1986. *Ecological knowledge and environmental problem solving*. Washington, DC: National Academy Press. An excellent introduction to the application of ecological science to addressing environmental problems; excellent case studies dealing with sustainable forestry and fisheries.
- Pesek, J., ed. 1989. *Alternative agriculture*. Washington, DC: National Academy Press. This volume includes 11 case studies describing in detail the practices and performance of alternative farming systems in the United States.

# Habitat Loss, Biodiversity, and Conservation

- 29.1** Habitat Destruction Is the Leading Cause of Current Species Extinctions
- 29.2** Human-Introduced Invasive Species Threaten Many Native Species
- 29.3** Species Differ in Their Susceptibility to Extinction
- 29.4** Identifying Threatened Species Is Critical to Conservation Efforts
- 29.5** Regions of High Species Diversity Are Crucial to Conservation Efforts
- 29.6** Protecting Populations Is the Key to Conservation Efforts
- 29.7** Reintroduction Is Necessary to Reestablish Populations of Some Species
- 29.8** Habitat Conservation Functions to Protect Whole Communities
- 29.9** Habitat Conservation Involves Establishing Protected Areas
- 29.10** Habitat Restoration Is Often Necessary in Conservation Efforts
- 29.11** Environmental Ethics Is at the Core of Conservation



Roadways, such as this one through the Mato Grosso region in Brazil, can function as both a barrier to dispersal and a source of mortality for many species.

Scientists believe that approximately 65 million years ago—at the end of the Cretaceous period, in the region of the Yucatán Peninsula—a large meteorite struck Earth’s surface, leaving a crater 180 km in diameter under the waters of the Caribbean. Evidence from deep-sea sediment cores reveals a remarkable record of the meteorite’s impact and the resulting debris. The debris, blasted high into the atmosphere, may have triggered a major decline in Earth’s temperature. Scientists now believe the assault by this massive asteroid or comet was largely responsible for the extinction of 70 percent of all species inhabiting Earth at that time, including the dinosaurs. In the period that followed, the various species that eventually dominated the oceans and land surface changed dramatically from the previous inhabitants.

Paleontologists refer to the loss of species at the end of the Cretaceous as a *mass extinction event*. It was not the only such event; Earth has undergone several mass extinctions, such as the one during the Permian (250 million years ago) when more than 50 percent of Earth’s species disappeared from the fossil record, including 96 percent of all marine species (see Section 27.2 and Figure 27.5). The fossil record teaches us that these mass extinction events change the course of evolution, inducing a dramatic shift in the types of organisms inhabiting the planet.

Earth is currently undergoing a mass extinction on par with previous events, with an estimated annual loss of species in the thousands. The current mass extinction event, however, is different from those of the past. The cause is not extraterrestrial, such as from a meteor or comet; nor is it terrestrial, such as a change in sea level or climate. The destruction is due to human activities.

In North America’s recent past, for example, hunting for food and other goods has led to the extinction of many mammal and bird species. Hunting has led to the extermination of marine mammals such as Stellar’s sea cow (extinct about 1767), the New England sea mink (about 1880), and the Caribbean monk seal (about 1952). At a global scale, overkill has been found to be the main cause in virtually all 46 modern extinctions of large terrestrial mammals. Among birds, about 15 percent of the 88 modern species extinctions and 83 subspecies extinctions have been attributed to overkill. Affected species include the great auk (1844) and the passenger pigeon (1914). In some instances, overkill has resulted from the often mistaken belief that a wild species was a threat to gardens or to domestic animals. Victims of this belief include the Carolina parakeet (Figure 29.1), the only native U.S. parakeet (1914).

When compared to current rates of species extinction, however, the number of species extinguished by hunting and overexploitation is relatively small. By far, the largest and greatest threat to Earth’s biological diversity is the alteration and destruction of habitat.

## 29.1 Habitat Destruction Is the Leading Cause of Current Species Extinctions

The primary cause of species extinctions is habitat destruction resulting from the expansion of human populations and activities. Historically, the largest cause of land transformation has



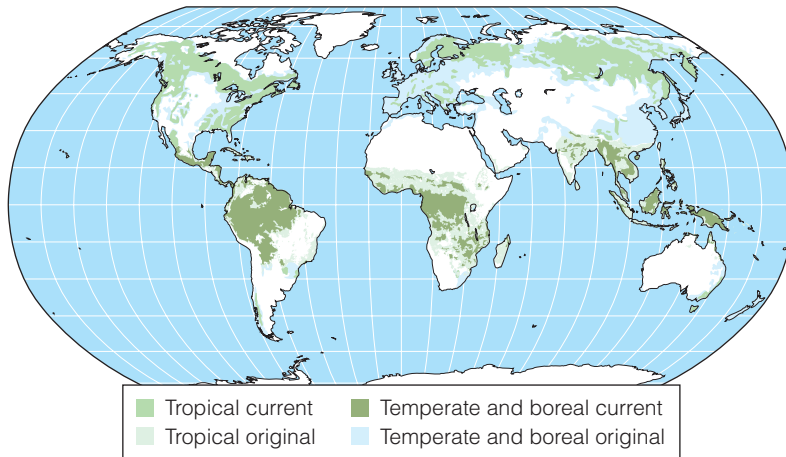
**Figure 29.1** The Carolina parakeet, the only native U.S. parakeet, went extinct in 1914 because of hunting, persecution, and habitat destruction.

been the expansion of agricultural lands to meet the needs of a growing human population (see Figure 28.1).

According to the United Nations Food and Agricultural Organization (Global Forest Resources Assessment 2000), the net loss in forest area at the global level during the 2000s was an estimated 52 million ha—an area equivalent to 1.3 percent of the world’s total forests (Figure 29.2). However, global statistics tend to obscure significant changes in forest cover among regions and countries. Net deforestation rates were highest in Africa and South America. The annual changes in forest cover for the period of 2000–2010 by region are given in Table 29.1.

Because of their disproportionately high species diversity and the pressures of growing populations and economic development, the world’s tropical regions have been the primary focus of attention (see Section 27.3). The destruction of tropical rain forest has become almost synonymous with declining biodiversity. On a global scale, as much as 140,000 km<sup>2</sup> of tropical forest are being lost each year. In the Amazon region of Brazil, the rate of forest clearing during the 1980s exceeded 1 percent per year. Within this region alone, the extent of forest has decreased from over 4 million km<sup>2</sup> in 1970 to estimates of just over 3.3 million km<sup>2</sup> in 2008, a reduction of almost 20 percent. Forest clearing in Madagascar has resulted in the removal of more than 90 percent of the original forest cover

Global distribution of original and remaining forests



**Figure 29.2** Globally, about half of the forest that was present under modern (post-Pleistocene) climatic conditions, and before the spread of human influence, has disappeared—largely because of human activities. (Adapted from United Nations, FAO.)

(Figure 29.3). Likewise, 95 percent of the rain forest cover in western Ecuador (west of the Andes Mountains) has been destroyed since 1960.

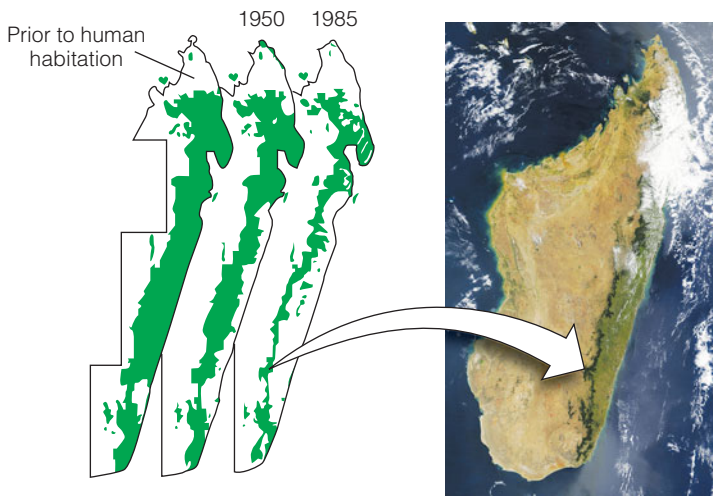
From a conservation perspective, quantity of forests alone inadequately indicates the status of a forest ecosystem, because much of the world's forests are highly fragmented and face continued pressure from human activities. Although deforestation is widely recognized as a major conservation challenge, the related issue of habitat fragmentation has received less attention. As human pressures increase in both temperate and tropical forests, areas that were once continuously forested have become more fragmented (Figure 29.4; also see Chapter 20).

The changes in land use that bring about this destruction and large-scale extinction are not limited to the wet tropical regions. The clearing of tropical dry forest for crop production and cattle grazing has all but eliminated this ecosystem from large areas of Central and South America, India, and Africa (see Section 24.3). The current distribution of dry forest cover in the Pacific coastal region of Central America is less than 2 percent of its original extent.

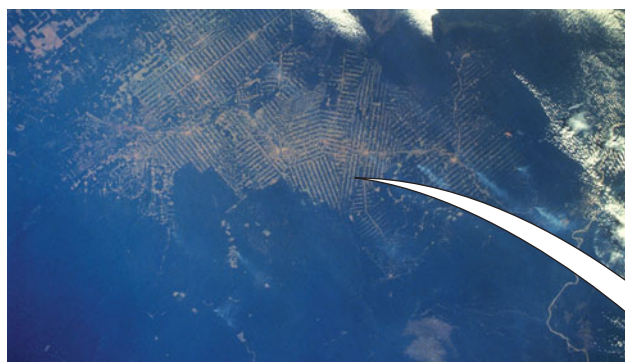
Temperate grasslands are another type of ecosystem that has been heavily affected by agricultural activity. Once covering about 42 percent of Earth's land surface, natural grasslands have shrunk to less than 12 percent of their original size because

**Table 29.1** Forest Cover and Annual Change for the Period 2000–2010 by Region and Subregion

Region/Subregion	Forest Area (2010)		Annual Change (2000–2010)	
	1000 ha	% total land area	1000 ha/yr	%
East and Southern Africa	267,517	27	–1,839	–0.66
North Africa	78,814	8	–41	–0.05
Western and Central Africa	328,088	32	–1,535	–0.46
<b>Total Africa</b>	<b>674,419</b>	<b>23</b>	<b>–3,414</b>	<b>–0.49</b>
East Asia	254,626	22	2,781	1.16
South and Southeast Asia	294,373	35	–677	–0.23
Western and Central Asia	43,513	4	131	0.31
<b>Total Asia</b>	<b>592,512</b>	<b>19</b>	<b>2,235</b>	<b>0.39</b>
Russia	809,090	49	–18	ns
Europe (except Russia)	195,911	34	694	0.36
<b>Total Europe</b>	<b>1,005,001</b>	<b>45</b>	<b>676</b>	<b>0.07</b>
Caribbean	6,933	30	50	0.75
Central America	19,499	38	–248	–1.19
North America	678,961	33	188	0.03
<b>Total North and Central America</b>	<b>705,393</b>	<b>33</b>	<b>–10</b>	<b>ns</b>
<b>Total Oceania</b>	<b>191,384</b>	<b>23</b>	<b>–700</b>	<b>–0.36</b>
<b>Total South America</b>	<b>864,351</b>	<b>49</b>	<b>–3,997</b>	<b>–0.45</b>
<b>WORLD</b>	<b>4,033,060</b>	<b>31</b>	<b>–5,211</b>	<b>–0.13</b>



**Figure 29.3** The series of maps represent the decline of rain forest in eastern Madagascar from the arrival of humans to the present. The photograph of Madagascar was taken from the space shuttle. The dark areas shown on the inland regions of the east coast are existing rain forest, which once extended to the coast.

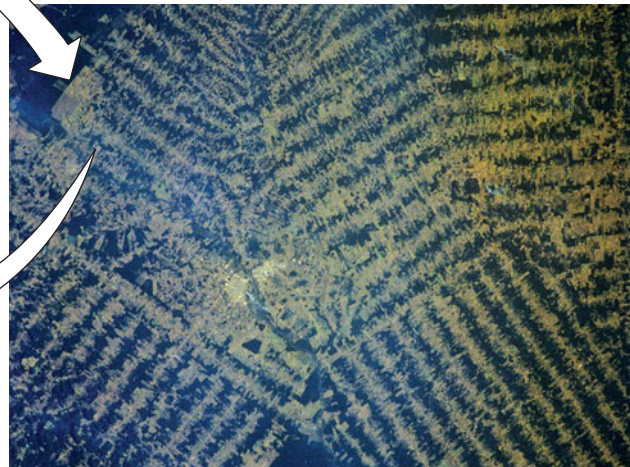


(a)



(c)

**Figure 29.4** Forest clearing in the Rondonia region of Brazil in the Amazon basin as viewed at several spatial scales. (a) The broad-scale view shows a pattern of linear clearings (light-colored areas) within the background of forest cover (dark green). (b) The linear pattern of clearing is associated with the development of roads for access to the area. (c) The forest is then cleared for the development of agricultural lands (primarily pasture).



(b)

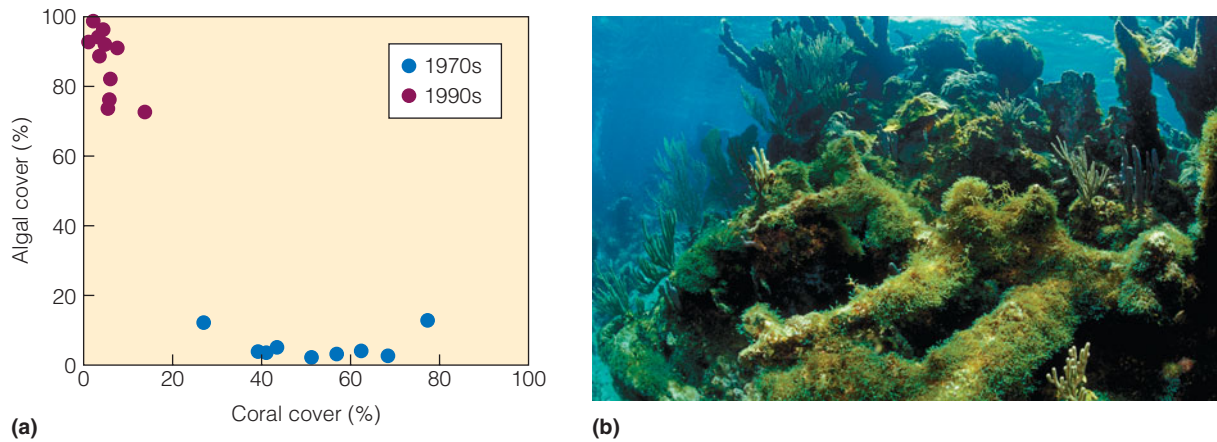
of conversion to cropland and grazing lands. In North America, remaining areas of prairie have been heavily fragmented and widely scattered across the landscape, effectively becoming islands of native grassland within a sea of agricultural land.

Aquatic ecosystems have fared no better. Pollution of our inland waterways, dredging and filling of coastal wetlands, and destruction of coral reefs by pollution and siltation are having an effect similar to forest clearing on Earth's freshwater and coastal environments. Agricultural fertilizers, detergents, sewage, and industrial wastes add large amounts of nitrogen and phosphorus to aquatic ecosystems, resulting in cultural eutrophication (see Section 28.7). Although typically associated with lakes and ponds (enclosed bodies of freshwater), eutrophication is

affecting marine coastal ecosystems as well. The Caribbean and Mediterranean seas are two examples of marine environments facing severe problems resulting from anthropogenic inputs of nutrients from areas of coastal development (Figure 29.5).

## 29.2 Human-Introduced Invasive Species Threaten Many Native Species

Intentionally or unintentionally, humans have functioned as agents of dispersal for countless species of plants and animals, transporting them outside their natural geographic ranges (see Chapter 8,



**Figure 29.5** (a) In the 1970s, coral dominated Jamaica's reef ecosystems; 20 years later, algae has taken over these same areas. The shift is due to water pollution and the overharvesting of algae-eating fish. (b) Algae growing on soft corals. (Adapted from Hughes 1994; as in Primack 1998.)

Ecological Issues: Human-Assisted Dispersal). Although many species fail to survive in their new environments, others flourish. Freed from the constraints of their native competitors, predators, and parasites, they successfully establish themselves and spread. These nonnative plants and animals are referred to as exotic, alien, invasive, or nonindigenous species. There are approximately 50,000 nonindigenous species in the United States, and they cause major environmental damage and losses totaling about \$150 billion annually.

Animal invaders often cause the extinction of vulnerable native species through predation, grazing, competition, and habitat alteration. Island species suffer the most. In Hawaii, for example, during the past 200 years, 263 native species disappeared; 300 are listed as endangered or threatened; and 1400 life-forms are in trouble or extinct. Among the island's 111 birds, 51 are extinct and 40 are endangered. On the Pacific island of Guam, the brown tree snake (*Boiga irregularis*), a native of New Guinea,

accidentally reached the island around 1950, probably aboard military equipment transported there for dismantling. The snake has eliminated 9 of 12 native bird species, 6 of 12 native lizards, and 2 of the 3 native fruit bats.

Plant invaders, many introduced as horticultural plants, out-compete native species and alter fire regimes, nutrient cycling, energy budgets, and hydrology. In the United States, some 5000 nonindigenous plant species exist in natural ecosystems that support over 17,000 native species. Introduction of exotics is the cause behind 95 percent of plant species loss and endangerment in Hawaii. Among the 1126 native flowering plants of Hawaii, 93 are extinct and 40 are endangered. On the North American continent, the ornamental perennial herb purple loosestrife (*Lythrum salicaria*; Figure 29.6a), originally introduced from Europe in the mid-1800s, has eliminated native wetland plants to the detriment of wetland wildlife. The Australian paperbark tree (*Melaleuca quinquenervia*; Figure 29.6b), introduced as an



(a)



(b)

**Figure 29.6** Two invasive plant species that have significant negative impacts on native communities in North America: (a) the perennial herb purple loosestrife, and (b) Australian paperbark tree.



**Figure 29.7** Cheatgrass (*Bromus tectorum*) grassland, converted from a sagebrush-dominated community by fire, in Grant County, Oregon. Cheatgrass, a nonindigenous invasive species, now covers thousands of acres of rangeland in the western United States.

ornamental plant in Florida, is displacing cypress, sawgrass, and other native species in the Florida Everglades, drawing down water and fostering more frequent or intense fires. The most notorious plant invader in the United States is cheatgrass (*Bromus tectorum*; Figure 29.7), a winter annual accidentally introduced from Europe into Colorado in the 1800s. It arrived in the form of packing material and possibly crop seeds. It spread explosively across overgrazed rangeland and winter wheat fields in the Pacific Northwest and the Intermountain Region. By 1930 it became the dominant grass, replacing native vegetation. Susceptible to fire, its growth burns rapidly. Cheatgrass is a primary source of fire throughout the western United States.

The problem of invasive species is not restricted to terrestrial environments. Over 139 nonindigenous aquatic species that affect native plant and animal species have invaded the Great Lakes by the way of global shipping. Most notorious is the zebra mussel (*Dreissena polymorpha*; Figure 29.8), introduced from



**Figure 29.8** Zebra mussels, so called because of the striping on the shell, cover solid substrate, water intake pipes, and the shells of native mussels.

the ballast of ships traversing the St. Lawrence Seaway. Since it first appeared in 1998, the zebra mussel has spread to most eastern river systems. The San Francisco Bay Area is occupied by 96 nonnative invertebrates, from sponges to crustaceans. Exotic fish, introduced purposefully or accidentally, have been responsible for 68 percent of fish extinctions in North America during the past 100 years and for the decline of 70 percent of the fish species listed as endangered.

By impacting a single prey or host species, an invasive organism can change the structure and function of an entire ecosystem. Consider the chestnut blight (*Cryphonectria parasitica*), a pathogenic fungus accidentally introduced from China around 1900 in imported Asian chestnut trees. Within 40 years, chestnut blight caused mass extinction of over 40 billion chestnut (*Castanea dentata*) trees throughout its historic range from southern Ontario to Mississippi, and from the Atlantic Coast to the Appalachian Mountains and the Ohio Valley. In the Appalachian region, one in four hardwood trees were chestnut, some reaching 200 feet tall and 14 feet in diameter. As a result of the chestnut blight, the oak–chestnut forests have changed to oak forests. The native chestnut species still survives, but as short-lived root sprouts that may reach 20 feet in height before succumbing to blight.

Dutch elm disease (*Ophiostoma novi-ulmi*) has decimated the American elm (*Ulmus americana*) in North America and the English elm (*Ulmus procerta*) in Europe, especially Great Britain. The disease is so named because it was first discovered in Europe in the Netherlands in 1921. The disease, caused by an ascomycete microfungi, is spread in America by the native elm bark beetle, *Hylurgopinus rufipes*, and in Europe by bark beetle *Scolytus mutistriatus*. The disease came to America in a shipment of elm logs from the Netherlands. A more virulent form invaded England in 1967 in a shipment of rock elm (*Ulmus thomasi*) logs from United States, where it killed more than 25 million elms. Like the chestnut, the native elm species survive only in a juvenile state by sending out short-lived root suckers.

Two species of woolly adelgids that get their name from their woolly white appearance, feed on the phloem sap of tender shoots of their host plant. They are having a devastating effect on several North American forest ecosystems. The balsam woolly adelgid (*Adelges piceae*), an invasive species from Europe that feeds on highly resistant silver fir (*Abies alba*), was discovered in a northern Maine boreal forest in 1902. In 1957, the species was found in the high elevation spruce-fir forests of the southern Appalachians, especially in the Great Smoky Mountains of North Carolina and Tennessee. It has destroyed 99 percent of the Fraser firs (*Abies fraseri*) creating “ghost forests” of standing dead trees, adversely affecting the structure and function of 75 percent of spruce-fir ecosystems. This adelgid is now affecting firs in the Pacific Northwest. The second species, hemlock woolly adelgid (*Adelges tsugae*), a native to Asia, was accidentally introduced to the United States in the 1920s in the Pacific Northwest. In the early 1950s, it was found in the Washington, DC, and Richmond, Virginia, area and in 1967 it appeared in Pennsylvania. Spread throughout eastern United States via wind, birds, mammals and human activity, it is causing extreme damage to natural stands of eastern (*Tsuga canadensis*) and

Carolina (*T. caroliniana*) hemlocks. Spreading rapidly, its destruction extends from Georgia to New Hampshire. With few options to control the pest, the adelgid could kill most of the hemlocks, a vital component of northeastern forest ecosystems, within a decade.

More devastating may be the emerald ash borer (*Agulus planipennes*). A native of Asia, it arrived in the United States via wood packing materials used to ship consumer goods and auto parts. This invasive species first appeared in southeastern Michigan in 2002. Since then it has spread to 14 states from Iowa to West Virginia. The borer is highly destructive to ash trees (*Fraxinus spp.*). Unlike the chestnut blight, Dutch elm disease, and the adelgids that attack a single species within a genus, the emerald ash borer threatens the entire North American *Fraxinus* genus including green (*F. pennsylvanica*), black (*F. nigra*), and white (*F. americana*) ashes, numbering some 75 billion trees. Their loss would have immense ecological and economic impact far exceeding the loss of the chestnut. Nearly 114 million board feet of ash saw timber valued at \$25.1 billion is grown in the eastern United States annually. Ash is the critical wood used in baseball bats, tool handles, and acoustic guitars. Unfortunately, there is no known treatment for the emerald ash borer infestation.

### 29.3 Species Differ in Their Susceptibility to Extinction

Not all species are equally susceptible to extinction from human activities. The susceptibility of species to extinction is related to various life history characteristics that influence the species' vulnerability to human activities as well as natural catastrophes.

A species with a geographically widespread distribution is referred to as **ubiquitous**, whereas a species found to occur naturally in a single geographic area and no place else is said to be **endemic** to that location. Endemic species are particularly susceptible to extinction because a loss of habitat in the one geographic region will result in a complete loss of habitat for the species.

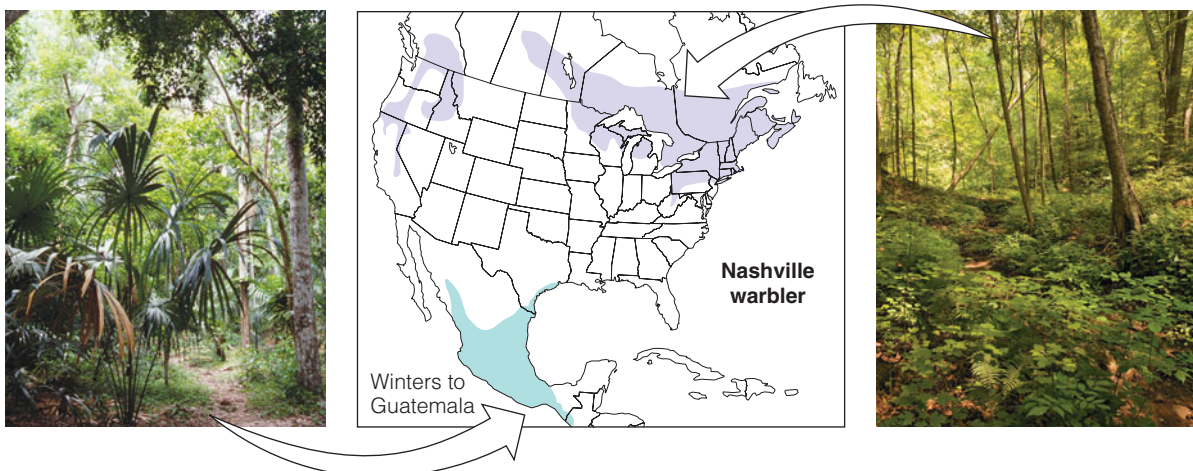
Similarly, species with one or few local populations (small metapopulations) may become extinct because of chance factors, such as fire, flood, disease outbreak, or human activity (habitat destruction). Species with many local populations are less vulnerable to these events. This concept is central to metapopulation dynamics (discussed in Chapter 12).

Species that migrate seasonally depend on two or more distinct habitat types in different geographic regions. If either of these habitats is destroyed, the species will not be able to persist (see Section 8.7). The more than 120 species of neotropical birds that migrate each year between the temperate zone of eastern North America and the tropics of Central and South America (and the islands of the Caribbean) depend on suitable habitat in both locations (Figure 29.9) as well as stop-over habitat in between. In addition to destroying habitats, barriers to migration can restrict a species from carrying out its life cycle. Dams along rivers in the Pacific Northwest of North America function as barriers to salmon populations attempting to swim upriver to spawn.

Some species have very specialized habitat requirements, making them extremely susceptible to habitat alterations. Often, these habitats are scattered and rare across the landscape or region. For example, the shale-barren evening primrose (Figure 29.10) is a member of the evening primrose family (*Onagraceae*). This species is adapted to hot, shale-barren environments that form when certain types of shale form outcrops on south- to southwest-facing slopes of the Allegheny Mountains. Most members of this group are listed as endangered or threatened because they are found in these specific habitats only from southern Pennsylvania through West Virginia to southern Virginia, where shale barrens are formed.

Species requiring a large home range are often endangered due to habitat fragmentation. Although the habitat they require may be abundant, fragmentation may restrict the availability of contiguous patches that are large enough to support breeding populations.

Species that are hunted or come into conflict with human needs and activities are also vulnerable to extinction. Although hunting and collecting of species for human



**Figure 29.9** Range map of the Nashville warbler (*Vermivora ruficapilla*). The spring and summer breeding range of the species is colored purple, and the winter habitat is blue.





**Figure 29.10** The shale-barren evening primrose, an endemic species found only on shale outcrops on south-facing slopes of the Allegheny Mountains in the Eastern United States.

consumption has led to the endangerment and extinction of a variety of species (see the chapter introduction and Figure 29.1), others have been eradicated because they either threaten human activities or are a direct threat to human lives. A prime example is species of large carnivores. In North America, the wolf, grizzly bear, and mountain lion were hunted to near extinction because they posed a threat to livestock and human life. Current plans for reintroducing these species into areas of their former range are meeting resistance from the public (see Ecological Issues: Wolf Reintroduction, Restoration, and Management).

## 29.4 Identifying Threatened Species Is Critical to Conservation Efforts

To define the status of rare and endangered species for the purpose of conservation, the International Union for the Conservation of Nature (IUCN) developed a quantitative classification based on the probability of extinction. The system has three levels:

1. *Critically endangered species* have a 50 percent or greater probability of extinction within 10 years or 3 generations, whichever is longer.
2. *Endangered species* have a 20 percent probability of extinction within 20 years or 5 generations.
3. *Vulnerable species* have a 10 percent or greater probability of extinction within 100 years.

Assigning a species to one of these categories requires having at least one of the following types of information:

- Observable decline in numbers of individuals
- The geographic area occupied by a species and the number of populations
- The total number of individuals alive and the number of breeding individuals

- The expected decline in the numbers of individuals if current and projected trends in population decline or habitat destruction continue
- The probability of the species going extinct in a certain number of years or generations

Despite the limitation of any such classification system, the advantage of the IUCN system is that it provides a standard, quantitative method of classification that the scientific community can use in reviewing and evaluating decisions. In addition, assigning categories based on habitat loss is particularly useful for species whose life history is largely unknown.

The World Conservation Union of the IUCN, through its Species Survival Commission (SSC), has for four decades been assessing the conservation status of species on a global scale. The SSC highlights those species and taxonomic groups that are threatened with extinction and promotes their conservation. The IUCN Red List of Threatened Species provides conservation status and distribution information on species that have been evaluated using the IUCN classification as just described. Table 29.2 presents the number of threatened species worldwide by major taxonomic group.

## 29.5 Regions of High Species Diversity Are Crucial to Conservation Efforts

There are more than 1.4 million known and described species on Earth. Many scientists, such as Harvard biologist E. O. Wilson, believe that the actual number of species may be closer to 10 times that number. Earth's biological diversity is not distributed evenly over the land surface and oceans of the planet (see Section 27.3). There is a distinct gradient of increasing species richness from the poles to the equator for both terrestrial and marine species. For example, although tropical rain forests cover only 7 percent of the land surface, more than one-half of all known plant and animal species inhabit these ecosystems. In addition to the distinct latitudinal gradient in diversity, regions characterized by topographic variation (such as ridges and valleys) support high numbers of species relative to flatter areas within the same geographic region (see Section 27.3). This pattern is most likely related to the diversity of habitats available in these areas.

Complicating the interpretation of broad-scale patterns of diversity is the fact that most of Earth's species are endemic—they have small, restricted geographic ranges. Out of the world's 10,000 or so bird species, more than 2500 are endemic, being restricted to a range smaller than 50,000 km<sup>2</sup>. Species of flora endemic to a single country represent 46–62 percent of the world flora. Of the thousands of new species being identified each year, virtually all of them are endemic to very restricted regions within the tropics. It is the restricted distribution of these species that makes them extremely vulnerable to human activities that degrade or destroy their habitats. Of the species classified as threatened by the IUCN (see Table 29.2), 91 percent are endemic.

As with general patterns of species richness, endemic species are not distributed evenly over Earth's surface or even

**Table 29.2** Status of Threatened Is Based on IUCN Classification of Threatened and Endangered Species

	Number of described species	Number of species evaluated in 2010	Number of threatened species in 2002	Number of threatened species in 2006	Number of threatened species in 2010	Number threatened in 2010, as % of species described	Number threatened in 2010, as % of species evaluated
<i>Vertebrates</i>							
Mammals	5,491	5,491	1,137	1,093	1,131	21%	21%
Birds	10,027	10,027	1,192	1,206	1,240	12%	12%
Reptiles	9,205	2,806	293	341	594	6%	21%
Amphibians	6,638	6,296	157	1,811	1,898	29%	30%
Fishes	31,800	8,848	742	1,171	1,851	6%	21%
<b>Subtotal</b>	<b>63,161</b>	<b>33,468</b>	<b>3,521</b>	<b>5,622</b>	<b>6,714</b>	<b>11%</b>	<b>20%</b>
<i>Invertebrates</i>							
Insects	1,000,000	3,269	557	623	733	0.1%	22%
Mollusks	85,000	3,149	939	975	1,288	2%	41%
Crustaceans	47,000	2,152	409	459	596	1%	28%
Corals	2,175	856	1	1	235	11%	27%
Arachnids	102,248	33	11	11	19	0.02%	58%
Velvet worms	165	11	6	9	9	5%	82%
Horseshoe crabs	4	4	0	0	0	0%	0%
Others	68,658	52	9	24	24	0.03%	46%
<b>Subtotal</b>	<b>1,305,250</b>	<b>9,526</b>	<b>1,932</b>	<b>2,102</b>	<b>2,904</b>	<b>0%</b>	<b>30%</b>
<i>Plants</i>							
Mosses	16,236	101	80	80	80	0%	79%
Ferns and allies	12,000	243	—	139	148	1%	61%
Gymnosperms	1,052	926	142	306	371	35%	40%
Flowering plants	268,000	11,584	5,492	7,865	8,116	3%	70%
Green algae	4,242	2	—	—	0	0%	0%
Red algae	6,144	58	—	—	9	0.1%	16%
<b>Subtotal</b>	<b>307,674</b>	<b>12,914</b>	<b>5,714</b>	<b>8,390</b>	<b>8,724</b>	<b>3%</b>	<b>68%</b>
<i>Fungi &amp; protists</i>							
Lichens	17,000	2	—	2	2	0.01%	100%
Mushrooms	31,496	1	—	1	1	0.003%	100%
Brown Algae	3,127	15	—	—	6	0.2%	40%
<b>Subtotal</b>	<b>51,623</b>	<b>18</b>	<b>—</b>	<b>3</b>	<b>9</b>	<b>0%</b>	<b>50%</b>
<b>TOTAL</b>	<b>1,727,708</b>	<b>55,926</b>	<b>11,167</b>	<b>16,117</b>	<b>18,351</b>	<b>1%</b>	<b>33%</b>

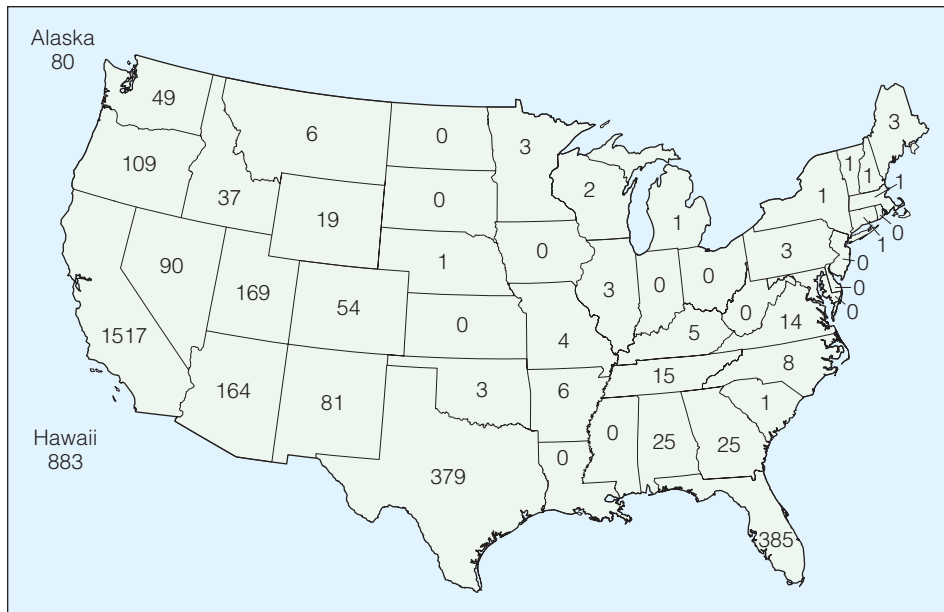
Source: IUCN.

within a geographic region (Figure 29.11). Certain regions of the world exhibit both high species richness and endemism. British ecologist Norman Myers defined these regions of unusually high diversity as **hotspots**. Myers developed the concept of biodiversity hotspots in 1988 to address the dilemma that conservationists face: What areas are the most important for preserving species?

The designation of a region as a hotspot of biological diversity is based on two factors: overall diversity of the region and significance of impact from human activities. Plant diversity is the biological basis for hotspot designation; to qualify as a hotspot,

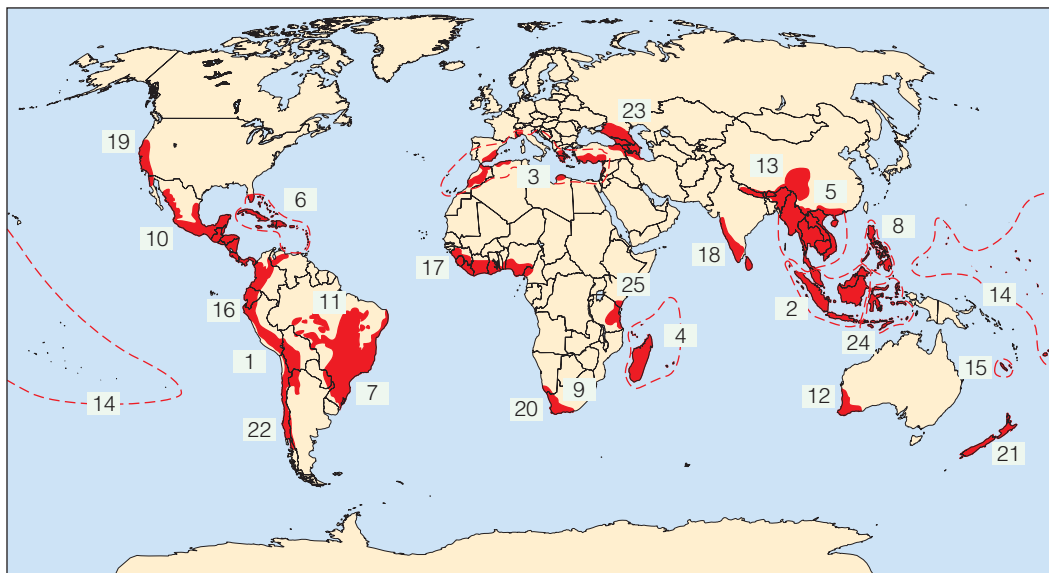
a region must support 1500 or more endemic plant species (0.5 percent of the global total), and the region must have lost more than 70 percent of its original habitat. Plants have been used as qualifiers because they are easy to identify and census as well as providing the basis of diversity in other taxonomic groups.

The 25 biodiversity regions of Earth that have been designated as hotspots by the IUCN (Figure 29.12) contain 44 percent of all plant species and 35 percent of all terrestrial vertebrate species in only 1.4 percent of the planet's land area. Several hotspots are tropical island archipelagos, such as the Caribbean and the Philippines, or relatively large islands, such as New Caledonia.



**Figure 29.11** The number of plant species endemic to the different states of the United States.

(Adapted from Gentry 1986.)



**Figure 29.12** Twenty-five areas of the world that the IUCN has designated as biodiversity hotspots. 1, Tropical Andes; 2, Sundaland; 3, Mediterranean Basin; 4, Madagascar and Indian Ocean Islands; 5, Indo-Burma; 6, Caribbean; 7, Atlantic Forest Region; 8, Philippines; 9, Cape Floristic Province; 10, Mesoamerica; 11, Brazilian Cerrado; 12, Southwest Australia; 13, Mountains of south-central China; 14, Polynesia/Micronesia; 15, New Caledonia; 16, Chocó-Darién of Western Ecuador; 17, Guinean forests of West Africa; 18, Western Ghats and Sri Lanka; 19, California Floristic Province; 20, Succulent Karoo; 21, New Zealand; 22, Central Chile; 23, Caucasus; 24, Wallacea; 25, Eastern Arc Mountains and coastal forests of Tanzania and Kenya.

(Adapted from Myers et al. 2000.)

However, other hotspots are continental islands. They are effectively isolated, being surrounded by deserts, mountain ranges, and seas. The Cape Floristic Province in South Africa is isolated by the aridity of the Kalahari, Karoo, and Namib deserts. Other landlocked islands are high mountains and mountain ridges. For communities inhabiting mountain ridges in the Tropical Andes

(South America) and the Caucasus (central Asia), the lowlands are insurmountable barriers to dispersal.

By far the largest proportion of the 121,000 potentially threatened species in the tropical regions are endemic to countries within the 25 designated biodiversity hotspots. In these areas, high diversity and massive habitat loss coincide.

## ECOLOGICAL ISSUES Wolf Reintroduction, Restoration, and Management

For centuries vilified as an archenemy, the wolf has been persecuted into extinction over much of North America and Europe, but has existed tenuously with humans in isolated, scattered pockets of its former range. Recently, however, humans on both continents have developed a growing tolerance of wolves. In response, governments and nongovernmental groups have promoted their retention, recovery, and reintroduction. In 1994, a more positive societal attitude allowed the reintroduction of wolves in Yellowstone, as well as the protection of relict populations of wolves in the Great Lakes region and Northern Rocky Mountains.

When the Yellowstone National Park was established in 1872, the gray wolf (*Canis lupus*; Figure 1) was a key component of the Yellowstone ecosystem. With the mountain lion and grizzly bear, it functioned as one of three top predators in the ecosystem. By the early 1900s, however, predator control was being practiced in the park. By the 1940s, reports of wolf sightings within the park were rare; by the 1970s, park scientists found no evidence of wolves in the park.

As early as 1987, as part of the larger Northern Rocky Mountain Wolf Recovery Plan, the U.S. Fish & Wildlife Service had proposed reintroducing an “experimental population” of wolves into Yellowstone and surrounding government lands. In October 1991, when Congress funded the U.S. Fish & Wildlife Service in preparing a required environmental impact assessment on restoring wolves to Yellowstone, opposition was immediately voiced. Ranchers argued that the wolves would pose a threat to livestock on adjacent private and public land (the latter being lands leased for grazing). Hunters objected to the idea because wolves would reduce the region’s populations of deer, elk, and moose.

Finally, after several years and a near-record number of public comments, the secretary of interior signed the order allowing for the reintroduction of gray wolves to Yellowstone. In 1995, 14 wolves were released into Yellowstone National Park. In 1996, 17 more wolves were brought from Canada and released. The goal was to have 30 breeding pairs. If the wolves reached and maintained this population level and management plans were



**Figure 1** Gray wolves were reintroduced to Yellowstone National Park in 1995.

approved, the wolves would finally be reclassified under the Endangered Species Act. In 2001, the species reached the minimum population size of 30 breeding pairs in the three recovery areas of Yellowstone, central Idaho, and northwest Montana; the population size required for being declassified as endangered had been met. The increased population of wolves was reflected in a number of changes that occurred in the Yellowstone ecosystem. The elk population dropped by 50 percent. Aspen, willow, and other riparian vegetation recovered, beavers returned, and the coyote population sharply declined.

By 2007, the population had reached 1294 wolves and 86 breeding pairs. In 2009, the federal government reached an agreement with Idaho and Montana, but not with Wyoming, to delist the wolf as a threatened species and cede most wolf management to those two states. The U.S. Fish & Wildlife Service, however, would retain management in Wyoming because of the failure to bring the population up to the required level. By 2010, the wolf population in the Northern Rocky Mountain Recovery Area increased to about 1650 in 244 packs and 111 breeding pairs. However, a U.S. District Court in 2010, responding to appeal by wildlife groups, relisted the wolf as endangered in Idaho and Montana, because the wolves consisted of a single population, and under the federal law its status of protection could not be different for each state. However, under strong political pressure, Congress in April 2011 took an unprecedented action to circumvent the Endangered Species Act by attaching a rider to a budget bill that delisted the wolf as an endangered species in the Northern Rocky Mountains. Days afterward, the Idaho governor signed a bill declaring the gray wolf a “disaster emergency” giving the state authority to bring law enforcement to bear against any wolf threats. Given the strong anti-wolf attitudes in the three states, such moves threaten to undo wolf recovery in the Northern Rocky Mountains.

In contrast to continental United States, wolves never were exterminated in Europe and are distributed across the entire continent living in diverse habitats. The estimated 15,000 wolves (excluding an additional 5000 in western Russia) exist in 10 large and two smaller populations. The largest populations are in Spain, Romania, and Bulgaria. Wolves receive official protection through the Bern Convention of European Wildlife and Natural Habitats and must be managed on a national scale. Management is somewhat complicated, however, because within each country it is divided between national and provincial jurisdictions.

Mirroring the recovery efforts in the Northern Rocky Mountains is Sweden’s program that supports a small but growing population established by wolf immigrants from Russia that has been augmented by limited introduction of new wolves. After banning wolf hunting for 46 years, Sweden reintroduced hunting in January 2011 to remove 20 individuals to reduce the population of 210 individuals, a move not compatible with EU law. As a result, Sweden is being taken to court for deliberately breaching EU environmental law.

It is evident that the return of the wolf is laden with human values, attitudes, and beliefs. In the end, societal values will determine the fate of the wolves in both North America and Europe.

1. What impact might the legislative delisting of the wolf have on the fate of other listed threatened species?

## 29.6 Protecting Populations Is the Key to Conservation Efforts

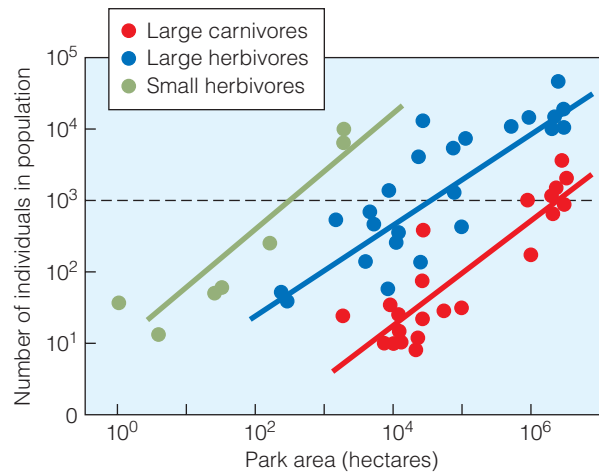
Because endangered species consist of a few—or even a single—local populations protecting populations is the key to preserving these species (see Chapter 12). Often, these populations are restricted to protected areas (nature reserves, etc.), and an adequate conservation plan requires the preservation of as many individuals as possible within the greatest possible area. But with limited land and resources, conservation ecologists must address the question: What sizes of populations are needed to save the species?

The number of individuals needed to ensure that a species persists in a viable state must be large enough to cope with chance variations in demographic processes (births and deaths), environmental changes, genetic drift, and catastrophes (see Chapters 5 and 9). Conservation ecologist M. L. Shaffer defined the number of individuals necessary to ensure the long-term survival of a species as the **minimum viable population (MVP)**. Shaffer defined the MVP for any given population in any given habitat as the “smallest isolated population having a 99 percent chance of remaining extant for 1000 years despite the foreseeable effects of demographic and environmental stochasticity, and natural catastrophes.” Although Shaffer realized the tentative nature of this definition, the key point is that MVP size allows a quantitative assessment of how large a population must be to assure long-term survival.

Genetic models suggest that for vertebrate species, populations with an effective population size of 100 or less and an actual size of less than 1000 are highly vulnerable to extinction. For species exhibiting extreme variation in population size, such as invertebrates and annual plants, it has been suggested that minimum viable populations of 10,000 individuals would be required.

In fact, the actual MVP for a species depends on the life history of the species (longevity, mating system, etc.) and the ability of individuals to disperse among habitat patches. Despite the difficulty in quantifying MVP for a given species, the concept is of paramount importance in conserving species and maintaining biological diversity.

Once a minimum viable population size has been established for a species, the area required to support that population must be considered. The area of suitable habitat necessary for maintaining the minimum viable population is called the **minimum dynamic area (MDA)**. An estimation of MDA for a species begins with an understanding of the home-range size of the individuals, family groups, or colonies. Recall that the area (home range) requirement of an individual of a species increases with body size. In addition, for a given body size, the home-range requirement of a carnivore is larger than that of an herbivore. With knowledge of the area requirement per individual of a species, and an estimate of MVP, the area necessary to sustain a viable population can be established (Figure 29.13). For large carnivores, the area required to sustain an MVP can be enormous. Wildlife biologist Reed Noss estimated that to preserve a population of 1000 grizzly bears would require an area of 2 million km<sup>2</sup>. This is why most large carnivore populations (such as the African lion, Asian tiger, and gray wolf of



**Figure 29.13** Large parks contain larger populations of each species than small parks; only the largest parks may contain long-term viable populations of many large vertebrate species. Each symbol represents an animal population in a park. If the MVP of a species is 1000 (dashed line), parks of at least 100 ha will be needed to protect small herbivores. Parks of more than 10,000 ha will be needed to support populations of large herbivores, and parks of at least 1,000,000 ha will be needed to protect populations of large carnivores.

(Adapted from Schonewald-Cox 1983.)

### INTERPRETING ECOLOGICAL DATA

- Q1.** What is the approximate size of a park needed to support populations of large herbivores? To protect populations of large carnivores?
- Q2.** Why is a larger area required to support a viable population of carnivores than is needed to support a similar-sized population of herbivores?

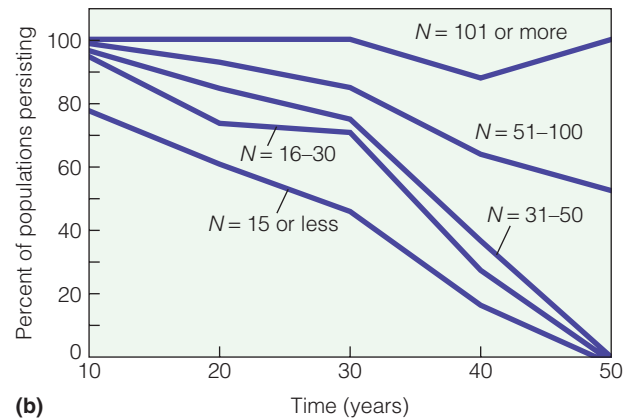
North America) are endangered, and why they are restricted to only the largest public lands and nature reserves.

One of the best-documented cases of minimum viable population size comes from J. Berger’s study of the persistence of bighorn sheep (*Ovis canadensis*) populations in the deserts of the southwestern United States. The study, which examined 120 populations, found that all populations with 50 individuals or less went extinct within 50 years. In contrast, virtually all of the populations with 100 or more individuals persisted over that same period (Figure 29.14). No single cause was identified for the local extinctions; rather, a wide variety of factors appear to be responsible for the population declines.

Species rarely occur in a single contiguous population. Given the fragmented nature of most landscapes and the often specific habitat needs of a given species, species frequently consist of a set of semi-isolated subpopulations that are connected by dispersal—metapopulations (see Chapters 12 and 20). The persistence of a metapopulation is the result of a complex dynamic among subpopulations. The rates of birth, death, immigration, and emigration of each subpopulation interact with the size and spatial arrangement of habitat patches to determine dynamics of the metapopulation as a whole. In



(a)



(b)

**Figure 29.14** Relationship between the size of a population of bighorn sheep (shown in (a)) and the percentage of populations that persist over time. In (b), ( $N$ ) represents population size. Almost all populations of 100 or more sheep persisted beyond 50 years, whereas populations with fewer than 50 individuals did not. (Adapted from Berger 1990.)

many metapopulations, some areas function as source populations (or patches), and others function as sinks (see Section 12.5). In source patches, the local reproductive rate exceeds the local mortality rate, leading to a surplus of individuals that are able to colonize other patches of habitat. In sink patches, mortality rate exceeds reproduction rate so that the local population will go extinct if it is not regularly recolonized by new immigrants. Dependence on key source patches is a critical feature of such metapopulations. Identifying key source patches and the corridors that link with them is critical to species conservation (see Section 20.5). Destroying one central core population might result in the extinction of many smaller subpopulations that depend on the core population for periodic colonization.

## 29.7 Reintroduction Is Necessary to Reestablish Populations of Some Species

In some cases, saving species from what appears to be an inevitable decline to extinction requires direct action by conservation ecologists: establishing new populations through transplants and reintroductions. In these cases, conservation biologists move individuals from one location to another (Figure 29.15). The two species of African rhinoceros, the white rhino (*Ceratotherium simum*) and the black rhino (*Diceros bicornis*), provide an example of two efforts at reintroduction that have met with contrasting results.

The southern white rhino was at the brink of extinction—perhaps as few as 50 survived at the beginning of the 20th century. Like other rhinos, farmers and hunters had slaughtered the southern white rhino and poaching continues to be a problem in protected areas. Thanks to conservation efforts, white rhino

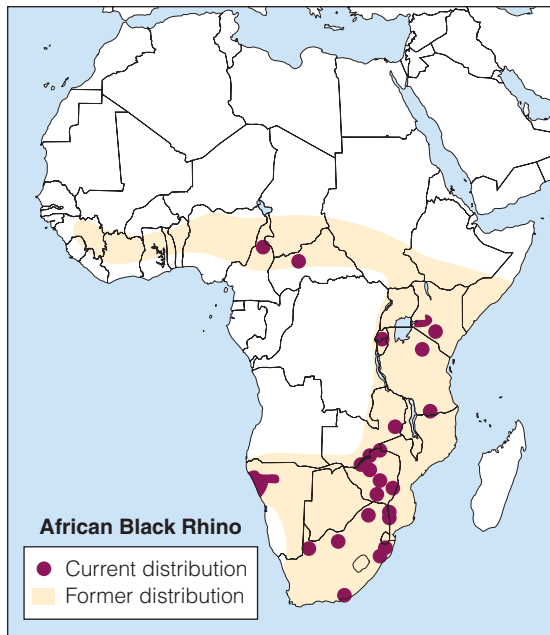


**Figure 29.15** Black rhino captured at Umfolozi Reserve in Natal, South Africa, being released into a newly established reserve at Pilansburg, South Africa.

numbers have recovered to an estimated 7500, partly due to an intensive program of relocating individuals from the few viable populations remaining within the region.

The KwaZulu–Natal Board of South Africa, following the success of its early initiatives, launched an ambitious project dubbed “Operation Rhino” in 1961. This effort involved transporting surplus numbers of white rhinos from the Hluhluwe–Umfolozi Park in KwaZulu–Natal to other protected areas. By the end of 1999, a total of 2367 white rhinos had been redistributed worldwide, of which 1262 had been rehabilitated in southern African protected areas.

The story of the black rhino is, unfortunately, different. From a total population estimated at around 65,000 in 1970,



(a)



(b)

**Figure 29.16** (a) Map showing the former and current distribution of the (b) African black rhino.

less than 2500 remained in the mid-1990s (Figure 29.16). Black rhinos are scattered across central and southern Africa in populations too small to be self-sustaining or in large populations that can be vulnerable to outbreaks of poaching, disease, or overpopulation for the size of the reserve.

In a massive program of capture, relocation, and release across the African continent, black rhinos are being moved from parks such as Etosha in Namibia and Hluhluwe–Umfolozzi in South Africa, which have been their successful safe havens over the past three decades, and sent to new sites on private, public, and communal lands. It is hoped that old and new populations alike will grow quickly and continue to interbreed just as they did before poaching decimated the species.

Unfortunately, black rhinos are reluctant to use unfamiliar habitat. When groups of individuals are introduced into new areas, aggressive interactions often result in deadly fights among individuals. Over half of the deaths after release can be attributed to these fights among newly released individuals, presenting an ongoing difficulty in reestablishment efforts.

Restoration of some species such as whooping crane (*Grus americana*), masked bobwhite (*Colinus virginianus*), Hawaiian goose or nene (*Branta sandwicensis*), peregrine falcon (*Falco peregrinus*), and California condor (*Gymnogyps californianus*; Figure 29.17)—and, among the mammals, the wolf and European wisent (*Bison bonasus*)—have relied on the introduction of individuals from captive-bred populations. Introducing captive-bred individuals to the wild requires prerelease and postrelease conditioning, including the acquiring of food, finding shelter, interacting with other individuals of their own species, and learning to fear and avoid humans. Despite these inherent difficulties, reintroduction programs have succeeded in halting, and in some cases reversing, the downward spiral toward extinction.



(a)



(b)

**Figure 29.17** (a) Staff at the San Diego Wild Animal Park Condor Breeding Facility release adult California condors into a holding area. (b) A 12-hour-old, captive-hatched California condor chick that will eventually be released into the wild.

## 29.8 Habitat Conservation Functions to Protect Whole Communities

Despite the necessity of focusing conservation efforts on individual species that are threatened and endangered, the most effective way to preserve overall biological diversity is by protecting habitats or whole ecological communities. In fact, it is most likely the only way we can successfully conserve Earth's biological diversity, given our limited understanding of the natural history of most species and the complex nature of interactions among species within the context of the community (see Chapter 18).

Unlike the population approach to conservation, which focuses on the specific habitat needs and protection of single species, a community-based approach requires an understanding of the relationship between overall patterns of biological diversity and features of the landscape. One key element to designing a program to protect a region's overall species diversity is an understanding of the relationship between area and species richness (Section 20.3).

As noted, large areas generally contain more species than do smaller areas (see Section 20.3; also see Quantifying Ecology 26.1: Quantifying Biodiversity: Comparing Species Richness Using Rarefaction Curves). There are several reasons for this observed relationship between species richness and area. First, larger areas are often more heterogeneous, encompassing a greater variety of habitats than do smaller areas. Larger areas can thus provide for the needs of a greater variety of species. In addition, as vegetation changes due to natural processes such as succession, or in response to periodic disturbances (fire, drought, etc.), a more heterogeneous landscape provides a greater probability that a given species can find an area of suitable habitat (see Chapter 20 and Section 12.4 for an example in the context of metapopulation dynamics).

Second, some species require larger areas to meet their basic resource needs. For example, larger organisms have larger home ranges than do smaller species (see Section 11.9, Figure 11.17, and Figure 29.14). They therefore require a larger area of habitat to maintain minimum viable populations (see Section 29.6).

Smaller areas have a greater amount of edge (greater edge-to-area ratio), and edge environments have unique environmental constraints involving microclimate and contact with predators, pests, and disease (discussed in detail in Section 20.3). In addition, some species—interior species—require the environmental conditions found only in larger, contiguous tracts of habitat and are particularly sensitive to edge environments (see Figure 20.10). Finally, many species are locally rare and require a larger area even to be present in small numbers (see Section 9.13).

Given the general relationship between species diversity and area, it is apparently better for purposes of conserving overall biological diversity to protect as large an area as possible. However, an early debate among conservation ecologists questioned whether species richness would be maximized in one large area of land (preservation area) or in several smaller patches of an equal total area. Proponents of large protected reserves argued that such areas minimize edge effects, encompass

the greatest diversity of habitats, and are the only reserves that can contain sufficient numbers of large, low-density species (such as large carnivores) to maintain long-term populations. On the other hand, once an area is larger than a certain size, the number of new species added with each successive increase in area declines (see Quantifying Ecology 26.1: Quantifying Biodiversity: Comparing Species Richness Using Rarefaction Curves). In that case, establishing a second area some distance away may be a better strategy for preserving additional species than merely adding area to the existing reserve. In addition, a network of smaller areas positioned over a larger region may be able to include a greater variety of habitat types and more rare species. It also may be less susceptible to single catastrophic events—such as fire, flood, disease, or the introduction of alien species—than would a single, contiguous block of land.

The consensus among conservation ecologists now seems to favor a mixed strategy. Larger areas are required for preservation of larger species, but a network of reserves may well be a better solution to long-term preservation of species. A major force behind this shift in thinking has been the development of metapopulation biology (see Chapter 12).

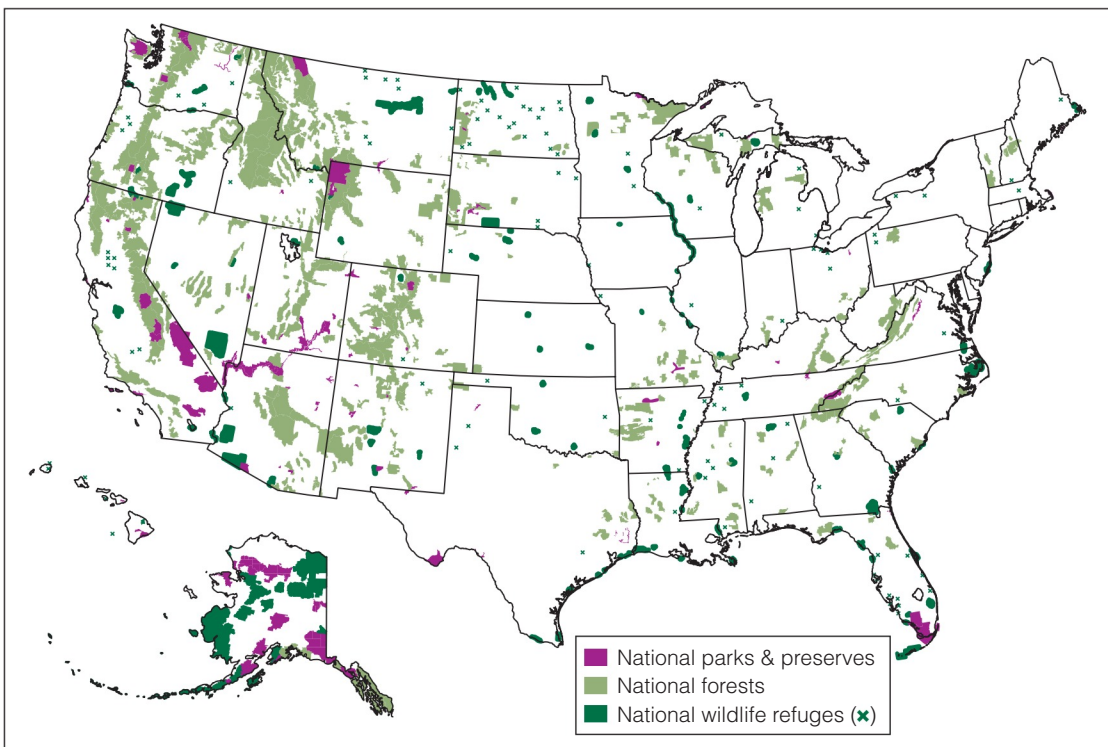
## 29.9 Habitat Conservation Involves Establishing Protected Areas

Given the ever-growing pressures placed on lands by the human population, preservation of biological diversity depends more and more on establishing legally designated protected areas. Protected areas can be established in various ways, but the two most common are through governmental action (at the national, regional, and local levels) and the purchase of lands by private individuals or conservation organizations (such as the Nature Conservancy and the Audubon Society).

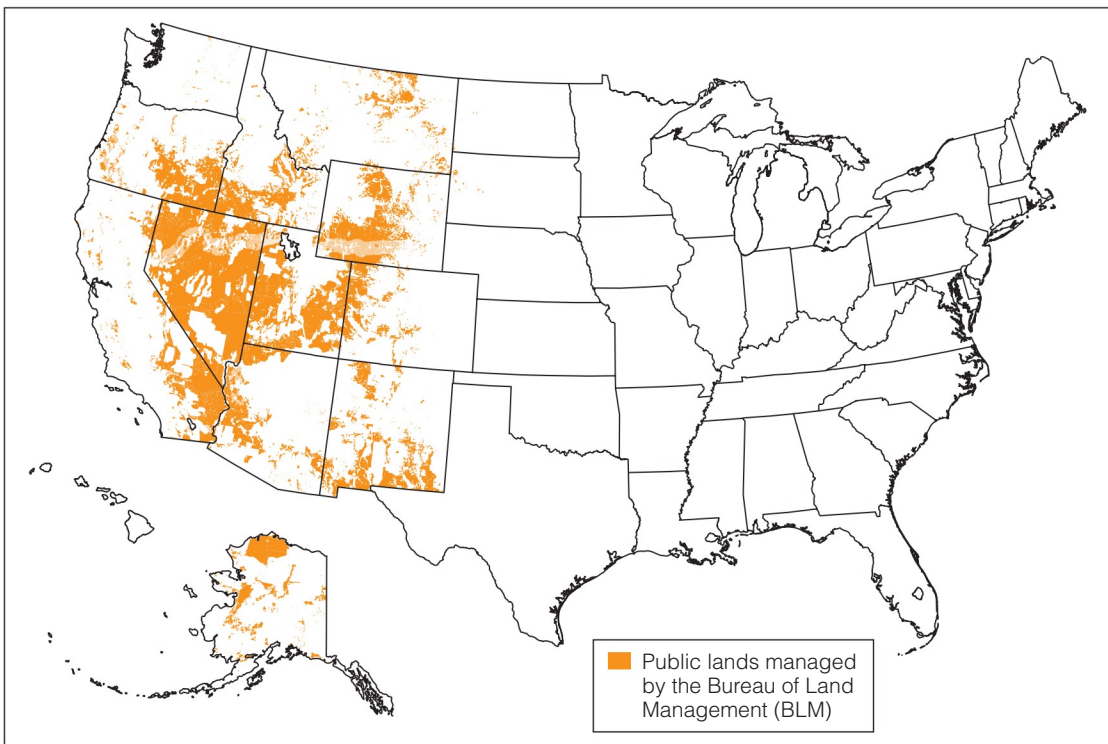
Land classified as protected areas covers a wide range of categories. The International Union for the Conservation of Nature (IUCN) has developed a classification system for protected areas that covers a range from minimal to intensive use of the habitat by humans (Table 29.3). Of these categories, the first five can be considered as truly protected areas, managed primarily for biological diversity. Category VI, managed resource areas, is particularly significant: these areas are often much larger than protected areas and often still contain much of their original biological diversity, despite being managed for multiple purposes.

For example, in the United States (Figure 29.18a), the U.S. Park Service administers approximately 350 areas, including the national parks and covering a total land area of 125,000 square miles. These lands are designated as protected—dedicated to providing for a combination of low-impact recreation and preservation of biological diversity. In addition, there are some 530 national wildlife refuges covering 95 million acres. In contrast, the U.S. Department of Agriculture oversees some 155 national forests covering an area of 300,000 square miles, and the Bureau of Land Management (Figure 29.18b) oversees the use of some 425,000 square miles of land (11.7 percent of the total land area of the United States), mostly in the Western states. National forests as well as Bureau of Land Management lands are managed





(a)



(b)

**Figure 29.18** (a) Map showing the distribution of national parks, wildlife refuges, and forests within the United States. (b) Map showing the distribution of U.S. Bureau of Land Management public lands.

**Table 29.3 IUCN Protected Area Management Categories****CATEGORY Ia**

Strict Nature Reserve: protected area managed mainly for science.

Area of land and/or sea possessing some outstanding or representative ecosystems, geological or physiological features, and/or species, available primarily for scientific research and/or environmental monitoring.

**CATEGORY Ib**

Wilderness Area: protected area managed mainly for wilderness protection.

Large area of unmodified or slightly modified land and/or sea, retaining its natural character and influence without permanent or significant habitation, which is protected and managed so as to preserve its natural condition.

**CATEGORY II**

National Park: protected area managed mainly for ecosystem protection and recreation.

Natural area of land and/or sea, designated to (a) protect the ecological integrity of one or more ecosystems for present and future generations, (b) exclude exploitation or occupation inimical to the purposes of designation of the area, and (c) provide a foundation for spiritual, scientific, educational, recreational, and visitor opportunities, all of which must be environmentally and culturally compatible.

**CATEGORY III**

Natural Monument: protected area managed mainly for conservation of specific natural features.

Area containing one or more specific natural or natural/cultural features of outstanding or unique value because of their inherent rarity, representative or aesthetic qualities, or cultural significance.

**CATEGORY IV**

Habitat/Species Management Area: protected area managed mainly for conservation through management intervention.

Area of land and/or sea subject to active intervention for management purposes so as to ensure the maintenance of habitats and/or to meet the requirements of specific species.

**CATEGORY V**

Protected Landscape/Seascape: protected area managed mainly for landscape/seascape conservation and recreation.

Area of land, with coast and sea as appropriate, where the interaction of people and nature over time has produced an area of distinct character with significant aesthetic, ecological, and/or cultural value, often with high biological diversity. Safeguarding the integrity of this traditional interaction is vital to the protection, maintenance, and evolution of such an area.

**CATEGORY VI**

Managed Resource Protected Area: protected area managed mainly for the sustainable use of natural ecosystems.

Area containing predominantly unmodified natural systems, managed to ensure long-term protection and maintenance of biological diversity while at the same time providing a sustainable flow of natural products and services to meet community needs.

for multipurpose use that includes timber harvest, grazing, and the extraction of mineral and water resources. These lands, however, are still critical to the overall preservation of biological diversity in the United States.

By 2005, 10,942 strictly protected areas (categories Ia, Ib, and II of the IUCN classification) had been designated worldwide, covering some 6.16 million km<sup>2</sup>. An additional 55,774 partially protected areas (categories III–V of the IUCN classification) combined to cover an additional 5.67 million km<sup>2</sup> (Table 29.4). That may seem like a large area of land, but most of the protected areas are relatively small; half of them cover an area of 100 km<sup>2</sup> or less (Figure 29.19). The combined total of protected lands accounts for only 11.9 percent of Earth's total land surface. Of the total protected land area, some 65 percent has been classified using the IUCN system.

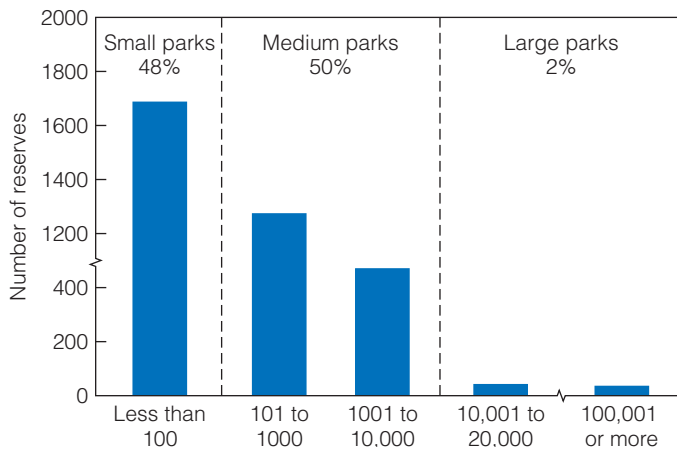
Marine protection efforts have lagged behind conservation of terrestrial environments. Currently, only 1 percent of the marine environment is included in protected areas (Figure 29.20).

With few exceptions, most large tracts of land that will function as future protected areas already fall within one of the six classes of protected lands as defined by the IUCN. However,

new and smaller reserves are being established throughout the world, and lands under limited protection (such as national forests in the United States) are continuously being reclassified into categories of increased protection. For example, in 2002 more than 10,000 acres of the George Washington National Forest (Virginia) were designated as wilderness areas (Priest and Three Ridges), the highest designation of protection for federal lands within the United States.

However, many current conservation efforts focus on working with the existing protected lands, providing buffer zones and corridors that enhance their conservation value. Strategies exist for aggregating small nature reserves and other protected areas into larger conservation blocks. Nature reserves are often embedded in a larger matrix of habitat managed for resource extraction, such as timber harvest, grazing, or farmland (refer to Part 5, Community Ecology). If protecting biological diversity can be incorporated as a secondary priority into the management plan of these lands, a greater representation of species and habitats can be protected.

Whenever possible, a protected area should include a uniform, contiguous block of land or water, such as a watershed,

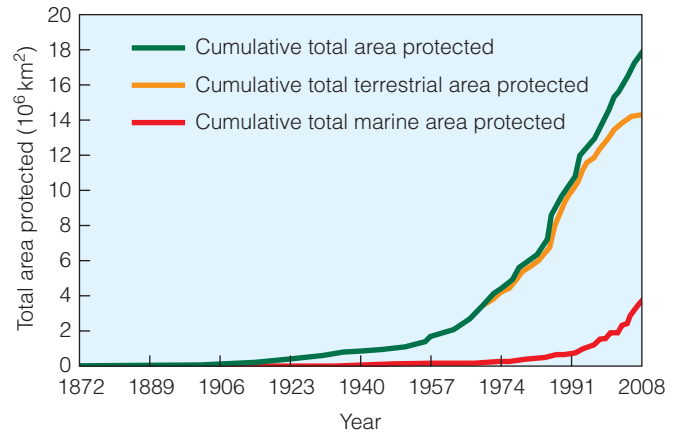


**Figure 29.19** Size distribution of nature reserves around the world, as listed by the IUCN.

lake, or mountain range. This will allow managers to more effectively control the spread of fire, pests, and destructive outside influences due to human activity.

A new approach to managing a system of nature reserves is to link isolated protected areas into one large system through the use of habitat corridors—areas of protected land running between the reserves (see Section 20.5 for a detailed discussion of corridors). Such corridors can facilitate the dispersal of plants and animals from one reserve to another. Corridors may assist species that migrate seasonally to different habitats to obtain food or breed. This principle was put into practice in Costa Rica to link two wildlife reserves, the Braulio Carillo National Park and La Selva Biological Station. A 7700-ha corridor of forest several kilometers wide, known as La Zona Protectora, was set aside to provide a link that allows at least 35 species of birds to migrate between the two conservation areas.

The idea of corridors is intuitively appealing, but there are some possible drawbacks. Corridors also may facilitate the movement of fire, pest species, or disease.



**Figure 29.20** Growth in nationally designated protected areas from 1872 to 2008.

(Data from UNEP-WCMC 2009.)

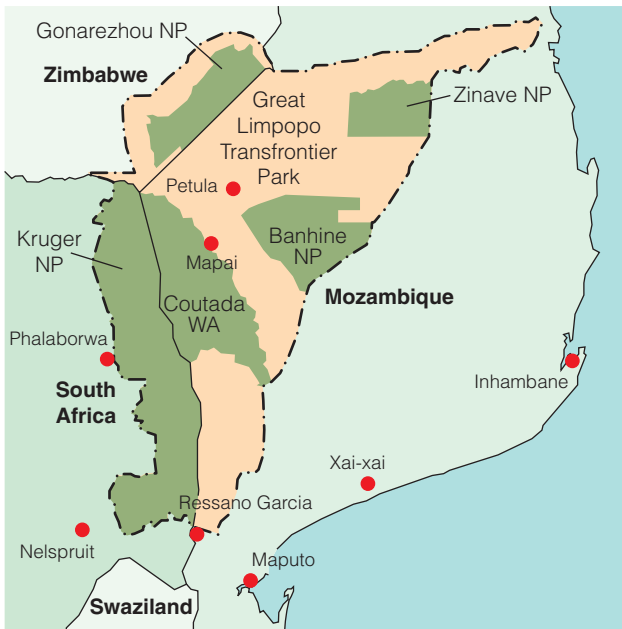
In some rare cases, actions are being taken to link established protected areas that go beyond the limited use of corridors. Efforts are under way in southern Africa to establish the Great Limpopo Transfrontier Park (Figure 29.21), an international conservation effort that involves linking three existing national parks in South Africa (Kruger National Park), Zimbabwe (Gonarezhou National Park), and Mozambique (Coutada Wildlife Area, Banhine and Zinave National Parks). Establishing the transfrontier park will create a conservation area measuring 100,000 square kilometers, making it one of the world's largest contiguous nature reserves.

## 29.10 Habitat Restoration Is Often Necessary in Conservation Efforts

In recent years, considerable efforts have been under way to restore natural communities affected by human activities. This work has stimulated a new approach to human intervention that

**Table 29.4** Global Number and Extent of IUCN Classified Protected Areas by Category as of 2005

Category	Number of sites	Percentage of total of protected areas	Area coverage (1000 km <sup>2</sup> )	Percent of total area protected
Ia	5,549	4.9	1,048	5.4
Ib	1,371	1.2	639	3.3
II	4,022	3.5	4,475	23.1
III	19,813	17.3	271	1.4
IV	27,466	24	3,005	15.5
V	8,495	7.4	2,393	12.3
VI	4,276	3.7	4,284	22.1
No category	43,304	37.9	3,267	16.9
<b>TOTAL</b>	<b>114,296</b>	<b>100</b>	<b>19,382</b>	<b>100</b>



**Figure 29.21** Map of the Great Limpopo Transfrontier Park, an international conservation effort that involves linking three existing national parks in South Africa (Kruger National Park), Zimbabwe (Gonarezhou National Park), and Mozambique (Coutada Wildlife Area, Banhine and Zinave National Parks).

is termed **restoration ecology**. The goal of restoration ecology is to return an ecosystem to a close approximation of its condition before disturbance, by applying ecological principles. The approach involves a continuum of approaches ranging from re-introducing species and restoring habitats to attempting to reestablish whole communities as functioning ecosystems.

The least intensive restoration effort involves the rejuvenation of existing communities by eliminating invasive species, replanting native species, and reintroducing natural disturbances such as short-term periodic fires in grasslands and low-intensity ground fires in pine forests. Lake restoration involves reducing inputs of nutrients, especially phosphorus, from the surrounding land that stimulate growth of algae; restoring aquatic plants; and reintroducing fish species native to the lake. Wetland restoration may involve reestablishing the hydrological conditions, so that the wetland is flooded at the appropriate time of year, and the replanting of aquatic plants (Figure 29.22).

More intensive restoration involves re-creating the community from scratch and is best accomplished on relatively small areas. This kind of restoration involves preparing the site, introducing an array of appropriate native species over time, and employing appropriate management to maintain the community, especially against the invasion of nonnative species from adjacent surrounding areas. A classic example is the re-creation of a prairie ecosystem on a 60-acre field near Madison, Wisconsin (Figure 29.23; also see the introduction to Part Five). The previous prairie had been plowed, grazed, and overgrown. The restoration process involved destroying occupying weeds and brush, reseeding and replanting native prairie



**Figure 29.22** Volunteers help National Oceanic and Atmospheric Administration (NOAA) scientists prepare sea-grass shoots for planting in the Florida Keys. The plantings help enhance recovery of areas where sea-grass communities have been damaged or large-scale die-off has occurred.



**Figure 29.23** Curtis Prairie at the University of Wisconsin Arboretum. Native prairie vegetation has been restored on this 60-acre tract of land that was once used for agriculture.

species, and burning the site once every two to three years to approximate a natural fire regime. After nearly 60 years, the plant community now resembles the original native prairie.

Much attention is being focused on restoring wetlands that have been lost because water was diverted or drained for land development. New techniques are also being developed for constructing wetlands where they did not exist before, often for the purpose of wastewater and stormwater treatment.

## 29.11 Environmental Ethics Is at the Core of Conservation

At the United Nations Earth Summit in Rio de Janeiro in 1992, more than 150 nations signed the Convention on Biodiversity (signed by President Clinton in 1993, although the U.S. Senate

has yet to give its advice and consent to its ratification). This treaty makes preservation of the world's biodiversity an international priority. Although many reasons are voiced, the arguments regarding the importance of maintaining biodiversity can be grouped into three categories: economic, evolutionary, and ethical.

The economic argument is based largely on self-interest. Many of the products we use come from organisms that we share this planet with. Obviously, the foods we eat are all derived from other organisms. Every time we buy a drug or other pharmaceutical, there is almost a 50–50 chance that we can attribute some of the essential constituents to a wild species. The value of medicinal products derived from such sources now totals more than \$40 billion every year. Rubber, solvents, and paper come from trees. Cotton, flax, leather, and a host of other natural materials are used to clothe us. Modern industrial society owes a lot to Earth's genetic resources that in one way or another contribute to an array of products that better our standard of living.

Although today's benefits from Nature's cornucopia are astonishing enough, they are only the tip of the iceberg. Scientists have thus far taken only a preliminary look at some 10 percent of the 250,000 plant species, many of which are already proven to have enormous economic importance. Additionally, we have barely scratched the surface regarding the potential of products derived from the animal kingdom. As these species are lost through extinction, so is their potential for human exploitation.

The second argument for preserving biodiversity is based on genetics (see Chapter 5). Current patterns of biodiversity are a product of ecological and evolutionary processes that have acted on species that existed in the past. The processes of mutation, mixing of genetic information through sexual reproduction, and natural selection, together with the essential ingredient of time, give rise to new species. All species eventually go extinct, many of them leaving no traces other than

fossilized impressions buried deep in the earth. Others, however, fade into extinction after having given rise to new species. For example, it is believed that all modern birds can trace their evolutionary history to the earliest known bird, *Archaeopteryx*, which lived during the Jurassic period (fossil record dates to 145 million years ago). If *Archaeopteryx* had been driven to extinction before acting as the evolutionary seed of more modern birds, the variety of life at our backyard bird feeders would be quite different today. Likewise, the mass extinction of modern-day species limits the potential evolution of species diversity in the future.

The third category of arguments in support of conserving biodiversity is ethics based. Humans are but one of millions of species inhabiting Earth—we are relative newcomers to the long evolutionary history of life on our planet. It is the nature of all organisms to both respond to and alter their surrounding environment. However, it is unlikely that any other species in the history of Earth has so dramatically affected its environment in such a short time. The fundamental question facing humanity is a moral one. To what degree will we allow human activities to continue resulting in the extinction of tens of thousands of species that we share this planet with? Debate on the value of biodiversity will center on this question. Arguments based on economics will fall to the wayside because technology allows us to synthesize medicines and other products currently made from plant and animal products, and concerns for the evolutionary future of our planet appear all too abstract when balanced against the needs of our growing human population. Science can work to identify and quantify the problem, but its solution lies outside the realm of science. It involves social, economic, and ethical issues that influence all our lives. Unlike so many of the problems facing society that science is called upon to solve, this is a problem that science can identify. But it is up to the members of society—including you—to arrive at the solution.

---

## Summary

### Habitat Destruction 29.1

The primary cause of species extinctions is habitat destruction resulting from the expansion of human populations and activities. Historically, the largest cause of land transformation has been the expansion of agricultural lands to meet the needs of a growing human population. Because of their disproportionately high species diversity (see Section 26.3) and the pressures of growing populations and economic development, the world's tropical regions have been the primary focus of attention.

Quantity of forests alone is an inadequate indicator of the status of a forest ecosystem from a conservation perspective, because many of the world's forests are highly fragmented and face continued pressure from human activities.

### Invasive Species 29.2

Nonnative (nonindigenous) plants and animals, introduced either intentionally or unintentionally, often cause the extinction

of vulnerable native species through predation, grazing, competition, and habitat alteration, and impinge on the structure and function of ecosystems.

### Susceptibility to Extinction 29.3

Not all species are equally susceptible to extinction from human activities. The susceptibility of species to extinction is related to various life history characteristics that influence their vulnerability to human activities as well as natural catastrophes. Endemic species are particularly susceptible to extinction because a loss of habitat in the one geographic region will result in a complete loss of habitat for the species. Likewise, species that migrate seasonally depend on two or more distinct habitat types in different geographic regions. Other characteristics include small populations (or metapopulations), specialized habitats, and species that directly conflict with human activities.

### Threatened Species 29.4

Development of a quantitative method of classification that allows for the definition of threatened and endangered species is critical to conservation efforts. Such a system provides a standard by which decisions can be reviewed and evaluated by the scientific community.

### Biodiversity Hotspots 29.5

The concept of biodiversity hotspots was developed to address the dilemma that conservationists face: What areas are the most important for preserving species? The 25 biodiversity regions of Earth that have been designated as hotspots by the IUCN contain 44 percent of all plant species and 35 percent of all terrestrial vertebrate species in only 1.4 percent of the planet's land area.

### Protecting Populations 29.6

Because endangered species consist of a few populations, or even a single population, protecting populations is the key to preserving these species. These populations are restricted to protected areas and an adequate conservation plan must preserve as many individuals as possible within the greatest possible area. The minimum viable population for a species is the number of individuals necessary to ensure the long-term survival of a species. The area of suitable habitat necessary for maintaining the minimum viable population is called the minimum dynamic area.

Species rarely occur in a single, contiguous population; instead, species often consist of a set of semi-isolated subpopulations, connected by dispersal—metapopulations. The persistence of a metapopulation is the result of a complex dynamic among subpopulations.

### Reintroduction 29.7

In some cases, saving species from what appears to be an inevitable decline to extinction requires direct action by conservation ecologists—that is, establishing new populations through transplants and reintroductions.

### Habitat Conservation 29.8

Despite the necessity of focusing conservation efforts on individual species that are threatened and endangered, the most

effective way to preserve overall biological diversity is by protecting habitats or whole ecological communities. Unlike the population approach to conservation, a community-based approach requires an understanding of the relationship between overall patterns of biological diversity and features of the landscape. Large areas contain a greater number of species than do smaller areas and, other things being equal, it is better for purposes of conserving overall biological diversity to protect as large an area as possible.

### Protected Areas 29.9

Given the ever-growing pressures placed on lands by the human population, the preservation of biological diversity is becoming more and more dependent on establishing legally designated protected areas. By far, the greatest area of protected lands is the category of public lands. Protected lands differ in their degree of protection; many categories serve multiple purposes, including resource extraction. Many current efforts in conservation focus on working with the existing protected lands and providing buffer zones and corridors that enhance their conservation value.

### Habitat Restoration 29.10

Considerable efforts are under way to restore natural communities affected by human activities, using a new approach to human intervention known as *restoration ecology*. The goal of restoration ecology is to return an ecosystem to a close approximation of its conditions before disturbance by applying ecological principles. This process involves a continuum of approaches ranging from reintroducing species and restoring habitats to attempting to reestablish whole communities as functioning ecosystems.

### Environmental Ethics 29.11

Arguments for the importance of maintaining biodiversity can be grouped into three categories: economic, evolutionary, and ethical. The economic argument is based largely on self-interest. It focuses on products derived from natural resources. The evolutionary argument suggests that the extinction of modern species limits the potential evolution of species diversity in the future. Ethical arguments focus on the extent to which human activities cause the extinction of species.

---

## Study Questions

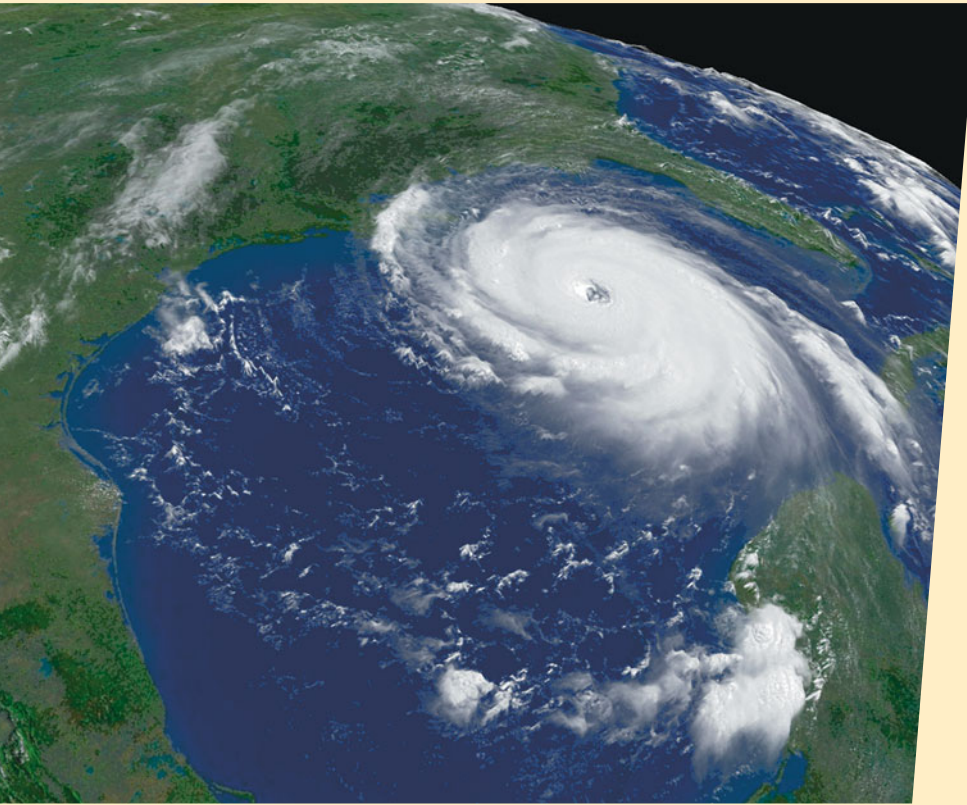
1. What is the leading cause of current species extinctions?
2. Discuss several ways in which introduced, nonnative species of plants or animals may disrupt a community, leading to the decline of native species. Consider the role of species interaction in community structure and dynamics as presented in Parts Five and Six.
3. What is an endemic species?
4. Name three characteristics that might make a species more susceptible to extinction.
5. What is a biodiversity hotspot? What role can hotspots potentially play in conservation efforts?
6. What is the general relationship between population size and the probability of local extinction?
7. What is a minimum viable population? What is a minimum dynamic area? How do these two terms relate to each other?
8. What is restoration ecology?
9. Give three possible explanations for the observed relationship between species diversity and area.
10. Give two reasons why we should be concerned about the current rate of species extinctions.

## Further Readings

- Baskins, Y. 2000. *A plague of rats and rubbervines*. Washington, DC: Island Press.  
A well-written account of the invasion phenomenon.
- Cade, T. J., and W. Burnham. 2003. *Return of the peregrine: A North American saga of tenacity and teamwork*. Boise, ID: The Peregrine Fund.  
Story of the restoration of the peregrine falcon to 80 percent of its range despite bureaucratic and other obstacles. An excellent, well-illustrated case history study.
- Clark, T. W., A. P. Curlee, S. C. Minta, and P. M. Kareiva, eds.. 1999. *Carnivore ecosystems: The Yellowstone experience*. New Haven: Yale University Press.  
How Yellowstone carnivores and their prey interact with the return of the missing wolf in the ecosystem.
- Contreras, G. P., and K. E. Evans (compilers). 1986. *Proceedings—Grizzly bear habitat symposium: Missoula, Montana, April 30–May 2, 1985* (General Technical Report INT-207). Ogden, UT: U. S. Department of Agriculture, Forest Service, Intermountain Research Station.  
State-of-the art information on grizzly bear habitat, management, and cumulative effects of activities on habitat.
- Cox, G. 2004. *Alien species and evolution*. Washington, DC: Island Press.  
Examines how alien species adapt and evolve in their invaded environment.
- Elton, C. 2000 (1958; repr.). *The ecology of invasions by plants and animals*. Chicago: University of Chicago Press.  
Reprint of the 1958 classic. Sketches the basic components of invasion biology.
- Falk, D. A., M. Palmer, and J. Zedler. 2006. *Foundations of restoration ecology*. Washington, DC: Island Press.  
Overview of the ecological principles and approaches to restoring natural ecosystems.
- Fearn, E. ed. 2008. *State of the Wild 2008–2009. A Global Portrait of Wildlife, Wildlands, and Oceans*. Washington, DC: Island Press.  
An excellent up-to-date discussion of the critical issues facing the conservation of species and ecosystems; includes a section on emerging diseases, conservation, and human health.
- Leopold, A. 1949 (many editions). *A Sand County almanac*. New York: Oxford.  
A conservation classic. The essay “Land Ethic” strongly influenced the development of environmental ethics.
- Mittermeier, R. A., N. Myers, P. R. Gil, and C. G. Mittermeier. 1999. *Hotspots: Earth's biologically richest and most endangered terrestrial ecoregions*. Mexico City: CEMEX Conservation International.  
This fabulous volume provides an atlas of the biodiversity hotspots presented in Figure 29.11. Outstanding photography.
- Mittermeier, R. A., P. R. Gil, and M. Hoffman et al. 2004. *Hotspots revisited*. Mexico City: CEMEX Conservation International.  
Another magnificent volume with updated information and analysis as well as redefined boundaries. Considers a number of potential hotspots.
- Musiani, M., and P. C. Paquet. 2004. The practice of wolf persecution, protection, and restoration in Canada and United States. *BioScience* 54:50–60.  
An overview of the wolf problem beyond Yellowstone.
- Musiani, M., L. Boittani, and P. C. Paquet, eds. 2009. *A new era for wolves and people: Wolf recovery, human attitudes, and policy*. Calgary, Alberta: University of Calgary Press.  
An important and useful reference on the recovery, spread, and management of wolves in North America and Europe and the relationship of human ethics and attitudes toward wolf restoration
- Noon, B. R., and K. S. McKelvey. 1996. Management of the spotted owl: A case study in conservation biology. *Annual Review of Ecology and Systematics* 27:135–162.  
An excellent case study in the conservation of this threatened bird species of the Pacific Northwest.
- Pimentel, D., L. Lach, R. Zuniga, and D. Morrison. 2000. Environmental and economic costs of nonindigenous species in the United States. *BioScience* 50:53–64.  
An excellent survey of the problems and costs of invasive species.
- Pratt, T. K., C. T. Atkinson, P. C. Banko, J. D. Jacobi, and P. L. Woodworth, eds. 2009. *Conservation biology of Hawaiian forest birds: Implications for island avifauna*. New Haven, CT: Yale University Press.  
This remarkable research-based book details evolutionary history, distribution, decline, and extinction of the island's forest birds and social and political obstacles to recovery.
- Primack, R. B. 2010. *Essentials of conservation biology*, 5th ed. Sunderland, MA: Sinauer Associates.  
This is an excellent introductory text for students interested in the broader topic of conservation ecology.
- Ray, C., and J. McCormick-Ray. 2004. *Coastal marine conservation: Science and policy*. Malden, MA: Blackwell Publishing.  
This text explores coastal-realm issues and conservation tools and linkages between conservation science and policy. Three case studies representing three regions are included: the temperate Chesapeake Bay, the subarctic Bering Sea, and the tropical Bahamas.

- Reading, R. P., B. Miller, A. Masching et al., eds. 2010. *Awakening spirits: Wolves in the Southern Rockies*. Golden, CO: Fulcrum Publishing.  
This book provides a comprehensive overview of wolf–human interactions and public attitudes toward recovery. The legal framework chapter is an excellent primer relative to species recovery efforts that is applicable to both wolves and other species.
- Soulé, M. E. 1985. What is conservation biology? *BioScience* 35:727–734.  
This paper is an excellent introduction to the philosophy and science of conservation ecology.
- Todd, K. 2000. *Tinkering with Eden: A natural history of exotic species in America*. New York: Norton.  
Fairly comprehensive treatment of deliberate introductions and the problems they created.
- Williams, T. 2007. Back off! *Audubon* May–June:50–51, 84–87.  
Excellent review of the history and current societal problems and controversies surrounding the Yellowstone wolf restoration project.
- Wydeven A. P., T. R. Van Deelen, and E. J. Heske, eds. 2009. *Recovery of gray wolves in the Great Lakes Region of the United States: An endangered species success story*. New York: Springer  
Twenty-three chapters written by many wolf biologists covers all aspects of the recovery efforts and growth of the wolf population and the human dimensions involved. Provides a contrast to wolf recovery efforts in the Northern Rocky Mountains.





Satellite image of Hurricane Katrina in the Gulf of Mexico (August 2005).

- 30.1 Greenhouse Gases Influence Earth's Energy Balance and Climate**
- 30.2 Atmospheric Concentration of Carbon Dioxide Is Rising**
- 30.3 Tracking the Fate of CO<sub>2</sub> Emissions**
- 30.4 Atmospheric CO<sub>2</sub> Concentrations Affect CO<sub>2</sub> Uptake by Oceans**
- 30.5 Plants Respond to Increased Atmospheric CO<sub>2</sub>**
- 30.6 Greenhouse Gases Are Changing the Global Climate**
- 30.7 Changes in Climate Will Affect Ecosystems at Many Levels**
- 30.8 Changing Climate Will Shift the Global Distribution of Ecosystems**
- 30.9 Global Warming Would Raise Sea Level and Affect Coastal Environments**
- 30.10 Climate Change Will Affect Agricultural Production**
- 30.11 Climate Change Will Directly and Indirectly Affect Human Health**
- 30.12 Understanding Global Change Requires the Study of Ecology at a Global Scale**

The term *global climate change* is redundant. Change is inherent in Earth's climate system. For example, although the tilt of Earth's axis relative to the Sun is  $23.5^\circ$ , giving rise to the seasons, Earth is actually wobbly (see Chapter 2). In fact, the tilt of Earth's axis varies from  $22.1^\circ$  to  $24.5^\circ$ . The amount of tilt in Earth's rotation affects the amount of sunlight striking the different parts of the globe, influencing patterns of global climate. This variation in the tilt of Earth takes place during a cycle of 41,000 years and is largely responsible for the ice ages—periods of glacial expansion and retreat (see Section 19.9).

In turn, variations in climate profoundly affect life on Earth. Paleoecology has recorded the response of populations, communities, and ecosystems to climate changes during periods of glacial expansion and retreat over the past 100,000 years (see Figures 19.18 and 19.20). On an even longer timescale, the fossil record recounts a story of evolutionary change resulting from the dynamics of Earth's climate over geologic time. Throughout this text, we have seen countless examples of how climate influences the function of natural ecosystems—from the uptake of carbon dioxide by leaves in the process of photosynthesis to the distribution and productivity of Earth's ecosystems. But now we have entered a new era in the history of life on our planet; one in which a single species—humans—may have the ability to alter Earth's climate.

In this chapter, we examine how human activities are changing the chemistry of the atmosphere and how those changes may alter Earth's climate. We then explore how these predicted changes in Earth's climate have the potential to affect ecological systems by shifting the distribution of species, altering their interactions, and ultimately influencing the distribution and productivity of ecosystems. We also explore whether these changes in Earth's climate and ecosystems will directly affect the health and well-being of the human population.

### 30.1 Greenhouse Gases Influence Earth's Energy Balance and Climate

Many chemical compounds naturally present in Earth's atmosphere—principally water vapor ( $\text{H}_2\text{O}$ ), carbon dioxide ( $\text{CO}_2$ ), and ozone ( $\text{O}_3$ )—absorb thermal (longwave) radiation emitted by Earth's surface and atmosphere. The atmosphere is warmed by this mechanism and, in turn, emits thermal radiation; a significant portion of this energy then acts to warm the surface and the lower atmosphere (see Section 2.1 and Figure 2.3). Consequently, the average surface air temperature of the Earth is about  $30^\circ\text{C}$  higher than it would be without atmospheric absorption and reradiation of thermal energy. This phenomenon is popularly known as the **greenhouse effect**, and the gases responsible for the effect are likewise referred to as **greenhouse gases**.

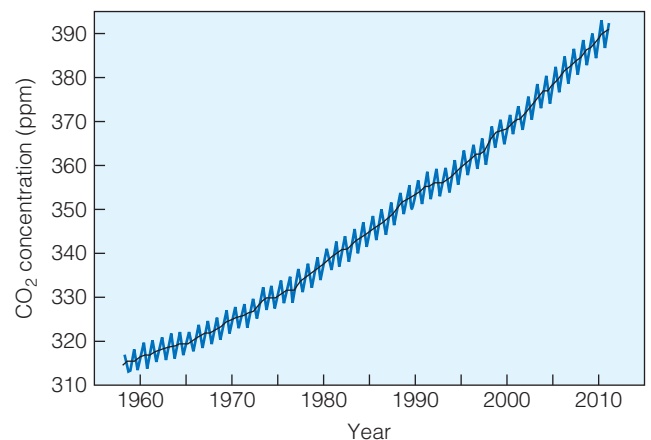
Over time, the amount of incoming energy from the Sun to Earth's surface should be about the same as the amount of energy radiated back into space, leaving the average temperature of Earth's surface roughly constant (Chapter 2; see Section 2.1). However, since the industrial period, the concentrations of greenhouse gases in Earth's atmosphere have increased dramatically (see the introduction to Part Eight). Given the

role of greenhouse gases in maintaining Earth's energy balance, concerns have risen over the potential impact of rising greenhouse gas concentrations on the global climate system.

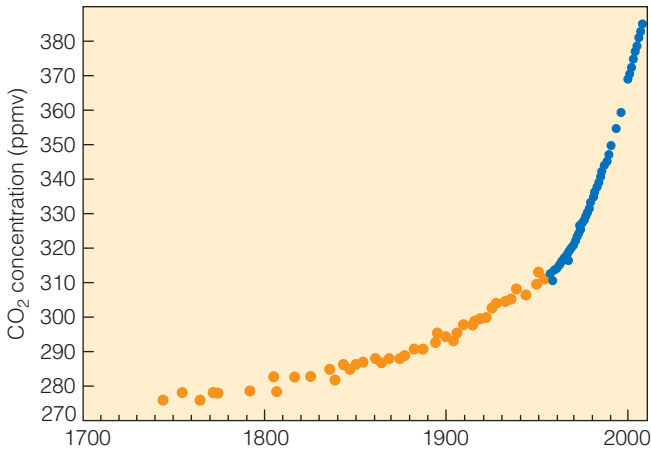
### 30.2 Atmospheric Concentration of Carbon Dioxide Is Rising

Although human activities have increased the atmospheric concentration of a variety of greenhouse gases, the major concern is focused on  $\text{CO}_2$ . The atmospheric concentration of  $\text{CO}_2$  has increased by more than 30 percent over the past 100 years. The evidence for this rise comes primarily from continuous observations of atmospheric  $\text{CO}_2$  started in 1958 at Mauna Loa, Hawaii, by Charles Keeling (Figure 30.1) and from parallel records around the world. Evidence before the direct observations of 1958 comes from various sources, including the analysis of air bubbles trapped in the ice of glaciers in Greenland and Antarctica.

In reconstructing atmospheric  $\text{CO}_2$  concentrations over the past 300 years, we see values that fluctuate between 280 and 290 ppm until the mid-1800s (Figure 30.2). After the onset of the Industrial Revolution, the value increased steadily, rising exponentially by the mid-19th century onward. The change reflects the combustion of fossil fuels (coal, oil, and gas) as an energy source for industrialized nations (Figure 30.3).



**Figure 30.1** Concentration of atmospheric  $\text{CO}_2$  as measured at Mauna Loa Observatory, Hawaii. (NOAA 2009.)

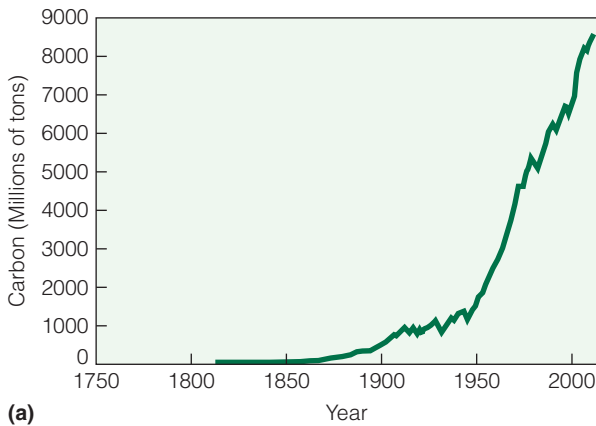


**Figure 30.2** Historical record of atmospheric CO<sub>2</sub> over the past 300 years. Data collected prior to direct observation (1958 to present) are estimated from various techniques including analysis of air trapped in Antarctic ice sheets (ppmv—parts per million volume). (IPCC 2007.)

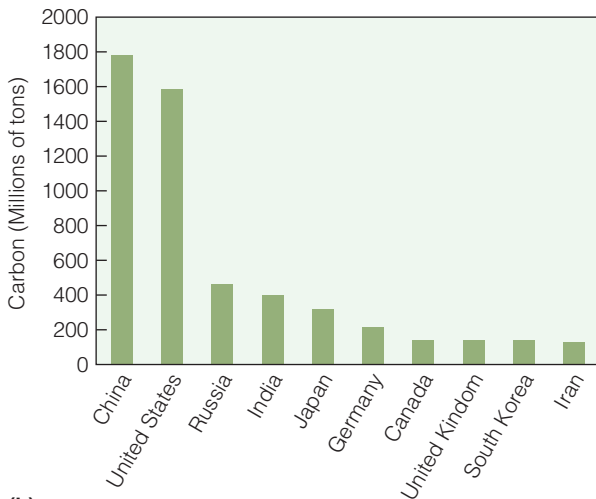
In 2008, over 70 percent of the total CO<sub>2</sub> emissions from the burning of fossil fuels came from the developed countries. By 2006 China had overtaken the United States as the largest single source, accounting for over 22 percent of total global emissions. The United States is second with just under 20 percent; however, it continues to lead in per capita carbon emission with 19.2 tons per person compared with 4.9 for China. Over the next few decades, 90 percent of the world’s population growth will take place in the developing countries, some of which are also undergoing rapid economic development. Per capita energy use in the developing countries, which is currently only 1/10 to 1/20 of the U.S. level, will also increase.

The burning of fossil fuels is not the only cause of rising atmospheric CO<sub>2</sub> concentration. Deforestation is also a major cause (Figure 30.4). Forested lands are typically cleared and burned for farming. The trees may be harvested for timber or pulpwood, but a large part of the biomass, litter layer, and soil organic matter is burned, releasing the carbon to the atmosphere as CO<sub>2</sub>.

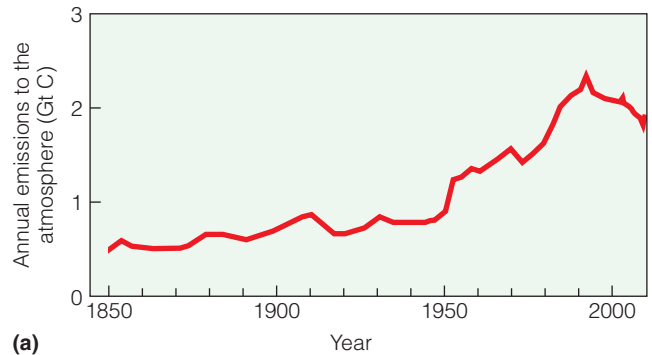
Calculations of the contribution of land clearing to atmospheric CO<sub>2</sub> are complex. After timber harvest on lands managed for forest production or on lands that have been cultivated and then abandoned, vegetation and soil organic matter become reestablished (see discussion of forestry and swidden agriculture



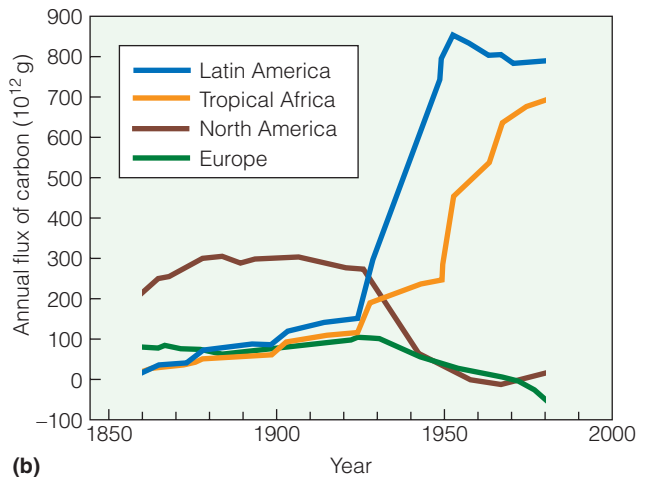
(a)



(b)



(a)



(b)

**Figure 30.3** (a) Historical record of annual input of CO<sub>2</sub> to the atmosphere from the burning of fossil fuels since 1750. (b) Carbon emissions from the burning of fossil fuels by the top 10 countries in 2008.

(a) (CDIAC; EPI 2009.) (b) (DOE—CDIAC 2009.)

**Figure 30.4** Historical record of annual input of CO<sub>2</sub> to the atmosphere from the clearing and burning of forest (a) globally, and (b) in selected geographic regions: Latin America, Tropical Africa, North America, and Europe.

(Adapted from Houghton 1997.)

in Chapter 28). We calculate the net contribution to the atmosphere as the difference between CO<sub>2</sub> released during clearing and burning and CO<sub>2</sub> taken up by photosynthesis and the accumulation of biomass during reestablishment. At one time, scientists used regional estimates of population growth and land use (forestry and agriculture) together with simple models of vegetation and soil succession to estimate the contribution due to changing land use. More recent estimates use satellite images to quantify these changes (see Figures 29.3 and 29.4 for examples).

### 30.3 Tracking the Fate of CO<sub>2</sub> Emissions

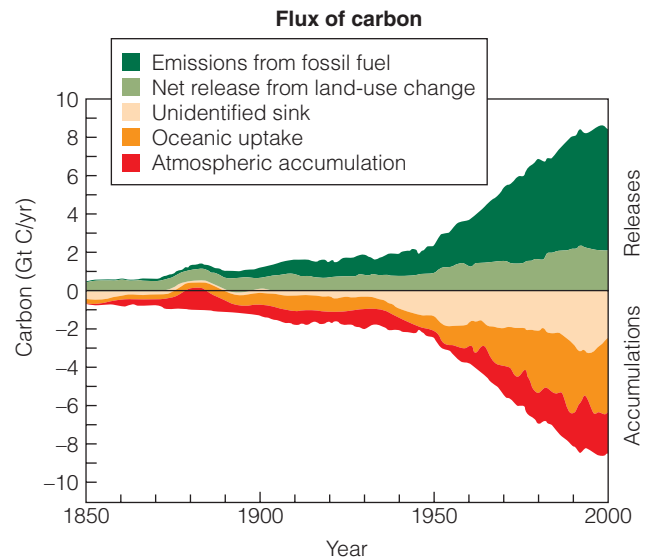
Scientists estimate that the average annual amount of carbon released to the atmosphere during the 1990s was 8.5 gigatons (a gigaton, Gt, is 10<sup>9</sup> metric tons); approximately 6.3 Gt was from combustion of fossil fuels and 2.2 Gt was from changes in land use (forest clearing). To put this number into perspective, if the average weight of a human is 70 kg (approximately 150 pounds), a gigaton would be the weight of more than 14 billion people, or more than two times the world’s population.

Direct measurements of atmospheric CO<sub>2</sub> over this same period show an annual accumulation of carbon in the atmosphere of only 3.2 gigatons. The difference, 5.3 gigatons, must have flowed from the atmosphere into the other main pools in the global carbon cycle: the oceans and the terrestrial ecosystems (see Figure 23.5). Determining the fate of CO<sub>2</sub> put into the atmosphere from the burning of fossil fuel requires input from a variety of scientific disciplines, as well as a large dose of detective work.

The process of diffusion controls uptake of carbon dioxide from the atmosphere into the oceans (see Section 3.7). Because physical processes largely control this transfer, scientists are able to make reasonably accurate estimates. The estimate of annual uptake of carbon dioxide by the oceans during the 1990s is 2.4 gigatons. In contrast, although the processes controlling the exchange of carbon between terrestrial ecosystems and the atmosphere are generally well understood, quantifying these processes at a regional to global scale is extremely difficult. As a result, a simple process of elimination is used to estimate global uptake of carbon by terrestrial ecosystems. Carbon that has been emitted over a specified period of time but cannot be accounted for by measurements of atmospheric carbon concentration or estimates of oceanic absorption is relegated to the terrestrial ecosystems:

$$\begin{array}{r}
 \text{Net uptake by} \\
 \text{terrestrial ecosystems} = \\
 0.7 \text{ Gt} \\
 \text{Emissions from} - \text{Atmospheric} - \text{Ocean} \\
 \text{fossil fuels} \quad \text{increase} \quad \text{uptake} \\
 6.3 \text{ Gt} \quad \quad 3.2 \text{ Gt} \quad 2.4 \text{ Gt}
 \end{array}$$

Using this approach, Earth’s terrestrial ecosystems are a net sink of carbon, with an annual net uptake of carbon from the atmosphere of 0.7 Gt. However, as noted earlier, scientists estimate that land clearing (deforestation) resulted in an annual input of 2.2 Gt of carbon to the atmosphere from terrestrial ecosystems



**Figure 30.5** The various releases and accumulation of carbon associated with the global carbon cycle, shown graphically over the period 1850–2000. The unidentified sink is referred to as the missing carbon. Go to [QUANTIFY it! at www.ecologyplace.com](http://www.ecologyplace.com) to see trends in emission pollution.

(Adapted from [www.whrc.org/carbon/missingc.htm](http://www.whrc.org/carbon/missingc.htm).)

during this period, not a net uptake of 0.7 Gt. Therefore, there is a discrepancy of 2.9 Gt per year (2.2 + 0.7 Gt) in this analysis. This discrepancy has been called the problem of the “missing carbon.” The terms of the global carbon equation just presented are shown graphically over the period 1850–2000 in Figure 30.5.

Some studies suggest that any possible net uptake of carbon by terrestrial ecosystems may result from reforestation in temperate regions of the Northern Hemisphere. The regrowth of forests followed the large-scale abandonment of lands cleared for agriculture during the latter part of the 19th and early part of the 20th centuries (see Ecological Issues: American Forests). Although reforestation may be a key component in balancing the global carbon cycle, more recent studies suggest that the tropical forests represent a much larger sink of carbon than previously believed, possibly accounting for a significant proportion of the missing carbon. Determining the fate of carbon input to the atmosphere through the burning of fossil fuels, however, requires an understanding of the processes controlling carbon exchange among the major components of the global carbon cycle as well as how these exchanges might be influenced by rising atmospheric concentrations of CO<sub>2</sub>.

### 30.4 Atmospheric CO<sub>2</sub> Concentrations Affect CO<sub>2</sub> Uptake by Oceans

Carbon dioxide diffuses from the atmosphere into the surface waters of the ocean, where it dissolves and undergoes various chemical reactions, including the transformation to carbonates and bicarbonates (see Section 3.7). The rate of diffusion from the atmosphere to the surface waters of the ocean is a function of the

## FIELD STUDIES

## Erika Zavaleta

Environmental Studies Department, University  
of California, Santa Cruz, California



Human activities are altering Earth's atmosphere and climate in a variety of ways. Increases in atmospheric concentrations of CO<sub>2</sub> are contributing to rising global temperatures as well as changes in annual patterns of precipitation (see Section 30.6). Global anthropogenic nitrogen (N) fixation (see Ecological Issues: Nitrogen Fertilizers) now exceeds all natural sources of N fixation, and its products include greenhouse gases such as N<sub>2</sub>O.

How these global changes in climate and atmospheric chemistry may alter the diversity of plant communities by changing resource availability and affecting individual species performances is a question central to the research of ecologist Erika Zavaleta of the University of California, Santa Cruz. Since the mid-1990s, Zavaleta and her colleagues at Stanford University have been studying the response of California's grassland ecosystems to changes in climate, atmospheric CO<sub>2</sub>, and N-deposition based on future scenarios developed for the region.

Zavaleta's studies were conducted in the California grassland at the Jasper Ridge Biological Preserve in the San Francisco Bay Area. The grassland community is composed of annual grasses, annual and biennial forbs, and occasional perennial bunchgrasses, forbs, and shrubs. Annual grasses are the community dominants, contributing the majority of plant biomass during the period of peak primary productivity in the growing season.

In this region's mediterranean-type climate, annual plants (both grasses and forbs) germinate with the onset of the fall-winter rains (see Section 24.6). Plants then set seed and senesce as water limitation becomes severe with the cessation of



**Figure 1** An experimental study plot at the Jasper Ridge Biological Reserve.

rain in March–May. The small stature and short life span of the annual plants that dominate these communities make this site an excellent experimental system to examine community response to altered environmental conditions over a period of multiple generations.

In 1997, Zavaleta and colleagues established 32 circular plots 2 m in diameter and surrounded each with a solid belowground partition to 50 cm depth. Each plot was then divided into four 0.78 m<sup>2</sup> quadrats, using solid partitions belowground and mesh partitions aboveground. Four global change treatments were applied to the experimental plots: (1) elevated CO<sub>2</sub> (ambient plus 300 ppm), (2) warming (80W/m<sup>2</sup> of thermal radiation resulting in soil-surface warming of 0.8–1.0°C), (3) elevated precipitation (increased by 50 percent, including a growing-season extension of 20 days), and (4) N-deposition (increased by 7g/m<sup>2</sup>/day).

The experimental design of the plots and application of treatments can be seen in the photograph in Figure 1. CO<sub>2</sub> was elevated by using a free-air system with emitters surrounding each plot and delivering pure CO<sub>2</sub> at the canopy level. Warming was applied with infrared lamps suspended over the center of each plot. Extra precipitation was delivered with overhead sprinklers and drip lines. The growing season extension was delivered in two applications, at 10 and 20 days after the last natural rainfall event. N-deposition was administered with liquid (in autumn) and slow-release (in winter) Ca(NO<sub>3</sub>)<sub>2</sub> applications each year.

The four treatments were applied in one- to four-way treatment combinations (see Figure 2 caption for description of treatment combinations), each replicated eight times. Treatments were begun in November 1998 and continued for a period of three years.

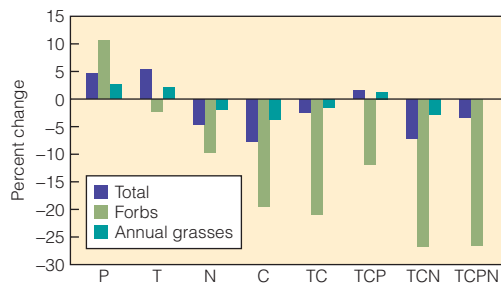
To evaluate the influence of the global change treatments on the grassland community within the experimental plots, a census was conducted in May of each year to determine plant species diversity on each plot. Plant diversity was quantified using species richness (total number of species).

After three years, three of the four global change treatments had altered total plant diversity (Figure 2). N-deposition reduced total plant species diversity by 5 percent, and elevated CO<sub>2</sub> reduced overall plant diversity by 8 percent. In contrast, elevated precipitation increased plant diversity by 5 percent. The fourth

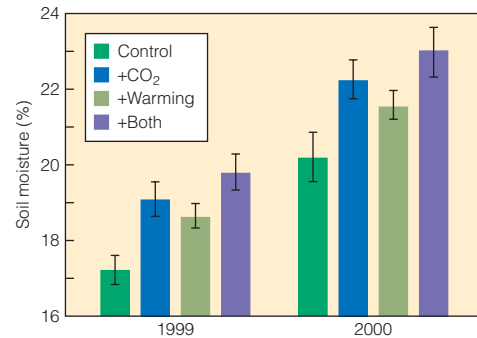
treatment, elevated temperature, had no significant effect on plant species diversity in the experimental plots. The effects of elevated CO<sub>2</sub> N-deposition, and precipitation on total diversity were driven mainly by significant gains and losses of forb species (see Figure 2), which make up most of the native plant diversity in California grasslands. Reduced diversity in the N-deposition treatment was partly a function of the loss of all three N-fixing forb species at the site. In contrast to forb species, annual grass diversity was relatively unresponsive to all individual global change treatments.

All four treatment-combination scenarios produced mean declines in forb diversity of greater than 10 percent (see Figure 2). Diversity of this functional group, which includes many of the remaining native and rare species in California grasslands, seems susceptible to decline regardless of whether N-deposition and precipitation increase. The effects of these four treatment combinations on total plant diversity were not significant, however, because increases in perennial grass diversity partially offset losses of forb species.

Perhaps the most interesting and unexpected result from Zavaleta's experiments emerged from a comparison using only a subset of the treatments: elevated CO<sub>2</sub> (C), warming (T), and CO<sub>2</sub> plus warming (C+T). It is generally believed that global warming may increase aridity in water-limited ecosystems, such as the California grasslands, by accelerating evapotranspiration. However, the experiments conducted by Zavaleta and colleagues produced the reverse effect. Simulated warming increased spring



**Figure 2** Changes in the total, forb, and annual grass diversity under single and combined global change treatments. Values are percent difference between controls and elevated levels for each treatment, based on values of mean species richness for each treatment. Treatments: C, CO<sub>2</sub>; T, warming; P, precipitation; N, nitrogen; TC, warming and CO<sub>2</sub>; TCP, warming, CO<sub>2</sub> and precipitation; TCN, warming, CO<sub>2</sub>, and nitrogen; TCPN, warming, CO<sub>2</sub>, precipitation, and nitrogen.



**Figure 3** Warming and elevated CO<sub>2</sub> effects on spring soil moisture for 1999–2000. Values are mean soil moisture from January to July for each year.

soil moisture by 5–10 percent under both ambient and elevated CO<sub>2</sub> (Figure 3). This effect was not caused by decreasing leaf area or plant production under elevated temperatures, but rather by earlier plant senescence (in later May and early June) in the elevated temperature treatments. Lower transpirational water losses resulting from earlier senescence provide a mechanism for the unexpected rise in soil moisture, and this biotic link between warming and water balance may well prove to be an important influence on the response of grassland and savanna communities to climate change.

### Bibliography

- Zavaleta, E. S., B. D. Thomas, N. R. Chiariello, G. P. Asner, and C. B. Field. 2003. Plants reverse warming effect on ecosystem water balance. *Proceedings of the National Academy of Sciences USA* 100:9892–9893.
- Zavaleta, E. S., M. R. Shaw, N. R. Chiariello, H. A. Mooney, and C. B. Field. 2003. Additive effects of simulated climate changes, elevated CO<sub>2</sub>, and nitrogen deposition on grassland diversity. *Proceedings of the National Academy of Sciences USA* 100:7650–7654.

1. In the results of the combined treatments presented in Figure 2, how does increased precipitation influence the effects of elevated CO<sub>2</sub> and temperature on the diversity of forb species?
2. Based on the discussion of plant response to elevated CO<sub>2</sub> presented in Section 30.5, how might changes in stomatal conductance (and transpiration) influence soil moisture over the growing season?

diffusion gradient (difference in concentrations). Therefore, as the concentration of  $\text{CO}_2$  in the atmosphere rises, the diffusion of  $\text{CO}_2$  into surface waters increases.

Given their volume, the oceans have the potential to absorb most of the carbon that is being transferred to the atmosphere by fossil fuel combustion and land clearing. This potential is not realized, because the oceans do not act as a homogeneous sponge that absorbs  $\text{CO}_2$  equally into the entire volume of water.

The oceans effectively function as two layers: the surface waters and deep waters (see Sections 3.4 and 22.10 and Figure 3.8). The average depth of the oceans is 2000 m. Intercepted solar radiation warms the surface waters. Depending on the amount of radiation reaching the surface, the zone of warm water can range from 75 to 200 m in depth. The average temperature of this surface layer is  $18^\circ\text{C}$ . The remainder of the vertical profile (200 to 2000 m depth) is deep waters, whose average temperature is  $3^\circ\text{C}$ . The transition between these two zones, called the thermocline, is abrupt (see Figures 3.8 and 22.25).

In effect, the oceans can be viewed as a thin layer of warm water floating on a much deeper layer of cold water. The temperature difference between these two layers leads to the separation of many processes. Turbulence caused by winds mixes the surface waters, transferring  $\text{CO}_2$  absorbed at the surface into the waters below. Due to the thermocline, however, this mixing does not extend into the deep waters. Mixing between surface waters and deep waters (Figure 30.6) depends on deep ocean currents caused by the sinking of surface waters as they move toward the poles (see Sections 2.5 and 3.8). This process occurs over hundreds of years, limiting the short-term uptake of  $\text{CO}_2$  by the deep waters. Consequently, the amount of  $\text{CO}_2$  that can be absorbed by the oceans over the short term is limited, despite the large volume of water.

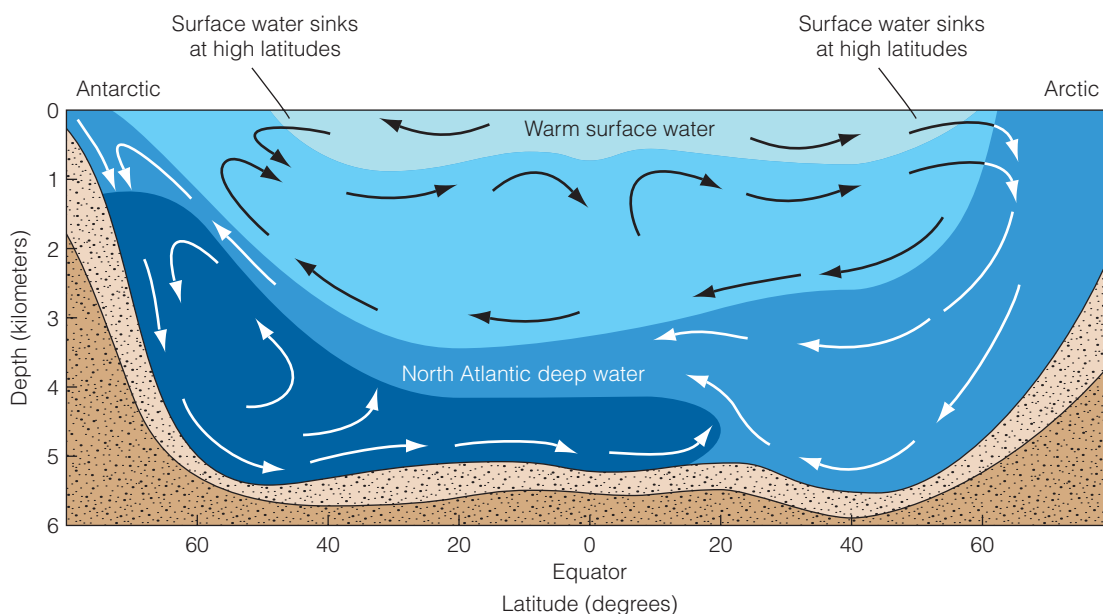
## 30.5 Plants Respond to Increased Atmospheric $\text{CO}_2$

Carbon dioxide flows from the atmosphere into terrestrial ecosystems via photosynthesis (see Section 6.1). To understand how rising atmospheric  $\text{CO}_2$  concentrations influence the productivity of terrestrial ecosystems, we must understand how photosynthesis responds in an enriched  $\text{CO}_2$  environment.

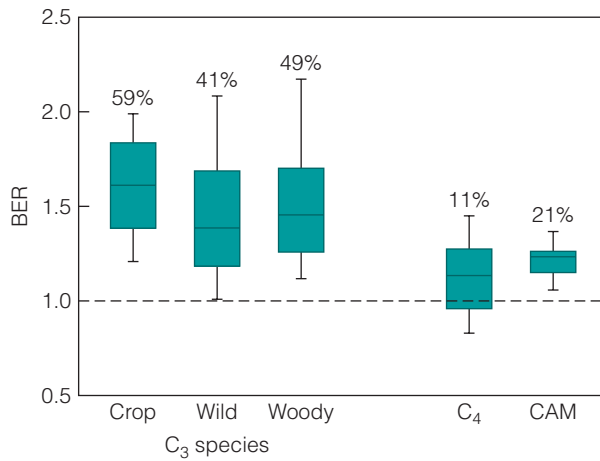
Elevated atmospheric  $\text{CO}_2$  concentrations have two direct, short-term effects on plants. First, they increase the rate of photosynthesis. Recall that  $\text{CO}_2$  diffuses from the air into the leaf through the stomatal openings (see Section 6.3). The higher the  $\text{CO}_2$  concentration in the outside air, the greater the rate of diffusion into the leaf. A higher rate increases the availability of  $\text{CO}_2$  for photosynthesis in the mesophyll cells of the leaf, so it generally results in a higher rate of photosynthesis. The higher rates of diffusion and photosynthesis under elevated atmospheric concentrations of  $\text{CO}_2$  have been termed the  **$\text{CO}_2$  fertilization effect**.

Second, elevated atmospheric  $\text{CO}_2$  concentrations cause stomata to partially close, reducing water loss due to transpiration. Thus, at elevated  $\text{CO}_2$  levels, plants increase their water-use efficiency (carbon uptake and water loss; see Section 6.4).

The effects of long-term exposure to elevated  $\text{CO}_2$  on plant growth and development, however, may be more complicated. Plant ecologists Hendrik Poorter and Marta Pérez-Soba of Utrecht University in the Netherlands reviewed the results from more than 600 experimental studies examining the growth of plants at elevated carbon dioxide levels. These studies examined a wide variety of plant species representing all three photosynthetic pathways:  $\text{C}_3$ ,  $\text{C}_4$ , and CAM (see Chapter 6). Their results revealed that  $\text{C}_3$  species respond most strongly to elevated  $\text{CO}_2$ , with an average increase in biomass of 47 percent (Figure 30.7).



**Figure 30.6** The major pattern of circulation in the Atlantic Ocean. Atlantic surface waters, flowing northward from the tropics, cool and sink when they reach subarctic latitudes. After sinking, these waters become part of the huge, deep, southward countercurrent reaching all the way to the Antarctic.

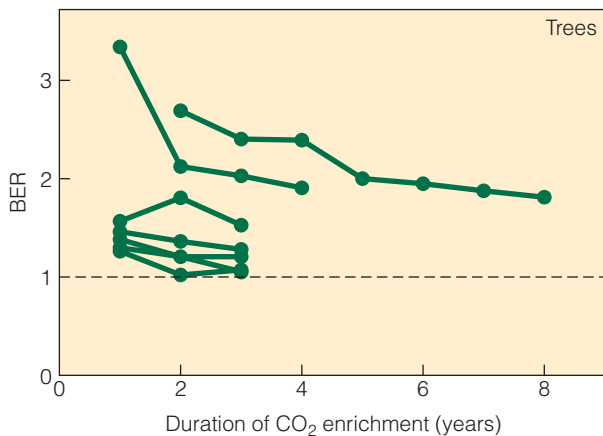


**Figure 30.7** Distribution of biomass enhancement ratio (BER) for several functional types of species. BER is the ratio of biomass growth at elevated and ambient levels of CO<sub>2</sub>. Distributions are based on 280 C<sub>3</sub>, 30 C<sub>4</sub>, and 6 CAM species. C<sub>3</sub> species were separated into three groups: crop, wild herbaceous, and woody species. Boxes indicate the distribution of the range of observation. Line represents median value, lower box 25th percentile, and upper box 75th percentile. Error bars give 10th and 90th percentile. (Adapted from Poorter and Pérez-Soba 2002.)

Data on the response of CAM species were limited, but the mean response for the six species reported was 21 percent. The C<sub>4</sub> species examined also responded positively to elevated CO<sub>2</sub>, with an average increase of 11 percent.

Within C<sub>3</sub> species, on average, crop species show the highest biomass enhancement (59 percent) and wild herbaceous plants the lowest (41 percent). Most of the experiments with woody species were conducted with seedlings, therefore covering only a small part of their life cycle. The growth stimulation of woody plants was on average 49 percent.

In some studies, the enhanced effects of elevated CO<sub>2</sub> levels on plant growth have been short-lived (Figure 30.8). Some



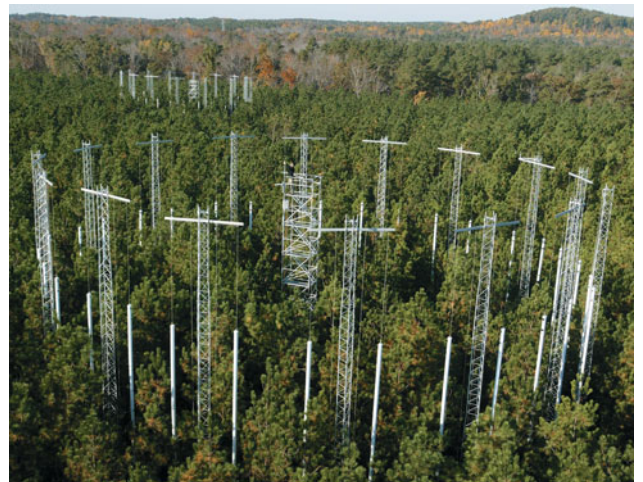
**Figure 30.8** Time course of biomass enhancement ratio (BER) due to elevated CO<sub>2</sub>. BER is the ratio of biomass growth at elevated and ambient levels of CO<sub>2</sub>. Each line represents the results of an experiment with a different tree species. (Adapted from Poorter and Pérez-Soba 2002.)

plants produce less of the photosynthetic enzyme rubisco at elevated CO<sub>2</sub>, reducing photosynthesis to rates comparable to those measured at lower CO<sub>2</sub> concentrations; this phenomenon is known as downregulation. Other studies reveal that plants grown at increased CO<sub>2</sub> levels allocate less carbon to producing leaves and more to producing roots. In addition, plants grown at elevated CO<sub>2</sub> levels appear to produce fewer stomata on the leaf surface. The smaller leaf area and lower stomatal density reduce water loss; but they also reduce total carbon uptake and growth rates.

It is uncertain how the results observed for leaves or single plants translate into changes in the net primary productivity (NPP) of terrestrial ecosystems. Availability of water or nutrients (particularly nitrogen) in many ecosystems may limit potential increases in plant productivity at elevated CO<sub>2</sub> concentrations. Several large-scale experiments are currently examining the effects of elevated CO<sub>2</sub> on whole ecosystems. By exposing whole areas of forest and grassland to elevated CO<sub>2</sub>, scientists are able to examine the variety of processes influencing primary productivity, decomposition, and nutrient cycling in terrestrial ecosystems (Figure 30.9). One such experiment, at the Duke Forest in North Carolina (shown in Figure 30.9), has been ongoing since 1996. Elevated CO<sub>2</sub> has resulted in a consistent increase in NPP over that measured in the control (ambient CO<sub>2</sub>) forest plots (Figure 30.10).

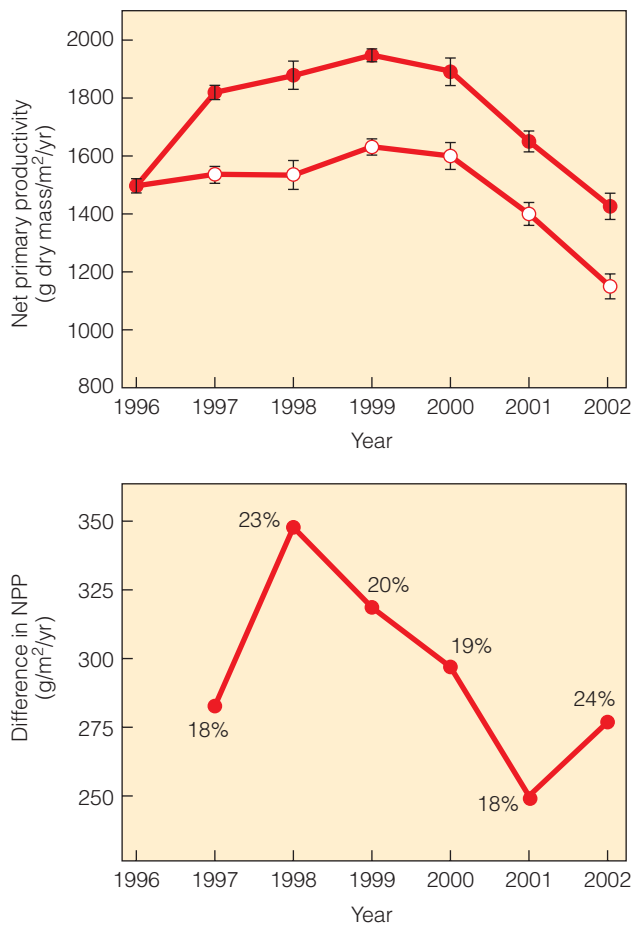
A comparison of field studies in grassland and agricultural ecosystems reveals an average increase in biomass production of 14 percent at elevated CO<sub>2</sub> levels (double ambient concentrations). However, estimates at individual sites ranged from an increase of 85 percent to a decline of almost 20 percent. These results stress the importance of the interactions of elevated CO<sub>2</sub> with other environmental factors, particularly temperature, moisture, and nutrient availability.

Ecosystems characteristic of low-temperature environments tend to show an initial enhancement of productivity after elevated CO<sub>2</sub>, followed by downregulation. A study conducted by Walter Oechel and colleagues examined the response of arctic



**Figure 30.9** The Free Air CO<sub>2</sub> Experiment (FACE) at Duke Forest in North Carolina. The circle of towers releases carbon dioxide into the surrounding air, allowing scientists to examine the response of the forest ecosystem to elevated concentrations of atmospheric carbon dioxide.





**Figure 30.10** (a) Net primary productivity (NPP) under ambient and CO<sub>2</sub> enrichment in the Duke Forest FACE experiment since it began in 1996 (experimental forest shown in Figure 30.9). Solid symbols represent elevated CO<sub>2</sub> conditions; open symbols denote ambient CO<sub>2</sub> conditions. (b) Difference between NPP under elevated and ambient CO<sub>2</sub> conditions, with percentage stimulation of NPP under elevated CO<sub>2</sub> indicated above each data point.

(Finzi et al. 2006.)

### INTERPRETING ECOLOGICAL DATA

**Q1.** How did NPP change over the observation period at Duke Forest? Did the general pattern of change differ for the ambient and double CO<sub>2</sub> treatments?

**Q2.** How does the enhancement of NPP (as expressed by the difference in NPP shown in part (b) under elevated CO<sub>2</sub> change over the observation period? How does the response of NPP to elevated CO<sub>2</sub> for Duke Forest relate to the results of the biomass enhancement experiments presented in Figure 30.8? Are the results of experiments for individual trees in Figure 30.8 consistent with the observed patterns for forest NPP in Figure 30.10?

tundra in Alaska to elevated CO<sub>2</sub>. They observed an initial increase in productivity, but primary productivity returned to original levels after three years of continuous exposure to a doubled CO<sub>2</sub> environment.

The largest and most persistent responses to elevated CO<sub>2</sub> have been observed in seasonally dry environments, where primary productivity is enhanced during years of below-average rainfall. In a study of a tallgrass prairie ecosystem in Kansas, C. E. Owensby and colleagues found no significant increase in

aboveground NPP during wet years (greater-than-average rainfall) for experimental plots exposed to a doubled CO<sub>2</sub> concentration when compared with control plots receiving ambient CO<sub>2</sub>. In contrast, they observed a 40 percent increase in aboveground NPP during years with average rainfall and an 80 percent increase during years with below-average precipitation. Even though these relative increases in NPP are large, they occur in years of low NPP, so that absolute changes may be quite low.

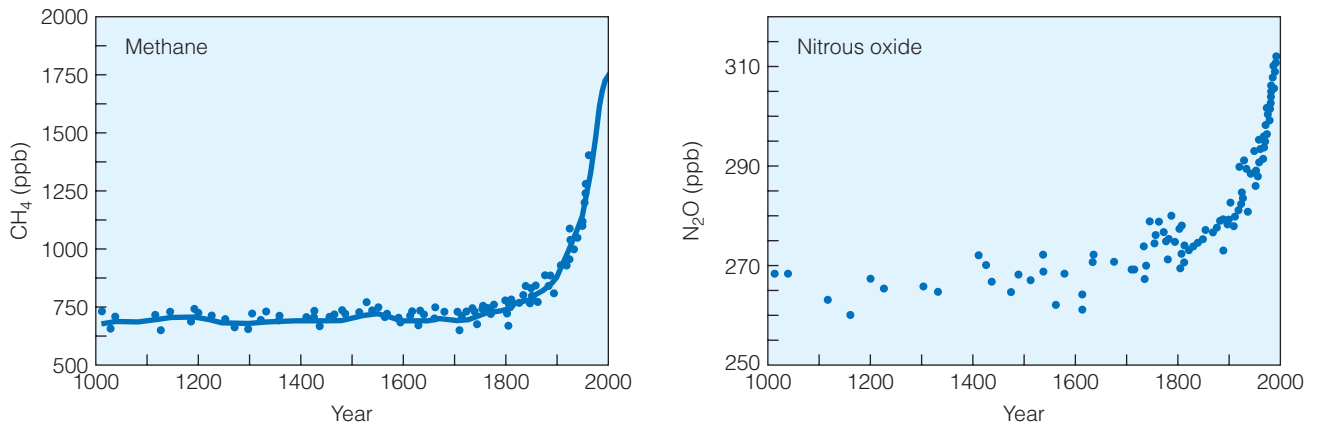
Enhancement of primary productivity by elevated CO<sub>2</sub> in dry environments arises largely from the small reduction in transpiration resulting from partial closure of the stomata. These small reductions have resulted in measurable changes (increases) in soil moisture in grassland ecosystems, particularly during prolonged dry periods (see Field Studies: Erika Zavaleta). Increased soil moisture extends the growing season and increases soil microbial activity, decomposition, and nitrogen mineralization (see Chapter 22).

## 30.6 Greenhouse Gases Are Changing the Global Climate

Over the past 100 years, Earth's average surface temperature has increased by approximately 0.74°C (estimates range from 0.56 to 0.92°C). In addition, 15 of the 16 years from 1995–2010 rank among the warmest years in the instrumental record of global surface temperatures since 1850 (see Ecological Issues: Who Turned Up the Heat?). The cause for the observed warming has been the focus of much scientific research and debate over the past two decades, but now a consensus has begun to emerge. According to the most recent assessment by the Intergovernmental Panel on Climate Change (IPCC 2007), a scientific intergovernmental body set up by the World Meteorological Organization (WMO) and by the United Nations Environment Program (UNEP), most of the observed increase in global average temperature since the mid-20th century is “very likely” due to observed changes in the atmospheric concentrations of greenhouse gases, primarily CO<sub>2</sub>.

As human activities continue to increase the atmospheric concentration of CO<sub>2</sub>, how will it continue to influence the global climate? Scientists estimate that at current rates of emission, the preindustrial level of 280 ppm of CO<sub>2</sub> in the atmosphere will double by the year 2020. Moreover, CO<sub>2</sub> is not the only greenhouse gas increasing because of human activities (Figure 30.11). Others include methane (CH<sub>4</sub>), chlorofluorocarbons (CFCs), hydrogenated chlorofluorocarbons (HCFCs), nitrous oxide (N<sub>2</sub>O), ozone (O<sub>3</sub>), and sulfur dioxide (SO<sub>2</sub>). Although much lower in concentration, some of these gases are much more effective at trapping heat than is CO<sub>2</sub>. They are significant components of the total greenhouse effect.

The role of greenhouse gases in warming Earth's surface is well established, but the specific influence that doubling the CO<sub>2</sub> concentration of the atmosphere will exert on the global climate system is much more uncertain. Atmospheric scientists have developed complex computer models of Earth's climate system—called **general circulation models**, or GCMs for short—to help determine how increasing concentrations of greenhouse gases may influence large-scale patterns of global climate. Although all use the same basic physical descriptions

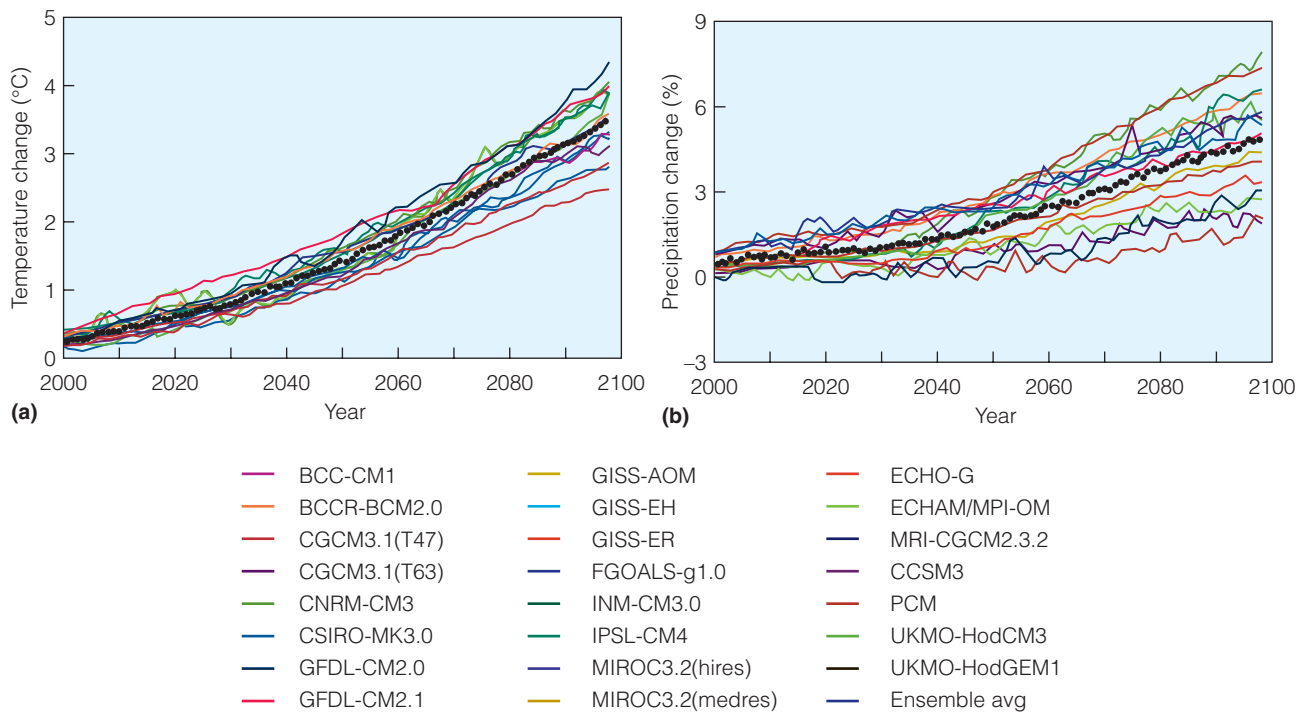


**Figure 30.11** Historic trends in greenhouse gas emissions as illustrated by changes in the atmospheric concentrations of methane and nitrous oxide. (Adapted from IPCC 2007.)

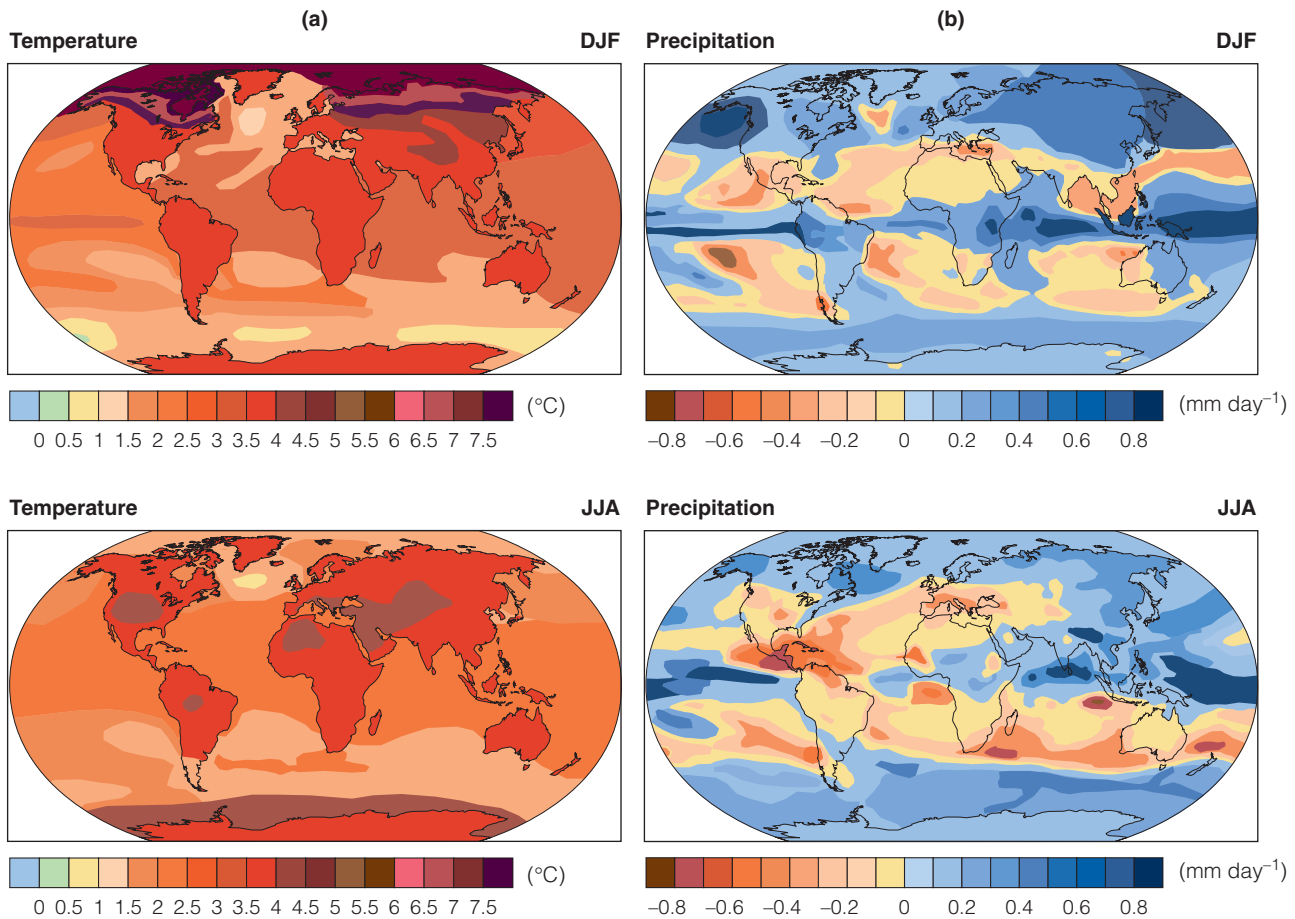
of climate processes, GCMs at different research institutions differ in their spatial resolution and in how they describe certain features of Earth’s surface and atmosphere. As a result, the models differ in their predictions (Figure 30.12).

Despite these differences, certain patterns consistently emerge. All of the models predict an increase in the average global temperature as well as a corresponding increase in global precipitation. Findings published in 2007 by the IPCC suggest an increase in globally averaged surface temperature

in the range of 1.1°C to 6.4°C by the year 2100 (actual range depends on the specific scenario of greenhouse gas emission developed by the IPCC). These changes would not be evenly distributed over Earth’s surface. Warming is expected to be greatest during the winter months and in the northern latitudes. Figure 30.13 shows the spatial variation in changes in mean temperature and precipitation at a global scale for the Northern Hemisphere winter and summer periods based on the most recent (2007) IPCC analyses.



**Figure 30.12** Time series of globally averaged (a) surface air temperature change, and (b) precipitation change from various global circulation models under a scenario (scenario A2) of rising atmospheric concentrations of greenhouse gases developed by the Intergovernmental Panel on Climate Change (IPCC). Changes are relative to the average value for period from 1980 to 1999. Abbreviations refer to the research programs that have developed the various global circulation models used in the analyses. (Adapted from IPCC 2007.)



**Figure 30.13** Mean changes in (a) surface air temperature (°C), and (b) precipitation (mm day<sup>-1</sup>) for Northern hemisphere winter (DJF—December, January, and February: top) and summer (JJA—June, July, and August: bottom) under a scenario of rising atmospheric concentrations of greenhouse gases developed by the IPCC. Results are an average of the patterns predicted by the various global circulation models in Figure 30.12. Changes are for the period 2080 to 2099 relative to 1980 to 1999. Note that most of the warming is predicted to occur in the more northern latitudes and during the winter months. (IPCC 2007.)

Although in popular speech *greenhouse effect* is synonymous with *global warming*, the models predict more than just hotter days. One of the most notable predictions foretells an increased variability of climate, including more storms and hurricanes, greater snowfall, and increased variability in rainfall, depending on the region.

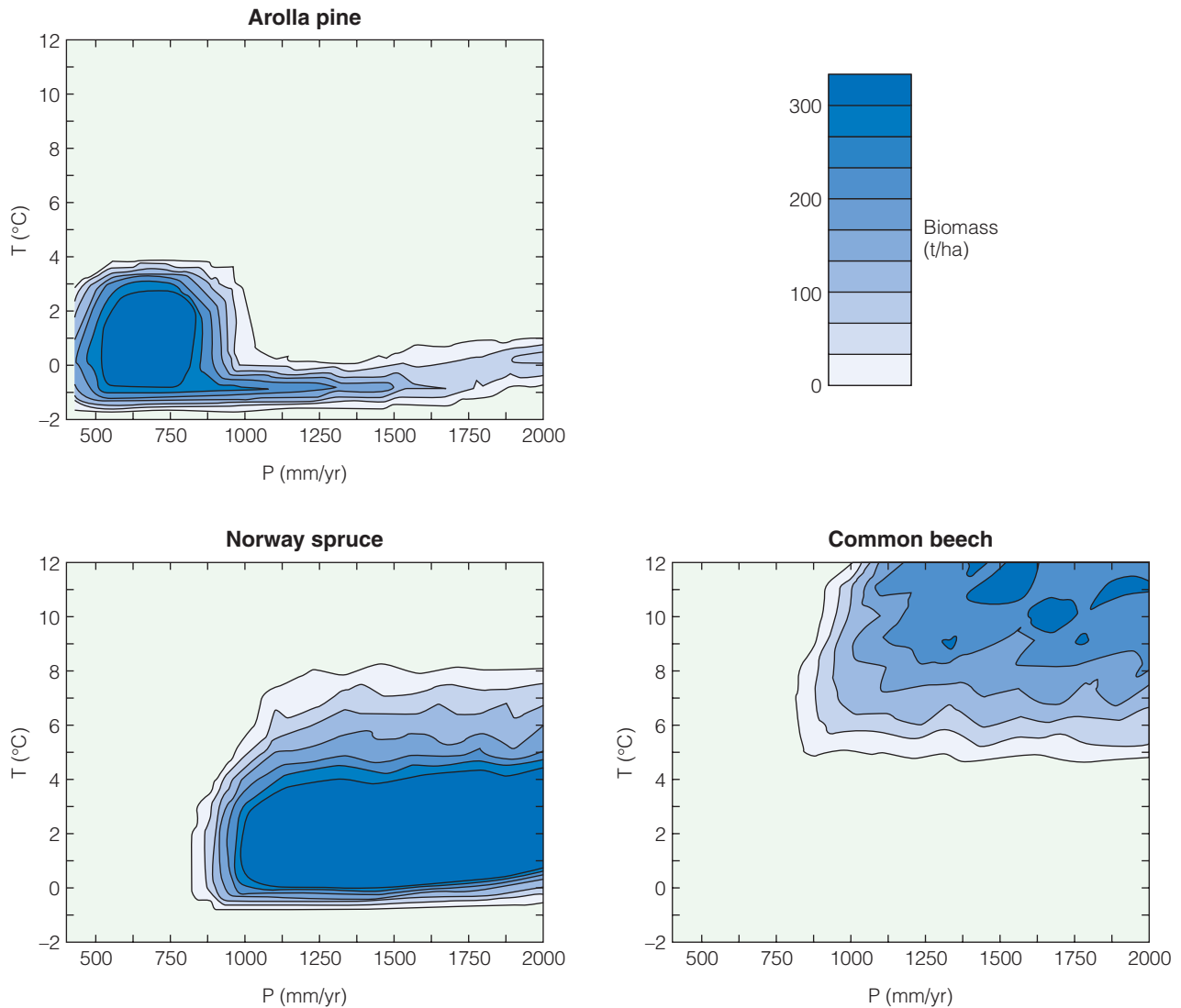
As models of global climate improve, there will no doubt be further changes in the patterns and the severity of changes they predict. However, the physics of greenhouse gases and the consistent qualitative predictions of the GCMs lead scientists to believe that rising concentrations of atmospheric CO<sub>2</sub> will significantly affect global climate.

### 30.7 Changes in Climate Will Affect Ecosystems at Many Levels

Climate influences almost every aspect of the ecosystem: the physiological and behavioral response of organisms (Chapters 5–7); the birth, death, and growth rates of populations (Chapters 8–12); the relative competitive abilities of species (Chapter 14);

community structure (Chapters 17–20); productivity (Chapter 21); and cycling of nutrients (Chapter 22). Current research on greenhouse warming focuses on the response of organisms at all levels of organization: individuals, populations, communities, and ecosystems. Changes in temperature and water availability will directly affect the distribution and abundance of individual species. For example, the relative abundance of three widely distributed European tree species is plotted as a function of mean annual temperature and rainfall in Figure 30.14. Differing environmental responses determine the distribution and abundance of these three important tree species over the European landscape. Their distribution and abundance will change as regional patterns of temperature and precipitation change.

The potential impact of regional climate change on plant species distribution can be more clearly seen from the work of Anantha Prasad and Louis Iverson of the Northeast Research Station, U.S. Forest Service. Using data from the Inventory and Analysis Program of the U.S. Forest Service, Prasad and Iverson developed statistical models to predict the distribution of 80 different tree species inhabiting the eastern United



**Figure 30.14** Abundance (biomass t/ha) of three common European tree species as it relates to mean annual temperature (T) and precipitation (P).

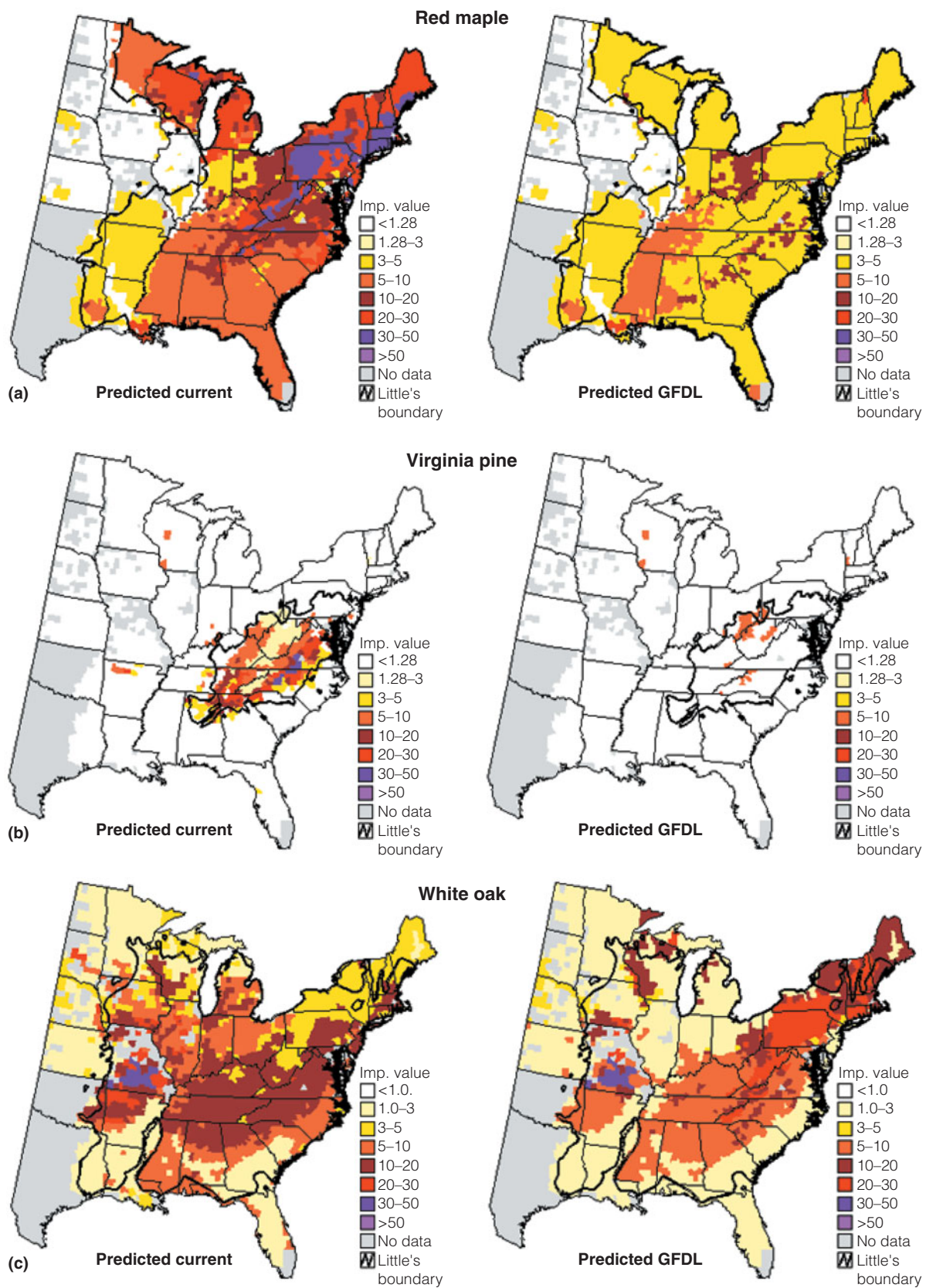
(Adapted from Miko et al. 1996.)

States. Individual tree species distributions are predicted as a function of variables describing climate, soils, and topography for any location. This framework allows the investigators to predict shifts in the distribution of these tree species based on changes in temperature and precipitation for the region from a variety of GCM predictions at doubled levels of  $\text{CO}_2$ . Predicted distributions for three major tree species in the eastern United States under current and doubled  $\text{CO}_2$  climate conditions using the National Oceanic and Atmospheric Administration (NOAA) Geophysical Fluid Dynamics Laboratory (GFDL) general circulation model (see Figure 30.12) are presented in Figure 30.15. These predicted changes in temperature and precipitation will dramatically influence the distribution and abundance of tree species that dominate the forest ecosystems of the eastern United States.

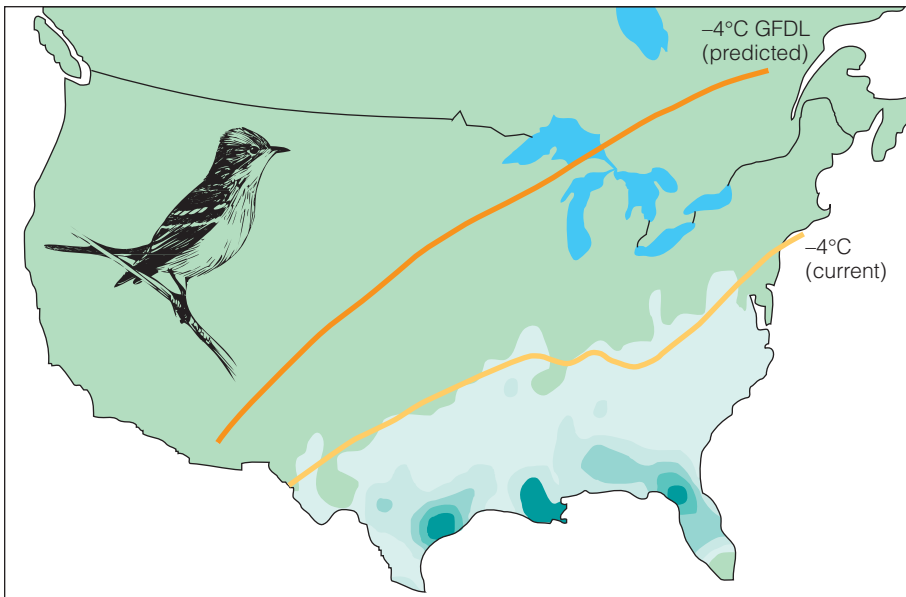
The distribution and abundance of animals are also directly related to features of the climate. For example, the northern limit of the winter range of the Eastern phoebe (*Sayornis phoebe*) is

associated with average minimum January temperatures of  $-4^\circ\text{C}$ . The phoebe is not found in areas where temperatures drop below this value. Two lines, or isotherms, defining the region of eastern North America where average minimum January temperatures of  $-4^\circ\text{C}$  occur are plotted in Figure 30.16 (on page 601). Minimum temperatures drop below  $-4^\circ\text{C}$  in areas to the north and west of the lines, whereas temperatures are above  $-4^\circ\text{C}$  to the south and east. The two isotherms show the current  $-4^\circ\text{C}$  average minimum January temperature isotherm and the  $-4^\circ\text{C}$  isotherm predicted by the GFDL general circulation model for a doubled atmospheric concentration of  $\text{CO}_2$ . A change in the isotherm would be expected to result in a northern expansion of the Eastern phoebe's winter range.

Collectively, the shifts in individual species' distributions will have the effect of changing regional patterns of species diversity. Prasad and Iverson used their analysis of tree species distribution in the eastern United States under conditions of climate change (see Figure 30.15) to explore the implications



**Figure 30.15** Distributions of (a) red maple, (b) Virginia pine, and (c) white oak under both current climate and a doubled CO<sub>2</sub> climate as predicted by the GFDL general circulation model. Species abundances expressed in terms of importance value (sum of relative density, basal area, and frequency). Little's boundary refers to the observed distribution of the species as reported by Little (1977). See text for description of model used for predicting species distributions based on climate and site factors. (Adapted from Iverson et al. 1999.)

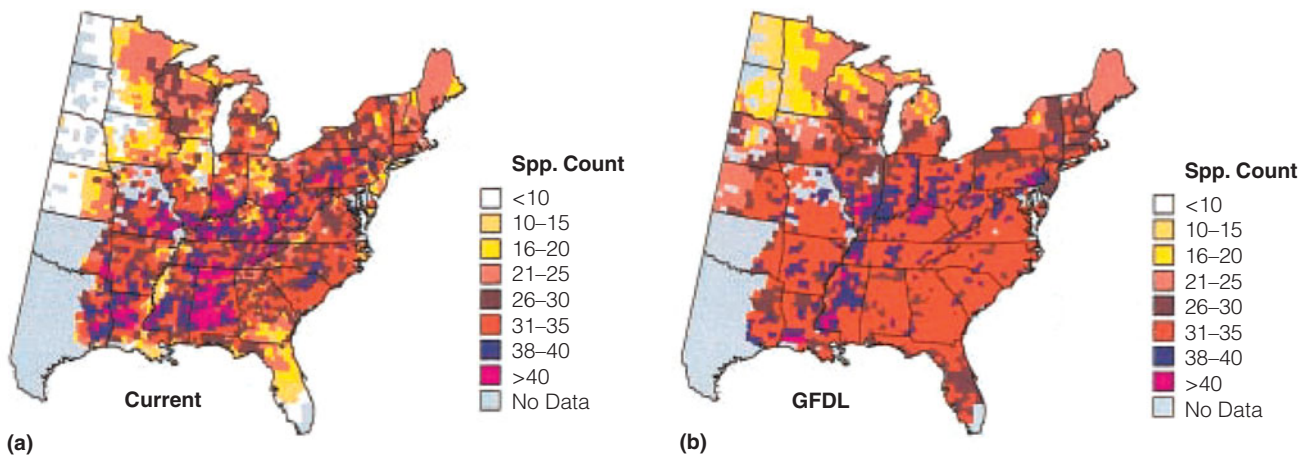


**Figure 30.16** Map showing the existing distribution of the Eastern phoebe along the current average minimum January temperature isotherm, as well as the predicted isotherm under a changed climate. The predicted isotherm is based on temperature changes due to a doubling of atmospheric CO<sub>2</sub> concentration as predicted by the GFDL general circulation model (shown in Figure 30.12a). (Adapted from Root 1988.)

on regional patterns of diversity. By combining the predicted shifts in the distributions of all 80 tree species, they were able to examine the resulting changes in local and regional patterns of tree species richness (Figure 30.17). Under the climate conditions predicted by the GFDL model, there is a marked decline in tree species richness in the southeastern United States.

For most taxonomic groups, however, we do not have sufficient information on the environmental factors controlling the distribution of individual species to allow for an analysis such as that performed by Prasad and Iverson for the trees of eastern

North America. For other groups of organisms, we must depend on more general relationships between features of the environment and overall patterns of diversity. For example, we examined the work of ecologist David Currie of the University of Ottawa in correlating the broad-scale patterns of species diversity at the continental scale to features of the physical environment (see Chapter 27, Section 27.4). Currie found that the richness of most terrestrial animal groups, including vertebrates, covaries with features of the physical environment related to the energy and water balance of organisms: temperature,



**Figure 30.17** (a) Current tree species richness as determined from forest inventory data, and (b) potential future richness under the climate patterns predicted by the GFDL climate model under conditions of a doubled atmospheric concentration of CO<sub>2</sub>.

(Adapted from Iverson and Prasad 2001.)

**INTERPRETING ECOLOGICAL DATA**

- Q1.** What is the general trend in tree species richness for the eastern region of the United States under the GFDL climate change scenario presented in this figure?
- Q2.** Is there any area of the region included in the maps that is predicted to have a significant increase in tree species richness under the GFDL scenario? If so, what is the dominant ecosystem in this area (or areas) under the current climate? (See Chapter 24 for maps of current ecosystem distribution in the region.)

## ECOLOGICAL ISSUES Who Turned Up the Heat?

Is Earth's climate changing? According to the Intergovernmental Panel on Climate Change (IPCC), the answer is unequivocally yes. This conclusion is drawn from a suite of observations that allow scientists to track changes in the global climate over the past century (Figure 1). Widespread direct measurements of surface temperature began around the middle of the 19th century. These direct measures from instruments such as thermometers are referred to as the instrumental record. Observations of other surface weather variables, such as precipitation and winds, have been made for about 100 years.

Besides measurements made at the land surface, observations of sea surface temperatures have been made from ships since the mid-19th century. Since the late 1970s, a network of instrumented buoys has supplemented these observations. Measurements of the upper atmosphere have been made systematically since the late 1940s, but since the late 1970s, Earth-observing satellites have

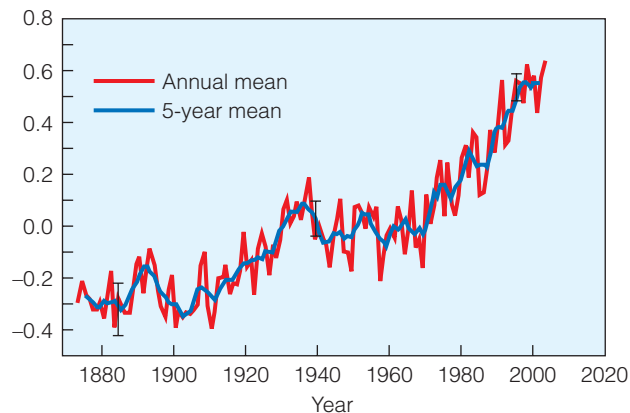
been providing a continuous record of global observations for a wide variety of climate variables.

So what do these climate records reveal? The global average surface temperature has increased by  $0.74^{\circ}\text{C}$  ( $\pm 0.2^{\circ}\text{C}$ ) since the early 20th century. The five warmest years in the instrumental record since 1850 are, in descending order, 2005, 2010, 1998, 2003, and 2002. New analyses of daily maximum and minimum land-surface temperatures for 1950 to 2000 show that the diurnal temperature range is decreasing. On average, minimum temperatures are increasing at about twice the rate of maximum temperatures ( $0.2^{\circ}\text{C}$  versus  $0.1^{\circ}\text{C}/\text{decade}$ ). In other words, nighttime temperatures (minimum) have increased more than daytime temperatures (maximum) over this period.

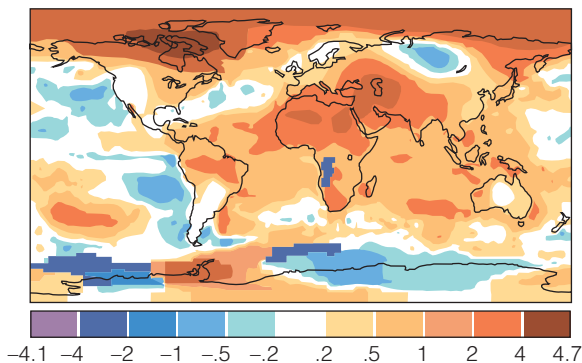
New analyses also indicate that global ocean heat content has increased significantly since the late 1950s. More than half of the increase in heat content has occurred in the upper 300 m of the ocean; in this layer the temperature has increased at a rate of about  $0.04^{\circ}\text{C}/\text{decade}$ .

Scientists generally agree that the climate has changed significantly over the past century, but debate continues about the answer to the more difficult question: "Why is it changing?" The debate centers on two points. The first relates to the instrumental data measuring the trend in land-surface temperatures. Most weather stations are located in urban areas, which are typically warmer than the surrounding rural areas (see Ecological Issues: Urban Microclimates). Recent studies, however, have worked to remove this potential bias from the data. Current findings by the IPCC have established that the warming trend over the past century is independent of the effects of urbanization.

The second point of debate relates to the difficulty in determining a meaningful long-term trend from an instrumental record that covers less than two centuries. Climate varies on an array of timescales, and Earth has gone through periods of warming and cooling in the past. For example, the Northern Hemisphere is still recovering from the last glacial maximum, some 18,000–20,000 years before the present (see Section 19.9), when surface temperatures were much colder. Climate reconstructions of the more recent past (1000 years ago to the present), however, suggest that the warming trend observed over the past century is consistent with that expected from the rising atmospheric concentrations of greenhouse gases. A consensus has begun to emerge, with the most recent IPCC report (2007) stating that most of the observed increase in global average temperature since the mid-20th century is "very likely" due to observed changes in the atmospheric concentrations of greenhouse gases. The debate will likely continue for years to come, but the real question is, "How hot will it get?"



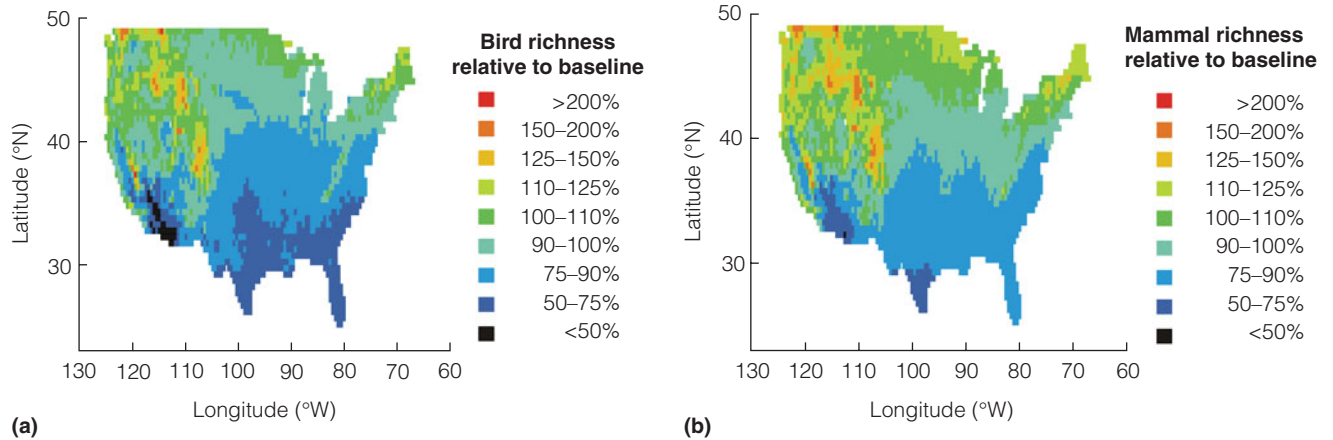
(a) Global-Mean Surface Temperature Anomaly ( $^{\circ}\text{C}$ )



(b) 2010 Surface Temperature Anomaly ( $^{\circ}\text{C}$ )

**Figure 1** (a) Combined annual land-surface air and sea-surface temperature anomalies ( $^{\circ}\text{C}$ ) from 1880 to 2010. The anomalies are the difference between annual temperature for any given year and the average annual temperature for the period 1951–1980. (b) Global pattern of surface temperature anomalies, as defined in (a), for the year 2010. (NASA GISS 2010.)

1. Go to the website for the U.S. Global Change Research Program (National Climate Change Assessment) at [www.usgcrp.gov/usgcrp/nacc/background/regions.htm](http://www.usgcrp.gov/usgcrp/nacc/background/regions.htm). The report discusses predicted climate change for various regions of the United States. What changes in climate are predicted to occur in your region because of global climate change?
2. How might these changes affect the natural ecosystems (forest, grassland, coastal salt marshes, etc.) found in your area?



**Figure 30.18** Changes in (a) bird, and (b) mammal species richness, relative to current species richness resulting from the climatic changes associated with doubling of atmospheric  $\text{CO}_2$ . Richness was projected using five GCMs. (Adapted from Currie 2001.)

evapotranspiration, and incident solar radiation. In a more recent study, Currie has used the relationship between climate (specifically, mean January and July temperature and precipitation) and species richness at a regional scale to predict changes in bird and mammal diversity for the conterminous United States under conditions of a climate change (Figure 30.18). His analyses predict a northward shift in the regions of highest diversity, with species richness declining in the southern areas of the United States while increasing in New England, the Pacific Northwest, and in the Rocky Mountains and the Sierra Nevada.

These regional analyses of changes in species diversity in response to climate reflect correlations between species distributions and features of the physical environment. We know, however, that the distribution and abundance of species is also a function of species interactions within the community (competition, mutualism, predator–prey). Changes in the growth and reproductive rates of species in response to climate change may well influence the nature of these species interactions, altering patterns of zonation and succession (see Chapters 17–19). Given the difficulty of experimentally changing climate conditions in the field, few studies have examined these effects (see Field Studies: Erika Zavaleta). However, one such experiment was conducted in a meadow community in the Rocky Mountains of Colorado. Using electric heaters suspended 2.6 m above five experimental plots, scientists were able to raise soil temperature and influence soil moisture and the timing of snowmelt. In heated plots, the density of shrubs increased at the expense of grass and forb species. Results suggest that the increased warming expected under an atmosphere with a doubled concentration of  $\text{CO}_2$  would shift the dominant vegetation of the widespread mountain meadow habitat. Shrubs would compete better in the altered environment. Such shifts have a major impact on plant communities as well as associated animal species.

In a similar approach, the International Tundra Experiment (ITEX) was established in late 1990 as a coordinated group of field experiments aimed at understanding the potential impact of warming at high latitudes on tundra ecosystems.



**Figure 30.19** The International Tundra Experiment (ITEX) uses small, passive, clear plastic, open-top chambers to warm the tundra and extend the growing season. The chambers raise the daily temperature of the tundra plant canopy by  $1.5^\circ\text{C}$  to  $1.7^\circ\text{C}$ , which is in the range predicted by global climate simulations. This experiment, which has been in place in the Barrow Environmental Observatory for three years and for one year at Atkasuk, Alaska, has already provided new insights on flower and growth responses to warming.

Investigators from 13 countries are applying a range of standard field techniques including passive warming of tundra vegetation using open-top chambers and manipulating snow depth to alter growing season length (Figure 30.19). Studies are examining species-, community-, and ecosystem-level responses to warming in the Arctic region.

Changes in climate also affect vegetation indirectly, through decomposition and nutrient cycling. In terrestrial ecosystems, these processes depend on temperature and available moisture (see Section 22.4). Decomposition proceeds faster under warmer, wetter conditions. An ongoing experiment at Harvard Forest in Massachusetts is examining the effect of



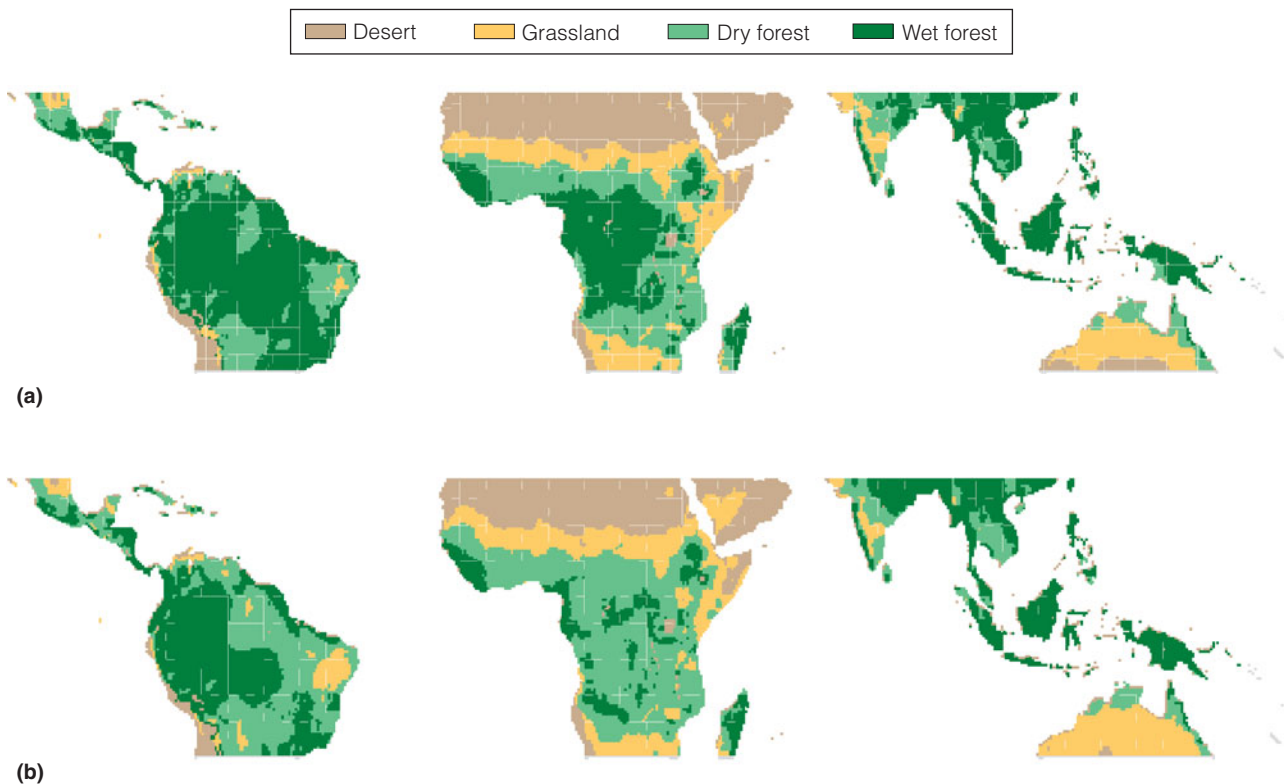
elevated soil temperatures on rates of decomposition and nutrient cycling in a forested ecosystem. Buried heating cables raise the soil temperature by 5°C. Initial results show a 60 percent increase in rates of soil respiration (CO<sub>2</sub> emissions), a direct result of increased microbial and root respiration. The results are consistent with patterns of soil respiration observed in other forests in warmer regions around the world. They indicate that greenhouse warming will increase rates of decomposition and microbial respiration, leading to a significant rise in emissions of CO<sub>2</sub> from soils to the atmosphere.

### 30.8 Changing Climate Will Shift the Global Distribution of Ecosystems

By studying past climate fluctuations, ecologists have learned a great deal about the responses of terrestrial ecosystems to changing climate conditions. Pollen samples from sediment cores taken in lake beds have allowed paleobotanists to reconstruct the vegetation of many regions existing during the past 20,000 years. The work of Margaret Davis in reconstructing the distribution of tree species in eastern North America since the last glacial maximum is a good example (see Section 19.9 and Figure 19.19). Tree genera migrated northward at different rates after the retreat of the glaciers. The migration rates

depended on how well a species' physiology, dispersal ability, and competitive interactions with other tree species let it respond to changes in climate. Such studies show that the existing forest communities in eastern North America are a recent result of different responses of tree species to changing climate. As Earth's climate has changed in the past, the distribution and abundance of organisms and the communities and ecosystems they compose have changed (see Figure 19.20).

It is virtually impossible to develop experiments in the field to examine the long-term response of terrestrial ecosystems to a future climate change. This limitation means that scientists must base predictions on computer models, such as the one by Prasad and Iverson presented in Figure 30.15. Perhaps the simplest but most telling of these are the biogeographical models that relate the distribution of ecosystems to climate. Since the days of early naturalists, plant ecologists have recognized the link between climate and plant distribution (see the introduction to Part Seven). For example, tropical rain forests are found in the wet tropical regions of Central and South America, Africa, Asia, and Australia. According to the biogeographical model developed by L. R. Holdridge, within these regions the distribution of tropical rain forests is limited to areas where mean annual temperatures are at or above 24°C and annual precipitation is above 2000 mm. Tropical regions that meet these climate restrictions are shown on the map in Figure 30.20a. Under



**Figure 30.20** Maps of the areas in the tropical zone that could possibly support rain forest ecosystems as predicted by the Holdridge biogeographical model of ecosystem distribution. Map (a) is the area of tropical rain forest under current climate conditions, and (b) is the predicted area under changed climate conditions predicted by the United Kingdom Meteorological Office general circulation model for a doubled atmospheric CO<sub>2</sub> concentration.

(Adapted from Smith et al. 1992.)

the changed temperature and rainfall patterns predicted by the United Kingdom Meteorological Office (UKMO) GCM for a doubled atmospheric CO<sub>2</sub> concentration, this distribution changes dramatically (Figure 30.20b). The region that can support tropical wet forest under this scenario shrinks by 25 percent. This decline is a direct result of drying due to higher temperatures. In some areas, the drying is caused by increased temperatures accompanied by decreased precipitation. In other areas, precipitation increases—but not enough to meet the increased demand for water (evaporation and transpiration) resulting from the increased temperatures.

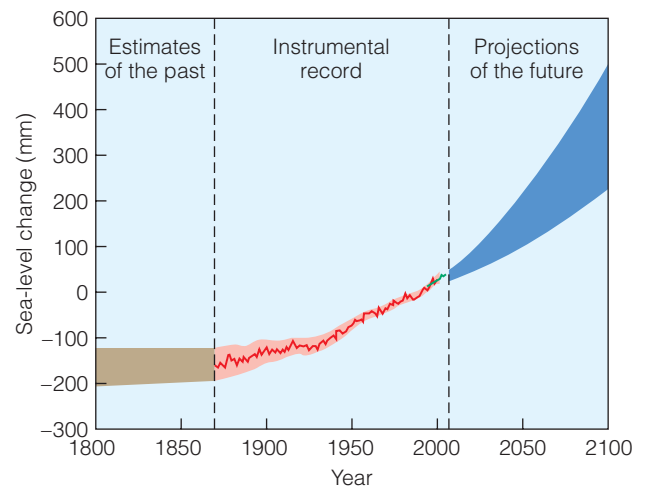
Together with the demands of agriculture and forestry this scenario would devastate the tropical rain forest ecosystems as well as the diversity of life they support (see Chapter 28). Although tropical rain forests cover only 6 percent of the total land area, they are home to more than 50 percent of all terrestrial plant and animal species. Currently, deforestation in the tropics is the single major cause of species extinction, with annual rates of extinction ranging in the thousands of species (see Chapter 29). The loss of tropical rain forest predicted by the UKMO climate model would result in far more extinction.

Changes in global patterns of temperature would also affect the distribution of aquatic ecosystems (see Chapter 25). For instance, the global distribution of coral reefs is limited to the tropical waters where mean surface temperatures are at or above 20°C. Reef development is not possible where the mean minimum temperature is below 18°C. Optimal reef development occurs in waters where the mean annual temperatures are 23°C to 25°C, and some corals can tolerate temperatures up to 36°C to 40°C. A warming of the world's oceans would alter the potential range of waters in which reef development is possible, allowing for reef formation farther up the eastern coast of North America.

Ecologists are far from providing a complete analysis of the potential impacts of a global climate change. There is little question, however, that changes in patterns of temperature and precipitation of the magnitude predicted by climate models will significantly influence the distribution and functioning of both terrestrial and aquatic ecosystems.

### 30.9 Global Warming Would Raise Sea Level and Affect Coastal Environments

During the last glacial maximum some 18,000 years ago, sea level was 100 m lower than today. The highly productive shallow coastal waters, such as the continental shelf of eastern North America, were above sea level and were covered by terrestrial ecosystems (see Figure 19.20). As the climate warmed and the glaciers melted, sea level rose. Over the past century, sea level has risen at a rate of 1.8 mm per year (Figure 30.21). This is due to the general pattern of global warming over this period and the associated thermal expansion of ocean waters and melting of glaciers. The 2007 IPCC report estimates that global mean sea level will rise by 0.18 to 0.59 m between the years 1990 and 2100 but with considerable regional variation.

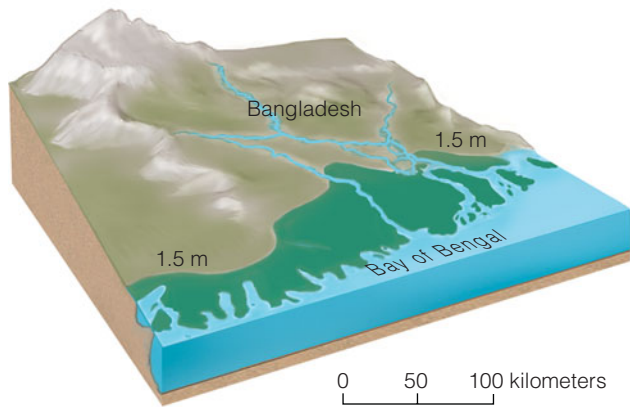


**Figure 30.21** Time series of global mean sea level (deviation from the 1980–1999 mean) in the past and as projected for the future. For the period before 1870, global measurements of sea level are not available. The grey shading shows the uncertainty in the estimated long-term rate of sea-level change. The red line is a reconstruction of global mean sea level from tide gauges, and the red shading denotes the range of variation. The green line shows global mean sea level observed from satellite data. The blue shading represents the range of model projections for a scenario of rising atmospheric concentrations of greenhouse gases developed by the IPCC (scenario A1B). Projected values for the 21st century are relative to the 1980 to 1999 mean. (IPCC 2007.)

A rise of this magnitude will have serious effects on coastal environments from the perspectives of both natural ecosystems and human populations.

A large portion of the human population lives in coastal areas; in fact, 13 of the world's 20 largest cities are located on coasts. Particularly vulnerable are delta regions, low-lying countries such as the Netherlands, Surinam, and Nigeria, and the smaller low-lying islands of the Pacific and other oceans. Bangladesh, an Asian country of about 120 million inhabitants, is located in the delta region of the Ganges, Brahmaputra, and Meghna Rivers (Figure 30.22). Approximately 25 percent of the country's population lives in areas below 3 m above sea level; about 7 percent of the country's habitable land and 6 million people reside in areas less than 1 m above sea level. Estimates of sea-level rise in this region due to a combination of land subsidence (a result of land collapsing in response to removal of groundwater) and global warming are 1 m by the year 2050 and 2 m by the year 2100. Although there is great uncertainty in these estimates, the effect on Bangladesh would be devastating.

Other coastal regions of Southeast Asia and Africa would be equally affected by the predicted rise in sea level. In Egypt, about 12 percent of the arable land, with a population over 7 million, would be affected by a rise in sea level of 1 m. In the coastal areas of eastern China, a sea-level rise of just half a meter would inundate an area of approximately 40,000 km<sup>2</sup> where more than 30 million people currently live.



**Figure 30.22** Land area in Bangladesh that would be submerged (dark green area of map) if sea level rose by 1 m. (Adapted from Nicholls and Leatherman 1995.)

Small islands are also particularly vulnerable to a sea-level rise. More than half a million people live in the archipelagos of small islands and coral atolls. Two examples are the Maldives in the Indian Ocean and the Marshall Islands of the Pacific. These island chains lie almost entirely below 3 m above sea level. A half-meter or more rise in sea level would dramatically reduce their land area and have a devastating impact on groundwater (freshwater) supply.

A sea-level rise will also have major effects on coastal ecosystems. Among these effects are direct inundation of low-lying wetlands and dryland areas, erosion of shorelines through loss of sediments, increased salinity of estuaries and aquifers, rising coastal water tables, and increased flooding and storm surges. Estuarine and mangrove ecosystems would be highly susceptible to a sea-level rise of the magnitude predicted (see Chapter 26). Coastal salt marshes are dependent on the twice-daily tidal inundation of saltwater mixing with the freshwater provided by streams and rivers. Patterns of water depth, temperature, salinity, and turbidity are critical to maintaining these ecosystems. The invasion of saltwater farther into the estuary due to a rise in sea level would be disastrous and could cause salination of land adjacent to the estuary margins. Estuarine and mangrove environments are critical to coastal fisheries. More than two-thirds of the fish caught for human consumption, as well as many birds and animals, depend on the coastal marshes and mangroves for part of their life cycles.

### 30.10 Climate Change Will Affect Agricultural Production

Despite technological advances in improved crop varieties and methods of irrigation, climate and weather remain the key factors determining agricultural production. Changes in the global climate patterns will exacerbate an already increasing problem of feeding the world population, which is predicted to double in size over the next half century.

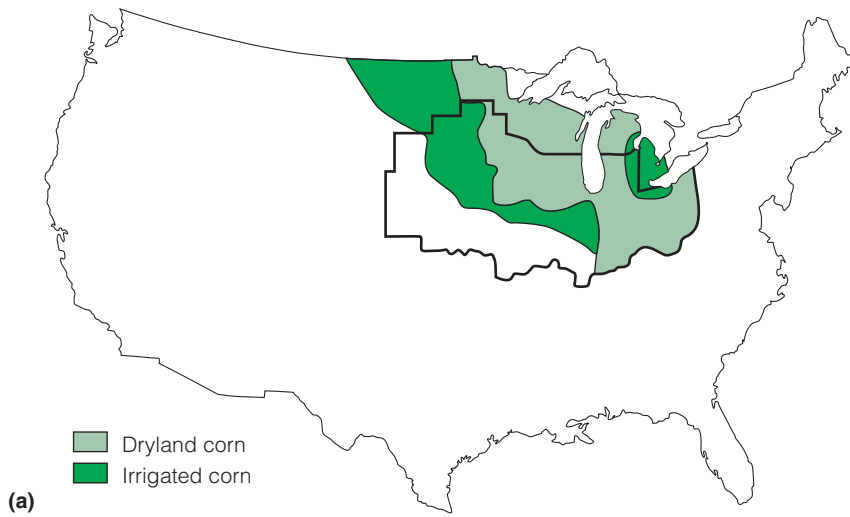
The major cereal crops that feed people—wheat, corn (maize), and rice—are domesticated species. Like native species, these crops exhibit environmental tolerances to temperature and moisture that control survival, growth, and reproduction. Changes in regional climate conditions will directly influence their suitability and productivity and therefore will affect current patterns of agricultural production. However, these changes will be complex, with economic and social factors interacting to influence patterns of global food production and distribution.

In examining potential effects of greenhouse warming on agricultural production (Figure 30.23), increasing concentrations of  $\text{CO}_2$  as well as climate changes must be considered. The results of many studies suggest that most crop species (and varieties) will benefit from a rise in  $\text{CO}_2$  concentration (see Figure 30.7). For example, in an experiment in Arizona, cotton and spring wheat were grown in field conditions under elevated  $\text{CO}_2$  and irrigation. Cotton yield increased by 60 percent and wheat by more than 10 percent compared with crops grown under identical field conditions and ambient concentrations of  $\text{CO}_2$ .

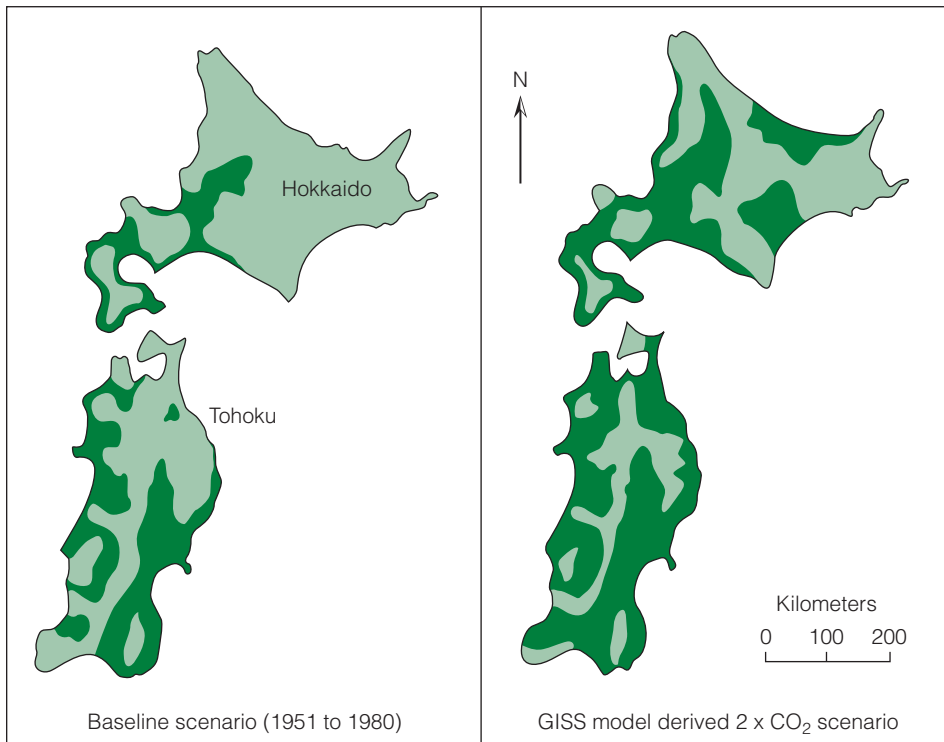
One of the simplest ways to evaluate the potential implications of a climate change on agriculture is to examine shifts in the geographic range of certain crop species as they relate directly to climate. For example, an average daily temperature increase of  $1^\circ\text{C}$  during the growing season would shift the corn belt (region of highest corn production) of the United States significantly to the north (Figure 30.23a). A similar analysis for the shift in suitable regions for irrigated rice production in northern Japan is shown in Figure 30.23b. In both examples, the shifts in agricultural zones imply significant changes in regional land-use patterns, with associated economic and social costs. Although analyses of this type can provide insight into changing patterns of regional agricultural production, evaluating the actual effect on global food production and markets requires a more detailed interdisciplinary approach.

The Environmental Change Unit at Oxford University has carried out a collaborative study with agricultural scientists from 18 countries to examine the regional and global impacts of climate change on world agricultural production. Various assumptions were made about farmers' ability to adapt to changing environmental conditions through shifts in the species or varieties of crops grown or changes in agricultural practices such as irrigation. The analysis also assumes a continuation of current economic growth rates, certain changes in current trade restrictions, and projected estimates of population growth.

A major finding of the study is that the negative effects of climate change are to some extent compensated for by increased productivity resulting from elevated atmospheric concentrations of  $\text{CO}_2$ . The net effect of a climate change as predicted by the general circulation models, including a doubling of atmospheric  $\text{CO}_2$  concentrations, is to reduce the global production of cereal crops by up to 5 percent. An important point is that this reduction is not evenly distributed across the globe, or even within a given region or country (Figure 30.24).



**Figure 30.23** Regional shifts in areas suitable for crop production under a changed climate as predicted by the Goddard Institute for Space Studies GCM: (a) Shift in the region suitable for corn production in the United States. (b) Shift in areas suitable for irrigated rice production in northern Japan. Areas in dark green are suitable for irrigated rice production. (a) (Adapted from Blasing and Solomon 1983.) (b) (Adapted from Yoshino et al. 1988.)

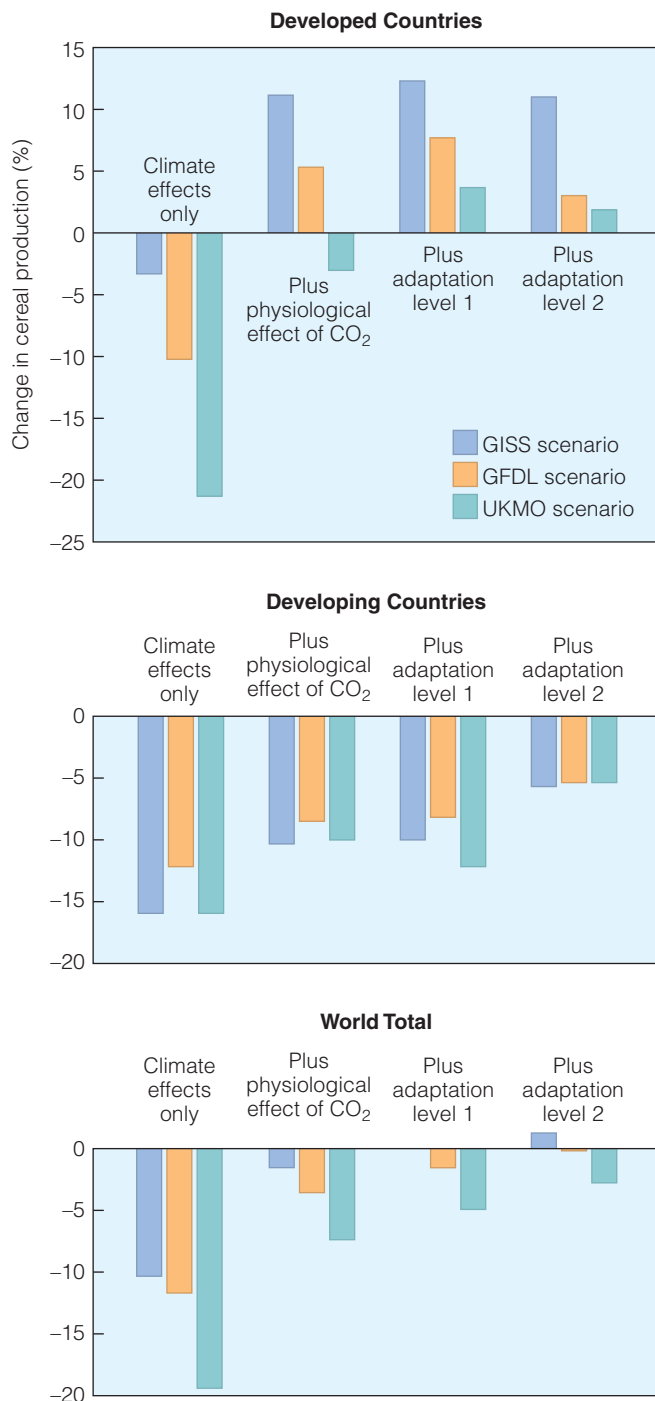


(b)

The predicted changes would increase the current disparity in cereal crop production between developed and developing countries. Results of the study tend to show an increase in production in developed countries, particularly in the middle latitudes (temperate regions). In contrast, production in developing nations, as a group, would decline by as much as 10 percent, with an associated increase in the population at risk of hunger. In many of these regions, climatic variability and marginal climatic conditions for agriculture are worsened under the predicted patterns of global climate change.

### 30.11 Climate Change Will Directly and Indirectly Affect Human Health

Climatic change will have various direct and indirect effects on human health. Direct effects would include increased heat stress, asthma, and other cardiovascular and respiratory ailments. Indirect health effects are likely to include increased incidence of communicable diseases, increased mortality and injury due to increased natural disasters (floods, hurricanes, etc.), and changes in diet and nutrition due to changed agricultural production.



Several studies have examined the direct relationship between maximum summer temperatures and mortality rates. Climate change is expected to change the frequency of very hot days. For example, if the average July temperature in Chicago, Illinois, were to rise by 3°C, the probability that the heat index will exceed 35°C (95°F) during the month increases from 1 in 20 to 1 in 4. In the United States, warm and humid conditions during summer nights lead to the highest mortality. The greatest death toll in the United States occurred during the summer of 1936, when 4700 excess deaths were recorded due to heat-related causes. In recent decades, 1200 excess deaths occurred in Dallas during the summer of 1980 and 566 in Chicago during July 1995 (Figure 30.25). Analyses of climate change scenarios

**Figure 30.24** Changes in cereal (wheat, corn, and rice) production in response to climate change predictions from three different general circulation models. Changes are relative to base line estimates of production for the year 2060. Four different scenarios are evaluated: (1) changes in grain production in response to changes in climate only, (2) changes in climate together with expected increases in productivity due to elevated CO<sub>2</sub>, (3) changes in production under scenario 2 plus the incorporation of level 1 adaptations, and (4) changes in production under scenario 2 with level 2 adaptations. Level 1 adaptations included changes in crop variety but not the crop, changes in planting date (less than one month) and the application of additional waters to already irrigated lands. Level 2 adaptations additionally include changes in the type of crops planted where necessary, additions of fertilizers, changes in planting dates by more than one month, and the additional use of irrigation in lands not currently under irrigation. Analyses were conducted at a country and regional level, but have been aggregated into the broad categories of developed countries, developing countries, and world for presentation. In addition to differences in economic development, developed and developing countries reflect geographic differences. Most of the developed countries are in the temperate regions, while most of the developing countries are in the tropical and subtropical regions.

(From IPCC 2007.)

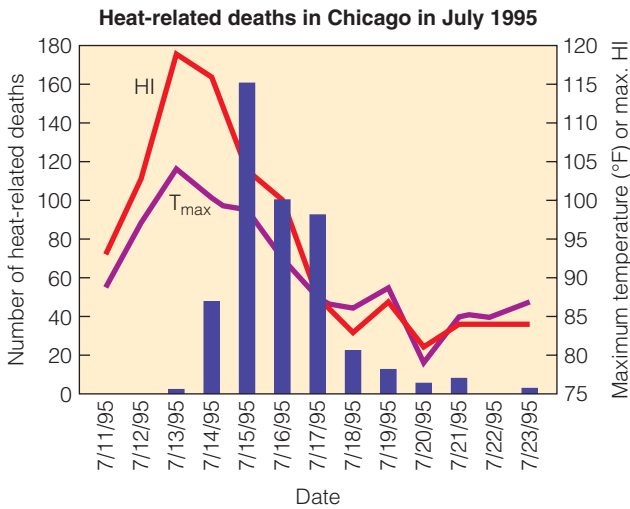
show a significant rise in heat-related mortality in all regions of the United States over the next several decades (Figure 30.26). During heat waves, cardiovascular and respiratory illnesses are the major causes of mortality. The elderly and children are typically in the greatest danger during these periods.

In addition to direct heat-related mortality, the distribution and rates of transmission for various infectious diseases will be influenced by changes in regional climate patterns. Disease consists of agents—such as viruses, bacteria, or protozoa—and host organisms (humans). Some diseases are transmitted to humans by intermediate organisms, or vectors (see Section 16.4). Insects are a primary vector of human disease. Although acting as carriers, the insects themselves are not affected by the disease agent. Insect-borne viruses (referred to as arbovirus, short for arthropod-borne virus) cover a wide variety of diseases. The most common insects involved in transmitting arboviruses are mosquitoes, ticks, and blood flukes (schistosomes). Approximately 102 arboviruses can produce disease in humans. Of that number, approximately 50 percent have been isolated from mosquitoes. Insects that carry these disease agents are adapted to specific ecosystems for survival and reproduction and exhibit specific tolerances to climatic features such as temperature and humidity. Changes in the climate will affect their distribution and abundance, as is true of the Eastern phoebe (see Section 30.7, Figure 30.16).

One insect-borne disease is malaria, a recurring infection produced in humans by protozoan parasites transmitted by the bite of an infected female mosquito of the genus *Anopheles*. The optimal breeding temperature for *Anopheles* is 20°C to 30°C, with relative humidity over 60 percent. Mosquitoes die at temperatures above 35°C and relative humidity less than 25 percent. Forty percent of the world's population is currently at risk for malaria, and more than 2 million people are killed each year by this disease. The current distribution of malaria will be extensively modified under a climate change. Expansion of the *Anopheles* mosquito's geographic range into currently more temperate climates is expected to increase the proportion



**Figure 30.25** This graph tracks maximum temperature ( $T_{max}$ ), heat index (HI), and heat-related deaths in Chicago each day from July 11 to 23, 1995. The maroon line shows maximum daily temperature, the red line shows the heat index, and the bars indicate number of deaths for the day. (Adapted from NOAA/NCDC.)

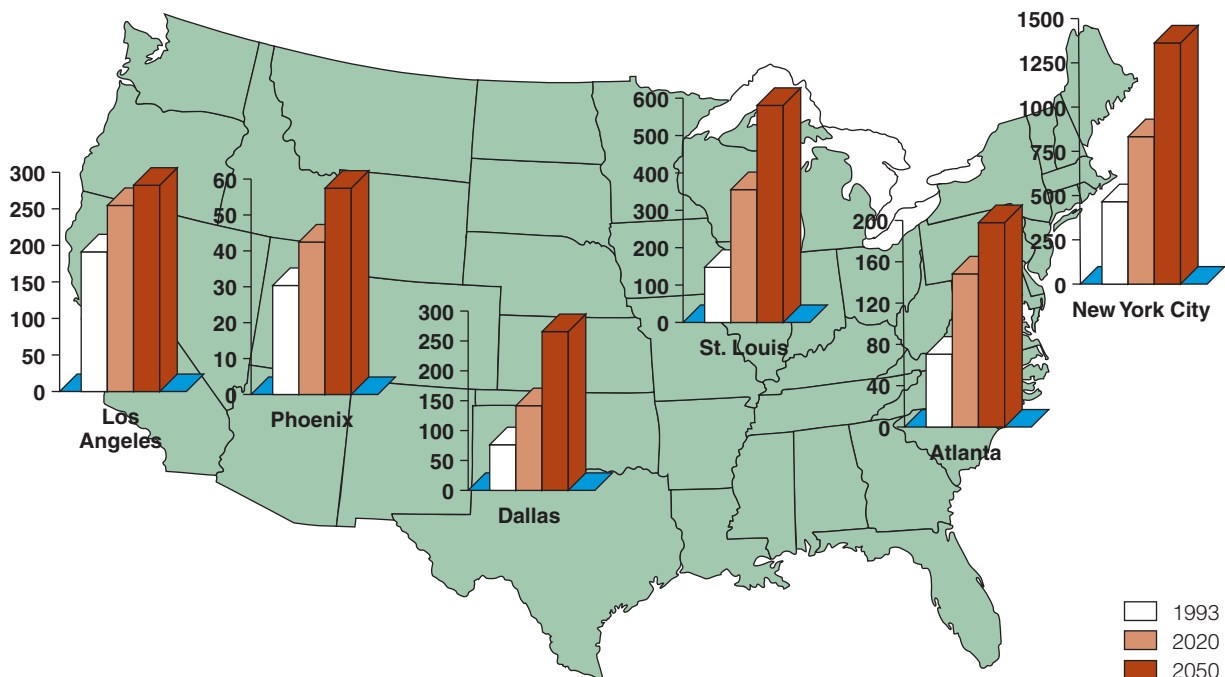


of the world’s population at risk of this infectious disease to more than 60 percent by the latter part of the 21st century.

Dengue and yellow fever, two related viral diseases, are also transmitted by mosquitoes. Their vector is the mosquito *Aedes aegypti*, which is adapted to the urban environment. Colonization by this mosquito is limited to areas with an average daily temperature of 10°C or greater. The virus that causes yellow fever lives in mosquitoes only when temperatures exceed 24°C under high relative humidity. Epidemics occur when mean annual temperatures exceed 20°C, making this a disease of the tropical forested regions. Yellow fever is currently prevalent across Africa and Latin America but has been detected as far north as the midlatitude ports of Bristol, Philadelphia, and Halifax, where the mosquitoes have survived in the water tanks of ships that have traveled from tropical regions. A climate change would directly influence the distribution of both the virus and its vector, the mosquito.

### 30.12 Understanding Global Change Requires the Study of Ecology at a Global Scale

Increasing atmospheric concentrations of CO<sub>2</sub> and other greenhouse gases, and the potential changes in global climate patterns that may result, present a new class of ecological problems. To



**Figure 30.26** Average annual excess weather-related mortality for the years 1993, 2020, and 2050 in various cities of the United States. Future projections of weather-related mortality are based on changes in climate predicted by the Geophysical Fluid Dynamics Laboratory GCM. (Adapted from Kalkstan and Green 1997.)

understand the effect of rising CO<sub>2</sub> emissions from fossil fuel burning and land clearing, we have to examine the carbon cycle on a global scale linking the atmosphere, hydrosphere, biosphere, and lithosphere (see Figure 23.4). The discussion in previous sections focuses on rising CO<sub>2</sub> concentrations and the effects of climate changes on populations, communities, and ecosystems, but the possible effects are not unidirectional. As presented in Section 30.8, ecosystems also influence atmospheric CO<sub>2</sub> and regional climate patterns. For example, if climate does change as shown in Figure 30.19, the global distribution and abundance of tropical rain forests will decline dramatically. Tropical rain forests are the most productive terrestrial ecosystems on the planet. A significant decline in these ecosystems will reduce global primary productivity, the uptake of CO<sub>2</sub> from the atmosphere, and CO<sub>2</sub> storage as organic carbon in biomass. In fact, as tropical rain forests shrink, atmospheric CO<sub>2</sub> will increase. The drying of these regions will kill trees, increase fires, and transfer carbon stored in the living biomass to the atmosphere as CO<sub>2</sub> in much the same way that forest clearing does in these regions (see Section 30.2). The rise in atmospheric CO<sub>2</sub> will increase the greenhouse effect, further exacerbating the problem. In this case, changes in the terrestrial surface act as a positive feedback loop to rising atmospheric concentrations of CO<sub>2</sub>.

But if rising atmospheric CO<sub>2</sub> level and changing climate increase the productivity of the world's ecosystems, they will take up more CO<sub>2</sub> from the atmosphere. Increased productivity will function as negative feedback, drawing down atmospheric CO<sub>2</sub> concentrations.

Besides changing climate indirectly by influencing atmospheric concentrations of CO<sub>2</sub>, changes in the distribution of rain forests can affect climate directly by altering regional precipitation patterns. In some regions, such as extensive areas of tropical rain forest, a significant portion of the precipitation is water that has been transpired from the vegetation in the area. In effect, water is being recycled locally through the hydrologic

cycle (see Figure 3.2). Removing the forest (either through deforestation or by shifting the distribution of ecosystems as in Figure 30.20) reduces transpiration and increases runoff to rivers that transport water from the area. Experiments using GCMs and regional climate models have examined the potential effects of large-scale deforestation in the Amazon Basin. Findings suggest that the loss of forest cover would result in a significant reduction in annual precipitation by reducing the internal cycling of water within the forest. This would effectively change the region's climate, making it unlikely that the rain forest could be reestablished.

Gordon Bonan and colleagues at the National Center for Atmospheric Research (NCAR) in Boulder, Colorado, have presented another example of a direct influence of terrestrial ecosystems on regional climate. The largest degree of warming under elevated CO<sub>2</sub> is in the northern latitudes (see Section 30.6). The predicted warming would significantly reduce snow cover in this region and shift the distribution of boreal forests to the north. A major factor influencing the relative absorption and reflection of shortwave radiation (solar radiation) by Earth's surface is its albedo. Albedo is an index of the ability of a surface to reflect solar radiation back to space. Snow has a high albedo, or reflectance, whereas vegetation (with its darker color) has a low albedo. Both reduced snow cover and the northern movement of the boreal forest would reduce the regional albedo, therefore increasing the amount of solar radiation absorbed by Earth's surface. This increase in the absorption of radiation would further increase regional temperatures, functioning as a positive feedback loop.

These are not simple connections. To understand the interactions among the atmosphere, oceans, and terrestrial ecosystems requires that ecologists study Earth as a single, integrated system. It is only by developing a global ecology that ecologists, working with oceanographers and atmospheric scientists, will come to understand the potential consequences of the increasing concentration of CO<sub>2</sub> in the atmosphere over the next century.

---

## Summary

### Greenhouse Gases and Climate 30.1

A variety of chemical compounds in the atmosphere absorb thermal (longwave) radiation emitted by the Earth's surface and atmosphere. These compounds are referred to as greenhouse gases. The atmosphere is warmed by this mechanism, referred to as the greenhouse effect. Concentrations of these greenhouse gases have been rising, causing concern over possible impacts on Earth's climate.

### Rising Atmospheric Concentrations of CO<sub>2</sub> 30.2

Direct observations beginning in 1958 reveal an exponential increase in the atmospheric concentration of CO<sub>2</sub>. The rise is a direct result of fossil fuel combustion and the clearing of land for agriculture.

### Fate of CO<sub>2</sub> Emissions 30.3

Of the CO<sub>2</sub> released from fossil fuel combustion and land clearing, only about 60 percent remains in the atmosphere. The remainder is taken up by the oceans and terrestrial ecosystems. Calculations of diffusion of CO<sub>2</sub> into the surface waters provide an estimate of uptake by the oceans. Carbon uptake by terrestrial ecosystems is calculated as the difference between inputs to the atmosphere, atmospheric concentrations, and uptake by oceans.

### Ocean Uptake 30.4

More than 85 percent of the ocean volume is deep water (> 200m depth). Carbon dioxide diffuses from the atmosphere into surface waters. The rise in atmospheric concentrations results in increased uptake of CO<sub>2</sub> into surface waters. The thermocline

limits vertical mixing and therefore the rate of CO<sub>2</sub> transfer from the surface to deep waters.

### Plant Response 30.5

In general, plants respond to increased atmospheric CO<sub>2</sub> with higher rates of photosynthesis and partial closure of stomata. These responses increase water-use efficiency. Responses to long-term exposure vary, including increased allocation of carbon to the production of roots, reduced allocation to the production of leaves, and reduction in stomatal density. Scientists are studying long-term effects on net primary productivity.

### Global Climate Change 30.6

Carbon dioxide is a greenhouse gas. It traps longwave radiation emitted from Earth's surface, warming the atmosphere. Rising atmospheric concentrations of CO<sub>2</sub> and other greenhouse gases could raise the global mean temperature by 1.1°C to 6.4°C by the year 2100. Warming will not be uniform over Earth. The greatest warming is predicted to come during the winter months and at northern latitudes. Increased variability in climate is predicted, including changes in precipitation and the frequency of storms. The input of sulfates and other aerosols from human sources acts to reduce the input of solar radiation to Earth's surface, thereby reducing warming.

### Climate Change and Ecosystems 30.7

The distribution and abundance of species will shift as temperature and precipitation change. Changes in climate will influence the competitive ability of species and thus change patterns of community zonation and succession. Ecosystem processes such as decomposition and nutrient cycling are sensitive to temperature and moisture, and changing climate will affect them.

### Distribution of Ecosystems 30.8

Changes in climate also will shift the distribution and abundance of both terrestrial and aquatic ecosystems. These changes

in ecosystem distribution influence global patterns of plant and animal diversity.

### Climate Change and Sea Level 30.9

Sea level is currently rising globally at an average rate of 1.8 mm per year. It is estimated that global warming will cause sea level to rise 0.18 to 0.59 m by 2100, as the polar ice caps melt and warmer ocean waters expand. A sea-level rise of this magnitude will have major effects on people living in coastal areas. In addition, rising sea level will affect coastal ecosystems such as beaches, estuaries, and mangroves.

### Climate Change and Agriculture 30.10

Climate change will affect global agricultural production. Decreases in crop production from drier conditions will be partially offset by increased rates of photosynthesis under elevated atmospheric CO<sub>2</sub> levels; however, current models project a 5 percent decline in global production of cereal crops. This decline is not distributed evenly. Developed countries in the middle latitudes will realize a slight increase, whereas production in developing countries in the tropics will decline. The result will be increased hunger.

### Climate Change and Human Health 30.11

Climate change will have both direct and indirect effects on human health. Mortality rates are expected to rise as a result of heat-related deaths associated with respiratory and cardiovascular ailments. Indirect health effects include increased mortality and injury from climate-related natural disasters, as well as changes in diet and nutrition resulting from changes in agricultural production. Distribution and transmission rates of various insect-borne infectious diseases that are directly related to climate, such as malaria, will also be affected.

### Global Ecology 30.12

To understand the effect of rising atmospheric concentrations of greenhouse gases and global climate change, we have to study the whole Earth as a single, complex system.

---

## Study Questions

1. Why is CO<sub>2</sub> called a greenhouse gas?
2. What are the major sources of greenhouse gases, especially CO<sub>2</sub>?
3. Not all of the CO<sub>2</sub> released to the atmosphere remains there. What happens to the rest?
4. How does elevated CO<sub>2</sub> influence rates of photosynthesis and transpiration?
5. What limits the transfer of CO<sub>2</sub> from the surface waters of the ocean to the deep waters?
6. How might changes in climate (temperature and precipitation) influence the distribution of plant and animal species?
7. How might changes in climate influence the distribution and abundance of terrestrial ecosystems?
8. How is sea level currently changing?
9. How will global warming influence sea level?
10. How might rising sea level influence human populations? Coastal environments?
11. How might changes in climate influence agricultural production? How will rising CO<sub>2</sub> levels influence crop production?
12. How might climate change influence human health, both directly and indirectly?



## Further Readings

- Bazzaz, F. A. 1996. *Plants in changing environments: Linking physiological, population, and community ecology*. New York: Cambridge University Press.  
This book provides several excellent examples of experimental approaches that examine the response of plant species to elevated levels of carbon dioxide.
- Graedel, T. E., and P. J. Crutzen. 1995. *Atmosphere, climate, and change*. Scientific American Library. New York: W. H. Freeman.  
An outstanding introduction to the issue of global climate change.
- Houghton, J. 1997. *Global warming: The complete briefing*. Cambridge, UK: Cambridge University Press.  
A condensed version of the findings of the IPCC report referenced below, written by the chairman of the IPCC.
- Intergovernmental Panel on Climate Change (IPCC) Fourth Assessment Report—Climate Change. 2007. Available at [www.ipcc.ch](http://www.ipcc.ch).  
The summary of the IPCC assessment report provides a comprehensive overview of the climate change issue. Detailed scientific reports are also available at the website.
- Karl, T. R., J. M. Melillo, and T. C. Peterson. 2009. *Global climate change impacts in the United States*. New York: Cambridge University Press. Available at [www.globalchange.gov/publications/reports/scientific-assessments/us-impacts](http://www.globalchange.gov/publications/reports/scientific-assessments/us-impacts).  
This report provides a summary of the U.S. national assessment mandated by Congress. Detailed summaries of regional impacts can be found at the website.
- Peters, R. L., and T. E. Lovejoy, eds. 1992. *Global warming and biological diversity*. New Haven, CT: Yale University Press.  
A sobering, readable examination of the potential effect of global warming on vegetation, soils, animals, parasites and diseases, and ecosystems.
- Schneider, S. H. 1989. *Global warming*. San Francisco: Sierra Club Books.  
Highly readable examination of global warming and the debate engendered in politics and the media.
- Watson, R. T., M. C. Zinyowera, R. H. Moss, and D. J. Dokken, eds. 1998. *The regional impacts of climate change* (a special report of IPCC Working Group II). Cambridge, UK: Cambridge University Press.  
This short volume provides an excellent overview of the potential impacts of climate change on specific regions of the globe.
- Woodward, F. I., ed. 1992. *Global climate change: The ecological consequences*. London: Academic Press.  
The emphasis of this series of papers is to provide an overview of climate change research.

# References

## Chapter 1 The Nature of Ecology

- Brownowski, J. 1956. *Science and human values*. Harper & Row.
- Brower, J. E., and J. H. Zar. 1984. *Field and laboratory methods for general ecology*. 2nd ed. Dubuque, IA: Wm. Brown.
- Cox, G. W. 1985. *Laboratory manual for general ecology*. 5th ed. Dubuque, IA: Wm. Brown.
- Egerton, F. N. (ed.). 1977. *History of American ecology*. New York: Arno Press.
- Golley, F. B. 1993. *A history of the ecosystem concept in ecology: More than the sum of its parts*. New Haven, CT: Yale University Press.
- Hagen, J. B. 1992. *An entangled bank: The origins of ecosystem ecology*. New Brunswick, NJ: Rutgers University Press.
- Kingsland, S. 1995. *Modeling nature*. Chicago: University of Chicago Press.
- McIntosh, R. P. 1985. *The background of ecology: Concept and theory*. New York: Cambridge University Press.
- Sheall, J. (ed.). 1988. *Seventy-five years of ecology: The British Ecological Society*. Oxford: Blackwell Scientific.
- Worster, D. 1977. *Nature's economy*. San Francisco: Sierra Club Books.

## Chapter 2 Climate

- Ahrens, C. D. 2003. *Meteorology today*. 7th ed. Pacific Grove, CA: Brooks/Cole.
- Berry, R. G., and R. J. Corley. 1998. *Atmosphere, weather, and climate*. 7th ed. New York: Routledge.
- Gates, D. M. 1962. *Energy exchange in the biosphere*. New York: Harper & Row.
- Geiger, R. 1965. *Climate near the ground*. Cambridge, MA: Harvard University Press.
- Graedel, T. E., and P. J. Crutzen. 1997. *Atmosphere, climate and change*. New York: Scientific American Library.
- Landsberg, H. E. 1970. Man-made climatic changes. *Science* 170:1265–1274.
- Lee, R. 1978. *Forest microclimatology*. New York: Columbia University Press.

## Chapter 3 The Aquatic Environment

- Bainbridge, R., G. C. Evans, and O. Rackham (eds.). 1966. *Light as an ecological factor*. Oxford: Blackwell Scientific.
- Clark, G. A. 1939. Utilization of solar energy by aquatic organisms. *Problems in Lake Biology* 10:27–38.
- Garrison, T. 2005. *Essentials of oceanography*. 4th ed. St. Paul, MN: Brooks/Cole.
- Hynes, H. B. N. 1970. *The ecology of running water*. Toronto: University of Toronto Press.
- Likens, G. E. (ed.). 1985. *An ecosystem approach to aquatic ecology: Mirror Lake and environment*. New York: Springer-Verlag.
- Nybakken, J. W., and M. Bertness. 2004. *Marine biology: An ecological approach*. 6th ed. San Francisco: Benjamin Cummings.
- Wetzel, R. G. 1983. *Limnology*. 2nd ed. Philadelphia: Saunders College Publishing.

## Chapter 4 The Terrestrial Environment

- Boul, S. W., F. D. Hole, R. J. McCracken, and R. J. Southward. 1997. *Soil genesis and classification*. Ames: Iowa State University Press.

- Brady, N. C., and R. W. Weil. 2001. *The nature and properties of soils*. 13th ed. Upper Saddle River, NJ: Prentice Hall.
- Brown, L. R., and E. C. Wolf. 1984. *Soil erosion: Quiet crisis in the world economy*. Washington, DC: Worldwatch Institute.
- Cernusca, A. 1976. Energy exchange within individual layers of a meadow. *Oecologica* 23:148.
- Farb, P. 1959. *The living earth*. New York: Harper & Row.
- Hutchinson, B. A., and D. R. Matt. 1977. The distribution of solar radiation within a deciduous forest. *Ecological Monographs* 47:185–207.
- Jenny, H. 1980. *The soil resource*. New York: Springer-Verlag.
- Killham, K. 1994. *Soil ecology*. New York: Cambridge University Press.
- Lutz, H. J., and R. F. Chandler. 1946. *Forest soils*. New York: Wiley.
- Patton, T. R. 1996. *Soils: A new global view*. New Haven, CT: Yale University Press.
- Pfitch, W. A., and R. W. Pearcy. 1989. Daily carbon gain by *Adenocaulon bicolor* (Asteraceae), a redwood forest understory herb, in relation to its light environment. *Oecologia* 80:465–470.
- Reifsnnyder, W. E., and H. W. Lull. 1965. *Radiant energy in relation to forests*. Technical Bulletin No. 1344. Washington, DC: U.S. Department of Agriculture.
- Soil Survey Division Staff. 1993. *Soil survey manual*. Handbook 18. Washington, DC: U.S. Government Printing Office.

## Chapter 5 Ecological Genetics: Adaptation and Natural Selection

- Boag, P. T., and P. R. Grant. 1981. Intense natural selection in a population of Darwin's finches (*Geospiza*) in the Galapagos. *Science* 214:82–85.
- . 1984. The classical case of character release: Darwin's finches (*Geospiza*) on Isla Daphne Major, Galapagos. *Biological Journal of the Linnean Society* 22:243–287.
- Darwin, C. 1859. *The origin of species*. Philadelphia: McKay. [Reprinted from 6th London edition.]
- Desmond, A., and J. Moore. 1991. *Darwin*. New York: Warner Books.
- Futuyma, D. J. 1984. *Evolutionary biology*. Sunderland, MA: Sinauer Associates.
- Grant, P. 1999. *Ecology and evolution of Darwin's finches*. Princeton, NJ: Princeton University Press.
- Grant, V. 1971. *Plant speciation*. New York: Columbia University Press.
- . 1985. *The evolutionary process: A critical review of evolutionary theory*. New York: Columbia University Press.
- Hartl, D. 1988. *A primer of population genetics*. Sunderland, MA: Sinauer Associates.
- Highton, R. 1995. Speciation in eastern North American salamanders of the genus *Plethodon*. *Annual Review of Ecology and Systematics* 26:579–600.
- Lack, D. 1974. *Darwin's finches: An essay on the general biological theory of evolution*. London: Cambridge University Press.
- Mayr, E. 1963. *Animal species and evolution*. Cambridge, MA: Harvard University Press.

———. 1991. *One long argument*. Cambridge, MA: Harvard University Press.

- Reznick, D., F. H. Shaw, F. H. Rodd, and R. G. Shaw. 1997. Evaluation of the rate of evolution in natural populations of guppies (*Poecilia reticulata*). *Science* 275:1934–1937.
- Sultan, S. E., and F. A. Bazzaz. 1993. Phenotypic plasticity in *Polygonum persicaria*. II. Norms of reaction to soil moisture and the maintenance of genetic diversity. *Evolution* 47:1032–1049.

## Chapter 6 Plant Adaptations to the Environment

- Augspurger, C. K. 1982. Light requirements of neotropical tree seedlings: A comparative study of growth and survival. *Journal of Ecology* 72:777–795.
- Bjorkman, O. 1973. Comparative studies on photosynthesis in higher plants. Page 53 in A. C. Geise, ed., *Photophysiology*. New York: Academic Press.
- Bradshaw, A. D., M. J. Chadwick, D. Jowett, and R. W. Snaydon. 1964. Experimental investigations into the mineral nutrition of several grass species. IV. Nitrogen level. *Journal of Ecology* 52:665–676.
- Chapin, F. S., III. 1980. The mineral nutrition of wild plants. *Annual Review of Ecology and Systematics* 11:233–260.
- Chazdon, R. L., and R. W. Pearcy. 1991. The importance of sunflecks for forest understory plants. *BioScience* 41:760–765.
- Dale, J. E. 1992. How do leaves grow? *BioScience* 42:423–432.
- Davies, S. J. 1998. Photosynthesis of nine pioneer *Macaranga* species from Borneo in relation to life-history traits. *Ecology* 79:2292–2308.
- Davies, S. J., P. A. Palmiotto, P. S. Ashton, H. S. Lee, and J. V. LaFrankie. 1998. Comparative ecology of 11 sympatric species of *Macaranga* in Borneo: Tree distribution in relation to horizontal and vertical resource heterogeneity. *Journal of Ecology* 86:662–673.
- Feldman, L. J. 1988. The habits of roots. *BioScience* 38:612–618.
- Field, C. B., and H. Mooney. 1986. The photosynthetic-nitrogen relationship in wild plants. Pages 25–55 in T. J. Givnish, ed., *On the economy of plant form and function*. Cambridge: Cambridge University Press.
- Grime, J. 1971. *Plant strategies and vegetative processes*. New York: Wiley.
- Kirk, J. T. O. 1983. *Light and photosynthesis in aquatic systems*. New York: Cambridge University Press.
- Kozłowski, T. T. 1982. Plant responses to flooding of soil. *BioScience* 34:162–167.
- Lambers, H., F. S. Chapin III, and T. L. Pons. 1998. *Plant physiological ecology*. New York: Springer.
- Larcher, W. 1996. *Physiological plant ecology*. 3rd ed. New York: Springer-Verlag.
- Mooney, H. A. 1986. Photosynthesis. Chapter 11 in M. J. Crawley, ed., *Plant ecology*. New York: Blackwell Scientific.
- Mooney, H. A., O. Bjorkman, J. Ehleringer, and J. Berry. 1976. Photosynthetic capacity of in situ Death Valley plants. *Carnegie Institute Yearbook* 75:310–413.
- Parsons, T., J. R. Parsons, M. Takahashi, and B. Hargrave. 1984. *Biological oceanographic processes*, 3rd ed. New York: Pergamon Press.
- Pearcy, R. W. 1977. Acclimation of photosynthetic and respiratory CO<sub>2</sub> to growth temperature in *Atriplex lentiformis*. *Plant Physiology* 61:484–486.

- Reich, P. B., D. S. Ellsworth, and M. B. Walters. 1998. Leaf structure (specific leaf area) regulates photosynthesis-nitrogen relations: Evidence from within and across species and functional groups. *Functional Ecology* 12:948–958.
- Reich, P. B., M. G. Tjoelker, M. B. Walters, D. Vanderklein, and C. Buschena. 1998. Close association of RGR, leaf and root morphology, seed mass and shade tolerance in seedlings of nine boreal tree species grown in high and low light. *Functional Ecology* 12:327–338.
- Schulze, E. D., R. H. Robichaux, J. Grace, P. W. Rundel, and J. R. Ehleringer. 1987. Plant water balance. *BioScience* 37:30–37.
- Turner, N. C., and P. J. Kramer (eds.). 1980. *Adaptation of plants to water and high temperature stress*. New York: Wiley.
- Woodward, I., and T. Smith. 1993. Predictions and measurements of the maximum photosynthetic rate, A<sub>max</sub> at a global scale. Pages 491–508 in E. D. Schultz and M. M. Caldwell, eds., *Ecophysiology of photosynthesis*. vol. 100. Berlin: Springer.

## Chapter 7 Animal Adaptations to the Environment

- Adkisson, P. L. 1966. Internal clocks and insect diapause. *Science* 154:234–241.
- Aschoff, J., S. Daan, and G. A. Gross (eds.). 1982. *Vertebrate circadian rhythms*. New York: Springer-Verlag.
- Belovsky, G. E., and P. F. Jordan. 1981. Sodium dynamics and adaptations of a moose population. *Journal of Mammalogy* 63:613–621.
- Cheatum, E. L., and C. W. Severinghaus. 1950. Variations in fertility of white-tailed deer related to range conditions. *Transactions of the North American Wildlife Conference* 15:170–189.
- DeCoursey, P. J. 1960a. Daily light sensitivity rhythm in a rodent. *Science* 131:33–35.
- . 1960b. Phase control of activity in a rodent. *Cold Spring Harbor Symposium on Quantitative Biology* 25:49–54.
- . (ed.). 1976. *Biological rhythms in the marine environment*. Columbia: University of South Carolina Press.
- Dodd, R. H. 1973. Insect nutrition: Current developments and metabolic implications. *Annual Review of Entomology* 18:381–420.
- Edmunds, L. N. 1988. *Cellular and molecular bases of biological clocks*. New York: Springer-Verlag.
- Farner, D. S. 1985. Annual rhythms. *Annual Review of Physiology* 47:65–82.
- French, A. R. 1992. Mammalian dormancy. Pages 105–121 in T. E. Tomasi and T. H. Horton, eds., *Mammalian energetics*. Ithaca, NY: Comstock.
- Gilles, R. (ed.). 1979. *The mechanisms of osmoregulation in animals*. New York: Wiley.
- Heinrich, B. (ed.). 1981. *Insect thermoregulation*. New York: Wiley.
- Hill, E. P., III. 1972. Litter size in Alabama cottontails as influenced by soil fertility. *Journal of Wildlife Management* 36:1199–1209.
- Hill, R. W. 1992. The altricial/precocial contrast in the thermal relations and energetics of small mammals. Pages 122–159 in T. E. Tomasi and T. H. Horton, eds., *Mammalian energetics*. Ithaca, NY: Comstock.
- Hill, R. W., and G. A. Wyse. 1989. *Animal physiology*. New York: Harper & Row.

- Johnson, C. H., and J. W. Hastings. 1986. The elusive mechanisms of the circadian clock. *American Scientist* 74:29–36.
- Jones, R. L., and H. P. Weeks. 1985. Ca, Mg, and P in the annual diet of deer in south-central Indiana. *Journal of Wildlife Management* 49:129–133.
- Lee, R. E., Jr. 1989. Insect cold-hardiness: To freeze or not to freeze. *BioScience* 39:308–313.
- Leith, H. (ed.). 1974. *Phenology and seasonality modeling*. New York: Springer-Verlag.
- Lyman, C. P., A. Malan, J. S. Willis, and L. C. H. Wang. 1982. *Hibernation and torpor in mammals and birds*. New York: Academic Press.
- Maloly, C. M. O. (ed.). 1979. *Comparative physiology of osmoregulation in animals*. New York: Academic Press.
- Naylor, E. 1985. Tidal rhythmic behaviour of marine animals. *Symposium of Society of Experimental Biology* 39:63–93.
- Palmer, J. D. 1990. The rhythmic lives of crabs. *BioScience* 40:352–358.
- . 1996. Time, tide, and living clocks of marine organisms. *American Scientist* 84:570–578.
- Schmidt-Neilsen, K. 1997. *Animal physiology: Adaptation and environment*. 5th ed. New York: Cambridge University Press.
- Storey, K. B., and J. M. Storey. 1996. Natural freezing survival in animals. *Annual Review of Ecology and Systematics* 27:365–386.
- Takahashi, J. S., and M. Hoffman. 1995. Molecular biological clocks. *American Scientist* 83:158–165.
- Taylor, C. R. 1972. The desert gazelle: A parody resolved. In G. M. O. Malory, ed., *Comparative physiology of desert animals. Symposium of the Zoological Society of London No. 31*. New York: Academic Press.
- Weeks, H. P., Jr., and C. M. Kirkpatrick. 1978. Salt preferences and sodium drive phenology in fox squirrels and woodchuck. *Journal of Mammalogy* 59:531–542.
- Weir, J. S. 1972. Spatial distribution of elephants in an African national park in relation to environmental sodium. *Oikos* 23:113.
- Chapter 8 Properties of Populations**
- Alexander, M. M. 1958. The place of aging in wildlife management. *American Scientist* 6:123–131.
- Begon, M., J. L. Harper, and C. R. Townsend. 1996. *Ecology: Individuals, populations, and communities*. New York: Blackwell Scientific.
- Blower, J. G., L. M. Cook, and J. A. Bishop. 1981. *Estimating the size of animal populations*. London: George Allen & Unwin.
- Engle, L. G. 1960. Yellow-poplar seedfall pattern. *Central States Forest Experiment Station Note* 143.
- Forman, R. T. T. 1964. Growth under controlled conditions to explain the hierarchical distribution of a moss, *Tetraphis pellucida*. *Ecological Monographs* 34:1–25.
- Krebs, C. 2001. *Ecology: The experimental analysis of distribution and abundance*. 5th ed. San Francisco: Benjamin Cummings.
- Krebs, C. J. 1989. *Ecological methodology*. 2nd ed. San Francisco: Benjamin Cummings.
- Liebhold, A. M., J. A. Halverson, and G. A. Elmes. 1992. Gypsy moth invasion in North America: A quantitative analysis. *Journal of Biogeography* 19:513–520.
- MacArthur, R. H., and J. H. Connell. 1966. *The biology of populations*. New York: John Wiley.
- Mueller-Dombois, D., and H. Ellenberg. 1974. *Aims and methods of vegetation ecology*. New York: Wiley.
- Root, T. 1988. Energy constraints on avian distributions and abundances. *Ecology* 69:330–339.
- Southwood, T. R. E. 1978. *Ecological methods*. London: Chapman and Hall.
- Wiens, J. 1973. Pattern and process in grassland bird communities. *Ecological Monographs* 43:247–270.
- Chapter 9 Population Growth**
- Allee, W. C. 1945. *Animal aggregations. A study in general sociology*, Chicago: University of Chicago Press.
- Begon, M., and M. Mortimer. 1995. *Population ecology: A unified study of plants and animals*. 2nd ed. Cambridge, MA: Blackwell Scientific.
- Binkley, C. S., and R. S. Miller. 1983. Population characteristics of the whooping crane, *Grus americana*. *Canadian Journal of Zoology* 61:2768–2776.
- Campbell, R. W. 1969. Studies on gypsy moth population dynamics. Pages 29–34 in *Forest insect population dynamics*. USDA Research Paper, NE-125.
- Cannon, J. R. 1996. Whooping crane recovery: A case study in public and private cooperation in the conservation of an endangered species. *Conservation Biology* 10:813–821.
- Caughley, G. 1977. *Analysis of vertebrate populations*. New York: Wiley.
- Gotelli, N. J. 1995. *A primer of ecology*. Sunderland, MA: Sinauer Associates.
- Hackney, E., and J. B. McGraw. 2001. Experimental demonstration of an allee effect in American ginseng. *Conservation Biology* 15:129–136.
- Harper, J. L. 1977. *The population biology of plants*. London: Academic Press.
- Johnston, R. F. 1956. Predation by short-eared owls in a *Salicornia* salt marsh. *Wilson Bulletin* 68:91–102.
- Krebs, C. 2001. *Ecology: The experimental analysis of distribution and abundance*. 5th ed. San Francisco: Benjamin Cummings.
- Lowe, V. P. W. 1969. Population dynamics of red deer (*Cervus elaphus* L.) on the Isle of Rhum. *Journal of Animal Ecology* 38:425–457.
- MacLulich, D. A. 1937. Fluctuations in the numbers of varying hare (*Lepus americanus*). *University of Toronto Biological Series No 43*.
- Pitelka, F. A. 1957. Some characteristics of microtine cycles in the Arctic. *Proceedings of 18th Biology Colloquium*. Salem: University of Oregon Press.
- Scharitz, R. R., and J. R. McCormick. 1973. Population dynamics of two competing plant species. *Ecology* 54:723–740.
- Scheffer, V. C. 1951. Rise and fall of a reindeer herd. *Scientific Monthly* 73:356–362.
- Stephens P. A., W. J. Sutherland, and R. P. Freckleton. 1999. What is the allee effect? *Oikos* 87:185–190.
- Thornhill, N. W. (ed.). 1993. *The natural history of inbreeding and outbreeding: Theoretical and empirical perspectives*. Chicago: University of Chicago Press.
- Chapter 10 Life History**
- Alcock, J. 1995. *Animal behavior: An evolutionary approach*. 4th ed. Sunderland, MA: Sinauer Associates.
- Andersson, M., and Y. Iwasa. 1996. Sexual selection. *Trends in Ecology and Evolution* 11:53–58.

- Bajema, C. J. (ed.). 1984. *Evolution by sexual selection theory. Benchmark Papers in Systemic and Evolutionary Biology*. New York: Scientific and Academic Editions.
- Boyce, M. S. 1984. Restitution of  $r$  and  $K$  selection as modes of density-dependent natural selection. *Annual Review of Ecology and Systematics* 15:427–447.
- Catchpole, C. K. 1987. Bird song, sexual selection, and female choice. *Trends in Ecology and Evolution* 2:94–97.
- Clutton-Brock, T. H. 1984. Reproductive effort and terminal investment in iteroparous animals. *American Naturalist* 123(2):212–229.
- Cody, M. L. 1966. A general theory of clutch size. *Evolution* 20:174–184.
- Darwin, C. 1871. *The descent of man and selection in relation to sex*. London: Murray.
- Dijkstra, C., A. Bult, S. Bijlsma, S. Daan, T. Meijer, and M. Zijlstra. 1990. Brood size manipulations in the kestrel (*Falco tinnunculus*): effects on offspring and parent survival. *Journal of Animal Ecology* 59:269–285.
- Eis, S., E. H. Garman, and L. F. Ebel. 1965. Relation between cone production and diameter increment of Douglas fir (*Pseudotsuga*), grand fir (*Abies grandis*), and western white pine (*Pinus monticola*). *Canadian Journal of Botany* 43:1553–1559.
- Grime, J. P. 1977. Evidence for the existence of three primary strategies in plants and its relevance to ecological and evolutionary theory. *American Naturalist* 111:1169–1194.
- . 1979. *Plant strategies and vegetative processes*. New York: Wiley.
- Gubernich, D. J., and P. H. Klopfer (eds.). 1981. *Parental care in mammals*. New York: Plenum.
- Johnsgard, P. A. 1994. *Arena birds: Sexual selection and behavior*. Washington, DC: Smithsonian Institution Press.
- Jones, M. B. 1978. Aspects of the biology of the big handed crab, *Heterozius rohendifrons*, from Kaikoura, New Zealand. *New Zealand Journal of Zoology* 5:783–794.
- Krebs, J. R., and N. D. Davies. 1981. *An introduction to behavioral ecology*. Oxford: Blackwell Scientific.
- Lack, D. 1954. *The natural regulation of animal numbers*. Oxford: Oxford University Press (Clarendon Press).
- MacArthur, R. H., and E. O. Wilson. 1967. *The theory of island biogeography*. Princeton, NJ: Princeton University Press.
- McGregor, P. K., J. R. Krebs, and C. M. Perrins. 1981. Song repertoires and lifetime reproductive success in the great tit (*Parus major*). *American Naturalist* 118:49–59.
- Mennill, D., L. M. Ratcliffe, and P. T. Boag. 2002. Female eavesdropping on male song contests in songbirds. *Science* 296:873.
- Oksanen, T. A., E. Koskela and T. Mappes. 2002. Hormonal manipulation of offspring number: maternal effort and reproductive costs. *Evolution* 56:1530–1537.
- Petrie, M. 1994. Improved growth and survival of offspring of peacocks with more elaborate trains. *Nature* 371:598–599.
- Pianka, E. 1972.  $r$  and  $K$  selections or  $b$  and  $d$  selection? *American Naturalist* 100:65–75.
- Primack, R. B. 1979. Reproductive effort in annual and perennial species of *Plantago* (*Plantaginaceae*). *American Naturalist* 114:51–62.
- Reznick, D. A., H. Bryga, and J. A. Endler. 1990. Experimentally induced life-history evolution in a natural population. *Nature* 346:357–359.
- Small, M. F. 1992. Female choice in mating. *American Scientist* 80:142–151.
- Stearns, S. C. 1992. *The Evolution of Life Histories*. Oxford University Press.
- Tamburi, N. E. and P. R. Martin. 2009. Reaction norms of size and age at maturity of *Pomacea canaliculata* under a gradient of food deprivation. *Journal of Molluscan Studies* 75:19–26.
- Thornhill, R., and J. Alcock. 1983. *The evolution of insect mating systems*. Cambridge, MA: Harvard University Press.
- Tsikliras, A. C., E. Antonopoulou and K. I. Stergiou. 2007. A phenotypic trade-off between previous growth and present fecundity in round sardinella *Sardinella aurita*. *Population Ecology* 49:221–227.
- Warner, R. R. 1988. Sex change and size-advantage model. *Trends in Ecology and Evolution* 3:133–136.
- Wasser, S. K. (ed.). 1983. *Social behavior of female vertebrates*. New York: Academic Press.
- Wauters, L., and A. A. Dohondt. 1989. Body weight, longevity, and reproductive success in red squirrels (*Sciurus vulgaris*). *Journal of Animal Ecology* 58:637–651.
- Werner, P. A., and W. J. Platt. 1976. Ecological relationships of co-occurring goldenrods (*Solidago: Compositae*). *American Naturalist* 110:959–971.
- Whitham, T. G. 1980. The theory of habitat selection: Examined and extended using *Pemphigus aphids*. *American Naturalist* 115:449–466.
- Willson, M. F. 1983. *Plant reproductive ecology*. New York: Wiley.
- Wilson, E. O. 1980. *Sociobiology: The abridged edition*. Cambridge, MA: Harvard University Press.

## Chapter 11 Intraspecific Population Regulation

- Berteaux, D., and S. Boutin. 2000. Breeding dispersal in female North American red squirrels. *Ecology* 81:1311–1326.
- Cahill, J. F. 2000. Investigating the relationship between neighbor root biomass and belowground competition: Field evidence for symmetric competition belowground. *Oikos* 90:311–320.
- Chatworthy, J. N. 1960. *Studies on the nature of competition between closely related species*. D. Phil. diss., University of Oxford, Oxford, UK.
- Chepko-Sade, B. D., and Z. T. Halpin. 1987. *Mammalian dispersal patterns*. Chicago: University of Chicago Press.
- Dash, M. C., and A. K. Hota. 1980. Density effects on the survival, growth rate, and metamorphosis of *Rana tigrina* tadpoles. *Ecology* 61:1025–1028.
- Fery, R. L., and J. Janick. 1971. Response of corn (*Zea mays* L.) to population pressure. *Crop Science* 11:220–224.
- Fowler, C. W. 1981. Density dependence as related to life history strategy. *Ecology* 62:602–610.
- Gaines, M. S., and L. R. McClenaghan Jr. 1980. Dispersal in small mammals. *Annual Review of Ecology and Systematics* 11:163–169.
- Greenwood, P. J., and P. H. Harvey. 1982. The natal and breeding dispersal in birds. *Annual Review of Ecology and Systematics* 13:1–21.
- Grime, J. P. 1979. *Plant strategies and vegetative processes*. New York: Wiley.
- Harestad, A. S., and F. L. Bunnell. 1979. Home range and body weight—a reevaluation. *Ecology* 60:389–402.
- Harper, J. L. 1977. *Population biology of plants*. New York: Academic Press.

- Jones, W. T. 1989. Dispersal distance and the range of nightly movements of Merriam's kangaroo rats. *Journal of Mammalogy* 20:29–34.
- King, A. A., and W. C. Schaffer. 2001. The geometry of a population cycle: A mechanistic model of snowshoe demography. *Ecology* 82:814–830.
- Krebs, J., and N. B. Davies (eds.). 1991. *Behavioral ecology: An evolutionary approach*. 3rd ed. Oxford: Blackwell Scientific.
- Krebs, J. R. 1971. Territory and breeding density in the great tit *Parus major*. *Ecology* 52:2–22.
- Larsen, K. W., and S. Boutin. 1994. Movement, survival, and settlement of red squirrel (*Tamiasciurus hudsonicus*) offspring. *Ecology* 75:214–223.
- Lett, P. F., R. K. Mohn, and D. F. Gray. 1981. Density-dependent processes and management strategy for the northwest Atlantic harp seal populations. Pages 135–158 in C. W. Fowler and T. D. Smith, eds., *Dynamics of large mammal populations*. New York: Wiley.
- Massey, A., and J. D. Vandenbergh. 1980. Puberty delay by a urinary cue from female house mice in feral populations. *Science* 209:821–822.
- McCullough, D. R. 1981. Population dynamics of the Yellowstone grizzly. Pages 173–196 in C. W. Fowler and T. D. Smith, eds., *Dynamics of large mammal populations*. New York: Wiley.
- Mech, L. D., R. E. McRoberts, R. O. Peterson, and R. E. Page. 1978. Relationship of deer and moose populations to previous winter's snow. *Journal of Animal Ecology* 56:615–627.
- Murdoch, W. W. 1994. Population regulation in theory and practice. *Ecology* 75:271–287.
- Myers, K., C. S. Hale, R. Mykytowycz, and R. L. Hughes. 1971. The effects of varying density and space on sociality and health in animals. Pages 148–187 in A. E. Esser, ed., *Behavior and environment: The use of space by animals and men*. New York: Plenum.
- Packard, J. M., and L. D. Mech. 1983. Population regulation in wolves. Pages 151–174 in F. L. Bunnell, D. S. Eastman, and J. M. Peak, eds., *Symposium on natural regulation of wildlife populations*. Moscow: University of Idaho Press.
- Searcy, W. A. 1982. The evolutionary effects of mate selection. *Annual Review of Ecology and Systematics* 13:57–85.
- Sinclair, A. R. E. 1977. *The African buffalo: A study of resource limitations of populations*. Chicago: University of Chicago Press.
- Smith, R. L. 1963. Some ecological notes on the grasshopper sparrow. *Wilson Bulletin* 75:159–165.
- Smith, S. M. 1978. The underworld in a territorial adaptive strategy for floaters. *American Naturalist* 112:570–582.
- Turchin, P. 1999. Population regulation: A synthetic view. *Oikos* 84:160–163.
- Watkinson, A. R., and A. J. Davy. 1985. Population biology of salt marsh and dune annuals. *Vegetatio* 62:487–497.
- Wolff, J. O. 1997. Population regulation in mammals: An evolutionary perspective. *Journal of Animal Ecology* 66:1–13.
- Yoda, K., T. Kira, H. Ogawa, and K. Hozumi. 1963. Self-thinning in overcrowded pure stands under cultivated and natural conditions. *Journal Biology* 14:107–129.
- Zimen, E. 1981. *The wolf: A species in danger*. New York: Delacourt Press.

## Chapter 12 Metapopulations

- den Boer, P. 1981. On the survival of populations in a heterogeneous and variable environment. *Oecologia* 50:39–53.
- Ehrlich, P., D. Breedlove, and P. Brussard. 1972. Weather and the “regulation” of subalpine populations. *Ecology* 53:243–247.
- Ehrlich, P., and D. Murphy. 1987. Conservation lessons from long-term studies of checkerspot butterflies. *Conservation Biology* 1:122–131.
- Ehrlich, P., D. Murphy, M. Singer, C. Sherwood, R. White, and I. Brown. 1980. Extinction, reduction, stability and increase: The responses of checkerspot butterfly (*Euphydryas*) populations to the California drought. *Oecologia* 46:101–105.
- Hanski, I. 1990. Density dependence, regulation and variability in animal populations. *Philosophical Transactions of the Royal Society London* 330:141–150.
- . 1991. Single-species metapopulation dynamics. *Biological Journal of the Linnean Society* 42:17–38.
- . 1994. Patch occupancy dynamics in fragmented landscapes. *Trends in Ecology and Evolution* 9:131–135.
- . 1999. *Metapopulation ecology*. Oxford: Oxford University Press.
- Hanski, I., and M. Gilpin. 1991. Metapopulation dynamics: Brief history and conceptual domain. *Biological Journal of the Linnean Society* 42:3–16.
- (eds.). 1997. *Metapopulation biology: Ecology, genetics and evolution*. London: Academic Press.
- Harrison, S., D. Murphy, and P. Ehrlich. 1988. Distribution of the Bay checkerspot butterfly, *Euphydryas editha bayensis*: Evidence for a metapopulation model. *American Naturalist* 132:360–382.
- Harrison, S., and J. Quinn. 1989. Correlated environments and the persistence of metapopulations. *Oikos* 56:293–298.
- Hill, J., C. Thomas, and O. Lewis. 1996. Effects of habitat patch size and isolation on dispersal by *Hesperia comma* butterflies: Implications for metapopulation structure. *Journal of Animal Ecology* 65:725–735.
- Kindvall, O. 1996. Habitat heterogeneity and survival in a bush cricket metapopulation. *Ecology* 77:207–214.
- Kindvall, O., and I. Ahlen. 1992. Geometrical factors and metapopulation dynamics of the bush cricket, *Metrioptera bicolor*. *Conservation Biology* 6:520–529.
- Murphy, D., and R. White. 1984. Rainfall, resources and dispersal in southern populations of *Euphydryas editha*. *Pan-Pacific Entomologist* 60:350–354.
- Peltonen, S., and I. Hanski. 1991. Patterns of island occupancy explained by colonization and extinction rates in shrews. *Ecology* 72:1698–1708.
- Pettersson, B. 1985. Extinction of an isolated population of the middle spotted woodpecker *Dendrocopos medius* in Sweden and its relation to general theories on extinction. *Biological Conservation* 32:335–353.
- Pulliam, R. 1988. Sources, sinks, and population regulation. *American Naturalist* 132:652–661.
- Schoener, T., and D. Spiller. 1987. High population persistence in a system with high turnover. *Nature* 330:474–477.
- Shaffer, M. 1981. Minimum population sizes for species conservation. *BioScience* 31:131–134.
- Stacey, P., and M. Taper. 1992. Environmental variation and the persistence of small populations. *Ecological Applications* 2:18–29.

- Sutcliffe, O., C. Thomas, T. Yates, and J. Greatorex-Davies. 1997. Correlated extinctions, colonizations and population fluctuations in a highly connected ringlet butterfly metapopulation. *Oecologia* 109:235–241.
- Thomas, C., M. Singer, and D. Boughton. 1996. Catastrophic extinction of population sources in a butterfly metapopulation. *American Naturalist* 148:957–975.
- Thomas, C., and T. Jones. 1993. Partial recovery of a skipper butterfly (*Hesperia comma*) from population refuges: Lessons for conservation in a fragmented landscape. *Journal of Animal Ecology* 62:472–481.
- Thomas, J. 1983. The ecology and conservation of *Lysandra bellargus* in Britain. *Journal of Applied Ecology* 20:59–83.
- Chapter 13 Species Interactions, Population Dynamics, and Natural Selection**
- Bascompte, J. 2010. Structure and dynamics of ecological networks. *Science* 329:765–766.
- Elton, C. S. (2001). *Animal Ecology*. University of Chicago Press.
- Grinnell, J. (1917). The niche-relationships of the California Thrasher. *Auk* 34: 427–433.
- Hutchinson, G. E. 1957. Concluding remarks. *Cold Spring Harbor Symposia on Quantitative Biology* 22 (2): 415–427.
- Lindberg, A. B., and J. M. Olesen. 2001. The fragility of extreme specialization: *Passiflora mixta* and its pollinating hummingbird *Ensifera ensifera*. *Journal of Tropical Ecology* 17:323–329.
- Nosil, P., and B. J. Crespi. 2006. Experimental evidence that predation promotes divergence in adaptive radiation. *Proceedings of the National Academy of Sciences* 103 (24):9090–9095.
- Nosil, P. 2007. Divergent host plant adaptation and reproductive isolation between ecotypes of *Timema cristinae* walking sticks. *American Naturalist* 169:151–162.
- Thompson, J. N. 1988. Variation in interspecific interactions. *Annual Review of Ecology and Systematics* 19:65–87
- Travis, J. 1996. The significance of geographic variation in species interactions. *American Naturalist* 148 (Supplement):S1–S8.
- Chapter 14 Interspecific Competition**
- American Naturalist*. 1983. Interspecific competition papers. vol. 122, no. 5 (November).
- Austin, M. P. 1999. A silent clash of paradigms: Some inconsistencies in community ecology. *Oikos* 86:170–178.
- Austin, M. P., R. H. Groves, L. M. F. Fresco, and P. E. Laye. 1986. Relative growth of six thistle species along a nutrient gradient with multispecies competition. *Journal of Ecology* 73:667–684.
- Bazzaz, F. A. 1996. *Plants in changing environments*. New York: Cambridge University Press.
- Bolnick, D. I., T. Ingram, W. E. Stutz, L. K. Snowberg, O. L. Lau and J. S. Paull. 2010. Ecological release from interspecific competition leads to decoupled changes in population and individual niche width. *Proceedings of the Royal Society B* 277:1789–1797.
- Brown, J. C., O. J. Reichman, and D. W. Davidson. 1979. Granivory in desert ecosystems. *Annual Review of Ecology and Systematics* 10:210–227.
- Connell, J. H. 1975. Some mechanisms producing structure in natural communities: A model and evidence from field experiments. Pages 460–490 in M. L. Cody and J. Diamond, eds., *Ecology and evolution of communities*. Cambridge, MA: Harvard University Press.
- . 1983. On the prevalence and relative importance of interspecific competition: Evidence from field experiments. *American Naturalist* 122:661–696.
- Darwin, C. 1859. *The origin of species*. Philadelphia: McKay. [Reprinted from 6th London edition.]
- Dayan, T., D. Simberloff, E. Tchernov, and Y. Yom-Tov. 1990. Feline canines: Community-wide character displacement among the small cats of Israel. *American Naturalist* 136:39–60.
- Dye, P. J., and P. T. Spear. 1982. The effects of bush clearing and rainfall variability on grass yield and composition in south-west Zimbabwe. *Zimbabwe Journal of Agricultural Research* 20:103–118.
- Emery, N. C., P. J. Ewanchuk, and M. D. Bertness. 2001. Competition and salt marsh plant zonation: Stress tolerators may be dominant competitors. *Ecology* 82:2471–2485.
- Fynn, R. W. S., Morris, C. D. and K. P. Kirkman. 2005. Plant strategies and trait trade-offs influence trends in competitive ability along gradients of soil fertility and disturbance. *Journal of Ecology* 93:384–394.
- Gause, G. F. 1934. *The struggle for existence*. Baltimore: Williams and Wilkins.
- Grace, J. B., and R. G. Wetzel. 1981. Habitat partitioning and competitive displacement in cattails (*Typha*): Experimental field studies. *American Naturalist* 118:463–474.
- Grant, P. 1999. *Ecology and evolution of Darwin's finches*. Princeton, NJ: Princeton University Press.
- Groves, R. H., and J. D. Williams. 1975. Growth of skeleton weed (*Chondrilla juncea*) as affected by growth of subterranean clover (*Trifolium subterraneum* L.) and infection by *Puccinia chondrilla*. *Australian Journal of Agricultural Research* 26:975–983.
- Gurevitch, J. L. 1986. Competition and the local distribution of the grass *Stipa neomexicana*. *Ecology* 67:46–57.
- Gurevitch, J. L., L. Morrow, A. Wallace, and J. J. Walch. 1992. Meta-analysis of competition in field experiments. *American Naturalist* 140:539–572.
- Heller, H. C., and D. Gates. 1971. Altitudinal zonation of chipmunks (*Eutamias*): Energy budgets. *Ecology* 52:424–443.
- Lotka, A. J. 1925. *Elements of physical biology*. Baltimore: Williams and Wilkins.
- Park, T. 1954. Experimental studies of interspecies competition: 2. Temperature, humidity and competition in two species of *Trilobium*. *Physiological Zoology* 27:177–238.
- Pianka, E. R. 1978. *Evolutionary ecology*. 3rd ed. New York: HarperCollins.
- Pickett, S. T. A., and F. A. Bazzaz. 1978. Organization of an assemblage of early successional species on a soil moisture gradient. *Ecology* 59:1248–1255.
- Rice, E. L. 1984. *Allelopathy*. 2nd ed. Orlando, FL: Academic Press.
- Schoener, T. W. 1983. Field experiments on interspecific competition. *American Naturalist* 122:240–285.
- Tilman, D. M., M. Mattson, and S. Langer. 1981. Competition and nutrient kinetics along a temperature gradient: An

- experimental test of a mechanistic approach to niche theory. *Limnology and Oceanography* 26:1020–1033.
- Volterra, V. 1926. Variation and fluctuations of the numbers of individuals in animal species living together. Reprinted on pages 409–448 in R. M. Chapman (1931), *Animal Ecology*. New York: McGraw-Hill.
- Werner, E. E., and J. D. Hall. 1976. Niche shifts in sunfishes: Experimental evidence and significance. *Science* 191:404–406.
- . 1979. Foraging efficiency and habitat switching in competing sunfish. *Ecology* 60:256–264.
- Wiens, J. A. 1977. On competition and variable environments. *American Scientist* 65:590–597.
- ### Chapter 15 Predation
- Agrawal, A. A. 2004. Resistance and susceptibility of milkweed to herbivore attack: Consequences of competition, root herbivory, and plant genetic variation. *Ecology* 85:2118–2133.
- Charnov, E. L. 1976. Optimal foraging: the marginal value theorem. *Theoretical Population Biology* 9:129–136.
- Cooper, S. M., and N. Owen-Smith. 1986. Effects of plant spinescence on large mammalian herbivores. *Oecologica* 68:446–455.
- Davies, N. B. 1977. Prey selection and social behavior in wagtails (*Aves monticillidae*). *Journal of Animal Ecology* 46:37–57.
- Fox, L. R. 1975. Cannibalism in natural populations. *Annual Review of Ecology and Systematics* 6:87–106.
- Frost, B. W. 1972. Effects of size and concentration of food particles on the feeding behavior of the marine planktonic copepod *Calanus pacificus*. *Limnology and Oceanography* 17:805–815.
- Godfray, H. C. J. 1994. *Parasitoids: Behavioral and evolutionary ecology*. Princeton, NJ: Princeton University Press.
- Hassell, M. P., and R. M. May. 1974. Aggregation in predator sand insect parasites and its effect on stability. *Journal of Animal Ecology* 43:567–594.
- Holling, C. S. 1959. The components of predation as revealed by a study of small mammal predation of the European sawfly. *Canadian Entomologist* 91:293–320.
- . 1966. The functional response of invertebrate predators to prey density. *Memoirs Entomological Society of Canada* 48:1–86.
- Hughes, R. N. and M. I. Croy. 1993. An experimental analysis of frequency-dependent predation (switching) in the 15-spined stickleback, *Spinachia spinachia*. *Journal of Animal Ecology* 62:341–352.
- Irons, D. B., R. G. Anthony, and J. A. Estes. 1986. Foraging strategies of glaucous-winged gulls in a rocky intertidal community. *Ecology* 67:1460–1474.
- Jedrzejewski, W., B. Jedrzejewski, and L. Szymura. 1995. Weasel population response, home range, and predation on rodents in a deciduous forest in Poland. *Ecology* 76:179–195.
- Keith, L. B., J. R. Cary, O. J. Rongstad, and M. C. Brittingham. 1984. Demography and ecology of a declining snowshoe hare population. *Journal of Wildlife Management* 90:1–43.
- Krebs, C. J., R. Boonstra, S. Boutin, and A. R. E. Sinclair. 2001. What drives the 10-year cycle of snowshoe hares? *BioScience* 51:25–35.
- Krebs, J. R., and N. B. Davies (eds.). 1984. *Behavioral ecology: An evolutionary approach*. 2nd ed. Oxford: Blackwell Scientific.
- Leonard, G. H., M. D. Bertness, and P. O. Yund. 1999. Crab predation, water-borne cues, and inducible defenses in the blue mussel *Mytilus edulis*. *Ecology* 80:1–14.
- Lima, S. L. 1998. Nonlethal effects in the ecology of predator-prey interactions. *BioScience* 48:25–34.
- Mazncourt, de C., M. Loreau, and U. Dieckmann. 2001. Can the evolution of plant defense lead to plant-herbivore mutualism? *American Naturalist* 158:109–123.
- Mooney, H. A., and Gulmon, S. L. 1982. Constraints on leaf structure and function in reference to herbivory. *BioScience* 32:198–206.
- Nelson, E. H., C. E. Matthews, and J. A. Roenheim. 2004. Predators reduce prey population growth by inducing change in behavior. *Ecology* 85:1853–1858.
- O'Donoghue, M., S. Boutin, C. J. Krebs, G. Zuleta, D. L. Murray, and E. J. Hofer. 1998. Functional response of coyotes and lynx to the snowshoe hare cycle. *Ecology* 79:1193–1208.
- Polis, G. 1981. The evolution and dynamics of intraspecific predation. *Annual Review of Ecology and Systematics* 12:225–251.
- Polis, G., and R. D. Holt. 1992. Intraguild predation: The dynamics of complex trophic interactions. *Trends in Ecology and Evolution* 7:151–154.
- Relyea, R. A. 2001. The relationship between predation risk and antipredator responses in larval anurans. *Ecology* 82:541–554.
- Schnell, D. E. 1976. *Carnivorous plants of the United States and Canada*. Winston-Salem, NC: John F. Blair.
- Stephens, D. W., and J. W. Krebs. 1987. *Foraging theory*. Princeton, NJ: Princeton University Press.
- Taylor, R. J. 1984. *Predation*. New York: Chapman and Hall.
- Van Valen, L. 1973. A new evolutionary law. *Evolutionary Theory* 1:1–30.
- Wajnberg E., X. Fauvergue, and O. Pons. 2000. Patch leaving decision rules and the Marginal Value Theorem: An experimental analysis and a simulation model. *Behavioral Ecology* 11:577–586.
- Wagner, J. D., and D. H. Wise. 1996. Cannibalism regulates densities of young wolf spiders: Evidence from field and laboratory experiments. *Ecology* 77:639–652.
- Williams, K., K. G. Smith, and F. M. Stevens. 1993. Emergence of 13-year periodical cicada (*Cicadidae: Magicicada*): Phenology, mortality, and predator satiation. *Ecology* 74:1143–1152.
- ### Chapter 16 Parasitism and Mutualism
- Addicott, J. F. 1986. Variations in the costs and benefits of mutualism: The interaction between yucca and yucca moths. *Oecologica* 70:486–494.
- Anderson, R. C. 1963. The incidence, development, and experimental transmission of *Pneumostrongylus tenuis* Dougherty (*Metastrongyloidea: Protostrongyloidea*) of the menses of the white-tailed deer (*Odocoileus virginianus borealis*) in Ontario. *Canadian Journal of Zoology* 41:775–792.
- Anderson, R. C., and A. K. Prestwood. 1981. Lungworms. In W. R. Davidson, ed., *Diseases and parasites of white-tailed deer*. Miscellaneous Publication No. 7. Tallahassee, FL: Tall Timbers Research Station.



- Bacon, P. J. 1985. *Population dynamics of rabies in wildlife*. New York: Academic Press.
- Ball, D. M., J. F. Pedersen, and G. D. Laceyfield. 1993. The tall fescue endophyte. *American Scientist* 81:370–379.
- Barbour, A. G., and D. Fish. 1993. The biological and social phenomenon of Lyme disease. *Science* 260:1610–1616.
- Barrett, J. A. 1983. Plant-fungus symbioses. In D. Futuyma and M. Slatkin, eds., *Coevolution*. Sunderland, MA: Sinauer Associates.
- Barth, F. G. 1991. *Insects and flowers: The biology of a partnership*. Princeton, NJ: Princeton University Press.
- Beattie, A. J., and D. C. Culver. 1981. The guild of myrmecophores in the herbaceous flora and West Virginia forests. *Ecology* 62:107–115.
- Bentley, B. L. 1977. Extrafloral nectarines and protection by pugnacious bodyguards. *Annual Review of Ecology and Systematics* 8:407–427.
- Blount, J. D., N. B. Metcalfe, T. R. Birkhead, and P. F. Surai. 2003. Carotenoid modulation of immune function and sexual attractiveness in zebra finches. *Science* 300:125–127.
- Boucher, D. H. (ed.). 1985. *The biology of mutualism*. Oxford: Oxford University Press.
- Boucher, D. H., S. James, and H. D. Keller. 1982. The ecology of mutualism. *Annual Review of Ecology and Systematics* 13:315–347.
- Burdon, J. J. 1987. *Diseases and plant population biology*. Cambridge: Cambridge University Press.
- Buskirk, J. V., and R. S. Ostfeld. 1995. Controlling Lyme disease by modifying the density and species composition of tick hosts. *Ecological Applications* 5:1133–1140.
- Clay, K. 1988. Fungal endophytes of grasses: A defensive mutualism between plants and fungi. *Ecology* 69:10–16.
- . 1990. Fungal endophytes of grasses. *Annual Review of Ecology and Systematics* 21:275–297.
- Croll, N. A. 1966. *Ecology of parasites*. Cambridge, MA: Harvard University Press.
- Dobson, A. P., and E. R. Carper. 1996. Infectious diseases and human population history. *BioScience* 46:115–125.
- Doebler, S. A. 2000. The rise and fall of the honeybee. *BioScience* 50:738–732.
- Edwards, M. A., and U. McDonald. 1982. *Animal diseases in relation to animal conservation*. New York: Academic Press.
- Feinsinger, P. 1983. Coevolution and pollination. Pages 282–310 in D. Futuyma and M. Slatkin, eds., *Coevolution*. Sunderland, MA: Sinauer Associates.
- Fenner, F., and F. N. Ratcliffe. 1965. *Myxomatosis*. Cambridge: Cambridge University Press.
- Futuyma, D. J., and M. Slatkin (eds.). 1983. *Coevolution*. Sunderland, MA: Sinauer Associates.
- Garnett, G. P., and E. C. Holmes. 1996. The ecology of emergent infectious disease. *BioScience* 46:127–135.
- Grenfell, B. T. 1988. Gastrointestinal nematode parasites and the stability and productivity of intensive ruminant grazing systems. *Philosophical Transactions Royal Society, London. British Biological Science* 321:541–563.
- Hamilton, W. D., and M. Zuk. 1982. Heritable true fitness and bright birds: A role for parasites? *Science* 218:384–387.
- Hanzawa, F. M., A. J. Beattie, and D. C. Culver. 1988. Directed dispersal: Demographic analysis of an ant-seed mutualism. *American Naturalist* 131:1–13.
- Harley, J. L., and S. E. Smith. *The biology of mycorrhizae*. London: Academic Press.
- Heithaus, E. R. 1981. Seed predation by rodents on three ant-dispersed plants. *Ecology* 63:136–145.
- Hocking, B. 1975. Ant-plant mutualism: Evolution and energy. Pages 78–90 in L. E. Gilbert and P. H. Raven, eds., *Coevolution of animals and plants*. Austin: University of Texas Press.
- Holmes, J. 1983. Evolutionary relationships between parasitic helminths and their hosts. In D. J. Futuyma and M. Slatkin, eds., *Coevolution*. Sunderland, MA: Sinauer Associates.
- Hougen-Eitzman, D., and M. D. Rausher. 1994. Interactions between herbivorous insects and plant-insect coevolution. *American Naturalist* 143:677–697.
- Howe, H. F. 1986. Seed dispersal by fruit-eating birds and mammals. Pages 123–190 in D. R. Murra, ed., *Seed dispersal*. Sydney: Academic Press.
- Howe, H. F., and L. C. Westley. 1988. *Ecological relationships of plants and animals*. New York: Oxford University Press.
- Hutchins, H. E. 1994. Role of various animals in the dispersal and establishment of white-barked pine in the Rocky Mountains, USA. Pages 163–171 in W. C. Schmidt and F-K. Holtmeier, comps., *Proceedings—International workshop on subalpine stone pines and their environment: The status of our knowledge; 1992 September 5–11; St. Moritz, Switzerland. General Technical Report INT-GTR-309*. Ogden, UT: USDA Forest Service, Intermountain Research Station.
- Iowa, K., and M. D. Rausher. 1997. Evolution of plant resistance to multiple herbivores: Quantifying diffuse evolution. *American Naturalist* 149:316–335.
- Janzen, D. H. 1966. Coevolution of mutualism between ants and acacias in Central America. *Evolution* 20:249–275.
- Korstian, C. F., and P. W. Stickel. 1927. The natural replacement of blight-killed chestnut. *USDA Miscellaneous Circular 100*. Washington, DC: U.S. Government Printing Office.
- Lafferty, K. D., and A. K. Morris. 1996. Altered behavior of parasitized killifish increases susceptibility to predation by bird final hosts. *Ecology* 77:1390–1397.
- Lindstrom, E. R., et al. 1994. Disease reveals the predator: Sarcopic mange, red fox predation, and prey populations. *Ecology* 74:1041–1049.
- Margulis, L. 1982. *Early life*. Boston: Science Books International.
- . 1998. *Symbiotic planet*. New York: Basic Books.
- Maser, C., J. M. Trappe, and R. A. Nussbaum. 1978. Fungal–small mammal interrelationship with emphasis on Oregon coniferous forests. *Ecology* 59:799–809.
- Mattes, H. 1994. Coevolutional aspects of stone pines and nutcrackers. Pages 31–35 in W. C. Schmidt and F-K. Holtmeier, comps., *Proceedings—International workshop on subalpine stone pines and their environment: The status of our knowledge; 1992 September 5–11; St. Moritz, Switzerland. General Technical Report INT-GTR-309*. Ogden, UT: USDA Forest Service, Intermountain Research Station.
- May, R. M. 1983. Parasitic infections as regulators of animal populations. *American Scientist* 71:36–45.
- May, R. M., and R. M. Anderson. 1979. Population biology of infective diseases: Part II. *Nature* 280:455–461.

- McNeill, W. H. 1976. *Plagues and peoples*. New York: Doubleday.
- Moore, J. 1995. The behavior of parasitized animals. *BioScience* 45:89–96.
- Muscatine, L., and J. W. Porter. 1977. Reef corals: Mutualistic symbioses adapted to nutrient-poor environments. *BioScience* 27:454–460.
- Ostfeld, R. S. 1997. The ecology of Lyme disease risk. *American Scientist* 85:338–346.
- Randolph, S. E. 1975. Patterns of the distribution of the tick *Ixodes trianguliceps birula* on its host. *Journal of Animal Ecology* 44:451–474.
- Real, L. A. (ed.). 1983. *Pollination biology*. Orlando, FL: Academic Press.
- . 1996. Sustainability and the ecology of infectious disease. *BioScience* 46:88–97.
- Salamolard, M., A. Butet, A. Leroux and V. Bretagnolle. 2000. Responses of an avian predator to variations in prey density at a temperate latitude. *Ecology* 81:2428–2441.
- Schall, J. J. 1983. Lizard malaria: Cost to vertebrate host's reproductive success. *Parasitology* 87:1–6.
- Seitz, R. D., R. N. Lipcuis, A. H. Hines and D. B. Eggleston. 2001. Density-dependent predation, habitat variation, and the persistence of marine bivalve prey. *Ecology* 82:2435–2451.
- Sheldon, B. C., and S. Verhulst. 1996. Ecological immunity: Costly parasite defenses and trade-offs in evolutionary ecology. *Trends in Ecology and Evolution* 11:317–321.
- Spielman, A., M. I. Wilson, J. F. Levine, and J. Piesman. 1985. Ecology of *Ixodes dammini*-borne human babesiosis and Lyme disease. *Annual Review of Entomology* 30:439–460.
- Tomback, D. F., and Y. B. Linhart. 1990. The evolution of bird-dispersed pines. *Evolutionary Ecology* 4:185–219.
- Wakelin, D. 1997. Parasites and the immune system. *BioScience* 47:32–40.
- Warner, R. E. 1968. The role of introduced diseases in the extinction of endemic Hawaiian avifauna. *Condor* 70:101–120.
- Wilson, E. O. 1975. *Sociobiology: The new synthesis*. Cambridge, MA: Harvard University Press.
- Woodard, T. N., R. J. Gutierrez, and W. H. Rutherford. 1974. Bighorn lamb production, survival and mortality in south-central Colorado. *Journal of Wildlife Management* 28:381–391.
- Zuk, M. 1991. Parasites and bright birds: New data and new predictions. Pages 317–327 in J. E. Loge and M. Zuk, eds., *Bird-parasite interactions*. Oxford: Oxford University Press.
- Gleason, H. A. 1926. The individualistic concept of the plant association. *Bulletin Torrey Botanical Club* 53:1–20.
- Morin, P. J. 1999. *Community ecology*. Oxford: Blackwell Scientific.
- Pimm, S. L. 1982. *Food webs*. New York: Chapman & Hall.
- Power, M. E., D. Tilman, J. Estes, B. Menge, W. Bond, L. Mills, G. Daily, J. Castilla, J. Lubchenco, and R. Paine. 1996. Challenges in the quest for keystones. *BioScience* 46:609–620.
- Shannon, C. E., and W. Wiener. 1963. *The mathematical theory of communications*. Urbana: University of Illinois Press.
- Simpson, E. H. 1949. Measurement of diversity. *Nature* 163:688.
- Whittaker, R. H. 1956. Vegetation of the Great Smoky Mountains. *Ecological Monographs* 26:1–80.

## Chapter 18 Factors Influencing the Structure of Communities

- Bazzaz, F. A., and J. L. Harper. 1976. Relationship between plant weight and numbers in mixed populations of *Sinapis arvensis* (L.) *Rabenh.* and *Lepidium sativum* L. *Journal of Applied Ecology* 13:211–216.
- Berger, J., P. B. Stacey, L. Bellis, and M. P. Johnson. A mammalian predator-prey imbalance: Grizzly bear and wolf extinction affect avian neotropical migrants. *Ecological Applications* 11:947–960.
- Cahill, J. F. 1999. Fertilization effects on interactions between above- and belowground competition in an old field. *Ecology* 80:466–480.
- Cohen, J. E. 1989. Food webs and community structure. Pages 181–202 in J. Roughgarden, R. May, and S. Levin, eds., *Perspectives in ecological theory*. Princeton, NJ: Princeton University Press.
- Dodd, M., J. Silvertown, K. McConway, J. Potts, and M. Crawley. (1994) Biomass stability in the plant communities of the Park Grass Experiment: The influence of species richness, soil pH and biomass. *Philosophical Transactions of the Royal Society B*.346:185–193.
- Dodson, S. I. 1970. Complementary feeding niches sustained by size-selective predation. *Limnology and Oceanography* 15:131–137.
- Ehrlich, P. R., and A. Ehrlich. 1981. *Extinction*. New York: Random House.
- Emery, N. C., P. J. Ewanchuk, and M. D. Bertness. 2001. Competition and salt marsh plant zonation: Stress tolerators may be dominant competitors. *Ecology* 82:2471–2485.
- Fowler, N. L. 1981. Competition and coexistence in a North Carolina grassland II: The effects of the experimental removal of species. *Journal of Ecology* 69:843–854.
- Gotelli, N. J. 2001. Research frontiers in null model analysis. *Global Ecology and Biogeography* 10:337–343.
- Gotelli, N. J., and G. R. Graves. 1996. *Null models in ecology*. Herndon, VA: Smithsonian Institution.
- Hairston, N. G., F. E. Smith, and L. B. Slobodkin. 1960. Community structure, population control, and competition. *American Naturalist* 94:421–425.
- Holt, R. D. 1977. Predation, apparent competition and the structure of prey communities. *Theoretical Population Biology* 12:197–229.
- Huston, M. 1979. A general hypothesis of species diversity. *American Naturalist* 113:81–101.

## Chapter 17 Community Structure

- Anderson, S., and H. H. Shugart. 1974. Avian community analyses of Walker Branch Watershed. *ORNL Publication No. 623*. Oak Ridge, TN: Environmental Sciences Division, Oak Ridge National Laboratory.
- Braun, E. L. 1950. *Deciduous forests of eastern North America*. New York: McGraw-Hill.
- Clements, F. E. 1916. Plant succession: An analysis of the development of vegetation. *Carnegie Inst. Wash. Publ.* 242.
- Estes, J., M. Tinker, T. Williams, and D. Doak. 1998. Killer whale predation on sea otters linking oceanic and near-shore ecosystems. *Science* 282:473–476.

- Huston, M. A. 1980. Soil nutrients and tree species richness in Costa Rican forests. *Journal of Biogeography* 7:147–157.
- Krebs, C. 2001. *Ecology*. 5th ed. San Francisco: Benjamin Cummings.
- Krebs, C. J., S. Boutin, and R. Boonstra (eds.). 2001. *Vertebrate community dynamics in the Kluane Boreal Forest*. Oxford: Oxford University Press.
- Krebs, C. J., S. Boutin, R. Boonstra, A. R. E. Sinclair, J. N. M. Smith, M. R. T. Dale, K. Martin, and R. Turkington. 1995. Impact of food and predation on the snowshoe hare cycle. *Science* 269:1112–1115.
- MacArthur, R. H., and J. W. MacArthur. 1961. On bird species diversity. *Ecology* 42:594–598.
- Paine, R. T. 1966. Food web complexity and species diversity. *American Naturalist* 100:65–75.
- . 1969. The Pisaster-Tegula interaction: Prey patches, predator food preference and intertidal community structure. *Ecology* 50:950–961.
- Pimm, S. L. 1982. *Food webs*. New York: Chapman & Hall.
- Power, M. E., M. J. Matthews, and A. J. Stewart. 1985. Grazing minnows, piscivorous bass, and stream algae: Dynamics of a strong interaction. *Ecology* 66:1448–1456.
- Ricklefs, R. E., and D. Schluter (eds.). 1993. *Ecological communities: Historical and geographical perspectives*. Chicago: University of Chicago Press.
- Robertson, G. P., M. A. Huston, F. C. Evans, and J. M. Tiedje. 1988. Spatial variability in a successional plant community: Patterns of nitrogen availability. *Ecology* 69:1517–1524.
- Silvertown, J., M. Dodd, K. McConway, J. Potts, and M. Crawley. 1994. Rainfall, biomass variation, and community composition in the Park Grass Experiment. *Ecology* 75:2430–2437.
- Smith, T. M., and M. Huston. 1987. A theory of spatial and temporal dynamics of plant communities. *Vegetatio* 3:49–69.
- Smith, T. M., F. I. Woodward, and H. H. Shugart (eds.). 1996. *Plant functional types: Their relevance to ecosystem properties and global change*. Cambridge: Cambridge University Press.
- Walker, B. H. 1992. Biodiversity and ecological redundancy. *Conservation Biology* 6:18–23.
- Whittaker, R. H. 1960. Vegetation of the Siskiyou Mountains, Oregon and California. *Ecological Monographs* 23:41–78.
- . 1975. *Communities and ecosystems*. New York: Macmillan.
- Chapter 19 Community Dynamics**
- Austin, M. P., and T. M. Smith. 1989. A new model of the continuum concept. *Vegetatio* 83:35–47.
- Bazzaz, F. A. 1979. The physiological ecology of plant succession. *Annual Review of Ecology and Systematics* 10:351–371.
- Billings, W. D. 1938. The structure and development of oldfield shortleaf pine stands and certain associated physical properties of the soil. *Ecological Monographs* 8:437–499.
- Bormann, F., and G. E. Likens. 1979. *Patterns and process in forested ecosystems*. New York: Springer-Verlag.
- Clements, F. E. 1916. Plant succession: An analysis of the development of vegetation. *Carnegie Inst. Wash. Publ.* 242.
- . 1936. Nature and structure of the climax. *Journal of Ecology* 24:252–284.
- Connell, J. H. 1978. Diversity in tropical rain forests and coral reefs. *Science* 199:1302–1310.
- Connell, J. H., and R. O. Slatyer. 1977. Mechanisms of succession in natural communities and their role in community stability and organization. *American Naturalist* 111:1119–1144.
- Cowles, H. C. 1899. The ecological relations of the vegetation on the sand dunes of Lake Michigan. *Botanical Gazette* 27:95–117, 167–202, 281–308, 361–391.
- Crocker, R. L., and J. Major. 1955. Soil development in relation to vegetation and surface age at Glacier Bay, Alaska. *Journal of Ecology* 43:427–488.
- Davis, M. B. 1983. Holocene vegetational history of the eastern United States. Pages 166–188 in H. E. Wright Jr., ed., *Late quaternary environments of the United States. Vol. II: The Holocene*. Minneapolis: University of Minnesota Press.
- Delcourt, P. A., and H. R. Delcourt. 1981. Vegetation maps for eastern North America, 40,000 yr bp to present. Pages 123–166 in R. Romans, ed., *Geobotany*. New York: Plenum Press.
- Duggins, D. O. 1980. Kelp beds and sea otters: An experimental approach. *Ecology* 61:447–453.
- Egler, F. 1954. Vegetation science concepts. I. Initial floristic composition, a factor in old field vegetation development. *Vegetatio* 14:412–417.
- Golley, F. (ed.). 1978. *Ecological succession. Benchmark Papers*. Stroudsburg, PA: Dowden, Hutchinson, and Ross.
- Hobbie, E. A. 1994. *Nitrogen cycling during succession in Glacier Bay, Alaska*. Masters thesis. University of Virginia, Charlottesville, VA.
- Huston, M. A. 1979. A general hypothesis of species diversity. *American Naturalist* 113:81–101.
- . 1994. *Biological diversity: The coexistence of species on changing landscapes*. New York: Cambridge University Press.
- Huston, M., and T. M. Smith. 1987. Plant succession: Life history and competition. *American Naturalist* 130:168–198.
- Maser, C., and J. M. Trappe (eds.). 1984. The seen and unseen world of the fallen tree. *USDA Forest Service General Technical Report PNW-164*.
- Olson, J. S. 1958. Rates of succession and soil changes in southern Lake Michigan sand dunes. *Botanical Gazette* 119:125–170.
- Oosting, H. J. 1942. An ecological analysis of the plant communities of Piedmont, North Carolina. *American Midland Naturalist* 28:1–26.
- Pastor, J., R. J. Naiman, B. Dewey, and P. McInnes. 1988. Moose, microbes, and boreal forest. *BioScience* 38:770–777.
- Smith, R. L. 1959. Conifer plantations as wildlife habitat. *New York Fish and Game Journal* 5:101–132.
- Sousa, W. P. 1979. Disturbance in marine intertidal boulder fields: The nonequilibrium maintenance of species diversity. *Ecology* 60:1225–1239.
- . 1984. Intertidal mosaics: Patch size, propagule availability, and spatially variable patterns of succession. *Ecology* 65:1918–1935.
- Sprugle, D. G. 1976. Dynamic structure of wave-generated *Abies balsamea* forests in northeastern United States. *Journal of Ecology* 64:889–911.
- Warming, J. E. B. 1909. *Oecology of plants*. Oxford: Oxford University Press.

- Watts, M. T. 1976. *Reading the American landscape*. New York: Macmillan.
- West, D. C., H. H. Shugart, and D. B. Botkin (eds.). 1981. *Forest succession: Concepts and application*. New York: Springer-Verlag.
- Whittaker, R. H. 1956. Vegetation of the Great Smoky Mountains. *Ecological Monographs* 26:1–80.
- Whittaker, R. H., and G. M. Woodwell. 1968. Dimension and production relations of trees and shrubs in the Brookhaven forest, New York. *Ecology* 56:1–25.
- . 1969. Structure and function, production, and diversity of the oak-pine forest at Brookhaven, New York. *Journal of Ecology* 57:155–174.
- Williams, M. 1989. *Americans and their forests: A historical geography*. Oxford: Oxford University Press.
- Zieman, J. C. 1982. The ecology of seagrasses in south Florida: A community profile. *U.S. Fish and Wildlife Service FWS/OBS-82/25*.
- Chapter 20 Landscape Ecology**
- Aber, J. D., and J. M. Melillo. 1991. *Terrestrial ecosystems*. Philadelphia: Saunders College Publishing.
- Bormann, F. H., and G. E. Likens. 1979. *Pattern and process in a forested ecosystem*. New York: Springer-Verlag.
- Brown, J. H., and A. Kodrich-Brown. 1977. Turnover rates in insular biogeography: Effect of immigration on extinction. *Ecology* 58:445–449.
- Chepko-Sade, B. D., and Z. T. Halpin (eds.). 1987. *Mammalian dispersal patterns: The effect of social structure on population genetics*. Chicago: University of Chicago Press.
- Christensen, N. L. 1977. Fire and soil-plant nutrient relations in a pine-wiregrass savanna on the coastal plain of North Carolina. *Oecologia* 31:27–44.
- Curtis, J. T. 1959. *The vegetation of Wisconsin*. Madison: University of Wisconsin Press.
- Delcourt, H. R. 1987. The impact of prehistoric agriculture and land occupation on natural vegetation. *Trends in Ecology and Evolution* 2:39–44.
- Fahrig, L., and G. Merriam. 1994. Conservation of fragmented populations. *Conservation Biology* 8:50–59.
- Forman, R. T. T. 1995. *Land mosaics: The ecology of landscapes and regions*. New York: Cambridge University Press.
- Forman, R. T. T., and M. Gordon. 1981. Patches and structural components for a landscape ecology. *BioScience* 31:733–740.
- Frankel, O. H., and M. E. Soule. 1981. *Conservation and evolution*. Cambridge: Cambridge University Press.
- Freemark, K. E., and H. G. Merriam. 1986. Importance of area and habitat heterogeneity to bird assemblages in temperate forest fragments. *Biological Conservation* 36:115–141.
- Galli, A. E., C. F. Leck, and R. T. T. Forman. 1976. Avian distribution patterns in forest islands of different sizes in central New Jersey. *Auk* 93:356–364.
- Gray, J. T., and W. H. Schlesinger. 1981. Nutrient cycling in Mediterranean-type ecosystems. In P. C. Miller, ed., *Resource use by chaparral and matorral*. New York: Springer-Verlag.
- Guthery, F. S., and R. L. Bingham. 1992. On Leopold's principle of edge. *Wildlife Society Bulletin* 20:340–344.
- Hanski, I. A., and M. E. Gilpin (eds.). 1997. *Metapopulation biology: Ecology, genetics, and evolution*. San Diego: Academic Press.
- Harris, L. D. 1984. *The fragmented forest*. Chicago: University of Chicago Press.
- . 1988. Edge effects and the conservation of biological diversity. *Conservation Biology* 2:212–215.
- Heinselman, M. L. 1973. Fire in the virgin forest of the Boundary Waters Canoe Area, Minnesota. *Quaternary Research* 3:329–382.
- Heinselman, M. L., and T. M. Casey. 1981. Fire and succession in the conifer forests of northern North America. Pages 374–405 in D. E. West, H. H. Shugart, and D. B. Botkin, eds., *Forest succession: Concepts and applications*. New York: Springer-Verlag.
- Johnson, H. B. 1976. *Order upon the land*. Oxford: Oxford University Press.
- Kerbes, R. H., P. M. Kotanen, and R. L. Jeffries. 1990. Destruction of wetland habitats by lesser snow geese: A keystone species on the west coast of Hudson Bay. *Journal of Applied Ecology* 27:242–258.
- Leopold, A. 1933. *Game management*. New York: Scribner.
- Levenson, J. B. 1981. Woodlots as biogeographic islands in southeastern Wisconsin. Pages 13–39 in R. L. Burgess and D. M. Sharpe, eds., *Forest island dynamics in man-dominated landscapes*. New York: Springer-Verlag.
- MacArthur, R. H., and E. O. Wilson. 1967. *The theory of island biogeography*. Princeton, NJ: Princeton University Press.
- Marquis, D. A. 1974. The impact of deer browsing on Allegheny hardwood regeneration. *USDA Forest Service Research Paper NE-308*.
- . 1981. Effect of deer browsing on timber production in Allegheny hardwood forests of northwestern Pennsylvania. *USDA Forest Service Research Paper NE-475*.
- Mooney, H. A., et al. (eds.). 1981. Proceedings: Symposia on fire regimes and ecosystem properties. *General Technical Report WO-26*. Washington, DC: USDA Forest Service.
- Naiman, R. J., J. M. Melillo, and J. E. Hobbie. 1986. Ecosystem alteration of boreal forest streams by beaver (*Castor canadensis*). *Ecology* 67:1254–1269.
- Preston, F. W. 1962. The canonical distribution of commonness and rarity. *Ecology* 43:185–215, 410–432.
- Ranney, J. W. 1977. Forest island edges—their structure, development, and importance to regional forest ecosystem dynamics. *EDFB/IBP Cont. No. 77/1*, Oak Ridge National Laboratory, Oak Ridge, TN.
- Ranney, J. W., M. C. Brunner, and J. B. Levenson. 1981. The importance of edge in the structure and dynamics of forest lands. Pages 67–91 in R. L. Burgess and D. M. Sharpe, eds., *Forest island dynamics in man-dominated landscapes* (Ecological Studies No. 41). New York: Springer-Verlag.
- Robbins, C. S., D. K. Dawson, and B. A. Dowell. 1989. Habitat area requirements of breeding forest birds of the Middle Atlantic States. *Wildlife Monographs* 103.
- Romme, W. H., and D. H. Knight. 1982. Landscape diversity: The concept applied to Yellowstone Park. *BioScience* 32:664–670.
- Rosenberg, D. K., B. R. Noon, and E. C. Meslow. 1997. Biological corridors: Forms, function and efficiency. *BioScience* 47:677–687.
- Runkle, J. R. 1985. Disturbance regimes in temperate forests. Pages 17–34 in S. T. A. Pickett and P. S. White, eds., *Ecology of natural disturbance and patch dynamics*. Orlando, FL: Academic Press.

- Schonewald-Cox, C. M., S. M. Chambers, B. MacBryde, and W. L. Thomas (eds.). 1983. *Genetics and conservation*. Menlo Park, CA: Benjamin Cummings.
- Schwartz, M. W. 1997. *Conservation in highly fragmented landscapes*. New York: Chapman & Hall.
- Simberloff, D. S. 1974. Equilibrium theory of island biogeography and ecology. *Annual Review of Ecology and Systematics* 5:161–182.
- Simberloff, D. S., and E. O. Wilson. 1969. Experimental zoogeography of islands. The colonization of empty islands. *Ecology* 50:278–296.
- Temple, S. A. 1986. Predicting impacts of habitat fragmentation on forest birds: A comparison of two models. Pages 301–304 in J. Verner, M. L. Morrison, and C. T. Ralph, eds., *Wildlife 2000: Modeling habitat relations of terrestrial vertebrates*. Madison: University of Wisconsin Press.
- Thomas, J. W., R. G. Anderson, C. Master, and E. L. Bull. 1979. Snags. Pages 60–77 in J. W. Thomas, ed., *Wildlife habitats in managed forests (the Blue Mountains of Oregon and Washington)*, USDA Forest Service Agricultural Handbook No. 553.
- Turner, M. 1989. Landscape ecology: The effects of pattern and process. *Annual Review of Ecology and Systematics* 20:171–197.
- . 1998. Landscape ecology. In S. I. Dodson, T. F. H. Allen, S. R. Carpenter, A. R. Ives, R. L. Jeanne, J. F. Kitchell, N. E. Langston, and M. G. Turner, *Ecology*. Oxford: Oxford University Press.
- Urban, D., R. V. O'Neill, and H. H. Shugart. 1987. Landscape ecology. *BioScience* 37:119–127.
- Walker, B. 1989. Diversity and stability in ecosystem conservation. Pages 125–130 in D. Western and M. C. Pearl, eds., *Conservation for the twenty-first century*. New York: Oxford.
- Watt, A. S. 1947. Pattern and process in the plant community. *Journal of Ecology* 35:1–22.
- Whitcomb, R. E., J. F. Lynch, M. K. Klimkiewicz, C. S. Robbins, B. L. Whitcomb, and D. Bystrak. 1981. Effects of forest fragmentation on avifauna of the eastern deciduous forest. Pages 125–205 in R. L. Burgess and D. M. Sharpe, eds., *Forest island dynamics in man-dominated landscapes*. New York: Springer-Verlag.
- Chapter 21 Ecosystem Energetics**
- Aber, J. D., and J. M. Melillo. 1991. *Terrestrial ecosystems*. Philadelphia: Saunders College Publishing.
- Anderson, J. M., and A. MacFadyen (eds.). 1976. *The role of terrestrial and aquatic organisms in the decomposition process. Seventeenth Symposium, British Ecological Society*. Oxford: Blackwell Scientific.
- Andrews, R. D., D. C. Coleman, J. E. Ellis, and J. S. Singh. 1975. Energy flow relationships in a shortgrass prairie ecosystem. Pages 22–28 in *Proceedings 1st International Congress of Ecology*. The Hague: W. Junk.
- Begon, M., J. L. Harper, and C. R. Townsend. 1996. *Ecology: Individuals, populations, and communities*. New York: Blackwell Scientific.
- Brylinsky, M. 1980. Estimating the productivity of lakes and reservoirs. Pages 411–418 in E. D. Le Cren and R. H. Lowe-McConnell, eds., *The functioning of freshwater ecosystems. International Biological Programme No. 22*. Cambridge: Cambridge University Press.
- Brylinsky, M., and K. H. Mann. 1973. An analysis of factors governing productivity in lakes and reservoirs. *Limnology and Oceanography* 18:1–14.
- Coe, M. J., D. H. Cummings, and J. Phillipson. 1976. Biomass and production of large African herbivores in relation to rainfall and primary production. *Oecologia* 22:341–354.
- Cooper, J. P. 1975. *Photosynthesis and productivity in different environments*. New York: Cambridge University Press.
- Cowan, R. L. 1962. Physiology of nutrition of deer. Pages 1–8 in *Proceedings 1st National White-tailed Deer Disease Symposium*. Maurice F. Baker, ed.. University of Georgia Continuing Education. Athens, GA.
- Cry, H., and M. L. Pace. 1993. Magnitude and patterns of herbivory in aquatic and terrestrial ecosystems. *Nature* 361:148–150.
- DeAngelis, D. L., R. H. Gardner, and H. H. Shugart. 1980. Productivity of forest ecosystems studies during the IBP: The woodlands data set. In D. E. Reichle, ed., *Dynamic properties of forest ecosystems. International Biological Programme 23*. Cambridge: Cambridge University Press.
- Dillon, P. J., and F. H. Rigler. 1974. The phosphorus–chlorophyll relationship in lakes. *Limnology and Oceanography* 19:767–773.
- Downing, J. A., C. W. Osenberg, and O. Sarnelle. 1999. Meta-analysis of marine nutrient-enrichment experiments: Systematic variation in the magnitude of nutrient limitation. *Ecology* 80:1157–1167.
- Elton, C. 1927. *Animal ecology*. London: Sidgwick & Jackson.
- Gates, D. M. 1985. *Energy and ecology*. Sunderland, MA: Sinauer Associates.
- Golley, F. B., and H. Leith. 1972. Basis of organic production in the tropics. Pages 1–26 in P. M. Golley and F. H. Golley, eds., *Tropical ecology with an emphasis on organic production*. Athens: University of Georgia Press.
- Gower, S. T., R. E. McMurtrie, and D. Murty. 1996. Aboveground net primary productivity declines with stand age: Potential causes. *Trends in Ecology and Evolution* 11:378–383.
- Hairston, N. J., Jr., and N. G. Hairston Sr. 1993. Cause-effect relationships in energy flow, trophic structure, and interspecific interactions. *American Naturalist* 143:379–411.
- Imhoff, M., L. Bounoua, T. Ricketts, C. Loucks, R. Harriss, and W. T. Lawrence. 2004. Global patterns in human consumption of net primary productivity. *Nature* 439:370–373.
- Leith, H. 1973. Primary production: Terrestrial ecosystems. *Human Ecology* 1:303–332.
- . 1975. Primary productivity in ecosystems: Comparative analysis of global patterns. Pages 67–88 in W. H. van Dobben and R. H. Lowe-McConnell, eds., *Unifying concepts in ecology*. The Hague: W. Junk.
- Leith, H., and R. H. Whittaker (eds.). 1975. Primary productivity in the biosphere. *Ecological Studies*. vol. 14. New York: Springer-Verlag.
- MacArthur, R. H., and J. H. Connell. 1966. *The biology of populations*. New York: Wiley.
- McNaughton, S. J., M. Oosterheid, D. A. Frank, and K. J. Williams. 1989. Ecosystem-level patterns of primary productivity and herbivory in terrestrial habitats. *Nature* 341:142–144.
- National Academy of Science. 1975. *Productivity of world ecosystems*. Washington, DC: National Academy of Science.

- Odum, E. P. 1983. *Basic Ecology*. Philadelphia: Saunders College Publishing.
- Pastor, J., J. D. Aber, C. A. McClaugherty, and J. M. Melillo. 1984. Aboveground production and N and P cycling along a nitrogen mineralization gradient on Blackhawk Island, Wisconsin. *Ecology* 65:256–268.
- Pauly, D., and V. Christensen. 1995. Primary production required to sustain global fisheries. *Nature* 374:255–257.
- Petrusewicz, K. (ed.). 1967. *Secondary productivity of terrestrial ecosystems*. Warsaw, Poland: Panswowe Wydawnictwo Naukowe.
- Phillipson, J. J. 1966. *Ecological energetics*. New York: St. Martin's Press.
- Reich, P. B., D. A. Peterson, K. Wrage, and D. Wedin. 2001. Fire and vegetation effects on productivity and nitrogen cycling across a forest-grassland continuum. *Ecology* 82:1703–1719.
- Reichle, D. E. 1970. *Analysis of temperate forest ecosystems*. New York: Springer-Verlag.
- . (ed.). 1981. *Dynamic properties of forest ecosystems*. Cambridge: Cambridge University Press.
- Ryan, M. G., D. Binkley, and J. H. Fownes. 1997. Age-related decline in forest productivity: Pattern and process. *Advances in Ecological Research* 27:213–262.
- Schindler, D. W. 1977. Evolution of phosphorus limitation in lakes. *Science* 195:260–262.
- . 1978. Factors regulating phytoplankton production and standing crop in the world's freshwaters. *Limnology and Oceanography* 23:478–486.
- Transeau, E. N. 1926. The accumulation of energy by plants. *Ohio Journal of Science* 26:1–10.
- Whittaker, R. H., and G. E. Likens. 1973. Carbon in the biota. In G. M. Woodwell and E. V. Pecan, eds., *Carbon and the biosphere conference 72501*. Springfield, VA: National Technical Information Service.
- Wiegert, R. C. (ed.). 1976. *Ecological energetics. Benchmark Papers*. Stroudsburg, PA: Dowden, Hutchinson, and Ross. Collection of papers surveying the development of the concept.
- Chapter 22 Decomposition and Nutrient Cycling**
- Aber, J., and J. Melillo. 1982. Nitrogen immobilization in decaying hardwood leaf litter as a function of initial nitrogen and lignin content. *Canadian Journal of Botany* 60:2263–2269.
- . 1991. *Terrestrial ecosystems*. Philadelphia: Saunders College Publishing.
- Anderson, J. M., and A. MacFadyen (eds.). 1976. The role of terrestrial and aquatic organisms in the decomposition process. *Seventeenth Symposium, British Ecological Society*. Oxford: Blackwell Scientific.
- Austin, A. T., and P. M. Vitousek. 2000. Precipitation, decomposition and litter decomposability of *Metrosideros polymorpha* in native forests in Hawaii. *Journal of Ecology* 88:129–138.
- Beare, M. H., R. W. Parmelee, P. F. Hendrix, W. Cheng, D. C. Coleman, and D. A. Crossley. 1992. Microbial and fungal interactions and effects on litter nitrogen and decomposition in agroecosystems. *Ecological Monographs* 62:569–591.
- Chapin, F. S. 1990. The mineral nutrition of wild plants. *Annual Review of Ecology and Systematics* 11:233–260.
- Correll, D. L. 1978. Estuarine productivity. *BioScience* 28:646–650.
- Fenchel, T. 1988. Marine plankton food chains. *Annual Review of Ecology and Systematics* 19:19–38.
- Fenchel, T., and T. H. Blackburn. 1979. *Bacteria and mineral cycling*. London: Academic Press.
- Fenchel, T., and P. Harrison. 1976. The significance of bacterial grazing and mineral cycling for the decomposition of particulate detritus. Pages 285–321 in J. M. Anderson and A. MacFadyen, eds., *The role of terrestrial and aquatic organisms in the decomposition process*. New York: Blackwell Scientific.
- Fletcher, M., G. R. Gray, and J. G. Jones. 1987. *Ecology of microbial communities*. New York: Cambridge University Press.
- Klap, V., P. Louchouart, J. J. Boon, M. A. Hemminga, and J. van Soelen. 1999. Decomposition dynamics of six salt marsh halophytes as determined by cupric oxide oxidation and direct temperature-resolved mass spectrometry. *Limnology and Oceanography* 44:1458–1476.
- Likens, G. E., and F. H. Bormann. 1974. Linkages between terrestrial and aquatic ecosystems. *BioScience* 24(8):447–456.
- Lovelock, J. 1979. *GAIA: A new look at life on Earth*. Oxford: Oxford University Press.
- Meentemeyer, V. 1978. Macroclimate and lignin control of decomposition. *Ecology* 59:465–472.
- Melillo, J., J. Aber, and J. Muratore. 1982. Nitrogen and lignin control of hardwood leaf litter decomposition dynamics. *Ecology* 63:621–626.
- Mudrick, D., M. Hoosein, R. Hicks, and E. Townsend. 1994. Decomposition of leaf litter in an Appalachian forest: Effects of leaf species, aspect, slope position and time. *Forest Ecology and Management* 68:231–250.
- Newbold, J. D., R. V. O'Neill, J. W. Elwood, and W. Van Winkle. 1982. Nutrient spiraling in streams: Implications for nutrient and invertebrate activity. *American Naturalist* 20:628–652.
- Nybakken, J. W. 1988. *Marine biology: An ecological approach*. 2nd ed. New York: Harper & Row.
- Odum, W. E., and M. A. Haywood. 1978. Decomposition of intertidal freshwater marsh plants. Pages 89–97 in R. E. Good, D. F. Whigham, and R. L. Simpson, eds., *Freshwater wetlands*. New York: Academic Press.
- Pastor, J., J. D. Aber, C. A. McClaugherty, and J. M. Melillo. 1984. Aboveground production and N and P cycling along a nitrogen mineralization gradient on Blackhawk Island, Wisconsin. *Ecology* 65:256–268.
- Smith, T. M. 2005. Spatial variation in leaf-litter production and decomposition within a temperate forest: The influence of species composition and diversity. *Journal of Ecology*. In review.
- Staff, H., and B. Berg. 1982. Accumulation and release of plant nutrients in decomposing Scots pine needle litter. Long-term decomposition in a Scots pine forest. II. *Canadian Journal of Botany* 60:1516–1568.
- Swift, M. J., O. W. Heal, and J. M. Anderson. 1979. *Decomposition in terrestrial ecosystems*. Berkeley: University of California Press.
- Valiela, I. 1984. *Marine ecological processes*. New York: Springer-Verlag.
- Vitousek, P. M., S. W. Andariese, P. A. Matson, L. Morris, and R. L. Sanford. 1992. Effects of harvest intensity, site preparation, and herbicide use on soil nitrogen

transformations in a young loblolly pine plantation.

*Forest Ecology and Management* 49:277–292.

Webster, J. R. 1975. *Analysis of potassium and calcium dynamics in stream ecosystems on three Appalachian watersheds of contrasting vegetation*. Ph.D. diss. University of Georgia.

Webster, J. R., D. J. D'angelo, and G. T. Peters. 1991. Nitrate and phosphate uptake in streams at Coweeta Hydrological Laboratory. *Internationale Verein Limnologie* 24: 1681–1686.

Whitkamp, M., and M. L. Frank. 1969. Evolution of carbon dioxide from litter, humus and subsoil of a pine stand. *Pedobiologia* 9:358–365.

Witherspoon, J. P. 1964. Cycling of cesium-134 in white oak trees. *Ecological Monographs* 34:403–420.

## Chapter 23 Biogeochemical Cycles

Aber, J. D., and A. H. Magill. 2004. Chronic nitrogen additions at the Harvard Forest: The first fifteen years of a nitrogen saturation experiment. *Forest Ecology and Management* 196:1–6.

Aber, J. D., K. N. Nadelhoffer, P. Steudler, and J. M. Melillo. 1989. Nitrogen saturation in northern forest ecosystems. *BioScience* 39:378–386.

Alexander, M. (ed.). 1980. *Biological nitrogen fixation*. New York: Plenum.

Binkley, D., C. T. Driscoll, H. L. Allen, P. Schoeneberger, and D. McAvoy. 1989. *Acidic deposition and forest soils: Context and case studies in southeastern United States*. New York: Springer-Verlag.

Bolin, B., E. T. Degens, S. Kempe, and P. Ketner (eds.). 1979. *The global carbon cycle. SCOPE 13*. New York: John Wiley.

Bormann, F. H., and G. E. Likens. 1979. *Pattern and process in a forested ecosystem*. New York: Springer-Verlag.

Pomeroy, L. R. 1974. Cycles of essential elements. *Benchmark Papers in Ecology*. Stroudsburg, PA: Dowden, Hutchinson, and Ross.

Post, W. M., T. H. Peng, W. R. Emanuel, A. W. King, V. H. Dale, and D. L. DeAngelis. 1990. The global carbon cycle. *American Scientist* 78:310–326.

Schlesinger, W. H. 1997. *Biogeochemistry: An analysis of global change*. 2nd ed. London: Academic Press.

Schulze, E. D., O. L. Lange, and O. Oren. 1989. *Forest decline and air pollution: A study of spruce (Picea abies) on acid soils*. New York: Springer-Verlag.

Smith, W. H. 1990. *Air pollution and forests: Interaction between air contaminants and forest ecosystems*. 2nd ed. New York: Springer-Verlag.

Sprent, J. I. 1988. *The ecology of the nitrogen cycle*. New York: Cambridge University Press.

Wellburn, A. 1988. *Air pollution and acid rain: The biological impact*. Essex, England: Longman Scientific and Technical; New York: Wiley.

## Chapter 24 Terrestrial Ecosystems

Archibald, O. W. 1995. *Ecology of world vegetation*. London: Chapman and Hall.

Bailey, R. G. 1996. *Ecosystem geography*. New York: Springer.

### Tropical Forests

Ashton, P. S. 1988. Dipterocarp biology as a window to understanding of tropical forest structure. *Annual Review of Ecology and Systematics* 19:347–370.

Bawa, K. S. 1990. Plant-pollinator interactions in tropical rain forests. *Annual Review of Ecology and Systematics* 21:399–422.

Collins, M. (ed.). 1990. *The last rain forests: A world conservation atlas*. New York: Oxford University Press.

Denslow, J. S. 1987. Tropical rainforest gaps and tree species diversity. *Annual Review of Ecology and Systematics* 18:431–451.

Erwin, T. L. 1988. The tropical forest canopy: The heart of biotic diversity. In E. O. Wilson and F. M. Peters, eds., *Biodiversity*. Washington, DC: National Academy Press.

Fleming, T. H., R. Breitwisch, and G. H. Whitesides. 1987. Patterns of tropical vertebrate frugivore diversity. *Annual Review of Ecology and Systematics* 18:71–90.

Golley, F. B. (ed.). 1983. *Tropical forest ecosystems: Structure and function. Ecosystems of the World No. 14A*. Amsterdam: Elsevier Scientific.

Jordan, C. F., and J. R. Kline. 1972. Mineral cycling: Some basic concepts and their application in a tropical rain forest. *Annual Review of Ecology and Systematics* 3:33–50.

Lathwell, D. J., and T. L. Grove. 1986. Soil-plant relations in the tropics. *Annual Review of Ecology and Systematics* 17:1–16.

Leigh, E. G., Jr. 1975. Structure and climate in tropical rain forest. *Annual Review of Ecology and Systematics* 6:67–86.

Murphy, P. G., and A. E. Lugo. 1986. Ecology of tropical dry forests. *Annual Review of Ecology and Systematics* 17:67–88.

Richards, P. W. 1996. *The tropical rain forest: An ecological study*. 2nd ed. New York: Cambridge University Press.

Simpson, B. B., and J. Haffer. 1978. Spatial patterns in the Amazonian rain forest. *Annual Review of Ecology and Systematics* 9:497–518.

Sutton, S. L., T. C. Whitmore, and A. C. Chadwick (eds.). 1983. *Tropical rain forests: Ecology and management*. Oxford: Blackwell Scientific.

Tomlinson, P. B. 1987. Architecture of tropical plants. *Annual Review of Ecology and Systematics* 18:1–21.

Walter, H. 1971. *Ecology of tropical and subtropical vegetation*. Edinburgh: Oliver & Boyd.

Whitmore, T. C. 1984. *Tropical rainforests of the Far East*. Oxford: Clarendon Press.

———. 1990. *An introduction to tropical rain forests*. New York: Oxford University Press.

### Temperate Forests

Bormann, F. H., and G. E. Likens. 1979. *Pattern and process in a forest ecosystem*. New York: Springer-Verlag.

Brinson, M. M., B. L. Swift, R. C. Plantico, and J. S. Barclay. 1981. *Riparian ecosystems: Their ecology and status. FWS/OBS-81/17*. Kearneysville, WV: Eastern Energy and Land Use Team, U.S. Fish and Wildlife Service.

Curtis, J. T. 1959. *The vegetation of Wisconsin*. Madison: University of Wisconsin Press.

Davis, M. B. (ed.). 1996. *Eastern old-growth forests: Prospects for rediscovery and recovery*. Covelo, CA: Island Press.

Duvigneaud, P. (ed.). 1971. *Productivity of forest ecosystems*. Paris: UNESCO.

Lang, G. E., W. A. Reiners, and L. H. Pike. 1980. Structure and biomass dynamics of epiphytic lichen communities of balsam fir forests in New Hampshire. *Ecology* 63:541–550.

- Peterken, G. F. 1996. *Natural woodlands: Ecology and conservation in north temperate regions*. New York: Cambridge University Press.
- Polunin, O., and M. Walters. 1985. *A guide to the vegetation of Britain and Europe*. Oxford: Oxford University Press.
- Reichle, D. E. (ed.). 1981. *Dynamic properties of forest ecosystems*. Cambridge: Cambridge University Press.
- Conifer Forest**
- Bonan, G. B., and H. H. Shugart. 1989. Environmental factors and ecological processes in boreal forests. *Annual Review of Ecology and Systematics* 20:1–18.
- Edmonds, R. L. (ed.). 1981. *Analysis of coniferous forest ecosystems in the western United States*. Stroudsburg, PA: Dowden, Hutchinson & Ross.
- Franklin, J. F., and C. T. Dyrness. 1973. Natural vegetation of Oregon and Washington. *USDA Forest Service Gen. Tech. Rept. PNW8*. Corvallis, OR: USDA Forest Service.
- Knystautas, A. 1987. *The natural history of the USSR*. New York: McGraw-Hill.
- Larsen, J. A. 1980. *The boreal ecosystem*. New York: Academic Press.
- . 1989. *The northern forest border in Canada and Alaska*. *Ecological Studies* 70. New York: Springer-Verlag.
- Polunin, O., and M. Walters. 1985. *A guide to the vegetation of Britain and Europe*. Oxford: Oxford University Press.
- Woodland/Savanna**
- Bourliere, F. (ed.). 1983. *Tropical savannas: Ecosystems of the world 13*. Amsterdam: Elsevier Scientific.
- Plumb, T. R. 1979. Proceedings of the symposium on the ecology, management, and utilization of California oaks. *General Technical Report PSW-44*. Berkeley, CA: Pacific Southwest Forest and Range Experiment Station, Forest Service, U.S. Dept. of Agriculture.
- Sarimiento, G. 1984. *Ecology of neotropical savannas*. Cambridge, MA: Harvard University Press.
- Sinclair, A. R. E., and P. Arcese (eds.). 1995. *Serengeti II: Dynamics, management, and conservation of an ecosystem*. Chicago: University of Chicago Press.
- Sinclair, A. R. E., and M. Norton-Griffiths (eds.). 1979. *Serengeti: Dynamics of an ecosystem*.
- Tohill, J. C., and J. J. Mott (eds.). 1985. *Ecology and management of the world's ecosystems*. Canberra: Australian Academy of Science.
- Grasslands**
- Breymeyer, A., and G. Van Dyne (eds.). 1980. *Grasslands, systems analysis and man*. Cambridge: Cambridge University Press.
- Callenback, E. 1996. *Bring back the buffalo: A sustainable future for America's Great Plains*. Covelo, CA: Island Press.
- Coupland, R. T. (ed.). 1979. *Grassland ecosystems of the world: Analysis of grasslands and their uses*. Cambridge: Cambridge University Press.
- Duffy, E. 1974. *Grassland ecology and wildlife management*. London: Chapman and Hall.
- French, N. (ed.). 1979. *Perspectives on grassland ecology*. New York: Springer-Verlag.
- Hodgson, J., and A. W. Illius (eds.). 1966. *Ecology and management of grazing systems*. New York: CAB International.
- Levin, S. A. (ed.). 1993. Forum: Grazing theory and rangeland management. *Ecological Applications* 3:1–38.
- Manning, D. 1995. *Grassland: History, biology, politics, and promise of the American prairie*. New York: Penguin Books.
- Reichman, O. J. 1987. *Konza prairie: Tallgrass natural history*. Lawrence: University Press of Kansas.
- Risser, P. G., et al. 1981. *The true prairie ecosystem*. Stroudsburg, PA: Dowden, Hutchinson & Ross.
- Weaver, J. E. 1954. *North American prairie*. Lincoln, NE: Johnson.
- Shrublands**
- Castri, F. Di, D. W. Goodall, and R. L. Specht (eds.). 1981. *Mediterranean-type shrublands. Ecosystems of the World No. 11*. Amsterdam: Elsevier Scientific.
- Castri, F. Di., and H. A. Mooney (eds.). 1973. *Mediterranean-type ecosystems. Ecological Studies No. 7*. New York: Springer-Verlag.
- Groves, R. H. 1981. *Australian vegetation*. Cambridge: Cambridge University Press.
- McKell, C. M. (ed.). 1983. *The biology and utilization of shrubs*. Orlando, FL: Academic Press.
- Polunin, O., and M. Walters. 1985. *A guide to the vegetation of Britain and Europe*. Oxford: Oxford University Press.
- Specht, R. L. (ed.). 1979, 1981. *Heathlands and related shrublands. Ecosystems of the World 9A and 9B*. Amsterdam: Elsevier Scientific.
- Desert**
- Brown, G. W., Jr. (ed.). 1976–1977. *Desert biology*, 2 vols. New York: Academic Press.
- Evenardi, M., I. Noy-Meir, and D. Goodall (eds.). 1985–1986. *Hot deserts and arid shrublands of the world. Ecosystems of the World 12A and 12B*. Amsterdam: Elsevier Scientific.
- Wagner, F. H. 1980. *Wildlife of the deserts*. New York: Harry W. Abrams.
- Tundra**
- B Bliss, L. C., O. H. Heal, and J. J. Moore (eds.). 1981. *Tundra ecosystems: A comparative analysis*. New York: Cambridge University Press.
- Brown, J., P. C. Miller, L. L. Tieszen, and F. L. Bunnell. 1980. *An arctic ecosystem: The coastal tundra at Barrow, Alaska*. Stroudsburg, PA: Dowden, Hutchinson & Ross.
- Furley, P. A., and W. A. Newey. 1983. *Geography of the biosphere*. London: Butterworths.
- Rosswall, T., and O. W. Heal (eds.). 1975. *Structure and function of tundra ecosystems. Ecological Bulletins 20*. Stockholm: Swedish Natural Sciences Research Council.
- Smith, A. P., and T. P. Young. 1987. Tropical alpine plant ecology. *Annual Review of Ecology and Systematics* 18:137–158.
- Sonesson, M. (ed.). 1980. *Ecology of a subarctic mire. Ecological Bulletins 30*. Stockholm: Swedish Natural Sciences Research Council.
- Wielgolaski, F. E. (ed.). 1975. *Fennoscandian tundra ecosystems. Part I: Plants and microorganisms. Part II: Animals and systems analysis*. New York: Springer-Verlag.
- Zwinger, A. H., and B. E. Willard. 1972. *Land above the trees*. New York: Harper & Row.



## Chapter 25 Aquatic Ecosystems

### Freshwater and Estuarine Ecosystems

- Barnes, R. S. K., and K. H. Mann. 1980. *Fundamentals of aquatic ecosystems*. New York: Blackwell Scientific.
- Brock, T. D. 1985. *A eutrophic lake: Lake Mendota, Wisconsin*. New York: Springer-Verlag.
- Carpenter, S. A. 1980. Enrichment of Lake Wingra, Wisconsin, by submerged macrophyte decay. *Ecology* 61:1145–1155.
- Carpenter, S. A., and J. F. Kitchell. 1984. Plankton community structure and limnetic primary production. *American Naturalist* 124:159–172.
- Carpenter, S. A., J. F. Kitchell, and J. Hodgson. 1985. Cascading trophic interactions and lake productivity. *BioScience* 35:634–639.
- Cummins, K. W. 1974. Structure and function of stream ecosystems. *BioScience* 24:631–641.
- . 1979. Feeding ecology of stream invertebrates. *Annual Review of Ecology and Systematics* 10:147–172.
- Gore, J. A., and G. E. Petts. 1989. *Alternatives in regulated river management*. Boca Raton, FL: CRC Press.
- Hutchinson, G. E. 1957–1967. *A treatise on limnology*. Vol. 1: *Geography, physics, and chemistry*. Vol. 2: *Introduction to lake biology and limnoplankton*. New York: Wiley.
- Hynes, H. B. N. 1970. *The ecology of running water*. Toronto: University of Toronto Press.
- Ketchum, B. H. (ed.). 1983. *Estuaries and enclosed seas. Ecosystems of the World 26*. Amsterdam: Elsevier Scientific.
- Likens, G. E. (ed.). 1985. *An ecosystem approach to aquatic ecology: Mirror Lake and environment*. New York: Springer-Verlag.
- Macan, T. T. 1970. *Biological studies of English lakes*. New York: Elsevier Scientific.
- . 1973. *Ponds and lakes*. New York: Crane, Russak.
- . 1974. *Freshwater ecology*. 2nd ed. New York: John Wiley & Sons.
- Maitland, P. S. 1978. *Biology of fresh waters*. New York: John Wiley & Sons.
- McLusky, D. S. 1989. *The estuarine ecosystem*. 2nd ed. New York: Chapman & Hall.
- Meyer, J. L. 1990. A blackwater perspective on riverine ecosystems. *BioScience* 40:643–651.
- Petts, G. E. 1984. *Impounded rivers: Perspectives for ecological management*. New York: Wiley.
- Stanford, J. A., and A. P. Covich (eds.). 1988. Community structure and function in temperate and tropical streams. *Journal of North American Benthological Society* 7:261–529.
- Vannote, R. L., G. W. Minshall, K. W. Cummins, J. R. Sedell, and C. E. Cushing. 1980. The river continuum concept. *Canadian Journal of Fisheries and Aquatic Science* 37:130–137.
- Wiley, M. 1976. *Estuarine processes*. New York: Academic Press.

### Oceans

- Grassle, J. F. 1985. Hydrothermal vent animals: Distribution and biology. *Science* 229:713–717.
- . 1989. Species diversity in deep-sea communities. *Trends in Ecology and Evolution* 4:12–15.
- . 1991. Deep-sea benthic diversity. *BioScience* 41:464–469.
- Gross, M. G. 1982. *Oceanography: A view of Earth*. 3rd ed. Englewood Cliffs, NJ: Prentice-Hall.

- Hardy, A. 1971. *The open sea: Its natural history*. Boston: Houghton Mifflin.
- Hayman, R. M., and R. C. McDonald. 1985. The ecology of deep sea hot springs. *American Scientist* 73:441–449.
- Kinne, O. (ed.). 1978. *Marine ecology*. 5 vols. New York: John Wiley and Sons Ltd.
- Marshall, N. B. 1980. *Deep-sea biology: Developments and perspectives*. New York: Garland STMP Press.
- Nybakken, J. W. 1997. *Marine biology: An ecological approach*. 4th ed. Menlo Park, CA: Benjamin Cummings.
- Rex, M. A. 1981. Community structure in the deep-sea benthos. *Annual Review of Ecology and Systematics* 12:331–353.
- Steele, J. 1974. *The structure of a marine ecosystem*. Cambridge, MA: Harvard University Press.
- Hiatt, R. W., and D. W. Strasburg. 1960. Ecological relationships of the fish fauna on coral reefs of the Marshall Islands. *Ecological Monographs* 30:66–120.
- Huston, M. 1985. Patterns of species diversity on coral reefs. *Annual Review of Ecology and Systematics* 16:149–177.
- Jackson, J. B. C. 1991. Adaptation and diversity of reef corals. *BioScience* 41:475–482.
- Jones, O. A., and R. Endean (eds.). 1973, 1976. *Biology and geology of coral reefs*. Vols. II, III. New York: Academic Press.
- Nybakken, J. W. 1997. *Marine biology: An ecological approach*. 4th ed. Menlo Park, CA: Benjamin Cummings.
- Pomeroy, L. R., and E. J. Kuenzler. 1969. Phosphorus turnover by coral reef animals. Pages 478–483 in D. J. Nelson and F. E. Evans, eds., *Symposium on radioecology conference*. 670503. Springfield, VA: National Technical Information Services.
- Reaka, M. J. (ed.). 1985. *Ecology of coral reefs*. Symposia Series for Undersea Research, NOAA Undersea Research Program 3. Washington DC: U.S. Department of Commerce.
- Sale, P. F. 1980. The ecology of fishes on coral reefs. *Annual Review of Oceanography and Marine Biology* 18:367–421.
- Valiela, I. 1984. *Marine biology processes*. New York: Springer-Verlag.
- Wellington, G. W. 1982. Depth zonation of corals in the Gulf of Panama: Control and facilitation by resident reef fishes. *Ecological Monographs* 52:223–241.
- Wilson, R., and J. Q. Wilson. 1985. *Watching fishes: Life and behavior on coral reefs*. New York: Harper & Row.

## Chapter 26 Coastal and Wetland Ecosystems

### Coastal Ecosystems

- Bertness, M. D. 1999. *The ecology of Atlantic shorelines*. Sunderland, MA: Sinauer Associates.
- Carson, R. 1955. *The edge of the sea*. Boston: Houghton Mifflin.
- Eltringham, S. K. 1971. *Life in mud and sand*. New York: Crane, Russak.
- Leigh, E. G., Jr. 1987. Wave energy and intertidal productivity. *Proceedings of the National Academy of Sciences* 84:1314.
- Lubchenco, J. 1978. Algal zonation in the New England rocky intertidal community: An experimental analysis. *Ecology* 61:333–344.
- Mathieson, A. C., and P. H. Nienhuis (eds.). 1991. *Intertidal and littoral ecosystems. Ecosystems of the world 24*. Amsterdam: Elsevier Scientific.

- Moore, P. G., and R. Seed (eds.). 1986. *The ecology of rocky shores*. New York: Columbia University Press.
- Newell, R. C. 1970. *Biology of intertidal animals*. New York: Elsevier Scientific.
- Stephenson, T. A., and A. Stephenson. 1973. *Life between the tidemarks on rocky shores*. San Francisco: Freeman.
- Underwood, A. J., E. J. Denley, and M. J. Moran. 1983. Experimental analyses of the structure and dynamics of midshore rocky intertidal communities in New South Wales. *Oecologia* 56:202–219.
- Yonge, C. M. 1949. *The seashore*. London: Collins.
- Wetlands**
- Bertness, M. D. 1984. Ribbed mussels and *Spartina alterniflora* production on a New England marsh. *Ecology* 65:1794–1807.
- . 1999. *The ecology of Atlantic shorelines*. Sunderland, MA: Sinauer Associates.
- Bildstein, K. L., G. T. Bancroft, P. J. Dugan et al. 1991. Approaches to the conservation of coastal wetlands in the Western Hemisphere. *Wilson Bulletin* 103:218–254.
- Chapman, V. J. 1976. *Mangrove vegetation*. Leutershausen, Germany: J. Cramer.
- . (ed.). 1977. *Wet coastal ecosystems*. Amsterdam: Elsevier Scientific.
- Clark, J. 1974. *Coastal ecosystems: Ecological considerations for the management of the coastal zone*. Washington, DC: Conservation Foundation.
- Cowardin, L. M., V. Carter, and E. C. Golet. 1979. *Classification of wetlands and deepwater habitats of the United States*. Washington, DC: U.S. Department of Interior, Fish and Wildlife Service FWS/OBS-79/31.
- Dahl, T. E. 1990. *Wetland losses in the United States, 1780's to 1980's*. Washington, DC: U.S. Department of Interior, Fish and Wildlife Service.
- Dugan, P. (ed.). 1993. *Wetlands in danger: A world conservation atlas*. New York: Oxford University Press.
- Ewel, K. C. 1990. Multiple demands on wetlands. *BioScience* 40:660–666.
- Ewel, K. C., and H. T. Odum (eds.). 1986. *Cypress swamps*. Gainesville: University Presses of Florida.
- Gore, A. P. J. (ed.). 1983. *Mire, swamp, bog, fen, and moor. Ecosystems of the world 4A and 4B*. Amsterdam: Elsevier Scientific.
- Haines, B. L., and E. L. Dunn. 1985. Coastal marshes. Pages 323–347 in B. F. Chabot and H. A. Mooney, eds., *Physiological ecology of North American plant communities*. New York: Chapman and Hall.
- Hopkinson, C. S., and J. P. Schubauer. 1984. Static and dynamic aspects of nitrogen cycling in the salt marsh graminoid *Spartina alterniflora*. *Ecology* 65:961–969.
- Howarth, R. W., and J. Teal. 1979. Sulfate reduction in a New England salt marsh. *Limnology and Oceanography* 24:999–1013.
- Jefferies, R. L., and A. J. Davy (eds.). 1979. *Ecological processes in coastal environments*. Oxford: Blackwell Scientific.
- Long, S. P., and C. F. Mason. 1983. *Salt marsh ecology*. New York: Chapman & Hall.
- Lugo, A. E. 1990. *The forested wetlands*. Amsterdam: Elsevier Scientific.
- Lugo, A. E., and S. C. Snedaker. 1974. The ecology of mangroves. *Annual Review of Ecology and Systematics* 5:39–64.
- Mitsch, W. J., and J. C. Gosslink. 1993. *Wetlands*. 2nd ed. New York: Van Nostrand Reinhold.
- Moore, P. D., and D. J. Bellemany. 1974. *Peatlands*. New York: Springer-Verlag.
- Niering, W. A., and B. Hales. 1991. *Wetlands of North America*. Charlottesville, VA: Thomasson-Grant.
- Nixon, S. W., and C. A. Oviatt. 1973. Ecology of a New England salt marsh. *Ecological Monographs* 43:463–498.
- Nybakken, J. W. 1997. *Marine biology: An ecological approach*. 4th ed. Menlo Park, CA: Benjamin Cummings.
- Pomeroy, L. R., and R. G. Wiegert (eds.). 1981. *The ecology of a salt marsh*. New York: Springer-Verlag.
- Teal, J. 1962. Energy flow in a salt marsh ecosystem of Georgia. *Ecology* 43:614–624.
- Tiner, R. W. 1991. The concept of a hydrophyte for wetland identification. *BioScience* 41:236–247.
- Valiela, I., and J. M. Teal. 1979. The nitrogen budget of a salt marsh ecosystem. *Nature* 47:337–371.
- Valiela, I., J. M. Teal, and W. G. Denser. 1978. The nature of growth forms in salt marsh grass *Spartina alternifolia*. *American Naturalist* 112:461–370.
- Van der Valk, A. (ed.). 1989. *Northern prairie wetlands*. Ames: Iowa State University Press.
- Weller, M. W. 1981. *Freshwater wetlands: Ecology and wildlife management*. Minneapolis: University of Minnesota Press.
- Zedler, J., T. Winfield, and D. Mauriello. 1992. *The ecology of Southern California coastal marshes: A community profile*. U.S. Fish and Wildlife Service Office of Biological Services FWS/OBS 81/54.
- Chapter 27 Large-Scale Patterns of Biological Diversity**
- Angel, M. V. 1991. Variations in time and space: Is biogeography relevant to studies of long-time scale change? *Journal of the Marine Biological Association of the United Kingdom* 71:191–206.
- Archibold, O. W. 1995. *Ecology of world vegetation*. London: Chapman and Hall.
- Bailey, R. G. 1996. *Ecosystem geography*. New York: Springer.
- Bailey, R. G. 1978. *Description of the ecoregions of the United States*. Ogden, UT: USDA Forest Service Intermountain Region.
- Bartlein, P. J., T. Webb, and E. C. Fleri. 1984. Climate response surfaces from pollen data for some eastern North American taxa. *Journal of Biogeography* 13:35–57.
- Clements, F. E., and V. E. Shelford. 1939. *Bio-ecology*. New York: McGraw-Hill.
- Currie, D. J. 1991. Energy and large-scale biogeographical patterns of animal and plant species richness. *American Naturalist* 137:27–49.
- Currie, D. J., and V. Paquin. 1987. Large-scale biogeographical patterns of species richness in trees. *Nature* (London) 329:326–327.
- DeCandolle, A. P. A. 1874. *Constitution dans le Regne Vegetal de Groupes Physiologiques Applicables a la Geographie Ancienne et Moderne*. Archives des Sciences Physiques et Naturelles. May, Geneva.
- Holdridge, L. R. 1947. Determination of wild plant formations from simple climatic data. *Science* 105:367–368.
- . 1967. Determination of world plant formation from simple climatic data. *Science* 130:572.

- Hunter, M. L., and P. Yonzon. 1992. Altitudinal distributions of birds, mammals, people, forests and parks in Nepal. *Conservation Biology* 7:420–423.
- Huston, M. 1994. *Biological diversity: The coexistence of species on changing landscapes*. New York: Cambridge University Press.
- Kikkawa, J., and W. T. Williams. 1971. Altitudinal distribution of land birds in New Guinea. *Search* 2:64–69.
- Koppen, W. 1918. Klassifikation der Klimate nach Temperatur, Niederschlag, und Jahres lauf. *Petermann's Mitteilungen* 64:193–203, 243–248.
- Merriam, C. H. 1890. Results of a biological survey of the San Francisco mountain region and the desert of the Little Colorado, Arizona. *North American Fauna* 1–136.
- . 1899. Zone temperatures. *Science* 9:116.
- Niklas, K. J., B. H. Tiffney, and A. H. Knoll. 1983. Patterns in land plant diversification. *Nature* 303:293–299.
- Prentice, I. C., P. J. Bartlein, and T. Webb. 1991. Vegetation and climate change in eastern North America since the last glacial maximum: A response to continuous climate forcing. *Ecology* 72:2038–2056.
- Rex, M. A., C. T. Stuart, R. R. Hessler, J. A. Allen, H. A. Sanders, and G. D. F. Wilson. 1993. Global-scale latitudinal patterns of species diversity in the deep-sea benthos. *Nature* 365:636–639.
- Schimper, A. F. W. 1903. *Plant-geography upon a physiological basis*. Oxford: Clarendon.
- Whittaker, R. H. 1975. *Communities and ecosystems*. London: Collier-Macmillan.
- . 1977. Evolution of species diversity in land communities. *Evolutionary Biology* 10:1–67.
- Wilson, E. O. 1999. *The diversity of life*. New York: Norton.
- Chapter 28 Population Growth, Resource Use, and Sustainability**
- Bormann, F. H., D. Balmori, and G. T. Geballe. 1993. *Redesigning the American lawn*. New Haven, CT: Yale University Press.
- Bricklemeyer, E. C., Jr., S. Ludicello, and H. J. Hartmann. 1989. Discarded catch in U.S. commercial marine fisheries. Pages 259–295 in *Audubon wildlife report 1989/1990*. San Diego: Academic Press.
- Buschbacher, R. J. 1986. Tropical deforestation and pasture development. *BioScience* 36:22–28.
- Daily, G. (ed.). 1997. *Nature's Services: Societal Dependence on Natural Ecosystems*. Washington, DC: Island Press.
- Ellis, R. 1991. *Men and whales*. New York: Knopf.
- Ewel, J., C. Berish, B. Brown, N. Price, and J. Raich. 1981. Slash and burn impacts on a Costa Rican wet forest site. *Ecology* 62:816–829.
- Gambell, R. 1976. Population biology and the management of whales. *Applied Biology* 1:237–343.
- Gliessman, S. R. (ed.) 1990. *Agroecology: Researching the ecological basis for sustainable agriculture. Ecological Studies Series No. 78*. New York: Springer-Verlag.
- Harris, L. D. 1984. *The fragmented forest*. Chicago: University of Chicago Press.
- Hoffman, C. A. 1990. Ecological risks of genetic engineering of crop plants. *BioScience* 40:434–436.
- Heal, G. 2000. *Nature and the marketplace*. Washington, DC: Island Press.
- Horn, D. J. 1988. *Ecological approach to pest management*. New York: Guilford.
- Jenkins, M. B. (ed.). 1998. *The business of sustainable forestry: Case studies*. Chicago: J. D. and K. T. MacArthur Foundation.
- Lansky, M. 1992. *Beyond the beauty strip: Saving what's left of our forests*. Gardiner, ME: Tilbury House.
- Livi-Bacci, M. 2001. *A concise history of world population*. 3rd ed. Malden, MA: Blackwell Scientific.
- Mather, G. A. S. 1990. *Global forest resources*. Portland, OR: Timber Press.
- Orians, G. H., J. Buckley, W. Clark, M. Gilpin, J. Lehman, R. May, G. Robilliard, and D. Simberloff. 1986. *Ecological knowledge and environmental problem-solving*. Washington, DC: National Academy Press.
- Perlan, J. 1991. *A forest journey: The role of wood in the development of civilization*. Cambridge, MA: Harvard University Press.
- Pesek, J. (ed.). 1989. *Alternative agriculture*. Washington, DC: National Academy Press.
- Pimentel, D. 1984. Energy flow in agroecosystems. Pages 121–132 in R. Lawrence, B. Stinner, and G. J. House, eds., *Agricultural ecosystems: Unifying concepts*. New York: John Wiley & Sons.
- Pimentel, D., and C. A. Edwards. 1982. Pesticides and ecosystems. *BioScience* 32:595–600.
- Regier, H. A., and G. L. Baskerville. 1986. Sustainable development of regional ecosystems degraded by exploitive development. Pages 74–103 in W. E. Clark and R. E. Munn, eds., *Sustainable development of the biosphere*. Cambridge: Cambridge University Press.
- Regier, H. A., and W. L. Hartman. 1973. Lake Erie's fish community: 150 years of cultural stress. *Science* 180:1248–1255.
- Russell, C. S. 2001. *Applying economics to the environment*. Oxford: Oxford University Press.
- Russell, E. 2001. *War and nature*. Cambridge: Cambridge University Press.
- Small, G. 1976. *The blue whale*. New York: Columbia University Press.
- USDA Forest Service. 1980. *Environmental consequences of timber harvesting in Rocky Mountain coniferous forests. USDA Forest Service Gen. Tech. Rept. INT-90*. Ogden, UT: Intermountain Forest and Range Experiment Station.
- Walters, C. 1986. *Adaptive management of renewable resources*. New York: Macmillan.
- Chapter 29 Habitat Loss, Biodiversity, and Conservation**
- Baden, J. A., and D. Leal. 1990. *The Yellowstone primer*. San Francisco: Pacific Research Institute for Public Policy.
- Berger, J. 1990. Persistence of different-sized populations: An empirical assessment of rapid extinctions in bighorn sheep. *Conservation Biology* 4:91–98.
- Carson, R. 1962. *Silent spring*. Boston: Houghton Mifflin.
- Caughley, G. 1976. Wildlife management and the dynamics of ungulate populations. *Applied Biology* 1:183–246.
- Cottam, G. 1990. Community dynamics on an artificial prairie. Pages 257–270 in W. R. Jordan III, M. E. Gilpin, and J. D. Aber, eds., *Restoration ecology: A synthetic approach to ecological research*. Cambridge: Cambridge University Press.
- Culotta, E. 1995. Many suspects to blame in Madagascar extinctions. *Science* 268:156–159.

- DiSilvestro, R. L. 1989. *The endangered kingdom: The struggle to save America's wildlife*. New York: Wiley.
- Fitzgerald, S. 1989. *International wildlife trade: Whose business is it?* Washington, DC: World Wildlife Fund.
- Green, G. N., and R. W. Sussman. 1990. Deforestation history of the eastern rain forests of Madagascar from satellite images. *Science* 248:212–215.
- Hughes, T. P. 1994. Catastrophes, phase shifts and large-scale degradation of a Caribbean coral reef. *Science* 265:1547–1551.
- Jordan, W. R., III, M. E. Gilpin, and J. D. Aber (eds.). 1990. *Restoration ecology: A synthetic approach to ecological research*. Cambridge: Cambridge University Press.
- Kleiman, D. G. 1989. Reintroduction of captive mammals for conservation. *BioScience* 39:152–161.
- Kot, M. 2001. *Elements of mathematical ecology*. Cambridge: Cambridge University Press.
- McCullough, D. R. (ed.). 1996. *Metapopulations and wildlife conservation*. Washington, DC: Island Press.
- Meffe, G. K., and C. R. Carroll. 1997. *Principles of conservation biology*. 2nd ed. Sunderland, MA: Sinauer Associates.
- Mittermeier, R. A., N. Myers, P. R. Gil, and C. G. Mittermeier. 1999. *Hotspots: Earth's biologically richest and most endangered terrestrial ecoregions*. Mexico City: CEMEX Conservation International.
- Myers, N. 1986. Tropical deforestation and a mega-extinction spasm. Pages 349–409 in M. E. Soule, ed., *Conservation biology: The science of scarcity and diversity*. Sunderland, MA: Sinauer Associates.
- . 1991a. Tropical deforestation: The latest situation. *BioScience* 41:282.
- . 1991b. The biodiversity challenge: Expanded hotspots analysis. *Environmentalist* 10:243–256.
- . 1998. Threatened biotas: “Hotspots” in tropical forests. *Environmentalist* 8:1–20.
- Pimentel, D., et al. 1992. Environmental and economic costs of pesticide use. *BioScience* 42:750–760.
- Prescott-Allen, C., and R. Prescott-Allen. 1986. *The first resource: Wild species in North American economy*. New Haven, CT: Yale University Press.
- Primack, R. B. 1998. *Essentials of conservation biology*. 2nd ed. Sunderland, MA: Sinauer Associates.
- Schonewald-Cox, C. M. 1983. Conclusions: Guidelines to management: A beginning attempt. Pages 414–445 in C. M. Schonewald-Cox, S. M. Chambers, B. MacBryde, and L. Thomas, eds., *Genetics and conservation: A reference for managing wild animal and plant populations*. Menlo Park, CA: Benjamin Cummings.
- Soule, M. E. 1985. What is conservation biology? *BioScience* 35:727–734.
- (ed.). 1986a. *Conservation biology: The science of scarcity and diversity*. Sunderland, MA: Sinauer Associates.
- . 1986b. *Viable populations for conservation*. Cambridge: Cambridge University Press.
- Soule, M. E., and B. A. Wilcox (eds.). 1980. *Conservation biology: An evolutionary ecological perspective*. Sunderland, MA: Sinauer Associates.
- Tattersal, I. 1993. Madagascar's lemurs. *Scientific American* 268:110–117.
- Thatcher, R. C., J. L. Searcy, J. E. Coster, and G. D. Hertel (eds.). 1986. *The southern pine beetle*. USDA Forest Service Science and Education Tech. Bull. 1631. Washington, DC: U.S. Department of Agriculture.
- Trefethen, J. B. 1975. *An American crusade for wildlife*. New York: Winchester Press.
- Western, D., and M. C. Pearl. 1988. *Conservation for the twenty-first century*. New York: Oxford University Press.
- Whitcomb, R. F., J. F. Lynch, P. A. Opler, and C. S. Robbins. 1976. Island biogeography and conservation: Strategy and limitations. *Science* 193:1030–1032.
- Wilson, E. O. 1992. *The diversity of life*. Cambridge, MA: The Belknap Press of Harvard University Press.
- Wilson, E. O., and F. M. Peter (eds.). 1988. *Biodiversity*. Washington, DC: National Academy Press.
- Zaret, T. M., and R. T. Paine. 1973. Species introduction in a tropical lake. *Science* 182:449–455.

### Chapter 30 Global Climate Change

- Adams, R. M., R. A. Fleming, C. C. Chang, and B. A. McCarl. 1995. A reassessment of the economic effects of global climate change on U.S. agriculture. *Climate Change* 30:147–167.
- Amthor, J. S. 1995. Terrestrial higher-plant response to increasing atmospheric CO<sub>2</sub> in relation to the global carbon cycle. *Global Change Biology* 1:243–274.
- Bazzaz, F. A. 1996. *Plants in changing environments: Linking physiological, population, and community ecology*. New York: Cambridge University Press.
- Butcher, S. S., R. J. Charlson, G. H. Orians, and G. V. Wolfe (eds.). 1992. *Global biogeochemical cycles*. New York: Academic Press.
- Currie D. J. 2001. Projected effects of climate change on patterns of vertebrate and tree species richness in the conterminous United States. *Ecosystems* 4:216–225.
- Drake, B. G., and P. W. Leadley. 1991. Canopy photosynthesis of crops and native plant communities exposed to long-term elevated carbon dioxide. *Plant Cell Environment* 14:853–860.
- Drake, B. G., G. Peresta, E. Beugeling, and R. Matamala. 1996. Long-term elevated CO<sub>2</sub> exposure in a Chesapeake Bay wetland: Ecosystem gas exchange, primary productivity, and tissue nitrogen. In G. Koch and H. A. Mooney, eds., *Carbon dioxide and terrestrial ecosystems*. San Diego: Academic Press.
- Edmonds, J. 1992. Why understanding the natural sinks and sources of CO<sub>2</sub> is important: A policy analysis perspective. *Water, Air and Soil Pollution* 64:11–21.
- Field, C. B., R. B. Jackson, and H. A. Mooney. 1995. Stomatal responses to CO<sub>2</sub>: Implications from the plant to global scale. *Plant Cell Environment* 18:1214–1225.
- Finzi, A. C., D. J. Moore, E. H. DeLucia, J. Lichten, K. S. Hofmockel, R. B. Jackson, H. S. Kim, R. Matamala, H. R. McCarthy, R. Oren, J. S. Pippen, W. H. Schlesinger. 2006. Progressive nitrogen limitation of ecosystems processes under elevated CO<sub>2</sub> in a warm-temperate forest. *Ecology* 87:15–25.
- Gates, D. 1993. *Climate change and its biological consequences*. Sunderland, MA: Sinauer Associates.
- Harte, J., and R. Shaw. 1995. Shifting dominance with a montane vegetation community: Results of a climate-warming experiment. *Science* 267:876–880.
- Heimann, M. (ed.). 1993. *The global carbon cycle*. Berlin: Springer-Verlag.

- Holdridge, L. R. 1947. Determination of world formulations from simple climatic data. *Science* 105:367–368.
- Houghton, J. T. 1997. *Global warming: The complete briefing*. Cambridge: Cambridge University Press.
- Houghton, J. T., L. G. Meira Filho, J. Bruce, H. Lee, B. A. Callander, E. Haites, N. Harris, and K. Maskell (eds.). 1995. *Climate change 1994*. Cambridge: Cambridge University Press.
- Houghton, J. T., L. G. Meira Filho, B. A. Callander, N. Harris, A. Kattenberg, and K. Maskell (eds.). 1996. *Climate change 1995: The science of climate change*.
- Houghton, R. A. 1995. Land-use change and the carbon cycle. *Global Change Biology* 1:275–287.
- Intergovernmental Panel on Climate Change. 2007. *Climate Change 2007: Fourth Assessment (AR4)*. Cambridge: Cambridge University Press. <http://www.ipcc.ch/>
- Iverson, L. R., and A. M. Prasad. 2001. Potential changes in tree species richness and forest community type following climate change. *Ecosystems* 4:186–199.
- Kalkstein, L. S., and J. S. Green. 1997. An evaluation of climate/mortality relationships in large U.S. cities and possible impacts of a climate change. *Environmental Health Perspectives* 105:84–93.
- Kalkstein, L. S., and G. Tan. 1995. Human health. In K. Strzepek and J. Smith, eds., *As climate changes: International impacts and implications*. New York: Cambridge University Press.
- Kattenberg, A., F. Giorgi, H. Grassl, G. A. Meehl, J. F. B. Mitchell, R. J. Stouffer, T. Tokioka, A. J. Weaver, and T. M. L. Wigley. 1996. Climate models projections of future climate. Pages 285–357 in J. T. Houghton et al., eds., *Climate change 1995. The Science of Climate Change*. Intergovernmental Panel on Climate Change. Cambridge: Cambridge University Press.
- Keeling, C. D., T. P. Whorf, M. Wahlen, and J. van der Plicht. 1995. Interannual extremes in the rate of rise of atmospheric carbon dioxide since 1980. *Nature* 375:666–670.
- Korner, C., M. Diemer, B. Schappi, P. Niklaus, and J. Arnone. 1997. The response of alpine grassland to four seasons of CO<sub>2</sub> enrichment: A synthesis. *Acta Oecologia* 18:165–175.
- Marland, G., and T. Boden. 1993. The magnitude and distribution of fossil-fuel related carbon releases. Pages 117–138 in M. Heimann, ed., *The global carbon cycle*. New York: Springer-Verlag.
- Miko, U. F. 1996. Climate change impacts on forests. In R. T. Watson, M. C. Zinyowera, and R. H. Moss, eds., *Climate change 1995: Impacts, adaptations and mitigation of climate change*. New York: Cambridge University Press.
- Mitchell, J. F. B., R. A. Davis, W. J. Ingram, and C. A. Senior. 1995. On surface temperature, greenhouse gases and aerosols: Models and observations. *Journal of Climatology* 10:2364–2386.
- Mitchell, J. F. B., T. J. Johns, J. M. Gregory, and S. B. F. Tett. 1995. Climate response to increasing levels of greenhouse gases and sulfate aerosols. *Nature* 376:501–504.
- Nicholls, R. J., and S. P. Leatherman. 1995. Global sea-level rise. In K. Strzepek and J. B. Smith, eds., *As climate changes: International impacts and implications*. Cambridge: Cambridge University Press.
- Oechel, W. C., and G. L. Vourlitis. 1996. Direct effects of elevated CO<sub>2</sub> on arctic plant and ecosystem function. Pages 163–176 in G. Koch and H. A. Mooney, eds., *Carbon dioxide and terrestrial ecosystems*. San Diego: Academic Press.
- Owensby, C. E., J. M. Ham, A. Knapp, C. W. Rice, P. I. Coyne, and L. M. Auen. 1996. Ecosystem-level responses of tall-grass prairie to elevated CO<sub>2</sub> Pages 147–162 in G. Koch and H. A. Mooney, eds., *Carbon dioxide and terrestrial ecosystems*. San Diego: Academic Press.
- Peterjohn, W. T., J. M. Melillo, F. P. Bowles, and P. A. Steudler. 1993. Soil warming and trace gas fluxes: Experimental design and preliminary flux results. *Oecologia* 93:18–24.
- Peters, R. L., and T. E. Lovejoy (eds.). 1992. *Global warming and biological diversity*. New Haven, CT: Yale University Press.
- Poorter, H., and M. Perez-Soba. 2002. Plant growth at elevated CO<sub>2</sub>. Pages 489–496 in H. A. Mooney and J. G. Canadell, eds., *Encyclopedia of global change. Vol. 2: The Earth system: Biological and ecological dimensions of global environmental change*. Chichester, England: John Wiley and Sons, Ltd.
- Root, T. 1988. Energy constraints on avian distributions and abundances. *Ecology* 69:330–339.
- Schneider, S. H. 1989. *Global warming*. San Francisco: Sierra Club Books.
- Smith, T. M., P. N. Halpin, H. H. Shugart, and C. Secrett. 1994. Global forests. In K. Strzepek and J. Smith, eds., *As climate changes: International impacts and implications*. Cambridge: Cambridge University Press.
- Smith, T. M., R. Leemans, and H. H. Shugart. 1992. Sensitivity of terrestrial carbon storage to CO<sub>2</sub>-induced climate change: Comparison of four scenarios based on general circulation models. *Climatic Change* 21:367–384.
- Strain, B. R., and J. D. Cure (eds.). 1985. *Direct effects of increasing carbon dioxide on vegetation*. Washington, DC: U.S. Department of Energy Publication DOE/ER-0238.
- Trabalka, J. R. (ed.). 1985. *Atmospheric carbon dioxide and the global carbon cycle*. Washington, DC: U.S. Department of Energy Report DOE/ER-0239.
- Trabalka, J. R., and D. E. Reichle (eds.). 1994. *The changing carbon cycle: A global analysis*. New York: Springer-Verlag.
- VEMAP Participants. 1995. Vegetation/ecosystem modeling and analysis project: Comparing biogeography and biogeochemistry models in a continental-scale study of terrestrial ecosystem responses to climate change and CO<sub>2</sub> doubling. *Global Biogeochemical Cycles* 9:407–437.
- Watson, R. T., M. C. Zinyowera, R. H. Moss, and D. J. Dokken (eds.). 1998. *The regional impacts of climate change (a special report of IPCC Working Group II)*. Cambridge: Cambridge University Press.
- Woodward, F. I. (ed.). 1992. *Global climate change: The ecological consequences*. London: Academic Press.
- Yoshino, M., T. Horie, H. Seino, H. Tsujii, T. Uchijima, and Z. Uchijima. 1988. The effects of climate variations on agriculture in Japan. In M. Parry, T. R. Carter, and N. T. Konijn, eds., *The impacts of climate variation on agriculture. Vol. 1: Assessments in cool temperate and cold regions*. Dordrecht, the Netherlands: Kluwer.

# Glossary

- A horizon.** Surface stratum of mineral soil, characterized by maximum accumulation of organic matter, maximum biological activity, and loss of such materials as iron, aluminum oxides, and clays.
- Abiotic.** Nonliving; the abiotic component of the environment includes soil, water, air, light, nutrients, and the like.
- Abundance.** The number of individuals of a species in a given area.
- Abysal.** Relating to the bottom waters of oceans, usually below 1000 m.
- Abysopelagic zone.** Oceanic depth from 4000 m to ocean floor.
- Acclimation.** Reversible phenotypic changes in an individual organism in response to changing environmental conditions—a form of phenotypic plasticity.
- Accumulation curve.** Records the total number of species revealed during a survey, as additional sample units are added to the pool of all previously observed or collected samples.
- Adaptation.** A genetically determined characteristic (behavioral, morphological, or physiological) that improves an organism's ability to survive and reproduce under prevailing environmental conditions.
- Adaptive radiation.** Evolution from a common ancestor of divergent forms adapted to distinct ways of life.
- Adiabatic cooling.** A decrease in air temperature when a rising parcel of warm air cools by expanding (which uses energy) rather than losing heat to the surrounding air; the rate of cooling is approximately 1°C/100 m for dry air and 0.6°C/100 m for moist air.
- Adiabatic lapse rate.** Rate at which a parcel of air loses temperature with elevation if no heat is gained from or lost to an external source.
- Aerenchyma.** Interconnected gas-filled chambers in the roots of aquatic plants.
- Age distribution.** The proportion of individuals in various age classes for any one year. See also age structure.
- Age-specific mortality rate.** The proportion of deaths occurring per unit time in each age group within a population.
- Age-specific birth rate.** Average number of offspring produced per individual per unit time as a function of age class.
- Aggregative response.** Movement of predators into areas of high prey density.
- Alfisol.** Soil characterized by an accumulation of iron and aluminum in the B horizon.
- Allee effect.** Reduction in reproduction or survival under low population densities.
- Allele.** One of two or more alternative forms of a gene that occupies the same relative position or locus on homologous chromosomes.
- Allele frequency.** The proportion of a given allele among all the alleles present at the locus in the population.
- Allelopathy.** Effect of metabolic products of plants (excluding microorganisms) on the growth and development of other nearby plants.
- Allogenic.** Refers to successional change brought about by a change in the physical environment.
- Alpha diversity.** The variety of organisms occurring in a given place or habitat; compare beta diversity, gamma diversity.
- Altricial.** Condition among birds and mammals of being hatched or born usually blind and too weak to support their own weight.
- Ammonification.** Breakdown of proteins and amino acids, especially by fungi and bacteria, with ammonia as the excretory by-product.
- Andisol.** Soil derived from volcanic ejecta; not highly weathered, with a dark upper layer.
- Anion.** Ion carrying a negative charge.
- Annual grassland.** Grassland in California dominated by exotic annual grasses that reseed every year, replacing native perennial grasses.
- Apoematism.** See warning coloration.
- Aphotic zone.** A deepwater area of marine ecosystems below the depth of effective light penetration.
- Apparent competition.** Occurs when a single species of predator feeds on two prey species supporting a higher predator density, increasing the rate at which prey are consumed. As a result, the density of both prey species is lowered, suggesting a competitive interaction.
- Aqueous solution.** Solution in which water is the solvent.
- Area-insensitive species.** Species that are at home in any size habitat patch.
- Aridisol.** Desert soil characterized by little organic matter and high base content.
- Asexual reproduction.** Any form of reproduction, such as budding, that does not involve the fusion of gametes.
- Assimilation.** Transformation or incorporation of a substance by organisms; absorption and conversion of energy and nutrients into constituents of an organism.
- Assimilation efficiency.** Ratio of assimilation to ingestion; a measure of efficiency with which a consumer extracts energy from food.
- Assortative mating.** When individuals choose mates nonrandomly with respect to their genotype, or more specifically, select mates based on some phenotypic trait.
- Atmospheric pressure.** The downward force exerted by the weight of the overlying atmosphere.
- Autogenic.** Self-generated.
- Autotrophs.** Organisms that produce organic material from inorganic chemicals and some source of energy.
- Available water capacity.** Supply of water available to plants in a well-drained soil.
- B horizon.** Soil stratum beneath the A horizon, characterized by an accumulation of silica, clay, and iron and aluminum oxides, and possessing blocky or prismatic structure.
- Basal metabolic rate.** The minimal amount of energy expenditure needed by an animal to maintain vital processes.

**Basal species.** Species that feed on no other species but are fed upon by others.

**Batesian mimicry.** Resemblance of a palatable or harmless species, the mimic, to an unpalatable or dangerous species, the model.

**Bathypelagic zone.** Lightless zone of the open ocean, lying above the abyssal or bottom water, usually above 4000 m.

**Behavioral defenses.** Aggressive and submissive postures or actions that threaten or deter enemies.

**Behavioral ecology.** The study of the behavior of an organism in its natural habitat.

**Benefit–cost analysis.** An economic analysis in which the benefits of an activity are compared to the associated costs.

**Benthic zone.** The area of the sea bottom.

**Benthos.** Animals and plants living on the bottom of a lake or sea, from the high-water mark to the greatest depth.

**Biogeochemical cycle.** Movement of elements or compounds through living organisms.

**Biogeography.** The study of past and present geographical distribution of plants and animals, and their ecological relationships.

**Biological clock.** The internal mechanism of an organism that controls circadian rhythms without external time cues.

**Bioluminescence.** Production of light by living organisms.

**Biomass.** Weight of living material, usually expressed as dry weight per unit area.

**Biomes.** Major regional ecological community of plants and animals; usually corresponds to plant ecologists' and European ecologists' classification of plant formations and life zones.

**Biosphere.** Thin layer about Earth in which all living organisms exist.

**Biotic.** Applied to the living component of an ecosystem.

**Blanket mire.** Large area of upland dominated by sphagnum moss and dependent upon precipitation for a water supply; a moor.

**Bog.** Wetland ecosystem characterized by an accumulation of peat, acid conditions, and dominance of sphagnum moss.

**Border.** The place where the edge of one vegetation patch meets the edge of another.

**Bottomland.** Land bordering a river that floods when the river overflows its banks.

**Bottom-up control.** Influence of producers on the trophic levels above them in a food web.

**Boundary layer.** A layer of still air close to or at the surface of an object.

**Buoyancy.** The power of a fluid to exert an upward force on a body placed in it.

**Browse.** The part of current leaf and twig growth of shrubs, woody vines, and trees available for animal consumption.

**Bundle sheath cells.** Cells surrounding small vascular bundles in the leaves of vascular plants.

**C horizon.** Soil stratum beneath the solum (A and B horizons), little affected by biological activity or soil-forming processes.

**C3 plant.** Any plant that produces as its first step in photosynthesis the three-carbon compound phosphoglyceric acid.

**C4 plant.** Any plant that produces as its first step in photosynthesis a four-carbon compound, malic or aspartic acid.

**C4 photosynthetic pathway.** Photosynthetic pathway involving two distinct types of photosynthetic cells: the mesophyll cells and the bundle sheath cells. CO<sub>2</sub> initially reacts with PEP to form 4-carbon compounds in the mesophyll cells. These compounds are then transported into the bundle sheath cells where they are converted into CO<sub>2</sub> and undergo the C3 photosynthetic pathway (Calvin cycle).

**Calcification.** Process of soil formation characterized by accumulation of calcium in lower horizons.

**CAM pathway (crassulacean acid metabolism).** Photosynthetic pathway (using same processes involved in C4 pathway) that separates the processes of carbon dioxide uptake and fixation when growing under arid conditions; it takes up gaseous carbon dioxide at night, when stomata are open, and converts it into simple sugars during the day, when stomata are closed.

**Canopy.** Uppermost layer of vegetation formed by trees; also the uppermost layer of vegetation in shrub communities or in any terrestrial plant community where the upper layer forms a distinct habitat.

**Capillary water.** That portion of water in the soil held by capillary forces between soil particles.

**Carbon balance.** Balance between uptake of CO<sub>2</sub> in photosynthesis and its loss through the process of transpiration.

**Carnivore.** Organism that feeds on animal tissue; taxonomically, a member of the order Carnivora (Mammalia).

**Carnivory.** The killing and eating of animals by another animal.

**Carrying capacity (K).** Number of individual organisms the resources of a given area can support, usually through the most unfavorable period of the year.

**Categorical data.** Qualitative observations that fall into separate and distinct categories.

**Cation.** Part of a dissociated molecule carrying a positive electrical charge.

**Cation exchange capacity (CEC).** Ability of a soil particle to absorb positively charged ions.

**Chaparral.** Vegetation consisting of broadleaved evergreen shrubs, found in regions of mediterranean-type climate.

**Character displacement.** The principle that two species are more different where they occur together than where they are separated geographically.

**Chemical defense.** The use by organisms of bitter, distasteful, or toxic secretions that deter potential enemies.

**Chemical ecology.** Study of the nature and use of chemical substances produced by plants and animals.

**Chemical weathering.** The action of a set of chemical processes such as oxidation, hydrolysis, and reduction operating at the atomic and molecular level that break down and re-form rocks and minerals.

**Chromosome.** One of a group of threadlike structures of different lengths and sizes in the nuclei of cells of eukaryote organisms.

- Chronosequences.** Groups of sites within the same area that are in different stages of succession.
- Circadian rhythm.** Endogenous rhythm of physiological or behavioral activity of approximately 24 hours duration.
- Clear-cutting.** Forest harvesting procedure in which all trees on the site are cut and removed.
- Climate.** Long-term average pattern of local, regional, or global weather.
- Cline.** A measurable, gradual change over a geographic region in the average of some phenotypic character, such as size or coloration; or it can be a gradient in genotypic frequency.
- Clone.** A population of genetically identical individuals resulting from asexual reproduction.
- Closed system.** A system that neither receives inputs from nor contributes output to the external environment.
- Codominance.** Occurs when the physical expression of the heterozygous individual is indeterminate between those of the homozygotes. Each allele has a specific proportional effect that it contributes to the phenotype.
- Coevolution.** Joint evolution of two or more non-interbreeding species that have a close ecological relationship; through reciprocal selective pressures, the evolution of one species in the relationship is partially dependent on the evolution of the other.
- Cohesion.** The ability of water molecules, because of hydrogen bonding, to stick firmly to each other, restricting external forces to break these bonds.
- Cohort.** A group of individuals of the same age.
- Colloid.** Negatively charged particles in the soil that provide surfaces with high cation exchange capacity.
- Commensalism.** Relationship between species that is beneficial to one, but neutral or of no benefit to the other.
- Community.** A group of interacting plants and animals inhabiting a given area.
- Community ecology.** Study of the living component of ecosystems; description and analysis of patterns and processes within the community.
- Compensation depth.** In aquatic ecosystems, the depth of the water column at which light intensity reaching plants is just sufficient for the rate of photosynthesis to balance the rate of respiration.
- Competition.** Any interaction that is mutually detrimental to both participants, occurring between species that share limited resources.
- Competitive exclusion principle.** Hypothesis that when two or more species coexist using the same resource, one must displace or exclude the other.
- Competitive release.** Niche expansion in response to reduced interspecific competition.
- Condensation.** The transformation of water vapor to a liquid state.
- Conduction.** Direct transfer of heat energy from one substance to another.
- Conductivity.** Ability to exchange heat with the surrounding environment.
- Connectivity.** The extent to which a species or a population can move among patches within the matrix.
- Conservation ecology.** A synthetic field that applies principles of ecology, biogeography, population genetics, economics, sociology, and other fields to the maintenance of biological diversity. Also called conservation biology.
- Constitutive defense.** Fixed feature of an organism, such as object resemblance, that deters predators.
- Consumption efficiency.** Ratio of ingestion to production or energy available; defines the amount of available energy being consumed.
- Contest competition.** Competition in which a limited resource is shared only by dominant individuals; a relatively constant number of individuals survive, regardless of initial density.
- Continuous data.** Numerical data in which any value within an interval is possible, limited only by the ability of the measurement device.
- Continuum concept.** The view, first proposed by H. A. Gleason, that vegetation is a continuous variable in a continuously changing environment; therefore, no two vegetational communities are identical, and associations of species arise only from similarities in requirements. (Also see individualistic concept.)
- Convection.** Transfer of heat by the circulation of a liquid or gas.
- Convergent evolution.** Development of similar characteristics in different species living in different areas under similar environmental conditions.
- Coriolis effect.** Physical consequence of the law of conservation of angular momentum; as a result of Earth's rotation, a moving object veers to the right in the Northern Hemisphere and to the left in the Southern Hemisphere relative to Earth's surface.
- Corridor.** A strip of a particular type of vegetation that differs from the land on both sides.
- Countercurrent heat exchange.** An anatomical and physiological arrangement by which heat exchange takes place between outgoing warm arterial blood and cool venous blood returning to the body core; important in maintaining temperature homeostasis in many vertebrates.
- Critical day length.** The period of daylight, specific for any given species, that triggers a long-day or a short-day response in organisms.
- Critical temperature.** Range of ambient temperature outside the thermoneutral zone in which metabolic rate increases with changes in temperature.
- Crude birthrate.** The number of young produced per unit of population.
- Crude density.** The number of individuals per unit area; compare ecological density.
- Cryptic coloration.** Coloration of organisms that makes them resemble or blend into their habitat or background.
- Cultural eutrophication.** Accelerated nutrient enrichment of aquatic ecosystems by a heavy influx of pollutants that causes major shifts in plant and animal life.
- Currents.** Water movements that result in the horizontal transport of water masses.



- Day neutral plant.** A plant that does not require any particular photoperiod to flower
- Deciduous.** Of leaves, shed during a certain season (winter in temperate regions; dry season in the tropics); of trees, having deciduous parts.
- Decomposer.** Organism that obtains energy from the breakdown of dead organic matter to simpler substances; most precisely refers to bacteria and fungi.
- Decomposition.** Breakdown of complex organic substances into simpler ones.
- Definitive host.** Host in which a parasite becomes an adult and reaches maturity.
- Demographic stochasticity.** Random variations in birth and death rates that occur in a population from year to year.
- Denitrification.** Reduction of nitrates and nitrites to nitrogen by microorganisms.
- Density dependence.** Regulation of population growth by mechanisms controlled by the size of the population; effect increases as population size increases.
- Density-dependent fecundity.** Decline in fecundity (birth) rate with increasing population size.
- Density-dependent growth.** An inverse relationship between population density and individual growth.
- Density-dependent mortality.** Increase in mortality (death) rate with increasing population size.
- Density independence.** Being unaffected by population density; regulation of growth is not tied to population density.
- Desert grassland.** Grassland of hot, dry climates, with rainfall varying between 200 and 500 mm, dominated by bunchgrasses and widely interspersed with other desert vegetation.
- Detrital food chain.** Food chain in which detritivores consume detritus or litter, mostly from plants, with subsequent transfer of energy to various trophic levels; ties into the grazing food chain; compare grazing food chain.
- Detritivore.** Organism that feeds on dead organic matter; usually applies to detritus-feeding organisms other than bacteria and fungi.
- Detritus.** Fresh to partly decomposed plant and animal matter.
- Developmental plasticity.** Differences in phenotypic traits for a given genotype under different environmental conditions that reflect differences in the allocation of biomass to different tissues (leaves, stem, and roots) during the growth and development of the individual plant.
- Dew point temperature.** Temperature at which condensation of water in the atmosphere begins.
- Diapause.** A period of dormancy, usually seasonal, in the life cycle of an insect, in which growth and development cease and metabolism greatly decreases.
- Diffuse competition.** Competition in which a species experiences interference from many other species that deplete the same resources.
- Diffusion.** Spontaneous movement of particles of gases or liquids from an area of high concentration to an area of low concentration.
- Dioecious.** Having male and female reproductive organs on separate plants; compare monoecious.
- Directional selection.** Selection favoring individuals at one extreme of the phenotype in a population.
- Discounting.** Adding and comparing costs and benefits that occur at different times; a major driving force in the economics of resource management; often runs counter to the objectives of sustainable resource management.
- Discrete data.** Numerical data in which only certain values are possible, such as with integer values or counts.
- Disease.** Any deviation from a normal state of health.
- Dispersal.** Leaving an area of birth or activity for another area.
- Disruptive selection.** Selection in which two extreme phenotypes in the population leave more offspring than the intermediate phenotype, which has lower fitness.
- Distribution.** The spatial location or area occupied by a population.
- Disturbance.** A discrete event in time that disrupts an ecosystem, community, or population, changing substrates and resource availability.
- Diversity.** Abundance of different species in a given location; species richness.
- Diversity index.** The mathematical expression of species richness of a given community or area.
- Dominant.** Population possessing ecological dominance in a given community and thereby governing type and abundance of other species in the community.
- Dominant allele.** An allele that is expressed in either the homozygous or heterozygous state.
- Drought tolerance.** Ability of plants to maintain physiological activity despite the lack of water or to survive the drying of tissues.
- Dryfall.** Nutrients brought into an ecosystem by airborne particles and aerosols.
- Dynamic composite life table.** Pooled cohort of individuals born over several time periods instead of just one.
- Dynamic life table.** Fate of a group of individuals born at the same time and followed from birth to death.
- Dystrophic.** Term applied to a body of water with a high content of humic or organic matter, often with high littoral productivity and low plankton productivity.
- E horizon.** Mineral horizon characterized by the loss of clay, iron, or aluminum, and a concentration of quartz and other resistant minerals in sand and silt sizes; light in color.
- Early successional species.** Plant species characterized by high dispersal rates, ability to colonize disturbed sites, short life span, and shade intolerance.
- Ecological density.** Density measured in terms of the number of individuals per area of available living space; compare crude density.
- Ecological niche.** The range of physical and chemical conditions under which a species can persist (survive and reproduce) and the array of essential resources it utilizes.
- Ecology.** The study of relations between organisms and their natural environment, living and nonliving.

- Ecosystem.** The biotic community and its abiotic environment, functioning as a system.
- Ecosystem ecology.** The study of natural systems with emphasis on energy flow and nutrient cycling.
- Ecosystem services.** Processes by which the environment produces resources such as air, water, timber, or fish.
- Ecotone.** Transition zone between two structurally different communities; wide borders that form a transition zone between adjoining patches.
- Ecotype.** Subspecies or race adapted to a particular set of environmental conditions.
- Ectoparasite.** Parasite, such as a flea, that lives in the fur, feathers, or skin of the host.
- Ectothermy.** Determination of body temperature primarily by external thermal conditions.
- Edge effect.** Response of organisms, animals in particular, to environmental conditions created by the edge.
- Edge species.** Species that are restricted exclusively to the edge or border environment.
- El Niño–Southern Oscillation (ENSO).** Global event arising from large-scale interactions between ocean and atmosphere.
- Elaiosome.** Shiny, oil-containing, ant-attracting tissue on the seed coats of many plants.
- Emigration.** Movement of part of a population permanently out of an area.
- Endemic.** Restricted to a given region.
- Endoparasite.** Parasite that lives within the body of the host.
- Endothermic reaction.** Chemical reaction that gains energy from the environment.
- Endothermy.** Regulation of body temperature by internal heat production; allows maintenance of appreciable difference between body temperature and external temperature.
- Entisols.** Embryonic mineral soils whose profile is just beginning to develop; common on recent floodplains and wind deposits, they lack distinct horizons.
- Entropy.** Transformation of matter and energy to a more random, more disorganized state.
- Environmental economics.** Study of environmental problems and the incorporation of economic principles into the environmental decision-making process.
- Environmental lapse rate.** Rate at which temperature decreases with altitude; see adiabatic lapse rate.
- Environmental stochasticity.** Random variations in the environment that directly affect birth and death rates.
- Epifauna.** Benthic animals that live on or move over the surface of a substrate.
- Epiflora.** Benthic plants that live on the surface of a substrate.
- Epilimnion.** Warm, oxygen-rich upper layer of water in a lake or other body of water, usually seasonal.
- Epipelagic zone.** The lighted area of the ocean; also called photic zone.
- Equatorial low.** A low air pressure zone near the surface of the equatorial zone.
- Estivation.** Dormancy in animals during a period of drought or a dry season.
- Estuary.** A partially enclosed embayment where freshwater and seawater meet and mix.
- Eutrophic.** Term applied to a body of water with high nutrient content and high productivity.
- Eutrophication.** Nutrient enrichment of a body of water; called cultural eutrophication when accelerated by introduction of massive amounts of nutrients from human activity.
- Eutrophy.** Condition of being nutrient rich.
- Evaporation.** Loss of water vapor from soil or open water or another exposed surface.
- Evapotranspiration.** Sum of the loss of moisture by evaporation from land and water surfaces and by transpiration from plants.
- Evergreen.** Applied to trees and shrubs for which there is no complete seasonal loss of leaves; two types, broadleaf and needle-leaf.
- Evolution.** Change in gene frequency through time resulting from natural selection and producing cumulative changes in characteristics of a population.
- Evolutionary ecology.** Integrated study of evolution, genetics, natural selection, and adaptations within an ecological context; evolutionary interpretation of population, community, and ecosystem ecology.
- Exothermic reaction.** Chemical reaction that releases heat to the environment.
- Exploitative competition.** Competition by a group or groups of organisms that reduces a resource to a point that adversely affects other organisms. (See also exploitation.)
- Exponential population growth ( $r$ ).** Instantaneous rate of population growth, expressed as proportional increase per unit of time.
- Externalities.** When the actions of one individual or a group affect another individual's well-being, but relevant costs are not reflected in market prices.
- Facilitation.** (facultative interactions) A situation where one species benefits from the presence or action of another.
- Fecundity.** Potential ability of an organism to produce eggs or young; rate of production of young by a female.
- Fecundity table.** Shows the number of offspring produced per unit time; constructed by using the survivorship column from the life table and the age-specific birthrates; the mean number of females born in each age group of females.
- Fen.** Slightly acidic wetland, dominated by sedges, in which peat accumulates.
- Fermentation.** Breakdown of carbohydrates and other organic matter under anaerobic conditions.
- Fertilization effect (CO<sub>2</sub> fertilization effect).** The higher rates of diffusion and photosynthesis under elevated atmospheric concentrations of CO<sub>2</sub>.
- Field capacity.** Amount of water held by soil against the force of gravity.
- Filter effect.** Corridors that provide dispersal routes for some species but restrict the movement of others.

- Filtering collectors.** Stream insect larvae that feed on fine particulate matter by filtering it from water flowing past them.
- Finite multiplication rate.** Expressed as lambda,  $\lambda$ , the geometric rate of increase by discrete time intervals; given a stable age distribution, lambda can be used as a multiplier to project population size.
- First law of thermodynamics.** Energy is neither created nor destroyed; in any transfer or transformation, no gain or loss of total energy occurs.
- Fitness.** Genetic contribution by an individual's descendants to future generations.
- Flashing coloration.** Hidden markings on animals that, when quickly exposed, startle or divert the attention of a potential predator.
- Food chain.** Movement of energy and nutrients from one feeding group of organisms to another in a series that begins with plants and ends with carnivores, detrital feeders, and decomposers.
- Food web.** Interlocking pattern formed by a series of interconnecting food chains.
- Forest floor.** Term describing the ground layer of leaves and detritus; site of decomposition.
- Formations.** Zones representing broad categories of vegetation: categories defined on the basis of a similar physical appearance (physiognomy)—deserts, grasslands, and coniferous, temperate, and tropical forests.
- Frequency.** In landscape ecology, the mean number of disturbances that occur within a time interval. In community ecology, the proportion of sample plots in which a species occurs relative to the total number of sample plots; the probability of finding the species in any one sample plot.
- Frequency distribution.** A count of the number of observations (frequency) having a given score or value.
- Functional connectivity.** The degree to which the landscape facilitates the movement of organisms
- Functional type (group).** A collection of species that exploit the same array of resources or perform similar functions within the community.
- Functional response.** Change in rate of exploitation of a prey species by a predator in relation to changing prey density.
- Fundamental niche.** Total range of environmental conditions under which a species can survive and reproduce (also see ecological niche).
- Gamma diversity.** Differences among similar habitats in widely separated regions.
- Gap.** Opening made in a forest canopy by some small disturbance such as windfall; death of an individual tree or group of trees that influences the development of vegetation beneath.
- Gathering collectors.** Stream insect larvae that pick up and feed on particles from stream-bottom sediment.
- Gelisol.** Soil that contains permafrost within 200 cm of the ground surface; it is characterized by perennial coldness rather than diagnostic horizons.
- Gene.** Unit material of inheritance; more specifically, a small unit of a DNA molecule, coded for a specific protein to produce one of the many attributes of a species.
- Gene expression.** The process of creating proteins through the genetic code in DNA.
- Gene flow.** Exchange of genetic material between populations.
- Gene frequency.** Relative abundance of different alleles carried by an individual or a population.
- Gene pool.** The sum of all the genes of all individuals in a population.
- General circulation models.** Computer models that help determine how increasing concentrations of greenhouse gases may influence large-scale patterns of global climate.
- Genet.** A genetic individual that arises from a single fertilized egg.
- Genetic differentiation.** When genetic variation occurs among subpopulations of the same species.
- Genetic drift.** Random fluctuation in allele frequency over time, due to chance alone without any influence by natural selection; important in small populations.
- Genome.** The collective term for all the DNA in a cell.
- Genotype.** Genetic constitution of an organism.
- Genotypic frequency.** The proportion of various genotypes in a population; compare gene frequency.
- Geographic isolates.** Groups of populations that are semi-isolated from one another by some extrinsic barrier; compare subspecies.
- Geographic range.** The distribution described by all the individuals of a species encompassed in a defined area.
- Geometric population.** Growth patterns of population growth described over discrete time intervals.
- Gleization.** A process in waterlogged soils in which iron, because of an inadequate supply of oxygen, is reduced to a ferrous compound, giving dull gray or bluish mottles and color to the horizons.
- Global ecology.** The study of ecological systems on a global scale.
- Gougers.** Stream insect larvae that burrow into waterlogged limbs and trunks of fallen trees.
- Grazers.** Stream invertebrates that feed on algal coating on rocks and other substrates.
- Grazing food chain.** Food chain in which primary producers (green plants) are eaten by grazing herbivores, with subsequent energy transfers to other trophic levels. Compare detrital food chain.
- Greenhouse effect.** Selective energy absorption by carbon dioxide in the atmosphere, which allows short wavelength energy to pass through but absorbs longer wavelengths and reflects heat back to Earth.
- Greenhouse gas.** A gas that absorbs longwave radiation and thus contributes to the greenhouse effect when present in the atmosphere; includes water vapor, carbon dioxide, methane, nitrous oxides, and ozone.
- Gross primary production.** Energy fixed per unit area by photosynthetic activity of plants before respiration; total energy flow at the secondary level is not gross production, but rather assimilation, because consumers use material already produced with respiratory losses.

- Gross reproductive rate.** Sum of the mean number of females born to each female age group.
- Groundwater.** Water that occurs below Earth's surface in pore spaces within bedrock and soil, free to move under the influence of gravity.
- Guild.** A group of populations that utilize a gradient of resources in a similar way.
- Gyre.** Circular motion of water in major ocean basins.
- Habitat.** Place where a plant or animal lives.
- Habitat selection.** Behavioral responses of individuals of a species involving certain environmental cues used to choose a potentially suitable environment.
- Hadalpelagic zone.** That part of the ocean below 6000 m.
- Halophyte.** Terrestrial plant adapted morphologically or physiologically to grow in salt-rich soil.
- Hardy-Weinberg principle.** The proposition that genotypic ratios resulting from random mating remain unchanged from one generation to another, provided natural selection, genetic drift, and mutation are absent.
- Harvest interval.** Time period between harvests. Compare to rotation period.
- Herb layer.** Lichens, moss, ferns, herbaceous plants, and small woody seedlings growing on the forest floor.
- Herbivore.** Organism that feeds on plant tissue.
- Herbivory.** Feeding on plants.
- Hermaphrodite.** Organism possessing the reproductive organs of both sexes.
- Heterotherm.** An organism that during part of its life history becomes either endothermic or ectothermic; hibernating endotherms become ectothermic, and foraging insects such as bees become endothermic during periods of activity; they are characterized by rapid, drastic, repeated changes in body temperature.
- Heterotrophs.** Organisms that are unable to manufacture their own food from inorganic materials and thus rely on other organisms, living and dead, as a source of energy and nutrients.
- Heterozygous.** Containing two different alleles of a gene, one from each parent, at the corresponding loci of a pair of chromosomes.
- Hibernation.** Winter dormancy in animals, characterized by a great decrease in metabolism.
- Histogram.** Type of bar graph.
- Histosol.** Soil characterized by high organic matter content.
- Home range.** Area over which an animal ranges throughout the year.
- Homeostasis.** Maintenance of a nearly constant internal environment in the midst of a varying external environment; more generally, the tendency of a biological system to maintain itself in a state of stable equilibrium.
- Homeostatic plateau.** Limited range of maximum and minimum values of physiological tolerances within which an organism operates.
- Homeotherm.** Animal with a fairly constant body temperature; also spelled *homiotherm* and *homotherm*.
- Homeothermy.** Regulation of body temperature by physiological means.
- Homologous chromosomes.** Corresponding chromosomes from male and female parents that pair during meiosis.
- Homozygous.** Containing two identical alleles of a gene at the corresponding loci of a pair of chromosomes.
- Horizon.** Major zone or layer of soil, with its own particular structure and characteristics.
- Host.** Organism that provides food or other benefit to another organism of a different species; usually refers to an organism exploited by a parasite.
- Hotspot.** Regions of unusually high species diversity.
- Humus.** Organic material derived from partial decay of plant and animal matter.
- Hydrogen bonding.** A type of bond occurring between an atom of oxygen or nitrogen and a hydrogen atom joined to oxygen or nitrogen on another molecule; responsible for the properties of water.
- Hydrological cycle.** See water cycle.
- Hydroperiod.** In wetlands, the duration, frequency, depth, and season of flooding.
- Hydrophytic plants.** Plants adapted to grow in water or on soil that is periodically anaerobic because of excess water.
- Hydrothermal vent.** Place on ocean floor where water, heated by molten rock, issues from fissures; vent water contains sulfides oxidized by chemosynthetic bacteria, providing support for carnivores and detritivores.
- Hyperosmotic.** Having a higher concentration of salts in the body tissue than does the surrounding water.
- Hypervolume.** The multidimensional space of a species niche; compare niche.
- Hypolimnion.** Cold, oxygen-poor zone of a lake, below the thermocline.
- Hypoosmotic.** Having a lower concentration of salts in the body tissue than does the surrounding water.
- Hypothesis.** Proposed explanation for a phenomenon; we should be able to test it, accepting or rejecting it based on experimentation.
- Immigration.** Arrival of new individuals into a habitat or population.
- Immobilization.** Conversion of an element from inorganic to organic form in microbial or plant tissue, rendering the nutrient unavailable to other organisms.
- Inbreeding.** Mating among close relatives.
- Inbreeding depression.** Detrimental effects of inbreeding.
- Inceptisol.** Mineral soil that has one or more horizons in which mineral materials have been weathered or removed and that is only beginning to develop a distinctive soil profile.
- Indeterminate growth.** Organism continues to grow throughout its adult life; has no characteristic adult size.
- Indirect interaction.** When one species does not interact with a second species directly, but instead influences a third species that does have a direct interaction with the second.

- Individualistic concept.** The view, first proposed by H. A. Gleason, that vegetation is a continuous variable in a continuously changing environment; therefore, no two vegetational communities are identical, and associations of species arise only from similarities in requirements.
- Induced defense.** Defense response brought about or induced by the presence or action of a predator; for example, alarm pheromones.
- Infauna.** Organisms living within a substrate.
- Infection.** Diseased condition arising when pathogenic microorganisms enter a body, become established, and multiply.
- Infiltration.** Downward movement of water into the soil.
- Infralittoral fringe.** Region below the littoral region of the sea.
- Infralittoral or subtidal zone.** Region below the littoral zone and infralittoral fringe. This zone is covered by water even at low tide and dominated by algae.
- Intensity.** A measure of the proportion of the total biomass or population of a species that a disturbance kills or eliminates.
- Interception.** The capture of rainwater by vegetation, from which the water evaporates and does not reach the ground.
- Interference competition.** Competition in which access to a resource is limited by the presence of a competitor.
- Interior species.** Organisms that require large areas of habitat, even though their home ranges may be small.
- Intermediate disturbance hypothesis.** The concept that species diversity is greatest in those habitats experiencing a moderate amount of disturbance, allowing the coexistence of early and late successional species.
- Intermediate host.** Host that harbors a developmental phase of a parasite; the infective stage or stages can develop only when the parasite is independent of its definitive host; compare definitive host.
- Intermediate species.** Species that feed on other species, and they themselves are prey of other species.
- Internal cycling (also see nutrient cycling).** Movement or cycling of nutrients through components of ecosystems.
- Intersexual selection.** Choice of a mate, usually by the female.
- Interspecific.** Between individuals of different species.
- Intertidal zone.** Area lying between the lines of high and low tide.
- Intertropical convergence zone (ITCZ).** The boundary zone separating the northeast trade winds of the Northern Hemisphere from the southeast trade winds of the Southern Hemisphere.
- Intrasexual selection.** Competition among members of the same sex for a mate; most common among males and characterized by fighting and display.
- Intraspecific.** Between individuals of the same species.
- Intraspecific competition.** Competition among individuals of the same species
- Intrinsic rate of increase.** The per capita rate of growth of a population that has reached a stable age distribution and is free of competition and other growth restraints. (Also referred to as instantaneous per capita rate of growth.)
- Ion.** An atom that is electrically charged as a result of a loss of one or more electrons or a gain of electrons.
- Ion exchange capacity.** Total number of charged sites on soil particles within a volume of soil
- Isoosmotic.** A characteristic describing an organism with body fluids that have the same osmotic pressure as seawater.
- Iteroparous.** Having multiple broods over a lifetime.
- K-strategist.** A competitive species with a stable population with a slow growth rate, long-lived individuals; they produce relatively few young or seeds, and provide parental care.
- Keystone predation.** Predation that is central to the organization of a community; the predator enhances one or more inferior competitors by reducing the abundance of the superior competitor.
- Keystone species.** A species whose activities have a significant role in determining community structure.
- Kinetic energy.** Energy associated with motion; performs work at the expense of potential energy.
- Landscape.** An area of land (or water) composed of a patchwork of communities and ecosystems.
- Landscape connectivity.** Degree to which the landscape facilitates or impedes the movement of organisms among patches; comprised of functional and structural connectivity.
- Landscape ecology.** Study of structure, function, and change in a heterogeneous landscape composed of interacting ecosystems.
- La Niña.** A global climate phenomenon characterized by strong winds and cool ocean currents flowing westward from the coastal waters of South America to the tropical Pacific Ocean.
- Late successional species.** Long-lived, shade-tolerant plant species that supplant early successional species.
- Latent heat.** Amount of heat given up when a unit mass of a substance converts from a liquid to a solid state, or the amount of heat absorbed when a substance converts from the solid to liquid state.
- Laterization.** Soil-forming process in hot, humid climates, characterized by intense oxidation; results in loss of bases and in a deeply weathered soil composed of silica.
- Leaching.** Dissolving and washing of nutrients out of soil, litter, and organic matter.
- Leaf area index.** The total leaf area of a plant exposed to incoming light energy relative to the ground surface area beneath the plant.
- Leaf area ratio (LAR).** Total area of leaves per total plant weight.
- Leaf pack.** Areas of accumulated leaf litter in stream ecosystems; term also refers to litterbags used in stream decomposition experiments.
- Leaf weight ratio (LWR).** The total weight of leaves expressed as a proportion of total plant weight
- Lek.** Communal courtship area males use to attract and mate with females.

- Lentic.** Pertaining to standing water, such as lakes and ponds; a population is limited by the lowest amount needed of an essential nutrient.
- Life expectancy.** The average number of years to be lived in the future by members of a population.
- Life table.** Tabulation of mortality and survivorship of a population; static, time-specific, or vertical life tables are based on a cross section of a population at a given time; dynamic, cohort, or horizontal life tables are based on a cohort followed throughout life.
- Light compensation point.** Depth of water or level of light at which photosynthesis and respiration balance each other.
- Light saturation point.** Amount of light at which plants achieve the maximum rate of photosynthesis.
- Limnetic.** Pertaining to or living in the open water of a pond or lake.
- Limnetic zone.** Shallow-water zone of a lake or sea, in which light penetrates to the bottom.
- Link.** In a food web, the arrows leading from a consumer to a species being consumed.
- Lithosol.** Soil showing little or no evidence of soil development and consisting mainly of partly weathered rock fragments or nearly barren rock.
- Littoral zone.** Shallow water of a lake, in which light penetrates to the bottom, permitting submerged, floating, and emergent vegetative growth; also shore zone of tidal water between high-water and low-water marks.
- Littoral or intertidal zone.** Area of the shoreline between the high tide and low tide lines that is covered and uncovered daily by the tides
- Local (alpha) diversity.** Number of species in a small area of homogeneous habitat. Also called alpha diversity.
- Local scale.** A spatial scale wherein individuals move and interact with each other in the course of their routine feeding and breeding activities. See also within-patch scale.
- Local subpopulation.** A subpopulation associated with a restricted patch of habitat.
- Locus.** Site on a chromosome occupied by a specific gene.
- Logistic model of population growth (logistic equation).** Mathematical expression for the population growth curve in which rate of increase decreases linearly as population size increases.
- Long-day organism.** Plant or animal that requires long days—days with more than a certain minimum of daylight—to flower or come into reproductive condition.
- Longwave radiation.** Infrared radiation that occurs as wavelengths longer than 3 or 4 microns.
- Lotic.** Pertaining to flowing water.
- Macronutrients.** Essential nutrients plants and animals need in large amounts.
- Macroparasite.** Any of the parasitic worms, lice, fungi, and the like that have comparatively long generation times, spread by direct or indirect transmission, and may involve intermediate hosts or vectors.
- Mainland–island metapopulation structure.** A single habitat patch (the mainland) is the dominant source of individuals emigrating to other habitat patches (island) within a metapopulation network.
- Mangal.** A mangrove swamp.
- Mangrove forest.** Tropical inshore communities dominated by several species of mangrove trees and shrubs capable of growth and reproduction in areas inundated daily by seawater.
- Marginal value theorem.** Predicts the length of time an individual should stay in a resource patch before leaving and seeking another.
- Marsh.** Wetland dominated by grassy vegetation such as cattails and sedges.
- Mating system.** Pattern of mating between individuals in a population.
- Matric potential.** Tendency of water to adhere to surfaces.
- Matrix.** The communities that surround a patch on the landscape.
- Maximum sustainable yield.** The maximum rate at which individuals can be harvested from a population without reducing its size; recruitment balances harvesting.
- Mechanical weathering.** Breakdown of rocks and minerals in place by disintegration processes such as freezing, thawing, and pressure that do not involve chemical reactions. (Also referred to as physical weathering.)
- Mediterranean-type climate.** Semiarid climate characterized by a hot, dry summer and a wet, mild winter.
- Meiofauna.** Benthic organisms within the size range from 1 to 0.1 mm; interstitial fauna.
- Mesic.** Moderately moist.
- Mesopelagic.** Uppermost lightless pelagic zone.
- Mesophyll.** Specialized tissue located between the epidermal layers of a leaf; palisade mesophyll consists of cylindrical cells at right angles to upper epidermis and contains many chloroplasts; spongy mesophyll lies next to the lower epidermis and has interconnecting, irregularly shaped cells with large intercellular spaces.
- Metacommunity.** Set of local communities that are linked by the dispersal of multiple potentially interacting species
- Metapopulation.** A population broken into sets of subpopulations held together by dispersal or movements of individuals among them.
- Metapopulation scale.** A spatial scale that encompasses the set of local populations (patches) that compose the metapopulation. See also regional scale.
- Microbial loop.** Feeding loop in which bacteria take up dissolved organic matter produced by plankton and nanoplankton consume the bacteria; adds several trophic levels to the plankton food chain.
- Microclimate.** Climate on a very local scale, which differs from the general climate of the area; influences the presence and distribution of organisms.
- Micronutrient.** Essential nutrient needed in very small quantities by plants and animals.

**Microparasite.** Any of the viruses, bacteria, and protozoans, characterized by small size, short generation time, and rapid multiplication.

**Migration.** Intentional, directional, usually seasonal movement of animals between two regions or habitats; involves departure and return of the same individual; a round-trip movement.

**Mimicry.** Resemblance of one organism to another or to an object in the environment, evolved to deceive predators.

**Mineralization.** Microbial breakdown of humus and other organic matter in soil to inorganic substances.

**Minimum dynamic area (MDA).** Area of suitable habitat necessary for maintaining a minimum viable population.

**Minimum viable population (MVP).** Size of a population that, with a given probability, will ensure the population's existence for a stated period of time.

**Mire.** Wetland characterized by an accumulation of peat.

**Mixed-grass prairie.** Grassland in mid North America, characterized by great variation in precipitation and a mixture of largely cool-season shortgrass and tallgrass species.

**Models.** In theoretical and systems ecology, an abstraction or simplification of a natural phenomenon, developed to predict a new phenomenon or to provide insight into existing ones; in mimetic association, the organism mimicked by a different organism.

**Modular organism.** Organism that grows by repeated iteration of parts, such as branches or shoots of a plant; some parts may separate and become physically and physiologically independent.

**Mollisol.** Soil formed by calcification, characterized by accumulation of calcium carbonate in lower horizons and high organic content in upper horizons.

**Monoculture.** Planting of a single plant species.

**Monoecious.** Having male and female reproductive organs separated in different floral structures on the same plant; compare hermaphrodite, dioecious.

**Monogamy.** In animals, mating and maintenance of a pair bond with only one member of the opposite sex at a time.

**Moor.** A blanket bog or peatland.

**Mortality rate.** The probability of dying; the ratio of number dying in a given time interval to the number alive at the beginning of the time interval.

**Mosaic.** A pattern of patches, corridors, and matrices in the landscape.

**Mullerian mimicry.** When many unpalatable or venomous species share a similar color pattern.

**Mutation.** Transmissible changes in the structure of a gene or chromosome.

**Mutualism.** Relationship between two species in which both benefit.

**Mycorrhizae.** Association of fungus with roots of higher plants, which improves the plants' uptake of nutrients from the soil.

**Myrmecochores.** Plants that possess ant-attracting substances on their seed coats.

**Nanoplankton.** Plankton with a size range from 2 to 20  $\mu\text{m}$ .

**Natural capital.** Range of natural resources provided by ecosystems.

**Natural selection.** Differential success (reproduction and survival) of individuals that results in elimination of maladaptive traits from a population.

**Negative assortative mating.** Occurs when mates are phenotypically less similar to each other than expected by chance.

**Negative feedback.** Homeostatic control in which an increase in some substance or activity ultimately inhibits or reverses the direction of the processes leading to the increase.

**Nekton.** Aquatic animals that are able to move at will through the water.

**Neritic.** Marine environment embracing the regions where landmasses extend outward as a continental shelf.

**Net assimilation rate (NAR).** Assimilation of new plant tissue per unit leaf area per unit time ( $\text{g}/\text{cm}^2/\text{time}$ ); component of relative growth rate.

**Net ecosystem productivity.** Difference between the rates of net primary productivity and carbon lost through consumer and decomposer respiration.

**Net mineralization rate.** Difference between the rates of mineralization and immobilization.

**Net photosynthesis.** Difference between the rate of carbon uptake in photosynthesis and carbon loss in respiration.

**Net primary productivity (NPP).** The rate of energy storage as organic matter after respiration.

**Net reproductive rate.** Average number of female offspring produced by an average female during her lifetime.

**Niche.** See ecological niche.

**Niche overlap.** Sharing of niche space by two or more species.

**Nitrification.** Breakdown of nitrogen-containing organic compounds into nitrates and nitrites.

**Nitrogen fixation.** Conversion of atmospheric nitrogen to forms usable by organisms.

**Nominal data.** Categorical data in which objects fall into unordered categories, such as hair color or sex.

**Norm of reaction.** The set of phenotypes expressed by a single genotype across a range of environmental conditions.

**Null model.** Assumes that the presence and abundance of the individual species found in a given community are solely a result of the independent responses of each individual species to the prevailing physical environment.

**Numerical data.** Data in which objects are measured based on some quantitative trait.

**Numerical response.** Change in size of a population of predators in response to change in density of its prey.

**Nutrient cycle (cycling).** Pathway of an element or nutrient through the ecosystem, from assimilation by organisms to release by decomposition (see also internal cycling).

**Nutrient spiraling.** In flowing-water ecosystems, the combined processes of nutrient cycling and downstream transport.

- O horizon.** Surface stratum of mineral soil, dominated by organic material and consisting of undecomposed or partially decomposed plant materials, such as dead leaves.
- Object resemblance.** A prey species assumes the appearance of some feature in the environment, such as a leaf, to avoid detection.
- Oceanic.** Referring to regions of the sea with depths greater than 200 m that lie beyond the continental shelf.
- Oligotrophic.** Term applied to a body of water low in nutrients and in productivity.
- Oligotrophy.** Nutrient-poor condition.
- Omnivore.** An animal (heterotroph) that feeds on both plant and animal matter.
- Open system.** System with exchanges of materials and energy to the surrounding environment.
- Operative temperature range.** Range of body temperatures at which poikilotherms carry out daily activity.
- Optimal foraging theory.** Tendency of animals to harvest food efficiently, selecting food sizes or food patches that supply maximum food intake for energy expended.
- Ordinal data.** Categorical data in which order is important, such as reproductive status.
- Organismic concept of community.** Idea that species, especially plant species, are integrated into an internally interdependent unit; upon maturity and death of the community, another identical plant community replaces it.
- Osmosis.** Movement of water molecules across a differentially permeable membrane in response to a concentration or pressure gradient.
- Osmotic potential.** The attraction of water across a membrane; the more concentrated a solution, the lower is its osmotic potential.
- Osmotic pressure.** Pressure needed to prevent passage of water or another solvent through a semipermeable membrane separating a solvent from a solution.
- Oxisol.** Soil developed under humid semitropical and tropical conditions, characterized by silicates and hydrous oxides, clays, residual quartz, deficiency in bases, and low plant nutrients; formed by laterization.
- Paleoecology.** Study of ecology of past communities by means of the fossil record.
- Pampas.** Temperate South American grassland, dominated by bunchgrasses; much of the moister pampas are under cultivation.
- Parasitism.** Relationship between two species in which one benefits while the other is harmed (although not usually killed directly).
- Parasitoidism.** Relationship between insect larva that kills its host by consuming the host's soft tissues before pupation or metamorphosis into an adult.
- Parent material.** The material from which soil develops.
- Parthenogenesis.** Development of an individual from an egg that did not undergo fertilization.
- Patch.** An area of habitat that differs from its surroundings and has sufficient resources to allow a population to persist.
- Peat.** Unconsolidated material consisting of un-decomposed and only slightly decomposed organic matter under conditions of excessive moisture.
- Peatland.** Any ecosystem dominated by peat; compare bog, mire, and fen.
- Pelagic.** Referring to the open sea.
- PEP carboxylase.** The enzyme phosphoenolpyruvate carboxylase that catalyzes the fixation of CO<sub>2</sub> into four-carbon acids, malate, and aspartate.
- Percent base saturation.** The extent to which the exchange sites of soil particles are occupied by exchangeable base cations or by cations other than hydrogen and aluminum, expressed as percentage of total cation exchange capacity; compare cation exchange capacity.
- Periphyton.** In freshwater ecosystems, organisms that are attached to submerged plant stems and leaves; see aufwuchs.
- Permafrost.** Permanently frozen soil in arctic regions.
- Phenotype.** Physical expression of a characteristic of an organism, determined by both genetic constitution and environment.
- Phenotypic plasticity.** Ability to change form under different environmental conditions.
- Pheromone.** Chemical substance released by an animal that influences behavior of others of the same species.
- Photic zone.** Lighted water column of a lake or ocean, inhabited by plankton.
- Photoinhibition.** The slowing or stopping of a plant process by light.
- Photoperiodism.** Response of plants and animals to changes in relative duration of light and dark.
- Photosynthesis.** Use of light energy by plants to convert carbon dioxide and water into simple sugars.
- Photosynthetically active radiation (PAR).** That part of the light spectrum between wavelengths of 400 and 700 nm that is used by plants in photosynthesis.
- Physiological ecology.** Study of the physiological functioning of organisms in relation to their environment.
- Phytoplankton.** Small, floating plant life in aquatic ecosystems; planktonic plants.
- Pioneer species.** Plants that invade disturbed sites or appear in early stages of succession.
- Plankton.** Small, floating or weakly swimming plants and animals in freshwater and marine ecosystems.
- Pleistocene.** Geological epoch extending from about 2 million to 10,000 years ago, characterized by recurring glaciers; the Ice Age.
- Pneumatophore.** An erect respiratory root that protrudes above waterlogged soils; typical of bald cypress and mangroves.
- Podzolization.** Soil-forming process in which acid leaches the A horizon and iron, aluminum, silica, and clays accumulate in lower horizons.
- Poikilotherm.** An organism whose body temperature varies according to the temperature of its surroundings.



- Poikilothermy.** Variation of body temperature with external conditions.
- Polar easterlies.** Easterly wind located at high latitudes poleward of the subpolar low.
- Polyandry.** Mating of one female with several males.
- Polyculture.** Planting of several plant species.
- Polygamy.** Acquisition by an individual of two or more mates, none of which is mated to other individuals.
- Polygyny.** Mating of one male with several females.
- Population.** A group of individuals of the same species living in a given area at a given time.
- Population density.** The number of individuals in a population per unit area.
- Population ecology.** Study of how populations grow, fluctuate, spread, and interact intraspecifically and interspecifically.
- Population genetics.** The study of changes in gene frequency and genotypes in populations.
- Population projection table.** Chart of growth of a population developed by calculating the births and mortality of each age group over time.
- Population regulation.** Mechanisms or factors within a population that cause it to decrease when density is high and increase when density is low.
- Positive assortative mating.** Occurs when mates are phenotypically more similar to each other than expected by chance.
- Positive feedback.** Control in a system that reinforces a process in the same direction.
- Potential energy.** Energy available to do work.
- Potential evapotranspiration.** Amount of water that would be transpired under constantly optimal conditions of soil moisture and plant cover.
- Practical salinity unit (psu).** The total amount of dissolved material in seawater, expressed as parts per thousand (‰).
- Precipitation.** All the forms of water that fall to Earth; includes rain, snow, hail, sleet, fog, mist, drizzle, and the measured amounts of each.
- Precocial.** In birds, hatched with down, open eyes, and ability to move about; in mammals, born with open eyes and ability to follow the mother, as fawns and calves can.
- Predation.** Relationship in which one living organism serves as a food source for another.
- Predator defenses.** Evolved characteristics that help prey avoid detection or capture.
- Predator satiation.** A predator defense mechanism involving the physiological timing of reproduction by a prey species, plant or animal, to produce a maximum number of seeds or young within a short period—more than predators can possibly consume—thus allowing a greater percentage of offspring to escape.
- Primary producer.** Green plant or chemosynthetic bacterium that converts light or chemical energy into organismal tissue.
- Primary production.** Production by green plants over time.
- Primary productivity.** Rate at which plants produce biomass per unit area per unit time.
- Primary succession.** Vegetational development starting on a new site never before colonized by life.
- Production.** Amount of energy formed by an individual, population, or community per unit time.
- Production efficiency.** The ratio of production to assimilation; a measure of the efficiency of a consumer to incorporate assimilated energy into secondary production.
- Profundal zone.** Deep zone in aquatic ecosystems, below the limnetic zone.
- Promiscuity.** Member of one sex mates with more than one member of the opposite sex, and the relationship terminates after mating.
- Protective armor.** Hard outer covering of an animal body, such as shells of turtles and spines of porcupines, that deters or makes the owner somewhat invulnerable to most enemies.
- Pycnocline.** Area in the water column where the highest rate of change in density occurs for a given change in depth.
- Pyramid of biomass.** Diagrammatic representation of biomass at different trophic levels in an ecosystem.
- Pyromineralization.** Mineralization of nutrients bound in organic compounds by fire.
- Qualitative inhibitors.** The secondary compounds that function as defenses against herbivory that are present in small to minute quantities.
- Qualitative traits.** Phenotypic characteristics that fall into a limited number of discrete categories.
- Quantitative inhibitors.** The secondary compounds that are produced by the plant in large quantities.
- Quantitative traits.** Phenotypic characteristics that have a continuous distribution.
- Quaking bog.** Bog characterized by a floating mat of peat and vegetation over water.
- R-strategist.** Short-lived species with a high reproductive rate at low population densities; characterized by relatively small body size, rapid growth rate, large number of offspring, and lack of parental care.
- Radiation.** Transfer of energy through electromagnetic rays.
- Rain forest.** Permanently wet forest of the tropics; also the wet coniferous forest of the Pacific Northwest of the United States.
- Rain shadow.** A dry region on the leeward side of a mountain range resulting from a reduction in rainfall.
- Raised bog.** A bog in which the accumulation of peat has raised the surface above both the surrounding landscape and the water table; it develops its own perched water table.
- Ramet.** An individual member of a plant clone.
- Rank-abundance diagram.** Plots of relative abundance of each species against rank, defined as the order of species from the most to the least abundant.

- Rarefaction curve.** Produced by repeatedly resampling the total pool of samples, defined as  $N$ , at random, plotting the average number of species represented by 1, 2, ...  $N$  samples. Thus rarefaction generates the expected number of species in a small collection of  $N$  samples drawn at random from the larger total pool of  $N$  samples.
- Reabsorption.** See retranslocation.
- Realized niche.** Portion of the fundamental niche that a species actually exploits as a result of interactions with other species.
- Recessive allele.** Applies to an allele whose phenotypic effect is expressed in the homozygous state and masked in the presence of an allele in organisms heterozygous for that gene.
- Recruitment.** Addition of new individuals to a population by reproduction.
- Regional (gamma) diversity.** Total number of species observed in all habitats within a geographic area. Also called gamma diversity.
- Regolith.** The unconsolidated debris layer composed of exposed rock overlaying the hard, unweathered rock in most places on the Earth's surface. It varies in depth from virtually nonexistent to tens of meters.
- Relative abundance.** Proportional representation of a species in a community or sample of a community.
- Relative growth rate (RGR).** Weight gained during a specified period of time.
- Relative humidity.** Water vapor content of air at a given temperature, expressed as a percentage of the water vapor needed for saturation at that temperature.
- Reproductive effort.** Proportion of its resources an organism expends on reproduction.
- Rescue effect.** An increase in population size and a decrease in the risk of extinction brought about by an increase of immigration into a population.
- Respiration.** (also cellular respiration or aerobic respiration) Harvesting of energy from the chemical breakdown of simple sugars and other carbohydrates; the oxidation of carbohydrates to generate energy in the form of ATP that takes place exclusively in the mitochondria.
- Restoration ecology.** Applying principles of ecosystem development and function to the restoration and management of disturbed lands.
- Rete.** A large network or discrete vascular bundle of intermingling small blood vessels carrying arterial and venous blood that acts as a heat exchanger in mammals and certain fish and sharks.
- Retranslocation.** Recycling of nutrients within a plant.
- Rhizosphere.** Describes a region of the soil where plant roots function. It is an active zone of root growth and death, characterized by intense microbial and fungal activity.
- Riparian woodland.** Woodland along the bank of a river or stream; riverbank forests are often called gallery forests, see also bottomland.
- Rivet model.** Idea that losing a species in an ecosystem is analogous to losing a rivet from an airplane. When the loss reaches a certain threshold, there are major catastrophic effects.
- Root-to-shoot ratio (R:S).** Ratio of the weight of roots to the weight of shoots of a plant.
- Rotation period.** Interval between the recurrence of a disturbance event; or interval between harvests of a crop, such as trees.
- Rubisco.** Enzyme in photosynthesis that catalyzes the initial transformation of  $\text{CO}_2$  into sugar.
- Ruminant.** Ungulate with a three-chamber or four-chamber stomach; in the large first chamber, or rumen, bacteria ferment plant matter.
- Salinity.** A measure of the total quantity of dissolved substances in water in parts per thousand (‰) by weight.
- Salinization.** The process of accumulation of soluble salts in soil, usually by upward capillary movement from a salty groundwater source. Also called salination.
- Salt or tidal marshes.** Communities of emergent vegetation rooted in a soil alternately flooded and drained by tidal action.
- Sample.** That part of a population that is selected for observation by an investigator (a subset of the total population).
- Saprophyte.** Plant that draws its nourishment from dead plant and animal matter, mostly the former.
- Saturation vapor pressure.** Water vapor content of a parcel of air when it is saturated; saturation is defined as condition when rate of evaporation equals the rate of condensation.
- Savanna.** Tropical grassland, usually with scattered trees or shrubs.
- Scale.** Level of resolution within the dimensions of time and space; spatial proportion as a ratio of length on a map to actual length.
- Scaling.** The process by which most morphological and physiological features change as a function of body size in a predictable way.
- Scatter plot.** A type of graph constructed by defining two axes ( $x$  and  $y$ ), each representing one of the two variables being examined. The individuals are then plotted as points on the graph.
- Scavenger.** Animal that feeds on dead animals or on animal products, such as dung.
- Scramble competition.** Intraspecific competition in which limited resources are shared to the point that no individual survives.
- Scrapers.** Aquatic invertebrates that feed on algal coating on stones and rubble in streams; also called grazers.
- Search image.** Mental image formed in predators, enabling them to find prey more quickly and to concentrate on a common type of prey.
- Second law of thermodynamics.** In any energy transfer or transformation, part of the energy assumes a form that cannot be passed on any farther.
- Secondary compounds.** Chemicals that are not involved in the basic metabolism of plant cells.
- Secondary producers.** Organisms that derive energy from consuming plant or animal tissue and breaking down assimilated carbon compounds.
- Secondary production.** Production by consumer organisms over time.

- Secondary productivity.** Rate at which heterotrophs produce biomass per unit area per unit time.
- Secondary succession.** Development of vegetation after a disturbance.
- Seed-tree.** Method of forest harvest in which a small number of trees are left on the site (uncut) to provide a source of seeds for natural regeneration of the population.
- Selection cutting.** Method of forest harvesting in which only selected individual trees of high commercial value are removed from the forest stand.
- Selective agent.** The environmental cause of fitness differences among organisms with difference phenotypes.
- Selective agent.** Any force acting on individuals in a population that determines which individuals leave more descendants than others; gives direction to the evolutionary process.
- Self-thinning.** Progressive decline in density of plants associated with the increasing size of individuals.
- Semelparity.** Having only a single reproductive effort in a lifetime, over one short period of time.
- Sequential hermaphrodite.** An individual organism that changes sex from female to male or male to female at some time in its life cycle.
- Seral stage.** Following a series of stages; a point in a continuum of vegetation through time.
- Sere.** The series of successional stages on a given site that lead to a terminal community.
- Sessile.** Not free to move about; permanently attached to a substrate.
- Sex ratio.** The relative number of males to females in a population.
- Sexual dimorphism.** The occurrence of morphological differences other than primary sexual characteristics that distinguish males from females in a species.
- Sexual reproduction.** Two individuals produce haploid gametes (egg and sperm) that combine to form a diploid cell.
- Shade-intolerant.** Growing and reproducing best under high light conditions; growing poorly and failing to reproduce under low light conditions.
- Shade-tolerant.** Able to grow and reproduce under low light conditions.
- Shannon index.** An index of diversity that considers both species richness and evenness.
- Shelterwood.** See seed-tree cut.
- Shifting mosaic.** Constantly changing pattern of patches as each patch passes through successive stages of development.
- Short-day organisms.** Plants and animals that come into reproductive condition under conditions of short days—days with less than a certain maximum length.
- Shortgrass prairie.** Westernmost grasslands of the Great Plains, characterized by infrequent rainfall, low humidity, and high winds; dominated by shallow-rooted, sod-forming grasses.
- Shortwave radiation.** Infrared radiation that occurs as wavelengths shorter than 3 or 4 microns.
- Shredders.** Aquatic invertebrates that feed on coarse particulate matter in streams.
- Sibicide.** Killing of an offspring by another offspring of the same parents.
- Simpson's index (*D*).** A measure of the probability that two individuals randomly selected from a sample will belong to the same species.
- Simultaneous hermaphrodite.** An individual organism that possesses both male and female sex organs at the same time in its life cycle.
- Sink habitat.** A habitat area that receives immigrants from a source habitat, but in which the subpopulation would continually decrease in size because of mortality and poor reproductive success without continual immigration from excess individuals in a source habitat.
- Sink patch.** Area where population of a species can be maintained only by immigration. See sink habitat.
- Sink populations.** Local population that cannot maintain a positive growth rate; its persistence is dependent on immigration.
- Social dominance.** Physical dominance of one individual over another, usually maintained by some manifestation of aggressive behavior.
- Soil horizon.** Developmental layer in the soil with characteristic thickness, color, texture, structure, acidity, nutrient concentration, and the like.
- Soil microbial loop.** Process of supplementing carbon to microbial decomposers in the rhizosphere, enhancing the decomposition of soil organic matter, and subsequently releasing mineral nutrients for plant uptake by microbial grazers.
- Soil profile.** Distinctive layering of horizons in the soil.
- Soil texture.** Relative proportions of the three particle sizes (sand, silt, and clay) in the soil.
- Solute.** A substance that is dissolved in solution.
- Solution.** Liquid that is a homogeneous mixture of two or more substances.
- Solvent.** Dissolving agent of a solution.
- Sorensen's coefficient of community.** Index of similarity between two stands or communities. The index ranges from 0 to indicate communities with no species in common to 100 to indicate communities with identical species composition.
- Source habitat.** Area of habitat in which a subpopulation of a species produces more individuals than needed for self-maintenance, thus contributing to emigration.
- Source populations.** Local population that is capable of maintaining a positive growth rate and functions as a source of emigration to other local populations within the metapopulation.
- Speciation.** Separation of a population into two or more reproductively isolated populations.
- Species diversity.** Measurement that relates number and relative abundances of species within a community (see species evenness and species richness).

- Species evenness.** A component of species diversity index; a measure of the distribution of individuals among total species occupying a given area.
- Species richness.** Number of species in a given area.
- Specific heat.** Amount of energy that must be added or removed to raise or lower the temperature of a substance by a specific amount.
- Specific leaf area.** The area of leaf per gram of leaf weight ( $\text{cm}^2/\text{g}$ )
- Spodosol.** Soil characterized by the presence of a horizon in which organic matter and amorphous oxides of aluminum and iron have precipitated; includes podzolic soils.
- Stabilizing selection.** Selection favoring the middle in the distribution of phenotypes.
- Stable age distribution.** Constant proportion of individuals of various age classes in a population through population changes.
- Stand.** Unit of vegetation that is essentially homogeneous in all layers and differs from adjacent types qualitatively and quantitatively.
- Standing crop biomass.** Total amount of biomass per unit area at a given time.
- Static life table.** See life table.
- Statistical population.** Set of objects about which inferences can be drawn.
- Steppe.** Name given to Eurasian grasslands that extend from eastern Europe to western Siberia and China.
- Stoichiometry.** Branch of chemistry dealing with the quantitative relationships of elements in combination.
- Stomata.** Pores in the leaf or stem of a plant that allow gaseous exchange between the internal tissues and the atmosphere.
- Structural connectivity.** Degree to which patches on a landscape are contiguous or physically linked to one another.
- Subpolar low.** A zone of low air pressure near the surface of the Earth.
- Subspecies.** Geographical unit of a species population, distinguishable by morphological, behavioral, or physiological characteristics.
- Subtropical high.** A semipermanent high-air-pressure belt at the surface encircling the Earth.
- Succession.** The gradual and seemingly directional change in community structure through time (temporal changes in community structure).
- Successional sequence.** Pattern of colonization and extinction of plants on a given area over time; compare sere.
- Supercooling.** In ectotherms, lowering body temperature below freezing without freezing body tissue, by means of solutes (particularly glycerol).
- Supralittoral fringe.** The highest zone on the intertidal shore, bounded below by the upper limit of barnacles and above by the upper limit of *Littorina* snails.
- Supralittoral or supratidal zone.** The terrestrial zone adjacent to the shoreline (supralittoral fringe).
- Surface runoff.** The excess water that flows across the surface of the ground when the soil is saturated during heavy rains.
- Surface tension.** Elastic film across the surface of a liquid, caused by the attractive forces between molecules at the surface of the liquid.
- Survivorship curve.** A graph describing the survival ( $l_x$ ) of a cohort of individuals in a population from birth to the maximum age reached by any one member of the cohort.
- Sustained yield.** Yield per unit time equal to production per unit time in an exploited population.
- Swamp.** Wooded wetland in which water is near or above ground level.
- Swidden agriculture.** Farming systems that alternate periods of annual cropping with extended fallow periods. Also referred to as shifting cultivation; fire is used to clear fallow areas for cropping.
- Switching.** Changing the diet from a less abundant to a more abundant prey species.
- Symbiosis.** Situation in which two dissimilar organisms live together in close association.
- Taiga.** The northern circumpolar boreal forest.
- Tallgrass prairie.** A narrow belt of tall grasses dominated by big bluestem that once ran north and south adjacent to the deciduous forest of eastern North America; presence maintained by fire; largely destroyed by cultivation.
- Target of selection.** The phenotypic trait that natural selection acts directly upon.
- Temperate rain forest.** Forest in regions characterized by mild climate and heavy rainfall that produces lush vegetative growth; one example is the coniferous forest of the Pacific Northwest of North America.
- Temperature.** A measure of the average speed or kinetic energy of atoms and molecules in a substance.
- Territory.** Area defended by an animal; varies among animal species according to social behavior, social organization, and resource requirements.
- Theory.** An integrated set of hypotheses that together explain a broader set of observations than any single hypothesis.
- Theory of island biogeography.** Theory stating that the number of species established on an island represents a dynamic equilibrium between the immigration of new colonizing species and the extinction of previously established ones.
- Thermal conductivity.** Ability to conduct or transmit heat.
- Thermal neutral zone.** Among homeotherms, the range of temperatures at which metabolic rate does not vary with temperature.
- Thermocline.** Layer in a thermally stratified body of water in which temperature changes rapidly relative to the remainder of the body.
- Thermonutral zone.** Range of environmental temperatures within which the metabolic rates are minimal.
- Throughfall.** That part of precipitation that falls through vegetation to the ground.

- Tidal overmixing.** Mixing of freshwater and seawater when a tidal wedge of seawater moves upstream in a tidal river faster than freshwater moves seaward; seawater on the surface tends to sink as lighter freshwater rises to the surface.
- Tidal subsidy.** Nutrients carried to coastal ecosystems and wastes carried away by tidal cycles.
- Time-specific life table.** A population sampled in some manner to obtain the distribution of age classes during a single time period.
- Top-down control.** Influence of predators on the structure of lower trophic levels in a food web.
- Top predator.** Species not subjected to predators; they prey on intermediate and basal species.
- Topography.** Physical structure of the landscape.
- Torpor.** Temporary great reduction in an animal's respiration, with loss of motion and feeling; reduces energy expenditure in response to some unfavorable environmental condition, such as heat or cold.
- Trade winds.** Tropical easterly winds that blow in a steady direction from the subtropical high-pressure areas to the equatorial low-pressure areas between the latitudes 30° and 40° north and south; these winds are generally northeasterly in the Northern Hemisphere and southeasterly in the Southern Hemisphere.
- Transpiration.** Loss of water vapor from a plant to the outside atmosphere.
- Trophic.** Related to feeding.
- Trophic efficiency.** Ratio of productivity in a given trophic level with the trophic level on which it feeds.
- Trophic level.** Functional classification of organisms in an ecosystem according to feeding relationships, ranging from first-level autotrophs through succeeding levels of herbivores and carnivores.
- Trophic structure.** Organization of a community based on the number of feeding or energy transfer levels.
- Tundra.** Area in an arctic or alpine (high mountain) region, characterized by bare ground, absence of trees, and growth of mosses, lichens, sedges, forbs, and low shrubs.
- Turgor pressure.** The state in a plant cell in which the protoplast is exerting pressure on the cell wall due to intake of water by osmosis.
- Turnover.** Vertical mixing of layers in a body of water, brought about by seasonal changes in temperature.
- Type I functional response.** Rate of prey mortality due to predation is constant, a function of the efficiency of predators.
- Type II functional response.** Per capita rate of predation increases in a decelerating fashion only up to a maximum rate that is attained at some high prey density.
- Type III functional response.** Rate of prey consumed; slow at first and then increasing in an S-shaped (sigmoid) fashion as the rate of predation reaches a maximum.
- Ubiquitous.** Having widespread geographic distribution.
- Ultisol.** Low base soil associated with warm, humid climate and old terrain, taking on a reddish color from secondary iron oxides.
- Understory.** Growth of medium-height and small trees beneath the canopy of a forest; sometimes includes a shrub layer as well.
- Unitary organism.** An organism, such as an arthropod or vertebrate, whose growth to adult form follows a determinate pathway, unlike modular organisms whose growth involves indeterminate repetition of units of structure.
- Upwelling.** In oceans and large lakes, a water current or movement of surface waters produced by wind that brings nutrient-loaded colder water to the surface; in open oceans, regions where surface currents diverge deep waters, which rise to the surface to replace departing waters.
- Vapor pressure.** The amount of pressure water vapor exerts independent of dry air.
- Vapor pressure deficit.** The difference between saturation vapor pressure and the actual vapor pressure at any given temperature.
- Vector.** Organism that transmits a pathogen from one organism to another.
- Vegetative reproduction.** Asexual reproduction in plants by means of specialized multicellular organs, such as bulbs, corms, rhizomes, stems, and the like.
- Veld.** Extensive grasslands in the east of the interior of South Africa, largely confined to high terrain.
- Vertisol.** Mineral soil that contains more than 30 percent of swelling clays that expand when wet and contract when dry; associated with seasonal wet and dry environments.
- Viscosity.** Property of a fluid that resists the force that causes it to flow.
- Visible light.** Light comprising wavelengths of 3400 to 740 nanometers.
- Warning coloration.** Conspicuous color or markings on an animal that serve to discourage potential predators.
- Water balance.** Maintenance of the balance of water between organisms and their surrounding environment.
- Water cycle.** Movement of water between atmosphere and Earth by way of precipitation and evaporation (see hydrological cycle).
- Water potential.** Measure of energy needed to move water molecules across a semipermeable membrane; water tends to move from areas of high or less negative potential to areas of low or more negative potential.
- Water-use efficiency.** Ratio of net primary production to transpiration of water by a plant.
- Watershed.** Entire region drained by a waterway into a lake or reservoir; total area above a given point on a stream that contributes water to the flow at that point; the topographic dividing line from which surface streams flow in two different directions.
- Weather.** The combination of temperature, humidity, precipitation, wind, cloudiness, and other atmospheric conditions at a specific place and time.
- Weathering.** Physical and chemical breakdown of rock and its components at and below Earth's surface (also see mechanical and chemical weathering).

**Westerlies.** The dominant east-to-west motion of the winds centered over the middle latitudes of both hemispheres.

**Wetfall.** Component of acid deposition that reaches Earth by some form of precipitation; wet deposition.

**Wetland.** A general term applied to open-water habitats and seasonally or permanently waterlogged land areas; defining the extent of a wetland is controversial because of conflicting land-use demands.

**Wilting point.** Moisture content of soil at which plants wilt and fail to recover their turgidity when placed in a dark, humid atmosphere; measured by oven drying.

**Xeric.** Dry, especially in soil.

**Yield.** Individuals or biomass removed or harvested from a population per unit time.

**Zero-growth isocline.** An isocline along which the net population growth rate is zero.

**Zonation.** Changes in the physical and biological structures of communities as one moves across the landscape; spatial changes in community structure.

**Zooplankton.** Floating or weakly swimming animals in freshwater and marine ecosystems; planktonic animals.

*This page intentionally left blank*

# Credits

## Text/Illustration Credits

**Chapter 2** 2.7 Copyright 1993 from *Atmosphere, Weather and Climate*, 6th ed., by Barry et al. Reproduced by permission of Routledge/Taylor & Francis Books.; 2.11 Figure 3.9 from *Atmosphere, Climate and Change* by T. E. Graedel and Paul J. Crutzen, © 1995, 1997 by Lucent Technologies. Reprinted by permission of Henry Holt and Company, LLC.

**Chapter 3** 3.11 From Gene Likens, *An Ecosystem Approach To Aquatic Ecology: Mirror Lake And Its Environment* (New York: Springer-Verlag, 1985). Permission granted by G.E. Likens.

**Chapter 4** 4.5 Reprinted from William A. Pfitsch, “Daily Carbon Gain by *Adenocaulon bicolor* (Asteraceae), a Redwood Forest Understory Herb, in Relation to Its Light Environment” from *Oecologia* 80 (4): 465–470 (1989), fig. 1E, Springer-Verlag © 1989, with kind permission from Springer Science+Business Media B.V.; 4.6 From Hutchinson and Matt, “The distribution of solar radiation within a deciduous forest,” from *Ecological Monographs* 47 185–207, (Spring, 1977). Copyright 1977 by Ecological Society of America. Used with permission of Ecology Society of America; permission conveyed through Copyright Clearance Center, Inc.

**Chapter 5** 5.5 From *Ecology And Evolution Of Darwin’s Finches* by Peter R. Grant. © 1986 Princeton University Press. Reprinted by permission of Princeton University Press.; 5.7a and 5.7b From Boag and Grant, “Intense Natural Selection in a Population of Darwin’s Finches (Geospizinae) in the Galápagos,” *Science* 214: 82–85, (Oct. 1981). Copyright © 1981 AAAS. Reprinted with permission from AAAS.; 5.8a From *Ecology And Evolution Of Darwin’s Finches* by Peter R. Grant. © 1986 Princeton University Press. Reprinted by permission of Princeton University Press.; 5.8b From Boag and Grant, “The classical case of character release: Darwin’s finches (Geospiza) on Isla Daphne Major, Galápagos,” *Biological Journal of the Linnean Society* 22: 243–287, July 1, 1984. Copyright © 1984 The Linnean Society of London. Reproduced with permission.; 5.9 From *Ecology And Evolution Of Darwin’s Finches* by Peter R. Grant. © 1986 Princeton University Press. Reprinted by permission of Princeton University Press.; 5.11 Reprinted by permission from Macmillan Publishers Ltd: T.B. Smith, “Disruptive selection and the genetic basis of bill size polymorphism in the African finch *Pyrenestes*,” *Nature* 363: 618–620, (June 1993). Copyright © 1993.; 5.12 R. H. Baker, “Origin, Classification, and Distribution” from *White-Tailed Deer: Ecology And Management*, ed. by L.K. Halls, © Wildlife Management Institute (WMI). Jane Kaminski, artist. Reprinted by permission of WMI.; 5.15 From *Ecology And Evolution Of Darwin’s Finches* by Peter R. Grant. © 1986 Princeton University Press. Reprinted by permission of Princeton University Press.; 5.16a and 5.16b From *Ecology And Evolution Of Darwin’s Finches* by Peter R. Grant. © 1986 Princeton University Press. Reprinted by permission of Princeton University Press.; 5.17 Reprinted by permission from Macmillan Publishers Ltd: N. Patel, “Evolutionary biology: How to build a longer beak,” *Nature* 442: 515–516 (Aug. 3, 2006). Copyright © 2006.; 5.19 From Sultan and Bazzaz, “Phenotypic Plasticity in *polygnum persicaria*,” *Evolution* 47: 1032–1049, © 1993 Society for the Study of Evolution. Reproduced with permission.; p. 82 Figure by L. Nagel, from “Adaptive Radiation in Sticklebacks: Size, Shape, and Habitat Use Efficiency” by Dolph Schluter, *Ecology* 74 (3): 699–709 (1993). Copyright 1993 by Ecological Society of America. Used with permission of Ecology Society of America; permission conveyed through Copyright Clearance Center, Inc.; p. 83 Adapted from Beren W. Robinson, *Behavior* 137, 2000. © Brill Academic Publishers, Leiden, The Netherlands, 2000. Used by permission.

**Chapter 6** 6.9b Reprinted from Walter Larcher, *Physiological Plant Ecology*, 4e, fig. 2.28, p. 112, © Springer-Verlag Berlin Heidelberg 1975, 1980, 1991, 1995, 2003, with kind permission from Springer Science+Business Media B.V.; 6.10 From Stuart J. Davies, “Photosynthesis of nine pioneer Macaranga species from Borneo in relation to life-history traits,” *Ecology* 79: 2292–2308 (Oct. 1998). Copyright 1998 by Ecological Society of America. Used with permission of Ecology Society of America; permission conveyed through Copyright Clearance Center, Inc.; 6.13a and 6.13b From P. B. Reich et al., “Photosynthesis and respiration rates depend on leaf and root morphology and nitrogen concentration in nine boreal tree species differing in relative growth rate,” *Functional Ecology* 12 (3): 395–40 (June 1998). Copyright © 1998 British Ecological Society. Reproduced with permission.; 6.13c From P. B. Reich et al., “Close association of RGR, leaf and root morphology, seed mass and shade tolerance in seedlings of nine boreal tree species grown in high and low light,” *Functional Ecology* 12 (3): 327–338 (June 1998). Copyright © 1998 British Ecological Society. Reproduced with permission.; 6.14 From C. K. Augspurger, “Light requirements of neotropical tree seedlings,” *Journal of Ecology* 72 (3): 777–795 (Nov. 1984). Copyright © 1984 British Ecological Society. Reproduced with permission.; 6.20 Adapted from Mooney et al., “Photosynthetic capacity of Death Valley plants,” *Carnegie Institute Yearbook* 75: 310–413 (1976). Used with permission from Carnegie Institution.; 6.22 Used with permission of American Society of Plant Biologists, from R. W. Pearcy, “Acclimation of Photosynthetic and Respiratory Carbon Dioxide Exchange to Growth Temperature in *Atriplex lentiformis* (Torr.) Wats.,” *Plant Physiology* 59 (5): 795–799 (May 1977), copyright © 1977 American Society of Plant Biologists, permission conveyed through Copyright Clearance Center, Inc.; 6.24 From *On The Economy Of Plant Form And Function*, edited by Thomas J. Givnish. Copyright © 1986 Cambridge University Press. Reprinted with the permission of Cambridge University Press.; 6.25 Reprinted from Woodward and Smith, “Global photosynthesis and stomatal conductance: Modelling the Controls by Soil and Climate,” *Advances in Botanical Research*, vol. 20: 1–20 (1994), copyright 1994, with permission from Elsevier.; 6.27 From P. B. Reich et al., “Leaf Life-Span in Relation to Leaf, Plant, and Stand Characteristics among Diverse Ecosystems,” *Ecological Monographs* 62 (3): 365–392, (1982). Copyright 1982 by Ecological Society of America. Used with permission of Ecology Society of America; permission conveyed through Copyright Clearance Center, Inc.; p. 109 right Reprinted from Kaoru Kitajima, “Relative importance of photosynthetic traits and allocation patterns as correlates of seedling shade tolerance of 13 tropical trees,” *Oecologia* 98: 419–428, 1994, fig. 3, Springer-Verlag © 1994, with kind permission from Springer Science+Business Media B.V.

**Chapter 7** 7.10 Hill and Wyse, *Animal Physiology*, 2/e, fig 6.7 p 83. Copyright © 1989. Reprinted by permission of Pearson Education.; 7.11 Peterson, et al., “Snake Thermal Energy” from *Snakes: Ecology And Behavior* edited by R. A. Seigel and J. T. Collins (Blackburn Press, 1993). Reprinted by permission of the publisher.; 7.12 Peterson, et al., “Snake Thermal Energy” from *Snakes: Ecology And Behavior* edited by R. A. Seigel and J. T. Collins (Blackburn Press, 1993). Reprinted by permission of the publisher.; 7.22 From P. J. Decoursey, *Cold Spring Harbor Symposia on Quantitative Biology XXV*, fig. 1, p. 50 (1961). Copyright 1961 Cold Spring Harbor Laboratory Press. Reprinted by permission.; 7.23 “Tidal rhythm of a fiddler crab in the laboratory in constant light at a constant temperature” in John D. Palmer, “The Rhythmic Lives of Crabs,” *BioScience* vol. 40, no. 5 (May 1990), pp. 352–358. © 1990 by the American Institute of



Biological Sciences. Published by the University of California Press. Used by permission.; p. 129 top left From Martin Wikelski, "Energy Limits to Body Size in a Grazing Reptile, the Galapagos Marine Iguana," *Ecology* 78 (7): 2204–2217 (Oct. 1997). Copyright 1997 by Ecological Society of America. Used with permission of Ecology Society of America; permission conveyed through Copyright Clearance Center, Inc.; p. 129 bottom From M. Wikelski and F. Trillmich, "Body Size and Sexual Size Dimorphism in Marine Iguanas Fluctuate as a Result of Opposing Natural and Sexual Selection: An Island Comparison," *Evolution* 51 (3): 922–936 (June 1997). Copyright © 1997 Society for the Study of Evolution. Reproduced with permission.; p. 129 top right UN 7.5 Reprinted by permission from Macmillan Publishers Ltd: M. Wikelski et al., "Marine iguanas shrink to survive El Niño," *Nature* 403, p. 37, fig. 2b, (Jan 6, 2000). Copyright © 2000.

**Chapter 8** 8.5 and 8.17 From C. J. Krebs, *Ecology*, 5th edition, © 2001 Pearson Education, Inc., publishing as Pearson Benjamin Cummings. Used by permission of the publisher.

**Chapter 9** 9.5 and 9.13 From V. B. Scheffer, "The Rise and Fall of a Reindeer Herd," *The Scientific Monthly*, 73: 356–362, (Dec. 1951). Reprinted with permission from AAAS.; 9.14 From E.E. Hackney and J.B. McGraw, "Experimental Demonstration of an Allee Effect in American Ginseng," *Conservation Biology* 15 (1): 129–136, (Feb. 2001). Copyright © 2001 Blackwell Publishing. Reproduced with permission.

**Chapter 10** 10.1 From T. H. Clutton-Brock, "Reproductive Effort and Terminal Investment in Iteroparous Animals," *The American Naturalist*, 123 (2): 212–229, (Feb. 1984). Copyright © 1984 The University of Chicago Press. Used by permission of the publisher.; 10.2a From Michael Begon, *Ecology: From Individuals To Ecosystems*, 4e. Copyright © 2005, Wiley-Blackwell. Reproduced with permission.; 10.2b Reprinted from A. C. Tsikliras, "A phenotypic trade-off between previous growth and present fecundity in round sardinella *Sardinella aurita*," *Population Ecology* 49: 221–227 (2007), © The Society of Population Ecology and Springer 2007, with kind permission from Springer Science+Business Media B.V.; 10.3a Adapted from M. B. J. Jones, "Aspects of the biology of the big handed crab," *New Zealand Journal of Zoology* 5:783 (1978), fig. 7. Used by permission of the Royal Society of New Zealand.; 10.3b From L. Wauters and A. Dhondt, "Body weight, longevity, and reproductive success in red squirrels (*Sciurus vulgaris*)," *Journal of Animal Ecology* 58: 637–651 (1989). Copyright © 1989 British Ecological Society. Reproduced with permission.; 10.5 Reprinted by permission from Macmillan Publishers Ltd: David A. Reznick, et al., "Experimentally induced life-history evolution in a natural population," *Nature* 346: 357–359 (July 26, 1990). Copyright © 1990.; 10.10a From P. Werner and W. Platt, "Ecological relationships of co-occurring goldenrods," *The American Naturalist* 110: 959–971 (1976). Copyright © 1976 The University of Chicago Press. Used by permission of the publisher.; 10.10b From T.A. Oksanen et al., "Hormonal Manipulation of Offspring Number: Maternal Effort and Reproductive Costs," *Evolution* 56 (7): 1530–1537 (July 2002). Copyright © 2002 The Society for the Study of Evolution. All rights reserved. Reproduced with permission.; 10.12 *The Evolution Of Life Histories* by Stephen P. Stearns (1992), p. 136, fig. 6.10. By permission of Oxford University Press.; 10.13 N. E. Tamburi and P.R. Martin, "Reaction norms of size and age at maturity of Pomacea canaliculata (Gastropoda: Ampullariidae) under a gradient of food deprivation," *Journal of Molluscan Studies* 75 (1): 19–26, (2009), by permission of Oxford University Press on behalf of The Malacological Society of London.; 10.15b and 10.15c Reprinted by permission from

Macmillan Publishers Ltd: Marion Petrie, "Improved growth and survival of offspring of peacocks with more elaborate trains," *Nature* 371: 598–599, (Oct. 1994). Copyright © 1994.

**Chapter 11** 11.7 L. Wang, "Effects of intraspecific competition on growth and photosynthesis of *Atriplex prostrata*," *Aquatic Botany* 83 (3): 187–192, Nov. 2005.; 11.8 From M. C. Dash and A. K. Hota, "Density Effects on the Survival, Growth Rate, and Metamorphosis of *Rana tigrina* Tadpoles," *Ecology* 61 (5): 1025–1028 (Oct. 1980). Copyright 1980 by Ecological Society of America. Used with permission of Ecology Society of America; permission conveyed through Copyright Clearance Center, Inc.; 11.9 From R. Relyea, "Competitor-Induced Plasticity in Tadpoles: Consequences, Cues, and Connections to Predator-Induced Plasticity," *Ecological Monographs* 72(4): 523–540, (Nov 2002). Copyright 2002 by Ecological Society of America. Used with permission of Ecology Society of America; permission conveyed through Copyright Clearance Center, Inc.; 11.11 From R. N. Hughes and C. L. Griffiths, "Self-Thinning in Barnacles and Mussels: The Geometry of Packing," *The American Naturalist* 132 (4) (Oct. 1988). Copyright © 1988 The University of Chicago Press. Used by permission of the publisher; 11.12 From T. M. Jenkins, et al., "Effects of Population Density on Individual Growth of Brown Trout in Streams," *Ecology* 80 (3): 941–956, (April 1999). Copyright 1999 by Ecological Society of America. Used with permission of Ecology Society of America; permission conveyed through Copyright Clearance Center, Inc.; 11.13 From E. R. Keeley, "Demographic Responses to Food and Space Competition by Juvenile Steelhead Trout," *Ecology* 82(5): 1247–1259, (May 2001). Copyright 2001 by Ecological Society of America. Used with permission of Ecology Society of America; permission conveyed through Copyright Clearance Center, Inc.; 11.14 From "Density-dependent processes and management strategy for the northwest Atlantic harp seal populations" by P. F. Lett, from *Dynamics Of Large Mammal Populations*, edited by C. W. Fowler and T. D. Smith. Reprinted by permission of P. F. Lett.; 11.15 A. M. Schueller et al., "Density dependence of walleye maturity and fecundity in Big Crooked Lake, Wisconsin, 1997–2003," *North American Journal of Fisheries Management*. Copyright © 2005. Reprinted by permission from American Fisheries Society.; 11.18 From J. Krebs, "Territory and breeding density in the great tit *Parus major*," *Ecology* 52: 2–22 (Jan. 1971). Copyright 1971 by Ecological Society of America. Used with permission of Ecology Society of America; permission conveyed through Copyright Clearance Center, Inc.; 11.19 From J. F. Cahill, Jr. and B. B. Casper, "Investigating the Relationship between Neighbor Root Biomass and Belowground Competition: Field Evidence for Symmetric Competition Belowground," *Oikos*, 90 (2): 311–320, (Aug. 2000). © 2000 Nordic Society Oikos. Reproduced with permission.; 11.20 From L. D. Mech et al., "Relationship of Deer and Moose Populations to Previous Winters' Snow," *Journal of Animal Ecology*, 56 (2): 615–627, (June 1987). Copyright © 1987 British Ecological Society. Reproduced with permission.; p. 215 top left and top right From T. S. Sillett et al., "Impacts of a Global Climate Cycle on Population Dynamics of a Migratory Songbird," *Science* 288 (June 16, 2000). Copyright © 2000 AAAS. Reprinted with permission from AAAS.

**Chapter 12** 12.2b–d From P. R. Ehrlich and D. D. Murphy, "Conservation Lessons from Long-Term Studies of Checkerspot Butterflies," *Conservation Biology*, Vol. 1, No. 2: 122–131 (Aug. 1987). Copyright © 1987 Blackwell Publishing. Reproduced with permission.; 12.4b–d and 12.5 From O. Kindvall and I. Ahlen, "Geometrical Factors and Metapopulation Dynamics of the Bush Cricket, *Metrioptera bicolor* Philippi (Orthoptera: Tettigoniidae),"

Conservation Biology, 6 (4): 520–529, (Dec. 1992). © 1992 Blackwell Publishing. Reproduced by permission of the publisher.; 12.6 From C. D. Thomas and T. M. Jones, “Partial recovery of a Skipper Butterfly (*Hesperia comma*) from Population Refuges: Lessons for Conservation in a Fragmented Landscape,” *Journal of Animal Ecology*, 62 (3): 472–481, (July 1993). Copyright © 1993 British Ecological Society. Reproduced with permission.; 12.8 From Oskar Kindvall, “Habitat Heterogeneity and Survival in a Bush Cricket Metapopulation,” *Ecology*, 77(1): 207–214, (Jan. 1996). Copyright 1996 by Ecological Society of America. Used with permission of Ecology Society of America; permission conveyed through Copyright Clearance Center, Inc.; 12.9 From Susan Harrison, et al., “Distribution of the Bay Checkerspot Butterfly, *Euphydryas editha bayensis*: Evidence for a Metapopulation Model,” *The American Naturalist*, 132 (3): 360–382, (Sept. 1988). Copyright © 1988 The University of Chicago Press. Used by permission of the publisher.; 12.10 From C. D. Thomas and T. M. Jones, “Partial recovery of a Skipper Butterfly (*Hesperia comma*) from Population Refuges: Lessons for Conservation in a Fragmented Landscape,” *Journal of Animal Ecology*, 62 (3): 472–481, (July 1993). Copyright © 1993 British Ecological Society. Reproduced with permission.

**Chapter 13** 13.10 From J. B. Grace and R.B. Wetzel, “Habitat Partitioning and Competitive Displacement in Cattails (*Typha*): Experimental Field Studies,” *The American Naturalist*, Vol. 118, No. 4: 463–474, (Oct 1981). Copyright © 1981 The University of Chicago Press. Used by permission of the publisher.

**Chapter 14** 14.3 D. Tilman et al., “Competition and Nutrient Kinetics Along a Temperature Gradient: An Experimental Test of a Mechanistic Approach to Niche Theory,” *Limnology and Oceanography*, 26 (6): 1020–1033, (Nov. 1981). © 1981 by the Association for the Sciences of Limnology and Oceanography, Inc. Reprinted by permission of ASLO.; 14.4 Adapted from F. A. Bazzaz, *Plants In Changing Environments*. Copyright © 1996 Cambridge University Press. Reprinted with the permission of Cambridge University Press.; 14.7 From M. P. Austin et al., “Relative Growth of Six Thistle Species Along a Nutrient Gradient with Multispecies Competition,” *Journal of Ecology* 73(2): 667–684, (July 1985). Copyright © 1985 British Ecological Society. Reproduced with permission.; 14.8 From M. P. Austin et al., “Relative Growth of Six Thistle Species Along a Nutrient Gradient with Multispecies Competition,” *Journal of Ecology* 73(2): 667–684, (July 1985). Copyright © 1985 British Ecological Society. Reproduced with permission.; 14.9 From R. W. S. Fynn et al., “Plant strategies and trait trade-offs influence trends in competitive ability along gradients of soil fertility and disturbance,” *Journal of Ecology* 93: 384–394 (2005). Copyright © 2005 British Ecological Society. Reproduced with permission.; 14.10 From N. C. Emery et al., “Competition and Salt-Marsh Plant Zonation: Stress Tolerators May Be Dominant Competitors,” *Ecology* 82 (9): 2471–2485, (Sep. 2001). Copyright 2001 by Ecological Society of America. Used with permission of Ecology Society of America; permission conveyed through Copyright Clearance Center, Inc.; 14.12 From Jessica Gurevitch, “Competition and the Local Distribution of the Grass *Stipa Neomexicana*,” *Ecology* 67 (1): 46–57, (Feb. 1986). Copyright 1986 by Ecological Society of America. Used with permission of Ecology Society of America; permission conveyed through Copyright Clearance Center, Inc.; 14.13 From D. I. Bolnick, et al., “Ecological release from interspecific competition leads to decoupled changes in population and individual niche width,” *Proceedings of The Royal Society B* 277: 1789–1797 (17 Feb 2010). © The Royal Society. Reprinted by permission of The Royal Society.; 14.14 From N. K. Wieland, F. A. Bazzaz, “Physiological Ecology of Three Codominant Successional Annuals,”

*Ecology*: 56 (3): 681–688, (May 1975). Copyright 1975 by Ecological Society of America. Used with permission of Ecology Society of America; permission conveyed through Copyright Clearance Center, Inc.; 14.15 From T. Dayan et al., “Feline Canines: Community-Wide Character Displacement Among the Small Cats of Israel,” *The American Naturalist* 136 (1): 39–60, (July 1990). Copyright © 1990 The University of Chicago Press. Used by permission of the publisher.; 14.16 From *Ecology And Evolution Of Darwin’s Finches* by Peter R. Grant. © 1986 Princeton University Press. Reprinted by permission of Princeton University Press.; p. 259 From K.N. Suding, D. Goldberg, “Do Disturbances Alter Competitive Hierarchies? Mechanisms of Change Following Gap Creation,” *Ecology* 82 (8): 2133–2149, (Aug. 2001). Copyright 2001 by Ecological Society of America. Used with permission of Ecology Society of America; permission conveyed through Copyright Clearance Center, Inc.

**Chapter 15** 15.4a B. W. Frost, “Effects of Size and Concentration of Food Particles on the Feeding Behavior of the Marine Planktonic Copepod *Calanus Pacificus*,” *Limnology and Oceanography*, 17 (6): 805–814 (Nov 1972). © 1972 by the Association for the Sciences of Limnology and Oceanography, Inc. Reprinted by permission of ASLO.; 15.4b From W. Jedrzejewski, et al., “Weasel Population Response, Home Range, and Predation on Rodents in a Deciduous Forest in Poland,” *Ecology* 76 (1): 179–195, (Jan 1995). Copyright 1995 by Ecological Society of America. Used with permission of Ecology Society of America; permission conveyed through Copyright Clearance Center, Inc.; 15.4c From R.D. Seitz, et al., “Density-dependent Predation, Habitat Variation, and the Persistence of Marine Bivalve Prey,” *Ecology* 82 (9): 2435–2451, (Sept. 2001). Copyright 2001 by Ecological Society of America. Used with permission of Ecology Society of America; permission conveyed through Copyright Clearance Center, Inc.; 15.6b From R. N. Hughes and M. I. Croy, “An Experimental Analysis of Frequency-Dependent Predation (Switching) in the 15-Spined Stickleback, *Spinachia spinachia*,” *Journal of Animal Ecology*, 62 (2): 341–352, (Apr. 1993). Copyright © 1993 British Ecological Society. Reproduced with permission.; 15.8 From M. Salamolard, et al., “Responses of an Avian Predator to Variations in Prey Density at a Temperate Latitude,” *Ecology* 81 (9): 2428–2441, (2000). Copyright 2000 by Ecological Society of America. Used with permission of Ecology Society of America; permission conveyed through Copyright Clearance Center, Inc.; 15.9 From W. Jedrzejewski, et al., “Weasel Population Response, Home Range, and Predation on Rodents in a Deciduous Forest in Poland,” *Ecology* 76 (1): 179–195, (Jan 1995). Copyright 1995 by Ecological Society of America. Used with permission of Ecology Society of America; permission conveyed through Copyright Clearance Center, Inc.; 15.10 From N. B. Davies, “Prey Selection and Social Behaviour in Wagtails (*Aves: Motacillidae*),” *Journal of Animal Ecology* 46 (1): 37–57, (Feb. 1977). Copyright © 1977 British Ecological Society. Reproduced with permission.; 15.21 From K. S. Williams, et al., “Emergence of 13-Yr Periodical Cicadas (*Cicadidae: Magicicada*): Phenology, Mortality, and Predators Satiation,” *Ecology* 74 (4): 1143–1152, (June 1993). Copyright 1993 by Ecological Society of America. Used with permission of Ecology Society of America; permission conveyed through Copyright Clearance Center, Inc.; 15.27 “Consequences of reduced activity in the mayfly *Baetis tricaudatus*” in Steven L. Lima, “Nonlethal Effects in the Ecology of Predator-Prey Interactions,” *Bioscience* vol. 48, no. 1 (January 1998), © 1998 by the American Institute of Biological Sciences. Published by the University of California Press. Used by permission.; 15.28 From E. H. Nelson et al., “Predators Reduce Prey Population Growth by Inducing Changes in Prey Behavior,” *Ecology*, 85 (7): 1853–1858,

(July 2004). Copyright 2004 by Ecological Society of America. Used with permission of Ecology Society of America; permission conveyed through Copyright Clearance Center, Inc.; p. 291 right From R. Relyea, "The Lasting Effects of Adaptive Plasticity: Predator-Induced Tadpoles Become Long-Legged Frogs," *Ecology* 82 (7): 1947–1955 (Jul 2001). Copyright 2001 by Ecological Society of America. Used with permission of Ecology Society of America; permission conveyed through Copyright Clearance Center, Inc.

**Chapter 16** 16.6 From K. D. Lafferty and A. K. Morris, "Altered Behavior of Parasitized Killifish Increases Susceptibility to Predation by Bird Final Hosts," *Ecology* 77 (5): 1390–1397, (July 1996). Copyright 1996 by Ecological Society of America. Used with permission of Ecology Society of America; permission conveyed through Copyright Clearance Center, Inc.; p. 308 From J. J. Stachowicz and M. E. Hay, "Mutualism and Coral Persistence: The Role of Herbivore Resistance to Algal Chemical Defense," *Ecology* 80 (6): 2085–2101, (Sep 1999). Copyright 1999 by Ecological Society of America. Used with permission of Ecology Society of America; permission conveyed through Copyright Clearance Center, Inc.; p. 309 "The crab *Mithrax* forceps within the branches of the coral *Oculina obscura*" in John J. Stachowicz, "Mutualism, Facilitation, and the Structure of Ecological Communities," *BioScience* vol. 51 no. 3 (March 2001), pp. 235–246. © 2001 by the American Institute of Biological Sciences. Published by the University of California Press. Used by permission.; p. 314 From Peter J. Morin, *Community Ecology*. Copyright © 1999 by Blackwell Science, Inc. Reproduced with permission.

**Chapter 17** 17.10 From R. H. Whittaker, "Vegetation of the Great Smoky Mountains," *Ecological Monographs*, 26 (1): 1–80 (Jan. 1956). Copyright 1956 by Ecological Society of America. Used with permission of Ecology Society of America; permission conveyed through Copyright Clearance Center, Inc.; 17.11 J. M. Dyer, "Revisiting the Deciduous Forests of Eastern North America," *BioScience* 56 (4): 341–352, (April 2006). Copyright © 2006 American Institute of Biological Sciences. Used by permission.

**Chapter 18** 18.3 and 18.7 From C. J. Krebs, *Ecology*, 5th edition, © 2001 Pearson Education, Inc., publishing as Pearson Benjamin Cummings. Used by permission of the publisher.; 18.8 Reprinted from Thomas Smith and Michael Huston, "A Theory of the Spatial and Temporal Dynamics of Plant Communities," *Vegetatio* Vol. 83, No. 1/2, *Progress in Theoretical Vegetation Science* (Oct 1, 1989): pp. 49–69; fig. 7, p. 58, © 1989 Kluwer Academic Publishers, with kind permission from Springer Science+Business Media B.V.; 18.12 From G. P. Robertson, et al., "Spatial Variability in a Successional Plant Community: Patterns of Nitrogen Availability," *Ecology* 69 (5): 1517–1524 (Oct. 1988). Copyright 1988 by Ecological Society of America. Used with permission of Ecology Society of America; permission conveyed through Copyright Clearance Center, Inc.; 18.13 From MacArthur and MacArthur, "On Bird Species Diversity," *Ecology* 42 (3): 594–598, (July 1961). Copyright 1961 by Ecological Society of America. Used with permission of Ecology Society of America; permission conveyed through Copyright Clearance Center, Inc.; 18.14 From Michael Huston, "Soil Nutrients and Tree Species Richness in Costa Rican Forests," *Journal of Biogeography*, 7 (2): 147–157 (Jun 1980). Copyright © 1980 Blackwell Publishing. Reproduced with permission.; p. 338 From M. D. Bertness and S. D. Hacker, "Physical Stress and Positive Associations Among Marsh Plants," *The American Naturalist* 144 (3): 363–372, (Sep 1994). Copyright © 1994 The University of Chicago Press. Used by permission of the publisher.; p. 339 right From S. D. Hacker and M. D. Bertness, "Trophic Consequences of a Positive Plant Interaction,"

*The American Naturalist* 148 (3): 559–575, (Sep 1996). Copyright © 1996 The University of Chicago Press. Used by permission of the publisher.

**Chapter 19** 19.2 From Wayne P. Sousa, "Experimental Investigations of Disturbance and Ecological Succession in a Rocky Intertidal Algal Community," *Ecological Monographs*, 49 (3): 227–254 (Sep 1979). Copyright 1979 by Ecological Society of America. Used with permission of Ecology Society of America; permission conveyed through Copyright Clearance Center, Inc.; 19.3 Reprinted from Bormann and Likens, *PATTERN AND PROCESS IN A FORESTED ECOSYSTEM* (1979), p. 117, fig. 4-4B, Springer-Verlag New York © 1979, with kind permission from Springer Science+Business Media B.V.; 19.5a From R. L. Crocker and J. Major, "Soil development in relation to vegetation and surface age at Glacier Bay, Alaska," *Journal of Ecology* 43:427–488, (July 1955). Copyright © 1955 British Ecological Society. Reproduced with permission.; 19.5c Erik A. Hobbie, "(Controls on) Nitrogen cycling during primary succession in Glacier Bay, Alaska," University of Virginia, 1994 (Masters thesis). Used by permission of the author.; 19.11 From R. L. Crocker and J. Major, "Soil development in relation to vegetation and surface age at Glacier Bay, Alaska," *Journal of Ecology* 43:427–488, (July 1955). Copyright © 1955 British Ecological Society. Reproduced with permission.; 19.17 From W. G. Crumpton and R.G. Wetzel, "Effects of Differential Growth and Mortality in the Seasonal Succession of Phytoplankton Populations in Lawrence Lake, Michigan," *Ecology* 63 (6): 1729–1739 (Dec. 1982). Copyright 1982 by Ecological Society of America. Used with permission of Ecology Society of America; permission conveyed through Copyright Clearance Center, Inc.; 19.19 Reprinted from M. B. Davis, "Quaternary history and the stability of forest communities" from *Forest Succession: Concepts And Applications*, edited by D. C. West et al., (1981), figs 10.3, 10.8, 10.9, 10.11, © 1981 by Springer-Verlag New York, Inc., with kind permission from Springer Science+Business Media B.V.; 19.20 Reprinted from P. A. Delcourt, "Vegetation maps for Eastern North America: 40,000 yr BP to present," from *Geobotany II* edited by Robert Romans, © 1981 Plenum Press, with kind permission from Springer Science+Business Media B.V.; 19.21 Reprinted from Austin and Smith, "A New Model for the Continuum Concept," *Vegetatio* Vol. 8e, No. 1/2, *Progress in Theoretical Vegetation Science*: 35–47 (Oct. 1, 1989), fig. 1, p. 37, Copyright © 1989, Springer Netherlands, with kind permission from Springer Science+Business Media B.V.; 19.22b From R. H. Whittaker, "Vegetation of the Great Smoky Mountains," *Ecological Monographs*, 26 (1): 1–80 (Jan. 1956). Copyright 1956 by Ecological Society of America. Used with permission of Ecology Society of America; permission conveyed through Copyright Clearance Center, Inc.

**Chapter 20** 20.3 Reprinted from *Biological Conservation*, Vol. 17, N. R. Webb and L. E. Haskins, "An Ecological survey of the heathlands in the Poole Basin, Dorset, England in 1978," pp. 281–296, copyright © 1980, with permission from Elsevier.; 20.4 From *Order Upon The Land* by H. B. Johnson (1976), p. 118 fig. 6.1. © 1976 by Oxford University Press, Inc. By permission of Oxford University Press, Inc.; 20.10 From C.S. Robbins et al., "Habitat Area Requirements of Breeding Forest Birds of the Middle Atlantic States," *Wildlife Monographs*, No. 103, *Habitat Area Requirements of Breeding Forest Birds of the Middle Atlantic States*: 3–34, (July 1989). Copyright © 1989 Allen Press. Reproduced with permission.; 20.12 From F. W. Preston, "The Canonical Distribution of Commonness and Rarity: Part I," *Ecology*, 43 (2): 185–215, (Apr 1962). Copyright 1962 by Ecological Society of America. Used with permission of Ecology Society of America; permission conveyed through Copyright Clearance Center, Inc; p. 386 Figures 1 and 2 and p. 38 left Figures 1

and 2 From “Corridors Affect Plants, Animals, and Their Interactions in Fragmented Landscapes,” by J. J. Tewksbury et al., *Proceedings of the National Academy of Sciences* 99 (20): 12923–12926 (Oct. 1, 2002). Copyright 2002 National Academy of Sciences, U.S.A. Used by permission.; p. 387 right From Nick Haddad, “Corridor and Distance Effects on Interpatch Movements: A Landscape Experiment with Butterflies,” *Ecological Applications*, 9 (2): 612–622 (May 1999). Copyright 1999 by Ecological Society of America. Used with permission of Ecology Society of America; permission conveyed through Copyright Clearance Center, Inc.

**Chapter 21** 21.2 Reprinted from Helmut Lieth, “Primary Production: Terrestrial Ecosystems,” *Human Ecology* 1(4): 303–332 (Sep 1973), fig. 5A, p. 326 and fig. 4A, p. 324, Copyright © 1973 Springer Netherlands, with kind permission from Springer Science+Business Media B.V.; 21.3 Reprinted from Lieth and Whittaker, “Modeling primary productivity of the world,” *Primary Productivity of the Biosphere: Ecological Studies*, Vol. 14 (1975), copyright © 1975 Springer-Verlag, with kind permission from Springer Science+Business Media B.V.; 21.4 From R.H. MacArthur and J.H. Connell, *The Biology Of Populations* (Wiley, © 1966). Reproduced with permission of John Wiley & Sons, Inc.; 21.6 From John Pastor et al., “Aboveground Production and N and P Cycling Along a Nitrogen Mineralization Gradient on Blackhawk Island, Wisconsin,” *Ecology* 65 (1): 256–268 (Feb 1984). Copyright 1984 by Ecological Society of America. Used with permission of Ecology Society of America; permission conveyed through Copyright Clearance Center, Inc.; 21.7 From Peter Reich, et al., “Fire and Vegetation Effects on Productivity and Nitrogen Cycling across a Forest-Grassland Continuum,” *Ecology* 82 (6): 1703–1719 (June 2001). Copyright 2001 by Ecological Society of America. Used with permission of Ecology Society of America; permission conveyed through Copyright Clearance Center, Inc.; 21.9 From John A. Downing, “Meta-Analysis of Marine Nutrient-Enrichment Experiments: Variation in the Magnitude of Nutrient Limitation,” *Ecology* 80 (4): 1157–1167 (June 1999). Copyright 1999 by Ecological Society of America. Used with permission of Ecology Society of America; permission conveyed through Copyright Clearance Center, Inc.; 21.11 P. J. Dillon, “The Phosphorus-Chlorophyll Relationship in Lakes,” *Limnology and Oceanography*, 19 (5): 767–773 (Sep 1974). © 1974 by the Association for the Sciences of Limnology and Oceanography, Inc. Reprinted by permission of ASLO.; 21.14 T. H. Sparks and J. M. Potts, “Late Summer Grass Production,” *Agriculture and Forestry (Indicators of Climate Change in the UK)*, Feb. 1999, updated Oct. 2003.; 21.16 Reprinted from S. Gower, et al., “Aboveground net primary production decline with stand age: potential causes,” *Trends in Ecology & Evolution*, 11(9): 378–382 (Sept. 1, 1996), copyright 1996, with permission from Elsevier.; 21.17 Reprinted by permission from Macmillan Publishers Ltd: S.J. McNaughton, et al., “Ecosystem-level patterns of primary productivity and herbivory in terrestrial habitats,” *Nature* 341: 142–144, (Sep 1989). Copyright © 1989.; 21.21 Reprinted by permission from Macmillan Publishers Ltd: H. Cyr and M. Pace, “Magnitude and patterns of herbivory in aquatic and terrestrial ecosystems,” *Nature* 361: 148–150 (Jan. 1993). Copyright © 1993.; 21.22 From Michael Begon, *Ecology: Individuals, Populations And Communities*. Copyright © 1986, 1990, 1996 by Blackwell Science, Ltd. Reproduced with permission.; p. 409 Reprinted by permission from Macmillan Publishers Ltd: Marc L. Imhoff, et al., “Global patterns in human consumption of net primary production,” *Nature* 429: 870–873, (June 2004). Copyright © 2004.

**Chapter 22** 22.9 Ivan Valiela, *Marine Biology Processes*, 2nd ed., p. 301. Copyright © 1984 Ivan Valiela. Used by permission of the author.; 22.21 From John Pastor et al., “Aboveground Production

and N and P Cycling Along a Nitrogen Mineralization Gradient on Blackhawk Island, Wisconsin,” *Ecology* 65 (1): 256–268 (Feb 1984). Copyright 1984 by Ecological Society of America. Used with permission of Ecology Society of America; permission conveyed through Copyright Clearance Center, Inc.; p. 429 left Reprinted from Edward A.G. Schuur, “The Effect of Water on Decomposition Dynamics in Mesic to Wet Hawaiian Montane Forests,” *Ecosystems* 4 (3): 259–273 (Apr. 2001), adapted from parts of fig. 2, p. 265 and fig. 6, p. 268, copyright © 2001 Springer New York with kind permission from Springer Science+Business Media B.V.; p. 429 right From Edward A. G. Schuur, “Productivity and Global Climate Revisited: The Sensitivity of Tropical Forest Growth to Precipitation,” *Ecology* 84 (5): 1165–1170 (May 2003). Copyright 2003 by Ecological Society of America. Used with permission of Ecology Society of America; permission conveyed through Copyright Clearance Center, Inc.

**Chapter 23** p. 458 “Hypothesized response of temperate forest ecosystems to long-term nitrogen additions,” in John Aber, et al., “Nitrogen Saturation in Temperate Forest Ecosystems,” *BioScience* vol. 48, no. 11 (November 1998), pp. 921–934. © 1998 by the American Institute of Biological Sciences. Published by the University of California Press. Used by permission.

**Chapter 24** 24.1 D. M. Olson et al., “Terrestrial Ecoregions of the World: A New Map of Life on Earth,” *BioScience*: 51 (11): 933–938, (Nov. 2001). Copyright © 2001 American Institute of Biological Sciences. Used by permission.; 24.5, 24.12, 24.14, 24.19, 24.23, and 24.27 Reprinted from O. W. Archibold, *Ecology Of World Vegetation*, fig. 2.1, p. 15; fig. 3.1, p. 60; fig. 7.1, p. 204; fig. 4.1, p. 95; fig. 5.1, p. 131; fig. 6.1, p. 165; fig. 8.1, p. 238; fig. 9.1, p. 280, © 1995 Chapman and Hall, with kind permission from Springer Science+Business Media B.V.; 24.15 From O. J. Reichman, *Konza Prairie, A Tallgrass Natural History*. Used by permission of University Press of Kansas.; 24.28 “Large scale distribution of temperate forest communities in the eastern United States,” in James M. Dyer, “Revisiting the Deciduous Forests of Eastern North America,” *BioScience* vol. 56 no. 4 (April 2006), pp. 341–352. © 2006 by the American Institute of Biological Sciences. Published by the University of California Press. Used by permission.; 24–32 S. Payette, “The Subarctic Forest-Tundra: the structure of a biome in a changing climate,” *BioScience* 51 (9): 709–718, (Sept. 2001). Copyright © 2001 American Institute of Biological Sciences. Used by permission.

**Chapter 27** 27.6a and 27.6b From David J. Currie, “Energy and Large-Scale Patterns of Animal- and Plant-Species Richness,” *The American Naturalist* 137 (1): 27–49, (Jan. 1991). Copyright © 1991 The University of Chicago Press. Used by permission of the publisher.; 27.6c From Robert Edward Cook, “Variation in species density of North American birds,” *Systematic Zoology* 18: 63–84 (Mar 1969) © 1969 Society of Systematic Biologists. Published by Taylor & Francis, Ltd. for the Society of Systematic Biologists.; 27.7 Reprinted from Prasad and Iverson. “Potential Changes in Tree Species Richness and Forest Community Types following Climate Change,” *Ecosystems* 4 (April 2001), fig. 1, p. 192, © 2001 Springer-Verlag, with kind permission from Springer Science+Business Media B.V.; 27.9 From David J. Currie, “Energy and Large-Scale Patterns of Animal- and Plant-Species Richness,” *The American Naturalist* 137 (1): 27–49, (Jan. 1991). Copyright © 1991 The University of Chicago Press. Used by permission of the publisher; 27.8 Reprinted by permission from Macmillan Publishers Ltd: D.J. Currie and V. Paquin, “Large-scale biogeographical patterns of species richness of trees,” *Nature* 326–327 (Sept. 1987). Copyright © 1987.; 27.11a From Martin V. Angel, “Biodiversity of the Pelagic Ocean,”

Conservation Biology, 7(4): 760–772, (Dec. 1993). Copyright © 1993, John Wiley and Sons. Reproduced with permission.

**Chapter 28** 28.6 From J. Ewel et al., “Slash and Burn Impacts on a Costa Rican Wet Forest Site,” *Ecology* 62 (3): 816–829, (June 1981). Copyright 1981 by Ecological Society of America. Used with permission of Ecology Society of America; permission conveyed through Copyright Clearance Center, Inc.; 28.17 and 28.18 Reprinted with permission from *Ecological Knowledge And Environmental Problem Solving: Concepts And Case Studies*, 1986, by the National Academy of Sciences, Courtesy of the National Academies Press, Washington, D.C.; 28.19 From P. M. Vitousek and P. A. Matson, “Disturbance, Nitrogen Availability, and Nitrogen Losses in an Intensively Managed Loblolly Pine Plantation,” *Ecology* 66 (4): 1360–1376, (Aug. 1985). Copyright 1985 by Ecological Society of America. Used with permission of Ecology Society of America; permission conveyed through Copyright Clearance Center, Inc.; 28.25 From R. Chuenpagdee et al., “Shifting gears: assessing collateral impacts of fishing methods in US waters,” *Frontiers in Ecology and the Environment* 1(10): 517–524 (Dec. 2003). Copyright 2003 by Ecological Society of America. Used with permission of Ecology Society of America; permission conveyed through Copyright Clearance Center, Inc.; 28.26 J. A. Hutchings and J. D. Reynolds, “Marine Fish population collapses: consequences for recovery and extinction risk,” *BioScience* 54 (4): 297–309 (April 2004). Copyright © 2004, American Institute of Biological Sciences. Used by permission.

**Chapter 29** 29.2 Based on data, Statistical Analysis of Global Forest Conservation. Iremonger, S., C. Ravilious and T. Quinton (eds.). 1997. A Global Overview Of Forest Conservation. Including: GIS Files Of Forests And Protected Areas, Version 2. CD-ROM. CIFOR and WCMC, Cambridge, U.K. (updated.); 29.5 From Terence P. Hughes, “Catastrophes, Phase Shifts, and Large-Scale Degradation of a Caribbean Coral Reef,” *Science* 265: 1547–1551 (Sep. 9, 1994). Copyright © 1994 AAAS. Reprinted with permission from AAAS.; 29.13 From C. Schonewald-Cox et al., *Genetics And Conservation: A Reference For Managing Wild Animal And Plant Populations*, © 1983 Pearson Education, Inc., publishing as Pearson Benjamin Cummings. Used by permission of the publisher.; 29.14 From Joel Berger, “Persistence of Different-Sized Populations: An Empirical Assessment of Rapid Extinctions in Bighorn Sheep,” *Conservation Biology* 4(1): 91–98 (Mar, 1990). Copyright © 1990 Blackwell Publishing. Reproduced with permission.

**Chapter 30** 30.2 Climate Change 2001: The Scientific Basis. Working Group I Contribution to the Third Assessment Report of the Intergovernmental Panel on Climate Change, Summary for Policy Makers, fig. 2a. Cambridge University Press.; 30.5 From “The Residual Carbon Sink” by Richard Houghton, <http://www.whrc.org/global/carbon/residual.html>, figure 1. Used by permission of Richard Houghton.; 30.7 and 30.8 From H. Poorter and M. Pérez-Soba, “Plant Growth at Elevated CO<sub>2</sub>,” Vol. 2, *The Earth system: biological and ecological dimensions of global environmental change*, edited by H. A. Mooney and J. G. Canadell, from *Encyclopedia Of Global Environmental Change*. Copyright © John Wiley & Sons, Ltd, Chichester, 2002. Reproduced with permission.; 30.10 From Finzi et al., “Progressive Nitrogen Limitation of Ecosystem Process Under Elevated CO<sub>2</sub> in Warm-Temperate Forest,” *Ecology* 87: 15–25, (January, 2006). Copyright 2006 by Ecological Society of America. Used with permission of Ecology Society of America; permission conveyed through Copyright Clearance Center, Inc. 30.11 *Climate Change 2001: The Scientific Basis*. Working Group I Contribution to the Third Assessment Report of the Intergovernmental Panel on Climate Change, Summary for Policy Makers, fig. 2a. Cambridge University Press.; 30.12 and 30.13 From *Climate Change 2007: The Physical Science Basis*. Working Group I

Contribution to the Fourth Assessment Report of the Intergovernmental Panel on Climate Change, Figure 10.9; Figure 10.5; FAQ 5.1, Figure 1. Cambridge University Press. Used by permission of IPCC.; 30.14 From *Climate Change 1995—Impacts, Adaptation and Mitigation of Climate Change: Scientific-Technical Analyses*. Contribution of Working Group II to the Second Assessment Report of the Intergovernmental Panel on Climate Change, Figure 1-1. Cambridge University Press. Used by permission of IPCC.; 30.15 Prasad, A. M. and L. R. Iverson. 1999-ongoing. A Climate Change Atlas for 80 Forest Tree Species of the Eastern United States [database]. <http://www.fs.fed.us/ne/delaware/atlas/index.html>, Northeastern Research Station, USDA Forest Service, Delaware, Ohio.; 30.17 Reprinted from Prasad and Iverson. “Potential Changes in Tree Species Richness and Forest Community Types following Climate Change,” *Ecosystems* 4 (April 2001), fig. 1, p. 192, © 2001 Springer-Verlag, with kind permission from Springer Science+Business Media B.V.; 30.18 Reprinted from David Currie, “Projected Effects of Climate Change on Patterns of Vertebrate and Tree Species Richness in the Conterminous United States,” *Ecosystems* (2001): 216–225, fig. 5, p. 221 and fig. 7, p. 222; © 2001 Springer-Verlag, with kind permission from Springer Science+Business Media B.V.; 30.21 From *Climate Change 2007: The Physical Science Basis*. Working Group I Contribution to the Fourth Assessment Report of the Intergovernmental Panel on Climate Change, Figure 10.9; Figure 10.5; FAQ 5.1, Figure 1. Cambridge University Press. Used by permission of IPCC.; 30.23b Reprinted from M.T. Yoshino et al., “The Effects of Climatic Variations on Agriculture in Japan,” in *The Impact Of Climate Variations On Agriculture: Assessments in Cool Temperature and Cold Regions*, Vol. 1, pp. 723–868, edited by M.L. Parry et al., © 1988 Kluwer Academic Publishers, with kind permission from Springer Science+Business Media B.V.

## Photo Credits

**Part Openers** Part One p. 17 left Naturepix/Alamy; Part One p. 17 right Danita Delimont/Alamy; Part Two p. 68 Wendy Dennis/FLPA; Part Two p. 69 Thorsten Milse/Photolibrary; Part Three p. 149 left Sunset/FLPA; Part Three p. 149 right Jim Wark/Photolibrary; Part Four p. 237 both Ron Peakall; Part Five p. 319 left Reidar Hahn/Fermilab Visual Media Services; Part Five p. 319 right Paul Lawrence/Photolibrary; Part Six p. 395a Frans Lanting/Alamy; Part Six p. 395b Bill Brooks/Alamy; Part Seven p. 465 both Thomas M. Smith; Part Eight p. 539 left Getty Images; Part Eight p. 539 right Aerial Archives/Alamy

**Chapter 1** Chapter Opener Rapho Agence/Photo Researchers, Inc.; 1.1 NASA/Johnson Space Center; 1.2 Thomas M. Smith; 1.3 top to bottom: Bjorn Forsberg/Nature Picture Library; Motorolka/Shutterstock; Jason Lindsey/Alamy; Dennis Frates/Alamy; Jim Richardson/National Geographic Stock; Biosphere NASA/Goddard Space Flight Center; 1.6 David Tilman; 1.7 Thomas M. Smith

**Chapter 2** Chapter Opener Dr. Paul A. Zahl/Photo Researchers, Inc.; 2.9 Graeme Shannon/Shutterstock; 2.22a Robert Leo Smith; 2.22b Richard H. Stewart/National Geographic Image Collection

**Chapter 3** Chapter Opener Beyond/SuperStock; 3.5 Felix Büscher/agefotostock; 3.6 Franco Banfi/Photolibrary.com; 3.14a Hydromet/Shutterstock; 3.14b Jens Stolt/Shutterstock

**Chapter 4** Chapter Opener Radius Images/Jupiter Images; 4.1a Gregory Ochocki/Photo Researchers, Inc.; 4.1b Morey Milbradt/Brand X Pictures/Jupiter Images; 4.8 Joyce Photographics/Photo Researchers, Inc.; 4.12 top row left to right: Loyal A. Quandt/USDA National Soil Survey Center; U.S. Department of Agriculture; U.S. Department of Agriculture; 4.12 2nd row left to right: Loyal A. Quandt/USDA National Soil Survey Center; U.S. Department of

Agriculture; Loyal A. Quandt/USDA National Soil Survey Center; 4.12 3rd row left to right: U.S. Department of Agriculture; Loyal A. Quandt/USDA National Soil Survey Center; U.S. Department of Agriculture; 4.12 4th row left to right: Loyal A. Quandt/USDA National Soil Survey Center; U.S. Department of Agriculture USDA National Soil Survey Center; 4.14a Mark Edwards/Photolibary; 4.14b Leslie D. Mcfadden

**Chapter 5** Chapter Opener a Kim Taylor/Nature Picture Library; b Adam Gasch/agefotostock; c Frank Greenaway/DK Images; 5.1 The Print Collector/Alamy; 5.11a Thomas B. Smith; p. 82 Kathryn Peiman; 5.16a David Hosking/FLPA; 5.16b Michael Stubblefield/Alamy; 5.16c David Hosking/FLPA

**Chapter 6** Chapter Opener Ulrich Doering/Alamy; p. 108 Fig 1 Gregory G. Dimijian, M.D./Photo Researchers, Inc.; p. 108 upper right Kaoru Kitajima; 6.28 SuperStock/agefotostock

**Chapter 7** Chapter Opener Hans Thomashoff/Peter Arnold Images/Photolibary; 7.1a Biosphoto/Leroy Christian/Photolibary; 7.1b David B. Fleetham/Photolibary; 7.1c Robert Hardholt/Shutterstock; 7.1d Daniel Heuclin/agefotostock; 7.3c Comstock/Thinkstock; 7.4b Ian R. MacDonald; p. 128 Fig 1 Michel Gunther/Biosphoto/Photo Researchers, Inc.; p. 128 upper right Martin Wikelski; 7.18a image100/SuperStock; 7.19a Karl Ammann/Getty Images; 7.21 Melissa Kaplan

**Chapter 8** Chapter Opener Mazzzur/Shutterstock; 8.2a Ralf Hettich/agefotostock; 8.2b Marty Snyderman/Corbis; 8.5 Michael Lueth; 8.8 Thomas M. Smith; 8.9b Thomas M. Smith; 8.12a Zastol'skiy Victor Leonidovich/Shutterstock; p. 162 Fig 1 Steve Nudson/Alamy; p. 162 Fig 2a Mark Robinson, USDA Forest Service, Bugwood.org; p. 162 Fig 2b John Burnley/Photo Researchers, Inc.

**Chapter 9** Chapter Opener Exactostock/SuperStock; 9.1 Roland Birke/Photo Researchers, Inc.; 9.6 LYNN M. STONE/Nature Picture Library; 9.14a John T. Fowler/Alamy

**Chapter 10** Chapter Opener Mark Newman/Photolibary; 10.2b Anestis Rekkas/Alamy; 10.5 Juniors Bildarchiv/agefotostock; 10.6 McPhoto/agefotostock; 10.7 Regis Cavignaux/Biosphoto/Photo Researchers, Inc.; 10.10a Derrick Hamrick/Photolibary; 10.10b imagebroker/Alamy; 10.11b Derrick Hamrick/Photolibary; 10.13 S. Ghesquiere, www.applesnail.net; 10.14 Tony Heald/Nature Picture Library; 10.15a Eky Studio/Shutterstock; 10.16 Craig K. Lorenz/Photo Researchers, Inc.; p. 196 both Alexandra Basolo; 10.18 Joel Sartore/National Geographic Stock

**Chapter 11** Chapter Opener Georgie Holland/agefotostock; p. 204 Mark Edwards/Photolibary; 11.11 Joy Prescott/Shutterstock; 11.14a White/Photolibary; p. 214 Fig 1 G. Ronald Austing/Photo Researchers, Inc.; p. 214 upper right Scott Sillett

**Chapter 12** Chapter Opener Michael Melford/National Geographic Stock; 12.1b Serg Zastavkin/Shutterstock; 12.2a Ron Wolf; 12.4a Petr Kocarek; 12.6a George McCarthy/Nature Picture Library; 12.12 center right David Scharf/Photo Researchers, Inc.; 12.12 bottom Kristin Piljay/Pearson Science

**Chapter 13** Chapter Opener McPhoto/agefotostock; 13.1 JJM Stock Photography/Alamy; 13.5 Rolf Nussbaumer Photography/Alamy; 13.11 all Patrik Nosil

**Chapter 14** Chapter Opener Ingo Bartussek/Nature Picture Library; 14.2 top Michael Abbey/Photo Researchers, Inc.; 14.2 bottom M. I. Walker/Photo Researchers, Inc.; 14.3a National Park Service; 14.3b Maurice Loir; p. 258 Katherine Sudling; 14.5 Thomas M. Smith; 14.8 left to right ene/Shutterstock; CuboImages srl/Alamy; Richard Becker/

FLPA; 14.12c Visions of America, LLC/Alamy; 14.13 Michel Roggo/naturepl.com; 14.15 left to right EcoPrint/Shutterstock; Gea Strucks/Dreamstime; imagebroker/Alamy

**Chapter 15** Chapter Opener Martin Harvey/Photolibary; 15.4a Dr. D. P. Wilson/Photo Researchers, Inc.; 15.4c Millard H. Sharp/Photo Researchers, Inc.; 15.6b Paul Kay/Photolibary; 15.8a Prasad Basavaraj/Alamy; 15.13 Bruce Martin/Cirrus Digital Imaging; 15.14 WaterFrame/Alamy; 15.15 S & D & K Maslowski/FLPA; 15.16 Robert Shantz/Alamy; 15.17a Sylvana Rega/Shutterstock; 15.17b worldswildlifewonders/Shutterstock; 15.18a John Cancalosi/Peter Arnold Images/Photolibary; 15.18b Florida Images/Alamy; 15.19a Marcouliana/Dreamstime; 15.19b J.H. Robinson/Photo Researchers, Inc.; 15.19c ImageState/Alamy; 15.20 Fred Bruemmer/Photolibary; 15.22 Scott Camazine/Alamy; 15.23 left Kurt G/Shutterstock; 15.23 right Percent/Dreamstime; p. 290 both Rick Relyea; 15.24a John Bora/Photo Researchers, Inc.; 15.24b W. Perry Conway/Corbis; 15.25 Neil Thompson/Alamy; 15.27 left blickwinkel/Alamy; 15.27 right Adrian Davies/Nature Picture Library; 15.28 Ken Gray/Oregon State University

**Chapter 16** Chapter Opener Inga Spence/Photo Researchers, Inc.; 16.1 Bob Gibbons/Photolibary; 16.2 Robert Leo Smith; 16.3a Moredun Scientific Ltd/Photo Researchers, Inc.; 16.3b CDC/James Gathany/Photo Researchers, Inc.; 16.5a André Pascal/Photolibary; 16.5b Nigel Cattlin/Alamy; 16.5c Nic Hamilton/Alamy; 16.5d Bill Brooks/Alamy; 16.6a Todd D. Huspeni; 16.7 Dariusz Majgier/Shutterstock; 16.8a Heather Perry/National Geographic Stock; 16.9 Larix/Dreamstime; p. 308 John J. Stachowicz; p. 309 both John J. Stachowicz; 16.10a Garry DeLong/Photo Researchers, Inc.; 16.10b Nigel Cattlin/FLPA; 16.12a Reinhard Dirscherl/Alamy; 16.12b imagebroker/Alamy; 16.13 Benoit Guenard; 16.14 S & D & K Maslowski/FLPA

**Chapter 17** Chapter Opener Darryl Leniuk/agefotostock; 17.2 C & M Denis-Huot/Photolibary

**Chapter 18** Chapter Opener Greg Vaughn/Alamy; p. 338 Sally D. Hacker; 18.4a Charles Campbell/Alamy; 18.6 top left Photoshot Holdings Ltd/Alamy; 18.6 top right Roberto Nistri/Alamy; 18.6 bottom left Derek Tan; 18.6 bottom right M. I. Walker/Photo Researchers, Inc.; 18.9 caminor/Shutterstock

**Chapter 19** Chapter Opener Larry Michael/Nature Picture Library; 19.1a Robert Leo Smith; 19.1b Robert Leo Smith; 19.1c Robert Leo Smith; p. 356 Thomas M. Smith; 19.4 imagebroker/Alamy; 19.5b Paul Lawrence/Photolibary; 19.6 top David Stephens; 19.6 right agefotostock/SuperStock; 19.7 David Duggins; 19.8 Robert Leo Smith; 19.8 inset J. Zeiman; 19.15 Design Pics Inc./Alamy; 19.22a Melinda Fawver/Shutterstock

**Chapter 20** Chapter Opener Emma Lee/Getty Images; 20.1 Robert Leo Smith; 20.2 Cindy Miller/Danita Delimont/Alamy; 20.7 Dewitt/Dreamstime; 20.15a Cotswolds Photo Library/Alamy; 20.15b Jim Wark/Photolibary; p. 386 top Brian Hudgen; p. 386 bottom Nick M. Haddad; 20.17 Robert Dolan; 20.18a George S de Blonsky/Alamy; 20.18b Robert Leo Smith; 20.19 Linda Freshwaters Arndt/Photo Researchers, Inc.; 20.20 David Hosking/Photo Researchers, Inc.; 20.21 Calvin Larsen/Photo Researchers, Inc.

**Chapter 21** Chapter Opener John Barger/Alamy; 21.7 Clint Farlinger/Alamy; 21.12 left Maxim Tupikov/Shutterstock; 21.12 right MIMOHE/Shutterstock; p. 412 all Brian Silliman

**Chapter 22** Chapter Opener Justus de Cuveland/Photolibary; 22.2a left David Scharf/Photo Researchers, Inc.; 22.2a right Martin Pateman/Shutterstock; 22.2b Center for Food Safety and Applied

Nutrition; 22.2c left Design Pics Inc./Alamy; 22.2c right Vinicius Tupinamba/Shutterstock; 22.2d left Bill Curtsinger/National Geographic Stock; 22.2d right David Alan Harvey/National Geographic Stock; 22.3 Robert Leo Smith; 22.5a left Susan Gottberg/iStockphoto; 22.5a right Steve McBeath/iStockphoto; 22.5b Mark O. Gessner Switzerland, and the American Society of Limnology and Oceanography; 22.5c Wayne Wurtsbaugh, Utah State University and the American Society of Limnology and Oceanography; p. 428 both Edward Schuur; 22.12 top Cecelia Spitznas/iStockphoto; 22.12 center left Biophoto Associates/Photo Researchers, Inc.; 22.12 center right Photo Researchers, Inc.; 22.12 bottom Kristin Piljay/Pearson Education/Pearson Science; 22.18 root tip Photo Researchers, Inc.; 22.18 soil organic matter Kristin Piljay/Pearson Education; 22.18 soil fungi Biophoto Associates/Photo Researchers, Inc.; 22.18 soil bacteria Photo Researchers, Inc.; 22.18 protozoa feeding M. I. Walker/Photo Researchers, Inc.; 22.18 nematodes Sinclair Stammers/Photo Researchers, Inc.

**Chapter 23** Chapter Opener Robert Leo Smith; 23.4 left Hugh Rose/Danita Delimont/Alamy; 23.4 right Ron Niebrugge/Alamy

**Chapter 24** Chapter Opener George Ranalli/Photo Researchers, Inc.; 24.3a Thomas M. Smith; 24.3b Thomas M. Smith; 24.3c Thomas M. Smith; 24.3d Thomas M. Smith; 24.4a Thomas M. Smith; 24.4b Thomas M. Smith; 24.6a David Tipling/Photolibrary; 24.6b Nick Garbutt/Nature Picture Library; 24.7a Anup Shah/Nature Picture Library; 24.7b Corbis/Photolibrary; 24.9 Tony Pleavin/The Travel Library/Photolibrary; 24.10a Erin Bohman; 24.10b Erin Bohman; 24.11a Luiz C. Marigo/Photolibrary; 24.11b Robin Smith/Photolibrary; 24.13 top left Exactostock/SuperStock; 24.13 top right Nigel Dennis/agefotostock; 24.13 bottom right Thomas M. Smith; 24.16a NHPA/Photoshot; 24.16b Clint Farlinger/Alamy; 24.16c Bill Brooks/Alamy; 24.17a Jim Parkin/Shutterstock; 24.17b Galina Dreyzin a/Shutterstock; 24.20a NASA Earth Observing System; 24.20b Australia Department of Lands; 24.21a Robert Leo Smith; 24.21b Ray Ellis/Photo Researchers, Inc.; 24.22 Chris Mattison/agefotostock; 24.24a Lynn Watson; 24.24b Charles E. Jones; 24.24c Gary A. Monroe; 24.25 Martin Harvey/Photolibrary; 24.26a Bob Gibbons/Alamy; 24.26b George Ostertag/SuperStock; 24.29a Corbis; 24.29b Robert Leo Smith; 24.31a Danilo Donadoni/AGE Fotostock America, Inc.; 24.31b John S. Sfondiliias/Shutterstock; 24.33 Robert Leo Smith; 24.35a Paul Nicklen/National Geographic Stock; 24.35b Neil Nightingale/Nature Picture Library; 24.36a Kristin Piljay/Wanderlustphotos; 24.36b Steven J. Kazlowski/Alamy; 24.37 Tom Uhlman/Alamy

**Chapter 25** Chapter Opener Sisse Brimberg/National Geographic Stock; 25.2a Alan Majchrowicz/Photolibrary; 25.2b Blickwinkel/Seelinger/Alamy; 25.2c James Steinberg/Photo Researchers, Inc.; 25.2d Robert Leo Smith; p. 496 David R. Frazier Photolibrary, Inc./Alamy; 25.5a Darlyne A. Murawski/Photolibrary; 25.5b Laguna Desig/Photo Researchers, Inc.; 25.6a Michael P. Gadomski/Photo Researchers, Inc.; 25.6b Robert Leo Smith; 25.7 Geoff Higgins/Photolibrary.com; 25.9a Hydromet/Shutterstock; 25.9b vkimages/

Shutterstock; 25.10 Darren K. Fisher/Shutterstock; p. 503 Michael Doolittle/Alamy; 25.14 Thomas M. Smith; 25.15 South Carolina Department of Natural Resources; 25.16 Marevision/agefotostock; 25.18 Steve Gschmeissner/Photo Researchers, Inc.; 25.19 National Marine Fisheries Service; 25.19 National Ocean Service/NOAA; 25.21 Verena Tunnicliffe; 25.22 Richard A. Lutz; 25.23 Darryl Leniuk/Getty Images

**Chapter 26** Chapter Opener Steve Kaufman/Photolibrary; 26.1a Thomas M. Smith; 26.3 Maine Department of Environmental Protection; 26.4 Radius/SuperStock; 26.5 Michael P. Gadomski/Photo Researchers, Inc.; 26.6 Florida Images/Alamy; 26.7 Dr. Carleton Ray/Photo Researchers, Inc.; 26.8 Robert Leo Smith; 26.9 Mark Conlin/Alamy; 26.10 Mark Conlin/Alamy; 26.11a NASA/Johnson Space Center; 26.11b Robert Harding Picture Library Ltd/Alamy; 26.14 North Wind Picture Archives/Alamy; 26.15a USDA Forest Service; 26.15b Michael P. Gadomski/Photo Researchers, Inc.; 26.16 Robert Leo Smith; 26.17 Mark Rollo/Photo Researchers, Inc.; 26.18a G. Schwabe/Photolibrary; 26.20 Larry Mayer/Jupiter Images

**Chapter 27** Chapter Opener Jean E. Roche/Nature Picture Library

**Chapter 28** Chapter Opener Mira/Alamy; 28.3a NASA Earth Observing System; 28.5 Aflo/Nature Picture Library; 28.9 Thomas M. Smith; 28.10A Neil Julian/Alamy; 28.10a Santokh Kochar/Getty Images; 28.10b Jeremy Bright/Robert Harding; 28.11 Farming Today/Alamy; 28.14a USDA/NRCS/Natural Resources Conservation Service; 28.14b AGStockUSA/Alamy; 28.16a Joe Sohm/Alamy Images; 28.16b Getty Images; p. 552 Fig 1 Thomas M. Smith; p. 552 upper right Deborah Lawrence; 28.21 NASA/Johnson Space Center; 28.24 Paul Kay/Photolibrary; 28.28a blickwinkel/Alamy; 28.28b Doug Perrine/Nature Picture Library; 28.28c Peter Scoones/Nature Picture Library; 28.28d Gary K. Smith/Nature Picture Library; 28.28e Andrew J. Martinez/Photo Researchers, Inc.

**Chapter 29** Chapter Opener Georg Gerster/Photo Researchers, Inc.; 29.1 Julie Dermansky/Photo Researchers, Inc.; 29.3 NASA Earth Observing System; 29.4a NASA/Johnson Space Center; 29.4b NASA/Johnson Space Center; 29.4c Stock Connection Blue/Alamy; 29.5b Stephen Frink Collection/Alamy; 29.6a Andrew J. Martinez/Photo Researchers, Inc.; 29.6b Bill Alexander/Photolibrary; 29.7 National Park Service; 29.8 Ed Reschke/Photolibrary; 29.9 left Neil Julian/Alamy Images; 29.9 right Bill Brooks/Alamy; 29.10 Carl S. Keener; p. 575 Fig 1 U.S. Fish & Wildlife Service; 29.14a National Geographic Image Collection/Alamy; 29.15 Thomas M. Smith; 29.16b Juniors Bildarchiv/Alamy Images; 29.17a AFP Photo/Zoological Society of San Diego/Newscom; 29.17b AP Wide World Photos; 29.22 National Marine Fisheries Service; 29.23 Peter Patau

**Chapter 30** Chapter Opener National Oceanic and Atmospheric Administration NOAA; 30.1 Simon Fraser/Mauna Loa Observatory/Science Source/Photo Researchers, Inc.; p. 592 Fig 1 Nona R. Chiariello; p. 592 upper right Bernie Tershy; 30.9 Chris Hildreth/Duke Photography; 30.19 Greg Henry; 30.25 Mario Savoia/Shutterstock

# Index

## A

- A horizon, 60, **60**, **61**  
*Abies fraseri* (Fraser fir), 570  
*Abies lasiocarpa* (subalpine fir), 486  
Abiotic component, 4  
Abiotic factors, in competition, 270  
Aboveground net primary productivity, **406**  
  nitrogen and, 400–401, **401**  
Abundance  
  definition of, 154  
  distribution, moisture and, 370, **371**  
  in population density and distribution, 153–155, **154**, **155**  
  rank-abundance diagram, 322, **322**  
  relative, **321**, 321–323, **322**  
*Abutilon theophrasti* (Indian mallow), resource  
  partitioning and, 268, **268**  
Abyssopelagic zone, of ocean, 506, **507**  
*Acacia* spp., 481  
  *A. tortilis*, 155, **155**  
  spatial distribution of, 155, **155**  
Acclimation, 87, **87**  
Accumulation curve, 535–536  
*Acer rubra*. See Maple(s)  
*Achillea millefolium* (yarrow), ecotypes of, 80, **81**  
Acidity  
  in aquatic ecosystems, 46–47, **47**  
  hydrogen ions and, 47, **63**  
  of soil, 63  
Acidosis, 138  
*Acrocephalus schoenobaenu* (sedge warblers),  
  mating territories of, 196  
*Actophilornis africanus* (African jacana),  
  polyandry in, 194, **194**  
Actual evapotranspiration  
  net primary productivity and, 399, **399**, 532  
  species richness and, 532, 532–533  
*Acyrtosiphon pisum* (pea aphids), 294–295  
*Adalia bipunctata* (ladybird beetles), 339  
Adaptation(s)  
  animal, 120–145  
  of beetles' hind leg, 70, 71  
  biological clocks and, 143  
  C<sub>4</sub> photosynthetic pathway for, 107, **107**,  
  110, **110**  
  in coevolution of prey and predator, 241, **242**,  
  243–244, **244**  
  constraints and, 81–86, **82–83**, **84**, **85**  
  definition of, 72  
  in flowing water ecosystems, 501, 501–504, **504**  
  food availability and, **128–129**  
  of Galápagos penguins, 17  
  genetic differentiation and, 80, 80–81, **81**  
  of ground finches (*G. fortis*), 73–77, **74**, **75**, **76**,  
  82–84, **84**, **85**, **269**, 269–270  
  natural selection and, 73–77, **74**, **75**, **76**  
  plant, 93–116  
    environmental constraints and, 101  
    Grime's life history model for, 199, **199**  
    nutrient availability and, **113**, 113–115, **114**, **115**  
    terrestrial ecosystems for, 468, **469**, **470**, 470–471  
    water and temperature in, 106–107, **107**,  
    **108–109**, **110**, 110–111, **111**  
    wetland environments and, 115–116, **116**  
Adaptive radiation  
  in natural selection, 84, **85**  
  species interactions' drive of, **248**, 248–249  
*Adelges piceae* (balsam woolly adelgid), as invasive  
  species, 570  
Adenosine diphosphate (ADP), 93  
Adenosine triphosphate (ATP), 93–94, **94**  
*Adenostoma*  
  *A. fasciculatum* (chamise), 483, **483**  
  phenotypic traits of, **248**, 248–249  
Adiabatic cooling, 24–25  
Adiabatic lapse rate, 25  
Adler, Peter, 260  
*Aedes aegypti* (mosquito), 609  
Aerenchyma, 116  
Aerobic respiration (cellular respiration), 94  
African buffalo (*Syncerus caffer*), parasitic  
  disease of, 304  
African elephant (*Loxodonta africana*)  
  as closed populations, 165, **165**  
  as disturbances, 390  
  size of, 120, **120**  
  sodium deficiency of, 126  
African jacana (*Actophilornis africanus*),  
  polyandry in, 194, **194**  
Afrotropic, biomes in, **467**  
Age distribution, 175  
*Agelaius* spp. (blackbirds), 497  
Age pyramids, 158, 159, **159**  
Age-specific birthrate, 173, 173–176, **174**,  
  175, **176**  
Age-specific mortality rate  
  life tables and, 169–170, **170**  
  population growth projection of, 174, 174–176,  
  175, **176**  
  reproduction and, 183–185, **184**, **185**  
Age structure  
  life tables defining cohorts and, 170, **171**,  
  172, **172**  
  of populations, 156–158, **157**, **158**, **159**  
*Agostis* spp. (bentgrasses), nitrogen and, **115**  
Agrawal, anurag, 292  
Agriculture  
  carbon dioxide concentrations and, 595,  
  606–607, **607**  
  development of, in Neolithic Period, 538  
  disturbances from, 390, 391  
  energy inputs and, 538–539, 544–545, 548, **548**  
  industrialized, 539  
  in temperate zone, 546–547, **547**, **548**  
  land use for, 544–545  
  loss of temperate zone grasslands to, 476,  
  567–568  
  mechanization of, 538–539  
  nitrogen and, **545**, **546**, **548**, 549, **550**  
  old-field communities and, 257  
  production, global climate change and,  
  606–607, **607**  
  shifting cultivation in, 552–553  
  sustainable, 549, **550**, 551  
  methods variety for, 549, **550**, 551  
  trade-offs in, productivity and, **548**, 548–549, **549**  
  swidden, **545**, 545–546, **546**  
  traditional, 546, **547**, 552–553  
  wetlands decline for, 523, **524**  
*Agulus planipennis* (emerald ash borer), 571  
Ahlen, Ingemar, 227–229, **228**, **229**  
Air  
  altitude, temperature and, 22–25, **23**, **24**  
  density, 23, **24**  
  global circulation of, 25, 25–26, **26**  
  pressure, 22–24  
  quality of, heat island effect and, 33  
  temperature and moisture content of, 27, **27**  
  water in, 27, **27**  
Alaskan brown bear (*Ursus arctos*), **181**  
*Alces alces* (moose), 126, **340**, 488  
Alder shrub (*Alnus*), **98**, **522**  
Aldosterone, 127  
Alfalfa (*Medicago sativa*), 294–295  
  in pampas, 477  
Alfisols  
  description of, **63**  
  location of, **64**  
Algae, **501**  
  autotrophs, 507  
  coral and, 510  
  in eutrophic lake, 498  
  *Fucus vesiculosus* (marine algae), 436  
Alkaline, 47, **47**  
Allee, W. C., 178  
Allee effect, 178, **178**  
  density dependence and, 206, **207**  
Allegheny Mountain salamander (*Desmognathus*  
  *ochrophaeus*), 186  
Alleles, 72, 72–73, **73**  
Allele frequency, 73  
Allelomorphs, 72  
Allelopathy, 252  
Allochthonous, 403–404, **404**  
Allogenic environmental change, 365–367, **366**  
*Alnus* (alder shrub), 522  
  *A. rugosa* (speckled alder), **98**  
*Alopex lagopus* (Arctic fox), 490  
*Alosa* (shad), 506  
Alpine tundra, 490, **490**  
*Alsophila pometaria* (fall cankerworms), predation  
  of, 292, **292**  
Altitude  
  ecotypes and, 80, **81**  
  species richness and, 533, **533**  
  temperature, air and, 22–25, **23**, **24**  
Altricial, 188  
Ambient temperature  
  in homeotherms, **136**  
  in poikilotherms, **134**  
*Amblyrhynchus cristatus* (marine iguana),  
  **128–129**  
*Ambrosia* spp. (ragweed), 190–191  
Ambush hunting, 289  
*Ambystoma* (larval salamander)  
  *A. maculatum* (spotted salamander),  
  188–189, **189**  
  interactions of, 343, **343**  
*Amelurus melas* (bullhead), **501**  
Amensalism, **239**  
  definition of, 239  
American beech (*Fagus grandifolia*), 158, **158**  
  species richness of, 535–536  
  succession in, 355, **355**, 358  
American chestnut (*Castanea dentata*), 304, 323  
American elm (*Ulmus americana*), 304, 570  
American ginseng (*Panax quinquefolius*),  
  177–178, **178**  
American robins (*Turdus migratorius*), 188, 380, **381**  
Ammonification, 453, **453**  
*Ammophila breviligulata* (beach grass), 358, **358**  
*Ammospiza maritima* (seaside sparrow), 519  
Anaerobic bacteria, 498  
*Anax longipes* (dragonfly larvae), **290–291**  
Anders, William A., 2, **2**  
Andisols  
  description of, **63**  
  location of, **64**  
*Andropogon gerardii* (big bluestem), **258–259**, 476  
Animals  
  activity of  
    intertidal, tidal cycles and, **144**, 144–145  
    seasonal, day lengths for, **143**, 143–144  
    seasonal light and dark cycles for, 142–143, **143**  
  adaptations of, 120–145  
  aquatic  
    buoyancy for, 142, **142**  
    respiratory systems for, **127**, 130  
    sodium and, 141  
    terrestrial animals' oxygen compared  
    to, 127, **127**, 130  
  water balance for, 141



- energy and nutrient acquisition by, 122–125, **123**, **124**  
energy exchange with environment by, 130–131, **131**, **132–133**, **133**  
essential minerals for, 125–127, **126**  
homeostasis and feedback in, 130  
iguana's food field study, **128–129**  
invasive, 569  
nutritional needs of, varied, 125–126, **126**  
oxygen needs of, for energy release from food, **127**, **127**, **130**  
for pollination, 241–242, **242**  
population density sampling of, 156  
processes common to, 120  
size of, structure and, **120**, **120–122**, **121**, **122**  
terrestrial, water balance for, constraints on, **140**, **141**  
thermoregulation of, **132–133**, **133–140**, **134**, **135**, **136**, **137**, **139**, **140**  
torpor in, **138–139**
- Anions  
definition of, **62**  
in seawater, **45**
- Annual elf orpine (*Sedum smallii*), **170**, **171**, **172**, **172**  
Annual grassland, **477**
- Anopheles* (mosquito), **301**, **301**  
disease from, global climate change and, **608–609**
- Anoplophors galbripennis* (Asian longhorned beetle), **162**
- Anthracnose (*Discula destructiva*), **304**
- Antibiotic resistance, **88**
- Antibiotics, **88**
- Antigen, **302**
- Antilocarpa americana* (Prong-horn antelope), **478**
- Aphantopus hyperantus* (ringlet butterfly),  
extinction synchronicity of, **231–232**
- Aphelocoma californica* (western scrub jay),  
mutualism for, **312**
- Aphids (*Uroleucon ambrosiae*), **339**
- Aphotic zone, of aquatic ecosystems, **327**
- Apis mellifera* (honey bees), **246**
- Apodemus flavicollis* (yellow-necked mouse), **251**, **280**
- Apollo* 8, **2**, **2**
- Apparent competition, **343**  
in food webs, **341–342**, **342**
- Aquatic ecosystem(s), **37–50**. *See also* Lakes/ponds  
acidity in, **46–47**, **47**  
aphotic zone of, **327**  
Beer's law and, **57**  
benthic zone of, **327**, **506**  
carbon cycle in, **449**, **450**  
decomposition in, **434–436**, **436**, **497–498**  
eutrophication in, **498**, **499**  
flowing-water, **504** (*See also* Streams/rivers)  
freshwater  
phosphorus cycle in, **454–455**  
seawater and, transition zone of, **49**, **49–50**  
global climate change and, **605**  
light in, by depth, **41–42**, **42**  
lotic and lentic, **494**  
marine coastal environment, tides and, **48–49**, **49**  
movements for, **47–48**, **48**  
nonnative species invading, environmental damage from, **568–571**, **569**, **570**  
nutrient cycling in  
lakes/ponds, **497–499**, **499**  
open-water, **438–440**, **439**, **440**  
stream and river, **440–441**, **441**, **501**  
nutrients and ocean productivity, **510**, **510**, **511**  
nutrients and species richness in, **350**  
oceans as, **506** (*See also* Oceans)  
organic carbon external inputs' import in, **403–404**, **404**  
oxygen stratification in, **45–46**, **46**  
patterns and processes of, **5–6**  
pH of, **47**, **47**  
in phosphorus cycle, **454–455**, **455**, **456**  
photic zone of, **327**  
pollution of, **568**, **569**  
primary production of, temperature, light, nutrients for, **401–403**, **402**, **403**  
scrub desert, **480**, **480**  
structure of, **499**, **499–501**, **500**, **501**  
temperature of, by depth, **42–44**, **43**, **44**
- Aquatic plants, compared to terrestrial plants, **98**
- Aquatic poikilotherms, **134**
- Aqueous solution, **44**
- Aquifer, **38**
- Aral Sea, decline of, **542**, **542**, **543**, **544**
- Arboreal red squirrel (*Sciurus hudsonicus*), **488**
- Arbutus unedo* (strawberry tree), **482**
- Archaeopteryx*, **584**
- Arctic fox (*Alopex lagopus*), **490**
- Arctic Ocean, productivity in, **510**
- Arctic tundra, **488**, **488–490**, **489**, **490**
- Arenicola* (lugworms), **518**  
*A. cristata*, **329**, **330**
- Argyroxiphium* spp. (Hawaiian silverswords), **191**
- Aridisol  
description of, **63**  
location of, **64**
- Aristida* spp. (three-awn grass), **477**  
*A. junceiformis*, **264**, **264**
- Arolla pine, global climate change and, **598**, **599**
- Arrow arum (*Peltandra virginica*), decomposition of, **434–435**, **436**
- Artemia* (brine shrimp), **83**, **160**, **279**
- Artemisia* (sagebrush), **480**  
*A. tridentata*, **480**
- Arthropod (*Corophium* spp.), **280**
- Ascaris* (roundworms), **300**
- Asclepias* spp. (milkweed), **292**  
*Asclepiadaceae*, **159–160**
- Ascophyllum nodosum* (wrack), **516**
- Asexual reproduction, **166**, **166**, **233**, **295**
- Ash trees (*Fraxinum* spp.), **571**  
*F. velutina*, decomposition rates of, **425**
- Asian longhorned beetle (*Anoplophors galbripennis*), **162**
- Aspen tree (*Populus tremuloides*), **152**, **152**
- Association  
in community structure, **331–333**  
plant association, **3**
- Assortive mating, **77–78**
- Asterionella formosa*, **256**, **256**
- Asymmetric, size, in belowground resources, **350**
- Atlantic herring (*Clupea harengus*), **559**
- Atmosphere  
carbon dioxide levels and net primary productivity, **595–596**, **596**  
concentration  
CO<sub>2</sub> rise in, **589**, **589–591**, **590**  
ocean CO<sub>2</sub> uptake effects from, **591**, **594**, **594**  
plant response to CO<sub>2</sub> in, **594–596**, **595**, **596**  
global carbon cycling and, **451**, **451–452**  
oxygen diffusion from, to surface water, **45–46**, **46**  
plant-atmosphere photosynthesis exchanges, **95**, **95–98**, **97**, **98**  
regions of, **24**, **24**  
temperate zone grasslands, global climate change and, **592–593**, **595**  
water cycles between Earth and, **37**, **37–39**, **38**, **39**  
water movement from soil to, for plants, **95–98**, **97**
- Atmospheric pressure, **22–23**
- Atolls, **509**
- Atriplex* (saltbush), **110**, **480–481**  
*A. confertifolia* (shadscale), **480**  
*A. lentiformis* (big saltbush), **112**  
*A. patula* (spearscale), **518**  
*A. prostrat* (salt marsh spear-leaved orache), **208–209**, **209**
- Aufwuchs, **498**
- Augsburger, Caroline, **105**, **106**
- Austin, Mike, **261–262**, **262**, **264**
- Australasia, biomes in, **467**
- Australian paperbark tree (*Melaleuca quinquenervia*), **569**, **569–570**
- Autochthonous, **404–405**, **405**
- Autogamy, **193**
- Autogenic environmental change, **361–363**, **362**
- Autotrophs, **69**, **460**  
algae, **507**  
herbivores preying on, **289**, **292**, **292**  
phytoplankton, **498**, **507–508**
- Available water capacity (AWC), **61**, **62**
- Aythya collaris* (ring-necked ducks), **160**, **160**
- Azotobacter* bacteria, **453**
- B**
- B horizon, **60**, **61**  
calcification in, **64**, **65**
- Bacillus* (*Yersinia pestis*), **306**
- Bacteria, **508**  
anaerobic, **498**  
antibiotic resistance and, **88**  
*Azotobacter*, **453**  
*Borrelia burgdorferi*, **301**  
*Calothrix* (cyanobacteria), **516**  
*Clostridium*, **453**  
decomposition and, **421**  
*Pseudomonas*, **453**  
*Rhizobium*, **248**, **307**, **310**, **310**, **362**, **363**, **453**  
sandy beaches and, **517–518**  
sulfur and, **456**
- Baetis tricaudatus* (mayflies), prey dynamics and, **294**, **295**
- Balaenoptera musculus* (blue whale),  
economics and, **562**
- Balanus* (barnacle), **516**
- Bald cypress (*Taxodium distichum*), **116**, **520**, **521**
- Balsam woolly adelgid (*Adelges piceae*),  
as invasive species, **570**
- Bangladesh, sea level rises, global warming and, **605**, **606**
- Bank vole (*Clethrionomys glareolus*), **189**, **280**, **281**
- Barnacle (*Balanus*), **516**
- Barrier reefs, **509**
- Barro Colorado Island, Panama, **105**, **106**, **108–109**
- Basal species, **324**, **325**
- Basolo, Alexandra L., **196–197**
- Bates, Henry, **464**
- Batesian mimicry, **287**
- Bathypelagic zone, of ocean, **506**, **507**
- Bayberry (*Myrica pensylvanica*), **518**
- Bay checkerspot butterfly  
*Euphydryas editha*, dynamics of, **224**, **225**  
*Euphydryas editha bayensis*, habitat of, **231**, **231**
- Bazzaz, Fakhri, **86–87**, **87**, **257**, **257**, **350**
- Beach fleas (*Talorchestia* and *Orchestia* spp.), **329**, **330**
- Beach grass (*Ammophila breviligulata*), **358**, **358**
- Bears, **138**  
Alaskan brown bear (*Ursus arctos*), **181**  
black bear (*Ursus americanus*), **125**  
grizzly bears (*Ursus arctos*), **343**
- Beaver (*Castor* spp.)  
*C. canadensis*, **139**  
as disturbances, **390**, **390**  
matting of, **193**

- Beechdrops (*Epifagus virginiana*), 300
- Beer's law, attenuation of light and, 54, 56–57
- Beetles
- Adalia bipunctata* (ladybird beetles), 339
  - Anoplophors galbripennis* (Asian longhorned beetle), 162
  - diversity of, 71
  - hind leg adaptation in, 70, 71
  - Hylurgopinus rufipes* (native elm bark beetles), 301
  - Scolytus multistriatus* (European elm bark beetles), 301
  - tenebrionid, 68, 69
  - Tetraopes* spp. (longhorn beetles), herbivory of, 292
- Behavioral defenses, as predator defense, 288
- Behavioral ecology, 3
- Benefit-cost analysis, 560–561
- Benthic form (BF), of threespine stickleback, 82–83
- Benthic zone
- of aquatic ecosystems, 327, 506
  - of lakes/ponds, 495, 497
  - organisms in, 498
  - as own world, 508–509, 509
  - species diversity in, 508, 534
- Benthos, 508–509, 509
- Berg, Bjorn, 432, 432, 433, 434
- Berger, Joel, 343–344, 576
- Bertness, Mark, 338–339
- Betula* spp. (birch), 159–160, 367
- B. allegheniensis*, 106
  - B. papyrifera*, 106
- Big bluestem (*Andropogon gerardi*), 258–259, 476
- Big-handed crabs, fecundity of, 184
- Bighorn sheep (*Ovis canadensis*)
- extinction of, 576, 577
  - sodium deficiency in, 126
- Big saltbush (*Atriplex lentiformis*), 112
- Billings, Dwight, 358–359, 359
- Binary, 8
- Biodiversity
- hotspots and, 573–574, 574
  - quantifying, 535–536
- Biogeochemical cycles
- carbon, 448–452, 449, 450, 451
  - from global perspective, 448
  - linkages of, 460
  - net primary productivity in, 447, 447, 449, 449
  - nitrogen, 452, 452–454, 453, 454
  - oxygen, 459, 459–460
  - phosphorus, 447, 454–455, 455, 456
  - sulfur, 455–456, 456, 458–459, 459
  - types of, 447, 447
- Biogeography
- definition of, 465
  - island, theory of, applied to landscape patches, 382, 382–384, 383
  - island, theory of extinction and, 383, 383
- Biological clocks, 142–143
- lunar-day clocks compared to, 145
- Biological diversity
- changes in
    - through geologic time, 529, 529–530, 530
    - during succession, 363, 363–364, 364
  - community, environmental heterogeneity and, 321, 321–323, 322, 348, 348–349, 349
  - environmental ethics related to, 583–584
  - hotspots of, 573–574, 574
  - large-scale patterns of, 528–536
  - quantifying, 529, 529
- Bioluminescence, 508
- Biomass, 6
- plant, net primary productivity and, 401
  - standing, 397, 412–413
  - standing crop, 397–398
- Biomass enhancement ratio (BER), 595
- Biomass pyramids, 415, 415–416
- Biomes, 5, 465
- climate and, 467–468, 468
  - definition of, 467
  - level of, 5, 6
  - temperature and precipitation for, 467–468, 468, 470, 470
  - types of, 467, 467
- Biosphere
- definition of, 5
  - level of, 5, 6
- Biotic, 4
- Biotic factors, in competition, 270
- Birch (*Betula* spp.), 159–160, 367
- B. allegheniensis*, 106
  - B. papyrifera*, 106
- Birds, 138
- disturbances from, 390
  - diversity, environmental heterogeneity and, 349, 349
  - as predators, 241, 242, 243, 243–244, 244
  - respiratory systems for, 127, 130
  - seed-eating, digestive systems of, 124, 125
  - species diversity in, 531, 534, 536
  - patch size and, 380, 381, 382, 382
  - species richness of, 531, 532
  - vertical distribution of, in forest, 327
- Birthing
- age-specific, 173, 173–174
  - in population growth projection, 174, 174–176, 175, 176
  - crude, 173
  - deathrate compared to, 203, 203, 205, 205
  - population growth as difference between, 166, 166, 167, 168, 168–169
  - net reproductive rate, 173–174, 174
  - in predation, 240–241
  - survivorship and, 174, 174
- Bison (*Bison bison*), 478, 478
- Bitternut hickory (*Carya cordiformis*), 321
- species richness of, 535–536
- Black bear (*Ursus americanus*), 125
- Black-bellied seedcracker (*Pyrenestes ostrinus*), 76, 76–77
- Blackbirds (*Agelaius* spp.), 497
- Black cherry (*Prunus serotina*), 321, 322
- species richness of, 535–536
- Black death, 306
- Black flies (*Simulium* spp.), 490
- Black grass (*Juncus gerardi*), 338–339
- Black-legged tick (*Ixodes scapularis*), Lyme disease from, 301
- Black locust (*Robinia pseudoacacia*), 151
- Black mussel (*Choromytilus meridionalis*), intraspecific competition on, 211
- Black needle rush (*Juncus gerardi*), 347, 347–348, 518
- Black rat (*Rattus rattus*), 306
- Black rhino (*Diceros bicornis*), 577, 577–578
- Black smokers, 508–509, 509
- Black spruce, 487
- Black-throated blue warbler, 214–215
- Blanket mires, 521, 522
- Blennies (*Malacotenus*), 144
- Blount, Jonathan, 303
- Blowouts, 360, 360
- Bluebirds (*Sialia sialis*), mating in, 193
- Blue crabs (*Callinectes sapidus*), 278
- Blue grama grass (*Bouteloua gracilis*), 477
- Blue whale, 120, 120
- Balaenoptera musculus*, economics and, 562
- Bobwhite quail (*Colinus virginianus*), 155
- age structure of, 157, 157
- Body mass, metabolic rate compared to, 135, 135–138, 136, 137
- Body size
- of animals, structure and, 120, 120–122, 121, 122
  - extinction and, 233
  - home range size and, on population density, 233, 379
- Bogs, 521, 525
- quaking, 522–523, 523
  - raised, 522, 522
- Boiga irregularis* (brown tree snake), 569
- Bolnick, Daniel, 266, 268
- Bombycilla cedrorum* (cedar waxwing), 313
- Bonasa umbellatus* (ruffed grouse), in border environments, 378
- Borders, 378
- definition of, 377
  - types of, 377, 377
  - vertical and horizontal changes in, through time, 378, 379
- Boreal forest (taiga), 368, 369
- conifer, 486, 487–488
  - fauna of, 488
  - fire in, 487
  - food web in, 337, 340, 340
  - geographic distribution of, 467
  - insects of, 488
- Boreal needle-leaf evergreen conifer (*Picea abies*), 406, 406
- Boreal zone, 486, 487–488
- Borman, F. Herbert, 391, 391
- Boron (B), as micronutrient, 113
- Borrelia burgdorferi*, in Lyme disease, 301
- Bosch, Carl, 437, 549
- Bottomland woodlands, 521, 522
- Bottom-up control, in food chains, 344–345
- Boulding, Kenneth E., 2
- Boundary layer
- of leaves, 99, 100
  - temperature of, 131, 131
- Bounoua, Lahouari, 408
- Bouteloua gracilis* (blue grama grass), 477
- Bouteloua-Stipa* (needle-grama grass), 476
- Brine shrimp (*Artemia*), 83, 160, 279
- Bristly foxtail (*Setaria faberii*), 268, 268
- Broadleaf evergreen trees, 468, 469
- Broadleaf forests, geographic distribution of, 467
- Broadleaf peppermint (*Eucalyptus dives*), 103, 111
- Bromus tectorum* (cheatgrass), invasive spread of, 570, 570
- Brown, James, 230–231
- Brown tree snake (*Boiga irregularis*), 569
- Brown trout (*Salmo trutta*), 211, 211
- Browse, in three-level interaction, 294
- Browsers, energy/nutrient acquisition by, 123
- Brylinsky, Michael, 407, 407
- Bryozoa, 120, 120
- Bubo virginianus* (horned owl), 294, 294
- Buchloe dactyloides* (buffalo grass), 477
- Buckbean (*Menyanthes trifoliata*), 523
- Buffalo grass (*Buchloe dactyloides*), 477
- Bullfrogs (*Rana catesbeiana*), 134–135
- Bullhead (*Ameiurus melas*), 501
- Bullhead catfish (*Ictalurus nebulosus*), 87, 87
- Bumblebee (*Megabombus pennsylvanicus*), 289, 289
- Bundle sheath cells, 107, 107, 110
- Buoyancy, 41
- for aquatic animals, 142, 142
- Bureau of Land Management, 579, 580, 581
- Burrowing mayfly nymph (*Hexagenia*), 501
- Bur sage (*Franseria* spp.), 481
- Bush cricket (*Metrioptera bicolor*), population variability of, 227–229, 228, 230
- Buttresses, in tropical rain forests, 472, 474

- Bycatch, 558, 558  
Byrd, William, 524
- C**
- C<sub>3</sub> plants, 95, 110  
carbon dioxide in, 107  
photosynthetic pathway in, 94, 94, 107  
response of, to environmental temperature, 112, 112  
temperature response curve for, 112, 112
- C<sub>4</sub> photosynthetic pathway, 107, 107, 110, 110
- C<sub>4</sub> plants  
Calvin cycle and, 110  
carbon dioxide in, 107, 107  
response of, to environmental temperature, 112, 112
- C horizon, 60–61, 61
- Cabot, John, 558–559
- Caddis flies (*Trichoptera* spp.), 41, 502
- Cahill, James, 261, 350
- Calanus* (marine copepod), 278
- Calcification, 64, 65
- Calcium (Ca)  
as essential mineral for animals, 126  
as macronutrient, 113, 114
- Calcium carbonate, in seawater, 45
- California condors (*Gymnogyps californianus*),  
restoration of, 578, 578
- Callinectes sapidus* (blue crabs), 278
- Calothrix* (cyanobacteria), 516
- Calvin-Benson cycle (C<sub>3</sub> cycle), 94
- Calvin cycle, 94  
C<sub>4</sub> plants and, 110  
CAM plants and, 110
- CAM pathway, 110, 110
- Camptostoma anomalum* (minnows), 345
- Canis latrans* (coyote), 294, 340, 484
- Canis lupus* (wolves), 343  
(gray wolf), reintroduction, restoration,  
management, 575
- Cannibalism, in food web, 340
- Canopies, 327  
lower, 326  
tropical rain forest vertical stratification  
and, 472, 473
- Capelin (*Mallotus villosus*), 559
- Capillary water, 61
- Capture-recapture methods of sampling, 156
- Carbon (C), 346  
allocation of, in photosynthesis, 99–102, 100,  
111, 111  
biogeochemical cycles, 448–452, 449, 450, 451  
carbonates, 450  
compounds, decomposition rate and, 426, 426  
in decomposition, 432, 433, 434, 435  
as essential mineral for animals, 126  
gain of, in plant tissue production and  
photosynthesis, 99–101, 100  
in mineralization, 431, 431–432  
net gain allocation of, in light environment, 103  
organic, 404–405, 405  
organic, external inputs of, in aquatic ecosystems,  
403–404, 404
- Carbon balance, 99–100, 100
- Carbon cycle  
daily and seasonal variation of, 450, 450  
energy flow and, 448–450, 449  
global, 451, 451–452
- Carbon dioxide (CO<sub>2</sub>)  
atmospheric concentration  
ocean CO<sub>2</sub> uptake effects from, 591, 594, 594  
plants' response to, 594–596, 595, 596  
rise of, 589, 589–591, 590  
in C<sub>3</sub> plants, 107  
in C<sub>4</sub> plants, 107, 107
- in CAM plants, 110  
in carbon cycle, 448–449, 449, 450  
CO<sub>2</sub> fertilization effect, 594  
in decomposition, 430, 430–431, 431  
diffusion of, 95  
emissions, tracking fate of, 591, 591  
as life source, 93  
simple sugars conversion of, as photosynthesis,  
93–94, 94  
stomata and, 98  
uptake of, for aquatic compared to terrestrial  
plants, 98  
water solubility of, 46–47, 47
- Carbon dioxide-carbonic acid-bicarbonate  
system, 46–47
- Carduus pycnocephalus* (thistle), 261–262,  
262, 264
- Caribou (*Rangifer tarandus*), 160, 223, 488  
barren-ground (*Rangifer tarandus  
groenlandicus*), 1, 1
- Carnivores, 274  
in Arctic tundra, 490  
definition of, 123  
desert, 481  
digestive systems of, 124, 125  
extinction and, 572, 575  
nutritional needs of, 126  
plant-herbivore interaction with, 294, 294  
in savannas, 476
- Carolina chickadee (*Parus carolinensis*),  
380, 381
- Carolina parakeet, extinction of, 566, 566
- Carotenoids, 303
- Carpenter, Charles, 3
- Carpinus caroliniana* (hornbeam), 327  
species richness of, 535–536  
zonation and, 328
- Carrying capacity, 204, 205
- Carthamus lanatus* (thistle), 261–262, 262, 264
- Carya* spp. (hickory)  
*C. cordiformis* (bitternut hickory), 321  
species richness of, 535–536  
*C. glabra* (pignut hickory), 321  
*C. ovata* (shagbark hickory), 321, 322  
*C. tomentosa* (mockernut hickory), 321  
species richness of, 535–536  
zonation and, 328, 328
- Castanea dentata* (chestnut trees), 570  
American chestnut, 304, 323
- Castor* spp. (beaver)  
*C. canadensis*, 139, 390, 390  
mating in, 193
- Categorical data, 8
- Cation exchange capacity (CEC), 62, 62–63
- Cations, in seawater, 45
- Catoptrophorus semipalmatus* (willet), 519
- Cattail (*Typha*), 247
- Ceanothus*, 248, 248–249
- Cedar Creek Long Term Ecological Research  
(LTER), 8
- Cedar waxwing (*Bombicilla cedrorum*), 313
- Ceiba pentandra*, 105, 106
- Cellular respiration (aerobic respiration), 94
- Cellulose, for herbivores, 123
- Centers for Disease Control and  
Prevention, U.S., 88
- Central American ants (*Pseudomyrmex* spp.), 311
- Centrocercus urophasianus*  
greater sage grouse, courtship display of, 198  
sage grouse, 484
- Ceratotherium simum* (white rhino), 577
- Cercidium* spp. (palo verde), 481
- Cercis canadensis* (redbud), 485  
species richness of, 535–536
- Cereus giganteus* (saguaro), 481
- Cerrado*, 474, 474
- Cervus* spp.  
*C. canadensis* (elk), 160  
*C. elaphus* (elk)  
harems of, 194, 195  
sodium deficiency in, 126  
*C. elaphus* (red deer), 173
- Chamaedaphne calyculata* (leatherleaf), 523
- Chamise (*Adenostoma fasciculatum*), 483, 483
- Chaoborus* (midge salamander), 343, 343
- Charnov, Eric, 284, 284–285, 285
- Cheatgrass (*Bromus tectorum*), invasive  
spread of, 570, 570
- Checkered white butterfly (*Pieris protodice*), 231
- Checkerspot butterflies (genus *Euphydryas*), 224
- Chemical defense, as predator defense,  
285–286, 286
- Chemical ecology, 3
- Chemical weathering, 58
- Chemoautotrophs, 93
- Chen caerulescens caerulescens* (lesser snow  
goose), disturbance from, 390
- Chestnut blight  
*Cryphonectria parasitica*, 570  
*Endothia parasitica*, 304
- Chestnut trees (*Castanea dentata*), 570
- Chihuahuan Desert, 481
- Chimpanzee (*Pan troglodytes*), 473
- Chinook salmon (*Oncorhynchus tshawytscha*),  
148–149, 149
- Chinquapin (*Chrysolepis sempervirens*), 483
- Chipmunks (*Eutamias*)  
*Tamias alpinus*, 265, 265–266  
*Tamias amoenus*, 265, 265–266  
*Tamias minimus*, 265, 265–266  
*Tamias speciosus*, 265, 265–266  
*Tamias striatus*, 138
- Chlorine (Cl)  
as essential mineral for animals, 126  
as micronutrient, 113
- Chlorophyll  
levels of, light level and, 101  
nitrogen and, 114  
in photosynthesis, 93, 101, 107
- Chondrus* (Irish moss), 516
- Choristoneura fumiferana* (spruce budworm),  
220, 488
- Choromytilus meridionalis* (black mussel),  
intraspecific competition on, 211
- Christensen, Villy, 415
- Chromium (Cr), as essential mineral  
for animals, 126
- Chromosomes, 72
- Chronosequences, 363, 363
- Chrysolepis sempervirens* (chinquapin), 483
- Chrysomelidae beetles, 71
- Chrysops* spp. (deer flies), 490
- Circadian rhythm, 142–143
- Circannual rhythms, 144
- Circus pygargus* (Montagu's Harrier), 280, 281
- Clam (*Mya arenaria*), 278
- Clark, Colin W., 561
- Clark's nutcracker (*Nucifraga columbiana*), 312
- Clausen, J., 80
- Cleaning mutualism, 311, 311
- Clear-cutting, 551, 551, 554
- Clements, Frederick, 332–333, 333, 360, 369–370,  
370, 371, 467
- Clemson salamander (*Plethodon jordani  
clemsonae*), 81
- Cleptoparasitism* (parasitism by theft), 299
- Clethrionomys glareolus* (bank vole), 189, 280, 281
- Climate. *See also* Global climate change;  
Microclimate(s)  
biomes and, 467–468, 468  
of boreal forest, 487  
change

- Climate (*continued*)  
 global, 589–610 (*See also* Global climate change)  
 ground finch beak size and, 74, 74–77, 75, 76  
 definition of, 19  
 ENSO and, 31, 31–32, 32  
 in Great Plains, 31  
 latitude and, 467–468, 468  
 Little Ice Age, 30  
 physical environment and, 19–34  
 in soil formation, 59  
 solar radiation and, 31  
 species richness and, 532, 532–533, 533  
 topography and, 32–33  
 of tropical rain forest, 471, 471  
 variations in, at regional scale, 30–32, 31, 32  
 vegetation compared to, 464–465
- Climate diagrams  
 of Bulawayo, Zimbabwe, 470  
 of tropical forest ecosystems, 471
- Cline, 80
- Clones, of plants, 151, 153, 153
- Closed ecosystem, 395
- Closed population, 166  
 African elephant (*Loxodonta africana*) as, 165, 165
- Clostridium* bacteria, 453
- Clupea harengus* (Atlantic herring), 559
- CO<sub>2</sub> fertilization effect, 594
- Coarse particulate organic matter, 503–504
- Coastal ecosystems, 515–525  
 eutrophication in, 498  
 global climate change and, 605–606, 606  
 global warming and, 605–606, 606  
 nutrient cycling in, 426, 427, 441–442, 442  
 land and marine environments influence of, 441–442, 442  
 tidal subsidy in, 442
- Coastal sand dune  
 erosion of, 388, 388  
 primary succession in, 358, 358
- Cobalt (Co), as essential mineral for animals, 126
- Coccolithophores, 507, 507
- Coccothraustes* spp. (grosbeaks), 488
- Cod (*Gadus morhua*), 188, 561  
 fishing for, 558–560, 559, 560
- Codominant, 72, 72
- Coevolution  
 competition and phenotypic variation from, 243–244, 244, 245  
 definition of, 241  
*Ensifera ensifera* (sword-billed hummingbird) and, 243, 243  
 pollination and, 241–243, 243  
 of prey and predator, 241, 242, 243–244, 244, 285  
 of sword-billed hummingbird and passion flower, 243, 243
- Cohen, Joel E., 204
- Cohesion, of water, 41
- Cohort  
 definition of, 169  
 in life table construction, 169
- Cohort life table, 169–170
- Coleoptera  
 beetles, 71  
 species richness of, 529
- Colinus virginianus* (bobwhite quail), 155  
 age structure of, 157, 157
- Collectors, 503, 504, 504, 505
- Collema tunaeforme* (lichens), 453
- Colloids, 62
- Colonization  
 definition of, 226  
 dispersal and, on reproduction mode, 233  
 extinction and, in metapopulation dynamics, 226, 226–227, 227, 385  
 in metapopulation, 232–233
- Commensalism, 239  
 definition of, 239  
 example of, 240
- Common beech, global climate change and, 598, 599
- Common buckeye (*J. coenia*), 386–387
- Common bulrush (*T. latifolia*), 247, 247, 266
- Common eider (*Somateria mollissima*), 182
- Common lichen (*Stereocaulon vulcani*), litter breakdown of, 395
- Common lizard (*Lacerta vivipara*), 186
- Common mole (*Microtus arvalis*), 280
- Community(ies)  
 association in, 331–333  
 boundaries between, defining, 328, 329–330, 330, 331  
 changing, shifting mosaic of, landscape representing, 391, 391  
 concept of, revisited, 369–370, 370, 371  
 continuum concept of, 332–333, 333, 370, 370  
 contrasting views of, 328, 331–333, 332, 333  
 coral reefs as, 323  
 definitions of, 4, 321, 336  
 diversity in  
 environmental heterogeneity and, 321, 321–323, 322, 348, 348–349, 349  
 plant, resource availability and, 349, 349–350  
 diversity indexes for, 322–323  
 dominance in, 323  
 dynamics of, 354–371  
 monoclimate hypothesis of, 360  
 food webs in, 324, 325  
 gap formation in, 388  
 habitat conservation of, 579  
 individualistic, organismic (continuum) concepts of, 332–333, 333, 369–370, 370, 371  
 larger scale disturbance responses by, 388  
 level of, 5, 6  
 metacommunity, 385  
 null model of, 336  
 old-field, 354, 354, 356–357  
 agriculture and, 257  
 pelagic, vertical zone variations and, 507, 507–508, 508  
 protection of, habitat conservation in, 579, 580, 581, 581–582, 582  
 restoration projects for, 582–583, 583  
 salt marsh, salinity and, 338–339  
 Sorenson's coefficient of, 332  
 species evenness in, 322  
 species interactions in, 337, 339  
 diffuse, 337, 338–339, 340, 340  
 food webs and, 324, 325, 340, 340–344, 341–342, 343  
 species richness of, 321  
 structure of  
 association in, 331–333  
 changes in  
 over geologic time, 367, 367–369, 368, 369  
 physical, 326, 326–328, 327  
 systematic, from allogenic environmental change over time, 365–367, 366  
 through time, 354–355, 356–357, 358  
 keystone species and, 323–324, 324  
 niche constraint of, 336, 336–337, 337  
 systematic changes in, from allogenic environmental change at variety of timescales, 365–367, 366  
 zonation in, 328, 328–330, 329, 330, 331
- Community ecology, 3  
 community structure in, 321–333
- Community similarity, 332
- Compensation depth, 401–402, 402
- Competition, 239  
 apparent, 341–343, 342  
 biotic and abiotic factors in, 270 (*See also* Interspecific competition)
- chemical interactions in, 252  
 contest, 207–208  
 definition of, 239  
 encounter, 252  
 intraspecific, 212–213, 213, 216, 216 (*See also* Intraspecific competition)  
 limited resources and, 207–208  
 nonresource factors' influence on, 256–257, 257  
 overgrowth, 252  
 phenotypic variations from, 243–244, 244, 245  
 preemptive, 252  
 scramble, 207–208  
 species interactions, environmental gradients and, 261–262, 262, 263–264, 264–266, 265  
 stress tolerance and, 345, 345–348, 346, 347  
 territorial, 252
- Competitive exclusion principle, 256
- Competitive release, 266
- Condensation, 27
- Conductive heat transfer, 132
- Confer, John, 302
- Conifer forests, 486, 486–488, 487
- Coniferous vegetation, 64
- Connectance, food webs and, 341
- Connectivity, 384
- Connell, Joseph, 269, 360–361
- Connochaetes taurinus* (wildebeest), 479  
 parasitic disease of, 304
- Conopholis americana* (squawroot), 300, 300
- Conservation  
 environmental ethics at core of, 583–584  
 habitat restoration in, 582–583, 583  
 population protecting as key to, 576, 576–577, 577  
 regions of high species diversity in, 572–574, 573, 574  
 self-interest for, 584  
 soil, 549, 550  
 threatened species identification in, 572  
 water, 549, 551, 581, 582
- Conservation ecology, metapopulation concept in, 576–577
- Constitutive defenses, for predator defense, 289
- Consumption efficiency, 411, 411
- Contest competition, 207–208
- Continental shelf, 510
- Continuous rotation, of crops, 547
- Continuum concept, of community, 332–333, 333, 370, 370
- Contopus virens* (wood pewee), 349, 380, 381
- Convection, 132–133
- Convergent evolution, 464, 465, 484
- Copepods (Harpacticoida), 518
- Copper (Cu)  
 as essential mineral for animals, 126  
 as micronutrient, 113
- Copper beech (*Fagus sylvatica*), 102
- Coprohagy, in herbivores, 124–125
- Coquina crab (*Donax*), 518
- Coral  
 crabs and, 308–309  
*Oculina arbuscula*, 323  
 polyps, 306–307, 307
- Coral reefs  
 building of, 509, 509–510  
 as community, 323  
 Cordgrass (*Spartina* spp.), 439
- Coreopsis tripteris*, 258–259
- Core population, 225
- Coriolis, G. C., 25
- Coriolis effect, 25, 25–26, 26
- Cork oak (*Quercus suber*), 483
- Corn, 547, 548, 606, 607, 608
- Cornus spp. (dogwood), 327  
*C. florida* (flowering dogwood), 304, 321  
 species richness of, 535–536

- Corophium* spp. (arthropod), 280
- Corridors  
dispersal and, 384, 384–385, 386–387  
habitat, 582
- Coscinodiscus angustii*, 278
- Cosmopepla bimaculata* (stinkbug), predator defense of, 286, 286
- Cotesia marginiventris* (parasitoid wasp), 293
- Cottids (*Cottidae*), 144
- Cottus asper* (prickly sculpin), 266
- Cotyledons, 108–109
- Countercurrent heat exchange, 139, 139–140
- Cowles, H. C., 358, 358, 360
- Coyote (*Canis latrans*), 294, 340, 484
- Crabs  
big-handed, fecundity of, 184  
blue (*Callinectes sapidus*), 278  
coquina (*Donax*), 518  
coral and, 308–309  
decomposition by, 421, 422  
fiddler (*Uca* spp.), 144, 144–145, 519  
ghost (*Ocyrope quadrata*), 329, 330  
mole (*Emerita talpoida*), 329, 330, 518  
rock, 516
- Crassulacean acid metabolism (CAM), 107, 110, 110
- Crenicichla alta* (guppy), 185–186, 186
- Creosote bush (*Larrea*), 110, 481
- Crespi, Bernard, 248, 248–249
- Cress (*Lepidium sativum*), 350
- Crested tits (*P. cristatus*), predation risk of, 285
- Cretaceous extinctions, 530, 530, 566
- Critical day length, 143
- Critical temperatures, 135
- Crocota crocuta* (hyena), 479
- Crossbills (*Loxia* spp.), 488
- Croy, M. I., 279, 280
- Crude birthrate, 173
- Cryoplanation, 489, 489
- Cryphonectria parasitica* (chestnut blight), 570
- Cryptic coloration  
for predator defense, 286, 286  
predators' use of, 289, 289
- Cuckoo (Cuculidae), 299
- Cultivation, shifting, 552–553
- Cultural eutrophication, 548
- Curculionidae beetles, 71
- Currents  
ocean, 26, 26–27, 31, 31–32, 443  
winds and, 48, 48  
streams/rivers, velocity of, 501, 502–503
- Currie, David, 531, 532, 532–533, 601, 603, 603
- Cut-throat trout (*Oncorhynchus clarki*), 266, 268
- Cutton-Block, Tim, 182
- Cyanobacteria (*Calothrix*), 516
- Cyanocitta stelleri* (Steller's jay), mutualism for, 312
- Cyclic showshoe hare (*Lepus americanus*), 488
- Cymathera triplicata* (kelp), 360, 360
- Cynomys* spp. (prairie dog), 478, 478
- Cypripedium acaule* (pink lady's slipper orchid), 182–183
- Cyr, Helene, 414, 414
- D**
- Dams, 496
- Damsel bugs (*Nagis* spp.), 295, 295
- Danaus plexippus* (monarch butterfly), predator defense of, 286–287, 287
- Dandelions (*Taxaxacum officinale*), 160
- Daphne Major, Galápagos Islands, ground finches of, 73–77, 74, 75, 76, 82–84, 84, 85, 269, 269–270
- Daphnia pulex* (water flea), 343, 343
- Daphnia rosea*, 343, 343
- Darlington, P., 382
- Darwin, Charles, 71, 128  
*The Descent of Man and Selection in Relation to Sex*, 194  
natural selection, 71–72, 464 (See also Natural selection)  
*The Origin of Species*, 2–3, 71  
*Voyage of the Beagle*, 3, 16, 73
- Dash, Madhab, 209, 210
- Data, ecological  
classifying, 8  
displaying, 10, 11
- Davies, Nicholas B., 282, 282
- Davies, Stuart, 102, 102, 103, 104
- Davis, Margaret, 367, 367–369, 368, 604
- Dayan, Tamar, 268, 269
- Day neutral, 143
- Deathrate. See also Mortality  
birthrate compared to, 203, 203, 205, 205  
population growth as difference between, 166, 166, 167, 168, 168–169
- deCandolle, A., 464
- Deciduous forests, 369  
temperate, 468, 469
- Deciduous leaves  
drought-, 468, 469  
winter-, 468, 469
- Deciduous plants, winter, 113
- Decomposition  
in aquatic ecosystems, 434–436, 436, 497–498  
carbon, compounds and, 426, 426  
carbon in, 432, 433, 434, 435  
CO<sub>2</sub> in, 430, 430–431, 431  
complexity of, organism variety for, 421, 422  
dead organic matter fate and, 423, 423, 425, 425  
by earthworms, 421, 422  
global climate change and, 603–604  
nitrogen in, 432, 433, 434, 435  
organic matter nutrients mineralization in, 430, 430–432, 431, 432  
plant litter conversion into soil organic matter for, 432, 432, 433, 434  
rate of  
carbon compounds and, 426, 426  
estimating, 424  
factors influencing, 425–427, 426, 427, 430  
lignin content and, 426, 427  
temperature and, 427, 427, 430, 430
- Deer flies (*Chrysops* spp.), 490
- Deer mice (*Peromyscus maniculatus*), 160
- Definitive host, 301
- Delta, 499, 499, 520
- Demographic stochasticity, 177
- Dendrobates pumilio* (strawberry poison dart frog), predator defense of, 287
- Density dependence, 207  
Allee effect and, 206, 207  
of fecundity, 212–213, 213  
populations regulation and, 206, 206–207, 207
- Density-dependent fecundity, 206, 206, 207
- Density-dependent mortality, 206, 206, 207
- Density independence, population growth and, 219–220, 220
- Dentrification, 453, 453–454, 454
- Deoxyribonucleic acid (DNA), 72  
antibiotic resistance and, 88  
plasmid and, 88
- Derivatives, 167
- The Descent of Man and Selection in Relation to Sex* (Darwin), 194
- Desert(s), 68, 68–69, 465  
CAM pathway in, 110, 110  
carnivores, 481  
cool, 480  
fauna of, 481, 481  
geographic distribution of, 479  
grassland, 477  
hot, 481, 481  
polar, 488, 488  
scrub, 480, 480  
temperate, 480
- Desmognathus ochrophaeus* (Allegheny Mountain salamander), 186
- Detrital food chain, 410, 410
- Detritivores, 123
- Developmental plasticity, 87
- DeWit, C. T., 204
- Dew point temperature, 27
- Diapause, 140, 144
- Diatoms (Diatoma, Gomphenoma), 501, 507
- Diceros bicornis* (black rhino), 577, 577–578
- Differential equations, 167
- Diffuse species interactions, 245–246, 246, 337, 338–339, 340, 340
- Diffusion  
of carbon dioxide, 95  
of oxygen, 45–46, 46  
transpiration and, 96
- Digestive systems, 122  
of carnivores, 124, 125  
of herbivores, 123–125, 124  
of ruminants, 123–124, 124  
of seed-eating birds, 124, 125
- Dijkstra, Cor, 187, 187–188, 188
- Dillon, P. J., 402–403, 403
- Dinosaurs, extinction, 530, 530
- Dipodomys merriami* (kangaroo rat), reproduction for, 220
- Dipole, 44
- Directionality, microclimate and, 33–34
- Directional selection, 75, 76
- Discounting, 561–562
- Discrete data, 8
- Discula destructiva* (anthracnose), 304
- Disease  
black death, 306  
definition of, 299  
Dutch elm (*Ophiostoma novi-ulmi*), 570  
Lyme, 301  
from mosquitoes, climate change and, 608–609  
parasitic, 304
- Dispersal, 159  
colonization and, on reproduction mode, 233  
corridors and, 384, 384–385, 386–387  
definition of, 158–159  
fecundity and, 217, 232  
human-assisted, 162, 162  
insects and, 232  
population density and, 160–162, 161, 162, 216–217  
seeds, from mutualism, 312, 312–313, 313
- Disruptive selection  
for black-bellied seedcracker (*Pyrenestes ostrinus*), 76, 76–77  
for threespine stickleback (*Gasterosteus* spp.), 82
- Dissolved organic matter, 403–404, 436
- Distichlis spicata* (saltgrass), 518
- Distribution  
abundance, moisture and, 370, 371  
age, 175  
frequency, 10  
geographic  
of forest ecosystems, 484, 484–485, 485  
of forests, 467  
of grasslands, 477  
of mangroves, 467  
of savannas, 475  
of temperate shrublands, 482  
of tropical rain forest, 471, 471  
tropical rain forest shrinking, 610  
of tundra, 467

- Distribution (*continued*)  
of glaciers, **64**  
global, of global climate change and ecosystems, **604, 604–605**  
of *Hesperia comma* (skipper butterfly), **229, 229**  
local scale of, **154, 154**  
populations, **151, 153, 153**  
density and, abundance in, **153–155, 154, 155**  
spatial  
distribution patterns, **154, 154–155, 155, 370, 370, 371**  
populations patterns of individuals in, **154–155, 155**  
stable age, **175, 175**  
vertical  
of forest birds, **327**  
light, in terrestrial ecosystem plant cover, **54, 54–57, 55, 56–57**
- Disturbances  
affecting succession, **358–360, 359, 360, 361, 363–365, 364**  
from birds, **390**  
definition of, **385**  
from human activity, long-lasting effects of, **390–391, 391**  
impact of, **385, 388, 388**  
larger scale, community responses to, **388**  
natural processes functioning as, **388, 388–390, 389, 390**
- Divergent selection, **82**
- Diversity. *See also* Biological diversity; Species diversity  
dominance compared to, **323**  
local, **534**  
regional, **531, 534, 536**  
similarities among, **464–465**  
species richness and, in succession, **363, 363**
- Diversity indexes, **322–323**
- Diving beetles, **70**
- Dodson, Stanley, **343, 343**
- Dogwood (*Cornus* spp.), **327**  
flowering dogwood (*Cornus florida*), **304, 321, 535–536**
- Dolomedes* spp. (water spiders), **41**
- Dominance  
diversity compared to, **323**  
numerical supremacy defining, **323**
- Dominants, **323**
- Dominant allele, **72, 72**
- Dominant species, **323, 340, 362, 362**
- Donax* (coquina crab), **518**
- Douglas fir trees (*Pseudotsuga menziesii*), **183**
- Downing, John, **402, 402**
- Downy woodpecker (*Picoides pubescens*), **302, 303**
- Dragonfly larvae (*Anax longipes*), **290–291**
- Dreissena polymorpha* (zebra mussel), as invasive species, **570, 570**
- Drosophila* (fruit flies), **305**
- Drought-deciduous leaves, **468, 469**
- Dry deciduous plants, **107**
- Dryfall, **448**
- Duggins, David, **359**
- Dumetella carolinensis* (gray catbird), **380, 381**
- Dung beetles, **70**
- Dusky flycatchers (*E. oberholseri*), **260**
- Dust Bowl, **356–357**
- Dutch elm disease (*Ophiostoma novi-ulmi*), **570**
- Dye, Peter, **257, 260, 260**
- Dyer, James M., **330, 331**
- Dynamic composite life table, **170**
- Dynamic life table, **170, 170**
- Dystrophic lakes, **499**
- Dytiscidae beetles, **71**
- E**
- E. claudia* (variegated fritillary), **386–387**
- E. oberholseri* (dusky flycatchers), **260**
- E horizon, **60**
- Early successional species (pioneer species), **355**
- Earth  
population growth of, **204**  
solar radiation on, **19, 19–20, 20**  
seasonal variability of, **21, 21–22, 22**  
tilt of, variation in, global climate change and, **589**  
water cycles between, atmosphere and, **37, 37–39, 38, 39**  
water volume on, **38–39**
- Earth Day, **2**
- Earthrise, **2**
- Earthworms, decomposition by, **421, 422**
- Eastern coral snake (*Micrurus fulvius*), mimicry in, **287, 287**
- Eastern hemlocks (*Tsuga canadensis*), **570–571**
- Eastern phoebe (*Sayornis phoebe*), range of, **599, 601**
- Ecological biogeography, **465**
- Ecological data  
classifying, **8**  
displaying, **10, 11**
- Ecological density, **155**
- Ecological genetics, **71–89**
- Ecological niche  
definition of, **246**  
illustration of, **246**
- Ecology  
of antibiotic resistance, **88**  
definition of, **2**  
hierarchy in, **4–5**  
human-assisted dispersal in, **162, 162**  
individual as basic unit of, **13**  
nature of, **1–13**  
other disciplines and, **12–13**  
roots of, **3**  
types of, **3**
- Economics  
benefit-cost analysis in, **560–561**  
discounting in, **561–562**  
externalities in, **562**  
in resource management, **560–562, 561**  
sustainable harvest models and, **558**
- Ecosystem(s), **4**  
aquatic, **401–404, 402, 403, 404** (*See also* Aquatic ecosystem(s))  
closed, **395**  
coastal, **515–525** (*See also* Coastal ecosystems)  
definition of, **4**  
global climate changes affecting, **598–599, 599, 600, 601, 601, 603, 603–604**  
global distribution of, **604, 604–605**  
level of, **6**  
open, **395**  
terrestrial, **398, 398–401, 399, 400, 401** (*See also* Terrestrial ecosystem(s))  
two major food chains for, **410, 410**
- Ecosystem ecology, **3**
- Ecosystem energetics, **397–416**
- Ecosystem nutrients  
inputs of, **447, 447–448**  
outputs of, **447, 448**
- Ecosystem services, **544**
- Ecotone, **377**
- Ecotypes, **80, 81**
- Ectothermy, **136–138, 137**  
definition of, **133**
- Edge effect, **378**
- Edge species, **378, 380, 381**
- Eelgrass (*Zostera marina*), **506, 506**
- Effective population size, **576**
- Egler, F., **360**
- Ehrlich, Paul, **224, 225, 231–232, 232**
- Elaiosome, **312, 312**
- Elaphe vulpina* (fox snake), **287**
- Elk  
*Cervus canadensis*, **160**  
*Cervus elaphus*  
harems of, **194, 195**  
sodium deficiency in, **126**
- El Niño  
Galápagos Islands and, **16**  
Southern Oscillation Index and, **214–215**
- El Niño–Southern Oscillation (ENSO)  
climate and, **31, 31–32, 32**  
marine iguana mortality and, **128–129**  
trade winds and, **31, 31**
- Elton, Charles, **246**
- Emerald ash borer (*Agilus planipennis*), **571**
- Emerita talpoida* (mole crab), **329, 330, 518**
- Emery, Nancy, **347, 347–348**
- Emigrants, habitat patches and, **230–231, 231**
- Emigration, **159**
- Empidonax wrightii* (gray flycatchers), **260**
- Encounter competition, **252**
- Endemic species, **572–573, 574**
- Endomycorrhizae, soil nutrients and, **310, 310–311**
- Endothermic, **397**
- Endothermy, **136–138, 137**  
definition of, **133**
- Endothia parasitica* (chestnut blight), **304**
- Energy  
acquisition of, by animals, **122–125, 123, 124**  
allocation, time and, in foraging decisions, **281–282, 282, 283**  
decrease of, trophic levels and, **415, 415–416**  
exchange of, with environment in animals, **130–131, 131, 132–133, 133**  
Gibbs free, **96**  
inputs of, and agriculture, **538–539, 544–545, 548, 548**  
kinetic, **397**  
light energy interception, **55**  
mechanization for, **538–539**  
in photosynthesis process, primary production and, **397–398, 398**  
potential, **397**  
primary production of  
plant life-form and, **404–405, 405**  
secondary production limits and, **406–407, 407, 408**  
time and, **405, 405–406, 406**  
release of, from food in animals, oxygen and, **127, 127, 130**  
solar, **56, 56, 69**  
steam engines for, **538**  
watts of, **538**
- Energy balance  
with environment, plant temperature and, **98–99, 99**  
greenhouse gases influencing, **589**
- Energy flow  
bottom-up control of, **412–413**  
carbon cycle and, **448–450, 449**  
consumption efficiency and, **414, 414, 415**  
decrease in, at successive trophic levels, **415, 415–416**  
laws of thermodynamics for, **397**  
quantification of, through trophic levels, **411, 411**  
top-down control of, **407, 412–413**
- Engelmann spruce (*Picea engelmannii*), **486**
- English elm  
*Ulmus glabra*, **304**  
*Ulmus procera*, **570**
- Enhydra lutris* (sea otters), **324**
- Ensifera ensifera* (sword-billed hummingbird),  
coevolution and, **243, 243**

- ENSO index, 32, 214–215
- Entisol  
description of, 63  
location of, 64
- Entophysalis* (green algae), 516
- Entropy, 397
- Environment(s)  
aquatic, 37–50 (See also Aquatic ecosystem(s))  
carrying capacity of, 204, 205  
definition of, 2, 4  
energy balance with, plant temperature and, 98–99, 99  
extinction from extreme, 177, 566  
on fecundity, 203, 203, 204, 204–205  
genotype interaction with, 192, 192, 193  
life history patterns characteristics and, 198–199  
light  
carbon net gain allocation in, 103  
leaves size and shape and, 102, 103, 104  
roots production and, 102, 105, 108–109  
limited population growth and, 203, 203, 205, 205–206, 206  
male-female relationships and, 194  
marine coastal, 48–49, 49  
organisms and, 68–89  
phenotypic variation and, 73  
physical, 16–34  
terrestrial, 52–65 (See also Terrestrial ecosystem(s))  
variations in, organisms' response to, 86, 86–89, 87, 88  
wet compared to dry, seed size in, 190–191  
wetland, 115–116, 116
- Environmental economics, 562
- Environmental ethics, 583–584
- Environmental heterogeneity, community diversity and, 321, 321–323, 322, 348, 348–350, 349
- Environmentalism, definition of, 2
- Environmental lapse rate, 13, 24
- Environmental Protection Agency, U.S., 33, 38, 548
- Environmental science, 13
- Environmental stochasticity, 177
- Environmental sustainability, 541–542
- Ephemeroptera* spp. (mayflies), 41
- Epidemics, 306
- Epifagus virginiana* (beechdrops), 300
- Epifauna, 517–518
- Epilimnion, 42–43, 43, 326  
dams and, 496
- Epipelagic zone, of ocean, 506, 507
- Equatorial low, 25
- Equatorial zone, tropical rain forests in, 471, 471–472, 472, 473, 474, 474
- Equinoxes, 21, 21
- Equus* spp. (zebra), 479
- Erethizon dorsatum* (quill-bearing porcupine), 488
- Erigeron canadensis* (horseweed), intraspecific competition on, 210, 211
- Erosion  
of coastal sand dunes, 388, 388  
in lake formation, 494  
soil conservation methods and, 549, 550  
in tilled fields, 547, 547, 548
- Eschrichtius robustus* (gray whale), 160
- Esox* spp. (pickerel), 497  
*E. lucius* (northern pike), 501
- Estuaries  
formation of, 504–505  
nutrient cycling in, 441–442, 442  
water salinity of, 49, 49–50, 506
- Ethology, 3
- Ethylene, 116
- I-8**
- Eucalyptus* spp., 9, 9, 483  
*E. dives* (broadleaf peppermint)  
allocation of net carbon gain in light environment, 103  
water availability and, 111  
*E. saligna*, carbon allocation in, water availability and, 111
- Euclea divinatorum*, 155, 155, 160
- Euphydryas editha* (bay checkerspot butterfly), dynamics of, 224, 225
- Euphydryas editha bayensis* (bay checkerspot butterfly), habitat of, 231, 231
- Eurasian pygmy owl (*Glaucidium passerinum*), 285
- European corn borer (*Ostrinia nubilalis*), 284–285
- European elm bark beetles (*Scolytus multistriatus*), 301
- European kestrel (*Falco tinnunculus*), 187, 187–188, 188
- European rabbit (*Oryctolagus cuniculus*), 126
- European red squirrel, fecundity of, 184
- Eutrophication, of lakes, 498, 499
- Eutrophy, 498
- Evaporation, 27, 39  
body heat and, 136  
salinization and, 64, 65  
transpiration and, 97
- Evapotranspiration, 38–39  
actual, 399, 399, 532, 532–533  
potential, 523, 532–533
- Evening primrose (*Onagraceae*), 571, 572
- Everglades National Park, 525
- Evergreen leaves, 468
- Evergreen tree, 468, 469  
*Myrica faya*, 394
- Evolution, 71  
convergent, 464, 465, 484  
of life history, trade-offs in, 182  
of prey defenses, 285–289, 286, 287, 288, 289
- Exothermic, 397
- Exploitation, 208
- Exponential population growth, 168, 168–169, 169, 203, 205
- Externalities, in resource management, 562
- Extinction(s)  
body size and, 233  
carnivores and, 572, 575  
colonization and  
in metapopulation dynamics, 226, 226–227, 227, 385  
in species and metapopulation, 232–233  
Cretaceous, 530, 530, 566  
from extreme environment, 177, 566  
from habitat destruction, 530, 566, 566–568, 567, 568  
light extinction coefficient, 56  
of mammals, 531  
mass extinction event, 566  
Permian, 530, 530, 566  
Pleistocene, 530  
population  
factors leading to, 177, 177  
new species introduction and, 568–571, 569, 570  
susceptibility of small populations to, 177–178, 178  
from resource shortage, 177, 177  
susceptibility to  
of differing species, 571, 571–572, 572  
temperature regulation mode and, 233  
synchronicity, 231–232  
temperature regulation mode and, 233  
theory of island biogeography and, 383, 383  
time clusters of, 530, 530, 566  
in tropics, deforestation and, 605
- F**
- F. caracal*, 268, 269  
*F. chaus*, 268, 269  
*F. silvestris*, 268, 269
- Facilitation model, of succession, 360
- Fagus* spp. (beech)  
*F. grandifolia* (American beech), 158, 158  
species richness of, 535–536  
succession in, 355, 355, 358  
*F. sylvatica* (copper beech), 102
- Falco* spp.  
*F. peregrinus* (peregrine falcon), 578  
*F. tinnunculus* (European kestrel), 187, 187–188, 188
- Fall cankerworms (*Alsophila pometaria*), predation of, 292, 292
- Fauna  
of boreal forest, 488  
of deserts, 481, 481  
epifauna, 517–518  
of forest ecosystems, 485  
of grasslands, 478, 478–479  
infauna, 517–518  
of mangrove forests, 519–520  
meiofauna, 517–518  
in savannas, 476  
of temperate shrublands, 484
- Fecundity, 183, 183  
age and size related to, 184, 184  
decreased, intraspecific competition and, 212, 213, 216  
density-dependent, 206, 206, 207  
dispersal and, 217, 232  
environment on, 203, 203, 204, 204–205  
food supply and, 220, 220  
habitat selection and, 184, 218–219  
survival and, trade-offs between, 185–188, 186, 187, 188, 195, 197
- Fecundity table, 174, 174
- Felis* spp.  
*F. canadensis* (lynx), 488  
*F. lynx* (lynx), 294, 294
- Fens, 521–522
- Fermentation, 123
- Ferrel, William, 26
- Ferrel cell, 26, 26
- Ferrous sulfide (FeS<sub>2</sub>), 456, 458
- Fertilizer, nitrogen, 437, 549, 550
- Ficus* spp. (strangler figs), 472
- Fiddler crabs (*Uca* spp.), 144, 144–145, 519
- Field capacity (FC), 61, 62
- Field experiments, 8, 8–9, 9, 82–83
- Filter effect, 385
- Filtering collectors, 503
- Finches  
ground (*Geospiza* spp.), 73–77, 74, 75, 76, 82–84, 84, 85, 269, 269–270  
species of, on Galápagos Islands, 84, 85
- Fine particulate organic matter, 503–504
- Fingernail clam (*Sphaerium*), 501
- Finite multiplication rate, 175–176
- Fire(s), 448  
in boreal forest, 487  
as disturbance, 388, 388, 389  
pyromineralization from, 552  
in swidden agriculture, 545, 545
- First law of thermodynamics, 397
- Fish  
anadromous, 506  
buoyancy of, 142, 142  
freshwater, water balance maintenance in, 141  
harvest of, per person, 541  
in limnetic zone, 497  
marine  
herbivorous, energy/nutrient acquisition by, 125  
water balance maintenance in, 141

- Fish (*continued*)  
 as poikilotherms, 134  
 respiratory systems of, 127, 130  
 thermoregulation of, 134
- Fisheries management  
 ecosystem approach to, 558, 558–560, 559, 560  
 exploitation and, 556–558, 557  
 maximum sustainable yield in, 557  
 wetlands decline and, 524–525
- Fitness, in natural selection, 71, 74, 75
- Fitter, Alastair, 232
- Flashing coloration, for predator defense, 286, 286
- Flatworm (*Phagocytes vernalis*), 140
- Flea beetles (Chrysomelidae), 70
- Fleas  
 beach flea (*Orchestia* spp.), 329, 330  
 water flea (*Daphnia pulex*), 343, 343
- Flooding  
 in Botswana, 520  
 dams and, 496
- FloUNDER (*Paralichthys*), predator defense of, 286, 286
- Flowering dogwood (*Cornus florida*), 304, 321  
 species richness of, 535–536
- Flowing water ecosystems, 44, 44, 499, 499–500, 500. *See also* Streams/ivers  
 adaptation in, 501, 501–504, 504
- Fluorine (F), as essential mineral for animals, 126
- Flying squirrel (*Glaucomys volans*), 143, 143  
 convergent evolution and, 464–465, 484
- Flynn, Richard, 264, 264
- Fontinalis* (water moss), 501, 502
- Food  
 availability of, species size variability and, 128–129  
 earth's population related to, 204  
 energy release from, 127, 127, 130  
 fecundity and supply of, 220, 220  
 productive food patches, 284, 284–285, 285
- Food and Agricultural Organization, 408–409
- Food and Drug Administration Center for Veterinary Medicine, U.S., 88
- Food chains  
 bottom-up control in, 344–345  
 definition of, 324  
 detrital, 410, 410  
 top-down control in, 345
- Food webs  
 apparent competition in, 341–342, 342  
 in boreal forest, 337, 340, 340  
 cannibalism in, 340  
 connectance and, 341  
 intermediate species in, 324, 325  
 keystone predation in, 341  
 plankton, 508, 508  
 species interactions and, 324, 325, 340, 340–344, 341–342, 343  
 structure of, quantifying, 341
- Foraging  
 optimal, 281–282, 282, 283  
 model of, 283  
 productive food patches in, 284, 284–285, 285  
 risk of predation and, 285  
 time and energy allocation decisions in, 281–282, 282, 283
- Forests  
 geographic distribution of, 467  
 products from, 551
- Forest ecosystem(s)  
 birds' vertical distribution in, 327  
 boreal, 486, 487–488  
 canopy in, 326, 327  
 carbon cycling in, 450  
 clearing of, 355, 355, 356–357, 358  
 conifer, 486, 486–488, 487  
 deforestation and, 566–567, 567, 568
- fauna of, 485  
 geographic distribution of, 484, 484–485, 485  
 invasive species in, 570–571  
 nitrogen saturation in, 457–458  
 soil of, 485  
 spatial patterns of, 370, 370, 371  
 sustainability in, 551, 551, 554, 554–556, 555, 556  
 temperate zone domination by, 484, 484–485, 485  
 tropical, 471, 471–472, 472, 473, 474, 474  
 zonation in, 328, 328, 330, 331
- Forest floor, 58, 439
- Forest strata, 326, 327
- Formations, 464–465
- Forster, Johann Reinhold, 382
- Fossil fuels, burning of, rising CO<sub>2</sub> concentrations and, 589, 589–590, 590
- Fouquieria* spp. (ocotillo), 481
- Fowler, Norma, 337
- Fox, Michael, 186
- Foxes (*Vulpes* spp.)  
 mating in, 193  
*V. vulpes* (red fox), 125
- Fox snake (*Elaphe vulpina*), 287
- Francisella tularensis* (tularemia), 303
- Franseria* spp. (bur sage), 481
- Fraser firs (*Abies fraseri*), 570
- Fraxinum* spp. (ash trees), 571
- F. americana* (white ash), 98, 321
- F. velutina* (ash), decomposition rates of, 425
- Free Air CO<sub>2</sub> Experiment (FACE), 595, 595, 596
- Frequency  
 disturbances and, 388, 388  
 in genetics, 73
- Frequency distribution, 10
- Freshwater, 44–45, 49, 49–50, 141
- Fresh water applesnail (*Pomacea canaliculata*), 192, 193
- Freshwater ecosystems. *See also* Lakes/ponds; Streams/ivers  
 carbon cycling in, 449, 450  
 net primary productivity in, 402–403, 403  
 sediment deposition and, 366–367  
 wetlands, 520, 520–523 (*See also* Wetlands)
- Freshwater hydra, 166, 166–168
- Frogs, 134–135, 139, 287  
 gray tree frogs (*Hyla versicolor*), 290–291  
*Rana tigrina* (tadpoles), population density of, 209–210, 210
- Frost hummocks, 489
- Frugivores, seed dispersal and, 312–313, 313
- Fruit flies (*Drosophila*), 305
- Fucus* spp. (rockweed), 516, 516, 560, 561  
*F. vesiculosus* (marine algae), 436
- Functional connectivity, 384
- Functional response, of predator  
 type I, 277, 277–278  
 type II, 277, 278–279  
 type III, 277, 278, 278–279
- Functional type, of species, 324–326
- Fundamental niche  
 community structure constraints and, 336, 336–337, 337  
 definition of, 247  
 realized niche compared to, 247, 247–248
- Fundulus parvipinnis* (killifish), 303, 304
- Fungus, 245, 245, 300, 304, 310  
 decomposition by, 421, 422  
 in lichens, 307, 307  
 plants and, 311
- Fynbos, 482–483, 483
- Galápagos Islands  
 El Niño and, 16  
 finch species on, 84, 85  
 ground finches of, 73–77, 74, 75, 76, 82–84, 84, 85, 269, 269–270  
 marine iguana, 128–129  
 penguins of, 16–17, 17
- Galápagos penguin (*Spheniscus mendiculus*), 16–17, 17
- Gambel oak (*Quercus gambelii*), 483
- Gammarus*, 279
- Gap, disturbance and, 388
- Garton, Edward O., 233
- Gaseous cycles, 447
- Gasterosteus* spp. (threespine stickleback), 83  
*G. aculeatus* (threespine stickleback), 82, 266, 268
- Gathering collectors, 503
- Gause, G. F., 253, 255, 255
- Gelisol  
 description of, 63  
 location of, 64
- Gene expression, 72
- Gene flow, 77
- Gene pool, 77  
 definition of, 73
- General circulation models (GCMs), 596–598, 597, 605
- Genes  
 definition of, 72  
 as units of inheritance, 72
- Genet, definition of, 151
- Genetic differentiation, 73  
 from natural selection, 80, 80–81, 81
- Genetic diversity, from geographic diversity, 245
- Genetic drift, 77
- Genetics  
 ecological, 71–89  
 frequency in, 73  
 population, 3
- Genetic variation  
 genetic drift and, 77  
 Hardy-Weinberg principle and, 77, 78–79  
 heterozygous individuals in, 72, 78, 78–79  
 inbreeding and, 78–79  
 inbreeding depression as, 79  
 migration and, 77  
 at population level, 73  
 processes altering patterns of, 77–80, 78–79
- Genome, 72
- Genotype  
 definition of, 72  
 environment interaction with, 192, 192, 193  
 frequencies, 73  
 as measure of genetic variation, 77, 78–79  
 for randomly mating population, 78–79  
 phenotype compared to, 72–73
- Genovesa, Galápagos Islands, 128–129
- Geographic isolates, 80–81, 81
- Geographic range, 151, 153, 153
- Geographic variation, cline and, 80, 80
- Geologic time  
 biological diversity changes through, 529, 529–530, 530  
 community structure changes over, 367, 367–369, 368, 369  
 regional diversity and, 536  
 species richness and, 529, 529
- Geometric population growth, 176
- Geomys* spp. (gophers), 478
- Geophysical Fluid Dynamics Laboratory (GFDL), 599
- Geospiza fortis* (ground finch), 73–76, 74, 75, 76, 269, 269–270
- Gerridae* spp. (water strider), 41, 41
- Gerris* (water strider), 501
- Ghost crabs (*Ocypode quadrata*), 329, 330



- Giant clams (*Tridacna, Hippopus*), 510
- Giant kelp (*Macrocystis pyrifera*), 53, 53–54
- Giant sequoia (*Sequoiadendron giganteum*), 487
- Gibbs, Willard, 96
- Gibbs free energy, 96
- Gills, in respiration, 127, 130
- Glaciation, species richness and, 534
- Glaciers, 367–368
- advance/retreat of, primary succession after, 358, 359, 362, 363
  - distribution of, 64
  - lake formation from, 494, 495
- Glasswort (*Salicornia* spp.), 518, 518, 519
- Glaucidium passerinum* (Eurasian pygmy owl), 285
- Glaucmys volans* (flying squirrel), 143, 143
- Glaucous-winged gulls (*Larus glaucescens*), 283
- Gleason, H. A., 332–333, 333, 369–370, 370, 371
- Gleization, 64–65
- Global climate change
- agricultural production and, 606–607, 607
  - aquatic ecosystems and, 605
  - Arolla pine and, 598, 599
  - atmosphere, temperate zone grasslands and, 592–593, 595
  - coastal ecosystems and, 605–606, 606
  - decomposition and, 603–604
  - Earth tilt variation and, 589
  - ecosystems changes and, 598–599, 599, 600, 601, 601, 603, 603–604
  - ecosystems global distribution from, 604, 604–605
  - grassland ecosystems and, 592–593
  - greenhouse gases for, 596–598, 597, 598
  - health and, 607–609, 608, 609
  - nutrient cycling and, 595, 595, 596
  - plants and, 603–604
  - precipitation and, 597, 597
  - sea levels and, 605, 605–606, 606
  - terrestrial ecosystems and, 604, 604–605
  - tree species richness and, 599, 600, 601, 601
  - tropical rain forests and, 604–605, 605, 610
  - tundra ecosystems and, 603, 603
  - understanding, requirements for, 609–610
- Global warming
- Bangladesh, sea level rises from, 605, 606
  - coastal ecosystems and, 605–606, 606
  - ocean levels and, 594, 594
  - sea levels and, 605, 605–606, 606
  - snowcaps and, 24
- Gobi Desert, 480
- Godfray, H. C. J. (Charles), 343
- Goldenrod (*Solidago* spp.), 189
- Gonadal activity, 144
- Gophers (*Thomomys* spp., *Geomys* spp.), 478
- Goughers, 503–504, 504
- Gower, Stith, 406, 406
- Grace, J. B., 247
- Grant, Peter, 73, 269, 269–270
- Grant, Rosemary, 73, 269, 269–270
- Grass, 114, 115, 350
- Grass aphid (*Rhopalosiphum padi*), apparent competition for, 343
- Grasshoppers, 127
- Grasslands
- annual, 477
  - fauna of, 478, 478–479
  - food web in, 325
  - geographic distribution of, 477
  - precipitation in, 476, 477
  - net primary productivity and, 400, 400–401, 401, 479
  - temperate zone, 476–479, 477, 478, 479
  - global climate/atmospheric change and, 592–593, 595
  - loss of, to agricultural activity, 476, 567–568
  - types of, 476–478, 477, 478
- Grassland ecosystems
- global climate change and, 592–593
  - temperate zone, 476–479, 477, 478, 479
- Gravitational forces
- structural materials and, 53, 53–54
  - tides and, 48–49, 49
- Gray catbird (*Dumetella carolinensis*), 380, 381
- Gray flycatchers (*Empidonax wrightii*), 260
- Gray kangaroo (*Macropus giganteus*), 479, 484
- Gray squirrel (*Sciurus carolinensis*), 169–170, 170, 171, 172
- population growth of, 205–206, 206
- Gray tree frogs (*Hyla versicolor*), 290–291
- Gray whale (*Eschrichtius robustus*), 160
- Gray wolf (*Canis lupus*), reintroduction, restoration, management, 575
- Grazers, 503–504, 504, 505
- Grazing food chain, 410, 410
- Greater sage grouse (*Centrocercus urophasianus*), courtship display of, 198
- Great star coral (*Montastrea cavernosa*), 307
- Great tits (*Parus major*), song repertoire and female receptivity of, 196, 198
- Green algae (*Entophysalis*), 516
- Green frogs (*Rana clamitans*), 134–135
- Greenhouse effect, 20, 20, 589
- Greenhouse gases, 589
- global climate change from, 596–598, 597, 598
- Green swordtail (*Xiphophorus helleri*), mating attraction of, 196–197
- Grime, J. Phillip, 199, 199, 232
- Grinnell, Joseph, 246
- Grizzly bears (*Ursus arctos*), 343
- Grosbeaks (*Coccothraustes* spp.), 488
- Gross primary productivity, 397
- Gross reproductive rate, 173–174
- Ground finch (*Geospiza* spp.)
- G. fortis* (medium ground finch), 73
  - adaptations of, 73–77, 74, 75, 76, 82–84, 84, 85, 269, 269–270
  - beak size of, 73–75, 74, 75, 76, 82–84, 84, 85
  - climate change and, 74, 74–77, 75, 76
  - directional selection, 75, 76
  - fitness of, 74, 75
  - mortality of, 74, 75, 76
  - resource partitioning and beak size of, 269, 269–270
  - seed size and, 74–76, 75
  - G. fuliginosa* (small ground finch), 83–84, 84, 85, 269, 269–270
  - G. magnirostris* (large ground finch), 83–84, 84, 85, 270
- Groundhog (*Marmota monax*), 136, 138
- Groundwater, 37, 37, 39
- resources of, 38
- Groves, R. H., 260–261, 261, 346
- Growth. *See also* Population growth
- density independent, 219–220, 220
  - intraspecific competition effects on, 208, 208–210, 209, 210
  - relative growth rate, 104–105, 109, 258–259
  - reproduction compared to, 183, 183
- Grus americana* (whooping crane), 169, 169
- Guanaco (*Lama guanicoe*), 478–479
- Guilds, as feeding groups, 324–325
- Guppy (*Crenichthys alta*), 185–186, 186
- Gurevitch, Jessica, 266, 267
- Gymnogyps californianus* (California condors), restoration of, 578, 578
- Gymnorhinus cyanocephalus* (piñon jay), mutualism for, 312
- Gypsy moths (*Lymantria dispar*), 161, 161–162, 162, 390
- predation of, 292, 292
- Gyres, 26
- ## H
- H. femoralis* (tadpoles), 257
- Haber, Fritz, 549
- Haber-Bosch process, for nitrogen fertilizer production, 437, 549
- Habitability, 16
- Habitat(s)
- conservation of
    - establishing protected areas in, 579, 580, 581, 581–582, 582
    - in whole community protection, 579  - corridors, 582
  - destruction of, current species extinction from, 530, 566, 566–568, 567, 568
  - fecundity and, 184, 218–219
  - heterogeneity of, local population persistence and, 230, 230
  - host diverse, 300
  - loss of, population extinction and, 232–233
  - restoration of, in conservation efforts, 582–583, 583
  - source and sink, 231
  - types, in streams/rivers, 499, 499–501, 500, 501
- Habitat patches
- as emigrant source, 230–231, 231
  - in metapopulation dynamics, 227–230, 228, 229, 230
- Hacker, Sally D., 338–339
- Hackney, Erine, 177–178
- Hadalpelagic zone, of ocean, 506, 507
- Haddad, Nick M., 386–387
- Hadley, George, 25
- Hadley cells, 25, 26
- Haechel, Ernst, 2
- Haemaphysalis leporis-palustris* (rabbit tick), 303
- Hairston, Nelson, 345, 407, 414
- Haldane, J. B. S., 71
- Hall, Pamela, 182–183
- Halodule beaudettei* (sea grass), 152, 152
- Halophytes, 116
- Hanski, Ilkka, 224–225
- Hanssen, Sveinn, 182
- Haptophytes, 507
- Hard-shelled clam (*Mercenaria mercenaria*), 329, 330
- Hardy, Godfrey, 77
- Hardy-Weinberg principle, 77, 78–79
- Harpacticoida (copepods), 518
- Harper, John, 350
- Harp seals (*Phoca groenlandica*), sexual maturity of, 212, 213
- Harrison, Susan, 231
- Harvester ants (*Pogonomyrmex* spp.), 478
- Harvest interval, 542
- Hawaiian silverswords (*Argyroxiphium* spp.), 191
- Heat
- body, evaporation and, 136
  - latent, 27
  - specific, of water, 40
  - urban heat islands, 33
- Heat exchange, 100
- countercurrent, in thermoregulation, 139, 139–140
  - for reptiles, 132
  - temperature regulation and, 132–133
- Heat island effect, 33
- Heat transfer coefficient, 133
- Helmitheros vermivorus* (worm-eating warbler), 380, 381
- Hemiparasites, 299, 299–300
- Herbaceous layer, in forest strata, 326
- Herbivores
- in Arctic tundra, 490
  - autotroph prey for, 289, 292, 292
  - cellulose for, 123
  - consumption efficiency for, 414, 414

- Herbivores (*continued*)  
 coprophagy in, 124–125  
 definition of, 123  
 digestive systems of, 123–125, **124**  
 fish, 125  
 in freshwater wetlands, 526  
 grasses and, 292  
 insects, 123  
 keystone, 323–324, **324**  
 plant-carnivore interaction with, 294, **294**  
 as predators, 274, 289, **292**, 292–294, **293**  
 in savannas, 476  
 sodium deficiency in, 126  
 types of, 124
- Hesperia comma* (skipper butterfly)  
 distribution of, 229, **229**  
 range of, 232, **232**
- Heteropogon contortus*, 257, 260, **260**
- Heterotherms  
 definition of, 133  
 temporal, 138  
 thermoregulation in, 138
- Heterotrophs, 69, 460, **505**
- Heterozygous, **72**  
 individuals, 72, 78, **78–79**
- Hexegenia* (burrowing mayfly nymph), **501**
- Hibernation, 138–139
- Hibiscus palustris* (pink-flowering sea holly), 518
- Hickory (*Carya* spp.), zonation and, 328, **328**
- Hiesey, W. M., 80
- Highlands salamander (*Plethodon jordani melaventris*), **81**
- High Plains-Ogallala aquifer, **38**
- Hillebrand, Helmut, 350
- Hiltner, Lorenz, 434
- Hippodamia convergens* (ladybird beetles), **339**
- Hippopotamus (*Hippopotamus amphibius*), 448
- Hippopus* (giant clams), 510
- Histograms, **10**, **11**
- Historical biogeography, **465**
- Histosol  
 description of, **63**  
 location of, **64**
- HIV. *See* Human immunodeficiency virus
- Holdridge, L. R., **604**, 604–605
- Holling, C. S., 277–280
- Holm oak (*Quercus ilex*), 482
- Holoparasites, 300, **300**
- Holt, Robert, 341–342
- Homeostasis, 130
- Homeostatic plateaus, 130
- Homeotherms  
 ambient temperature in, **136**  
 definition of, 133  
 insulation for, 136  
 mammals as, 133  
 thermoregulation in, **135**, 135–138, **136**, **137**
- Homeothermy, 133
- Home range, 217–219, **218**
- Homozygous, 77–78, **78–79**
- Honey bees (*Apis mellifera*), 246
- Hooded warbler (*Wilsonia citrina*), 349
- Horizons, soil, **60**, 60–61, **61**
- Hornbeam (*Carpinus caroliniana*), 327  
 species richness of, 535–536  
 zonation and, 328
- Horned owl (*Bubo virginianus*), 294, **294**
- Horseweed (*Erigeron canadensis*), intraspecific competition on, 210, **211**
- Hosts  
 definitive, 301  
 direct parasite transmission between, 300, **300**  
 diverse parasite habitats from, 300  
 intermediate, 301, **301**  
 multiple, in parasite transmission, 301  
 parasite resources from, **299**, 299–300
- parasitic invasion of, 301–302, **302**  
 populations of, regulation of parasites in, 303–305, **305**  
 reproduction in, parasites affects on, 303, **304**  
 response of, to parasites, 301–302, **303**  
 survival of, parasites affects on, 303, **304**
- Hota, Ashok, 209, **210**
- Hotspots, biodiversity, 573–574, **574**
- Howard, L. E., 3
- How Many People Can the Earth Support?* (Cohen), **204**
- Hughes, Roger, 279, 280
- Hulett, H. R., **204**
- Humans  
 health of, global climate change and, 607–609, **608**, **609**  
 population growth of, **204**  
 population trends in, over past 50 years, **541**  
 thermoregulation in, 130, **131**, **132**
- Human activity. *See also* Agriculture  
 disturbances from, long-lasting effects of, 390–391, **391**  
 global nitrogen cycle and, 454  
 global sulfur cycle and, 459, **459**  
 human-assisted dispersal, 162, **162**  
 invasive species introduction by, native species threatened by, 568–571, **569**, **570**  
 landscape patches and, 376, **376**  
 net primary productivity and, **408**  
 nitrogen cycle and, 457–458  
 ozone and, 460  
 resource use and, **408–409**, 541  
 sulfur cycle and, 456, 458  
 sustainability and, 541–542 (*See also* Sustainability)
- Human immunodeficiency virus (HIV), 302
- Humboldt, Friedrich Heinrich Alexander von, **3**, **464**
- Humidity, 96, 97, **97**  
 relative, 27
- Hummingbirds (Trochilidae), 138
- Humpback whales (*Megaptera novaeangliae*), 160
- Humus, 59–60, 432, 434, **523**  
 cation exchange capacity and, **62**, 63, **63**
- Hunting tactics, of predators, 289, **289**
- Huston, Michael, 349, 349–350, 363–364, **364**
- Hutchinson, G. E., 3, 246–247
- Hydrogen (H)  
 bonding of, in water, 41  
 as essential mineral for animals, **126**  
 as macronutrient, 113, **113**
- Hydrogen ions, acidity and, 47, 63
- Hydrologic cycle, **37**, 37–39, **38**, **39**. *See also* Water cycles
- Hydroperiod, of wetlands, 523–524
- Hydrophytic plants, 520–521, **522**, **523**
- Hydrothermal vents, 508–509, **509**
- Hyena (*Crocuta crocuta*), 479
- Hyla crucifer* (spring peepers), 139
- Hyla gratiosa* (tadpoles), 257
- Hyla versicolor* (gray tree frogs), **290–291**
- Hylurgopinus rufipes* (native elm bark beetles), 301
- Hypersmotic, 141
- Hypolimnion, 42–43, **43**, **326**  
 dams and, **496**
- Hypoosmotic organisms, marine fish as, 141
- Hypothesis, 12  
 in scientific method, 6–7, 7
- Imhoff, Marc, **408**
- Immigration  
 definition of, 159  
 theory of island biogeography and, 383, **383**
- Immobilization, 430–431, **431**
- Inbreeding, 78–79
- Inbreeding depression, 79
- Inceptisol  
 description of, **63**  
 location of, **64**
- Indian mallow (*Abutilon theophrasti*), 268, **268**
- Indigo bunting (*Passerina cyanea*), in border environments, 378, **379**
- Indirect interactions, 340–344, **341**, **342**, **343**
- Individuals, 5  
 as basic unit of ecology, 13  
 fitness benefits and costs of, in reproduction, 182–183, **183**  
 heterozygous, 72, 78, **78–79**  
 high population density as stressful to, 160–162, **161**, **162**, 216  
 movement of, within populations, 158–160, **159**  
 spatial distribution of, in population patterns, 154–155, **155**
- Individualistic concept, of community, 332–333, **333**, 369–370, **370**, **371**
- Indo-Malay, biomes in, **467**
- Induced defenses, for predator defense, 289
- Industrial Revolution, 538
- Infauna, 517–518
- Infection, 299
- Infiltration, of precipitation, 37, 37
- Infralittoral fringe, **515**, 516, **516**
- Infralittoral zone, **515**, 516, **516**
- Inheritance, genes as units of, 72
- Inhibition model, of succession, 360
- Initial floristic composition, 360
- Insects  
 of boreal forest, 488  
 diapause for, 140, 144  
 dispersal and, 232  
 flying for, 138  
 herbivore, 123  
 insulation of, 136  
 nutritional needs of, 125  
 as poikilotherms, 134  
 in savannas, 476  
 thermoregulation for, 134, 135, 138
- Instrumental record, **602**
- Intensity, of disturbance, 388
- Interception, 37, 37
- Intergovernmental Panel on Climate Change, 596–597, **597**, **602**
- Interior species, 380, **381**, 382
- Intermediate species, in food web, 324, **325**
- Internal cycling (nutrient cycling), 420
- International Tundra Experiment (ITEX), 603, **603**
- International Union for the Conservation of Nature (IUCN), 579, 581, **581**, **582**  
 on hotspots, **574**  
 on threatened species identification, 572–573, **573**
- Intersexual selection, 194–195, **195**
- Interspecific competition  
 biotic and abiotic factors in, 270  
 competitive exclusion principle, 256  
 along environmental gradients, 261–262, **262**, **263–264**, 264–266, **265**  
 Lotka-Volterra model of, 252–253, **254**  
 application of, **264–265**, 275–277, **276**  
 laboratory experiments supporting, 253, 255, **255**  
 for multiple resources, 260–261, **261**  
 natural selection and, 252–253  
 niche of species and, 266, **267**, **268**  
 nonresource factors influencing, 256–257, **257**  
 outcomes of, 252–253, **254**, **255**, 255–256  
 pH and, 257

- of seeds, 263–264  
temporal environmental variation on, 257, 258–259, 260, 260  
two or more species in, 252
- Intertidal animals, tidal cycles and activity of, 144, 144–145
- Intertidal zone, 49, 515, 516, 516  
description of, 515  
rocky, 342, 354–355, 355  
zonation in, 329, 330
- Intertropical convergence zone (ITCZ)  
precipitation and, 27–29, 28, 29  
seasons and, 29  
solstices and, 29
- Intrasexual selection, 194
- Intraspecific competition, 203–220  
on growth and development, 208, 208–210, 209, 210  
on mortality rates, 210–212, 211, 212  
reproduction reduction from, 212–213, 214–215, 216
- Invertebrate organisms  
buoyancy of, 142  
circadian rhythms of, 143  
fossilized, species richness over geologic time, 529, 529  
nutritional needs of, 125  
as poikilotherms, 133  
thermoregulation of, 139  
in tundra, 490  
types of, 503–504, 504  
water balance for, 140–141
- Iodine (I), as essential mineral for animals, 126
- Ion exchange capacity, of soil, fertility and, 62
- Ions, 44, 62  
for aquatic animals' buoyancy, 142
- Irish moss (*Chondrus*), 516
- Iron (Fe)  
as essential mineral for animals, 126  
as micronutrient, 113
- Irons, David, 283
- Isoclines, zero-growth, 253, 254, 255, 275, 276
- Isometric objects, 120–121, 121
- Isosmotic organisms, 141
- Isurus tigris* (mackerel shark), 140
- ITCZ (intertropical convergence zone), 27–29, 28, 29
- Iteroparous organisms, 190
- Iva frutescens* (marsh elder), 265, 338–339, 518
- Iverson, Louis, 598–599, 600, 601, 601
- Ixodes scapularis* (black-legged tick), Lyme disease from, 301
- Ixodes trianguliceps* (shrew tick), 305
- J**
- J. coenia* (common buckeye), 386–387
- Jaegers (*Stercorarius* spp.), 490
- Jamaican feverplant (*Tribulus cistoides*), 270
- Jedrzejewski, Włodzimierz, 280, 281
- Jefferson, Thomas, 541
- Jenkins, Thomas, 211, 211
- Jenny, Hans, 57
- Jones, T. M., 229, 229–230
- Juncus gerardi*, 265  
black grass, 338–339  
black needle rush, 347, 347–348, 518
- Jurassic period, 584
- K**
- Kalahari Desert, 480
- Kangaroo mice, 137, 138
- Kangaroo rat (*Dipodomys merriami*), reproduction for, 220
- Kangaroos, 126, 140, 479, 484
- Karoo Desert, 465
- Keast, Allen, 186
- Keck, D. D., 80
- Keeley, Ernest, 211–212, 212
- Keeling, Charles, 589, 589
- Kelp, 359  
*Cymathera triplicata*, 360, 360  
*Laminaria*, 516, 516  
*Macrocystis*, 507  
giant kelp (*M. pyrifera*), 53, 53–54
- Keystone predation, 341
- Killifish  
*Fundulus parvipinnis*, 303, 304  
*Rivulus hartii*, 185
- Kindvall, Oskar, 227–229, 228, 229, 230
- Kinetic energy, 397
- Kitajima, Kaoru, 108–109
- Kodric-Brown, Astrid, 230–231
- Krall, Bryan, 286, 286
- Krebs, Charles J., 337, 340
- Krill, 507, 507, 508
- K-strategists, for selection, 199
- Kudzu vines (*Pueraria montana*), spread of, 162, 162
- L**
- Laboratory experiments, for hypothesis testing, 9
- Labrador tea (*Ledum groenlandicum*), 523
- Lacerta vivipara* (common lizard), 186
- Ladybird beetles  
*Adalia bipunctata*, 339  
*Hippodamia convergens*, 339
- Lafferty, Kevin, 303, 304
- Lagomorphs, energy/nutrient acquisition by, 124, 124–125
- Lagopus* spp. (ptarmigan), 136
- Laguncularia racemosa* (white mangrove), 246
- Lakes/ponds  
benthic zone of, 495, 497  
dams and, 496  
dystrophic, 499  
eutrophication of, 498, 499  
formed by dams, 496  
horizontal and vertical zones of, 494, 495, 497  
nutrient cycling in, 497–499, 499  
oligotrophy of, 498–499, 499  
origins of, 494, 495  
oxbow lake formation, 494, 495  
physical characteristics of, 494, 495, 497  
precipitation and, 494, 494  
restoration of, 583  
surrounding landscape reflecting, 498–499, 499  
vegetation in, 497, 498  
zones of, 494, 495, 497  
life forms in, 497, 497–498, 498
- Lama guanicoe* (guanaco), 478–479
- Laminaria* (kelp), 516, 516
- Lampropeltis pyromelana* (mountain kingsnake), 287, 287
- Landscape(s)  
definition of, 4  
forested, as mosaic of patches, 391, 391  
level of, 5, 6  
metapopulation in, 227–229, 228, 229, 230, 385  
patches in, 375 (See also Patches, landscape)  
as shifting mosaic of changing communities, 391, 391  
species interactions variation in, 245, 245
- Landscape connectivity, 384
- Landscape ecology, 3, 375
- La Niña, 32, 32
- Laphria* spp. (robber fly), hunting tactics of, 289, 289
- Largemouth bass (*Micropterus salmoides*), 345
- Larix laricina*, 106
- Larrea* (creosote bush), 110, 481
- Larus glaucescens* (glaucous-winged gulls), 283
- Larval salamander (*Ambystoma*), 343, 343
- Latent heat, 27
- Laterization, in soil formation, soils resulting from, 64
- Latitude  
climate and, 467–468, 468  
marine organism richness and, 533, 534  
precipitation and, 27–28, 29  
solar radiation and, 21, 21–22
- Laurentian ice sheet, 367, 367–368, 369
- Lava flows, 394, 395
- Lawrence, Deborah, 552–553
- Laws of thermodynamics, for energy flow, 397
- Leaching, in soil formation, 59, 64
- Leaf(ves)  
boundary layer of, 99, 100  
deciduous, 468  
drought-deciduous, 468, 469  
evergreen, 468  
light and respiration for, 95, 102, 102, 103, 104  
nitrogen in, 115, 115, 421  
orientation of, light energy interception and, 55, 55–56  
production of  
cost of, 468, 470  
nutrient availability and, 115, 115  
water availability and, 97, 97, 98  
respiration and rubisco and, 101  
size and shape of  
heat exchange and, 99, 100  
light environment and, 102, 103, 104  
specific leaf area (SLA), 104–105, 108–109  
water potential of, 96, 97, 98, 98  
winter-deciduous, 468, 469
- Leaf area index (LAI), 54, 55, 56–57
- Leaf area ratio (LAR), 86–87, 87, 104–105
- Leaf packs, 423, 425, 425
- Leaf weight ratio (LWR), 104–105
- Leatherleaf (*Chamaedaphne calyculata*), 523
- Ledum groenlandicum* (Labrador tea), 523
- Leks (arenas), in mating, 198, 198
- Lentic ecosystems, 494
- Leopard (*Panthera pardus*), 479
- Lepidium sativum* (cress), soil fertility and, 350
- Lepomis* spp. (sunfish), 497
- L. gibbosus* (pumpkinseed fish), 186
- Lepus americanus* (snowshoe hare), 294, 294  
cyclic snowshoe hare, 488  
predators and, 337, 340, 340
- Lesser snow goose (*Chen caerulescens caerulescens*), disturbance from, 390
- Levins, Richard, 224, 226–227, 385
- Lichens, 307, 307  
*Collema tunaeforme*, 453  
*Peltigera rufescens*, 453  
*Stereocaulon vulcani*, 395  
*Verrucaria*, 516, 516
- Life cycle, of Chinook salmon, 148–149, 149
- Life history  
definition of, 182  
genotype and environment interaction for, 192, 192, 193  
Grime's model of, 199, 199  
natural selection and, 182  
trade-offs in evolution of, 182
- Life history patterns. See also Mates acquisition characteristics of, external selective forces in, 198–199  
fast-slow continuum hypothesis on, 198  
Grime's model for, 199, 199  
K-strategists in, 199  
reproduction in, 182–183, 183 (See also Reproduction)  
r-strategists in, 199
- Life tables  
for age-specific mortality and survival, 169–170, 170  
cohort, 169–170

- Life tables (*continued*)  
 for defining cohorts and age structure, 170, 171, 172, 172  
 definition of, 169  
 dynamic, 170, 170  
 dynamic composite, 170  
 for mortality and survivorship curves, 172, 172–173, 173  
 time-specific, 170
- Light  
 in aquatic ecosystems  
 by depth, 41–42, 42  
 nutrients and, for ocean productivity, 510, 510, 511  
 temperature, nutrients and, for primary production, 401–403, 402, 403  
 attenuation of  
 Beer's law and, 54, 56–57  
 seasonal variations and, 56–57  
 by water depth, 41–42, 42  
 autotrophs and, 507  
 availability of, photosynthesis rate and, 94, 94–95, 101–102, 102, 104–105, 104–106, 106  
 bioluminescence, 508  
 cycles, dark and, in animals' seasonal activity, 142–143, 143  
 energy interception of, leaf orientation and, 55, 55–56  
 environment  
 carbon net gain allocation in, 103  
 leaf size and shape and, 102, 103, 104  
 leaves size and shape and, 102, 103, 104  
 roots production and, 102, 105, 108–109  
 leaf respiration and, 95, 102, 102, 103, 104  
 level, chlorophyll levels and, 101  
 vertical distribution of, in terrestrial environment, plant cover and, 54, 54–57, 55, 56–57  
 visible, 20
- Light compensation point (LCP), 95  
 Light extinction coefficient, for leaf area, 56  
 Light saturation point, 95  
 Likens, Gene, 391, 391  
 Limber pine (*Pinus flexilis*), mutualism for, 312  
 Limnetic form (LF), of threespine stickleback, 82–83  
 Limnetic zone  
 of lake, 495, 497  
 life in, 497–498, 498  
 Lindeman, R. A., 3  
 Links, in food webs, 324, 325  
 Lion (*Panthera leo*), 479  
 Lipid storage, for buoyancy, 142  
 Liquidambar styraciflua (sweet gum), 328, 328  
 Liriodendron tulipifera (yellow poplar), 58, 159, 159, 321, 322, 485  
 Litterbag experiments, 423, 423, 425, 425, 427  
 decomposition rate estimation in, 424  
 in Hawaii, 428–429  
 of winter rye, 431  
 Little Ice Age, 30  
 Little's boundary, 600  
 Littoral zone, 515, 516, 516  
 of dystrophic lakes, 499  
 Littoral zone, of lake, 495, 497  
 Littoraria irrorata (marsh periwinkle), 412–413, 519  
 Littorina (periwinkles), 516, 516, 519, 560  
 Lizards, 186, 303  
 thermoregulation in, 135  
 Loblolly pine (*Pinus taeda*)  
 corridors for, 386–387  
 nutrient production and, 547, 555  
 Local (alpha) diversity, 534  
 Local populations, 224–225  
 persistence of  
 habitat heterogeneity and, 230, 230  
 synchronization factors for, 231–232, 232  
 as spatial unit, 233  
 subpopulations, 153  
 Local scale, 225  
 of distribution, 154, 154  
 Locust, 72, 72–73, 73  
 Lodgepole pine (*Pinus contorta*), 486–487  
 Logistic model of population growth, 205, 207  
 Long-day organisms, 143–144  
 Longhorn beetles (*Tetraopes* spp.), herbivory of, 292  
 Longleaf pine (*Pinus palustris*), corridors for, 386–387  
 Long-tailed macaque, 471–472  
 Longwave radiation, 19–20, 24  
 Lorenz, Konrad, 3  
 Lotic ecosystems, 494  
 Lotka, Alfred, 252–253, 254, 255, 255–256, 264–265, 274–277, 275, 276  
 Lotka-Volterra model  
 of interspecific competition, 252–253, 254  
 application of, 264–265, 275–277, 276  
 laboratory experiments supporting, 253, 255, 255  
 of predator-prey interactions, 274–276, 275, 276  
 mutual population regulation and, 276–277  
 Lower canopy, 326  
 Loxia spp. (crossbills), 488  
 Loxodonta africana (African elephant)  
 as closed population, 165, 165  
 as disturbances, 390  
 size of, 120, 120  
 sodium deficiency of, 126  
 Lugworms (*Arenicola*), 518  
 A. cristata, 329, 330  
 Lunar-day clocks, 145  
 Luteinizing hormone, 144  
 Lymantria dispar (gypsy moths), 161, 161–162, 162  
 infestations of, 390  
 predation of, 292, 292  
 Lyme disease, 301  
 Lynx  
 Felis canadensis, 488  
 Felis lynx, 294, 294  
 Lythrum salicaria (purple loosestrife), as invasive species, 162
- M**  
 Macaranga spp., 102, 103, 104  
 MacArthur, Robert, 199, 349, 349, 382–383, 383  
 Mackerel shark (*Isurus tigris*), 140  
 Macrocytis (kelp), 507  
 M. pyrifera (giant kelp), 53, 53–54  
 Macronutrients, 113, 113–114  
 Macroparasites, 299–300, 302, 304, 306  
 Macropus giganteus (gray kangaroo), 479, 484  
 Macropus rufus (red kangaroo), 479  
 Magicicada spp. (periodic cicadas), predator satiation and, 288, 289  
 Magnesium (Mg)  
 deficiency of, potassium and, 127  
 as essential mineral for animals, 126  
 as macronutrient, 113, 114  
 in wheat, rice, corn, 547  
 Mainland-island metapopulation structure, 231  
 Malacoctenus (blennies), daily and tidal cycles of, 144  
 Malaria, 301, 301  
 Mallee, 483  
 Mallotus villosus (capelin), 559  
 Malthus, Thomas, 3  
 Mammals  
 extinction of, 531  
 as homeotherms, 133  
 patterns of, geographical variations in, 531  
 size variability of, 120, 120  
 species richness of, 531, 532  
 thermoregulation in, 135, 135–136  
 Mangals, 519, 519–520, 520  
 Manganese (Mn)  
 as essential mineral for animals, 126  
 as micronutrient, 113  
 Mangroves  
 geographic distribution of, 467  
 in salt marshes, 519, 519–520, 520  
 Mann, K. H., 407, 407  
 Maple(s) (*Acer* spp.), 159–160  
 A. rubra (red maple), 116, 151, 153, 153  
 global climate change and, 600  
 leaf decomposition of, 424, 426–427, 427, 430  
 species richness of, 535–536  
 A. saccharum (sugar maple), 321, 322  
 succession in, 355, 355, 358  
 postglacial migration of, 368, 368–369  
 Marginal value theorem, 284, 284–285, 285  
 Margulis, Lynn, 299  
 Marine algae (*Fucus vesiculosus*), 436  
 Marine ecosystems. *See* Oceans  
 Marine iguana (*Amblyrhynchus cristatus*), 128–129  
 Marmota monax (groundhog), 138  
 Mars, possibility of water on, 16  
 Mars Exploration Rovers, 16  
 Marsh elder (*Iva frutescens*), 265, 338–339, 518  
 Marshes, 523, 523  
 sediment deposition in, 366  
 Marsh periwinkle (*Littoraria irrorata*), 412–413, 519  
 Martes americana (pine martin), 488  
 Martin, Pablo, 192, 193  
 Maser, Chris, 313, 313, 315  
 Mass extinction event, 566  
 Mates acquisition  
 by females based on resources, 195–198, 196–197  
 leks (arenas) for, 198, 198  
 for polygamous females, 196–197  
 sexual selection in, 194–195, 195  
 Mating, 196  
 assortive, 77–78  
 leks in, 198, 198  
 negative assortive, 78  
 systems of, 193–194, 194  
 Matric potential, 96, 98  
 Matrix, 376  
 Mayflies  
 Baetis tricaudatus, prey dynamics and, 294, 295  
 Ephemeroptera spp., 41  
 reproduction for, 191  
 Mayr, Ernst, 71  
 McGraw, James, 177–178  
 McIntosh, Robert, 3  
 McMurtrie, Ross, 406, 406  
 McNaughton, Sam, 407, 407  
 Meadow voles (*Microtus pennsylvanicus*), dispersal of, 160  
 Meat, production per person, 541  
 Mech, L. D., 220, 220  
 Mechanical weathering, in soil formation, 58  
 Medicago sativa (alfalfa), 294–295  
 in pampas, 477  
 Mediterranean climates, temperate shrublands and, 482, 482–484, 483  
 Megabombus pennsylvanicus (bumblebee), 289, 289  
 Megaptera novaeangliae (humpback whales), migration of, 160  
 Meiofauna, 517–518  
 Melaleuca quinquenervia (Australian paperbark tree), as invasive species, 569, 569–570  
 Melampus (pulmonate snail), 519  
 Melatonin, 142  
 reproductive period and, 144  
 Melospiza melodia (song sparrow), 173, 173  
 Mendel, Gregor, 3  
 Mendelian segregation, 79  
 Meningeal worm (*Parelaphostrongylus tenuis*), 301, 302

- Menyanthes trifoliata* (buckbean), 523  
*Mercenaria mercenaria* (hard-shelled clam), 329, 330  
 Merriam's kangaroo rat (*Dipodomys merriami*), reproduction of, 220  
 Mesopause, 24  
 Mesopelagic zone, of ocean, 506, 507  
 Mesophyll cells, 95, 95, 97, 107, 107, 110, 110  
 Mesosphere, 24  
 Mesquite (*Prosopis* spp.), 481  
 Metabolic rate, body mass compared to, 135, 135–138, 136, 137  
 Metacommunity, 385  
 Metalimnion, 326  
 Metapopulation, 153  
 colonization and extinction of, 232–233  
 concept of, in landscape dynamics, 385  
 conditions defining, 224–226, 225  
 conservation ecology and, 576–577  
 core population in, 225  
 definition of, 224  
 in landscape dynamics study, 227–229, 228, 229, 230, 385  
 mainland-island landscape patches in, 231  
 occupied patches in, equilibrium proportion of, 385  
 rescue effect in, 230–231  
 satellite populations in, 225  
 scale, 225  
 source-sink, 231  
 as spatial unit, 233  
 Metapopulation dynamics  
 colonization and extinction in, 226, 226–227, 227, 385  
 habitat heterogeneity and, 230, 230  
 habitat patch area, isolation and, 227–230, 228, 229, 230  
 scales in, 225  
 Metcalf's salamander (*Plethodon jordani metcalfi*), 81  
*Metroptera bicolor* (bush cricket), population variability of, 227–229, 228, 230  
*Metrosideros polymorpha* (evergreen tree), 395  
 net primary productivity and, 428–429  
 Microbial loop, 508, 508  
 Microclimate(s)  
 for animal thermoregulation, 134–135, 135  
 directionality and, 33–34  
 organisms in, 32–34  
 topography on, 32, 34  
 urban, 33, 33  
*Microlophium carnosum* (nettle aphid), apparent competition for, 343  
 Micronutrients, 113, 113  
 Microparasites, 299  
*Micropterus dolomieu* (smallmouth bass), 501, 502  
*Micropterus salmoides* (largemouth bass), 345  
*Microtus arvalis* (common vole), 280  
*Microtus ochrogaster* (prairie vole), mating in, 193  
*Microtus pennsylvanicus* (meadow voles), 160  
*Micruroides euryxanthus* (western coral snake), mimicry in, 287  
*Micrurus fulvius* (eastern coral snake), mimicry in, 287, 287  
 Midge salamander (*Chaoborus*), 343, 343  
 Midlittoral zone, 515, 516, 516  
 Migration  
 definition of, 160  
 genetic variation and, 77  
 postglacial, 368, 368–369  
 water balance and, 141  
 Milkweed (*Asclepias* spp.), 292  
*Asclepiadaceae*, 159–160  
 Millipede, decomposition by, 421, 422  
 Mimicry  
 Batesian, as predator defense, 287, 287  
 Müllerian, as predator defense, 288, 288  
 Mineral cycle, 447  
 Mineralization  
 definition of, 430  
 net mineralization rate, 430, 431  
 of organic matter nutrients, in decomposition, 430, 430–432, 431, 432  
 pyromineralization, 552  
 Minerals  
 for animal nutrition, 125–127, 126  
 for animal reproduction, 126–127  
 as nonrenewable resources, 542  
 in water  
 fresh, 44–45  
 oceans, 45, 45  
 Minimum dynamic area (MDA), 576  
 Minimum viable population (MVP), 576, 576  
 Mink (*Mustela vison*), 526  
 Minnows (*Camptostoma anomalum*), 345  
 Mires, 521, 521–522, 522, 525  
 Mistletoe (*Phoradendron* spp.), 301  
*Viscum album*, 299, 299–300  
 Mites, decomposition by, 421, 422  
*Mithrax forceps* (crab), 308–309  
 Mixed-grass prairie, 476, 477, 478  
 Mockernut hickory (*Carya tomentosa*), 321  
 species richness of, 535–536  
 Models, 9, 9, 10, 11, 11  
*Modiolus demissus* (ribbed mussels), 519  
 Modular organisms, 151, 152  
 Mohave Desert, 481  
 Moisture  
 abundance, distribution and, 370, 371  
 in air, 27, 27  
 soil moisture gradient, 520, 520  
 stress and, 106–107  
 Mokany, Karel, 404  
 Mole crab (*Emerita talpoida*), 329, 330, 518  
 Mollisol  
 description of, 63  
 location of, 64  
 Mollusk, decomposition by, 421, 422  
 Molybdenum (Mo)  
 as essential mineral for animals, 126  
 as micronutrient, 113  
 Monarch butterfly (*Danaus plexippus*), predator defense of, 286–287, 287  
 Monoclimax hypothesis, 360  
 Monoculture, 545  
 Monogamy, 193  
 Montagu's Harrier (*Circus pygargus*), 280, 281  
*Montastrea cavernosa* (great star coral), 307  
 Montezuma swordtail (*Xiphophorus montezumae*), mating attraction of, 197  
 Moon, tides and, 48–49, 49  
 Moors, 522, 522  
 Moose (*Alces alces*), 340, 488  
 sodium deficiency in, 126  
 Moray eel (*Muraenidae*), 311  
*Morone saxatilis* (striped bass), 506  
 Morris, Kimo, 303, 304  
 Mortality  
 age-specific patterns of, 170  
 reproduction and, 183–185, 184, 185  
 density-dependent, 206, 206, 207  
 fast-slow continuum hypothesis on, 198  
 human, temperature and climate change related to, 607–609, 608, 609  
 reproduction and, 182–185, 183, 184, 185  
 survivorship curves and, life tables for, 172, 172–173, 173  
 Mortality rates  
 age-specific, 169–170, 170, 174, 174–176, 175, 176, 183–185, 184, 185  
 intraspecific competition on, 210–212, 211, 212  
 in predation, 240, 240–241  
 Mosaic, 375, 375  
 shifting, 391, 391  
 Mosquito  
*Aedes aegypti*, 609  
*Anopheles*, 301, 301  
 disease from, climate change and, 608–609  
 Moss, 4  
*Tetraphis pellucida*, 153, 154, 154, 155  
*Motacilla alba* (pied wagtail), foraging of, 282, 282  
 Mountain goats (*Oreamnos americanus*), sodium deficiency in, 126  
 Mountain kingsnake (*Lampropeltis pyromelana*), 287, 287  
 Mouthparts, feeding and, 123  
 Mule deer (*Odocoileus hemionus*), 484  
 Mulga woodlands, 474, 475  
 Müller, Christine, 343  
 Müller, Fritz, 288  
 Müllerian mimicry, as predator defense, 288, 288  
*Muraenidae* (Moray eel), 311  
 Murty, Danuse, 406, 406  
 Musk ox (*Ovibos moschatus*), 490  
 predator defense by, 288, 288  
 Muskrat (*Ondatra zibethica*), 526  
 mating in, 193  
*Mustela* spp. (weasels)  
*M. nivalis*, 278, 280–281, 281  
*M. vison* (mink), 526  
 mating in, 193  
 Mutations, 77  
 Mutualism  
 cleaning, 311, 311  
 as defensive, 311, 311  
 definition of, 239  
 indirect, 301, 301  
 nutrients' transfer from, 307, 308–309, 310, 310–311  
 pollination from, 311–312  
 population dynamics and, 313, 313, 314, 315  
 seed dispersal from, 312, 312–313, 313  
 species interactions in, 239, 239, 305–307, 307  
 symbiotic, 306–307, 310–311, 313  
 Mutualistic interactions, model of, 314  
*Mya arenaria* (clam), 278  
 Mycorrhizal fungi, soil nutrient concentration and, 245, 245, 310  
 Myers, Norman, 573  
*Myrica faya* (evergreen tree), 394  
*Myrica gale* (sweetgale), 523  
*Myrica pensylvanica* (bayberry), 518  
 Myrmecochores, 312, 312  
*Myroxylon balsamum*, 105, 106  
**N**  
*Nagis* spp. (damselfly bugs), 295, 295  
 Namib Desert, Africa, 68, 68–69  
 Nanoplankton, 507  
 Narrow-leaved cattail (*T. angustifolia*), 247, 247, 266  
 Nashville warbler (*Vermivora ruficapilla*), 571, 571  
*Nasonia vitripennis* (wasps), *Wolbachia* on, 305  
 National park, designation of, 581, 581, 582  
 Native elm bark beetles (*Hylurgopinus rufipes*), 301  
 Natural capital, 541–542  
 Natural ecosystems, sustainability from, 544  
 Natural monument, designation of, 581, 581, 582  
 Natural selection  
 adaptation and, 73–77, 74, 75, 76  
 adaptive radiation in, 84, 85  
 age-specific mortality patterns and, 183–185, 184, 185  
 convergent evolution and, 464–465  
 Darwin and, 71–72, 464  
 definition of, 71  
 directional selection and, 75, 76  
 disruptive selection in, 76, 76–77, 82

- Natural selection (*continued*)  
 divergent selection, **82**  
 fitness in, **71, 74, 75**  
 Galápagos penguins and, **16–17**  
 genetic differentiation from, **80, 80–81, 81**  
 interspecific competition and, **252–253**  
 life history and, **182**  
 predation and, **285, 290–291**  
 seed size and, seed-eating bird bill size and, **241, 242, 243–244, 244**  
 selective agent in, **74**  
 species interactions  
   as agent function of, **241–244, 243, 244**  
   population dynamics and, **238–249**  
   stabilizing selection in, **75–76, 76**  
   target of selection in, **74**  
 Nature reserves, **581, 581–582, 582**  
 Nearctic, biomes in, **467**  
 Nectivores, **123**  
 Needle-grama grass (*Bouteloua-Stipa*), **476**  
 Needle-leaf evergreen trees, **468, 469**  
 Negative assortative mating, **78**  
 Nekton, **497, 508**  
 Nelson, Eric, **294–295, 295**  
 Neolithic period, agriculture  
   development in, **538**  
 Neotropical, biomes in, **467**  
*Nereis* (polychaete worms), **518**  
 Neritic province, of oceans, **506**  
 Net assimilation rate (NAR), **104–105**  
 Net carbon gain  
   leaf production and, **102**  
   in light environment, **104**  
   in photosynthesis, **99–101, 100, 111**  
   in primary production, **404–405**  
   shade tolerance and, **104–105**  
 Net ecosystem productivity, **449**  
 Net mineralization rate, **430, 431**  
 Net photosynthesis, **94**  
   environmental temperature and, **112, 112–113, 113**  
   stomata and, **98, 98**  
   temperature response curves for, **99, 99, 112, 112**  
 Net primary productivity  
   aboveground, **400–401, 401, 406**  
   actual evotranspiration and, **399, 399, 532**  
   atmospheric carbon dioxide levels and, **595–596, 596**  
   in biogeochemical cycle, **447, 447, 449, 449**  
   definition of, **397, 406**  
   in freshwater ecosystems, **402–403, 403**  
   human appropriation of, **408**  
   measuring, **398, 398**  
   nutrient availability and, **398, 398–401, 399, 400, 401, 544**  
   aquatic environments and, **504**  
   nutrient cycling and, **420, 420, 428–429, 438, 438–441, 441**  
   in oceans, **403**  
   phytoplankton in, **402, 402–403, 403, 405**  
   plant biomass and, in world ecosystems, **401**  
   precipitation and, **398, 398–399, 428–429**  
   in grasslands, **400, 400–401, 401, 479**  
   secondary production in, **406–407, 407**  
   stand age and, **406, 406**  
   standing biomass and, **397, 412–413**  
   in terrestrial ecosystems, **408–409, 595, 596**  
   control of, **398, 398–401, 399, 400, 401**  
 Net reproductive rate, **173**  
   birthrate and survivorship and, **174, 174**  
 Nettle aphid (*Microlophium carnosum*), apparent  
   competition for, **343**  
 Nettle plants (*Urtica* spp.), **343**  
 Newbold, J. D., **441, 441**  
 Newell, Steven, **413**
- Niche  
 constraint of community structure, **336, 336–337, 337**  
 ecological, **246, 246**  
 fundamental, **247, 247–248**  
 realized, **247, 247–248**  
 of species, interspecific competition and, **266, 267, 268**  
 Nickel (Ni), as micronutrient, **113**  
 Nicotinamide adenine dinucleotide phosphate (NADPH), **93, 94**  
 Nitrate contamination, **548, 549, 556**  
 Nitrification, **453, 453**  
*Nitrobacter*, **452, 453**  
 Nitrogen (N), **7, 7–8, 460**  
   aboveground net primary productivity and, **400–401, 401**  
   *Agrostis* spp. (bentgrasses) and, **115**  
   agriculture and, **545, 546, 548, 549, 550**  
   chlorophyll and, **114**  
   in decomposition, **432, 433, 434, 435**  
   environmental heterogeneity and, **348, 348–349**  
   as essential mineral for animals, **125, 126**  
   fertilizers, **437, 549, 550**  
   in leaves, **115, 115, 421**  
   as macronutrient, **113, 114**  
   in mineralization, **431, 431–432, 432**  
   for plants, **125, 293**  
   *Rhizobium* bacteria for, **307, 310, 310, 362, 363**  
   saturation, **457–458**  
   in swidden agriculture, **545, 546**  
   in wheat, rice, corn, **547**  
 Nitrogen cycle, **452, 452, 453**  
   global, **453–454, 454**  
   human activity and, **454, 457–458**  
 Nitrogen fixation, **453**  
*Nitrosomonas*, **452, 453**  
 Nominal data, **8**  
 Norm of reaction, **86, 86, 87**  
 Northern pike (*Esox lucius*), **501**  
 Norway spruce (*Picea abies*), **486, 486**  
   global climate change and, **598, 599**  
 Nosil, Patrik, **248, 248–249**  
 Noss, Reed, **576**  
*Nucifraga columbiana* (Clark's nutcracker), **312**  
 Null model, of community, **336**  
 Numerical data, **8**  
 Numerical response, of predator changes and prey density, **277, 277–280, 278, 279**  
*Nuphar* spp. (pond lily), **497**  
 Nutrients  
   animal acquisition of, **122–125, 123, 124**  
   animal needs for, varied, **125–126, 126**  
   aquatic ecosystems and, **350, 401–403, 402, 403, 510, 510, 511**  
   availability of  
     net primary productivity and, **398, 398–401, 399, 400, 401, 544**  
     net primary productivity and aquatic environments, **504**  
     plant adaptation and, **113, 113–115, 114, 115**  
   in crops, **547, 547, 548**  
   decomposition and, **430, 430–432, 431, 432**  
   endomycorrhizae and, **310, 310–311**  
   inputs, **447, 447–448**  
   light and  
     for ocean productivity, **510, 510, 511**  
     temperature and, for aquatic ecosystem primary production, **401–403, 402, 403**  
   loss of, in timber management, **547, 555, 555**  
   macronutrient, **113, 113–114**  
   micronutrients, **113, 113**  
   outputs of, **447, 448**  
   roots production and, **114, 115**
- temperature, water and, in terrestrial ecosystem  
   primary production, **398, 398–401, 399, 400, 401**  
 transfer of, from mutualism, **307, 308–309, 310, 310–311**  
 vertical transport of, in surface ocean currents, **443**  
 Nutrient cycling, **420**  
 in aquatic ecosystems  
   lakes/ponds, **497–499**  
   open-water, **438–440, 439, 440**  
   stream and river, **440–441, 441, 501**  
 in coastal ecosystems, **426, 427, 441–442, 442**  
 decomposition and, **420–443**  
 in eutrophic lakes, **498, 499**  
 global climate change and, **595, 595, 596**  
 internal, **420**  
 land and marine environments influence on, in  
   coastal ecosystems, **441–442, 442**  
 litter quality and, **423, 426–427, 427**  
 net primary productivity and, **420, 420, 428–429, 438, 438–441, 441**  
 rate of, key ecosystem processes influencing, **436, 438, 438**  
 salt marsh and, **442**  
 in terrestrial ecosystems, **420, 420–421**  
 Nutrient spiraling, **420, 440–441, 441**  
*Nyctea scandiaca* (snowy owls), **490**
- O**  
 O horizon, **60, 61**  
 Oaks (*Quercus* spp.), **368, 369, 485**  
 dispersal of, **159, 159–160**  
*Q. alba* (white oak), **321**  
   global climate change and, **600**  
   leaf decomposition of, **426**  
   net primary productivity for, **406, 406**  
   species richness of, **535–536**  
*Q. dumosa* (scrub oak), **483, 483**  
*Q. gambelii* (Gambel oak), **483**  
   decomposition rates of, **425**  
*Q. ilex* (holm oak), **482**  
*Q. rubra* (red oak), **98, 103, 321, 322**  
*Q. suber* (cork oak), **483**  
   zonation and, **328, 328**  
 Object resemblance, for predator defense, **286, 286**  
 Observations, in scientific method, **6, 7, 8**  
 Oceania, biomes in, **467**  
 Oceanic province, **506**  
 Oceans  
   CO<sub>2</sub> on, **591, 594, 594**  
   continental shelf in, **510, 510**  
   currents, **26, 26–27, 31, 31–32, 48, 48, 443**  
   global carbon cycling and, **451, 451–452**  
   global sulfur cycle and, **458, 459**  
   hydrothermal vents in, **508–509, 509**  
   levels of, global warming and, **594, 594**  
   minerals in, **45, 45**  
   net primary productivity in, **403**  
   oxygen diffusion in, **46, 46**  
   in phosphorus cycle, **454–455, 456**  
   productivity of  
     inverse relationship to diversity in, **533–534**  
     light and nutrients for, **510, 510, 511**  
   seasons and productivity of, **510, 511**  
   sodium in, **45, 45**  
   solute concentrations of, **45**  
   surface currents of, vertical nutrient transport and, **443**  
   temperature of, **26, 26, 594, 594, 598, 602**  
   thermal profiles of, **510, 511**  
   vents in, **508–509, 509**  
   zonation and stratification in, **506, 507**  
   zone of upwelling in, **510, 510**  
 Ocotillo (*Fouquieria* spp.), **481**  
*Oculina arbuscula* (coral), **308–309, 323**  
*Ocyropsis quadrata* (ghost crabs), **329, 330**

- Odocoileus hemionus* (mule deer), 484  
*Odocoileus virginianus* (white-tailed deer), 80, 80  
 as disturbance, 389, 389–390  
 Odum, E. P., 3, 397  
 Odum, H. T., 3  
 Oechel, Walter, 595–596  
 Omnivores, 274  
 definition of, 123  
 energy/nutrient acquisition by, 125  
*Onagraceae* (evening primrose), 571, 572  
*Oncorhynchus clarki* (cut-throat trout), 266, 268  
*Oncorhynchus mykiss* (steelhead trout), 211–212,  
 212  
*Oncorhynchus* spp. (Pacific salmon), 160  
*Oncorhynchus tshawytscha* (Chinook salmon),  
 148–149, 149  
*Ondatra zibethica* (muskrat), 526  
 mating in, 193  
 Open ecosystem, 395  
 Open populations, 166  
 Operable window, in timber management, 554, 555  
 Operative state, in timber management, 554  
 Operative temperature range, 134, 134  
*Ophiostoma novi-ulmi* (Dutch elm disease), 570  
*Ophiostoma ulmi* (fungus), 304  
 Optimal foraging theory, 281–282  
 Orangutan (*Pongo pygnaeus*), 471, 473  
*Orchestia* spp. (beach fleas), 329, 330  
 Ordinal data, 8  
*Oreamnos americanus* (mountain goats), sodium  
 deficiency in, 126  
 Organic carbon, 404–405, 405  
 Organic layer, in forest strata, 326  
 Organismic concept, of community, 332–333, 333,  
 369–370, 370, 371  
 Organisms. *See also* Invertebrate organisms  
 in benthic zone, 498  
 environment and, 68–89, 86, 86–89, 87, 88  
 in estuaries, 50  
 interaction of, with environment, 2, 4  
 isosmotic, 141  
 iteroparous, 190  
 long-day, 143–144  
 in microclimates, 32–34  
 modular, 151, 152  
 response of, to environmental variation, 86,  
 86–89, 87, 89  
 short-day, 143  
 size and shape of, oxygen for cell respiration,  
 121–122, 122  
 species richness of, 532, 532–534, 533, 534  
 unitary, 151  
 variety for, 421, 422  
 water balance of, 53  
 water depth, light and adaptation of, 42  
*The Origin of Species* (Darwin), 2–3, 71  
*Oryctolagus cuniculus* (European rabbit), 126  
*Oryx beisa* (oryx), countercurrent heat exchange of,  
 139–140, 140  
 Osenburg, Craig, 402, 402  
 Osmotic potential, 96, 116  
*Ostrinia nubilalis* (European corn borer), density  
 of, 284–285  
 Outcrossing, 193  
 Ovenbird (*Seiurus aurocapillus*), 349, 380, 381,  
 382  
 Overgrowth competition, 252  
 Overland flow, 37  
*Ovibos moschatus* (musk ox), 490  
 predator defense by, 288, 288  
*Ovis canadensis* (bighorn sheep)  
 extinction of, 576, 577  
 sodium deficiency in, 126  
 Owensby, C. E., 596  
 Oxaloacetate (OAA), 107, 107  
 Oxbow lakes, 494, 495
- Oxisols  
 description of, 63  
 location of, 64  
 Oxygen (O)  
 biogeochemical cycle of, 459, 459–460  
 cell respiration, organism size and shape  
 and, 121–122, 122  
 diffusion of, from atmosphere to surface  
 water, 45–46, 46  
 as essential mineral for animals, 126  
 as macronutrient, 113, 113  
 metabolic rate and, 135, 135–138, 136, 137  
 from photosynthesis, 459–460  
 for terrestrial compared to aquatic animals,  
 127, 127, 130  
 in water, seasonal variations of, 45–46  
 Oxygen minimum zone, in ocean, 46  
 Oyster reef, 506, 506  
 Ozone (O<sub>3</sub>), 460  
 in urban microclimates, 33  
*Ozotoceros bezoarticus* (pampas deer), 478–479
- P**  
 Pace, Michael, 404, 414, 414  
 Pacemaker, in circadian rhythm, 142  
 Pacific salmon (*Oncorhynchus* spp.), migration  
 of, 160  
 Pacific sardine (*Sardinops sagax*), 557, 557  
 Paicos, Peter, 302  
 Paine, Robert, 341, 342  
 Palearctic, biomes in, 467  
 Paleoecology, 589  
 Palmer, J. D., 144, 144–145  
 Palo verde (*Cercidium* spp.), 481  
 Pampas, 476, 477  
 Pampas deer (*Ozotoceros bezoarticus*), 478–479  
*Panax quinquefolius* (American ginseng),  
 177–178, 178  
*Panthera leo* (lion), 479  
*Panthera pardus* (leopard), 479  
*Pan troglodytes* (Chimpanzee), 473  
 Paper, consumption of, per person, 541  
 Paquin, V., 532, 532–533  
 PAR (photosynthetically active radiation), 20, 55,  
 56, 58, 93–96, 94  
*Paralichthys* (flounder), predator defense  
 of, 286, 286  
*Paramecium*  
*P. aurelia*, 253, 255, 255  
*P. bursaria*, 255  
*P. caudatum*, 253, 255, 255  
*Parapriacanthus ransonetti* (pygmy sweep), 202  
 Parasites, 274  
 fungal, spread of, 300  
 host diverse habitats for, 300  
 in host population regulation, 303–305, 305  
 host resources for, 299, 299–300  
 host response to, 301–302, 303  
 host survival and reproduction effects  
 from, 303, 304  
 macroparasites, 299–300, 302, 304, 306  
 as predators, 299  
 transmission of  
 direct, 300, 300  
 intermediate vector in, 301, 301  
 multiple hosts and stages in, 301, 302  
 vertical, 303–304  
 Parasitism  
 definition of, 239, 299  
 as species interactions, 239, 239, 240  
 Parasitoids, 274  
 Parasitoidism, 239  
 definition of, 239  
 Parasitoid wasp  
*Cotesia marginiventris*, 293  
*Trichogramma brassicae*, 284–285
- Parelaphostrongylus tenuis* (meningeal worm), life  
 cycle of, 301, 302  
 Parent material, in soil formation, 58–59  
 Parietal eye, 143, 143  
 Park, Thomas, 255–256, 256  
 Particulate organic matter, 403–404, 435–436  
*Parus major* (great tits), song repertoire and female  
 receptivity of, 196, 198  
*Parus montanus* (willow tits), predation risk of, 285  
*Passerina cyanea* (indigo bunting), in border  
 environments, 378, 379  
 Passion flower (*Passiflora mixa*), 243  
 Pastor, John, 400, 400, 438, 438, 439  
 Patches, landscape  
 borders of, 377, 377–378, 378, 379  
 definition of, 375  
 edges of, 380  
 environmental processes creating, 375, 375–376,  
 376, 377  
 equilibrium proportion of, 227  
 human activity and, 376, 376  
 mainland-island metapopulation structure in, 231  
 movement between, corridors permitting, 384,  
 384–385  
 shifting mosaic of, 391, 391  
 size and shape of, species diversity and, 378–380,  
 379, 380, 381, 382, 382  
 theory of island biogeography applied to, 382,  
 382–384, 383  
 transition zones between, 377, 377–387, 378  
 Pauly, Daniel, 415  
*Pavo cristatus* (peacocks), intersexual selection of,  
 194–195, 195  
 Pea aphids (*Acyrtosiphon pisum*), 294–295,  
 predators and  
 Peacocks (*Pavo cristatus*), intersexual selection of,  
 194–195, 195  
 Peat, Helen, 232  
 Peatlands, 521–522, 522  
 hydrology of, 525  
 Pelagic communities, vertical zone variations and,  
 507, 507–508, 508  
 Pelagic division, of oceans, 506  
*Peltandra virginica* (arrow arum), decomposition  
 of, 434–435, 436  
*Peltigera rufescens* (lichens), 453  
 Peltonen, Anu, 233  
 Penguin, Galápagos (*Spheniscus mendiculus*),  
 16–17, 17  
 PEP carboxylase, 107, 107  
 Percent similarity, 332  
 Peregrine falcon (*Falco peregrinus*), restoration  
 of, 578  
 Pérez-Soba, Marta, 594–595, 595  
 Periodic cicadas (*Magicicada* spp.), predator  
 satiation and, 288, 289  
*Periophthalmus*, 520  
 Periphyton, 498  
 Periwinkles (*Littorina*), 516, 516, 519, 560  
 marsh periwinkle (*Littoraria irrorata*),  
 412–413, 519  
 Permian extinctions, 530, 530, 566  
*Peromyscus maniculatus* (deer mice), 160  
 Pesticides, 547, 549  
 Petersen, C. D. J., 556–557  
 Petrie, Marion, 194–195, 195  
 3-PGA (phosphoglycerate), 93–94, 94  
 pH, 47, 47  
 interspecific competition and, 257  
*Phagocytes vernalis* (flatworm), 140  
*Phalaenoptilus nuttallii* (poorwill), 138  
 Phenotype, 72, 72–73, 73  
 Phenotypic plasticity, 82, 86, 87, 89  
 Phenotypic variation, 72, 72–73, 73  
 from competition, in coevolution, 243–244,  
 244, 245

- Phocaena* spp. (porpoise), countercurrent heat exchange in, 139, 139
- Phoca groenlandica* (harp seals), sexual maturity of, 212, 213
- Phoradendron* spp. (mistletoe), as hemiparasite, 301
- Phosphoenolpyruvate (PEP), 107
- Phosphoglycerate (3-PGA), 93–94, 94
- Phosphorus (P), 552–553  
as essential mineral for animals, 126  
as macronutrient, 113
- Phosphorus cycle, 447, 454, 455  
global, 455, 456
- Photic zone  
of aquatic ecosystems, 327  
of ocean, 506, 507
- Photoautotrophs, 93
- Photoinhibition, 95
- Photoreceptors, 142–143, 143
- Photosynthesis, 56  
carbon allocation in, 99–102, 100, 111, 111  
carbon cycle and, 448–449, 449  
as carbon dioxide-simple sugars conversion, 93–94, 94  
carbon gained in, plant tissue production and, 99–101, 100  
chlorophyll in, 93, 101, 107  
earth's population related to, 204  
gas exchange and, 115–116  
lakes and, 494, 495, 497  
light received by plant and affect on, 94, 94–95  
net, 94, 98, 98, 99, 99, 112, 112, 112–113, 113  
net carbon gain and, 99–101, 100, 111  
oxygen from, 459–460  
PAR and, 57  
plant-atmosphere exchanges for, 95, 95–98, 97, 98  
process of, 93–94, 94  
rate of  
leaf temperature and, 99  
light availability and, 94, 94–95, 101–102, 102, 104–105, 104–106, 106  
rubisco and, 101  
of shade-tolerant plant species, 101–102, 102, 103, 104–105, 105, 106  
of shrubs, 468
- Photosynthetically active radiation (PAR), 20, 55, 56, 58  
as free energy, 96  
rate of photosynthesis and, 94, 94–95  
seasonal variation of, 58  
solar (See Solar radiation)
- Photosynthetic electron transport, 93
- Physeter catodon* (sperm whale), 41, 41
- Physical environment  
aquatic, 36–50 (See also Aquatic ecosystem(s))  
climate and, 19–34 (See also Climate)  
terrestrial, 52–65 (See also Terrestrial ecosystem(s))
- Phytoplankton, 407, 407, 450, 497, 498  
in eutrophic lake, 498  
in net primary productivity, 402, 402–403, 403, 405
- Pianka, E., 199
- Picea* spp. See Spruces
- Pickrel (*Esox* spp.), 497
- Picoides pubescens* (downy woodpecker), 302, 303
- Pied wagtail (*Motacilla alba*), optimal foraging by, 282, 282
- Pieris protodice* (checkered white butterfly),  
source-sink metapopulation of, 231
- Pignut hickory (*Carya glabra*), 321
- Pig-tailed macaque, 471–472
- Pineal gland, 142–143, 143
- Pine martin (*Martes americana*), 488
- Pines (*Pinus* spp.), 113  
*P. albicaulis* (whitebark pine), mutualism for, 312  
*P. banksiana*, shade tolerance of, 106  
*P. contorta* (lodgepole pine), 486–487  
*P. flexilis* (limber pine), mutualism for, 312  
*P. palustris* (longleaf pine), corridors for, 386–387  
*P. ponderosa* (ponderosa pine), 486, 486–487  
*P. strobiformis* (southwestern white pine),  
mutualism for, 312  
*P. strobus* (white pine), 106, 116, 300, 368  
*P. taeda* (loblolly pine)  
corridors for, 386–387  
nutrient production and, 547, 555  
*P. virginiana* (Virginia pine)  
global climate change and, 600  
litterbag study of, 424  
species richness of, 535–536
- Pink-flowering sea holly (*Hibiscus palustris*), 518
- Pink lady's slipper orchid (*Cypripedium acaule*), 182–183
- Piñon jay (*Gymnorhinus cyanocephalus*),  
mutualism for, 312
- Pioneer species (early successional species), 355
- Piranga olivacea* (scarlet tanager), 349
- Pisaster* (starfish), keystone predation and, 341, 342
- Pituophis melanoleucus*, mimicry in, 287
- Plagues, 306
- Planktivores, 274
- Plankton, 497–498, 498  
food web, 508, 508
- Plant(s), 401. See also Photosynthesis  
adaptations by, 93–116  
environmental constraints and, 101  
Grime's life history model for, 199, 199  
nutrient availability and, 113, 113–115, 114, 115  
terrestrial ecosystems for, 468, 469, 470, 470–471  
water and temperature in, 106–107, 107, 108–109, 110, 110–111, 111  
wetland environments and, 115–116, 116
- age pyramid of, 158, 159, 159
- allelopathy in, 252
- aquatic compared to terrestrial, 98
- association, 3
- atmosphere exchanges, for photosynthesis, 95
- browse in, 294
- C<sub>3</sub>, 94, 94, 95, 107, 110, 112, 112
- C<sub>4</sub>, 107, 107, 110, 112, 112
- carbon gain for, 99–101, 100
- clones of, 151, 153, 153
- consumption of, 544
- cover, vertical light distribution from, in  
terrestrial environment, 54, 54–57, 55, 56–57
- diversity, in community, resource availability and, 349, 349–350
- dry deciduous, 107
- energy allocation primary production, plant  
life-form and, 404–405, 405
- essential elements in, 113, 113–114
- fungi and, 311
- global climate change and, 603–604
- heat exchange for, 100
- herbivore-carnivore interaction with, 294, 294
- hydrophytic, 520–521, 522, 523
- invasive, 569, 569–570, 570
- life tables for, 170, 171, 172
- mating systems of, 193
- modular development of, 151–152, 152
- movement of water from soil to atmosphere  
for, 95–98, 97
- nitrogen for, 125, 293
- parasitic, 299, 299–300, 300
- response of, to atmospheric CO<sub>2</sub> concentration,  
594–596, 595, 596
- roots of (See Roots)
- salinity and, 116
- secondary compounds in, 293
- seeds of, size of, light environment and, 108–109
- semelparous, 190–191
- temperature of, energy balance with environment  
and, 98–99, 99
- terrestrial, species richness of, 529–530, 530
- Plantasamfund* (Warming), 3
- Plant litter, 395, 472  
conversion of, into soil organic matter, 432, 432, 433, 434  
litterbag experiments, 423, 423, 424, 425, 425, 427, 428–429, 431  
quality of, nutrient cycling and, 423, 426–427, 427
- Plasmid, 88
- Plasmodium* (protozoan parasites), 301, 301
- Plasticity  
developmental, 87  
phenotypic, 82, 86, 87, 89
- Platanus occidentalis* (sycamore), 328, 424  
species richness of, 535–536
- Platanus wrightii* (sycamore), decomposition  
rates of, 425
- Pleistocene, 367  
extinctions, 530
- Plethodon cinereus* (redback salamander), 188–189, 189
- Plethodon jordani*, 80, 81
- Plovers (*Pluvialis* spp.), 490
- Pneumatophores, 116, 116
- Podzolization, 64
- Pogonomyx* spp. (harvester ants), 478
- Poikilotherms  
ambient temperature in, 134  
aquatic, 134  
definition of, 133  
respiration of, 134  
terrestrial and amphibious, 134, 134–135, 135  
thermoregulation in, 134, 134–135, 135
- Poikilothermy, 133
- Polar cell, 26, 26
- Polar desert, 488, 488
- Polar easterlies, 26, 26
- Pollination  
animals for, 241–242, 242  
coevolution and, 241–243, 243  
from mutualism, 311–312
- Pollutants  
in aquatic ecosystems, 568, 569  
smog concentration, 33, 33
- Polyandry, 194, 194
- Polychaete worms (*Nereis*), 518
- Polyculture, 545
- Polygamy, 193–194  
of females, mate acquisition for, 196–197
- Polygons, 489, 489
- Polygonum pensylvanicum* (smartweed), 268, 268
- Polygonum persicaria* (Spotted Lady's thumb), 86–87, 87
- Polygyny, 194
- Polypeptide chain, 72
- Polyps, coral, 306–307, 307
- Pomacea canaliculata* (fresh water applesnail),  
sexual maturity of, 192, 193
- Ponderosa pine (*Pinus ponderosa*), 486, 486–487
- Pond lily (*Nuphar* spp.), 497
- Pondweed (*Potamogeton*), 497
- Pongo pygmaeus* (orangutan), 471, 473
- Pools, 500–501, 501  
gene, 73, 77  
tidal, 516–517, 517
- Poorter, Hendrik, 594–595, 595
- Poorwills (*Phalaenoptilus nuttallii*), 138
- Population(s)  
age structure of, 156–158, 157, 158, 159  
bottom-up control of, 407, 407, 412–413  
closed, 165, 165  
definition of, 166  
concept of, hierarchical framework in  
approach to, 233



- core, in metapopulations, 225  
 definitions of, 4, 151, 233  
 distribution of, 151, 153, 153  
   abundance, in population density and, 153–155, 154, 155  
 extinction of, factors leading to, 177, 177  
 genetic variation in, 178  
 geographic range of, 151, 153, 153  
 of hosts, regulation of parasites in, 303–305, 305  
 human, trends in, over past 50 years, 541  
 level of, 5, 5, 73  
 local, 224–225, 233  
   dynamics of, synchronizing, 231–232, 232  
   persistence of, habitat heterogeneity and, 230, 230  
 local subpopulations of, 153  
 Lotka-Volterra model and, 276–277  
 metapopulations, 153, 224 (*See also* Metapopulation)  
 minimum viable, 576, 576  
 of modular organisms, 151, 152  
 movement of individuals within, 158–160, 159  
 open, 166  
 patterns and processes in, study of, 5–6  
 protecting, as key to conservation efforts, 576, 576–577, 577  
 randomly mating, genotype frequencies for, 78–79  
 reestablishing, species reintroduction in, 577, 577–578, 578  
 regulation of  
   density-dependent, 206, 206–207, 207  
   intraspecific, 206, 206–207, 207  
 response of, to environmental variation, 177 +  
 satellite, in metapopulations, 225  
 sex ratios in, age and, 158  
 sink, 231  
 size of, effective, 576  
 small, susceptibility of, to extinction, 177–178, 178  
 social behaviors limiting, 217  
 source, 231  
 spatial distribution of individuals in, patterns of, 154–155, 155  
 statistical, 8  
 subpopulations in, 153  
 top-down control of, 407  
 of unitary organisms, 151  
 variability, 227–229, 228, 230
- Population density  
 abundance and, 153–155, 154, 155  
 body size and home range size on, 233  
 changes in, in time and space, 160–161, 161  
 crude density in, 154  
 definition of, 153  
 dispersal and, 160–162, 161, 162, 216–217  
 high, as stressful to individuals, 160–162, 161, 162, 216  
 population regulation dependent on, 162, 162  
 of *Rana tigrina*, 209–210, 210  
 sampling in determination of, 156, 156  
 of *Trifolium repens*, 208, 208
- Population dynamics  
 local, synchronizing, 231–232, 232  
 mutualism and, 313, 313, 314, 315  
 on species interactions, 238–249, 240, 240–241  
 stochastic processes influence on, 176–177
- Population ecology, 3  
 Population genetics, 3  
 Population growth  
 definition of, 224  
 density-independent factors influencing, 219–220, 220  
 as difference between birth and death rates, 166, 166, 167, 168, 168–169  
 of Earth, 204
- exponential model of, 168, 168–169, 169, 203, 205  
 geometric, 176  
 life tables  
   for mortality and survivorship curves, 172, 172–173, 173  
   for schedule of age-specific mortality and survival, 169–170, 170  
 limited, environment and, 203, 203, 205, 205–206, 206  
 logistic model of, 205, 207  
 projection of, 171  
   age-specific mortality and birthrates in, 174, 174–176, 175, 176  
   territoriality regulating, 217–219, 218  
 Population projection table, 174, 175  
*Populus tremuloides* (aspen), 152, 152  
 quaking aspen, 151  
 Porpoise (*Phocaena* spp.), 139, 139  
 Positive assortative mating, 77–78  
*Potamogeton* (pondweed), 497  
 Potassium (K)  
   as essential mineral for animals, 126  
   as macronutrient, 113, 114  
   magnesium deficiency and, 127  
   in wheat, rice, corn, 547  
 Potential energy, 397  
 Potential evapotranspiration, 523, 532–533  
 Potholes, prairie, 523, 523–524  
 Power, Mary, 345  
 Practical salinity units (psu), 45, 45  
 Prairie dog (*Cynomys* spp.), 478, 478  
 Prairies  
   potholes in, 523, 523–524  
   restoration of, 583, 583  
 Prairie vole (*Microtus ochrogaster*), mating in, 193  
 Prasad, Anantha, 598–599, 601, 601  
 Precipitation, 39, 39  
   global climate change and, 597, 597  
   global pattern of, 27–29, 28, 29  
   grass and, 257, 260, 260  
   in grasslands, 400, 400–401, 401, 476, 477, 479  
   hemispheres and, 29, 29  
   infiltration of, 37, 37  
   intertropical convergence zone and, 27–29, 28, 29  
   lakes and, 494, 494  
   latitude and, 27–28, 29  
   net primary productivity and, 398, 398–399, 428–429  
   in grasslands, 400, 400–401, 401, 479  
   saturation vapor pressure and, 28  
   in savannas, 475, 475, 476  
   temperature and, for biomes, 467–468, 468, 470, 470  
   terrestrial environments and, 54  
   topography and, 30, 30
- Precocial, 188  
 Predation, 239, 292, 292  
   birthrate in, 240–241  
   definition of, 239, 274  
   forms of, 274  
   keystone, 341, 342  
   mathematical model describing, 274–277, 275, 276  
   mortality rates in, 240, 240–241  
   natural selection and, 285, 290–291  
   risk of, foraging behavior and, 285
- Predators  
 birds as, 241, 242, 243, 243–244, 244  
 coevolution of prey and, 240, 240–241, 285  
   adaptation in, 241, 242, 243–244, 244  
   in coral reefs, 510  
   definition of, 274  
   in flowing water, 504, 505  
   in freshwater wetlands, 526  
   herbivores as, 274, 289, 292, 292–294, 293  
   hunting tactics of, 289, 289
- keystone, 324  
*Lepus americanus* and, 337, 340, 340  
 numerical response of, to prey density changes, 277, 277–280, 278, 279  
 optimal foraging by, time and energy allocation and, 281–282, 282, 283  
 parasites as, 299  
 prey defenses against, 285–289, 286, 287, 288, 289  
 prey dynamics, lethal and nonlethal effects and, 294–295, 295  
 in salt marshes, 519  
 in sandy and muddy shores, 517  
 search image of, 279  
 seed, 241–242, 242, 274  
 teeth size for, 268, 269  
 top, 324  
   in food web, 324, 325  
   top-down control and, 234
- Predator defense  
 Batesian mimicry as, 287, 287  
 behavioral defenses as, 288  
 chemical defense as, 285–286, 286  
 constitutive defenses for, 289  
 cryptic coloration for, 286, 286  
 flashing coloration for, 286, 286  
 induced defenses for, 289  
 Müllerian mimicry as, 288, 288  
 object resemblance for, 286, 286  
 predator satiation for, 288, 289  
 protective armor for, 288  
 quantitative and qualitative inhibitors as, 293  
 warning coloration for, 286–287, 287
- Predator satiation, 288  
 Predictions, in scientific method, 7, 7  
 Preemptive competition, 252  
 Prey  
 apparent competition between, 341–342, 342  
 coevolution of predators and, 240, 240–241, 285  
   adaptation in, 241, 242, 243–244, 244  
 defenses of, evolution of, 285–289, 286, 287, 288, 289  
 density of  
   numerical response of predators changes and, 277, 277–280, 278, 279  
   optimal foraging and, 283  
   prey consumed related to, 279  
 dynamics of, predators and, 294–295, 295  
 selection of, in optimal foraging, 281–282, 282, 283  
 switching, 279, 279–280
- Prickly sculpin (*Cottus asper*), 266  
 Primack, Richard, 182–183  
 Primary producers, 69  
 Primary production  
 in aquatic ecosystems, 401–403, 402, 403  
 of energy  
   plant life-form and, 404–405, 405  
   secondary production limits and, 406–407, 407, 408  
 net carbon gain and, 404–405  
 in terrestrial ecosystems, 398, 398–401, 399, 400, 401, 595, 596  
 time and energy, 405, 405–406, 406
- Primary succession  
 in coastal sand dune, 358, 358  
 of glaciers, 358, 359, 362, 363
- Primates, nonhuman, in tropical rain forest, 471–472, 473  
 Procariiformes, 141  
 Production efficiency, of consumers, 408–409, 409, 410  
 Productivity  
 sustainability and, agriculture trade-offs in, 548, 548–549, 549  
 in swidden agriculture, 546

Profundal zone, of lake, **495**, 497  
 Promiscuity, 193  
 Prong-horn antelope (*Antilocarpa americana*), 478  
*Prosopis* spp. (mesquite), 481  
 Protected areas, establishment of, 579, **580**, **581**, 581–582, **582**  
 Protective armor, for predator defense, 288  
 Protozoan parasites (*Plasmodium*), 301, **301**  
*Prunus serotina* (black cherry), **321**, **322**  
   species richness of, **535–536**  
*Psephenus* (water penny), **501**, 503  
*Pseudomyrmex* spp. (Central American ants), 311  
*Pseudotsuga menziesii* (Douglas fir trees), **183**  
 Ptarmigan (*Lagopus* spp.), 136  
*Pueraria montana* (kudzu vines), 162, **162**  
 Pulliam, Ronald, 231  
 Pulmonate snail (*Melampus*), 519  
 Pumpkinseed fish (*Lepomis gibbosus*), 186  
*Pupulus tremulooides*, **106**  
 Purple loosestrife (*Lythrum salicaria*), 162  
 Pursuit hunting, 289  
 Pycnocline, 442  
 Pygmy shrew, 120, **120**  
 Pygmy sweep (*Parapriacanthus ransonetti*), **202**  
*Pyrenestes ostrinus* (black-bellied seedcracker), **76**, 76–77  
 Pyromineralization, **552**

## Q

Quaking aspen (*Populus tremulooides*), 151  
 Quaking bogs, 522–523, **523**  
 Qualitative inhibitors, as predator defense, 293  
 Qualitative traits, 72  
 Quantitative inhibitors, as predator defense, 293  
 Quantitative traits, 72  
*Quercus* spp. *See* Oaks  
 Question, in scientific method, 6, 7  
 Quill-bearing porcupine (*Erethizon dorsatum*), 488

## R

Rabbit tick (*Haemaphysalis leporis-palustris*), 303  
 Rabun bald salamander (*Plethodon jordani rabunensis*), **81**  
 Radiation. *See also* Solar radiation  
   adaptive, 84, **85**, **248**, 248–249  
   longwave, 19–20, 24  
   photosynthetically active, 20, 55, **56**, **58**, 92–96, **94**  
   red/far-red, 55  
   shortwave, 19–20, **20**  
   wavelength of, temperature of object and, **20**  
 Ragweed (*Ambrosia* spp.), 190–191  
 Rain shadow, 30, **30**  
 Raised bogs, 522, **522**  
 Ramets, 151  
*Rana catesbeiana* (bullfrogs), 134–135  
*Rana clamitans* (green frogs), 134–135  
*Rana sylvatica* (wood frogs)  
   intraspecific competition on, 209–210, **210**  
   supercooling in, 139  
*Rana tigrina* (tadpoles, frogs), population density of, 209–210, **210**  
*Rangifer tarandus* (caribou, St. Paul reindeer), 160, **169**, **223**, 488  
*Rangifer tarandus groenlandicus* (caribou, Barren-ground), 1, **1**  
 Rank-abundance diagram, 322, **322**  
 Rarefaction curve, **535–536**  
*Ratibida pinnata*, **258–259**  
*Rattus rattus* (black rat), **306**  
 Ravenstein, E. G., **204**  
 Reaction, norm of, 86, **86**, **87**  
 Realized niche  
   definition of, 247  
   fundamental niche compared to, **247**, 247–248

Recessive allele, 72, **72**  
 Redback salamander (*Plethodon cinereus*), 188–189, **189**  
 Red-billed oxpecker, 311, **311**  
 Redbud (*Cercis canadensis*), **485**  
   species richness of, **535–536**  
 Red-cheeked salamander (*Plethodon jordani jordani*), **81**  
 Red deer (*Cervus elaphus*), 173  
   reproduction costs for, 182–183, **183**  
 Red/far-red radiation (R/FR ratio), 55  
 Red fox (*Vulpes vulpes*), 125  
 Red kangaroo (*Macropus rufus*), 479  
 Red-legged salamander (*Plethodon jordani shermani*), **81**  
 Red oak (*Quercus rubra*), **98**, **103**, **321**, **322**  
 Redshank (*Tringa totanus*), **280**  
 Redwood tree (*Sequoia sempervirens*), **53**, 54  
 Regional (gamma) diversity, **531**, 534, 536  
 Regional scale, 225  
   climate variations at, 30–32, **31**, **32**  
   of distribution, 154, **154**  
 Regolith, 58  
 Reich, Peter, 104, 400–401, **401**  
 Relative abundance, **321**, 321–323, **322**  
 Relative growth rate (RGR), **104–105**, **109**  
   of prairie grass, **258–259**  
 Relative humidity, 27  
 Relyea, Rick, 209–210, **210**, **290–291**  
 Reproduction, 191, 220. *See also* Fecundity  
   age-specific mortality patterns and, 183–185, **184**, **185**  
   animal, mineral availability and, 126–127  
   asexual, 166, **166**, 233, 295  
   circannual rhythms and, 144  
   future reproduction from, 182–183  
   growth compared to, 183, **183**  
   of hosts, parasites affecting, 303, **304**  
   individual fitness benefits and costs in, 182–183, **183**  
   melatonin and, 144  
   mode of, dispersal and colonization rates and, 233  
   mortality and, 182–185, **183**, **184**, **185**  
   parental investment in, young number and size, 188–189, **189**  
   reduction of, from intraspecific competition, 212–213, **214–215**, 216  
   timing of, species differences in, 190–192  
 Reproductive effort, **185**, 185–186  
 Reproductive rate  
   gross, 173–174  
   net, 173–174, **174**  
 Reptiles  
   heat exchange for, **132**  
   parental care in, 189  
   as poikilotherms, 133  
   thermoregulation in, 135, **135**  
 Rescue effect, 230–231  
 Resistance, antibiotic, ecology of, **88**  
 Resorption (retranslocation), 420–421  
 Resources  
   acquisition of, by parasites, **299**, 299–300  
   availability of, plant diversity in community and, **349**, 349–350  
   female mate acquisition and, 195–198, **196–197**  
   of groundwater, **38**  
   human appropriation of, **408–409**, 541  
   interspecific competition for, 260–261, **261**  
   limited, competition and, 207–208  
   management of, economics in, 560–562, **561**  
   nonrenewable, 542–543  
   parasite, **299**, 299–300  
   partitioning of, species coexistence involving, 267–270, **268**, **269**

shortage of, extinctions from, 177, **177**  
 use of  
   adverse consequences of, limiting sustainability, **542**, 542–544, **543**  
   sustainable, supply and demand balance as, **542**, 542–543, **543**  
 Respiration, 69  
   carbon balance and, 99–100, **100**  
   cell, 121–122, **122**  
   cellular (aerobic), 94  
   gills in, **127**, 130  
   leaf, light and, 95, 102, **102**, **103**, 104  
   leaf, rubisco and, 101  
   of poikilotherms, 134  
 Respiratory systems, 122  
   of animals, 127, **127**, 130  
   of birds, **127**, 130  
 Restoration ecology, 582–583, **583**  
 Rete, 134  
   thermoregulation from, 139–140, **140**  
 Retranslocation (resorption), 420–421  
 Reznick, David, 185, **186**  
*Rhizobium* bacteria, 248, 307, 310, **310**, 362, **363**, 453  
 Rhizomes, sea grass and, 153, **153**  
 Rhizosphere, 434  
*Rhopalosiphum padi* (grass aphid), apparent competition for, 343  
 Ribbed mussels (*Modiolus demissus*), 519  
 Rice, **547**, 606, **607**, **608**  
 Riffle, 500–501, **501**  
 Rigler, F. H., 402–403, **403**  
 Ringlet butterfly (*Aphantopus hyperantus*), extinction synchronicity of, 231–232  
 Ring-necked ducks (*Aythya collaris*), 160, **160**  
 Riparian woodlands, 521, **522**  
*Rivulus hartii* (killifish), 185  
 Robber fly (*Laphria* spp.), hunting tactics of, 289, **289**  
 Robertson, Philip, 348, 348–349  
*Robinia pseudoacacia* (black locust), 151  
 Robinson, Beren, 82–83  
 Rock crab, **516**  
 Rockweed (*Fucus* spp.), 516, **516**, 560, **561**  
 Rocky intertidal zone  
   food web in, **342**  
   succession in, 354–355, **355**  
 Rocky shorelines, **515**, 515–517, **516**, **517**  
 Rocky soil, location of, **64**  
 Roots  
   production of  
     light environment and, 102, **105**, **108–109**  
     nitrogen for, **114**  
     nutrients and, **114**, 115  
     water availability and, 111, **111**  
   in soil formation, 59  
 Rotation period, 542  
 Rotation time, for timber management, **543**, 555  
 Round sardinella, growth rate and absolute fecundity of, 183, **183**  
 Roundworms  
   *Ascaris*, 300  
   *Trichinella spiralis*, 302  
*r*-strategists, 199  
 Rubisco, 93, **94**, 460  
 Ruffed grouse (*Bonasa umbellatus*), in border environments, 378  
 Ruminants  
   digestive system of, 123–124, **124**  
   nutritional needs of, 126–127  
 Runoff, surface, **33**  
*Ruppia maritima* (widgeongrass), 506

## S

*S. caecutiens* (shrews), 233  
*S. minutus* (shrews), 233  
 Sagebrush (*Artemisia*), 480, **480**

- Sage grouse (*Centrocercus urophasianus*), 484
- Saguaro (*Cereus giganteus*), 481
- Sahara Desert, 480
- Salamanders (*Plethodon* spp.)
  - genetic differentiation of, 80, 81
  - P. cinereus* (redback salamander), 188–189, 189
  - P. j. clemsonae* (Clemson salamander), 81
  - P. j. jordani* (red-cheeked salamander), 81
  - P. j. melaventris* (highlands salamander), 81
  - P. j. metcalfi* (Metcalf's salamander), 81
  - P. j. rabunensis* (Rabun bald salamander), 81
  - P. j. shermani* (red-legged salamander), 81
  - P. j. tayahalee* (Tayahalee salamander), 81
  - P. jordani*, 80
    - subspecies of, 233
  - P. yonahlossee*, 81
    - subspecies of, 80
- Salamolard, Marc, 280, 281
- Salicornia spp. (glasswort), 518, 518, 519
- Salinity
  - of estuary, 49, 49–50, 506
  - plants tolerance of, 116
  - practical salinity units, 45, 45
  - salt marsh communities and, 338–339
  - of seawater, 45, 45
  - tides and, for salt marsh structure, 518, 518–519, 519
- Salinization, in soil formation, 64, 65
- Salix spp. (willow), 343–344
- Salmo trutta (brown trout), 211, 211
- Saltbush (*Atriplex*), 110
- Saltgrass (*Distichlis spicata*), 518
- Salt marsh, 338–339
  - mangroves in, 519, 519–520, 520
  - nutrient cycling and, 442
  - predators in, 519
  - stress compared to competition in, 347, 347–348
  - tides and salinity structure of, 518, 518–519, 519
  - zonation in, 329, 329
- Salt-marsh cordgrass (*Spartina alterniflora*), 116, 347, 518, 519
- Salt marsh spear-leaved orache (*Atriplex prostrata*), intraspecific competition on, 208–209, 209
- Saltmeadow cordgrass (*Spartina patens*), 347, 347–348
- Salt pans, 518–519, 519
- Sample, 8
- Sander vitreus (walleye), sexual maturity of, 212–213, 213
- Sandpipers (*Tringa* spp.), 490
- Sandy beaches
  - bacteria and, 517–518
  - epifauna, infauna, and meiofauna in, 517–518
  - zonation in, 329, 330
- Sardinops sagax (Pacific sardine), 557, 557
- Sarnelle, Orlando, 402, 402
- Satellite populations, 225
- Saturated, 61, 62
- Saturation vapor pressure, 27, 27
  - precipitation and, 28
- Savannas, 469
  - fauna in, 476
  - geographic distribution of, 475
  - insects in, 476
  - precipitation in, 475, 475, 476
  - soils in, 475, 476
  - tropical, 474, 474–476, 475, 476
  - vegetation of, 474, 474–475
- Sayornis phoebe (Eastern phoebe), range of, 599, 601
- Scale, disturbances and, 388
- Scaling, 120–121, 121
- Scaphiopus couchi (spadefoot toad), 481, 481
  - water balance in, 140
- Scarabaeidae beetles, 71
- Scarlet tanager (*Piranga olivacea*), 349
- Scatter plots, 9, 10, 11
- Sceloporus occidentalis (western fence lizard), 303
- Schall, Joseph, 303
- Schimper, A. F. W., 464
- Schoener, Thomas, 252
- Schouw, J. F., 464
- Schuessler, Amy, 212–213, 213
- Schuur, Edward A. G. (Ted), 428–429
- Science, uncertainty of, 12
- Scientific method, 7
  - ecologists investigation of nature with, 6–9
- Sciurus carolinensis (gray squirrel), 169–170, 170, 171, 172
  - population growth of, 205–206, 206
- Sciurus hudsonicus (arboreal red squirrel), 488
- Sclerophyllous vegetation, 482–483, 483
- Scolytus multistriatus (European elm bark beetles), 301
- Scramble competition, 207–208
- Scrub oak (*Quercus dumosa*), 483, 483
- Sea blite (*Suaeda maritima*), 518
- Sea grass, 359–360, 360, 361
  - Halodule beaudettei*, 152, 152
  - rhizomes and, 153, 153
- Sea levels, global climate change and, 605, 605–606, 606
- Seals, 142
  - harp (*Phoca groenlandica*), 212, 213
- Sea otters (*Enhydra lutris*), 324
- Search image, 279
- Search time, foraging, 277–278, 282, 283
- Seaside sparrow (*Ammospiza maritima*), 519
- Seasonal variations
  - animal activity
    - day lengths for, 143, 143–144
    - light and dark cycles and, 142–143, 143
  - in attenuation of light, 56–57
  - daily and, of carbon cycle, 450, 450
  - intertropical convergence zone and, 29
  - of lakes/ponds nutrient cycle, 497
  - light and dark, animal activity and, 142–143, 143
  - marine organism richness and, 533–534
  - of oxygen, in water, 45–46, 46
  - of solar radiation, 21, 21–22, 22
  - of water temperature and depth, 43, 43–44
- Seawater
  - anions in, 45
  - cations in, 45
  - salinity of, 45, 45
  - as solvent, 44–45
  - transition zone of, 49, 49–50
- Secondary compounds, 293
- Secondary producers, 69, 406–407, 407, 408
- Second law of thermodynamics, 397
- Sedge warblers (*Acrocephalus schoenobaenu*), mating territories of, 196
- Sedimentary cycles, 447
- Sediment deposition, 366–367
- Sedum smallii (annual elf orpine), 170, 171, 172, 172
- Seeds, 159, 159
  - dispersal
    - frugivores and, 312–313, 313
    - from mutualism, 312, 312–313, 313
  - germination of, 362
  - temperature and, 257, 257
  - interspecific competition of, 263–264
  - predators, 241–242, 242, 274
  - size of, 74–76, 75
    - light environment and, 108–109
    - seed-eating bird bill size, natural selection and, 241, 242, 243–244, 244
    - seedling survival and, 190–191
    - wet compared to dry environments and, 190–191
- Seed-tree, 551, 554
- Seiurus aurocapillus (ovenbird), 349, 380, 381, 382
- Selection
  - directional, 75, 76
  - divergent, 82
  - intersexual, 194–195, 195
  - intrasexual, 194
  - K-strategists for, 199
  - natural (See Natural selection)
  - r-strategists for, 199
  - sexual, 194–195, 195
  - stabilizing, 75–76, 76
  - target of, 74
- Selection cutting, 554
- Selective agent, 74
- Selenium (Se), as essential mineral for animals, 126
- Self-thinning, 210–211, 211
- Semelparous, 190–191
- Semiarid regions, of tropical savannas, 474, 474–476, 475, 476
- Sequoiadendron giganteum (giant sequoia), 487
- Sequoia sempervirens (redwood tree), 53, 54
- Sere (seral stage), 354, 354
- Setaria faberii (bristly foxtail), 268, 268
- Sexual dimorphism, 194
- Sexual selection, 194–195, 195
- Shad (*Alosa*), 506
- Shade-intolerant plant species, 104–106, 106, 362, 362
- Shade-tolerant plant species
  - autogenic environmental change and, 362, 362
  - definition of, 104
  - photosynthesis of, 101–102, 102, 103, 104–105, 105, 106
- Shadscale (*Atriplex confertifolia*), 480
- Shaffer, M. L., 576
- Shagbark hickory (*Carya ovata*), 321, 322
- Shannon index, 323
  - zonation and, 328
- Shapiro, Arthur, 231
- Sharks, 140, 142
- Shelford, V. E., 467
- Shelterwood, 551, 554
- Shifting cultivation, 552–553
- Shifting-mosaic steady-state, 391, 391
- Shifting sands, location of, 64
- Short-day organisms, 143
- Shortgrass prairie, 476–477, 477, 478
- Shortgrass steppe, 478
- Shortwave radiation, 19–20, 20
- Shredders, 503, 504, 504, 505
- Shrews (*Sorex araneus*, *S. caecutiens*, *S. minutus*), 233
- Shrew tick (*Ixodes trianguliceps*), 305
- Shrubs
  - in forest strata, 326
  - photosynthesis of, 468
- Sialia sialis (bluebirds), mating in, 193
- Sillett, T. Scott, 214–215
- Silliman, Brian, 412–413
- Silybum marianum (thistle), 261–262, 262, 264
- Simberloff, Daniel, 384
- Simpson's diversity index, 323
- Simpson's index, 322–323
- Simulium spp. (black flies), 490
- Sinapis alba (white mustard), 350
- Sink habitats, 231
- Sink populations, 231
- Sitka spruce (*Picea sitchensis*), 4
- Size symmetric or asymmetric, in belowground resources, 350
- Skeletonweed (*Chondrilla juncea*), 260–261, 261
- Skipper butterfly (*Hesperia comma*)
  - distribution of, 229, 229
  - range of, 232, 232
- Skunk cabbage (*Symplocarpus foetidus*), 522
- Slatyer, Ralph, 360–361
- Slippery elm (*Ulmus rubra*), 321

- Slobodkin, Larry, 345
- Smallmouth bass (*Micropterus dolomieu*), 501, 502
- Small shrews (*Sorex* spp.), 137, 233
- Smartweed (*Polygonum pensylvanicum*), 268, 268
- Smith, Fred, 345
- Smith, Thomas B., 76
- Smog concentration, 33, 33
- Smooth cordgrass (*Spartina alterniflora*), 347, 347–348
- Snakes, 134, 135, 135, 569
  - mimicry in, 287, 287
- Snowshoe hare (*Lepus americanus*), 294, 294
  - cyclic snowshoe hare, 488
  - predators and, 337, 340, 340
- Snowy owls (*Nyctea scandiaca*), 490
- Sodium (Na)
  - aquatic animals and, 141
  - deficiency of, for animals, 126
  - as essential mineral for animals, 126, 126
  - in oceans, 45, 45
- Soil
  - acidity of, 63
  - capillary water in, 61
  - cation exchange in, 62, 62–63
  - color of, 59, 63, 64–65
  - conservation methods, 549, 550
  - definition of, 57
  - depth of, 60
  - environmental heterogeneity and, 348, 348–349
  - fertility, ion exchange capacity and, 62, 62–63
  - fertility, species richness and, 349, 349–350
  - field capacity of, 61
  - of forest ecosystems, 485
  - formation
    - biotic factors in, 59
    - climate in, 59
    - interrelated factors in, 58–59
    - laterization in soil, 64
    - leaching in, 59
    - parent material in, 58–59
    - salinization in, 64, 65
    - time in, 59
    - topography in, 59
    - weathering in, 58
  - as foundation of terrestrial life, 57–58
  - horizons of, 60, 60–61, 61
  - leaching of, 59
  - moisture gradient of, 520, 520
  - moisture-holding capacity of, 60, 61
  - nutrients, 245, 245, 310
    - endomycorrhizae and, 310, 310–311
    - mycorrhizal fungi and, 245, 245
  - physical characteristics of, 59, 59–60
  - profile, 60
  - saturated, 61, 62
  - in savannas, 475, 476
  - in terrestrial ecosystems, 57–58, 58
  - texture of, 59, 59–60, 62
  - of tropical rain forest, 472
  - types of, 63, 64
  - water movement from, to atmosphere, 95–98, 97
  - wilting point of, 61
- Soil microbial loop, 434, 435
- Soil organic matter
  - decomposition of, 432, 432, 433, 434
  - plant litter conversion into, 432, 432, 433, 434
- The Soil Resource* (Jenny), 57
- Solar energy
  - autotrophs-heterotrophs and, 69
  - as life source, 69
  - from sunflecks, 56, 56
- Solar radiation
  - climate and, 31
  - on earth, 19, 19–20, 20
  - seasonal variability of, 21, 21–22, 22
  - latitude and, 21, 21–22
  - photosynthetically active radiation as, 54, 55, 56
  - shortwave radiation in, 19–20, 20
- Solidago* spp. (goldenrod), 189
- Solifluction terraces, 489
- Solomon, M. E., 275, 277
- Solstices, 21, 21–22
  - intertropical convergence zone, 29
- Solute, 44
- Solution, 44
- Solvent, 44–45, 45
- Somateria mollissima* (common eider), 182
- Song sparrow (*Melospiza melodia*), 173, 173
- Sorenson's coefficient of community, 332
- Sorex* spp. (small shrews), 120, 120, 137
  - S. araneus*, 233
- Source habitats, 231
- Source populations, 231
- Sousa, William, 354–355, 355
- Southeastern evergreen forests, 369
- Southern Oscillation, 31. *See also* El Niño–Southern Oscillation
- Southern Oscillation Index (SOI), 214–215
- Southwestern white pine (*Pinus strobiformis*),
  - mutualism for, 312
- Spadefood toad (*Scaphiopus couchi*), 140, 481, 481
- Spartina alterniflora*
  - salt-marsh cordgrass, 265, 518, 519
    - decomposition of, 427
  - smooth cordgrass, 347, 347–348
- Spartina patens* (saltmeadow cordgrass), 265, 347, 347–348
- Spatial distribution patterns, 154, 370, 370, 371
  - of individuals, 154–155, 155
- Spearscale (*Atriplex patula*), 518
- Species
  - basal, in food web, 324, 325
  - coexistence of, resource partitioning in, 267–270, 268, 269
  - dominant, 323, 340, 362, 362
  - edge, 378, 380, 381
  - endemic, 572–573, 574
  - heterotrophic, in succession, 364–365, 365
  - interior, 380, 381, 382
  - intermediate, in food web, 324, 325
  - invasive species, 162, 568–571, 569, 570
  - keystone, community structure and, 323–324, 324
  - new, introduction of, population extinction and, 568–571, 569, 570
  - reintroduction of, in reestablishing population, 577, 577–578, 578
  - relative abundance of, 321, 321–323, 322
  - as spatial unit, 233, 370, 370, 371
  - susceptibility of, to extinction, 571, 571–572, 572
  - threatened, 568–571, 569, 570
    - identification of, 572–573, 573
  - ubiquitous, 571
- Species diversity
  - in benthic zone, 508, 534
  - in birds, 380, 381, 382, 382, 531, 534, 536
  - high, regions of, in conservation efforts, 572–574, 573, 574
  - landscape patches and, 378–380, 379, 380, 381, 382, 382
  - patterns of, geographical variations in, 530, 531, 532
  - scales affecting, 534, 536
  - succession changes of, 363, 363–364, 364
  - in tropical rain forest, 471
- Species evenness, 322
- Species interactions
  - adaptive radiation drive by, 248, 248–249
  - in community structures, food webs for, 324, 325
  - competition, environmental gradients and, 261–262, 262, 263–264, 264–266, 265
  - stress tolerance and, 345, 345–348, 346, 347
  - diffuse, 245–246, 246, 337, 338–339, 340, 340
  - food webs and, 324, 325, 340, 340–344, 341–342, 343
  - geographic landscapes and variation of, 245, 245
  - mutualism as, 239, 239, 305–307, 307 (*See also* Mutualism)
  - natural selection
    - agent function of, 241–244, 243, 244
    - population dynamics and, 238–249
  - parasitism as, 239, 239, 240 (*See also* Parasitism)
  - population dynamics on, 238–249, 240, 240–241
  - predation as, 239, 239 (*See also* Predation)
  - reciprocal effects classification of, 239, 239, 240
  - species' niche influence from, 246, 246–248, 247
- Species richness, 321, 321–323, 322
  - actual evapotranspiration and, 532, 532–533
  - altitude and, 533, 533
  - diversity and, in succession, 363, 363
  - of fossilized invertebrates over geologic time, 529, 529
  - glaciation and, 534
  - latitudinal gradients of
    - for marine organisms, 533, 534
    - in North America, 531
    - for terrestrial organisms, 532, 532–533, 533
  - of organisms, 532, 532–534, 533, 534
  - patch size and, 380, 381, 382, 382
  - plant, soil fertility and, 532–533
  - potential evapotranspiration and, 532, 532–533
  - productivity and, climate and, 532, 532–533, 533
  - rarefaction curves compared to, 535–536
  - seasonal variations, marine organism richness and, 533–534
  - soil fertility and, 349, 349–350
  - of terrestrial plants, 529–530, 530
  - trees, 531, 532
    - climate change and, 599, 600, 601, 601
- Species Survival Commission (SSC), 572
- Specific heat, of water, 40
- Specific leaf area (SLA), 104–105
  - in tropical rain forest, 108–109
- Speckled alder (*Alnus rugosa*), 98
- Sperm whale (*Physeter catodon*), 41, 41
- Sphaerium* (fingernail clam), 501
- Sphagnum*, 522, 523, 525
- Spheniscus mendiculus* (Galápagos penguin), 16–17, 17
- Spinachia spinachia* (sticklebacks), 279
- Spiny dogfish (*Squalus acanthias*), 560, 561
- Spiny green sea urchin (*Strongylocentrotus droebachiensis*), 560, 561
- Spodosol
  - description of, 63
  - location of, 64
- Spotted Lady's thumb (*Polygonum persicaria*), 86–87, 87
- Spotted salamander (*Ambystoma maculatum*), 188–189, 189
- Spring peepers (*Hyla crucifer*), supercooling in, 139
- Spring tides, 49
- Spruce budworm (*Choristoneura fumiferana*), 220, 488
- Spruces (*Picea* spp.), 113, 367, 368
  - black spruce, 487
  - nitrogen cycle and, 457–458
  - P. abies* (Norway spruce), 486, 486, 598, 599
  - P. engelmannii* (Engelmann spruce), 486
  - P. glauca*, 106
  - P. mariana*, 106
  - P. sitchensis* (Sitka spruce), 4
  - Squalus acanthias* (spiny dogfish), 560, 561
- Squawroot (*Conopholis americana*), 300, 300
- St. Paul reindeer (*Rangifer tarandus*), 160, 169, 233, 488
- Stabilizing selection, 75–76, 76
- Stable age distribution, 175, 175

- Stachowicz, John L., 308–309
- Stalking, 289
- Standard of living, 204
- Standing crop biomass, 397–398
- Starfish (*Pisaster*), 341, 342
- Statistical population, 8
- Steady state, 391, 391
- Steelhead trout (*Oncorhynchus mykiss*), 211–212, 212
- Steller's jay (*Cyanocitta stelleri*), mutualism for, 312
- Stenocara* spp. (tenebrionid beetle), 68, 69
- Steppes, 477
- shortgrass, 478
- Stercorarius* spp. (jaegers), 490
- Stereocaulon volcani* (common lichen), litter breakdown of, 395
- Sticklebacks (*Spinachia spinachia*), 279
- Stinkbug (*Cosmopepla bimaculata*), predator defense of, 286, 286
- Stipa neomexicana* (perennial grass), 266, 267
- Stochasticity, 177
- Stochastic processes, 176–177
- Stomata, 95
- carbon dioxide and, 98
- definition of, 95
- moisture stress and, 106–107
- net photosynthesis and, 98, 98
- Strangler figs (*Ficus* spp.), 472
- Stratopause, 24
- Stratosphere, 24
- Strawberry poison dart frog (*Dendrobates pumilio*), predator defense of, 287
- Strawberry tree (*Arbutus unedo*), 482
- Streamflow, 502–503
- Stream orders, 499–500, 500
- Streams/ivers. *See also* Estuaries
- classification of, 499–500, 500
- continuum of changing environments in, 504, 505
- current velocity in, 501, 502–503
- decomposition in, 435
- habitat types in, 499, 499–501, 500, 501
- headwater streams, 504
- nutrient cycling in, 440–441, 441, 501
- nutrient spiraling in, 441, 441
- in phosphorus cycle, 455
- structure of, 500–501, 501
- Striped bass (*Morone saxatilis*), 506
- Strongylocentrotus droebachiensis* (spiny green sea urchin), 560, 561
- Structural connectivity, 384
- Stucliffe, Odette, 232
- Suaeda maritima* (sea blite), 518
- Subalpine fir (*Abies lasiocarpa*), 486
- Subpolar low, 26
- Subpopulations, local, 153
- Subspecies, 80–81
- as spatial unit, 233
- Subterranean clover (*Trifolium subterraneum*), 260–261, 261
- Subtidal zone, 515, 516, 516
- Subtropical high, 25
- Succession
- autogenic changes in environmental conditions with, 361–363, 362
- decomposition and, 364–365, 365
- disturbance affecting, 358–360, 359, 360, 361, 363–365, 364
- early successional species (pioneer species), 355
- facilitation model of, 360
- in forested landscapes, as shifting-mosaic steady-state, 391, 391
- heterotrophic species in, 364–365, 365
- history of, 360–361
- inhibition model of, 360
- initial floristic composition in, 360
- monoclimax hypothesis of, 360
- primary, 358, 358
- after glaciers, 358, 359, 362, 363
- in rocky intertidal zone, 354–355, 355
- secondary, 358–359, 359, 360, 361
- species diversity changes during, 363, 363–364, 364
- study of, history of, 360–361
- in terrestrial ecosystems, 355, 355, 358
- tolerance model of, 360–361
- Suding, Katherine N., 258–259
- Sugar, carbon dioxide conversion into, by photosynthesis, 93–94, 94
- Sugar glider, 464–465
- Sugar maple (*Acer saccharum*), 321, 322
- succession in, 355, 355, 358
- Suhonen, Jukka, 285
- Sulfur (S)
- as essential mineral for animals, 126
- as macronutrient, 113, 114
- Sulfur cycle, 447
- biogeochemical, 455–456, 456, 458–459, 459
- global, 458–459, 459
- Sultan, Sonia, 86–87, 87
- Summer solstice, 21, 22
- Sun. *See also* Solar radiation
- tides and, 48–49, 49
- Sunfish (*Lepomis* spp.), 497
- Sunflecks, solar energy from, 56, 56
- Supercooling, in thermoregulation, 139
- Suprachiasmatic nucleus, circadian rhythm and, 142
- Supralittoral fringe, 515, 515–516
- Supralittoral zone, 515, 515–516, 516
- Supratidal zone, 515, 515–516, 516
- Surface area, 121, 121
- Surface runoff, 37, 37
- Surface tension, of water, 41, 41
- Survivorship
- birthrate and, 174, 174
- net reproductive rate and, 174
- Survivorship curves, life tables for, 172, 172–173, 173
- Sustainability
- agricultural methods and, 549, 550, 551
- environmental, 541–542
- in forest ecosystems, 551, 551, 554, 554–556, 555, 556
- in natural ecosystems, 544
- productivity and, agriculture trade-offs in, 548, 548–549, 549
- resource use and, 542, 542–544, 543
- Sustainable agriculture, 549, 550, 551
- Sustained yield
- maximum, in fisheries, 557
- in timber management, 542, 543, 554–556
- Swamps, 521, 522
- swampy tundra, 495
- Sweetgale (*Myrica gale*), 523
- Sweet gum (*Liquidambar styraciflua*), 328, 328
- Swidden agriculture, 545, 545–546, 546
- Sword-billed hummingbird (*Ensifera ensifera*), coevolution and, 243, 243
- Sycamore
- Platanus occidentalis*, 328
- litterbag study of, 424
- species richness of, 535–536
- Platanus wrightii*, decomposition rates of, 425
- Symbiosis, definition of, 299
- Symbiotic mutualism, 306–307, 310–311, 313
- Symplocarpus foetidus* (skunk cabbage), 522
- Syncerus caffer* (African buffalo), parasitic disease of, 304
- Synedra ulna*, 256, 256
- T**
- T. angustifolia* (narrow-leaved cattail), 247, 247, 266
- T. latifolia* (Common bulrush), 247, 247, 266
- Tadpoles
- H. femoralis*, 257
- Hyla gratiosa*, 257
- Rana tigrina*, population density of, 209–210, 210
- Taiga (boreal forest), 337, 340, 340, 368, 369, 467, 486, 487–488
- Takla Makan Desert, 480
- Tallgrass prairie, 476, 477, 478
- Talorchestia* spp. (beach fleas), 329, 330
- Tamarix*, 481
- Tamburi, Nicolas, 192, 193
- Tamias* spp. (chipmunk)
- T. amoenus*, 265, 265–266
- T. minimus*, 265, 265–266
- T. speciosus*, 265, 265–266
- T. striatus*, hibernation in, 138
- Tansley, A. G., 394
- Tapeworm (*Spirometra*), 305
- Target of selection, 74
- Taxaxacum officinale* (dandelions), 160
- Taxodium distichum* (bald cypress), 116, 520, 521
- Temperate deciduous forest, 468, 469
- Temperate shrublands
- fauna of, 484
- geographic distribution of, 482
- mediterranean climates and, 482, 482–484, 483
- Temperate zone
- agriculture loss in, 476, 567–568
- cool, conifer forests in, 486, 486–488, 487
- forest ecosystems of, 484, 484–485, 485
- grassland of, 476–479, 477, 478, 479, 567–568, 592–593, 595
- industrialized agriculture in, 546–547, 547, 548
- Temperature
- acclimation and, 87, 87
- air
- altitude and, 22–25, 23, 24
- fluctuations of, in terrestrial environments, 54
- moisture content and, 27, 27
- allogenic environmental change and, 365–366, 366
- ambient, 134, 136
- of aquatic ecosystems
- by depth, 42–44, 43, 44
- light, nutrients and, for primary production, 401–403, 402, 403
- Arctic tundra and, 488, 488–490, 489, 490
- of boundary layer, 131, 131
- carbon cycling and, 450, 450
- change in
- adiabatic cooling for, 24–25
- environmental lapse rate for, 13, 24
- critical, 135
- decomposition and, 427, 427, 430, 430
- dew point, 27
- human mortality related to, climate change and, 607–609, 608, 609
- mean global, 23
- net photosynthesis and
- environmental, 112, 112–113, 113
- response curves for, 99, 99, 112, 112
- of object, wavelength of radiation emitted from, and, 20
- operative temperature range, 134, 134
- plants, 112, 112
- adaptations, water and, 106–107, 107, 108–109, 110, 110–111, 111
- energy balance with environment and, 98–99, 99
- vegetation and, 19
- precipitation and, for biomes, 467–468, 468, 470, 470
- regulation of (*See* Thermoregulation)
- seed germination and, 257, 257

- Temperature (*continued*)  
 water  
 depth and, 42–44, **43**, **44**  
 nutrients and, in terrestrial ecosystem primary production, **398**, 398–401, **399**, **400**, **401**  
 oceans, 26, **26**, 594, **594**, **598**, **602**  
 specific heat of, 40  
 Temporal heterotherms, 138  
 Tenebrionid beetle (*Stenocara* spp.), **68**, **69**  
 Tennyson, Alfred, 274  
 Terrestrial animals  
 aquatic animals' oxygen compared to, 127, **127**, 130  
 poikilotherms, **134**, 134–135, **135**  
 respiratory systems for, **127**, 130  
 water balance for, constraints on, 140, **141**  
 Terrestrial ecosystem(s), 52–65  
 carbon cycle in, 449, **449**  
 constraints on, **53**, 53–54  
 global carbon cycling and, **451**, 452  
 global climate change and, **604**, 604–605  
 global sulfur cycle and, 458, **459**  
 net primary productivity, **408–409**, 595, **596**  
 control of, **398**, 398–401, **399**, **400**, **401**  
 phosphorus cycling in, 454–455, **455**  
 plant life-forms in, adaptations of, 468, **469**, **470**, 470–471  
 precipitation and, 54  
 seasonality on, **470**  
 soil in, 57–58, **58**  
 succession in, 355, **355**, 358  
 vertical light distribution in, plant cover and, 54, 54–57, **55**, **56–57**  
 Terrestrial plants  
 carbon dioxide uptake of, compared to aquatic plants, 98  
 species richness of, 529–530, **530**  
 Territorial competition, 252  
 Territoriality  
 leks for, 198, **198**  
 regulating population growth, 217–219, **218**  
*Tetraopes* spp. (longhorn beetles), herbivory of, 292  
*Tetraphis pellucida* (moss), 153, 154, **154**, 155  
 Teyahalee salamander (*Plethodon jordani teyahalee*), **81**  
 Thallus, 307, **307**  
*Thamnophis elegans* (western terrestrial garter snake), **134**  
 Thar Desert, 480  
*Themeda triandra*, 264, **264**  
 Theory  
 definition of, 9  
 for ecosystem ecology, 3  
 of island biogeography  
 applied to landscape patches, **382**, 382–384, **383**  
 extinction and, **383**, **383**  
 of natural selection, 3  
 of optimal foraging, 281–282  
 Thermal conductivity, **132**  
 Thermocline, 42–43, **43**, 594  
 dams and, **496**  
 ocean nutrients and, **510**, 511  
 Thermoneutral zone, 135, **136**  
 Thermoregulation, 131  
 in animals, **132–133**  
 countercurrent heat exchange in, **139**, 139–140  
 endothermy and ectothermy in, 136–138, **137**  
 grouping of, 133  
 in heterotherms, 138  
 in homeotherms, **135**, 135–138, **136**, **137**  
 microclimates for, 134–135, **135**  
 in poikilotherms, **134**, 134–135, **135**  
 supercooling in, 139  
 unique methods for, 139–140, **140**  
 in humans, 130, **131**, **132**  
 for insects, 134, 135, 138  
 in mammals, **135**, 135–136  
 supercooling in, 139  
 Thermosphere, **24**  
 Thistle  
*Carduus pycnocephalus*, 261–262, **262**, 264  
*Carthamus lanatus*, 261–262, **262**, 264  
*Silybum marianum*, 261–262, **262**, 264  
 Thomas, C. D., **229**, 229–230, 232, **232**  
*Thomomys* spp. (gophers), 478  
 Three-awn grass (*Aristida* spp.), 477  
 Threespine stickleback (*Gasterosteus* spp.), **83**  
*G. aculeatus*, **82**, 266, **268**  
*Thuja occidentalis*, shade tolerance of, **106**  
*Thunnus* spp. (tuna), countercurrent heat exchange in, 140  
 Tidal cycles, **144**, 144–145  
 marine life and, 517  
 Tidal overmixing, 50  
 Tidal pools, 516–517, **517**  
 Tidal subsidy, 442  
 Tides, 48–49, **49**  
 intertidal zone, 49, 329, **330**, **342**, 354–355, **355**, 515, 515–516, **516**  
 salinity and, for salt marsh structure, **518**, 518–519, **519**  
 Tilman, David, 256, **256**  
 Timber management  
 general principles of, **554**, 554–555, **555**  
 harvesting in, disturbances from, 390, **390**  
 harvesting methods in, 551, 551, 554  
 nutrient loss in, 547, 555, **555**  
 operable window in, **554**, **555**  
 operative state in, 554  
 sustainable, 551, **551**, **554**, 554–556, **555**, **556**  
 sustained yield in, 542, **543**, 554–556  
 Time, 365–367, **366**. *See also* Geologic time  
 border changes through, 378, **379**  
 energy and, 281–282, **282**, **283**, **405**, 405–406, **406**  
 energy primary production and, **405**, 405–406, **406**  
 extinction clustering in, 530, **530**, 566  
 population density changes in, 160–161, **161**  
 rotation, for timber management, **543**, 555  
*Timema cristinae* (walking stick), **248**, 248–249  
 Time-specific life table, 170  
 Tinbergen, L., 279  
 Tinbergen, Niko, 3  
 Tolerance model, of succession, 360–361  
 Tomback, Diana, 312  
 Tomlinson, James, 293  
 Top-down control, in food chains, 345  
 Topography  
 aspect of, 32  
 climate and, 32–33  
 on microclimates, 32, 34  
 precipitation patterns and, 30, **30**  
 in soil formation, 59  
 Top predators, 324, **325**  
 Torpor, in animals, 138–139  
 Trade-offs  
 agriculture, in sustainability and productivity, **548**, 548–549, **549**  
 between fecundity and survival, 185–188, **186**, **187**, **188**, 195, **197**  
 interpreting, **190–191**  
 in life history evolution, 182  
 between offspring number and size, 188–190, **189**  
 in reproduction timing, 190–192  
 between stress tolerance and competition, **345**, 345–348, **346**, **347**  
 Trade winds, 16, **26**, **26**, 31, **31**  
 Transpiration, **39**, **97**, 98. *See also* Evapotranspiration  
 definition of, 95  
 diffusion and, 96  
 evaporation and, 97  
 Trees. *See also* Forest ecosystem(s)  
 age structure of, 158, **158**, 159, **159**  
 geographic distribution of, **531**  
 species diversity of, **531**  
 species richness of, **531**, **532**  
 global climate change and, 599, **600**, 601, **601**  
*Tribolium castaneum*, competition of, 255–256  
*Tribolium confusum*, competition of, 255–256  
*Tribulus cistoides* (Jamaican feverplant), 270  
*Trichinella spiralis* (roundworms), 302  
*Trichogramma brassicae* (parasitoid wasp), 284–285  
*Trichoptera* spp. (caddis flies), 41, 502  
*Tridacna* (giant clams), 510  
*Trifolium repens* (white clover), population density of, 208, **208**  
*Trifolium subterraneum* (Subterranean clover), 260–261, **261**  
*Tringa* spp. (sandpipers), 490  
*Tringa totanus* (redshank), **280**  
 Trophic efficiency, 415  
 Trophic levels, of feeding groups, 324  
 Tropical forests  
 climate diagrams of, **471**  
 dry, 472, 474, **474**  
 Tropical rain forests  
 buttresses of, 472, **474**  
 climate of, 471, **471**  
 deforestation of, 605  
 equatorial zone and, 471, 471–472, **472**, **473**, 474, **474**  
 geographic distribution of, 471, **471**  
 global carbon cycle in, 610  
 global climate change and, 604–605, **605**, 610  
 nonhuman primates in, 471–472, **473**  
 plant litter in, 472  
 shifting cultivation in, 552–553  
 shrinking distribution of, with climate change, 610  
 soils of, 472  
 species diversity in, 471  
 specific leaf area in, **108–109**  
 vertical stratification of, 472, **473**  
 Tropical savannas, 474, 474–476, **475**, **476**  
 Tropopause, **24**  
 Troposphere, **24**  
 Trouvelot, Leopold, 162  
*Tsuga canadensis* (Eastern hemlocks), 570–571  
 Tube worms, 122, **122**, **509**  
 Tularemia (*Francisella tularensis*), 303  
 Tumlinson, James, 293  
 Tundra  
 alpine, 490, **490**  
 Arctic, **488**, 488–490, **489**, **490**  
 ecosystems, global climate change and, 603, **603**  
 geographic distribution of, **467**  
 swampy, **495**  
*Turdus migratorius* (American robins), 188, 380, **381**  
 Turgor pressure, 95–96  
 Turlings, Ted, 293  
 Type I functional response, of predator, 277, 277–278  
 Type II functional response, of predator, 277, 278–279  
 Type III functional response, of predator, 277, 278, 278–279  
 Typha (cattail)  
*T. angustifolia* (narrow-leaved cattail), 247, **247**, 266  
*T. latifolia* (Common bulrush), 247, **247**, 266

*U. procera* (English elm), 570  
*U. rubra* (slippery elm), 321  
Ultisol  
description of, 63  
location of, 64  
Understory, 4, 4, 326  
Unitary organisms, 151  
United Kingdom Meteorological Office (UKMO), 604, 604–605  
United Nations Earth Summit, 583–584  
United Nations Environment Program (UNEP), 596  
United Nations Food and Agricultural Organization, 566  
Updike, John, 93  
Urban heat islands, 33  
Urban microclimates, 33, 33  
*Urochloa mosambicensis*, 257, 260, 260  
*Uroleucon ambrosiae* (aphids), 339  
*Ursus americanus* (black bear), food sources for, 125  
*Ursus arctos* (Alaskan brown bear), 181  
*Ursus arctos* (grizzly bears), extinction of, 343  
*Urtica* spp. (nettle plants), 343

## V

Valen, Van, 285  
Vapor pressure (VP), 27  
saturation, 28  
Vapor transport, 39  
Variegated fritillary (*E. claudia*), 386–387  
Vegetation  
climate compared to, 464–465  
coniferous, 64  
gradients of, 19, 19  
in lakes, 497, 498  
on microclimate, 32  
sclerophyllous, 482–483, 483  
temperature and, 19  
Velds, 477  
Vents, in ocean, 508–509, 509  
*Vermivora ruficapilla* (Nashville warbler), 571, 571  
*Verrucaria* (lichens), 516, 516  
Vertisol  
description of, 63  
location of, 64  
*Viburnum alnifolium* (witch hobble), 327  
Virginia pine (*Pinus virginiana*)  
climate change and, 600  
litterbag study of, 424  
species richness of, 535–536  
Viscosity, of water, 41  
*Viscum album* (mistletoe), as hemiparasite, 299, 299–300  
Visible light, 20  
Vitousek, Peter, 408  
Volcanic activity  
global sulfur cycle and, 458, 459  
lake formation from, 494  
Volterra, Vittoria, 252–253, 254, 255, 255–256, 264–265, 274–277, 275, 276  
Volume, 120–121, 121  
of water, on Earth, 38–39  
*Voyage of the Beagle* (Darwin), 3, 16, 73  
*Vulpes* spp. (foxes)  
mating in, 193  
*V. vulpes* (red fox), 125

## W

Wajnberg, Eric, 284–285  
Walking stick (*Timema cristinae*), 248, 248–249  
Walleye (*Sander vitreus*), sexual maturity of, 212–213, 213  
Wang, Li-Wen, 208–209, 209  
Warming, E., 360  
Warming, Johannes, 3

Warner, Susan, 257  
Warning coloration, for predator defense, 286–287, 287  
Wasps (*Nasonia vitripennis*), 305  
Water. *See also* Oceans  
in air, 27, 27  
available water capacity, 61, 62  
capillary, in soil, 61  
carbon dioxide solubility of, 46–47, 47  
cohesion of, 41  
conservation, 549, 551, 581, 582  
dams and, 496  
density of, 40, 40–41  
depth of, attenuation of light by, 41–42, 42  
drinking, 38  
epilimnion and hypolimnion of, 42–43, 43  
fall turnover of, 43  
flooding, 496, 520  
flowing, 44, 44, 499, 499–504, 500, 501, 504, 505  
freshwater, 44–45, 49, 49–50, 141 (*See also* Freshwater ecosystems)  
gleization and, 64–65  
groundwater, 37, 37, 38, 39  
hydrogen bonding in, 41  
in living cells, 37, 53  
on Mars, possibility of, 16  
movement of, 37, 37–39, 39  
from soil to atmosphere, 95–98, 97  
nitrate contamination of, 548, 549, 556  
open-, 438–440, 439, 440  
physical properties of, 39–41, 40, 41  
plant adaptations, temperature and, 106–107, 107, 108–109, 110, 110–111, 111  
potential of leaves, 96, 97, 98, 98  
pressure within, 41  
root production and availability of, 111, 111  
seawater, 45  
seawater compared to freshwater  
as solvent, 44–45  
transition zone of, 49, 49–50  
as solvent, 44  
practical salinity units and, 45, 45  
specific heat of, 40  
structure of, 39–40, 40  
surface, oxygen diffusion from atmosphere to, 45–46, 46  
surface tension of, 41, 41  
temperature, nutrients and, in terrestrial ecosystem primary production, 398, 398–401, 399, 400, 401  
temperature and depth of, 42–43, 43  
flowing, 44, 44  
viscosity of, 41  
volume of, on Earth, 38–39  
Water balance, 53  
migration and, 141  
for terrestrial animals, constraints on, 140, 141  
Water cycles, 494  
between earth and atmosphere, 37, 37–39, 38, 39  
global, 38–39, 39  
local, 37, 37  
precipitation in, 37, 37, 39, 39  
Water flea (*Daphnia pulex*), 343, 343  
Water moss (*Fontinalis*), 501, 502  
Water penny (*Psephenus*), 501, 503  
Water potential, 96–98, 97, 98  
Water spider (*Dolomedes* spp.), 41  
Water strider  
*Gerridae* spp., 41, 41  
*Gerris*, 501  
Water tupelo (*Nyssa aquatica*), 116  
Waves, 42, 47–48  
Weasels (*Mustela* spp.)  
*M. nivalis*, 278, 280–281, 281  
*M. vison* (mink), 526  
mating in, 193

Weather  
definition of, 19  
soil weathering, 58  
Webster, Jack, 440–441  
Weeks, Paul, 311  
Weinberg, Wilhelm, 77  
Westerlies, 26, 26  
Western coral snake (*Micruroides euryxanthus*), mimicry in, 287  
Western fence lizard (*Sceloporus occidentalis*), 303  
Western scrub jay (*Aphelocoma californica*), mutualism for, 312  
Western terrestrial garter snake (*Thamnophis elegans*), 134  
Wetfall, 447–448  
Wetlands  
arenchyma in, 116  
basin, 523, 523–524  
freshwater  
decline of, 523, 524, 524–525  
diversity of life in, 526  
location of, along soil moisture gradient, 520, 520  
marshes in, 523, 523  
structure of, hydrology defining, 523, 523–525  
swamps in, 521, 522  
water flow in, 523, 523  
halophytes in, 116  
plant adaptations for, 115–116, 116  
restoration of, 583, 583  
Wetzel, R. G., 247  
Whales, 507, 508  
Wheat, 547, 606, 608  
Wheeler, William, 3  
Whitaker, Robert, 346, 346  
Whitcomb, R. F., 382  
White ash (*Fraxinus americana*), 98, 321  
Whitebark pine (*Pinus albicaulis*), mutualism for, 312  
White clover (*Trifolium repens*), population density of, 208, 208  
White mangrove (*Laguncularia racemosa*), 246  
White mustard (*Sinapis alba*), 350  
White oak (*Quercus alba*), 321  
climate change and, 600  
leaf decomposition of, 426  
net primary productivity for, 406, 406  
species richness of, 535–536  
White pine (*Pinus strobus*), 106, 116, 368  
fungal infection of, 300  
White rhino (*Ceratotherium simum*), reestablishing, 577  
White smoker chimneys, 508–509  
White-tailed deer (*Odocoileus virginianus*), 80, 80  
as disturbance, 389, 389–390  
sodium deficiency in, 126  
Whittaker, Robert, 363, 363, 467, 468  
Whooping crane (*Grus americana*), 169, 169  
Widgeongrass (*Ruppia maritima*), 506  
Wikelski, Martin, 128–129  
Wildebeest (*Connochaetes taurinus*), 141, 479  
parasitic disease of, 304  
Wilderness area, 581, 581, 582  
Willdenow, Carl Ludwig, 3  
Willet (*Catoptrophorus semipalmatus*), 519  
Williams, J. D., 260–261, 261, 346  
Williams, Kathy, 288, 289  
Willow (*Salix* spp.), 343–344  
Willow tits (*Parus montanus*), predation risk of, 285  
Wilson, E. O., 199, 529, 529, 572  
*Wilsonia citrina* (hooded warbler), 349  
Wilting point, of soil, 61, 62  
Winds  
as disturbance, 389  
ocean currents and, 48, 48  
trade, 16, 26, 26, 31, 31  
waves from, 47

Winter-deciduous leaves, 468, **469**  
Winter deciduous plants, 113  
Winter solstice, **21**, 22  
Witch hobble (*Viburnum alnifolium*), 327  
Within-patch scale, 225  
*Wolbachia*, on wasps (*Nasonia vitripennis*), 305  
Wolves (*Canis lupus*), 343  
    gray, reintroduction, restoration, management, **575**  
Woodard, Thomas, 304–305  
Wood frogs (*Rana sylvatica*), 139  
    intraspecific competition on, 209–210, **210**  
Woodlands  
    bottomland, 521, **522**  
    mulga, **474**, 475  
    riparian, 521, **522**  
Wood pewee (*Contopus virens*), 349, 380, **381**  
World Meteorological Organization (WMO), 596  
Worm-eating warbler (*Helmitheros vermivorus*),  
    380, **381**  
Wrack (*Ascophyllum nodosum*), 516

## X

*Xiphophorus helleri* (green swordtail), mating  
    attraction of, **196–197**  
*Xiphophorus montezumae* (Montezuma swordtail),  
    mating attraction of, **197**  
Xylem, 97

## Y

Yarrow (*Achillea millefolium*), ecotypes of, 80, **81**  
Yellow-necked mouse (*Apodemus flavicollis*),  
    **251**, 280  
Yellow poplar (*Liriodendron tulipifera*), **58**, **321**,  
    **322**, **485**  
    annual seedfall pattern of, 159, **159**  
Yellowstone National Park, **575**  
*Yersinia pestis* (bacillus), **306**  
Yield, 542  
Yoda, Kyoji, 210, **211**  
Yucca (*Yucca* spp.), 481

## Z

Zavaleta, Erika, **592–593**  
Zebra (*Equus* spp.), 479  
Zebra mussel (*Dreissena polymorpha*), as invasive  
    species, 570, **570**  
Zero-growth isoclines, 253, **254**, **255**, 275, **276**  
Zieman, Jay, 359–360, **360**  
Zimbabwe (Bulawayo), climate diagram of, **470**  
Zinc (Zn)  
    as essential mineral for animals, **126**  
    as micronutrient, **113**  
Zonation  
    in basins, 524  
    in community structure, **328**, 328–329, **329**  
    in forest ecosystems, 328, **328**, 330, **331**  
    rocky shorelines' distinct pattern of, **515**,  
        515–517, **516**, **517**  
Zooplankton, 130, 497, **498**, 507–508, **508**  
*Zostera marina* (eelgrass), 506, **506**