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In 1999 Lewis Turco received the John Ciardi Award for lifetime achievement in poetry. In the same year he published *The Book of Literary Terms: the Genres of Fiction, Drama, Nonfiction, Criticism and Scholarship* which, with its companion volume *The Book of Forms, Third Edition* (2000), covers all of English letters and writing arts.

DIALOGUE

A Socratic Dialogue on the Art of
Writing Dialogue in Fiction

B Y

LEWIS TURCO
(WITH "FRED FOYLE")



WRITER'S DIGEST BOOKS

CINCINNATI, OHIO

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C O N T E N T S

	INTRODUCTION	1
<i>Chapter 1</i>	DEFINITIONS	4
<i>Chapter 2</i>	SPEECH IN NARRATION	15
<i>Chapter 3</i>	DICTION	44
<i>Chapter 4</i>	TYPES OF SPEECH	75
<i>Chapter 5</i>	GENRE DIALOGUE	104
	INDEX	115

INTRODUCTION

Several months elapsed between my being commissioned to write this book on the art of writing dialogue in fiction and my actually sitting down to begin work on the typescript. That's not to say nothing was happening. I'd written the outline of the book, so I knew generally what it was I had to say—my first problem had to do with *how* to say it. That's always a major problem with writing anything. *How* to say something is more than half the battle. It takes some thought to decide on approach and tactics.

So I was doing a lot of thinking. At last I had a brainstorm—it was simplicity itself. I would write a book about dialogue in the form of a dialogue.

There's nothing new about the method of what's called the "Socratic dialogue." It has been around for twenty-four hundred years, and it's a sound teaching technique. It was invented by Plato. Many people who read Plato's dialogues believe that they are a sort of verbatim transcript of what Socrates said as he walked about the grounds of his "Academy," the Athenian agora, around 400 B.C., as though Plato were a kind of human tape recorder who listened carefully to the great philosopher-teacher and took excellent notes that he passed on to posterity, but that is far from the case. In fact, the *Dialogues* were works of fiction.

"For Plato has a numerous repertory of *dramatis personae*," says the classic eleventh edition of *The Encyclopaedia Britannica* (in Vol. 21, p. 811), "who stand in various relations to his chief

character—” that is, Socrates—including “the impetuous Chaerephon, Apollodorus the inseparable weak brother, old Crito the true-hearted, Phaedo the beloved disciple, Simmias and Cebes,” and so on and so forth. “Parmenides with his magnificent depth is made to converse with the imaginary Socrates, who is still quite young.”

“*Made to converse with the imaginary Socrates.*” Clearly, Plato’s *Dialogues* are works of fiction at the same time that they are works of nonfiction. They are philosophical textbooks that tell a story, but their chief purpose is to discuss the nature of truth as it applies to various disciplines: ethics, politics, law, logic, science, religion. Thus, there is a paradox built into the system of the dialogues: Plato wrote lies in order to tell the truth. That’s exactly what a fiction writer does and has always done. Plato was not only an early and great philosopher of the Western world, he was an early fiction writer as well.

Where, we may wonder, did Plato (c. 427-347 B.C.) get the idea for his dialogues? If we look at Greek classical literature we find two types of narrative that are older than or roughly contemporary with Plato’s *Dialogues*—the epics of Homer (c. ante-700 B.C.) and the plays of the classical dramatists such as Aeschylus (c. 525-456 B.C.), Aristophanes (c. 448-388 B.C.), and Euripides (c. 480-406 B.C.). The epic was the first novel, the most obvious difference between the two being the mode of writing; that is to say, the classical epic was written in verse, and the modern novel is written in prose. There are other differences, of course, but they don’t concern us here. However, drama does concern us as the source of dialogue, so we will spend a little time in the course of future pages talking about the differences between dialogue as it appears in fiction and in drama.

What is my contribution to the development of Plato’s invention? Simply this: that my subject in this book is dialogue itself. I will use the technique of the Socratic dialogue in the teaching of dialogue writing. I will make up my own version of a Platonic fictional character in order to discuss the writing of dialogue in fiction while writing a piece of nonfiction fiction in the process!

Are there any advantages to this method?

Yes, there are. For one thing, I can set up a tension between the “author” (who is himself, if truth were known, a fictive invention) and an “antagonist,” just as a fiction writer does in writing a short story or a novel when he or she pits a protagonist against an antagonist. Another thing I can do is to illustrate as we go along, in the text itself, the methods, techniques, and conventions of dialogue writing.

Is there anything else that can be done?

Most certainly. I can develop the personality of my fictive foil, show how dialogue is a builder of character in a narrative. At the beginning of the book this unnamed dramatic “persona,” to use the term of the *Britannica*, is nothing more than a disembodied voice. Within a few pages, if I do my job right, my character will begin to be a person, a stand-in for the reader who is most probably a novice writer; a stand-in for an aspect of the author, that facet which is a student rather than a teacher. I will be talking to myself and to the reader simultaneously, and it’s possible that during the course of the book we may both learn something about the art of writing dialogue in fiction.

DEFINITIONS

Dialogue

Just exactly what *is* dialogue?

You're writing this book. Why don't you tell me?

I beg your pardon!

Why, what did you do?

Who are you?—if you don't mind my asking.

Well, since you're the author of this book, I guess I must be a character you've invented. Either that, or I'm a would-be writer who's been hanging around waiting for you to say something interesting.

What's your name?

I must have amnesia, because I don't think I have one. Why don't you give me one?

I'll think about it.

While you're doing that, can I ask you a question?

Sure. Go ahead.

Okay—what's dialogue?

Dialogue is a conversation.

Like what we're having right now?

Exactly.

If you already knew, why did you ask me?

For one thing, I didn't know you were there.

Oh, sure! sure! You expect me to believe that?

Well, I didn't know you were there *yet*.

You thought you were talking to yourself?

You've got it! I'm still not sure I'm not talking to myself.

Forms of dialogue 1: monologue and soliloquy

What do you call talking to yourself? Can you have a conversation with yourself?

Of course. It's called a *soliloquy*. That is, it's called a soliloquy if you're not expecting any answer—in other words, if you're just expressing your thoughts aloud.

Give me an example.

Okay, if you'll leave the room.

Leave the room? How can you give me an example if I'm not around?

How can I give you an example if you are?

This is a real baffler.

Just leave the room. You can read the soliloquy afterwards.

All right, all right. Give me a second . . .

Format and punctuation 1

Are you gone? Is he gone? I heard the door close, so I guess he must be out of the room. Now, where was I? Oh, yeah—I was going to think out loud. Let's see. Who is this guy I'm talking to? It appears that he's a character I've invented for the purposes of this book. He needs a name, it seems to me. And I'd best begin using quotation marks for our speeches so that people can keep track of who's speaking:

“Well, people know who I am because my name's on the title page of the book, but they have no idea who my partner is. In fact, he's a 'foil,' a person who is used to further the purposes of another person, in this case, the Author. I'd best start another paragraph at this point because I'm going to change my focus. I won't close my quotes at the end of this paragraph, though, because I'm going to continue to speak.

“I will, however, start the paragraph with quotes so that when my foil gets back he'll know I'm still talking. What the hell, I think I'll just call him Fred. That's as good a name as any. I'll call him back now, and then I'll close the quotes on this soliloquy

made of two paragraphs—hey, Fred! Come on back!”

Fred opens the door and sticks his head into the room. “Are you talking to me?” he asks.

“Yes.”

He enters and closes the door behind him. “Since when is my name Fred?”

“Since two minutes ago.”

“Let’s see what you’ve written. I need to check out what a soliloquy looks like.” Fred bends over the Author’s shoulder and squints at the video monitor of the word processor. “Okay, pal, scroll it back so I can see the soliloquy.”

Types of fictional characters: personae

The Author scrolls back along the file to the point in question and Fred reads for a moment, then stiffens. “A foil? I’m a foil? How come I’m not a protagonist, or at least an antagonist? Why do I have to be a foil instead of a character? That makes me a ‘second banana,’ right?”

The Author sighs—he can already see where this line of questioning is leading. Fred is beginning to be something of a pain and it can only get worse. The Author needs to regain control of his book. “You’re a foil because I need one. I don’t need a protagonist or an antagonist because this isn’t a story, it’s a Socratic dialogue.”

“I get the picture about the soliloquy, and I understand that a dialogue is a conversation, such as the one we’re having at the moment, but what’s a ‘Socratic’ dialogue?” Fred looks quizzically at the Author.

Uses of italics

The Author sighs again. *Brother*, he thinks, *this is going to get complicated. All Fred knows how to do is ask questions.* Aloud, he says, “Socrates was an ancient Greek philosopher who taught his pupils by means of conversation—questions and answers. Since this is a book on how to write dialogue, I figured the most appro-

ropriate way to proceed was by means of the Socratic dialogue. Any more questions?”

“Lots.” Fred gives the Author a big grin. His rather narrow features fold themselves into a lot of small wrinkles. His pale skin seems to be paper thin and very pliable. He has blue eyes, the Author notices for the first time, and rather sparse, almost colorless blonde hair. “I see you’re using quotation marks now to help keep things tidy.”

“And to allow me to put in descriptions and actions and things like that, so that the speeches can be immediately recognized as speeches.”

“I like the way you used italics too,” Fred says, “to show unspoken thoughts, not a soliloquy. They don’t do it that way in dramas, do they?”

Scripts

Author. No. Scripts look like this.

Fred. Yes, I see. And in between the speeches the playwright can insert stage directions and descriptions of settings and things like that, right?

(Fred moves across the set, stage right, sits down on a chair and crosses his legs.)

Author. Exactly. Plays aren’t meant to be read except by the people staging them, so the script is laid out in this way for the benefit of the actors and the other personnel of the drama. The audience can see the actions, the scenes, and so forth. They can see who is speaking, so there’s no need for quotation marks or descriptions of places, situations, people, and actions, as there is in fiction.

Fred uncrosses his legs and gets up again. “You know,” he says, “this is kind of interesting.”

“How do you do that?” the Author asks.

“Do what?”

“Knit your brows.”

“Don’t ask me.” Fred shrugs. “You’re the Author around here. I don’t even know what ‘knit’ means.”

Author. (aside) If you did, you’d be a knit-wit.

Fred. What did you say? I couldn’t hear.

Author. You weren’t supposed to. It was an *aside*.

Fred. What . . .

Author. (before Fred can continue) Don’t ask. An *aside* is a remark made by a character intended to be heard only by the audience of a play, not the other characters onstage.

Fred. I see. Can you have an *aside* in fiction too?

Forms of dialogue 2: asides

The Author sighs deeply. “*Can you have an aside in fiction too?*” he asks. *Brother, this Fred character knows nothing at all!*

“What are you mumbling?” Fred scowls.

“Sure, you can have an *aside* in fiction. Usually it will be printed in italics and not put into quotation marks so that the reader can distinguish it from a monologue. But to answer your other question, ‘knit’ means scowling, I think, but never mind.”

Fred makes an effort to stop scowling. “What’s the biggest difference between a fiction writer and a playwright?” he asks.

Narration 1: exposition

“The fiction writer isn’t limited to one or two *writing* techniques; rather, he may choose from a wide range of narrative devices. The playwright, however, is limited to the *writing* techniques of dialogue, monologue, or soliloquy, though on occasion a play

(such as *Our Town* by Thornton Wilder) may have a narrator on the stage filling in the audience on portions of the narrative that take place ‘offstage’—between segments of the drama—or on background information that the audience may need in order to understand the significance of the dramatic segments.”

“That’s called ‘exposition,’ isn’t it?”

“Right, Fred. Exposition is a major consideration in the essay, and it’s an important part of both fiction and drama. Exposition includes actions and situations that ‘took place’ before the story began but which led up to the actions of the story.”

“Is that all exposition is? Past actions?”

“No,” the Author replies, “exposition includes any and all background information requisite to the story.”

“Is it necessary to have a narrator who delivers the exposition?”

“No. Usually, especially in drama, the exposition is worked into the fabric of the narrative by the characters of the story through reminiscence or conversation.”

“That must be tough to do. What else can the fiction writer do that the dramatist can’t?”

Viewpoint 1: subjective/objective/dramatic

“The fiction writer can get inside his or her characters’ heads, show the reader what the personae are thinking. In other words, the fiction writer can have subjective *access* to characters. The playwright has only objective access, so he or she has to use soliloquys or asides in order to verbalize thoughts or feelings. The playwright might even have to have characters address the audience directly under certain circumstances.”

“I see what you mean,” Fred says, a faraway look in his eyes. The Author surmises that Fred is visualizing a play.

“Don’t feel sorry for the playwright, though,” the Author admonishes his foil. “Although it may at first glance seem that the dramatist is more limited than the fictionist, in fact that’s not the case. In some ways he or she is less limited because a playwright can put characters into what appears, for instance, to be a real room. The fictionist would have to describe that

room. And we see the characters physically in a play—their clothes, actions, coloring; we can hear the nuances of their voices. The fiction writer has to choose various descriptive techniques that would enable one to convey these things to the reader. The dramatist can simply get on with the narrative, which is the reason few plays utilize a narrator. A narrator can slow things down, and the essence of drama is action—*dramatic* action, and that’s true for fiction as well.”

“I’m beginning to catch on.” Fred gets up and begins to pace the room. “Still, both the fictionist and the dramatist have the narrative in common, don’t they?”

“Yes, indeed.”

Fred stops and stares at the back of the head of the Author, who ignores him and goes on typing.

“Gosh, you’re clever!” Fred says.

Diction 1: fancy words

The Author thinks he detects a slight sneer in Fred’s voice, so he turns to look, but Fred’s demeanor is impassive.

“My ‘demeanor is impassive!?’ What kind of language is that?” Fred asks.

“You’re right. It’s a slip in the level of diction of this piece. I’ll try not to do it again . . .” the Author holds up his hand when he sees Fred’s mouth begin to open “. . . and we’ll talk about diction later on.”

Forms of dialogue 3: monologue – an example

“That’s fascinating,” Fred says, “but you know what you haven’t done?”

“What?”

“You haven’t given me an example of a monologue.”

“A monologue is half of a conversation. It’s a speech to a character who is presumed to be present, though a listener may not be evident to the reader. Here is a whole story written in the form of a monologue.”

“A *whole* story?” Fred sounds incredulous.

“Yes, and it’s going to get us into, among other things, the question of narration – when is a narrator not a narrator? The speaker in this story is the mother of a severely retarded child. Her speech will characterize her and even give us background material – her class, her marital situation, her hopes and fears and desires.”

“Are you trying to tell me,” Fred asks shaking his head, his hair flopping down over his eyes, “that in this story speech is everything?”

The Author nods. “*Everything*. And not even all of speech, just one side of the conversation. Now, imagine that the speaker is standing in her kitchen doorway welcoming a neighbor who has just returned from vacation – by the way, Fred, we’re not going to need quotation marks because the speech does not pass back and forth between characters”:

SAVANTS

Oh, hi, hon! Come on in! When did you and Harry get back? Come in and sit down – the coffee’s all ready, see? A fresh pot on the stove. Funny I didn’t hear your car come in next door last night. I must’ve been busy with Timmy – honestly, he’s been driving me crazy lately. I wish he could hear, at least, so I could get through to him.

So tell me all about it – where’d you go on vacation? How long’s it been, now? Only two weeks? Seems like it’s at least a month. I didn’t have anybody to talk to. Jim’s no company at all – he’s either watching TV or at a bar, or out bowling with the guys or fishing – but I don’t have to tell you. Lucky you! You had Harry all to yourself for two whole weeks! Oh, well, sure, to yourself and the kids. I bet they were out in the woods all the time while you stayed with the camper, right? Oh, sure, you *and* the girls. Still, I bet it was nice.

What’d I do? Not much, let me tell you. But you know, I saw something on TV the other night that just made my eyes open up like cat food. Oh, ignore him, that’s just Timmy again. I give up trying to figure out what ails him. Let him cry. It’s all he does all the time anyway. I’m about at the end of my rope. Maybe Jim’s right – it’s probably time to put him in a home or something. I can’t cope any more.

What was I saying? Oh, yeah, the TV. I was watching a rerun of “Sixty Minutes” the other night. We never watch that show, but we saw everything else that was on last fall, all reruns, so I watched it. Jim wasn’t home, just me and Timmy. Honestly, hon, let me tell you, it was

the most amazing thing I ever saw in my life—no, really, *the* most amazing. It was all about these retarded people, so of course, on account of Timmy, I was interested. But you never saw such retards in your life!

There was three of them, and they were special, because each one was a genius somehow, besides being dummies. Don't look at me like that! I'm telling you the the truth. Write CBS if you don't believe me. They called them "idiot servants," or something like that. You heard of that? Really? Well, I never did.

The first one was really stupid-looking. He had this big moron grin, and his eyes looked empty—like Timmy's. But what he could do with his hands! See, he took wax—canning wax, it looked like, and he molded it into little statues of animals—horses mostly, but other things too. My eyes nearly fell out of my head! They were perfect—I mean, really perfect! Somehow, they took these perfect statues and made a mold out of them, I guess, and then poured metal into the mold and made statues, so he had help, but they were selling these things for hundreds of dollars! God, I wish Timmy could do something like that! Could we use the money.

So, anyway, when the announcer asked this dummy how he did it, he answered, "I 'member," and pointed at his head! And when the announcer asked how he could remember, he said, "I smart." Imagine that, "I smart!" About as smart as a bedpost.

The next one wasn't as good—all he could do was remember dates. What's so great about that? Well, he could remember any date in the entire history of the world, and as far forward as you wanted to go! No, I don't mean he knew history, or what happened on a certain day, but I do mean the day of the week—Monday or Tuesday or whatever, and the date.

But if he was alive on a certain day, he could tell you the weather of that day! Besides having this little calculator in his head, he had a perfect memory! Excuse me just a sec, hon—I'm gonna go give Timmy a bottle and change his diapers so I can have a little peace. Imagine that, a bottle and diapers, and he's seven years old! It's like having a baby forever. I'll be right back.

There, that didn't take long, did it? He's quiet at last. What a relief. Look, I'm sweating under the arms and on my forehead, and it's barely seventy degrees in here.

So, anyway, he just sits there and answers these questions the announcer throws at him. But when he asks the dummy to multiply two times three, he can't do it! This retard looked more or less normal, not like the other one, with his mouth gaping open and slobbering down his chin. But still, not normal, you know what I mean? And when they ask him how come he can do all these things with dates, this one says he's smart, too! I had to laugh, or I would have if I wasn't so damn mad. Here I am, sitting there looking at these dummies on TV! On TV! Dummies! Jesus. I got to wondering who was dumber, them or me for watching them. God knows I ought to be an expert at it.

But it was the third one that was the most amazing of all. This one wasn't just a dummy! Oh, no. He was more like Timmy, only even worse. Not only was he a moron, but he was blind, too. Timmy's deaf, but it's close. But that's not all—he's retarded, he's blind, and he's got cerebral palsy! Born with it.

Now, you're not going to believe what I tell you, but it's true anyhow. I swear, it's the most amazing thing I ever saw in my life—saw with my own eyes, and heard with my own ears.

They interviewed the lady who took care of this vegetable, and that's just what he was at first, a vegetable. He just laid there on his bed, she said, and he didn't do anything. Not a thing. But one day this old lady—she's old now, I don't know how long she was taking care of the dummy—gets it into her head that if she buys a piano—a piano!—and puts it beside his bed, maybe something will happen.

What's the chances of that, do you suppose? I mean, chances of getting an idea like that, first of all, and then, second, something happening? Don't look at me like that! I'm not making this up. Call up CBS and ask them, or ask around the neighborhood—somebody else must've saw the show. So anyway, she buys this little piano and puts it beside his bed, and it sits there for I don't know how long.

Then, one night, when her and her husband are in bed, she wakes up because she hears this beautiful music coming from somewhere. So help me. Come on, don't look at me like that, I swear to God—cross my heart! She rolls over and she says to her hubby, "Did you leave the radio on?" "No," he says, so she gets up to see what's going on—I guess he does too—and they go trailing off up the hall to this vegetable's room, and they open the door and turn on the light—there he sits, on the edge of his bed, I guess, with these fingers all floppy on the keys, playing something. I don't mean one note at a time, either, I mean playing something like Liberace plays!

The rest of the show is about this idiot servant giving concerts. He even begins to talk, which he never used to do. And he says he can do these things because he's got a good mind, too. A good mind! Never had a lesson in his life, and he plays like Liberace. Oh, Jesus, I thought, wouldn't it be nice if Timmy could do something like that? Maybe it would be worth it, then, all the agony.

Don't touch me, okay? I'm sorry. I'll be all right. I'll just use a napkin. There. Well, anyhow, when Jim comes home, I tell him all about it. He's half in the bag, and he thinks *I've* been hitting the juice! At first he laughs, and then, when I keep on, he slugs me—gives me a slap that throws me half across the room! And I know I'm gonna have to do this all by myself.

Just wait, I'm getting there. I'll tell you what I did. The next day I left Timmy by himself in his crib for a couple hours—what's he going to do? He's not going anywhere. All he can do is cry, and if there's nobody around to hear him, then there's *nobody*, because he can't even hear himself. I took the bus down to the mall. I was just about to go into the music store to get Timmy a guitar or something—I know, I

know, it was stupid—probably turning into a retard myself by now, but all I could think about was that damn piano. Anyway, like I said, I was just about to go into the music store when I realize what I'm doing and stop dead in my tracks.

"How's he going to hear to play a guitar?" I ask myself. "That's even worse than cerebral palsy." And I can't think of what to do at first. But then my eye happens to catch a sign across the hall—"Art Supplies," it says. It's a hobby store. That's when I get my bright idea. Maybe I'm a servant, too. I go in, and I buy a little easel and some paper and some watercolors and brushes.

Well, I'll cut it short. I brought it all home and set it up beside Timmy's crib. I sat there for a while, showing him how to do it—making stick people and so on. No, it didn't work. It's still in there beside the crib—I keep hoping. I had to patch it together after Jim saw it and got mad, and I had to Scotch-tape some of the paper where it got torn, but it's still in there and nothing's happened. Nothing's going to happen, either, except . . .

I can't tell you, but I got to tell somebody. Jim never looks into Timmy's room, but he finally did last night. He saw it and got mad and said he was tired of coming home and finding out that I been wasting his money again. And he was getting tired of coming home at all to that kid in there, that retarded squash laying around in a crib forever. Who needs it? Who needs a wife that all she can do is give a man a thing like that? And she won't even get rid of it, give it away to a hospital—no, all she can do is sit around and watch it drain money out of his wallet.

And then he beat me again—a good one this time—that's why I'm wearing these dark glasses for breakfast. Yeah, I know you knew, but now it's said I feel better. And I hope I'm going to feel better still, but it's hard.

So when he's through with me, and he goes out again, I drag myself into Timmy's room, and I lift him up to his feet in the crib, and I hit him. Gimme that napkin. I beat him as hard as Jim beat me. No, you can't see him. I don't want you to see him. That was last night—that's why Timmy's crying all the time this morning. Jim's not been home yet, not since he took off around midnight, and I'm afraid of what he's going to do when he sees the TV—that goddam TV, and all the glass laying around the living room floor.

CHAPTER 2

SPEECH IN NARRATION

Fred puts down the story and whistles softly. “That was a real tour de force,” he says. “The whole thing was nothing but one person speaking.”

Tag lines

“Did you notice that we not only didn’t have to use quotation marks, we didn’t have to use tag lines either?”

Fred looks worried. He clears his throat and squints at the screen.

“Something wrong, Fred?”

“Okay,” he says, blushing, “what’s a tag line?”

“A tag line is a couple of words or a phrase that tells you who is speaking. The simplest and least obtrusive tag lines are ‘he said’ and ‘she said,’ or minor variations, like ‘she replied’ or ‘he asked,’ as in this conversation between a man named Horace and a woman named Gail”:

“Hello,” he said, “my name’s Horace. What’s yours?” he asked.

“Hi,” she replied, turning in her chair to look at him. “I’m Gail Adams.”

“Pleased to meet you,” Horace said. “I’ve been watching you for about an hour.”

Fred looks thoughtful. “That’s kind of blah, it seems to me. Can’t you jazz that up a bit?”

“Sure,” the Author replies, “but it’s best to keep things simple. Using adjectives, adverbs, and fancy verbs to describe tone of voice or show what’s going on just gets in the way of the action and characterization. This is what can happen”:

“Hello,” he croaked nervously, “my name’s Horace. What’s yours?” he asked with as much aplomb as he could muster.

“Hi,” she squeaked uncertainly, turning in her chair to look at him. “I’m Gail Adams,” she said blushing.

“Pleased to meet you,” Horace declared. “I’ve been watching you for about an hour,” he offered with a quaver in his voice.

Author intrusion

Fred nods. “I see what you mean. The dialogue looks sort of amateurish, too—stilted and forced. What’s the reason for that?”

“It’s called ‘author intrusion.’ The wish of a modern author generally is to create the illusion of reality, to make the reader forget he or she is reading a story rather than living it. Therefore, an author tries to hide himself, to make the story *seem* as natural as possible. Adjectives and other sorts of descriptions tend to remind the reader that somebody’s controlling his or her interest.”

“But can’t that scene be jazzed up another way,” Fred asks, “and still keep the action and characterization going?”

In medias res

“Sure. You can even start *in medias res*.”

“What in blazes does *that* mean?” Fred is scowling again. “Talk about fancy words!”

“Well, once in a while you have to use writers’ jargon,” the Author says. Clearly annoyed, he glares at Fred. “It means ‘in the middle of things,’ and that’s where you’re supposed to start a narrative, so as to get the action going and the reader involved.

In fact, here's that same scene as it was originally written as the beginning of a short story:

"Gail Adams," she replied. "And yours?"

"Horace. I've been watching you for about an hour, and I finally couldn't help approaching you. Forgive me." He sat down and put his cup of coffee on the table. She was beautiful.

"That really *does* get things going!" A look of admiration has replaced boredom in Fred's eyes. "And Horace never even asks his question – it's been asked before the story begins. Not bad! Not bad at all! You're even beginning to characterize them. The only description I see," he pauses to look over the story opening again, "is the predicate adjective 'beautiful' in 'She was beautiful.' So 'keep it simple' is the watchword, right? At least so far as tag lines go."

"Right. Adjectives and adverbs are the mark of the amateur. Let the characters do their thing and the narrative move right along. Don't slow it down."

Pace

"Another thing I notice," Fred says, still looking at the monitor, "is how fast the scene moves. But you don't always want the scene to move that fast, do you?"

The Author sits back and stretches. "Certainly not. Some scenes require a fast pace. So fast sometimes, in fact, that you don't want tag lines to appear at all, and you don't want to slow the dialogue down even with other kinds of writing, such as mood-setting or authorial characterization."

"Who writes like that?"

Dialect 1

"Well, John O'Hara did it in several of his novels including *Appointment in Samara*. Here's a passage in which Julian is speaking with Caroline – and let's make a note to talk about this again when we get to a point where we can discuss dialect":

He started the car again. "Hyuh, baby," he said. "What were we talking about? Had we finished with Chuck?"

"Mm."

"What's the mattah, honey sugah lamb pie, what's the mattah you all?"

"Listen, Ju. Listen to me, will you?"

"Listen to you? Why, Mrs. English, one of the most attractive features of the Cadillac is the minimum of noise in the motor. Just let me show—"

"No. Don't be funny."

"What's the matter? Did I do something wrong? Did I say something? Christ, I thought we were getting along fine."

"We were, but something you said worried me. See, you don't even remember saying it."

"What did I say?"

"When you stopped the car. When you got out to fix the chain, you said something about you were going to fix it now, while you were sober."

"Oh," he said.

"As if—"

"I get it. You don't have to draw a map."

"How's that for fast-paced?"

"Okay," Fred says, "but how about a little contrast now. How can you slow it down?"

*"We can try a little Christopher Isherwood, who was both a playwright and a novelist. Here's how he wanted a rather slow scene in his novel *The Memorial*:*

"What do you think of it?"

"I think it's absolutely marvelous," she'd say, beaming super-gratitude at him, as though he'd written book music and was taking all the parts.

"Not too bad, is it?" She could hear his joy, his pride in the revue ring like a telephone bell through his drawl.

And then she'd ask him about the office and whether the work was very hard and how he liked it. And he began to tell her, carefully and seriously, suddenly breaking off with:

"You're absolutely certain I'm not boring you?"

“You’ll notice,” the Author says, “that Isherwood here is giving the reader the impression of a slow-moving, even a boring conversation, but he doesn’t want to bore us readers to death in the process. Do you see what he does to get around the problem?”

Format and punctuation 2

Fred grins. “Sure, he just *describes* the conversation, in part at least, when he writes, ‘And he began to tell her, carefully and seriously, suddenly breaking off with:

“ ‘ “You’re absolutely certain I’m not boring you?” ’ ”

“That’s great punctuation, Fred. Within your own quote (“ ”) you quote some other material, which goes into single quotes (“ ’ ”), and that material also has a quotation in it, so you go to double quotes again (“ “ ’ ”). You’re learning fast! Pretty soon you’ll want to be an author yourself, if I don’t watch out!” The Author grins broadly.

Nonconversations 1: summary dialogue

Fred squints. There is an odd gleam in his eye.

“But let’s make sure we don’t carry this *description of a conversation* business too far. Usually if we find that sort of thing in a story, especially by a novice writer, it means the writer is uncomfortable with dialogue and is trying to avoid writing it. Isherwood used nonconversation for a special purpose, to slow the pace, and he used it well.”

“Are there other ways to slow the pace of dialogue in the dialogue itself?”

Narration 2: frame narration

“There’s *frame narration*.”

“What’s that?”

“This begins to get us back to the question of narration that we raised when we began ‘Savants.’ Every story has a narrator, but narration isn’t usually considered to be dialogue, though it’s clearly somebody talking. In the case of ‘Savants’ it was a major

character who was narrating, but the narration in that story was clearly a monologue, which is a form of dialogue.

“Frame narration also muddies the waters between narration and dialogue,” the Author continues, “and the grand master of frame narration is Joseph Conrad. Let’s take a look at his novel *Heart of Darkness*; here’s how it begins (I’m not going to use quotation marks except for actual speeches by people, so bear that in mind, okay?)”:

The Nellie, a cruising yawl, swung to her anchor without a flutter of the sails, and was at rest.

“Who’s that talking, Fred?”

“That’s obviously the author narrating the story.”

“But it’s not. Two paragraphs later Conrad sets the characters, and we discover that the narrator is a character in the story, one of four people, but *not* the one named Marlow.”

“How do we know those things?”

“The next sentence I’ll quote tells you”:

The Director of Companies was our captain and our host. We four affectionately watched his back as he stood in the bows looking to seaward.

“Who were the four?” Fred asks.

The Lawyer—the best of old fellows—had, because of his many years and many virtues, the only cushion on deck, and was lying on the only rug. The Accountant had brought out already a box of dominoes, and was toying architecturally with the bones. Marlow sat cross-legged right aft, leaning against the mizzen-mast. He had sunken cheeks, a yellow complexion, a straight back, an ascetic aspect, and, with his arms dropped, the palms of hands outwards, resembled an idol.

“So the author’s not narrating,” Fred observes, “and neither is Marlow.”

“But Marlow is going to be a major player—in fact, he is

the real protagonist of the novel, although a fellow named Mr. Kurtz at first appears to be.

“After some scene-setting and mood inducement, here’s what happens”:

“And this also,” said Marlow suddenly, “has been one of the dark places of the earth.”

“That begins the narration of Marlow’s adventure. There’s a little more mood inducement, but after that Marlow tells the story—*he* is the ‘frame narrator’ of the novel. Clearly, this sort of narrative is a monologue, and every once in a while Conrad has to remind the reader that it’s still really a minor character who is telling the *overall* story, which includes the story the frame narrator is telling.”

“How does he do that?” Fred asks, leaning forward and brushing the hair out of his glinty eyes.

“Well, after a very long piece of frame narration—pages of it, in fact—Conrad does this”:

... “It seems to me I am trying to tell you a dream—making a vain attempt, because no relation of a dream can convey the dream-sensation, that commingling of absurdity, surprise, and bewilderment in a tremor of struggling revolt, that notion of being captured by the incredible which is of the very essence of dreams . . .”

He was silent for a while.

“ . . . No, it is impossible; it is impossible to convey the life-sensation of any given epoch of one’s existence—that which makes its truth, its meaning—its subtle and penetrating essence. It is impossible. We live, as we dream—alone . . .”

He paused again as if reflecting, then added—

“Of course in this you fellows see more than I could then. You see me, whom you know . . .”

It had become so pitch dark that we listeners could hardly see one another.

“So that’s frame narration,” Fred says.

“That’s it.”

“Can we go back to ‘Savants’ for a bit?”

The Author nods. “Why not?”

Fred hesitates for a moment. “In that story it was the main character, the protagonist, who was delivering a monologue.”

Diction 2: characterization

“Right, just as in *Heart of Darkness*, except that she was the narrator, not the frame narrator. Every story must have a narrator and a protagonist as a basic minimum. Sometimes they’re the same person, but often they’re not. What was she like? You heard her, but did you see her as well? As a person, I mean.”

Fred nods vigorously, his thin hair falling down across his forehead. He raises his left hand and brushes it back. “Sure. She was a housewife who lived in an ordinary neighborhood. She had very little education—you could tell that by the way she spoke.”

“That’s diction again—I mentioned it earlier. Her level of diction was not very high. Her vocabulary was limited—she used no big words, and she mispronounced the biggest word in the story—the French word *savant* which means ‘wise person.’ She had no frame of reference for it, so she equated it with the English word that sounded most like it—*servant*.”

“Even that confusion helped to characterize her,” Fred says, leaning forward. “After all, she was a servant to this kid she had who was severely retarded. She was stuck with this child that she both loved and hated, with a husband who wanted to get rid of their child and who didn’t like his wife much. She was desperate.”

“Desperate and hopeless. And finally she broke.”

“She had so many conflicts,” Fred says, “but the main one was internal.”

“Was there any action in the story?”

Fred thinks about that for a minute. “Not any direct action that the reader could see, though the narrator/protagonist tells us about a scene that was filled with action, when she took out all her frustrations on her child and abused him physically.”

“Action is the best way to characterize someone, but speech

is the second-best way—the speech of the person being characterized, and then the speech of other characters talking about that person. Was the story dramatic?”

“Almost *too* dramatic. Maybe, in fact, melodramatic.”

“I hope not,” the Author replies, “but in any case, the first thing one has to learn about fiction is that conflict and problem are at the root of any dramatic situation and, generally speaking, a dramatic situation is the basis for all storytelling.”

“No wonder fiction and drama have so much in common. Is it possible that some stories are actually plays?”

“‘Savants’ is actually a play. If it were read aloud it would be no different from any other monologue seen on stage. In fact, to turn it into a stage production all you’d have to do for a scene is put the monologist into a kitchen with another woman.”

“Are there any *famous* stories like that?” Fred asks.

Anyone watching might be able to see the hair bristle on the Author’s neck. But he is a professional, and he controls himself after a moment’s pause.

“Well, there’s a story by Jamaica Kincaid titled ‘Girl’ that’s in a lot of anthologies. It appears at first to be a monologue, but in fact it’s a dialogue because a second person speaks two sentences. There’s no scene-setting, but we soon understand that a mother is teaching her daughter, whom she doesn’t trust, to be a West Indian homemaker. The whole story is in fact made up of one huge sentence and is barely more than a page long. The mother lays down rule after rule. The daughter tries, twice, to interrupt, once with a protest of innocence, once with a question. It’s no use: the acid-tongued mother rolls right on. The daughter’s dialogue is given in italics, right in the middle of the mother’s speech.”

Empathy

“I can see that’s close to being a play,” Fred says. “Mainly just one person, talking—the mother’s voice, with no descriptions or ‘she said.’ But the daughter sounds like such an underdog. I think I’d probably like her better, even though she only has two lines. Better than the mother, who has most of the speech.”

“It’s human conflict in which we are interested, and that holds true even if our main character is an animal, or a bird, or an insect, or whatever, for the creature at the heart of the story will display human characteristics with which we can empathize.”

“What’s the difference between empathy and sympathy?” Fred is having trouble with his limp pompadour, first because it’s so hot and muggy in the attic room – it’s July, and the Author works in a garret – and, second, because he is fanning himself with some of the pages of the manuscript.

“Hey! Put those down!”

Fred gets up suddenly and goes to the narrow window that looks down on West Eighth Street. He knows if he could stick his head out the window and look left, he’d probably be able to see Lake Ontario at the bottom of the hill.

“Stop doing exposition,” he says to the Author testily, “and answer my question. But first, how about if I turn on the air conditioner?” That is the reason he cannot put his head out the window – it is full of useless machinery.

“Oh, sure!” the Author says, “I’m sorry. It *is* getting hot. Just turn that knob on the left and set it on high. That’s it.” The rush of cool air begins to hum into the room. Fred wipes his brow and brushes back his forelock.

“Empathy is a feeling *with*,” the Author taps out on his keyboard. “We put ourselves in the place of the creature or the person struggling. Empathy is stronger than sympathy which is a feeling *for* something, from the outside. Remember back under *Viewpoint 1* when I mentioned subjective and objective access? Well, think of it this way: empathy is subjective, getting inside another person’s character and situation. When we experience empathy, we are identifying with another being, becoming one with it, in effect. Sympathy is more objective, though it’s still fellow-feeling.”

“Sort of, ‘There, but for the grace of God, go I,’ as opposed to, ‘This is happening to me as it happens to my fellow.’” Fred purses his lips and knits his brows. “A while back you said that a story has to have at least a narrator and a protagonist . . .”

“And that they are sometimes one and the same. That’s right. What’s the matter?”

Fred is shaking his head vigorously, as though he is trying to loosen up cobwebs that are clouding his sight. “My head’s starting to spin. I’ve got so many questions I hardly know what to ask first.”

“Calm down, Fred.” The Author rises and pats Fred on the shoulder.

Characterization by nomenclature

“Hey!” Fred yells. The Author jumps back, startled. “How come I don’t have a last name?”

“What do you need a last name for? The women in ‘Savants’ had no names at all.”

Fred just gives the Author a cold, sardonic stare.

“Okay, we’ll go with characterization by nomenclature—your last name is Foyle . . . Fred Foyle. How’s that?”

Fred groans. “I had to ask.”

“Well, that’s your name. Judging from the spelling you’re probably an Irishman. Now, what’s your question?”

Subject and theme

“This may seem as though it’s coming out of left field, but, okay—what’s the difference between a subject and a theme?”

“That’s easy. A subject is what you’re talking about, and it can be expressed in a word or a phrase: *Love* is a subject. But a theme is what you *say* about a subject, and it can only be expressed in a complete sentence: ‘Love is a many-splintered thing’ is a theme. Note that the subject of our story is also the subject of our sentence. If you write a story illustrating that theme, you have to choose your elements so as to back it. *All* the other aspects of the story, including the dialogue, must support the theme.”

“Does dialogue have any other part in subject and theme?” Fred leans forward a little to get a bit further into the

flow of air from the conditioner. It blows his thin hair about a bit.

“Sure. Take ‘Girl’ for instance. Since the whole story is a dialogue, the only way the author can express the theme is through the speech of one of the characters. The theme of the story is in the last line, spoken by the antagonist, the mother. To paraphrase, she says to her daughter, ‘You mean you’re not going to turn out to be a slut after all? Maybe I’ve misjudged you.’”

“Okay,” Fred says slowly, leaning forward again, “I’ve thought of another question: besides character and theme, what are the other basic elements of a story?”

Plot and atmosphere

“Well, there’s plot, and there’s atmosphere.”

“Gimme, gimme,” Fred says, wiggling the fingers of his left hand. “Don’t keep making me pull it out of you.”

“*Plot* has to do with the story line of actions and events that take place in the narrative, and the *resolution* of the conflict between protagonist and antagonist. Just as theme is the thread of thought that binds all elements of the narrative, plot can be defined as ‘the thread of actions and events’ that carries the narrative and that serves to exemplify the theme.”

Fred scratches his head. “It’s getting nice and cool in here,” he says. “This antagonist—is it a person?”

“Not necessarily—it’s whatever opposes the protagonist.” The Author stops typing to lean back and scratch his own head. Either Fred Foyle’s action is catching, psychosomatically or otherwise, or the cats have been in his study again and there are fleas in the room. “But we need to discuss character a bit more first.

“Character has to do with the personal characteristics of the persons of the narrative—if we were writing a play we’d call them the cast, the *dramatis personae*, as the *Britannica* did . . .”

“There go those foreign terms again. I think you writers like to use them because they make you feel superior.” The Author notices a sneer on Fred’s physiognomy—

“See what I mean?” Fred shakes with sarcastic glee.

—on Fred’s face. “Quit reading over my shoulder, will you?” the author asks peevishly.

“I thought you said a writer’s not supposed to use so many adjectives and adverbs to describe things,” Fred says. “‘Sarcastic,’ ‘peevishly.’ ”

“It’s not a good idea to use lots, but a few won’t hurt on occasion. But you’re right—you ought to *show* it through action and dialogue, not *tell* about it with modifiers. But do you want me to answer your question about the elements of fiction or not?” There is a note of annoyance in the Author’s voice.

“Shoot!” Fred says.

“Not a bad idea,” the Author replies. “Anyway, it’s the personal characteristics of the persons of the narrative that will determine their actions, reactions, and dialogue in any given situation. As I’ve said, the only necessary *persona*—that’s a technical term we’ve used before meaning, in effect, ‘a mask adopted by an author in order to tell a story’—is the *protagonist*, the main character of the story, the ‘hero’ or ‘heroine.’ However, a narrative may have a multiple protagonist—for instance, a group or a village—though normally one person will represent such a composite protagonist.”

“Besides these personal characteristics, does a protagonist have any other qualities?”

“A protagonist will have two qualities, basically: a dominant personality trait, such as courage, generosity or fervor, and a *desire*—to *be*, to *have*, or to *do* something. This desire will aim the protagonist at an objective or *goal*.”

“I take it that the antagonist’s purpose in the story is to block the protagonist from achieving the goal. Is that right?”

“Right again, Fred!” The Author beams at him, squinting into the screen of the monitor. “The protagonist will be blocked in his or her desire to attain his goal by a *logical* antagonist who may be another person in opposition; a situation, such as being lost in a blizzard; a force, as for instance society; or an aspect of the protagonist’s own personality.

“This opposition of protagonist and antagonist leads to *conflict*, which is essential to the *dramatic situation*—be sure not to

confuse this with the *dramatic viewpoint*, which has to do with the narrator, not the protagonist. This is the classic formula for a story: desire, opposition, conflict.”

Viewpoint 2: orientation, person, angle, access

“I thought you said the narrator and the protagonist might be the same person?”

“But not always—in fact, not usually. An author has to choose a particular narrative viewpoint from which to tell the story, and here we’re talking about *narrative voice*. A writer has several elements that must be combined in order to make up a narrative voice.”

“As for instance . . . ?”

“First, there’s *orientation*. There are two main choices to make here, and one secondary choice. From the *author-oriented* viewpoint, it is the author who narrates the story. From the *character-oriented* viewpoint, it is one of the personae in the story who narrates. The secondary choice is whether it’s a major character—the protagonist or antagonist—who does the narration, or a minor character.”

Fred nods. “So it’s possible for the author of a story to be its protagonist as well, I take it.”

“Yes, but if an author chooses that orientation he may be writing biography rather than fiction, and the fact that he’s a character will have to take precedence. You’ll see why when we get to *angle* and to *access*, which we’ve already mentioned in *Viewpoint 1*. Right now, though, let’s talk about the second element of viewpoint, *person*.

“The story may be narrated in the *first person*—that is, ‘I went downtown and bought a loaf of bread on Monday morning’; or in the *second person*—‘You were sitting alone in your office that day when a tall blond walked through the door of your agency and sat down. “Hi, I’m Mike,” he said.’ This, though, is usually just a disguised form of *first person* narration. *Third person* narration is the most common of the three—‘he took out a gun and shot his own foot.’ ”

“Which he stuck in his mouth, like you did there.” Fred begins

to pace again. The attic room is cool at last. “I notice that when the blond walked in you used double quotes for his speech, but you used single quotes for the narration within your own speech, which was in double quotes.”

Format and punctuation 3

“Yes, that’s the rule—double quotes, single quotes, double quotes, and so on *ad infinitum*. We’ve mentioned it before.”

“You sure like that Latin,” Fred said shaking his head.

“And then there’s *angle*,” the Author continues, ignoring him. “In the *single angle* only the actions of one character are followed; only what occurs in his or her presence is narrated. In the *multiple angle*—double, triple, etc.—what occurs in the presence of two or more characters is narrated. In the *omnipresent angle* the narrator has access to actions everywhere in the narrative.”

“It would be hard for an author who made himself a character-narrator to tell the story from anything but the single-angle, wouldn’t it?”

“Exactly, though it would be easy to stand back from the story and tell it from the multiple-angle. Which brings us to *access*: the narrator may have only *objective access* to events; that is, he or she may narrate only actions observed externally. Or, the narrator may have *subjective access*; that is, he or she may be able to narrate not only external actions, but the thoughts and emotions of the characters as well.”

“Things are getting complicated,” Fred says.

“As with all other language techniques, the narrator may choose to blend any combination of orientation, person, access, and angle. The ‘omniscient’ viewpoint is a blending of narrative choices in which the author has joined omnipresent angle with subjective access to all characters—in other words, the author knows all about everything, internal and external, everywhere in the story, and narrates it that way.”

“Let’s see if I have this stuff down straight. The viewpoint of ‘Savants’ was character-oriented, first-person, single-angle, subjective-access narration.”

"I couldn't have said it better myself, Fred."

"But what about 'Girl'? That had two voices. Does that mean that its viewpoint is author-oriented, third person, single-angle, objective access?"

"I think that's what you'd have to say."

"And *Heart of Darkness*?"

"That was frame narration, and it really has two points of view, I suppose you'd have to say. The narrator of the overall story was a minor character, so that's its orientation. He reports what Marlow says, describes the scene, and sets the mood, so the narration is third-person. There was only one scene, the yacht, and that's a single-angle. All the narrator knew was what the frame narrator told him, so he had only objective access."

"But the *real* story, about Mr. Kurtz, was told in a near-monologue, so you'd really have to double orientation, person, angle, and access, wouldn't you?" Fred asks, scratching his head. "Are there fleas in this room?"

"It's possible," the Author says. He scratches his stomach surreptitiously, bending forward toward the screen to hide his movements from Foyle.

"Have we covered all the basic elements of fiction now?" Fred raises his eyebrows inquisitively. Like his hair, they are pale, and they are lost for a moment in his forelock.

"Not quite. There's still *atmosphere*, and we haven't shown how dialogue can move the action – plot – along."

"This is mood we're talking about now, I guess."

"Yes, and mood is created by means of the *setting* – the locale or environment in which the narrative takes place, the *attitude* – of the narrator and of the characters in the story, and *descriptions*."

"Some stories are all character, all plot, or atmosphere or even theme. Am I right?"

"Well, it can seem that way sometimes, but most stories will be a combination of all those things. Still, the fictionist may build his story by emphasizing any one or any combination of two or more of the four native elements: character, atmosphere, theme, or plot."

"So 'Savants' is a character story mainly. What's 'Girl'? I

didn't get much of a sense of character, except maybe the toughness of the mother and a whiff of the innocence of the girl."

"What do you think it was?"

"A theme story?"

"Without a doubt, my fine Irish friend!"

"Okay, show me how dialogue can help set the scene and induce mood."

"Right. Here's some scene-setting in dialogue form from Ursula LeGuin's 'Conversations at Night' – it's the very opening of the story":

"The best thing to do is get him married."

"Married?"

"Shh."

"Who'd marry him?"

"Plenty of girls! He's still a big strong fellow, good-looking. Plenty of girls."

When their sweating arms or thighs touched under the sheet they moved apart with a jerk, then lay again staring at the dark.

"What about his pension?" Albrekt asked at last. "She'd get it."

"Fascinating!" Fred says, grinning. "Now, how about mood?"

"This is from H.G. Wells' novel *The First Men in the Moon*:

"If we were to set fire to all this stuff," I said, "we might find the sphere among the ashes."

Cavor did not seem to hear me. He was peering under his hand at the stars, that still, in spite of the intense sunlight, were abundantly visible in the sky. "How long do you think we have been here?" he asked at last.

"Been where?"

"On the moon."

"Two earthly days, perhaps."

"More nearly ten. Do you know, the sun is past its zenith, and sinking in the west? In four days' time or less it will be night."

"But – we've only eaten once!"

"I know that. And – but there are the stars!"

"But why should time seem different because we are on a smaller planet?"

"I don't know. There it is!"

"How does one tell time?"

"Hunger—fatigue—all those things are different. Everything is different. Everything. To me it seems that since first we came out of the sphere has been only a question of hours—long hours. At most."

Fred clears his throat and says nothing for a moment. The two men listen to the sounds of the air conditioner until Fred breaks the stillness. "That's not just mood—and a *strange* one at that, it's setting too," Fred says.

"The two go together, as theme goes with subject."

Rewriting

"I'm in an odd mood myself," Fred says, a note of anxiety, perhaps even of wonder in his voice. "Something just happened—what is it? It's as though something's missing and, simultaneously, as though I've experienced this before . . . sort of a combination of amnesia and *déjà vu*. What's going on?"

"I'm rewriting the manuscript, Freddy. Ten months have passed since you were born, and my editor wants me to add and cut, especially cut full stories—'Limit all examples to two hundred words or less,' she says. I don't see how I can do that—you'll notice I didn't cut 'Savants'—but I'm going to do the best I can. I just cut a whole story out of the manuscript, and now I've got to add material."

"What's your editor's name?—man, this is weird!" Fred avers.

"'Avers'?" Fred asks, his lip curling, his brows raised. "You say I *aver* something?"

"See how those unusual verbs stick out? My editor's name is Nan."

"What does she want you to add?"

"Would you like to meet her?"

"Sure. How we going to do that?"

"By conjuring her up. Listen."

Fred cocks his head to the left so that his forelock flops down across the part in his hair, which, though he is left-handed, is nevertheless on the left side of his head. He hears footsteps on the attic stairs, then a knock on the door.

"Come in!" the Author calls, pushing his rolling stool back from the keyboard. The knob turns and Nan Deditter enters. She stands for a moment staring at the writer and the would-be writer *cum* foil.

"I'm surprised!" Fred whispers. "She looks more or less like a normal person. I thought editors were something like ogres in tigers' clothing."

"Shut up, Foyle!" the Author rasps, she'll hear you. I don't know if that's what she looks like. It's how I imagine her." Turning to his fictive editor the Author rises and says in as gracious a manner as possible, "Come in and sit down, won't you, Ms. Deditter?"

"Thank you," she replies. "Which of you is the Author?"

"I am, and this is my friend and partner, Fred Foyle."

"Oh, yes," Deditter says, smoothing the neatly pressed pleats of her linen skirt. "We've met."

"Do I detect a hint that she didn't like me?" Fred asks. He is speaking in a whisper still. His eyes are wide and staring. He is talking out of the side of his mouth.

"That's true, Fred, but you'll notice you're still around. I insisted on it."

Foyle says nothing but gives the Author a grateful glance before he focuses again on the editorial apparition.

"'Editorial apparition!?' " both Foyle and Deditter exclaim simultaneously.

"Just checking to see if you're listening to me, both of you."

"What does she want you to do?" Fred asks.

"Please stop treating me as though I'm not in the room, Fred," Nan says evenly. "If I have reservations about your function in this book, they are based on a good deal of professional experience. All I want is for this book to be the best book on dialogue available in the market."

"Man, it's cold in here!" Fred says. He turns to shut off the air conditioner. "How long has this been running?"

"It's May now, not July. As far as you're concerned it's been running all fall, winter, and spring."

"Okay, Nan," Fred says, addressing Ms. Deditter directly. "Then answer my question. What do you want him to do?"

"Besides get rid of you, you mean?" She cocks an eyebrow.

"I want him to write some effectively interactive dialogue," Nan says abruptly, addressing Fred. "Talk about why characters shouldn't make long speeches to one another, except in rare instances."

"You've done some of that," Fred says.

"I have to," the Author replies. "This is a didactic book, not a novel or a story, so this is one of those 'rare instances.' But it's true that characters shouldn't just stand around jawing, doing exposition and things like that."

Nan nods. Her businesslike coiffure shakes but retains its attractive shape. "They should avoid boring chat about the obvious and the trivial. Sometimes characters ought not to reply to one another directly, but obliquely or not at all, each one talking about his own preoccupation, and the like."

"Like I did just a while ago?" Fred asks in a whisper still.

"Like you're doing right now," the Author says.

Fred clears his throat and turns his back on the room, staring out the window onto Eighth Street. "The trees are just starting to leaf out," he says. "Man, spring is sure late in this part of the country."

"I want you," Nan sits down on the Lazyboy and points an immaculately manicured finger at the Author's chest, "to illustrate counterpoint in dialogue, the story's action moving back and forth from speaker to speaker, always moving, each speaker contributing something to the *whole*."

"How do you spell that?" Fred says, throwing a sharp glance back over his shoulder.

"Spell what?" Nan asks.

"Shut up, Fred. Nothing," the Author says. "Go on."

Fred shrugs. His head seems to sink into his shoulders. He doesn't move. "It's getting hot in here again," he says.

"I want you to show how characters react even when

they're not speaking, showing that the other characters' comments are affecting them."

Fred turns the air conditioner back on. He leans over the rush of cool air and raises his arms slightly, so that the flow cools his chest and armpits. He casts a glance, full of distrust, back over his shoulder at his inventor and at his potential assassin.

Dialogue and action

"Mmph!" the Author says to Nan. "You mean like this? This is the beginning of a story titled 'Pleasant Dell.' It's about Horace, an old man bedridden in a nursing home":

Horace lay in his bed and listened to the attendant shambling up the hall with the lunch cart. He heard Miller stop and unload one tray, knock once loudly on a door, then turn a knob and go in. A few moments later the squeaky wheels started up the corridor again. Eventually they got to Horace's room. By that time he was ready for Miller.

The knock was followed by a violent twist of the knob, and then a tray held by a thick, hairy arm was pushed through the doorway. Miller wore a white smock with short sleeves, jeans, and sneakers.

"Very ugly," Horace said as Miller deposited the tray on the nightstand. "You, sir, are tremendously, not to say overwhelmingly foul of face and gross of limb." It had been a long sentence, and Horace wheezed a bit.

"Who asked you?" Miller muttered at the old man. He turned around to leave.

But Horace stopped him. "Girls," he said.

"What?" There was a dog buried in Miller's throat. Horace could hear it snarling.

The hairs along the back of the old man's neck began to prickle with anticipation. He moved his long bones under the cold sheet, warped his lips into a grin and said, "Girls, Miller. Girls, girls, girls. Young ones with big breasts and pearly round thighs. You got any?" He laughed. He caught himself, though, before he began to cough. The room rolled, but he focused at last on the huge attendant who stood, panting now, very close to the bed rail.

"I got girls," Miller said. "Lots." His knuckles swelled around the rail and began to turn white at the joints.

"Oh, verily. You have girls. Do they dance for you, Miller, flounce for you, jounce and bobble? How is it when you come to them in the night? Do their eyes glisten like the eyes of spring does in the moon-

light? Ah, it must be sweet, you wag, the white flesh and its flailing in the shadow." The old man felt again, at last, the warm blood begin to stir in his toes, and the heart he'd listened for closely in the earlier white and quiet hours began to pump. *There still is life*, he thought — *yes, still. It is still there.*

Miller had grown darker. He loomed over the bed, his face thrust nearly into the gray stubble of Horace's beard. He seemed about to explode magnificently. His great lips twisted. "Nyarrgh!" Miller sounded, the whale word bursting out of his face. "Bloo bloo bloo! Ack, you old stink, blag, grah!" The childish and monstrous noises rocked him. He swayed on his feet, turned nearly purple. "I'll kill you someday, by God I will." Then Miller seemed to collapse into himself, like a balloon on which someone had opened the valve.

The old man giggled in his sheets, his skin prickled and tingled. "Not someday, Miller," he said. "Now. Kill me now while I'm like this. I want to know I'm alive when I die, Miller." He felt it begin to ebb away. His lungs stopped heaving, and he looked up at the big man, his smile fading.

"Holy smokes!" Fred exclaims. "Go on!"

"Sorry, though I've done it a couple of times, I'm not supposed to include whole stories. I've got to stick to the subject — dialogue."

Fred stares at Deditter. She stares back. At last Fred drops his eyes and says something under his breath. He looks up when he hears the attic door slam shut and feet stuttering down the stairs. He shifts his gaze to the Author, his eyes asking a silent question.

"She'll probably be back." Fred's mouth begins to open. "Don't say it. She knows everything you do, whether she's in the room or not." Fred Foyle sits quietly for a moment or two riffling the pages of the manuscript that have so far been run off on the printer.

"Well, that sure was dialogue moving the action along, all right."

"But I didn't have to use it as an example, because we'd already done interactive dialogue."

"When?"

"When I brought Nan into the study. Go back and look it over." The Author leans against the soft back of his typing stool, stretches, and glances at his watch.

“Are we about through with dialogue as it applies to the elements of the short story?”

“Just about, I’m ready for a break. How about you?”

Viewpoint 3: subjective, objective, dramatic

“Oh, sure, sure,” Fred says, pushing his wayward forelock back into his hairline.

“Tell you what – while I’m gone, you can fool around with the computer if you like. Can you type?”

“I think so.” Fred looks dubious.

“Well, go ahead and give it a try. I’ll be back in about ten.”

The Author rises and leaves, wishing immediately that he hadn’t – the heat on the attic stairs outside the door is like a big fuzzy mitten enveloping his body. As he goes carefully down the steep stairs he hears the soft clicking of the keyboard behind him.

“I thought he’d never leave,” Fred writes. “Sure, I can type – whatever he can do, I can do as well or better. This business of being Fred Foyle is a drag. Why couldn’t it have been I who am the Author instead? I could have invented him instead . . . no, I’d have invented somebody else, just to get even . . . only, if I were the Author and he weren’t invented, how could I get even with him? Man, this is getting too philosophical for a book on how to write dialogue in fiction. Let’s keep it simple.

“Okay, let’s see, what am I writing now? It must be a monologue – no, I’m thinking it, not speaking it aloud, so it’s got to be a soliloquy: ‘To be, or not to be – *that* is the question!’ Only I’ve got no choice in the matter. I’ve been created, and that’s that . . .”

“You’re no worse off in that regard than anybody else,” the Author says. Fred jumps.

“Good grief!” he gasps, “I didn’t hear you come in. Don’t sneak up on me like that again, okay?” Fred slides off the stool and the Author takes his place, leaning over the keyboard and squinting at the monitor.

“Let’s see what we’ve got here,” he says, scrolling back to what Fred has written.

"It's a soliloquy," Fred says.

"It's more than that. On the surface, it looks like an example of subjective voice."

Fred leans over the Author's shoulder and looks. "Did you do that on purpose?" he asks. "Leave the room, I mean. Did you figure I'd write something like that?"

"Sure," the Author says.

"That's pretty manipulative."

"Of course. What do you think you're here for?"

Viewpoint 4: aspects of narration

Fred makes a strangling noise in his throat, pauses, and then he says, "So that's subjective voice?"

"From your perspective it is. You see that there's only one aspect of narration. Your point of view is the only one in the soliloquy."

"What other point of view can there be?"

"Well, think of yourself—a persona, an invented character—standing in the center of a circle . . . that's your world. Now also imagine a narrator standing off to one side, outside the circle, looking at it—the character's world—and at the character in that world. Now, imagine that the narrator begins to tell what's going on with the character. He's using the *objective voice*, and this perspective has two aspects. The reader sees what's happening to the character—that's the *aspect of narration*; and the reader also gets a sense of how the narrator feels about the character by the manner in which the narrator tells the story, makes the persona act and speak—that's a second aspect, the *aspect of reflection*."

"You mean whether the narrator tells the story humorously, or seriously, or whatever?"

"Right. Take that last Horace story. We know that Horace is, in a way, a humorous figure, by the way in which he talks and acts, but we also know that the humor has an edge to it—it's a *black humor*. We also know that Miller is a limited and sullen person, whom Horace can manipulate at will because he's smarter. We feel sorry for Miller, but possibly we feel even sor-

rier for Horace. When one uses the objective voice one may be telling one's own or someone else's story, but telling it by standing off at a distance. Even so, the reader is not excluded from the story because we see the speaker reflected in the way the author tells the story."

"Are you saying that if an author discusses his true feelings and bluntly stated opinions and ideas, he's writing something other than a story?"

"Right, Fred. That's *subjective viewpoint*. The reader may be excluded."

"But if he tells what really *happened* to him, that is a story?"

"Exactly, but if it's a true story it's autobiography, not fiction. Still, it's *objective viewpoint*."

"But Shakespeare wrote plays, so that's not 'objective voice,' or 'subjective voice,' is it?"

"No, you're right. What we were doing when we discussed orientation, person, angle, and access, was breaking down objective voice into its components, but Shakespeare was going one step further—he was using *dramatic voice*. That is, he put on a mask—he *became* his character and we know that character's world from the inside, just as we know a subjective speaker's perspective. But we don't have to talk about Shakespeare and drama, we can talk about some stories we've read."

Fred nods, but without conviction. "You mean like 'Savants' and 'Girl'?"

"And even *Heart of Darkness*. Think of it this way: You have your circle, and you have your character standing in the center of that circle; you have your narrator standing off to one side. But the narration pierces the circle instead of stopping outside it—the narrator enters the mind and body of his or her character, makes the character act, think, and speak. So you have the aspect of narration; and you have the subjective aspect of the character, and then you have the aspect of reflection because we know how the narrator feels about his character by the way in which he manipulates the character."

"So subjective voice is *exclusive* because it has only one aspect; objective voice is *inclusive* because it has two aspects—nar-

rative and reflective, but dramatic voice is *most inclusive* of the three because it has a third aspect . . . the subjective aspect *from the character's point of view?*"

"You've got it, Fred."

"So what I wrote up above is subjective voice?"

"From your point of view, yes."

"Is the tip-off of subjective voice the pronoun 'I'?"

"At first glance it might appear so, but in fact, the dramatic voice uses 'I' too: 'I, Horace, would like to die violently so that I know I'm alive and not already dead when I die.' That's why your soliloquy is dramatic voice and not subjective voice, because it was spoken by a character I invented, not by the Author."

Fred is silent for a moment. The Author can see him flushing with frustration, resentment, embarrassment, chagrin — or a combination of all those emotions. "So there's no way I can write anything subjectively?"

"No way in the world." The Author shakes his head ruefully. "I'm sorry, pal. You're almost purely dialogue."

"But what's the point of it all?" Fred asks. His tone is rueful, too. "Why get so complicated with piling subjective voice, upon objective voice, upon dramatic voice, upon frame narration? I mean, why should a story have to be so complicated?"

"It doesn't *have* to be complicated, but if an author wants texture and depth, then the more aspects of narration he or she includes, the thicker the texture will be and the deeper the mood will plunge. *Heart of Darkness* is a classic of brooding depth and moody texture, and much of that effect is the direct result of narration by one character listening to the monologue of another. The more inclusive a story is, the better the chance that it will mirror the world."

"Forget it," Fred says. "Let's go on to something else."

Foreshadowing

"No, let's do some summing up. We've considered dialogue as it applies to the four basic elements of fiction; that is to say, character, setting/atmosphere, plot, and theme, but we haven't

mentioned some of the things dialogue can do and shouldn't do with regard to these subjects. For instance, dialogue can be used as a foreshadowing device."

"That means 'giving a hint of things to come,' doesn't it?"

"Exactly. Can you think of a place where that's happened in one of our examples?"

Fred lowers himself slowly to the seat of the Lazyboy and perches on the edge of it. He looks sleepy. He rubs the back of his right hand with his left. "Got it!" he says, snapping his fingers. "How about in the second Horace story where Miller says—let's see—" he leafs through the manuscript, "'I'll kill you someday, by God I will.' Is that a place?"

"A rather blatant example, indeed, but yes, it is."

"Does he do it?"

"That's problematical, Fred. You'll have to read the story. It's titled 'Pleasant Dell,' as I've mentioned."

"And where am I likely to find it?"

"Right over on that shelf of magazines," the Author says, pointing to the bottom shelf behind the stereo table. "I'll get it out for you later on. You can read it when you're off duty."

Dialogue and moralizing

"When I'm 'off duty' I'm nowhere, pal," Fred sneers. "Do you know where 'nowhere' is? It's nowhere, limbo, nada, Erewhon. When you dismiss me," he gestures emotively with his arm, "I become one with the cosmos, my ego disappears, I come to the end of the sevenfold path to Nirvana. I can take no magazines there, I can't even take *myself* there. You continue with your humanity, but I enter the fogs of the Underworld and wander betwixt Styx and Lethe!"

"Thanks."

"Thanks? Thanks for what?" Fred asks.

"For illustrating overemphasis on theme. Dialogue can, in Nan Deditter's words, 'drive the special nails that fasten a story's meaning down solidly,' but as she points out also in this letter of . . . let's see, nuts! I can't find the first page. Well anyway, she

also points out that there can be ‘overkill in this respect, blatant moralizing.’ That was real blatant, Fred.”

“Glad to be of service,” Fred moans. He sits down again. “What’s next? What else can I do for you? Jesus, how I hate this!”

The Author cocks an eyebrow at Fred, who catches the irony in the gesture. “Forget I said that,” he says.

“Here’s some more foreshadowing,” the Author says, “in a passage that contains interactive dialogue, internal monologue—all sorts of things we’ve been talking about. It’s from the science-fiction novel *Dune* by Frank Herbert”:

How many times must I tell that lad never to settle himself with his back to a door? *Hawat cleared his throat.*

Paul remained bent over his studies.

A cloud shadow passed over the skylights. Again Hawat cleared his throat.

Paul straightened, spoke without turning: “I know. I’m sitting with my back to a door.”

Hawat suppressed a smile, strode across the room.

Paul looked up at the grizzled old man who stopped at a corner of the table. Hawat’s eyes were two pools of alertness in a dark and deeply seamed face.

“I heard you coming down the hall,” Paul said. “And I heard you open the door.”

“The sounds I make could be imitated.”

“I’d know the difference.”

He might at that, *Hawat thought*. That witch-mother of his is giving him the deep training, certainly. I wonder what her precious school thinks of that? Maybe that’s why they sent the old Proctor here—to whip our dear Lady Jessica into line.

“That foreshadowing *is* a bit subtler,” Fred Foyle says, “but it’s all mixed in with exposition, characterization, plot development, and scene-setting.”

“Right,” the Author says. “I thought it might make a pretty good summary of this section.”

“And so it does,” says Fred. “Why don’t we call it a day?”

“Let’s call it a chapter, too,” the Author replies as he pushes the keys to save his file and exit from the word-processing program. “Get a good night’s sleep.”

“No, wait!” Fred yells, but it’s too late.

CHAPTER 3

DICTION

“So *there* you are!” Fred exclaims as the Author boots the computer and accesses his file. “What took you so long? Where’ve you been?”

The Author blinks vacantly at the screen and yawns. “I took the Memorial Day weekend off. The weather was just beautiful and I didn’t feel like being stuck in this garret while everyone else was off at the beach.”

“Everybody but me,” Fred says.

“That’s the way it goes. You can’t win ‘em all.”

“Got any more clichés to ply me with?” Fred asks through a curled lip. He brushes the hair out of his eyes. “What are we gonna talk about now?”

“A whole bunch of things, all of them related.”

“Such as?”

“Syntax, diction, and style, for three, and then dialect and slang.”

“We’ve talked about some of those things before.”

“No,” the Author says, shaking his head. “We’ve mentioned them, but we’ve not discussed them, and they’re important. Not only are they related to and supportive of one another, they are also directly related to viewpoint, which we’ve just finished discussing. We need to begin by doing some defining,” the Author says.

“You never get tired of doing that, do you?” Fred sighs.

Syntax 1: subjective word order

“It’s how we know things, Fred. Naming something is a basic human step in the acquisition of knowledge.” It’s the Author’s turn to sigh. “Donald Davie in a 1958 book called *Articulate Energy* talked about the three traditional types of syntax or ‘word order’ in the sentence. He points out that, with regard to *subjective syntax*, word order follows the ‘form of a thought’ in the mind of the writer.”

“Do we have an example of subjective syntax?”

“If you were a real person we would have had an example at that spot where I left the room and you began to compose on the computer. We saw, however, that what you wrote was really from the dramatic viewpoint because I was putting words into your mouth, into the mouth of an invented persona. However, since I am a real person . . . ”

“Don’t rub it in,” Fred says bitterly.

“ . . . an example of subjective syntax would be, ‘I, the Author, am quite proud of my invented character Fred Foyle.’ ”

“Aw, shucks,” Fred says, a blush staining his pale features.

“And that’s exactly what I have against Fred,” Nan Deditter says.

Both Fred and the Author jump.

“Oh, my soul!” Fred says, panting and holding a hand to his thin chest, as though to keep the heart from bursting through his shirt.

The Author composes himself. “What soul, Fred?” he asks. Then, “We didn’t hear you come in, Nan. Have a seat. What are you talking about?”

“I’m talking about Fred Foyle and why I would have liked you to get rid of him.” Nan Deditter touches her hair and sits down on the Lazyboy. “Your strategy in this book is certainly unusual and imaginative, setting up the whole book as a dialogue between yourself as author and a fictional character, Fred Foyle. However, I don’t believe it has precisely the effect you intended. Despite his many charms as a character, and despite the originality of your concept, I don’t think Fred’s helping the book.”

The look on Fred's face is heartrending, the Author thinks. To Nan he says, "What on earth are you talking about?"

"One problem with this strategy," Nan replies, "is that you end up talking, in effect, to yourself: to your own personal straight man, your ventriloquist's dummy whom you can make understand, applaud, be impressed, or be satisfied with explanations, whenever you find it convenient he should."

"Let me get this straight, Nan," the Author says, leaning forward. "In other words, you object that Fred is a 'fictional character,' yet what I am supposed to do in this book is teach novice writers how to manipulate their fictional characters, invent speeches for them, make characters do what the novices want them to do—in other words, you chastise me for doing what you want me to teach my students to do! Is that right?"

"Fred does nothing to curb an inclination to 'point and move' style: as soon as he says everything's clear, you move on . . . and he says it's clear whenever you want him to."

"So what? If Fred weren't in the book, I'd still move on when I figure I've made something clear."

"As long as you're talking to Fred, a character who simply wants to *understand*, you're *not* talking to the reader, who needs not only to understand, but to *do*. The reader needs, not only to intellectually *learn* the principles discussed by you and embodied in the examples, but also needs to be able to *apply* those principles independently in his or her own fiction. Fred Foyle has no such needs."

"I object!" Fred cries, jumping to his feet. "I'm as ambitious as anybody. I wouldn't be asking these questions if I didn't want to be a writer myself. I say so right from the beginning. I'd like to be the Author—in fact, I *am* the Author, as you yourself point out!"

Nan ignores him, but she continues to talk about Fred as though he were a real third person, distinct from the Author. "His strongest interest is apparently purposeless curiosity," she continues, "as contrasted with a reader's urgent need for guidance in something he's already engaged in doing: that is, writing fiction. So Fred is meaningfully unlike the reader to whom the book is addressed and therefore does not serve well as a surro-

gate for him. I'm afraid, at some points, the happy cross-chat with Fred will leave the reader unsatisfied, feeling he's merely overhearing talk that's not really directed to him or his concerns, lacking the guidance for which he bought the book in the first place."

"Hold it right there, Nan!" the Author cries, pawing through his correspondence. "Here it is!" he cries triumphantly. "Let me read you something you wrote to me. One of the subjects you want me to cover is, and I quote, 'Using dialogue (as opposed to summary narration) to increase immediacy and the readers' feeling that events are moving quickly right before their eyes. The increased reader involvement of "eavesdropping" on dialogue rather than passively having the author tell you, the reader, about something, all tidy and predigested. Keeping an effective balance between dialogue and other storytelling elements.' You can't have it both ways," the Author points out. "If my talking with Fred doesn't give the reader a sense that he or she is eavesdropping on a conversation rather than reading a schoolbook, then what *does* it do?" He pauses. Nan says nothing.

"I'll tell you what, Nan," the Author continues, "I'll write an Introduction in which I'll answer some of these questions. Will that help?"

Nan pays no attention. She is either so absorbed in what she is saying that she doesn't hear the Author, or she is not physically present in the room to hear him. "It's really crucial that this book be solid, reader-friendly, reader-conscious how to, not academic overview, as it sometimes now seems. Assuming that's not the impression you wanted to make, can I persuade you to reconsider using Fred and talk to the reader instead?"

The Author thinks for a moment. Fred is beyond thinking. He simply sits at the Author's trestle desk with his mouth hanging open and his eyes faintly crossed, glazed over. At last the Author leans forward to reply.

"Nan, I am the son of a Baptist minister. I had to go to church every Sunday and be preached at. I didn't like it. As a teacher, I don't like books that preach at me, telling me *how* I'm supposed to do things. I am committed, however, to teaching my students *how* they can approach writing, and I give them all

a range of techniques that are to be found in most fiction, though not every piece of fiction (or poetry or drama) will use all those techniques. I try to invent strategies that will *engross* my students.” He pauses to shake his head in a rueful manner. “Forgive me if I’m obtuse,” the Author resumes, “but I can imagine no strategy more likely to succeed in teaching dialogue writing than writing a whole book in dialogue form. I’m sorry, I realize your argument is sincere, but I don’t believe you’re correct. I’m going to keep Fred.”

Fred turns but, quick (and grateful) as he is, he is not fast enough to catch Nan Deditter’s departure. “My God!” he says, “that was awful! What was it all about?” He is even paler than usual.

“It was all about *subjective syntax*, personal opinion.”

“Was she really here?”

“In the flesh, no; in her words, yes. That’s just how she feels about you, Fred. I invented nothing. Those were all quotes. Did you notice how the word order of each of her written sentences expressed *as exactly as possible* the train of thought going through her mind? That is subjective syntax.”

Syntax 2: objective word order

Having recovered to a degree, Fred asks, “How about the second kind?”

“With regard to *objective syntax*, word order follows a ‘form of action’ in the world at large. We must have some examples of that kind of syntax somewhere. Let’s see—” the Author riffles through the completed portion of the manuscript. “You know,” he says, “there really isn’t much here. Almost everything so far is dialogue. Oh . . .” he says, “I think I’ve got one. Yes, it’s from the opening of the Horace story: ‘Horace lay in his bed and listened to the attendant shambling up the hall with the lunch cart.’”

“So objective word order in effect just lays out an action on the page?”

“Exactly. Here’s another example, from the first paragraph of ‘Hardware,’ a short story by Lester Goldberg, told from the

character-oriented, first-person, objective, single-angle view-point”:

The football spiraled into the sky. I cut right, leaped and grabbed it, tucked it in, ran a few steps, then turned toward my father and lobbed the ball back. Cut right, cut left: he threw pass after pass. As the sun dropped behind the factory roof and the Pyrene Fire Extinguisher sign glowed red, we knew my mother could see it from our apartment and it was time to head for home. On the way, walking a few feet apart, I still had to be alert—my father might pop the football at me underhanded.

“Question,” Fred says. “Do you remember that passage you quoted from Christopher Isherwood’s novel *The Memorial*?”

“Yes, of course.”

“Well, there was a section that read like this: ‘And then she’d ask him about the office and whether the work was very hard and how he liked it. And he began to tell her, carefully and seriously, suddenly breaking off . . .’ ”

“What about it?” the Author asks.

“Those sentences are *describing dialogue*. Is that objective syntax?”

“Absolutely. In fact, if I had kept going with the paragraph from Lester Goldberg’s story, we’d have seen some of it:

He told me about his dream backfield: Marshall Goldberg, Pittsburgh Panthers, halfback; Sid Luckman, Chicago Bears, quarterback; Paul Robeson, Rutgers, fullback. Two Jews and a Negro.

“A person speaking is performing an action, and the description of a speech is bound to be written in objective word order.”

“Then what syntax is used in speech itself?” Fred asks.

Syntax 3: dramatic word order

“The third type of syntax discussed by Davie is *dramatic syntax*: word order follows the ‘form of thought’ in the mind of a persona invented by the writer.”

“I don’t suppose we need to provide too many examples of

that sort of syntax,” Fred notes. “We have tons of that – almost everything in this book, I suppose.”

Diction 3: levels of diction

“Right. Now, Fred, I suppose you’ve noticed that these kinds of syntax have parallels with the ‘voices’ we discussed much earlier – subjective, objective, and dramatic voices. There are other parallels as well – with *diction*.

“In contemporary speech, this sentence would be an example of normal word order: ‘A thought of grief came to me alone.’ The subject comes first, then the predicate: ‘A thought . . . came . . . to me . . .’ In line four of stanza three from Wordsworth’s ‘Ode: Intimations of Immortality. . . ,’ this normal syntax is changed: ‘To me alone there came a thought of grief.’ The two sentences say exactly the same thing, but they *sound* different because of the syntax, which has transformed the *level* of diction in the line. The tone of the second version has been ‘elevated.’ ”

“Is there a one-to-one relationship between syntax and diction?” Fred asks.

“No,” the Author replies, shaking his head, “but they are related. They depend on one another, but they are not the same thing. Syntax is concerned with the *form* of the sentence; diction has to do with its *tone* and *style*. The level of diction of a truck driver is usually different from that of an archbishop.” The Author pauses a moment and looks down at the pile of books scattered on the attic floor behind him. He returns his gaze to the monitor and commences typing again.

Tone and style 1: high, mean, low

“An archbishop speaks in an elevated ‘style,’ a truck driver, perhaps, in an idiomatic or slangy style. These styles are dependent on the levels of diction in which the individuals choose to speak.

“For instance, a truck driver in a play or story could not say, ‘To me alone there came a thought of grief’ because the sentence wouldn’t be in character; the level of diction is lofty, not vernacular. To be believable as a character, the truck driver

would have to say something like, ‘A sad thought came to me by my lonesome.’ The level of diction would then be in keeping with the character, and the sentence would be an example of *base* style rather than *mean* or *high* style.”

“Give me another example of base style,” Fred says.

“Okay, take this sentence from one of my pieces titled, ‘The Bo’sun’s Story’: ‘I’d went upstairs early that night when my pa come home drunk,’ That’s base style and a low level of diction. A more ordinary level would have been, ‘I’d gone upstairs early that night when my father came home drunk.’ But the bo’sun can’t say that, because it would have been out of character. He’s a career sailor aboard a Navy ship. He’s had little education. A yeoman or clerk, who might have more education, could have said it the second way.”

“I see,” Fred says. “So if an older, well-educated woman character were written into the script of our hypothetical play, she might have said, ‘A thought of grief came to me alone,’ right?”

“Exactly. That would be mean style. The archbishop might say, ‘To me alone there came a thought of grief – high style and elevated diction. Only in this last version, however, is the word order, the syntax, out of normal order. It is ‘artificial’ syntax and it does not seem ‘natural,’ but it is perfectly good English.

“Let me recapitulate. There are three voices: subjective, objective, and dramatic; there are three persons and two numbers of voice: first-, second-, and third-person speakers, and singular and plural numbers. This table will help us see who is speaking and what they are speaking about:

Voice:	Subjective	Objective	Dramatic
Person:			
First	I	I, we	I
Second		you, you (pl.)	
Third		he, she, it, they	

“So all these things depend on each other when you’re writing dialogue.”

“Yes. Take for instance these sentences in a short play I wrote titled ‘Barrow Yard,’ which I later turned into a short story titled ‘The Yeoman’s Story’ – they’re spoken by a tramp: ‘. . . and yet you know damn well there ain’t a friggin’ thing we could’ve done. He saw our fire, and *bing!* there he was, standing in the firelight.’ In ‘The Yeoman’s Story’ the tramp becomes Duke, who is a better-educated person with a middle-class background. The equivalent sentences read, ‘“You know damn well there’s not a thing we could’ve done about it,” Duke said. “He saw our fire, and then there he was, standing in the light.” ’ ’ ”

“Aren’t we talking about characterization again? How dialogue characterizes the person speaking?”

“That’s very largely what diction is about – identifying the persona and his or her traits, including the main personality trait on which much of the story will depend for its plot and the motivations of its characters.”

Fred Foyle stands beside the Author with his head turned slightly. He is looking down at a pile of books on the floor. “What are all these for?” he asks. “I saw you bring them in yesterday.”

“They’re full of examples,” the Author replies, his fingers nimble upon the keyboard. “Take this one, for example.” He stops typing to reach down and pick up one of the volumes. “It’s a short novel, *Of Mice and Men*, by John Steinbeck. It’s about two men, Lennie and George. Lennie is a big man who is retarded. George is his friend, his keeper in effect, whose purpose in this relationship is to keep Lennie out of trouble. This is some dialogue from early in the story”:

Lennie looked timidly over to him. “George?”

“Yeah, what ya want?”

“Where we goin’, George?”

The little man jerked down the brim of his hat and scowled over at Lennie. “So you forgot that awready, did you? I gotta tell you again, do I? Jesus Christ, you’re a crazy bastard!”

“I forgot,” Lennie said softly. “I tried not to forget. Honest to God I did, George.”

“O.K. – O.K. I’ll tell ya again. I ain’t got nothing to do. Might

jus' as well spen' all my time tellin' you things and then you forget 'em, and I tell you again."

"Tried and tried," said Lennie, "but it didn't do no good. I remember about the rabbits, George."

"There's more than just characterization there," Fred says.

"Okay, then. Analyze the passage for me. What's in it?"

"Well, first," Fred points out, "the relationship between the men is established."

"Which one is dominant?"

"The little man, George. He's in charge."

"What's their station in life?"

"That's easy," Fred says. "Both men are without an education. You can tell that by the base level of diction both use. But you get the impression that George is a good deal smarter than Lennie."

"How so?"

"Lennie can't concentrate. Not only does he *say* he forgets, but his mind wanders even as the two men are talking. Lennie gets hung up on remembering some incident in the past involving rabbits while George is telling him — *again* — where they're supposed to be going."

"Is Lennie crazy, as George states?"

"No, you get the impression that's the wrong word, that George doesn't know the right word — 'retarded' — so he uses the approximate synonym, 'crazy.'"

"Where are they?"

"On the road. They're wanderers, bums probably."

"And what," the Author asks, "do you think of the form of the dialogue?"

"You mean the way Steinbeck imitates the diction and syntax the two men use by phonetically spelling their pronunciations of words?"

"That, and the syntax of their sentences."

"I think it takes some getting used to."

"Is it justified?" the Author asks.

Fred Foyle sits on the edge of the Lazyboy and puts his

chin into his left hand, resting the elbow on his knee. "That's hard to say," he says, finally, looking up.

"Tell you what." The Author turns back to his keyboard and monitor. "Let's take that whole passage and change its level of diction and the syntax of its sentences and see if it makes any difference":

Lennie looked timidly over to him. "George?"

"Yes, what do you want?"

"Where are we going, George?"

The little man jerked down the brim of his hat and scowled over at Lennie. "So you've forgotten that already, have you? I have to tell you again, do I? Good grief, you're a madman!"

"I've forgotten," Lennie said softly. "I tried not to forget. Truly I did, George."

"All right—all right. I'll remind you. I've nothing better to do. I might as well spend all my time explaining things to you so that you can forget them and I can tell you again."

"I've tried and tried," said Lennie, "but it's done little good. I remember what happened to the rabbits, George."

"What a difference!" Fred says. "The whole passage is transformed. They're two other people."

"So Steinbeck was justified in doing what he did with syntax and level of diction?"

"Absolutely."

"Would you try it yourself in a story you wrote?"

Fred looks dubious. "I'm not sure I could pull it off," he says. "While the diction of both Lennie and George is on the same level, for instance, the characters are totally different. I'm not sure I could pull that off," he says again.

Dialect 2

The Author nods. "It's hard. You have to be so confident of your characters and of your level of diction—even of the dialect you're using—that it's easy to let your personas slip out of character."

“What do you recommend, then?”

“Hold on, Fred,” the Author says, a fleeting look of annoyance passing over his features. “Let’s do this by example. Do you remember that passage we used earlier on from John O’Hara’s short novel *Appointment in Samara*?”

Fred thumbs back through the manuscript pages lying in their folder on the open top drawer of the two-drawer wooden file. “Sure. Here it is right here—”

“All I want is one section of it: ‘What’s the mattah, honey sugah lamb pie, what’s the mattah you all?’ What’s that supposed to be?”

“Southern dialect,” Fred says without hesitation.

“Not exactly.”

Fred peers more closely at the monitor. “Oh, I see what you mean. It’s mock-Southern dialect.”

“Do you suppose O’Hara was a Southerner?”

“I doubt it.”

“Why?”

“Why would a Southerner make fun of his own dialect?”

“Indeed, why would he?” the Author asks archly. “O’Hara was a Pennsylvanian. But there’s another reason a Southerner wouldn’t normally use ‘Southern dialect.’ ”

“What’s that?” Fred asks.

“Because a Southerner doesn’t *hear* his own dialect. Only someone from another area of the country actually hears it. If you’re from Maine, you speak like a Mainer; if you’re from Brooklyn, you speak like a Brooklynite. The only way you’re going to be conscious of your dialect is if you can somehow get *outside* your dialect and hear it as an observer would hear it. Here’s an example,” the Author says, picking up another book, “from *Look Homeward, Angel* by Thomas Wolfe, a Southern writer”:

“You little freak—wandering around with your queer dopey face. You’re a regular little Pentland—you funny little freak, you. Everybody’s laughing at you. Don’t you know that? Don’t you? We’re going to dress you up as a girl, and let you go around like that. You haven’t got a drop of Gant blood in you—papa’s practically said as much—

you're Greeley all over again; you're queer. Pentland queerness sticking out all over you."

Fred Foyle looks startled. "Why, there's nothing at all there to show that it's spoken by a Southerner!"

"Just so. The local PBS radio station here in town has a slogan: 'The pictures are better in the theater of the mind.' That goes for speech, too: 'the dialogue is better in the theater of the mind.' Everyone – Southerner, Northerner, Westerner, Cajun, Navaho – is going to read those words silently and hear them in his or her own version of 'Southern dialect.' "

Slang 1

"In other words," Fred says, "you're telling me that a writer ought to leave well enough alone." He pauses to mull that thought over. "In that case, why did Steinbeck give a sort of 'slang' dialect (if I can put it that way) to Lennie and George?"

"Because," the Author says patiently, "bums probably aren't going to be reading his book. People who are literate are going to read it, so both Steinbeck and his readers are looking at the world of the characters in *Of Mice and Men* from the outside, not the inside. They are observers. They are reading a book that is not a product of their own class, or their own region, or their own dialect."

"But what if somebody wants to write a whole story in a dialect. Can it be done decently?"

"Steinbeck did it, but I think it's time for another whole story," the Author says.

"One of your own?"

"Yes."

"Nan Deditter won't like it."

"Well, we got yeoman service out of 'Savants,' and I think it's important to have one or two complete examples in a book. I'll risk Nan's displeasure."

SCOT ON THE ROCKS

Forrest MacFarlane, the only Scottish ghost on the planetoid Ergos, was in a fever of excitement. At the initial clamor of his spaceship detection unit—a homemade model he'd tinkered together some years before he'd died—he had levitated upstairs and hurried to the big window in the hall of MacFarlane Manor. Now he stood squinting intently into the stars. He licked his somewhat vaguely defined lips as his eyes darted about trying to single out a light that moved in the night sky.

Across the valley MacFarlane could make out, with his sharp old Celtic eyes, his archenemy and sole neighbor Roger Holmesby standing on the balcony of Holmesby House. The tall figure of the British phantom stood limned in its own excited, ectoplasmic aura. He, too, was gazing upward. Evidently Holmesby felt his ears turning red, for he turned and peered across at the Manor for a moment or so. Then, with a leer (MacFarlane felt certain) Holmesby raised a tenuous fist and shook it at the Scot. MacFarlane snorted and began again to search among the stars.

The enmity between MacFarlane and Holmesby dated from the day when, seventy-seven years past, Holmesby had wrecked their explorer-craft on Ergos and marooned them hopelessly on this tiny clod in the center of the Milky Way. The wreck had been accidental, but its cause had not—Holmesby liked to drink, even on watch, and sometimes he drank too much. As a matter of fact, if MacFarlane hadn't awakened from his sleep and hauled Holmesby's drunken carcass off the controls just before the ship was about to plummet into Ergos, they might have become wraiths immediately upon their arrival. As it happened, though, the pair had spent years on the planetoid in the corporeal state before natural death had overtaken them both.

Since the day of the disaster MacFarlane had hated the Englishman with all the single-minded concentration a Scotsman can bring to bear upon a fellow son of the glorious Isles. Holmesby was Cockney English through and through but, as the Scot took every opportunity to remind him, a relative latecomer whose bloodline dated certainly no farther back than the Jutes.

At last MacFarlane thought he discerned something moving in the sky. His gaze settled upon a single point of brilliance that seemed a bit redder than most of the others, an iota larger than it had been a few seconds earlier. Was it . . . could he dare hope? "Con it be true?" he murmured in his thick burr. "It is, thonk God! A rocket!" A rocket. "Ofter all these years," MacFarlane sobbed. "Solvation fro' this miserable oxistence!"

It had, indeed, been miserable, for not only had he to put up with Holmesby, he had also to live on Ergos which was very small. Its

circumference was a mere twenty-five hundred miles, but its density was rather great, so it had a gravity comparable with that of Earth. Its atmosphere was breathable, it had fertile soil, plenty of water—in short, it was entirely livable. From MacFarlane's viewpoint, however, there was something intolerably wrong with Ergos—it looked a lot like England.

In all the planet there was not a true highland to be seen, not an upland stream nor a glen where MacFarlane could seclude himself and dream of the Auld Land; not a stone, not a blade of grass that looked the least like Scotland. There was nothing but a bunch of blasted moors and this valley with its river that looked like the Wye. MacFarlane could only wait—his ectoplasm turning a pallid grey with yearning—and hope that someday a rocket from home would somehow come to carry his shade away from this heavenly Hell.

In the first years it had not been so bad. There had been the house to build, crops to discover and grow, the countryside to explore. Eventually, though, the novelty of the situation had worn off, and MacFarlane had been left with only the prospect of death as a release from his prison, for when MacFarlane had died he had been horrified to discover a scientific principle—one of many such principles, he often bitterly reflected, as no mortal could possibly divine: a ghost may not travel through a vacuum without dissipating its ectoplasm throughout that vacuum. Since all ectoplasm is sentient, any attempt to levitate to Earth would mean scattering one's mind all over the universe. The task of pulling oneself together under such circumstances, of course, would prove Herculean, if not totally impossible. MacFarlane had therefore abandoned himself to hating Holmesby.

Holmesby had died first. Perhaps, if Holmesby hadn't decided to haunt MacFarlane in lieu of something better to do, the Scotsman wouldn't have hated him quite so intensely. At any rate, Forrest would have hated the Englishman quite a bit, for in life Holmesby had been a churlish sort of Cockney oaf, but as a shade he began to affect a monocle and the airs of the English country gentry. Worse, he began to refer to himself as "Colonel" Holmesby. He had, in fact, never risen higher in the Space Service than Pilot Second Class, and it had been MacFarlane who was in command of their explorercraft.

These thoughts raced through Forrest MacFarlane's mind in chaotic fashion as he watched the slowly brightening light in the heavens. At last he tore his eyes away and looked again across the valley towards Holmesby House—it was just a trifle bigger than MacFarlane Manor. It stood on a hillock that was infinitesimally higher than the Scot's, and it was surrounded by trees that were slightly taller and more imposing than those that encircled its counterpart across the valley.

As MacFarlane stood quietly in the breeze that fluttered like an old rag about the house, a wave of rage welled from some floodgate deep within him and coursed along the paths his blood had once taken. "Curse him!" MacFarlane muttered. "O' course, the black knave will

attempt to thwart me in my efforts to escape. But he shal na' do it!" Again he mumbled a bleak epithet beneath his nebulous breath and turned once more to the stars.

But he could not concentrate on the approaching rocket. MacFarlane could think only of how much he wanted to leave. And he had to admit that it was probable Holmesby had an equal desire to leave Ergos, but that wasn't the worst of it—only one of them could go. The reason for this situation lay in another of the scientific principles that governed the behavior of ectoplasm. (*Curse scientific principles*, MacFarlane caught himself thinking as he remembered the words—the fateful words of old Prof. MacDogall, late of Jupiter College, Edinburgh—"The thing we must all remember about basic principles is that they are so widely applicable.") Though made of the essence of void, ectoplasm reacts to various stimuli and shows many of the properties of a liquid. In the presence of living flesh, ectoplasm displays a tremendous affinity for other masses of ectoplasm.

Thus, if both Holmesby and MacFarlane attempted to stow away on the approaching space ship, the living human beings aboard would act as catalyst, and the two phantoms would merge to form one ghostly (MacFarlane thought, *ghastly!*) entity, a prospect neither of the specters could bear even to think about. MacFarlane shuddered. "'Tis a thing that must never come to pass! I must get aboard th' ship forst! Holmesby will *neverrr* dare to follow. I *must* be the forst aboard," the Scotsman whispered prayerfully.

MacFarlane finally refocused his eyes on the rocket and gave it his entire attention. By this time the pinpoint of flame had become very large. A few ragged clouds frothed across his view now and then, making it difficult for him to determine the direction the ship was taking. After a few more minutes of observation, however, he decided that the rocket was approaching the planet directly rather than obliquely. The captain of the ship had evidently decided that the small size of the planet made reconnaissance orbiting too difficult.

As MacFarlane stood on his balcony making mental calculations regarding the ship's landing point he was suddenly startled by a cough behind him. Turning, he saw Holmesby standing in the hallway.

"Good evening Forrest," Holmesby said.

Standing close beside one another, the ghosts presented quite a contrast. The Englishman was tall and broad-shouldered, attired in evening dress. His monocle twinkled in the starlight, and his walking stick dangled in a debonaire manner from his left arm, but there was something about the set of his chin, and those shifting eyes . . .

On the other hand, Forrest MacFarlane was short and thin. Much smaller than Holmesby, the Scot could nonetheless draw himself up with such dignity when aroused that he would appear to be at least the equal of an Englishman. Add to this fact the flashing blue eyes, the stern set of his mouth, the jaunty tartan kilt, and one had a man who . . . well, a *man*.

MacFarlane eyed Roger Holmesby coldly for a moment then went back to watching the ship.

"I said, good evening, MacFarlane. Haven't you the common decency to answer a gentleman?"

MacFarlane whirled about, his tartan plaid kaleidoscoping in the starlight. "Aye, I have . . . for a gentleman. But not for ye! Yon ship gies me the long-sought, final nay to all yere gab. So, away wi' ye, and dinna come back."

Holmesby laughed and looked at the sky. Then he cackled again. The rickety old house creaked in the wind. MacFarlane was silhouetted in the soft glow of an intense Milky Way, glaring at Holmesby. The Englishman, a mere shadow of himself, sank deep into the tongues of blackness that curled out of the hallway.

"Then 'tis a fight, Holmesby?"

"If you're foolish enough to fight, it is."

"Then on yere way," MacFarlane roared.

The Englishman turned as though to leave, and MacFarlane looked away from him just as the ship came roaring down into the valley. The Scotsman was too excited to remember that one should never turn one's back on Roger Holmesby.

Captain Emilio DeQuinta took the various test reports as they were handed to him and tabulated their results. Seventy-five minutes of calculation proved conclusively that the planet on which they had landed was habitable in every sense of the word. He yawned, stretched, and was about to go to his compartment until morning which, he reckoned, would be in about seven hours.

The crew of the Starship Explorer Orion, which formed the laboratories and intergalactic home of one hundred members of the Bureau of Extragalactic Surveys, had been working hard during the nine hours of approach. Now that they had landed on Ergos, they could bide their time and explore at leisure. A few hours of rest would be, if not absolutely necessary, at least advisable. Captain DeQuinta took a few steps towards the door when, suddenly, it opened and Briggley, the steward, saluted and handed him a note.

DeQuinta took the paper and read it. His eyes widened. He read it again, then he stuffed it into his pocket and started hurriedly up towards the cabin deck where the observatory was located. As he was passing the Zoological Lab, Doug Douglas, the young zoologist, hailed him.

"Where to, Captain?" the young man asked and smiled. Douglas was medium-sized, lithe, and sandy-headed. His face was broad and red, very smooth except around the eyes where creases of amusement were noticeable. It was imperative that every space ship have at least one of these bright, imperturbably optimistic young men aboard, for obvious reasons.

The Captain paused. He glanced at Douglas and said, "I'm off to inspect a bit of real estate."

"Real estate? Can you wait just a moment, Captain, while I encapsulate an animal that's just died? That is, if you don't mind my tagging along."

"Okay," DeQuinta answered, "but hurry it up."

"Be just a sec, sir." Douglas slipped the body of a white rat into a small plastic container, attached a nozzle on its cover to a vacuum pump, and pressed a button. The pump drew all the air out of the container, a valve snapped shut, and Douglas took off the hose. He set the preserved rat on a table and turned to accompany DeQuinta. "I'll label it when I get back," the young man said as he fell into step. "Sure wish we had the facilities to carry out all the mortality tests necessary on our own animals." He had to walk fast to keep up with DeQuinta. "What's all this about real estate?"

"Parnassey up in the observatory says she's discovered a couple of houses sitting on some hills right above us. We're going up to take a look."

"Houses! On an uncharted planet? What kind of houses?"

"You know as much as I do. Here we are." The two men reached the cabin deck and went down the passageway to the observatory. Lois Parnassey was standing, petite and pretty even in coveralls, at the great quartz semidome, looking out over the dimly lighted landscape.

As the men approached she turned and glanced at them. Douglas winked at her. She looked down her nose at him, but she blushed. They joined her at the semidome, and she pointed out the houses to them. "See, there they are," she said, "one on that hill, and the other is across the way. You can just make out their silhouettes against the stars."

Douglas permitted himself one more admiring glance before he turned to look out of the semidome. But when he finally caught sight of Holmesby House and MacFarlane Manor he forgot Lois, hard as that was to do. Even in the uncertain light he could see that the former was a Victorian-style dwelling, and the latter was of the rustic type of structure he had seen in out-of-the-way sections of the Scotland he had visited with his parents when he was a child. He'd never forget those homes. It was almost as though their memories were blood memories.

After several minutes of observation Douglas, with all the enthusiasm he could muster, turned to the Captain. "Sir," he said, "why couldn't I go out and look things over? Parnassey could accompany me, and we'd be quite safe. We'd be within hailing distance."

DeQuinta was about to say no, but he was curious also. He would have liked to go, but regulations prevented for the moment. He hesitated, then he said, "All right, but be careful. Our detectors have found no traces of large living things or toxic substances in the atmosphere, so it's probably safe. But check with the ship regularly. We'll be standing by."

Douglas saluted and broke away. He beckoned to Lois who was staring at him. "Thank you, sir," he mumbled. He grabbed Lois's hand and began pulling her along behind him. Finally she began to hurry,

too, and the clatter of their footsteps echoed through the cabin deck as they receded into the center of the ship.

By the time they were ready to leave word had spread, and the rest of the crew clustered around them talking excitedly. DeQuinta gave a sign. Someone threw the toggle switch that opened the airlock doors — with the first gust that swept into the ship Holmesby entered, sucked in on the wave of fresh air. No one saw him, and if anyone heard his smug laughter, it went unnoticed in the general babble.

“Now we shall see,” Holmesby chortled, his invisible face screwing itself into the caricature of a grin. He settled himself in the Captain’s chair in the pilot house, lit a cigar he had dreamed into pseudo-existence, and relaxed contentedly. The first stage of his homeward journey had commenced — and the hardest stage at that.

While Holmesby was enjoying his smokeless smoke, Douglas and Parnassey proceeded across the valley towards the abode of Forrest MacFarlane, originally of Scotland, late of the planet Ergos, and likely to remain. The grass was lush, the valley was peaceful, and the stars cast a goodly bit of light through a clear sky. The young man and woman speculated on the houses and, finally reaching MacFarlane Manor, they examined the structure carefully, taking samples of the wood and stone from which it was fashioned; gathering specimens of dust, dirt, and even some of the insects that crawled and flew hither and yon. It was not until they opened the warped door and peered into the musty hall that MacFarlane’s lurid speech could be heard.

Douglas stared into the interior of the house. Lois started backward — their surprise was complete, for the shipboard instruments had definitely indicated the absence of any but the lowest forms of life. The zoologist glanced at his colleague and said, “You stay here. I’ll be right back.” He started forward.

“Doug . . . !” Lois started to call, but it was too late. Douglas was already inside.

It was in the closet on the right-hand side of the front stairs that he found MacFarlane bound tightly in a heaving mass of cobweb filaments. Douglas didn’t even need a flashlight for MacFarlane was glowing a furious crimson as he shouted and struggled to be free.

“Weel, dinna stond there lookin’ like the onintelligent gowk ye no doobt be!” roared the phantom, beside himself. Douglas stepped backwards, his incredulous eyes reflecting MacFarlane’s intense light. “Ond dinna run awa’, for I willna do ye harm. Free me, if you will, young mon. . . .” His glow faded to magenta as he looked up at Douglas.

It took a good deal of courage to do what Douglas did then. But, of course, to be a spaceman one must have courage and clean habits. Douglas drew his knife and cut the cobwebs binding the Scottish shade, muttering, as he did so, “What the heck are you?”

“I, sor, om a Scotsman!” shouted MacFarlane, leaping free. “I nicht odd, I om also a gentlemon ghost, marooned here some seventy-

odd years ago. 'Tis long and long I've waited to see ye, laddie, and forgie me for shoutin' at ye the way I did."

Douglas, seeing that MacFarlane was standing away and evidently meant him no harm, shouted aloud, "It's all right, Lois! I'll be out in a few minutes. Keep a watch out there, and don't alarm the ship." Then, still looking at the ghost, he shook his head and said, "How do you do, Mr. MacFarlane. I am Lieutenant Douglas of the Starship Explorer Orion."

A spaceman sees some strange things, so he gets used to accepting the unbelievable, and gradually Douglas was coming to realize that the Scotsman was not a figment, that he existed — or at least *had* existed at one time. Soon the young man was learning the why and wherefore of MacFarlane's presence, about the marooning of the two spacemen, and about Holmesby who had, by this time, undoubtedly made good his triumph over the Gaelic shade.

"Yes, lod, I'm sore afraid thot despicable English banshee is now resting securely aboard your ship. Ond how am I to oust him? I canna tell."

"But," asked Douglas, "why can't you both embark aboard the Orion? You wouldn't take up any room at all, you know." MacFarlane knew that Douglas, as a scientist, would understand about general principles, so he explained the properties and propensities of ectoplasm in the presence of a catalyst. "It does look pretty hopeless, Mac," Doug agreed. By this time he was in complete accord with MacFarlane, for, although he was not a native Scot, Doug's sense of fair play and MacFarlane's description of Holmesby and his tactics had biased him. "I wish I could help," he said, "but zoologists don't have much to do with ectoplasm."

MacFarlane heard Doug say "ectoplasm" and "zoologist," and the idea hit him. It was so simple, and so beautiful, that he couldn't make words pass his writhing lips for a moment. "Ah, but wait, lad!" MacFarlane finally croaked, his eyes burning like arc lamps. "Ye say ye're the ship's zoologist? Do you still bottle up the wee dead beasties as we used to do?" Doug nodded. "Hark, perhaps I have a wee plan."

"Can I let my partner in on it?" Douglas asked. He could hear Lois outdoors making fretful, impatient noises.

"Are you all right?" she called.

MacFarlane nodded, reluctantly. "Come ye richt bock now," he said.

When finally Lois and Doug emerged from MacFarlane Manor it was with smiles. They said nothing and began walking to the ship. Slowly, not too obviously, Doug put his arm around her to help her over the rough places. MacFarlane was right behind them, and he missed nothing.

Forrest MacFarlane waited outside for a while. He waited until a noise, a horrified scream so high in pitch that only he could hear it, resounded through the valley. A moment later he gratefully watched the shade of Roger Holmesby emerge from the lock of the ship like a

purple streak, pursued by a long and wavering line of ectoplasmic bodies: the shades of all the white mice, hamsters, and rabbits which had given up their lives courageously for science during the course of the Orion's voyage, and which now sought to merge with the insubstantial substance which was Holmesby. For, at MacFarlane's suggestion, Douglas had momentarily opened the vacuum-sealed plastic sarcophagi in which the animals had been placed immediately after their giving up the ghost. Now, they were leaving the ship, hot on Holmesby's streaking trail.

Several days later, when the scientists aboard the Orion had satisfied their curiosity regarding the planet Ergos and had marked it for colonization, the starship blasted off. A jubilant Scottish phantom watched the disembodied fists of Roger Holmesby, vibrating madly from the balcony of Holmesby House, diminish swiftly into the distance.

"Dinna worry, ye grommetable Britisher! Another ship will be along . . . in a coople o' years." Forrest MacFarlane chuckled and settled himself comfortably into a chair in the observatory. "Ond I hope ye enjoy th' company o' yere new neighbors meantime," he murmured sleepily. The sound of the rocket motors was like a lullaby. MacFarlane of Ergos had nothing to do now but wait.

Dialect 3: standard American

"Whatta ya know," Fred says, "a science-fiction ghost story! I take it that, among other things, it's supposed to illustrate genre writing. Am I right?"

"That, and some of the dangers and problems associated with writing dialect. It's usually pretty hard to get away with. Generally speaking, it's best to stay away from dialect and go with straight standard American diction."

"Standard American?"

"Yes, as distinguished from regional American dialects."

"You mean 'standard' like the dialogue of the young couple in the story, Douglas and Parnassey?"

"And Captain DeQuinta."

"I hate ghost stories," Fred mutters, curling his lip and shoving his forelock back into position with an offhand flip of his fingers.

"Why?"

"They're so . . .," Fred hunts for the word. "Insubstantial," he says finally.

“No more than you are,” the Author retorts. Fred does not reply. He lets his head and his hair hang down while he sits with his thin elbows on his bare knees—he is wearing shorts and a tee shirt. “Sorry, Fred, that was uncalled-for.”

“But I see what you mean about dialogue written in dialect,” Fred Foyle says leaning back in his chair.

“Don’t you think it works?” the Author asks.

“I guess you get away with it because the story is humorous,” Fred replies, “but I don’t think you would have in a serious story. I notice you didn’t try to do a real British dialect with Holmesby.”

“No, you’re right. There I just used a slightly elevated level of diction to imply his snootiness.”

“Whatever made you attempt such a thing in the first place?” Fred sits back in the Lazyboy, sticks the little finger of his left hand into his ear and jiggles it.

“I wrote the first draft of that story when I was a teenager learning how to write—I was in the Navy at the time, taking a correspondence course in fiction writing. I guess I just didn’t know any better. I wouldn’t have tried it if I’d known a bit more about the hazards of dialect writing.”

“I’ll bet you’d hate to have a real Scotsman read it, eh?” Fred looks up and grins. “Did you ever publish it?”

“In a literary magazine about fifteen years later.” The Author’s eyes go out of focus as he thinks back. “It even won a prize.”

“No kidding?”

The Author can’t tell whether Fred is genuinely impressed or just putting him on.

“Even though ‘MacFarlane of Ergos’ is a plot story, and the characterization is a little thin, I think I like the old Scotsman,” Fred says.

Diction 4: stereotyping

“Maybe ‘thin’ isn’t quite the right word,” the Author replies, frowning a little. “‘Stereotyped’ is maybe more accurate. As a personality, MacFarlane is perhaps the least stereotyped of the

characters in the story, but his language is the *most* stereotyped. What do you like about him particularly?"

"His feistiness," Fred answers immediately, "and his stubbornness. They helped him win out in the end."

"The most important weapon a protagonist has in his or her conflict is character. It's in the performance of deeds that the protagonist's character reveals itself . . ."

"Deeds and speech," Fred interposes. "But isn't it possible for a writer to do a decent job with dialect?"

"How do you like this?" the Author asks:

"Aha!" sez Brer Fox, sezee, "you'r dar, is you?" sezee. "Well I'm gwineteer smoke you out, ef it takes a month. You'er mine dis time," sezee.

Brer Rabbit ain't saying nothing.

"Ain't you comin' down?" sez Brer Fox, sezee.

Brer Rabbit ain't saying nothing.

Then Brer Fox he went out after some wood, he did, and when he came back he heard Brer Rabbit laughing.

"W'at you laughin' at, Brer Rabbit?" sez Brer Fox, sezee.

"Can't tell you, Brer Fox," sez Brer Rabbit, sezee.

"Better tell, Brer Rabbit," sez Brer Fox, sezee.

"'Tain't nuthin' but a box er money somebody done gone an' lef up here in de chink er de chimbly," sez Brer Rabbit, sezee.

"Don't b'leeve you," sez Brer Fox, sezee.

"Look up en see," sez Brer Rabbit, sezee. And when Brer Fox looked up, Brer Rabbit spit his eyes full of tobacco juice, he did, and Brer Fox he made a break for the branch of the stream. Then Brer Rabbit came down and told the ladies good-by.

"How you git 'im off, Brer Rabbit?" sez Miss Meadows, sez she.

"Who? Me?" sez Brer Rabbit, sezee. "W'y I just tuck en tole 'im dat ef he didn't go 'long home en stop playing his pranks on spectubble folks, dat I'd take 'im out and thrash 'im," sezee.

Fred's eyes are as wide as they can get. His jaw is slack and he is making little gurgling noises in the back of his throat. Suddenly, his mouth snaps shut. It's a moment before he can say anything. "What is *that*?" he manages at last. "Is that O'Hara doing mock-Southern dialect again?"

“No way,” the Author types. “That is Joel Chandler Harris, a nineteenth-century American fiction writer, doing dialogue for characters in his *Brer Rabbit* series. They’re considered to be children’s classics.”

“Where was he from?”

“Harris was a native Georgian,” the Author replies.

“I thought you said that only outsiders can truly hear the dialect of their region?”

“That’s not Southern dialect, Fred. Figure it out. What is it?”

Silence. Then, “It’s ‘Negro’ dialect, isn’t it?”

“No. It’s Harris’ stereotypical conception of how plantation blacks spoke in the last century. Harris was almost as much an outsider as any other white person. How do you like it?”

Fred shakes his head so vigorously that his hair falls down all over his face. He shoves it back, gets up, and begins to pace as much as it’s possible to do so in the narrow garret. “That’s a classic? It’s *extremely* annoying,” he says. “I can’t imagine reading a whole story of that, let alone a whole book. Who’s that narrating?”

“Uncle Remus. He’s an old plantation black who’s supposed to be relating Negro folklore through his animal characters.”

“That ‘sezee’ stuff is infuriating,” Fred says. His voice is a growl. He suddenly sits down. “What are you trying to tell me?”

“That what one can ‘get away with’ depends on a number of factors, including the historical period in which one writes, the audience for whom one writes, one’s talent, and so on.”

“No one could get away with writing like that today?”

“No one.”

“But it remains a classic?”

Diction 5: pidgin

The author shrugs. He drops Harris’ book back onto the pile on the floor behind him and picks up another one. “Try this,” he says:

I started to get up but Makino, the cook, grabbed my arm and translated, "She not angry. Only she say very dangerous Fumiko-san walk with Americans."

"She wasn't walking," I cried. "She was sitting here."

"Please!" Makino protested. "I not speak good. Trouble too much."

Now Mike started to join the Takarazuka girls but Makino pleaded with him, "Soon you leave Japan, Mike-san. I got to stay. Please, no trouble." He whisked away the dishes from which Fumiko-san had been eating and Mike and I sat glumly staring at our mess of tempura.

Fred looks thoughtful. "I'm not sure," he says. "That's pidgin English, isn't it?"

"More or less. It's from James Michener's *Sayonara*, published about nine years after the end of World War Two."

"Perhaps he gets away with that," Fred says. "It's not overdone — more a hint of pidgin, I guess, than true pidgin. Is that right?"

Again the Author shrugs. "Some people might consider even that much dialect to be offensive. Here's how James Norman Hall handles a similar problem in *The Far Lands*. It's a book about Pacific islanders, also published after the Second War":

"Mama Ruau, what would he be like — Uri? As a lover, I mean?"

"How should I know?" the old woman muttered, testily.

"What would it matter, what he is like?" another girl said. "I wish he would take me, just once. I would like to boast that I'd been loved by the nephew of Puaka!"

"I've had him," another girl said. The others protested loudly at this assertion, saying that Uri would not even glance at so homely a creature.

"He didn't care about my face; it was my body he wanted; anyway it was at night that he took me."

"Why haven't you told us before?" another asked.

"Because I knew you wouldn't believe me. But he did. Truly he did."

"Is that supposed to be pidgin?" Fred asks.

The Author shrugs—it's getting to be a habit. "It doesn't matter, does it? If the reader wants it to be pidgin, then it is; if he or she wants it to be Maori or another Pacific language, then it is. Actually, it's standard English in rather simple syntactical constructions. Rather than use some sort of dialect, Hall lets the syntax suggest an uncomplicated life-style."

"But Michener was showing the way some Japanese, who are somewhat conversant with English, would sound in comparison with Americans speaking a rather colloquial English."

"That's true. Hall had no contrasting levels of diction in that passage. Here's how he and his coauthor, Charles Nordhoff, handle a scene from their book *No More Gas*, in which a native Tahitian, Jonas, is speaking with two Europeans, a doctor and a lawyer":

"That's all right with me, Monsieur Dorme," Jonas said, when the attorney had finished. "If you got a pen handy I'll sign right off."

"Your sister, Effie, will have to sign with you," the attorney remarked.

"I'll send down for her." Throwing back the coverlet, Jonas got to his feet with surprising agility. Then, remembering that he was supposed to be ill, he said: "I'm feeling a lot better already, Doctor. Shouldn't wonder if it was the cockfight made me feel so miserable. I didn't see how I was going to tell you about that."

The house shook under his tread as he walked across the veranda. Several children were playing below. "Tané," he called. "Run down to Aunt Effie's house and tell her I want her. Right away!"

"And we must have a witness," Dorme continued. "Is there anyone here who could serve? Your cousin, Ropati, of course, would not do."

"I don't want him to know, anyway," said Jonas. "There's no need to tell the rest of the family about this."

"No difference in dialect," Fred says. "Only a slightly heightened level of diction when the doctor and the lawyer speak."

Speech defects

"But there's enough of it to differentiate between the characters, especially in the context of the story," the Author points out.

“By the way, although in their Pacific Ocean books Nordhoff and Hall don’t do dialect, in this book they did have one character, a Tahitian named Chester, who stuttered, and they did attempt to show that on the page. Chester in this passage is in a cab; he’s been voyaging about, and he’s bringing home a fighting cock”:

“You du-du-don’t need to tell me,” his passenger replied. “Take it easy.”

“Thought you was in a hurry to get home?”

“I am, but you forget what I got back here.”

The driver immediately slowed down. “I wasn’t thinking,” he said, blankly. “He ain’t hurt, is he?”

“Hope not; bu-bu-but another jolt like that last one . . . Stop a minute. I want to have a look.”

Fred nods. “I’m not sure the authors should have done that, but they don’t do much of it, do they?”

“Not much. But in this case the stuttering is a characterizing trait of the Chester persona.”

“And there’s more done with the levels of diction in that scene, too. The cab driver’s speech is base.”

Diction 6: elevation

“You mentioned ‘standard American’ English,” Fred continues. “Is there such a thing as ‘standard British’ English?”

The Author frowns into the monitor. “I’m not sure there is,” he types. “I just recently finished watching the PBS series, *The Story of English*, and the British isles are so regionalized that sometimes a person from one section can hardly understand the dialect of a person from another section.” He shakes his head. “Not only that, but the population is stratified in classes as well, and each person can recognize the caste of another simply by hearing him or her speak—think of Eliza Doolittle and Prof. Henry Higgins in the Lerner and Loewe musical *My Fair Lady*.”

“Oh, yes!” Fred says. “I was playing some of your tapes and cd’s while you were sleeping the other evening, and I listened to

it.” He grins and blinks behind the lock of fallen hair. “Higgins transforms Eliza.”

“Yes, she begins as a cockney who habitually drops initial aitches and calls the professor ‘Enry’ Iggins, and ends as a young woman who can’t be distinguished from a member of the aristocracy.

“By the way,” he says, “that piece of literature began as a drama, *Pygmalion*, by the Irish playwright George Bernard Shaw, was turned into a musical script by the two Americans, and wound up as a screenplay. We’ll talk more about that kind of thing in the next chapter.”

“Fine,” Fred says, “but answer my question.”

Format and punctuation 4

“Well, here’s a bit of dialogue by the contemporary British fiction writer Frederic Raphael. It’s from a story titled ‘Sleeps Six.’ Something else the British have that differs from us – in addition to a common language – is their use of quotation marks. You’ll notice that they start off with single quotes rather than doubles, as we do”:

‘Oh for God’s sake, Philip, pull yourself together. You’re behaving like a silly old woman throwing crockery at the wall.’

‘If I’m a silly old woman then I’m a silly old woman.’

‘You’re a silly old woman who’s ruining my bloody holiday. I don’t mind for myself, I mind for Sherry. I mind for the kids. Pull yourself together.’

‘I’ve had my fill of that. I now propose to pull myself apart.’

Diction 7: vocabulary and idiom

“It doesn’t look any different to me as far as the syntax of the sentences goes,” Fred says peering over the Author’s shoulder at the monitor. “But somehow it *sounds* different.”

“It’s the vocabulary and the idiom, mainly, rather than the grammatical constructions.”

Fred steps back. “I know what ‘vocabulary’ means, and I’ve

heard and seen the term 'idiom,' but I've never really gotten a handle on it, if you dig me."

"*Idiom* means, according to the *Random House Dictionary*, 'an expression whose meaning cannot be derived from its constituent elements, as *kick the bucket* in the sense of "to die."' Or, for that matter, *to dig* or *get a handle on* in the sense of 'to understand.' "

Slang 2

"You mean slang," Fred says.

"Not necessarily. An expression may begin as the slang—or 'popular jargon'—of a particular generation, but once it enters the language permanently it becomes an idiomatic expression."

Fred looks at the monitor again. "Scroll back to that speech," he asks, "I want to see something—there it is, 'bloody'—that's what you mean by vocabulary and idiom."

"Yes. That term is peculiarly British. They use it nearly all the time, the same way that Canadians are always saying, 'eh?' and Americans say, 'okay.' And Americans wouldn't say, 'throwing crockery,' we'd say 'throwing dishes.'

"That's the first meaning of 'idiom,' the Author continues, but the second meaning is really what this chapter is all about: 'a language, dialect, or style of speaking peculiar to a people.' We could go on forever talking about this, but H. L. Mencken pretty well saturated the subject in his multivolume *The American Language*. If you want to see the American master of idiom, read Mark Twain, and if you want to see the British master, read Charles Dickens."

"So your use of elevated diction to characterize Holmesby in 'MacFarlane of Ergos' was unidiomatic, and your use of Scots dialect was stereotypical, and—generally speaking—foolhardy." Fred is clearly enjoying himself.

"*Young* and foolhardy," the Author interjects.

"When, for instance, you have Holmesby say, 'I said, good evening, MacFarlane. Haven't you got the common decency to

answer a gentleman?’ all you have going for your character is a slightly elevated diction.”

Foreign words and terms

“*Nolo contendere*,” the Author says.

“Come again?”

“*Nolo contendere* – Latin for, ‘I won’t argue the point.’ ”

“Can you do that in fiction?” Fred asks, pointing to the Latin.

“As a matter of fact, it’s not a bad idea to stick in, let’s say, a bit of obvious French or German, perhaps, to indicate that a character is speaking with an accent, rather than try to imitate the accent. This is from Nordhoff and Hall’s *No More Gas* also”:

Format and punctuation 5

“*I brought in a three-gallon demijohn of red wine,*” Chester put in. “*It’s out in the car. Maybe we could get along without the food till morning.*”

“*Eita roa’tu! Fana exclaimed. “We got to have a snack tonight to keep us going. And two more demijohns.”*”

“I take it that foreign words and terms go in italics,” Fred says, “even when they’re just being used to give the impression of an accent.”

“Always,” the Author says. “But let me get back to the question of British English for a moment. I said Dickens was the master of British idiom, but so was Thomas Hardy. Here’s a passage from *The Return of the Native*, set in the southwest quarter of England (remember, I’m still using British punctuation, so there’s no mistake in the two *single quotes* that begin this dialogue; the second one indicates an *elision*, a missing letter. We’d recognize it if the ‘a were an ‘e, meaning *he*)”:

‘*A faltered on from one day to another, and then we heard he was gone.*’

‘*D’ye think he had great pain when ‘a died?*’ said Christian.

'O no: quite different. Nor any pain of mind. He was lucky enough to be God A'mighty's own man.'

'And other folk—d'ye think 'twill be much pain to 'em, Master Fairway?'

'That depends on whether they be afeard.'

'I bain't afeard at all, I thank God!' said Christian strenuously. 'I'm glad I bain't, for then 'twon't pain me. . . . I don't think I be afeard—or if I be I can't help it, and I don't deserve to suffer. I wish I was not afeard at all!'

“That looks hard!” Fred exclaims.

“You have to have confidence you have a total grasp on dialect to try a whole novel of that,” the Author says. Fred thinks he hears an edge of envy in the tone of the Author’s voice. “Much better just to do what J. R. R. Tolkien, the great British fantasy writer and Medieval scholar, does in his trilogy, *Lord of the Rings*. He just uses elevated diction and a slightly formal syntax to suggest an ancient language”:

Gimli shivered. They had brought only one blanket apiece. 'Let us light a fire,' he said. 'I care no longer for the danger. Let the Orcs come thick as summer moths round a candle!'

'If those unhappy hobbits are astray in the woods, it might draw them hither,' said Legolas.

'And it might draw other things, neither Orc nor Hobbit,' said Aragorn. 'We are near to the mountain-marches of the traitor Saruman. Also we are on the very edge of Fangorn, and it is perilous to touch the trees of that wood, it is said.'

“Point taken,” Fred says. “Where dialect is concerned, less is more.”

“That’s the way it is with most things,” the Author replies. “Less is always better than too much.”

CHAPTER 4

TYPES OF SPEECH

“You haven’t done much more than mention ‘tone’ and ‘style,’ ” Fred Foyle says as he brushes back his foreknot.

Tone and style 2

“As we’re using the terms here, *tone*, according to the *Random House Dictionary*, is ‘a particular expressive quality, way of sounding, or modulation, or intonation of voice’; *style* is ‘the mode and form of expression, as distinguished from the content,’ ” the Author informs Fred categorically.

“So when you write this— ‘I *must* be the forst aboard,’ ” the Scotsman whispered prayerfully’ — that adverb, *prayerfully*, is describing the ‘tone’ of voice in which he is speaking?”

“That’s right. However, it’s always better for a writer to *show* tone by context rather than merely describe it.”

“How would you do that?”

“Well, I could have done it this way: ‘Forrest MacFarlane clasped his hands and fell to his insubstantial knees. “I *must* be the forst aboard!” the Scotsman whispered.’ ”

“Ah!” Fred murmured. “And how about an example of ‘style’?”

Speech 1: ordinary

“How about several?” the Author asks. “And we’ll start with the ‘style’ of ordinary speech. Let’s listen in on a conversation a

teacher is having with his secretary. Though it's summer and the teacher is on vacation, the secretary has phoned and asked him to come to the office to sign two drop slips, one for an advisee who had to drop a summer school course because she's in the hospital, and one for a student who appeared on the rolls for a short-session summer class but who was misregistered because she was in fact taking another class."

"That's a lot of exposition," Fred says.

"You'd never understand the conversation without it, believe me," the Author assures him:

"Oh! There 'e is."

"Hel-lo, Ma-ry. Um. Um."

"(Incoherent)"

"Mmm? Mmm?" (The teacher hands her an envelope that was misaddressed and has been returned by the postal service.)

"I was goin-na look that address up, then I thought . . . (incoherent because the teacher breaks in to say),

"Yeah, wouldjyou? . . ." (incoherent because both people are talking at once).

"Yeah, and, uh, when you look it up would, uh, (pause) you make a copy of the address for me . . ."

"Yeah."

"So I can correct my records?"

"Yeah."

"So, uh . . ." (sound of opening an envelope) "Oh, God, there's the bookstore bill."

"Ho ho ho."

"Uh, oyeah, and then there's this . . ."

"I need that. And d'you . . . didjou . . . have any records on this Jennie Jones in your . . ."

"Never, never laid eyes on 'er."

"Okay, I need your signature for a drop. She's actually doing an independent study with Frank Bean . . ."

"Ah!"

". . . and it was someone else's error, but she hasta go through all this Mickey Mouse 'cause of it."

(Pause.) "Well . . ."

"The computers will not handle it otherwise."

(Long silence while the teacher signs a class drop slip). "Actually, it's the tenth, but I put down the ninth on, ah, the . . ."

"Yeah, okay."

"Now, whaddo I hafta sign here?"

"Okay, there's two, two places . . . the drop slip . . ."

"Sign the drop slip . . ."

"Mhmm."

"Sign it for what?"

"Advisee . . . you're her advisor."

"Okay. Oyeah, awright."

"Yuh, the principal agreed and signed it."

"Uhhuh, 'at's right."

"And advisor here."

"Yeah, awmmm, I missed Frank . . . er, y . . . I dunno what I'm thinkin' of, God! Jim Bell this morning, so I think I'm gonnoo sign for him. Give this to her father this weekend, and then she can attach her medical statement and mail it right back to the advisement office . . ."

"Uh huh."

"I don't think Jim'll mind 'cuz he said he'd go along with anything, he was agreeable."

"Do we actually talk like that?" Fred asks, bewildered and disgusted.

"No, *you* don't, but the rest of us do."

"It's amazing that anything gets communicated at all! This is one time I'm glad I'm a fictional character."

"An *Irish* fictional character," the Author reminds him. "But people get along because a lot of communication is in context, in knowing each other well, as the secretary and the teacher do, and consequently in being one step ahead of each other most times. And there's body language . . . gestures, raised eyebrows, throat clearings, etcetera, etcetera—an actor can do some of those things in a play onstage. In this real-life case the teacher was looking at papers on the secretary's desk while they were talking."

"Did she know she was being recorded?"

"No."

“Can this conversation be made interesting, or at least coherent?”

Speech 2: edited

“I don’t know,” the Author says. “Let’s give it a try. We’ll have to edit it”:

The teacher strides down the corridor and turns in at the door marked 39. “Oh! There he is!” the department secretary says, looking up from her desk. She wears glasses and there is an Irish look about her, especially about her smile. Her hair is curly and reddish brown. She sits behind her desk working on several stacks of papers.

“Hello, Mary.” The teacher walks to the desk and the secretary hands him three forms.

“Thanks for coming in like this,” Mary says. “I know it’s hot and you’re on vacation, but . . .”

“Not at all,” the teacher says. “It’s a relief to take a break from vacation once in a while.” He is dressed quite unprofessionally, in shorts and a tank top. “By the way,” he says, “I just pulled this misaddressed envelope out of my mailbox. Can you look up the right address and type a new envelope?” It is extremely hot and humid in the office. A big floor fan whirls the air about, to little effect.

“Oh, sure,” Mary replies. “I was going to look that address up when it came back, then I thought, ‘Maybe he’d like to see that the address has been changed,’ so I stuck it in your box instead.”

“Would you go ahead and do that? And when you do, would you make a copy of the address for me so I can correct my records?”

“Surely.” The secretary takes the envelope and, using a letter opener, slices the top open.

“Before I sign these drops,” the teacher says, “let me see what’s in this other envelope . . . oh, God, it’s the bookstore bill!”

“Ho ho ho.” Mary gives him a commiserating look.

“You think that’s funny, eh?”

“Not really. By the way,” she asks, noticing which of the forms he is looking at, “did you have any records at all on this Jennie Jones? She was misenrolled in her summer pre-session class.”

"I never heard of her." The teacher takes out his handkerchief and uses it to wipe the perspiration from his forehead.

"Okay, but I need your signature for a drop anyway. She's actually doing an independent study with Frank Bean."

"Ah!"

"It was someone else's error, but she has to go through all this Mickey Mouse red tape because of it. The computers will not handle it otherwise." Mary shakes her head in pity . . . for Ms. Jones, or the teacher, or herself . . . perhaps all three.

"Oops! It's July tenth," the teacher says, staring at the form he has just signed, "but I put down the ninth."

"No matter," Mary says. "They won't get it until day after tomorrow anyway."

"Now, what do I have to sign on these other two forms?"

"First, the drop slip . . ."

"Sign the drop slip as what?"

"You're her advisor."

"Right."

"The principal agreed and signed it already," Mary points out with a pencil.

"Oh, yes. I see."

"And where it says 'advisor' on this other form," she continues. "I have to get Mr. Bell's signature, too, but I missed him this morning, so I think I'll sign the form for him, and give it to her father this weekend. Then she can attach her medical statement and mail it right back to the advisement office. I don't think Mr. Bell will mind because he said he'd go along with whatever needs to be done."

"Sounds okay to me," the teacher says. He signs the last form and straightens up. He lays the pencil down on Mary's desk, and turns to go.

"That's better," Fred says, "but it's still boring."

Nonconversations 2: exposition

"Because there's no dramatic tension in it. There's no story being told; there's no conflict, not even a protagonist, and I'm sticking exposition into the dialogue, which is not a good thing to

do in modern stories, although in early fiction it was a common practice.”

“Got an example of that?”

The Author turns away from the keyboard and reaches into the pile of books behind him. “Here’s a passage from an eighteenth-century short novel, *Rasselas, Prince of Abyssinia* by the British writer Samuel Johnson”:

“Sir,” said Imlac, “my history will not be long: the life that is devoted to knowledge passes silently away, and is very little diversified by events. To talk in public, to think in solitude, to read and to hear, to enquire, and answer enquiries, is the business of a scholar. He wanders about the world without pomp or terror, and is neither known nor valued but by men like himself.

“I was born in the kingdom of Goïama, at no great distance from the fountain of the Nile. My father was a wealthy merchant, who traded between the inland countries of Africa and the ports of the Red Sea. He was honest, frugal, and diligent, but of mean sentiments and narrow comprehension. . . .”

“They actually *read* stuff like that?” Fred asks.

“Styles and conventions change.”

Tone and style 3: verisimilitude

“Let’s get back to the edited real speech—it’s at least the *sort* of dialogue you’d find in a story, isn’t it?”

“Some stories.”

“Is there a term for the technique you used when you edited the transcription of ordinary speech?”

“It’s called *verisimilitude*.”

“Good grief! Not another one of those foreign terms! What does it mean?”

“It’s Latinate, Fred, but not Latin. It’s still English, and it means ‘lifelike’—accent on the *like*. Its intent is to give the *impression* of real speech.”

Tone and style 4: realism

“It’s not called ‘realism’?”

“No. Realism was a specific literary program – the idea was to write about ordinary people in ordinary situations, using ordinary language. People like the French writer Gustave Flaubert, the Russian Fyodor Dostoevsky, and the American Stephen Crane, all of them nineteenth-century authors, were realists. In the twentieth century realism turned into a bleaker program, naturalism, which maintained that people’s lives were controlled by outside forces, such as economics or environment, or by people’s inner limitations. Writers such as the Americans Frank Norris and James T. Farrell wrote fiction that was narrated from a particularly bleak, deterministic viewpoint.

“Verisimilitude was a style that was used by both the realists and the naturalists. Here’s a passage from *The Awakening* by the nineteenth-century American realist novelist Kate Chopin; compare it with the Johnson passage above – the setting is the Creole bayou country of Louisiana”:

Mrs. Pontellier liked to sit and gaze at her fair companion as she might look upon a faultless Madonna.

“Could any one fathom the cruelty beneath that fair exterior?” murmured Robert. “She knew that I adored her once, and she let me adore her. It was ‘Robert, come; go; stand up; sit down; do this; do that; see if the baby sleeps; my thimble, please, that I left God knows where. Come and read Daudet to me while I sew.’”

“Par exemple! I never had to ask. You were always there under my feet, like a troublesome cat.”

“You mean like an adoring dog. And just as soon as Ratignolle appeared on the scene, then it was like a dog. ‘Passez! Adieu! Allez vous-en!’”

“Perhaps I feared to make Alphonse jealous,” she interjoined, with excessive naïveté. That made them all laugh. The right hand jealous of the left! The heart jealous of the soul! But for that matter, the Creole husband is never jealous; with him the gangrene passion is one which has become dwarfed by disuse.

“What a difference in style a hundred years makes!” Fred says

leaning forward, elbows on his knees—he is in the Lazyboy again. “That doesn’t sound anything at all like Johnson. What’s going on in fiction these days?”

Tone and style 5: minimalism

“Well, there’s a return to a form of realism going on now in literary fiction called ‘minimalism.’ As Charles Newman put it in an article, ‘What’s Left Out of Literature’ in *The New York Times Book Review* for Sunday, July 12, 1987, ‘The presumption seems to be that America is a vast fibrous desert in which a few laconic weeds nevertheless manage to sprout in the cracks.’ ”

“Sounds sort of the way I feel,” Fred says. “Does that apply to dialogue too?”

“Absolutely. Newman says, ‘In minimal fiction, there has never been such a conscious and largely successful attempt to capture in dialogue the elisions and inadvertent rhythms of everyday colloquial speech. . . .’ He gives as an example a passage from Bret Easton Ellis’s first novel, *Less Than Zero*”:

I drive to Trent’s house, but Trent isn’t there so I sit in his room and put a movie in the Betamax and call Blair and ask her if she wants to do something tonight, go to a club or see a movie and she says she would and I start to draw on a piece of paper that’s next to the phone, recopying phone numbers on it.

“Julian wants to see you,” Blair tells me.

“Yeah, I heard. Did he say what for?”

“I don’t know what he wants to see you about. He just said he has to talk to you.”

“Do you have his number?” I ask.

“No.”

“That is pretty flat. It sounds sort of like your edited real speech—how does it differ? Can you apply minimalist style to the transcription of the teacher’s conversation with the secretary?”

“Sure. It would go like this”:

The teacher, dressed in shorts and a tank top, walks down the corridor and turns in at the door marked 39. "There you are," the department secretary says, looking up from her desk.

"Hello, Mary."

"Thanks for coming in like this. It's hot and you're on vacation . . ."

"It's okay. Oh, I just pulled this misaddressed envelope out of my mailbox. How about looking up the right address and typing a new one?"

"Sure. I was going to look that address up, then I thought . . ."

"Would you go ahead and do that? And when you do, make a copy of the address for me, okay?"

"Sure."

"Before I sign those drops, let me see what's in this other envelope . . . crap. The bookstore bill!"

"Ho ho ho."

"You think that's funny?"

"Did you have any records on this Jennie Jones? She was misenrolled."

"I never laid eyes on her."

"Okay, I need your signature for a drop. She's actually doing an independent study with Frank Bean."

"Ah!"

"It was someone else's error, but she has to go through all this Mickey Mouse because of it. The computers won't handle it otherwise."

"Nuts. It's July tenth, but I put down the ninth on this slip."

"No matter."

"Now, what do I have to sign on these other two forms?"

"First, the drop slip . . ."

"Sign the drop slip as what?"

"You're her advisor."

"Right."

"The principal agreed and signed it."

"Uhhuh."

"And where it says 'advisor' on this other form. I have to get Mr. Bell's signature, too, but I missed him this morning, so I think I'll sign the form for him, and give it to her father this weekend. Then she can attach her medical statement and mail it right back to the advisement

office. I don't think Mr. Bell will mind because he said he'd go along with whatever needs to be done."

"Sounds okay to me."

"That's minimal, all right," Fred says. "Is that what dialogue sounds like in a play?"

"Not usually."

"Why not?"

Tone and style 6: stage speech

"Because a play takes place on a stage, not in a book lying in your lap. In the book you can stop, go back, reread a passage to make sure you got it right. But in a play, if you miss something, you've missed it. So dialogue in a play must be extremely clear, emphatic, apprehensible as it swiftly passes – that's going to affect its style, though the tone of a passage is going to be interpreted by the director and the actors, with hints from the playwright's script, of course.

"You noticed all those pauses and gaps in the unedited transcription?"

"How could I miss them?" Fred asks. "There were more of them than words . . . at least it seemed so."

"That's because in real life we do what we do in reading – we pause to think about things, we stall for time, we ask for things to be repeated, we ask our neighbor what we missed . . . or we jump ahead because what we're saying is obvious to the person with whom we are conversing."

"So a play can't imitate ordinary conversation even as well as a story can?"

"But it has to *seem* ordinary . . . as ordinary as the dialogue in a story or on the street. There has to be a 'willing suspension of disbelief' on the part of an audience when it enters a theatre. The same is true for the reader of a novel or a story. We have to subscribe to a convention . . . in fact, to several conventions."

Tone and style 7: stream of consciousness

“Are there other styles besides verisimilitude and minimalism?” Fred asks, still leaning forward with interest.

“Certainly. The twentieth century has come up with any number of them, I guess, but a couple of the best known are stream of consciousness and surrealism.”

“What’s that first one about?”

Forms of dialogue 4: interior monologue

“Well, the idea there is to have interior monologues in fiction be reasonable facsimiles of the way the mind actually works. We don’t ordinarily think in nice, straightforward sentences—you saw that we don’t even *talk* that way—but we wander off the subject, drop hints to ourselves, do fuzzy things with our syntax, elide . . .”

“What’s that?”

“Leave things out.”

“That’s interior monologues. But can the same thing be done with dialogue?”

“Faulkner tried it in his novel *The Sound and the Fury*,” the Author points out—“here’s a passage”:

*its late you go on home
 what
 you go on home its late
 all right
 her clothes rustled I didn't move they stopped rustling
 are you going in like I told you
 I didn't hear anything
 Caddy
 yes I will if you want me to I will
 I sat up she was sitting on the ground her hands clasped about her
 knee
 go on to the house like I told you
 yes I'll do anything you want me to anything yes*

she didn't even look at me I caught her shoulder and shook her hard

you shut up

I shook her

you shut up you shut up

yes

she lifted her face then I saw she wasnt even looking at me at all I could see that white rim

get up

I pulled her she was limp I lifted her to her feet

go on now

was Benjy still crying when you left

go on

“That’s even more minimal than minimalism,” Fred says, his mouth agape.

“How do you do that?” the Author asks.

“I’m not doing it, you’re writing it,” Fred replies. “In fiction all things are possible.”

“No,” the Author shakes his head. “In real life, perhaps, all things are possible, but fiction must be truer to life than life.”

“Is that a conundrum?”

Format and punctuation 6

“No, it’s a paradox. That’s essentially what we’re talking about here. For instance, the person who’s thinking those thoughts and saying those things is a retarded person in Faulkner’s novel, and the dialogue and narration are supposed to mirror his mind and perceptions. But of course, in real life a severely retarded person wouldn’t be able to narrate in fiction at all, so Faulkner uses no punctuation and no capitals (except for Caddy’s name, for some reason) to give the *impression* of an illiterate person.”

“What would the teacher’s conversation with the secretary look like treated that way?”

“Let’s check it out,” the Author says:

hello mary

*thanks for coming in like this it's hot you're on vacation
its okay by the way i just pulled this wrong envelope out can you
look up the right address and type a new envelope
hot and sticky in the office big floor fan whirls around
o sure
go ahead make a copy of the address for me too
okay
let me see whats in this other envelope o god its the bookstore bill
ho ho ho
you think thats funny
not really*

“That’s enough of that!” Fred interjects.

“I’ve never been able to finish the novel myself,” the Author admits, shamefaced.

Tone and style 8: surrealism

“Interesting effect, that,” Fred says. “What’s surrealism?”

“That’s a distortion of reality.”

“You mean that wasn’t distorted?”

“Not in the same way. We might be able to understand that subnormal mind, but surrealism is what they might have called in the 1960s, ‘psychedelic’ – mind-bending.”

“Any writers you can name who used surrealism in fiction?”

“The most famous is probably Franz Kafka. In looking over Kafka’s work, though, I don’t find examples of what I’d call ‘surrealist dialogue’ – the dialogue is more or less ‘normal’; it’s the situations that are abnormal: Gregor Samsa turns into a huge beetle in ‘Metamorphosis,’ yet the people continue to speak realistically. The same appears to be true in the work of the Spanish surrealist playwright Federico Garcia Lorca. In the surrealist fantasies of the Colombian novelist Gabriel Garcia Marquez there’s not much dialogue at all. If you’d like, though, we can try treating the teacher’s conversation with the secretary surrealistically”:

The teacher, dressed in shorts and a tank top, walks down the corridor and turns in at the door marked 39. "There you are," Mary says, looking out from under her desk.

"Hello, Mary. How's your picnic going?"

"Thanks for coming in like this." The teacher notices that Mary is a green frog today. "It's hot and you're on vacation," she croaks. She is eating an insect sandwich.

"It's okay. Oh, I just pulled this misaddressed codfish out of my mailbox. How about looking up the right address and hooking a new one for me?"

"Sure. I was going to look that address up, then I thought . . ."

"Thought is an exercise in anguish," the teacher says, joining Mary under her desk. "May I have a bit of that?"

"Help yourself," Mary says handing him the hoagie roll. The insect is an Egyptian scarab. It looks out angrily at the teacher and he bites it gingerly. "Would you go ahead and do that then? And when you do, make a copy of the address for me, okay?" The scarab is fighting back.

"Sure."

"Before I sign those, let me see what's in this other envelope . . . oh, no. A Venus flytrap."

"Ho ho ho."

"You think that's funny?"

"Not really," Mary says. "It's just my sister-in-law. I was wondering when she was getting back from vacation. By the way, did you have any records on this Jennie Jones? She was misenrolled."

"I never laid a tentacle on her."

"You want to give me a break?" Fred asks. "That's just ridiculous. It's nonsense, not surrealism."

"Well, you wanted to know. Now we both know why there's not a lot of unusual dialogue in surrealist fiction, or drama either, for that matter. The distortion of reality is in the situation, primarily, though there is a formula for turning an ordinary sentence into a surrealist sentence that might work with dialogue as well."

"What's that?" Fred asks.

"Exchange the subject of the sentence for the object. For instance, 'I gave the gorilla a large banana' would become, 'I

gave the banana a large gorilla'; or, 'Look! the meat is eating the lion!' "

His head shakes Fred with distaste, causing his eyes to fall down over his hair. "That doesn't appear to be a very promising technique," he says, "either in a play or a story. By the way, did I understand you to say that a play can't imitate ordinary conversation even as well as a story can?"

Literary conventions

"No. I meant to say that in both fiction and in drama dialogue has to *seem* ordinary . . . as ordinary as the dialogue heard on the street, but it has to be clearer than in a story, more emphatic, and there has to be a 'willing suspension of disbelief' on the part of an audience when it enters a theatre. The same is true for the reader of a novel or a story. We have to subscribe to a convention . . . in fact, to several conventions."

"Name some," Fred says.

"Well, we have to begin with drama, then, because, as we noted in the introduction, the oldest form of fiction is the epic poem, and the second oldest is drama. The oldest form of drama that we know about in the Western world is classical tragedy. Aristotle was the first critic to study the extant plays and to distill from them certain conventions that had already been developed by the playwrights. The first had to do with the ability of the audience to suspend the knowledge that they were sitting in a theatre rather than experiencing vicariously what was happening on the 'stage.' Aristotle noticed that most plays exhibited what he identified as 'the three unities' of time, place, and action.

"The 'unity of time' is more evident in the theatre than on the page. Members of the audience are seated in a hall and they always know, at least unconsciously, that they are spending several hours looking at a play. If we ask them to believe that during two or three hours of theatre time, a lifetime of stage time is passing, they will tend to disbelieve the relative possibility. But if they are asked to believe that during two or three hours of theatre time a single day of stage time elapses—twenty-four hours, the relative time lapse is much more believable, and this

is the reason for the convention, in tragedy, of the unity of time.”

“You know, that actually makes sense.” Fred nods his head firmly. “How about the unity of place?”

“It’s physically difficult to keep changing scenes on stage to indicate different settings. In modern times this difficulty has been overcome to a degree, but in antiquity plays were enacted in open-air amphitheaters. There were no overhead flies for the storage of backdrops, no projectors for throwing films or slides on a screen. Thus, a willing suspension of disbelief was more likely to take place if the audience were asked to believe that the action took place in a single setting—which led to Aristotle’s isolation of the convention of the unity of place.”

“All right!” Fred says. “Let’s have the unity of action now.”

“Aristotle noticed that every action in tragedy has a beginning, a middle, and an end, each of proper proportion—neither too large to be seen whole, nor too small to be seen clearly.”

“And that’s it?” Fred asks. “It’s that simple?”

“That’s it.”

“What’s your point—that the rules hold for fiction as well as drama?”

“Well, those rules haven’t ‘held’ throughout the centuries, but they’re good ‘rules of thumb’—touchstones, as it were. There’ve been a lot of changes in entertainment over the centuries, particularly physical and technological changes. New forms of drama and storytelling have been invented—audio forms of various types including radio and recordings; audio-visual forms as well—cinema and television, for instance. There have even been several revolutions in recent centuries in the print media; during the past quarter-century the changes have been legion—the Internet for instance.”

“You keep making the connection between drama and dialogue in fiction,” Fred says, sounding a bit like Nan Deditter, “but is there some *real* relevance here, or it is just a mania of yours?”

“Before I answer that question,” the Author remarks, turning again to lean past the hovering Foyle for a book on the floor, “let me just quote you this passage of dialogue from Arthur

C. Clarke's novel, *2001: A Space Odyssey*. Hal, remember, is a computer”:

“This is Betty. Start pumping sequence.”

“Pumping sequence started,” repeated Hal. At once, Poole could hear the throbbing of the pumps as precious air was sucked out of the lock chamber. Presently, the thin metal of the pod's external shell made crinkling, crackling noises, then, after about five minutes, Hal reported:

“Pumping sequence concluded.”

Poole made a final check of his tiny instrument panel. Everything was perfectly normal.

“Open outer door,” he ordered.

“That's a great novel!” Fred breathes. He sits back in the Lazy-boy.

“No, it isn't.”

Fred jerks forward. “What are you talking about? Sure it is!”

Novelizing

“It's a great *movie*, remember?”

Fred says nothing. At last — “What's your point?”

“I have a friend, the Scottish novelist Campbell Black, who novelizes screenplays under a pseudonym or two or three. There's lots of money in it.”

Dollar signs click on in Fred's eyes. The Author continues, “It says on the jacket of this book, ‘A Novel by Arthur C. Clarke, Based on a Screenplay by Stanley Kubrick and Arthur C. Clarke.’ ”

Fred nods. “So it started out as a play, a script. That's what you were hinting at when you talked about *My Fair Lady* a while back, isn't it? But doesn't the route usually go the other way? I mean,” Fred clears his throat, “don't stories usually get turned into screenplays or dramas or whatever?”

The Author shrugs. “Sure, but it doesn't *have* to — all roads run two ways. Why don't we check fiction-to-screenplay first, though? Here's a bit of chapter two of John Steinbeck's *The*

Grapes of Wrath. A truckdriver has just finished eating”:

In the restaurant the truck driver paid his bill and put his two nickels' change in a slot machine. The whirling cylinders gave him no score. "They fix 'em so you can't win nothing," he said to the waitress.

And she replied, "Guy took the jackpot not two hours ago. Three-eighty he got. How soon you gonna be back by?"

He held the screen door a little open. "Week-ten days," he said. "Got to make a run to Tulsa, an' I never get back soon as I think."

She said crossly, "Don't let the flies in. Either go out or come in."

"So long," he said, and pushed his way out. The screen door banged behind him. . . . "Well, don't do nothing you don't want me to hear about." The waitress was turned toward a mirror on the back wall. She grunted a reply . . .

The hitch-hiker stood up and looked across through the windows. "Could ya give me a lift, mister?"

The driver looked quickly back at the restaurant for a second. "Didn't you see the No Riders sticker on the win'shield?"

"Sure—I seen it. But sometimes a guy'll be a good guy even if some rich bastard makes him carry a sticker."

“And here’s the same scene which begins the screenplay by Nunnally Johnson”:

Waitress. *When you be back?*

Driver. *Couple a weeks. Don't do nothin' you wouldn't want me to hear about!*

We see him climbing into the cab of the truck from the right side. Getting behind the wheel, he is releasing the handbrake when Tom appears at the driver's seat window.

Tom. *How about a lift, mister?*

Driver. *Can't you see that sticker?*

He indicates a "No Riders" sticker on the windshield.

Tom. *Sure I see it. But a good guy don't pay no attention to what some heel makes him stick on his truck.*

"That's pretty close," Fred says.

"Remember, though, that Johnson has already cut out the whole first chapter of Steinbeck's book. The screenplay is going to be a considerably compressed version of the novel. But there's no reason in the world why a story written entirely in dialogue can't be told using the techniques of fiction, and it's done often these days. Generally speaking, the process is going to be reversed – drama is going to have to be expanded when it's turned into fiction."

"Any examples?"

Nonconversations 3: silence in dialogue

"Sure. Let's invent a short experimental play that, though it's set up as a dialogue, is really a monologue plus silence."

"What?"

"You heard me," the Author says. "We have two characters, one named Pocoangelini and the other named Mr. Earth. The scene is a beach or some other sandy spot. It's night, and Mr. Earth is making like an ostrich."

"How's that?"

"His head is buried in the sand."

"That's a myth," Fred says.

"Not in this play, it's not. Note that, on the page, the spaces between the speeches are going to vary to indicate shorter or longer silences":

Pocoangelini. *Sir. Your head. It is stuck into the sand.*

Mr. Earth.

Pocoangelini. *I'm not sure I understand. You hear me, don't you, even with both your earholes squat up against those furrows? I say, YOUR HEAD IS STUCK IN A BRAIN'S HARROWING! There's dirt up your nose, and ants are crawling about your neck.*

YOUR HEAD'S STUCK IN THE FILTHY SAND!

Mr. Earth.

Pocoangelini. *The moon is out. It's playing with your spine. The shafts of starfire are sticking into your shoulderblades, making you appear to be a sort of celestial porcupine. What are you looking for? What color is the inside? Have you found whether stones push each other when they are together alone?*

Mr. Earth.

Pocoangelini. *Look. I'll scoop you out so we can talk like human beings. It's a cold night. Your thoughts must be chilly. This is no hour for such silver. I'll dig. Now pull, and tell me . . .*

Mr. Earth.

Pocoangelini. *Oh.*

"That's as absurd as that ridiculous surrealist dialogue," Fred says.

"Absolutely true," the Author replies; "in fact, it's called 'theater of the absurd,' but we won't discuss that topic here. We'll just turn it into fiction":

As he was walking along the moonlit beach Pocoangelini stopped suddenly in amazement. Could it be . . . ? Yes, it was! It was somebody kneeling on the shore, his head buried in the sand. He walked closer. The moonlight made everything look like quicksilver and ebony. When he reached the figure, Pocoangelini stopped and leaned forward. "Sir,"

he said politely. "Your head. It is stuck into the sand."

Pocoangelini waited, but all he could hear was the sound of the tide coming in. "I'm not sure I understand," he said. "You hear me, don't you, even with both your earholes squat up against those furrows?" He leaned closer, his hands clasped behind his back. "I say, Your head is stuck in a brain's harrowing. There's dirt up your nose, and ants are crawling about your neck. Your head's stuck in the filthy sand."

Still there was no reply, only the wind rustling the reeds. Pocoangelini cleared his throat. "The moon is out. It's playing with your spine. The shafts of starfire are sticking into your shoulderblades, making you appear to be a sort of celestial porcupine." He paused a moment and straightened up. "What are you looking for? What color is the inside? Have you found whether stones push each other when they are together alone?"

Pocoangelini stood listening for a long while, waiting in the quiet sounds of surf and breeze. The moon scudded overhead like a schooner. At last he too knelt on the beach. "Look, I'll scoop you out so we can talk like human beings," he said. "It's a cold night. Your thoughts must be chilly. This is no hour for such silver. I'll dig. Now pull, and tell me . . ."

Pocoangelini saw at last that it was Mr. Earth. They knelt gazing into each other's eyes in silence for a long while as the tide came in and the moon sailed toward the west. At last Pocoangelini understood. "Oh!" he said.

"That's another tour de force," Fred says, "and it's not really a story."

"No, it's an episode."

"Are there any well-known examples of dialogue utilizing silence?"

"How about the opening of Mark Twain's *Tom Sawyer*?" —

"Tom!"

No answer.

"Tom!"

No answer.

"What's gone with that boy, I wonder? You TOM!"

No answer.

The old lady pulled her spectacles down and looked over them about the room; then she put them up and looked out under them. She seldom or never looked through them for so small a thing as a boy; they were her state pair, the pride of her heart, and were built for "style," not service—she could have seen through a pair of stove-lids just as well. She looked perplexed for a moment, and then said, not fiercely, but still loud enough for the furniture to hear:

"Well, I lay if I get hold of you I'll—"

She did not finish, for by this time she was bending down and punching under the bed with the broom, and so she needed breath to punctuate the punches with. She resurrected nothing but the cat.

"I never did see the beat of that boy!"

She went to the open door and stood in it and looked out among the tomato vines and "jimpson" weeds that constituted the garden. No Tom. So she lifted up her voice at an angle calculated for distance and shouted:

"Y-o-u-u Tom!"

There was a slight noise behind her and she turned just in time to seize a small boy by the slack of his roundabout and arrest his flight.

Fred Foyle is silent for a moment. Then he breathes deeply and says, "That's just wonderful writing! Even though Tom Sawyer hasn't said anything yet, you know what he's like; you know what his aunt is like, you've got the scene—"

The Author nods, grinning into the monitor. "It was the first book I fell in love with when I was a kid. But why don't we turn a short play into a whole story so we can see the process of novelizing worked out completely, even though it's going to be on a small scale? This is a little play I wrote when I was about twenty years old, I guess it was."

"Nan . . ."

"Don't say it, Fred," the Author admonishes his fictive friend. "The playlet was called 'Barrow Yard'":

Scene: A railroad trestle on the outskirts of a city. There is a fire beneath it. Two hoboes sit side by side, tending a pot of stew on the flames. It is night, a trifle misty.

Hobo. Hmph! I wonder when that ox will show. He's slow. And dumb as hell. Y'know, there's times I wish we hadn't gone and let him feed with us that night back in . . .

Tramp. And yet you know damn well there ain't a friggin' thing we could've done. He saw our fire, and *bing!* there he was, standin' in the firelight. It'll probably be the same damn thing tonight—he'll stand there, sayin' not a single word till we invite him over. It's sure weird the way he acts—almost like he was nuts.

Hobo. You gotta admit he's got a lot of guts, though. Did you see him in that whoppin' fight they had down at the Yard? That boy's all right when it comes to flingin' fists. I wouldn't want to block his way when he's out on a 'jaunt'!

Suddenly there are noises in the underbrush, rough and fast.

Tramp. Ssst! Knock it off. I think I hear 'im comin'!

Hobo. He's makin' lots of noise. Hell! I think he's runnin'! There he is—!

The sounds cease. In the glow of the fire stands the figure of a huge man. He takes a couple of heavy strides forward.

Hobo. (Softly) He's makin' straight for you.

Again the great figure stops, gazes dully at the tramp. An atmosphere of tension and a taut silence settles over the trio. The tramp makes an effort to dispel the quiet and divert the gaze of the newcomer.

Tramp. Hi, Jake. Dig in an' grab a bowl of stew, boy. Looks like you worked up a little sweat. Do you good to smoke a butt and set around for just a while. Been at the Yard?

Jake. Yeah.

Tramp. You see Chuck Walters there? (He snickers.) He's a card!

Hobo. He's two of 'em—Jack-Ace!—y'get it, Jake?

The two vagrants feign laughter. Not a flicker of expression touches Jake's face.

Tramp. Seddown, boy. Here, just let me up an' make a pot of coffee . . .

Jake. I just killed a man.

There is silence again. Several moments pass. The hobo makes an attempt at nonchalance.

Hobo. Who was it, Jake? You get into a fight, or something?

Jake. Naw, this here is just my night.

Tramp. Your night for what?

Jake. It's just my night.

Hobo. Watch it!

Jake leaps. The knife in his hand reflects red light from the fire.

Hobo. That shiv!

Tramp. I got 'im.

Hobo. Hell!

Tramp. He's hit—he's down. My god! I told you he was nutty as a friggin' chestnut tree! It looks like he's out cold.

Hobo. He's dead.

Tramp. Dead!

Hobo. When y'hit 'im with that board you caved in 'is skull.

There is the sound of a train rumbling over the trestle and the wind sharpening among its girders. A few drops of rain fall sizzling into the embers of the fire.

Tramp. Huh! Dead! (Pause.) Well, let's both head for Barrow Yard. It's cloudin' over, soon be rainin' like hell.

"That's about as minimal a narrative as I can imagine," Fred

Foyle says leaning over the printer. When the last sheet has rolled out he picks it up and takes it to his chair with him. "It's nothing but dialogue and a few lines of scene-setting. It is realism or naturalism?" he asks. The Author doesn't reply—he is absorbed in something he sees on the monitor. "Well, whichever," Fred continues. "It's colloquial diction and base style, and you utilize silences in it. What's going to happen now?"

Transcription and adaptation

The Author comes to and cocks his head to look over at Fred in the Lazyboy. "I promised you a transcription and adaptation to fiction of 'Barrow Yard.' "

"You actually turned that little episode into a story?"

"Yes. It's the second piece in a small trilogy called 'Shipmates,' all three of which are frame narratives—remember that?"

Fred nods. "It's that Joseph Conrad viewpoint from *Heart of Darkness*."

"Right. And the setting here is also aboard a ship, in this case a gun mount on an old carrier, the *U.S.S. Hornet*, circa the early 1950s, at night. Some sailors are sitting around drinking coffee and telling stories."

"You were quite a copycat when you were young, weren't you?" Fred asks.

"Maybe," the Author replies hunching his shoulders defensively, "but I was really in the Navy then, though I wrote the story version later. If I did imitate, it's not a bad way of learning how to do something—take a model and see how well you can do the same thing. But that's certainly not what I was doing consciously when I adapted this little play."

THE YEOMAN'S STORY

"I ran away from home when I was sixteen," Yeoman Fairall said when the Bo'sun had finished talking. It was hard to see in the dark, but the

gun lay in silhouette against the stars, and here and there the outline of a sailor lounging on the mount. The ship and the sea made their noises.

"I hadn't been on the road long before I fell in with an old hobo named Duke, a younger one named Corky, and Jake. I don't know how to describe Jake. Duke had some education, but he wouldn't talk much about his past. I had to pry it out of him over a period of time.

"One night he sat looking into the fire and he said to himself for the umpteenth-thousandth time, 'It's logical.' He always began by being amazed at his presence in a place like that—an abandoned brickyard on the outskirts of some town somewhere on the prairies, or in the South, or anywhere else. But when he'd asked himself how he'd gotten where he was and had retraced his route from its beginning to a particular campfire, he always ended by saying the same thing: 'It's logical.' Duke was proud of only one thing—that his mind hadn't deteriorated, along with about everything else, over the years.

"He raised his eyes from the fire. In the falling dusk we could see the walls of the old brickworks surrounding us, the caved-in stacks of brick, pretty well raided by the population of the dying town, the faded lettering painted on the side of a broken-down building that formed one side of the place: BARROW YARD. BRICKS AND BUILDING MATERIALS. WHOLESALE ONLY.

"Duke dropped his eyes to the fire again, and I could see he was letting his mind walk back along that old road. There was the growing up, in good shape, in Illinois—a small city near Chicago—just before the war. He had had some ambition then. And there had been the couple of years of college before Pearl Harbor. It looked like he was going someplace in those days—his father's small factory was waiting for him. Elise was waiting for him, too.

"Elise had still been waiting for him when he got back from the Pacific, but there had been no time to finish his degree, because of his father's death in 'forty-four, just before Duke's return. And there'd been the business slump that hit a few marginal industries during the shift to a post-war economy. The plant, engaged in war work for a few years, had put its profits into expansion, and when the fighting ended there was nothing left to pump into another changeover.

"Duke was under a lot of pressure in those days, and he hadn't been very well prepared to walk out of Guam and the Philippines into Illinois and take on the job of saving the family firm.

"No wonder his marriage hadn't lasted, especially after Elise miscarried their first child. After that it had been a steep slope downhill for Duke—business failure, his mother's broken health and her death grieving over the smash of everything. She had had only the single son.

"Then the heavy drinking for a few years, and the waking, one morning, to find himself staring into the flames of the first campfire and asking himself how he had gotten where he was. And, of course, the answer.

"Duke nodded. 'It's logical,' he said to himself again.

" 'What is?' Duke looked up to see Corky approaching the fire with a stack of wood. Corky dropped the pile nearby and squatted to warm his hands. 'What's logical?' he asked again.

"Corky was heavy, dressed in jeans and an old leather jacket. Corky's presence was logical too—he was on the run from something, none of us had bothered to ask what. We didn't want to know. Duke lifted a hand to run it over his face, rough with stubble, thin and hollow. He shrugged. 'Us,' he said.

"Corky grunted, like he always did. I guess it irritated him when Duke went into his memory routine. 'Not to change the subject,' he said, 'but when's Jake going to show, I wonder. He's been gone a long time. Maybe one of us should've gone with him.'

"Duke shrugged again. 'He'll be back.'

" 'I sure hope he brings some grub.' Corky liked to talk, but he was quiet for a time, and we watched the fire crackle and cast strange figures into the dusk and the thin mist that was settling on the Yard.

" 'I've got a feeling we better not wait,' Corky said finally. 'I got a surprise I been saving.' He got up, went over toward the gate of the Yard, and came back with a paper bag. He squatted again and opened it. 'I ran across a truck garden while I was out looking for wood.'

"We looked in and saw vegetables—carrots, cabbages, celery, some small potatoes. 'Not bad,' Duke said. He reached over to his pack and got the pan he always carried, and a box of salt. 'There's a stream near here. I'll get some water and you start on the vegetables.'

"He got up and went through the gate. It was still barely light enough for him to make his way down to the woods, but he had to go carefully. By the time he got back Corky and I had finished paring. Duke arranged the pan in the fire, salted the water, and we threw in the vegetables. We sat awhile smelling the aroma that started to rise from the stew.

"Finally Corky broke the quiet. 'Man, that Jake is slow,' he said. 'And dumb. I hope he brings some meat. There's times I wish we hadn't of gone and let him feed with us that night.'

" 'You know damn well there's not a thing we could've done about it,' Duke said. 'He saw our fire, and then there he was, standing in the light. Probably be the same thing tonight. He'll stand there saying nothing till we invite him over.' Duke shook his head. 'It's sure strange, the way he acts.'

" 'Yeah, but you got to admit he's got a lot of guts.' Corky's voice showed his admiration. He stirred the pot. 'Remember the fight in the freightyard when that yardman found us outside Denver? That boy's all right in a pinch.'

" 'Knock it off,' Duke said. 'I think I hear him coming.'

"We listened. From the direction of the woods there were noises in the brush, hard and fast. Corky looked up. 'He's sure making a racket.' We listened again. 'Hell,' he said, 'I think he's running.'

"The crackling noises stopped, and we could hear heavy footsteps

slowing down, coming across the packed dirt of the yard, but it was too dark by then to see much. Then there was that huge man in the glow of the fire. He took a couple of steps forward.

“Corky said it low — ‘He’s making straight for you, Duke.’

“We saw Jake stop and gaze down at Duke, who got to feeling uncomfortable after a while. He cleared his throat. ‘Hi, Jake,’ he said. I guess something told him not to ask about the meat. ‘Dig in and grab a little stew, boy. Looks as though you’ve worked up quite a sweat. Do you good to smoke a butt and sit around for a while. Where you been?’

“‘In town,’ Jake said. His voice was thick and gravelly. He just stood there and looked at Duke. We couldn’t see a trace of expression on Jake’s face, only the fire flickering on it. Duke got up.

“‘Seddown, boy. Here, just let me make a pot of coffee.’ He started for his pack.

“‘I just killed a man,’ Jake said.

“We all froze, and there was quiet again. Corky said something finally. He tried to make it sound offhand. ‘Who was it, Jake? You get into a fight or something?’

“‘Naw,’ Jake said. ‘This here is just my night.’

“Corky got up too, and I started to edge back from the fire. ‘Your night for what, Jake?’ Corky asked.

“‘It’s just my night.’

“‘Watch out!’ Corky yelled.

“Duke saw Jake coming, the glint of metal in his hand. He dived just in time. The knife tore through a trailing flap of his jacket. Jake’s lunge took him past his target, and before he could get his balance again, Corky’s jackknife went whirling through the air.

“The jackknife didn’t do much damage—the blade caught Jake over the eye, and he started bleeding some, but he turned on Corky who was crouching on the opposite side of the fire. As Jake started to jump, Duke came up off the ground with something in his hand; he raised it over his head two-handed and brought it down, hard.

“‘Hell!’ Corky yelled, ‘you got him.’

“Jake fell into the fire, knocking over the stew, sending sparks blowing upward into the dark.

“I croaked out, ‘He’s down!’

“‘Jesus,’ Duke said. Almost in a reflex he bent down and rolled Jake out of the ashes. Corky and I knelt and helped him slap out the spots in Jake’s clothes where they had begun to smolder. ‘Nutty as a fruitcake,’ Duke said. His voice, I noticed, was trembling. ‘Looks like he’s out cold.’

“Corky looked up. ‘He’s dead.’

“‘Dead!’

“‘That two-by-four—it stove in his skull. You must’ve really bashed him.’

“In the distance there was a diesel horn blowing. The wind was

coming up, and a few drops of rain fell sizzling into the embers of the fire. Duke couldn't register it.

" 'It'll soon be raining hard,' Corky said. 'We better take care of Jake before we do anything else. Gimme a hand.'

"I could see Duke was standing there looking for his old answer, but it wouldn't come. That time it wouldn't come. It wouldn't come for me, either, and the three of us split up that night, fast. So here I am," Fairall said. He stopped talking, and there was the sea again, underneath it all.

"There it is again, in the last sentence," Fred says, the 'frame.' "

"What's the effect of framing a monologue this way, inside a limited author-orientation narrative?"

"It gives the reader the sense that he is present while the stories are being told, as though he were a member of the audience aboard the ship – it's an inclusive effect. You sure added a lot to that bare-bones narrative."

"The main thing that was added is the frame narrator. For the rest of it, the characters were better-differentiated, given histories, and the setting and atmosphere were specified."

"And you upgraded syntax and diction to a degree."

"Yes. Take for instance these sentences in 'Barrow Yard' spoken by the tramp: ' . . . and yet you know damn well there ain't a friggin' thing we could've done. He saw our fire, and *bing!* there he was, standing in the firelight.' In 'The Yeoman's Story' the tramp becomes Duke, who is a better-educated person with a middle-class background. The equivalent sentences read, ' "You know damn well there's not a thing we could've done about it," Duke said. "He saw our fire, and then there he was, standing in the light." ' "

GENRE DIALOGUE

“What’s left?” Fred asks.

“Well,” says the Author, sezee, “we haven’t specifically discussed dialogue as it’s used in genre writing, although we’ve mentioned several genres, and we have examples of dialogue as it’s used in some of those.”

Fantasy

“Such as?” Fred Foyle leans forward in the Lazyboy and casts his eyes toward the ceiling, as though he were trying to remember some genres. “Wait!” he says, “I recall some things. You mentioned J. R. R. Tolkien’s *Lord of the Rings*, and you pointed out that he used a slightly elevated diction and a formal syntax to indicate an invented archaic language.”

“Something like that,” the Author replies. “But every case will be different and require its own adjustments in approach.”

Fred nods. “I can see that. After all, ‘MacFarlane of Ergos’ was a sort of fantasy, and you had to adjust in several ways for that—the pseudo-Scots dialect, the somewhat formal diction of Holmesby . . .”

Science fiction

“And *Dune* is science fiction. For the most part,” the Author points out, “writers are going to use standard American or standard British when they write, with particular adjustments in

grammar, syntax, and diction for particular characters. Here's a bit from Harry Bates' science-fiction story, 'A Matter of Size' ”:

The ethologist, becoming aware that Miss So-and-So had said “How do you do!” in the most conventional of Earth fashions, in turn nodded and mumbled something himself. Jones smiled broadly and, stepping to the door, begged to be excused, saying he was overwhelmed with work.

“Miss CB-301 speaks your language perfectly,” he said, “and will explain such things as are permitted. I’ll be back presently.” And the door clicked closed behind him . . .

What should he say to the female? Nice day? No—better, flattery. He complimented her on the lack of accent in her speech. It suggested unusual brains in one so young.

“Oh, but no—I’m really terribly dumb!” the young thing gushed sincerely. “I could hardly get through my fourth-dimensional geometry! But English is easier. Don’t you think so?”

“I see what you mean—she’s differentiated by breathiness and gushiness, but not by accent or anything unusual in her verbal constructions.”

Juveniles

“Right,” the Author agrees, “and that’ll even hold true for juveniles that are fantasies. Here’s a short segment of the novel *Watership Down* by the English author Richard Adams. The speakers are rabbits”:

Bigwig, crouched close to Blackberry in the straw of the cattle shed, leaped to flight at the sound of the shot two hundred yards up the lane. He checked himself and turned to the others.

“Don’t run!” he said quickly. “Where do you want to run to, anyway? No holes here.”

“Further away from the gun,” replied Blackberry, white-eyed.

“Wait!” said Bigwig, listening. “They’re running down the lane. Can’t you hear them?”

“I can hear only two rabbits,” answered Blackberry, after a pause,

“and one of them sounds exhausted.”

“But this is a novel for older children, isn’t it?” Fred asks, riffing through the pages. “It looks as though even adults could enjoy it.”

Repetition

“It was a huge best-seller in the United States when it was published in the early seventies,” the Author says. “But there’s not a huge adjustment to make, even for younger children. A writer doesn’t have to condescend to them, just be clear and uncomplicated, as in drama. Furthermore, one mustn’t be afraid of repetition—kids love hearing a story over and over again, and they learn that way.”

“What way?”

“By hearing the main points or foreshadowings or, whatever it may be, more than one time during the course of the story. Here’s a bit of the beginning of “Murgatroyd and Mabel” by Wesli Court. It’s about two caterpillars, both of whom build cocoons, but only one of whom—Mabel—grows wings; the other, her friend Murgatroyd, emerges at first seemingly unchanged, until they realize that he’s grown a propeller instead”:

Murgatroyd and Mabel were caterpillars, and they were very good friends. Murgatroyd was a sort of spring green in color, with bright orange spots, and Mabel was covered with soft brown fur. She had two pretty yellow tufts on her head, like a hat.

One day as they were out walking—or creeping, rather—a very strange feeling began to come over Murgatroyd. “Mabel,” he said, “a very strange feeling is beginning to come over me. My back itches, and my head is very light.”

“Really, Murgatroyd?” Mabel asked, and she stopped to think for a minute. Then she said, “You know, I think I’m beginning to feel the same way.” So Murgatroyd and Mabel stood thinking about their backs itching and about how their heads felt very light. Suddenly Mabel said, “I know what it is, Murgatroyd. My mother told me this would happen one day.”

Murgatroyd looked at her with a little worried frown on his green face with orange spots. But Mabel only laughed. "It's nothing to worry about, silly. It's just that the time has come for us to build our cocoons."

"That sounds nothing at all like Brer Rabbit," Fred says. "There's not a whole lot of difference between it and ordinary standard English except for the repetitions."

"And the simple, declarative sentences with their uncomplicated syntactical constructions. The level of diction is mean, and the whole story is built for hearing, not just the dialogue."

"I get the idea." Fred tucks his chin into his hand and leans over the shoulder of the Author. "I notice the story stays away from colloquialisms and idiomatic expressions, too. Now—" he steps back and stretches—"what other genres have we got to talk about?"

Romances

"Let's take a look at a romance by Danielle Steel . . . it's from her novel *Crossings*."

"Anything in particular I ought to be looking for?"

"Yes. Notice how she uses those things you just said were left out of the children's story—colloquialisms and idiomatic expressions—and how she keeps the action going simultaneously with the conversation. While Hillary, in a hotel in Cannes, is talking with her husband on the phone, she is also interacting with her lover":

"Sorry to bother you, Hil."

"Is something wrong?" The thought instantly crossed her mind that something had happened to Johnny, and as she walked naked across Philip Markham's room, holding the phone, her face wore a nervous expression. She glanced guiltily at him over her shoulder and then turned away as she waited for Nick's answer.

"Have you read the papers yesterday or today?"

"You mean that thing about the Germans and the Russians?"

"Yes, that's exactly what I mean."

"Oh, for chrissake, Nick. I thought something had happened to

Johnny.” She almost sighed with relief as she sat on a chair and Philip began to stroke her leg as she smiled at him.

“He’s fine. But I want you to come home.”

“You mean now?”

“Yes. That’s exactly what I mean.”

“Why? I was coming home next week anyway.”

“That may not be soon enough.”

“For what?” She thought he was being a nervous fool, and she laughed as she watched Philip make funny faces and make obscene gestures as he returned to their freshly rumpled bed.

“I think there’s going to be a war. They’re mobilizing the French army, and things are liable to explode any day.”

“Lots of action there,” Fred notes.

“Both physical and verbal,” the Author agrees.

“And Steel slows it down as little as possible—there aren’t even tag lines in the passage you quote, and even though three people are in on the action, the reader is never confused about who is doing or saying what.” Fred smiles. He is clearly delighted.

Action fiction

“No doubt,” he continues, “the same is true for adventure stories or spy novels.”

“Let’s check it out,” the Author says. “Robert Ludlum in *The Bourne Conspiracy* uses tremendous amounts of dialogue. There are whole pages of it, with just a line or two of narration in between the speeches”:

“Who sent you?” asked the Oriental of mixed blood, as he sat down.

“Move away from the edge. I want to talk very quietly.”

“Yes, of course.” Jiang Yu inched his way directly opposite Bourne.

“I must ask. Who sent you?”

“I must ask,” said Jason, “do you like American movies? Especially our Westerns?”

“Of course. American films are beautiful, and I admire the movies

of your old West most of all. So poetic in retribution, so righteously violent. Am I saying the correct words?"

"Yes, you are. Because right now you're in one."

"I beg your pardon?"

"I have a very special gun under the table. It's aimed between your legs." Within the space of a second, Jason held back the cloth, pulled up the weapon so the barrel could be seen, and immediately shoved the gun back into place. "It has a silencer that reduces the sound of a forty-five to the pop of a champagne cork, but not the impact. Liao jie ma?"

"Liao jie . . ." said the Oriental, rigid, breathing deeply in fear.

Rhythm

"That conversation just seems to rip along," Fred says. "How is it that you haven't talked about the rhythms of dialogue? Shouldn't you have said something about that back when we were discussing *pace*?"

The Author sits back and stares at the computer terminal for a few moments. "I've been putting it off," he says.

"Why?"

"Because what you just said—that the 'conversation just seems to rip along' is about as much as most people who write about dialogue say, only they tend to use the word 'flow'—the dialogue is supposed to 'flow.' "

"What's wrong with that?"

"What's wrong is that to say so is to say nothing. Any idiot ought to know that dialogue should run along like water and keep the reader's attention. The problem is that water can 'flow' in hundreds of ways. It can move gently toward the sea; it can dash through rapids; it can roar over cataracts, or it can be as majestic as the Mississippi."

The Author is just warming up. "A writer can learn the rhythms of speech in two ways, it seems to me. By trial and error one can develop an 'ear' for dialogue, or one can study language formally and learn about its rhythms the way a poet learns, by 'scanning' it and developing an ear according to a program of study."

"What do you mean, an 'ear'?" Fred asks.

“J. D. Salinger’s *A Catcher in the Rye* is one of the best-selling books of all time. Here’s one of the reasons why—Holden Caulfield says, ‘What really knocks me out is a book that, when you’re all done reading it, you wish the author that wrote it was a terrific friend of yours and you could call him up on the phone whenever you felt like it.’ That’s not very good grammatically or syntactically. It’s not what one would call ‘realistic’ in the sense that it’s verisimilitudinous dialogue.”

“Ye gods!” Fred whispers to himself.)

“But what it *is* is *absolutely accurate*. Every American teenager who loves to read will probably recognize it as his or her own speech—but more than that, as his or her own *thoughts verbalized*.”

“I see that,” Fred says. “You mean it’s psychologically *and* linguistically accurate.”

“And appropriate to the character as well. *Everything* works. It’s as though Salinger had reached into the reader’s brain, pulled out his or her own thoughts, and put them into the mouth of a character that the reader recognizes as himself or herself. *That* is an *ear*. Perhaps one can be born having the talent to write that,” the Author says, shaking his head, “but I think it’s more likely Salinger spent a lot of time *listening* to the way people—especially young people—talk, and then a lot more time trying to get it right on paper.

“And there are all kinds of rhythms, too,” the Author continues.

“What do you mean?”

“I mean, sometimes you don’t *want* language to ‘flow.’ For instance, if you have a person, let’s say, who is out of breath, you’re going to want to do something like this, perhaps”:

“Oh!” she gasped, “that man—help! My purse . . . he took it! Please! Stop him. Stop him!” she screamed.

“Dialogue should never look *composed*,” the Author continues, “it ought not to look as though it’s been *written*. What you want is to approach the Salinger ideal: dialogue ought to appear to be *overheard*.”

“So that’s what you’d recommend?” Fred asks.

The Author nods emphatically. “*Listen and write*, and study the craft.”

The two of them—the Author and the would-be writer—sit quietly for a few minutes listening to Debussy’s “La Mer” issuing dreamily from the speakers and, under it, the hum of the air conditioner.

Summing up

“Okay, Fred,” the Author says at last, “let’s see if *you’ve* been listening. What are some of the things you’ve learned or figured out?”

“Final exam time?” Fred grins.

“Orals.”

Variation

“Right. Well, for one thing I think one needs to break up dialogue at strategic places, especially monologues.”

“How?”

“With action, with the short remarks, replies, or interjections of other characters, with scene-setting and atmosphere—almost anything that’s relevant to the story.”

“Fine. Anything else?”

Personal address

“Don’t use people’s names too often. In real life people don’t continually address each other by name. When they greet each other on meeting, I notice, they may say, ‘Hello, John,’ or ‘Hi, Mary,’ but after that, they stop using their names. For instance, this is an unnatural conversation”:

“Gee, John, I haven’t seen you in a month of Sundays.”

“No, where have you been keeping yourself, Mary?”

“Well, I’ll tell you, John, I’ve not been well.”

“Oh, Mary! I’m sorry to hear it.”

"I'm better now, John. No need to worry."

"I'm certainly glad to hear that, Mary."

"Good point," the Author says. "If your concern is that the reader will lose track of who's talking, figure out other ways of tipping them off. Tag lines are the simplest expedient. But generally it's not hard to know who's speaking if the characterizations and situations are clear."

Subtlety

"And be subtle in the way you introduce background information such as scene-setting and exposition. Don't put it all into someone's mouth," Fred says. "Get as much of that sort of thing worked into the fabric and action of the story as possible, and if you have to, just be straight about it. Do it and get it over with."

"Any examples?"

"Sure," Fred says. "Remember all that stuff about the 'scientific' qualities of ectoplasm that you had in 'Scot on the Rocks'? I guess stuff like that has to be done in science fiction sometimes, and I think I liked it better when you just explained it than I would have if you'd tried to disguise it as dialogue or something like that—though it was still pretty heavy-handed, at that."

Summary dialogue

"And stay away from summary dialogue, if possible," the Author says.

"Have we talked about that?"

"Yes, when we discussed 'describing' dialogue instead of writing it out":

"Where have you been?" he asked, and he spent the next ten minutes listening to her talk about her latest operation, the five days she had spent in the hospital, and all the pain and discomfort she had felt and was, for that matter, still feeling. "I'm so sorry to hear it," he said, glancing at his watch, "but I'm afraid I have to run now." He began to edge away from her . . . "a bus to catch," he said.

“But wasn’t that a legitimate use of summary dialogue?” Fred asks. “It was better than subjecting the reader to the whole boring monologue.”

“Oh, sure! I didn’t mean to imply one should *never* use it. But one should not substitute it for speech when dialogue would serve one’s purposes better. Sometimes beginning writers use summary dialogue to avoid having their characters talk.”

Tension

“And there should be tension in the dialogue,” Fred says, “as there was in the Ludlum and Steel passages. It seems to me that slack dialogue is probably about as boring as tons of exposition would be. Something ought to be happening between the people conversing.”

“Dialogue ought always to be doing more than one thing,” the Author types, nodding in agreement. “While it is going on it ought to be advancing the plot, or characterizing, or setting the scene, foreshadowing, or whatever, at the same time that it is operating as a medium for the exchange of information.”

Again there is silence in the attic room. The Author clears his throat but says nothing. He fidgets, staring at the monitor.

“Is that about it?” Fred asks at last.

“I guess that’s it,” the Author replies. “Did you learn anything else in our Socratic dialogue?”

“Several things, but one important question has been raised that hasn’t been answered, it seems to me.”

“As for instance?”

“Which of us is the Author, and which the foil?”

“You mean you think you’re a real, not an invented character?”

“Maybe so.”

“Why would you think that?”

“Because this is a book of *nonfiction* on the subject of dialogue.”

“But it’s also a book of *fiction* on a nonfiction subject.”

“Not if I’m real,” Fred Foyle points out.

“But you’re not.”

“What makes you think *you’re* real?” Fred asks.

“I had the first word in the book, the genesis, as it were, so I’m the prime cause of the treatise.”

“You may have had the first word,” Fred Foyle points out, “but I’ll have the last one.”

I N D E X

A

- Action, effect of dialogue on, 35-36
- Action fiction, 108-9
- Antagonist, 26, 27
- Appointment in Samara*, 17
 - dialect in, 55
 - pace in (*excerpt*), 18
- Articulate Energy*, 45
- Aside, 8
- Aspect of reflection, 38-40
- Aspects of narration, 38-40
- Atmosphere, 30
- Author intrusion, 16
- Awakening, The*, 81
 - as example of realism (*excerpt*), 81

B

- "Barrow Yard," 52, 99, 103
 - text of*, 96-98
- Black, Campbell, 91
- "Bo'sun's Story, The," 51
- Bourne Conspiracy, The*, 108
 - use of dialogue in action fiction in (*excerpt*), 108-9
- Brer Rabbit* series, 67
 - diction in, 66

C

- Catcher in the Rye*, 110
 - accuracy of dialogue in, 110
- Characterization:
 - diction in, 22-23
 - by nomenclature, 25
- Conventions, literary, 84, 89-91
- "Conversations at Night," 31
 - scene-setting in (*excerpt*), 31
- Crossings*, 107
 - use of dialogue in romances in (*excerpt*), 107-8

D

- Dialect, 17-19, 54-56
 - standard American, 64-65
- Dialogue:
 - breaking up, 111
 - definition of, 4
 - summary, 19, 112-13
- Dialogues*, 1-2
- Diction:
 - characterization through, 22-23
 - elevation of, 70-71
 - fancy words in, 10
 - levels of, 50
 - pidgin in, 67-69
 - stereotyping through, 65-67
 - vs. syntax, 50
 - vocabulary and idiom in, 71-72
- Dramatic action, 10
- Dramatic situation, 27
 - vs. dramatic viewpoint, 27-28
- Dramatic viewpoint, 28, 45
 - vs. dramatic situation, 27-28
- Dramatic voice, 39
- Dramatis personae, 26
- Dune*, 42, 104

E

- Ear for dialogue, 109-110
- Empathy, 23-24
- English:
 - American, 64, 104
 - British, 70-71, 73, 104
- Exposition, 8-9

F

- Fantasy, use of dialogue in, 104
- Far Lands, The*, 68
 - diction in, 68
- First Men in the Moon, The*, 31

- mood-setting in (*excerpt*), 31-32
 Foreign words and terms, 73
 Foreshadowing in dialogue, 40-41, 42
 Format and punctuation, 2, 5-6, 19, 86-87
 Forms of dialogue:
 asides, 8
 monologue—an example, 10-14
 monologue and soliloquy, 5
 Frame narrative, 99
 Frame narrator, 103
- G**
- Genre, 64, 104-111
 "Girl," 23, 26, 30, 39
Grapes of Wrath, The, 91-92
 excerpt from (novel), 92
 excerpt from (screenplay), 92-93
- H**
- "Hardware," 48
 objective word order in (*excerpt*), 49
Heart of Darkness, 20, 22, 30, 39, 40, 99
 frame narration in (*excerpts*), 20, 21
- I**
- Idiom, 71-72
 definition of, 72
 vs. slang, 72
In medias res, 16-17
 Interior monologue, 85-86
 Italics, uses of, 6-7
- J**
- Juveniles:
 dialogue in, 105-6
 repetition in, 106-7
- L**
- Less than Zero*, 82
 as example of minimalism
 (*excerpt*), 82
Look Homeward, Angel, 55
 excerpt from, 55-56
- Lord of the Rings*, 74, 104
 diction and syntax in (*excerpt*), 74
- M**
- "MacFarlane of Ergos," 65, 72, 104
 "Matter of Size, A," 105
 use of dialogue in science fiction
 in (*excerpt*), 105
Memorial, The, 18
 pace in (*excerpt*), 18
 Minimalism, 82-84
 vs. edited real speech, 82-84
 Moralizing, 41-42
 "Murgatroyd and Mabel," 106
 as example of juvenile fiction
 using repetition (*excerpt*),
 106-7
My Fair Lady, 70-71, 91
- N**
- Narration:
 exposition through, 8-9
 frame, 19-21
No More Gas, 69, 73
 Nomenclature, characterization by,
 25
 diction in, 69
 foreign term in, 73
 Nonconversations:
 exposition as, 79-80
 silence in dialogue as, 93-99
 summary dialogue as, 19
 Novelizing, 91-93, 96
- O**
- Objective access, 9
 Objective viewpoint, 39-40
Of Mice and Men, 52, 56
 diction in (*excerpt*), 52-53
Our Town, 9
- P**
- Pace, 17-19
 Pacific Ocean Books, speech defects
 shown in dialogue of, 70
 Persona, 3, 27
 Personal address, avoiding frequent
 use of, 111-12

- Plato, 1-2
 Playwriting vs. fiction writing, 8-10
 "Pleasant Dell," 35, 41
 action through dialogue in
 (*excerpt*), 35-36
 objective syntax in (*excerpt*), 48
 Plot, 26-28, 30
 and atmosphere, 26-28
 definition of, 26
 Protagonist, 26, 27, 28
 Pygmalion, 71
- R**
- Rasselas, Prince of Abyssinia*, 80
 exposition in dialogue of (*excerpt*),
 80
 Realism, 81, 82
Return of the Native, The, 73
 British English in, 73-74
 Rewriting, 32-35
 Rhythm in dialogue, 109-11
 Romances, 107-8
- S**
- "Savants," 19, 22, 23, 25, 29, 30, 39
 text of, 11-14
Sayonara, 68
 pidgin in, 68
 Science fiction, use of dialogue in,
 104-5
 "Scot on the Rocks," 112
 text of, 57-64
 Scripts, 7-8
 Silence in dialogue:
 author's fiction as example, 94-95
 author's play as example, 93-94
 Slang, 2, 56-64, 72-73
 "Sleeps Six," 71
 British dialect and punctuation
 in, 71
 Socratic dialogue, 1, 2, 6-7, 113
 Soliloquy, 5-6, 38
Sound and the Fury, The, 85
 interior monologue in (*excerpt*),
 85-86
 Speech:
 edited, 78-79
 ordinary, 75-78
 Speech defects, 69-70
Story of English, The, 70
 Style, definition of, 75
 Subject, 25-26
 definition of, 25
 Subjective access, 9
 Subtlety, 112
 Syntax:
 dramatic, 49-50
 objective, 48-49
 subjective, 45-48
- T**
- Tag lines, 15-16, 112
 Tension, use of in dialogue, 113
 Theme, 25-26
Tom Sawyer, silence in dialogue in
 (*excerpt*), 95-96
 Tone and style:
 definitions of, 75
 high, mean, low, 50-54
 minimalism, 82-84
 realism, 81-82
 stage speech, 84
 stream of consciousness, 85-87
 surrealism, 87-89
 verisimilitude, 80
 Tone, definition of, 75
 Transcription and adaptation, 99
2001: A Space Odyssey, 91
 dialogue in (*excerpt*), 91
 Types of fictional characters, 6
- U**
- Unity:
 of action, 90
 of place, 90
 of time, 89-90
- V**
- Variation, 111
 Verisimilitude:
 definition of, 80
 vs. realism, 81
 Viewpoint:
 aspects of narration, 38-40

orientation, person, angle, access,
28-30
subjective, objective, dramatic,
9-10, 37-40

Voice:

subjective, 38
table of, 51

W

Watership Down, 105

use of dialogue in juveniles in
(excerpt), 105-6

Willing suspension of disbelief, 84,
89, 90

Y

"Yeoman's Story, The," 52, 103
text of, 99-103